¹ Variations in Global global zonal wind from 18 to 100 km

2 duevariations and responses to solar activity, and QBO, ENSO

3 during 2002–2019

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- 16 Key Points:
- The seasonal and linear variations of zonal winds coincide with those of MERRA2 with slight differences in
 magnitudes.
- The responses of zonal winds to QBO are approximately hemispheric symmetry and change from positive to negative
 with the increasing height.
- The responses of zonal winds to F10.7 and ENSO are more prominent in the southern stratospheric polar jet region as
 compared to that the northern counterpart.

23

24 Abstract

25 Variations of global wind are important in changing the atmospheric structure and circulation, in the coupling of 26 atmospheric layers, in influencing the wave propagations. Due to the difficulty of directly measuring zonal wind from the 27 stratosphere to the lower thermosphere, we derived the a global balance wind (BU) dataset from 50°S to 50°N and during 28 from 2002_to 2019 using the gradient wind theoryapproximation and SABER temperatures and modified by meteor radar 29 observations at the equator. These The dataset captures the main feature of global monthly mean zonal wind and can be used 30 to study the variations (i.e., annual, semi-annual, ter-annual, and linear) of zonal wind and the responses of zonal wind to 31 QBO (quasi-biennial oscillation), ENSO (El Niño/Southern Oscillation), and solar activity. Same procedure is performed on 32 the MERRA2 zonal wind (MerU) to validate BU and its responses below 70 km. The annual, semi-annual, ter-annual 33 oscillations of BU and MerU have similar amplitudes and phases. The semi-annual oscillation of BU has peaks around 80 34 km, which are stronger in the southern tropical region and coincide with previous satellite observations. As the increasing of 35 the QBO wind, both BU and MerU change from increasing to decreasing with the increasing height The responses to QBO shift from positive to negative and extend from the equator to higher latitudes with the increasing height. Both BU and MerU 36 37 increase with the Theincreasing of MEI (an indicator of ENSO) and decrease with increasing F10.7 (an indicator of solar activity) responses to ENSO and F10.7 are strongest (positive and negatively, respectively) in the southern stratospheric 38 39 polar jet region below 70 km. and The responses of winds to ENSO and F10.7 exhibit hemispheric asymmetry and are more 40 significant in the southern polar jet region. While above 70 km, the responses of BU increases with the increasing of to MEI and F10.7 and ENSO are mainly positive. The negative linear changes of Both-BU and MerU-exhibit similar linear changes, 41 42 but the negative linear changes of BU-at 50°N are absent in MerU during October-January. The discussions on the possible 43 influences of the temporal intervals and sudden stratospheric warmings (SSWs) on the variations and responses of BU 44 illustrate that: (1) the seasonal variations and the responses to OBO are almost independent on the temporal intervals selected; 45 (2) the responses to ENSO and F10.7 are robust but slightly dependent on the temporal intervals; (3) the linear changes of 46 both BU and MerU depend strongly on the temporal intervals; (4) SSWs affect the magnitudes but do not affect the 47 hemispheric asymmetry of the variations and responses of BU at least in the monthly mean sense. The variations and 48 responses of global zonal wind to various factors are based on BU, which is derived from observations, and thus provide a 49 good complementary to model studies and ground-based observations.

50 1 Introduction

51 Atmospheric dynamics field (temperature, wind, etc.) and species not only exhibits latitude, longitude, and height 52 variations, but also exhibits temporal variations with periods ranging from days, months to years, and even decade. The 53 temporal variations can be ascribed into long-term variations, intra-annual and inter-annual variations. Here the long-term 54 variations mean the linear term or linear changes in a regression model and on a time scale longer than one solar cycle in the 55 middle and upper atmosphere. The long-term variations of the middle and upper atmosphere have been received attentions 56 due to the greenhouse gases driven anthropogenic climate change and its influences on atmospheric drag and thus our space 57 vehicles (Beig et al., 2003, 2008; Laštovička, 2017; Yue et al., 2019b; Mlynczak et al., 2022; Zhang et al., 2023). The intra-58 annual variations mainly include annual (AO), semi-annual (SAO), and ter-annual (TAO) oscillations. These variations are 59 mainly cause by the revolution of earth with oblique axis relative to the ecliptic plane. Their amplitudes depend on latitude 60 and height (Dunkerton, 1982; Garcia et al., 1997; Randel et al., 2004; Smith et al., 2017).

The inter-annual variations are mainly caused by the coupling among different atmospheric layers, sea surface temperature and solar activity. Such as: the QBO (quasi-biennial oscillations) in the tropical regions has periods of 2–3 years due to wave-mean flow interactions. <u>Recently</u>, <u>Pukite et al. (2018) proposed that the QBO signal in the stratosphere can be</u> generated by the modulo aliasing between nodal lunar cycle (27.2122 days) and seasonal impulse signals. Especially, the 65 modulo aliasing between the lunar cycle and an annual impulse can result in a signal with periods of 2.3 years, which is close 66 the QBO periods of 2-3 years (Baldwin et al., 2001). The QBO signal can also be seen in the mesosphere, which is anti-67 phase to the stratospheric QBO due to the selective critical-layer filtering (Baldwin et al., 2001; Burrage et al., 1996; Xu et 68 al., 2007). Recent studies revealed that the mesospheric QBO is a seasonally locked phenomenon and occurs only in vernal 69 equinox when the westward winds enhanced every 2 or 3 years and might be an ephemeral phenomenon (Venkateswara Rao 70 et al., 2012; Kumar, 2021).; the The ENSO (El Niño/Southern Oscillation) is used to characterized the changes in sea surface 71 pressure and temperature (Domeisen et al., 2019). It has been reported that the slight change of ENSO can affect global 72 middle and upper atmosphere through the coupling of atmosphere and ocean and wave propagation (Baldwin and O'Sullivan, 73 1995; Randel et al., 2009; Li et al., 2013; Lin and Qian, 2019);-, the The solar activity can be represented by its radiation flux 74 at 10.7 cm (F10.7), its can influence the atmosphere from upper to below through photon absorption and high energy particle 75 precipitation and ion deposition (Li et al., 2011; Beig et al., 2008; Qian et al., 2019; Venkat Ratnam et al., 2019). Moreover, 76 the temporal variations may be coupled among different time scales. Such as: the coupling between SAO and QBO is mainly 77 due to the selectively filtering and absorbing of equatorial waves and gravity waves by QBO winds (Li et al., 2012; Smith et 78 al., 2017); the coupling between QBO and ENSO is mainly due to the stronger wave activity during in the warm phase 79 ENSO (i.e., El Niño), this accelerates the downward propagation of OBO (Domeisen et al., 2019; Taguchi, 2010).

80 The variations and responses of temperature and trace gases (e.g., CO₂, H₂O) in the middle and upper atmosphere have 81 been well studied through observations and model simulations (Emmert et al., 2012; Yue et al., 2015, 2019a; Laštovička, 82 2017; Lübken et al., 2008; Garcia et al., 2019; She et al., 2019; Yuan et al., 2019; Mlynczak et al., 2022). In contrast, the 83 variations and responses of wind field are more complex than those of temperature due to the direct external forcings and the 84 indirect dynamical coupling of the atmospherie between waves and mean flow (Qian et al., 2019). In fact, atmospherie wind 85 field is an important atmospheric parameter since it is a direct driver of atmospheric circulation and influences the 86 atmospheric structure. Moreover, wind field plays important roles in transporting mass and chemical species, in distributing 87 and re-distributing momentum and energy, and in modulating the propagation and dissipation of atmospheric waves (i.e., 88 gravity waves, tides, and planetary waves). This in turn affects the atmospheric circulation and structure indirectly. Thus, the 89 variations and long-term variations of winds should also be studied.

90 Ground-based radar observations have revealed long-term variations of mean wind in the mesosphere and lower 91 thermosphere (MLT) region at several stations. The medium frequency (MF) radar observations at Tirunelveli (8.7°N, 92 77.8°E) from 1993 to 2006 showed that the monthly mean zonal wind was dominated by SAO with eastward peak during 93 solstice and exhibited QBO signal with periods 2-3 years (Sridharan et al., 2007). Using the observations by four MF radars 94 and three meteor radars in the latitudes from 21°S to 22°N during 1990–2010, Venkateswara Rao et al. (2012) showed that 95 the zonal wind exhibited both negative and positive trends, which magnitudes depended on stations and the temporal 96 intervals of the observations. By combining the zonal wind at $\sim z=70-80$ km observed by the rocketsondes, satellite and MST 97 radar over the Indian region (8.5°N to 18.5°N and 69°E to 89°E), Venkat Ratnam et al. (2013) constructed a long-term 98 dataset from 1977 to 2010. They showed a decreasing trend of 2 ms⁻¹/Year (or 20 ms⁻¹/Decade) in February and March at 99 72.5 and 77.5 km (Fig. 2 of their paper). However, the trends are not significant from May to August. These observations 100 coincided with the results simulated by the Thermosphere-Ionosphere-Mesosphere-Electrodynamics General Circulation 101 Model (TIME-GCM) after doubled the CO₂ concentration (Venkat Ratnam et al., 2013). Recently, after extending the 102 observation data to 2016, Venkat Ratnam et al. (2019) found a decreasing trend at ~z=60-80 km and an increasing trend of 103 4–5 ms⁻¹/Decade at \sim z=80–90 km and below \sim 60 km. Using the temperature and wind simulated by Whole Atmospheric 104 Community Climate Model with eXtended thermosphere and ionosphere (WACCM-X) and the radar observations at Collm 105 (51°N, 13°E) during 1980–2014, Qian et al. (2019) showed that the zonal wind trends and the solar effects were, respectively, order of $\sim\pm5$ ms⁻¹/Decade and $\sim\pm5$ ms⁻¹/100SFU (1 SFU=10⁻²² Wm⁻²Hz⁻¹) but with large standard deviations. Using the historical simulations by WACCM6 during 1850–2014 (165 years), Ramesh et al. (2020) showed the responses of the temperature and zonal wind to QBO, ENSO, solar activity, ozone depleting substance, carbon dioxide, and aerosol from the stratosphere to the lower thermosphere. They showed that the influences of solar activity are mainly in the mesosphere while the influences of QBO and ENSO are mainly in the stratosphere and mesosphere. Moreover, these influences depend on latitudes.

The above observations and modelling studies revealed seasonal variations of zonal winds and their responses to QBO, ENSO, solar activity in the mesosphere. However, the reported long-term (or linear) changes of zonal winds depended on specific locations and the temporal intervals of the data. At present, it is still a challenge to directly measure the atmospheric wind field from the stratosphere to the lower thermosphere. It is compelling to develop a wind dataset to represent the main features of global zonal winds and their temporal variations.

117 Recently, we developed a dataset of global monthly zonal mean zonal wind (short for BU) based on the gradient 118 balance wind theory (Randel, 1987; Fleming et al., 1990; Xu et al., 2009a; Smith et al., 2017) and the temperature and 119 pressure profiles measured by the Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) instrument 120 (Russell III et al., 1999). To overcome the tidal alias above 80 over the equator (Hitchman and Leovy, 1986; Xu et al., 121 2009b; Smith et al., 2017), we replaced the BU with the zonal wind observed by a meteor radar at Koto Tabang (0.2°S, 122 100.3°E) (Hayashi et al., 2013; Matsumoto et al., 2016). The BU covers a latitude range of 50°S-50°N with step of 2.5° and 123 height range 18–100 km with step of 1 km and a temporal range of 2002–2019. The BU coincidesd generally with re-124 analysis data, empirical wind models and observations by meteor radars and lidar (Liu et al., 2021) and with the balance 125 wind derived by Smith et al. (2017) above the equator region. Thus, we focus on variations and responses of global zonal 126 winds to various factors since the BU is a reasonable candidate to monthly mean zonal wind-and can be used to study the 127 variations and responses of global zonal winds to various factors.

The solar activity effects on zonal winds in the MLT region are still unclear (Venkateswara Rao et al., 2012; Qian et al., 2019). It should be noted that the linear changes and solar activity have influences on the other signals (i.e., QBO, ENSO), one must isolate the contributions of different signals to get a clearer picture of the variations and responses of zonal winds. The long temporal (18-year) and entire height (18–100 km) intervals of BU are suitable to study the variations of zonal winds and their responses to QBO, ENSO, and solar activity. To separate the relative contributions of the variations and effects of QBO, ENSO, and solar activity to-on zonal winds, the multiple linear regression (MLR) method will be used.

134 To evaluate the reliability of BU and the corresponding responses below 70 km in further, we will perform the same 135 MLR on the zonal wind of Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA2). The 136 BU will provide the unique wind results at 70-100 km. MERRA2 provides assimilated meteorological field from surface to \sim 75 km (72 levels). It has temporal, longitude, and latitude interval of 3 hours, 0.625°, and 0.5°, respectively (Molod et al., 137 138 2015; Gelaro et al., 2017). Each MERRA2 zonal wind profile is interpolated to uniform vertical grid with a step of 1 km. 139 Then the monthly zonal mean wind (MerU) is calculated by averaging these profiles in a latitude band of 5° with an overlap 140 of 2.5° in each month. The variations of MerU and their responses to QBO, ENSO, and solar activity are studied used to 141 compare with those of BU below 70 km. MERRA2 is used here due to its good consistency with other data set. Such at as 142 the consistency of the monthly mean zonal winds between MERRA2 and the OBO wind at Singapore (Cov et al., 2016), the 143 consistency of the changes in subtropical and polar jets between MERRA2 and other re-analyses (e.g., MERRA, ERA-144 Interim, JRA-55, and NCEP CFSR) (Manney and Hegglin, 2018).

145 2 Data and multiple linear regression

146 **2.1 BU data and reference time series**

The detailed description and validation of BU can be found in (Liu et al., 2021). Here, we provide a short summary of this dataset. The BU dataset includes the monthly mean zonal wind in the height range of 18_-100 km_with step of 1 km and at latitudes of 50°S_50°N_with step of 2.5° from 2002 to 2019. BU is mainly derived from the temperature and pressure observations by the SABER instrument (Russell III et al., 1999) and based on the gradient wind theory (Fleming et al., 1990; Randel, 1987; Xu et al., 2009a; Smith et al., 2017),

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$$\frac{\bar{u}^2}{a}\tan\varphi + f\bar{u} = -\frac{1}{a\bar{\rho}}\frac{\partial\bar{p}}{\partial\varphi}$$
(1)

153 Here, $f = 2\Omega \sin \varphi$ is the Coriolis factor, $\Omega = 2\pi/(24 \times 60 \times 60)$ is the earth rotation frequency (unit of rad s⁻¹), a is the radius of the earth. \bar{u} and $\bar{\rho} = \bar{p}/R\bar{T}$ are the BU and zonal mean density, respectively. R is the gas constant for dry air. At 154 155 the equator and above 80 km, the tidal alias on gradient wind is replaced by the monthly mean zonal wind measured by a 156 meteor radar at 0.2°S (Hayashi et al., 2013; Matsumoto et al., 2016). Equation (1) is used to calculate the BU in the latitude 157 ranges of 10°N–50°N and 10°S–50°S. Above the equator, the BU is calculated as $\bar{u} = -(\partial^2 \bar{p}/\partial \varphi^2)/(2\Omega a \bar{\rho})$ (Fleming et al. 1990; Swinbank & Ortland, 2003). At 2.5°N-7.5°N and 2.5°S-7.5°S, the BU is estimated by a cubic spline interpolation of 158 159 the BU at 10°N–50°N, 10°S–50°S and the reconstructed BU at the equator. The detailed description can be found in Liu et al. 160 (2021).

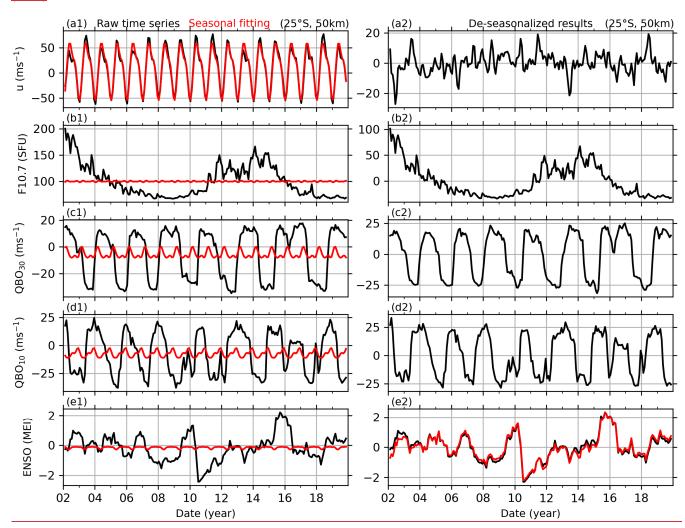


Figure 1: Example of BU and the <u>Reference</u>-reference time series (left column) and their de-seasonalized results (right column). The first row: BU at 25°S and 50 km (black line in a1) and its seasonal fitting result (red line in a1), and the de-seasonalized BU (black line in a2). The second, third, and fourth rows: same captions as the first row but for solar activity (indicated by F10.7), OBO at 30 hPa (OBO₃₀ or OBOA) and 10 at hPa (OBO₁₀ or OBOB), and ENSO (indicated by MEI

index). The red line in e2 is the residual of MEI index after removing the response of MEI to F10.7. and the results of MLR. Left column: (a) solar activity (F10.7), (c) QBO at 30 hPa (black) and 10 hPa (red), (e) ENSO, (g) BU (black solid) and its fitting result (red dashed line). The amplitudes of AO (A_1), SAO (A_2), and TAO (A_3) and R^2 are labelled on the top of Fig. 1g. Right column: the monthly responses and their standard deviations (σ) of BU to solar activity (b), QBO (d, black and red represent the responses to QBO wind at 30 and 10 hPa, respectively), ENSO (f), and the linear variations of BU (h) in each month. The annual means of the responses and their standard deviations are labeled<u>labelled</u> on the top of each panel.

161 For the consistency of BU and the monthly averaged zonal wind observed at a single station, Figure 3 of Smith et al. 162 (2017) showed that the monthly zonal wind from a meteor radar at Ascension Island (8°S) coincides well with the BU at 81 163 and 84 km. This indicates that the monthly averaged zonal wind at a single station can represent the zonal average at least 164 below 84 km. While above 84 km, Fig. 2(a) of Liu et al. (2021) shows that the theoretical balance winds are mainly eastward. 165 In contrast, the reconstructed winds (Fig. 2b and 2c of Liu et al. (2021)) from a meteor radar observation at Koto Tabang 166 (0.2°S) are mainly westward. The differences between the theoretical balance wind and meteor radar observations are mainly 167 due to the tidal aliasing above 84 km (Hitchman and Leovy, 1986; Xu et al., 2009b; Smith et al., 2017). The comparisons 168 between BU and other data (MERRA2, HWM14 empirical model, meteor radar and lidar observations at seven stations from around 50°N to 29.7°S) illustrate good agreement. The good agreement suggests that BU is a reasonable candidate to 169 170 monthly mean zonal wind. The large vertical extent and the 18-year internally consistent time series of BU makes it is 171 suitable to study the variations and responses to solar activity, and OBO, ENSO.

172 The reference time series of solar activity, QBO, and ENSO are used to explore their possible influences on global 173 zonal wind. The solar activity is represented by the solar radio flux at 10.7 cm in a 100-MHz band (F10.7, Fig. 1a1b1, 174 Tapping, 2013). The QBO is represented by the zonal wind at 30 hPa (~25 km) and 10 hPa (30 km) (referred as <u>QBOA</u> 175 QBO₃₀₇ and QBO₃₀₇ QBOB in Fig. 1c1 and 1d1, respectively) over Singapore (1°N, 104°E) (Baldwin et al., 2001). Due to the 176 propagation nature of QBO with height, we use the QBO winds at two different heights to represent the phase information of 177 QBO. ENSO is represented by the Multivariate ENSO index (MEI, Fig. 1e1, Zhang et al., 2019; Wolter and Timlin, 2011). 178 These reference time series play important roles in studying the atmospheric coupling and have been widely used to study 179 their influences on temperature, gravity waves, ozone, and carbon dioxide in the stratosphere and mesosphere (Randel and 180 Cobb, 1994; Li et al., 2011; Yue et al., 2015; Liu et al., 2017; Randel et al., 2017).

181 2.2 Multiple linear regression

The detailed applications of MLR to retrieve the seasonal variations of winds and the responses of winds to F10.7, QBOA, QBOB, and MEI can be ascribed to the following three steps. For illustrative purpose, the BU at 25°S and 50 km (black in Fig. 1a1) is taken as an example to show the procedure of MLR. This procedure is also applied to winds at other latitudes and heights, but results in different regressions coefficients due to the latitudinal and height dependencies of the seasonal variations and the responses of winds to F10.7, QBOA, QBOB, and MEI.

187 First, we de-seasonalize the wind and reference time series by fitting the following harmonics through the least squares
 188 method. At each latitude and height, the wind series is fitted as,

189 $u(t_i) = u_0 + \sum_{k=1}^3 A_k \cos[k\omega(t_i - \varphi_k)] + u_{res}(t_i).$ (2)

- 190 Here, t_i (i = 1, 2, ..., N) is the month number since February 2002. u_0 is the mean wind over the entire temporal interval, 191 u_{res} is the de-seasonalized wind. $\omega = 2\pi/12$ (month), A_k and φ_k are the amplitude and phase of the annual (AO, k = 1), 192 semi-annual (SAO, k = 2), and ter-annual (TAO, k = 3) oscillations, respectively. In athe same way, Eq. (2) is used to de-193 seasonalize the reference time series of F10.7, QBOA, QBOB, and MEI (shown in the left column of Fig. 1), and thus their
- 194 <u>residual</u>de-seasonalized results (F10.7_{res}, QBOA_{res}, QBOB_{res}, MEI_{res}, shown in the right column of Fig. 1) can be obtained
- 195 <u>and will be used as predictor variables (or explanation variables).</u>

196	The rationality or goodness of the seasonal fitting result is quantified by R^2 score, which is the variations of the raw
197	data explained by the model and defined as follows:
198	$R^{2} = 1 - \{\sum_{i=1}^{N} \frac{u_{res}^{2} \operatorname{Res}^{2}(t_{i})}{\sum_{i=1}^{N} [u(t_{i}) - \bar{u}]^{2}}\}_{\underline{u}} = \frac{1}{N} \sum_{i=1}^{N} u(t_{i})_{\underline{u}} = 1$
199	The best fitting results in $R^2 = 1$, which means that the fitting result is the same as the raw data. For illustrative purpose For
200	example, the seasonal fitting of BU at 25°S and 50 km is shown as red line in Fig. 1(a1). It coincides well with the raw BU
201	series (black line in Fig. 1a1) with (black in Fig. 1g) is taken as an example to show the procedure of MLR. Figure 1(g)
202	shows that the fitting result (red) coincides well with BU with $R^2 = 0.9867$. This means that Eq. (2) explains 986.7% of the
203	variations of BU at 25°S and 50 km. Moreover, for this case, the fitting result <u>Thus, good consistency and large R^2 indicate</u>
204	that BU can be explained well by Eq. (2). The rationality of the fitting results (R^2) at other latitudes and heights will be
205	shown in Sect. 3.1. Figure 1(g) also shows that the AO has amplitude of A1=53.9 ms ⁻¹ and is in the dominant position. Then
206	the SAO has a smaller amplitude of 13.2 ms ⁻¹ . While the TAO is the weakest and has a amplitude of 3.9 ms ⁻¹ . The rationality
207	of the fitting results (R^2) at other latitudes and heights will be shown in Sect. 3.1.
208	Second, we check the multicollinearity among the predictor variables, which are the de-seasonalized F10.7, QBO ₃₀ ,
209	QBO ₁₀ , and MEI. The multicollinearity often leads to meaningless results if the correlation coefficients (CCs) between two
210	or more predictor variables are significant. Here we calculate the CC and p-value of each pair of predictor variables (Table
211	1). If the p-value of a pair is less than 0.1 (or 0.05), one can state that the CC of this pair differs from zero at a confidence
212	level 90% (or 95%). And thus, the multicollinearity of this pair is significant. In contrast, larger p-values indicate lower
213	confidence level and insignificant multicollinearity. Table 1 shows that the CCs of most pairs are less than 0.1, and their p-

214 values are larger than 0.1. This indicates that the multicollinearities of these predictor variables are insignificant and are 215 approximately independent. On exception is the pair of F10.7 and ENSO, which has a CC of 0.2022 with p-value of 0.0030. 216 This indicates that the multicollinearity of F10.7 and ENSO is significant at confidence level of 95%. To improve the 217 independency between F10.7 and ENSO, a linear regression is performed with response variable of MEI index and predictor 218 variable of F10.7. The residual of MEI index, which excludes the influences of F10.7, is used as a predictor variable to 219 represent the effects of ENSO in the following MLR model. We note that the residual of MEI index is still noted as MEI_{res} 220 in the following text. Now, the multicollinearity among the four predictor variables can be neglected and ensures a 221 meaningful result of MLR in the next step.

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				-		
	<u>QBO₃₀</u>		<u>QBO₁₀</u>		ENSO (MEI indx)	
	<u>CC</u>	<u>p-value</u>	<u>CC</u>	<u>p-value</u>	<u>CC</u>	<u>p-value</u>
<u>F10.7</u>	<u>-0.0283</u>	0.6803	0.0003	<u>0.9965</u>	0.2022	0.0030
<u>QBO₃₀</u>			<u>-0.0025</u>	<u>0.9705</u>	<u>0.0368</u>	<u>0.5921</u>
<u>QBO₁₀</u>					<u>-0.0779</u>	<u>0.2567</u>

Table 1: The correlation coefficients and their p-values of regressors

Third, MLR is applied to get the responses of winds (i.e., u_{res} in Eq. 1) to the four predictor variables (F10.7_{res}, QBOA_{res}, QBOB_{res}, MEI_{res}) prepared in the second step. In the MLR model, the response variable is the de-seasonalized wind (i.e., u_{res} in Eq. 1) at each latitude and height. The predictor variables are F10.7_{res}, QBOA_{res}, QBOB_{res}, MEI_{res}, which have been prepared and checked in last two steps. The MLR model is written as:

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$$u_{res}(t_i) = \alpha F10.7_{res}(t_i) + \beta_A QBOA_{res}(t_i) + \beta_B QBOB_{res}(t_i) + \gamma MEI_{res}(t_i) + \eta t_i + \varepsilon(t_i).$$
(4)

Multiple linear regression (MLR) model is used to isolate the seasonal variations of BU and the possible influences of
 F10.7, QBO₃₀, QBO₁₀ and MEI on BU (Liu et al., 2017; Li et al., 2011; Randel and Cobb, 1994; Venkat Ratnam et al., 2019).
 At each latitude and height, the regression model is written as:

231 $u(t_{t}) = A_{0} + \text{Season}(t_{t}) + \alpha \text{F10.7}(t_{t}) + \beta_{30} \text{QBO}_{30}(t_{t}) + \beta_{10} \text{QBO}_{10}(t_{t}) + \gamma \text{ENSO}(t_{t}) + \eta t_{t} + \text{Res}(t_{t})$ (2) 232 $\frac{\text{Season}(t_{t}) = \sum_{k=1}^{3} A_{k} \cos[k\omega(t_{t} - \varphi_{k})].$ (3)

- Here, t_i (i = 1, 2..., N) is the month number since February 2002. A_0 is the mean wind over the entire temporal interval. $\omega = 2\pi/12 \text{ (month)}, A_k$ and φ_k are the amplitude and phase of the annual (AO, k = 1), semiannual (SAO, k = 2), and terannual (TAO, k = 3) oscillations, respectively. The regression coefficients α , β_{30A} , β_{10B} , γ , η include the seasonal variations and have the same form as follows indicate the responses of wind to F10.7, QBOA, QBOB, and MEI, respectively. The regression coefficient:
- 238 $\alpha = \alpha_0 + \sum_{k=1}^3 [\alpha_{2k-1} \cos(k\omega t) + \alpha_{2k} \sin(k\omega t)].$

-(4)

Thus, there are 42 parameters to be fitted by the least squares method. η is the linear variations or long-term trend (Randel and Cobb, 1994). $\varepsilon(t_i)$ Res(t) is the residual of the fitting and can be used to estimate the standard deviation and p-value of each coefficient with the help of variance-covariance matrix and the student-t test (Kutner et al., 2004; Mitchell et al., 2015). The monthly responses are obtained by selecting t_i in Eq. (4) only in that month of each of year. E.g., the response in January can be obtained by selecting the data only in January of each year. The annual responses are obtained by using all the data during 2002–2019. The rationality of the fitting result is quantified by R^2 score, which is the variations of the raw data explained by the model and defined as follows:

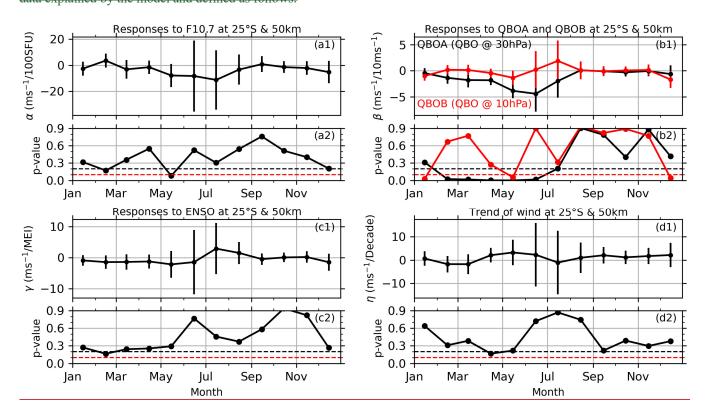


Figure 2: Example of retrieving the monthly responses of BU at 25°S and 50 km (upper subplot of each panel) and their p-values (lower subplot of each panel) to solar activity (a1 and a2) QBOA (black in b1 and b2) and QBOB (red in b1 and b2), ENSO (c1 and c2), and the linear variations (d1 and d2). The error bars are the confidence interval at 90% confidence level. The red and black dashed lines indicate the p-values of 0.1 and 0.2, respectively.

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$$R^{2} = 1 - \{\sum_{i=1}^{N} \operatorname{Res}^{2}(t_{i}) / \sum_{i=1}^{N} [u(t_{i}) - \bar{u}]^{2}\}, \ \bar{u} = \frac{4}{N} \sum_{i=1}^{M} u(t_{i}).$$
(5)

The best fitting results in $R^2 = 1$, which means that the fitting result is the same as the raw data. For illustrative purpose, BU at 25°S and 50 km (black in Fig. 1g) is taken as an example to show the procedure of MLR. Figure 1(g) shows that the fitting result (red) coincides well with BU with $R^2 = 0.98$. This means that Eq. (2) explains 98% of the variations of BU. Thus, good consistency and large R^2 indicate that BU can be explained well by Eq. (2). The rationality of the fitting results 252 (R^2) at other latitudes and heights will be shown in Sect. 3.1. Figure 1(g) also shows that the AO has amplitude of A1=53.9 253 ms¹-and is in the dominant position. Then the SAO has a smaller amplitude of 13.2 ms¹. While the TAO is weakest and has 254 amplitude of 3.9 ms^{-1} -The right column of Fig. ure 1-2 shows the monthly responses of BU at 25°S and 50 km to solar 255 activity (ba), QBO (db), ENSO (fc) and the linear variations of BU (hd), as well as their standard deviations (σ)p-values. The 256 error bars are the confidence interval at 90% confidence level. Their annual means are labelled on the top of each panel. The 257 responses of BU at 25°S and 50 km to solar activity (Fig. 1b2a1) has-have an annual mean of -3.2+1.1 ms-1/100 SFU with p-258 value of 0.05. This negative response, which is are mainly contributed from May–August, in which the negative peaks reach 259 a value of -10 ms⁻¹/100 SFU in June and July but with larger p-values (Fig. 2a2). In January–April and September–October, 260 the responses of BU_at 25°S and 50 km to solar activity are less than the standard deviations (σ)much weak. This indicates 261 that the responses of BU at 25°S and 50 km to solar activity are stronger in the winter monthsboreal summer and weaker in 262 other months at least for the case shown herebut have larger p-values. The responses of BU at 25°S and 50 km to QBO₃₀ and QBO₁₀ (Fig. <u>1d2b1</u>) have annual means of -1.3 ± 0.22 ms⁻¹/10 ms⁻¹ (p-value ≈ 0.0) and -0.1 ± 0.23 ms⁻¹/10 ms⁻¹ (p-value=0.22). 263 264 The monthly responses of BU at 25°S and 50 km to QBO₃₀ has have negative peaks of -4-3-5 ms⁻¹/10 ms⁻¹ (p-value<0.1) in 265 April-July, when QBO₃₀ reaches its eastward or westward peaks. This indicate that theus, the responses of BU at 25°S and 266 50 km to QBO₃₀ is are strong in the boreal summer for this case. However, the monthly responses of BU at 25°S and 50 km 267 to QBO₁₀ is are much weaker than that to QBO₃₀. The responses of BU at 25°S and 50 km to ENSO (Fig. 1f2c1) has have an 268 annual mean of -0.4 ± 0.431 ms⁻¹/MEI (p-value=0.56). The monthly responses of BU at 25°S and 50 km to ENSO have 269 negative peak in April-May and positive peaks in July and August but have large p-values in May-November. The annual 270 mean linear variations (Fig. 1h) is of 1.8±1.30.99 ms⁻¹/Decade (p-value=0.27). The monthly linear variations of BU at 25°S 271 and 50 km reaches a peak of 3 ms⁻¹/Decade (p-value<0.2) in May. We note that the linear variation depends highly on the 272 temporal span of the data and will be discussed in Sect. 4.1.

273 **3** Seasonal variations and regression results

274 3.1 Seasonal variations

275 Figure 2-3 shows the amplitudes and phases of the seasonal variations of BU (upper row) and MerU (lower row). The 276 R^2 scores (the fourth column) of both BU and MerU are larger than 0.8 in most regionat latitudes higher than 20°N/S and 277 below 85km. and This indicates that the variations of BU and MerU can be explained well by Eq. (2) and mainly contributed 278 by the seasonal variations. However, at 50°N/S around Above 90 85 km and in the tropical regions above 95 km, the R^2 279 scores of BU are less than 0.6. This indicates that the variabilities of BU are influenced by some other factors, which were 280 not included in Eq. (2). These factors might include (1) the phase change (eastward peak shifting from winter to summer) of 281 zonal wind caused by the strong gravity waves dissipation at high latitudes (Liu et al., 2022), (2) the strong tides and short-282 term variabilities of zonal wind in the equatorial lower thermosphere (Xu et al., 2009b; Smith et al., 2017), and (3) the 283 imperfect BU in the extra-tropical lower thermosphere (Liu et al., 2021), and (4) the strong QBO signals, which were not 284 included in Eq. (2).

285 The latitude-height distributions of the amplitudes and phases of AOs of BU and MerU exhibit general consistencies 286 and slight discrepancy. The consistencies include that: (1) both BU and MerU have peaks around 55 km in July in the 287 Southern Hemisphere (SH) and around 65 km in January in the Northern Hemisphere (NH); (2) both BU and MerU have 288 small amplitude below ~ 30 km at all latitudes and throughout the height range in the tropical regions. The discrepancy is that 289 the AO of MerU has larger amplitudes in the SH but smaller amplitudes in the NH than that of BU. The possible reason for 290 the weaker AO in-of MerU in the NH is that it has peak around 65 km, which might be caused by the damping layers of 291 MERRA2 and reduced the zonal wind (Ern et al., 2021). Above 80 km, the amplitude of AO is small. This is because the 292 magnitudes of zonal wind above 80 km are slower less than those at around 60 km, where the stratospheric polar jet occurs.

293 The SAOs of both BU and MerU have nearly identical phases in the regions where their amplitudes are prominent. The 294 amplitudes of the SAOs of both BU and MerU exhibit hemispheric asymmetry. At latitudes higher than 35°S, the SAOs of 295 both BU and MerU have peaks at ~z=35-55 km. However, above 65 km, the SAO of BU is stronger than that of MerU. In 296 the tropical regions, the SAOs of both BU and MerU are stronger in the SH than that in the NH. This coincides with the 297 balance wind derived by Nibums-7 Stratospheric and Mesospheric Sounder (Delisi and Dunkerton, 1988), the measurements 298 by High Resolution Doppler Imager (HRDI) measurements, the assimilated data by U.K. Meteorological Office (UKMO) 299 (Ray et al., 1998), and the balance wind derived from SABER and Microwave Limb Sounder (MLS) observations (Smith et 300 al., 2017). Large discrepancies occur at latitudes higher than 40°N, where the SAO of MerU is much stronger than that of 301 BU below ~70 km. Above 70 km, the SAO of BU reproduces the same pattern as that at around 40 km but has larger 302 magnitudes and anti-phase.

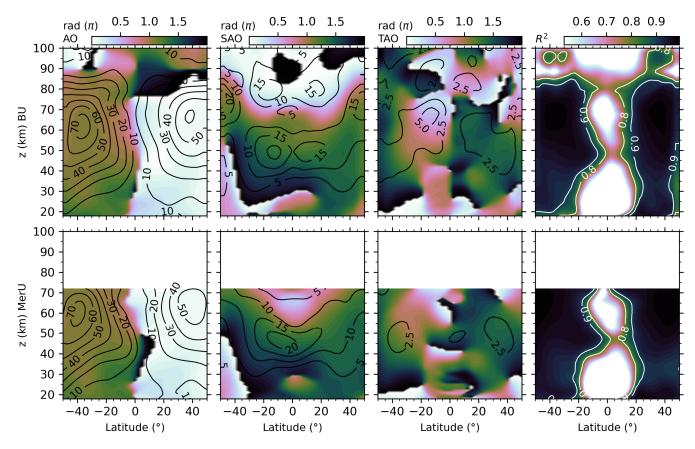


Figure 22: The latitude-height distributions of the amplitudes (contour lines) and phases (color scale) of seasonal variations and the R^2 scores (from left to right) of BU (upper row) and MerU (lower row).

The TAOs of both BU and MerU have same phases and peaks at $\sim z=30-60$ km and at latitudes higher than 25°S. In the tropical regions and around 45 km, the TAO of BU has two peaks, which are approximately symmetric to the equator, but the TAO of MerU has one peak over the equator. At $\sim z=50-70$ km, the TAO of BU has larger amplitude than that of MerU. Above 80 km, the TAO of BU is asymmetric to the equator and has larger peak in the SH tropical region.

A short summary is that AO, SAO, and TAO of both BU and MerU have nearly identical phases in the regions where their amplitudes are prominent. Their consistencies are better in the SH than in the NH on the aspects of both patterns and magnitudes. The discrepancies of these seasonal variations are mainly in the NH. Above 70 km, the weak AO due to the weaker wind as compared to that in the stratospheric jet region. The <u>SAOs-SAO of BU</u> around 50 km and 80 km are hemispheric asymmetric and stronger in the SH, which coincides with the HRDI observations (Ray et al., 1998) and the balance wind<u>s derived from temperature observations by satellites (Delisi and Dunkerton, 1988;</u> Smith et al., 2017). The 313 TAO of BU above 80 km is hemispheric asymmetric and stronger in the SH.

314 **3.2** Responses to solar activity

315 The latitude-height distributions of the responses of BU and MerU to F10.7 (upper two rows of Fig. 34) exhibit general 316 consistencies in January and July and October and in the annual mean. These consistencies include: (1) the positive response 317 at ~z=40-60 km and around 40°N in January negative responses at ~30°S and from 20 km to 60 km in July; (2) the negative 318 response above around the equator and ~60-40 km at ~20°S in JanuaryOctober; (3) the negative response in July extending 319 from the SH stratospheric jet region to -30°Nin the annual mean. In contrast, the discrepancies are: (1) stronger negative 320 response (but insignificant) of BU in January at 50°N, as compared to that of MerU; (2) the negative positive responses of 321 BU in July around 70 km and 20°N/S, and in October around 65 km and above the equator, which cannot be seen in MerU. 322 The annual mean responses of BU and MerU are: (1) mainly negative in the regions extending from ~30°S/N to higher 323 latitudes with the increasing height below ~ 60 km; (2) mainly positive (negative) in the tropical regions below ~ 30 km (and 324 around ~40 km). Above ~80 km, an interesting feature is that the positive responses of BU to F10.7 are approximately 325 hemispheric symmetry, i.e., at 25°S 5°S in January and at 5°N 25°N in Julythe response of BU to F10.7 is insignificant. 326 The annual mean responses of BU to F10.7 are mainly positive at 60-80 km. Above -90 km, the annual mean responses of 327 BU to F10.7 are mainly positive around the equator and negative at higher latitudes. This feature has a similar pattern but 328 larger amplitude as compared to the results simulated by WACCM-X (Ramesh et al., 2020).

The monthly-height distributions of the responses of BU and MerU to F10.7 (lower two rows of Fig. <u>34</u>) exhibit general consistencies below ~70 km. However, the discrepancies should be clarified. Such as: the stronger negative responses of BU in winter months (June–<u>September–August</u> at 50°S and December–January at 50°N); the weaker <u>positive negative</u> responses of BU at <u>~40 km over</u> the equatorial <u>lower height</u> as compared to that of MerU. It should be noted that the negative responses of winds at the southern and northern high latitudes can also be seen in the results simulated by WACCM-X (Ramesh et al., 2020).

335 The MF radar observations at Langfang (39.4°N, 116.7°E) revealed a positive correlation between zonal wind and solar 336 activity from 2009 to 2020 during spring and summer at 80-84 km (Cai et al., 2021). However, another MF radar 337 observations at Juliusruh (54.6°N, 13.4°E) revealed that the correlation between zonal wind and solar activity from 1990 and 338 2005 were positive during winter but negative in summer (Keuer et al., 2007). Our results coincide with the observations at 339 Langfang but different from those at Juliusruh. The simulation study by Qian et al. (2019) showed that the solar activity 340 effects on global zonal wind are sporadic in latitude and height distributions. They suggested that the zonal wind might be 341 influenced by both the direct effects of solar radiance and the indirect effects of dynamic process such as wave-mean flow 342 interaction. Another possible mechanism is that the modulation of solar heating is in the ozone layer, which influences the 343 meridional gradient of temperature and thus the zonal wind. However, this mechanism should be validated through 344 observations or simulations. Qian et al. (2019) also proposed that the temporal intervals of data should be specified when we 345 study the trends and solar activity effects since the trend drivers are different in different periods. This will be discussed in 346 Sect. 4.1.

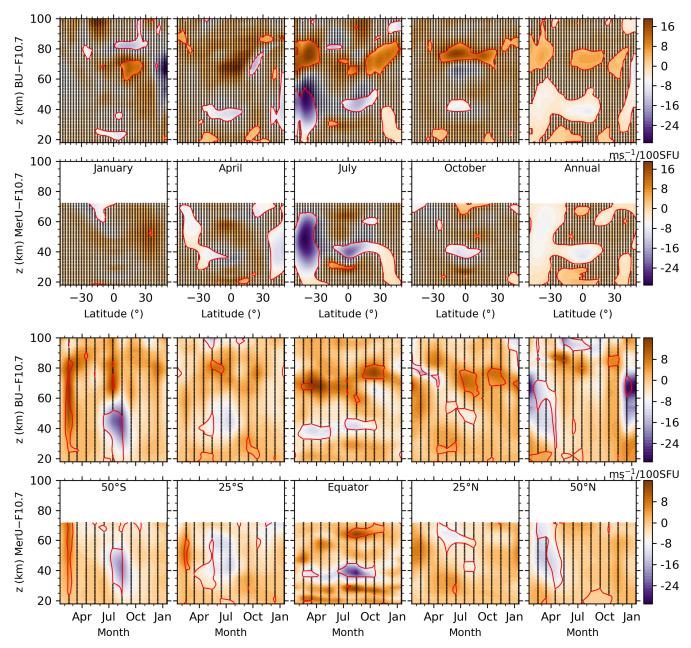


Figure 34: Upper two rows: latitude-height distributions of the regression coefficients of BU (the first row) and MerU (the second row) to F10.7 in January, April, July, October, and annual mean (from left to right). Lower two rows: monthly-height (lower two rows) distributions of the regression coefficients of BU (the third row) and MerU (the fourth row) to F10.7 at 50°S–50°N with interval of 25° (from left to right). The black dots indicate that the regression coefficients with p-values larger than 0.2. The red lines indicate the regression coefficients with p-values of 0.1. are less than one σ . The magenta, white, and black contour lines indicate the regression coefficients of 5, 0, and $-5 \text{ ms}^{-1}/100 \text{ SFU}$, respectively.

A short summary is that the annual mean responses of both BU and MerU to F10.7 are more negative in the stratospheric polar jet region of SH than that of NH. Above the stratospheric polar jet, the responses of BU change from negative to positive with the increasing height-at latitudes higher than 15°N/S. Around ~80 km, the annual responses of BU to F10.7 are mainly positive in the tropical region and in the high latitudes.

351 3.3 Responses to QBO

The latitude-height distributions of the responses of BU and MerU to QBO_{30} (upper two rows of Fig. 4<u>5</u>) exhibit general consistencies in all months and in the annual mean below ~50 km. Such as the responses of BU and MerU to QBO_{30} 354 355

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change from positive below 30 km to negative at ~z=30-50 km and 25°S-25°N. The varying responses with height are mainly due to the downward propagation of QBO phase with time. This can be confirmed by the responses of BU and MerU to QBO₁₀ at a higher height (lower two rows of Fig. 45), where the responses of BU and MerU to QBO₁₀ change from negative to positive and then negative again. The discrepancy is that the responses of BU to QBO₃₀ and QBO₁₀ are slightly weaker than those of MerU below ~50 km.

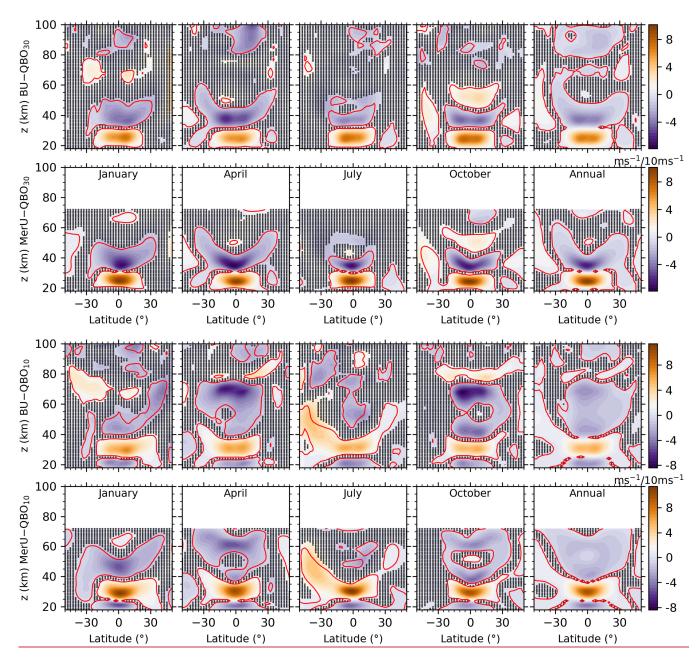


Figure 45: Same captions as the upper two rows of Fig. 3.4 but for the responses to QBO₃₀ (QBOB, upper two rows) and QBO10 (QBOB, lower two rows)., respectively. The magenta, white, and black contour lines indicate the regression coefficient of 2, 0, and 2 ms⁻¹/10 ms⁻¹, respectively.

become stronger again and have peak around ~90 km. This coincides with the mesospheric QBO, which is anti-phase with

The responses of BU to QBO₃₀ are weaker at \sim 50–80 km. As the height increases, the responses of BU to QBO₃₀

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the stratospheric QBO and extends to 30°S-30°N as revealed by High Resolution Doppler Imager observations (HRDI)

362 (Burrage et al., 1996), TIMED Doppler Interferometer observations (Kumar, 2021) and reviewed by Baldwin et al., (2001). 363 This coincides also with the results simulated by WACCM6 on the aspects of the hemispheric asymmetry, i.e., the responses extending to higher <u>latitudes in winter hemisphere</u>southern (northern) latitudes in summer (winter)_(Ramesh et al., 2020). Moreover, the annual mean responses of BU and MerU to QBO₃₀ and QBO₁₀ are positive and are more significant at 50°S than those at 50°N at \sim z=50–80 km. In contrast, the responses of winds to QBO₃₀ and QBO₁₀ are negative and have smaller regions with p-values less than 0.1. The significant positive responses at 50°S are mainly contributed by those in July and October around 50 km, where and when the stratospheric polar jet occurred.

A short summary is that the influences of the stratospheric QBO extend from the equator to higher latitudes. The influences can be positive or negative, which depend on heights and latitudes. Such as the negative influences above ~80 km in the tropical region and the positive influences at the southern high latitudes. Above ~80 km, the negative responses of winds to the stratospheric QBO are hemispheric asymmetry and are more negative in the NH tropical regions.

373 3.4 Responses to ENSO

374 The latitude-height distributions of the responses of BU and MerU to MEI (upper two rows of Fig. 56) generally 375 coincide with each other in all months and in the annual mean. In January and at ~z=40-60 km and latitudes higher than 376 40°N, although the responses of MerU and BU to MEI are positive, the responses of BU to MEI are not significant. This 377 coincides with the results simulated by WACCM6, which were positive but were lower than the 95% confidence level 378 (Ramesh et al., 2020). In April and October, and at ~35 km, the negative responses of winds to MEI are approximately 379 hemispheric symmetric. The annual mean responses of both winds to MEI are stronger and wider in the SH than those in the 380 NH. In July and at \sim 50 km, the responses of both winds are positive with peaks around \sim 40°S. This indicates that the 381 positive MEI index (warm phase of ENSO or El Niño event) increases the eastward zonal winds. In July and at -z=65 80 382 km, the negative responses have peaks around the equator and 35°N/S. Above 60 km, the positive responses of winds to MEI 383 tilt from higher height (~90 km) at 35°S to a lower height (~80 km) at 35°N in January. This pattern continues in April and 384 July but is insignificant. Above ~90 km and around ~15°S, the responses of BU to MEI are positive in January and negative 385 in July. The annual mean responses are mainly positive in most latitudes.

The monthly-height distributions of the responses of BU and MerU to MEI (lower two rows of Fig. 56) generally coincide with each other at each latitude, except that the responses of BU to MEI have stronger peaks than those of MerU at 50°N/S. The prominent responses of winds to MEI are positive at 50°S (tilting from July at higher height to October at lower height) and are negative at 50°N (mainly in March and April). At 25°N/S, the responses of winds to MEI are mainly positive (extending upward to ~50 km and then tilting backward with the increasing height in July and August) and are negative (extending backward and forward below ~60 km). At the equator, the responses of MerU to MEI exhibit larger variabilities than those of BU below ~40 km.

393 Previous studies showed that during EI Niño (warm phase of ENSO), the warm sea surface temperature increases the 394 wave activity, which has a high probability of leading to sudden stratospheric warming (SSW) events (Polvani and Waugh, 395 2004). Then the warm temperature and decelerated zonal wind anomalies can be observed in the stratosphere from January 396 to April at 60°N (Manzini et al., 2006; Domeisen et al., 2019). This can be summarized as a negative response of zonal wind 397 to ENSO at northern high latitudes. This negative response can also be seen at 50°N (lower-right two panels of Fig. 5). Using 398 the WACCM simulations and SABER observations, Li et al., (2016) showed that the stratospheric zonal wind is weekend 399 due to the increased stratosphere meridional temperature gradient at the southern high latitudes in December and in the warm 400 phase of ENSO. This supports the weak negative responses of zonal wind to ENSO at 50°S in December (lower-left two 401 panels of Fig. 56). However, Both BU and MerU showed that the responses zonal wind to ENSO are positive from July to 402 October at 50°S. The physics behind this positive response should be further explored through simulation studies.

403

3 It seems unusual that during July in the SH there is a strong signal in both F10.7 and ENSO. A possible reason is that

the waves (gravity waves, non-migrating tides, planetary waves) exhibit stronger variabilities and more complex spatialtemporal structures in the NH than those in the SH. This induces a more complex dynamical coupling between waves and zonal mean wind in the NH than that in the SH. Then the complex dynamical coupling might induce that influences of F10.7 and ENSO to wind are not as obvious in the NH as in the SH. Another possible reason is that the zonal mean wind is stronger in the SH than that in the NH during winter times. Thus, the responses of winds to F10.7 and ENSO are stronger during July in the SH than those in the NH counterpart. Moreover, the responses of winds to QBO₁₀ are also stronger in the during July in the SH than those in the NH counterpart.

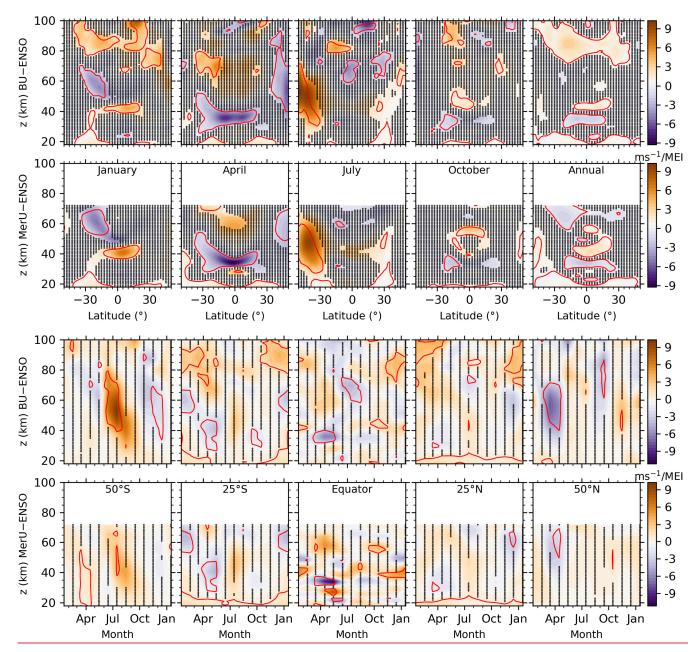


Figure 56: Same caption as Figure 3-Fig. 4 but for the responses to ENSO. The magenta, white, and black contour lines indicate the regression coefficient of 3, 0, and -3 ms⁻¹/MEI, respectively.

411 A short summary is that both BU and MerU exhibit similar responses to MEI. Whereas the responses of BU to MEI are 412 stronger than those of MerU at 50°N/S. An interesting feature is that the responses of winds to MEI propagate downward 413 with increasing time at 50°N/S and 25°N/S, especially the positive responses of BU to MEI at 50°S and 25°S.

414 **3.5** Linear variations

415 The latitude-height distributions of the linear variations of BU and MerU (upper two rows of Fig. 67) generally coincide with each other in regions where their with magnitudes larger than one σ_{p} -values smaller than 0.1. The consistencies include: 416 417 (1) in April-January and around the equator, the positive variations at ~20 km and ~60 km and negative variations at ~35.40 418 km; (2) in April and in the annual mean, the negative variations having peaks at 40°N and extending to the northern higher 419 latitudes. The discrepancies of the linear variations between BU and MerU include that: (1) the negative variations of BU 420 around 50°N (50°S) cannot be seen in MerU in January (April); (2) the positive variations of MerU are larger than those of 421 BU above ~55 km. Above 70 km, the patterns of the linear variations of BU are sporadic and insignificant and are strongly 422 dependent on months, latitudes and heights.

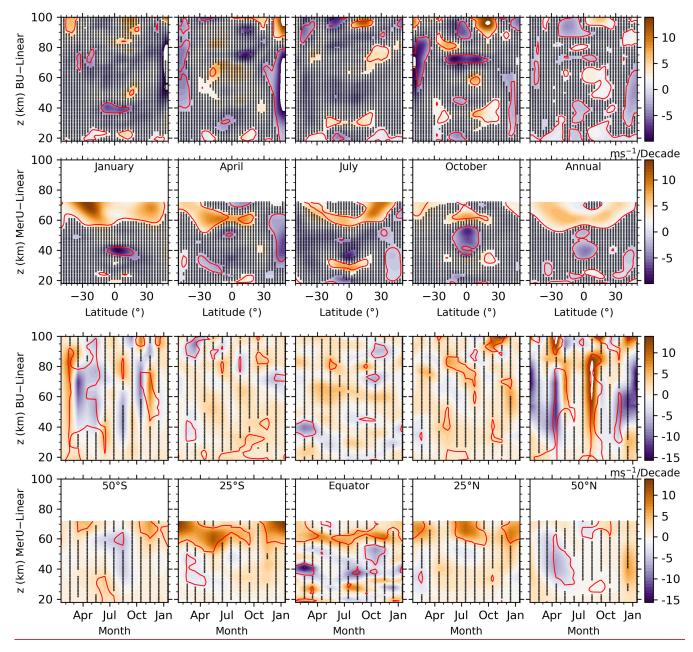


Figure 67: Same caption as Figure 3Fig. 4 but for the linear variations. The magenta, white, and black contour lines indicate the regression coefficient of 5, 0, and -5 ms⁻¹/Decade, respectively.

423 The monthly-height distributions of the linear variations of BU and MerU (lower two rows of Fig. 67) generally 424 coincide with each other. The negative variations of BU and MerU coincide with each other but are insignificant at 50°S in AugustJune October August and at 25°S in MayMarch JulyMay. However, the large discrepancy is that the negative 426 variation of BU at 50°N (but insignificant) cannot be seen in MerU in October-January. Above ~70 km, the positive

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427 variations (but insignificant) last a longer time interval as compared to the negative variations.

428 Using the MF radar observations at Juliusruh (54.6°N; 13.4°E) during 1990–2005, Keuer et al. (2007) showed that the 429 zonal wind below 80 km exhibited a negative trend of ~-5 ms⁻¹/Decade in summer and a positive trend of ~4 ms⁻¹/Decade in 430 winter (Fig. 14 of their paper). This result does not coincide with our analysis. By combining the radar, rocketsondes and 431 satellite observations over Indian region and the simulation results by WACCM-X, Venkat Ratnam et al. (2019) show a 432 negative trend of \sim -5 ms⁻¹/Decade) between 70 and 80 km. This result coincides with our analysis only during April and 433 October. It should be noted that the linear variations of zonal wind depend on the stations, height ranges, measuring 434 techniques, and the temporal intervals of the data (Keuer et al., 2007; Ramesh et al., 2020). This illustrates the complexity of 435 the linear variations of zonal wind. Moreover, the inhibited linear variations of regressors predictors used in the MLR model 436 and the dynamics (such as SSW) are also important in retrieving the linear variations of zonal winds (Qian et al., 2019). The 437 effects of the temporal coverage of the data and SSWs in the NH on the responses will be discussed in Sect. 4.

A short summary is that both BU and MerU exhibit similar linear variations. But this consistency is not as good as that the seasonal variations, <u>or</u> the responses to F10.7, QBO, and ENSO. The large discrepancy is that the negative variations of BU at 50°N cannot be seen in MerU in October–<u>and</u> January. Above 70 km, the patterns of the linear variations of BU are sporadic <u>and insignificant</u> and <u>are</u> strongly dependent on months, latitudes, and heights.

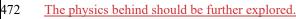
442 **4 Discussions**

443 4.1 Influences of temporal intervals of data

444 Robust responses or linear variations should not depend on the temporal intervals of the data (Souleymane et al., 2021; 445 Mudelsee, 2019; Qian et al., 2019). This means that the temporal interval of the data should be long enough, which is 446 difficult to be satisfied since the atmospheric variations or oscillations have multiple temporal scales (ranging from month to 447 decade). To test the robustness of the regression results described in Sect. 3, we change the temporal intervals of both BU 448 and MerU according to solar activity, which exhibits nearly 11-year variations. One is 2002–2015, which covers an interval 449 from solar maximum to minimum and then to maximum. The other is 2008-2019, which covers an interval from solar 450 minimum to maximum and then to minimum. After August 2004, the MLS data have been assimilated into MERRA2 451 (Molod et al., 2015; Gelaro et al., 2017). To test the sensitivity to this change, we introduce the third temporal interval of 452 2005–2019. Finally, the fourth temporal interval is 2002–2019, which is the entire data used here.

Figure 7–8 shows the annual mean responses of winds to QBO_{30} and ENSO in the four temporal intervals. The responses of BU to QBO_{30} (the first row) are nearly identical among the four temporal intervals throughout the height range. The slight difference is the weaker positive responses of BU to QBO_{30} during 2002–2015 and 20052002–2019 at ~55–70 km around the equator. The responses of MerU to QBO_{30} (the second row) are also nearly identical among the four temporal intervals throughout the height range. The slight difference is the weaker positive responses (less than one σ insigficant) of MerU to QBO_{30} at ~60–50 km around the equator in the temporal span of during 2005–2019. These comparisons show that the responses of winds to QBO_{30} are robust and are almost independent on the temporal intervals.

The annual mean responses of BU to ENSO (the third row) have similar patterns among the four temporal intervals. Such as: (1) the positive responses extending from the southern <u>high-lower</u> latitudes at lower height to <u>lower-higher</u> latitudes at higher height, (2) the positive responses extend from the tropical regions at ~40 km to middle latitudes at higher height, (3) the positive and negative responses shifting with height in the tropical regions below ~40 km. The slight difference is the weaker positive at the southern high latitudes and around ~50 km during 2002-2015 and 2002-2019, as compared to the other two temporal intervals. The responses of MerU to ENSO (the fourth row) have also similar patterns of responses among the four temporal intervals. This is similar to that of BU and might be caused by the larger variabilities of MEI index after 2008. The negative responses of both winds to ENSO are stronger around ~20°S and ~60 km during 2002–2015 and 2002–2019, as compared to other temporal intervals. In a word, the responses of winds to ENSO are robust but slightly depend on the temporal intervals. We note that the pancake structures in the responses of winds to QBO are likely induce by the propagation nature of QBO. Similar pancake structures can also be seen in the responses of wind to ENSO. Moreover, the pancake structures can also be seen in the responses of the zonal mean temperature to ENSO (Fig. 2 of Li et al. (2013)).



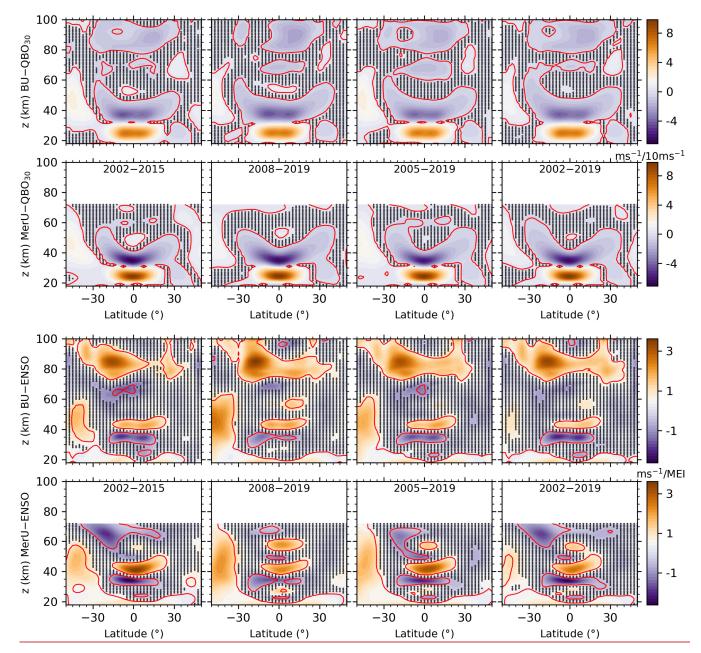


Figure 78: Latitude-height distributions of the annual mean <u>regressions responses</u> of BU (the first and third rows) and MerU (the second and fourth rows) to QBO30 (upper two row) and ENSO (the lower two rows). The black dots indicate where the regression coefficients with p-values larger than 0.2. The red lines indicate the regression coefficients with p-values of 0.1 less than standard deviations. The magenta, white, and black contour lines in the upper (lower) two rows indicate the regression coefficients of 5, 0, and -5 ms⁻¹/10 ms⁻¹ (1, 0, and -1 ms⁻¹/MEI), respectively.

Figure 8–9 shows the annual mean responses of winds to F10.7 (upper two rows) and the linear variations of winds (lower two rows) in the four temporal intervals. In the temporal intervals of 2002–2015 and 2002–2019, both BU and MerU exhibit similar responses to F10.7. In the temporal intervals of 2008–2019 and 2005–2019, both BU and MerU also exhibit 476 similar responses to F10.7. In the four temporal spans, the responses of MerU to F10.7 are more negative at latitudes higher 477 than $\sim 30^{\circ}$ S and extend to a higher height than those of BU. Around the tropical region and at ~ 40 km, the responses MerU to 478 F10.7 are more negative than those BU. At latitudes higher than $\sim 30^{\circ}$ S and around the tropical regions, the positive 479 responses of BU to F10.7 have peaks at $\sim z=70-85$ km, which are larger in the temporal intervals of 2002–2015 and 2002– 2019, as compared to other temporal intervals. The stronger responses in the temporal intervals of 2002–2015 and 2002– 481 2019 might be caused by the fact that the solar activity has a higher peak in 2002 than in 2014 (Fig. 1ab).



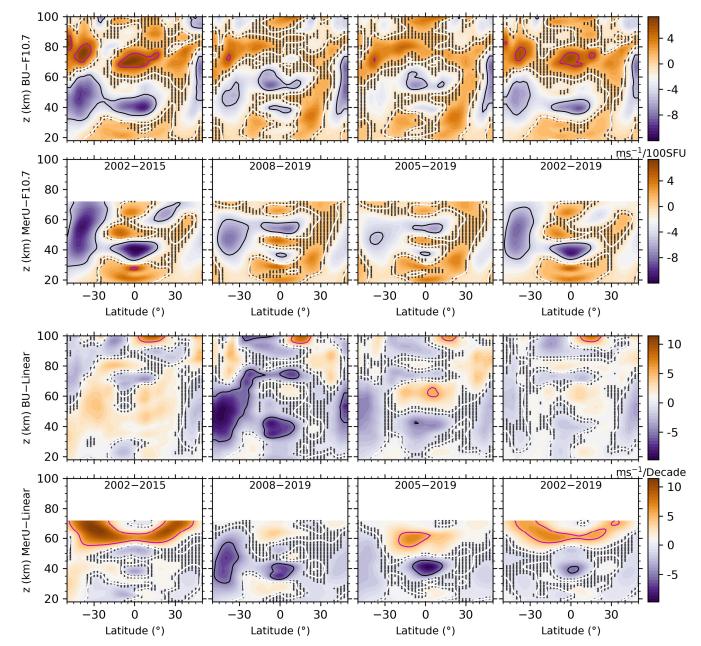


Figure 82: Same caption as Figure 7Fig. 8 but for the responses to F10.7 (upper two rows) and linear trend (lower two rows). The magenta, white, and black contour lines in the upper (lower) two rows indicate the regression coefficients of 5, 0, and -5 ms⁻⁺/100 SFU (5, 0, and -5 ms⁻⁺/Decade), respectively.

The linear variations of both BU and MerU depend strongly on the temporal intervals and on the values at both edge points. Among the four temporal intervals, the regions and magnitudes of negative variations are largest and strongest in the temporal span of 2008–2019, and are larger and stronger in the temporal interval of 2005–2019, and then are insignificant in the temporal interval of 2002-2019. In contrast, the regions and magnitudes of positive variations are largest and strongest in the temporal interval of 2002-2015. Because the dependencies of the linear variations of BU and their dependencies on different temporal interval are similar to those of MerU, we cannot determine whether or not the assimilation of MLS data into MERRA2 influences the linear variations. The possible reasons, which are responsible for the strong dependencies of the linear variations on different temporal intervals, can be ascribed to the different linear variations inhibited in the regressors predictors and the unstable <u>predictors</u> in different temporal intervals (Qian et al., 2019).

491 First, we examine the linear variations inhibited in the predictorsregressors (F10.7, QBO, and ENSO) and list their 492 linear slopes in Table $\frac{12}{2}$. The values in Table $\frac{1-2}{2}$ are approximate values and are derived through the following steps. From 493 the upper two rows of Fig. 89, we see that the maximum responses of winds to F10.7 is 10 ms⁻¹/100SFU (0.1 ms⁻¹/SFU). 494 According to this conversion rule, one unit of the linear variation of F10.7 (SFU/Decade) can induce the wind variation of 495 0.1 ms⁻¹/Decade. Approximately, one unit of the linear variation of ENSO (MEI/Decade) can induce the wind variation of 1 ms⁻¹/Decade. Thus, in quality, the combination influences of these regressors can be summarized and listed in the last row of 496 497 Table 1. We see that the inhibited linear variations of these regressors provide negative (positive) variations in the temporal 498 spans of 2002-2015 and 2002-2019 (2008-2019 and 2005-2019). These inhibited linear variations share the linear 499 variations of winds in Eq. (24). The positive (negative) inhibited linear variations make the linear variations winds more 500 negative (positive). This is confirmed by the fact that the regions and magnitudes of linear variations decrease if we remove 501 the linear variations of each regressors (not shown here). This explains partially the strong dependencies of the linear 502 variations on different temporal spans.



504

 Table 12: Linear variations of F10.7, QBO30, QBO10, and ENSO in different temporal spans and their combination effects on the linear variations of BU

Regressors (unit)	2002–2015	2008–2019	2005–2019	2002–2019
F10.7 (SFU/Decade)	1.1	-3.2	6.7	-17.3
QBO ₃₀ (ms ⁻¹ /Decade)	-2.5	0.7	5.6	1.5
QBO ₁₀ (ms ⁻¹ /Decade)	2.2	3.6	3.1	0.1
ENSO (MEI/Decade)	-0.1	1.1	0.5	0.1
Combination (ms ⁻¹ /Decade)	-0.29	5.08	9.87	-0.03

Second, even if we remove the linear variations of each <u>regressorspredictor</u>, the dependencies of the linear variations on different temporal spans cannot be removed completely. This might be induced by the fact that the <u>regressors predictors</u> are not stable time series and have varying magnitudes and periodicities in different temporal intervals. Such as the MEI index, which has larger <u>variations variabilities</u> after 2009 than before (Fig. <u>lele</u>); F10.7, which has larger peaks in 2002 than in 2014 (Fig. <u>la1b</u>). It should be noted that each <u>regressor predictor</u> has its own linear variations and varying magnitudes and periodicities, which are the physical nature of the <u>regressor predictor</u> and should not be removed. Such that one can get a reliable response of the winds to each <u>regressor predictor</u> although the responses depend on the temporal interval of the data.

512 The dependencies of winds to QBO are almost identical in different temporal intervals. The dependencies of winds to 513 ENSO on temporal intervals are slightly stronger than to QBO. The dependencies of winds to F10.7 on temporal intervals 514 are stronger than to QBO. The dependency of the linear variations of winds on temporal intervals are the strongest one. 515 Comparing among these responses and the linear variations, we can conclude that the MLR can capture robust responses if 516 the regressor predictor has relatively stable oscillation period and amplitude (i.e., QBO) and the data length is long enough to 517 cover the main features of the regressorpredictor. The robustness decreases as the stability (i.e., the magnitudes and 518 periodicities) of the regressor-predictor decreases (such as ENSO and F10.7). For the linear variation, its oscillation period 519 can be regard as infinite. Thus, the data length should be infinite to get a reliable linear variation. However, this is not 520 possible in reality. Consequently, we propose that the linear variations should be examined in different temporal spans, such 521 that one can get a more comprehensive impression on the linear variations although the exact long-term linear variations are 522 unknown.

To illustrate the influences on the temporal interval on the linear variations and responses, we performed the MLR procedure on the 40 years (1980–2019) of MERRA2 data (MerU40, not shown here) to the results from 18 years (2002– 2019) of MERRA2 data (MerU18). Below ~55 km, which is most reliable height since the damping is significant above this height (Ern et al., 2021), we find that the consistencies of the responses of MerU18 and MerU40 to QBO₃₀ and ENSO are better than those to F10.7 and the linear variations. Moreover, at ~40 km and around the equator, the significant negative linear variations of MerU40 coincide well with those MerU18.

529 4.2 Possible reasons of hemispheric asymmetry

559

530 The responses of both BU and MerU to F10.7 and ENSO exhibit hemispheric asymmetry. Specifically, the negative 531 (positive) responses of winds to F10.7 are stronger in the SH than those in the NH above the stratospheric polar jet region 532 (around 80 km). The responses of winds to ENSO are positive and significant in the SH stratospheric jet region but are 533 negative and insignificant in the NH counterpart. Above 80 km, the responses of BU to ENSO are more positive in the SH 534 sub-tropical region than those in NH counterpart. The positive responses of winds to QBO extend to a wider latitude range in 535 the SH stratospheric jet region than those in the NH counterpart. Moreover, the seasonal and linear variations of BU and 536 MerU also exhibit hemispheric asymmetry. Specifically, the peaks of AO of both BU and MerU have larger amplitudes and 537 at lower heights in SH than those in the NH. Although the linear variations of winds depend on the temporal intervals of data, 538 the linear variations are hemispheric asymmetry on aspects of magnitudes and patterns in each temporal interval.

539 Since the regressors predictor variables are same at all latitudes and heights, the hemispheric asymmetric responses 540 should come from the hemispheric asymmetry of zonal winds. Figures 3 and 4 of Liu et al. (2021) have shown that both BU 541 and MerU were faster in the SH than those in the NH, especially when the wind is eastward in winter of each hemisphere. 542 Moreover, the winds at middle and high latitudes of the SH were faster and more stable than those in the NH. One reason is 543 that the SSW occurs frequently (6-7 times per decade) in the NH. During SSW, the eastward wind becomes weak or even 544 reversal (Butler et al., 2015; Baldwin et al., 2021). We note that SSWs in the NH mainly occurred in the phase when the 545 zonal wind was eastward (i.e., the zonal wind was eastward before and after SSWs, while the zonal wind becomes weak or 546 reversed during SSWs). In contrast, the SSW rarely occurred in the SH (only 3 time during 2002-2019, i.e., major SSW in 547 September 2002, minor SSWs in August 2010 and September 2019), mainly due to the weaker land-sea contrast and smaller 548 planetary wave amplitudes in the SH than those in the NH (Eswaraiah et al., 2016; Li et al., 2021; Rao et al., 2020; Butler et 549 al., 2015).

550 The MerU at 60°N/S and 30 km (Fig. 910) show that the SSWs in the NH have influence on the zonal wind at least in 551 the monthly mean sense. However, the influence of SSWs on the zonal wind in the SH is neglectable. If we simply use the 552 zonal wind at 60°N/S and 30 km as a predictor to represent SSW, the prominent responses appear in summer but not in 553 winter (when the SSW occur). This is because SSWs occur only in a limited temporal interval (1-2 weeks) in winter, the 554 zonal wind at 60°N/S and 30 km throughout the temporal interval include both SSWs and other variations. It is desired to 555 develop an index to represent the main features of SSW. This is out of the scope of this work and will be our future work. To 556 illustrate the possible influences of SSWs on BU, we show in Fig. 9-10 the residuals of BU (BU_{Res}) of Eq. (2) and their 557 absolute values (|BU_{Res}|) in a composite year. BU_{Res} may represent the effects SSWs on BU to some extent since we did not 558 include SSW as a regressor in Eq. (24).

From Fig. 910, we see that BU_{Res} have larger magnitudes (positive or negative) in the NH when SSWs occur.

Meanwhile, the magnitudes of BU_{Res} decrease with the decreasing latitudes. $|BU_{Res}|$ in a composite year has peak around January, when SSWs occur more frequently as revealed from the MerU at 60°N. This indicates that the influences of SSWs on the regression results decrease with the decreasing latitudes in the NH. In contrast, BU_{Res} have larger magnitudes when the zonal winds decelerate from their eastward peaks in the SH. Further examination on the $|BU_{Res}|$ in a composite year, we see that their peaks shift from September at 50°S to July at lower latitudes. The larger $|BU_{Res}|$ in the SH is mainly due to the seasonal asymmetry of zonal winds, i.e., the zonal winds take a longer time to reach their eastward peak than that to reach their westward peak. The seasonal asymmetry of zonal winds might be induced by SAO and TAO.

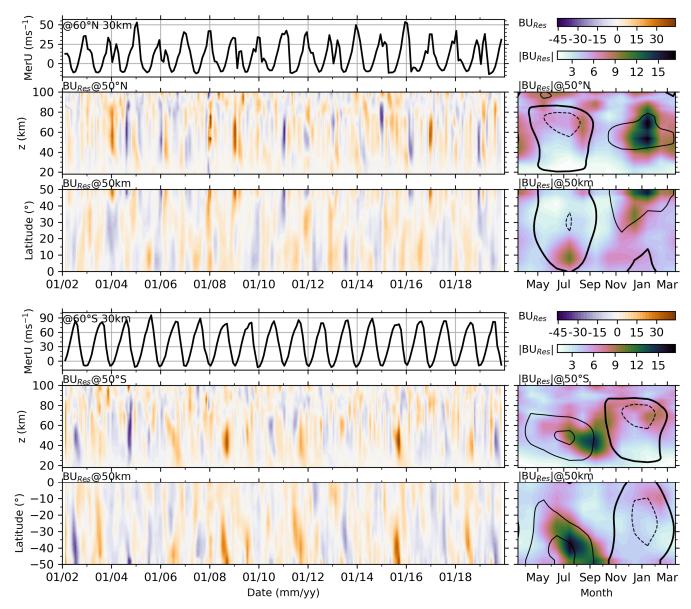


Figure 910: Upper three rows: MerU at 60°N and 30 km (first row) and the residuals of BU (BU_{res}, the upper color bar in the top-right corner) at 50°N (the second row) and 50 km (the third row), and the absolute values BU_{res} ($|BU_{res}|$, the lower color bar in the top-right corner) in a composite year. Lower three rows: same caption as the upper three rows but for the winds in the southern counterpart. The dashed, thick, and solid contour lines indicate the BU of -40, 0, 40 and 80 ms⁻¹, respectively.

567 568 569 To test the possible influences of SSWs on the hemispheric asymmetry of the variations and responses, we reconstruct the BU in the NH during 2002–2019 through the following two steps. First, at each height and latitude of the NH, we remove the wind data during SSWs (i.e., the BU in winter does not increase monotonically before December or decrease 570 monotonically after December, the specific years are 2003, 2004, 2006, 2007, 2008, 2009, 2013, 2018, 2019) from the raw wind (shown as black dots in Fig. 10a11a). Second, cubic spline interpolation is applied on the remaining data (red dots in Fig. 10a11a) to get a reconstructed wind series in winter (i.e., it increases monotonically before December and decreases monotonically after December, shown as blue dashed line in Fig. 10a11a). Figures 1011(b--d) show the raw BU, remaining and the reconstructed BU, respectively. We see that the decelerated eastward winds during SSWs (Fig. 10b11b) have been replaced by the reconstructed BU, i.e., the eastward winds accelerate before December and decelerate after December (Fig. 10d11d). According to [BU_{Res}] shown in Fig. 10, we reconstruct the BU at 30°N–50°N and throughout the height range.

577 Using Eq. (2)the MLR procedure in Sec.2.2, we performed the same regression on the reconstructed winds in the NH. 578 Figure $\frac{11}{12}$ shows the amplitudes of seasonal variations and R^2 , and the responses of reconstructed winds to QBO, ENSO, 579 F10.7, and the linear variations. For comparison purpose, we also show in Fig. $\frac{11-12}{10}$ the regression results of the raw BU. 580 The R^2 indicates that Eq. (2) explains the reconstructed winds more accurately similar to than the raw BU in the NH 581 stratospheric polar jet region. The amplitudes of AO of the reconstructed winds are larger than those of the raw BU. 582 However, the amplitudes of SAO and TAO of the reconstructed winds are smaller than those of the raw BU in the NH 583 stratospheric polar jet region. Above 80 km, the amplitudes AO, SAO, TAO of both the reconstructed and raw BUs are 584 nearly identical. This indicates that The the influences of SSWs on the seasonal variations mainly in the stratospheric polar 585 jet region and around ~65 km.

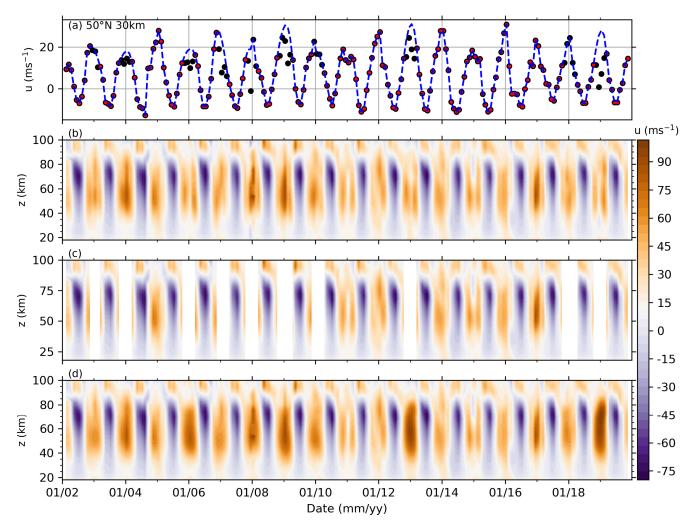


Figure 1011: Removing SSWs from the raw BU and the reconstructed BU at 50°N. (a): the remaining data (red dots), which is obtained by removing the data affected by SSWs from raw BU (black dots), and the reconstructed BU (blue dotted line, see text for detail). (b–d): the raw BU, remaining and reconstructed BU, respectively.

In winter, the response of the reconstructed and raw BU to QBO₃₀ and ENSO are similar on the aspects of both patterns and magnitudes. However, at ~30–60 km and latitudes higher than 30°N, the responses of the reconstructed BU to F10.7 are more negative and significant. This is different from the positive and insignificant responses of the raw BU to F10.7 in the same region. The linear variations of the reconstructed BU are significant and extend to a wider latitude but at a lower height than those of the raw BU.

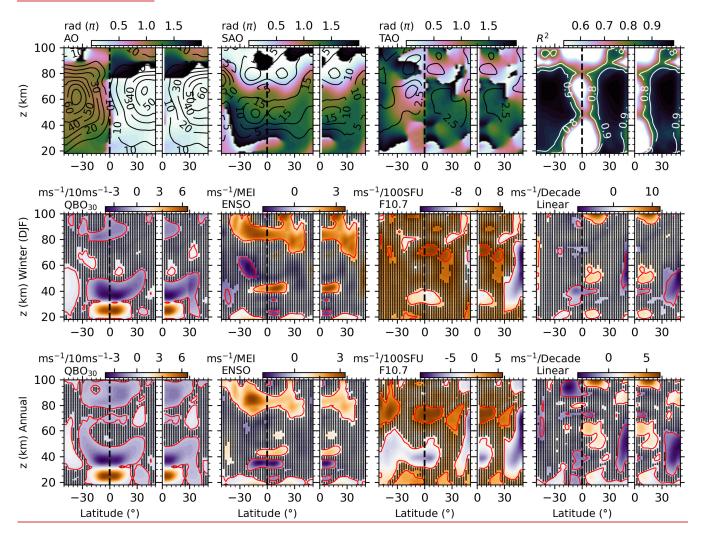


Figure 1112: Regression results of the raw (50°S–50°N, left panel of each subplot) and reconstructed BU (0°–50°N, right panel of each subplot) in the NH during 2002–2019. Upper row: same caption as <u>Figure 2Fig. 3. Middle and Lower-lower</u> row: same caption as <u>Figure 7Fig. 8 but for the responses of BU in winter (Decemer-January-February) and in the annual mean, respectively.</u>

591 The The annual mean responses of the reconstructed and raw BU to QBO₃₀ and ENSO are similarinfluences of QBO₃₀ 592 on the reconstructed winds are similar to those of the raw BU on the aspects of both patterns and magnitudes. In contrast, at 593 \sim 30–60 km and latitudes higher than 30°N, the annual mean responses of the reconstructed BU to F10.7 are negative and 594 positive, which cover the entire NH as compared to the responses of the raw BU. The influences of ENSO on the 595 reconstructed winds have similar patterns to those of the raw BU but have larger magnitudes at ~55 km. While above ~70 596 km, the influences of ENSO on the reconstructed winds have similar patterns and magnitudes to those of the raw BU. The 597 negative influences of F10.7 on the reconstructed winds extend to ~30 60 km as compared to the ~z=30 50 km for the raw 598 BU in the NH tropical region. The linear variations of the reconstructed winds are more positive at ~60 km in the subtropic 599 region but less negative at latitudes higher than 30°N at compared to linear variations of the raw BU.

600

In a word, compared to the raw BU, the reconstructed wind increases the amplitudes of AO but decreases the

amplitudes of SAO and TAO in the NH stratospheric polar jet region. The responses of the reconstructed <u>winds-BU</u> to QBO₃₀₅ and ENSO are similar to those of the raw BU on the aspects of both patterns and magnitudes and on the aspects of both winter and annual mean. However, at ~30–60 km and latitudes higher than 30°N, the responses of the reconstructed BU to F10.7 and the linear variations of the reconstructed BU exhibit large differences as compared to the raw BU, F10.7, and the linear variations slightly changed on the aspect of magnitudes. However, the hemispheric asymmetry of the responses is not affected by SSWs at least in the monthly mean sense.

607 5 Conclusions

A global balance wind dataset (BU) is used to study the variations of the monthly zonal mean winds and <u>the</u> responses of the monthly zonal mean winds to solar activity, QBO, ENSO at $\sim z=18-100$ km and 50°S-50°N and from 2002 to 2019. The variations and responses are extracted by MLR method <u>after removing the collinearity of predictors</u>, which is also applied to the MERRA2 zonal wind (MerU) to test the reliability of BU and their responses.

612 The seasonal variations (AO, SAO, and TAO) of BU and MerU have nearly identical phases in the regions where their 613 amplitudes are prominent. Their consistencies of their amplitudes are better in the SH than in the NH on the aspects of both 614 patterns and magnitudes. The SAO of BU has peak around 80 km is hemispheric asymmetry and stronger in the SH. The 615 TAO of BU above 80 km is also hemispheric asymmetry and stronger in the SH. The annual mean responses of BU and 616 MerU to F10.7 are more negative in the SH stratospheric polar jet region of SH than that of the NH counterpart. Around ~80 617 km, the annual responses of BU to F10.7 are mainly positive in the tropical region and high latitudes. The influences of the 618 stratospheric QBO extend from the equator to higher latitudes with the increasing height. The influences can be positive or 619 negative, which depend on heights and latitudes. Above ~80 km, the negative responses of winds to the stratospheric QBO 620 are hemispheric asymmetry and are more negative in the NH tropical regions. Both BU and MerU exhibit similar responses 621 to MEI. Whereas the responses of BU to MEI are stronger than those of MerU at 50°N/S. The responses of winds to MEI 622 propagate downward with the increasing time at 50°N/S and 25°N/S. Both BU and MerU exhibit similar linear variations. 623 The large discrepancy is that the negative variations of BU at 50°N cannot be seen in MerU during October–January. Above 624 70 km, the patterns of the linear variations of BU are sporadic and strongly dependent on months, latitudes and heights.

625 The robustness of the responses of winds to QBO, ENSO, and F10.7, and the linear variations of winds are examined by 626 changing the temporal interval of the data. We found that the responses of winds to QBO are robust and are almost 627 independent on the temporal intervals. The responses of winds to ENSO are robust but slightly dependent on the temporal 628 intervals. Although the responses of wind to F10.7 have similar patterns in different temporal intervals, the responses are 629 stronger in the temporal intervals of 2002-2015 and 2002-2019 than the other two temporal intervals. The linear variations 630 of both BU and MerU depend strongly on the temporal intervals. The possible reasons might be the different linear 631 variations inhibited in the regressors and $\frac{(2)}{(2)}$ the unstable regressors in different temporal intervals. Thus, it is desired to 632 examine the responses and linear variations in different temporal intervals, such that one can get a more comprehensive 633 impression on the linear variations although the exact linear variations are unknown. The influences of SSWs on the seasonal 634 variations are mainly in the NH stratospheric polar jet region. However, the hemispheric asymmetry of the seasonal and 635 linear variations, and the hemispheric asymmetric responses of BU to QBO, ENSO, and F10.7 are not affected by SSWs at 636 least in the monthly mean sense.

637 Data availability

638 The global balance wind data can be obtained from National Space Science Data Center 639 (https://doi.org/10.12176/01.99.00574) (Last access: March 2022, Liu et al., 2021). The F10.7 data were obtained from 640 https://spdf.gsfc.nasa.gov/pub/data/omni/ (last access: March 2022, Tapping, 2013).The MERRA2 data were obtained from http://disc.sci.gsfc.nasa.gov/mdisc (last access: March 2022, Molod et al., 2015; Gelaro et al., 2017). The QBO data were

obtained from https://www.geo.fu-berlin.de/en/met/ag/strat/produkte/qbo/ (last access: March 2022, Baldwin et al., 2001).

The ENSO data were obtained from https://www.psl.noaa.gov/enso/mei/ (last access: March 2022, Zhang et al., 2019;

644 Wolter and Timlin, 2011).

645 Author contributions

KL analyzed the data and prepared the paper with assistance from co-authors. JX and JY design the study. All authors
 reviewed and commented on the paper.

648 **Competing interests**

649 The authors declare that they have no conflict of interest.

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