# Pollution slightly enhances atmospheric cooling by low-level clouds in tropical West Africa

Valerian Hahn<sup>1,2</sup>, Ralf Meerkötter<sup>1</sup>, Christiane Voigt<sup>1,2</sup>, Sonja Gisinger<sup>1</sup>, Daniel Sauer<sup>1</sup>, Valéry Catoire<sup>3</sup>, Volker Dreiling<sup>4</sup>, Hugh Coe<sup>5</sup>, Cyrille Flamant<sup>6</sup>, Stefan Kaufmann<sup>1</sup>, Jonas Kleine<sup>1</sup>, Peter Knippertz<sup>7</sup>, Manuel Moser<sup>1,2</sup>, Phil Rosenberg<sup>8</sup>, Hans Schlager<sup>1</sup>, Alfons Schwarzenboeck<sup>9</sup>, Jonathan Taylor<sup>5</sup>

Correspondence to: Valerian Hahn (Valerian.Hahn@dlr.de)

Abstract. Reflection of solar radiation by tropical low-level clouds has an important cooling effect on climate and leads to decreases in surface temperatures. Still, the effect of pollution on ubiquitous tropical continental low-level clouds and the investigation of the related impact on atmospheric cooling rates are poorly constrained by in-situ observations and modelling, in particular during the West African summer monsoon season. Here, we present comprehensive in-situ measurements of microphysical properties of low-level clouds over tropical West Africa, measured with the DLR aircraft Falcon 20 during the DACCIWA (Dynamics-Aerosol-Chemistry-Cloud Interactions in West Africa) campaign in June and July 2016. Clouds below 1800 meter altitude, identified as boundary layer clouds, were classified according to their carbon monoxide (CO) pollution level into pristine and less polluted clouds (CO < 135 ppbv) and polluted low-level clouds (CO > 155 ppbv) as confirmed by the linear CO to accumulation aerosol number concentration correlation. Whereas slightly enhanced aerosol background levels from biomass burning were measured across the entire area, clouds with substantially enhanced aerosol levels were measured in the outflow of major coastal cities, as well as over rural conurbations in the hinterlands. Here we investigate the impact of pollution on cloud droplet number concentration and size during the West African Monsoon season. Our results show that the cloud droplet number concentration (CDNC) measured in the size range from 3 µm to 50 µm around noon increases by 26% in the elevated aerosol outflow of coastal cities and conurbations with elevated aerosol loadings from median CDNC of 240 cm<sup>-3</sup> (52 cm<sup>-3</sup> to 501 cm<sup>-3</sup> interquartile range) to 324 cm<sup>-3</sup> (60 cm<sup>-3</sup> to 740 cm<sup>-3</sup> interquartile range). Higher CDNC resulted in a 17% decrease in effective cloud droplet diameter from a median deff of 14.8 µm to a deff of 12.4 µm in polluted clouds.

Radiative transfer simulations show a non-negligible influence of higher droplet number concentrations and smaller particle sizes on the diurnally-averaged (noon) net radiative forcing at the top of atmosphere of -3.9 W m<sup>-2</sup> (-16.3 W m<sup>-2</sup>) of polluted with respect to less polluted clouds and lead to a change in instantaneous heating rates of -22.8 K day<sup>-1</sup> (-17.7 K day<sup>-1</sup>) at the top of clouds. Thus, the atmospheric cooling by low-level clouds increases only slightly in the polluted case due to the already elevated background aerosol concentrations. Additionally, the occurrence of mid- and high-level cloud layers atop buffer this effect further, so that the net radiative forcing and instantaneous heating rate of low-level clouds turn out to be less sensitive towards projected future increases in anthropogenic pollution in West Africa.

<sup>&</sup>lt;sup>1</sup>Institute of Atmospheric Physics, Deutsches Zentrum für Luft- und Raumfahrt (DLR), Oberpfaffenhofen, Germany <sup>2</sup>Institute of Atmospheric Physics, University Mainz, Mainz, Germany

<sup>&</sup>lt;sup>3</sup>Laboratoire de Physique et Chimie de l'Environnement et de l'Espace, CNRS-CNES-Université d'Orléans, France

<sup>&</sup>lt;sup>4</sup>Flight Experiments, Deutsches Zentrum für Luft- und Raumfahrt (DLR), Oberpfaffenhofen, Germany

<sup>&</sup>lt;sup>5</sup>School of Earth, Atmospheric and Environmental Sciences, University of Manchester, United Kingdom

<sup>&</sup>lt;sup>6</sup>Laboratoire Atmosphères Milieux Observations Spatiales, Sorbonne Universités, Paris, France

<sup>&</sup>lt;sup>7</sup>Institute of Meteorology and Climate Research, Karlsruhe Institute of Technology, 76128 Karlsruhe, Germany

<sup>&</sup>lt;sup>8</sup>School of Earth and Environment, University of Leeds, Leeds, UK

<sup>&</sup>lt;sup>9</sup>Laboratoire de Météorologie Physique, Université Blaise Pascal, CNRS, Clermont-Ferrand, France

#### 1 Introduction

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Figure 1: Cloud situation as seen from the DLR Falcon research aircraft from the DACCIWA campaign with low-level cumuli as sequel of the nightly low-level stratus deck, convective clouds with significant vertical extent and various cirrus layers atop.

The sensitivity of climate change to the properties of clouds is yet ambiguous and as such limits the confidence in model simulations of projected climate change from global climate models (Eyring et al., 2020). In-situ measurements in rarely studied locations, such as tropical West Africa, are required to improve and constrain the cloud droplet number concentration (CDNC) and effective droplet diameter (ED) in global climate models (Righi et al., 2020). Cloud formation processes and their dependence on updraft and cloud condensation nuclei concentrations, although being studied for quite some time, are still subject to scientific research with in different regions of the globe (e.g. Kirschler et al., 2022; Braga et al., 2017a, b and 2022; Painemal et al., 2014; Douglas and L'Ecuyer, 2020; Christensen et al, 2020; Menut et al., 2018; Kaufman and Fraser, 1997; Kaufman et al., 2005; Ramanathan et al., 2001). Thus, the role of widespread occurrence of low-level clouds (below 800 hPa, ~1800 m) during the West African summer monsoon, for the local atmospheric energy budget is yet to be better understood (Knippertz et al., 2017; Hill et al., 2018; van der Linden et al., 2015; Flamant et al., 2018a).

With an annual 6 % increase of its gross domestic product (Knippertz et al., 2017), sub-Saharan Africa's economy and socioeconomic system is undergoing major changes. The ongoing population growth, urbanisation and industrialisation leads to
strong increases in emissions from industry and the transport sector in particular in the major cities, but also from domestic
fires in urban and more rural areas (Liousse et al., 2014). The effect of an increase in anthropogenic emissions is not only of
concern in view of health aspects, but leads to uncertainties for future regional climate. Knippertz et al. (2015) raise the question
on the susceptibility of clouds to increases in anthropogenic pollution in West Africa. Hence, a scientific goal of the
international DACCIWA project (Dynamics-Aerosol-Chemistry-Clouds Interactions in West Africa) was to quantify and
better understand the effects of increased pollution levels within the boundary layer on low-level clouds over tropical West
Africa and on the corresponding radiation budget (Knippertz et al., 2015).

This project combined large scale satellite observations and local ground-based measurements with detailed trace gas, aerosol, cloud and meteorology observations from three airborne platforms: the German Deutsches Zentrum für Luft- und Raumfahrt (DLR) Falcon 20, the French Service des Avions Français Instrumentés pour la Recherche en Environnement (SAFIRE) ATR 42, and the British Antarctic Survey (BAS) Twin Otter (Flamant et al., 2018b). The deployment of the research aircraft to Lomé, Togo took place from 29 June to 16 July 2016 and involved survey flights over Togo, Benin, Ghana and Côte d'Ivoire. In their comprehensive analysis of the aerosol composition Haslett et al. (2019) find a large contribution of accumulation mode aerosol from biomass burning transported from the southern hemisphere in the background aerosol distribution in West Africa, which acts as cloud condensation nuclei.

While Deroubaix et al. (2022) use a modelling approach, with a regional meteorology chemistry model (Baklanov et al., 2014; Menut et al., 2019), to study the sensitivity of low-level clouds and precipitation, Taylor et al. (2019) present a comprehensive statistical analysis of cloud properties from the three research aircraft and assess the cloud droplet activation by a variation of local aerosol sources. Hill et al. (2018) statistically analyse the radiative effects from 12 distinct cloud types over south West Africa during the summer period from June to September using satellite data and calculate a surface cooling of all indicated cloud types including only a small radiative cooling of the atmosphere attributed to low-level clouds. They identified the frequent obscuring of low-level clouds by higher cloud layers (Figure 1) as an obstacle in satellite data, limiting the information on low level cloud properties.

This study complements the satellite observations and the radiative transfer calculations of Hill et al. (2018) with an in-situ perspective as input to sensitivity studies of radiative transfer modelling. In addition, it extends the work of Taylor et al. (2019) by using the in-situ cloud measurements as an input and a base to investigate the effect of pollution on low-level clouds, radiative transfer and climate in West Africa. We investigate the radiative impact of increased anthropogenic pollution on low-level during the monsoon onset phase in West Africa by calculating instantaneous radiative forcings and heating rates. The basis is a comprehensive data set of in-situ cloud observations from the 12 measurement flights of the Falcon 20 research aircraft during the DACCIWA airborne campaign.

An overview of the instruments and the methodology is presented in Section 2. Sect. 3 and 4 describe the microphysical quantities of low-level clouds, that serve as input to radiative transfer model simulations. Model results and sensitivity of the clouds radiative impact to aerosol, meteorology and diurnal impact are presented in Sect. 5.

#### 0 2 Instrumentation and methods

Microphysical properties of low-level clouds were measured in-situ with the Cloud and Aerosol Spectrometer CAS installed at a wing station of the Falcon 20 research aircraft. In addition, the European Centre for Medium-Range Weather Forecasts' (ECMWF) integrated forecast system (IFS) provided the basis for flight planning. Atmospheric state parameters from ECMWF Copernicus Atmosphere Monitoring Service (CAMS) were used for radiative transfer calculations with the software package *libRadtran* (Mayer and Kylling, 2005). From these calculations, we investigate the influence of an increase of cloud droplet number concentrations with decreasing droplet diameter in low-level clouds on radiative forcing and atmospheric heating rates.

## 2.1 In-situ trace gas, aerosol and cloud instrumentation on the Falcon research aircraft

Particles and trace gases were measured with a set of well characterised instruments that have been deployed aboard the Falcon in previous flight campaigns (e.g. Voigt et al., 2010; Kleine et al., 2018; Voigt et al., 2022).

#### 100 The Cloud and Aerosol Spectrometer CAS

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The Cloud and Aerosol Spectrometer CAS (Baumgardner et al., 2001; Voigt et al., 2021) mounted on an inner underwing position of the Falcon was used to measure the cloud droplet number and the droplet size distribution in a size range between  $0.5 \,\mu m$  to  $50 \,\mu m$ . A photodetector senses forward scattered light from an annulus between  $4^{\circ}$  to  $12^{\circ}$  from particles that pass through a laser beam within the depth of field. Assuming Lorenz-Mie's-theory for spherical water droplets allows for the classification into 30 size bins for particles within a defined sample area. The CAS sample area (SA) of  $0.25 \pm 0.04 \, \text{mm}^2$  was determined by a water droplet beam mapping following the procedure outlined by Lance et al. (2010). The size calibration has been performed according to Borrmann et al. (2000) and Rosenberg et al. (2012), leading to uncertainties of  $\pm 16 \,\%$  of the droplet diameters ( $D_p$ ) reported here. The subsequent application of a Mie-binning proposed by Baumgardner et al. (2001) accounts for ambiguous assignments of scattering cross sections to corresponding droplet diameters leaving 18 unambiguous size bins. The time resolution of cloud measurements was set to 1 Hz. Coincident droplet measurements (Lance, 2012) were corrected with an empirical coincidence correction function derived by Kleine et al. (2018). The analyses of particle inter

arrival times renders particle shattering (Field et al., 2003) negligible for this survey of warm low-level clouds. Cloud droplet number concentrations (CDNC) were derived from counted droplet number (N) within a given time period dt, size of the SA and aircraft's true airspeed (TAS):

$$115 \quad CDNC = \frac{N}{SA \cdot TAS \cdot dt} \quad [cm^{-3}], \tag{1}$$

The cloud droplet effective diameter (ED) is then calculated as the ratio of the cumulated droplet radii as follows:

$$ED = 2 \cdot \frac{\sum_{i=1}^{n} N_i \cdot r_i^3}{\sum_{i=1}^{n} N_i \cdot r_i^2} \quad [\mu m]. \tag{2}$$

Finally, the liquid water content (LWC) is derived as the integral of the mass of spherical water droplets over all size bins, assuming a water density of one gram per cubic centimetre.

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$$LWC = \sum_{i=1}^{n} CDNC_{i} \cdot 10^{-12} \cdot \frac{4\pi r_{i}^{3}}{3} \quad [g \ cm^{-3}]$$
 (3)

A statistical comparison by Taylor et al. (2019) of cloud data from the cloud spectrometers flown on the 3-research aircraft during DACCIWA shows an excellent agreement in CDNC data from two cloud droplet probes (CDPs) and the CAS, with a mutual agreement of the medians and quartiles within 5 %. As for the ED, all three probes agreed within approximately 1 µm.

## Aerosol optical particle counter

Aerosol number concentrations and size distributions in the size range of 0.25 μm up to 3 μm are measured with the optical particle counter SkyOPC (Version 1.129, GRIMM Aerosol, Germany) sampling inside the fuselage behind an isokinetic aerosol inlet. The instrument can detect particles starting from 0.25 μm in diameter, the upper size limit is set by particle transmission efficiency of inlet and tubing and was found to be between 1.5 μm and 3 μm depending on flight altitude (Fiebig, 2001, Schumann et al 2011). Water saturation dependent cloud condensation nuclei counters were not operated on the Falcon, therefore we use here the correlation between aerosol measured with the OPC and CO data as indicative of enhanced aerosol levels or pollution.

## Trace gas instruments for CO and H2O

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Since accumulation mode aerosol measurements with the SkyOPC are affected by the presence of clouds, CO concentrations have been used to derive location and dilution of local sources such as urban emission plumes as well as local biomass burning sites (Haslett et al., 2019). CO mixing ratios were measured using infrared absorption spectrometry by the SPectrometre InfraRouge In-situ Toute altitude (SPIRIT, Catoire et al., 2017). The instrument uses the effect of absorption in the midinfrared spectrum by various trace gas species. The setup comprises three Quantum Cascade Lasers (QCLs) enclosed within a Robert cell, where two parabolic mirrors ensures a sufficient absorption path length. Sampled air is drawn from a rear facing inlet on the aircraft fuselage ducted via Teflon tubing to the instrument. Mass flow and system pressure are controlled by a scroll pump, an upstream regulator gage and a dosing valve. The absorption behaviour of an air sample is analysed with the help of a photodetector. The overall uncertainty for CO measurements is estimated to be  $\pm$  4 ppbv, with a precision of  $\pm$  0.3 ppbv for flight measurements at a sampling time of 1.6 seconds (Catoire et al., 2017). Further trace gases to be measured with SPIRIT are NO<sub>2</sub> and CH<sub>4</sub> (Brocchi et al., 2019).

#### Wind, temperature and humidity

The Falcon basic measurement system captures the in-situ meteorological data (i.e. pressure, temperature, humidity and 3-dimensional wind) as well as the position and attitude information of the aircraft. The reliability of the pitot static system was reviewed shortly before the DACCIWA campaign (Rotering, 2012). The measurement uncertainties for the pressure signals

and subsequently the derived wind calculations are discussed in Bramberger et al (2017). They derive for the pressure signals a measurement uncertainty of 0.5 hPa, for the vertical wind 0.3 ms<sup>-1</sup> and about 0.9 ms<sup>-1</sup> for the horizontal wind components. The measurement uncertainty of the temperature signals is given with 0.5 K. However, due to wetting and evaporation biases the accuracy is significantly reduced within clouds. Details about the measurement techniques and the applied corrections are described in Mallaun et al. (2015). Water vapour mixing ratios were measured with an uncertainty of  $\pm 7$  % with a CR-2 frost point hygrometer from Buck Research Instruments, LLC (Busen and Buck, 1995; Heller et al., 2017; Kaufmann et al., 2018) connected to a backward-facing inlet to exclude sampling of condensed water. The meteorological measurement system aboard the Falcon detected temperature and pressure with accuracies of  $\pm 0.5$  K and  $\pm 0.5$  hPa, respectively. From the water vapour and temperature data, the ambient relative humidity with respect to liquid (RHI) or ice (RHi) was calculated. In the air surrounding the clouds RHI ranged near and below 100 % with an estimated uncertainty of  $\pm 7$  %.

#### 2.2 Meteorological data sets

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We use meteorological data analysis of the Integrated Forecasting System (IFS) of the European Centre for Medium-Range Weather Forecasts (ECMWF) Copernicus Atmosphere Monitoring Service (CAMS) to describe the basic meteorological conditions and atmospheric composition in the African tropics in summer 2016 for radiative transfer simulations.

The spatial resolution of the data set is ~ 80 km horizontally on 60 vertical levels from the surface up to 0.1 hPa. CAMS reanalyses are available on a 3- hourly time step. CAMS reanalysis was produced using 4DVar data assimilation in cycle 41r2 of ECMWF's Integrated Forecasting System (IFS) (Flemming et al., 2015). Here we use temperature, water vapor and other trace gas species as an input to the radiative transfer calculations.

# 2.3 Radiative transfer calculations

Radiative transfer calculations were carried out to show how the measured microphysical parameters of the boundary layer clouds affect the radiation budget of the atmosphere. Here, the radiative transfer solver DISORT (six-streams for irradiances) of the one-dimensional routine UVSPEC from the program package *libRadtran* (Mayer and Kylling, 2005) is used to calculate the effects of polluted and less polluted water cloud layers in the planetary boundary layer on static vertical profiles of irradiances and heating rates in the shortwave (SW from 0.24 to 5.0 µm) and the longwave spectral range (LW from 2.5 µm to 100 µm). Considered are all relevant radiative processes in the atmosphere-surface system, i.e. multiple scattering, absorption, and thermal emission by atmospheric aerosol particles, cloud droplets, ice crystals, trace gases, and the ground. The microphysical properties of cloud layers defined in *libRadtran* are described by the vertical profiles of the ED and LWC based on the observations. Below cloud base and above cloud top these values are set to zero. For the low-level water cloud, radiative transfer calculations are based on the vertical statistics on the LWC and ED profiles representing the cloud fields probed during the Falcon operation days. The low-level clouds measured around noon are compiled into a one-layer surrogate, which is embedded between 790 m and 1870 m and discretizised into 60 model layers. To show the pure effect of different ED profiles on the radiation fields it is assumed that the LWC profile for the polluted low-level water cloud is the same as for the less polluted cloud (see Sect. 4).

To study the effect of cloud layers overlying the boundary layer cloud, a thin medium-high water cloud and an ice cloud are added to the model atmosphere in a sensitivity study. The medium-high cloud is located between 4770 m and 4840 m, the ice cloud between 9100 m and 9700 m, as found on average in the measurements. LWC/IWC and ED profiles for these clouds have been taken from measurements from 6 July 2016 and are then gradually adjusted for sensitivity studies (section 5.2). The translation of IWC and ED to the optical properties and the description of the ice crystals as a general habit mixture follow Baum et al. (2005a). In order to pinpoint the sole contribution to a cloud radiative effect only one representative vertical profile as on 29 June, 2016 for the meteorological parameter temperature and pressure, and such air density, and the profiles of the trace gases H<sub>2</sub>O, O<sub>2</sub>, CO, CO<sub>2</sub>, and NO<sub>2</sub> are taken from ECMWF CAMS data (Fleming et al., 2015). For CH<sub>4</sub> and N<sub>2</sub>O the

190 analysis are interpolated in space and time to the flight track of the Falcon on 29 June. Selected are vertical profiles close to the location of Lomé (Togo). The temperature profile used was compiled as a composite from all campaign flights. Regarding O<sub>3</sub>, GEMS data are used which include background ozone chemistry. All profiles are assumed to be constant over time. To describe the reflection properties of the surface in the SW spectral range the MODerate-resolution Imaging Spectroradiometer (MODIS) broadband albedo product (MCD43C3) has been used (Schaaf, and Wang, 2015). To be close to 195 the cloud conditions during the campaign the bihemispherical reflectance (white-sky albedo) has been selected. The MODIS product contains 16 days of data provided in a 0.05-degree (5600 m) latitude/longitude grid. Selected MODIS albedo data represent the 16 days from 29.06. to 15.07.2016, thus covering the campaign period very well. Spatial averaging of the broadband white-sky albedo data over an area including the flights tracks gives  $\alpha = 0.16$ . In the LW range a constant emissivity of  $\varepsilon = 0.99$  is assumed which after Wilber et al. (1999) should represent surfaces consisting of types like savanna and urban 200 quite well. The aerosol optical thickness (AOT) has been derived from Aeronet data for July 2016 based on measurements at the sites Koforidua Anuc (Ghana) and KITcube Save (Benin). Averaging the Aeronet data results in AOT = 0.38 at 0.55 μm. For the atmospheric boundary layer, the libRadtran aerosol type "urban" has been selected due to increased emissions from industry and the transport sector in the region around the main cities.

default mixing ratios of libRadtran are chosen, i.e. 1.6 ppmv and 0.28 ppmv, respectively. The 12:00 and 18:00 UTC CAMS

## 2.3.1 Radiative quantities

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One basic model output is the instantaneous spectral irradiance  $F_{\Delta\lambda}$  in Wm<sup>-2</sup> at each layer boundary from which the net irradiance  $F_{net,\Delta\lambda}$  is calculated according to:

$$F_{net,\Delta\lambda} = F_{down,\Delta\lambda} + F_{up,\Delta\lambda} \quad [Wm^{-2}] \tag{4}$$

 $F_{up,\Delta h}$  is the upward and  $F_{down,\Delta h}$  the downward directed irradiance integrated over the wavelength interval  $\Delta \lambda$  (here: SW, LW). Upward directed irradiances are counted negatively, downward directed irradiances positively. The net radiative forcing  $RF_{net}$  in W/m<sup>2</sup>, as used in this study, is defined as the difference of the net irradiances calculated for the atmosphere with an embedded polluted cloud (CO >155 ppbv) minus the net irradiances obtained for the less polluted cloud. Net irradiances are balanced over the SW and LW spectral ranges:

$$RF_{net} = \left[ F_{net,SW,CO > 155ppbv} + F_{net,LW,CO > 155ppbv} \right] - \left[ F_{net,SW,CO < 135ppbv} + F_{net,LW,CO < 135ppbv} \right] \quad [Wm^{-2}] \tag{5}$$

The ratio of the upward directed irradiance to the downward irradiance at each model layer is defined as the albedo integrated over the wavelength interval  $\Delta\lambda$ :

$$\alpha_{\Delta\lambda} = \frac{F_{up}\Delta_{\lambda}}{F_{down}\Delta_{\lambda}} \tag{6}$$

The heating rate  $H_{\Delta\lambda}$  in K/day is integrated over the spectral interval  $\Delta\lambda$  (here: SW, LW) and describes the temperature change of a model layer within a given time interval. It is defined as

$$H_{\Delta\lambda} = -\frac{1}{\rho_{air}} \frac{\vartheta F_{\Delta\lambda}}{\vartheta z} \left[ K \, day^{-1} \right] \tag{7}$$

with  $\rho_{air}$  denoting the air density,  $c_p$  the specific heat capacity of air at constant pressure.  $\vartheta F_{\Delta\lambda} / \vartheta z$  represents the vertical divergence of the net irradiance  $F_{net,\Delta\lambda}$ . Summing up  $H_{\Delta\lambda}$  over the SW and LW spectral range results in the net heating rate  $H_{net}$ .

### 3 Microphysical properties of tropical continental low-level clouds

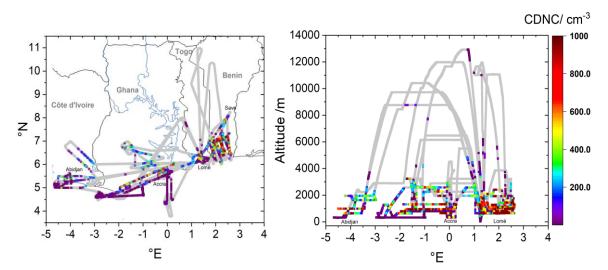
Cloud measurements were performed with the CAS instrument during the 12 research flights led from Lomé, Togo across the
West African countries of Côte d'Ivoire, Ghana and Benin, with flight segments of above the Gulf of Guinea (Figure 2). In
order to characterize city plumes of the metropolitan regions Lomé (Togo), Accra (Ghana), Abidjan (Côte d'Ivoire,) Save

(Benin), sampling was performed during circuit flight patterns, covering the inflow as well as the outflow region of a city. The probing of the Lomé city plume was emphasised with multiple recurrent flights. Measured CDNC along the flight tracks (Figure 2) in the Lomé downwind region to the east of the city uncover enhanced CDNC above 700 cm<sup>-3</sup>. Similar observations have been made around other close by coastal cities in the region, with small CDNC below 70 cm<sup>-3</sup> over coastal areas and enhanced CDNC further inland with concentrations between 210 cm<sup>-3</sup> and 490 cm<sup>-3</sup> and enhanced CDNC in the vicinity or

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downwind region of conurbations. The density distribution of measured CDNC in low level clouds, i.e. between ground and 1800 m (Fig. 3) peaks between 100 cm<sup>-3</sup> and 150 cm<sup>-3</sup> with a median value around 250 cm<sup>-3</sup>. Towards the upper end of the distribution CDNCs of 900 cm<sup>-3</sup> up to 950 cm<sup>-3</sup> with a frequency of 1% of occurrence can be found. Above that CDNCs around 1300 cm<sup>-3</sup> account only for less than 0.2 %.

Figure 2: Flight paths of the DLR Falcon research aircraft during the DACCIWA airborne measuring campaign from 29 June until 14 July 2016. Based in Lomé, Togo 12 research flights in total led across the West African neighbouring countries of Côte d'Ivoire, Ghana and Benin, as well as segments of above the Gulf of Guinea. Measured cloud sequences from the CAS-DPOL are colour-coded along the flight tracks.

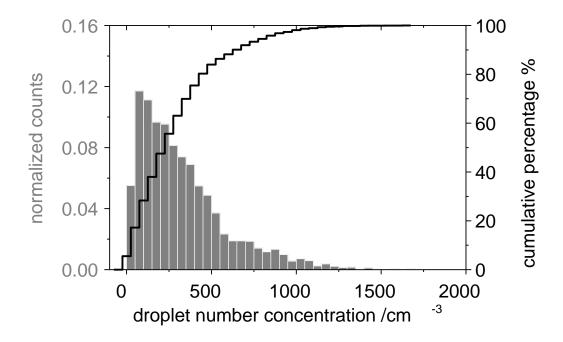


Figure 3: Density distribution of measured cloud droplet number concentrations in low-level clouds across West Africa during the DACCIWA campaign as normalised counts and cumulative percentage.

# 3.1 Cloud classification for polluted and less polluted clouds

Measurements of cloud condensation nuclei (CCN) are unreliable within clouds as activated CCN have grown to sizes too large to enter the aerosol inlet line and are therefore not measured by the OPC. In addition, the OPC lower cut-off size of 250 nm just measures the larger CCN. Therefore, carbon monoxide is used as a pollution marker and as a proxy for the amount of accumulation mode aerosol in order to distinguish polluted from pristine or at least less polluted low-level clouds. Figure 4 shows a linear correlation between CO and accumulation mode aerosol number concentrations outside clouds between 700 m and 1800 m altitude. Although the lower part of the accumulation mode aerosol might not lie within the measuring range of the SkyOPC-Probe, both parameters

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correlate linearly. This motivates our assumption that high CO mixing ratios can be regarded as a valid proxy for the abundance and number concentration of accumulation mode aerosol, acting as potential CCN. Though, CO is a long-lived species, that does not react with the cloud drops, accumulation mode aerosols as cloud condensation nuclei might agglomerate and sediment or are subject to wet deposition and undergo a change in chemical composition (Capes et al. 2008). Also, different aerosol sources might affect the relationship between CO and aerosol measured with the OPC. Deviations from the overall linear correlation could be attributed to these effects. Throughout the campaign almost 40 % of the measured CO concentrations lie between 140 ppbv and 150 ppbv. The mean value of all CO mixing ratio measurements below 1800 m is 151 ppbv, with a median of 143 ppbv. This CO mixing ratio can be regarded as a CO seasonal average, originating from either

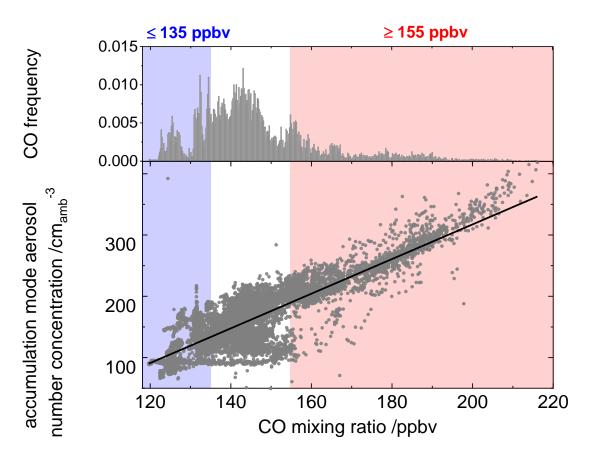


Figure 4: Correlation of accumulation mode aerosol and CO mixing ratio from all measurements <1800 m of the DLR Falcon with a linear best fit of  $Nc_{acc-aerosol} = -248.05 \pm 2.65 + 2.83 \pm 0.02 \cdot CO$  [ppbv]. The CO mixing ratio hence is used as a proxy for the degree of pollution and the abundance of activated cloud condensation nuclei within low-level clouds. Henceforth clouds with CO levels  $\leq$ 135 ppbv (22<sup>nd</sup> percentile) and  $\geq$ 155 ppbv (79<sup>th</sup> percentile) are characterised as less polluted or substantially polluted, respectively.

local, as well as remote sources in southern Africa (Haslett et al., 2019). In order to distinguish between polluted and less polluted air masses, we defined a threshold with CO mixing ratios lower than 135 ppbv (22<sup>nd</sup> percentile) as moderately polluted air masses and CO mixing ratios larger than 155 ppbv (79<sup>th</sup> percentile) as substantially polluted air masses. This nomenclature follows a classification used by Wendisch et al. (2016) from the ACRIDICON campaign in Brazil. CO mixing ratios between

60 ppbv and 160 ppbv within the South Atlantic maritime boundary layer as measured on Ascension Island during the CLARIFY-2017 campaign (Haywood et al., 2021), show comparable CO enhancements as the DACCIWA measurements, for periods within biomass burning plumes advected from Central Africa. The correlation between CO and accumulation mode aerosols below 1800 m and outside of clouds are

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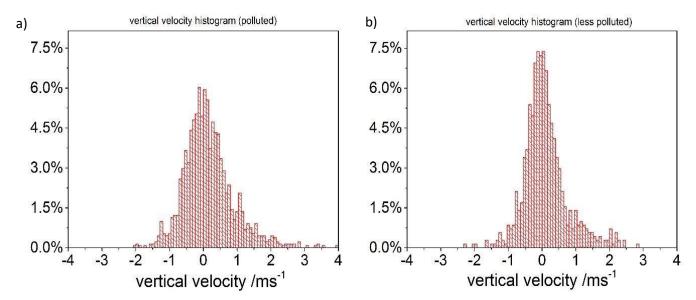


Figure 5: Histograms of vertical velocities for the polluted a) and less polluted b) low-level clouds show an overall similar distribution, with median updraft speeds close to  $0~{\rm ms}^{-1}$  and standard deviations lower than  $0.69~{\rm ms}^{-1}$  in both cases.

analysed in Figure 4. CO measurements range between 120 ppbv and 300 ppbv. We find a linear correlation between aerosol number concentrations and CO with a slope of 2.83 ( $\pm$  0.02) and a y-intercept of -248.05 ( $\pm$  2.65) with a R<sup>2</sup> of 0.83.

# 3.2 Microphysical properties of tropical continental low-level clouds and influence of pollution

Particle size distributions of low-level clouds are shown in Figure 6 for all cloud data measured in the boundary layer below 1800 m altitude. The cloud data were grouped into less polluted background clouds and polluted clouds using CO as pollution tracer. As already shown by Taylor et al. (2019) and Haslett et al. (2019), the less polluted clouds are still strongly influenced by biomass burning aerosol from southern Africa. Despite the high background CO mixing ratio also for the less polluted clouds, a difference is found in the cloud droplet size distribution of both cloud classes.

The mean effective diameter of the low-level less polluted clouds is  $14.8 \,\mu m$  and most of the measurements were performed between 10 am and 2 pm. The polluted clouds have a smaller ED of  $12.4 \,\mu m$ , higher pollution levels tend to lead to an increase in cloud drop number and a decrease in cloud particle size, in our case a 17% reduction in particles size has been detected. The maximum of the particle size distribution of the polluted cloud is shifted towards smaller diameter, compared to the less polluted case. Variations between the size distribution decrease towards larger droplet diameters. A more accurate determination of the mode maximum in the substantially polluted case is limited due to Mie ambiguities in the lower size range. The majority of substantially polluted clouds were encountered in the city outflow regions along the West African coast. Variations in updraft speed have been shown to have a significant impact on cloud drop number concentrations, in particular at low updraft speeds, e.g. Moore et al., 2013, Taylor et al., 2019; Braga et al., 2017a, b, 2022; Cecchini et al., 2017; Dadashazar et al., 2021, Kirschler et al., 2022). In order to investigate potential effects of updraft speed variations on cloud droplet number concentrations, we derived probability density functions of the updraft speeds measured with the Falcon basic measurement system for the polluted and less polluted cloud cases.

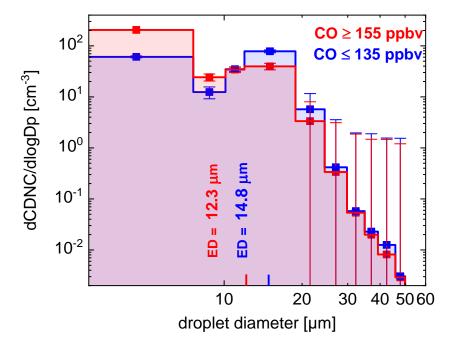


Figure 6: Average cloud droplet size distributions ( $\geq 3~\mu m$ ) of low-level clouds below 1800 m over West Africa from the Cloud and Aerosol Spectrometer (CAS) measured during the DACCIWA campaign in summer 2016, separated into less-polluted (blue) and substantially-polluted (red) clouds according to CO mixing ratios  $\leq 135~ppbv$  and  $\geq 155~ppbv$ , respectively. The less-polluted clouds have an effective cloud droplet diameter (ED) of 14.8  $\mu m$ , the substantially-polluted clouds have an ED of 12.3  $\mu m$ .

As shown in Figure 5, the difference between the two vertical velocity distributions is minor, as peak relative frequency of occurrence differs by 1.5%. The median vertical velocities of 0.01 m s<sup>-1</sup> (-0.09 m s<sup>-1</sup>) in polluted (less polluted) low-level clouds indicate no discernible overall trend in vertical motion during measurements, with no statistically significant difference in updraft speed distributions for high and less polluted clouds. In both cases, the standard deviations are 0.69 m s<sup>-1</sup> (0.62 m s<sup>-1</sup>), with maximum vertical velocities reaching 4 m s<sup>-1</sup> (3 m s<sup>-1</sup>). Since differences in updraft speed distributions are small for the polluted and less polluted cases in our study, we assume that it has only a minor influence on changes in cloud particle size distributions.

# 4 Altitude and Aerosol effect on low-level clouds

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An analysis of a vertical dependency on microphysical properties to reveal relevant cloud features in low-level clouds is shown in Figure 7. The determination of the vertical cloud structure is a representation of a height-bin averaged profile over all campaign flights with the DLR-Falcon performed around noon. They show a cloud base height (CBH) at an average of 666  $\pm 83$  m and cloud top height (CTH) around 1909  $\pm 440$  m. Instead of a single continuous compact cloud layer, different cloud encounters of different low-level clouds were compiled into a single vertical profile. The profiles of CDNC and ED of the less polluted (CO  $\leq 135$  ppbv) and the polluted clouds (CO  $\geq 155$  ppbv), compiled as averages of all low-level cloud measurements in various heights and with various cloud bases, show smaller cloud droplets in the polluted clouds over the complete altitude range below 1800 m and generally higher CDNC. The less polluted clouds already show larger ED in the lowermost cloud layer. The median ED as generally dependent on height above cloud base increases almost steadily up to 19  $\mu$ m. The largest difference in ED between both cloud classes is visible in the lowermost layer, with median values of 9 and 14  $\mu$ m. The polluted case also reaches its largest droplet radius at 1700 m altitude, but shows smaller droplet diameters of 16  $\mu$ m compared to 19  $\mu$ m for the less polluted case.

Generally lower droplet number concentrations were observed for the less polluted clouds compared to the substantially polluted clouds. The less polluted clouds have a median CDNC of 110 cm<sup>-3</sup> in the lowest cloud layer and the median CDNC

increases to near 200 cm<sup>-3</sup> in the middle of the cloud. A decrease in CDNC is measured at the top of the cloud layer. In the polluted case, near surface values of CDNC are already increased compared to the less polluted clouds. Starting from 250 cm<sup>-3</sup> (median) in the lowest altitudes, CDNC show a slight decrease towards the mid of the cloud and a distinct increase of the droplet number concentration in the higher cloud layer up to 440 cm<sup>-3</sup>. Averaged over the entirety of low-level clouds in the less polluted case, median CDNC of 240 cm<sup>-3</sup> were measured, with a variability as indicated by the 25 and 75 percentiles of 52 cm<sup>-3</sup> to 501 cm<sup>-3</sup>. The polluted clouds have higher mean CDNC of 324 cm<sup>-3</sup> and a 25 to 75 % range of 60 cm<sup>-3</sup> to 740 cm<sup>-3</sup>. Hence, the polluted clouds contained 26% more cloud drops than the less polluted reference case. An aerosol effect on clouds and its implications for the radiation budget (Twomey, 1991) could lead to an increase in cloud droplet number concentration, at the same time reducing the effective radius, given that the liquid water content is similar (since LWC is basically given by the amount of condensable water and thus by the updraft speed).

The origin and long-range transport of aerosol, as is the case in West Africa could play a role for the relationship between aerosol number concentration and the ED of clouds (e.g. Panicker et al., 2010). A saturation of the Twomey effect has been observed at AOTs of 0.4 to 0.5 and above by Wang et al. (2015). A mean AOT of 0.38 from the Aeronet data in the campaign region might explain the comparatively small difference in effective diameters between polluted and less polluted low-level clouds in our study.

Toll et al. (2019) found in pollution tracks in various regions around the globe that aerosols generally increase cloud brightness, mainly due to the Twomey effect. An increase of the liquid water path (LWP) as a result of cloud adjustment would be cancelled out by an entrainment effect, which leads to an overall reduced sensitivity of LWP towards anthropogenic emissions.

This study shows that a decrease of the LWP can offset the Twomey effect by 23 %.

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Wall et al. (2022) suggest that LWP is reduced by increases in sulfate aerosol, accompanied by a delay or suppression of precipitation. Also, Pante et al. (2021) find a correlation between increased anthropogenic aerosol emissions and reduced rainfall during the DACCIWA campaign.

Another study by Manshausen et al. (2022) found a significant negative forcing as a result of an increase of the LWP in ship tracks. The presence of a strong inversion opposes this effect, leading to the assumption that a deepening of clouds is necessary for an LWP amplification.

The pollution effect identified in our study, without being able to distinguish between various contributions to cloud adjustments, is used as a basis to derive the instantaneous cloud radiative forcing and the instantaneous heating rates based on greater CDNC and smaller ED.

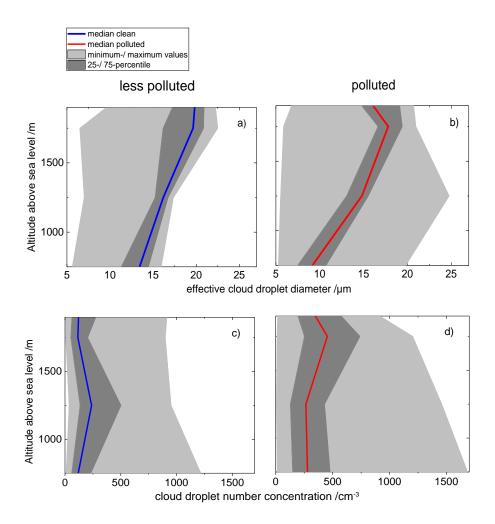


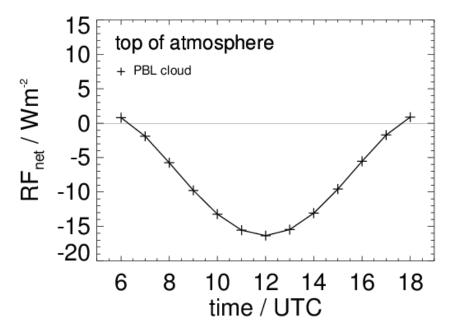
Figure 7: Vertical statistics of cloud microphysical properties for less polluted and polluted clouds: (a and b) cloud effective diameter and (c and d) cloud droplet number concentration from measurements of low-level clouds at various heights and various cloud bases.

#### 5 Net radiative forcings and heating rates induced by tropical low-level clouds

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Figure 8 presents the diurnal cycle of the instantaneous net radiative forcing, *RF*<sub>net</sub>, at the top of the atmosphere (TOA) as the difference of the net irradiances between the polluted and less polluted case for the horizontally homogeneous water cloud in the planetary boundary layer. The cycle of the solar zenith angle (SZA) corresponds to day 180 (28 June 2016) as of the beginning of the flight campaign. Shown are the results from 06:00 to 18:00 UTC. During night the net forcing is determined solely by the irradiances in the longwave spectral range leading to a temporally constant value of *RF*<sub>net</sub> = +1.1 W m<sup>-2</sup> according to the assumption of a fixed temperature profile. During daytime the net forcing depends on the SZA and is negative with a minimum of *RF*<sub>net</sub>= -16.3 W m<sup>-2</sup> at 12:00 UTC. The SW forcing dominates the LW forcing during daytime. Negative forcing values are caused by a higher cloud albedo in the polluted case, a consequence of smaller effective diameter. At 12:00 UTC (08:00 UTC) the SW albedo (Equ. 6) at the top of the cloud (TOC) is 0.81 (0.85) for the polluted cloud against 0.79 (0.84) for the less polluted cloud.

Averaging the net forcing calculated for TOA over 24 hours gives  $RF_{net} = -3.9 \text{ W m}^{-2}$ . Note, all numbers for  $RF_{net}$  given in this section are valid for a horizontally homogenous cloud layer of 100 % coverage. The net forcing, which, as defined in this study, is based on the Twomey effect only, decreases with decreasing cloud cover.



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Figure 8: Diurnal cycle of net radiative forcing ( $RF_{net}$ ) at the top of the atmosphere for 29 June 2016 containing one horizontally homogeneous water cloud in the planetary boundary layer (PBL cloud) between 790 and 1870 m.

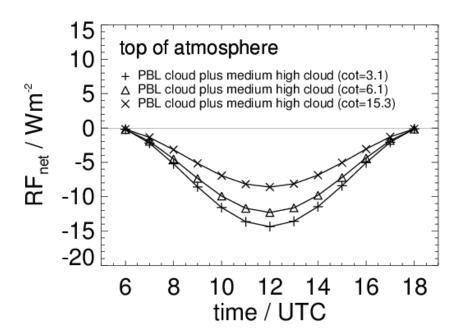


Figure 9: As in Figure 8, but for the atmosphere containing two water cloud layers, i.e. one cloud in the planetary boundary layer (PBL cloud) located between 790 and 1870 m and medium high clouds of different optical thicknesses located between 4770 – 4840 m. The curve marked with crosses results for the medium high cloud of measured optical thickness COT = 3.1 at 0.55  $\mu$ m, the two other curves represent net radiative forcings for artificially increased COT values as indicated.

a sensitivity study on the net  $RF_{net}$  including clouds at higher altitudes above a low-level cloud layer with polluted and less polluted microphysical properties. Figure 9 shows diurnal cycles of the TOA net forcing for an atmosphere containing a second, geometrically thin water cloud located between 4770 m and 4840 m above the boundary layer cloud. The microphysical properties of this cloud stem from a single measurement and pollution effects for this cloud are not considered. The LWC and ED profiles result in a cloud optical thickness of COT = 3.1. Figure 9 shows that scattering processes at this second medium-high cloud layer slightly dampen the TOA net forcing caused by the pollution of the boundary layer cloud. At 12:00 the model gives  $RF_{net} = -14.4 \text{ W m}^{-2}$  compared to  $RF_{net} = -16.3 \text{ W m}^{-2}$  for the atmosphere containing only one cloud

layer (Fig. 7). Increasing the optical thickness of the medium-high cloud to COT = 6.1 (COT = 15.3) by increasing the LWC by a factor of 2 (5) in each layer, in order to estimate the sensitivity of this additional cloud layer regarding the net radiative forcing, results in  $RF_{net}$  = -12.3 W m<sup>-2</sup> ( $RF_{net}$  = -8.6 W m<sup>-2</sup>) at 12:00 (Fig. 8). Averaging over 24 h reduces TOA net forcing values to -4.0 W m<sup>-2</sup> (COT = 3.1), -3.4 W m<sup>-2</sup> (COT= 6.1), and -2.4 W m<sup>-2</sup> (COT = 15.3). These numbers are valid for a horizontally homogeneous medium-high cloud with 100 % coverage. Note, a smaller coverage of medium-high clouds over the homogeneous boundary layer cloud would have less dampening impact on the net forcing at TOA due to a reduced effective optical thickness.

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Finally, simulations were carried out for an atmosphere with an additional ice cloud layer located between 9100 m and 9700 m. Since the measured microphysical parameters lead to an optical thickness clearly below 0.1, COT has been increased to 0.3 by manipulation of the IWC while keeping the vertical extension of the ice cloud. As expected, the additional effect of an optically thin ice cloud layer on the TOA net forcing turns out to be weak. For example, simulations that take into account three cloud layers give  $RF_{net} = -12.0 \text{ W m}^{-2}$  at 12:00 in comparison to  $RF_{net} = -12.3 \text{ W m}^{-2}$  valid for the two-cloud layer configuration with a medium-high cloud of COT = 6.1.

In order to get an idea how both, polluted and less polluted, low-level clouds independently locally affect a surrounding temperature profile, Figures 10 a and b display diurnal variations of instantaneous net heating rates  $H_{net}$  at the top of the boundary layer cloud (TOC) and at the surface, respectively. At TOC (Fig. 10a) and at the surface (Fig. 10b) the LW cooling dominates the SW heating during daytime, de facto leading to net cooling rates at both altitudes. At 12:00 UTC the polluted cloud (less polluted cloud) gives a LW cooling rate of  $H_{LW} = -342.4 \text{ K day}^{-1}$  (-317.8 K day<sup>-1</sup>) at the TOC whereas the corresponding SW warming rate results in  $H_{SW} = +82.7 \text{ K day}^{-1}$  (+75.6 K day<sup>-1</sup>). With increasing SZA the SW warming decreases approaching zero, at SZA  $\leq$  0 only LW cooling is effective. The curve for the polluted atmosphere shows higher cooling rates compared to that of the less polluted atmosphere (Figure 10). Reason is the higher optical thickness of the polluted model cloud (COT = 85.7 vs. COT = 75.6) leading to a greater vertical divergence of

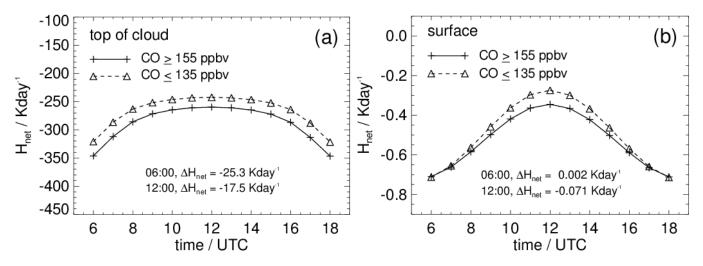


Figure 10: Diurnal cycles of instantaneous net heating rates ( $H_{net}$ ) at the top of the boundary layer cloud (a) and at the surface (b) for the polluted ( $CO \ge 155$  ppbv) and the less polluted atmosphere ( $CO \le 135$  ppbv).  $\Delta H_{net}$  denotes the difference of  $H_{net}$  for the polluted and the less polluted case at the times indicated.

net irradiances (Equ. 7) at the top of the cloud. Differences in net heating rates between both cases range between -17.5 K day<sup>-1</sup> at noon and -25.3 K day<sup>-1</sup> at 6 UTC. Averaging the instantaneous net heating rate differences at TOC over 24 hours gives a value of -22.8 K day<sup>-1</sup>. In case of an atmosphere containing the low and the medium-level water cloud the cooling rate difference results in 0.1 K day<sup>-1</sup> at the top of the medium-high cloud at 12:00 UTC (not shown). At the surface (Fig. 10b) cooling rates are strongly reduced due to the overlying homogenous cloud which causes very small divergences of net irradiances. Cooling rate differences due to pollution effects are almost negligible; the maximum difference at 12:00 is -0.07 K day<sup>-1</sup>.

#### 415 6 Summary and Conclusions

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This study presents a comprehensive data set of in-situ cloud measurements from the research aircraft Falcon 20 in tropical West Africa during the monsoon onset season. This data set contributes to fill the gap of scarce measurement coverage in the Gulf of Guinea, ranging as far as Benin to the east and Côte d'Ivoire in the west. We also investigate effects of pollution on 420 low-level clouds and on the radiation budget in this region. The characterisation of low-level clouds (below 1800 m altitude) over this region, between 29 June and 14 July 2016 shows median cloud droplet number concentrations around 270 cm<sup>-3</sup>, as measured from the CAS underwing probe. To identify aerosol-cloud effects we aimed to classify the probed air according to an apparent pollution level in terms of accumulation mode aerosol number concentration and relate these directly to respective clouds. Because measurements within clouds are flawed once CCN are activated, we correlated aerosol number concentrations 425 (>0.25 µm) outside clouds with CO mixing ratios measured with the SPIRIT absorption spectrometer aboard the Falcon. A linear trend shows the relation between these two parameters. Using the CO mixing ratio as a proxy for the pollution level of an air mass, we regard CO ≤135 ppbv and CO ≥155 ppbv, as less polluted and substantially polluted, respectively. Although a high biomass burning aerosol background entrained from central Africa reduces the delta between both classes and reduces the susceptibility to additional local emissions, an aerosol-cloud effect is still visible in cloud microphysical properties, 430 showing effective droplet diameter between 12.3 µm in the polluted case, compared to an ED of 14.8 µm in the less polluted case and CDNCs that almost double (median) in the polluted case.

The influence of the pollution level on the local radiative budget as an instantaneous net forcing and derived instantaneous heating rates between both cases of low-level clouds, was subject of a modelling study with the *libRadtran* one-dimensional-radiative transport model under application of the DISORT solver. Seeding the simulation with the microphysical properties from the in-situ measurements shows a negative radiative net forcing at TOA (Fnet, sw+Lw, CO≥155 ppbv minus Fnet, sw+Lw, CO≤135 ppbv) integrated over the day for the low-level boundary layer cloud. Thus, in a polluted atmosphere changes due to ED would locally lead to a further cooling of the earth-atmosphere system, with a net forcing of -16.3 W m<sup>-2</sup> at noon and an 24 h average of -3.9 W m<sup>-2</sup>. To put this into perspective: A comparison in net radiative forcings at TOA of a substantially polluted cloud layer with a cloud free scenario, as reference baseline, results in -638.2 W m<sup>-2</sup> at 12:00 UTC with a 24 h average of -196.6 W m<sup>-2</sup>, the LW contribution is +9.4 W m<sup>-2</sup>. Thus, net forcing at TOA accounts for only 2.6 % of the net forcing of the reference case (CO ≥155 ppbv minus cloud-free). This difference in instantaneous net radiative forcing caused by slightly altered cloud droplet number concentration and effective diameter shows the non-linearity of radiative properties and degree of pollution on low-level clouds, especially when considering already polluted background conditions.

As the occurrence of layered cloud structures with medium and high-level clouds atop a low-level cloud layer still poses an uncertainty in weather models, this scenario was also simulated, suggesting that net forcing effects due to pollution are discernible at TOA. Maximum net forcing values at TOA range between -16.3 W m<sup>-2</sup> (one cloud layer) and -8.5 W m<sup>-2</sup> (three cloud layers), with a 24 h-average of these maximum forcings at TOA divided by a factor of about 3.5. The direct effect of this instantaneous net radiative forcing translated into an instantaneous net heating rate (SW+LW) at TOC of a low-level less polluted compared to a substantially polluted boundary layer cloud changes by about -18 K day<sup>-1</sup> at 12:00 UTC and -25 K day<sup>-1</sup> at 06:00 UTC. Again, the net heating rate of the scenario with vertically layered clouds with each cloud sub set as low-level cloud show that changes due to pollution are negligible at the surface and at TOC.

In order to determine an effective radiative forcing based on instantaneous heating rates, further studies of cloud and atmospheric adjustment are needed using a thermodynamic model that also accounts for adjustment of cloud microphysics. The adjustments according to the demonstrated aerosol-cloud interaction and corresponding radiative response cannot be simulated by use of a one-dimensional RT-model like the UVSPEC/DISORT routine from libRadtran and the calculation is out of the scope of our study. These results confirm observations by previous studies and not only extend them by a detailed analysis of instantaneous radiative effects of low-level clouds under consideration of different pollution levels, but also take

into consideration the presence of layered cloud structures with a variation of the pollution level within the lower most cloud layer. The growing economy and ongoing urbanisation in West Africa suggest further increases in aerosol emissions and related changes in cloud condensation nuclei concentrations in future. Still, our study suggests that clouds have a lower susceptibility to aerosol in a regime with high background aerosol concentrations and that related instantaneous cloud radiative forcing changes are small and might be damped by a medium-high and high clouds.

Results of this study are representative for the monsoon onset period in West Africa, associated with long-range transport of biomass burning aerosol related to agricultural land use in southern and central Africa where each year slash-and-burn methods are used for land cultivation. It remains to be investigated whether the results from this study can be transferred to other regions in the world with higher pollution levels.

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**Data availability:** The data sets are available under: https://halo-db.pa.op.dlr.de. Operational meteorological analysis are archieved in the MARS archive at ECMWF (https://www.ecmwf.int/en/forecasts/documentation-and-support/changes-

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