1	Amino acids, carbohydrates and lipids in the tropical oligotrophic Atlantic
2	Ocean: Sea-to-air transfer and atmospheric in situ formation
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- 37 Abstract
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This study examines carbohydrates, amino acids, and lipids as important contributors to organic carbon (OC) in the tropical Atlantic Ocean at the Cape Verde Atmospheric Observatory (CVAO). The above compounds were measured in both surface seawater and in ambient submicron aerosol particles to investigate their sea-to-air transfer, including their enrichment in the sea surface microlayer (SML), potential atmospheric in situ formation or degradation, and their oceanic contribution to the ambient marine aerosol particles.

- 45 In bulk seawater and the SML, similar distributions among species were found for the lipids and carbohydrates with moderate SML enrichments (enrichment factor  $EF_{SML} = 1.3\pm0.2$  and 46 47 1.1±0.5 respectively). In contrast, the amino acids exhibited a higher enrichment in the SML with an averageging  $EF_{SML}$  of  $2.3\pm0.42.4\pm0.3$  although they are being less surface-active than 48 49 lipids. The same compounds studied in the seawater were found on the ambient submicron 50 aerosol particles whereas the lipids were more pronounced enriched ( $EF_{aer.} = 1.6 \times 10^5$ ) compared to the amino acids and carbohydrates ( $EF_{aer.} = 1.5 \times 10^3$  and  $1.3 \times 10^3$  respectively), 51 likely due to their high surface activity and/or the lipophilic character. Detailed molecular 52 53 analysis of the seawater and aerosol particles revealed changes in the relative composition 54 abundance of the single individual organic compounds. They were most pronounced for the amino acids and are likely related to an in situ atmospheric processing by biotic and/or abiotic 55 56 reactions.
- On average 49% of the OC on the aerosol particles ( $\triangleq$  97 ng m<sup>-3</sup>) could be attributed to the 57 specific components or component groups investigated in this study. The majority (43%) was 58 59 composed of lipids. Carbohydrates and amino acids made up less than 1% of the OC. This shows that carbohydrates, at least when resolved via molecular measurements of single 60 sugars, do not comprise a very large fraction of OC on marine aerosol particles, in contrast to 61 62 other studies. However, carbohydrate-like compounds are also present in the high lipid fraction (e.g., as glycolipids), but their chemical composition could not be revealed by the 63 64 measurements performed here.
- 65 Previously determined OC components at the CVAO, in detailspecifically amines, oxalic acid,
- and carbonyls, comprised an OC fraction of around 6%.
- 57 Since the identified compounds constituted about 50% of the OC and belong to the rather 58 short-lived biogenic material probably originating from the surface ocean, a pronounced 59 coupling between ocean and atmosphere was indicated for this oligotrophic region. The 70 remaining, non-identified OC fraction might in part contain recalcitrant OC, however, this 71 fraction does not constitute the vast majority of OC in the here investigated aerosol particles 72 <u>here investigated</u>.
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Keywords: organic carbon, lipids, amino acids, carbohydrates, sea surface microlayer, aerosol
 particles, Atlantic Ocean, CVAO

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# 79

#### 80 1 Introduction

Marine aerosol particles, their composition, sources and connection to the upper ocean are 81 82 not yet fully understood, however important to assign, first of all, marine particle composition to the most important contribution of primary sources and involved processes. Marine 83 84 particle composition as they then impacts the carbon cycle and, radiative properties of aerosol particles , and the function of aerosol particles as cloud condensation nuclei (CCN) and ice-85 nucleating particles (INP), i.e. marine aerosol-cloud interaction (Abbatt et al., 2019; Brooks and 86 Thornton, 2018; Burrows et al., 2013; Gantt and Meskhidze, 2013; Pagnone et al., 2019; Patel 87 88 and Rastogi, 2020). Furthermore, the function of (marine) aerosol particles as cloud 89 condensation nuclei (CCN) and ice-nucleating particles (INP), i.e. marine aerosol-cloud 90 interaction is still elusive. Important information about marine CCN is still missing (e.g. Bertram et al., 2018). Ocean-derived INPs have been suggested to play a dominant role in 91 92 determining INP concentrations in near-surface air over remote areas such as the Southern Ocean, but their source strength in other oceanic regions and knowledge of which 93 94 physicochemical properties determine INP efficiency remain largely unknown (Burrows et al., 95 2013;McCluskey et al., 2018a;McCluskey et al., 2018b). Marine aerosol particles, notably in 96 the sub-micrometer range, have been shown to contain a large part of organic carbon (OC) in field experiments as well as in laboratory studies, where nascent aerosol particles are 97 98 generated by artificial bubble-bursting mechanisms (Facchini et al., 2008;Keene et al., 99 2007;O'Dowd et al., 2004). Notably, the laboratory experiments, where sources other than 100 the ocean (such as long-range transport) can be excluded, suggest that a certain part of the 101 OC on the aerosol particles is transferred directly from the ocean via bubble bursting (Facchini 102 et al., 2008;Keene et al., 2007). The mechanisms of the OC enrichment finally observed in aerosol particles are not yet fully understood but are likely due to complex interaction at the 103 104 ocean surface when air bubbles rise and break. Air bubbles collect (organic) matter at their surface (the gas/water interface) when they ascend through the water column and when 105 106 bursting, they produce film and jet droplets that transfer the OC to the atmosphere and form aerosol particles. At the ocean surface, the air bubbles enter the uppermost layer and the 107 108 direct interface between the ocean and the atmosphere called the sea surface microlayer (SML) (Engel et al., 2017). The SML is described as a gel-like matrix that accumulates various 109 110 organic and inorganic material (Cunliffe et al., 2013). The influence of the SML on the bubble bursting and the emission of OC into the atmosphere is difficult to determine and still 111 112 controversial (Engel et al., 2017).

Based on the OC to sodium ratios in the ocean and the atmosphere, the OC in marine aerosol particles has shown to be strongly enriched compared to seawater concentrations. OC aerosol enrichment factors ( $EF_{aer.}$ ) of the order of  $10^2$  in supermicron aerosol particles and of the orders of  $10^3$  to  $10^5$  in submicron aerosol particles have been reported (Quinn et al., 2015 and references therein). However, individual chemical groups, such as amino acids, can be even more enriched and  $EF_{aer.}$  as high as  $10^7$  for these particular compounds have been measured in submicron particles resulting from bubble bursting experiments within a tank study (Triesch et al., 2021c). The OC transfer from the ocean to the atmosphere is likely highly chemo-selective and a hydrophobic nature as well as surface-active properties of organic compounds probably favour their transfer from the sea to the air (Rastelli et al., 2017;Schmitt-Kopplin et al., 2012).

124 An important aspect component tofor understanding the OC on the marine aerosol 125 particles is the connection to oceanic bio-productivity. Several studies suggested that the 126 marine aerosol composition is directly coupled to the productivity in the ocean, showing that 127 at elevated chlorophyll-a (chl-a) concentrations in the seawater the OC on the aerosol particles 128 is significantly higher when compared to the low oceanic productivity (O'Dowd et al., 129 2004;Facchini et al., 2008). A coupling between oceanic bio-productivity and aerosol 130 composition is probably not straightforward. Wang et al., (2015) showed that two successive 131 phytoplankton blooms in the tank seawater resulted in sea spray aerosols (SSA) with vastly 132 different compositions and properties. Other studies, however, propose that the OC transfer 133 from the ocean to aerosol particles is non-correlated to oceanic bio-productivity. Quinn et al., 134 (2014) suggested that the high reservoir of dissolved organic carbon (DOC) in the ocean is 135 responsible for the organic enrichment in freshly emitted sea spray aerosol, thus dominating 136 over any influence of recent local biological activity based on chlorophyll concentrations. 137 Following this, Kieber et al., (2016) proposed that the major component in submicron seaspray particles is of recalcitrant nature with a stability of months to millennia. They suggested 138 139 that this persistent form of OC can very efficiently be transferred to the atmosphere via bubble 140 bursting. Although they did not perform a detailed chemical analysis, they concluded that the 141 recalcitrant organic matter exhibits surface-active properties. Applying natural abundance 142 radiocarbon (<sup>14</sup>C) measurements it was recently suggested that 19 to 40% of the OC associated 143 with freshly produced marine aerosol particles was refractory dissolved organic carbon (rDOC) 144 (Beaupre et al., 2019).

145 In addition to the direct, or primary transfer of organic compounds from the ocean to 146 the atmosphere, atmospheric processing changes the composition. Once released from the 147 ocean to the atmosphere, organic matter can be acidified within seconds due to a pH change 148 in the atmospheric particles or undergo fast photochemical oxidation (Kieber et al., 2016). Moreover, biogenic in situ formation and degradation can change the OC composition in 149 150 marine aerosol particles and marine cloud water (Bianco et al., 2019; Malfatti et al., 2019; Matulova et al., 2014). Ervens and Amato (2020) provided a framework for estimating 151 152 the production of secondary biological aerosol mass in clouds through microbial cell growth and multiplication. This pathway could be a significant source of biological aerosol material 153 (Ervens and Amato, 2020; Khaled et al., 2021; Zhang et al., 2021). In other recent studies, the 154 155 in situ formation of amino acids by biotic and abiotic processes in cloud water was measured and modelled (Jaber et al., 2021) and gel-like, organic particles, originally present in the ocean, 156 157 were suggested to form in situ in the marine atmosphere via biotic and/or abiotic pathways 158 (Haddrell and Thomas, 2017;Klein et al., 2016;van Pinxteren et al., 2022). Nevertheless, 159 despite a fewsome studies, the atmospheric in situ transformation of marine organic 160 compounds and its significance has not <u>yet</u> been extensively studied so far.

161 To understand the transfer processes of OC from the ocean to the aerosol particles, potential atmospheric OC in situ formation as well as the coupling of the OC on the aerosol 162 163 particles to processes in the ocean, it is crucial to unravel the chemical composition of the aerosol OC content. In the present study, we investigated samples from the tropical Atlantic 164 165 Ocean at the CVAO. The focus of this study was on the analysis of amino acids and carbohydrates, as well as of lipid components, as these OC groups are reported as the major 166 167 marine organic matter groups in the seawater and therefore likely transferred to the aerosol particles via bubble bursting (Burrows et al., 2014). We investigated these compounds on 168 169 marine aerosol particles and in the ocean SML and bulk water. Specifically, we followed the 170 concentrations and speciation of OC from the sea to the atmospheric particles, which helps to evaluate the enrichment factors of the various targeted compounds between the 171 172 compartments. The results will help to gain a better understanding of the chemical 173 composition of marine aerosol particles in this tropical location, its transfer from the ocean 174 and in situ formation, and finally, help to elucidate the coupling of marine aerosol particles to 175 the surface ocean in an oligotrophic region.

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# 177 **2. Material and methods**

- 178 2.1 Aerosol and seawater sampling during the campaign
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180 A field campaign (MarParCloud) was carried out at the Cape Verde Atmospheric Observatory (CVAO, 16°51′ 49'N, 24°52'02'E) in autumn 2017 (13.09.2017 – 13.10.2017) and the sampling 181 182 sites are illustrated and explained in detail in van Pinxteren et al., (2020). The CVAO is a remote marine station in the tropical Atlantic Ocean located on the northeast coast of Sao Vicente 183 184 island and described in Carpenter et al., (2010) and Fomba et al., (2014). The ocean around 185 the Cape Verde Islands has the lowest surface chlorophyll in the North Atlantic Ocean with values below 0.2 µg L<sup>-1</sup> during the major partfor the majority of each year with periodic events 186 of slightly elevated concentrations up to 0.7 µg L<sup>-1</sup> (van Pinxteren et al., 2020 and refs therein). 187 Submicron aerosol particles were sampled on preheated 150 mm quartz fiber filters 188 (Munktell, MK 360) at a flow rate of about 700 L min<sup>-1</sup> with a high volume PM<sub>1</sub> aerosol sampler 189 190 (Digitel, Riemer, Germany) installed on the 30 m height tower at the coastline. The sampling times were usually set to 24 h and are listed in Tab. S4 and S5, as well as in Triesch et al., 2021b 191 and in van Pinxteren et al., 2020). Regarding the aerosol sampling system, it needs to be 192 193 underlined that artefact problems and overestimations due to gas phase absorption and underestimations due to re-volatilisations of the analytes from the filters cannot be accounted 194 195 for and represent a certain level of uncertainty.

The seawater samples were taken at Bahia das Gatas, a coastal site that is situated upwind and of the CVAO about 4 km northwest of the CVAO in front of the station (Fig. S1). Fishing boats were rented to drive togo out on the open ocean and the SML was sampled with the a typical glass plate technique as one typical SML sampling strategy (Cunliffe and Wurl, 200 2014). To this end, a glass plate with a sampling area of 2000 cm<sup>2</sup> was vertically immersed into the water and then slowly drawn upwards with a withdrawal rate between 5 and 10 cm s<sup>-1</sup>. 202 The surface film adheres to the surface of the glass and is removed using framed Teflon wipers (Stolle et al., 2010; van Pinxteren et al., 2012). Bulk seawater was collected with a specially 203 204 designed device consisting of a plastic bottle mounted on a telescopic rod. The bottle was opened underwater at depth of 1 m with a specifically conceived seal-opener. 205

206 For the sampling of the oceanic water samples, great care was taken that all parts that 207 were in contact with the sample (glass plate, bottles) underwent an intense cleaning with 10% 208 HCl and rinsing with ultrapure water (resistivity =  $18.2 \text{ M}\Omega \text{ cm}$ ) prior to the campaign and in 209 between sampling to avoid contamination and carry over problems.

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After the sampling, all seawater and aerosol samples were stored frozen at -20°C, 211 transported in a reefer at -20 °C to the TROPOS laboratories where they were stored frozen 212 until analysis.

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214 2.2 Chemical analysis

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216 2.2.1. Seawater and aerosol analysis: general considerations

218 Within the seawater analysis, we measured the dissolved amino acids (DAA) and dissolved carbohydrates (DCHO) in the DOC fraction, as DOC represents by far the largest pool 219 of organic material in the ocean (Riebesell et al., 2011). DOC is the fraction of OC that passes 220 through a GF-F filter of 0.2-0.7 μm pore size (Wurl, 2009), however, filter pore sizes between 221 222 0.2 and 1.2 µm are often applied e.g. due to requirements of the analytical system (e.g. 223 (Romankevich, 1984;Zäncker et al., 2017). (e.g. Zäncker et al., 2017). The lipid measurements (from the same samples) were taken from Triesch et al., (2021b), are included in the DOC 224 225 fraction as well, being filtered via a 0.7 µm pore-sized filter (GF-F, Whatmann) and extracted 226 in an organic solvent, and refer to dissolved lipids (DL).

For the aerosol particles (PM<sub>1</sub>), we focused on the water-soluble organic (WSOC) 227 228 fraction of the amino acids (AA<sub>aer</sub>) and carbohydrates (CHO<sub>aer</sub>). The lipids, however, were 229 extracted with an organic solvent and filtered (analogoues to seawater), hence-meaning they 230 comprise the organic-soluble organic fraction of the aerosol particles (Lipids<sub>aer.</sub>).

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232 2.2.2. Analytical methods

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234 For the analysis of the DCHO and CHO<sub>aer</sub>, as well as and the DAA and AA<sub>aer</sub>, molecular-235 resolved techniques were applied. DCHO in filtered (0.2 µm Millex syringe filters) and 236 desalinated SML and bulk water samples as well as CHOaer., in the filtered PM1 extracts were quantified using High-Performance Anion-Exchange Chromatography coupled with Pulsed 237 238 Amperometric Detection (HPAEC-PAD) (Zeppenfeld et al., 2020; Zeppenfeld et al., 2021). After 239 an acid hydrolysis (0.8 M HCl, 100°C, 20 h) the monosaccharides fucose (Fuc), rhamnose (Rha), 240 arabinose (Ara), galactose (Gal), glucose (Glc), xylose (Xyl), mannose (Man), galactosamine 241 (GalN), glucosamine (GlcN), muramic acid (MurAc), galacturonic acid (GalAc) and glucuronic 242 acid (GlcAc) were measured.

243 For the DAA analysis, seawater samples (25.5 mL) were desalinated and concentrated to a few mL as described in Triesch et al., (2021a). For the AA<sub>aer</sub> from PM<sub>1</sub> particles, an aqueous 244 245 extract of the amino acids was prepared by shaking a piece of the filter in 2 mL water. After a filtration step (filter pore size: 0.2 μm) 25 μL of ascorbic acid (20 mg mL<sup>-1</sup>, purity 99 %, Sigma-246 247 Aldrich, St. Louis, Missouri, USA) was added to a 200 µL aliquot of the desalted seawater / 248 aqueous filter extract to avoid the oxidation of the obtained amino acids as discussed 249 suggested in Mandalakis et al., (2010). Following the addition of 250 µL HCl (Supra-quality, ROTIPURAN<sup>®</sup>Supra 35%, Carl Roth, Karlsruhe, Germany), the hydrolysis was performed at 250 251 110 °C for 20 h. After cooling to room temperature, the hydrolysed filtrate was evaporated, 252 resolved in 500 µL milliQ-water (Millipore Elix 3 and Element A10, Merck Millipore, Darmstadt, 253 Germany), filtered, derivatized using AccQ-Tag<sup>™</sup> precolumn derivatization method (Waters, 254 Eschborn, Germany), and measured by ultra-high performance liquid chromatography with 255 electrospray ionization and Orbitrap mass spectrometry (UHPLC/ESI-Orbitrap-MS), as 256 described in Triesch et al., (2021a). The analytes comprise-include the amino acids glycine 257 (Gly), alanine (Ala), serine (Ser), glutamic acid (Glu), threonine (Thr), proline (Pro), tyrosine 258 (Tyr), valine (Val), phenylalanine (Phe), aspartic acid (Asp), isoleucine (Ile), leucine (Leu), 259 methionine (Met), glutamine (Gln) and  $\gamma$ -aminobutyric acid (GABA) (purity  $\geq$  99 %, Sigma-260 Aldrich, St. Louis, Missouri, USA).

- 261 The DL and Lipids<sub>aer</sub>, measurements were taken from Triesch et al., (2021b), where the 262 analysis were done with a semi-molecular technique. For a better understanding of the data, a short description is given in the following: the dissolved fraction of seawater samples 263 (obtained after filtration through pre-combusted 0.7 µm GF/F filters) and aerosol filters were 264 extracted with dichloromethane according to specific protocols after adding 2-hexadecanone 265 as an internal standard, as described in more detail in Triesch et al. (2021b). Seawater or 266 aerosol filters were extracted with dichloromethane and filtered via GFF (Whatmann, pore 267 size: 0.7 µm) described in more detail in Triesch et al., (2021b). The filtered extract was 268 269 analysed with thin-layer chromatography (TLC). Lipid classes were separated on Chromarods 270 SIII and calibrated with an external calibration with a mixture of standard lipids by a 271 chromatograph flame ionisation detector (FID) latroscan MKVI (latron, Japan). The separation 272 scheme included elution steps in the solvent systems with increasing polarity. The lipid classes 273 included hydrocarbons (HC), fatty acid methyl esters (ME), free fatty acids (FFA), alcohols 274 (ALC), 1,3-diacylglycerols (1,3 DG), 1,2-diacylglycerols (1,2 DG), monoacylglycerols (MG), wax 275 esters (WE), triacylglycerols (TG), pigments (PIG), phospholipids (PP) including 276 phosphatidylglycerols (PG), phosphatidylethanolamine (PE), phosphatidylcholines (PC), as 277 well as glycolipids (GL) which cover sulfoquinovosyldiacylglycerols (SQDG), monogalactosyl-278 diacylglycerols (MGDG), digalactosyldiacylglycerols (DGDG) and sterols (ST). It needs to be 279 underlined that, as no single lipid compound but rather lipid groups (based on varying polarity 280 in the TLC system) were measured, the lipid results can be classified as analysis on a semi-281 molecular level.
- Organic carbon (OC) on the aerosol particles (PM<sub>1</sub> samples) was measured by means of a thermal-optical method using the Sunset Laboratory Dual-Optical Carbonaceous Analyzer (Sunset Laboratory Inc., U.S.A.) from a filter piece with an area of 1.5 cm<sup>2</sup>. The EUSAAR 2

285	temperature protocol was utilized, and a charring correction was applied (Cavalli et al., 2010).							
286	The correction value for pyrolytic carbon was determined based on measurements of a sample							
287	transmission using a 678 nm laser. Samples were thermally desorbed from the filter medium							
288	under an inert He-atmosphere followed by an oxidizing $O_2$ /He-atmosphere while applying							
289	carefully controlled heating ramps. A flame ionization detector was used to quantify methane							
290	following a catalytic methanation of CO <sub>2</sub> .							
291	Sodium was measured from filtered (0.45 $\mu$ m syringe filter), aqueous extracts of the							
292	$PM_1$ samples using ion chromatography (more details in Zeppenfeld et al., 2021 and van							
293	Pinxteren et al., 2022). The details on sampling and the sample treatment are summarized in							
294	Tab. S1.							
295	Atmospheric concentrations were calculated from the measured analyte							
296	concentrations on the filter or in the filer extract. The masses of the analytes on the filter were							
297	extrapolated to the total filter and related to the collected air volume that flowed through the							
298	<u>filter</u> .							
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300	2.2.3 Detection limits and blank handling							
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302	Detection limits were 0.5-2.5 $\mu$ g L <sup>-1</sup> (DAA) and 0.1-0.2 pg m <sup>-3</sup> (AA <sub>aer</sub> ) (Triesch et al.,							
303	2021a;Triesch et al., 2021c) and 0.13-0.7 μg L <sup>-1</sup> (DCHO) and 0.5-5 pg m <sup>-3</sup> (CHO <sub>ger.</sub> ) (Zeppenfeld							
304	et al., 2021). Regarding the lipids, -the method was carefully optimized for seawater analysis							
305	(Gašparović et al., 2015, 2017) and adopted for aerosol particle analytics as described in							
306	Triesch et al., (2021b). The detection limits were determined as the analyte concentrations							
307	corresponding to a signal-to-noise (S/N) ratio of 3 ranged from 0.06 to 0.33 ug as reported							
308	<u>previously in Penezić et al. (2022).</u>							
309	For the seawater analysis, field blanks were taken by filling high-purity water in pre-							
310	cleaned plastic bottles and handled the same as the seawater samples. The seawater blanks							
311	in general below 10% and for the lipids always below 15% (Triesch et al. 2021b). All data are							
312	blank corrected by subtracting the field blank values from the samples.							
313	Field blanks for aerosol particles were prepared using pre-baked quartz fiber filters							
314	without an active sampling and treated according to the same procedure as the field samples.							
315	The concentrations of the target analytes were calculated by external calibration. Each sample							
316	was measured twice with a relative standard deviation of typically < 10 %, and field blanks,							
317	which were for most compounds negligible and for the lipid classes always below 20% of the							
318	real aerosol particle sample, were subtracted. All presented values are corrected for the field							
319	blank.							
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322	2.3 Enrichment factors							
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324	The SML enrichment factor (EF <sub>SML</sub> ) was calculated by dividing the concentration of the							
325	analyte in the SML with the concentration of the analyte in the bulk water after equation (1):							
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$$EF_{SML} = \frac{c \, (analyte)_{SML}}{c (analyte)_{bulk \, water}}$$

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329 An enrichment in the SML is indicated with  $EF_{SML} > 1$  and a depletion in the SML with  $EF_{SML} < 1$ . 330 The enrichment factor of aerosol (EFaer.) is a quantitative metric for comparing compounds in the ocean and in the atmosphere. The EFaer. concept is mainly applied to closed 331 332 systems (Quinn et al., 2015 and refs.therein;Rastelli et al., 2017) as degradation and formation pathways on aerosol particles including photochemical and biotic atmospheric reactions and 333 334 contributions from other (non-marine) sources are excluded from this parameter. Nevertheless, for comparison purposes, it is useful to apply the EF<sub>aer</sub> to open systems as well, 335 336 as shown in several studies (Russell et al., 2010; Triesch et al., 2021a; Triesch et al., 2021b; van 337 Pinxteren et al., 2017; Zeppenfeld et al., 2021). To this end, the concentration of the analyte 338 of interest in each compartment is related to the respective sodium concentration (equation 339 2), because sodium is regarded as a conservative sea salt tracer transferred to the atmosphere 340 in the process of bubble bursting (Sander et al., 2003).

(1)

$$=\frac{c \;(analyte)_{aer.}/c \;(Na^{+})_{aer.}}{c \;(analyte)_{seawater}/c \;(Na^{+})_{seawater}}$$
(2)

EFaer.

344 To account for the different timescales for ocean and atmospheric processes and to 345 improve robustness, we applied the average concentration values of the respective compound 346 groups in seawater and on aerosol particles as done by (Zeppenfeld et al., 2021; van Pinxteren et al., 2022). It should be noted that EFSML and EFaer. comparisons include samples from the 347 same campaign but not necessarily from the same exact date (details in Tab.1 and Tab S2-S5). 348 However, as our focus was not on showing trends within the campaign (this was covered by 349 Triesch et al., 2021a,b) but rather on comparing the three different OC groups with each other, 350 the usage of average values is justified. To investigate the variability of the EF<sub>aer</sub>, the minimum 351 ratio of c (analyte)aer. /c (Na+)aer. vs. the maximum ratio of c (analyte)seawater /c (Na+)seawater was applied 352 and vice versa. As seawater concentration, the bulk water or the SML concentration can be 353 applied. 354

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Statistical significance was evaluated using the analysis of variance (ANOVA).

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# 358 3. Results and Discussion

359 3.1 SML and <u>b</u>Bulk water

360 3.1.1 Concentration and composition of the dissolved amino acids (DAA), dissolved

361 carbohydrates (DCHO) and dissolved lipids (DL)

Figure 1 shows the analyte concentrations in the bulk ocean water (DAA:  $80 \pm 53 \ \mu g \ L^{-1}$ 363 <sup>1</sup>, DCHO: 78 ± 15  $\mu g \ L^{-1}$ , DL: 70 ± 25  $\mu g \ L^{-1}$ ) and in the SML (DAA: 190 ± 238  $\mu g \ L^{-1}$ , DCHO: 85 ± 364 30  $\mu g \ L^{-1}$ , DL: 83 ± 24  $\mu g \ L^{-1}$ ). Hence, the average concentrations for DCHO and DL are similar 365 in the bulk water and in the SML (detailed values in Sect. 2.2.2, Tab. 1 and DL concentrations can be found in Triesch et al., (2021b)). For the DAA, however, SML concentrations show a 366 367 larger variability compared to the other compounds and compared to the bulk water. However, despite the variability of the DAA in the SML, they are not statistically different to 368 369 the other two compound groups (DL and DCHO) as well as to the DAA in the bulk water (Anova one way, p > 0.5 at the 0.5 level) that is different in the case of free amino acids (FAA). In total, 370 371 no significant difference is present between the compound groups within the SML and the ULW (Anova one way, p > 0.5 at the 0.5 level). - Resulting from the higher SML concentrations, 372 the average SML enrichment factors of DAA is  $2.34 \pm 0.3$  (Tab.1) and therefore higher 373 compared to the DCHO ( $EF_{SML} = 1.1 \pm 0.5$ ) and DL ( $EF_{SML} = 1.3 \pm 0.2$ ). The high variability of the 374 DAA concentrations agreed well with the free amino acids (FAA) that comprise the sum of 375 unbound individual amino acids i.e. not bound in a peptide or protein and were measured at 376 377 this location during the MarParCloud campaign (Triesch et al., 2021a). However, the FAA in 378 the SML were significantly higher compared to the FAA in the bulk water (Triesch et al., 2021a). 379 In additionO, other studies have pointed out highly variable amino acid concentrations as well, for example, Zänker et al., (2017) showed FAA concentrations between 32 and 1268 nmol L<sup>-1</sup> 380 and DAA varied between 202 and 2007 nmol L<sup>-1</sup> (for comparison: the here presented DAA 381 values correspond on average to 1064 nmol  $L^{-1}$  in the bulk water and 2536 nmol  $L^{-1}$  in the 382 SML). High enrichments of FAA in the SML were reported (Kuznetsova and Lee, 383 384 2002;Kuznetsova et al., 2004;Reinthaler et al., 2008;van Pinxteren et al., 2012;Engel and 385 Galgani, 2016) with FAA enrichments up to 300 in the SML of the Cape Verde seawaters (Triesch et al., 2021a). A preferential enrichment of FAA over dissolved combined amino acids 386 387 as a consistent microlayer feature was proposed (Kuznetsova et al., 2004).





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Regarding the composition of the individual DAA measured here, clear differences between the SML and the bulk water characteristics were observed (Fig. 2, blue and orange bars, data in Tab. S21 - 54). Besides the higher concentrations in the SML, some DAA were only present in the SML and not in the bulk water (below detection limit). This was most pronounced for Glu, but also evident for Tyr and Iso (detailed values in Tab. S3<sup>2</sup>).



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In contrast to the DAA, the DCHO enrichment in the SML was less pronounced with an EF<sub>SML</sub> of  $1.1\pm0.5$  (Tab. 1), similar to SML enrichment values obtained for DCHO close to the Peruviean upwelling regime (Zänker et al., 2017) and the Antarctic Peninsula (Zeppenfeld et al., 2021). Regarding the relative composition, the DCHO showed a very homogeneous pattern and were similar in the SML and the bulk water (Fig. 2, blue and orange bars).

Figure 2

The enrichment of the DL ( $EF_{SML} = 1.3\pm0.2$ , Tab. 1) was very similar to the DCHO enrichment and is discussed in Triesch et al., (2021b). From the individual lipid components and the lipolysis index, it was concluded that the lipids were degraded only to a small extent (Triesch et al., 2021b).

Altogether, the high and varying concentrations and enrichments of DAA in the SML in contrast to the DCHO and DL concentrations underline that significant changes occur for the DAA in the SML that are less pronounced for the other two compound groups. <u>However, it is</u> <u>important to mention that the number of samples that have been analysed is different and</u> <u>limited (Tab. 1 and Fig. 1) and samples from partly different dates of the campaign have been</u> <u>analysed and compared.</u>

- 412 3.1.2 Discussion of the SML enrichment
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- 414 3.1.2.1 Surface vs bulk SML
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The SML enrichment of DOC components is generally attributed to diffusion, turbulent 416 417 mixing, as well as scavenging, and transport of surface-active matter from rising gas bubbles 418 in the water column (Liss and Duce, 1997). Within the groups here-investigated groupshere, 419 the DL are the most hydrophobic compounds and are generally classified as highly surfaceactive compounds (Burrows et al., 2014). Although the surface-activity parameters (e.g. 420 octanol-water partition coefficient, density, Topological Polar Surface Area) of the individual 421 lipids differ among each other (values in Triesch et al., (2021b)), the lipids are overall more 422 423 non-polar and surface active compared to the carbohydrates and amino acids (values in 424 Triesch et al., 2021a). Nevertheless, the enrichment of the DL in the SML was significantly 425 lower compared to the carbohydrates and amino acids. This was obvious from a comparison 426 of the averaged values as well as from single samples obtained at the same date (03.10.2017 and 07.10.2017, values in Tab. S2, S3, and Triesch et al. 2021b). 427

One explanation for the finding lies in the sampled SML thickness. With the glass plate 428 429 technique, an SML thickness of about 100 µm is has typically been sampled and reported for the CVAO area (van Pinxteren et al., 2017). Hence, the 100 µm-thick SML might be very well-430 431 mixed with regards to the soluble amino acids and carbohydrates, however the surface-active 432 compounds, such as the lipids, are potentially located on the very top and form a thin (nm-433 thick) monolayer. In the literature, the SML is described either as a series of sub-layers of wet 434 and dry surfactants (Hardy, 1982) or as a gelatinous matrix (Sieburth, 1983). Independent of 435 the model, it can well-be expected that a gradient along the surface likely forms with 436 surfactants at the very top of the layer. The formation of a lipid-rich nanolayer on the very top 437 agrees with surface-sensitive spectroscopy measurements that are able to tackle the 438 uppermost layer and found strong indications for a nanolayer dominated by soluble 439 surfactants (Lass and Friedrichs, 2011) and hydrophobic low molecular weight lipids (Frka et 440 al., 2012). The nanolayer is, however, not accessible cannot be probed with currently applied 441 bulk-SML sampling methods. Therefore, the measured SML concentrations may represent a 442 very diluted (likely highly lipid-enriched) layer. Consequently, the SML structure is even more 443 complex, which needs to be considered, notably particularly when discussing lipid 444 enrichments in the SML. Here, a combination of bulk measurements with dedicated surface probing appears highly desirable. 445

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(i) Co-adsorption and complexation

3.1.2.2 Details of SML enrichment mechanisms

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451 Regarding the DAA in detail, it is interesting to note that some compounds are 452 exclusively present in the SML, as mentioned above. They belong to hydrophilic (Glu), 453 hydrophobic (Iso) and neutral (Tyr) fractions of amino acids, underlining that their occurrence 454 in the SML might not be related (solely) to their physicochemical properties. Besides an air bubble-driven transfer to the surface, enrichment in the SML can be supported by co-455 adsorption mechanisms. Less surface-active compounds (e.g. amino acids and carbohydrates) 456 can be attached due to ionic interactions/coulomb interactions to the head groups of the air 457 458 bubble-attached surfactants (e.g. lipids) that mediate their enrichment in the SML (Burrows et al., 2016;Hasenecz et al., 2019;Link et al., 2019;Schill et al., 2018). Co-adsorption can 459 460 provide an explanation for the high occurrence of non-surface active, very soluble 461 compounds, such as carbohydrates. A recent laboratory study showed different mechanisms 462 for the co-adsorption of polysaccharides that form a second calcium-bridges sublayer 463 underneath the monolayer whereas monosaccharides intercalate and induce reorganisation 464 within the nanolayer (Vazques de Vasquez et al., 2022). However, in the current study, only a 465 small SML enrichment of the DCHO and, hence, no indication for a strong co-adsorption was 466 observed.

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#### (ii) In-situ processing: Abiotic vs. biotic

470 Further explanations for the accumulation of dissolved compounds require an-in situ 471 formation or degradation by SML-specific reactions that might be triggered by distinct environmental conditions in the SML. Biotic pathways and abiotic SML-specific 472 473 (photo)chemical reactions may strongly impact OC cycling at the sea surface (Liss and Duce, 474 1997). The high abundance of the amino acid Glu in the SML observed here was also reported 475 in the FAA fraction by Triesch et al., (2021a) and can likely be explained by in situ formation. 476 In incubated cloud water as another marine compartment, Glu has been shown to be 477 produced via biotic and abiotic mechanisms, e.g. via the oxidation of proline (Jaber et al., 2021 478 and refs. therein) and such processes may be relevant in the ocean surface as well. Regarding 479 biotic processes, it is well-known that microorganisms have complex and highly 480 interconnected enzymatic networks and are able tocan biodegrade or biosynthesize organic 481 compounds (KEEG pathway). Kuznetsova and Lee (2002) suggested that stressed 482 microorganisms, rich in dissolved and combined amino acids, may be leached and released 483 them, which in turn affects the pools of both these compounds in seawater. Although such 484 formation mechanisms generally happen in the upper ocean, there are indications for SML-485 specific processes. Along a transect from upwelling regions toward oligotrophic gyres it was 486 found that while in the bulk water a clear trend toward degradation of amino acids was 487 observed, the production and degradation patterns of amino acids in the SML were much 488 more complex (Reinthaler et al., 2008). This is indicative of the role of the SML in the production of labile DOC driven by coupled microbial and photochemical processes. Similarly, 489 490 Kuznetsova and Lee (2001) observed that peptide turnover was always faster in the SML than in subsurface waters likely due to the greater concentrations of DOC in the SML. The authors 491 492 concluded that the accumulation of organic and inorganic compounds in the SML leads to a 493 more nutritious medium for microbial growth and consequently enzymatic hydrolytic activity 494 compared to the bulk water. Connecting this to the results presented here, this might suggest 495 that changes induced by abiotic and biotic processing need to be considered when regarding the SML composition. Although such reactions likely also affect lipids and carbohydrates, theyseem to be most pronounced for amino acids.

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#### (iii) Microbial nitrogen fixation at the sea-air interface

502 A further mechanism contributing to the high and variable SML enrichment of the DAA 503 at the current location might be a-microbial nitrogen fixation at the sea-air interface. 504 Measurements showed that cyanobacteria are a very pronounced phytoplankton group in this region (Franklin et al., 2009; Hepach et al., 2014; Zindler et al., 2012), which was dominant 505 506 during the MarParCloud campaign (van Pinxteren et al., 2020). Cyanobacteria are able to take up nitrogen from the atmosphere (Zehr, 2011). Earlier studies showed that cyanobacteria-507 508 fixed nitrogen is incorporated into amino acids (specifically glutamine (Carpenter et al., 1992)). 509 The calculated net amino acids release from cyanobacteria colonies (Trichodesmium 510 thiebautii) revealed that nitrogen fixation and the biogeochemical turnover of ambient amino 511 acids are an important source of recently fixed ("new") nitrogen within the oceanic surface 512 water (Capone et al., 1994). These considerations are, however, highly speculative and 513 demand further studies to investigate if nitrogen fixation and biosynthesis via cyanobacteria, 514 which occurs broadly is often observed in subtropical and tropical oceans (Montoya et al., 515 2007), might establish a considerable route for amino acid formation and enrichment in the SML from the atmospheric side. 516

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- (iv) Concluding remarks towards the SML enrichment
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520 Although SML enrichment factors for amino acids, carbohydrates, and lipids have been 521 reported in the available literature, they have not previously been shown in such detailed 522 analysis for samples collected from the same site as was the caseshown here. From this study it can be concluded that the amino acids are strongly enriched in the SML compared to 523 524 carbohydrates and lipids, even under the same environmental conditions. In a recent study we showed a strong enhancement of other nitrogen-containing species (aliphatic amines) in 525 526 the SML at this location, while the amine concentration in the bulk water was often not detectable (van Pinxteren et al., 2019). This suggests that the pronounced SML enrichment 527 528 specifically exists for nitrogen-containing organic species. In addition, the absence of a relation 529 of the SML enrichment to physical compound parameters (e.g. hydrophobicity) suggests that 530 enrichment processes based on physicochemical properties (e.g. surface-activity) alone do not 531 drive SML enrichment. Rather, an SML in situ formation mechanism impacts the abundance 532 of amino acids and likely nitrogen-containing organic species in general.

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## 534 3.2. Aerosol particles

535 3.2.1 Concentration and composition

537 After evaluating the concentrations of the analytes in seawater and the SML, in the 538 next step, their presence in the aerosol particles was investigated. The concentrations of  $AA_{aer}$ 539 and  $CHO_{aer}$  were 2.4 ± 1.1 ng m<sup>-3</sup> and 1.0 ± 1.1 ng m<sup>-3</sup>, respectively (Fig. 3, Tab. 1) and not 540 significantly different ULW (Anova one way, p > 0.5 at the 0.5 level).



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Compared to results from the Polar Regions, the CHO<sub>aer</sub> concentrations in the tropical 542 Atlantic Ocean analysed here are at the lower end. Leck et al., (2013) determined 543 carbohydrates during the Arctic summer and found 0.7 - 20 ng m<sup>-3</sup> in submicron particles. 544 545 Zeppenfeld et al., (2021) found carbohydrate concentrations between 0.2 - 11.3 ng m<sup>-3</sup> in PM<sub>10</sub> atmospheric particles, in the Western Antarctic Peninsula, which contributed about 3% to the 546 547 OC. The same holds true for amino acids, the concentrations found here are slightly lower as reported for other marine regions, e.g. FAA in Antarctic aerosol particles were on average 4.6 548 ng m<sup>-3</sup> in Antarctic aerosol (Barbaro et al., 2015). Triesch et al., (2021a) found FAA 549 concentrations between 1.5 and 3.0 ng m<sup>-3</sup> in the aerosol particles from the Cape Verdes. The 550 551 AA<sub>aer</sub> are generally in the same order of magnitude compared to other marine locations (Matos et al., 2016; Matsumoto and Uematsu, 2005; Renard et al., 2022; Wedyan and Preston, 552 553 2008). However, concentrations of amino acid and carbohydrates in urban, rural, and forest areas are significantly higher (2-3 orders of magnitudes) compared to the values and the 554 555 spectrum of compounds is strongly shifted (e.g. (Dominutti et al., 2022;Samake et al., 556 2019;Zhu et al., 2022).

557 Comparing the data obtained here with those provided by from analytical techniques that use functional group information (FT-IR) has showned that the latter techniques often 558 559 report-attribute a large fraction of alcohol (hydroxyl) functional groups on the marine aerosol 560 particles identified and attributed to carbohydrates (Cravigan et al., 2020; Frossard et al., 561 2014; Russell et al., 2010). According to Russell et al., (2010), the primary marine signal in 562 submicron marine aerosol over the North Atlantic and Arctic Oceans is made on average for 563 88% of hydroxyl groups corresponding to carbohydrate-like material. Such high fractions of carbohydrates were not found in the chromatographic analysis of CHO<sub>aer</sub> presented here, nor 564 565 in other studies using similar methodologies (e.g. Zeppenfeld et al., 2021). In a recent study, using thermal desorption mass spectrometry, it was suggested that carbohydrates only represented a minor fraction of the FT-IR alcohol group and another thermally stable fraction, different to carbohydrates, was the main contributor to the alcohol group (Lawler et al., (2020)). Hence, previous FT-IR measurements might have over-predicted the carbohydrate fraction of marine aerosol particles and further (molecular-based) analysis should be conducted in comparison to resolve existing contradictions.

572 In contrast to the  $\bigcirc$  AA<sub>aer</sub> and  $\bigcirc$  CHO<sub>aer</sub>, the Lipid<sub>aer</sub> concentrations were 120 ± 43 ng m<sup>-3</sup> and therefore two orders of magnitude higher than the other two organic groups (Fig. 3, Tab. 1) 573 The Lipid<sub>aer</sub> were significantly higher than the  $AA_{aer}$  (p = 6.6E-13) and the CHO<sub>aer</sub> (p = 1.6E-7) 574 (Anova one way, at the 0.5 level). One possible reason for the higher Lipidaer. concentration 575 576 could lay in the difference in the extraction procedure (chapter 2.2) as AA<sub>aer</sub> and CHO<sub>aer</sub> were 577 extracted in water, while the Lipidaer were extracted in an organic solvent. Previous lipid analysis on a molecular level revealed concentrations between 0.19 - 23 ng m<sup>-3</sup> for ALC and 578 between 2.5 - 38 ng m<sup>-3</sup> for free fatty acids on marine aerosol particles from the western North 579 Pacific (Kawamura et al., 2003) and a recent study found marine fatty acid concentrations 580 between 50 and 90 ng m<sup>-3</sup> in coastal aerosol at Qingdao (Chen et al., 2021). Mochida et al., 581 (2002) observed saturated fatty acids (C14–C19) on marine aerosol particles over the northern 582 Pacific in atmospheric concentration between 0.8 - 24 ng m<sup>-3</sup>. Hence, these data are in the 583 same order of magnitude as here measured lipid groups (ALC: 6.3 ng m<sup>-3</sup>, free fatty acids: 18.5 584 ng m<sup>-3</sup>, values in Triesch et al., 2021b). Cochran et al. (2017) showed that lipid components 585 (long-chain fatty acids) comprised a significant fraction of up to 75% of the identified organic 586 587 constituents in aerosol particles from a sea spray tank.

588 <u>A recent study using FT-ICR-MS found that among a large number of molecular</u> 589 <u>formulas, large peak numbers were assigned to proteins and lignins (phenolic</u> 590 <u>macromolecules) followed by carbohydrates and lipids in aerosol originating from the Arctic</u> 591 <u>ocean (vs. non-ocean aerosol) (Choi et al., 2019).</u>

592 High lipid fractions in marine aerosol particles were also reported from NMR 593 measurements. Measurements of nascent aerosol particles produced from North Atlantic 594 seawater showed that the water-soluble organic aerosol fraction was purely aliphatic with 595 hydroxylated moieties of sugars, esters, and polyols, aliphatic groups adjacent to carbonyls, 596 amides, and acids, as well as aliphatic chains with terminal methyl-groups, typical of lipids 597 (Facchini et al., 2008). The water-insoluble organic faction was dominated by 598 lipopolysaccharides, known as phytoplankton exudate components. A recent study applying 599 NMR analysis to artificially produced aerosol particles after bubbling seawater from offshore 600 areas also showed proof of polyols and lipids (Decesari et al., 2020). NMR measurements of 601 lipids are mainly qualitative, however, the high fraction of lipid-like components from other 602 regions agrees well with the here presented high Lipidaer concentrations presented here.

A high Lipid<sub>aer</sub> concentration as observed in the present study agrees well with the modelling results of Burrows et al., (2014), where the ocean-atmosphere transfer was calculated according to the physicochemical properties of the distinct OC groups. Lipids, as the most surface active OC group comprise the largest fraction of the aerosol fraction, although their (modelled) concentration in the seawater is lower compared to carbohydrates and amino

608 acids (Burrows et al., 2014). In a latter model modification, where additional co-adsorption processes were included in the calculations, a more pronounced saccharidic fraction was 609 610 determined on the aerosol particles from the model results (Burrows et al., 2016), that is different from the findings here, at least regarding the CHO<sub>aer</sub> measured on a molecular level. 611 612 However, it needs to be considered that the lipids analysed here include glycolipids (MGDG, 613 DGDG, SQDG) which are components that have the solubility properties of a lipid but also 614 contain one or more sugar molecules. The glycolipids comprise a non-negligible portion of the 615 OC on the aerosol particles (values in Table S6 and S8). This underlines the complexity of 616 attributing the OC to distinct organic groups and demonstrates that the applied analytical 617 methods must be taken into account when comparing concentrations of substance groups. 618 This is discussed in more detail in 3.4.1. Altogether, there seems to be a discrepancy between 619 the measured concentrations and the modelled results underlining that the transfer of the 620 organic compounds from the ocean to the atmosphere based on their physicochemical 621 properties might not be the only mechanism. 622

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- 625 3.2.2.1 Aerosol enrichment factors

3.2.2 Aerosol enrichment

The finding that the Lipids<sub>aer</sub> were much higher concentrated than the AA<sub>aer</sub> and the CHO<sub>aer</sub>, resulted in a very different pattern compared to the similar seawater concentrations (Fig. 1 vs. Fig. 3). To quantitatively compare the seawater and the aerosol concentration, the EF<sub>aer</sub> was calculated (values in Tab.1).

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## Insert Table 1

For the amino acids the  $EF_{aer.}$  was between  $9.2 \times 10^2$  (related to the SML) and  $2.1 \times 10^3$ (related to the bulk water) and on average  $1.5 \times 10^3$ . For the carbohydrates the  $EF_{aer.}$  was between  $1.3 \times 10^3$  (related to the SML) and  $1.4 \times 10^3$  (related to the bulk water) and on average  $1.3 \times 10^3$  and therefore similar to the  $EF_{aer.}$  of the amino acids. For the lipids, however, the  $EF_{aer.}$ was two orders of magnitude higher ( $EF_{aer.} = 1.4 \times 10^5$ , related to the SML;  $EF_{aer.} = 1.7 \times 10^5$ related to the bulk water,  $EF_{aer.} = 1.6 \times 10^5$  on average, Tab. 1).

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640 3.2.2.2 Oceanic transfer and atmospheric in situ formation

The overall high enrichment of OC in the aerosol particles is explained by complex, not yet finally-\_resolved interactions at the ocean surface where organic matter is enriched over relative to sodium during the formation of film and jet droplets. Burrows et al., (2014) applied a conceptual model ("slab" model) where all organics partition to the surface of a "slab" of oceanic water or to both the outer and inner surfaces of a bubble film. The organic enrichment is therefore significantly higher for the thinner bubble films (bubble film thicknesses: 0.01 to 1 µm) than for the thicker SML (typically sampled SML thicknesses: 20 to 400 µm). This 648 mechanism can explain an  $EF_{aer.}$  of OC in submicron aerosol particles of  $10^2$  to  $10^3$  compared to the SML (Burrows et al., 2014). However, EF<sub>aer.</sub> from ambient and laboratory-controlled 649 650 observations show that for some compounds even higher EF<sub>aer</sub>, are obtained. In a controlled tank study, Rastelli et al., (2017) found strong enrichments for lipids (up to 1.4x10<sup>5</sup>), as well as 651 652 for proteins (up to 1.2x10<sup>5</sup>) and carbohydrates (up to 1.0x10<sup>5</sup>, Tab. 1). A recent controlled bubble-bursting laboratory study showed that amino acids enrichments can be up to 10<sup>7</sup> in 653 654 submicron SSA between 0.029 and 0.060 μm (Triesch et al., 2021c). Similarly, Schmitt-Kopplin 655 et al., (2012) showed that surface-active biomolecules are preferentially transferred from 656 surface water into the atmosphere via bubble bursting. The ambient enrichment factor of the lipids (10<sup>5</sup>) shown here and in Triesch et al., (2021b) agreed well with laboratory-derived ones 657 658 (Rastelli et al., 2017) indicating that the transfer mechanisms simulated in lab experiments 659 agree with here performed observations in the field. Hence, the high surface activity and/or 660 the lipophilic character of the lipid classes might explain their strong (chemo-selective) 661 transfer to the aerosol particles. Even though the lipid composition on the aerosol particles 662 slightly varied from the seawater concentration (Triesch et al., 2021b), their transfer is likely 663 driven by their physicochemical properties (high surface activity and/or the lipophilic 664 character). For the amino acids and carbohydrates, however, more complex mechanisms may 665 determine their transfer to the atmosphere. Rastelli et al., (2017) suggested that diverse 666 biological processes on the ocean drive the properties of proteins and carbohydrates in the 667 ocean surface and in the atmosphere. Moreover, these compounds are known to be involved 668 in marine gel-like particle formation, such as transparent polymer particles (TEP) and 669 coomassie stained particles (CSP), observed in the ocean and more recently in the atmosphere 670 (Aller et al., 2017;Kuznetsova et al., 2005;van Pinxteren et al., 2022) adding more complexity 671 to the system. Hence not only a sea-to-air transfer but also atmospheric in situ formation and 672 degradation might determine the concentration of the OC and notably of the amino acid and 673 carbohydrates. This suggests that atmospheric processing plays an important role besides the 674 physical-driven bubble bursting sea-air transfer of OC.

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#### 676 3.2.3 Limitations of the concept of an aerosol enrichment factor

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678 When comparing OC in the ocean and the atmosphere, it needs to be considered that 679 processes in the ocean and the atmosphere happen on different timescales. In addition, the 680 seawater samples comprise spot samplings in the ocean while the sampling period of PM1 681 aerosol particles at the CVAO covers a time span of 24h. These issues make a comparison 682 between the ocean and atmospheric data very challenging. However, the air masses arriving at the CVAO often followed the water current (Pena-Izquierdo et al., 2012;van Pinxteren et 683 684 al., 2017) and suggest a strong link between the upper ocean and the aerosol particles, as mainly winds drive the ocean currents in the upper 100 m of the ocean. Besides the ocean, 685 686 Saharan dust is a strong aerosol source at the Cape Verde islands, most pronounced in the 687 months December to February (Fomba et al., 2014). The backward trajectories during the time 688 of the campaign (Fig. S2) as well as the mass concentrations of inorganic ions and mineral dust 689 tracers on the aerosol particles measured during the campaign suggested a predominant

690 marine origin with low to medium dust influences (van Pinxteren et al., 2020). Moreover, dust generally influences the supermicron particles to a larger extent than the submicron particles 691 692 analysed here (Fomba et al., 2013). Hence, although different factors certainly affect the 693 aerosol composition, it is reasonable to assume a strong oceanic contribution.

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#### 696 3.3. Seawater and aerosol particles: Comparison of the relative composition

697 Regarding the organic components on the aerosol particles, the same compounds that 698 were present in the seawater were generally present on the aerosol particles (Fig. 2, grey bars, 699 and values in Tab. S21 - 54). However, the relative composition of distinct compounds was, at 700 least partly, different. Regarding the carbohydrate composition, the percentages of MurAc, 701 GlcAc, and GlcN in the aerosol particles were higher compared to the seawater. MurAc and 702 GlcN are important constituents in the cell walls of marine microorganisms and notably, 703 MurAc serves as a proxy for bacterial biomass (Mimura and Romano, 1985). Its high 704 concentration might indicate an enrichment of bacteria on the aerosol particles. Zeppenfeld 705 et al., (2021) detected similar (biogenic) carbohydrates in particles sampled in the western 706 Antarctic peninsula and suggested that marine bacteria in atmospheric particles may 707 metabolize a part of the oceanic carbohydrates in a selective enzymatic way analogous to the 708 bacterial processes in seawater. Such processes might explain the changed carbohydrate 709 composition and are likely not restricted to a specific oceanic regime, as they seem to happen 710 in the Southern Ocean (Zeppenfeld et al., 2021) as well as in the tropical Atlantic Ocean, observed here. The elevated relative occurrence of GlcAc found here agrees well with the 711 712 recent finding of a high abundance of gel-like material in aerosol particles at the CVAO, strongly enriched towards sodium compared to seawater (van Pinxteren et al., 2022) as GlcAc 713 714 is one main component of marine gelatinous exopolysaccharides (Casillo et al., 2018;Krembs et al., 2002). Regarding the lipids, surfactants such as free fatty acids as well as lipophilic 715 716 compounds, such as hydrocarbons, had major contributions in the seawater and on the 717 aerosol particles, respectively. However, TG, an energy storage lipid, had a higher contribution 718 to the aerosol particles versus the ocean water. In addition, some other, minor-contributing 719 lipid classes were partly different in the two compartments within ocean and atmosphere 720 (Triesch et al., 2021b).



Figure 4

725 The most remarkable difference in relative composition in seawater and in aerosol 726 particles was found for amino acids, as some DAA were clearly present in the SML and in the 727 aerosol particles but not in the bulk water (e.g. Iso and Glu, Fig. 4, individual values in Tab. 728 S65). The amino acids generally differed a lot regarding their SML and bulk water composition. 729 This was visible in the data set presented here for the DAA, and also reported for the FAA 730 measured from the same campaign (Triesch et al., 2021a). Recently it was reported that the acidic amino acid Glu (in the form of FAA) is transferred to SSA to a large extent (Triesch et al., 731 732 2021c) and the results of the present study suggest that Glu might be transferred solely from the SML (and not from the bulk water) to the aerosol particles. However, besides the oceanic 733 734 transfer, Glu can result from an in situ formation on the aerosol particles. Similarly to the seawater, Glu might form from biotic or abiotic reactions on the aerosol particles. From the 735 736 here performed measurements performed here it is not possible to differentiate between a selective transfer of Glu from the SML and its biotic and abiotic in situ formation in aerosol 737 738 particles. Recently, Jaber et al., (2021) and Renard et al., (2022) evaluated the atmospheric aging of the amino acids and considered biotic and abiotic (mainly oxidation) processing. Their 739 740 calculations revealed different atmospheric lifetimes for the individual amino acids related to 741 oxidation and biological processes, respectively. For example, the amino acids Ser and Ala are 742 degraded quickly by biological processes (lifetime of a few hours) but are more stable towards 743 oxidation (Renard et al., 2022). Such studies can help to understand the patterns of the amino 744 acids as observed here and relate them to sources and atmospheric processing. The presence 745 of Ser and Ala in the here investigated aerosol particles investigated herecould therefore 746 indicate that biodegradation of these compounds was not pronounced. However, additional 747 studies are needed to better understand atmospheric biotic and abiotic processing. In

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addition, the transfer of individual DAA exclusively from the SML shall be investigated infurther research, preferably within characterized and controlled bubbling systems.

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3.4 Contribution to aerosol particle OC

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753 3.4.1 Molecular and semi-molecular analysis

OC concentrations in marine aerosol particles during this campaign varied between 754 755 0.13 and 0.31 µg m<sup>-3</sup> with an average value of 0.20 µg m<sup>-3</sup> (values in Tab. S7<del>6</del>). This agreed well with previous OC measurements from the CVAO that were on average 0.27  $\mu$ g m<sup>-3</sup> OC (van 756 757 Pinxteren et al., 2017). To date, only a small percentage of OC on marine aerosol particles is characterised on a molecular level and organic biomarkers often comprise only a few percent 758 759 of the OC (Chen et al., 2021). Fu et al., (2011) measured more than 140 different single organic species in marine aerosol from different oceanic areas, however the identified species 760 761 composed less than 5.7% of the OC. Taking together the OC components described here (Lipids<sub>aer.</sub>, AA<sub>aer.</sub>, CHO<sub>aer.</sub>), the contribution of the identified components to the OC was 762 763 calculated. Furthermore, the OC contribution of recently identified components from previous 764 campaigns within the Cape Verde region, in detail: aliphatic amines, methane-sulfonic acid 765 (MSA), oxalic acid and carbonyls (van Pinxteren et al., 2015) was included. The OC contribution 766 of the single compounds and compound groups are shown in Fig. 5 (values in Tab. S87). 767 Altogether, about 48% of the average OC could be explained by the identified components. Regarding the maximum (0.31  $\mu$ g m<sup>-3</sup>) and minimum (0.13  $\mu$ g m<sup>-3</sup>) OC concentrations within 768 769 the campaign, the OC contributions of the respective compounds are between 31% (lower limit) and 74% (upper limit). The major identified OC fraction (related to the average OC) were 770 the Lipids<sub>aer</sub> with 43%. They were followed by the aliphatic amines (4%) that is in good 771 agreement with a recent CVAO study, where they contributed on average with 5% to the 772 773 (water-soluble) OC (van Pinxteren et al., 2019). MSA (0.9%) and oxalic acid (0.3%) were minor OC contributors. Similarly, the CHO<sub>aer</sub> and the AA<sub>aer</sub> made up a minor percentage with 0.3 and 774 775 0.4% respectively. Regarding the lipids, it needs to be taken into account considered that the 776 here performed analysis performed here was not based on the detection of individual analytes 777 but on an organic solvent extraction of the particle constituents and extract separation by 778 solvents with different polarities applied in the TLC. The analytical method has been optimized 779 for seawater analysis. Within atmospheric processing, additional organic compounds can 780 form, which might contain a hydrophobic part and are potentially included in the lipid analysis 781 performed here. However, the large similarity of the lipid groups within the seawater and the 782 aerosol particles, as well as the agreeing concentrations of the single lipid groups (FFA, ALC) 783 to measurements from other marine stations with molecular techniques (GC-MS) suggests 784 that the same compound classes were present in the particles. Future analysis of the lipid 785 fraction with mass spectrometric techniques will help to better resolve this issue. 786



Figure 5

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# 790 3.4.2 Non-identified, recalcitrant OC in aerosol particles

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792 About 50% of the aerosol OC remained uncharacterized on a molecular and semimolecular level. The non-identified OC part may contain larger macromolecules that might be 793 794 composed of particulate or non-soluble forms in water (carbohydrates and proteins) or organic solvents (lipids) that were removed in the performed analysis during the sample 795 796 preparation step. In addition, the unknown part might include component groups that belong 797 to the soluble carbohydrates or amino acids but are either too stable or too labile for the 798 sample preparation procedure (e.g. within the hydrolysis step). Moreover, other complex 799 molecules that cannot be captured with the here applied methods applied here likely add to the unknown fraction including optical active parts summarized as chromophore dissolved 800 801 organic matter (CDOM), humic-like substances (HULIS), brown carbon and water-soluble 802 pigments. As mentioned above, NMR analysis showed that SSA contains a large fraction of 803 lipopolysaccharides comprising complex, macromolecular groups of sugars, esters, carbonyls 804 as well as acids and lipids (Facchini et al., 2008). However, these components have, not yet 805 been analysed in aerosol particles using chromatographic techniques. The uncharacterized part may also contain particulate OC compounds, such as larger aggregates of marine gels or 806 807 gel-like particles like transparent exopolymer particles (TEP). High TEP number concentrations 808 in aerosol particles were recently identified in the Cape Verde region (van Pinxteren et al.,

809 2022) and high mass-concentrations of TEP (e.g. 1.2  $\mu$ g m<sup>-3</sup> for PM<sub>1</sub>) were identified in the 810 western North Atlantic atmosphere (Aller et al., 2017).

811 Kieber et al., (2016) suggested that the major OC component in submicron sea-spray particles is recalcitrant and recently Beaupre et al., (2019) proposed that 19 to 40% of the OC 812 813 associated with freshly produced marine aerosol particles was refractory dissolved OC. This percentage agrees with the non-identified OC part from the present study. However, we 814 815 cannot identify or classify the remaining OC fraction nor attribute it with certainty to the recalcitrant OC. Further studies of the OC, in tropical as well as in other areas of the world, are 816 817 needed to continue resolving OC and related transfer and formation processes. Nevertheless, 818 the potentially recalcitrant OC fraction in the here investigated oligotrophic region does not 819 seem to constitute the majority of OC as reported by Kieber et al., (2016).

820

821 3.5 Sea-to-air fluxes of the individual OC groups

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823 The CVAO is localized in an oligotrophic region and should therefore be reasonably 824 representative of most of the Earth's ocean surface. POA emission rates are strongly varying, 825 however modelling studies have estimated global submicron marine POA emission rates of 10±5 Tg yr<sup>-1</sup> (Gantt and Meskhidze, 2013). Based on this emission flux and the contribution of 826 the compounds to the OC fraction, we estimated the fluxes of the DAA, DL, and DCHO. 827 828 Accordingly, the annual rates of emission from the ocean to the atmosphere are 0.03±0.01 Tg yr<sup>-1</sup> for DCHO, 0.04±0.02 Tg yr<sup>-1</sup> for DAA, and 4.2±2.1 Tg yr<sup>-1</sup> for DL. The unknown OC that 829 includes the potentially recalcitrant components, has a sea-to-air flux of 4.8±2.4 Tg yr<sup>-1</sup>. 830 831 However, this approach only includes the bubble-bursting-mediated transfer of the respective 832 compounds, neglects any potential seasonal changes and neglects additional sources and 833 formation processes. Ervens and Amato (2020) investigated the global impact of bacterial processes on carbon mass in cloud water and estimated formation rates of 3.7 Tg C yr<sup>-1</sup> of 834 835 secondary biological aerosol that are in the range of the POA emissions via sea spray (Gantt 836 and Meskhidze, 2013). Hence, the here presented emission fluxes presented here can change 837 once such processes are quantified for these compounds.

838

## 839 4 Summary and Conclusions

840

A comprehensive chemical investigation of the OC in the tropical Atlantic Ocean and the atmosphere with a focus on its contribution to the OC on the marine aerosol particles in this particular region was performed.

Regarding seawater, a similar distribution of the DL and DCHO was found with a small SML enrichment. However, the DAA, and likely the N-containing compounds in general, exhibit a high and varying enrichment in the SML (although being less surface active than lipids). Although conclusions on the detailed processes that lead to the varying DAA concentrations and the high SML enrichments cannot be resolved here <u>and the sample</u> <u>number is limited</u>, the results <del>clearly suggest show</del> that processes leading to changes in the organic matter composition within the upper 100 μm oceanic layer are more pronounced for the group of amino acids (and possibly for nitrogen groups in general) compared to other organic compounds groups such as lipids and carbohydrates. The SML is probably a very complex, heterogeneous, seasonality-dependent, and reactive matrix forming a lipid-rich nanolayer.

855 The same compounds studied in the seawater were found on the ambient submicron aerosol particles and strongly enriched towards with respect to sea salt ( $EF_{aer.} = 10^3$  for the 856 857 carbohydrates and the amino acids). To this end, the lipids were even stronger more enriched in the submicron aerosol particles ( $EF_{aer.} = 10^5$ ) compared to the other groups. This indicates a 858 859 preferred transfer of the lipids (towards the carbohydrates and the amino acids) from the 860 ocean to the atmosphere that is probably likely driven by their physicochemical properties 861 (high surface-activity and/or the lipophilic character). Detailed molecular analysis of the 862 seawater and aerosol particles revealed changes in the relative composition of the single 863 compounds. They were most pronounced for the amino acids and are likely related to an in 864 situ atmospheric processing by biotic and/or abiotic reactions that require further 865 investigations. A high saccharide fraction, as described in other studies, could not be found on 866 the aerosol particles, at least when regarding the molecular-resolved carbohydrate analysis. 867 However, saccharidic-like components (e.g. glycolipids) are also included in the lipid fraction 868 analysed here in non-negligible concentrations. This shows that when comparing the 869 concentrations of substance groups, the analytical methods used must be taken into account. 870 Nevertheless, even small concentrations of carbohydrates and amino acids on marine aerosol 871 particles can have a high impact in their microphysical properties, e.g. as ice nucleating 872 particles, and are worth further studying.

873 Altogether, the marine aerosol particles analysis applied here shows that half of the 874 OC can be attributed to specific components or component groups. However, the molecular-875 level analysed fraction explains only a small part of the OC, the CHO<sub>aer</sub> and AA<sub>aer</sub> made up less 876 than 1%. This shows that the typical representatives of carbohydrates and amino acids within 877 the marine OC measured here can explain only a very small fraction of the organic composition 878 of the aerosol particles on a molecular level. Amines, MSA, oxalic acid carbonyls comprise a 879 fraction of around 6%. Lipid analysis unravel reveals 43% of the OC on the aerosol 880 particles, however, the Lipidaer composition on a molecular level cannot be obtained from the 881 here performed measurements performed here. Altogether, about 50% of the OC remained 882 uncharacterized on a molecular and semi-molecular level. Regarding further marine aerosol 883 analysis, it will be important to resolve the large part of lipid compounds in more detail, as 884 well as getting molecular-level information on the remaining, unidentified OC. This shows the 885 need for further detailed analytical OC studies in the marine environment to resolve formation 886 and transfer mechanisms.

887 Nevertheless, the results obtained here show that even in such an oligotrophic region, 888 at least half of the OC on the aerosol particles consists of rather short-lived biogenic material, 889 likely from the surface ocean, as (qualitatively) suggested by other studies (Choi et al., 890 2019;Schmitt-Kopplin et al., 2012). The non-resolved OC might in part be of recalcitrant 891 nature, as indicated in other studies (Beaupre et al., 2019;Kieber et al., 2016;Lawler et al., 892 2020). However, the (potentially) recalcitrant OC does not constitute the majority of the OC in the oligotrophic Atlantic Ocean. Future studies should complement the here achieved data
 presented here with investigations of the particulate OC fraction.

Finally, since large parts of the open oceans are oligotrophic, the findings of this study might be relevant to the majority of the world oceans.

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Data availability. The amino acid and carbohydrate data are listed in the SI. The lipid data are
available through the World Data Centre PANGAEA under the following link:
https://doi.org/10.1594/PANGAEA.921832 (Triesch et al., 2020). Further data can be made
available by the authors upon request.

- 902
- 903 Special issue statement.
- 904
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- 919
- 920 Author contributions

921 MvP led the MarParCloud campaign with support from KWF and HH. SZ performed the 922 analytical measurements of the carbohydrates and supported the data analysis. SF was in 923 charge of the lipid measurements. NT performed the measurements of the amino acids. MvP 924 performed the data interpretation and wrote the manuscript with contributions from all 925 authors.

- 926
- 927 Competing interest
- 928 The authors declare that they have no conflict of interest.
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- 932 References:

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- 1309 Caption of Figures:

Figure 1: Box and whisker plot of the concentrations in seawater ( $\mu$ g L<sup>-1</sup>), distinguished into SML and bulk water for the dissolved amino acids (DAA) in the SML (n = 6) and in bulk water (n = 6), the dissolved carbohydrates (DCHO) in the SML (n = 3) and in bulk water (n = 3) an for the dissolved lipids (DL) in the SML (n = 6) and in bulk water (n = 13).

Each box encloses 50% of the data with the mean value represented as an open square and the median value represented as a line. The bottom of the box marks the 25% limit of the data, while the top marks the 75% limit. The lines extending from the top and bottom of each

- 1317 box are the 5% and 95% percentiles within the data set, while the asterisks indicate the data
- 1318 points lying outside of this range ("outliers").
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Figure 2: Bar graph showing the average of the relative compositions (mol%) of dissolved lipids (DL) and *Lipids<sub>aer</sub>*. (a), dissolved carbohydrates (DCHO) and CHO<sub>aer</sub>. (b) and dissolved amino acids (DAA) and AA<sub>aer</sub>. (c) in the bulk water (blue bars), the SML (orange bars), and the PM<sub>1</sub> aerosol particles (grey bars). <u>Mol% were calculated from the molar masses of the respective</u> analytes. For the lipid groups, the molar masses of the surrogate standard (sec. 2.2.2 and Tab. S8) are applied. The relative mol fractions are relative to the total of each type (DAA, DCHO, and DL) analysed.

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- Figure 3: Box and whisker plot of the concentrations in the PM<sub>1</sub> aerosol particles (ng m<sup>-3</sup>); n =
  8 for CHO<sub>aer</sub>, n = 7 for AA<sub>aer</sub>, n = 14 for Lipids<sub>aer</sub>.
- Each box encloses 50% of the data with the mean value represented as an open square and the median value represented as a line. The bottom of the box marks the 25% limit of the data, while the top marks the 75% limit. The lines extending from the top and bottom of each box are the 5% and 95% percentiles within the data set, while the asterisks indicate the data
- 1334 points lying outside of this range ("outliers").

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Figure 4: Scheme underlining the seawater (SML and bulk water) as well as the PM1 relative compositions of DL / *Lipids<sub>aer</sub>*, DCHO / CHO<sub>aer</sub> and DAA / AA<sub>aer</sub>. Assignment: amino acids: neutral/polar: Phe, Gly, Ser,Tyr, neutral/non-polar: Thr, Ala, Pro, Val, Leu, Iso, acidic: Aps, Glu; carbohydrates: basic: GlcN, GalN, neutral: Fuc, Rha, Ara, Gal, GlC, Xyl, Man, acidic: MurAc, GasAc, GlAc; lipids: hydrocarbons (HC), sterols (ST), pigments (PIC), fatty acid methyl ester (MW), membrane component: WE, metabolic reserve: TC, degradation lipids: FFA, ALC, 1,3 DG, 1,2 DG, MG, glycolipids: MGDG, DGDG, SQDG, polar lipids: PE, PG, PC.

1344 1345 1346	Figure 5: Graph showing the identified and non-identified OC and the OC contribution of the respective organic compound groups in the PM <sub>1</sub> aerosol particles. The contribution of the measured organic compounds to the total OC fraction was calculated on a carbon basis.
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1359	Table 1: Average concentrations of the organic groups and enrichment factor (EF) in the SML (EF,) and in the aerosol particles (EF,) after equations 1 and 2. EF are calculated from the

(EF<sub>SML</sub>) and in the aerosol particles (EF<sub>aer.</sub>) after equations 1 and 2. EF are calculated from the average concentrations of the respective groups (values in Tab. 1). For EF<sub>aer.</sub> the average Na<sup>+</sup> concentration in seawater (1.0E+04 mg L<sup>-1</sup>) and the average Na<sup>+</sup> concentrations in the PM<sub>1</sub> particles from the MarParCloud campaign (100 ng m<sup>-3</sup>, values from Triesch et al., (2021b)) were applied. To investigate the variability of the EF<sub>aer.</sub> the minimum ratio of c (analyte)aer. /c (Na+)aer. vs. the maximum ratio of c (analyte)seawater /c (Na+)seawater was applied (and vice versa) and the range of EF<sub>aer.</sub> is given in brackets.

For comparison, the last column lists the EF<sub>aer</sub> for PM<sub>1</sub> from a chamber study (Rastelli et al.,
2017).

-			DCHO				
SML (μg L <sup>-1</sup> )	Bulk water (μg L <sup>-1</sup> )	PM1 (ng m <sup>-3</sup> )	EF <sub>SML</sub>	EF <sub>aer</sub> (related to the SML)	EF <sub>aer</sub> (related to the bulk water)	EF <sub>aer</sub> (average)	EF <sub>aer</sub> (Rastelli et al., 2017)
85 ± 30	78 ± 15	1.0 ± 1.1	1.1±0.5	1.26E+03 <u>(2.33E+02-</u> <u>5.93E+03)</u>	1.35E+03 <u>(1.54E+02-</u> <u>4.56E+03)</u>	1.31E+03	1.00E+05
n = 3	n = 3	n = 8					
			DAA				
SML 190 ± 238	Bulk water 80 ± 53	PM1 2.4 ± 1.1	2. <u>3</u> 4±0. <u>4</u> 3	9.23E+02	2.07E+03	1.50E+03	1.20E+05

	n = 6	n = 3	n = 7		<u>(1.39E+02-</u> <u>1.62E+04)</u>	<u>(7.95E+02-</u> <u>2.19E+04)</u>		
				DL				
	SML	Bulk water	PM1					
	83 ± 24	70 ± 25	120 ± 43	1.3±0.2	1.42E+05	1.71E+05	1.57E+05	1.40E+05
					<u>(6.21E+04-</u>	<u>(5.83E+04-</u>		
					<u>3.92E+05)</u>	<u>5.49E+05)</u>		
I	n = 6	n = 13	n = 14					
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