



1 **Markedly different impacts of primary emissions and secondary**
2 **aerosol formations on aerosol mixing states revealed by simultaneous**
3 **measurements of CCNC, V/HTDMA and SP2**

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25 **Abstract**

26 The aerosol mixing state is a crucial physical-chemical property that affects their optical
27 properties and cloud condensation nuclei (CCN) activity. Multiple techniques are commonly employed
28 to determine aerosol mixing states for various applications, and comparisons between these techniques
29 provide insights of the variations in aerosol chemical and physical properties. These techniques include
30 size-resolved CCN activity measurements using a system with CCN counter (CCNC) coupled with a
31 differential mobility analyzer (DMA), a Humidified/Volatility Tandem differential mobility analyzer
32 (H/V-TDMA) which measures aerosol hygroscopicity/volatility distributions, and a single particle
33 soot photometer (SP2) which directly quantifies black carbon (BC) mixing states. This study provides
34 a first time intercomparisons of aerosol mixing state parameters obtained through simultaneous
35 measurements of a DMA-CCNC, a H/VTDMA and a DMA-SP2. The impact of primary aerosols
36 emissions and secondary aerosol formations on the aerosol mixing states and intercomparison results
37 were analyzed. The results showed that differences in mixing state parameters measured by different
38 techniques varied greatly under different conditions. The V-TDMA and DMA-SP2 measurements
39 showed that the non-volatile population identified by the V-TDMA was mainly contributed by BC-
40 containing aerosols. The HTDMA and DMA-SP2 measurements indicated that a substantial proportion
41 of nearly hydrophobic aerosols were not contributed from BC-containing aerosols, but likely
42 originated from fossil fuel combustion and biomass burning emissions. Synthesized comparison results
43 between DMA-CCNC, HTDMA and DMA-SP2 measurements revealed that some of the nearly
44 hydrophobic BC-free particles were CCN-inactive under supersaturated conditions, likely from fossil
45 combustion emissions, while others were CCN-active under supersaturated conditions linked to
46 biomass burning emissions. Fossil fuel combustion-emitted BC-containing aerosols tended to be more
47 externally mixed with other aerosol compositions compared to those emitted from biomass burning
48 activities. These results highlight significant disparities in the mixing states as well as physiochemical
49 properties between aerosol originated from fossil fuel combustion and biomass burning. The formation
50 of secondary nitrate and organic aerosols exerted significant impacts on variations in aerosol mixing
51 states, generally enhancing aerosol hygroscopicity and volatility, while reducing differences in mixing
52 state parameters derived from different techniques, resulting in a reduction in aerosol heterogeneity.
53 The variations in BC-free particle number fractions showed that secondary aerosols tended to form



54 more quickly on BC-free particles than on BC-containing particles. Further comparison of mixing state
55 parameters revealed that the two resolved SOA factors in this study exhibited remarkably different
56 physical properties, indicating that they were likely formed through different pathways. These findings
57 suggest that intercomparisons among aerosol mixing states derived from different techniques can
58 provide deeper insight into aerosol physical properties and how they are impacted by secondary aerosol
59 formation, aiding the investigation of secondary aerosol formation pathways.

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61



62 1 Introduction

63 The aerosol mixing state is a crucial physicochemical property of aerosol particles (Riemer et al.,
64 2019), exerting a significant impact on their optical properties and cloud condensation nuclei (CCN)
65 activity, affecting their impact on climate and the environment (Fierce et al., 2017; Riemer et al., 2019;
66 Stevens et al., 2022). For instance, variations in the mixing state of black carbon (BC) particles can
67 significantly alter their absorption and radiative effects (Bond et al., 2013; Lack et al., 2012; Zhao et
68 al., 2019; Moffet et al., 2016; Matsui et al., 2018; Peng et al., 2016). Using simple internally mixing
69 state assumptions for aerosol chemical compositions in estimating CCN number concentrations can
70 lead to substantial overestimations (Deng et al., 2013; Farmer et al., 2015; Ren et al., 2018; Ching et
71 al., 2017, 2019; Tao et al., 2021). The aerosol mixing state varies widely due to complex emissions
72 and atmospheric transformations, leading to significant uncertainties in estimating the effects of
73 aerosol based on simplified mixing state assumptions (Ervens, 2015; Wang et al., 2022; Fu et al., 2022).

74 The aerosol mixing state describes the mixture of aerosol chemical components within each single
75 particle and the distribution of these particles in the aerosol population. This property can be directly
76 measured by the single particle chemical composition techniques (Fierce et al., 2017; Riemer et al.,
77 2019), such as single particle soot photometer (SP2) that measures refractory black carbon (rBC) mass
78 concentrations and the mixing state of rBC with other aerosol components or single particle chemical
79 composition measurement techniques (e.g. SP-AMS) that have been developed over recent years (Lee
80 et al., 2019; Riemer et al., 2019 and reference therein). Alternatively, the aerosol mixing state can be
81 inferred from indirect measurements of aerosol properties, such as size-resolved aerosol CCN activity
82 (measured by coupling a differential mobility analyzer (DMA) and a CCN counter (CCNC)), size-
83 resolved aerosol hygroscopicity distributions or volatility distributions (measured by a
84 Humidified/Volatility Tandem differential mobility analyzer (H/V-TDMA)).

85 However, each technique yields information on aerosol mixing states based on different aerosol
86 micro-physical properties, thus obtain aerosol mixing states that are different but linked to one another.
87 For instance, while both CCN activity and the hygroscopic growth measurements are associated with
88 aerosol hygroscopicity, intercomparison between CCNC and HTDMA measurements promoted
89 investigations on aerosol hygroscopicity variations under different saturation conditions (Su et al.,
90 2010; Juranyi et al., 2013; Lance et al., 2013; Kawana et al., 2016; Tao et al., 2020; Jiang et al., 2021).



91 Although both SP2 and VTDMA techniques depend on the evaporation of non-refractory compositions,
92 only the rBC remains in SP2 measurements, whereas the non-refractory composition evaporations
93 depend on thermodynamic temperature in VTDMA measurements. Thus, measurements of an SP2 are
94 highly correlated to those of a VTDMA at high temperatures, with their differences reflecting
95 variations in aerosol density, shape or volatility (Philippin et al., 2004; Wehner et al., 2009; Adachi et
96 al., 2018, 2019; Wang et al., 2022). HTDMA and VTDMA can be applied in combination to study the
97 influence of aerosol mixing state on its hygroscopicity and volatility (Zhang et al., 2016; Cai et al.,
98 2017; Wang et al., 2017). Strong correlations were found between hydrophobic and non-volatile
99 particles, suggesting that they might be of similar chemical composition (Zhang et al., 2016). In
100 addition, some studies showed that except for BC, low-volatility particles correlated well with CCN-
101 inactive particles based on VTDMA and CCNC measurements (Kuwata et al., 2007; Kuwata and
102 Kondo, 2008; Rose et al., 2011; Cheng et al., 2012). Therefore, intercomparisons between mixing
103 state parameters measured by distinct techniques provides not only better characterization of the
104 aerosol mixing state itself, but also insight into aerosol physiochemical properties. Previous studies
105 have mainly compared two kinds of aerosol mixing state measurements, lacking comprehensive
106 comparative analysis among SP2, DMA-CCN, and HV-TDMA measurements, hindering the wide
107 applications of derived aerosol mixing states obtained by individual techniques.

108 The mixing state of primary aerosols can vary greatly depending on their type and emission
109 conditions (Cheng et al. 2012; Wang et al. 2017; Wang et al., 2022; Ting et al., 2018; Liu et al., 2021),
110 and can be significantly altered during aging processes or secondary formations (Wehner et al. 2009;
111 Cheng et al. 2012; Wang et al., 2022; Tomlin et al., 2021; Lata et al., 2021). Primary aerosol emissions
112 such as biomass burning, fossil fuel combustion and cooking tend to contribute to weakly hygroscopic
113 (Herich et al., 2008, 2009; Wang et al., 2020; Kim et al., 2020) and low-volatility aerosols (Hong et
114 al., 2017; Saha et al., 2018), while formation of secondary aerosol including aging of BC-containing
115 aerosols and primary organic aerosols mainly contribute to aerosols with strong CCN activity (Mei et
116 al., 2013; Ma et al., 2016; Tao et al., 2021) and high hygroscopicity (Chen et al., 2018; Kim et al.,
117 2020; Wang et al., 2020). To enhance our understanding of the mixing state of aerosols from different
118 emission sources and to improve its characterization in models, it is important to study the impact of



119 specific primary aerosol emissions and secondary aerosol formations on aerosol mixing states and the
120 influence on aerosol mixing state parameters derived from different techniques.

121 The North China Plain (NCP) is among the most polluted regions in China with various primary
122 emission sources and strong secondary aerosol formations playing critical roles in air pollution (Xu et
123 al., 2011; Tao et al., 2012; Liu et al., 2015). The complex mixing state of aerosols in the NCP
124 contributes to uncertainties in evaluating their climate and environmental effects (Zhuang et al., 2013;
125 Nordmann et al., 2014; Zhang et al., 2016; Tao et al., 2020; Shi et al., 2022), particularly with regards
126 to BC particles (Wu et al., 2017; Liu et al., 2019; Zhao et al., 2019; Wang et al., 2011; Zheng et al.,
127 2019). Meteorological conditions can greatly impact the secondary aerosol formation in the NCP,
128 which can be significantly exacerbated during severe pollution events (Kuang et al., 2020), and
129 consequently affect the aerosol mixing states (Tao et al., 2021). This study obtained aerosol mixing
130 state through concurrent measurements of CCN activity, hygroscopicity, volatility and BC particle at
131 a regional site in the NCP, using CCNC, HTDMA, VTDMA and SP2 instruments. This provides a
132 unique opportunity to perform a most comprehensive intercomparison of aerosol mixing state among
133 different techniques for insights into the impact of primary aerosol emissions and secondary aerosol
134 formations on the observed aerosol mixing states.

135

136 **2 Materials and methods**

137 **2.1 Campaign information and instruments set-up**

138 From 16th October to 16th November 2021, aerosol mixing states were continuously and
139 concurrently monitored using different techniques at the Gucheng site in Dingxing county, Hebei
140 province, China, as part of the campaign of investigating AQueous Secondary aerOsol formations in
141 Fogs and Aerosols and their Radiative effects in the NCP (AQ-SOFAR). The observation site, located
142 at 39°09'N, 115°44'E, is an Ecological and Agricultural Meteorology Station of the Chinese Academy
143 of Meteorological Sciences, situated between the megacities of Beijing (approximately 100 km away)
144 and Baoding (approximately 40 km away), and surrounded by farmlands and small towns. This site
145 provides a representative view of the background conditions of atmospheric pollution in the NCP
146 (Kuang et al., 2020; Li et al., 2021).



147 Different measurement techniques were used during the campaign to simultaneously obtain the
148 aerosol mixing state through CCN activity, hygroscopicity, volatility, and BC particle observations (to
149 be discussed in the next section). In addition to aerosol mixing state measurements, the AQ-SOFAR
150 campaign also included measurements of aerosol number size distribution, chemical composition,
151 aerosol scattering and absorption properties. The total rBC mass concentrations were determined using
152 an aethalometer (Magee, AE33; Drinovec et al., 2015), with more information about the correction of
153 the absorption measurements and mass concentration calculations available in Luo et al. (2022). All
154 aerosol measurement instruments were housed in a temperature-controlled container set at 24 °C.
155 Meteorological data, such as temperature, pressure, wind speed and direction, relative humidity (RH),
156 were obtained from an automatic weather station operated by the station.

157 The chemical composition of submicron aerosols was analyzed using a High-Resolution Time-
158 of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS). The ionization efficiency (IE) was calibrated
159 using 300 nm diameter pure NH_4NO_3 particles, following the standard protocols outlined in Jayne et
160 al. (2000) in the middle of the campaign, with the relative ionization efficiency (RIE) of ammonium
161 determined to be 5.26. The RIE of sulfate was 1.28 using pure $(\text{NH}_4)_2\text{SO}_4$ particles, and the default
162 RIEs of 1.4 for organic aerosols, 1.1 for nitrates, and 1.3 for chlorides were used. The composition
163 dependent collection efficiency reported by Middlebrook et al. (2012) was used in this study.
164 Elemental ratios were derived using the “Improved-Ambient (I-A)” method as described in
165 Canagaratna et al. (2015), including hydrogen to carbon (H/C), oxygen to carbon (O/C), and organic
166 mass to organic carbon (OM/OC) ratios. Two POA factors and two SOA factors were identified by
167 HR-PMF (Ulbrich et al., 2009; Paatero and Tapper, 1994). The mass spectra of the OA factors and
168 their correlations with external species are shown in Figs. S1-S2. The BBOA spectrum was
169 characterized by obvious m/z 60 (mainly $\text{C}_2\text{H}_4\text{O}_2^+$) and 73 (mainly $\text{C}_3\text{H}_5\text{O}_2^+$), two indicators of
170 biomass burning (Mohr et al., 2009). In addition, BBOA was correlated well with $\text{C}_2\text{H}_4\text{O}_2^+$ ($R^2=0.91$)
171 and $\text{C}_3\text{H}_5\text{O}_2^+$ ($R^2=0.90$). Consistent with previous studies in Beijing (Xu et al., 2019), the PMF analysis
172 revealed a mixed factor named FFOA that comprises traffic emissions and coal combustions, which
173 was characterized by typical hydrocarbon ion series. It was observed that FFOA had a relatively high
174 f_{44} (0.083) value, which is likely due to aging during regional transportation, similar to the results
175 observed in the winter of 2016 in Beijing (Xu et al., 2019) and CCOA in Gucheng (Chen et al., 2022).
176 Two SOA factors were found to display different spectral patterns, correlations with tracers and diurnal



177 variations, suggesting that they resulted from different chemical processing. For example, OOA1 had
178 higher $\text{CO}_2^+/\text{C}_2\text{H}_3\text{O}^+$ (3.9) and O/C (0.91) ratios compared to OOA2 (2.1, 0.78).

179 **2.2 Aerosol mixing states measurement techniques**

180 **2.2.1 DMA-CCNC measurements**

181 The CCN activity of particles under supersaturated conditions was measured using a DMA-
182 CCNC system, which consisted of a differential mobility analyzer (DMA, model 3081 by TSI, Inc.,
183 MN USA), a condensation particle counter (CPC, model 3756 by TSI, Inc., MN USA), and a
184 continuous-flow CCNC (model CCN100 by Droplet Measurement Technologies, USA). The system
185 operated in a size-scanning mode and provided the Size-resolved Particle Activation Ratio (SPAR) by
186 combining the CPC and CCNC measurements at different particle sizes. Three supersaturations (SSs)
187 of 0.08%, 0.14% and 0.22% were applied in a single cycle of about 15 minutes. The sample and sheath
188 flow rates of the DMA were set at 1 lpm and 5 lpm, respectively, resulting in a measured particle
189 diameter range of 9 nm to 500 nm with a running time of 5 minutes per cycle. Supersaturations in the
190 CCNC were calibrated with monodisperse ammonium sulfate particles (Rose et al., 2008) both before
191 and after the campaign. The flow rates were also calibrated before and after the campaign and were
192 checked daily to minimize uncertainties in the droplet counting and supersaturation formed in the
193 column (Roberts and Nenes, 2005; Lance et al., 2006). The SPAR deviations due to multiple-charge
194 particles were corrected using a modified algorithm based on Hagen and Alofs (1983) and Deng et al.
195 (2011). More details about the system can be found in Ma et al. (2016) and Tao et al. (2021).

196 **2.2.2 H/V-TDMA measurements**

197 The mixing state of aerosols in terms of hygroscopicity and volatility was measured using a
198 Hygroscopicity/Volatility Tandem Differential Mobility Analyzer (H/V-TDMA; Tan et al., 2013). The
199 H/V-TDMA consists of two DMA (Model 3081L, TSI Inc.), with the first DMA (DMA1) selecting
200 dried particles and the second DMA (DMA2) selecting conditioned particles. The H/V-TDMA can
201 operate in either H-mode or V-mode, which is controlled by a three-way solenoid valve. In H-mode, a
202 Nafion humidifier is used to condition the selected dry particles to 90% relative humidity (RH)
203 equilibrium. The number size distribution of the humidified particles (D_p) is measured by DMA2 and
204 a CPC (Model 3772, TSI Inc.). The RH-dependent hygroscopic growth factor (GF) at a certain dry
205 diameter is calculated as follows:



206
$$GF = \frac{D_p}{D_d} \quad (1)$$

207 In this mode, four dry electrical mobility diameters (50, 100, 150 and 200 nm) were measured. The
208 instrument was regularly calibrated using Standard Polystyrene Latex Spheres (PSL) and Ammonium
209 Sulfate particles.

210 In the V-mode, a heated tube was used to evaporate the volatile coatings from the previously
211 selected dry particles. Six temperature settings were used for the heated tube, ranging from 25 to 200°C.
212 The number size distributions of the heated particles were measured using DMA2 and CPC. Besides
213 the four particle sizes measured in H-mode, three additional particle sizes (250, 300 and 350 nm) were
214 measured in the V mode. The temperature dependent shrinkage factor (SF), which is the ratio of the
215 heated particle size to the dry particle size, is defined as:

216
$$SF = \frac{D_p}{D_d} \quad (2)$$

217 A complete cycle of both H-mode measurements at one relative humidity (RH) condition and
218 V-mode measurements at six temperature conditions took approximately 3 hours. A Probability
219 Density Function (PDF) of GF (or SF) can be calculated from the measured density function using the
220 inversion algorithm described by Stolzenburg and McMurry (2008).

221 **2.2.3 DMA-SP2 measurements**

222 The size-resolved BC mixing states were measured by the SP2 (Droplet Measurement
223 Technology, Inc., USA) after a DMA (Model 3081, TSI, USA). The DMA selected aerosols at various
224 dry particle sizes, which were then introduced to the SP2. The DMA-SP2 setup was able to measure
225 the mixing states of aerosols at diameters of 100 nm, 120 nm, 160 nm, 200 nm, 235 nm, 270 nm, 300
226 nm, 335 nm, 370 nm, 400 nm, 435 nm, 470 nm, 500 nm, 535 nm, 570 nm, 600 nm, 635 nm, 670 nm,
227 700 nm within 20 minutes, when it wasn't placed after a thermodenuder-bypass switch system.
228 However, it only measured mixing states at diameters of 120 nm, 160 nm, 200 nm, 250 nm, 300 nm,
229 400 nm, and 500 nm when it was placed after a thermodenuder-bypass switch system.

230 The SP2 chamber has a continuous Nd: YAG laser beam at a wavelength of 1064 nm. The BC-
231 containing particles passing through the laser beam will be incandescent through absorbing the
232 radiation. By measuring the intensity of the emitted incandescent light, the mass concentration of BC



233 can be calculated. The sheath flow/sample flow ratio was maintained at 10 for DMA to reduce the
234 width of diameter distribution of selected monodisperse aerosols. Additionally, the flow rate of the
235 SP2 was changed from 0.1 to 0.12 L/min starting on October 22nd. The SP2 was calibrated using
236 Aquadag soot particles, as reported by Gysel et al. (2011). Further details about the calibrations are
237 introduced in Section 1 of the supplement.

238

239 **2.3 Derivations of mixing state parameters**

240 **2.3.1 Fitting SPAR curves measured by the DMA-CCNC system**

241 The measured SPAR curves can be parameterized with a sigmoidal function with three parameters.
242 This parameterization assumes that the aerosol is an external mixture of hydrophilic particles that are
243 CCN-active and hydrophobic particles that are CCN-inactive (Rose et al., 2010). The formula used to
244 parameterize SPAR ($R_a(D_d)$) for a specific SS is as follows (Rose et al., 2008):

$$245 \quad R_a(D_d) = \frac{MAF}{2} \left(1 + \operatorname{erf} \left(\frac{D_d - D_a}{\sqrt{2}\pi\sigma} \right) \right) \quad (7)$$

246 where erf is the error function. MAF is an asymptote of the measured SPAR curve at large particle
247 sizes, and it represents the number fraction of CCNs to total particles. D_a is the midpoint activation
248 diameter and is linked to the hygroscopicity of CCNs. σ is the standard deviation of the cumulative
249 Gaussian distribution function and characterizes the heterogeneity of CCN hygroscopicity. Generally,
250 hydrophilic particles larger than D_a can become CCN, so these three parameters can be used to
251 characterize the hygroscopicity of those hydrophilic particles. This study did not consider the impact
252 of nearly hydrophobic particles on SPAR, as deviations from this parameterization scheme due to this
253 impact are negligible at low SSs, as stated in Tao et al. (2020).

254

255 **2.3.2 Classification of particle type based on hygroscopicity or volatility**

256 In this study, ambient aerosol particles were classified into two groups based on their
257 hygroscopicity (hydrophobic and hydrophilic) and two groups based on their volatility (non-volatile
258 and volatile) based on the measurements from H/V-TDMA (Wehner et al., 2009; Liu et al., 2011;
259 Zhang et al., 2016). Each group can be defined using a critical value of GF or SF as the following:



260 Hydrophobic population: $GF < GF_C$;

261 Hydrophilic population: $GF \geq GF_C$;

262 Non-volatile population: $SF \geq SF_C$;

263 Volatile population: $SF < SF_C$.

264 The critical values of GF (GF_C) and SF (SF_C) in H/V-TDMA depend on particle size and working
265 conditions such as relative humidity and heating temperature.

266 During this campaign, the SF_C was set at 0.85 for all seven measured particle sizes at a temperature of
267 200 °C. The GF_C for the four measured particle sizes were 1.1, 1.15, 1.175 and 1.2, respectively, and
268 the corresponding hygroscopicity parameter, κ , was approximately 0.07. These values of GF_C and SF_C
269 divide the probability density functions (PDFs) of SF and GF into two modes, consistent with prior
270 studies in the NCP (Liu et al., 2011; Zhang et al., 2016). The number fraction (NF) for the hydrophilic
271 group (NF_H) and volatile group (NF_V) can be calculated as:

$$272 \quad NF_H = \int_{GF_C}^{\infty} GF PDF(GF) dGF \quad (7)$$

$$273 \quad NF_V = \int_0^{SF_C} SF PDF(SF) dSF \quad (8)$$

274 where GFPDF and SFPDF are the PDFs of GF and SF, respectively, derived from H/V-TDMA
275 measurements.

276 **2.3.3 Classification of particle type based on DMA-SP2 measurements**

277 The BC-containing aerosols can be categorized into two groups based on the coating thickness:
278 bare BC/thinly coated BC particles and thickly coated BC particles. The coating thickness of the BC-
279 containing aerosols in the SP2 measurement can be determined by the lag time between the peak of
280 the scattering signal and the incandescence signal (Moteki and Kondo, 2007; Schwarz et al., 2006;
281 Sedlacek et al., 2012; Subramanian et al., 2010; Metcalf et al., 2012). A critical lag-time threshold can
282 be used to differentiate between the different types of BC-containing aerosols and calculate the number
283 fraction of bare BC particles and coated BC particles in the total identified aerosols. In this study, a
284 two-mode distribution of the lag-time (Δt) was observed, and a critical value of 0.8 μs was used to



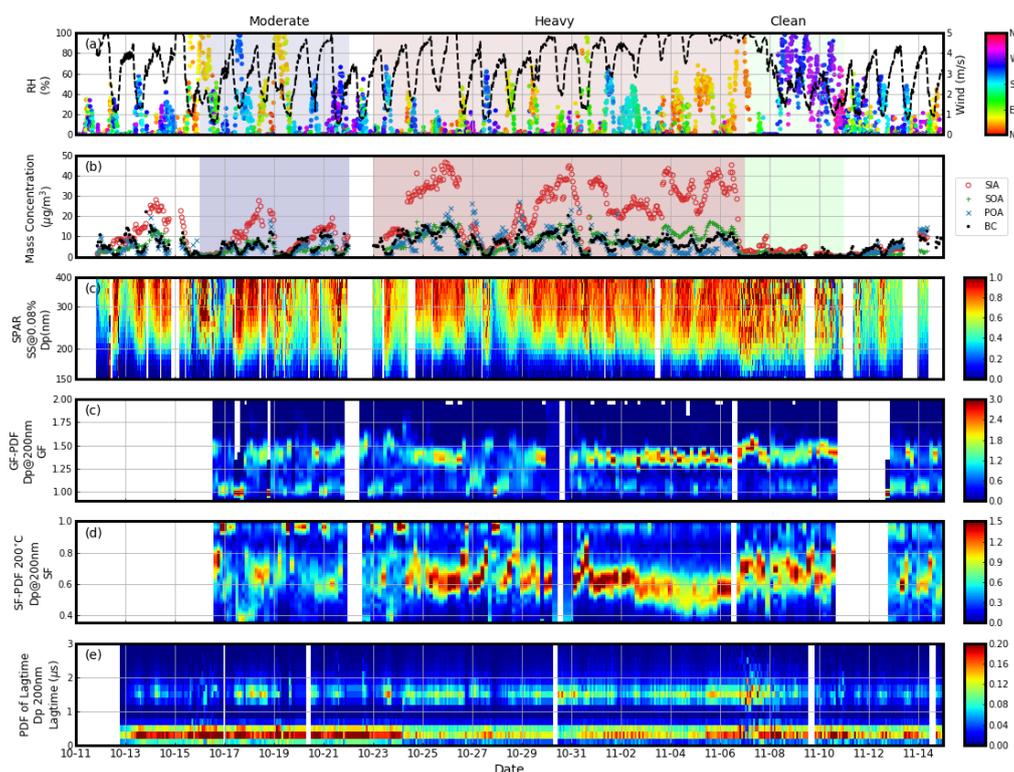
285 classify the BC-containing particles into thinly coated (or bare) BC ($\Delta t < 0.8 \mu\text{s}$) and thickly coated
286 BC ($\Delta t \geq 0.8 \mu\text{s}$), respectively.

287

288 3 Results and discussions

289 3.1 Campaign Overview

290 The time series of meteorological parameters, SPAR at SS of 0.08%, GF-PDF at



291

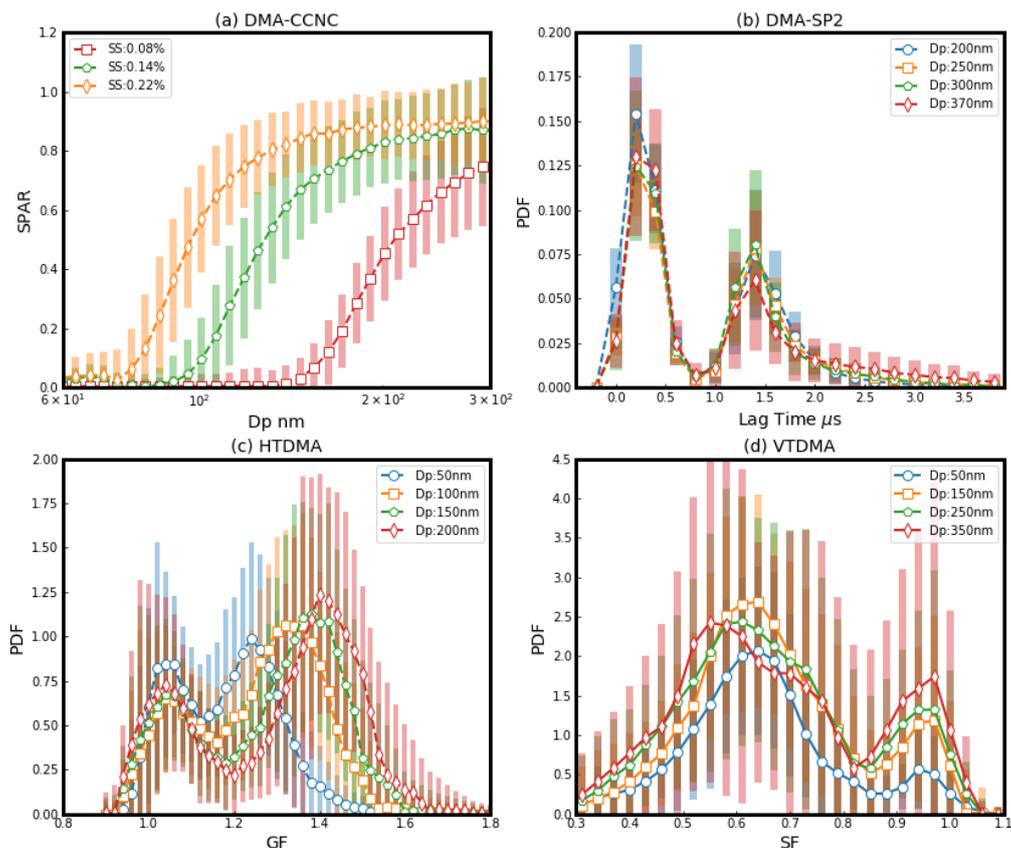
Fig 1. Overview of the measurements during the campaign: (a) dots represent wind speed with color indicating wind direction, and black lines represent RH; (b) red circle, green plus, blue x and black dots represent mass concentration of SIA, SOA, POA and BC, respectively; (c) SPAR under SS of 0.08%; (d) PDF of GF (GFPDF) at 200 nm; (e) PDF of SF (SFPDF) at 200 nm and 200 °C; (f) PDF of lag-time at 200 nm. The blue, red and green shaded periods represent the three periods with moderate pollution, heavy pollution and clean condition, respectively.

292 200 nm, SF-PDF at 200 nm and 200 °C, lag-time PDF of 200 nm BC-containing particles and mass



293 concentrations of SIA (secondary inorganic aerosol), SOA (OOA1 and OOA2), PA (BBOA and FFOA)
294 and BC are shown in Fig. 1. During the campaign, three periods with significantly different aerosol
295 pollution conditions were identified. As shown in Fig. 1(b), before October 23rd (moderately polluted
296 period), the accumulation of aerosols led to SIA mass concentrations greater than 20 $\mu\text{g}/\text{m}^3$, while the
297 highest concentrations of SOA, POA and BC mass all reached 10 $\mu\text{g}/\text{m}^3$. The mass concentrations of
298 different chemical compositions increased significantly from October 23rd to November 6th (heavily
299 polluted period) and decreased to much lower levels after November 6th (clean period). Two particle
300 groups were identified with regard to CCN activity, hygroscopicity, volatility and coating thickness,
301 as demonstrated by SPAR, GF-PDF, SF-PDF, and the lag-time PDF of BC-containing particles.
302 Significant variations in aerosol mixing states were also observed during the three periods of different
303 pollution conditions, as demonstrated by the variations in SF-PDF measured by the VTDMA. For
304 example, the SF of the non-volatile particle group decreased during the heavily polluted period.
305 Aerosol mixing states may have changed due to various transformations of existing aerosol particles
306 and distinct secondary formation processes under different pollution conditions (Kuang et al., 2020;
307 Tao et al., 2021; Shi et al., 2022; Yang et al., 2022). This will be analyzed in detail later in the
308 discussion. The diurnal variations in mass concentrations of different aerosol chemical compositions
309 and mixing states can be seen in the variations of SPAR measurements, as previously observed in this
310 region (Liu et al., 2011; Ma et al., 2012; Kuang et al., 2015; Tao et al., 2020).

311 In Fig. 2, the campaign averaged SPAR at the three SSs, PDF of lag-time of BC containing
312 particles, GFPDF and SFPDF at 200 °C at different particle sizes are presented. The sigmoidal SPAR
313 curves were characterized by a rapid increase followed by a gradual increase to unit 1, similar to
314 measured SPAR curves previously observed in this region (Deng et al., 2011; Zhang et al., 2014; Ma
315 et al., 2016; Tao et al., 2018). At lower SSs, the rapid increases in SPAR curves occur at larger particle
316 sizes and the maximum AR of SPAR curves becomes smaller. For the three measured SSs, the
317 corresponding fitting parameters, D_a , which indicate the center of the particle size range with rapid
318 increases in SPAR curves, are approximately 90 nm, 120 nm and 180 nm, respectively. The number
319 fraction of CCN-active particles in large diameter ranges (which varies with SS and, for example, is
320 greater than 200 nm for 0.08%) can be indicated by the gradual increase of SPAR curves and quantified
321 by the fitting parameter, MAF. The PDFs of the lag-time, GF, and SF are all characterized by a bimodal



322

Fig. 2. The campaign average of (a) SPAR curves measured by DMA-CCNC at the three SSs (identified by colors and markers), (b) PDF of lag-time measured by DMA-SP2 at four particle sizes (identified by colors and markers), (c) PDF of GF (GFPDF) measured by HTDMA at four particle sizes (identified by colors and markers), (d) PDF of SF (SFPDF) measured by VTDMA under the temperature of 200 °C at five particle sizes (identified by colors and markers).

323 distribution, which indicates two particle groups of BC-containing aerosols with different coating
324 thicknesses, hygroscopicity and volatility. The variations in aerosol mixing state will be further
325 analyzed on the basis of MAF and the number fractions (NF) of hydrophilic particles (NF_H), volatile
326 particles (NF_V), BC-free particles (NF_{noBC}) and number fractions of thinly coated BC in BC containing
327 aerosols (R_{exBC}).

328

329 3.2 Intercomparisons among aerosol mixing state parameters derived using four techniques

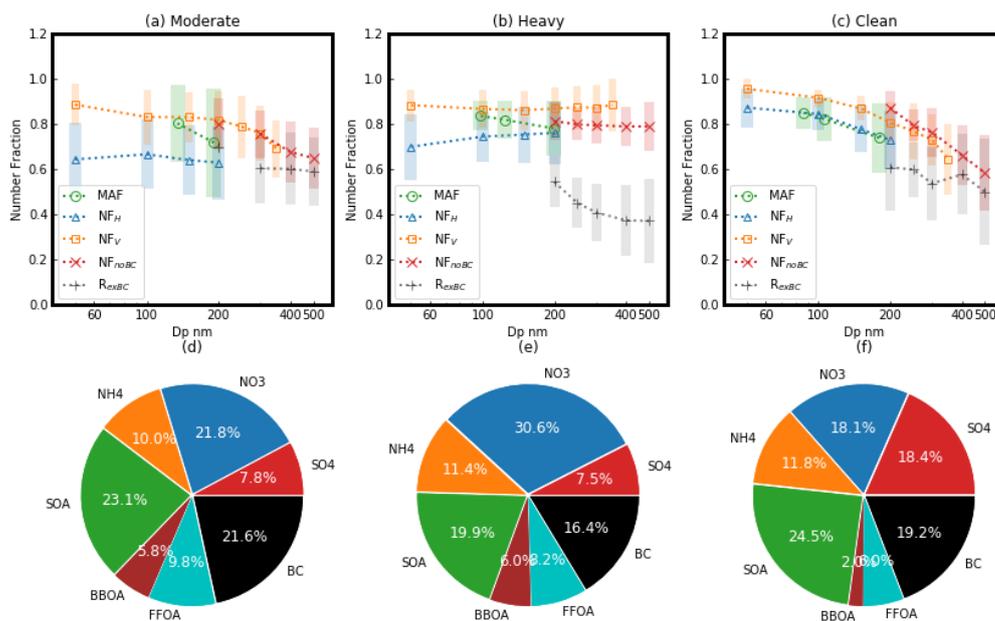


Fig. 3. (a-c): Size dependence of MAF (green circle), NF_H (blue triangle), NF_V at 200 °C (yellow square), NF_{noBC} (red x) and R_{exBC} (black plus) during the three periods. (d-f): Corresponding mass fractions of aerosol chemical compositions (identified by colors) during the three periods.

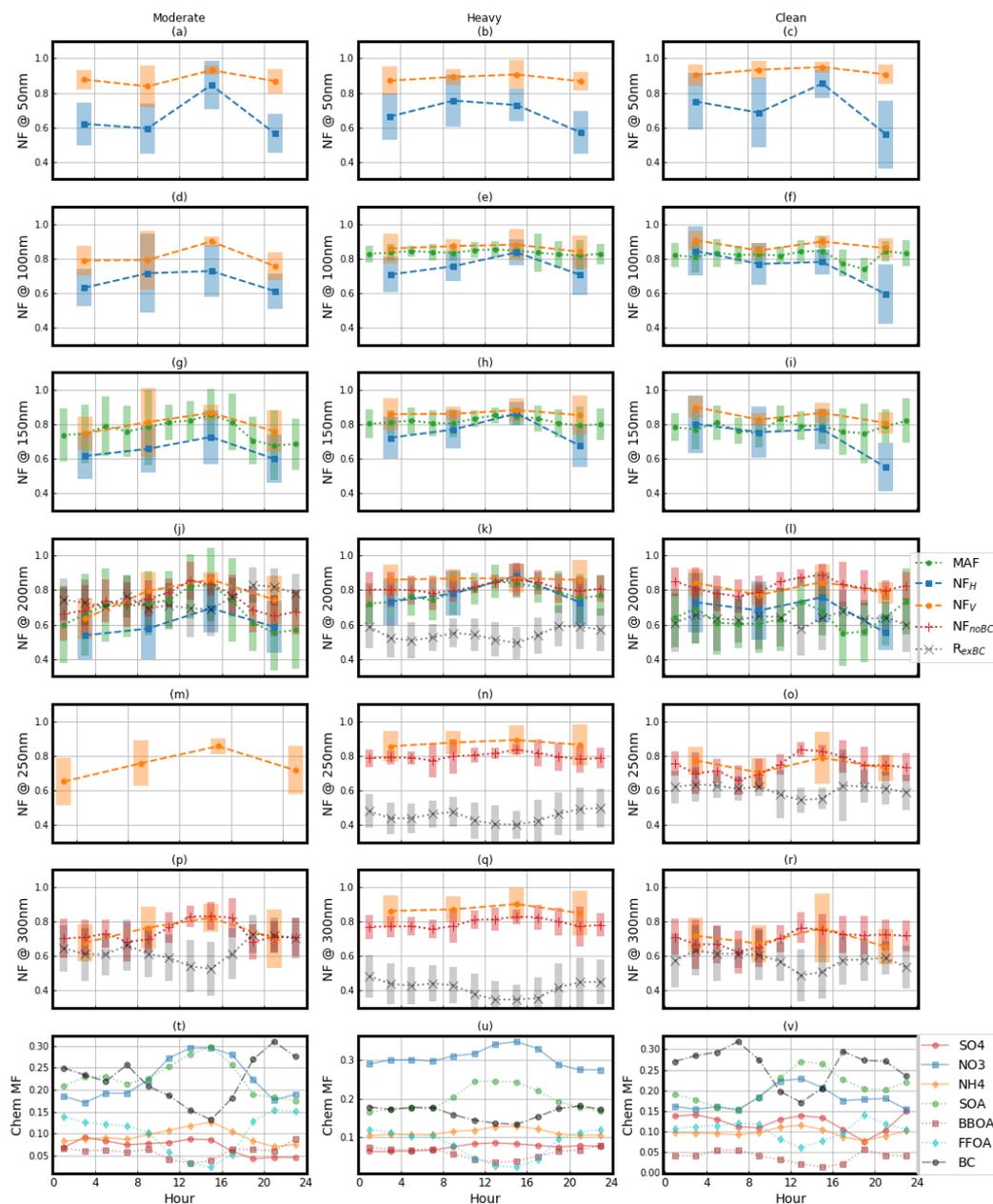
330 The size dependence of MAF, NF_H , NF_V (200 °C), NF_{noBC} and R_{exBC} during the three pollution
 331 periods are shown in Fig 3. In general, the size dependence of MAF, NF_H , NF_V and NF_{noBC} were
 332 similar to one another, suggesting they were dominated by the same particle group, namely BC-free
 333 particles. This particle group had the lowest fraction during the heavily polluted period and the highest
 334 fraction during the clean period, with the fraction decreasing with particle size. This suggests that
 335 primary emissions tend to have higher fractions of BC-containing particles in larger diameter ranges.
 336 Since the bulk aerosol mass fraction (MF) is mostly contributed by particles larger than 300 nm, there
 337 might have been more hydrophilic, volatile, CCN-active and BC-free particles in larger sizes (> 300
 338 nm) during the heavily polluted period, due to strong secondary aerosol formation in larger diameter
 339 ranges (Kuang et al., 2020), resulting in higher number fraction of these particles compared to the
 340 clean period. As for R_{exBC} , the small size dependence of R_{exBC} during the moderately polluted period
 341 might have been associated with stronger primary emissions, while the decrease of R_{exBC} with



342 increasing particle diameter size in the polluted period confirms the more efficient secondary aerosol
343 formation in larger diameter ranges.

344 As for the difference among the aerosol mixing state parameters, NF_V and NF_{noBC} agreed with
345 each other with a difference smaller than 0.1, and both were higher by at least 0.1 than NF_H in the
346 moderately polluted period. In detail, compared to NF_{noBC} , NF_V was higher during the heavily polluted
347 period, when the nitrate fraction was the highest and the SOA fraction was the lowest among all three
348 periods, suggesting some BC-containing aerosols in this period were also identified as volatile, which
349 is consistent with the fact that formation of semi-volatile nitrate in the BC-containing particles
350 increases their volatility. However, during the clean period, NF_V was even lower than NF_{noBC} ,
351 suggesting that some BC-free aerosols were characterized as low volatile and non-negligible fractions
352 of BC-free aerosols dominated within these less volatile aerosol components, which were likely less
353 volatile organic aerosols. In addition, the MAF values generally agreed with NF_H during the clean
354 period, but were larger than NF_H during the moderately and heavily polluted periods when the
355 POA/SOA fractions were higher. POA generally had lower hygroscopicity than SOA. The critical κ
356 of hydrophilic mode aerosols was 0.07, suggesting that a higher fraction of aerosols had κ below 0.07
357 during the moderately polluted period. However, under supersaturated conditions, they demonstrated
358 enhanced hygroscopicity by becoming CCN-active. The NF_H was consistently lower than NF_V and
359 NF_{noBC} (the average difference between NF_H and NF_{noBC} was about 0.2), especially during the
360 moderately polluted period, suggesting a significant fraction of volatile BC-free aerosols had
361 hygroscopicity lower than 0.07 but were still CCN-active and therefore not fully hydrophobic.

362 The diurnal variations of MAF, NF_H , NF_V and NF_{noBC} along with the mass fractions of aerosol
363 chemical compositions during the three periods, are shown in Fig.4. With the exception of particle size
364 50 nm, the diurnal variations of these four mixing state parameters were generally similar for all
365 measured sizes. The different diurnal variations at particle size 50 nm may be due to the different
366 effects of emissions



367

Fig. 4. (a-r) Diurnal variation of aerosol mixing state parameters (identified by color and marker) at different particle size during the three periods. (t-v) Diurnal variation of mass fraction of aerosol chemical compositions (identified by color and marker) during the three periods.

368 and aging processes on different aerosol modes, as particles smaller than 100nm were mainly in a



369 different aerosol mode (Aitken mode) to particles larger than 100nm (Wang et al., 2022). For particle
370 sizes larger than 100 nm, there were peaks in the afternoon for MAF, NF_H , NF_V and NF_{noBC} , due to
371 the increase in secondary aerosol compositions like nitrate and SOA, and the decrease of POA and BC.
372 The diurnal variations of aerosol mixing state parameters and aerosol chemical compositions were
373 more pronounced in the moderately polluted period. During the heavily polluted period, the diurnal
374 variation was least pronounced for NF_V and most pronounced for NF_H . In the clean period, there was
375 another peak at midnight for MAF and NF_{noBC} , which may be attributed to the diurnal variations of
376 secondary aerosol compositions like sulfate and SOA, and the decrease of BC and FFOA. The average
377 size-dependence of the aerosol mixing state parameters in different time ranges during the heavily
378 polluted period is shown in Fig. S3. It can be seen that the differences among the four parameters were
379 the least from 12:00 to 18:00, with the most SOA and the least POA. This is consistent with the results
380 in Fig.3, where the difference between MAF and NF_H becomes smaller when POA fractions are the
381 smallest. In general, the diurnal variations for R_{exBC} are opposite to those of NF_{noBC} and agree better
382 with those of the primary aerosol mass fractions.

383 The intercomparisons among MAF, NF_H , NF_V and NF_{noBC} were conducted based on their
384 correlations at different particle sizes as summarized in Table. S1. It's worth noting that MAF at SSs
385 of 0.08%, 0.14% and 0.22% was used for comparison at particle sizes of 200 nm, 150 nm and 100 nm,
386 respectively. In general, there were moderate correlations among MAF, NF_H and NF_V , suggesting the
387 dominance of CCN-active, hygroscopic and volatile aerosols are contributed by a similar particle
388 group (Zhang et al., 2016). The consistency of MAF- NF_V was slightly higher than that of MAF- NF_H
389 or NF_H - NF_V with similar correlation coefficients (~ 0.65) but smaller systematic differences (slope and
390 intercept were much closer to 1 and 0, respectively), which is consistent with the previous finding that
391 a substantial fraction of volatile but less hygroscopic aerosols is CCN-active. At smaller particle size,
392 the correlation became weaker, while the degree was the least for the correlation between MAF and
393 NF_V .

394



395 **3.3 Impacts of primary aerosol emissions on aerosol mixing states and parameter**
396 **intercomparisons**

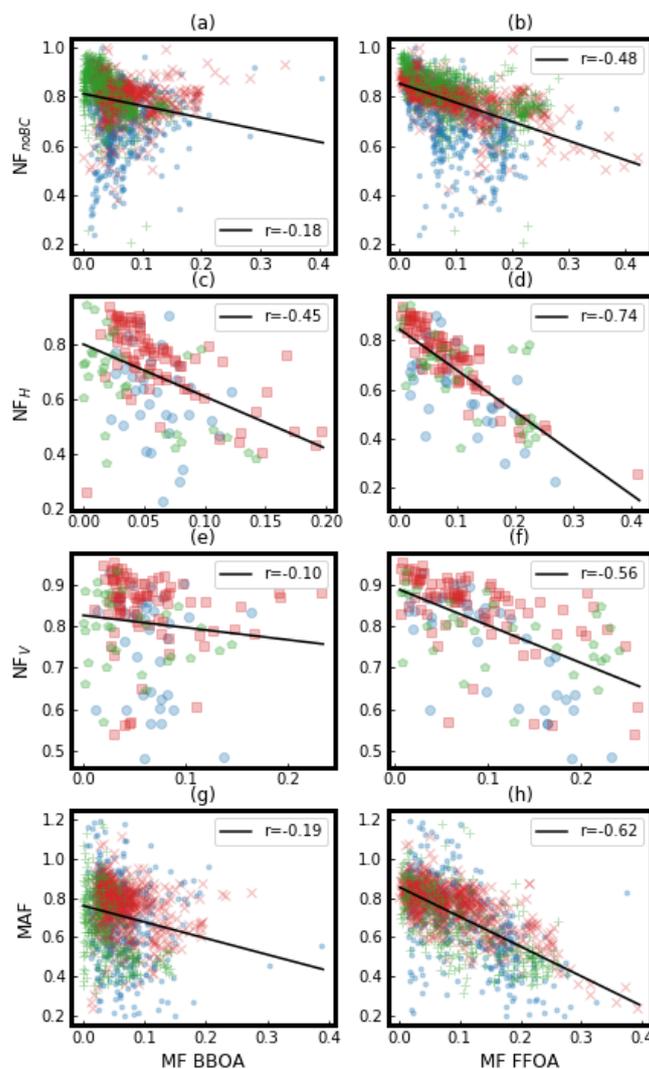


Fig. 5. The correlation between aerosol mixing state parameters and MF of primary organic aerosol chemical composition during different periods. Moderately polluted period: Blue dot or circle; Heavily polluted period: Red x or square; Clean period: Green plus or pentagon.

397 In Fig. 5, the correlation between each aerosol mixing state at 200 nm and the mass fraction of
398 each primary organic aerosol composition during the three periods is presented. In general, these four
399 mixing state parameters were negatively correlated with MF_{FFOA} and MF_{BBOA} . However, the

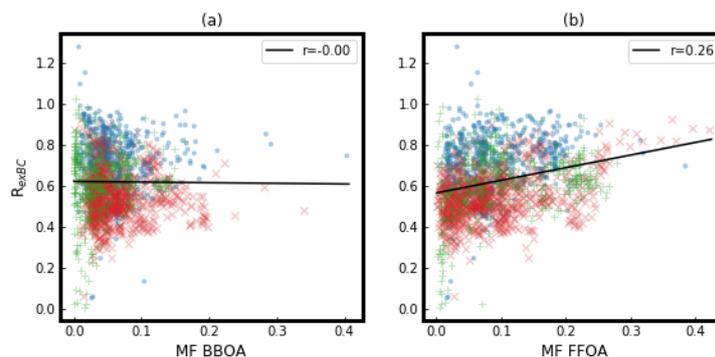


Fig. 6. The correlation between the ratio of external mixed BC in total BC particles and MF of primary organic aerosol chemical composition during different periods. Moderately polluted period: Blue dot; Heavily polluted period: Red x; Clean period: Green plus.

400 correlation with MF_{FFOA} was much weaker compared to MF_{BBOA} . Biomass burning emissions and
401 fossil fuel emissions are two major sources of BC in the NCP (Yang et al., 2022), and NF_{noBC} was
402 negatively correlated with MF_{FFOA} ($r=-0.49$) and weakly correlated ($r=-0.18$) with MF_{BBOA} , suggesting
403 that fossil fuel emission were the more likely source of BC during this field campaign. The negative
404 correlation between MAF and MF_{FFOA} was even weaker than that of NF_{noBC} with MF_{FFOA} (-0.62 vs. -
405 0.49). Especially, at the same MF_{FFOA} , MAF was lower than NF_{noBC} , demonstrating that some BC-free
406 particles were CCN-inactive, and likely mainly composed of organic aerosols from fossil fuel
407 combustion emission. The negative correlation between NF_V and MF_{FFOA} was slightly weaker than
408 that between NF_{noBC} and MF_{FFOA} (-0.56 vs -0.49). At the same MF_{FFOA} , NF_{noBC} was close to NF_V , and
409 considering that BC-containing aerosols were dominated by thinly coated BC during most times (as
410 shown in Fig.5), this demonstrates that the non-volatile population identified by the V-TDMA was
411 mainly contributed by BC-containing aerosols. NF_H had the lowest negative correlation with MF_{FFOA}
412 ($r=-0.74$), demonstrating the significant contributions from fossil fuel emissions to nearly hydrophobic
413 aerosol populations. At the same MF_{FFOA} , the NF_H was obviously lower than NF_{noBC} (larger and
414 smaller than 0.7 when MF_{FFOA} was larger than 0.1, respectively), demonstrating that a substantial
415 portion of nearly hydrophobic aerosols was not contributed by BC-containing aerosols, but likely by
416 FFOA or BBOA dominant aerosols (NF_H also had a negative correlation with MF_{BBOA}). However, the
417 markedly different correlations between MAF with MF_{FFOA} ($r=-0.62$) and between MAF with MF_{BBOA}
418 ($r=-0.2$) imply that those nearly hydrophobic but CCN-active aerosols were likely contributed by



419 biomass burning emissions. The correlations between the ratio of thinly coated BC in total BC-
420 containing particles and the mass fractions of BBOA and FFOA are shown in Fig.6, and weak
421 correlations between R_{exBC} with MF_{BBOA} and MF_{FFOA} are observed. However, R_{exBC} tended to increase
422 with MF_{FFOA} , suggesting that BC containing aerosols emitted from fossil fuel combustion tended to be
423 more externally mixed with other aerosol components than those emitted from biomass burning

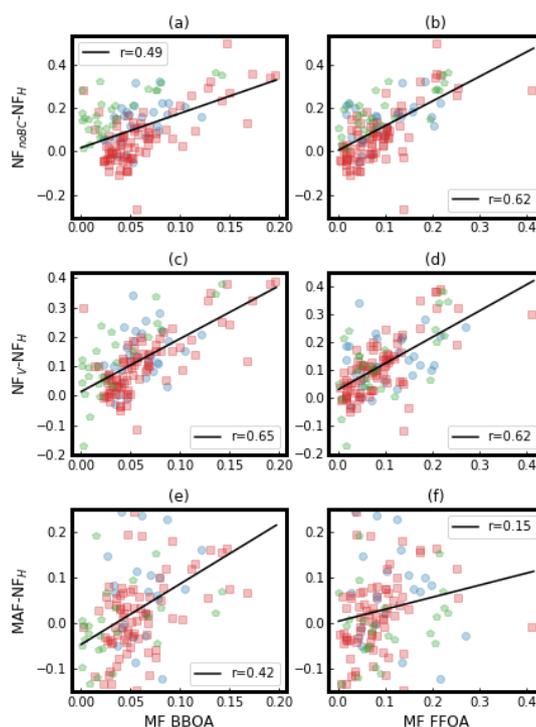


Fig. 7. The correlation between the difference among the four aerosol mixing state parameters and MF of primary organic aerosol chemical composition during different periods. Moderately polluted period: Blue circle; Heavily polluted period: Red square; Clean period: Green pentagon.

424 activities. These results demonstrate remarkably different mixing states as well as physical and
425 chemical properties of fossil fuel combustion aerosols and biomass burning aerosols.

426 The impact of primary emissions on the differences among the four aerosol mixing state
427 parameters is analyzed and shown in Fig. 7. The difference between $\text{NF}_{\text{noBC}} - \text{NF}_H$ and $\text{NF}_V - \text{NF}_H$ both
428 had strong positive correlations with MF_{FFOA} and MF_{BBOA} ($r > 0.5$), suggesting that a substantial
429 proportion of POA resided in BC-free aerosols and was volatile but contributed substantially to nearly



430 hydrophobic aerosols. The mass fractions of BBOA and FFOA were poorly linked with the difference
431 between MAF-NF_V or MAF-NF_{noBC} or NF_V-NF_{noBC} (Fig. S5). The difference between MAF-NF_H had
432 a positive correlation with MF_{BBOA}, further suggesting BBOA contributed to nearly hydrophobic
433 aerosols under subsaturated conditions, however, their hygroscopicity was enhanced and became
434 CCN-active at supersaturated conditions.

435

436 **3.4 Impacts of secondary aerosol formations on aerosol mixing states and parameter** 437 **intercomparisons**

438 The correlations between each aerosol mixing state parameter at 200 nm and the mass fraction of
439 each secondary aerosol component are presented in Fig. 8 for three periods and the entire campaign
440 are presented. Generally, MAF, NF_H, NF_V and NF_{noBC} had a strong positive correlation with
441 ammonium. This is likely due to the fact that ammonium was mainly formed through the neutralization
442 of sulfuric and nitric acid with ammonia, so variations in ammonium better represent overall secondary
443 inorganic aerosol formation. As shown in Fig.3, the secondary inorganic aerosol components
444 dominated over SA (about 50% vs about 70%), indicating that secondary aerosol formations were
445 primarily composed of secondary inorganic aerosol formation, which explains the weaker correlations
446 with SOA seen in Fig. 8.

447 During the clean period when the mass fraction of SOA and sulfate were both above 15%, all four
448 parameters had a strong positive correlation with MF_{SO4} and MF_{SOA} ($r > 0.5$), suggesting that when
449 clean background airmass with higher fractions of sulfate and SOA prevailed, local primary emissions
450 that contributed substantially to BC-containing and less hygroscopic POA aerosols became less
451 significant. The positive correlations between MAF and secondary aerosol components have been
452 extensively discussed by Tao et al. (2021), who found that secondary aerosol formations enhance the
453 hygroscopicity of nearly hydrophobic aerosols, thereby increasing CCN activity. This also explains
454 the highest correlations of NF_H or MAF with ammonium formation. The strong positive correlations
455 between NF_V and secondary aerosol formations are consistent with the fact that nitrate dominates
456 secondary aerosol formations during this campaign and nitrate is semi-volatile. For the first time, the
457 strong positive correlations between NF_{noBC} and secondary aerosol formations were revealed. This is
458 because NF_{noBC} primarily depends on the relative variations of BC-containing and BC-free aerosols.

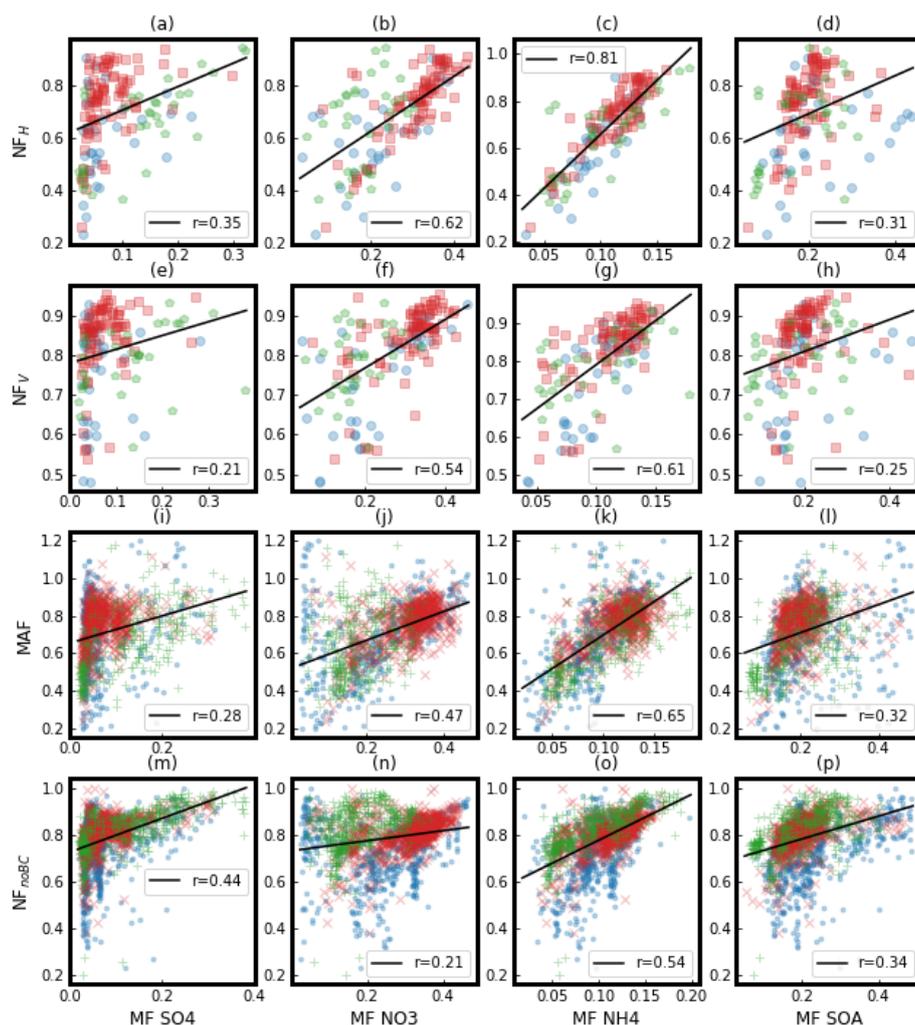


Fig. 8. The correlation between the four aerosol mixing state parameters and MF of secondary aerosol chemical composition during different periods. Moderately polluted period: Blue dot or circle; Heavily polluted period: Red x or square; Clean period: Green plus or pentagon.

459 The increase in NF_{noBC} at 200 nm as a function of secondary aerosol mass fraction suggests that
460 secondary aerosol formations migrated a higher fraction of BC-free aerosols smaller than 200 nm to
461 200 nm, highlighting that secondary aerosols tended to form more quickly on BC-free aerosols than
462 on BC-containing aerosols.

463 The effects of secondary aerosol formation on the differences between the four aerosol mixing
464 state parameters were studied and illustrated in Fig. 9. Differences between $NF_{noBC}-NF_H$ and NF_V-NF_H



465 both showed a strong negative correlation with MF_{NH_4} and MF_{NO_3} . As previously noted, NF_H typically

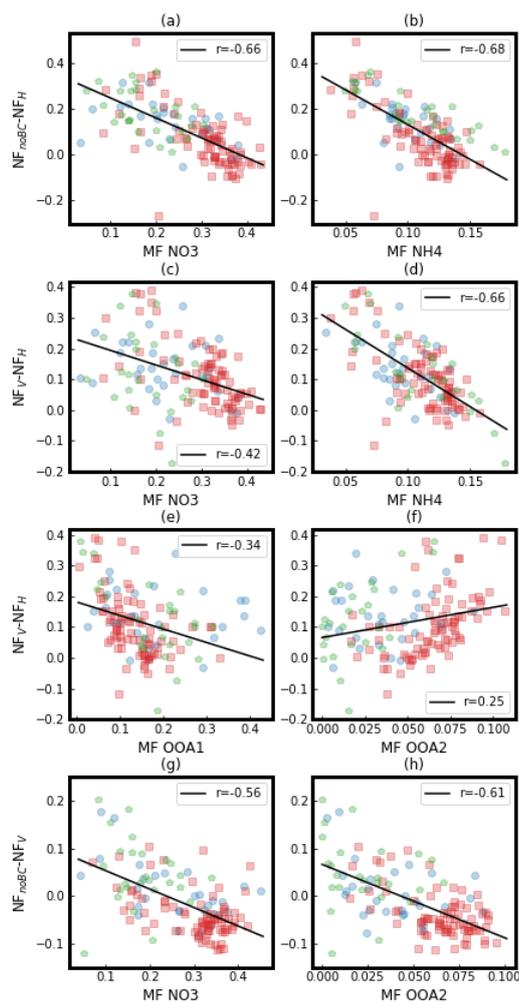


Fig. 9. The correlation between the difference among the four aerosol mixing state parameters and MF of secondary aerosol chemical composition during different periods. Moderately polluted period: Blue circle; Heavily polluted period: Red square; Clean period: Green pentagon.

466 had smaller values than NF_V and NF_{noBC} . Thus, a negative correlation with the mass fraction of
467 ammonium and nitrate indicates that the formation of secondary nitrate results in a smaller difference
468 between these mixing state parameters. The increase in the fraction of ammonium nitrate, a pure
469 scattering semi-volatile compound with strong hygroscopicity, can render the aerosol population more
470 dominated by particles with strong volatility and hygroscopicity. This can result in a smaller difference



471 between NF_{noBC} , NF_H , and NF_V as the secondary inorganic aerosol components increases. Furthermore,
472 the difference between $NF_V - NF_H$ showed a positive correlation with MF_{OOA2} and a negative correlation
473 with MF_{OOA1} , indicating different volatility and hygroscopicity of the two SOA factors. The variations
474 in the difference between NF_V and NF_H with the mass fraction of OOA1 and OOA2 are shown in Fig.
475 9(e) and (f). As previously noted, NF_V was generally higher than NF_H , and the difference between the
476 two decreases with an increase in MF_{OOA1} , which were generally smaller than 0.3. This suggests that
477 the formation of OOA1 enhances the hygroscopicity of volatile particles, which aligns with the highest
478 oxidation state of OOA1 (higher O/C but lower H/C compared to OOA2), which had significant and
479 overall positive impact on aerosol hygroscopicity (Cerully et al., 2015; Thalman et al., 2017; Zhang et
480 al., 2023). A positive correlation is seen between NF_V and MF_{OOA2} ($r \sim -0.25$), whereas the correlation
481 between NF_H and MF_{OOA2} is weak (R is close to 0), implying that OOA2 might be semi-volatile but
482 only weakly hygroscopic, which could contribute to NF_V being higher than NF_H as OOA1 increases.
483 The difference between $NF_{noBC} - NF_V$ is negatively correlated with MF_{NO3} , which is consistent with the
484 semi-volatile nature of nitrate. The negative correlation between $NF_{noBC} - NF_V$ and MF_{OOA2} indicates
485 that the difference is smaller when there is more OOA2, implying that OOA2 are also semi-volatile
486 compounds and were likely formed mainly on BC-free particles. The correlations between the
487 difference between $NF_V - MAF$ and $NF_{noBC} - MAF$ and the mass fraction of each secondary aerosol
488 composition are much weak. The impacts of secondary aerosol formation on BC mixing states are
489 depicted in Fig. S4. In general, the number fraction of thinly coated BC has a negative correlation with
490 SIA and a weak association with SOA, suggesting that SIA formation mainly enhances the thickness
491 of BC coating.

492 In addition to the changes in the mass fractions of secondary aerosol compositions, the
493 accumulation of pollution due to secondary aerosols may also provide insight into the impact of
494 secondary aerosol formations on aerosol mixing states. As shown in Fig. 10 (a), during the heavily
495 polluted periods, there were two distinct pollution accumulation processes from Oct 23rd to Oct 27th
496 and from Oct 28rd to Oct 31st, respectively. During the pollution accumulation process, the mass
497 concentration of secondary aerosols increased by approximately three times, indicating rapid
498 formation of secondary compositions and causing a significant rise in PM mass concentration. Fig. 10
499 (b and c) illustrates that this increase in secondary aerosols led to a significant enhancement of aerosol

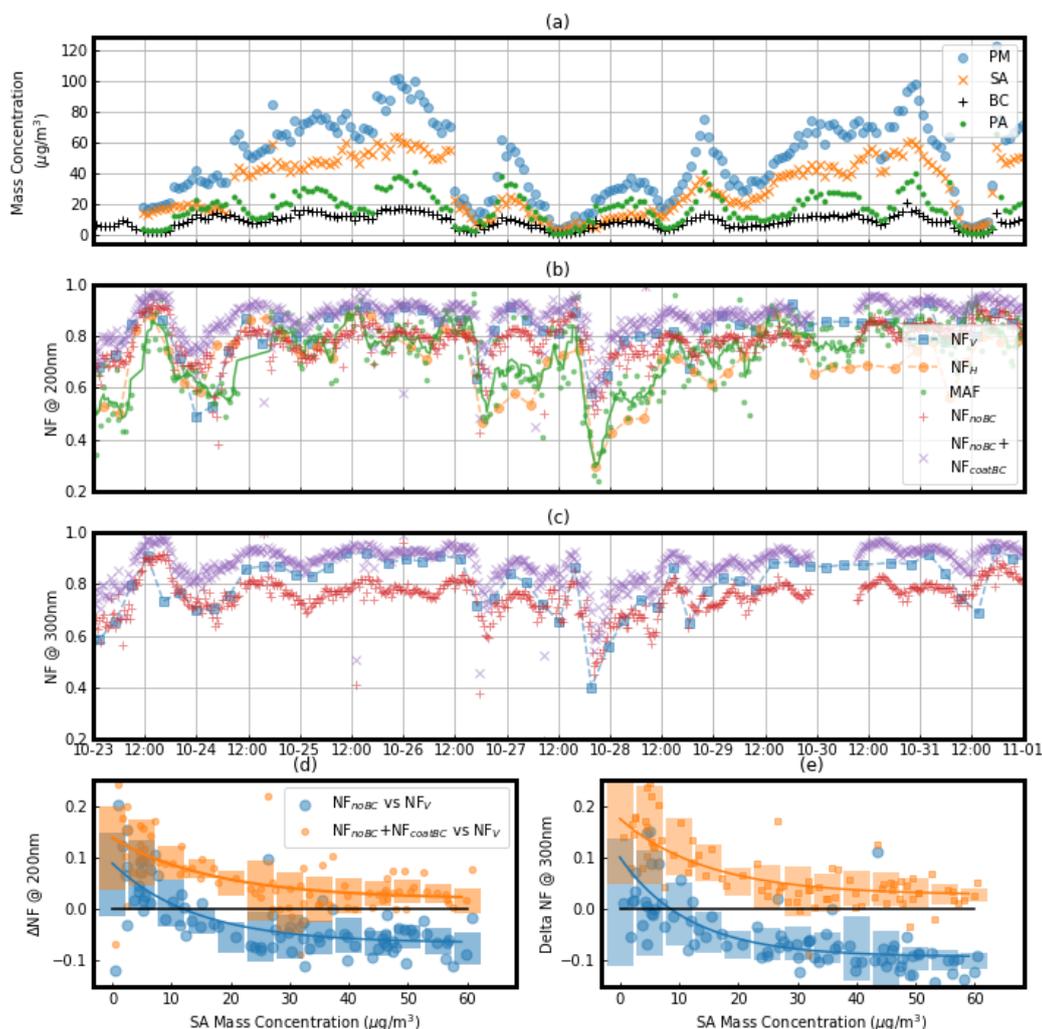


Fig. 10. The variations of different aerosol mixing state parameters during the pollution accumulation process. (a) The mass concentration of PM, SA, PA and BC (identified by colors and markers). (b and c) The variations of different aerosol mixing state parameters (identified by colors and markers) at particle size of 200nm (b) and 300nm (c); (d and e) The variations of the difference between $\text{NF}_V - \text{NF}_{\text{noBC}}$ (blue large circle) and $\text{NF}_V - \text{NF}_{\text{noBC}+\text{CBC}}$ (yellow small circle) with the mass concentration of SA at particle size of 200nm (d) and 300nm (e).

500 mixing state parameters, including MAF, NF_V , NF_H and NF_{noBC} , which rose from about 0.5 to about
 501 0.8 with evident diurnal variations. This highlights the impact of secondary aerosol formations on
 502 aerosol mixing states and the importance of studying the pollution accumulation processes of
 503 secondary aerosols. The enhancements of different aerosol mixing state parameters during the



504 pollution accumulation process were not uniform. MAF and NF_H initially showed lower values
505 compared to NF_V and NF_{noBC} , but their later enhancement was stronger than that of NF_{noBC} . Fig. 10 (d)
506 and (e) show the difference between NF_{noBC} and NF_V at 200 nm and 300 nm as a function of secondary
507 aerosol mass concentrations during these two pollution periods, which clearly displays how during
508 secondary aerosol formations NF_V became higher than NF_{noBC} while NF_V kept close to NF_{noBC} plus
509 the number fraction of thickly coated BC containing aerosols. The results suggest that secondary
510 aerosol formation increases the volatility of BC-free and BC-containing aerosols, leading increased
511 NF_V compared to NF_{noBC} . And almost all BC-free particles and some BC-containing aerosols became
512 volatile during the pollution accumulation process.

513

514 4. Conclusions

515 The aerosol mixing state is one of the most important physicochemical properties of aerosol
516 particles, which has significant impacts on the optical properties and CCN activity of aerosol particles.
517 Aerosol mixing state varies largely under complex aerosol emissions and atmospheric transformations.
518 In this study, aerosol mixing states derived from CCN activity, hygroscopicity, volatility and BC
519 particles observations, along with their relationship to primary aerosols emissions and secondary
520 aerosol formations, were systematically analyzed based on simultaneous measurements of a CCNC, a
521 H/VTDMA and a SP2. Statistical analysis demonstrated that the number fraction of CCN-active,
522 hygroscopic and volatile particles were generally positively correlated to one another and were
523 contributed mainly by BC-free aerosols. Therefore, NF_{noBC} , MAF, NF_H , and NF_V were all negatively
524 correlated to either MF_{FFOA} or MF_{BBOA} , because fossil fuel combustion and biomass burning were two
525 major sources of BC-containing aerosols during this field campaign. However, differences among
526 these mixing state parameters varied much under different conditions.

527 The intercomparison results highlight the differences in aerosol mixing states and physicochemical
528 properties caused by fossil fuel combustion and biomass burning emissions. CCN and SP2
529 measurements showed that CCN-inactive, BC-free particles were mainly produced by fossil fuel
530 combustion. On the other hand, the comparison between VTDMA and SP2 measurements indicated
531 that non-volatile aerosols were mostly composed of BC-containing particles. The comparison between
532 HTDMA and SP2 measurements revealed that a significant proportion of nearly hydrophobic aerosols



533 was not from BC-containing particles, but from fossil fuel combustion or biomass burning dominated
534 organic aerosols. The correlation between CCNC and HTDMA measurements also showed that nearly
535 hydrophobic, BC-free aerosols can become CCN-active under supersaturated conditions and were
536 tightly linked to biomass burning emissions. This suggests that biomass burning aerosols might exhibit
537 different hygroscopicity under sub- and super- saturated conditions (Bougiatioti et al., 2016).
538 Furthermore, the correlation analysis between SP2 measurements and mass fractions of BBOA and
539 FFOA indicated that BC-containing aerosols from fossil fuel combustion tend to be more externally
540 mixed with other aerosol compositions compared to those from biomass burning activities.

541 Other than primary aerosol emissions, secondary aerosol formations also exerted significant
542 impacts on variations of aerosol mixing states. During this campaign, the secondary aerosol formation
543 was dominated by nitrate and SOA production, which have markedly different impacts on aerosol
544 mixing states due to their different physical properties and formation pathways. NF_{noBC} , MAF, NF_H ,
545 and NF_V were all positively correlated with nitrate and SOA mass fractions, revealing much stronger
546 correlations to SOA than with nitrate. This is consistent with the semi-volatile but highly hygroscopic
547 properties of nitrate. The high correlation coefficient between NF_{noBC} and the mass fractions of
548 secondary aerosols at 200 nm and 300 nm suggests that secondary aerosol formation led to the
549 migration of BC-free aerosols towards larger diameters more quickly than BC-containing aerosols.
550 This outcome reveals that secondary aerosols formed more rapidly on BC-free aerosols than on BC-
551 containing aerosols, which is in line with the BC-containing aerosols' hydrophobic nature that does
552 not favor aqueous secondary aerosol formation. Moreover, as the mass fractions of nitrate or
553 ammonium increased, the differences among mixing state parameters (NF_{noBC} , MAF, NF_H and NF_V)
554 mostly decreased due to the hygroscopic and semi-volatile nature of ammonium nitrate. However, the
555 two resolved SOA factors exhibited different impacts on NF_V - NF_H , and their correlations with NF_V
556 and NF_H revealed that OOA1 was more hygroscopic but less volatile, suggesting distinct formation
557 mechanisms for the two OOA factors during the field campaign.

558 The findings of this study highlight markedly different effects of primary emissions and
559 secondary aerosol formations on aerosol mixing states and suggest that comparisons of aerosol mixing
560 states obtained using various techniques are useful for gaining insights into the hygroscopicity,
561 volatility, and CCN activity of different aerosols. These comparisons can also indicate the impacts of



562 secondary aerosol formations on aerosol physical properties, which can help to understand the
563 pathways of secondary aerosol formation. However, it is important to be cautious in the application of
564 aerosol mixing state parameters, as the suitability of VTDMA-derived mixing state parameters in
565 representing BC mixing states is largely dependent on the composition and mass of secondary aerosols.
566



567 **Data availability.** The data used in this study are available from the corresponding author upon request
568 Ye Kuang (kuangye@jnu.edu.cn) and Li Liu (liul@gd121.cn)

569 **Competing interests.** The authors declare that they have no conflict of interest.

570

571 **Author Contributions.**

572 YK and WY planned this campaign and YK designed the aerosol experiments and conceived this
573 research together with JC, and JC wrote the manuscript. JC performed measurements of CCNC, BL
574 performed measurements of SP2 and analyzed SP2 datasets with the help of GZ, WQ and YL
575 performed AMS measurements, LL performed HV-TDMA measurements and conducted post-data
576 processing as well as some of data analysis. BX, HX, MMZ, HZ and SR participated this campaign
577 and helped instruments maintenance. GZ provided full support for the campaign. All authors
578 contributed to discussions and revisions of this paper.

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