



# Rapid reappearance of air pollution after cold air outbreaks in North China

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10 **Abstract.** The cold air outbreak (CAO) is the most important way to reduce air pollution during the winter over North China. However, a rapid reappearance of air pollution is usually observed during its decay phase. Is there any relationship between the reappearance of air pollution and the properties of CAO? To address this issue, we investigated the possible connection between air pollution reappearance and CAO by quantifying the properties of the residual cold airmass after CAO. Based on the analyses in recent winters (2014–2019), we found that the rapid reappearance of air pollution in the CAO decay phase has an occurrence frequency of 74%, and the air quality in more than 50% of CAOs worsens than that before CAO. The reappearance of air pollution tends to occur in the residual cold airmass with weak horizontal flux during the first two days after CAO. By categorizing the CAOs into groups of rapid and slow air pollution reappearance, we found that the residual cold airmass with moderate depth of 150–180 hPa, large negative heat content and small slopes of isentropes is favorable for the rapid reappearance of air pollution. Among these factors, the cold airmass depth is highly consistent with the mixing layer height, below which contains most air pollutants; the negative heat content and slope of isentropes in cold airmass jointly determine the intensity of low-level vertical stability. The rapid reappearance of air pollution is also attributed to the maintenance of residual cold airmass and the above conditions, which is mainly regulated by the dynamic transport process rather than diabatic cooling or heating. Furthermore, analysis of the large-scale circulation of CAOs in their initial stage shows that the anticyclonic (cyclonic) pattern in northern Siberia (northeastern Asia) can be recognized as a precursor for the rapid (slow) reappearance of air pollution after the CAO.

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## 1 Introduction

Air pollution is one of the main meteorological disasters in China during the boreal winter, threatening public health, the environment and economic activities (Guan et al., 2016; Xu et al., 2013; Tie et al., 2016). North China, experiences the most  
30 pollution, resulting in attention from researchers. Statistics show that air pollution or haze events in North China have an occurrence frequency of approximately 20–40 days during winters since 2010 (Ding and Liu, 2014; Chen and Wang, 2015; Yang et al., 2016; Dang and Liao, 2019; Zhang et al., 2020). In some cities, such as Beijing, the occurrence frequency approached 60 days (Li et al., 2021). Moreover, severe air pollution has also occurred frequently over North China in recent  
35 years, during which the air quality index usually exceeds the upper limit. In some widely reported air pollution events during January 2013, December 2015 and December 2016, the regional daily mean  $PM_{2.5}$  concentration exceeds  $500 \mu g m^{-3}$  (Zhang et al., 2014; Sun et al., 2016; Yin and Wang, 2017). Faced with the demands of improving air quality, progress in understanding the variations in air pollution is of great importance.

The formation of air pollution in North China can be attributed to heavy emissions, topography and unfavorable meteorological conditions (Zhang et al., 2013; Cao et al., 2015; Yang et al., 2016; Dang and Liao, 2019; An et al., 2019). As emissions and  
40 topography do not vary much from day to day, meteorological conditions play an important role in impacting synoptic time-scale variations in air pollution (Kang et al., 2019; Ma et al., 2021; Zhang et al., 2021). Previous studies showed that weak surface winds, high relative humidity, enhanced temperature inversion, low atmospheric boundary layer height, and less precipitation facilitate the accumulation and maintenance of air pollutants (Ding and Liu, 2014; Wang and Chen, 2016; Li et al., 2017). These unfavorable meteorological conditions usually occur in stagnant weather controlled by high-pressure systems  
45 or anomalous anticyclonic patterns (Yang et al., 2018; Zhong et al., 2019; An et al., 2020).

Cold air outbreaks (CAOs) are generally considered to be conducive to the removal of air pollution in North China (Qu et al., 2015; Li et al., 2016; Liu et al., 2017; Hu et al., 2018; Zhang et al., 2021). However, our recent study shows that air pollutant concentrations sometimes experienced a rapid increase after CAO, forming a new round of air pollution with even higher pollutant concentrations than the period before CAO (Liu et al., 2019). During the reappearance of air pollution, the regional  
50 mean pollutant concentrations increased as much as 2.8 times. Some studies also provided clues for the reappearance of air pollution after CAO. Under high emissions conditions, the removal of air pollution caused by strong surface winds is usually maintained for only approximately 1 day and then returns to the polluted state rapidly (Liu et al., 2016). Wang et al. (2014) showed that during persistent heavy air pollution in January 2013, cold air activity could only temporarily reduce the air pollutant concentration. The reappearance of air pollution is usually characterized by explosive growth, with air pollutant concentrations increasing from a few to tens and even hundreds of micrograms per cubic meter within 1 day (Sun et al., 2016).  
55 These results suggest that a considerable portion of air pollution in North China can be linked to the decay of the CAO. To explore the mechanisms modulating rapid increases in air pollutants, further analysis showing the detailed features and structures of CAOs, especially during their decay stages, is required. Additionally, since CAOs are easy to identify and predict in their initial stages, they also have potential as precursory signals for the burst of severe air pollution.



60 In this study, a quantitative measurement of cold airmass (Iwasaki et al., 2014) is adopted to identify CAO and its  
dynamic/thermodynamic characteristics. Based on the analyses of CAOs and accompanying variations in air pollution during  
recent winters, our study will verify the generic existence of air pollution reappearance after CAO. Then, we will clarify the  
CAO with what characteristics, such as depth, horizontal flux, coldness and large-scale circulation pattern of cold airmass,  
may result in the rapid reappearance of air pollution. The rest of this study is organized as follow. The data and methods used  
65 in this study are described in Section 2. Statistical features of air pollution reappearance, as well as its association with CAO  
evolution, are examined in Section 3. The key features of CAO affecting the air pollution reappearance and the involved  
physical mechanisms are discussed in Section 4. Section 5 further investigates the large-scale circulation pattern of the CAO.  
A short summary is presented in Section 6.

## 2 Data and methods

### 70 2.1 Data

The variation in air pollutant concentration in this study is described using the air quality index (AQI) from the Ministry of  
Ecology and Environment of the People Republic of China. The AQI quantifies overall air quality based on the primary air  
pollutant in the monitored area, with observations made 24 times per day. Data from 475 stations (in 98 cities) across North  
China (30°–40°N, 114°–122°E) covering the winters (November to March) from 2014/2015 to 2018/2019 were used. The  
75 sounding data, including wind and air temperature, are obtained from the University of Wyoming. Four sounding stations were  
selected in North China: Beijing (39.8°N, 116.5°E), Zhangqiu (36.7°N, 117.6°E), Nanjing (31.9°N, 118.9°E) and Baoshan  
(31.4°N, 121.5°E). Observation times were 00 and 12 UTC (08 and 20 LT).

To identify the CAO events, we used Japanese 55-year reanalysis (JRA-55) data. The JRA-55 has a horizontal resolution of  
1.25° with 37 vertical pressure levels and a time interval of 6 hours. Previous studies have shown that JRA-55 has good  
80 performance in identifying and describing CAOs over East Asia (Yamaguchi et al., 2019; Liu et al., 2020; Liu et al., 2021).

### 2.2 Isentropic analysis of cold air outbreak

The identification and quantification of cold airmass and its outbreaks are performed by an isentropic analysis method. The  
cold airmass is defined as a layer of airmass below the threshold isentropic surface of  $\theta_T = 280$  K, which includes most of the  
equatorward cold airmass flow (Iwasaki et al., 2014). Thus, the depth of the cold airmass ( $DP$ ) can be described as the pressure  
85 difference between the ground surface ( $p_s$ ) and the  $\theta_T$  surface ( $p(\theta_T)$ ),

$$DP = p_s - p(\theta_T). \quad (1)$$

The horizontal flux of the cold airmass ( $F$ ) is given by the vertical integral of the horizontal wind ( $v$ ) and the pressure ( $p$ ),

$$F = \int_{p(\theta_T)}^{p_s} v dp. \quad (2)$$



The negative heat content ( $NHC$ ), reflecting the coldness of the cold airmass, is defined as a vertical integral of the potential  
90 temperature difference ( $\theta_T - \theta$ ) and pressure ( $p$ ),

$$NHC = \int_{p(\theta_T)}^{p_s} (\theta_T - \theta) dp. \quad (3)$$

Based on the above method, the CAO can be identified by the regional mean cold airmass depth. Previous studies showed that  
the cold airmass depth usually experiences a sharp increase and reaches a relatively high value during the outbreak (Shoji et  
al., 2014; Liu et al., 2019; Liu et al., 2021). Thus, the CAO in this study is identified when the regional mean cold airmass  
95 depth exceeds 169.7 hPa, which is the standard deviation of cold airmass depth on all winter days. According to the above  
criteria, 39 CAOs are identified over the 5 winters.

The CAO is further divided into 3 periods. Figure 1 shows the temporal evolution of the cold airmass depth in North China  
during a typical CAO in March 2016. The period during the CAO is defined as the days with cold airmass depths higher than  
one standard deviation (169.7 hPa). The onset of a CAO event, which is the first day of the period during CAO, is described  
100 as the day 0. The period before CAO is defined as the two days before onset day and the onset day (days  $-2$  to 0). The period  
after CAO, which is also called the decay phase, is defined as the three days following the period during the CAO. It should  
be noted that the cold airmass in the period after CAO is called the residual cold airmass in this study.

### 2.3 Measurement of air pollution reappearance and mixing layer height

The description of air pollution reappearance is based on the AQI in different periods of the CAO, as shown in Figure 1. In the  
105 period before CAO, the AQI decreases from a polluted state to a relatively clean state. The AQI usually reaches its minimum  
in the period during CAO. Then, the AQI increases and results in a new round of air pollution in the period after CAO. Thus,  
we use the maximum AQI in the period before CAO ( $AQI_b$ ) and the maximum AQI in the period after CAO ( $AQI_a$ ) to  
represent the air pollution before CAO and the reappeared air pollution, respectively. The minimum AQI in the period during  
CAO ( $AQI_d$ ) is used to represent the clean state between the air pollutions before and after the CAO.

110 Based on the above analysis, the increase of AQI ( $IA$ ) is defined as follows to describe the deterioration rate of air pollution  
in its reappearance:

$$IA = AQI_a - AQI_d. \quad (4)$$

A rapid reappearance of air pollution is supposed to have an  $IA$  larger than 35.5, which is the standard deviation of the AQI  
daily variation during the 5 winters. A reappearance index of air pollution ( $RI$ ) is also defined to describe the relative intensity  
115 of reappeared air pollution compared to the air pollution before CAO,

$$RI = AQI_a / AQI_b, \quad (5)$$

where  $RI$  larger (smaller) than 1 denotes that the AQI after CAO is worse (better) than that before CAO.

The mixing layer height is determined from the analysis of the profile of the bulk Richardson number ( $R_B$ ) using sounding  
data. The calculation method for  $R_B$  is derived from Tang et al. (2016) as follows:



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$$R_B = \frac{g \Delta\theta \Delta Z}{\theta ((\Delta u)^2 + (\Delta v)^2)}, \quad (6)$$

where  $g$ ,  $\theta$ ,  $Z$ ,  $u$  and  $v$  indicate the gravity acceleration, potential temperature, height, zonal wind and meridional wind, respectively. According to the profiles of  $R_B$  and  $\theta$ , the observations can be separated into a convective state and a stable state. In this study, we found that all the sounding observations are in the stable state with no obvious low-level jet. Therefore, the mixing layer height (MLH) is defined as the altitude at which  $R_B$  is greater than 1.

## 125 3 Characteristics of air pollution reappearance after CAO

### 3.1 Statistics of AQI after CAO

To ensure the existence of the rapid reappearance of air pollution after CAO, the relationship of daily changes in AQI and cold airmass depth is divided into four quadrants in Figure 2. In winter, 64.2% of the decrease in the AQI occurs when the cold airmass depth increases, which corresponds to the period before and during the CAO. On the other hand, most of the AQI increase (71.0%) was accompanied by a cold airmass decrease, which usually occurred in the period after the CAO. Based on  
130 these results, the AQI variation changes from decreasing to increasing throughout the CAO, suggesting the existence of air pollution reappearance after the CAO. We also noticed that most of the heavy air pollution was in the quadrant of cold airmass depth decrease, suggesting that the retreat and dissipation of cold airmass may be an important meteorological factor for the formation of heavy air pollution.

135 Based on the 39 CAOs selected in this study, the statistical characteristics of the air pollution reappearance after CAO can be demonstrated. Figure 3a compares the AQI daily change rate in the period after CAO to that on all winter days. During the period after CAO, the increase in the AQI has an occurrence frequency of more than 80%, which is much higher than that on all winter days (56%). In particular, the relatively high increase rate ( $> 20 \text{ day}^{-1}$ ) in the period after CAO has a frequency of approximately twice as high as that on all winter days. Such a frequent rapid increase in the AQI after CAO could induce the  
140 reappearance of air pollution and even may result in a worse AQI compared to the AQI before CAO. Using the Eq. (5), Figure 3b shows the reappearance rate of air pollution ( $RI$ ) after CAO, whose value greater (smaller) than 1 denotes that the AQI after CAO is worse (better) than the AQI before CAO. More than 50% of the CAOs are found to show a worse AQI after reappearance. In some extreme events, the AQI after CAO could be twice as high as the AQI before CAO. These facts show that even if the CAO brings several days of clean air, it may lead to a deterioration of the AQI to the polluted or an even worse  
145 state.

Considering the different characteristics of AQI variations after the CAO, the CAOs can be categorized into a “slow reappearance” group and a “rapid reappearance” group according to the variable defined by Eq. (4) (Figure 3c). Among the 39 CAOs, 10 (25.6%) show a slow reappearance of air pollution after CAO (CAO\_slow), with an increase in the AQI of less than 1 standard deviation of the AQI daily variation ( $IA \leq 35.5$ ). Note that not all CAOs are accompanied by a rapid air  
150 pollution reappearance. The remaining 29 CAOs (74.4%) featured a rapid reappearance of air pollution (CAO\_rapid). Figure



3c also shows that most CAO\_slow events have a better AQI than that before CAO, while nearly two-thirds of CAO\_rapid events face a worse AQI. The above differences in AQI changes after CAO suggest that the air pollution reappears rapidly or slowly may be modulated by the detailed characteristics of CAO.

### 3.2 Relationship between the reappearance of air pollution and CAO

155 In terms of spatial averages over North China, Figure 4 shows large differences in the cold airmass depth and AQI between  
CAO\_rapid and CAO\_slow events. For CAO\_rapid events (Figure 4a), the cold airmass depth increases from day -2 and  
reaches its maximum on day 0. Then, the cold airmass depth gradually decreases to approximately 100 hPa in the following 3  
days. During the whole life cycle of the CAO\_rapid event, the AQI shows a reversed variation to cold airmass depth. From  
day -2 to day 0, the AQI fell to a moderate level below 70. However, shortly after, the AQI increased rapidly to nearly 130 on  
160 day +2, which was worse than the AQI before the CAO. For CAO\_slow events (Figure 4b), the cold airmass depth also  
increases from day -2 to day 0 and reaches a value similar to that in CAO\_rapid events. After day 0, the cold airmass depth  
drops to the minimum on day +2 at a much faster rate than that in CAO\_rapid events and rises again from day +3. During the  
CAO\_slow events, the AQI still varies conversely to the cold airmass depth. However, the decrease in the AQI starts on day  
0, which is later than that in CAO\_rapid events, and the variation in the AQI also has a relatively small amplitude. Such  
165 differences in the removal of air pollution may be associated with the thermal/dynamical features of CAO (Liu et al., 2019).  
The contrasts of the CAO\_rapid and CAO\_slow events suggest that there may be two favorable conditions for the rapid air  
pollution reappearance: one is the relatively slow decrease of cold airmass depth, the other is the longer time period before the  
next CAO.

We further investigate the spatial-temporal collocation of the AQI and cold airmass distributions during and after the CAO.  
170 For CAO\_rapid events, a thick layer of cold airmass accompanying the strong southeastward flux is observed in North China  
on day 0 (Figures 5a–5b). At this stage, the AQI decreased markedly, especially in the eastern part of North China. From day  
+1 to day +3, the southeastward flux of cold airmass weakens, and a quasi-stationary residual cold airmass occupies North  
China (Figures 5c–5h). During this period, the AQI increased rapidly and even exceeded 150 (middle level air pollution) at a  
number of stations. The worst AQI usually occurs in the region with a cold airmass depth of 50–150 hPa. For CAO\_slow  
175 events on day 0, the cold airmass depth has a distribution similar to that in CAO\_rapid events (Figures 6a–6b). However, the  
cold airmass fluxes in CAO\_slow events contain a larger eastward component than those in CAO\_rapid events. Such an  
enhanced eastward flux leads to the quick eastward retreat of residual cold airmass on days +1 and +2 (Figures 6c–6f). During  
this period, an increase in the AQI is also observed in the area covered by the residual cold airmass. On day +3, the cold  
airmass enhances again in the northern part of North China and thus ends the reappearance of air pollution (Figures 6g–6h).  
180 According to the above results, we found that the reappearance of air pollution tends to occur in the residual cold airmass with  
nearly no horizontal flux during the decay period of the CAO.



#### 4 Factors affecting the rapid reappearance of air pollution

To determine the dominant feature of CAO controlling the reappearance of air pollution, Table 1 compares the dynamic and thermal-dynamic properties of cold airmass in CAO\_rapid and CAO\_slow events. The cold airmass properties are averaged in the period from day +1 to +2, which corresponds to the rapid increase period of the AQI in Figure 4. The results reveal that the magnitude of cold airmass flux shows a small difference between the two types of CAO. However, large differences exist in the depth and NHC of cold airmass, which may be the key factors affecting the air pollution reappearance. The cold airmass in CAO\_rapid events (140.9 hPa and 718.8 K hPa) is thicker and colder than that in CAO\_slow events (116.0 hPa and 431.9 K hPa). To explore how the depth and NHC of cold airmass modulate the air pollution reappearance, a CAO\_rapid event (14–17 December 2016) and a CAO\_slow event (11–14 February 2018) are selected for further analysis.

**Table 1: Averaged AQI change rate and cold airmass properties during the period from day +1 to +2.**

	Change rate of AQI (day <sup>-1</sup> )	Cold airmass depth (hPa)	Magnitude of cold airmass flux (hPa m s <sup>-1</sup> )	Negative heat content (K hPa)
CAO_rapid	23.4	140.9	872.9	718.8
CAO_slow	14.4	116.0	823.3	431.9

##### 4.1 Cold airmass depth

Figures 7 and 8 examine the profile of potential temperatures below 3 km at the four stations (see Section 2.1) under the effect of the CAO. During the CAO\_rapid event on day 0, the lapse rate of potential temperature is small from the near ground level to the height of approximately 1.5 km (Figure 7a). Above the height of 1.5 km, the lapse rate suddenly increases, forming an air layer with a large vertical gradient of potential temperatures. Such a large vertical gradient of potential temperature denotes a strong stable stratification, weakening the vertical mixing of air pollutants to the upper level. In the case of high emissions in North China (Zhang et al., 2012; Gao et al., 2016), atmospheric conditions such as this are favorable for the accumulation of air pollutants in the near surface atmosphere. On the other hand, the large gradient layer can be recognized as the upper boundary of the cold airmass since the cold airmass features strong thermal contrast to its surroundings. This upper boundary of cold airmass acts as a lid confining the air pollutant in the residual cold airmass. Previous studies also show that air pollutants usually increase and are trapped in the residual cold airmass during the period after CAO (Kang et al., 2019; Liu et al. 2019). From day 0 to +1, the height of the large gradient layer is maintained at approximately 1.5 km, and then it slowly drops to 0.5 km in the following 2 days. Such a temporal evolution is highly consistent with the evolution of cold airmass depth (Figures 7a and 7b). In the same period, the AQI increases nearly 2 times from 50 to 150.





The low-level atmosphere with a small lapse rate of potential temperature in Figure 7a may represent the mixing layer, featuring convection, vertical mixing and dry-adiabatic lapse rates. The mixing layer could greatly impact the accumulation and dissipation of air pollutants (Holzworth, 1967; Tang et al., 2016). Many studies have reported that the MLH is a good indicator of air quality (Su et al., 2018; Gui et al., 2019). However, the calculation of MLH is somewhat complex (Eq. (6)), and the MLH calculated by most mainstream reanalysis datasets has obvious bias compared to sounding data (Guo et al., 2021). Figure 7b shows the MLH at the four stations at 12 UTC. The MLH and cold airmass depth have good agreement in both values and temporal variations. This is because the vertical structures of temperature and wind in the low-level atmosphere are mainly forced by the cold airmass during CAO. Thus, the residual cold airmass happens to be the mixing layer and modulates the evolution of the AQI. In addition, the calculation of cold airmass depth using reanalysis data is much easier than the calculation of MLH using sounding or other observation data, suggesting that the cold airmass depth may be a good substitute for MLH in periods during and after the CAO.

In the CAO\_slow event on day 0, the potential temperature has a profile similar to that in the CAO\_rapid event (Figure 8a). The large gradient layer is located at approximately 2.5 km, which corresponds to the cold airmass depth and MLH (Figure 8b). Such features in cold airmass and atmospheric stratification continue to 00 UTC on day +1. During this period, the AQI shows no increase due to the high MLH. From day +1 to +2, the cold airmass depth decreases rapidly and almost disappears in the southern part of North China, leading to the disappearance of the large gradient layer in low troposphere. It should be noted that the mixing layer at this time is not forced by residual cold airmass since it is absence. As the MLH decreases to 1.5 km, the AQI experiences a relatively slow increase. On day +3, the cold airmass depth increases again, causing an increase in the MLH and a decrease in the AQI.

Based on the analyses of a CAO\_rapid event and a CAO\_slow event, we conclude that the intrusion of cold airmass forces the lower troposphere into a stable stratification that is favorable for the increase in the AQI. Thus, the depth and persistence of the residual cold airmass were thought to be two factors that influence the deterioration rate of air pollution. It is clear that a longer persistence of residual cold airmass is favorable for the rapid reappearance of air pollution, while the relation between cold airmass depth and the AQI increase rate is quite complex. Regarding the rapid reappearance of air pollution, the cold airmass depth is not the lower the better. In CAO\_rapid events, for example (Figure 5), the increase in the AQI is less obvious in the region to the south of North China (25°–30°N) with a cold airmass depth thinner than 100 hPa. Moreover, the AQI increase rate will not vary monotonically with cold airmass depth. The AQIs in Yangtze River Delta (30°–32°N, 118°–122°E) and Bohai Rim (37°–40°N, 117°–122°E) have similar increase rates, although the Bohai Rim has a cold airmass depth much thicker than that of the Yangtze River Delta. Similar results can also be found in CAO\_slow events (Figure 6). According to these analyses, Figure 9 presents the relation between cold airmass depth and the AQI change rate. With increasing cold airmass depth, the change rate of the AQI first increases and then slightly decreases. A cold airmass depth that is too thick dilutes the surface air pollutant concentration, but a cold airmass depth that is too thin damages the persistence of the cold airmass. The cold airmass depth in a moderate range of 150–180 hPa (approximately 1.5–1.8 km) is most favorable for the air





240 pollution reappearance, with a mean increase rate of  $32.1 \text{ day}^{-1}$ . In some extreme cases exceeding the 90<sup>th</sup> percentile, the AQI increase rate can reach  $80 \text{ day}^{-1}$ .

#### 4.2 NHC and vertical thermal structure

As another key factor revealed in Table 1, NHC also contributes to air pollution reappearance. According to the definition in Section 2.2 (Eq. (3)), NHC is proportional to the production of cold airmass depth ( $DP$ ) and vertical averaged potential  
245 temperature difference ( $\Delta\theta = \overline{(\theta_T - \theta)}$ ). If the potential temperature increases linearly with height,  $\Delta\theta$  can be further simplified as  $(\theta_T - \theta_s)$ , where  $\theta_s$  is the surface potential temperature. Here, dividing the NHC by  $(DP)^2$  lead us to the following:

$$\frac{NHC}{(DP)^2} \propto \left. \frac{\Delta\theta}{\Delta p} \right|_{\text{Cold airmass}}, \quad (7)$$

where  $\Delta p$  is equal to  $DP$  when referring to the cold airmass, and  $\frac{\Delta\theta}{\Delta p}$  indicates the averaged vertical stability in the cold airmass.

Thus, at a given cold airmass depth ( $DP$ ), a larger NHC will likely result in a more stable stratification, which is favorable for  
250 air pollution reappearance. This explains why the NHC is much larger during the decay period in CAO\_rapid events than in CAO\_slow events (Table 1). On the other hand, the persistence of cold airmass will also benefit from the large NHC, which helps to dampen the reduction effect of diabatic heating.

In addition to NHC, the two selected CAO events show that the vertical thermal structure of cold airmass also influences the stability of low-level troposphere (Figure 10). On the onset day of the CAO\_rapid event (Figure 10a), the upper boundary of  
255 cold airmass is relatively flat, rising from 900 hPa at 30°N to 770 hPa at 40°N. Inside the cold airmass, the isentropes represented by the potential temperature anomaly are essentially parallel to the upper boundary of cold airmass. Such flat distributions indicate that the vertical gradient is the dominant component of the potential temperature gradient. As a result, a strong stable layer forms at the upper part of cold airmass spanning 900–700 hPa. Note that this large vertical gradient layer can also be seen in Figure 7a. After the outbreak on day +1 (Figure 10b), the upper boundary of cold airmass remained almost  
260 unchanged, and the negative anomaly of potential temperature weakened slightly. The stable layer still exists at the upper part of cold airmass, although its intensity is weaker than that on day 0. For the CAO\_slow event on day 0 (Figure 10c), the upper boundary of cold airmass steeply increases from 900 hPa at 30°N to higher than 600 hPa at 40°N. The slope of cold airmass in the CAO\_slow event is more than twice that in the CAO\_rapid event. The isentropes in the cold airmass are almost perpendicular below 850 hPa. In this scenario, the vertical gradient of potential temperature is small in the CAO\_slow event,  
265 even if it has a thicker and colder cold airmass than that in the CAO\_rapid event. Accordingly, a stable layer with smaller intensity but higher altitude (800–600 hPa) is observed over the central and southern parts of cold airmass. On day +1 (Figure 10d), the cold airmass depth decreases markedly; however, the slope of isentropes remains large. As a result, the relatively weak stable layer lowers to approximately 850 hPa.

The differences in vertical atmospheric stability may lead to different increase rates of AQI during air pollution reappearance.  
270 Figure 11 compares the evolutions of vertical stability in North China during the two CAO events. From 00 UTC day 0 to 12



UTC day +1, the CAO\_rapid event has a larger stability than that in the CAO\_slow event at both the upper boundary and the entire cold airmass. In particular, the stability of the entire cold airmass in the CAO\_rapid event is twice as high as that in the CAO\_slow event. During this period, the AQI in the CAO\_rapid event increases drastically from 50 to 120 under strong stable stratification, while the AQI in the CAO\_slow event remains in the range of 80–100 under weak stable stratification (Figures 7b and 8b). After 12 UTC day +1, the variation in the AQI becomes steady, while the atmospheric stability starts to increase (Figure 11). This may be attributed to the radiative feedback of the air pollutant (Petäjä et al., 2016; Ding et al., 2016). By comparing the two types of CAO events, we find that the NHC and vertical structure of cold airmass jointly affect the low-level vertical stability, which modulates the air pollution reappearance. A cold airmass with a small slope of isentropes and a large NHC is favorable for the rapid reappearance of air pollution.

#### 280 4.3 Possible mechanisms governing key features of cold airmass

Since the properties of the residual cold airmass control the features of air pollution reappearance. It is necessary to investigate the possible mechanisms modifying cold airmass changes during the decay period of the CAO. According to Iwasaki et al. (2014), the total cold airmass conservation equation can be described as follows:

$$\frac{\partial DP}{\partial t} = -\nabla \cdot \int_{p(\theta_T)}^{p_s} v dp + G(\theta_T). \quad (8)$$

285 The change in cold airmass depth is caused by the horizontal convergence/divergence of the cold airmass flux ( $-\nabla \cdot \int_{p(\theta_T)}^{p_s} v dp$ ) and the vertical flux crossing the  $\theta_T$  surface ( $G(\theta_T)$ ). The former represents the dynamic process, and the latter is related to diabatic cooling and heating.

Based on Eq. (8), Figure 12 compares the composited evolutions of cold airmass changes induced by dynamic and diabatic processes. During the CAO\_rapid events (Figure 12a), the decrease in cold airmass is mainly attributed to the dynamic process, which shows a decreasing effect since 18 UTC day 0 and reaches a maximum of  $-83.7 \text{ hPa day}^{-1}$  at 18 UTC day +1. The effect of the diabatic process on cold airmass exhibits obvious diurnal variation, cooling/increasing the cold airmass at night (12–24 UTC) and heating/decreasing the cold airmass during the daytime (00–12 UTC). On days 0 and +1, the diabatic heating is weak during the daytime, inducing a slight net increase in cold airmass caused by the diabatic process. From day +2, the heating effect intensifies and reduces the cold airmass jointly with the dynamic process. During the decaying period of cold airmass on days +1 and +2, the average effects of dynamic and diabatic processes on cold airmass are  $-56.9$  and  $7.7 \text{ hPa day}^{-1}$ , respectively.

For CAO\_slow events (Figure 12b), the dynamic process still dominates in the cold airmass decrease. The average tendencies of dynamic and diabatic processes on days +1 and +2 are  $-71.8$  and  $4.8 \text{ hPa day}^{-1}$ , respectively. The two types of processes show similar variations but larger amplitudes compared to those in CAO\_rapid events. For the effect of the diabatic process, a much stronger diurnal variation is observed. This may be related to the relatively small potential temperature lapse rate and NHC in CAO\_slow events (Figure 11 and Table 1). Strong diabatic heating also shows a notable contribution to the cold airmass reduction during the daytime, although the daily average effect of the diabatic process is relatively small. For the



305 decrease in cold airmass associated with the dynamic process, the decreasing rate in CAO\_slow events could reach a maximum rate of  $-110.1 \text{ hPa day}^{-1}$ , which is 31.5% higher than that in CAO\_rapid events. This enhanced contribution of the dynamic process can be related to the strong easterlies in the cold airmass, which are illustrated in the bar charts of Figure 10. The strong eastward transport from North China to the marine area quickly reduced the cold airmass depth in the CAO\_slow events.

### 5 Discussion: large-scale circulation pattern of the CAO associated with the rapid reappearance of air pollution

310 In this section, we further check the large-scale circulation pattern of the CAO to see if we can find precursors for rapid air pollution reappearance. Figure 13 shows the composited spatial evolutions of cold airmass depth and flux during the initial stages (days  $-4$  to  $0$ ) of CAO\_rapid and CAO\_slow events. For CAO\_rapid events (Figure 13a), an anticyclonic pattern of anomalous cold airmass flux appears in northern Siberia ( $60^{\circ}$ – $80^{\circ}$ N,  $70^{\circ}$ – $120^{\circ}$ E) on day  $-4$ . To the south of this anomalous anticyclone near Lake Baikal, the cold airmass depth shows a positive anomaly. In the following two days, the anticyclone intensifies in strength and extends from the high latitudes to the middle latitudes covering most of Siberia. The strong southward flux of cold airmass on the eastern flank of the anticyclone induces an increase in cold airmass depth and guides the cold airmass to move southeastward. From day  $-1$  to day  $0$ , the anticyclonic pattern gradually disappears, while the strong southward flux on its eastern flank is strengthened and extends further south. Driven by this southward cold airmass flux, the cold airmass depth anomaly dominates North China.

320 The CAO\_slow events have distinct features in circulation patterns different from CAO\_rapid events (Figure 13b). On day  $-4$ , a cyclonic pattern of anomalous cold airmass flux is observed in northeastern Asia, which is also featured by the positive anomaly of cold airmass depth. Meanwhile, a southward flux of cold airmass with cyclonic curvature can be found to the northwest of Lake Baikal ( $55^{\circ}$ – $70^{\circ}$ N,  $80^{\circ}$ – $100^{\circ}$ E). During days  $-3$  and  $-2$ , the cyclonic pattern and corresponding cold airmass depth anomaly over northeastern Asia weakens and moves to the Northwest Pacific. The southward cold airmass flux near Lake Baikal intensifies rapidly and forms a new cyclonic pattern in northeastern Asia. Along with the strengthening of the new cyclonic pattern, a positive anomaly of cold airmass depth occurs and increases rapidly. In the following days, the newly formed cyclonic pattern and anomalous cold airmass depth continued to intensify and swept into North China.

330 The above results suggest that CAO\_rapid and CAO\_slow events are distinguished by the dominant pattern of large-scale anomalous cold airmass flux in the early period of the CAO (days  $-4$  to  $-2$ ). The CAO\_rapid events feature an anticyclonic pattern in northern Siberia, while CAO\_slow events are dominated by a cyclonic pattern in northeastern Asia (Figure 10). The circulation patterns of CAO\_rapid and CAO\_slow events are also reported as the two main types of CAOs in East Asia, which account for 27% and 26% of the total frequency, respectively (Liu et al., 2021). Such differences in large-scale circulation patterns have a close association with the evolution of cold airmass after CAO. For CAO\_rapid events, the southward flux at the eastern flank of the anticyclone is favorable for the maintenance of cold airmass in North China (Figures 5, 12a and 13a). For CAO\_slow events, however, the cold airmass flux at the southern flank of the cyclone contains a large eastward component,



causing a fast departure of cold airmass from North China (Figures 6, 12b and 13b). Therefore, the anticyclonic and cyclonic  
335 patterns in high-latitude Eurasia can be used as precursors for the estimation of air pollution reappearance after the CAO.

## 6 Conclusions

The CAO is well known to have a strong removal effect on air pollution over North China. However, air pollution may  
experience a rapid reappearance after the CAO and even lead to worse pollution. Based on the AQI measurement and  
quantitative description of cold airmass during the last 5 winters, the detailed characteristics and possible causes of air pollution  
340 reappearance after 39 CAOs are analyzed. During the period after the CAO, the increase in the AQI in North China has a  
probability of more than 80%, and the AQI increase rate is much faster than that in other periods in winter. In more than half  
of CAOs, the AQI in reappeared air pollution is worse than the AQI before CAO. The CAOs are divided into a “rapid  
reappearance” group (74.4%) and a “slow reappearance” group (25.6%) by the increase rate in the AQI. CAO\_rapid events  
tend to have a relatively slow decrease in cold airmass depth and a long interval time before the next CAO. Spatiotemporal  
345 evolution shows that the reappearance of air pollution usually occurs in the first two days after CAO. The region of worst AQI  
after the air pollution reappearance features a slowly decreasing residual cold airmass with nearly no horizontal flux.

The rapid reappearance of air pollution is mainly attributed to the stable stratification in the lower troposphere forced by the  
intrusion of cold airmass. A comparison of the two groups of CAOs shows that the depth, NHC and vertical structure of cold  
airmass are key features modulating the reappearance of air pollution. The cold airmass depth could well present the MLH and  
350 has good agreement with the MLH in both value and variation. A moderate depth (150–180 hPa) of cold airmass is most  
favorable for air pollution reappearance since it is thin enough to restrict the spread of air pollutants and thick enough to  
maintain its own existence. The NHC is proportional to the vertical stability and persistence of the cold airmass. For a fixed  
cold airmass depth, a larger NHC will lead to a more rapid reappearance of air pollution. The vertical structure, represented  
by the slope of isentropes, in cold airmass could also influence the low-level vertical stability. The cold airmass with relatively  
355 flat isentropes will likely have a large vertical component of the potential temperature gradient, which is conducive to the  
reappearance of air pollution.

Further analysis shows that the evolution of the residual cold airmass and the above conditions are mainly associated with the  
dynamic process rather than diabatic heating/cooling. The strength of the eastward cold airmass flux after CAO is an important  
factor affecting the decreasing rate of residual cold airmass. This study also investigates the possible indication of large-scale  
360 circulation pattern of the CAO during its initial stage in estimating the reappearance of air pollution after CAO. The CAOs  
driven by the circulation of anticyclonic pattern in northern Siberia (cyclonic pattern in northeastern Asia) usually leads to a  
rapid (slow) deterioration of air pollution.

## Data availability



The air quality index (AQI) are available at the Ministry of Ecology and Environment of the People Republic of China  
365 (<https://air.cnemc.cn:18007/>). The JRA-55 reanalysis data is available at Japan Meteorological Agency  
(<https://jra.kishou.go.jp/JRA-55>). The sounding data are available at University of Wyoming  
(<http://www.weather.uwyo.edu/upperair/sounding.html>).

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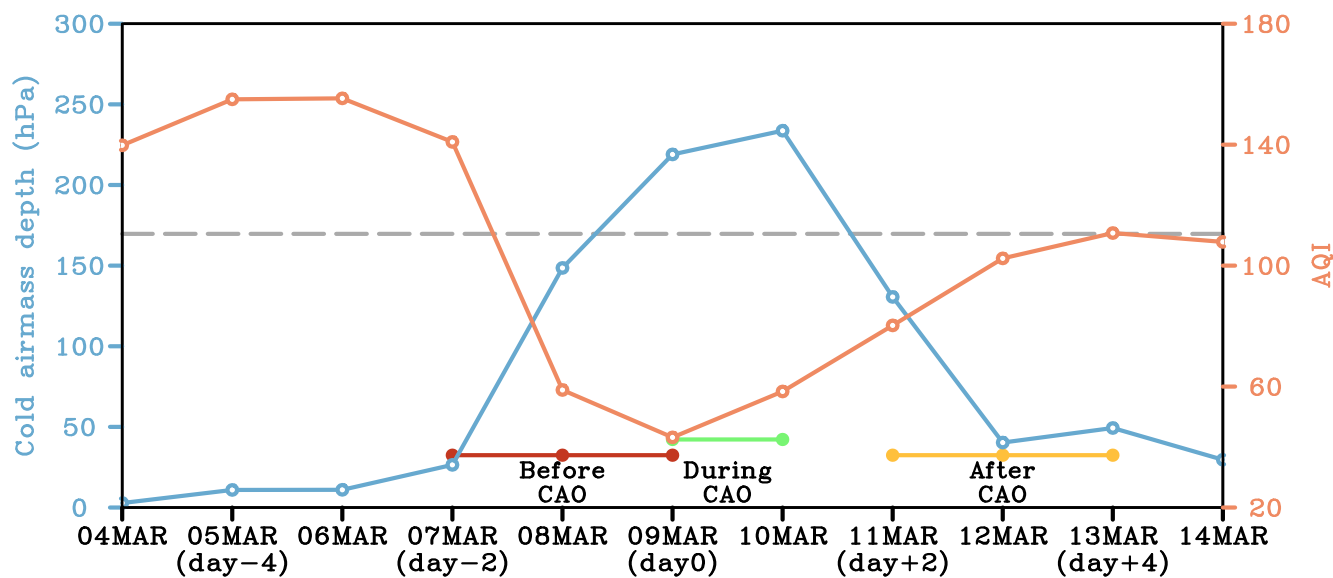
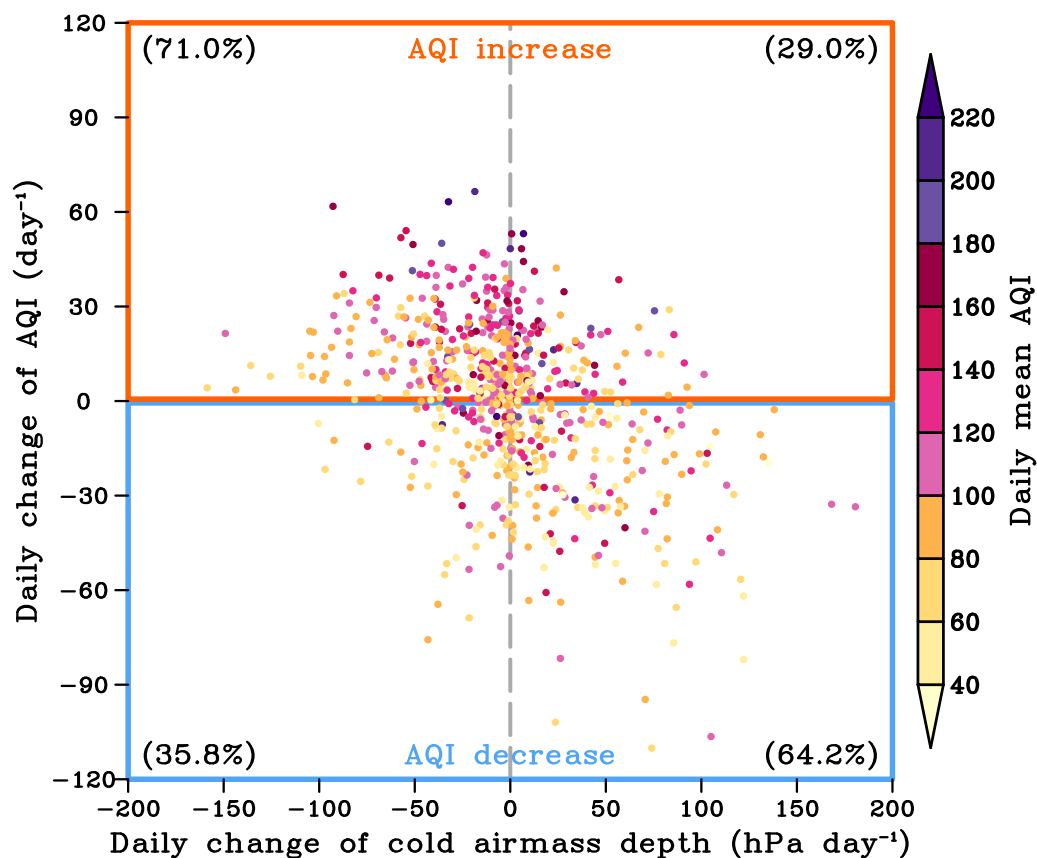
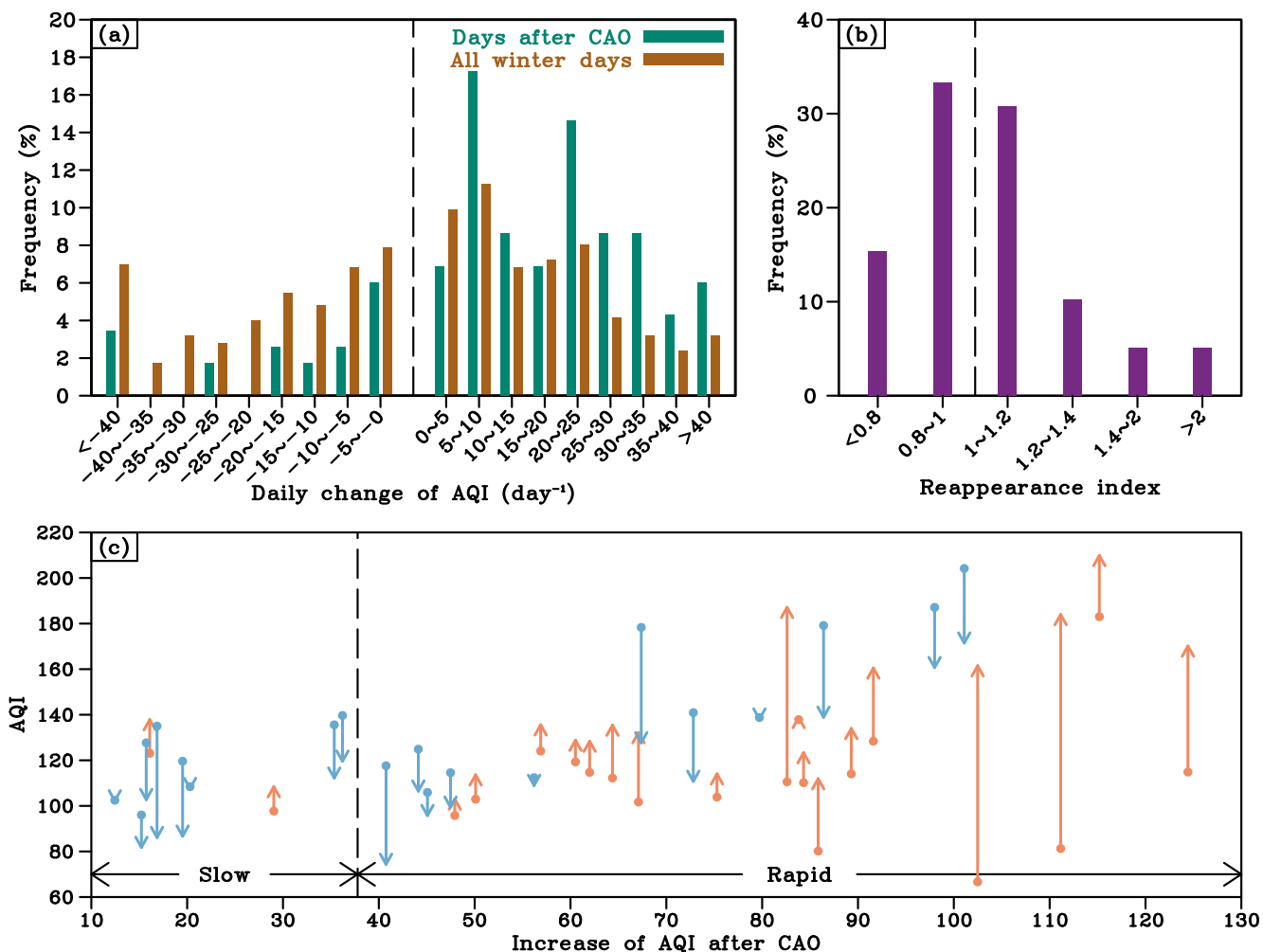


Figure 1: Time series of the regional averaged cold air mass depth (blue line) and AQI (orange line) in North China (114°–122°E, 30°–40°N). The gray dashed line denotes the standard deviation of the cold air mass depth.

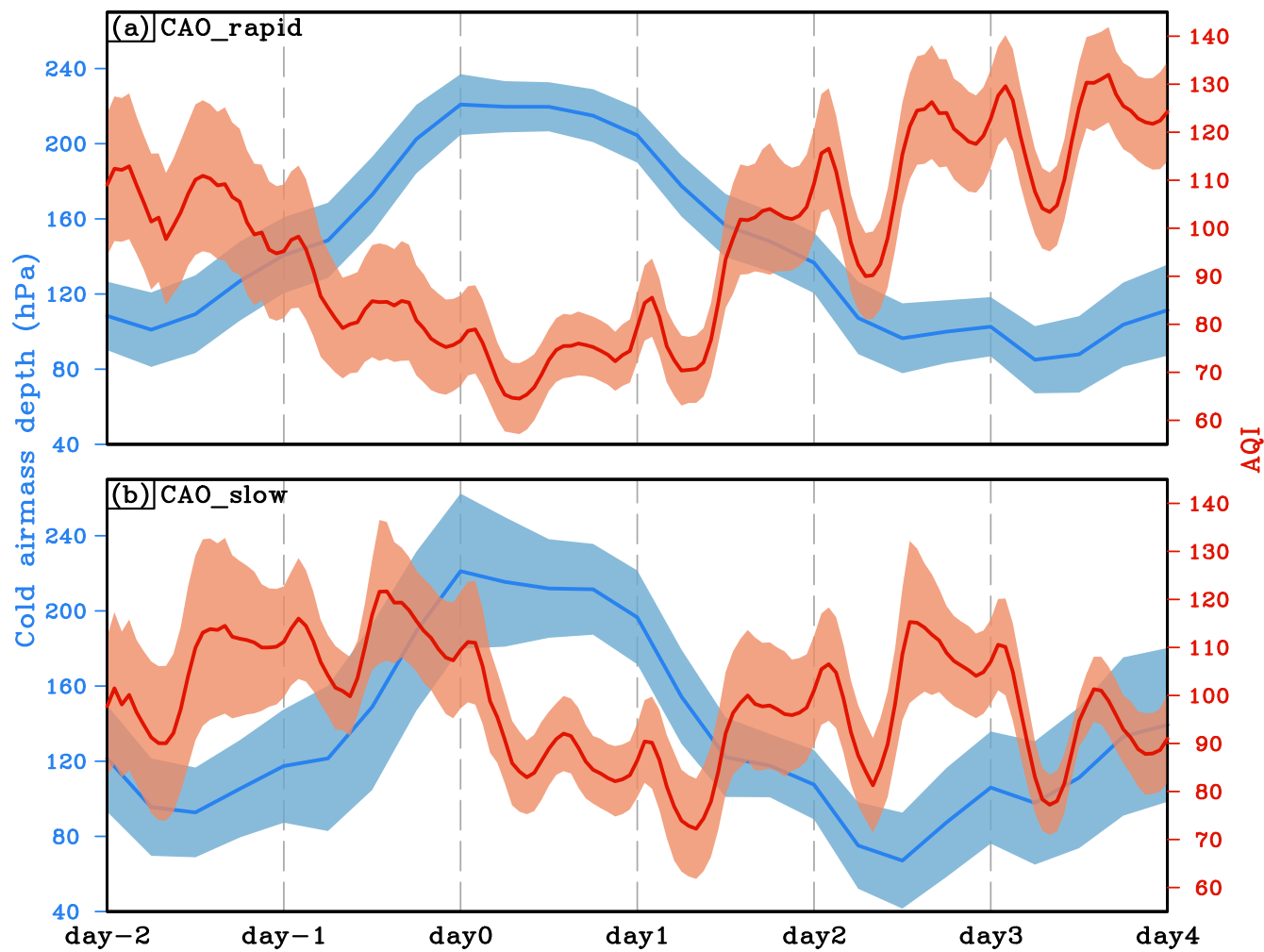


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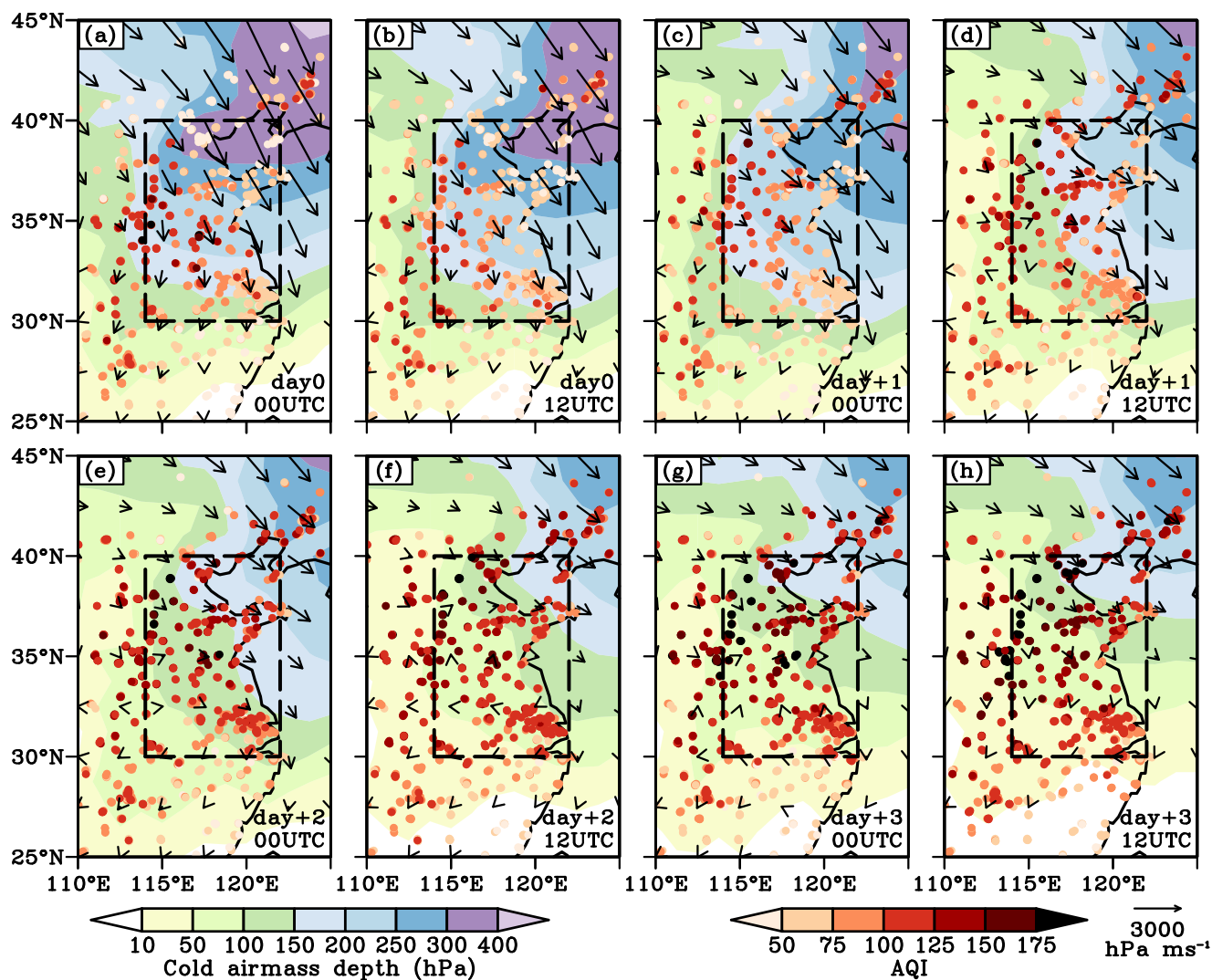
Figure 2: Relationship between the daily changes in the AQI and cold airmass depth. The daily change is estimated by the current day minus the preceding day. The colors of the dots denote the daily mean cold airmass depth on the current day.



490 **Figure 3:** (a) Statistical distribution of daily change of AQI calculated by all of days during the 5 winters (brown bars) and days during the period after the CAOs (green bars). (b) Statistical distribution of the reappearance index of air pollution in 39 CAO events. (c) The increase in the AQI (x-axis) and the change in the AQI from the period before the CAO to the period after CAO (vertical arrows) in 39 CAO events. Black dashed line denotes the standard deviation of AQI. The arrowhead and tail represent AQI<sub>a</sub> and AQI<sub>b</sub>, respectively. The orange (blue) arrows denote that the AQI worsens (better) after CAO.



495 Figure 4: Evolutions of spatially averaged (North China: 114°–122°E, 30°–40°N) cold airmass depth and AQI during CAOs. (a) and (b) are composited by the CAO\_rapid events and CAO\_slow events, respectively. Shading represents the 95% confidence interval of the composited mean value.



500 Figure 5: Spatial distributions of the composited cold air mass depth (shaded), horizontal fluxes of the cold air mass (black vectors), and AQI (colored dots) during CAO\_rapid events.

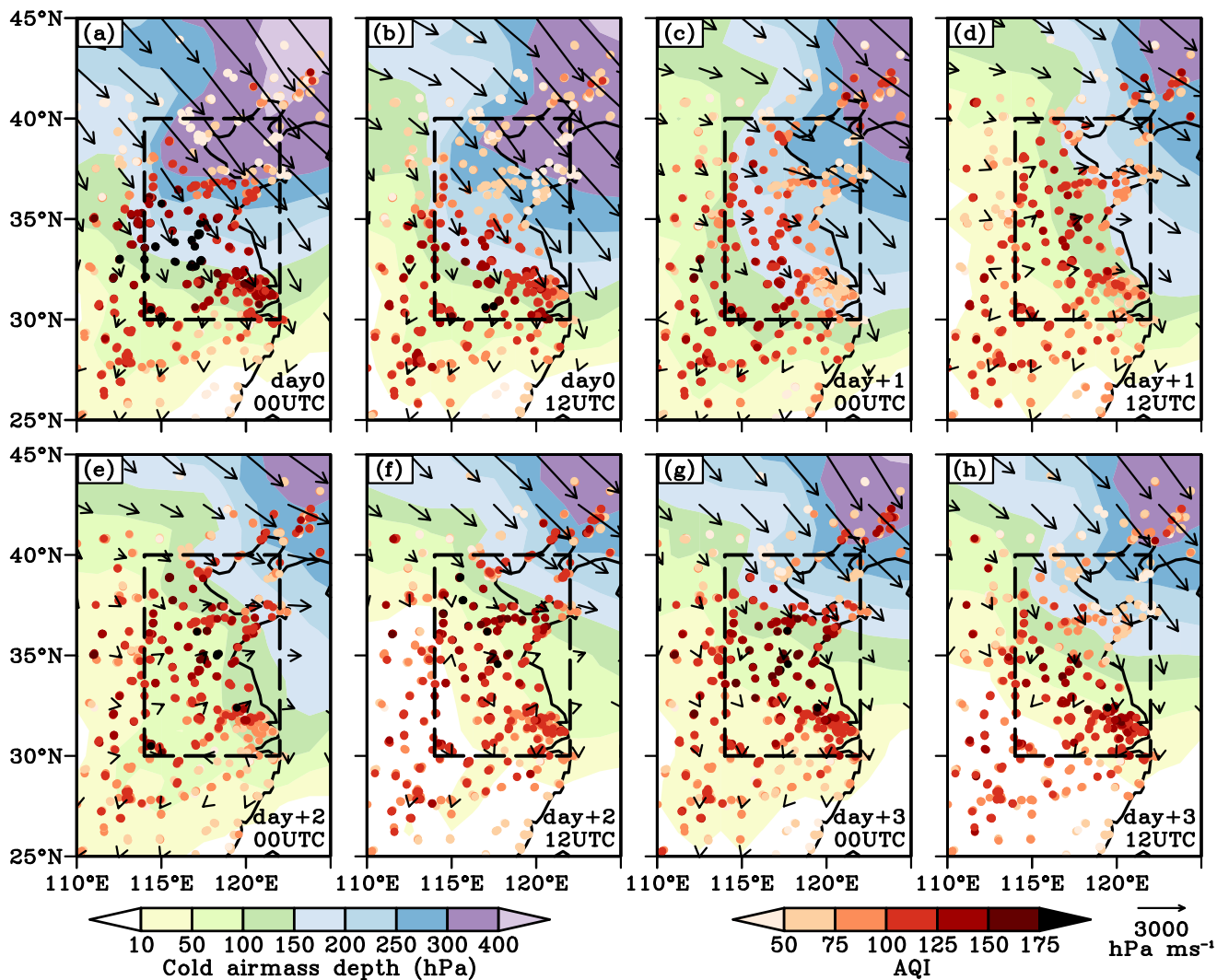
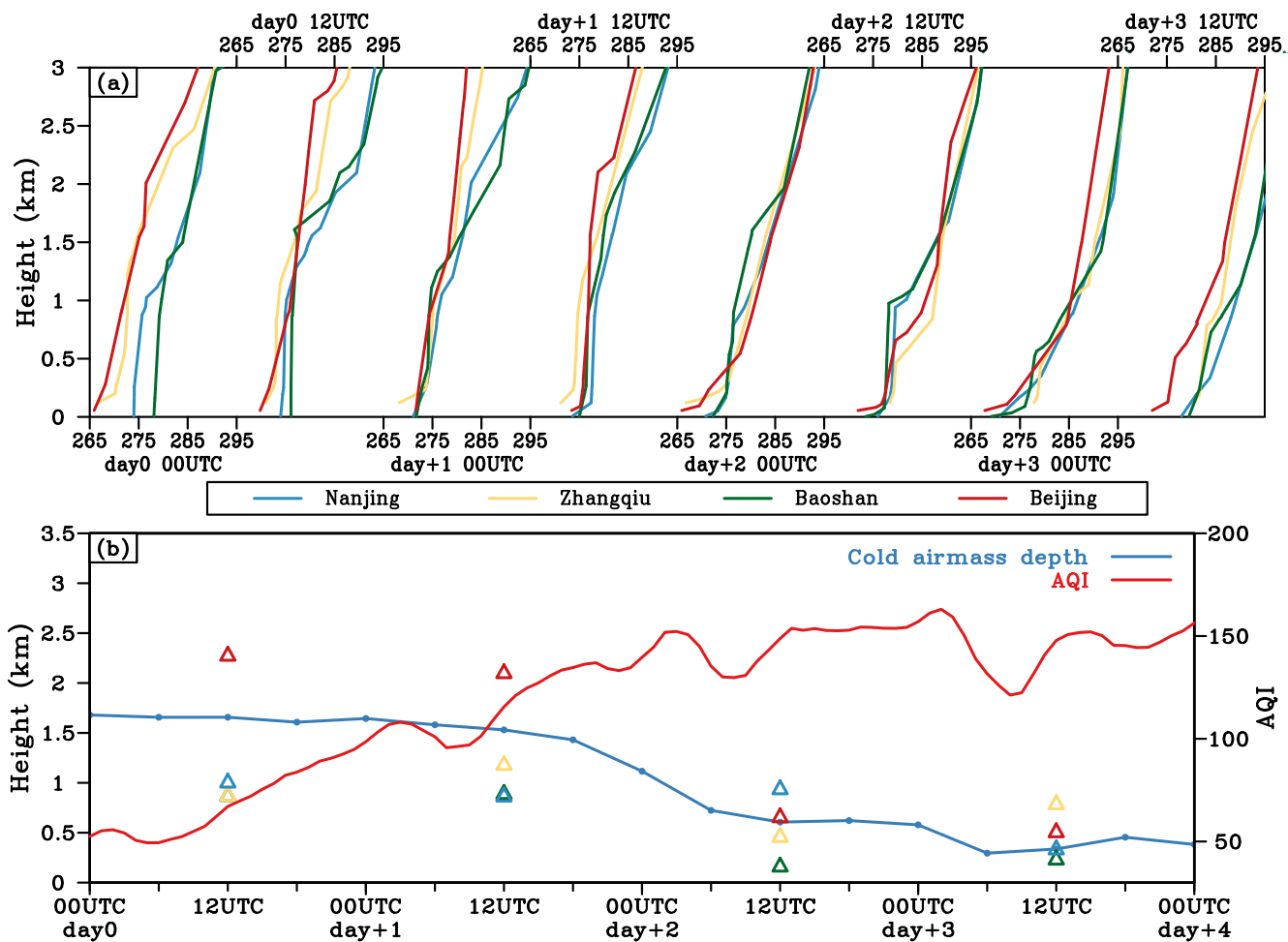


Figure 6: The same as Figure 5 but composited by the CAO\_slow events.





505 Figure 7: (a) Vertical profiles of potential temperature at four stations (from north to south: Beijing, Zhangqiu, Nanjing, Baoshan) during a CAO rapid event from 14 to 17 Dec 2016. (b) Time series of the regional averaged cold air mass depth and the AQI in North China and MLH (colored triangles) at four stations during a CAO\_rapid event from 14 to 17 Dec 2016.

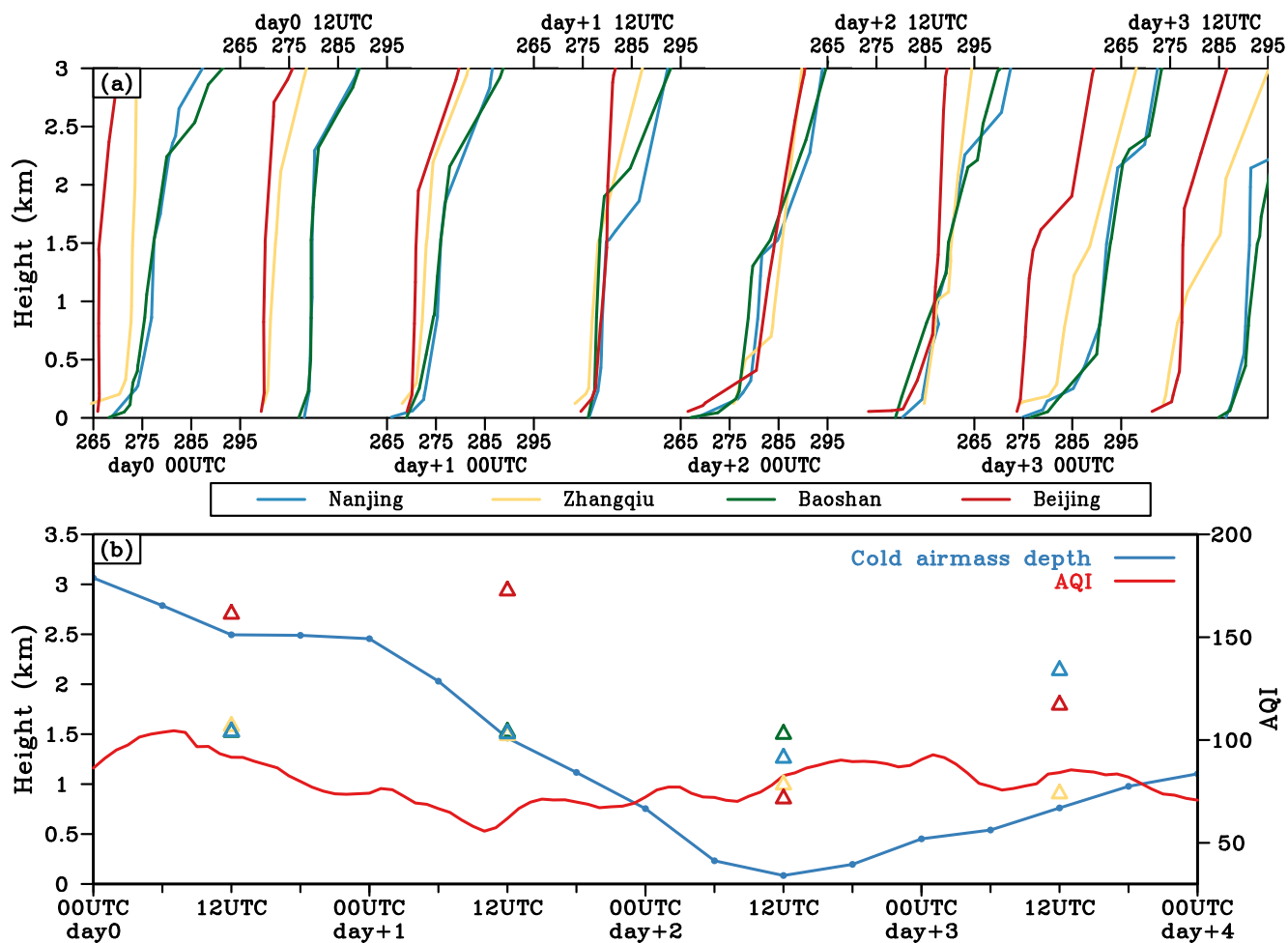
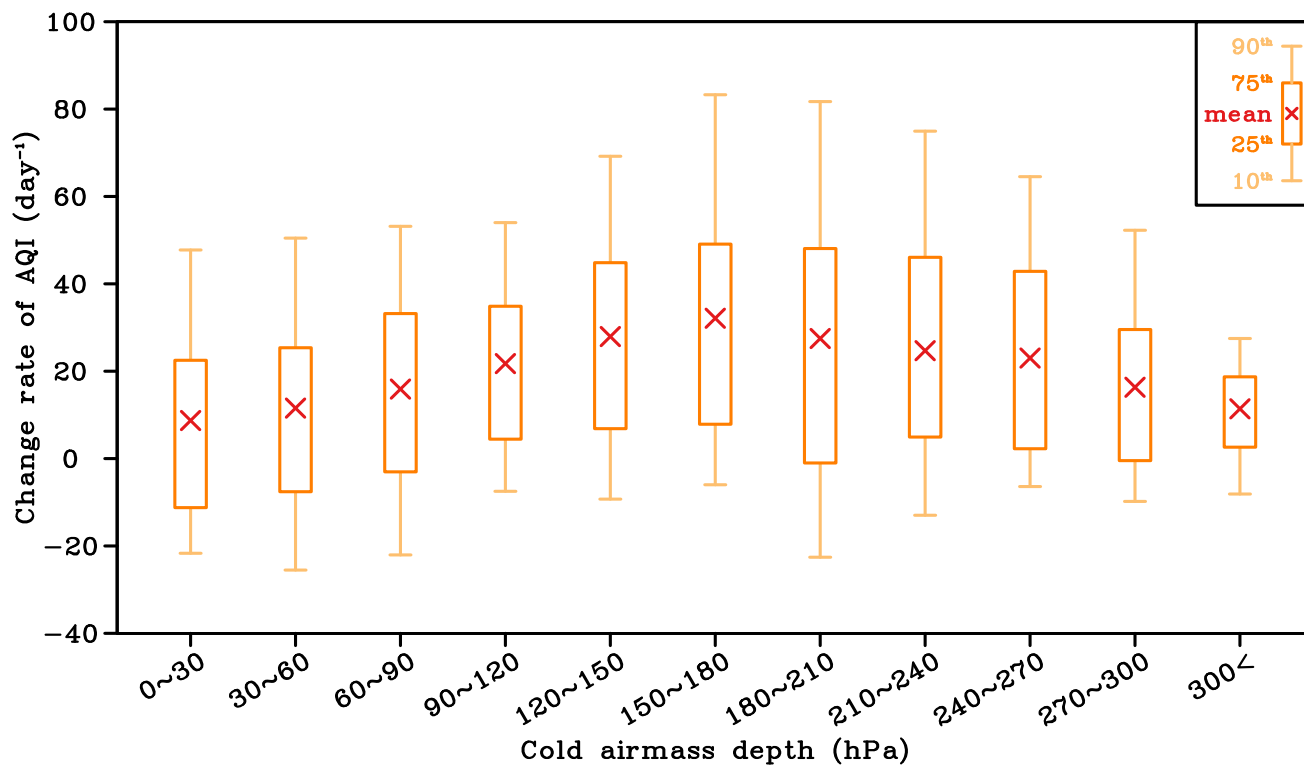
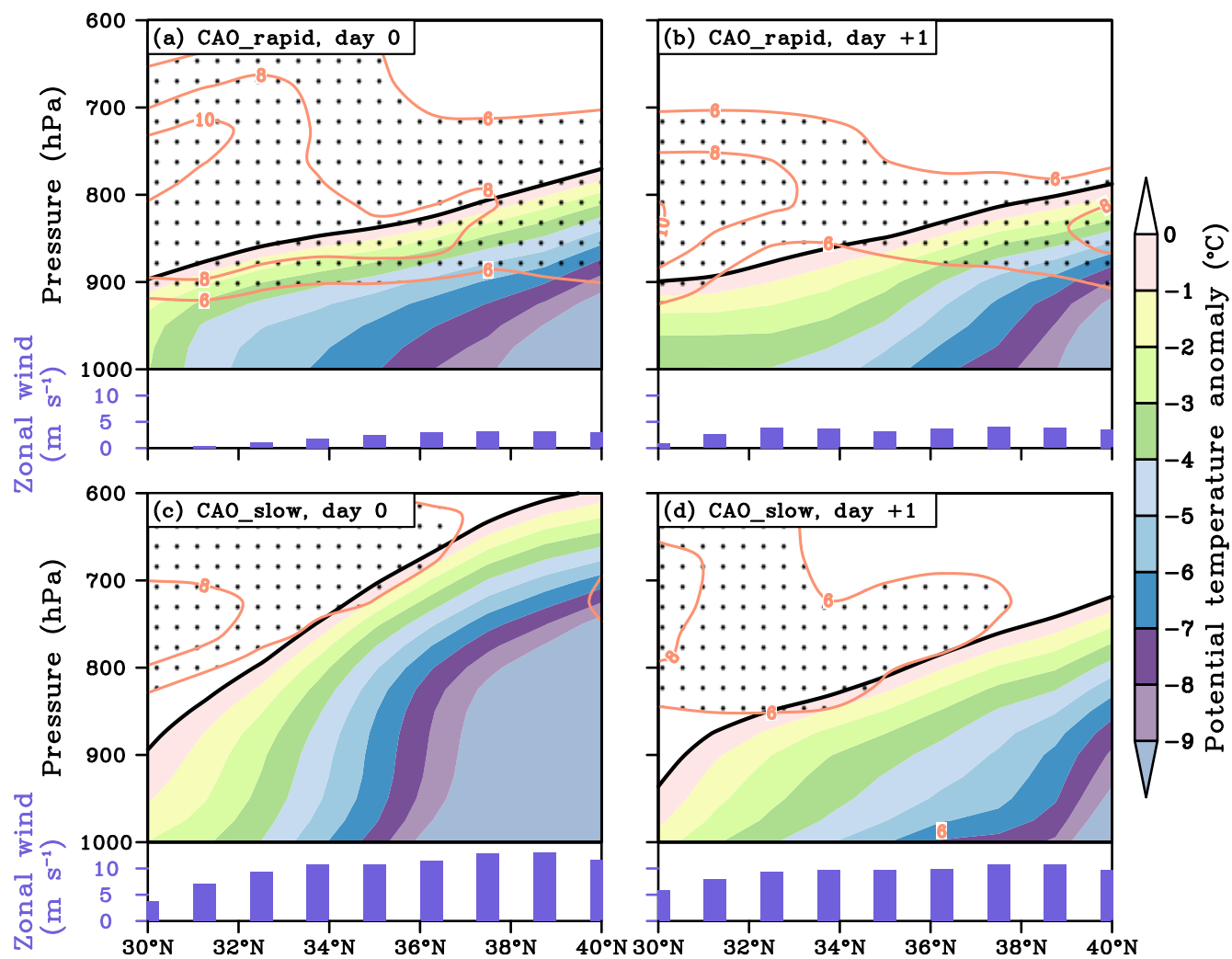


Figure 8: The same as Figure 7 but during a CAO<sub>slow</sub> event from 11 to 14 Feb 2018.



510 Figure 9: Distribution of the change rate of the AQI with the cold airmass depth during the period after the CAO.



515 Figure 10: (a, b) Longitude-vertical sections of the potential temperature anomaly (colored shading) and vertical gradient of the potential temperature (contour, unit:  $10^{-3} K m^{-1}$ , dotted for  $> 6 \times 10^{-3} K m^{-1}$ ) along 119°E during the CAO\_rapid event from 14 to 17 Dec 2016. The thick black line denotes the upper boundary of cold airmass. The purple bars denote the mean zonal wind speed in the cold airmass. (c, d) The same as (a, b) but during the CAO\_slow event from 11 to 14 Feb 2018.

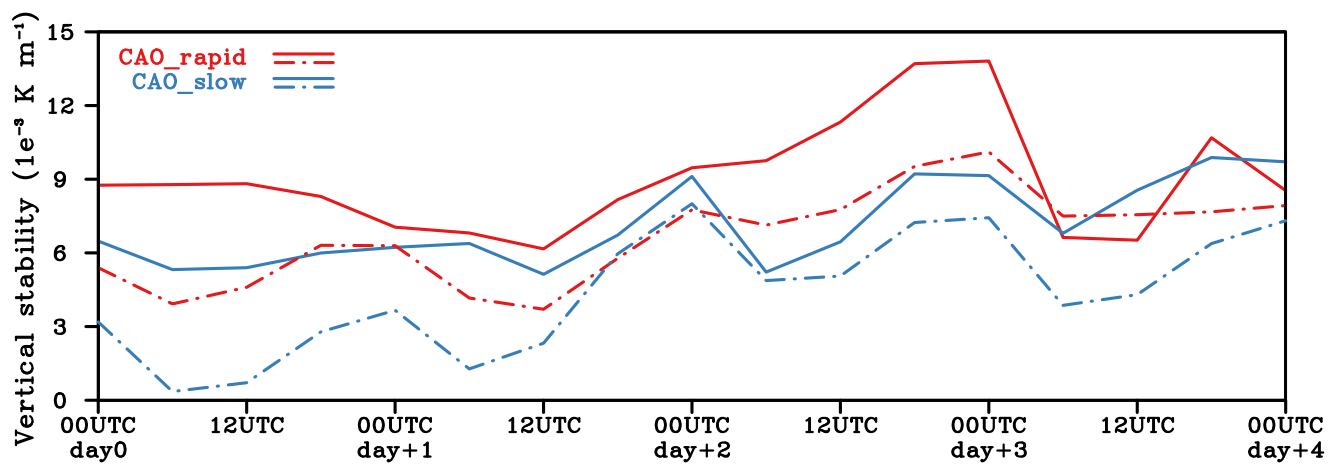
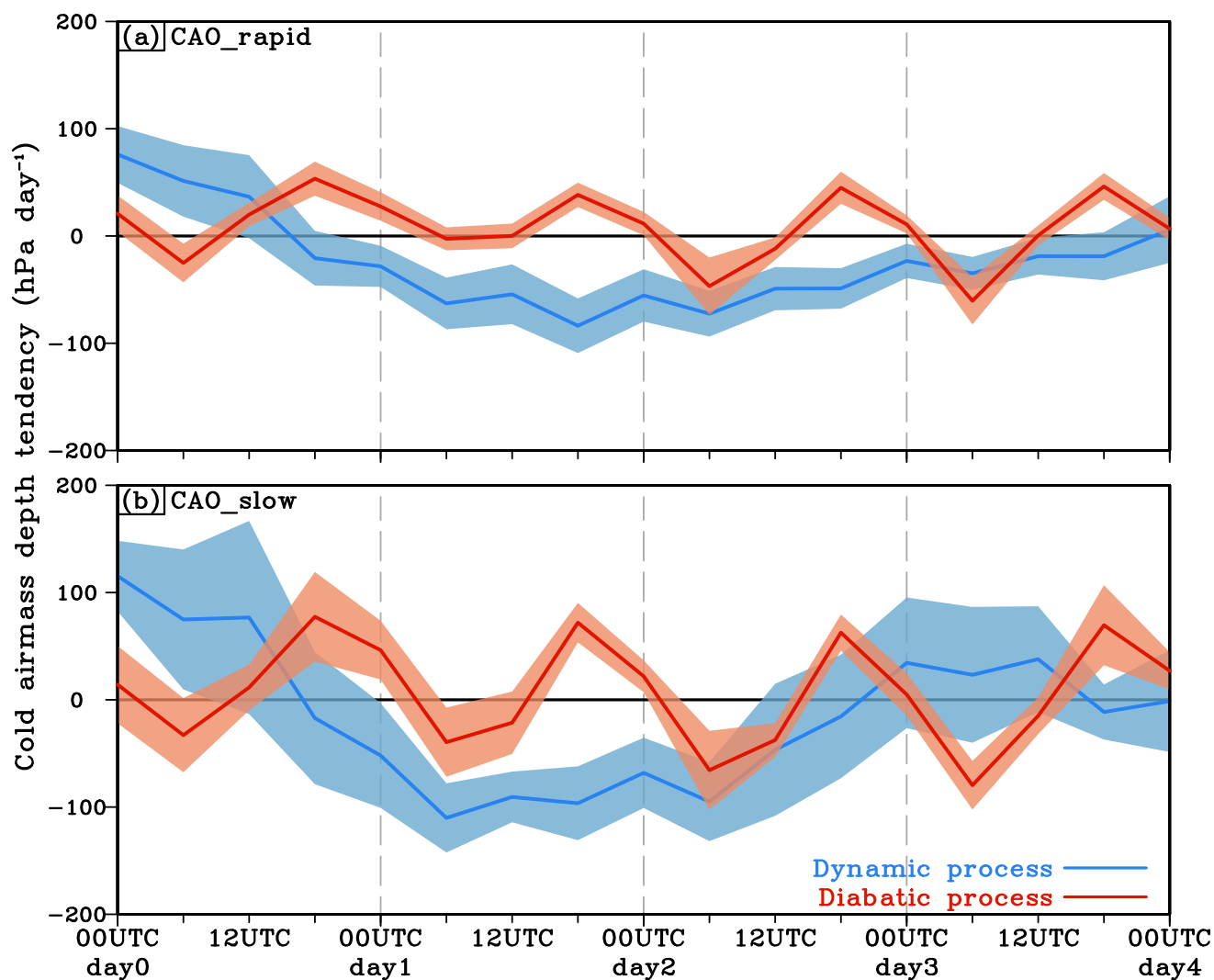
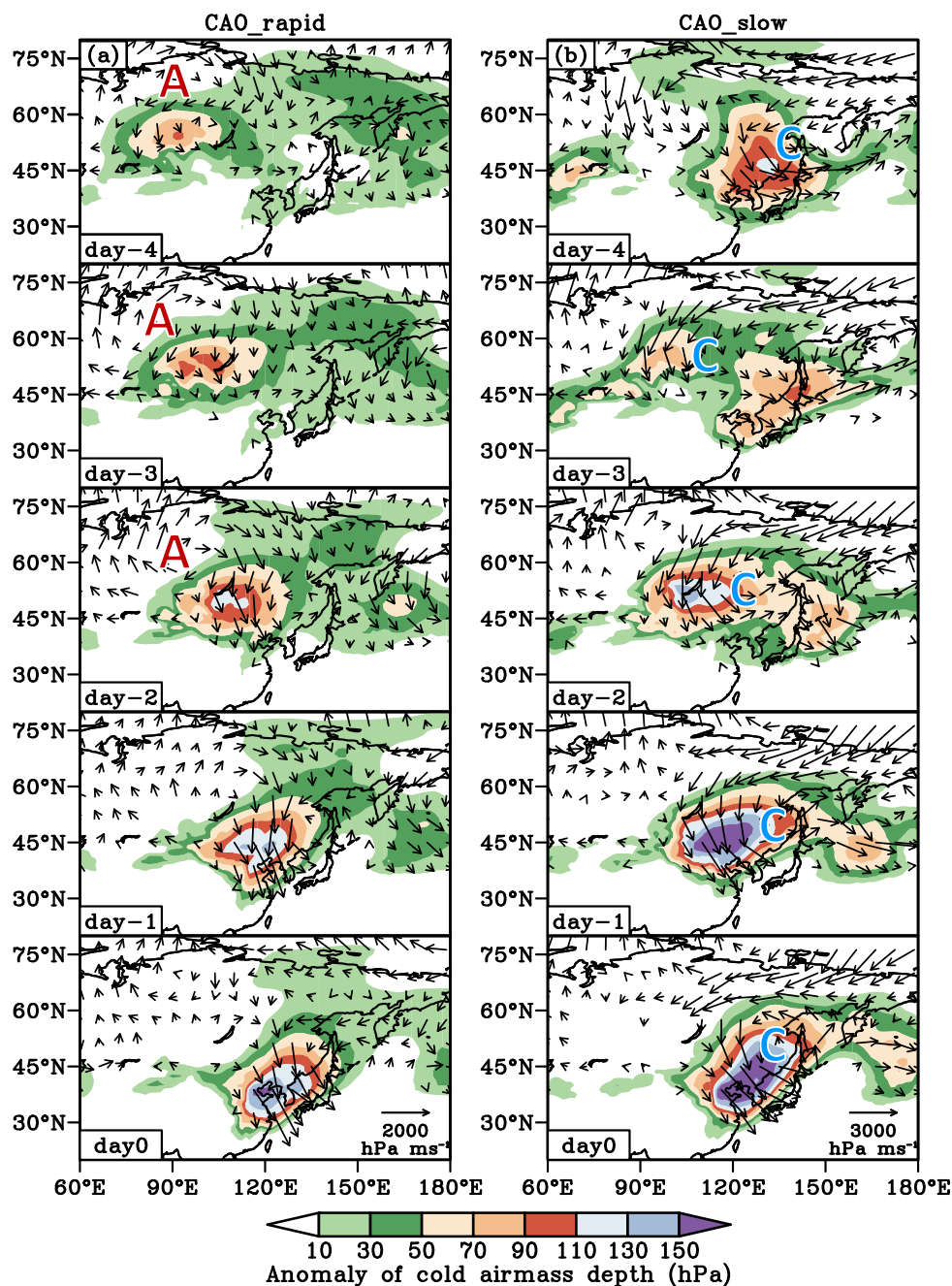


Figure 11: Evolutions of spatially averaged (North China: 114°–122°E, 30°–40°N) vertical stability at the upper boundary of cold air mass (solid line) and the averaged vertical stability in the cold air mass (dash dot line) during a CAO\_rapid event from 14 to 17 Dec 2016 (red) and a CAO\_slow event from 11 to 14 Feb 2018 (blue).



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Figure 12: Evolutions of the spatially averaged (North China: 114°–122°E, 30°–40°N) cold airmass depth tendency during CAOs. Blue and red lines denote the contributions of dynamic and diabatic processes to the tendency of cold airmass depth, respectively. (a) and (b) are composited by the CAO\_rapid events and CAO\_slow events, respectively. Shading represents the 95% confidence interval of the composited mean value.



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Figure 13: Large-scale anomalies of cold airmass depth (shading) and cold airmass flux (vectors) in the initial stage from day -4 to 0. (a) and (b) are composited by the CAO\_rapid events and CAO\_slow events, respectively.