

1 **Amino acids, carbohydrates and lipids in the tropical oligotrophic Atlantic**
2 **Ocean: Sea-to-air transfer and atmospheric in situ formation**

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37 **Abstract**

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39 This study examines carbohydrates, amino acids, and lipids as important contributors to
40 organic carbon (OC) in the tropical Atlantic Ocean at the Cape Verde Atmospheric Observatory
41 (CVAO). The above compounds were measured in both surface seawater and in ambient
42 submicron aerosol particles to investigate their sea-to-air transfer, including their enrichment
43 in the sea surface microlayer (SML), potential atmospheric in situ formation or degradation,
44 and their oceanic contribution to the ambient marine aerosol particles.

45 In bulk seawater and the SML, similar distributions among species were found for the lipids
46 and carbohydrates with moderate SML enrichments (enrichment factor $EF_{SML} = 1.3 \pm 0.2$ and
47 1.1 ± 0.5 respectively). In contrast, the amino acids exhibited a higher enrichment in the SML
48 with an average EF_{SML} of 2.3 ± 0.4 although they are less surface-active than lipids. The same
49 compounds studied in the seawater were found on the ambient submicron aerosol particles
50 whereas the lipids were more pronounced enriched ($EF_{aer.} = 1.6 \times 10^5$) compared to the amino
51 acids and carbohydrates ($EF_{aer.} = 1.5 \times 10^3$ and 1.3×10^3 respectively), likely due to their high
52 surface activity and/or the lipophilic character. Detailed molecular analysis of the seawater
53 and aerosol particles revealed changes in the relative abundance of the individual organic
54 compounds. They were most pronounced for the amino acids and are likely related to an in
55 situ atmospheric processing by biotic and/or abiotic reactions.

56 On average 49% of the OC on the aerosol particles ($\cong 97 \text{ ng m}^{-3}$) could be attributed to the
57 specific components or component groups investigated in this study. The majority (43%) was
58 composed of lipids. Carbohydrates and amino acids made up less than 1% of the OC. This
59 shows that carbohydrates, at least when resolved via molecular measurements of single
60 sugars, do not comprise a very large fraction of OC on marine aerosol particles, in contrast to
61 other studies. However, carbohydrate-like compounds are also present in the high lipid
62 fraction (e.g., as glycolipids), but their chemical composition could not be revealed by the
63 measurements performed here.

64 Previously determined OC components at the CVAO, specifically amines, oxalic acid, and
65 carbonyls, comprised an OC fraction of around 6%.

66 Since the identified compounds constituted about 50% of the OC and belong to the rather
67 short-lived biogenic material probably originating from the surface ocean, a pronounced
68 coupling between ocean and atmosphere was indicated for this oligotrophic region. The
69 remaining, non-identified OC fraction might in part contain recalcitrant OC, however, this
70 fraction does not constitute the vast majority of OC in the aerosol particles here investigated.

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73 **Keywords:** organic carbon, lipids, amino acids, carbohydrates, sea surface microlayer, aerosol
74 particles, Atlantic Ocean, CVAO

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78 **1 Introduction**

79 Marine aerosol particles, their composition, sources and connection to the upper ocean are
80 not yet fully understood, however important as they impacts the carbon cycle and radiative
81 properties of aerosol particles (Abbatt et al., 2019; Brooks and Thornton, 2018; Burrows et al.,
82 2013; Gantt and Meskhidze, 2013; Pagnone et al., 2019; Patel and Rastogi, 2020).
83 Furthermore, the function of (marine) aerosol particles as cloud condensation nuclei (CCN)
84 and ice-nucleating particles (INP), i.e. marine aerosol-cloud interaction is still elusive.
85 Important information about marine CCN is still missing (e.g. Bertram et al., 2018). Ocean-
86 derived INPs have been suggested to play a dominant role in determining INP concentrations
87 in near-surface air over remote areas such as the Southern Ocean, but their source strength
88 in other oceanic regions and knowledge of which physicochemical properties determine INP
89 efficiency remain largely unknown (Burrows et al., 2013; McCluskey et al., 2018a; McCluskey
90 et al., 2018b). Marine aerosol particles, notably in the sub-micrometer range, have been
91 shown to contain a large part of organic carbon (OC) in field experiments as well as in
92 laboratory studies, where nascent aerosol particles are generated by artificial bubble-bursting
93 mechanisms (Facchini et al., 2008; Keene et al., 2007; O'Dowd et al., 2004). Notably, the
94 laboratory experiments, where sources other than the ocean (such as long-range transport)
95 can be excluded, suggest that a certain part of the OC on the aerosol particles is transferred
96 directly from the ocean via bubble bursting (Facchini et al., 2008; Keene et al., 2007). The
97 mechanisms of the OC enrichment finally observed in aerosol particles are not yet fully
98 understood but are likely due to complex interaction at the ocean surface when air bubbles
99 rise and break. Air bubbles collect (organic) matter at their surface (the gas/water interface)
100 when they ascend through the water column and when bursting, they produce film and jet
101 droplets that transfer the OC to the atmosphere and form aerosol particles. At the ocean
102 surface, the air bubbles enter the uppermost layer and the direct interface between the ocean
103 and the atmosphere called the sea surface microlayer (SML) (Engel et al., 2017). The SML is
104 described as a gel-like matrix that accumulates various organic and inorganic material (Cunliffe
105 et al., 2013). The influence of the SML on the bubble bursting and the emission of OC into the
106 atmosphere is difficult to determine and still controversial (Engel et al., 2017).

107 Based on the OC to sodium ratios in the ocean and the atmosphere, the OC in marine
108 aerosol particles has shown to be strongly enriched compared to seawater concentrations. OC
109 aerosol enrichment factors ($EF_{aer.}$) of the order of 10^2 in supermicron aerosol particles and of
110 the orders of 10^3 to 10^5 in submicron aerosol particles have been reported (Quinn et al., 2015
111 and references therein). However, individual chemical groups, such as amino acids, can be
112 even more enriched and $EF_{aer.}$ as high as 10^7 for these particular compounds have been
113 measured in submicron particles resulting from bubble bursting experiments within a tank
114 study (Triesch et al., 2021c). The OC transfer from the ocean to the atmosphere is likely highly
115 chemo-selective and a hydrophobic nature as well as surface-active properties of organic
116 compounds probably favour their transfer from the sea to the air (Rastelli et al., 2017; Schmitt-
117 Kopplin et al., 2012).

118 An important component to understand the OC on marine aerosol particles is the
119 connection to oceanic bio-productivity. Several studies suggested that the marine aerosol
120 composition is directly coupled to the productivity in the ocean, showing that at elevated
121 chlorophyll-a (chl-a) concentrations in the seawater the OC on the aerosol particles is
122 significantly higher when compared to the low oceanic productivity (O'Dowd et al., 2004;
123 Facchini et al., 2008). A coupling between oceanic bio-productivity and aerosol composition is
124 probably not straightforward. Wang et al. (2015) showed that two successive phytoplankton
125 blooms in the tank seawater resulted in sea spray aerosols (SSA) with vastly different
126 compositions and properties. Other studies, however, propose that the OC transfer from the
127 ocean to aerosol particles is non-correlated to oceanic bio-productivity. Quinn et al. (2014)
128 suggested that the high reservoir of dissolved organic carbon (DOC) in the ocean is responsible
129 for the organic enrichment in freshly emitted sea spray aerosol, thus dominating over any
130 influence of recent local biological activity based on chlorophyll concentrations. Following this,
131 Kieber et al. (2016) proposed that the major component in submicron sea-spray particles is of
132 recalcitrant nature with a stability of months to millennia. They suggested that this persistent
133 form of OC can very efficiently be transferred to the atmosphere via bubble bursting. Although
134 they did not perform a detailed chemical analysis, they concluded that the recalcitrant organic
135 matter exhibits surface-active properties. Applying natural abundance radiocarbon (^{14}C)
136 measurements it was recently suggested that 19 to 40% of the OC associated with freshly
137 produced marine aerosol particles was refractory dissolved organic carbon (rDOC) (Beaupre
138 et al., 2019).

139 In addition to the direct, or primary transfer of organic compounds from the ocean to
140 the atmosphere, atmospheric processing changes the composition. Once released from the
141 ocean to the atmosphere, organic matter can be acidified within seconds due to a pH change
142 in the atmospheric particles or undergo fast photochemical oxidation (Kieber et al., 2016).
143 Moreover, biogenic in situ formation and degradation can change the OC composition in
144 marine aerosol particles and marine cloud water (Bianco et al., 2019; Malfatti et al., 2019;
145 Matulova et al., 2014). Ervens and Amato (2020) provided a framework for estimating the
146 production of secondary biological aerosol mass in clouds through microbial cell growth and
147 multiplication. This pathway could be a significant source of biological aerosol material (Ervens
148 and Amato, 2020; Khaled et al., 2021; Zhang et al., 2021). In other recent studies, the in situ
149 formation of amino acids by biotic and abiotic processes in cloud water was measured and
150 modelled (Jaber et al., 2021) and gel-like, organic particles, originally present in the ocean,
151 were suggested to form in situ in the marine atmosphere via biotic and/or abiotic pathways
152 (Haddrell and Thomas, 2017; Klein et al., 2016; van Pinxteren et al., 2022). Nevertheless,
153 despite some studies, the atmospheric in situ transformation of marine organic compounds
154 and its significance has not yet been extensively studied.

155 To understand the transfer processes of OC from the ocean to the aerosol particles,
156 potential atmospheric OC in situ formation as well as the coupling of the OC on the aerosol
157 particles to processes in the ocean, it is crucial to unravel the chemical composition of the
158 aerosol OC content. In the present study, we investigated samples from the tropical Atlantic
159 Ocean at the CVAO. The focus of this study was on the analysis of amino acids and

160 carbohydrates, as well as of lipid components, as these OC groups are reported as the major
161 marine organic matter groups in the seawater and therefore likely transferred to the aerosol
162 particles via bubble bursting (Burrows et al., 2014). We investigated these compounds on
163 marine aerosol particles and in the ocean SML and bulk water. Specifically, we followed the
164 concentrations and speciation of OC from the sea to the atmospheric particles, which helps to
165 evaluate the enrichment factors of the various targeted compounds between the
166 compartments. The results will help to gain a better understanding of the chemical
167 composition of marine aerosol particles in this tropical location, its transfer from the ocean
168 and in situ formation, and finally, help to elucidate the coupling of marine aerosol particles to
169 the surface ocean in an oligotrophic region.

170

171 **2. Material and methods**

172 **2.1 Aerosol and seawater sampling during the campaign**

173

174 A field campaign (MarParCloud) was carried out at the Cape Verde Atmospheric Observatory
175 (CVAO, 16°51'49"N, 24°52'02"E) in autumn 2017 (13 September 2017 – 13 October 2017) and
176 the sampling sites are illustrated and explained in detail in van Pinxteren et al. (2020). The
177 CVAO is a remote marine station in the tropical Atlantic Ocean located on the northeast coast
178 of Sao Vicente island and described in Carpenter et al. (2010) and Fomba et al. (2014). The
179 ocean around the Cape Verde Islands has the lowest surface chlorophyll in the North Atlantic
180 Ocean with values below 0.2 $\mu\text{g L}^{-1}$ for the majority of each year with periodic events of slightly
181 elevated concentrations up to 0.7 $\mu\text{g L}^{-1}$ (van Pinxteren et al., 2020 and refs therein).

182 Submicron aerosol particles were sampled on preheated 150 mm quartz fiber filters
183 (Munktell, MK 360) at a flow rate of about 700 L min^{-1} with a high volume PM_{10} aerosol sampler
184 (Digitel, Riemer, Germany) installed on the 30 m height tower at the coastline. The sampling
185 times were usually set to 24 h and are listed in Tables S4 and S5, as well as in Triesch et al.
186 (2021b) and in van Pinxteren et al. (2020). Regarding the aerosol sampling system, it needs to
187 be underlined that artefact problems and overestimations due to gas phase absorption and
188 underestimations due to re-volatilisations of the analytes from the filters may represent a
189 certain level of uncertainty. To this end great care was taken to apply field blanks and correct
190 for blank values as pointed out in detail in Sect. 2.2.3.

191 The seawater samples were taken at Bahia das Gatas, a coastal site that is upwind and
192 about 4 km northwest of the CVAO (Figure S1). Fishing boats were rented to go out on the
193 open ocean and the SML was sampled with a typical glass plate SML sampling strategy
194 (Cunliffe and Wurl, 2014). To this end, a glass plate with a sampling area of 2000 cm^2 was
195 vertically immersed into the water and then slowly drawn upwards with a withdrawal rate
196 between 5 and 10 cm s^{-1} . The surface film adheres to the surface of the glass and is removed
197 using framed Teflon wipers (Stolle et al., 2010; van Pinxteren et al., 2012). Bulk seawater was
198 collected with a specially designed device consisting of a plastic bottle mounted on a
199 telescopic rod. The bottle was opened underwater at depth of 1 m with a specifically
200 conceived seal-opener.

201 For the sampling of the oceanic water samples, great care was taken that all parts that
202 were in contact with the sample (glass plate, bottles) underwent an intense cleaning with 10%
203 HCl and rinsing with ultrapure water (resistivity = 18.2 MΩ cm) prior to the campaign and in
204 between sampling to avoid contamination and carry over problems.

205 After the sampling, all seawater and aerosol samples were stored frozen at -20°C,
206 transported in a reefer at -20 °C to the TROPOS laboratories where they were stored frozen
207 until analysis.

208

209 2.2 Chemical analysis

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211 2.2.1. Seawater and aerosol analysis: general considerations

212

213 Within the seawater analysis, we measured the dissolved amino acids (DAA) and
214 dissolved carbohydrates (DCHO) in the DOC fraction, as DOC represents by far the largest pool
215 of organic material in the ocean (Riebesell et al., 2011). DOC is the fraction of OC that passes
216 through a GF-F filter of 0.7 μm pore size (Wurl, 2009), however, filter pore sizes between 0.2
217 and 1.2 μm are often applied e.g. due to requirements of the analytical system (e.g.
218 (Romankevich, 1984; Zäncker et al., 2017). The lipid measurements (from the same samples)
219 were taken from Triesch et al. (2021b), are included in the DOC fraction as well, being filtered
220 via a 0.7 μm pore-sized filter (GF-F, Whatmann) and extracted in an organic solvent, and refer
221 to dissolved lipids (DL).

222 For the aerosol particles (PM₁), we focused on the water-soluble organic (WSOC)
223 fraction of the amino acids (AA_{aer.}) and carbohydrates (CHO_{aer.}). The lipids, however, were
224 extracted with an organic solvent and filtered (analogous to seawater), meaning they
225 comprise the organic-soluble organic fraction of the aerosol particles (Lipids_{aer.}).

226

227 2.2.2. Analytical methods

228

229 For the analysis of the DCHO and CHO_{aer.} as well as and the DAA and AA_{aer.}, molecular-
230 resolved techniques were applied. DCHO in filtered (0.2 μm Millex syringe filters) and
231 desalinated SML and bulk water samples as well as CHO_{aer.}, in the filtered PM₁ extracts were
232 quantified using High-Performance Anion-Exchange Chromatography coupled with Pulsed
233 Amperometric Detection (HPAEC-PAD) (Zeppenfeld et al., 2020; Zeppenfeld et al., 2021). After
234 an acid hydrolysis (0.8 M HCl, 100°C, 20 h) the monosaccharides fucose (Fuc), rhamnose (Rha),
235 arabinose (Ara), galactose (Gal), glucose (Glc), xylose (Xyl), mannose (Man), galactosamine
236 (GalN), glucosamine (GlcN), muramic acid (MurAc), galacturonic acid (GalAc) and glucuronic
237 acid (GlcAc) were measured.

238 For the DAA analysis, seawater samples (25.5 mL) were desalinated and concentrated
239 to a few mL as described in Triesch et al. (2021a). For the AA_{aer.} from PM₁ particles, an aqueous
240 extract of the amino acids was prepared by shaking a piece of the filter in 2 mL water. After a
241 filtration step (filter pore size: 0.2 μm) 25 μL of ascorbic acid (20 mg mL⁻¹, purity 99 %, Sigma-
242 Aldrich, St. Louis, Missouri, USA) was added to a 200 μL aliquot of the desalted seawater /

243 aqueous filter extract to avoid the oxidation of the obtained amino acids as suggested in
244 Mandalakis et al. (2010). Following the addition of 250 μL HCl (Supra-quality,
245 ROTIPURAN®Supra 35%, Carl Roth, Karlsruhe, Germany), the hydrolysis was performed at
246 110 °C for 20 h. After cooling to room temperature, the hydrolysed filtrate was evaporated,
247 resolved in 500 μL milliQ-water (Millipore Elix 3 and Element A10, Merck Millipore, Darmstadt,
248 Germany), filtered, derivatized using AccQ-Tag™ precolumn derivatization method (Waters,
249 Eschborn, Germany), and measured by ultra-high performance liquid chromatography with
250 electrospray ionization and Orbitrap mass spectrometry (UHPLC/ESI-Orbitrap-MS), as
251 described in Triesch et al. (2021a). The analytes include the amino acids glycine (Gly), alanine
252 (Ala), serine (Ser), glutamic acid (Glu), threonine (Thr), proline (Pro), tyrosine (Tyr), valine (Val),
253 phenylalanine (Phe), aspartic acid (Asp), isoleucine (Ile), leucine (Leu), methionine (Met),
254 glutamine (Gln) and γ -aminobutyric acid (GABA) (purity \geq 99 %, Sigma-Aldrich, St. Louis,
255 Missouri, USA).

256 The DL and Lipids_{aer.} measurements were taken from Triesch et al. (2021b), where the
257 analysis were done with a semi-molecular technique. For a better understanding of the data,
258 a short description is given in the following: the dissolved fraction of seawater samples
259 (obtained after filtration through pre-combusted 0.7 μm GF/F filters) and aerosol filters were
260 extracted with dichloromethane according to specific protocols after adding 2-hexadecanone
261 as an internal standard, as described in more detail in Triesch et al. (2021b). The extract was
262 analysed with thin-layer chromatography (TLC). Lipid classes were separated on Chromarods
263 SIII and calibrated with an external calibration with a mixture of standard lipids by a
264 chromatograph flame ionisation detector (FID) Iatroscan MKVI (Iatron, Japan). The separation
265 scheme included elution steps in the solvent systems with increasing polarity. The lipid classes
266 included hydrocarbons (HC), fatty acid methyl esters (ME), free fatty acids (FFA), alcohols
267 (ALC), 1,3-diacylglycerols (1,3 DG), 1,2-diacylglycerols (1,2 DG), monoacylglycerols (MG), wax
268 esters (WE), triacylglycerols (TG), pigments (PIG), phospholipids (PP) including
269 phosphatidylglycerols (PG), phosphatidylethanolamine (PE), phosphatidylcholines (PC), as
270 well as glycolipids (GL) which cover sulfoquinovosyldiacylglycerols (SQDG), monogalactosyl-
271 diacylglycerols (MGDG), digalactosyldiacylglycerols (DGDG) and sterols (ST). It needs to be
272 underlined that, as no single lipid compound but rather lipid groups (based on varying polarity
273 in the TLC system) were measured, the lipid results can be classified as analysis on a semi-
274 molecular level.

275 OC on the aerosol particles (PM₁ samples) was measured by means of a thermal-optical
276 method using the Sunset Laboratory Dual-Optical Carbonaceous Analyzer (Sunset Laboratory
277 Inc., U.S.A.) from a filter piece with an area of 1.5 cm². The EUSAAR 2 temperature protocol
278 was utilized, and a charring correction was applied (Cavalli et al., 2010). The correction value
279 for pyrolytic carbon was determined based on measurements of a sample transmission using
280 a 678 nm laser. Samples were thermally desorbed from the filter medium under an inert He-
281 atmosphere followed by an oxidizing O₂/He-atmosphere while applying carefully controlled
282 heating ramps. A flame ionization detector was used to quantify methane following a catalytic
283 methanation of CO₂.

284 Sodium was measured from filtered (0.45 µm syringe filter), aqueous extracts of the
285 PM₁ samples using ion chromatography (more details in Zeppenfeld et al. 2021 and van
286 Pinxteren et al. 2022). The details on sampling and the sample treatment are summarized in
287 Table S1.

288 Atmospheric concentrations were calculated from the measured analyte
289 concentrations on the filter or in the filter extract. The masses of the analytes on the filter were
290 extrapolated to the total filter and related to the collected air volume that flowed through the
291 filter.

292

293 2.2.3 Detection limits and blank handling

294

295 Detection limits were 0.5-2.5 µg L⁻¹ (DAA) and 0.1-0.2 pg m⁻³ (AA_{aer.}) (Triesch et al.,
296 2021a; Triesch et al., 2021c) and 0.13-0.7 µg L⁻¹ (DCHO) and 0.5-5 pg m⁻³ (CHO_{aer.}) (Zeppenfeld
297 et al., 2021). Regarding the lipids, the method was carefully optimized for seawater analysis
298 (Gašparović et al., 2015, 2017) and adopted for aerosol particle analytics as described in
299 Triesch et al. (2021b). The detection limits were determined as the analyte concentrations
300 corresponding to a signal-to-noise (S/N) ratio of 3 ranged from 0.06 to 0.33 µg as reported
301 previously in Penezić et al. (2022).

302 For the seawater analysis, field blanks were taken by filling high-purity water in pre-
303 cleaned plastic bottles and handled the same as the seawater samples. The seawater blanks
304 were in general below 10% and for the lipids always below 15% (Triesch et al., 2021b). All data
305 are blank corrected by subtracting the field blank values from the samples.

306 Field blanks for aerosol particles were prepared using pre-baked quartz fiber filters
307 without an active sampling and treated according to the same procedure as the field samples.
308 The concentrations of the target analytes were calculated by external calibration. Each sample
309 was measured twice with a relative standard deviation of typically < 10 %, and field blanks,
310 which were for most compounds negligible and for the lipid classes always below 20% of the
311 real aerosol particle sample, were subtracted. All presented values are corrected for the field
312 blank.

313

314 2.3 Enrichment factors

315

316 The SML enrichment factor (EF_{SML}) was calculated by dividing the concentration of the
317 analyte in the SML with the concentration of the analyte in the bulk water after equation (1):

318

$$319 \quad EF_{SML} = \frac{c(\text{analyte})_{SML}}{c(\text{analyte})_{bulk\ water}} \quad (1)$$

320

321 An enrichment in the SML is indicated with EF_{SML} > 1 and a depletion in the SML with EF_{SML} < 1.

322 The enrichment factor of aerosol (EF_{aer.}) is a quantitative metric for comparing
323 compounds in the ocean and in the atmosphere. The EF_{aer.} concept is mainly applied to closed
324 systems (Quinn et al., 2015 and refs. therein; Rastelli et al., 2017) as degradation and formation

325 pathways on aerosol particles including photochemical and biotic atmospheric reactions and
 326 contributions from other (non-marine) sources are excluded from this parameter.
 327 Nevertheless, for comparison purposes, it is useful to apply the $EF_{aer.}$ to open systems as well,
 328 as shown in several studies (Russell et al., 2010; Triesch et al., 2021a; Triesch et al., 2021b; van
 329 Pinxteren et al., 2017; Zeppenfeld et al., 2021). To this end, the concentration of the analyte
 330 of interest in each compartment is related to the respective sodium concentration (equation
 331 2), because sodium is regarded as a conservative sea salt tracer transferred to the atmosphere
 332 in the process of bubble bursting (Sander et al., 2003).

$$333 \quad EF_{aer.} = \frac{c_{(analyte)_{aer.}} / c_{(Na^+)_{aer.}}}{c_{(analyte)_{seawater}} / c_{(Na^+)_{seawater}}} \quad (2)$$

336 To account for the different timescales for ocean and atmospheric processes and to
 337 improve robustness, we applied the average concentration values of the respective compound
 338 groups in seawater and on aerosol particles as done by Zeppenfeld et al. (2021) and van
 339 Pinxteren et al. (2022). It should be noted that EF_{SML} and $EF_{aer.}$ comparisons include samples
 340 from the same campaign but not necessarily from the same exact date (details in Table1 and
 341 Tables S2 - S5). However, as our focus was not on showing trends within the campaign (this
 342 was covered by Triesch et al. 2021a,b) but rather on comparing the three different OC groups
 343 with each other, the usage of average values is justified. To investigate the variability of the
 344 $EF_{aer.}$, the minimum ratio of $c_{(analyte)_{aer.}} / c_{(Na^+)_{aer.}}$ vs. the maximum ratio of $c_{(analyte)_{seawater}} / c_{(Na^+)_{seawater}}$
 345 was applied and vice versa. As seawater concentration, the bulk water or the SML
 346 concentration can be applied.

347 Statistical significance was evaluated using the analysis of variance (ANOVA).

348

349 3. Results and Discussion

350 3.1 SML and bulk water: concentration and composition

351 Figure 1 shows the analyte concentrations in the bulk ocean water (DAA: $80 \pm 53 \mu\text{g L}^{-1}$
 352 1 , DCHO: $78 \pm 15 \mu\text{g L}^{-1}$, DL: $70 \pm 25 \mu\text{g L}^{-1}$) and in the SML (DAA: $190 \pm 238 \mu\text{g L}^{-1}$, DCHO: $85 \pm$
 353 $30 \mu\text{g L}^{-1}$, DL: $83 \pm 24 \mu\text{g L}^{-1}$). Hence, the average concentrations for DCHO and DL are similar
 354 in the bulk water and in the SML (detailed values in Sect. 2.2.2, Table 1 and DL concentrations
 355 can be found in Triesch et al. (2021b)). For the DAA, however, SML concentrations show a
 356 larger variability compared to the other compounds and to bulk water. However, despite the
 357 variability of the DAA in the SML, they are not statistically different to the other two
 358 compound groups (DL and DCHO) as well as to the DAA in the bulk water (Anova one way, $p >$
 359 0.5 at the 0.5 level) that is different in the case of free amino acids (FAA). In total, no significant
 360 difference is present between the compound groups within the SML and the ULW (Anova one
 361 way, $p > 0.5$ at the 0.5 level). Resulting from the higher SML concentrations, the average SML
 362 enrichment factors of DAA is 2.3 ± 0.4 (Table 1) and therefore higher compared to the DCHO
 363 ($EF_{SML} = 1.1 \pm 0.5$) and DL ($EF_{SML} = 1.3 \pm 0.2$). The high variability of the DAA concentrations

364 agreed well with the FAA that comprise the sum of unbound individual amino acids i.e. not
 365 bound in a peptide or protein and were measured at this location during the MarParCloud
 366 campaign (Triesch et al., 2021a). However, the FAA in the SML were significantly higher
 367 compared to the FAA in the bulk water (Triesch et al., 2021a). Other studies have pointed out
 368 highly variable amino acid concentrations as well, for example, Zänker et al. (2017) showed
 369 FAA concentrations between 32 and 1268 nmol L⁻¹ and DAA varied between 202 and 2007
 370 nmol L⁻¹ (for comparison: the here presented DAA values correspond on average to 1064 nmol
 371 L⁻¹ in the bulk water and 2536 nmol L⁻¹ in the SML). High enrichments of FAA in the SML were
 372 reported (Kuznetsova and Lee, 2002; Kuznetsova et al., 2004; Reinthaler et al., 2008; van
 373 Pinxteren et al., 2012; Engel and Galgani, 2016) with FAA enrichments up to 300 in the SML of
 374 the Cape Verde seawaters (Triesch et al., 2021a). A preferential enrichment of FAA over
 375 dissolved combined amino acids as a consistent microlayer feature was proposed (Kuznetsova
 376 et al., 2004).
 377

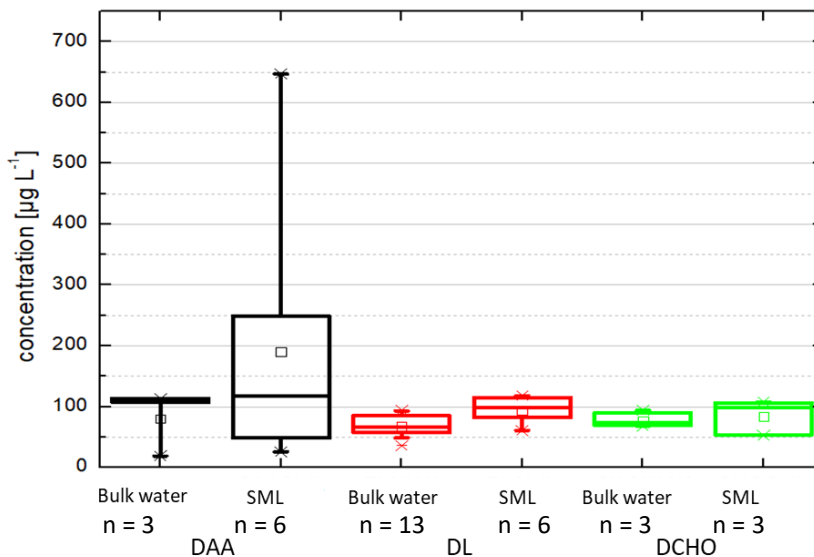


Figure 1

378
 379 Regarding the composition of the individual DAA measured here, clear differences
 380 between the SML and the bulk water characteristics were observed (Figure 2, blue and orange
 381 bars, data in Tables S2 - 5). Besides the higher concentrations in the SML, some DAA were only
 382 present in the SML and not in the bulk water (below detection limit). This was most
 383 pronounced for Glu, but also evident for Tyr and Iso (detailed values in Table S3).

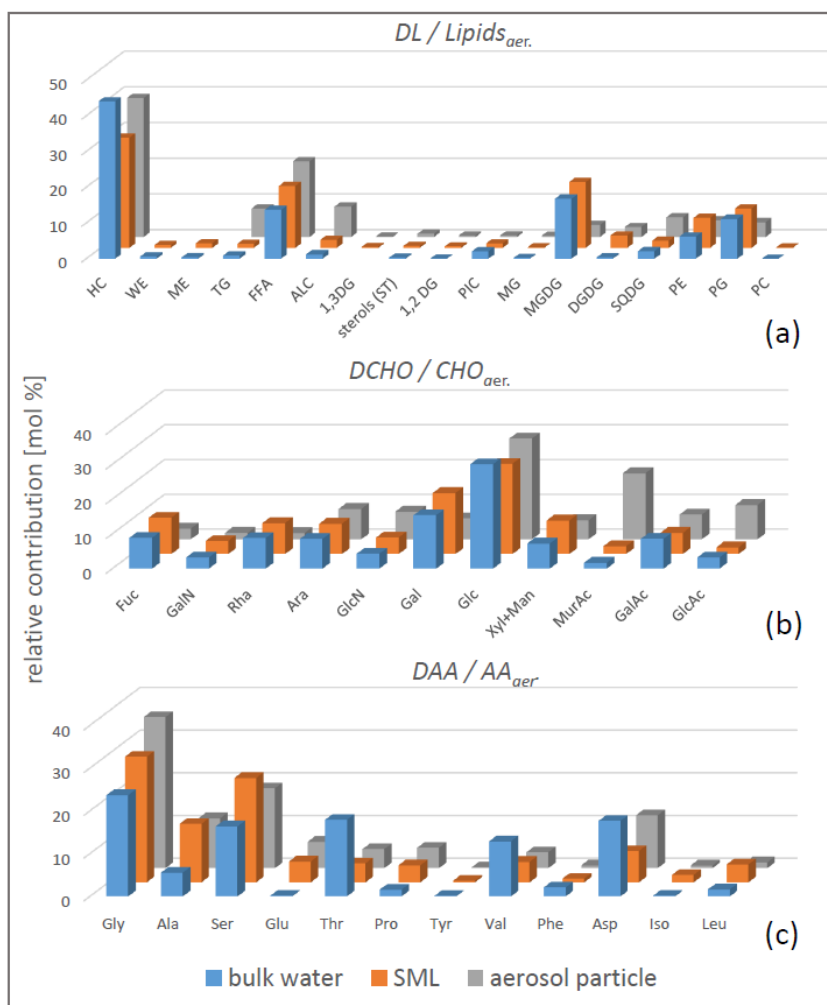


Figure 2

384

385 In contrast to the DAA, the DCHO enrichment in the SML was less pronounced with an
 386 EF_{SML} of 1.1 ± 0.5 (Table 1), similar to SML enrichment values obtained for DCHO close to the
 387 Peruvian upwelling regime (Zänker et al., 2017) and the Antarctic Peninsula (Zeppenfeld et al.,
 388 2021). Regarding the relative composition, the DCHO showed a very homogeneous pattern
 389 and were similar in the SML and the bulk water (Figure 2, blue and orange bars).

390 The enrichment of the DL ($EF_{SML} = 1.3 \pm 0.2$, Table 1) was very similar to the DCHO
 391 enrichment and is discussed in Triesch et al. (2021b). From the individual lipid components
 392 and the lipolysis index, it was concluded that the lipids were degraded only to a small extent
 393 (Triesch et al., 2021b).

394 Altogether, the high and varying concentrations and enrichments of DAA in the SML in
 395 contrast to the DCHO and DL concentrations underline that significant changes occur for the
 396 DAA in the SML that are less pronounced for the other two compound groups. However, it is
 397 important to mention that the number of samples that have been analysed is different and
 398 limited (Table 1 and Figure 1) and samples from partly different dates of the campaign have
 399 been analysed and compared.

400

401 3.2 Discussion of the SML enrichment

402

403 3.2.1 Surface vs bulk SML

404

405 The SML enrichment of DOC components is generally attributed to diffusion, turbulent
406 mixing, as well as scavenging, and transport of surface-active matter from rising gas bubbles
407 in the water column (Liss and Duce, 1997). Within the groups investigated here, the DL are the
408 most hydrophobic compounds and are generally classified as highly surface-active compounds
409 (Burrows et al., 2014). Although the surface-activity parameters (e.g. octanol-water partition
410 coefficient, density, Topological Polar Surface Area) of the individual lipids differ among each
411 other (values in Triesch et al. 2021b), the lipids are overall more non-polar and surface active
412 compared to the carbohydrates and amino acids (values in Triesch et al. 2021a). Nevertheless,
413 the enrichment of the DL in the SML was significantly lower compared to the carbohydrates
414 and amino acids. This was obvious from a comparison of the averaged values as well as from
415 single samples obtained at the same date (03 October 2017 and 07 October 2017, values in
416 Tables S2, S3, and Triesch et al. 2021b).

417 One explanation for the finding lies in the sampled SML thickness. With the glass plate
418 technique, an SML thickness of about 100 μm has been sampled and reported for the CVAO
419 area (van Pinxteren et al., 2017). Hence, the 100 μm -thick SML might be very well-mixed with
420 regards to the soluble amino acids and carbohydrates, however the surface-active
421 compounds, such as lipids, are potentially located on the very top and form a thin (nm-thick)
422 monolayer. In the literature, the SML is described either as a series of sub-layers of wet and
423 dry surfactants (Hardy, 1982) or as a gelatinous matrix (Sieburth, 1983). Independent of the
424 model, it can be expected that a gradient along the surface likely forms with surfactants at the
425 very top of the layer. The formation of a lipid-rich nanolayer on the very top agrees with
426 surface-sensitive spectroscopy measurements that are able to tackle the uppermost layer and
427 found strong indications for a nanolayer dominated by soluble surfactants (Lass and
428 Friedrichs, 2011) and hydrophobic low molecular weight lipids (Frka et al., 2012). The
429 nanolayer, however, cannot be probed with currently applied SML sampling methods.
430 Therefore, the measured SML concentrations may represent a very diluted (likely highly lipid-
431 enriched) layer. Consequently, the SML structure is even more complex, which needs to be
432 considered, particularly when discussing lipid enrichments in the SML. Here, a combination of
433 bulk measurements with dedicated surface probing appears highly desirable.

434

435 3.2.2 Details of SML enrichment mechanisms

436

437 (i) Co-adsorption

438

439 Regarding the DAA in detail, it is interesting to note that some compounds are
440 exclusively present in the SML, as mentioned above. They belong to hydrophilic (Glu),
441 hydrophobic (Iso) and neutral (Tyr) fractions of amino acids, underlining that their occurrence
442 in the SML might not be related (solely) to their physicochemical properties. Besides an air
443 bubble-driven transfer to the surface, enrichment in the SML can be supported by co-
444 adsorption mechanisms. Less surface-active compounds (e.g. amino acids and carbohydrates)

445 can be attached due to ionic interactions/coulomb interactions to the head groups of the air
446 bubble-attached surfactants (e.g. lipids) that mediate their enrichment in the SML (Burrows
447 et al., 2016; Hasenecz et al., 2019; Link et al., 2019; Schill et al., 2018). Co-adsorption can
448 provide an explanation for the high occurrence of non-surface active, very soluble
449 compounds, such as carbohydrates. A recent laboratory study showed different mechanisms
450 for the co-adsorption of polysaccharides that form a second calcium-bridges sublayer
451 underneath the monolayer whereas monosaccharides intercalate and induce reorganisation
452 within the nanolayer (Vazques de Vasquez et al., 2022). However, in the current study, only a
453 small SML enrichment of the DCHO and, hence, no indication for a strong co-adsorption was
454 observed.

455

456 (ii) In-situ processing: abiotic vs. biotic

457

458 Further explanations for the accumulation of dissolved compounds require in situ
459 formation or degradation by SML-specific reactions that might be triggered by distinct
460 environmental conditions in the SML. Biotic pathways and abiotic SML-specific
461 (photo)chemical reactions may strongly impact OC cycling at the sea surface (Liss and Duce,
462 1997). The high abundance of the amino acid Glu in the SML observed here was also reported
463 in the FAA fraction by Triesch et al. (2021a) and can likely be explained by in situ formation. In
464 incubated cloud water, as another marine compartment, Glu has been shown to be produced
465 via biotic and abiotic mechanisms, e.g. via the oxidation of proline (Jaber et al., 2021 and refs.
466 therein) and such processes may be relevant in the ocean surface as well. Regarding biotic
467 processes, it is well-known that microorganisms have complex and highly interconnected
468 enzymatic networks and can biodegrade or biosynthesize organic compounds (KEEG
469 pathway). Kuznetsova and Lee (2002) suggested that stressed microorganisms, rich in
470 dissolved and combined amino acids, may be leached and released them, which in turn affects
471 the pools of both these compounds in seawater. Although such formation mechanisms
472 generally happen in the upper ocean, there are indications for SML-specific processes. Along
473 a transect from upwelling regions toward oligotrophic gyres it was found that while in the bulk
474 water a clear trend toward degradation of amino acids was observed, the production and
475 degradation patterns of amino acids in the SML were much more complex (Reinthalder et al.,
476 2008). This is indicative of the role of the SML in the production of labile DOC driven by coupled
477 microbial and photochemical processes. Similarly, Kuznetsova and Lee (2001) observed that
478 peptide turnover was always faster in the SML than in subsurface waters likely due to the
479 greater concentrations of DOC in the SML. The authors concluded that the accumulation of
480 organic and inorganic compounds in the SML leads to a more nutritious medium for microbial
481 growth and consequently enzymatic hydrolytic activity compared to the bulk water.
482 Connecting this to the results presented here, this might suggest that changes induced by
483 abiotic and biotic processing need to be considered when regarding the SML composition.
484 Although such reactions likely also affect lipids and carbohydrates, they seem to be most
485 pronounced for amino acids.

486

487

488 (iii) Microbial nitrogen fixation at the sea-air interface

489

490 A further mechanism contributing to the high and variable SML enrichment of the DAA
491 at the current location might be microbial nitrogen fixation at the sea-air interface.
492 Measurements showed that cyanobacteria are a very pronounced phytoplankton group in this
493 region (Franklin et al., 2009; Hepach et al., 2014; Zindler et al., 2012), which was dominant
494 during the MarParCloud campaign (van Pinxteren et al., 2020). Cyanobacteria are able to take
495 up nitrogen from the atmosphere (Zehr, 2011). Earlier studies showed that cyanobacteria-
496 fixed nitrogen is incorporated into amino acids (specifically glutamine (Carpenter et al., 1992)).
497 The calculated net amino acids release from cyanobacteria colonies (*Trichodesmium*
498 *thiebautii*) revealed that nitrogen fixation and the biogeochemical turnover of ambient amino
499 acids are an important source of recently fixed (“new”) nitrogen within the oceanic surface
500 water (Capone et al., 1994). These considerations are, however, highly speculative and
501 demand further studies to investigate if nitrogen fixation and biosynthesis via cyanobacteria,
502 which is often observed in subtropical and tropical oceans (Montoya et al., 2007), might
503 establish a considerable route for amino acid formation and enrichment in the SML from the
504 atmospheric side.

505

506 (iv) Concluding remarks towards the SML enrichment

507

508 Although SML enrichment factors for amino acids, carbohydrates, and lipids have been
509 reported in the available literature, they have not previously been shown in such detailed
510 analysis for samples collected from the same site as shown here. From this study it can be
511 concluded that the amino acids are strongly enriched in the SML compared to carbohydrates
512 and lipids, even under the same environmental conditions. In a recent study we showed a
513 strong enhancement of other nitrogen-containing species (aliphatic amines) in the SML at this
514 location, while the amine concentration in the bulk water was often not detectable (van
515 Pinxteren et al., 2019). This suggests that the pronounced SML enrichment specifically exists
516 for nitrogen-containing organic species. In addition, the absence of a relation of the SML
517 enrichment to physical compound parameters (e.g. hydrophobicity) suggests that enrichment
518 processes based on physicochemical properties (e.g. surface-activity) alone do not drive SML
519 enrichment. Rather, an SML in situ formation mechanism impacts the abundance of amino
520 acids and likely nitrogen-containing organic species in general.

521

522 3.3. Aerosol particles: concentration and composition

523

524 After evaluating the concentrations of the analytes in seawater and the SML, in the
525 next step, their presence in the aerosol particles was investigated. The concentrations of AA_{aer}.

526 and $\text{CHO}_{aer.}$ were $2.4 \pm 1.1 \text{ ng m}^{-3}$ and $1.0 \pm 1.1 \text{ ng m}^{-3}$, respectively (Figure 3, Table 1) and not
527 significantly different ULW (Anova one way, $p > 0.5$ at the 0.5 level).

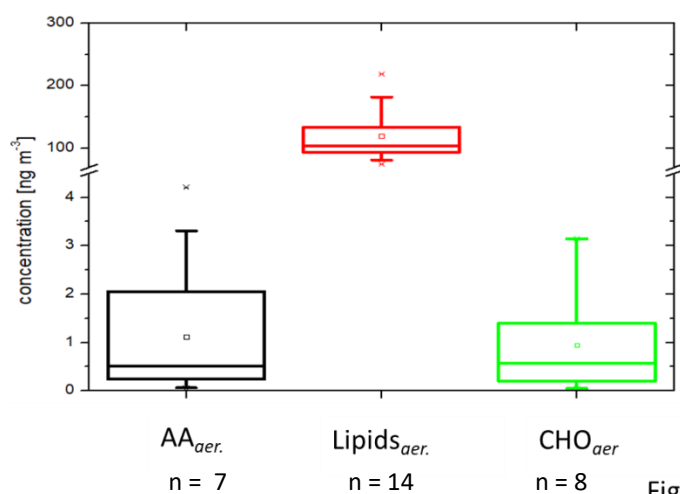


Figure 3

528
529 Compared to results from the Polar Regions, the $\text{CHO}_{aer.}$ concentrations in the tropical
530 Atlantic Ocean analysed here are at the lower end. Leck et al. (2013) determined
531 carbohydrates during the Arctic summer and found $0.7 - 20 \text{ ng m}^{-3}$ in submicron particles.
532 Zeppenfeld et al. (2021) found carbohydrate concentrations between $0.2 - 11.3 \text{ ng m}^{-3}$ in PM_{10}
533 atmospheric particles, in the Western Antarctic Peninsula, which contributed about 3% to the
534 OC. The same holds true for amino acids, the concentrations found here are slightly lower as
535 reported for other marine regions, e.g. FAA in Antarctic aerosol particles were on average 4.6
536 ng m^{-3} in Antarctic aerosol (Barbaro et al., 2015). Triesch et al. (2021a) found FAA
537 concentrations between 1.5 and 3.0 ng m^{-3} in the aerosol particles from the Cape Verdes. The
538 $\text{AA}_{aer.}$ are generally in the same order of magnitude compared to other marine locations
539 (Matos et al., 2016; Matsumoto and Uematsu, 2005; Renard et al., 2022; Wedyan and Preston,
540 2008). However, concentrations of amino acid and carbohydrates in urban, rural, and forest
541 areas are significantly higher (2-3 orders of magnitudes) compared to the values and the
542 spectrum of compounds is strongly shifted (e.g. Dominutti et al., 2022; Samake et al., 2019;
543 Zhu et al., 2022).

544 Comparing the data obtained here with those from analytical techniques that use
545 functional group information (FT-IR) has shown that the latter techniques often attribute a
546 large fraction of alcohol (hydroxyl) functional groups on the marine aerosol particles (Cravigan
547 et al., 2020; Frossard et al., 2014; Russell et al., 2010). According to Russell et al. (2010), the
548 primary marine signal in submicron marine aerosol over the North Atlantic and Arctic Oceans
549 is made on average for 88% of hydroxyl groups corresponding to carbohydrate-like material.
550 Such high fractions of carbohydrates were not found in the chromatographic analysis of
551 $\text{CHO}_{aer.}$ presented here, nor in other studies using similar methodologies (e.g. Zeppenfeld et
552 al., 2021). In a recent study, using thermal desorption mass spectrometry, it was suggested
553 that carbohydrates only represented a minor fraction of the FT-IR alcohol group and another
554 thermally stable fraction, different to carbohydrates, was the main contributor to the alcohol
555 group (Lawler et al., (2020)). Hence, previous FT-IR measurements might have over-predicted

556 the carbohydrate fraction of marine aerosol particles and further (molecular-based) analysis
557 should be conducted in comparison to resolve existing contradictions.

558 In contrast to the $AA_{aer.}$ and $CHO_{aer.}$, the $Lipid_{aer.}$ concentrations were $120 \pm 43 \text{ ng m}^{-3}$ and
559 therefore two orders of magnitude higher than the other two organic groups (Figure 3, Table
560 1) The $Lipid_{aer.}$ were significantly higher than the $AA_{aer.}$ ($p = 6.6E-13$) and the $CHO_{aer.}$ ($p = 1.6E-$
561 7) (Anova one way, at the 0.5 level). One possible reason for the higher $Lipid_{aer.}$ concentration
562 could lay in the difference in the extraction procedure (Sect. 2.2) as $AA_{aer.}$ and $CHO_{aer.}$ were
563 extracted in water, while the $Lipid_{aer.}$ were extracted in an organic solvent. Previous lipid
564 analysis on a molecular level revealed concentrations between $0.19 - 23 \text{ ng m}^{-3}$ for ALC and
565 between $2.5 - 38 \text{ ng m}^{-3}$ for free fatty acids on marine aerosol particles from the western North
566 Pacific (Kawamura et al., 2003) and a recent study found marine fatty acid concentrations
567 between 50 and 90 ng m^{-3} in coastal aerosol at Qingdao (Chen et al., 2021). Mochida et al.
568 (2002) observed saturated fatty acids (C14 - C19) on marine aerosol particles over the
569 northern Pacific in atmospheric concentration between $0.8 - 24 \text{ ng m}^{-3}$. Hence, these data are
570 in the same order of magnitude as here measured lipid groups (ALC: 6.3 ng m^{-3} , free fatty
571 acids: 18.5 ng m^{-3} , values in Triesch et al. 2021b). Cochran et al. (2017) showed that lipid
572 components (long-chain fatty acids) comprised a significant fraction of up to 75% of the
573 identified organic constituents in aerosol particles from a sea spray tank.

574 A recent study using FT-ICR-MS found that among a large number of molecular
575 formulas, large peak numbers were assigned to proteins and lignins (phenolic
576 macromolecules) followed by carbohydrates and lipids in aerosol originating from the Arctic
577 ocean (vs. non-ocean aerosol) (Choi et al., 2019).

578 High lipid fractions in marine aerosol particles were also reported from NMR
579 measurements. Measurements of nascent aerosol particles produced from North Atlantic
580 seawater showed that the water-soluble organic aerosol fraction was purely aliphatic with
581 hydroxylated moieties of sugars, esters, and polyols, aliphatic groups adjacent to carbonyls,
582 amides, and acids, as well as aliphatic chains with terminal methyl-groups, typical of lipids
583 (Facchini et al., 2008). The water-insoluble organic fraction was dominated by
584 lipopolysaccharides, known phytoplankton exudate components. A recent study applying
585 NMR analysis to artificially produced aerosol particles after bubbling seawater from offshore
586 areas also showed proof of polyols and lipids (Decesari et al., 2020). NMR measurements of
587 lipids are mainly qualitative, however, the high fraction of lipid-like components from other
588 regions agrees well with the high $Lipid_{aer.}$ concentrations presented here.

589 A high $Lipid_{aer.}$ concentration as observed in the present study agrees well with the modelling
590 results of Burrows et al., (2014), where the ocean-atmosphere transfer was calculated
591 according to the physicochemical properties of the distinct OC groups. Lipids, as the most
592 surface active OC group comprise the largest fraction of the aerosol fraction, although their
593 (modelled) concentration in the seawater is lower compared to carbohydrates and amino
594 acids (Burrows et al., 2014). In a latter model modification, where additional co-adsorption
595 processes were included in the calculations, a more pronounced saccharidic fraction was
596 determined on the aerosol particles from the model results (Burrows et al., 2016), that is
597 different from the findings here, at least regarding the $CHO_{aer.}$ measured on a molecular level.

598 However, it needs to be considered that the lipids analysed here include glycolipids (MGDG,
599 DGDG, SQDG) which are components that have the solubility properties of a lipid but also
600 contain one or more sugar molecules. The glycolipids comprise a non-negligible portion of the
601 OC on the aerosol particles (values in Tables S6 and S8). This underlines the complexity of
602 attributing the OC to distinct organic groups and demonstrates that the applied analytical
603 methods must be taken into account when comparing concentrations of substance groups.
604 This is discussed in more detail in 3.4.1. Altogether, there seems to be a discrepancy between
605 the measured concentrations and the modelled results underlining that the transfer of the
606 organic compounds from the ocean to the atmosphere based on their physicochemical
607 properties might not be the only mechanism.

608

609 3.4 Aerosol enrichment

610

611 3.4.1 Aerosol enrichment factors

612 The finding that the Lipids_{aer.} were much higher concentrated than the AA_{aer.} and the
613 CHO_{aer.}, resulted in a very different pattern compared to the similar seawater concentrations
614 (Figure 1 vs. Figure 3). To quantitatively compare the seawater and the aerosol concentration,
615 the EF_{aer.} was calculated (values in Table 1).

616

617

Insert Table 1

618

619 For the amino acids, the EF_{aer.} was between 9.2×10^2 (related to the SML) and 2.1×10^3
620 (related to the bulk water) and on average 1.5×10^3 . For the carbohydrates, the EF_{aer.} was
621 between 1.3×10^3 (related to the SML) and 1.4×10^3 (related to the bulk water) and on average
622 1.3×10^3 and therefore similar to the EF_{aer.} of the amino acids. For the lipids, however, the EF_{aer.}
623 was two orders of magnitude higher (EF_{aer.} = 1.4×10^5 , related to the SML; EF_{aer.} = 1.7×10^5
624 related to the bulk water, EF_{aer.} = 1.6×10^5 on average, Table 1).

625

626 3.4.2 Oceanic transfer and atmospheric in situ formation

627 The overall high enrichment of OC in the aerosol particles is explained by complex, not
628 yet - resolved interactions at the ocean surface where organic matter is enriched relative to
629 sodium during the formation of film and jet droplets. Burrows et al. (2014) applied a
630 conceptual model ("slab" model) where all organics partition to the surface of a "slab" of
631 oceanic water or to both the outer and inner surfaces of a bubble film. The organic enrichment
632 is therefore significantly higher for the thinner bubble films (bubble film thicknesses: 0.01 to
633 $1 \mu\text{m}$) than for the thicker SML (typically sampled SML thicknesses: 20 to $400 \mu\text{m}$). This
634 mechanism can explain an EF_{aer.} of OC in submicron aerosol particles of 10^2 to 10^3 compared
635 to the SML (Burrows et al., 2014). However, EF_{aer.} from ambient and laboratory-controlled
636 observations show that for some compounds even higher EF_{aer.} are obtained. In a controlled
637 tank study, Rastelli et al. (2017) found strong enrichments for lipids (up to 1.4×10^5), as well as

638 for proteins (up to 1.2×10^5) and carbohydrates (up to 1.0×10^5 , Table 1). A recent controlled
639 bubble-bursting laboratory study showed that amino acids enrichments can be up to 10^7 in
640 submicron SSA between 0.029 and 0.060 μm (Triesch et al., 2021c). Similarly, Schmitt-Kopplin
641 et al. (2012) showed that surface-active biomolecules are preferentially transferred from
642 surface water into the atmosphere via bubble bursting. The ambient enrichment factor of the
643 lipids (10^5) shown here and in Triesch et al. (2021b) agreed well with laboratory-derived ones
644 (Rastelli et al., 2017) indicating that the transfer mechanisms simulated in lab experiments
645 agree with here performed observations in the field. Hence, the high surface activity and/or
646 the lipophilic character of the lipid classes might explain their strong (chemo-selective)
647 transfer to the aerosol particles. Even though the lipid composition on the aerosol particles
648 slightly varied from the seawater concentration (Triesch et al., 2021b), their transfer is likely
649 driven by their physicochemical properties (high surface activity and/or the lipophilic
650 character). For the amino acids and carbohydrates, however, more complex mechanisms may
651 determine their transfer to the atmosphere. Rastelli et al. (2017) suggested that diverse
652 biological processes on the ocean drive the properties of proteins and carbohydrates in the
653 ocean surface and in the atmosphere. Moreover, these compounds are known to be involved
654 in marine gel-like particle formation, such as transparent polymer particles (TEP) and
655 coomassie stained particles (CSP), observed in the ocean and more recently in the atmosphere
656 (Aller et al., 2017; Kuznetsova et al., 2005; van Pinxteren et al., 2022) adding more complexity
657 to the system. Hence, not only a sea-to-air transfer but also atmospheric in situ formation and
658 degradation might determine the concentration of the OC and notably of the amino acid and
659 carbohydrates. This suggests that atmospheric processing plays an important role besides the
660 physical-driven bubble bursting sea-air transfer of OC.

661

662 3.5. Limitations of the concept of an aerosol enrichment factor

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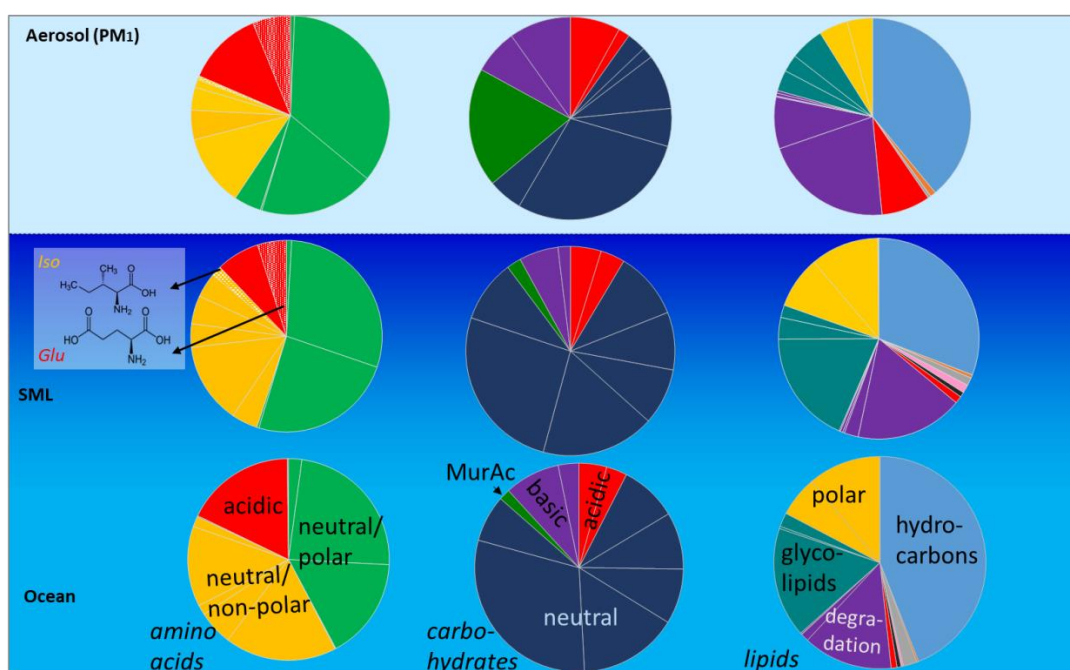
664 When comparing OC in the ocean and the atmosphere, it needs to be considered that
665 processes in the ocean and the atmosphere happen on different timescales. In addition, the
666 seawater samples comprise spot samplings in the ocean while the sampling period of PM_{10}
667 aerosol particles at the CVAO covers a time span of 24h. These issues make a comparison
668 between the ocean and atmospheric data very challenging. However, the air masses arriving
669 at the CVAO often followed the water current (Pena-Izquierdo et al., 2012; van Pinxteren et
670 al., 2017) and suggest a strong link between the upper ocean and the aerosol particles, as
671 mainly winds drive the ocean currents in the upper 100 m of the ocean. Besides the ocean,
672 Saharan dust is a strong aerosol source at the Cape Verde islands, most pronounced in the
673 months December to February (Fomba et al., 2014). The backward trajectories during the time
674 of the campaign (Figure S2) as well as the mass concentrations of inorganic ions and mineral
675 dust tracers on the aerosol particles measured during the campaign suggested a predominant
676 marine origin with low to medium dust influences (van Pinxteren et al., 2020). Moreover, dust
677 generally influences the supermicron particles to a larger extent than the submicron particles
678 analysed here (Fomba et al., 2013). Hence, although different factors certainly affect the
679 aerosol composition, it is reasonable to assume a strong oceanic contribution.

680

681 3.6 Seawater and aerosol particles: comparison of the relative composition

682 Regarding the organic components on the aerosol particles, the same compounds that
683 were present in the seawater were generally present on the aerosol particles (Figure 2, grey
684 bars, and values in Tables S2 - 5). However, the relative composition of distinct compounds
685 was, at least partly, different. Regarding the carbohydrate composition, the percentages of
686 MurAc, GlcAc, and GlcN in the aerosol particles were higher compared to the seawater. MurAc
687 and GlcN are important constituents in the cell walls of marine microorganisms and notably,
688 MurAc serves as a proxy for bacterial biomass (Mimura and Romano, 1985). Its high
689 concentration might indicate an enrichment of bacteria on the aerosol particles. Zeppenfeld
690 et al. (2021) detected similar (biogenic) carbohydrates in particles sampled in the western
691 Antarctic peninsula and suggested that marine bacteria in atmospheric particles may
692 metabolize a part of the oceanic carbohydrates in a selective enzymatic way analogous to the
693 bacterial processes in seawater. Such processes might explain the changed carbohydrate
694 composition and are likely not restricted to a specific oceanic regime, as they seem to happen
695 in the Southern Ocean (Zeppenfeld et al., 2021) as well as in the tropical Atlantic Ocean,
696 observed here. The elevated relative occurrence of GlcAc found here agrees well with the
697 recent finding of a high abundance of gel-like material in aerosol particles at the CVAO,
698 strongly enriched towards sodium compared to seawater (van Pinxteren et al., 2022) as GlcAc
699 is one main component of marine gelatinous exopolysaccharides (Casillo et al., 2018; Krembs
700 et al., 2002). Regarding the lipids, surfactants such as free fatty acids as well as lipophilic
701 compounds, such as hydrocarbons, had major contributions in the seawater and on the
702 aerosol particles, respectively. However, TG, an energy storage lipid, had a higher contribution
703 to the aerosol particles versus the ocean water. In addition, some other, minor-contributing
704 lipid classes were partly different within ocean and atmosphere (Triesch et al., 2021b).

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The most remarkable difference in relative composition in seawater and in aerosol particles was found for amino acids, as some DAA were clearly present in the SML and in the aerosol particles but not in the bulk water (e.g. Iso and Glu, Figure 4, individual values in Table S6). The amino acids generally differed a lot regarding their SML and bulk water composition. This was visible in the data set presented here for the DAA, and also reported for the FAA measured from the same campaign (Triesch et al., 2021a). Recently it was reported that the acidic amino acid Glu (in the form of FAA) is transferred to SSA to a large extent (Triesch et al., 2021c) and the results of the present study suggest that Glu might be transferred solely from the SML (and not from the bulk water) to the aerosol particles. However, besides the oceanic transfer, Glu can result from an in situ formation on the aerosol particles. Similarly to the seawater, Glu might form from biotic or abiotic reactions on the aerosol particles. From the measurements performed here, it is not possible to differentiate between a selective transfer of Glu from the SML and its biotic and abiotic in situ formation in aerosol particles. Recently, Jaber et al. (2021) and Renard et al. (2022) evaluated the atmospheric aging of the amino acids and considered biotic and abiotic (mainly oxidation) processing. Their calculations revealed different atmospheric lifetimes for the individual amino acids related to oxidation and biological processes, respectively. For example, the amino acids Ser and Ala are degraded quickly by biological processes (lifetime of a few hours) but are more stable towards oxidation (Renard et al., 2022). Such studies can help to understand the patterns of the amino acids as observed here and relate them to sources and atmospheric processing. The presence of Ser and Ala in the aerosol particles investigated here could therefore indicate that biodegradation of these compounds was not pronounced. However, additional studies are needed to better understand atmospheric biotic and abiotic processing. In addition, the transfer of individual DAA exclusively from the SML shall be investigated in further research, preferably within characterized and controlled bubbling systems.

735 3.7 Contribution to aerosol particle OC

737 3.7.1 Molecular and semi-molecular analysis

738 OC concentrations in marine aerosol particles during this campaign varied between
739 0.13 and 0.31 $\mu\text{g m}^{-3}$ with an average value of 0.20 $\mu\text{g m}^{-3}$ (values in Table S7). This agreed well
740 with previous OC measurements from the CVAO that were on average 0.27 $\mu\text{g m}^{-3}$ OC (van
741 Pinxteren et al., 2017). To date, only a small percentage of OC on marine aerosol particles is
742 characterised on a molecular level and organic biomarkers often comprise only a few percent
743 of the OC (Chen et al., 2021). Fu et al. (2011) measured more than 140 different single organic
744 species in marine aerosol from different oceanic areas, however the identified species
745 composed less than 5.7% of the OC. Taking together the OC components described here
746 (Lipids_{aer.}, AA_{aer.}, CHO_{aer.}), the contribution of the identified components to the OC was
747 calculated. Furthermore, the OC contribution of recently identified components from previous
748 campaigns within the Cape Verde region, in detail: aliphatic amines, methane-sulfonic acid

749 (MSA), oxalic acid and carbonyls (van Pinxteren et al., 2015) was included. The OC contribution
750 of the single compounds and compound groups are shown in Figure 5 (values in Table S8).
751 Altogether, about 48% of the average OC could be explained by the identified components.
752 Regarding the maximum ($0.31 \mu\text{g m}^{-3}$) and minimum ($0.13 \mu\text{g m}^{-3}$) OC concentrations within
753 the campaign, the OC contributions of the respective compounds are between 31% (lower
754 limit) and 74% (upper limit). The major identified OC fraction (related to the average OC) were
755 the Lipids_{aer.} with 43%. They were followed by the aliphatic amines (4%) that is in good
756 agreement with a recent CVAO study, where they contributed on average with 5% to the
757 (water-soluble) OC (van Pinxteren et al., 2019). MSA (0.9%) and oxalic acid (0.3%) were minor
758 OC contributors. Similarly, the CHO_{aer.} and the AA_{aer.} made up a minor percentage with 0.3 and
759 0.4% respectively. Regarding the lipids, it needs to be considered that the analysis performed
760 here was not based on the detection of individual analytes but on an organic solvent
761 extraction of the particle constituents and extract separation by solvents with different
762 polarities applied in the TLC. The analytical method has been optimized for seawater analysis.
763 Within atmospheric processing, additional organic compounds can form, which might contain
764 a hydrophobic part and are potentially included in the lipid analysis performed here. However,
765 the large similarity of the lipid groups within the seawater and the aerosol particles, as well as
766 the agreeing concentrations of the single lipid groups (FFA, ALC) to measurements from other
767 marine stations with molecular techniques (GC-MS) suggests that the same compound classes
768 were present in the particles. Future analysis of the lipid fraction with mass spectrometric
769 techniques will help to better resolve this issue.

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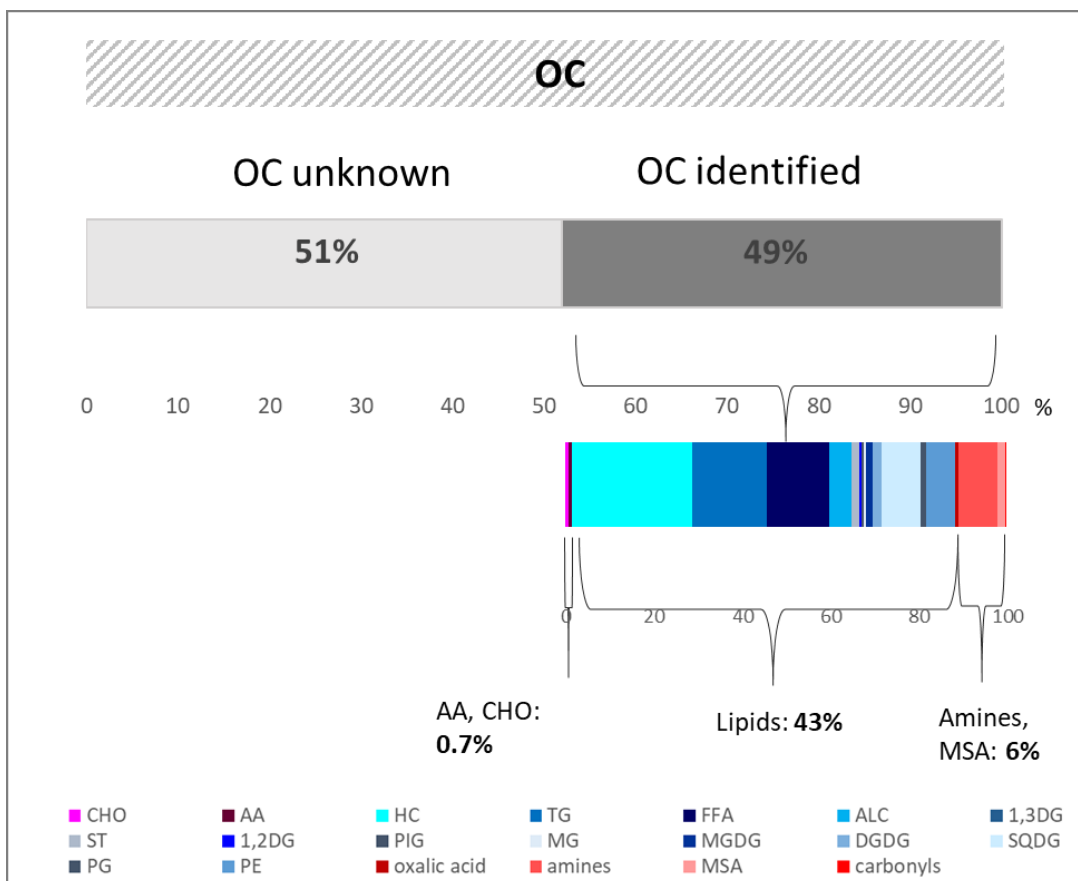


Figure 5

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773

774 3.7.2 Non-identified, recalcitrant OC in aerosol particles

775

776 About 50% of the aerosol OC remained uncharacterized on a molecular and semi-
 777 molecular level. The non-identified OC part may contain larger macromolecules that might be
 778 composed of particulate or non-soluble forms in water (carbohydrates and proteins) or
 779 organic solvents (lipids) that were removed in the performed analysis during the sample
 780 preparation step. In addition, the unknown part might include component groups that belong
 781 to the soluble carbohydrates or amino acids but are either too stable or too labile for the
 782 sample preparation procedure (e.g. within the hydrolysis step). Moreover, other complex
 783 molecules that cannot be captured with the methods applied here likely add to the unknown
 784 fraction including optical active parts summarized as chromophore dissolved organic matter
 785 (CDOM), humic-like substances (HULIS), brown carbon and water-soluble pigments. As
 786 mentioned above, NMR analysis showed that SSA contains a large fraction of
 787 lipopolysaccharides comprising complex, macromolecular groups of sugars, esters, carbonyls
 788 as well as acids and lipids (Facchini et al., 2008). However, these components have, not yet
 789 been analysed in aerosol particles using chromatographic techniques. The uncharacterized
 790 part may also contain particulate OC compounds, such as larger aggregates of marine gels or
 791 gel-like particles like transparent exopolymer particles (TEP). High TEP number concentrations
 792 in aerosol particles were recently identified in the Cape Verde region (van Pinxteren et al.,

793 2022) and high mass-concentrations of TEP (e.g. $1.2 \mu\text{g m}^{-3}$ for PM_{10}) were identified in the
794 western North Atlantic atmosphere (Aller et al., 2017).

795 Kieber et al. (2016) suggested that the major OC component in submicron sea-spray
796 particles is recalcitrant and recently Beaupre et al. (2019) proposed that 19 to 40% of the OC
797 associated with freshly produced marine aerosol particles was refractory dissolved OC. This
798 percentage agrees with the non-identified OC part from the present study. However, we
799 cannot identify or classify the remaining OC fraction nor attribute it with certainty to the
800 recalcitrant OC. Further studies of the OC, in tropical as well as in other areas of the world, are
801 needed to continue resolving OC and related transfer and formation processes. Nevertheless,
802 the potentially recalcitrant OC fraction in the oligotrophic region does not seem to constitute
803 the majority of OC as reported by Kieber et al. (2016).

804

805 3.8 Sea-to-air fluxes of the individual OC groups

806

807 The CVAO is localized in an oligotrophic region and should therefore be reasonably
808 representative of most of the Earth's ocean surface. POA emission rates are strongly varying,
809 however modelling studies have estimated global submicron marine POA emission rates of 10
810 $\pm 5 \text{ Tg yr}^{-1}$ (Gantt and Meskhidze, 2013). Based on this emission flux and the contribution of
811 the compounds to the OC fraction, we estimated the fluxes of the DAA, DL, and DCHO.
812 Accordingly, the annual rates of emission from the ocean to the atmosphere are 0.03 ± 0.01
813 Tg yr^{-1} for DCHO, $0.04 \pm 0.02 \text{ Tg yr}^{-1}$ for DAA, and $4.2 \pm 2.1 \text{ Tg yr}^{-1}$ for DL. The unknown OC
814 that includes the potentially recalcitrant components, has a sea-to-air flux of $4.8 \pm 2.4 \text{ Tg yr}^{-1}$.
815 However, this approach only includes the bubble-bursting-mediated transfer of the respective
816 compounds, neglects any potential seasonal changes and neglects additional sources and
817 formation processes. Ervens and Amato (2020) investigated the global impact of bacterial
818 processes on carbon mass in cloud water and estimated formation rates of 3.7 Tg C yr^{-1} of
819 secondary biological aerosol that are in the range of the POA emissions via sea spray (Gantt
820 and Meskhidze, 2013). Hence, the emission fluxes presented here can change once such
821 processes are quantified for these compounds.

822

823 4 Summary and Conclusions

824

825 A comprehensive chemical investigation of the OC in the tropical Atlantic Ocean and
826 the atmosphere with a focus on its contribution to the OC on the marine aerosol particles in
827 this particular region was performed.

828 Regarding seawater, a similar distribution of the DL and DCHO was found with a small
829 SML enrichment. However, the DAA, and likely the N-containing compounds in general,
830 exhibit a high and varying enrichment in the SML (although being less surface active than
831 lipids). Although conclusions on the detailed processes that lead to the varying DAA
832 concentrations and the high SML enrichments cannot be resolved here and the sample
833 number is limited, the results suggest that processes leading to changes in the organic matter
834 composition within the upper $100 \mu\text{m}$ oceanic layer are more pronounced for the group of

835 amino acids (and possibly for nitrogen groups in general) compared to other organic
836 compounds groups such as lipids and carbohydrates. The SML is probably a very complex,
837 heterogeneous, seasonality-dependent, and reactive matrix forming a lipid-rich nanolayer.
838 The same compounds studied in the seawater were found on the ambient submicron aerosol
839 particles and strongly enriched with respect to sea salt ($EF_{aer.} = 10^3$ for the carbohydrates and
840 the amino acids). To this end, the lipids were even more enriched in the submicron aerosol
841 particles ($EF_{aer.} = 10^5$) compared to the other groups. This indicates a preferred transfer of the
842 lipids (towards the carbohydrates and the amino acids) from the ocean to the atmosphere
843 likely driven by their physicochemical properties (high surface-activity and/or the lipophilic
844 character). Detailed molecular analysis of the seawater and aerosol particles revealed changes
845 in the relative composition of the single compounds. They were most pronounced for the
846 amino acids and are likely related to an in situ atmospheric processing by biotic and/or abiotic
847 reactions that require further investigations. A high saccharide fraction, as described in other
848 studies, could not be found on the aerosol particles, at least when regarding the molecular-
849 resolved carbohydrate analysis. However, saccharidic-like components (e.g. glycolipids) are
850 also included in the lipid fraction analysed here in non-negligible concentrations. This shows
851 that when comparing the concentrations of substance groups, the analytical methods used
852 must be taken into account. Nevertheless, even small concentrations of carbohydrates and
853 amino acids on marine aerosol particles can have a high impact in their microphysical
854 properties, e.g. as ice nucleating particles, and are worth further studying.

855 Altogether, the marine aerosol particles analysis applied here shows that half of the
856 OC can be attributed to specific components or component groups. However, the molecular-
857 level analysed fraction explains only a small part of the OC, the $CHO_{aer.}$ and $AA_{aer.}$ made up less
858 than 1%. This shows that the typical representatives of carbohydrates and amino acids within
859 the marine OC measured here can explain only a very small fraction of the organic composition
860 of the aerosol particles on a molecular level. Amines, MSA, oxalic acid carbonyls comprise a
861 fraction of around 6%. Lipid analysis reveals 43% of the OC on the aerosol particles, however,
862 the $Lipid_{aer.}$ composition on a molecular level cannot be obtained from the measurements
863 performed here. Altogether, about 50% of the OC remained uncharacterized on a molecular
864 and semi-molecular level. Regarding further marine aerosol analysis, it will be important to
865 resolve the large part of lipid compounds in more detail, as well as getting molecular-level
866 information on the remaining, unidentified OC. This shows the need for further detailed
867 analytical OC studies in the marine environment to resolve formation and transfer
868 mechanisms.

869 Nevertheless, the results obtained here show that even in such an oligotrophic region,
870 at least half of the OC on the aerosol particles consists of rather short-lived biogenic material,
871 likely from the surface ocean, as (qualitatively) suggested by other studies (Choi et al., 2019;
872 Schmitt-Kopplin et al., 2012). The non-resolved OC might in part be of recalcitrant nature, as
873 indicated in other studies (Beaupre et al., 2019; Kieber et al., 2016; Lawler et al., 2020).
874 However, the (potentially) recalcitrant OC does not constitute the majority of the OC in the
875 oligotrophic Atlantic Ocean. Future studies should complement the data presented here with
876 investigations of the particulate OC fraction.

877 Finally, since large parts of the open oceans are oligotrophic, the findings of this study
878 might be relevant to the majority of the world oceans.

879

880 Data availability. The amino acid and carbohydrate data are listed in the SI. The lipid data are
881 available through the World Data Centre PANGAEA under the following link:
882 <https://doi.org/10.1594/PANGAEA.921832> (Triesch et al., 2020). Further data can be made
883 available by the authors upon request.

884

885 Special issue statement.

886

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901

902 Author contributions

903 MvP led the MarParCloud campaign with support from KWF and HH. SZ performed the
904 analytical measurements of the carbohydrates and supported the data analysis. SF was in
905 charge of the lipid measurements. NT performed the measurements of the amino acids. MvP
906 performed the data interpretation and wrote the manuscript with contributions from all
907 authors.

908

909 Competing interest

910 The authors declare that they have no conflict of interest.

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1292 **Caption of Figures:**

1293 Figure 1: Box and whisker plot of the concentrations in seawater ($\mu\text{g L}^{-1}$), distinguished into
1294 SML and bulk water for the dissolved amino acids (DAA) in the SML ($n = 6$) and in bulk water
1295 ($n = 6$), the dissolved carbohydrates (DCHO) in the SML ($n = 3$) and in bulk water ($n = 3$) and for
1296 the dissolved lipids (DL) in the SML ($n = 6$) and in bulk water ($n = 13$).

1297 Each box encloses 50% of the data with the mean value represented as an open square and
1298 the median value represented as a line. The bottom of the box marks the 25% limit of the
1299 data, while the top marks the 75% limit. The lines extending from the top and bottom of each
1300 box are the 5% and 95% percentiles within the data set, while the asterisks indicate the data
1301 points lying outside of this range (“outliers”).

1302

1303 Figure 2: Bar graph showing the average of the relative compositions (mol%) of dissolved lipids
1304 (DL) and *Lipids_{aer.}* (a), dissolved carbohydrates (DCHO) and *CHO_{aer.}* (b) and dissolved amino
1305 acids (DAA) and *AA_{aer.}* (c) in the bulk water (blue bars), the SML (orange bars), and the PM_{10}
1306 aerosol particles (grey bars). Mol% were calculated from the molar masses of the respective
1307 analytes. For the lipid groups, the molar masses of the surrogate standard (sec. 2.2.2 and Tab.
1308 S8) are applied. The relative mol fractions are relative to the total of each type (DAA, DCHO,
1309 and DL) analysed.

1310

1311 Figure 3: Box and whisker plot of the concentrations in the PM_{10} aerosol particles (ng m^{-3}); $n =$
1312 8 for *CHO_{aer.}*, $n = 7$ for *AA_{aer.}*, $n = 14$ for *Lipids_{aer.}*

1313 Each box encloses 50% of the data with the mean value represented as an open square and
1314 the median value represented as a line. The bottom of the box marks the 25% limit of the
1315 data, while the top marks the 75% limit. The lines extending from the top and bottom of each
1316 box are the 5% and 95% percentiles within the data set, while the asterisks indicate the data
1317 points lying outside of this range (“outliers”).

1318

1319 Figure 4: Scheme underlining the seawater (SML and bulk water) as well as the PM_{10} relative
1320 compositions of DL / *Lipids_{aer.}*, DCHO / *CHO_{aer.}* and DAA / *AA_{aer.}*. Assignment: amino acids:
1321 neutral/polar: Phe, Gly, Ser, Tyr, neutral/non-polar: Thr, Ala, Pro, Val, Leu, Iso, acidic: Asp, Glu;
1322 carbohydrates: basic: GlcN, GalN, neutral: Fuc, Rha, Ara, Gal, GIC, Xyl, Man, acidic: MurAc,
1323 GasAc, GlAc; lipids: hydrocarbons (HC), sterols (ST), pigments (PIC), fatty acid methyl ester
1324 (MW), membrane component: WE, metabolic reserve: TC, degradation lipids: FFA, ALC, 1,3
1325 DG, 1,2 DG, MG, glycolipids: MGDG, DGDG, SQDG, polar lipids: PE, PG, PC.

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1327 Figure 5: Graph showing the identified and non-identified OC and the OC contribution of the
1328 respective organic compound groups in the PM_{10} aerosol particles. The contribution of the
1329 measured organic compounds to the total OC fraction was calculated on a carbon basis.

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1333 Table 1: Average concentrations of the organic groups and enrichment factor (EF) in the SML
 1334 (EF_{SML}) and in the aerosol particles ($EF_{aer.}$) after equations 1 and 2. EF are calculated from the
 1335 average concentrations of the respective groups (values in Tab. 1). For $EF_{aer.}$ the average Na^+
 1336 concentration in seawater ($1.0E+04 \text{ mg L}^{-1}$) and the average Na^+ concentrations in the PM_1
 1337 particles from the MarParCloud campaign (100 ng m^{-3} , values from Triesch et al. (2021b)) were
 1338 applied. To investigate the variability of the $EF_{aer.}$ the minimum ratio of $c_{(analyte)aer.} / c_{(Na+)aer.}$ vs.
 1339 the maximum ratio of $c_{(analyte)seawater} / c_{(Na+)seawater}$ was applied (and vice versa) and the range
 1340 of $EF_{aer.}$ is given in brackets.

1341 For comparison, the last column lists the $EF_{aer.}$ for PM_1 from a chamber study (Rastelli et al.,
 1342 2017).

1343

DCHO / $CHO_{aer.}$							
SML ($\mu\text{g L}^{-1}$)	Bulk water ($\mu\text{g L}^{-1}$)	PM_1 (ng m^{-3})	EF_{SML}	EF_{aer} (related to the SML)	EF_{aer} (related to the bulk water)	EF_{aer} (average)	EF_{aer} (Rastelli et al., 2017)
85 ± 30	78 ± 15	1.0 ± 1.1	1.1 ± 0.5	$1.26E+03$ ($2.33E+02$ - $5.93E+03$)	$1.35E+03$ ($1.54E+02$ - $4.56E+03$)	$1.31E+03$	$1.00E+05$
n = 3	n = 3	n = 8					
DAA / $AA_{aer.}$							
SML	Bulk water	PM_1					
190 ± 238	80 ± 53	2.4 ± 1.1	2.3 ± 0.4	$9.23E+02$ ($1.39E+02$ - $1.62E+04$)	$2.07E+03$ ($7.95E+02$ - $2.19E+04$)	$1.50E+03$	$1.20E+05$
n = 6	n = 3	n = 7					
DL / $Lipid_{aer.}$							
SML	Bulk water	PM_1					
83 ± 24	70 ± 25	120 ± 43	1.3 ± 0.2	$1.42E+05$ ($6.21E+04$ - $3.92E+05$)	$1.71E+05$ ($5.83E+04$ - $5.49E+05$)	$1.57E+05$	$1.40E+05$
n = 6	n = 13	n = 14					

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