



### Numerical modeling of relative contribution of planetary waves to 1

### the atmospheric circulation 2

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10 Abstract. Using the general circulation model of the middle and upper atmosphere (MUAM), a number of numerical 11 scenarios were implemented to study the impact of individual planetary waves (PWs) on the global atmospheric circulation, 12 including zonal wind, temperature, and residual meridional circulation. The calculations were performed for the winter 13 conditions of the Northern Hemisphere (January-February). The contribution to the formation of the dynamic and 14 temperature regimes of the middle and upper atmosphere made by equatorial Kelvin waves propagating to the east, as well 15 as atmospheric normal modes with periods from 4 to 16 days is shown. In particular, it is demonstrated that the impact of a 16 5-day PW and an ultrafast Kelvin wave can change the speed of circulation flows by up to 5% in the areas of their amplitude 17 maxima. The presented research results are important for a deeper understanding of the mechanisms of large-scale 18 atmospheric interactions. Despite the obviousness and simplicity of the problem, such work has not been carried out at the 19 moment.

20 Keywords: planetary waves, normal atmospheric modes, residual meridional circulation, numerical simulation, atmospheric 21 dynamics

#### 22 **1** Introduction

23 Planetary waves (PWs, known as Rossby waves) are large-scale variations in the hydrodynamic parameters of the 24 atmosphere (wind, temperature, density), which are formed due to the potential vorticity conservation. The horizontal 25 distribution of PWs is determined by the counteraction of the meridional gradient of the Coriolis force and the meridional 26 displacements of the jet streams. According to the classic theory (e.g., Holton, 1975), a number of waves fit along the 27 latitude circle, which is determined by the zonal wave number. The amplitudes of PWs increase due to a decrease in the 28 density of the atmosphere, when they propagate from their sources in the troposphere. In the middle and upper atmosphere 29 these disturbances become an important driver of the atmospheric circulation. One of the important features of planetary





30 waves is their active interaction with the mean flow causing transfer of energy and momentum. This feature was reflected in 31 the formulation of the generalized Eliassen Palm theorem (Eliassen and Palm, 1961). PWs can provide a significant 32 acceleration of the background flow in the middle atmosphere when dissipating. This acceleration is comparable to the 33 acceleration associated with gravity waves and atmospheric tides (e.g., Pogoreltsev, 1999).

Another important feature of PWs, which explains the need for their comprehensive study, is that they are a link between different atmospheric layers and regions. The PWs can contribute to the signal propagation from the quasi-biennial oscillation (QBO) of the equatorial zonal wind into the thermosphere (Koval et al., 2022) and from the equatorial region to the extratropical region (Holton & Tan, 1980). The ability of PWs to be reflected downward at the heights of the lower thermosphere, due to changes in vertical temperature gradients associated with solar activity cycle, can also have a significant effect on the dynamic and temperature regimes of the middle atmosphere (Koval et al., 2018a).

According to the so-called "downward control principle" (Haynes et al., 1991), PWs are the main driving force of meridional extratropical circulation (see also Holton et al., 1995). Due to its global nature, meridional circulation is considered to be the most important mechanism of dynamic interaction between different layers and regions of the atmosphere, affecting the transport of aerosol, atmospheric gases and, consequently, the composition of the atmosphere. Changes in the meridional circulation can affect the ozone layer behavior. The state of the ozone layer has attracted increased attention due to global ozone depletion (e.g., Newman et al, 2009). PWs are the main factor in the development of sudden stratospheric warming (Schoeberl, 1978; Nath et al., 2016).

A lot of studies are currently dedicated to the PWs having different periods and zonal wavenumbers. For example,
numerical simulations of PWs influence were discussed in Liu et al. (2004); Chang et al. (2014); Wang et al. (2017); Forbes
et al. (2018; 2020); He et al. (2020) and many others. Ground based radar measurements were presented by Clark et al.
(2002); Jiang et al. (2008); Pancheva et al., (2008) and satellite measurements by Day et al. (2011); Forbes & Zhang (2017);
Pancheva et al., (2018); Merzlyakov et al. (2013), as well as processing of reanalysis data/weather forecasting system by
Sassi et al. (2011); Qin et al. (2021), etc.

In this paper we considered the relative contribution of various PW modes to the formation of the global atmospheric circulation using the unique opportunity that numerical modeling gives us. In order to further understand the nature of large-scale atmospheric dynamics, we carried out a number of numerical experiments to quantify the sensitivity of the zonal wind and temperature fields, as well as meridional circulation components to the switching on/off sources of various PW modes in the model. Despite the obviousness and simplicity of the problem, such work has not been carried out at the moment.

# 59 2 Methodology

60 The MUAM model. Planetary waves are studied using the Middle and Upper Atmosphere Model (MUAM,
 61 Pogoreltsev et al., 2007). MUAM is a three-dimensional nonlinear mechanistic model of the general atmospheric circulation



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62 at heights from the surface to the F2 ionospheric layer (up to 300-400 km). This is one of the most promising and modern 63 models of atmospheric wave dynamics, which makes it possible study the processes in the middle and upper atmosphere, as 64 well as their interaction with lower levels (see, for example, Gavrilov et al., 2018; Ermakova et al., 2019; Koval et al., 2018a, 65 b; 2022; Medvedeva et al., 2019). One of the advantages of MUAM is that it allows us not only to analyze the amplitudes of 66 planetary waves, but also to associate them with various generating sources. The log-isobaric height x=-Hln(p/p<sub>s</sub>) is used as 67 the vertical coordinate in MUAM, where p is the pressure in hPa,  $p_s$  is the surface pressure, and H is the pressure scale 68 height. The latitude and longitude spacing of horizontal grid of the model is 5.625° x 5°. A version of the model with 56

The MUAM radiation module takes into account atmospheric net radiative heating due to solar and infrared irradiance. The thermosphere includes parameterization of heating in the extreme ultraviolet band. Ion drag, molecular and turbulent viscosity and thermal conductivity are included as well. The model provides the possibility of planetary waves' excitation near the Earth's surface. The possibility of changing the albedo of the underlying surface is available. Weather changes and cloudiness in the troposphere are not simulated. The MUAM uses three parametrizations of gravity waves with different phase velocities, including orographic waves. For further description of the processes involved in the current version of the model, please refer to Koval et al. (2022).

vertical levels is used, covering a vertical range from the Earth surface to about 300 km. The time integration step is 225 s.

The main parameters simulated by the MUAM include 4-dimensional fields of the zonal, meridional and vertical velocity components, geopotential height, and temperature with time step of 2 h. By the MUAM initialization, zonal mean climatological distributions of the geopotential height and temperature are set with the lower boundary conditions at the 1000 hPa isobaric level. These distributions were obtained using the reanalysis MERRA-2 data (Gelaro, et al., 2017) and averaged over 20 years (from 2000 to 2019) for January-February.

82 Since the MUAM does not reproduce tropospheric weather, the sources of the westward propagating PWs 83 (atmospheric normal modes, NMs) and the eastward PWs (Kelvin waves) in the MUAM are specified using additional terms 84 in the heat balance equation, having the form of time-dependent sinusoidal harmonics with zonal wavenumbers m = 1 and m 85 = 2, and periods matching to simulated PWs. To specify the latitudinal structure of the PW components, the corresponding 86 Hough functions obtained using the method described by Swarztrauber and Kasahara (1985) are used. PW periods are equal 87 to the resonant response of the atmosphere to the wave action at the lower boundary (Pogoreltsev, 1999). Westward 88 propagating NMs (1.1), (1.2), (1.3), and (2.1), (2.2) in the classification proposed by Longuet-Higgins (1968) are considered. 89 They have periods of about 5, 10, 16 days with a zonal number of 1, and about 4 and 7 days with a zonal number of 2. In 90 addition, eastward propagating ultrafast Kelvin wave (UFKW, having period of about 3.5 days, a zonal number of 1) are 91 studied.

92 Residual meridional circulation. A significant problem when considering meridional flows in the framework of 93 the classical Eulerian approach (i.e., with zonal averaging of meridional and vertical circulation flows) is that, in the 94 equations of dynamics, the wave sources of momentum and heat are compensated by advective flows of momentum and heat 95 (Charney and Drazin, 1961). This feature does not allow one to isolate and analyze the wave action on the mean flow. At the





96 same time, in the continuity equation for long-lived gas components, there is a compensation of wave and mean flows. Thus, 97 the use of the Eulerian mean meridional circulation is inefficient for calculating mass transfer and long-lived gas species. A 98 thorough analysis of this topic was made by Butchart (2014). In this study, the Transformed Eulerian Mean (TEM) approach, 99 introduced by Andrews and McIntyre (1976), was used to diagnose the impact of PW on the mean flow. The TEM approach 100 is based on consideration of the components of the mean residual meridional circulation (RMC), which is a superposition of 101 eddy and advective mean transport. Formulas for calculating the RMC components are presented, for example, by Koval et 102 al. (2022). The time-averaged RMC represents the net average movement of air masses and, therefore, in contrast to the 103 conventional mean Eulerian circulation, it approximates of the average advective movement of atmospheric species.

104 Scenarios of model experiments. A series of numerical experiments (model runs) was carried out for January-105 February to identify the influence of various wave components on the variability of the global circulation and the RMC. The 106 scenarios of the model runs are presented in Table 1: a reference run of the model (#1) was carried out to calculate the 107 atmospheric circulation with the inclusion of all sources of the considered PWs, and other runs were performed with the 108 sources of individual waves turned off. Designations of 4DW, 5DW... mean PWs having periods of 4, 5 days and others. 109 UFKW means ultrafast Kelvin wave. The PW amplitudes were obtained using the longitude-time Fourier expansion into the 110 first 4 harmonics applied to the geopotential height fields. Next, an approximation was carried out using the least squares 111 method to the given oscillation periods.

runs	4DW	5DW	7DW	10DW	16DW	UFKW
1	+	+	+	+	+	+
2	+	+	+	+	+	
3	+		+	+	+	+
4	+	+	+		+	+
5	+	+	+	+		+
6		+	+	+	+	+
7	+	+		+	+	+

112 Table 1. Scenarios of model calculations, including different PWs.

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# 114 3 Amplitudes of planetary waves

Fig. 1 shows the amplitudes of geopotential height variations due to the observing planetary waves for January-February. The wave amplitude according to the results of the initial model simulation with the inclusion of sources of all considered PWs (run #1) is presented on the left side. For comparison, the right panels show the amplitudes of these waves for the model simulations with each wave source turned off (see scenarios in Table 1).







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Figure 1. Amplitudes of variations of geopotential height (m) with the source of the respective PW in the MUAM been turned on (left panels) and off (right panels) for the following PW modes: a) Ultrafast Kelvin wave, b) 5-day PW, c) 16-day (all with a zonal wave number m =1); d) 4-day (with m=2). Note that the color scale is uneven.





123 The amplitude of eastward propagating UFKW (a period of about 3.5 days) is shown in Fig. 1a. Kelvin waves are 124 localized in the low latitude region unlike classic atmospheric NMs, the horizontal structure of which is caused primarily by 125 the action of the Coriolis force weakening hem near the equator. The UFKW is mainly excited by the tropospheric source 126 specified in the MUAM. Its generation by internal atmospheric interactions is relatively weak (compare the left and right 127 panels of Fig.1a). The westward propagating NMs, shown in Fig. 1b-d, have maxima in the middle latitudes of both 128 hemispheres. Waves with larger phase velocities (4-d and 5-d NMs) can propagate in both hemispheres (Fig. 1b and 1d), 129 while slower waves predominantly propagate through the eastward wind structures of the winter (in our case – the Northern) 130 hemisphere (Fig.11c). This is due to propagation barriers of these waves occurring when their phase velocity is less than the 131 westward zonal jet stream in the summer stratosphere and mesosphere (see, for example, Charney and Drazin, 1961).

132 Fig. 1 shows the deficiency of waves generation in the middle atmosphere inside the model, and the PW amplitudes 133 with the sources turned off (right panels) do not exceed a numerical noise level. An exception is the maximum amplitude of 134 16-day PW in the right Fig. 1c, which is formed at latitude near 60° S and altitude of about 100 km. When the tropospheric 135 source is turned off, this maximum of geopotential height reaches 15 m in the right panel of Fig. 1c, whereas it is about 24m 136 for the turned-on wave source (the left panel of Fig.1c). This reveals an interesting effect of 16-day PW generating by 137 internal atmospheric sources was discovered. The main source of the 16-day wave generating in the southern lower 138 thermosphere in the MUAM may be elucidated by the nonlinear interaction of the 5- and 4-day waves, whose amplitudes 139 have maxima in the same latitude-altitude region in the left panels of Fig. 1b and 1d. Therefore further study of this 140 phenomenon is required.

141 A detailed comparison of the MUAM-simulated PW amplitudes for January-February with satellite and radar 142 observations, also with reanalysis data was carried out. For example, the amplitudes of PWs in the geopotential field 143 calculated according to NCEP/NCAR reanalysis data at 10 and 30 hPa pressure levels were presented in the study by 144 Pancheva et al., (2008). The values of these amplitudes agree with our results. The calculated PW amplitudes in geopotential 145 height according to the MERRA-2 reanalysis data and averaged over the years used for the initialization of MUAM have 146 also similar value and structure to the simulated one's. Additionally, Yamazaki et al. (2021) presents the distributions of 4-147 day PW amplitudes according to measurements of geopotential height using Aura satellite microwave sensing, the structure 148 of which corresponds to our calculations. Whereas, the presented values of the PW amplitudes may differ significantly, 149 which is primarily due to the fact that the data for individual specific days are presented in the specified article. The data 150 from the global numerical weather forecasting system (NOGAPS-ALPHA) is used by Sassi et al., (2012) to calculate 151 structures of geopotential height variations by atmospheric NMs. These structures are similar to our distributions. In 152 addition, the 5-day wave amplification in the southern mesosphere similar to the one demonstrated in the left Fig. 1a is 153 shown. For a more detailed analysis of the simulated PWs, in order to compare with the published data, the amplitudes of 154 temperature variations by PWs were also calculated. The simulated 5-day PW and UFKW in temperature field were 155 compared, in particular, with the wave amplitudes calculated from TIMED/SABER temperature data (Pancheva et al., 2010). 156 The amplitude values accordance (up to 6 K at the MLT height for January for 5-day PW at the mid-latitudes of both



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hemispheres, for UFKW - at the equator) and the spatial distribution accordance of PW across latitudes were found.
Moreover, the simulated PW amplitudes correlate in magnitude and spatial distribution with the respective waves obtained in
a number of studies (Pancheva et al., 2008, 2009; Forbes et al., 2017; Pedatella & Forbes, 2009; Huang et al., 2017).

# 160 4 Relative PW contribution to the general atmospheric circulation

161 The residual meridional circulation (RMC) was calculated to analyze the changes in atmospheric circulation caused 162 by various PWs for each MUAM simulation scenario presented in Table 1, with all PW sources turned on for comparisons 163 with model runs at turned-off sources of particular wave modes. Fig. 2 shows the RMC components and temperature 164 averaged over January-February for model calculation No. 1 (all PW sources included) and differences in these fields due to 165 turning off each of analysed PW mode. Respective zonal-mean zonal wind increments are shown in Fig. 3. Simulated zonal-166 mean wind (Fig. 3a) and temperature (Fig. 2a) correlate with those obtained with the empirical models HWM-14 (Drob et 167 al., 2015) and NRLMSIS 2.0 (Emmert et al., 2020), also with a semiempirical wind model by Jacobi et al. (2009) and with 168 the MERRA-2 reanalysis data.



Figure 2. a) RMC components (arrows, m/s, vertical component multiplied by 200) and mean zonal temperature components
 (colours, K) for January-February with all PW sources turned on; b-f) increments in RMC and temperature due to switching off



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sources of PW: UFKW, 5-, 10-, 16- and 4-day waves, respectively. Shaded areas show insignificant temperature and/or RMC
increments at 95%.



Figure 3. a) zonal wind components (colours, m/s) for January-February with all PW sources turned on; b-f) increments in zonal
wind due to switching off sources of PW: UFKW, 5-, 10-, 16- and 4-day waves. Shaded areas show insignificant wind increments at
95%.

178 Fig. 2 and 3 show relatively small influence of turning off each individual PW to the zonal-mean temperature and 179 zonal wind. The main impacts are usually localized in the regions of maximum PW amplitudes. The greatest contribution to 180 the circulation change is made by 5-day PW. The main differences in Fig. 2c occur in the southern lower thermosphere, 181 which correspond to a RMC strengthening in a layer between 80 and 120 km after switching on 5-d PW tropospheric source. 182 The acceleration of zonal wind (eastward above 100 km, and westward below) is observed in the same region in Fig. 3c. 183 This effect is primarily explained by the convergence of the Elissen-Palm flux (EP) in this region. The acceleration of the 184 RMC there leads to the lifting up of a warmer air and warming of the atmospheric layer between 60 and 90 km, as well as to 185 the acceleration of air transport from the coldest region of the atmosphere (about 90 km, at latitudes from the South Pole to 186  $60^{\circ}$  N), which leads to the cooling of the atmosphere above this layer. In addition, in the circumpolar southern stratosphere, 187 at a level of about 60 km, there is deceleration of the zonal wind, which, on the contrary, is associated with the EP flux





188 divergence.

The maximum UFKW amplitude is located at 100 km in the equatorial region (see Fig. 1b). Then the wave propagates higher, gradually attenuating. Its contribution to the circulation flows changes is also maximized in this region and exerted mainly in the strengthening of the zonal wind (Fig. 3b) and the RMC (Fig. 2b). Similar to 5-day PW, the circulation fluxes increments can reach up to 5%. The UFKW impact in the 100-120 km layer leads to cooling in the Northern hemisphere caused by a slowdown in meridional transport and additional updrafts causing adiabatic cooling.

194 16-day wave, as shown above (Fig. 1c), has a maximum in the stratosphere of the Northern hemisphere, and its 195 contribution to atmospheric circulation is observed in this region. Figure 2d shows that introduction of 16-day wave leads to 196 cooling of the layer below 50 km and heating of the overlying layer. The temperature changes here are explained by the 197 change in the RMC components: in particular, the acceleration and weakening of the RMC descending branch contributes to 198 adiabatic heating and cooling, respectively. This is accompanied by acceleration of the zonal wind (Fig. 3e), directed in this 199 region to the east (Fig. 3a).

10- and 4-day PW make a smaller contribution to the dynamic and thermal regime of the atmosphere. Specifically, the structure of the 10-day wave in the middle atmosphere is similar to the structure of the 16-day one: the amplitude maximum is observed in the northern stratosphere, but due to the higher phase velocity, its waveguide in the southern middle atmosphere is wider. Propagating in the Southern hemisphere, it contributes to the zonal wind acceleration up to heights of 140 km (Fig. 3d) and to the respective temperature changes. A faster 7-day wave, like 5-day wave, is able to propagate along waveguides in both hemispheres. Generally, the 10- and 7-day PW contributions cause the same effects as the 5-day one described above, although they are much weaker in this region.



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Figure 4. Time series of mean zonal temperature variations due to the inclusion of tropospheric sources of various PW in the regions of their maximum amplitudes in the MUAM.





The relatively weak increments, examined in Fig. 2 and 3, require an assessment of statistical significance. Such an assessment was carried out using the Student's paired t-test applied to 45312 pairs of samples in each of the latitude-altitude grid node (64 longitude points  $\times$  708 time points for January-February with a 2-hour model output). Statistically insignificant increments at the 95% significance level are marked with shading. In Fig. 4 shading indicates statistically insignificant data on either temperature or RMC.

For a more detailed analysis of the PW effects on atmospheric circulation, the time series of zonal-mean temperature and zonal wind variations due to the considered PW effects were observed – Fig. 4 and 5, respectively. Latitudes and heights corresponding to the maxima of the PW amplitudes were selected: the equator, 100 km is for the UFKW; 5-day wave is considered at 50° S and 105 km; 7-, 10- and 16-day waves: 50° N and 55 km; 4-day wave: 45° S and 105 km.

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223 In all cases, especially for the zonal wind (Fig. 5), the wave structure of increments with a period corresponding to 224 the period of the considered PW is observed. In particular, wind changes, which significantly exceed the averaged data for 225 January and February (presented in Fig. 3) can be seen in this figure. Specifically, the inclusion of 16-day wave and the 226 UFKW can cause the wind speed changes up to 4 m/s, and up to 5 m/s, respectively. PWs with zonal number 2 (4- and 7-227 day) make much smaller changes to the zonal flow, while, the weakening of the zonal flow is accompanied by the increase 228 of these waves, as well as the 5-day wave and the UFKW by the end of February. Temperature variations in Fig. 4 have a 229 more complex structure since temperature variations are affected not only by pressure fluctuations, but also by meridional 230 circulation fluctuations.





## 231 5 Conclusion and summary

A number of model simulation have been carried out for January-February, using a 3-dimensional nonlinear mechanistic numerical model of the general circulation of the middle and upper atmosphere MUAM, to estimate the sensitivity of the atmosphere dynamic and thermal regime to the various planetary waves impact. The MUAM model allows to include selectively sources of various PW modes, which gives the opportunity to deeper study the contribution of each PW to the atmospheric circulation structure. Moreover, for a more detailed diagnostics of the PW effect on the mean flow, the transformed Eulerian mean approach was used, implying the calculation of the residual mean meridional circulation, which is a superposition of eddy and advective mean transport.

The amplitudes of the simulated PWs are consistent with the ground-based, satellite observations data, as well as with the reanalysis and assimilation of meteorological data. The obtained increments of hydrometeorological parameters are maximal, as a rule, in the regions of maximum amplitudes of the considered PWs. In particular, the inclusion of 5-day PW and an UFKW can transform the speed of the background wind and the component of the residual meridional circulation up to 5%. In turn, changes in the meridional circulation, especially its vertical component, as well as a variation of wave activity fluxes, can cause variations in the background temperature of more than 1 K.

The effect of 16-day PW generation by an internal atmospheric source in the southern lower thermosphere, independent of the tropospheric PW sources specified in the model, was found. Most probably, the point is that 4-day PW with a wave number 2 interacts nonlinearly with a 5-day PW with a wave number 1 causing a secondary wave excitation. Such mechanism is described, e.g., by Pogoreltsev (2001): when two waves having frequencies  $\omega$  and zonal numbers *m* interact, a new (secondary) wave arises, in which the frequency and wave number are the sum or difference of the corresponding values of the primary waves. However, additional calculations are required to confirm this theory.

In addition, it should also be noted that for proper modelling of large-scale atmospheric dynamics, all models of the general atmospheric circulation should be tested for the ability to reproduce the global resonant properties of the atmosphere (the so-called atmospheric normal modes). This possibility has been repeatedly described in MUAM (e.g., Pogoreltsev, 2007, Koval et al., 2021), which underlines the reliability of the results obtained.

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