

1 **The effect of anthropogenic emission, meteorological factors,**
2 **and carbon dioxide on the surface ozone increase in China from**
3 **2008 to 2018 during the East Asia summer monsoon season**

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15 **Abstract.** Despite the implementation of the Clean Air Action Plan by the Chinese government
16 in 2013, the issue of increasing surface ozone (O₃) concentrations remains a significant
17 environmental concern in China. In this study, we used an improved regional climate-chemistry-
18 ecology model (RegCM-Chem-YIBs) to investigate the impact of anthropogenic emissions,
19 meteorological factors, and CO₂ changes on summer surface O₃ levels in China from 2008 to
20 2018. Compared to its predecessor, the model has been enhanced concerning the photolysis of O₃
21 and the radiative impacts of CO₂ and O₃. The investigations showed anthropogenic emissions
22 were the primary contributor to the O₃ increase in China, responsible for 4.08~18.51 ppb in the
23 North China Plain. However, changed meteorological conditions played a crucial role in
24 decreasing O₃ in China and may have a more significant impact than anthropogenic emissions in
25 some regions. Changed CO₂ played a critical role in the variability of O₃ through radiative
26 forcing and isoprene emissions, particularly in southern China, inducing an increase in O₃ on the
27 southeast coast of China (0.28~0.46 ppb) and a decrease in the southwest and central China (-
28 0.51~-0.11 ppb). Our study comprehensively analyzed O₃ variation across China from various
29 perspectives and highlighted the importance of considering CO₂ variations when designing long-
30 term O₃ control policies, especially in high vegetation coverage areas.

31 **1 Introduction**

32 O₃ is a strong oxidant detrimental to human health (Lu et al., 2020; Liu et al., 2018a) and
33 vegetation growth (Monks et al., 2015; Wang et al., 2017a). Furthermore, it is a crucial active
34 compound influencing the earth's radiative balance, with an effective radiative forcing of up to
35 0.47 W/m² in 2019 (Ipcc, 2021). Tropospheric O₃ has garnered significant attention over the past
36 few decades due to its crucial role in air quality and climate change (Duan et al., 2017; Li et al.,
37 2019; Ashmore and Bell, 1991; Lu et al., 2018).

38 With the rapid development in China, emissions of O₃ precursors have been on the rise,
39 leading to an annual increase in O₃ concentrations since the beginning of the 20th century (Liu
40 and Wang, 2020a; Ma et al., 2016). Surface O₃ pollution has become a severe air quality concern
41 in China (Verstraeten et al., 2016; Xu et al., 2018), particularly in major urban areas such as the
42 North China Plain (NCP), Fenwei Plain (FWP), Yangtze River Delta (YRD), Pearl River Delta
43 (PRD), and the Sichuan Basin (SCB) (Wang et al., 2020; Wang et al., 2017a; Yin and Ma, 2020;
44 Shen et al., 2019; Zhao et al., 2018; Wang et al., 2009). Although the Chinese government
45 initialized the Clean Air Action Plan in 2013 to control air pollution, the concentration of O₃
46 precursors and PM_{2.5} has significantly decreased (Zhai et al., 2019). However, surface O₃
47 concentrations continue to increase in major urban areas.

48 Recent studies have suggested that regional meteorological conditions influence surface O₃
49 levels through various pathways (Jacob and Winner, 2009; Shen et al., 2016; Lin et al., 2008).
50 Modeling studies have shown that O₃ levels are sensitive to temperature, humidity, wind speed,
51 mixing height, and other meteorological conditions (Pfister et al., 2014; Sanchez-Ccoyllo et al.,
52 2006). For instance, temperature affects the chemical formation rate of O₃ (Lee et al., 2014),
53 while precipitation reduces surface O₃ concentrations through wet removal (Fang et al., 2011).
54 Additionally, the elevated planetary boundary layer (PBL) height enhances upward movement,
55 resulting in lower surface O₃ concentrations (Haman et al., 2014). Therefore, long-term modeling
56 of surface O₃ levels must consider changes in meteorological conditions.

57 CO₂ is the primary anthropogenic radiative force of the climate system (Gauss et al., 2003;
58 Schimel et al., 2015). CO₂ can impact regional air temperature and precipitation, leading to
59 changes in surface O₃ concentrations (Lu et al., 2013; Yang et al., 2014).

60 On the other hand, Biogenic volatile organic compounds (BVOCs) are significant O₃
61 precursors, and isoprene is the primary specie among BVOCs that vegetation emits (Zheng et al.,
62 2009; Fiore et al., 2011). In most of China, O₃ is volatile organic compounds (VOCs)-limited in
63 the summer, especially in industrial cities (Li et al., 2018; Wu et al., 2018). Thus, it plays a
64 significant role in modulating O₃ levels and positively correlates with O₃ concentrations in major
65 urban areas of China. It is known that CO₂ can enhance vegetation's photosynthesis (Sun et al.,
66 2013; Heald et al., 2009; Tai et al., 2013; Monson and Fall, 1989), which may directly increase
67 isoprene emission (Rapparini et al., 2004). Based on the observation, Rosenstiel et al. (2003)
68 found that the isoprene emissions of plants grew by about 21% and 41% when CO₂ reached 800
69 ppm and 1200 ppm, respectively. However, Wilkinson et al. (2009) indicated that different
70 vegetation types show varying responses in isoprene emission when CO₂ increases. Isoprene
71 emission was decreased by 30~40% in *Populus tremuloides* Michx but increased by about 100%
72 in *Quercus rubra* when CO₂ concentrations were grown (Sharkey et al., 1991). High
73 concentrations of CO₂ may inhibit the emission of isoprene by reducing the activity of BVOCs
74 synthetase or decreasing the synthesis of adenosine triphosphate (Possell et al., 2005). Guenther
75 et al. (1991) also indicated that isoprene emissions were significantly reduced when CO₂ was
76 increased from 100 to 600 μmol mol⁻¹. In summary, the impact of elevated CO₂ on isoprene
77 emission may be positive or negative, mainly related to the relative size of the inhibition caused
78 by elevated CO₂ and promotion by enhanced photosynthesis.

79 Numerous studies have concluded that anthropogenic emissions are the primary drivers of
80 surface O₃ increases in different regions or years in China. Meanwhile, the effects of
81 meteorological parameters can be substantial (Wang et al., 2019c; Lu et al., 2019; Dang et al.,
82 2021; Liu and Wang, 2020a). For instance, Li et al. (2020) indicated that anthropogenic
83 emissions were the primary cause of surface O₃ increase in China from 2013 to 2019. Liu and
84 Wang (2020a) suggested that anthropogenic emissions play a dominant role in the O₃ variety in
85 China, but the effects of meteorological conditions could be more significant in some regions.
86 Han et al. (2020) analyzed the O₃ changes in summer and suggested that meteorology can
87 explain about 43% of that in eastern China.

88 Previous studies have mainly focused on the impact of anthropogenic emissions and
89 meteorological factors on the rise of O₃ levels, with limited attention given to the role of CO₂
90 variations. However, due to the rapid socioeconomic growth in China and the subsequent surge
91 in energy consumption, CO₂ emissions, and concentrations have also increased significantly,
92 particularly in the eastern coastal region (Lv et al., 2020; Ren et al., 2014). Furthermore, given
93 the significant impact of CO₂ on O₃, it is crucial to evaluate the influence of changes in CO₂
94 concentration on the maximum daily 8-hour average (MDA8) O₃ concentrations at the surface.
95 Thus, a comprehensive assessment of the impact of anthropogenic emissions, meteorological
96 factors, and CO₂ on surface O₃ is imperative.

97 Here, we employed an advanced regional climate-chemistry-ecology model to assess the
98 impact of anthropogenic emissions, meteorological factors, and carbon dioxide variations during
99 the summer monsoon period (May, June, July, and August) on surface O₃ levels. Our findings
100 can facilitate the development of a comprehensive O₃ improvement strategy. Sect. 2 describes

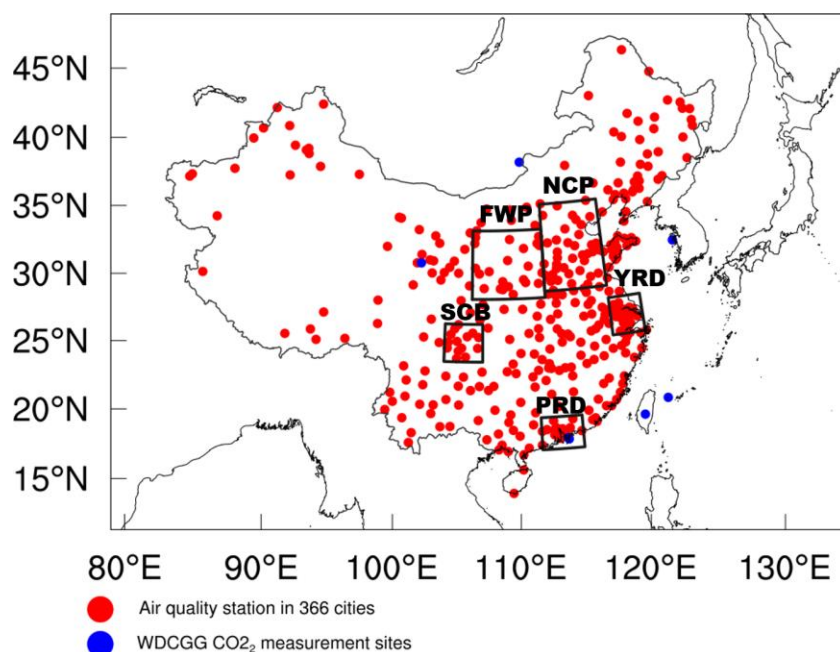
101 the methods and data, and the results and discussion are given in Sect. 3, finally, the conclusions
 102 are shown in Sect. 4.

103 2 Methods and data

104 2.1 Measurement data

105 We compared the simulated regional meteorological factors with the European Centre for
 106 Medium-Range Weather Forecasts Interim reanalysis data (ERA-Interim) at 37 vertical levels,
 107 which included temperature, relative humidity, and wind speed (Balsamo et al., 2015; Hoffmann
 108 et al., 2019). The observed surface O₃ was taken from the China National Environmental
 109 Monitoring Center (CNEMC), which had more than 1400 environmental monitoring stations in
 110 2018 (Wang et al., 2018; Kong et al., 2021; Zheng et al., 2014). The World Data Centre for
 111 Greenhouse Gases (WDCGG) data (Liu et al., 2009; Li et al., 2017) was applied to evaluate the
 112 simulated surface CO₂ concentrations. The monitoring stations of O₃ and CO₂ are shown in
 113 Figure. 1.

114



115
 116 **Figure 1.** Model domains for the RegCM-Chem-YIBs model. The regions with black boundaries are the
 117 North China Plain (34~41°N, 113~119°E), the Yangtze River Delta (30~33°N, 119~122°E), the Pearl
 118 River Delta (21.5~24°N, 112~115.5°E), the Sichuan Basin (28.5~31.5°N, 103.5~107°E), and the Fenwei
 119 Plain (33.5~39°N, 106~113°E) regions.

120 2.2 Model description

121 The RegCM-Chem-YIBs is a regional climate-chemistry-ecology model developed from the
 122 RegCM model. RegCM is a regional climate model initially developed by the International
 123 Center for Theoretical Physics (ICTP) (Giorgi et al., 2012). Shalaby et al. (2012) integrated the
 124 Chem chemistry model into the RegCM model and incorporated the condensed version of the
 125 Carbon Bond Mechanism (CBM-Z) to enhance the model's capabilities. To further improve the
 126 model's performance, Yin et al. (2015) added a Volatility Basis Set (VBS) scheme to simulate
 127 Secondary Organic Aerosols (SOA). Xie et al. (2020) further modified the model by

128 incorporating CO₂ as a tracer, which is subject to regulation by sources, sinks, and atmospheric
129 transport processes. The model represents the four sources and sinks of CO₂ as surface fluxes,
130 including emissions from fossil fuels and biomass burning, air-sea CO₂ exchange, and terrestrial
131 biosphere CO₂ fluxes. Additionally, the model incorporated the Yale Interactive Terrestrial
132 Biosphere (YIBs), a land carbon cycle model that enables the simulation of ecological processes,
133 including carbon assimilation, allocation, and autotrophic and heterotrophic respiration (Yue and
134 Unger, 2015).

135 The ecological model (YIBs) was fully coupled into the regional climate-chemical model
136 (RegCM-Chem) to reproduce the interactions between atmospheric composition and the
137 ecosystem in the actual atmosphere (Xie et al., 2019). The meteorological factors and air
138 components simulated by RegCM-Chem were input into the YIBs model to simulate vegetation
139 physiological processes and calculate land surface parameters such as carbon dioxide flux,
140 BVOCs emissions, and stomatal conductance. Conversely, the simulations of the YIBs model
141 were fed back into the RegCM-Chem model to adjust the air qualities, temperature, humidity,
142 circulation, and other meteorological fields. The RegCM-Chem-YIBs has been extensively
143 applied to study surface O₃, PM_{2.5}, CO₂, the summer monsoon, and the interactions between air
144 quality and the ecosystem (Zhuang et al., 2018; Pu et al., 2017; Xu et al., 2022a; Xie et al., 2018;
145 Ma et al., 2023).

146 The RegCM model offers a variety of physical and chemical parameterization options. Here,
147 the climatological chemical boundary conditions were driven by the Model of Ozone and
148 Related Chemical Tracers (MOZART). The gas-phase chemistry employed the CBM-Z scheme
149 (Zaveri and Peters, 1999). For the boundary layer scheme, the Holtslag PBL approach was
150 utilized (Khayatianyazdi et al., 2021). The Grell cumulus convection scheme was employed to
151 simulate convective processes (Grell, 1993). The CCM3 radiation scheme and CLM3.5 land
152 surface module were used in the model (Collins et al., 2006; Giorgi and Mearns, 1999; Decker
153 and Zeng, 2009).

154 2.3 Model improvements

155 2.3.1 Radiation

156 In the previous version of the RegCM-Chem-YIBs model, radiative calculations only
157 accounted for changes in the spatiotemporal distribution of particulate matter. To simplify the
158 radiation calculations, the atmospheric CO₂ and O₃ concentrations were assumed to be constant
159 throughout the year. However, atmospheric CO₂ and O₃ are subject to modulation by various
160 sources, sinks, physical processes, and chemical processes (Ballantyne et al., 2012; Wang et al.,
161 2019a). Additionally, rapid urbanization in China has led to an annual increase in CO₂ and O₃
162 concentrations (Guan et al., 2021; Wei et al., 2022), with elevated concentrations and growth
163 rates primarily distributed in the eastern regions where urbanization is most prominent (Shi et al.,
164 2016; Wang et al., 2017b). To more accurately simulate the atmospheric radiation balance and
165 East Asian monsoon climate, it is necessary to incorporate spatiotemporal variations of CO₂ and
166 O₃ concentrations into the radiation module. Therefore, we included the varying CO₂ and O₃
167 concentrations simulated by the model in the radiation module to calculate the corresponding
168 radiative forcing.

169 2.3.2 Photolysis

170 The photolysis process was simulated using the Tropospheric Ultraviolet and Visible (TUV)

171 model, which is commonly used to compute photolysis rates in various models (Tie et al., 2003;
 172 Shetter et al., 2002; Borg et al., 2011). The TUV model employs input parameters such as zenith
 173 angle, altitude, ozone column, SO₂ column, NO₂ column, aerosol optical depth (AOD), single
 174 scattering albedo (SSA), and albedo, among others, to calculate photolysis rates (Singh and
 175 Singh, 2004). However, in the TUV module of the RegCM-Chem-YIBs model, AOD and SSA
 176 were held constant. This is problematic as accurate aerosol optical parameters, such as AOD and
 177 SSA, play a crucial role in the photolysis of O₃ (Lefer et al., 2003). To address this issue, we
 178 incorporated temporally and spatially varying AOD and SSA simulated by the RegCM-Chem-
 179 YIBs model into the photolysis rate calculations in the TUV module. This enabled us to
 180 accurately incorporate the extinction effect of the varying particles into the photolysis reaction,
 181 leading to more realistic simulations of air components and regional meteorology.

182 2.4 Emissions and Experiment settings

183 Anthropogenic emissions from 2008 to 2018 were obtained from the Multi-resolution Emission
 184 Inventory for China (MEIC), which has been compiled and maintained by Tsinghua University
 185 since 2010 (Zheng et al., 2018; Wang et al., 2014). CO₂ emissions and boundary conditions were
 186 derived from the NOAA CarbonTracker CT2019 dataset (Jacobs et al., 2021). The initial
 187 meteorological boundary data, such as temperature, relative humidity, and wind, are derived
 188 from the ERA-Interim reanalysis dataset with a horizontal resolution of 0.125°, a temporal
 189 resolution of 6 hours, and 37 vertical levels (Liu et al., 2018b). The weekly mean Sea Surface
 190 Temperature dataset was obtained from the National Ocean and Atmosphere Administration
 191 (NOAA) (Reynolds et al., 2002).

192 The simulation domain was illustrated in Figure 1, with the target region centered at 36°N
 193 and 107°E, and a grid resolution of 60 km by 60 km. The model used 18 vertical levels, ranging
 194 from the surface to 50 hPa.

195 In the Base experiment, we incorporated interannual variations in anthropogenic emissions,
 196 meteorological fields, and CO₂ emissions. Meteorological conditions (CO₂ emissions) were kept
 197 constant at 2008 levels over ten years, referred to as the SIM_{MET=2008} (SIM_{CO2=2008}) experiment.

198 The changes in O₃ concentrations relative to 2008 between 2009 and 2018 were determined
 199 by comparing simulations of different years with 2008 in the Base experiment (Eq. (1)). The
 200 impact of changed meteorological conditions on O₃ concentrations relative to 2008 was assessed
 201 by comparing results between SIM_{MET=2008} and the Base experiment in the same year (Eq. (2)).
 202 The contribution of changed CO₂ emissions was similarly estimated (Eq. (3)). Finally, the
 203 influence of anthropogenic emissions was calculated by excluding the impact of meteorological
 204 factors and CO₂ from the changes in O₃ concentrations (Eq. (4)). Table 1 shows the results of the
 205 numerical experiments.

$$206 \Delta O_i = Base_i - Base_{2008} \quad (1)$$

$$208 \Delta M_i = Base_i - SIM_{i,MET=2008} \quad (2)$$

$$210 \Delta C_i = Base_i - SIM_{i,CO2=2008} \quad (3)$$

$$212 \Delta E_i = \Delta O_i - \Delta M_i - \Delta C_i \quad (4)$$

213 ΔO_i : The changes in O₃ concentrations in the year i relative to 2008.

214 Base_i : The O₃ concentrations in the Base experiment in the year i.

215 ΔM_i : The changes in O₃ concentrations in the year i due to meteorological factors variations.

216 $SIM_{i,MET=2008}$: The O₃ concentrations in the $SIM_{MET=2008}$ experiment in the year i.

217 ΔC_i : The changes in O₃ concentrations in the year i due to CO₂ variations.

218 $SIM_{i,CO_2=2008}$: The O₃ concentrations in the $SIM_{CO_2=2008}$ experiment in the year i.

219 ΔE_i : The changes in O₃ concentrations in the year i due to anthropogenic emissions variations.

220 **Table 1.** The Numerical experimental in this study.

Experiment	Time	Meteorological fields	CO ₂ emissions	Anthropogenic emissions
Base	2008-2018	Varying	Varying	Varying
$SIM_{MET=2008}$	2008	2008	Varying	Varying
	2009-2018			
$SIM_{CO_2=2008}$		Varying	2008	Varying

221
 222 In this work, both meteorological and CO₂ boundary conditions were kept consistent in base
 223 and sensitivity studies. We did not consider the impact of boundary conditions on O₃ due to the
 224 following reasons. First, in general, the regional model was coupled with the global model to get
 225 a more realistic influence from the boundary. However, for long-term climate-chemistry
 226 modeling, the such coupling means a large computing resource. Second, the boundary conditions
 227 were derived from global models (Liu et al., 2017; Ban et al., 2014) and have to be prescribed in
 228 sensitive experiments. Finally, fixed boundary conditions were widely used in some O₃ studies in
 229 China (Liu and Wang, 2020a, b; Wang et al., 2019b). Moreover, regional emissions are the
 230 primary source of surface O₃ in China, with contributions accounting for 80% from May to
 231 August (Lu et al., 2019). Therefore, the impact of fixed boundary conditions can be ignored in
 232 the current stage.

233 3 Results and discussion

234 3.1 Model evaluation

235 The ability of RegCM to reproduce East Asian climate and air quality has been widely evaluated
 236 in recent years. Previous studies have demonstrated that RegCM was capable of the essential
 237 characteristics and interannual variations of air components and meteorological fields in East
 238 Asia (Xu et al., 2022b; Ma et al., 2023; Zhuang et al., 2018). Given that the monitoring of near-
 239 surface O₃ levels by CNEMC was initiated only in late 2013, the monitoring sites in 2013 and
 240 2014 were limited, and the monitoring period was disjointed. As a result, in this study, we
 241 compared the simulated meteorological fields, O₃, and CO₂ levels with observations only from
 242 2015 to 2018.

243 Figures S1~4 demonstrated that the RegCM-Chem-YIBs model effectively captured the
 244 spatial distribution and magnitude of temperature, humidity, and wind over East Asia at 500 hPa,
 245 850 hPa, and 1000 hPa between 2015 and 2018. However, due to the complex terrain's influence
 246 on the lower atmosphere, most models show better results at higher levels (Zhuang et al., 2018;
 247 Anwar et al., 2019; Xie et al., 2019). Thus, the simulations at 500 hPa were more consistent with
 248 the reanalysis data. At 1000 hPa, the simulated wind speed was slightly higher than the
 249 reanalysis data in eastern China. This difference may be due to common deficiencies in

250 meteorological models, such as insufficient horizontal resolution, initial and boundary conditions,
 251 and physical parameterizations (Cassola and Burlando, 2012; Accadia et al., 2007), particularly in
 252 areas with low wind speeds (Carvalho et al., 2012).

253 Figures S5 and S6 demonstrated that the model accurately reproduced the observed increase
 254 in surface CO₂ and O₃ from 2015 to 2018, with high correlation coefficients ranging from 0.39 to
 255 0.74 (Table 2). The model effectively captured the high concentrations of O₃ in major urban
 256 areas such as the NCP, the YRD, the PRD, the SCB, and the FWP, while also successfully
 257 reproducing the gradient in CO₂ concentrations between eastern and western China. However,
 258 the model slightly underpredicted MDA8 O₃ concentrations (-4.02 to -3.21 ppb) and
 259 overestimated CO₂ levels (3.32~7.07 ppm). These discrepancies are mainly attributed to
 260 uncertainties in the emissions inventory (Hong et al., 2017). Overall, the simulated
 261 meteorological factors and surface CO₂ and O₃ concentrations were deemed acceptable.

262
 263 **Table 2.** Evaluations of the surface CO₂ (units: ppm) and MDA8 O₃ (units: ppb) during the summer
 264 monsoon period in East Asia.

Species	Year	OBS	SIM	MB	RMSE	R
CO ₂ (ppm)	2015	402.82	406.98	4.16	9.37	0.44
	2016	407.12	410.44	3.32	8.22	0.69
	2017	408.35	413.62	5.27	11	0.39
	2018	409.61	416.68	7.07	11.32	0.41
MDA8 O ₃ (ppb)	2015	48.77	44.75	-4.02	29.39	0.57
	2016	50.16	46.95	-3.21	27.56	0.60
	2017	55.43	51.87	-3.56	21.55	0.74
	2018	55.53	52.08	-3.42	24.78	0.73

265 OBS: observation; SIM: simulation; MB: bias; NMB: normalized mean bias; RMSE: root mean square
 266 error; R: correlation coefficient. MDA8 O₃: the maximum daily 8-hour average O₃.

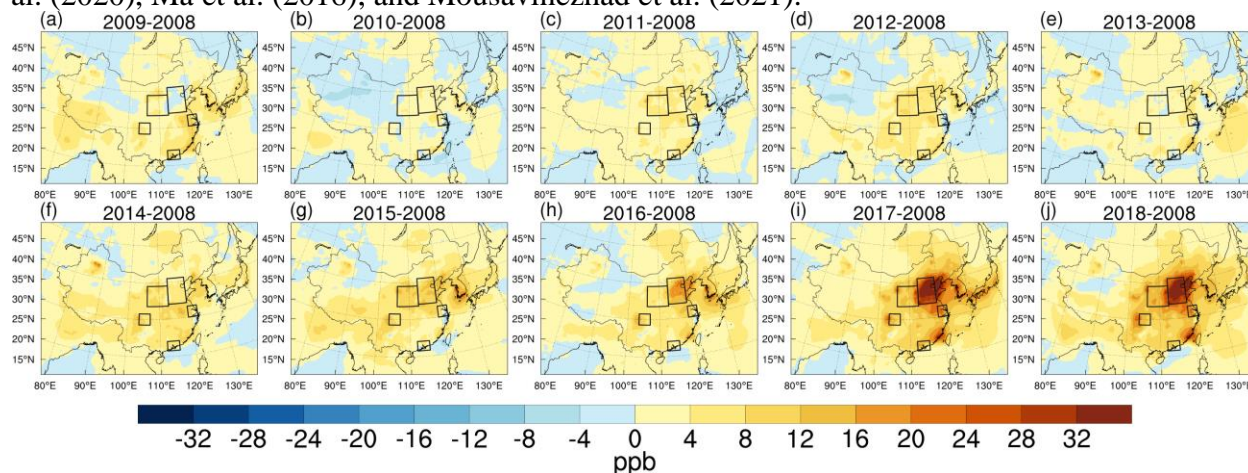
267 3.2 Ozone variation from 2008 to 2018

268 Figure S7 illustrates the mean seasonal MDA8 O₃ concentrations in East Asia during the summer
 269 monsoon period from 2008 to 2018. High O₃ concentrations appeared in eastern China, which
 270 can be attributed to increased emissions, high temperatures, humidities, and intense radiation in
 271 the region (Gao et al., 2020; Mousavinezhad et al., 2021; Wei et al., 2022). Surface O₃ increased
 272 annually in most of China between 2008 to 2018, with megacity clusters experiencing a more
 273 significant increase.

274 We conducted a regional analysis of surface O₃ increases in five target regions: the NCP,
 275 the YRD, the PRD, the SCB, and the FWP. In 2018, the surface MDA8 O₃ concentrations
 276 averaged 74 ppb in the NCP region, while the other areas had lower concentrations (ranging
 277 from 42 to 67 ppb in the FWP, YRD, PRD, and SCB). The lower surface O₃ levels in the SCB
 278 and FWP regions were attributed to lower anthropogenic emissions. The YRD and PRD regions
 279 were more affected by meteorological factors, with the East Asian summer monsoon bringing in
 280 cleaner air and precipitation from the sea, leading to lower air pollution concentrations (He et al.,
 281 2012). The spatial distribution and increasing trend of surface MDA8 O₃ concentrations in China
 282 were consistent with previous studies (Li et al., 2020; Shen et al., 2022).

283 Figure 2 and Table 3 illustrate the changes in surface MDA8 O₃ concentrations from 2009
 284 to 2018 relative to 2008. The surface MDA8 O₃ concentrations in China increased drastically
 285 over the past decade, particularly in 2017 and 2018 (6.79~32.03 ppb). We divided the period

286 from 2009 to 2018 into two phases based on the Clean Air Action Plan implemented in 2013: the
 287 pre-governance period (PreG, 2009~2013) and the post-governance period (PostG, 2014~2018).
 288 The surface MDA8 O₃ concentration increased significantly in NCP (18.42 ppb), followed by
 289 SCB (11.21 ppb), FWP (10.9 ppb), and the YRD (10.07 ppb), while increased slightly in PRD
 290 (4.94 ppb), in PosG relative to 2008. Our results were consistent with previous studies by Lu et
 291 al. (2020), Ma et al. (2016), and Mousavinezhad et al. (2021).



292 **Figure 2.** Changes in the surface MDA8 O₃ concentrations (units: ppb) during the summer monsoon
 293 period from 2009 (a), 2010 (b), 2011 (c), 2012 (d), 2013 (e), 2014 (f), 2015 (g), 2016 (h), 2017 (i) and
 294 2018 (j) relative to 2008.

295
 296 **Table 3.** The changes of MDA8 O₃ over North China Plain, Fenwei Plain, Yangtze River Delta, Pearl
 297 River Delta, and Sichuan Basin during the summer monsoon period from 2009 to 2018 relative to 2008.

Regions	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	PreG	PostG
NCP	0.14	2.85	4.53	6.13	2.7	4.78	10.1	14.25	30.92	32.03	3.27	18.42
FWP	3.23	1.78	5.01	6.78	1.37	7.9	10.5	6.24	13.71	16.17	3.63	10.90
YRD	8.33	1.47	1.46	0.5	3.12	6.04	3.46	7.09	17.64	16.12	2.98	10.07
PRD	5.76	-0.26	2.56	5.13	-0.4	3.82	1.46	3.16	9.45	6.79	2.56	4.94
SCB	4.92	1.03	3.46	5	3.94	8.54	9.27	9.78	13.67	14.8	3.67	11.21

299 3.3 The effect of meteorology in 2008~2018 ozone increase

300 Overall, the meteorological variations from 2008 to 2018 were unfavorable for the O₃ increase
 301 during the EASM period, as illustrated in Figure 3.

302 Based on Figure 3 and Table 4, it is evident that meteorological conditions had a significant
 303 impact on surface MDA8 O₃ in the NCP and FWP regions during the PostG period (-0.09~-0.04
 304 ppb) compared to the PreG period (-1.41~-0.88 ppb). In the SCB region, the impact of
 305 meteorological fields was relatively weak (-0.41~0.71 ppb), attributed to the basin topography
 306 and stable atmospheric conditions. However, in the eastern and southeastern coastal areas of
 307 China, due to the significant influence of the EASM, the impact of meteorological conditions
 308 may be more critical than that of anthropogenic emissions. For instance, in the YRD and PRD
 309 regions, meteorological conditions significantly changed O₃ levels (-1.29~1.3 ppb) compared to
 310 anthropogenic emissions (0.81~0.87 ppb) in 2013, indicating the significant influence of
 311 meteorological conditions on surface O₃.

312 Our findings are consistent with previous studies. Liu and Wang (2020a) reported a decrease

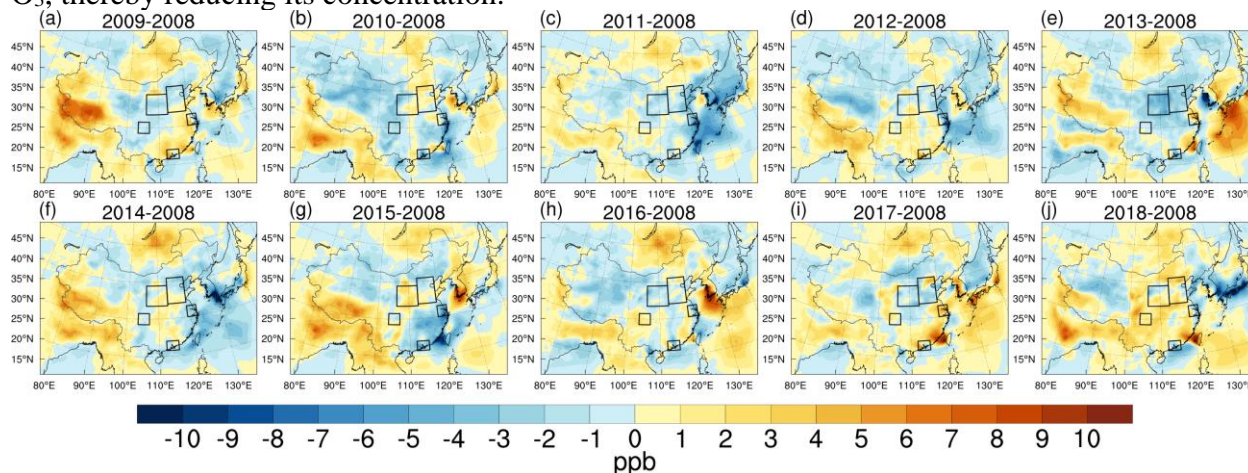
313 in O₃ in Shanghai from 2013 to 2017 due to changes in meteorological conditions. Chen et al.
 314 (2019) and Liu and Wang (2020a) also suggested that changed meteorological conditions had a
 315 negative impact on O₃ formation in the NCP and FWP regions, and that the influence of
 316 meteorology on surface-level O₃ decreased in PostG. In addition, Cheng et al. (2019) found that
 317 the effects of meteorological conditions on long-term O₃ variations were less than 3%, which is
 318 similar to our study.

319 As we know, the formation of surface O₃ is promoted by rising temperatures (Steiner et al.,
 320 2010). However, increased surface temperatures can also intensify turbulence within the
 321 planetary boundary layer (PBL), increasing PBL height (Guo et al., 2016). This increase in PBL
 322 height, coupled with the enhanced upward motion, can transport near-surface pollutants to the
 323 upper atmosphere, reducing their concentration in the lower atmosphere (Gao et al., 2016).
 324 Additionally, the upward motion can also facilitate cloud formation and precipitation, resulting in
 325 a reduction of near-surface atmospheric pollutants via precipitation washout (Yoo et al., 2014).

326 We have improved the accuracy of O₃ photodissociation rate calculations by including
 327 varying AOD and SSA in the TUV module, as described in Section 2.3.2. As a result, the
 328 increase in cloud cover reduced the shortwave radiation flux and photochemical formation rates
 329 of near-surface O₃, leading to decreased formation. Thus, the increase in near-surface
 330 temperature is often accompanied by an elevation in PBL height, enhanced cloud cover,
 331 precipitation, and reduced shortwave radiation. Moreover, higher wind speeds can enhance the
 332 dispersion of O₃ (Gorai et al., 2015).

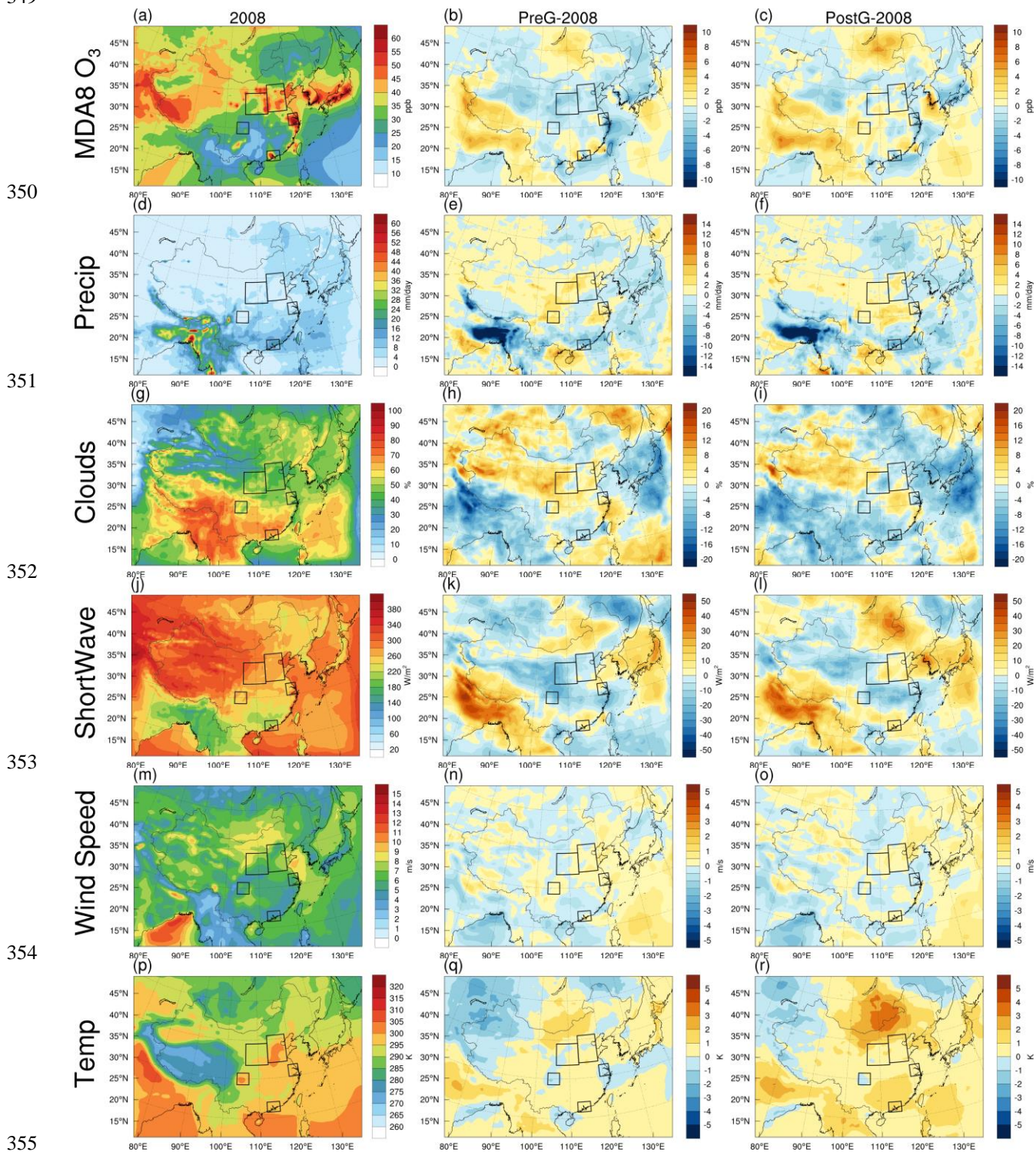
333 The variations of MDA8 O₃, precipitation, clouds, shortwave flux (SWF), wind speed,
 334 temperature, and PBL height are presented individually in Figure 4. The increase in SWF can
 335 accelerate O₃ formation through photochemistry (Jiang et al., 2012; Lelieveld and Crutzen, 1990).
 336 Therefore, the increased cloud fraction reduced surface O₃ by decreasing shortwave radiation,
 337 especially in NCP, FWP, YRD, and SCB in the PreG period (-10.63~-1.6 W/m²). Furthermore,
 338 the enhanced precipitation in these regions (0.37~1.81 mm/day) reduced surface O₃ levels
 339 significantly. The significant increase in wind speed (0.17~0.26 m/s) also contributed to the
 340 reduction of surface O₃ in the NCP region (Table 4).

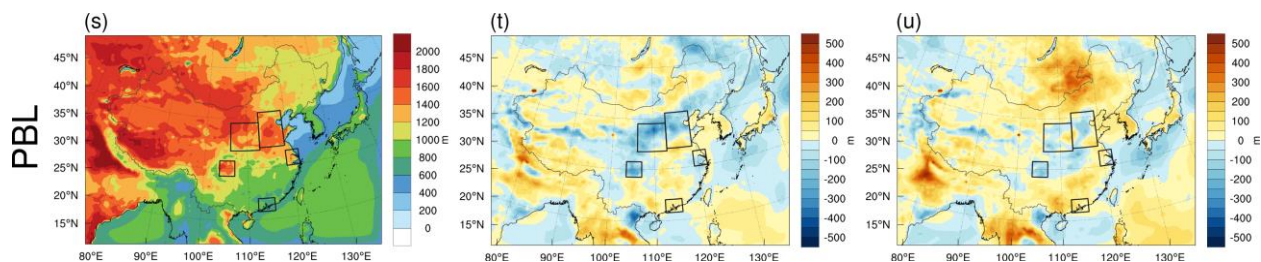
341 Another crucial factor is the elevated surface temperature (0~5 K), which intensified
 342 upward motion and raised the planetary boundary layer (PBL) height (0~500 m) across much of
 343 East Asia. Consequently, the increased temperature and PBL height could disperse surface-level
 344 O₃, thereby reducing its concentration.



345

346 **Figure 3.** The responds of the surface MDA8 O₃ mixing ratios (units: ppb) to variations in meteorological
 347 conditions during the summer monsoon period in 2009 (a), 2010 (b), 2011 (c), 2012 (d), 2013 (e), 2014
 348 (f), 2015 (g), 2016 (h), 2017 (i) and 2018 (j) relative to 2008.
 349





356
 357 **Figure 4.** The MDA8 O₃ (a~c, units: ppb), precipitation (d~f, units: mm/day), clouds (g~i, units: %),
 358 shortwave flux (j~l, units: W/m²), wind speed (m~o, units: m/s), temperature (p~r, units: K), and
 359 planetary boundary layer height (s~u, units: m) during the summer monsoon period in 2008 from the base
 360 simulations (the left column) and their responses due to variations in meteorological conditions in PreG
 361 (2009~2013, the central column) and PostG (2014~2018, the right column) relative to 2008.

362
 363 **Table 4.** Response of the MDA8 O₃ mixing ratios (units: ppb), precipitations (units: mm/day), clouds
 364 fraction (units: %), shortwave flux (units: W/m²), wind speed (units: m/s), temperature (units: K), and
 365 planetary boundary layer height (units: m) to the changes in meteorological conditions over North China
 366 Plain, Fenwei Plain, Yangtze River Delta, Pearl River Delta, and Sichuan Basin during the summer
 367 monsoon period in PreG (2009~2013) and PostG (2014~2018) relative to 2008.

Regions	Period	MDA8 O ₃ (ppb)	Precip (mm/day)	Clouds (%)	SWF (W/m ²)	Wind Speed (m/s)	Temp (K)	PBL (m)
NCP	PreG	-0.88	0.58	1.33	-3.04	0.17	0.32	-46.8
	PostG	-0.04	0.6	-0.93	3.06	0.26	0.6	-14.5
FWP	PreG	-1.41	1.68	2.86	-10.63	-0.06	0.1	-108.5
	PostG	-0.09	0.81	-0.94	-0.81	0.05	0.46	-15.3
YRD	PreG	-1.03	1.02	1.07	-1.6	0.18	-0.29	-33.9
	PostG	-0.96	0.48	-1.18	-4.85	-0.08	0.45	21.9
PRD	PreG	-0.23	-2.39	-1.93	2.24	-0.02	0.36	29.6
	PostG	-1.08	-3.24	-3.98	5.37	0.18	1.00	52.2
SCB	PreG	-0.41	1.81	0.59	-8.8	0.13	-0.58	-136.5
	PostG	0.71	0.37	-2.23	-3.2	-0.03	-0.14	-76

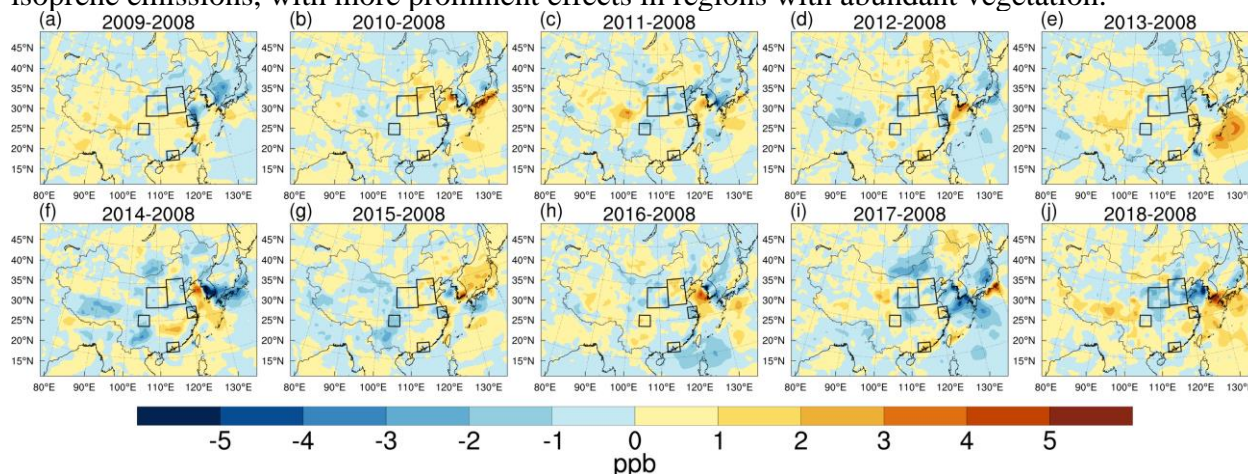
368 3.4 The effect of CO₂ in 2008~2018 ozone increase

369 The surface O₃ in southern China, which includes the YRD, PRD, and SCB regions, was
 370 characterized by high precipitation, temperatures, and vegetation cover, and was significantly
 371 impacted by CO₂ (Figure 5). Figures 6 e and f demonstrate a marked rise in CO₂ levels across
 372 East Asia, particularly in eastern China, which was attributable to extensive human activity.

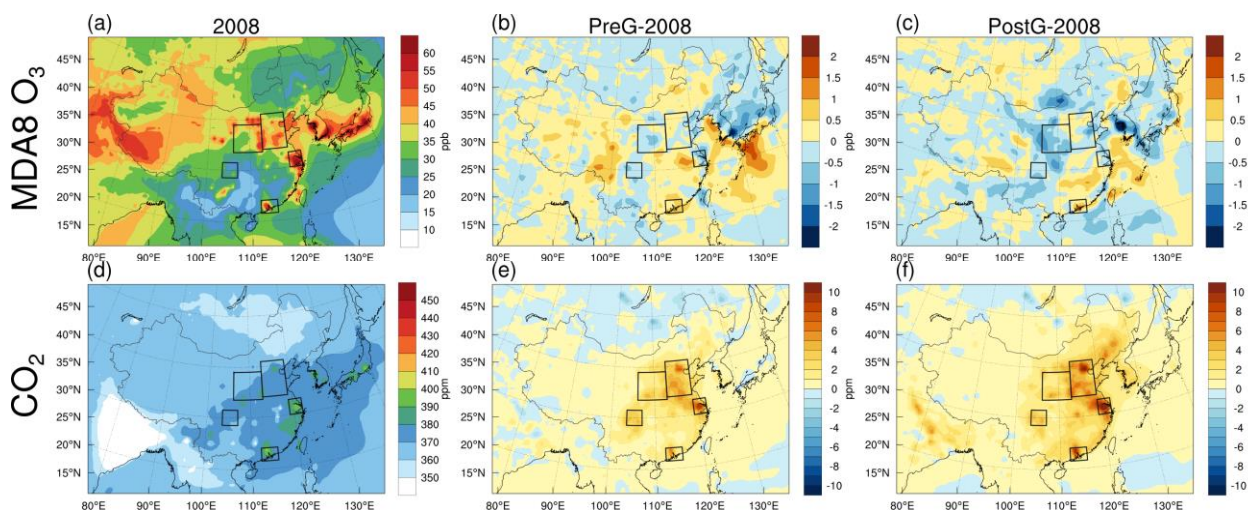
373 CO₂ is a significant driver of climate change and alterations in biogenic emissions. As
 374 shown in Figures 6 b and c, the impact of CO₂ on O₃ levels varies across locations, with a
 375 positive effect of 0.28~0.46 ppb along the southeastern coast of China but a negative influence of
 376 -0.51 to -0.11 ppb in the southwest and central China. CO₂ affects O₃ concentration by
 377 influencing both precipitation and isoprene emissions. In western and central China, CO₂
 378 primarily affects O₃ concentration through its impact on precipitation (Table 5). Elevated CO₂
 379 concentrations lead to increased precipitation (0.06~0.64 mm/day) in the FWP and SCB regions,
 380 resulting in a decrease in surface O₃ (up to -0.51 ppb). In eastern and southern coastal China,
 381 where vegetation is abundant, CO₂ has a greater impact on isoprene emissions. In the YRD
 382 region, decreased isoprene (-0.58 to -0.32 μg/m³) and increased precipitations (0.09~0.13

383 mm/day) reduced MDA8 O₃ levels (0.09~0.14 ppb). In PRD, increased isoprene levels
 384 (0.31~0.92 μg/m³) and decreased precipitations (-1.02~-0.33 mm/day) led to the enhancement of
 385 MDA8 O₃ (0.28~0.46 ppb).

386 In some years, the impact of changed CO₂ can be as significant as or even surpass that of
 387 anthropogenic emissions and meteorology (Figure 10). For example, in 2013, CO₂ caused an
 388 increase of 0.95 ppb in MDA8 O₃ in the YRD region, which exceeded that of anthropogenic
 389 emissions (0.87 ppb). Similarly, in the PRD region in 2012, the effect of CO₂, anthropogenic
 390 emissions, and meteorology was 1.41, 1.77, and 1.95 ppb, respectively. Even in the NCP in 2010,
 391 the impact of CO₂ (0.75 ppb) was comparable to that of anthropogenic emissions (1.5 ppb). In
 392 summary, CO₂ has a significant impact on surface O₃ concentrations by influencing radiation and
 393 isoprene emissions, with more prominent effects in regions with abundant vegetation.



394 **Figure 5.** Simulated responses of surface MDA8 O₃ mixing ratios (units: ppb) to the variations in CO₂
 395 emissions during the summer monsoon period in 2009 (a), 2010 (b), 2011 (c), 2012 (d), 2013 (e), 2014 (f),
 396 2015 (g), 2016 (h), 2017 (i) and 2018 (j) relative to 2008.



399 **Figure 6.** Simulated responses of surface MDA8 O₃ (ppb) and CO₂ (ppm) concentrations to the variations in CO₂
 400 emissions during the summer monsoon period in 2008 (a), PreG-2008 (b) and PostG-2008 (c) relative to 2008. The
 color scale for MDA8 O₃ ranges from -2 to 2 ppb, and for CO₂ from -10 to 10 ppm.

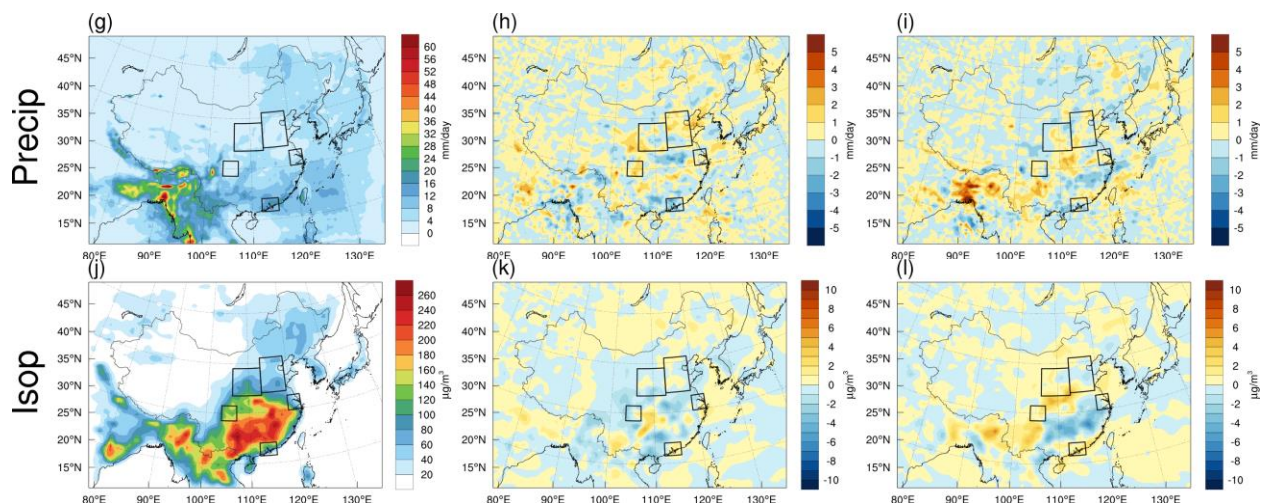


Figure 6. The simulated averaged MDA8 O₃ (a~c, units: ppb), CO₂ (d~f, units: ppm), precipitation (g~i, units: mm/day), and isoprene mixing ratios (j~l, units: µg/m³) in 2008 from the base simulations (the left column) and their changes due to variations in CO₂ emissions in PreG (2009~2013, the central column) and PostG (2014~2018, the right column) relative to 2008.

Table 5. Simulated responses of MDA8 O₃ mixing ratios (units: ppb), CO₂ mixing ratios (units: ppm), precipitations (units: mm/day), and isoprene mixing ratios to the changes in CO₂ emissions over North China Plain, Fenwei Plain, Yangtze River Delta, Pearl River Delta, and Sichuan Basin in PreG (2009~2013) and PostG (2014~2018) relative to 2008.

Regions	Period	MDA8 O ₃ (ppb)	CO ₂ (ppm)	Precipitation (mm/day)	Isoprene (µg/m ³)
NCP	PreG	0.07	3.19	0.27	-0.1
	PostG	-0.05	4.24	0.13	0.26
FWP	PreG	-0.11	1.70	0.21	-0.16
	PostG	-0.51	2.05	0.06	0.33
YRD	PreG	-0.09	4.1	0.13	-0.32
	PostG	-0.14	6.2	0.09	-0.58
PRD	PreG	0.46	1.97	-1.02	0.31
	PostG	0.28	3.20	-0.33	0.92
SCB	PreG	-0.30	2.80	0.64	-0.78
	PostG	-0.30	2.78	0.21	0.69

3.5 The effect of anthropogenic emission in 2008~2018 ozone increase

Finally, we calculated the anthropogenic emissions' effect on the 2008~2018 O₃ increase. Figure S8 and Table S1 illustrate that the levels of PM_{2.5}, PM₁₀, SO₂, CO, and OC emissions remained consistently high during the PreG period. However, a linear decrease in emissions was observed after the implementation of the Clean Air Action Plan in 2013. Prior to 2013, the emission of VOCs increased steadily but subsequently stabilized. Similarly, the emission of nitrogen oxides (NO_x) exhibited an upward trend before 2013, but since then, the emissions have shown a linear decrease, with each subsequent year exhibiting lower levels of NO_x emissions. In comparison to other species, the emissions of ammonia (NH₃) remained relatively stable from 2008 to 2018. Our analysis results of the emissions of different species align with those of Zheng et al. (2018), who computed the changes of each species in the MEIC inventory from 2010 to 2017.

Figure 7 illustrates that anthropogenic emissions have caused a notable increase in surface

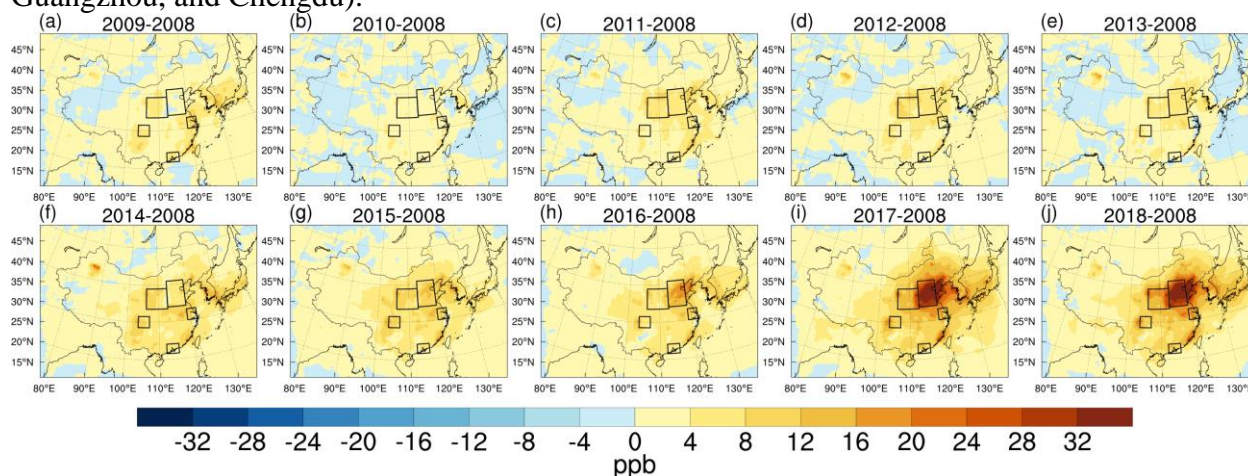
424 O₃ levels across most of China, particularly in megacity clusters. The impact of anthropogenic
 425 emissions on O₃ concentration ranged from 2.33 to 18.51 ppb in the five target regions.

426 Figure 8 and Table 6 illustrate that the changes in surface O₃ caused by anthropogenic
 427 emissions are similar in magnitude and spatial distribution to the changes in the base experiment.
 428 This suggests that anthropogenic emissions were the dominant factor driving the increase of
 429 surface O₃ in China from 2008 to 2018. Notably, a high-impact center of anthropogenic
 430 emissions was simulated in North China, with the NCP region experiencing the most significant
 431 increase in surface O₃ (4.08~18.51 ppb), followed by the FWP, YRD, and SCB regions
 432 (4.10~11.5 ppb). In the PRD region, anthropogenic emissions led to a slight enhancement of O₃
 433 by 2.33~5.74 ppb.

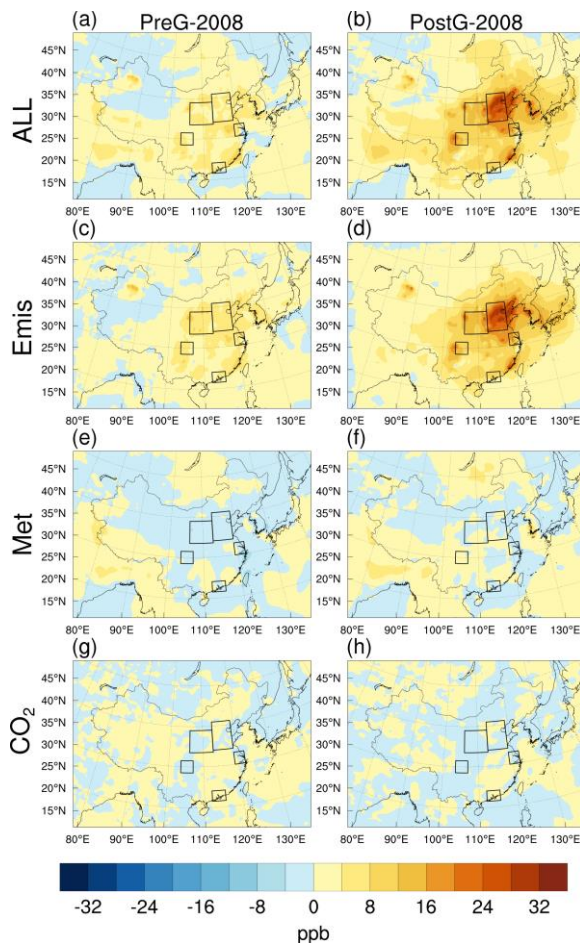
434 The role of anthropogenic emissions increased linearly from 2008 to 2018, despite the
 435 implementation of the Clean Air Action Plan in 2013 (Table 6). For example, anthropogenic
 436 emissions significantly increased surface MDA8 O₃ in the NCP region (4.08 ppb in PreG and
 437 18.51 ppb in PostG). Similarly, FWP experienced increases of 5.15 and 11.5 ppb in the PreG and
 438 PostG periods, respectively. In the SCB region, the surface MDA8 O₃ was mainly affected by
 439 variations in anthropogenic emissions due to the high levels of anthropogenic emissions in the
 440 complex basin topography. In the YRD and PRD regions, anthropogenic emissions resulted in
 441 changes to O₃ of 2.56~10.07 ppb.

442 The reasons for this characteristic are multiple. Before 2013, the continuous increase in
 443 VOCs and NO_x emissions (Figure S8 b, c) facilitated the rise of O₃ levels. Following the
 444 implementation of the Clean Air Action Plan in 2013, the emissions of VOCs and NO_x were
 445 regulated. However, with the decrease in PM_{2.5} levels, direct radiation increased, and scattered
 446 radiation decreased (Figure 9), thereby promoting the photochemical formation of O₃ (Bian et al.,
 447 2007). In addition, the reduced NO emission weakened the titration effect (Figure S8 b), thus
 448 increasing surface O₃ (Li et al., 2022).

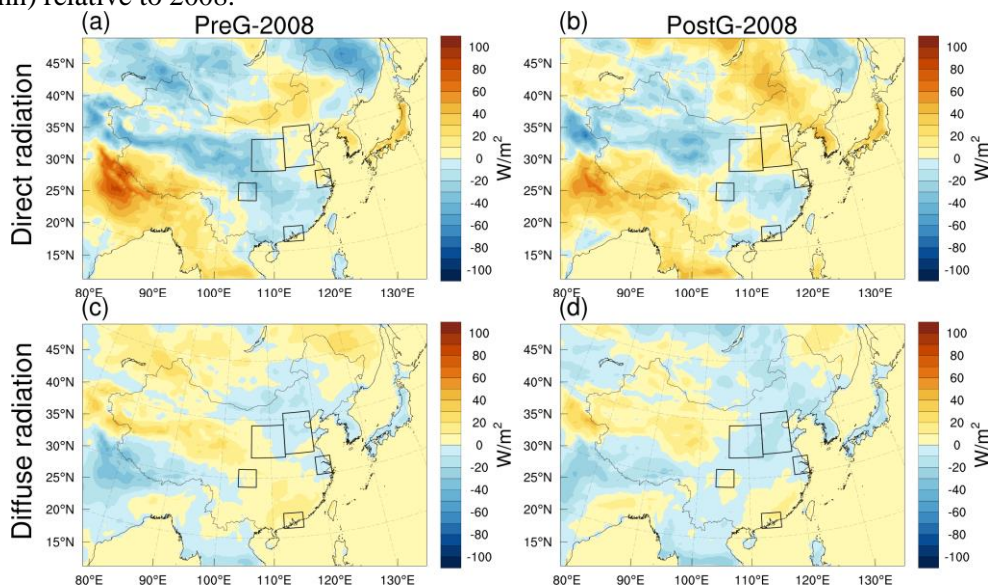
449 Our results are consistent with previous studies by Wang et al. (2019b) and Liu and Wang
 450 (2020b), which also showed the dominant and almost linear role of anthropogenic emissions in
 451 the increase of O₃ from 2013 to 2015 in four major Chinese cities (Beijing, Shanghai,
 452 Guangzhou, and Chengdu).



453
 454 **Figure 7.** Simulated responses of the surface MDA8 O₃ mixing ratios (units: ppb) to variations in
 455 anthropogenic emissions in 2009 (a), 2010 (b), 2011 (c), 2012 (d), 2013 (e), 2014 (f), 2015 (g), 2016 (h),
 456 2017 (i) and 2018 (j) relative to 2008.



457
 458 **Figure 8.** Changes in the simulated surface MDA8 O₃ mixing ratios (units: ppb) from the base simulation
 459 (All, a,b); those due to variations in anthropogenic emissions (Emis, c,d), meteorological conditions (Met,
 460 e,f), and CO₂ emissions (CO₂, g,h) in PreG (2009~2013, the left column) and PostG (2014~2018, the
 461 right column) relative to 2008.



462
 463
 464 **Figure 9.** The variations of the surface direct radiation (a,b, units: W/m²) and diffuse radiation (c,d, units:
 465 W/m²) in the PreG (2009~2013, a,c) and PostG (2014~2018, b,d) period relative to 2008.

466
467
468
469
470**Table 6.** Simulated response of the MDA8 O₃ mixing ratios (units: ppb) to the changes in anthropogenic emissions (Emis), meteorological conditions (Met), and CO₂ emissions (CO₂) over North China Plain, Fenwei Plain, Yangtze River Delta, Pearl River Delta, and Sichuan Basin in PreG (2009~2013) and PostG (2014~2018) relative to 2008.

Regions	Period	ALL (ppb)	Emis (ppb)	Met (ppb)	CO ₂ (ppb)
NCP	PreG	3.27	4.08	-0.88	0.07
	PostG	18.42	18.51	-0.04	-0.05
FWP	PreG	3.63	5.15	-1.41	-0.11
	PostG	10.9	11.5	-0.09	-0.51
YRD	PreG	2.98	4.10	-1.03	-0.09
	PostG	10.07	11.17	-0.96	-0.14
PRD	PreG	2.56	2.33	-0.23	0.46
	PostG	4.94	5.74	-1.08	0.28
SCB	PreG	3.67	4.38	-0.41	-0.30
	PostG	11.21	10.80	0.71	-0.30

471 3.6 Attribution analysis of ozone changes in 2008~2018

472 Finally, we presented an attribution diagram depicting the changes in O₃ concentration from
473 2008 to 2018. The total variation in O₃ concentration can be attributed to the combined effects of
474 meteorological changes, changes in CO₂ concentration, and anthropogenic emissions (Figure 10).

475 The primary driver of the O₃ concentration variation from 2008 to 2018 was the changes in
476 anthropogenic emissions, particularly in regions with high emissions, such as the NCP and FWP.
477 Although the Clean Air Action Plan was implemented in 2013, it did not reduce the contribution
478 of anthropogenic emissions to the O₃ increase. Even in the PostG period, with the development
479 of urbanization and industrialization, the impact of changed anthropogenic emissions on O₃ has
480 gradually become more prominent than changed meteorology and CO₂. The contribution of
481 changed meteorology to O₃ was generally negative in the five regions, with a more significant
482 impact in the YRD and PRD regions. This may be attributed to their proximity to the ocean and
483 susceptibility to the summer monsoon influence. Changes in CO₂ concentration affected O₃
484 concentration by altering radiation and isoprene emissions, with a more significant impact in the
485 YRD and PRD regions where vegetation was abundant. In some years, it even surpassed the
486 effects of anthropogenic emissions. Therefore, we suggest that the influence of CO₂
487 concentration changes on O₃ concentration should be considered in regions with high vegetation
488 coverage.

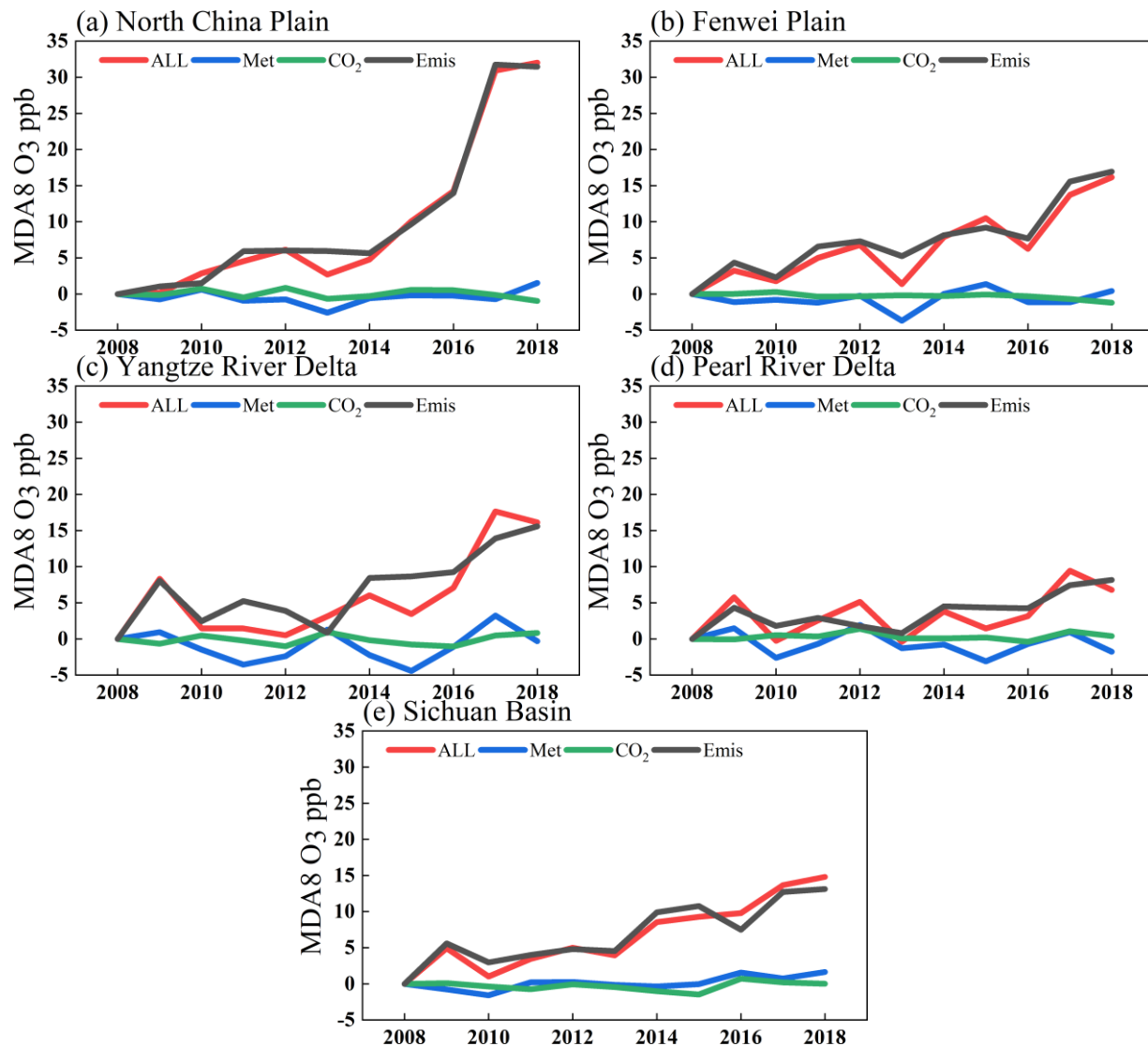


Figure 10. Interannual variations of the surface MDA8 O₃ mixing ratios (units: ppb) in the summer monsoon period (ALL) and the responses of variations in anthropogenic emissions (Emis), meteorological conditions (Met), and CO₂ emissions (CO₂) in (a) North China Plain, (b) Fenwei Plain, (c) Yangtze River Delta, (d) Pearl River Delta, and (e) Sichuan Basin in 2008~2018 relative to 2008.

4 Conclusions

In this study. First, we improved the RegCM-Chem-YIBs model regarding the photolysis of O₃ and the radiation effect of CO₂ and O₃. Second, we assessed the impacts of anthropogenic emissions, meteorological factors, and CO₂ on the surface O₃ increase in China during the EASM season from 2008 to 2018.

In the NCP and FWP regions. The increased surface O₃ (4.08~5.15 ppb in PreG and 11.5~18.51 ppb in PostG) was primarily attributed to the changes in anthropogenic emissions. Furthermore, the impact of anthropogenic emissions has increased linearly, despite the Clean Air Action Plan being implemented in 2013. In contrast, the effects of meteorological factors and CO₂ on O₃ were weak during the study period.

506 In the YRD and PRD regions. Ignoring the principal contributions of anthropogenic
507 emissions, CO₂ significantly impacted the O₃ variations (-0.14~0.46 ppb). The varied CO₂ led to
508 surface MDA8 O₃ changes of -0.09~-0.14 ppb in the YRD and 0.28~0.46 ppb in the PRD by
509 modulating the isoprene emissions and precipitations. On the other hand, the meteorological
510 conditions played a more significant role in surface O₃ than in NCP, FWP, and SCB regions,
511 resulting in a decrease in MDA8 O₃ from 2008 to 2018 (-4.42~3.25 ppb).

512 In the SCB region. The increase in surface O₃ from 2008 to 2018 was primarily driven by
513 variations in anthropogenic emissions. The effect of meteorological conditions was weak due to
514 the high level of emissions and basin topography. However, the changes in CO₂ significantly
515 impacted surface O₃ levels and were unfavorable to O₃ formation during the study period (-3.0
516 ppb in PreG and PostG).

517 In conclusion, anthropogenic emissions dominated the O₃ increase in China from 2008 to
518 2018, and the effects of meteorological conditions on surface O₃ could be more significant in
519 some regions. Furthermore, we emphasize the significance of CO₂ emissions, particularly in
520 southern China, as a critical contributor to O₃ variations. Therefore, it is vital to consider CO₂
521 variability in future predictions of O₃ concentrations. Such consideration would be helpful for
522 designing long-term O₃ control policies.

523

524 **Data availability**

525 ERA-Interim data are available at <https://apps.ecmwf.int/datasets/data/interim-full-daily/>.

526 MEICv1.3 data are available at http://meicmodel.org/?page_id=560. CarbonTracker data are

527 available at <https://gml.noaa.gov/aftp/products/carbontracker/co2/CT2019/>. OISST data are

528 available at <https://downloads.psl.noaa.gov/Datasets/noaa.oisst.v2/>. WDCGG CO₂ data are

529 available at https://gaw.kishou.go.jp/search/gas_species/co2/latest/. CNEMC data are available

530 at <http://www.cnemc.cn/>. only available in Chinese, last access 1 May 2022.

531

532 **Author contributions**

533 **DM:** performed experiments; **TW:** designed the overall research; **HW, YQ, JL, JaL, and**
534 **SL** reviewed and edited the manuscript; **BL, ML, and MX** contributed to the development of the
535 RegCM-Chem-YIBs model.

536

537 **Competing interests:**

538 The contact author has declared that none of the authors has any competing interests.

539

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543

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