

Zugspitze ozone ~~1978-1970~~ – 2020: The role of stratosphere-troposphere transport

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Abstract. The pronounced increase of ozone observed at the Alpine station Zugspitze (2962 m a.s.l.) since the 1970s has been ascribed to an increase of stratospheric air descending to the Alps. In this paper, we present a re-analysis of the data from ~~1978 to 2011~~ for both ozone (1978 to 2011) and carbon monoxide (1990-2011), extended until 2020 by the data from the Global Atmosphere Watch site Schneefernerhaus (UFS, 2671 m a.s.l.) just below the Zugspitze summit. For ozone between 1970 and 1977 a constant annual average of 36.25 ppb was assumed as obtained by extrapolation. The analysis is based on data filtering utilizing the isotope ⁷Be (measured between 1970 and 2006) and relative humidity (1970 to 2011, UFS: 2002 to 2020). We estimate both the influence of stratospheric intrusions directly descending to the northern rim of the Alps from the full data filtering and the aged (“indirect”) intrusions from ~~partial filtering with the applying a relationship between ozone and the~~ ⁷Be data. The evaluated total stratospheric contribution to the annual-average ozone rises roughly from 12 ppb in 1970 to 24 ppb in 2003. It turns out that the increase of ~~the~~ stratospheric influence is particularly strong in winter. A lowering in positive trend is seen afterwards, ~~almost parallel to with a delay of roughly one decade after~~ the beginning decrease of solar irradiation. The air masses hitting the Zugspitze summit became drier until 2003, and we see the growing stratospheric contribution as an important factor ~~to for~~ this drying. Both an increase of lower-stratospheric ozone and a growing ~~width-thickness~~ of the intrusion layers departing downward from just above the tropopause must be taken into consideration. Carbon monoxide in intrusions did not change much during the full measurement period ~~from~~ 1990 to 2020, with ~~perhaps~~ a slight increase until 2005 ~~and an almost constant behaviour afterwards~~. This is remarkable since outside ~~direct~~ intrusions a decrease by approximately 44 % was found, indicating a substantial improvement of the tropospheric air quality.

Key words: Ozone, carbon monoxide, water vapour, ⁷Be, beryllium, stratosphere-to-troposphere transport, data filtering, transport modelling, lidar, Zugspitze

1 Introduction

The rise of ozone at the summit station Zugspitze (German Alps, 2962 m a.s.l.) between 1978 and 2003 has been emphasized in a number of publications during the past twenty years (e.g., Oltmans et al., 2006; Logan et al., 2012; Oltmans et al., 2012; Parrish et al., 2012; Gaudel et al., 2018; Parrish et al., 2020). Until the early 1980s the rise was very strong and associated mostly with growing air pollution. However, during the period afterwards

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the air pollution in Europe ~~no longer increased~~, and even decreased in the 1990s (e.g., Jonson et al., 2006; Vautard et al., 2006), ~~possibly~~presumably due to the new political situation that led to an improved air quality in Eastern Europe.

40 Scheel (2003) attributed the continual ozone rise ~~at the Zugspitze summit~~ to dry air descending from the tropopause region ~~to the Zugspitze summit~~. Rising levels of ⁷Be hardened the idea of an increasing fraction of stratospheric air reaching the high-lying station. ~~Measurements of relative humidity (RH) and ⁷Be offer a unique chance to identify stratospheric air, but is restricted to just a few elevated sites worldwide. Subsequently~~Based on these measurements, Scheel analysed the stratospheric contribution from the beginning of the precision measurements in 1978 ~~to~~until 2004 (H. E. Scheel, pp. 66-71 in (ATMOFAST, 2005)). ~~This preliminary effort yielded a rather high stratospheric influence. -~~The estimated total stratospheric contribution to the Zugspitze ozone more than doubled from 1978 to 2003 (Fig. 1 of Trickl et al. (2020a): 11.3 ppb to 23.5 ppb). Most importantly, the complementary tropospheric ozone contribution no longer exhibited a positive trend. However, the results do not reveal a decline of this contribution since 1990 as one would expect from that of the ozone precursor emissions, which could suggest that this estimate was rather conservative.

50 In Fig. 1 we show the development of the monthly mean values for the two summit stations around Garmisch-Partenkirchen (Germany), Zugspitze and Wank (1780 m), from 1978 to 2010 for which the data are evaluated for both stations (the operations at both stations were discontinued after/in 2012, after the retirement of H. E. Scheel). ~~There~~The figure reveals several facts:

55 (1) The Wank ozone trend stops to be positive after 1981, whereas the Zugspitze ozone continues to increase. We ascribe this difference to the much less pronounced stratospheric impact at the lower-lying station. The number of stratospheric air intrusions reaching the Wank summit is less than 50% of that reaching the Zugspitze summit. (Elbern et al., 1997).

60 (2) After 2003 there is an almost parallel, slight ozone decrease at both stations, ~~similar to the findings of Cristofanelli et al. (2020) for the Italian mountain station Monte Cimone (2165 m. a.s.l.), here until 2017,~~

(3) In the 1990s the amplitude of the Wank seasonal cycle diminishes, in agreement with the decreased precursor emission mentioned above. This decrease is less pronounced in the Zugspitze ozone since the higher summit is less exposed to air from the boundary layer. The pronounced summer ozone maxima start to disappear.

65 There are several questions: Was the increase in Zugspitze ozone until 2003 caused by a change in atmospheric dynamics due to the climate change? ~~However, if this were the case:~~ What is the reason for the trend reversal after 2003, and is it real? ~~What happened after 2010? A negative trend was recently reported even until 2017 also for the Italian mountain station Monte Cimone (2165 m a.s.l.; Cristofanelli et al., 2020). How did the Zugspitze trend develop after 2010?~~

70 The 1978 stratospheric contribution of 11.3 ppb almost matches the ozone value of just about 10 ppb estimated for the late 19th century (Volz and Kley, 1987; Marengo et al., 1994), in agreement with the idea that stratosphere-to-troposphere transport (STT) was the dominant source of ozone during that early period. However, this value may be too low ~~which indicates the presence of tropospheric influence~~. Tarasick et al. (2019), based on a large number of publications, suggested a higher background ozone of 20 ppb to 25 ppb during the first half of the 20th century. ~~Fabian and Pruchniewicz (1977) describe measurements at the Zugspitze~~

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summit between 1970 and 1975(. The monthly mean ozone values obtained varied around annual means of roughly 20 ppb, with a particularly pronounced positive excursion to about 45 ppb in summer 1970. 20 ppb could mean that the Zugspitze ozone at that time was not far away from the conditions at the beginning of that century. The uncertainties of these data were specified as 1.5 % under clean air conditions (Pruehniewicz, 1973). However, Tarasiek et al. (2019) doubt the assumed quality of these data.

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A comparable positive ozone trend is reported for the Swiss Jungfrauoch station (3580 m a.s.l.) where the ozone measurements started in 1992 (Ordoñez et al., 2007). Also in other regions of the world positive trend of ozone from STT. Clain et al. (2009) derived a positive ozone trend in the upper troposphere above Réunion Island and concluded a growing stratospheric influence, also tentatively ascribed to climate change. Cooper et al. (2020) found positive trends for a number of elevated sites world-wide (1971 to 2018), in particular Mauna Loa, and for IAGOS (In-service Aircraft for a Global Observing System) in the northern hemisphere for a pressure level of 650 mbar. Butchart et al. (2006) and Butchart (2014) expect from model results a growing exchange between the troposphere and the stratosphere with rising atmospheric temperatures because of an intensifying Brewer-Dobson circulation.

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Despite an altitude of almost 3 km the *in situ* measurements at the Zugspitze summit can be influenced by air from the planetary boundary layer (PBL) during daytime (e.g., Carnuth et al., 2000; 2002; Yuan et al., 2019). Lidar measurements of water vapour that showed deviations of the Zugspitze relative humidity (RH) from the corresponding lidar RH just during warm periods (Vogelmann and Trickl, 2008). Because of the possibility of orographic lifting the data selection within the TOR (Tropospheric Ozone Research) project (Kley et al., 1997) for background conditions excluded related periods. The measurements during periods of subsidence are expected to be fully free of air from the PBL (see also Yuan et al., 2018).

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Deep stratospheric intrusions are characterized by very dry air with RH values in the lower free troposphere frequently far below 1 % (Trickl et al., 2014; 2015; 2016; see also Bithell et al., 2000; Pisso et al., 2009). Even for transport times of more than ten days sometimes very little erosion of the layers in tropospheric air is found (Trickl et al., 2014; 2015; 2016). This surprising observation contradicts traditional ideas (e.g., Shapiro, 1976, 1978, 1980). However, there is some evidence also in earlier work that the mixing of descending stratospheric air tongues with tropospheric air could be rather slow (e.g., Bithell et al., 2000; Pisso et al., 2009). These results suggest that a determination of the stratospheric ozone fraction at the Zugspitze summit based on humidity data should be rather quantitative.

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By contrast, the Zugspitze RH typically minimizes at about 10 %, with rare exceptions down to about 3 %. We hypothesized that this deviation is perhaps caused by problems of the dew point mirror instrument used. This makes the identification of intrusions somewhat difficult. However, Trickl et al. (2010) found by comparison with trajectory modelling that the RH requirements for identifying the impact of STT (stratosphere-troposphere transport) at the Zugspitze summit station are not ultimately critical. A threshold of the RH minimum of 30 % turned out to be sufficient.

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Another interesting finding was that intrusions originate just above the tropopause (Trickl et al., 2014; 2016). The Zugspitze CO values in these layers do not show a significant massive decrease in these layers in the lowermost stratosphere confirming the idea of a mixing zone around the tropopause (Trickl et al., 2014). This zone seems to be a layer of near-horizontal convergence, collecting contribution from both below and above, the

115 latter also being concluded from our observations of stratospheric aerosol (Trickl et al., 2013). It is, therefore, difficult to quantify the true tropospheric ozone fraction in this layer.

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The seasonal cycle of STT at the Alpine summit stations is characterized by a winter maximum and a summer minimum (Stohl et al., 2000; Trickl et al., 2010). As a consequence, the well-known ozone spring maximum (Monks, 2000) cannot be explained by STT. The minimum is less pronounced at the highest, the Jungfraujoch station (3580 m a.s.l.), which indicates more STT events reaching higher altitudes during the warm season. In fact, a recent study shows that the STT summer minimum disappears if one looks at the free troposphere as a whole (Trickl et al., 2020a).

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125 This fact and the differences between Wank and Zugspitze ozone mentioned above confirm that the penetration of stratospheric air into the troposphere decreases towards low altitudes. However, the descent of the dry air tongues continues towards the Mediterranean basin and, thus, a significant stratospheric influence has been evaluated for Monte Cimone (Cristofanelli et al., 2006; 2015; 2020). Sprenger et al. (2003) and Škerlak et al. (2014) describe the behaviour of deep, medium and shallow intrusions on a global scale and find clear differences. The occurrences of intrusions maximize along the jet streams and deep intrusions are less frequent than medium or shallow ones. The latter is verified by many years of lidar measurements at Garmisch-Partenkirchen (Trickl et al., 2020a). There is little evidence of a penetration of stratospheric intrusions into the boundary layer. Reiter (1990) ~~determined out~~ analysed a total of 1990 ozone, temperature and wet-bulb temperature profiles onboard the Eibsee-Zugspitze cable car (1005 m to 2955 m a.s.l.) between 1980 and 1982 and did not observe any case of subsidence of stratospheric layers to below 1.4 ~~to 1.6~~ km a.s.l. However, the cable car is operated only during day-time, i.e., in the presence of a boundary layer. In fact, Eisele et al. (1999) reported a case of sufficiently deep early-morning descent of a STT layer that it could be caught by the forming boundary layer (see also Schuepbach and Davis, 1994; Ott et al., 2016; Langford et al., 2021).

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This paper resumes the Zugspitze ozone trend studies started by H. E. Scheel, with emphasis on a more refined analysis of STT. Parts of our paper are based on a preliminary manuscript of Scheel, unfinished due his unexpected death in 2013. ~~We completed the data archive for the Zugspitze summit until 2011 based on files with evaluated data found on Dr. Scheel's computer. No evaluated version of the 2012 data was found. The revised scientific analysis is based on the methods described by Trickl et al. (2010).~~ In order to obtain some idea about the trend development beyond 2011 the study was extended until 2020 with the data acquired at the Global Atmosphere Watch station at the Schneefernerhaus research station about 300 m below the Zugspitze summit.

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145 We first describe and analyse the observational data used and their temporal development. In Sect. 3, we present the characteristics of the data and how they can be used for the data filtering. The filtering criteria eventually used are specified in Sect. 4. Finally, we present and discuss our results in Sects. 5 and 6.

2 Observations

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2.1 Site description

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150 The Zugspitze site of the former Fraunhofer-Institut für Atmosphärische Umweltforschung (IFU; now: Karlsruher Institut für Technologie, IMK-IFU) is located at the northern rim of the Alps (47.421° N, 10.986° E). A detailed description of the topography was given by Reiter et al. (1986). The observations of trace gases, meteorological parameters as well as ⁷Be were made at the summit of ~~the mountain~~ Zugspitze (2962 m a.s.l.) or

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temporarily close to the summit at 2932 m (1989 – 1994, or during a shorter period, depending on the parameter of the measurement programme). Not all the quantities have been measured over the entire period since 1970, some measurements were abandoned earlier such as SO₂ or CO₂. The data are available in the data archive of IMK-IFU as half-hour averages, supplemented by statistical products such as daily, monthly and annual means. For the work presented here we use ozone, ⁷Be, RH and carbon monoxide. We completed the data archive for the Zugspitze summit until the end of 2011 based on files with evaluated data found on Dr. Scheel's computer. No evaluated version of the data for the final year, 2012, was found. The revised scientific analysis is based on the methods for identifying stratospheric intrusions described by Trickl et al. (2010).

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Since 2001 atmospheric *in-situ* measurements have taken place at the Global Atmosphere Watch (GAW) observatory at the Schneefernerhaus research station (Umweltforschungsstation Schneefernerhaus, UFS) on the southern face of Zugspitze, operated by the German Umweltbundesamt (UBA, i.e., Federal Environment Agency; 47.417° N, 10.979° E; air inlet at 2671 m a.s.l.). The calibration of the UBA instrumentation is routinely performed and verified as a part of the GAW quality assurance standards. The instruments are controlled daily and serviced on all regular work days. Yuan et al. (2019), in a study on the Zugspitze CO₂ time series, characterized the UFS measurements with respect to those at the Zugspitze summit and at a tunnel site in the cliff behind UFS.

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Due to the small altitude difference, in principle, the stratospheric influence at UFS should not differ much from that at the summit. However, one should consider that, during the warm season the boundary-layer formation may prevent intrusions to descend much below 3000 m. This has, indeed, been observed by the lidar measurements (Sect. 3). Thus, even local orographic influence can matter and lead to differences due to different upslope winds advecting air from lower altitudes (Sect. 3.4). However, the trends should behave similarly and the results for UFS can serve as a tool to extrapolate the results for the summit.

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2.2 Techniques

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2.2.1 Ozone

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Since the inception of non-wet chemical, continuous O₃ monitoring in 1978, this species was measured using a Bendix 8002 chemiluminescence instrument, compared with a portable Dasibi system until 1999 (Reiter et al., 1987). After parallel operation with UV absorption instruments, the O₃ measurements were based on two or three TE 49 analysers (Thermo Environmental Instruments, USA) operated simultaneously at the station Zugspitze summit. Several comparisons by means of transfer standards (O₃ calibrators TE 49 PS) were made with the World Meteorological Organization (WMO) Global Atmosphere Watch (GAW) reference instrument kept at the WMO/GAW calibration centre operated by EMPA, Switzerland (Klausen et al., 2003). The most recent comparison was conducted in June 2006 and confirmed that the Zugspitze O₃ data are on the GAW scale.

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At UFS, ozone has been continuously measured by ultraviolet (UV) absorption at 253.65 nm (Thermo Electron Corporation, model TEI 49i) ~~since~~ since 2002. As ozone standard a TEI 49C-PS instrument was used which was calibrated against the ozone standard of UBA (UBA SRP#29) on an annual basis. UBA keeps with this standard the German reference normal which was adjusted via BIPM (Bureau International des Poids et Mesures) in Paris to the valid NIST ozone reference standard which is relevant for the WMO/GAW measurement programme. The

195 measurements were supported by a second instrument (Horiba APOA-370) ~~which also fully complied with GAW quality requirements. The instrumentation is fully adequate for Global Atmosphere Watch monitoring.~~ For weekly and monthly calibration a TE 49PS instrument has been used at ~~the station~~UFS. GAW system and performance audits at the station for surface ozone took place in 2001, 2006, ~~and 2011~~ and 2020 (Zellweger et al., 2001; 2006; 2011; 2020).

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The ozone data for both sites are stored at 0.5-h intervals with an uncertainty less than ± 0.5 ppb from the WMO standard (Hearn et al., 1961, see also Viallon et al., 2015). 1-h averages were made available to the World Data Center (WDCRG: <https://ebas.nilu.no/> and the TOAR data base (Schultz et al., 2017). In the present study we use data at half-hour time resolution.

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200 We also present monthly mean values from Fabian and Pruchniewicz (1977) graphically reconstructed from the figures in that publication.

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2.2.2 Carbon monoxide

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205 Carbon monoxide (CO) measurements at the Zugspitze summit started at the end of 1989 with a gas chromatograph equipped with a mercury reduction detector (RGD-2, Trace Analytical). From 1994 onwards this system was supplemented or temporarily replaced by one or two gas filter correlation analysers (TE 48S). Since August 2004, a vacuum fluorescence instrument (AL5001, AeroLaser, Germany) has served as the primary instrument, which brought about a significant improvement of short-term CO resolution and exhibited a high reliability. The working standards employed for the calibration were tied to the carbon monoxide scale maintained by NOAA ESRL/GMD (Boulder, USA) through several comparison experiments and are on the scale of the WMO/GAW network. Two different instruments were always used parallel.

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210 At UFS, two gas filter correlation analysers TE 48C and TE48S were used starting in 2002 and substituted in 2004 by AeroLaser AL5001 and A15002 instruments. The working standards for measurement of CO were adjusted regularly by using a group of 6 NOAA laboratory standards to the actual scale of the WMO/GAW measurement network. For the calibration of the AeroLaser instruments two 1 ppm standard CO mixtures from Deuste-Steininger (<https://www.deuste.com>) ~~are were~~ used. One of these gas tanks ~~is was~~ used to calibrate the instrument as working standard, whereas the other tank is ~~measured served~~ as a target cylinder. GAW system and performance audits at the station for carbon monoxide were also carried out in 2001, 2006, ~~and 2011~~ and 2020 (Zellweger et al., 2001; 2006; 2011; 2020). However, for a number of years the data are not yet finalized.

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2.2.3 Beryllium-7

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225 Radioactivity measurements were made by IFU at three stations (Zugspitze, Wank, Garmisch-Partenkirchen) since the late 1950s in view of nuclear fallout (Sládkovič, 1969, and references therein; Reiter et al., 1971). This led to studies of the descent of stratospheric air into the lower troposphere. Routine measurements at the Zugspitze summit of ^7Be started in 1969 (Reiter et al., 1971) and are archived from 1 January 1970 until 30 April 2006. For the determination of the ^7Be activity, aerosols in ambient air were sampled on cellulose nitrate filters (Sartorius, No. 11301) using a Digital DHA 80 high-volume sampler. The filters were found to retain aerosols with efficiencies between 93.0 % (diameters 0.05 to 0.1 μm) and 99.3 % (diameters $> 0.3 \mu\text{m}$) (Reiter et al.,

230 1971). The daily filters were measured in a laboratory of IFU by way of high-resolution gamma spectrometry. The sampling time was 24 h, as necessitated by the signal-to-noise ratio, which sets a certain limit to identifying stratospheric air intrusions. Through an intercomparison experiment involving four high-altitude sites in Europe, the Zugspitze ⁷Be results were found to ~~lie differ from the mean of all participants within by less than~~ twice the standard deviation ~~of the mean~~ (Tositti et al., 2004).

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235 In 2002 and 2003 there were ~~two~~ extended periods during which the ⁷Be sampler was out of operation. ~~Since this these years were as part of a comparison phase with the new DWD ⁷Be sampler the~~ The data gaps could be filled with data from ~~the new DWD ⁷Be sampler. These The DWD values data are stored were twice a day accumulated~~ over 12 h. The periods are time shifted ~~to~~from 7:30 to 19:00 CET (Central European Time, = UTC + 1 h) and from 19:30 to 7:00 CET. ~~This does not matter since the data filtering is carried out on a half-hour basis.~~

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240 After multiplying the DWD values by two (24 h instead of 12 h) ~~The the~~ IFU and DWD ⁷Be data agree rather well. For example, the averages for 2002 are 4.47 mBq m⁻³ and 4.63-71 mBq m⁻³, respectively, ~~both averages determined on a half hour grid. This means that the 12-h DWD data were calibrated to match the 24-h IFU data. It could not be clarified why this is the case. The 24-h ⁷Be specific activities have been stored on a 0.5-h basis (i.e., without division by 48), the time resolution of our archive and our analysis.~~

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2.2.3 Relative humidity

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245 Relative humidity (RH) measurements at the summit with a dew-point-mirror instrument (Meteolabor, model Thygan VTP6) started in 1997 and were officially archived since 1998. The quoted uncertainty is below 5 % RH. For the years 1970 to 1997 RH values from the Zugspitze weather station of the German Weather Service (DWD) were taken (~~for more information see Sect. 3.4;~~ opendata.dwd.de/climate_environment/cdc/observations_germany/climate/hourly/air_temperature/, station 05792, the times for this early period are listed in CET rather than the current DWD standard UTC). The ~~archiving interval time resolution of (1-h) is~~ for these data is ~~longer than the typical 0.5 h for most of the data of both IFU and UFS data 1 h. Thus, , but~~ the values are listed in our 0.5-h data archive twice per hour ~~in order to match the resolution of our analysis~~. Also some major data gaps in 2010 and 2011 were filled with RH values from DWD, ~~which turned out to be highly necessary near the temporal boundary (end) of our analysis.~~

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255 Relative humidity at UFS has been monitored by the German Weather Service with an HMP45D sensor from 3 August 2011 to 15 July 2014 an EE33 humidity sensor (E+E Elektronik) afterwards. There is no information on the sensor type used from the beginning (23 August 2001) to 3 August 2011. We use the data from 2002 to 2020 provided at intervals of 0.5 h, after a conversion of the times from UTC (DWD) to CET.

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2.2.4 Lidar measurements

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260 Lidar measurements have a great potential for studying stratospheric layers in the troposphere because they are characterized by elevated ozone and very low humidity. Measurements with the IFU ozone (Trickl et al., 2020b) and the UFS water-vapour (Vogelmann and Trickl, 2008) differential-absorption lidar systems have accompanied the *in-situ* measurements over many years. These measurements, together with transport modelling, yielded insight into the descent or long-range transport of the dry stratospheric layers towards Garmisch-Partenkirchen (Eisele et al., 1999; Zanis et al., 2003; Trickl et al., 2010, 2020a) as well as information

265 on the minimum humidity as a function of the transport path length (Trickl et al., 2014; 2015; 2016). Some of these studies included the analysis of long-range transport of air pollution (Stohl and Trickl, 1999; Trickl et al., 2003; 2011). Dense lidar time series at intervals of one to two hours were extended to up to four days and were used to interpret the Zugspitze results. Daily lidar measurements with less dense sequence have been made up to more than one week.

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270 2.3 Other tools

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275 Trajectories have been used to verify deep stratospheric intrusions. Many case studies have been made with FLEXTRA trajectories and the particle-dispersion model FLEXPART (Stohl and Trickl, 1999; Trickl et al., 2003; 2010; 2011; 2014). Daily four-day forecasts with the LAGRANTO model (Wernli, 1997; Wernli and Davies, 1997) were provided by ETH Zürich until the end of the ozone lidar measurements in early 2019 (e.g., Zanis et al., 2003; Trickl et al., 2010; 2020a). In cases with subsidence periods exceeding four days HYSPLIT (Hybrid Single-Particle Lagrangian Integrated Trajectory, Draxler and Hess, 1998; Stein et al., 2015; https://www.ready.noaa.gov/HYSPLIT_traj.php) 315-h backward trajectories were calculated. We prefer the “reanalysis” mode that, despite a moderate spatial resolution, have-has better explained our observations (e.g., [Trickl et al., 2020a](#)).

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280 Linear regression analyses were made by applying a program developed for spectroscopic studies (e.g., Trickl and Wanner, 1984; Trickl et al., 1993; 1995). This program includes individual weights for the data points, error propagation and recalibration of the standard deviations based on a χ^2 analysis. In addition, more than 20 programs were developed to convert data formats, to fill gaps in the data archive (~~including import data from external sources~~), to calculate percentiles of ^7Be and RH, and for the data filtering. For sliding arithmetic averages we use the tool provided by the ORIGIN graphics program used to prepare the figures. This tool mostly generates rather realistic boundary conditions at both ends of the data sets to be smoothed.

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3 Characteristics of the parameters used for data filtering to identify STT

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290 In previous efforts the STT influence on the Zugspitze ozone has been determined by data filtering based on correlating ozone with water vapour and ^7Be (Elbern et al., 1997; Stohl et al., 2000; Scheel, 2003; Trickl, 2010). The STT fraction strongly depends on the threshold conditions chosen (Stohl et al., 2000).

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295 Reiter et al. (1977) pointed out elevated levels of Zugspitze ozone exceeding the U.S. federal standard of 80 ppb occur during situations favourable for dry intrusions. A value of 145 ppb was registered during a high-ozone case on 8 and 9 January 1975. Sladkovic et al. (1994) found that both high and low levels of O_3 in spring and summer (1984 – 1993) were associated most frequently with northerly winds. The strongly descending air masses were characterized by elevated specific activities of ^7Be . From the Zugspitze radioactivity measurements of ^7Be and ^{32}P stratospheric residence times of ≥ 36 d during spring and ≥ 17 d during autumn were estimated (Reiter et al., 1975).

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300 Finally, descending stratospheric air is dry. Beekmann et al. (1997) took an RH threshold of 20 %; in their analysis of ozone sonde data. For the Zugspitze data an RH threshold of 30 % was found to be adequate, as verified by comparisons with the predictions by transport models (Trickl et al., 2010).

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In the following sections, some characteristics of the parameters employed for data filtering are presented as far as these details are of relevance for the subsequent ozone analyses. In previous efforts the STT influence on the Zugspitze ozone has been determined by data filtering based on correlating ozone, water vapour and ^7Be (Elbern et al., 1997; Stohl et al., 2000; Scheel, 2003; Trickl, 2010). The STT fraction strongly depends on the threshold conditions chosen (Stohl et al., 2000). The ^7Be threshold used at IFU in studies until 2000 is $8 \text{ mBq m}^{-3} \cdot (24 \text{ h})^{-1}$ (Sládkovič and Munzert, 1990). This value was also used by Stohl et al. (2000). Elbern et al. (1997) applied variable thresholds, given by a pre-defined increase of the standard deviation of the values against the running monthly mean, for the species used. In a study of stratospheric intrusions at Mt. Cimone, Cristofanelli et al. (2006) employed both the fixed value of 8 mBq m^{-3} and a dynamic threshold based on running monthly means. By comparison with trajectory based predictions of stratospheric air intrusions for the period 2001–2005, Trickl et al. (2010) showed that the ^7Be criterion could be weakened to a threshold of at least 5.5 mBq m^{-3} . The specific activity per intrusion has changed over the years which must be accounted for. As a consequence, Scheel (2003) replaced the 8 mBq m^{-3} threshold by the 85th percentile, which was also adopted by Trickl et al. (2010) in one of their criteria. Scheel applied a combination of this value with 60 % relative humidity for identifying periods of STT (pp. 71 in (ATMOFAST, 2005); figure 2.45 also published as Fig. 1 by Trickl et al., 2020a). The 60 % RH threshold is a reasonable choice to identify the relevant time period of the humidity trough created by a stratospheric air intrusion (Fig. 2, marked by horizontal arrows). 60 % roughly defines the full width at half maximum of an intrusion event. The RH increases below this level and the losses above this level, both caused by tropospheric mixing, approximately compensate. Thus, for ozone this interval represents rather well the stratospheric contribution if the centre of the intrusion reaches the summit station. This is sometimes the case and infers uncertainty. Trickl et al. (2010) introduced an additional RH = 30 % threshold that ensures that sufficiently dry air is detected at least somewhere within the intrusion layer. Beekmann et al. (1997) took an RH threshold of 20 % in their analysis of ozone sonde data. The higher value for the Zugspitze filtering was found to be adequate, as verified by comparisons with the predictions by transport models (Trickl et al., 2010). In Fig. 2, time series of several key constituents measured at the Zugspitze summit are displayed during a period well characterized by ozone lidar measurements and transport modelling (Trickl et al., 2010; 2011). The lidar time series demonstrated the intrusion fully descended across the summit. The mutual correlations give clear hints on atmospheric long-range transport. On late 21 July 2001 an intrusion from Greenland descended aerospassed the summit station until the next morning, exhibiting minimum RH = 7.19 % at 7:00 CET (Central European Time = UTC +1 h), minimum $\text{NO}_y = 0.07 \text{ ppb}$ at 4:00 CET and maximum ozone = 70.76 ppb at 2:00 CET. The Munich radiosonde (1 CET) showed a much smaller minimum RH of 3 % which is in better agreement with the expectation for the calculated downward travel time of 8 to 9 days (Trickl et al., 2014; for more information on RH see Sect. 3.4). The 24-h ^7Be value is 8.26 mBq m^{-3} , exceeding the classical threshold of 8.0 mBq m^{-3} (Sládkovič and Munzert, 1990) as well as the revised threshold of 5.5 mBq m^{-3} of Trickl et al. (2010).

The width of the RH trough is a good estimate of the length of an intrusion event. As indicated by the horizontal arrows Scheel used a RH = 60 % threshold for estimating the full width at half maximum of the intrusion (Scheel, 2003). The RH increases below this level and the losses above this level, both caused by tropospheric

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340 mixing, approximately compensate. Thus, for ozone this interval represents rather well the stratospheric contribution if the centre of the intrusion reaches the summit station. This is sometimes not the case which infers uncertainty.

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For CO just a small concentration dip is observed during the intrusion. The absence of a pronounced CO concentration drop which is rather typical (Trickl et al., 2014; see Sect. 3.4).

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345 CO turned out to be not a good tracer of stratospheric air. Trickl et al. (2014, 2016) explain this by the fact that intrusions emerge from the lowermost layer of the stratosphere where obviously the descent to stratospheric values (20 to 40 ppb, e.g., Zahn et al., 1999; Fischer et al. 2000; Pan et al., 2004; Hegglin et al., 2009; Vogel et al., 2011) is not yet pronounced.

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350 Of course, CO has been used to identify polluted air masses. It is interesting to mention that the highest CO mixing ratios have been observed in the volume of fronts (R. Sládković, personal communication). A strong rise of CO in a front is frequently associated with polluted air picked up over industrial region to the north west. In Fig. 2, this frontal passage took place on 20 July 2001, but with just a moderate increase in CO and NO_x.

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On 23 July a minimum of all species but RH and ⁷Be occurred. This period is characterized by advection from the boundary layer over the tropical Atlantic, a typical behaviour shortly after the beginning of high pressure

355 (Trickl et al., 2003; 2010). NO_x was even lower than in the intrusion.

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An important question is: where does the elevated ⁷Be on 24 July and on the following days come from? Apart from three RH dips around midnight between 23 and 24 July the RH values do not indicate any dry layer. If the elevated ⁷Be observed during this period originated in the stratosphere the air mass had almost completely lost its characteristics. Indeed, HYSPLIT backward trajectories run over 315 h for start times on late 24 July and early

360 25 July indicate a long-range descent from high altitudes over Arctic Canada, starting before that computational period.

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It is obvious that the only identification of stratospheric air from observational data is only possible for direct intrusions into the lower troposphere, i.e., intrusions that descend to altitudes above the boundary layer within approximately 3 to 15 days during which the layers stay dry. For indirect intrusions an estimate can be made

365 based on assumptions on the stratospheric fraction of ⁷Be.

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In the following subsections, some characteristics of the parameters employed for data filtering are presented as far as these details are of relevance for the subsequent ozone and CO analyses.

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3.1 Ozone

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370 A clear rise of ozone during the relevant time period is a good indicator of stratospheric air. The intrusion in Fig. 2 is a good example. However, pronounced ozone peaks are not always the case. There are several factors that can lead to less pronounced signatures. Of course, aged intrusions undergo mixing with tropospheric air, which could be verified by humidity measurements by the UFS water vapour lidar or radiosondes. As mentioned, we found that just the lowermost layer above the tropopause starts to subside (Fig. 18 of Trickl et al. (2014)). This is mostly the case in winter and sometimes leads to just a small rise in ozone (Trickl et al., 2020a). Finally, there

375 are cases in which just an edge of the intrusion layer hit the station which can be associated with lower peak ozone. Small rises in ozone in the Zugspitze time series are hard to distinguish from the mostly rather variable concentrations around an intrusion period. Thus, we exclude ozone from the list of parameters used for identifying STT. This is justified by the thorough analysis by Trickl et al. (2010).

380 As discussed by Parrish et al. (2020) there is just a small average relative decrease of 2.6 % between the ozone values at the summit and the lower-lying UFS station. Therefore, we use the UFS data to extend the time series after 2011. The question is how well the results for the stratospheric influence at UFS match those for the summit during the period of overlap. In any case, even if there is a discrepancy at least the trend for the extended period of observation can be judged.

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385 The values of Fabian and Pruchniewicz (1977) for 1970 to 1977 ~~were rejected by Tarasick et al. (2019) look somewhat low~~ and are not included in our analysis. Instead, we use an extrapolated constant ozone mixing ratio for the period 1970 to 1977 as justified in Sect. 5.2.

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In general, short ~~gaps of ozone data gaps values~~ are filled during the analysis by the respective monthly average.

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3.2 Carbon monoxide

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390 Carbon monoxide has both natural and anthropogenic sources (e.g., Duncan et al., 2007) and is of importance in tropospheric chemistry because of its reaction with the OH radical (Logan et al., 1981). The Zugspitze CO mixing ratio displays a pronounced seasonal cycle with a maximum around April, and a minimum from summer to autumn.

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395 ~~CO has been used to identify polluted air masses (Scheel, 2003). It is interesting that the highest CO mixing ratios have been observed in fronts (R. Sládkovič, personal communication). A strong rise of CO in a front is frequently associated with polluted air picked up over industrial region to the north west. In Fig. 2, this frontal passage took place on 20 July 2001, but with just a moderate increase in CO and NO_x.~~

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400 ~~As confirmed in Fig. 2 CO is not a good tracer of stratospheric air hitting the summit. Trickl et al. (2014, 2016) explain this by the fact that intrusions emerge from the lowermost layer of the stratosphere where obviously the descent to stratospheric values (20 to 40 ppb, e.g., Zahn et al., 1999; Fischer et al. 2000; Pan et al., 2004; Hegglin et al., 2009; Vogel et al., 2011) is not yet pronounced.~~

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405 ~~For the STT studies they have yielded a guess on the CO values just above the tropopause (Trickl et al., 2014). These values are just slightly lower than the tropospheric average contribution at the Zugspitze summit. Trickl et al. (2014) determined a very small positive CO trend in intrusions for 1900 to 2005. The CO trend outside intrusions during that period is slightly negative indicating an improving air quality.~~

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Here, we repeat the analysis based on one of the revised filtering criteria and extend it to 2020 by including the UFS data that start in 2009.

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3.3 Beryllium 7

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410 As strongly elevated values of the ⁷Be specific activity [mBq m⁻³] are indicators of stratospheric intrusions (Reiter et al., 1983), this tracer has been important for flagging ozone data with respect to stratospheric influence. Its half-life time of 53.42 d ± 0.1 d (Huh and Liu, 2000) is rather suitable for studies of STT.

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415 ~~The ⁷Be threshold used at IFU in studies until 2000 is 8 mBq m⁻³ (Sládkovič and Munzert, 1990) which was adopted by Stohl et al. (2000). Elbern et al. (1997), in their analysis for Wank and Zugspitze, applied variable thresholds, given by a pre-defined increase of the standard deviation of the values against the running monthly mean, for the species used. In a study of stratospheric intrusions at Mt. Cimone (Italy), Cristofanelli et al. (2006) employed both the fixed value of 8 mBq m⁻³ and a dynamic threshold based on running monthly means. By~~

comparison with trajectory-based predictions of stratospheric air intrusions for the period 2001 – 2005. Trickl et al. (2010) showed that the ^7Be criterion could be weakened to a threshold of 5.5 mBq m^{-3} or perhaps less during that period.

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There are two drawbacks that limit the specificity of ^7Be for STT. As mentioned, one is the small concentration of the isotope in intrusions necessitating sampling over 24 h in the apparatus used. Secondly, ^7Be is not only produced in the stratosphere, but also in the upper troposphere with an estimated contribution of about 33 % on global average (Table 3 of Lal and Peters, 1967). This fraction does not apply for our latitude of about 47.5° N , where just 23.4 % is obtained from Fig. 16 of Lal and Peters (1967). It is even smaller at the higher altitudes of typical source regions relevant for the observations of descending stratospheric air at the northern rim of the Alps. For example, we estimate from the same figure at 70° N and higher latitudes a constant tropospheric fraction of just 10 %. This low fraction is highly advantageous for our analysis since it reduces its uncertainty.

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The primary production mechanism of stratospheric tracers such as ^7Be , ^{10}Be and ^{14}C is spallation or neutron capture by cosmic rays or solar wind (Lal and Peters, 1967; Herbst et al., 2017). The atmospheric production of these isotopes is modulated by the solar magnetic field, solar wind and the geomagnetic field strength. For the period covered here, the influence of nuclear testing can be ruled out since the last atmospheric nuclear test took place on 29 September 1969 (in China; https://en.wikipedia.org/wiki/Nuclear_weapons_testing), given the short life time of the ^7Be isotope.

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Figure 3 shows the full ^7Be time series from 1970 to 2006, together with gliding 90-d and 365-d arithmetic averages. An almost steady increase is visible after 1976. Reiter (1973a; 1973b; 1979) and Reiter and Littfaß (1977) point out the importance of solar flares in the ^7Be data. However, the averages shown are obviously not strongly correlated with frequency of solar flares (source: <https://www.ngdc.noaa.gov/stp/space-weather/solar-data/solar-features/solar-flares/index/flare-index/>) and annual sun spot numbers (source: http://www.sidc.be/silso/DATA/SN_y_tot_V2.0.txt) also displayed in Fig. 3. The increase in ^7Be since 1977 is not clearly correlated with the frequency of solar flares or the number of sun spots per year. It seems that the solar activity decreases in the new millennium, but ^7Be does not diminish. We conclude that the observed ^7Be values are reasonable proxies for STT. However, it is reasonable to use an additional tracer such as RH for identifying intrusions.

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Indeed, Herbst et al. (2017; Fig. A1) found that the global production of ^{10}Be in the atmosphere for 1960 to 2015 predominantly consisted of a constant term plus an eleven-year modulation with an amplitude of just roughly 20 % of the constant level.

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Since ^7Be is attached to aerosols, it is subject to washout, which might mask the original stratospheric signature. However, Reiter et al. (1971) found that washout is relatively small for air directly transported downward to 3000 m from the tropopause. Stratospheric air directly transported to the Zugspitze summit is usually accompanied by due to clear-air conditions nicknamed “ ^7Be weather” (Eisele et al., 1999). However, washout could play some role for the isotopes reaching the station after long range transport. It is interesting to note that for low-lying stations the tropospheric life time is estimated as 35 days, including washout (Bleichrodt, 1978).

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As we know from our aerosol lidar measurements the role of pick-up of ^7Be by aerosols in the free troposphere could be limited by the frequently very clear conditions in this altitude range, whereas there is a persistent the persistent aerosol layer in the lower stratosphere and/or the tropopause region that was could have been particularly pronounced between 1980 and 2000 due to major volcanic eruptions (Jäger, 2005; Trickl et al.,

2013). However, in Fig. 3 there is no evident positive correlation of the ^7Be data with the extreme eruptions of El Chichon (1982) and Mt. Pinatubo (1991). ~~Thus, quite unexpectedly, the amount of stratospheric aerosol does not play a major role. Given the clean air in intrusion layers it~~ is unclear where the ^7Be atoms get attached to aerosol.

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~~The seasonal cycle may be influenced by the weather conditions. A good example is the year 1970 with a~~ The winter minimum of ^7Be ~~in 1970 seems to show the local influence of weather.~~ That winter was characterized by never-ending snowfall resulting in 4 m of snow in a neighbouring valley by the beginning of spring.

In Fig. 4 we show four examples of annual distributions of ^7Be at time intervals of ten years. The daily data are re-organized in time according growing ~~with sizespecific activity~~ as needed for calculating percentiles. In all four years the maximum number of measurement days is less than 365 or 366 days as reflected by the changing position of the rise to the highest values. the curves are rather smooth. For later years their slopes become steeper than at the beginning of the measurements in the 1970s. ~~Based on the above information on the stratospheric fraction of ^7Be we also mark the positions of 80 % and 90 % of ^7Be during the respective years, needed for the analysis described in Sects. 4 and 5.2.~~

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Figure 5 shows the series of annual percentiles for the entire period 1970 to 2006. The highest values, representing also the highest specific activities in single intrusions, change as a function of time. This behaviour is also seen for smaller values. Thus, it is reasonable to use percentiles as thresholds for the stratospheric origin of an air mass. ~~Scheel (2003; 2005) already replaced the 8 mBq m^{-3} threshold by the 85th percentile. We now use the 65th percentile approximately corresponding to 5.5 mBq m^{-3} right after 2000.~~

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Stratospheric air may arrive at the Zugspitze summit with much longer travel times than the 2 to 15 days found for detectable intrusions in our previous analyses. This component can no longer deliver a similarly clear signature in the data, with the exception of ^7Be (Fig. 2). For the longer travel times, in principle, the limited life time of the isotope must be taken into consideration, but this is a difficult task in absence of information on the respective transport path and time. In addition, we would, in principle, need to know the stratospheric fraction at the source, not at the receptor site.

3.4 Relative humidity

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The signature of air influenced by stratospheric influx also comprises a pronounced decrease of humidity during the respective episode. Stohl et al. (2000) have discussed the advantages and drawbacks of the parameters specific humidity and relative humidity. In conclusion, RH is preferred, and it may well serve for identifying stratospherically influenced air, at least for a fixed altitude. Indeed, Trickl et al. (2010), based on trajectory forecasts and backward trajectories, found a very high probability of identifying intrusion layers reaching the Zugspitze summit if, additionally, a minimum $\text{RH} < 30 \%$ was fulfilled in a given layer. However, in principle it is not an unambiguous stratospheric air tracer. A combination of a RH criterion with a ^7Be criterion is the best choice.

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Figure 6 shows monthly percentiles of the Zugspitze RH from 1978 to 2011. An obvious drying of the lower free troposphere during that period is indicated. However, also deeper subsidence in more recent years must be taken into consideration.

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The monthly minimum RH can be as low as 1 %, ~~mostly during the cold season~~, but is higher between 1985 and 1997. This suggests the presence of three phases with perhaps different sensors. As pointed out above DWD data

495 are used for the period 1970 to 1997. Indeed, according to DWD listings there was a change in sensors on 13
March 1986 (from a psychrometer to a MIRIAM-TDH system). This suggests that the ~~missing-higher~~ winter
minimum in 1985 must be accidental. The step does no longer exist for the 5th and higher percentiles ~~as we see in~~
~~the averaged percentiles in Fig. 7.~~

For the data filtering we correct the data during the period from 13 March 1986 and the end of 1997 by applying
500 the formula

$$RH_c = RH - \Delta_{RH} \left(\frac{RH - 100}{\Delta_{RH} - 100} \right)^8 \quad (1)$$

$\Delta_{RH} = 5.0$ (all in per cent). ~~The exponent was estimated by gradual rise from lower values in order to fulfil the~~
~~approximate disappearance of RH_c above 30 % RH.~~ This formula significantly modifies the RH values for low
RH, by -0.43% , -1.26% and -3.24% RH for 30 %, 20 % and 10 % RH, respectively. ~~It represents just an~~
505 ~~estimate, but should not lead to a significant enhancement of the uncertainty of the RH measurements of several~~
~~per cent.~~

In general, the Zugspitze relative humidity is dominated by high values, mostly 100 %. This amplifies the
detection capability for subsiding air masses that are associated with clear weather conditions. The explanation is
the frequent formation of orographic updraft and cloud formation at and above this isolated high mountain.

510 Despite this step it is obvious that there is a pronounced downward trend also at higher percentiles. Figure 7
shows 25-month averages for several percentiles, roughly representing a one-year time resolution (e.g., Trickl et
al., 2020b).

~~The humidity measurements at UFS by DWD show higher low-RH offsets. Between 2001 and late 2011 the RH~~
~~minima at UFS are about 7 % RH (not shown here). Afterwards, the monthly minima are 3 % RH. This suggests~~
515 ~~the use of a different type of humidity sensor, but we do not have clear information on an instrument change.~~
~~Since we do not have information on the local RH background at UFS we do not correct for the 3-%-RH offset.~~
~~However, we apply Eq. 1 until 31 July 2011 (Sect. 2.2.3) with $\Delta_{RH} = 4.45\%$ in order to shift the RH minima to~~
~~3 %.~~ In Figs. 8-7 and 9 we show the corresponding ~~smoothed RH percentiles-development~~ for UFS, ~~excluding~~
~~the years before 2009 where an unrealistic rise of the curves is seen that would cause confusion in the figure. The~~
520 ~~most important message is In Fig. 9, the negative trend in RH seen in Fig. 7, after some stable years from 2004~~
~~to 2008 continues until 2011. Afterwards, that afterwards no trend is observed at all in most curves.~~

~~Between 2001 and late 2011 the RH minima at UFS are about 7 % RH. This suggests the use of a different type~~
~~of humidity sensor, but we do not have clear information on an instrument change. We apply Eq. 1 until 31 July~~
525 ~~2011 (Sect. 2.2.3) with $\Delta_{RH} = 4.45\%$. This choice leads to similar minima as for the period after 2011. We do~~
~~not correct the RH values during the entire period to yield minima around 1 % for 2002 to 2020 or less because~~
~~this would be speculative. In any case, the correction for 2001 to 2011 did not result in a change in the data~~
~~filtering result.~~

~~Elevated minimum RH in an intrusion layer seems to indicate mixing of the stratospheric air with the~~
~~surrounding tropospheric air during the descent to the Alpine summits. The consequence would be a severe~~
530 ~~problem for the quantification of the stratospheric component of the Zugspitze ozone. Fortunately, this not the~~
~~case. In two-four of our earlier papers (Trickl et al., 2014; 2015; 2016; 2020a) we document that deep intrusions~~
~~are much drier than measured on average at the Zugspitze sites, for both the lidar and the routine radiosonde~~

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535 measurements (see Sect. 3.5). We are now astonished to see RH minima in the summit data of 1 to 2 % in many years, even for different sensors. This suggests that the We-hypothesized an instrumental wet bias of up to 10 % RH of the dew-point RH sensors mirror instrument used at the summit since 1998 under dry conditions does not exist or is much smaller. However, the elevated minimum RH values are not restricted to this instrument (Fig. 6) which calls for a different explanation.

540 These lowest minima mostly occur during the cold season and during night-time. As a matter of fact, the UFS DIAL revealed in general deviations from the measurements at the summit just during warm and convective conditions (Vogelmann and Trickl, 2008). We conclude that orographic transport takes place in a shallow surface layer (Fig. 5 of Carnuth and Trickl, 2000) that influences the humidity measurements at the summit station and UFS, but not in the lidar measurements that probe the humidity outside this surface layer. The Zugspitze summit could act like a chimney where directly rising slope winds are focussed at least slightly into a dry stratospheric layer, which could be the reason for the positive bias under warm conditions. Also evaporation

545 of moisture from the slopes and terraces around the stations could contribute to the elevated humidity minima. Not only shallow slope winds can influence the humidity. Also a daytime upvalley flow ("valley wind") can contribute that is lifted to altitudes about 1 km above the surrounding summits in the late morning hours. In 1991, lidar measurements revealed that around noon during the warm season the aerosol level at 3 km and higher suddenly rises due to orographic upward transport emerging from an upvalley air flow followed by backstreaming aloft during day-time (Carnuth et al., 2000; 2002; Yuan et al., 2018). The air stream in the upper elevations, returning from the mountains, is characterized by a delay of the arrival of the aerosol with respect to that in the valley. This effect was confirmed in more detail during a field campaign in the Swiss Mesoleina valley in 1996 (Furger et al., 2000; Carnuth and Trickl, 2000).

550 Apart from the build-up of the return flow a direct shallow layer directly creeps up to the summits and crests around a valley already in the early morning (Fig. 5 of Carnuth and Trickl, 2000). The Zugspitze summit could act like a chimney focussing directly rising air at least slightly into a dry stratospheric layer which could be the reason for the positive bias under warm conditions. In principle, the measurements at the summit station during periods of subsidence should be the least affected by air mass mixing caused by orographic effects, which is indicated by the lower minimum RH values in winter. We examined the typical occurrence of RH values $\leq 5\%$.

560 These cases predominantly occur during night-time. Local mixing of the dry stratospheric air masses with air streaming upward from the boundary layer is much more likely to influence the results at UFS which might explain the higher minimum values. Yuan et al, (2019) examined the diurnal cycles of CO₂ at three Zugspitze sites, UFS, a window in a tunnel above UFS and the summit station and found significant differences of orographic influence. Of course, the probability of an intrusion to overlap fully with the station is lower at UFS than at the summit due to the limited penetration of the dry layers towards the ground lower altitudes.

565 In summary we conclude that, in most cases, the orographic admixture of humid air at the summit masks the true very low humidity level in stratospheric layers is low to moderate. Thus, our analysis of STT should be rather realistic.

570 3.5 Lidar measurements

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Lidar measurements at IMK-IFU and UFS have not been made throughout the year and around the clock.

However, a large number of intrusion cases have been studied and allow us to draw important conclusions.

A good example for an intrusion case analysed with both the UFS water-vapour DIAL and the Zugspitze summit *in-situ* data is given in Figs. 10 and 11 of Trickl et al. (2016). The lidar figure shows the descent of the extremely dry layer exhibiting a stratospheric-type water-vapour minimum mixing ratio (about 0 to 50 ppm or RH << 1 %) across the Zugspitze summit, and the station time series verifies elevated ozone up to 73.3 ppb. The Zugspitze RH minimized at 7.2 %, i.e., substantially higher than suggested by the lidar measurements (see Sect. 3.3).

A complete downward passage of an intrusion layer across the summit station was verified with the two DIAL systems in many other measurement series. However, the lidar measurements also show examples for intrusions that do not descend to altitudes below 3000 m, mostly during the warm months. Sometimes these layers persist over several days at rather constant altitude, typically around that of the Zugspitze summit.

Intrusions do not necessarily lead to a pronounced rise in ozone (Trickl et al., 2014), especially during the cold season (Trickl et al., 2020a). The upper panel of Fig. 40-8 shows lidar measurements of ozone on 3 to 7 October 1997 at intervals of one hour. The intrusion structures below 4 km are not as clearly visible as in other colour-coded images presented by us. The small rise in ozone is verified in the lower panel of Fig. 40-8 by the corresponding Zugspitze time series that exhibits just four 60-ppb peaks residing on a 50-ppb background. On 4 October the overlap with the intrusion is limited, however resulting in some rise of ⁷Be. On the following two days ⁷Be is substantially higher, but the RH minima are just slightly below 30 % (see Sect. 4). It is important to mention that the RH minima in the Munich, Hohenpeißenberg and Stuttgart radiosonde data on these days are much lower and range between 5 and 15 %, sometimes at just slightly higher altitudes. Also on the other side, to the south-west, the Innsbruck radiosonde verifies much drier conditions. HYSPLIT backward trajectories initiated at the Zugspitze summit show long descents from high altitudes over more than 10 days, in part from Siberia. Mixing with surrounding tropospheric air is likely during this long travel of the layer and explains why the RH is not smaller than 5 %. Please, note that the two most pronounced RH dips in Fig. 40-8 occurred around noon when the orographic wind system maximizes. This could be the reason of the rather high minimum RH.

Again, we conclude from the lidar results that the intrusion air masses fully hitting the Zugspitze summit are much more stratospheric than suggested by the *in-situ* RH measurements. This was established from many years of comparing the *in-situ* humidity measurements with lidar and sonde profiles. However, a bias of unknown magnitude can be introduced by intrusions just partly overlapping with the summit, i.e., with the humidity minimum located above 3 km. Such a bias cannot be determined from the available data alone. However, these cases are more likely to occur in summer when less-just a few cases are registered per month.

4 Filtering criteria for quantifying STT at the Zugspitze sites

The re-analysis of the STT fraction in Zugspitze ozone is based on the findings of Trickl et al. (2010). The three filtering criteria of Trickl et al. (2010) are:

Criterion 1: The ⁷Be value corresponds to more than the 85th percentile with respect to all data in the respective year and RH < 60 %. *Criterion 1 was that yielding the result of Scheel obtained in 2005 (Trickl et al., 2020a).*

Criterion 2: RH < 60 %, and RH < 30 % for at least one of the half-hour averages within ±6 h. The second threshold is added to guarantee really dry conditions as expected for stratospheric air.

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Criterion 3: Same as Criterion 1, but with 5.5 mBq m^{-3} as the threshold for ^7Be .

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610 The application of ~~these criteria~~criteria 2 and 3 yields a rather reliable identification of stratospheric air layers, as verified by transport modelling (Trickl et al., 2010). Daily trajectory forecasts of intrusions were used (Zanis et al., 2003) that also include a coarse altitude information. The number of trajectory bundles forecasted to hit the Zugspitze summit were higher than the number of intrusions identified with data filtering. This could be due to the filtering criteria chosen, but also to uncertainties in the coarsely presented vertical positions of the trajectories in the case of missing full overlap of an intrusion with the summit. In the case of forecast gaps or descents over more than four days also HYSPLIT backward trajectories based on re-analysis data were used.

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615 ~~There are differences in the identification of intrusions. For example, Criterion 1 yielded just less than one half of the intrusion cases predicted by transport modelling during the period 2001 to 2005 and is, thus, no longer used. Criterion 1 was that yielding the result of Scheel obtained in 2005 (Trickl et al., 2020a). The application of Criteria 2 and 3 was significantly more successful. However, there were seasonal differences. Criterion 2 was less successful during the warm season, but yielded more cases in winter. In addition to enhanced orographically induced mixing there is sometimes a less complete overlap of intrusion layers with the summit station, verified in many cases by lidar measurement. This is an important issue since the edges of a layer is likely to contain more tropospheric air than the centre of the layer. If the full layer descends over the air inlet of the summit station the stratospheric contributions can be reasonably well approximated by the selection of the 60% RH threshold. However, descent of an intrusion layer is only obvious during the early period. Later on, even ascent is possible, e.g., by a rising boundary layer. In one case the lidar measurements revealed (May 2008) thermally driven ascent during daytime and decrease in ozone at the Zugspitze level and descent during the following night and the return of elevated ozone.~~

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620 ~~For the analysis presented in~~ this paper we exploit slightly modified Criteria 2 and 3 to refine the preliminary result of Scheel for 1978 to 2004 (Fig. 1 of Trickl et al., 2020a). In the re-analysis we replace the 5.5 mBq m^{-3} threshold by the 65th percentile of the annual data for 2001-2005 (Fig. 5). We replace the 60% RH threshold by 50 % since this eliminates many very thin RH dips. Furthermore, we ignore (or interpolate) strange data that exist for very short periods, if their occurrence does not exceed 2 h. Such data are, e.g., RH values near 100 % interrupting an intrusion air flow reaching the summit, which we tentatively ascribe to fog or clouds ascending from wet slopes. Finally, we search for ^7Be above the threshold within ± 12 h, and RH values below the 30 % threshold within ± 15 h, respectively. The additional requirement for ^7Be was introduced in order to identify intrusions periods beyond midnight.

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635 Unfortunately, there are no ^7Be measurements at UFS. Thus, data filtering for UFS is confined to the RH criterion. We compare the results for both Zugspitze sites during the period of simultaneous measurements for the RH criterion.

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640 The ^7Be data allow us to estimate the contribution of the indirect stratospheric ozone component, i.e., ozone that cannot be evaluated-identified by the data filtering. As explained in Sect. 3.2 we derive the contributions for assuming that 80 % or 90 % of the beryllium is produced in the stratosphere. The ^7Be specific activities t_{low} for these thresholds are determined by downward integrating the ^7Be percentile curves- for all years as those shown in Fig. 4, starting from the highest values. t_{low} is then used as a lower ^7Be boundary when summing up the specific activities outside direct intrusions (named Be_{indir}). We name t_{dir} the 65-% threshold for direct intrusions.

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Two sums are formed and added. The first one adds up all ^7Be values between t_{low} and t_{dir} . The second one contributes specific activities above 65 % if on a given day d all RH values RH_d exceed the 50-% threshold. This contribution turned out to be significant.

The conversion of the ^7Be sums related to indirect events into ozone is achieved by applying the evaluated monthly or annual averages of the $\text{O}_3/{}^7\text{Be}$ ratios for direct intrusions. This is justified since both species undergo the same mixing process. This All sums are carried out on the generally used half-hour time grid, over a given month or year in order to yield monthly and annual averages, respectively.

to allow for normalization. Just the sum of the values between the 65-% threshold t_{dir} (labelled by "threshold" in Fig. 4) chosen for the direct intrusions. In addition, we add the half hour values on days with exceedance of t_{dir} if on these days $\text{RH} > 50\%$. In this way we avoid doubly counting intrusions. In summary:

$$[O_{3,\text{indir}}] = \frac{\sum [O_{3,\text{dir}}]}{\sum [{}^7\text{Be}_{\text{dir}}]} \left(\sum_{[{}^7\text{Be}] < t_{\text{dir}}} [{}^7\text{Be}_{\text{indir}}] + \sum_{[{}^7\text{Be}] \geq t_{\text{dir}}, \text{RH}_d \geq 50} [{}^7\text{Be}_{\text{indir}}] \right) (n_{\text{tot}})^{-1} \quad (2)$$

The quantities in square brackets are mixing ratios or specific activities. n_{tot} is the number of half-hour bins with valid data in a given month or year, respectively. $\text{RH}_d \geq 50\%$ means all RH values on a given day must be greater or equal than 50 %, which avoids including half hours during a day on which already a direct intrusion was identified. The sums are carried out over both a single month and a single year, respectively, fulfilling the specified restrictions and. The conversion of the ^7Be sums related to indirect events into ozone is achieved by applying the evaluated monthly or annual averages of the $\text{O}_3/{}^7\text{Be}$ ratios for direct intrusions, for the sums over a single month or one year, respectively. This is justified since both species undergo the same mixing process.

5 Results of the data filtering

An important question is what has determined the growth of ozone due to stratospheric influence at the Zugspitze summit. It could be an increase of the number of intrusions per year, the growth of the average length of an intrusion or an increase of the ozone per intrusion, or a combination of all. We examined all three possibilities.

5.1 Intrusion count

In a first step we determined the monthly and annual average number of intrusions. In Fig. 4-9 we present the number of intrusions per month based on the ^7Be criterion (January 1970 to April 2006). We exclude short events of ≤ 2 h. A moderate rise of the intrusion rate of is seen. A linear least-squares fit of the data yields a moderate rise of the intrusion count of 0.0456 a^{-1} of the intrusion count (standard deviation 0.028 a^{-1}). Figure 4-10 displays the same for the RH criterion (slope: $0.0474 \text{ a}^{-1} \pm 0.020 \text{ a}^{-1}$), together with the regression line for the ^7Be criterion from Fig. 4-9. It is interesting that the slopes of the two regressions are almost equal which is explained that most intrusion cases are the same for both criteria, at least if the minimum RH stays below 30 %.

The elevated relative standard deviations are caused by the strong variability of the monthly averages that served as the input data of the least-squares fits. For the ^7Be criterion the calculated count grows from 3.57 (1970) to 5.21 (end of 2005), i.e., by 46 %. For the RH criterion the growth is 2.56 (1970) to 4.17 (2006) and 4.44 (2012) (by 63 % and 73 %, respectively).

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685 The red curves in Figs. 11-9 and 12-10 represent sliding ± 12 -month averages, which means a single-year temporal resolution following the definition in a VDI guideline (VDI, 1999; see Iarlori et al., 2015; Leblanc et al., 2016, and Trickl et al., 2020b for other definitions). The residual noise is explained by the fact that the arithmetic average is not a perfect frequency filter.

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As already shown for 2000 to 2004 by Trickl et al. (2010) there is a summer minimum and a winter maximum in the monthly intrusion count. This is verified now for the entire period of the Zugspitze measurements. In Fig. 13 the monthly counts for all years 1978 to 2006 (^{7}Be criterion) and 1978 to 2011 (RH criterion) are given. The unsmoothed values (crosses) exhibit a strong variability, caused by slight shifts of the maxima and minima over the very long period. It is important to consider that some of the monthly counts occur for multiple years.

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The coloured lines connect the averages of the monthly intrusion counts over all the years. The lower average counts for the RH criterion agrees with the result of Trickl et al. (2010): The RH criterion yields a lower summer minimum than the ^{7}Be criterion (Fig. 13). This could be due to the wet bias of the RH minimum in the warm season (Figs. 6 and 10). In addition, a less complete overlap of the dry layer with the summit due to a higher boundary layer must be taken into consideration.

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The seasonal cycle for the entire period. The overall result is influenced by an occasionally aperiodic behaviour of the monthly counts before 1995 and, thus, not shown here. For the ^{7}Be criterion the July value is influenced by exceptionally high counts between 9 and 12 in 1983, 1990 and 1992, which disappears if these three values are removed from averaging.

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Maxima and minima of the monthly counts (determined over all seasons) also do not indicate trends. For the ^{7}Be criterion we obtain an average of the maximum of 8.79 ± 1.65 and of the minimum of 0.96 ± 0.87 during the entire period (1978 to 2006). The minimum values are slightly lifted due to a rise to 2 to 3 between 2000 and 2004. The minima do not necessarily occur exclusively during the warm season during the early phase. For the RH criterion the average extrema are 8.00 ± 2.13 and 0.38 ± 0.54 , respectively, which conforms the absence of a trend.

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The average duration of intrusions seems to be almost free of trend, which is, however, masked by variations, in particular for the RH criterion. The average duration of the intrusions for the ^{7}Be criterion in a given year maximizes in winter ($25 \text{ h} \pm 5 \text{ h}$ around 1980 and $30 \text{ h} \pm 8 \text{ h}$ around 2005), and stays at $12 \text{ h} \pm 4 \text{ h}$ in summer. A winter maximum of the average duration with 40 to 60 h (^{7}Be criterion) or 45 h (RH criterion) occurred between 1990 and 1995. This maximum does not appear in the corresponding annual average.

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5.2 Ozone

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In Fig. 14-11 we show the monthly and annual averages of ozone in direct intrusions for the RH criterion and the ± 12 -month sliding arithmetic averages for both criteria. There is a pronounced positive trend of the annual peak STT ozone. The annual averages exhibit an almost periodic variation with a period of roughly seven years. The relative increase of average STT ozone from 1978 to 2011 exceeds that for the intrusion count (Sect. 5.1). This means that the average amount of ozone transported in individual intrusions also increased over the years.

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The seasonal cycle of the monthly averages is somewhat clearer in structure. This allows us to present in Fig. 15-12 the ozone averages in the direct intrusions for January – February and June – July. The winter maxima for the two filtering criteria do not differ too much. However, the summer minima for the ^{7}Be are higher by roughly 50

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% than those for the RH criterion due the wet bias for low RH. The equations for the four regression lines are (in ppb)

$-9.276_9(1.81) \times 10^2 + y \times 4.713_3(0.91) \times 10^{-1}$, January – February, ^7Be criterion

$-1.0826083(0.86) \times 10^2 + y \times 5.587_2(4.30) \times 10^{-2}$, June – July, ^7Be criterion

$-9.1827183(1.94) \times 10^2 + y \times 4.6679_668(0.97) \times 10^{-1}$, January – February, RH criterion

$-3.8579858(5.88) \times 10^1 + y \times 2.044_4(2.95) \times 10^{-2}$, June – July, RH criterion

y being the year and the numbers in brackets are the respective standard deviations. The strong outlier of the minima in 1983 can be understood by inspection of Fig. 12. In order to guide the eyes, we also fitted a third-order polynomial to the winter data for the RH criterion. The four parameters are

$$P_0 = 7.3446345 \times 10^6, P_1 = -1.1064 \times 10^4, P_2 = 5.555471, P_3 = -9.2976298 \times 10^{-4}$$

The relative standard deviations of the four parameters are as high as about 0.45 each. This reflects the strong year-to-year variability of the data.

It is obvious that the increase in STT ozone took mostly place during the cold season. This observation will be further discussed in Sect. 6. The new analysis for the second half of the 1990s exceeds, during the cold season, the values of the FLEXPART analysis for the years 1995 to 1999 presented by Trickl et al. (2010) in their Fig. 1. We tentatively ascribe this fact to the excessive mixing scheme in the model (Trickl et al., 2014).

Although high-accuracy ozone data do not exist before 1978 we attempted to estimate the situation back to 1970 by assuming a constant average ozone mixing ratio (Fig. 16-13). This assumption is justified by the results for the nearby Hohenpeißenberg (distance: about 41 km) sonde measurements for 1970 to 1977, evaluated by Claude et al. (2001) for 700 mbar (about 3000 m). Claude et al. (2001) publish an almost constant average mixing ratio which justifies our choice. However, the Hohenpeißenberg mixing ratio before 1978 is about 41 ppb. This value is higher than expected from the extrapolation of the Zugspitze measurements to earlier years, estimated as 36.25 ppb.

As mentioned in the introduction the measurements of Fabian and Pruchniewicz (1977) yield somewhat low ozone mixing ratios. In Fig. 16-13 we display the monthly mean values for 1970 to 1975 graphically reconstructed from Fig. 5 of their paper as crosses.

Figures 16-13 (monthly averages plus a few ± 12 month averages) and 17-14 (annual averages averaged values for all quantities) also show curves for the indirect stratospheric contribution calculated with Eq. 2, for assuming 80 % and 90 % of the ^7Be being produced in the stratosphere. The annual averages are listed in Table 1. The values for total STT ozone confirm rather well the analysis by Scheel in 2005 (Fig. 1 of Trickl et al., 2020a), considering that he assumed a stratospheric contribution of just 66.7%, i.e., the global average. The overall stratospheric contribution derived now is 12 or 14 ppb in the 1970s and 19 or 24 ppb around 2005, for the 80-% or 90-% threshold, respectively. The series for the direct intrusions in the 1970s nicely extends that for the years after 1978. The rise of ^7Be in the early 1970s is not reproduced by the STT ozone. The difference of the total ozone mixing ratio and the stratospheric contributions is an estimate of the tropospheric burden. Most importantly, the tropospheric contribution, calculated as the difference of the full annual average mixing ratio and the estimated total stratospheric contribution, does not exhibit a positive trend after 1990, the period of

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improving air quality. In any case, the ozone trend after 1990 is not negative as one could expect from the reduction in European emissions (see Introduction and Sect. 5.3).

Despite the good performance of the results for the direct intrusions before 1978, the analysis for the indirect stratospheric contributions required a slight adjustment. The analysis showed that the influence of the seasonal cycle cannot be neglected for estimating the indirect stratospheric contribution. We, thus, added an artificial sinusoidal seasonal cycle with amplitude 8 ppb (Fig. 4613). We ~~also had~~ realized that the “indirect” values for 1970 and 1971 were 2 to 3 ppb higher than those obtained from a sliding 12-month average for these two years. ~~We~~Therefore, we corrected for this bias and also modified the unrealistic O₃/Be calibration factor that did not agree with the (rather constant) factor for 1978 to 2006.

In order to extend the analysis beyond 2005 or 2011, respectively, we filtered the UFS values. No ⁷Be measurements have been performed at UFS. Here, we just apply the RH criterion. In Fig. 18-15 we present the results for direct intrusions for the years 2002 to 2020. We also include the smoothed 1978-2011 results for the summit. There is an obvious difference between the two stations between 2002 and ~~2011~~2008. ~~This difference is looks rather high with regard to~~ Because of the small altitude difference of only 0.3 km ~~this difference looks rather high, which suggests further discussion (Sect. 6). There is, at least, some similarity in seasonal cycle. However, the UFS results look somewhat unrealistic before 2006 and we speculate on a problem with the RH measurements during the early phase. We found that the RH percentiles are comparatively high during that period (Sect. 3.4) and conclude that there were problems with the RH measurements of DWD at UFS during the early phase. This could explain the low ozone mixing ratios for direct STT before 2009.~~

We multiply the UFS average by ~~1.35~~1.1 to improve the agreement between UFS and the summit between 2009 and 2011 (dark green curve in Fig. 15). It is obvious that the increase of the stratospheric influence on the Zugspitze ozone came to an end around 2003. The slightly negative trend in Fig. 1 during the first decade of the new millennium is confirmed, but comes to an end in 2010. Apart from oscillations the ozone from direct intrusions the contribution from direct STT stays rather constant between 2005 and 2020. ~~get some idea about the potential trend at the summit after 2011. This factor is a reasonable choice for the years 2006 to 2011. Especially before 2006 there is, still, a pronounced difference, perhaps due to issues with the starting humidity measurements at UFS during that period. However, the important message is that the strong positive trend in stratospheric influence since 1978 seems to diminish after 2010.~~

The monthly averages of the measurements at both summit and UFS are also displayed in Fig. 1815, together with the sliding ±12-month averages. The UFS ozone values are slightly lower than those obtained at the summit. As already evaluated by Scheel and Ries with monthly mean values determined an average difference from April 2002 to June 2008 the ozone at ZSF was on average of 0.82 ppb lower compared to Zugspitze summit (Fig.1 of Zellweger et al., 2011). The difference is almost outside the combined uncertainty level for both sides. It is reasonable to conclude that this difference is caused by a lower stratospheric influence at UFS. The slightly negative trend in Fig. 1 during the first decade of the new millennium is confirmed, but comes to an end in 2010. Later on, STT grows again.

In Fig. 19-16 we evaluate the amplitude of the seasonal cycle of the overall Zugspitze ozone. The 12-month averages were subtracted from the monthly averages. There is an obvious decrease of the amplitude of the seasonal cycle since the late 1980s. We applied linear least-squares fits to the annual maxima and minima which resulted in

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2.04667(0.63)×10² – y×9.7377738(3.16)×10⁻² (maxima, in ppb),

800 –2.23489235(0.27)×10² + y×1.0734(0.14)×10⁻¹ (minima, in ppb).

Again, y is the year and the number in brackets are the standard deviations. In order to obtain a reasonable result, we enhanced the *a-priori* error bars of a few obvious outliers in the input data (such as the dry summer of 2003). For the period 1988 to 2021 we obtain a relative amplitude decrease by 29 % and 35 %, respectively. This means a considerable reduction in air pollution at this near-background site.

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805 5.3 Carbon monoxide

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Trickl et al. (2014) show in their Fig. 17 the behaviour of the annual average of Zugspitze carbon monoxide for air inside and outside intrusion layers. The analysis was now repeated for the modified filtering criteria. Figure 20-17 shows the results for the RH criterion for 1990 to 2011, here for the average monthly contributions. In addition, we include the ±12-bin sliding averages for both criteria. The slightly positive trend obtained by Scheel (Trickl et al., 2014) for CO in direct intrusions is confirmed in the revised analysis. This becomes obvious if one connects the maxima or the minima (respectively) of the smoothed curves. Also the negative trend for the complementary data is confirmed. From 1990 to 2011 the averaged CO outside direct intrusions dropped from about 127 ppb to about 93 ppb. During the early 1990s the amplitude of the seasonal cycle was clearly higher than later, in agreement with the reduction of the European air pollution during that decade.

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815 We also analysed the UFS CO data in the same way. Since the CO data are preliminary for some years, we do not show the results here. For the time being, we slightly renormalized the UFS data with the summit CO. The decrease of the averaged corrected complementary mixing ratios (not fully tropospheric) intensifies after 2011. By the end of 2020 a roughly estimated 72 ppb were reached, i.e., 56 % of the highest value in 1990. This means a substantial improvement of the tropospheric air quality.

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820 By contrast, the monthly-mean CO attributed to direct intrusions stays rather constant after 2011, at about 17 ppb. Thus, the slight rise seen in the summit data from 1990 to ~~2011~~ about 2005 does not continue, similar to the behaviour found for ozone. As earlier (Trickl et al., 2014) we speculate on an Asian contribution in the tropopause region, fed by the frequent off-shore warm conveyor belts over the western Pacific (Stohl, 2001). This contribution could lead to ~~the a growth~~ of carbon monoxide (or at least prevent a strong decrease). In addition, the roles of biomass burning (e.g., Fromm et al., 2010) or air traffic must be considered.

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825 6 Discussion and Conclusions

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830 A quantification of the stratospheric contribution to tropospheric ozone continues to be a demanding task. Although modelling efforts have made significant progress (Archibald et al., 2020; and references therein) an approach based on observational data or a combination of both is desirable. However, long-term measurements of specific quantities that allow a determination of the influence of intrusions mixed with tropospheric air such as ⁷Be are limited to just a few stations. We present here the analysis for the Zugspitze summit in the Northern Alps where ⁷Be measurements were made since 1970.

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835 Despite substantial remaining uncertainties we can conclude that the contribution of STT to the ozone in the lower free troposphere above the Northern Alps is rather large. In 2005 the stratospheric contribution reached 40 % of overall ozone (Fig. 14). The direct portion, related to descent short enough to allow identification, exceeds

that in earlier work (Elbern et al, 1997; Stohl et al., 2000) ~~because of the modified filtering criteria described as indicated~~ in the analyses ~~by of~~ Trickl et al. (2010). We successfully estimated the indirect portion, not accessible to data filtering, from the ^7Be measurements. It seems that the total contribution of stratospheric ozone at the Zugspitze summit (~~including the indirect component~~) is a rather robust quantity whereas a higher uncertainty exists for the direct one. The total contribution just slightly exceeds that from the analysis of Scheel in 2005 (Trickl et al., 2020a), although we assume a larger stratospheric component of the isotope. ~~In our effort, we obtain a higher influence from direct intrusions and a smaller one from the indirect events without much change of the total fraction. In the earlier analysis there was less ozone from direct intrusions because of a high ^7Be threshold (85th percentile) and the indirect contribution was rather high. Now, we find a much higher direct, but a lower indirect component.~~

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~~Our measurements of water vapour allowed us to exclude mixing of the stratospheric layers with tropospheric air as a major source of uncertainty, despite~~The uncertainty remains considerable, since we do not know exactly the distribution of ozone and beryllium in the stratosphere of the source regions, their modification during the transport and the role of the radioactive decay of ^7Be . ~~^7Be It is believed to be attached to aerosols that can undergo scavenging during particularly long transport (Gerasopoulos et al., 2001; Zanis et al., 1999). From the ~~obtained~~ missing negative trend ~~obtained for~~ of the tropospheric ozone component ~~after 1990~~ we judge that the ~~obtained-derived~~ stratospheric ozone component is more likely a conservative estimate. ~~We do see a negative trend of the amplitude of the seasonal cycle (Fig. 16).~~~~

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We have been unable to assess the degree of overlap of the intrusion layer with the stations, ~~which means another source of uncertainty in a quantification of the tropospheric admixture in the stratospheric layer. This admixture prevails next to the edges of the layers.~~ Fortunately, incomplete overlap prevails in summer where just a few intrusion cases are found per month at the summit.

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Also the calibration of the indirect ozone component via ^7Be is a source of uncertainty. However, since the average ozone does not vary much this uncertainty is presumably not very high.

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The positive trend for ozone of stratospheric origin ~~diminishes~~ came to an end after 2003/4. ~~The exact year of the change is masked by oscillations. Apart from oscillations (that could explain the negative trend in Fig. 1 before 2010) it stayed slightly positive, in agreement with findings~~The ozone-sonde measurements at Uccle (Van Malderen et al., 2021) ~~where show a slightly positive STT trend was found even~~ until 2017. In other regions of the world also positive ozone trends have been observed (e.g., Cooper et al., 2020). It is interesting to see that the trend change (~~see also RH~~) follows the change in solar activity (Fig. 3) ~~with an approximate delay of one decade:~~

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Is there a related change in atmospheric dynamics?

The increase in stratospheric ozone observed at the Zugspitze summit predominantly occurs in winter. We found that neither the intrusion rate nor the duration of intrusions changed in a comparable manner during the long period of observation. Claude (2003) found an increase in lower-stratospheric ozone over the Hohenpeißenberg station of DWD (distance from the Zugspitze summit: 41 km) in winter, which could explain some of the observed increase if the same were the case over the Arctic source regions. The Hohenpeißenberg increase at 11 km altitude from 1967 to 2002 is of the order of 10 % per decade. However, if rising winter-time ozone above the tropopause were the sole reason: Why did also ^7Be rise?

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875 The intrusions emerge from the lowest edge of the stratosphere (Trickl et al., 2014; 2016). As a consequence, we
can also take into consideration that increasingly wider layers have separated from the range just above the
tropopause where the ozone mixing ratio steeply rises and a small increase in layer width can have an enormous
effect on the peak concentrations. Perhaps this reflects the growing atmospheric dynamics in the warming
climate. It will be interesting to see if this, with the solar activity reversing, ~~this will come to an end~~ is the reason
for the trend change in the new century ~~(see below)~~. A break-off of wider lower-stratospheric layers was
880 concluded for the warm season (Trickl et al., 2020a), but the penetration of stratospheric intrusions into the
lower free troposphere in summer is limited.▲

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The summer minimum of the monthly ozone averages due to STT is of the order of 2 ppb, the 2005 winter
contribution being roughly nine times higher. FLEXPART model analyses by A. Stohl for 1995 to 1999 show a
similar winter-summer contrast for downward transport times of about ten days and less (Trickl et al., 2010). The
885 contrast is less pronounced for the higher-lying Jungfrauoch station in Switzerland, indicating that the summer
minimum might be caused by a reduced penetration of the intrusions into the troposphere during the warm
season, in addition to the orographic effects discussed in Sect. 3.4. Looking at the free troposphere as a whole the
summer minimum disappears and a very high occurrence of intrusions was has been reported (e.g., Beekmann et
al., 1997; Dibb et al. 2003; Trickl et al., 2020a).▲

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890 The level of carbon monoxide in intrusions reflects the mixing ratio just above the tropopause. This level, as
concluded from the Zugspitze data, does not exhibit a major change, apart from perhaps a slight also increased
until 2004. Trickl et al. (2014) speculated on an Asian influence in the tropopause region, possibly fed by warm-
conveyor-belt activity over the western Pacific (Stohl, 2001). Just the tropospheric-complementary (mainly
tropospheric) CO decreases, even to roughly 56 % of the value in 1990 towards the end. The tropospheric ozone
895 component estimated in our analysis (Fig. 17) does not decrease in a similar way in the new century, which
confirms the idea of a strong still slightly rising stratospheric fraction.▲

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Certainly, improved modelling will be needed in addition to quantify STT. So far, Eulerian models have had
difficulties in reproducing the strong ozone rise at the Alpine sites (e.g., Parrish et al., 2014; Staehelin et al.,
2017). The calculated ozone rise reported by Parrish et al. (2014) and Staehelin et al. (2017) in these two
900 publications ends almost 20 years earlier than the observed one. In most commonly used Eulerian models the
spatial resolution is too low to reproduce deep STT (Roelofs et al., 2003; Trickl et al., 2010; Rastigejev et al.,
2010; Eastman and Jacob, 2017), and free-tropospheric mixing must be reduced (e.g., Trickl et al., 2014; Osman
et al., 2016). Due to the limited free-tropospheric mixing Lagrangian approaches look promising since they have
a better chance to capture thin layers.▲

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905 In any case, an extension of transport modelling to 20 days and more is desirable, implying high spatial and
temporal resolution. Our studies (e.g., Trickl et al., 2020a) have revealed that, with growing altitude, the
transport pattern of the intrusions affecting the free troposphere over the Northern Alps is increasingly
characterized by slow descent from Canada, Alaska and Siberia (Type 6 as defined by Trickl et al. (2010); Figs.
16 to 18 of Trickl et al., 2015), frequently ~~over~~ more than ten days. The trajectories sometimes may exhibit
910 horizontally wavelike transport paths, but mostly without strong vertical variation. This kind of long-range
descent, its underlying dynamics and its influence on the STT budget call for a meteorological explanation. It

would also be interesting to determine how much an extension of the transport calculations to at least fifteen days (as suggested by our analyses) would change the STT budget with respect to earlier work.

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915 The great advantage of the *in-situ* measurements is their continuous operation which excludes a fair-weather bias. In addition, for the Zugspitze summit information is available from the ⁷Be measurements. All this makes the data filtering a valuable approach. However, such a filtering effort must, in principle, also account for the source conditions: The atmosphere in the tropopause region was estimated to be a mixture of about 50% stratospheric and tropospheric air each (Shapiro, 1980; Vogel et al., 2011). The stratospheric portion of the descending air mass can vary significantly, also depending on the stratospheric residence time (Reiter et al., 920 1975). However, for our considerations we name an air mass stratospheric once it has resided in the stratosphere at least for a short period of time. All this calls for refined modelling efforts.

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The growth of stratospheric influence at the Zugspitze site and elsewhere indicates a drying of the free troposphere. This can be directly seen in the RH results in Figs. 7 and 9. More generally, Paltridge et al. (2009) 925 have determined a negative humidity trend in the global free troposphere over four decades from analysed sonde data (via NCEP re-analysis). The negative trend maximizes in the upper troposphere where we found also the maximum of STT (Trickl et al., 2020a). Based on our results we conclude that STT could contribute to this negative humidity trend. STT occurs in many regions, mostly in the latitudinal bands around the jet streams, but also elsewhere. ~~Is there~~ This suggest to speculate on a reaction of vertical exchange to the changing climate, with an obvious change after the solar emission maximum was passed (Fig. 3).?

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930 Tropospheric drying would be expected from condensation and precipitation. However, for Germany the German Weather Service (DWD) determined almost constant precipitation since at least 1950 (<https://www.dwd.de/DE/leistungen/zeitreihen/zeitreihen.html?nn=480164>, under the key words “Niederschlag” (precipitation) and “Jahr” (year)), with year-to-year variations of up to about ±25 %. Thus, the role of STT on the tropospheric drying until the beginning of the new century could be even rather important. The drying of the free 935 troposphere counteracts an expected positive feedback on radiative forcing by water vapour (e.g., Harries, 1997; Allan et al., 1999) and contradicts the expectations from climate modelling. However, as mentioned above, deep STT is likely to be missed by climate models to a major extent because of their coarse grids.

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7 Data availability

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940 The data used in this paper can be obtained on request from the authors (thomas@trickl.de; hannes.vogelmann@kit.de; cedric.couret@uba.de; ludwig.ries@gawstat.de). We follow the strict conventions in renowned international networks. The hourly Zugspitze and UFS ozone values are available in the World Data Center for Reactive Gases (WDCRG: <https://ebas.nilu.no/>) and the TOAR data base (Schultz et al., 2017). Most of the UFS CO data are stored by the World Data Center for Greenhouse Gases in Tokyo (WDCGG: <https://gaw.kishou.go.jp/>). Relative humidity data for both the summit and UFS are freely available from DWD 945 (see Sect. 2.2.3).

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8 Author statement

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TT interpreted the observations and prepared most of the manuscript, based on studies interrupted by the death of H. E. Scheel, and assisted by the co-authors. TT and HV carried out the lidar measurements. CC carried out

GAW measurements at UFS and provided the data for the most recent years, LR led the GAW activities of UBA at UFS until 2019 and contributed details on the GAW measurements there.

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9 Competing interests

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The authors declare that they have no conflict of interest.

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Table 1. Annual ozone averages for Zugspitze and UFS [ppb]; the asterisks denote total ozone values estimated from extrapolation.

Year	Direct	Indirect		Total	STT total		Tropospheric	
		(80 %)	(90 %)		(80 %)	(90 %)	(80 %)	(90 %)
1970	3.96	9.56	11.65	36.25*	13.52	15.61	22.73	20.64
1971	4.33	11.60	13.89	36.25*	15.94	18.23	20.31	18.02
1972	3.92	8.64	10.46	36.25*	12.56	14.38	23.69	21.87
1973	4.98	8.36	10.35	36.25*	13.34	15.33	22.91	20.92
1974	2.03	10.83	12.80	36.25*	12.86	14.83	23.39	21.42
1975	5.03	7.63	9.59	36.25*	12.66	14.62	23.59	21.63
1976	3.90	8.78	10.72	36.25*	12.68	14.61	23.57	21.64
1977	3.42	8.30	10.10	36.25*	11.72	13.51	24.53	22.74
1978	4.67	6.76	8.56	36.43	11.43	13.23	25.00	23.20
1979	3.19	9.19	11.15	37.31	12.37	14.33	24.93	22.97
1980	5.67	5.71	7.82	39.06	11.38	13.49	27.67	25.57
1981	4.23	8.24	10.27	42.39	12.47	14.50	29.92	27.89
1982	6.55	11.29	14.07	49.01	17.84	20.62	31.17	28.39
1983	7.37	10.65	13.31	46.29	18.02	20.67	28.27	25.62
1984	5.11	10.10	12.52	44.58	15.20	17.63	29.38	26.95
1985	4.28	11.74	14.24	44.12	16.01	18.52	28.11	25.60
1986	6.85	10.28	12.95	47.74	17.13	19.80	30.61	27.94
1987	4.97	10.93	13.52	47.21	15.90	18.49	31.32	28.72
1988	5.09	7.93	9.97	46.90	13.02	15.07	33.88	31.84
1989	8.19	9.60	12.43	48.75	17.79	20.62	30.95	28.13
1990	7.13	11.03	13.90	50.73	18.16	21.03	32.57	29.71
1991	8.14	9.75	12.48	48.26	17.89	20.62	30.38	27.64
1992	8.02	8.53	11.33	50.29	16.55	19.34	33.75	30.95
1993	6.81	10.15	12.94	48.93	16.96	19.75	31.97	29.18
1994	5.46	10.40	13.11	48.78	15.86	18.57	32.92	30.21
1995	5.90	12.03	14.82	51.53	17.93	20.72	33.61	30.81
1996	8.30	9.58	12.36	51.74	17.89	20.66	33.85	31.08
1997	8.62	10.24	13.10	50.49	18.86	21.72	31.63	28.77
1998	9.66	8.95	11.87	52.47	18.61	21.54	33.86	30.93
1999	8.31	9.80	12.93	51.60	18.12	21.24	33.49	30.36
2000	8.27	8.64	11.53	49.87	16.92	19.80	32.96	30.07
2001	8.07	9.52	12.33	51.09	17.60	20.41	33.49	30.68
2002	9.39	7.62	10.91	51.44	17.01	20.31	34.42	31.13
2003	13.16	10.19	13.52	54.66	23.35	26.68	31.31	27.98
2004	8.45	10.71	13.57	49.99	19.16	22.02	30.83	27.97
2005	9.00	10.28	13.21	49.93	19.29	22.22	30.65	27.72

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Figures:

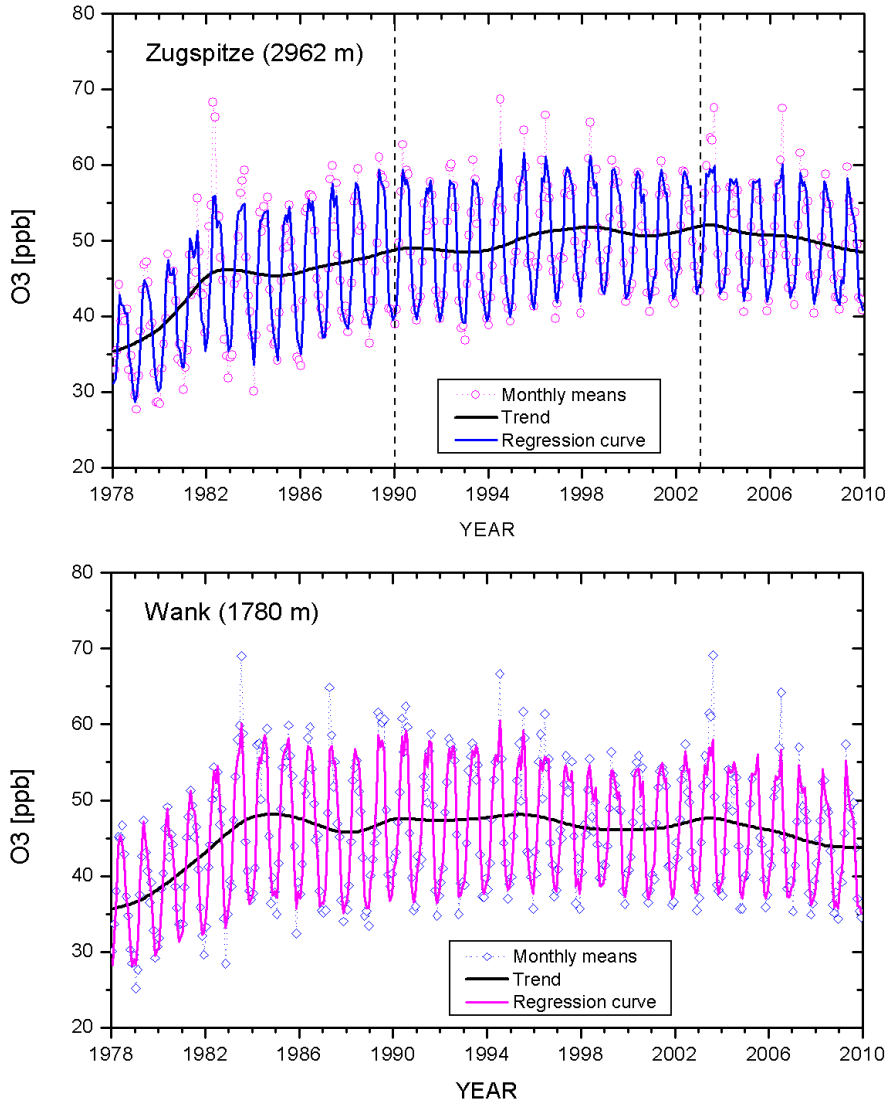


Fig. 1. Monthly mean ozone mixing ratios for the Zugspitze and Wank summits from 1978 to 2010; the black curves represent the deseasonalized values (adopted from H. E. Scheel; presented at several conferences).

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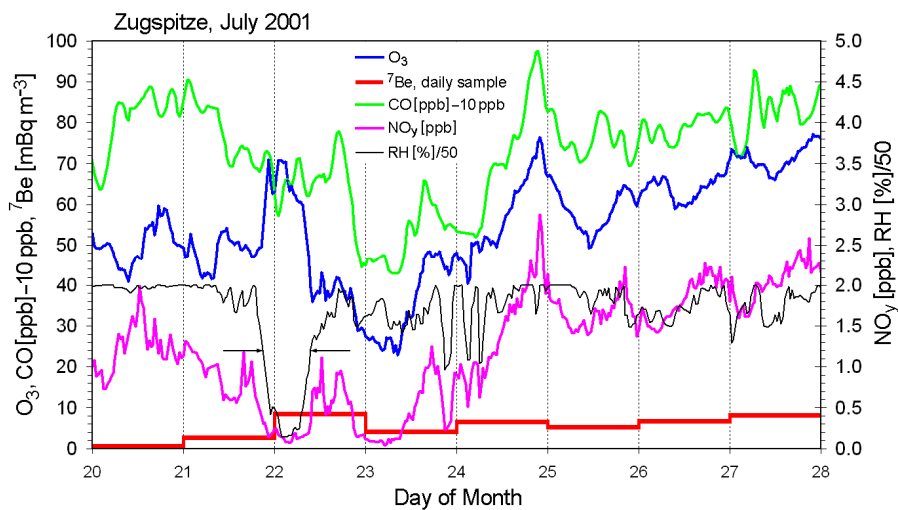


Fig. 2. Measurements of Ozone, ^7Be , CO, NO_y and RH at the Zugspitze summit between 20 and 27 July 2001; the 60%-RH level during an intrusion event on 21 and 22 July is marked by two horizontal black arrows.

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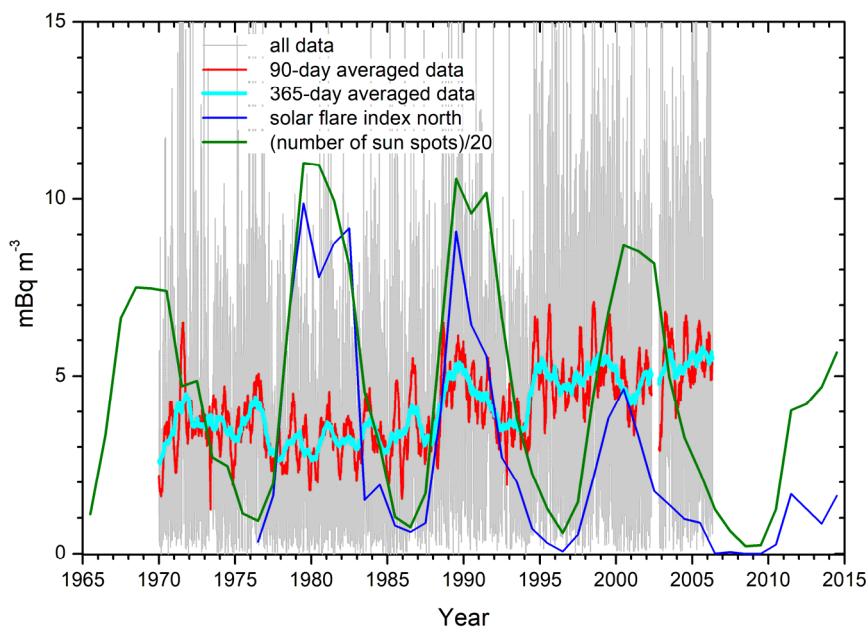
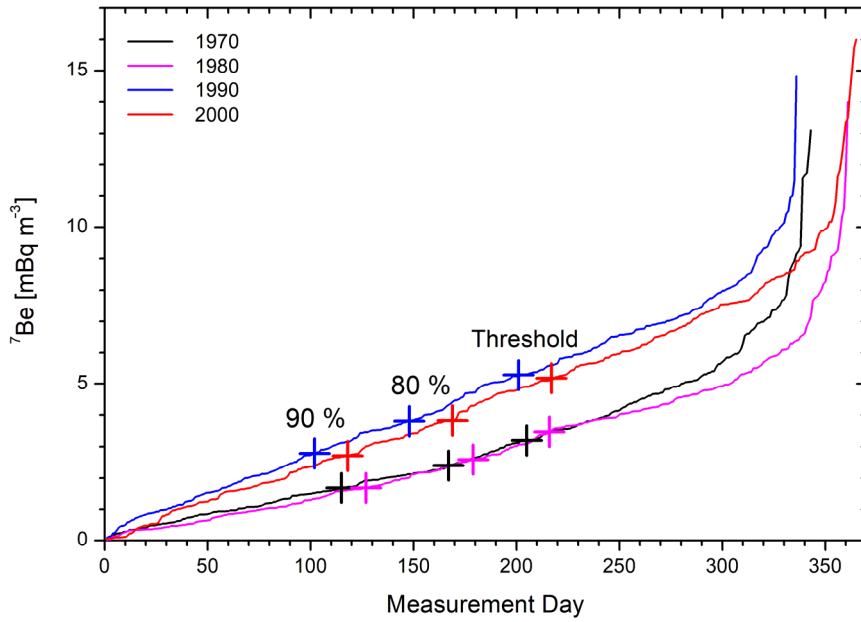


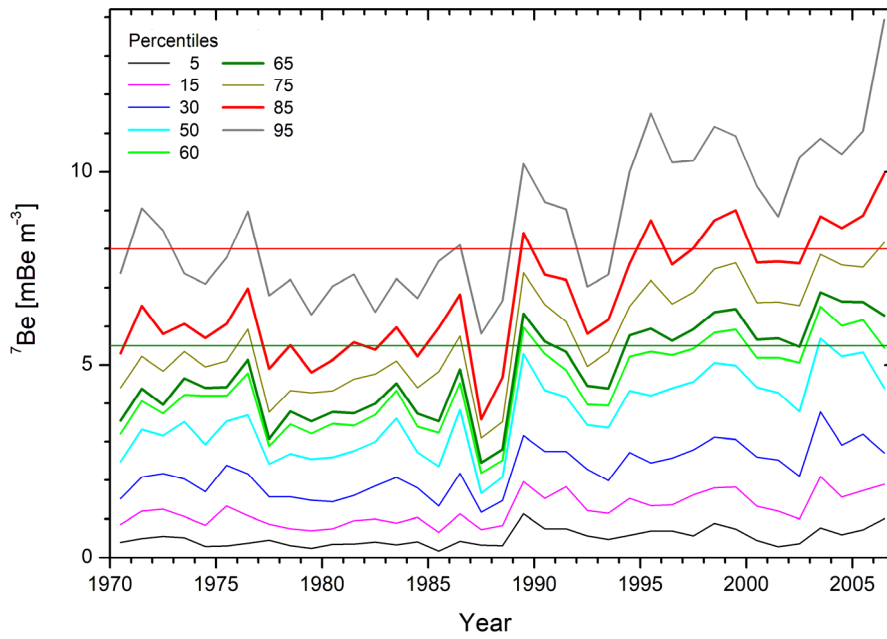
Fig. 3. Time series of ^7Be from 1979 to April 2006: the gray curve represents all 24-h measurements, the red and cyan sliding 90- and 365-day averages, respectively. In addition, we show time series of the Solar Flare Index for the northern hemisphere and the annual sun-spot count.

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1360 **Fig. 4.** Selected ${}^7\text{Be}$ annual series for 1970, 1980, 1990 and 2000 with the values sorted from low to high as needed for calculating percentiles; the crosses labelled mark the points where the downward integral reaches 80 % and 90 % of the full area. In addition, the 60th-65th percentile used as the threshold for the data filtering is marked.

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1365 **Fig. 5:** Annual percentiles of ⁷Be for the entire Zugspitze measurements series from 1970 to April 2006; the horizontal lines mark the 8.0 (red) and 5.5 (oliv) mBq m⁻³ thresholds explained in the text.

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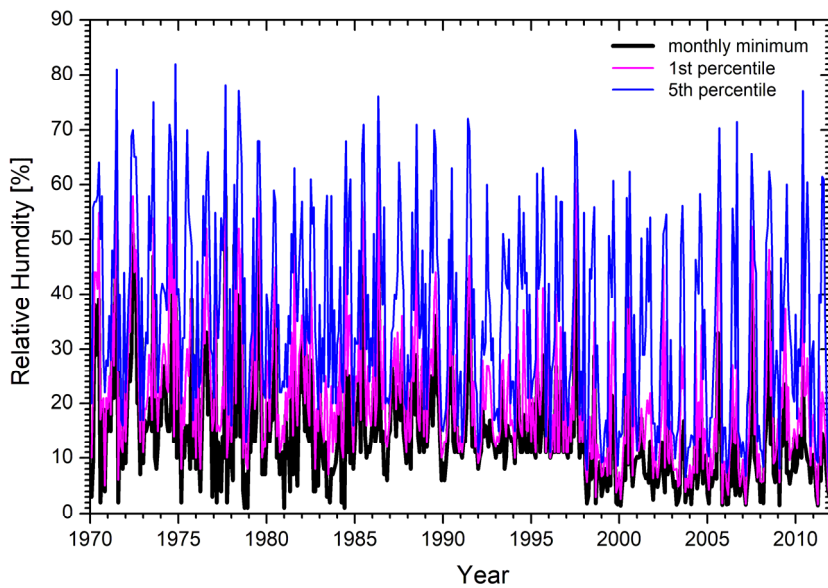
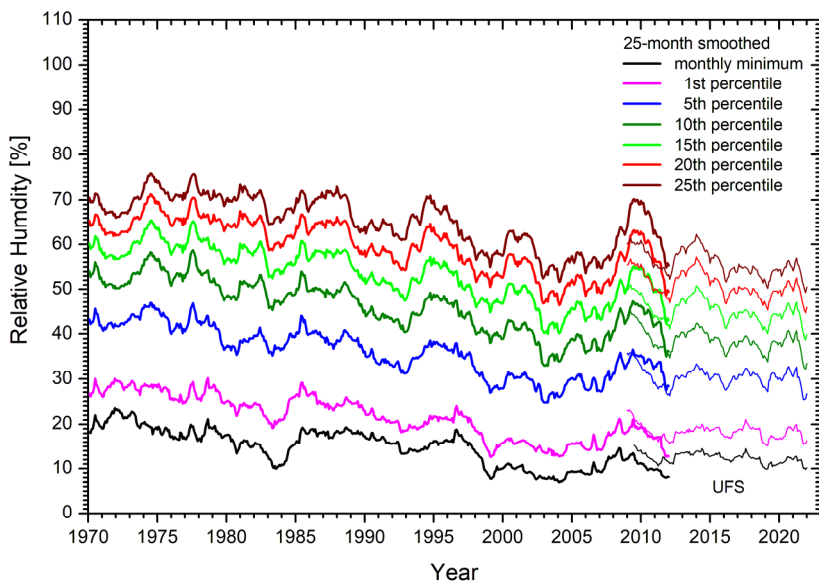


Fig. 6. Selected monthly percentiles of the Zugspitze relative humidity between 1970 and 2012.

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1370 Fig. 7. Selected monthly percentiles of the Zugspitze relative humidity between 1970 and 2012, arithmetically averaged over ± 12 months; for the lowest two curves a positive offset is seen between 1986 and 1997 that can be explained by the use of a different sensor type during that period. The thin lines for the years 2009 to 2021 represent RH measurements at UFS. The lowest two lines exhibit an offset with respect to those for the summit.

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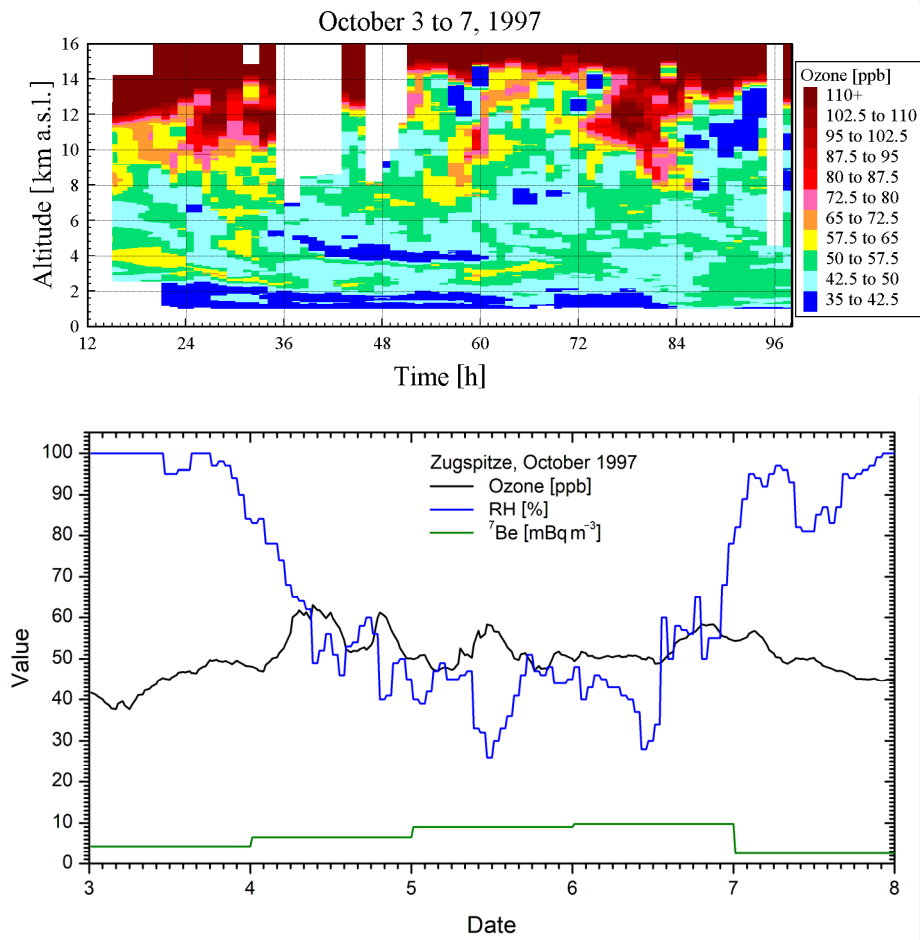
Fig. 8. Selected percentiles of the UFS relative humidity between 2002 and 2021.

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Fig. 9. Selected monthly percentiles of the UFS relative humidity between 2002 and 2021, arithmetically averaged over ± 12 months; for the lowest curves a positive offset is seen that can be explained by the use of a different sensor type during that period.

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1385 **Fig. 108.** Lidar (upper panel) and Zugspitze (lower panel) measurements on 3 to 7 October 1997; the tiny ozone four peaks in the Zugspitze ozone match the crossings of the elevated-ozone layers in the lidar measurements with 3000 m after 28 h, at 60 h and after 87 h. The strongly elevated ^7Be specific activity on 4 to 6 October suggests the presence of stratospheric air, despite the low ozone rise.

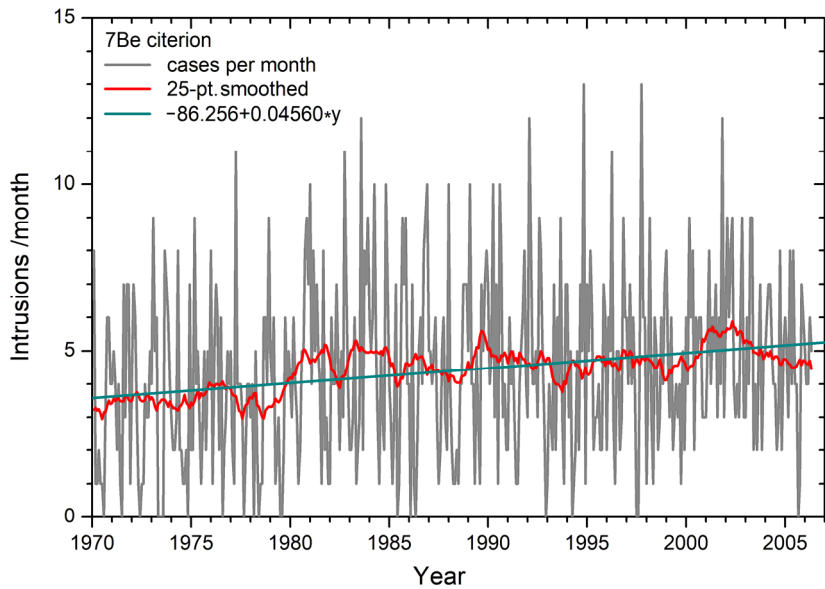
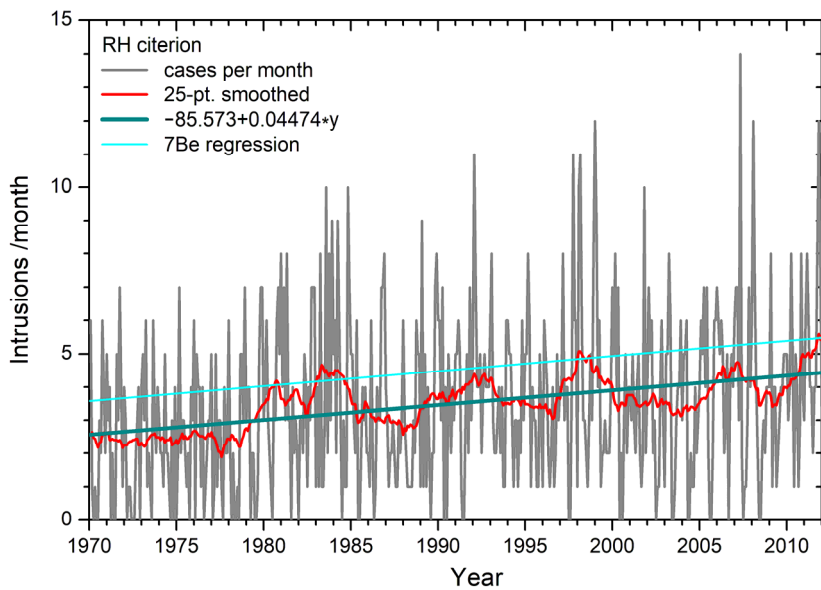


Fig. 914. Number of intrusions per month reaching the Zugspitze summit between 1978 and April 2006, based on the ^7Be criterion; short events (≤ 2 h) during which the criterion was fulfilled were discarded (see text).

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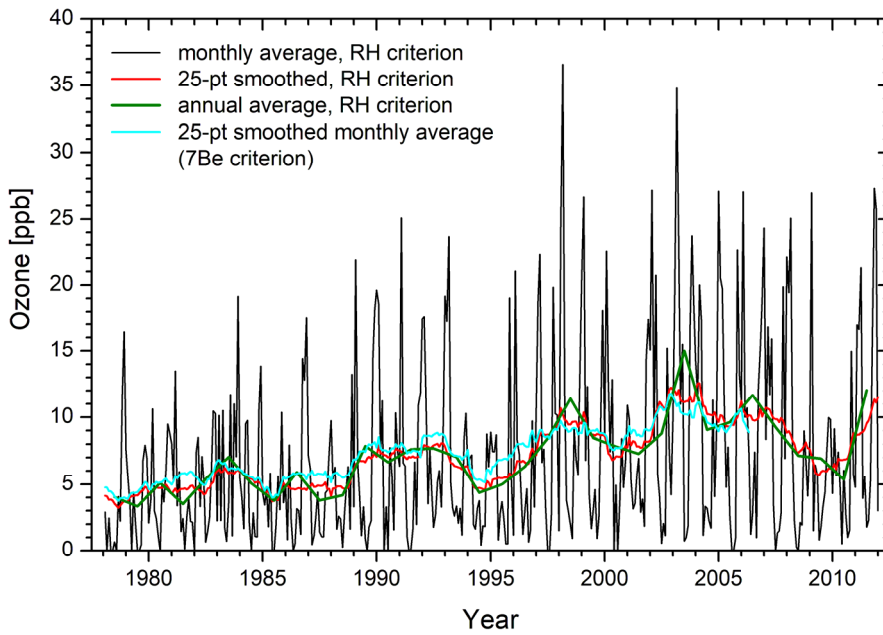
390 Fig. 1210. Number of intrusions per month reaching the Zugspitze summit between 1978 and April 2006, based on the ^7Be -RH criterion; short events (≤ 2 h) during which the criterion was fulfilled were discarded (see text).

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Fig. 13. Seasonal cycles of the monthly intrusion count for different periods; the crosses represent all monthly counts from 1978 to 2006 for the ⁷Be criterion. Many of the crosses represent more than a single month over the years. The coloured lines connect the averages of the monthly counts over all years. As already evaluated by Trickl et al. (2010) the summer minimum is clearly smaller for the RH criterion than for the ⁷Be criterion.

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Fig. 1411. Monthly (black) and annual (green) and averages annual averages of half-hour ozone in direct intrusions for the RH (black, red, dark green) criterion; in addition, running ±12 month averages for both the RH (red) and the ⁷Be criterion (1989 to 2011, cyan) criteria are given, 1978 to 2011; 25-point average means a running ±12-month arithmetic average.

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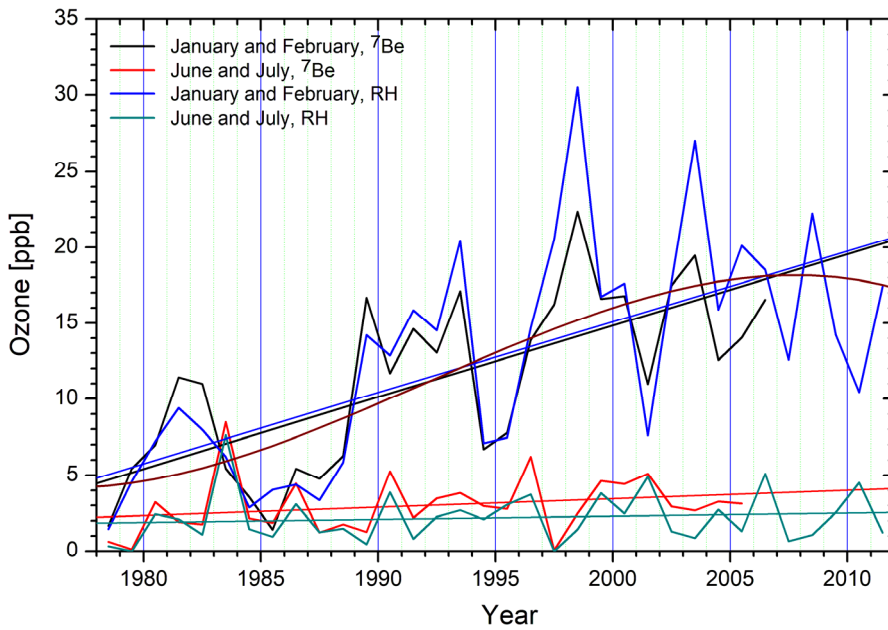


Fig. 1512. Monthly averaged half-hour ozone mixing ratios in intrusions for January-February and June-July for the two filtering criteria; the results for linear regressions are shown as straight lines in the same colour as the values obtained from the analyses as well as a curved line for a third-order polynomial fitted to the winter data for the RH criterion in dark red. The year scale was shifted by 0.5 years to centre the annual averages in the middle of a given year.

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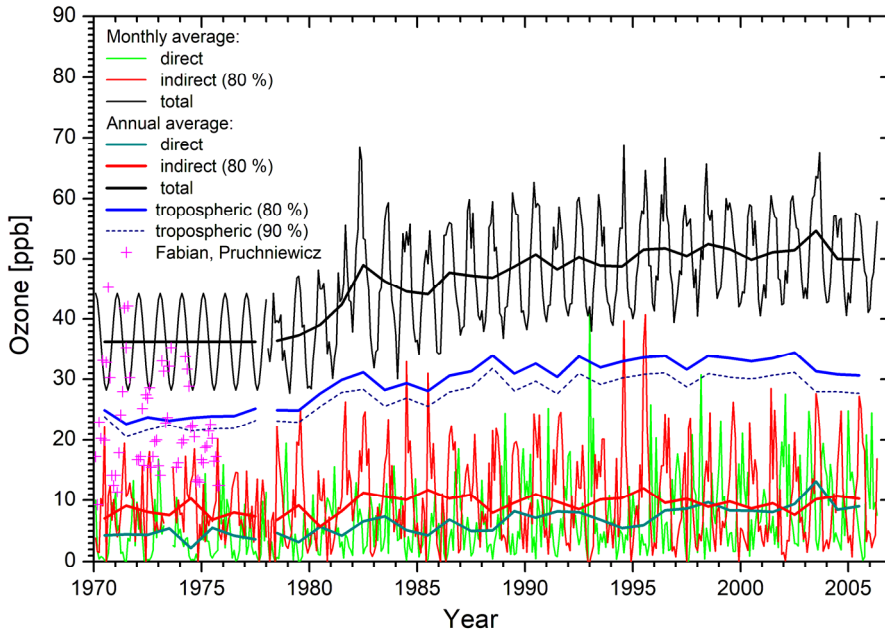


Fig. 1613. Monthly and annual averages of ozone mixing ratios obtained for the ^7Be criterion; for the period before 1978 we assume a constant mixing ratio of 36.25 ppb that matches 1978 annual ozone average. The different curves are explained in the text.

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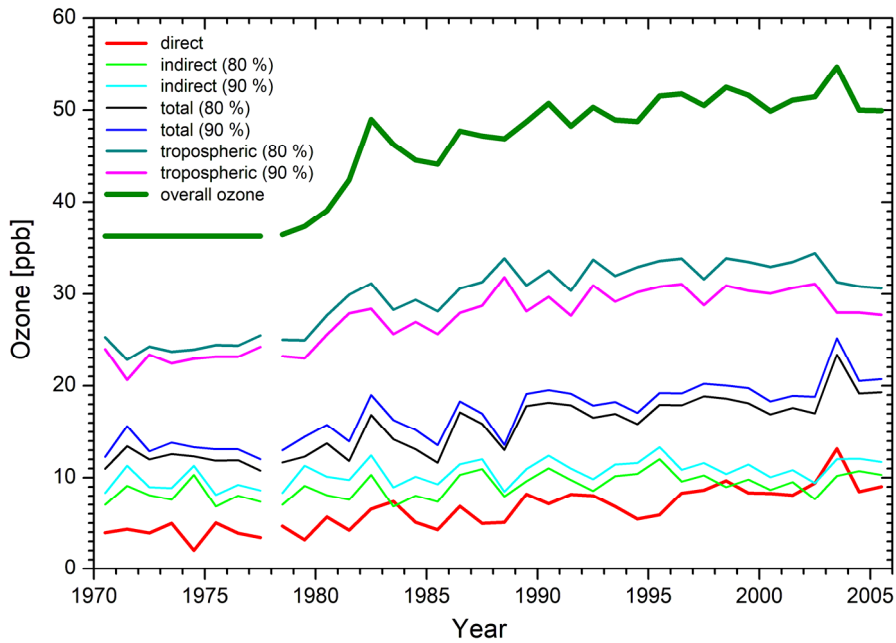
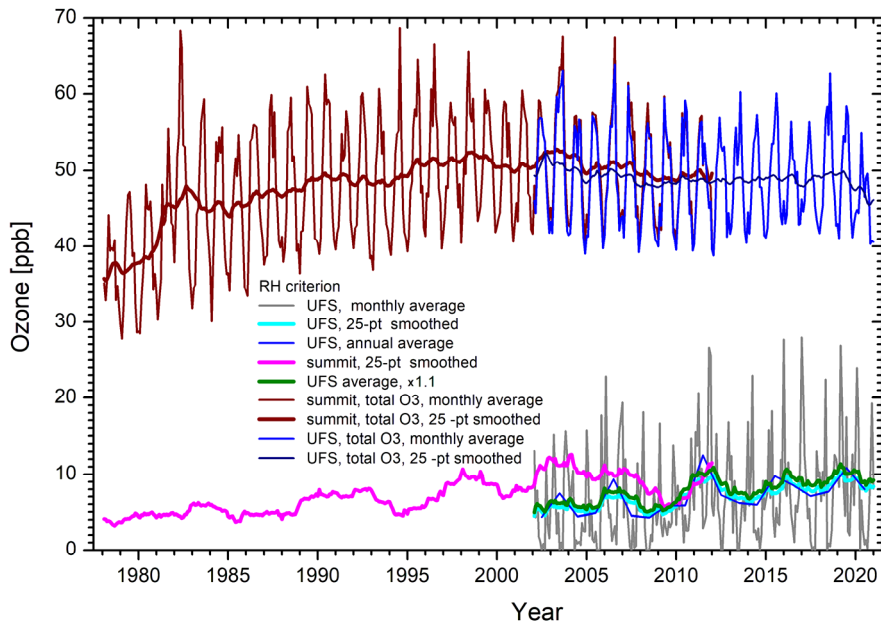


Fig. 1714. Annual averages of ozone mixing ratios in intrusions identified with the ^7Be criterion; for the period before 1978 we assume a constant annual-average mixing ratio of 36.25 ppb monthly modulated as shown in Fig. 16. 36.25 ppb approximately matches the 1978 annual ozone average. The different curves are explained in the text.

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Fig. 4815. Monthly averages of UFS half-hour ozone mixing ratio in direct intrusions (dark grey) and sliding ± 12 -month averages (cyan and magenta, respectively) for both UFS and summit, 1978 to 2020, all for the RH criterion; the smoothed UFS values are also shown multiplied by 1.35-1 (dark green) to obtain some idea about the overall STT trend to improve the agreement with the summit between 2009 and the end of 2011. In addition, we show the monthly ozone average for the summit (dark red) and UFS (blue), also smoothed over ± 12 months (25-pt.; dark red and dark blue, respectively).

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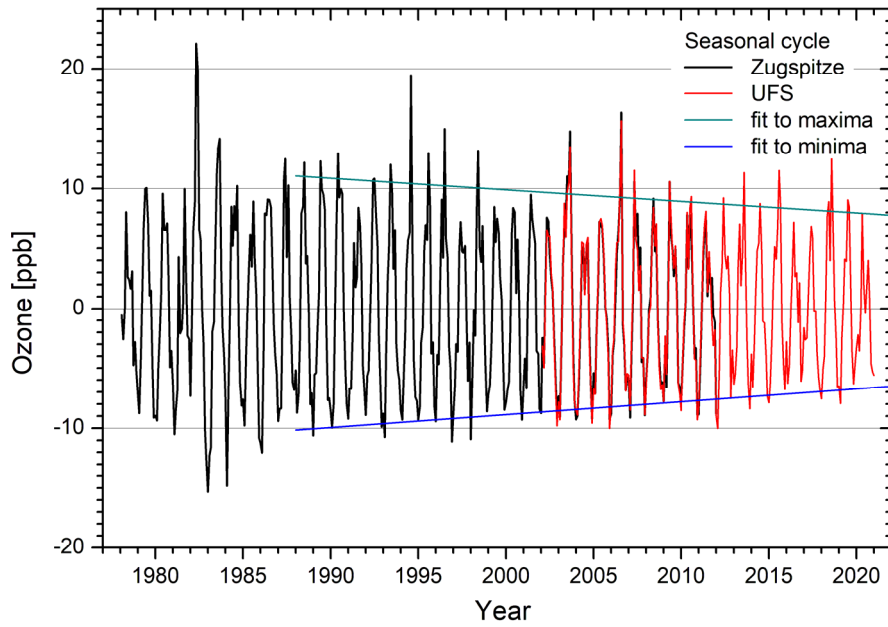
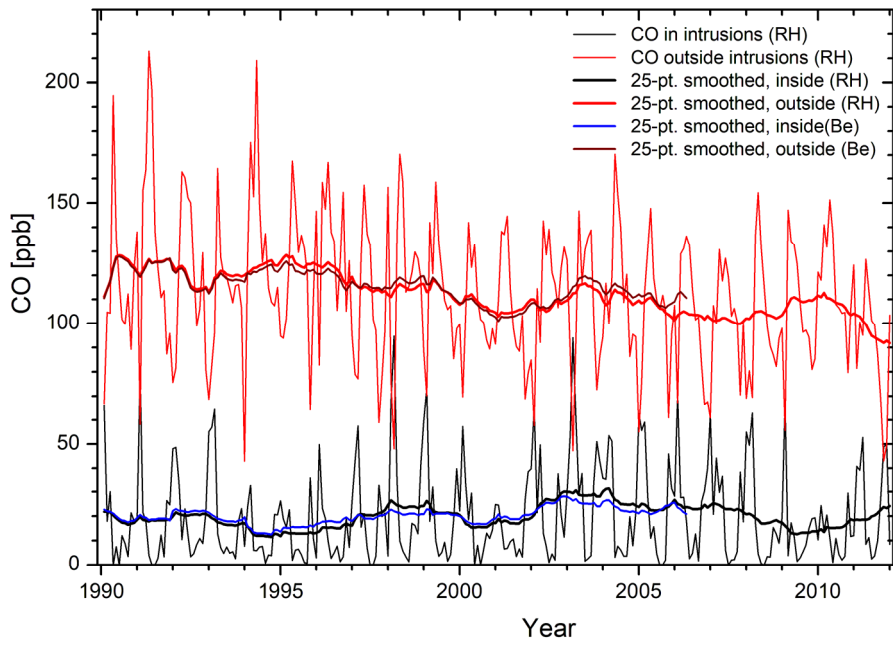


Fig. 1916. Seasonal cycles of the monthly averages of the ~~total~~ half-hour ozone ~~values~~ ~~mixing ratios~~ for Zugspitze and UFS; the ± 12 -month averages are subtracted. Linear least-squares fits to the seasonal maxima and minima are shown (1988 to 2020).

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430 **Fig. 17.** Averaged half-hour Zugspitze CO mixing ratios for the months from 1990 to 2011, based on filtering with the RH criterion; in addition, the curves for applying sliding ± 12 -month averages are shown for both the RH and ^7Be criteria. The curves for the smoothed data are unrealistically bent during the first months- of 1990 due to the local extrema.

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