



# Unambiguous identification of N-containing oxygenated organic molecules

2	using CI-Orbitrap in an eastern Chinese megacity
3	Yiqun Lu <sup>1,2</sup> , Yingge Ma <sup>1</sup> , Dan Dan Huang <sup>1</sup> , Shengrong Lou <sup>1</sup> , Sheng'ao Jing <sup>1</sup> , Yaqin Gao <sup>1</sup> , Hongli Wang <sup>1</sup> , Yanjun
4	Zhang³, Hui Chen⁴, Naiqiang Yan², Jianmin Chen⁴, Christian George³, Matthieu Riva³, Cheng Huang¹*
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6	<sup>1</sup> State Environmental Protection Key Laboratory of Formation and Prevention of Urban Air Pollution Complex,
7	Shanghai Academy of Environmental Sciences, Shanghai 200233, China;
8	<sup>2</sup> School of Environmental Science and Engineering, Shanghai Jiao Tong University, Shanghai 200240, China
9	<sup>3</sup> Univ. Lyon, Université Claude Bernard Lyon1, CNRS, IRCELYON, 69626 Villeurbanne, France;
10	$^4$ Shanghai Key Laboratory of Atmospheric Particle Pollution and Prevention (LAP $^3$ ), Department of Environmental
11	Science & Engineering, Jiangwan Campus, Fudan University, Shanghai 200438, China
12	Corresponding authors: Cheng Huang (huangc@saes.sh.cn)





13 ABSTRACT

Oxygenated organic molecules (OOMs) are dominated by the N-containing species in polluted urban 14 15 environment. As N-containing OOMs, especially those with more than one nitrogen atoms, prevailed in the high m/z range (m/z > 350Th), unambiguous identification of N-containing OOMs is highly desirable 16 17 for understanding of their formation processes, precursors and influencing factors. To achieve this, we 18 applied an ultra-high-resolution chemical ionization-orbitrap (CI-Orbitrap) in a field campaign and found 19 that OOMs contain one (1N-OOMs), two (2N-OOMs) and three (3N-OOMs) nitrogen atoms respectively 20 comprised 50%, 26% and 4% of total OOMs. More interestingly, the fraction of 2N-OOMs increased with 21 the increase of carbon number (nC) and were dominated by the ones derived from aliphatic precursors 22 (2N-OOM<sub>Ali</sub>, 64.2%), indicating the importance of multistep oxidation. Plausible precursors of 2N-OOMs 23 were aliphatics (2N-OOM<sub>Ali</sub>, 64.2%), aromatics (2N-OOM<sub>Aro</sub>, 16%), and monoterpenes (2N-OOM<sub>MT</sub>, 15.4%). The 2N-OOM<sub>Ali</sub> was the most abundant 2N-OOMs and its fraction even increased in the polluted 24 25 day with enhanced proportion of the ones with nC>10. While 2N-OOMAli and 2N-OOMAro were 26 dominated by daytime photochemical production, nighttime NO3-initiated oxidation dominated the formation of 2N-OOM<sub>MT</sub>. 2N-OOM<sub>Aro</sub> were of highest oxygenation level, followed by 2N-OOM<sub>MT</sub> and 27 2N-OOM<sub>Ali</sub>, which were affected by photochemistry and NO<sub>x</sub> concentrations. These results highlight the 28 29 significant formation of 2N-OOMs and the influencing factors, on their formation in polluted urban 30 environment, where various VOC precursors and atmospheric oxidants present.



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#### 1. Introduction

et al., 2009; Ehn et al., 2014; Hallquist et al., 2009; Jimenez et al., 2009). Volatile organic compounds (VOCs) and their oxidation products, i.e., OVOCs, are important precursors of SOA in the atmosphere (Atkinson and Arey, 2003; Bianchi et al., 2019; Ehn et al., 2014; Nie et al., 2022). The N-containing oxygenated organic molecules (OOMs) have been identified as the important products upon VOC oxidation. Especially at high NO<sub>x</sub> levels, these products become more dominant while the others (i.e., alcohols, hydroperoxides and RO<sub>2</sub> cross-reaction products) are likely suppressed (Bianchi et al., 2019; Zhao et al., 2018). The nitrogen atoms in OOM molecules are assumed to be mainly associated with nitrate group (-ONO<sub>2</sub>) formed from bi-molecular reaction between RO<sub>2</sub> radical and NO. Field measurements also observed that up to 54 % of molecules in organic aerosol (OA) contain nitrate functional groups under different atmosphere (Ditto et al., 2020; Lee et al., 2016; Lin et al., 2021; Rollins et al., 2013; Xu et al., 2015; Ye et al., 2021; Yu et al., 2019). The N-containing OOM molecules can be classified into 1N-OOMs, 2N-OOMs, and 3N-OOMs, according to the number of nitrate functional group in the molecule. The chemical composition of Ncontaining OOMs is determined by their precursors, formation pathways and NO<sub>x</sub> level in the atmosphere (Bianchi et al., 2019; Ehn et al., 2014; Nie et al., 2022; Pye et al., 2019; Riva, 2016; Yan et al., 2016). Recent observations in megacities of China indicated that 2N-OOMs accounted for significant fractions (about 30-33%) among total N-containing OOMs besides 1N-OOMs (66-70%) due to the high NO<sub>x</sub> concentrations in polluted urban environment (Nie et al., 2022; Yan et al., 2021). Some laboratory studies also proposed that the potential formation pathways of 2N-OOMs, such as the multiple-step OH oxidation (Garmash et al., 2020) or the NO<sub>3</sub>-initiated oxidation followed by NO termination (Kiendler-Scharr et al., 2016; Liebmann et al., 2019), suggesting the increased importance of multi-step bimolecular oxidation in the formation of 2N-OOMs. On the other hand, it was also found that the formation of 2N-OOMs showed the clear preference of specific precursors compared to 1N-OOMs, i.e., significantly higher branch ratio of 2N-OOMs from aliphatic hydrocarbons than those from aromatics (Nie et al., 2022), suggesting

Secondary organic aerosol (SOA) accounts for a significant fraction of particulate matters (Donahue



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considerable difference from 1N-OOMs in terms of formation pathway. Determining the formation pathway of N-containing OOM molecules, especially those containing two to three nitrogen atoms, in real atmosphere, is challenging. Identification of their chemical compositions in molecular level is the key for advancing our understanding in the precursor, formation and sources of N-containing OOMs in polluted atmosphere, where thousands of oxidation products exist and evolve constantly. Traditionally, a chemical ionization atmospheric pressure interface time-of-flight mass spectrometer (CI-APi-TOF) has been used to measure the gaseous OOMs (Berndt et al., 2016; Ehn et al., 2014; Jokinen et al., 2014; Rissanen et al., 2014). Using a CI-APi-TOF, increasing number of studies have been reporting the formation of OOMs through the oxidation of various VOC precursors in chamber or flow tube (Berndt et al., 2016, 2018; Ehn et al., 2014; Garmash et al., 2020; Jokinen et al., 2014, 2015; Rissanen et al., 2014; Wang et al., 2020; Zhao et al., 2018). While 2N-OOMs in real ambient almost exclusively located in high m/z (mass-to-charge) range (i.e., 300 - 500 Th), a CI-APi-TOF with highest mass resolution of 12,000 (m/\Delta m, in full width at half maximum), can hardly identify the molecular compositions of 2N-OOMs unambiguously. This is because low mass resolving power imposes significant uncertainties on separating overlapping peaks, which increases rapidly with increasing m/z and decreasing mass resolution. Taken the integer m/z of 342 as an example, multiple peaks overlap at this nominal mass, i.e., C<sub>7</sub>H<sub>8</sub>O<sub>10</sub>N<sub>2</sub>(NO<sub>3</sub>) (342.0057 Th),  $C_8H_{12}O_9N_2(NO_3)^-$  (342.0421 Th),  $C_9H_{16}O_8N_2(NO_3)^-$  (342.0785 Th),  $C_{10}H_{20}O_7N_2(NO_3)^-$ (342.1149 Th). The adjacent peaks are of mass differences (Δm) of 0.0364 and a good peak separation of these peaks (4 $\sigma$ ) requires mass resolutions of at least 16,000. Therefore, development and application of mass spectrometry techniques with extremely high performance in detection limit, time resolution, and mass resolution, are highly desirable. To achieve accurate identification of molecular formula from the extremely complex mass spectra, a CI (nitrate) inlet had also been coupled to an orbitrap mass spectrometer (CI-Orbitrap) to measure the OOMs in ultra-high mass resolution (m/ $\Delta$ m> 100,000) (Riva et al., 2019a; Zhang et al., 2022). The ultrahigh mass resolving power of CI-Orbitrap will undoubtedly provide significant improvements in molecular identification, separation, and quantification. Herein, we applied a CI-Orbitrap in a field







campaign for the measurements of OOMs, with a special focus on 2N-OOMs, in molecular level in urban Shanghai. The site represents a typical eastern Chinese megacity characterized by intense human activities, multiple anthropogenic emissions and high NO<sub>x</sub> concentrations. Based on the measurement results as well as our current knowledge on N-containing OOM formation, we classify the observed 2N-OOMs into different precursor groups and explore the potential influencing factors on their formation. Furthermore, supported by positive matrix factorization (PMF), sources and gas-phase oxidation processes for 2N-OOM formation in urban Shanghai were identified.

# 2. Ambient measurement and methodology

#### 2.1 Measurements

The field campaign was carried out from 31<sup>th</sup> October to 18<sup>th</sup> November, 2020 on the top-floor of an 8-story building in Shanghai Academy of Environmental Sciences (31°18′ N, 121°43′ E), which sits in a densely populated region surrounded by commercial properties and residential dwellings without significant industrial sources nearby. The site can represent a typical urban area of Shanghai affected by severe local emissions from vehicular traffic, commercial, and residential activities. Our campaign was carried out in autumn which represents a typical transition period from strong photochemistry in summer to intense regional transport in winter. At times, air masses transported from the neighboring provinces or even further from the northern China can also affect the air quality of the site.

The 2N-OOMs as well other OOMs molecules were measured in real time with a nitrate-Orbitrap. The operation of nitrate-Orbitrap has been detailed in previous studies as well as in one of our companion studies (Zhang et al., 2022), thus is only briefly described here. Ambient air was drawn into the ionization source through a 1m stainless-steel tube (3/4 inch). The reagent ion was produced by passing nitric acid in sheath flow (20L/min) into a PhotoIonizer (Model L9491, Hamamatsu, Japan) and was then introduced into a co-axial laminar flow reactor, in which the reagent ions interact with the air samples. The charged species were detected by an orbitrap mass analyzer with a mass resolution of about 140,000. Mass-dependent transmission calibrations was also performed using a depletion method (Heinritzi et al., 2016). Other ancillary measurements, including the PM<sub>2.5</sub> concentrations, trace gases (SO<sub>2</sub>, O<sub>3</sub> and NO<sub>x</sub>), volatile





organic compounds, as well as meteorological parameters (wind direction and speed, solar radiation, etc.)

were detailed in Supporting Information (SI-1). An overview of the measurement data, illustrating the air

quality as well as the meteorological conditions during the campaign, is provided in SI-2 and Figure S1.

## 2.2 Data analysis of nitrate CI-Orbitrap

The raw mass spectra were first extracted by Orbitool (Cai et al., 2020) and the molecular information was then achieved by applying a homemade toolkit based on the MATLAB software. The toolkit drew on the idea from "tofTools" package which is used for analyzing the mass spectral data obtained from the TOF analyzer, such as nitrate CI-API-TOF (Junninen et al., 2010). The concentrations of the detected species are then determined as follows:

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$$[X] = \frac{i[X^{-}]}{NO_{3}^{-} \cdot (HNO_{3})_{0-2}} \cdot C \quad (1)$$

where  $i[X^-]$  is the transmission-corrected signal intensity of ion X in unit of counts per second (cps), C represents the calibration factor. C is determined from the collision frequency of target species with the nitrate ions (cluster) during its residence in the charger, taking into account of the losses onto the walls of the reactor and the tube (Eq. 2):

$$C = C_{H2SO4} = \frac{1}{k_{ion} \times RT \times f_{inlet}} \quad (2)$$

where  $k_{ions}$  is the ion collision frequency in the range of  $(1.7 - 2.3) \times 10^{-9}$  cm<sup>3</sup> s<sup>-1</sup> (Ehn et al., 2014); RT is the residence time in the charger and  $f_{inlet}$  represents the fractions of target species that passed through the inlet.

Herein, we apply the C determined for sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) of  $3.4 \times 10^9$  molecules cm<sup>-3</sup> ncps<sup>-1</sup> to semi-quantify the concentrations of OOMs, which is widely used in previous studies. Among the low volatility vapors, it had been demonstrated that nitrate ions exhibit highest charging efficiency toward H<sub>2</sub>SO<sub>4</sub> (Ehn et al., 2014; Hyttinen et al., 2015, 2018; Riva et al., 2019b). The estimated concentrations of OOMs thus can be considered as the lower limits with an uncertainty of  $\pm 50\%$  according to error propagation. Positive matrix factorization (PMF) was also performed for the measured species using Source Finder (SoFi, v6.3) based on Igor and run by the multilinear engine (ME-2) as detailed in SI-S3 and Figure S3-S6 (Canonaco et al., 2013).



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#### 3. Results and discussion

#### 3.1 Chemical characteristic of OOMs

137 In total, we have identified 562 OOMs, which concentrated in the nC range of 5 to 10, taking up 84.6% of total OOMs. Possible precursors of C<sub>5-10</sub> OOMs include isoprene (C<sub>5</sub>), benzene/alkyl benzenes (C<sub>6-10</sub>), 138 aliphatic VOCs (C<sub>5-10</sub>) and monoterpene (C<sub>10</sub>) according to previous studies (Bianchi et al., 2019; Nie et 139 al., 2022). C<sub>≤4</sub> OOMs only took up a small fraction of 6.7% among total OOMs and were likely a result 140 141 of the decomposition from OOMs with large carbon numbers as suggested by one of our companion 142 studies. The rest 8.7% were C<sub>>10</sub> OOMs which accounted for a dominating fraction (70%, Figure S2) among the extremely low-volatility organic compounds (ELVOC,  $C^* < 3 \times 10^{-5}$  µg m<sup>-3</sup>) (Donahue et al., 143 2011, 2012; Schervish and Donahue, 2020), and potentially poses larger impacts on SOA formation owing 144 to their lower volatility. 145 We further classified the detected OOMs into four groups based on the number of nitrate groups they 146 147 possessed, including non-nitrogen (0N-) OOMs, 1N-OOMs, 2N-OOMs, and 3N-OOMs. Their average 148 fractional contributions to total OOM concentrations as well as the carbon number (nC) distributions are shown in Figure 1. We found 1N-OOMs dominated the total OOM concentration with an average fraction 149 of 50%, followed by 2N-OOMs (26%), demonstrating the dominance of N-containing OOMs among total 150 151 OOMs. The 3N-OOMs only took up a small fraction (4%) of total OOMs and the rest 20% was 0N-OOMs. 152 More interestingly, we found 1N-OOMs prevailed among the OOMs with  $nC \le 10$ , yet 2N-OOMs 153 dominated the  $C_{>10}$  OOMs (41.8-84.2%) and its fraction increased stepwise with the increase of nC (Figure 1b). This is likely because more carbon atoms in the molecular skeleton can potentially provide more active sites for multi-step oxidation, followed by NO termination. Our observation suggests the increased importance of multi-step bimolecular oxidation in the formation of 2N-OOMs with nC>10, which is also consistent with those reported in recent laboratory studies during the oxidation of aromatic and long chain alkanes with the presence of NO. Furthermore, these 2N-OOMs with nC>10 had an average molecular composition of C<sub>12.5</sub>H<sub>22.7</sub>O<sub>2.1</sub>(NO<sub>3</sub>)<sub>2</sub>. Assuming the nitrogen atoms are only associated with nitrate group (-ONO<sub>2</sub>), the mean double bond equivalent value (DBE) (Nie et al., 2022; Xu et al., 2021) was 1.15 on





the carbon skeleton, suggesting its origination from aliphatic compounds, such as alkanes, alkenes, etc.

162 (Gong et al., 2005; Mentel et al., 2015; Wang and Hildebrandt Ruiz, 2018).

We thus further classified the 2N-OOMs to their possible VOC precursors following a recently developed workflow based on the up-to-date understanding of VOC oxidation as well as PMF results (Nie et al., 2022), *i.e.*, aromatics (2N-OOM<sub>Aro</sub>), aliphatics (2N-OOM<sub>Ali</sub>), and monoterpene (2N-OOM<sub>MT</sub>). Note that we group isoprene 2N-OOMs (2N-OOM<sub>Iso</sub>) into 2N-OOM<sub>Ali</sub> as well because of the low concentration of isoprene in cold season. As a result, the average fractions of 2N-OOM<sub>Aro</sub>, 2N-OOM<sub>Ali</sub> and 2N-OOM<sub>MT</sub> among total 2N-OOMs were 16.0%, 64.2% and 15.3%, respectively (Figure 2), suggesting significant contribution of aliphatic compounds to 2N-OOMs formation. Taken together, the increased fraction of 2N-OOMs with the increase of nC and the dominant fraction of 2N-OOM<sub>Ali</sub> highlight the significant contribution of high-molecular-weight aliphatic precursors (*i.e.*, intermediate volatility or semi-volatile organic compounds, I/SVOCs) to high-molecular-weight 2N-OOM formation, which were potentially important SOA material. We thus focus our attention on the formation of 2N-OOMs in the following sections.

## 3.2 2N-OOM formation in PM episodes

To investigate the formation mechanisms and factors that may affect the 2N-OOM formation, one clean day (4<sup>th</sup>-5<sup>th</sup> November) and one polluted day (7<sup>th</sup>-8<sup>th</sup> November) based on the pollution levels, *i.e.*, PM<sub>2.5</sub> concentrations, were selected for further analysis. Since OOM formation is directly mediated by photochemistry or nighttime chemistry, the clean and polluted cases were thus split into one clean daytime case (CL<sub>day</sub>), one clean nighttime case (CL<sub>night</sub>), one polluted daytime case (PL<sub>day</sub>) and one polluted nighttime case (PL<sub>night</sub>). Detailed information on durations, pollution levels, meteorological conditions and 2N-OOM concentrations during these four cases were summarized in Table 1.

During the whole campaign, the concentrations of 2N-OOMs ranged from  $1.1 \times 10^6$  to  $42.0 \times 10^6$  molecule cm<sup>-3</sup> as shown in Figure 2. We found the concentrations of 2N-OOMs in the polluted cases were 1.7-2.7 times higher than those in clean cases (Table 1). Among the 2N-OOMs, 2N-OOM<sub>Ali</sub> occupied the largest fractions, which were even higher in polluted cases (66-66%) than those in clean cases (56-61%,





Figure 2). Especially for the 2N-OOM<sub>Ali</sub> with nC>10, its concentration in polluted cases increased by a factor of 2.3-4.8 compared to the clean cases (Figure 3). From PMF analysis, we also identified a factor characterized by a series of 2N-OOM<sub>Ali</sub> (*i.e.*, C<sub>n</sub>H<sub>2n-2</sub>O<sub>8</sub>N<sub>2</sub>, n=5-11) as the fingerprint molecules (Table S1). This factor tracks the PM<sub>2.5</sub> concentration well especially during PM episodes (Figure S7), likely due to the availability of adequate aliphatic precursors during pollution episode. Furthermore, 2N-OOM<sub>Ali</sub> with nC>10 presented both higher concentrations and fractions during daytime than nighttime cases (Figure 3), suggesting that the photochemical formation of 2N-OOM<sub>Ali</sub> prevailed compared to nighttime formation. To compare CL<sub>night</sub> and PL<sub>night</sub>, it was also found that the pollution case would lead enhanced importance of nighttime formation pathways of 2N-OOM<sub>Ali</sub> with nC>10.

We note that the fraction of 2N-OOM<sub>Ali</sub> increased during CL<sub>night</sub> primarily due to the more evident decrease of 2N-OOM<sub>Aro</sub> (Table 1), whose formation is dominated by photochemistry. On the other hand, the decrease of 2N-OOM<sub>Aro</sub> concentrations at PL<sub>night</sub> was not as obvious as those on CL<sub>night</sub>. Due to the significant increase of 2N-OOM<sub>Ali</sub> concentration, the fraction of 2N-OOM<sub>Aro</sub> decreased in pollution cases, but their absolute concentrations only had few changes in the daytime. 2N-OOM<sub>MT</sub> showed overall higher concentrations during nighttime than those in daytime, suggesting the importance of nighttime chemistry in 2N-OOM<sub>MT</sub> formation, which will be discussed in later sections.

To summarize, the formation of 2N-OOM<sub>Ali</sub> were significantly promoted by pollution levels, whereas the 2N-OOM<sub>Aro</sub> were predominantly affected by photochemical production, whose formation was less sensitive to pollution levels compared to 2N-OOM<sub>Ali</sub>. In contrast, the concentrations of 2N-OOM<sub>MT</sub> significantly influenced by pollution levels and seem not solely/almost depend on daytime/nighttime formation pathway. In addition, we note that both daytime photochemistry and nighttime chemistry had profound effects on 2N-OOMs formation under different pollution levels, presumably depending on availability of the precursors as well as the oxidants. We thus focus our attention on the formation of 2N-OOMs during daytime versus nighttime in the following sections.

## 3.3 Daytime vs. nighttime formation of 2N-OOMs

We thus investigate the effects of photochemistry and nighttime chemistry on the formation of





radical oxidation as well as reactions with ozone or other oxidants, e.g., halogen. Herein, we use solar 214 215 radiation as a proxy of photochemical reactivity, and the concentrations of NO<sub>3</sub> radical were estimated assuming that NO<sub>3</sub>, NO<sub>2</sub> and N<sub>2</sub>O<sub>5</sub> were under fast equilibration in troposphere (Brown and Stutz, 2012). 216 The correlation coefficients (Spearman type) between individual 2N-OOM molecules and solar radiation 217  $(R_{2N-OOMs-solar})$  or NO<sub>3</sub> radical  $(R_{2N-OOMs-NO3})$  derived from different precursors during the whole campaign 218 219 were shown in Figure 4a. 220 Both 2N-OOM<sub>Aro</sub> and 2N-OOM<sub>Ali</sub> showed stronger correlations with solar radiation over NO<sub>3</sub> 221 radicals, indicating their association with daytime photochemistry since benzene/alkyl benzenes and 222 aliphatic VOCs rapidly react with OH radicals compared to other oxidants, such as NO<sub>3</sub> radicals. This is also supported by the observation that both 2N-OOM<sub>Aro</sub> and 2N-OOM<sub>Ali</sub> peaked during noontime (12:00-223 14:00) as shown in Figure 4b. Similarly, the PMF analysis also distinguished two daytime factors. The 224 225 daytime factor-1 peaked at around 12:00-14:00 (Table S1) and highly correlated with solar radiation 226 (R=0.57). The fingerprint-molecules of daytime factor-1 are C<sub>n</sub>H<sub>2n-4</sub>O<sub>10</sub>N<sub>2</sub> (n=8-10) with average DBE values of 2 on the carbon skeleton, suggesting the dominance of 2N-OOMs likely formed from aromatic 227 precursors. Since each step of OH oxidation of aromatics followed by RO<sub>2</sub>+NO<sub>x</sub> termination would 228 229 increase the nH by one, this factor is likely dominated by 2N-OOMs formed from two steps of OH-230 initiated oxidation from alkylbenzenes given the carbon numbers ranged from 8 to 10. 231 The key fingerprint molecule of daytime factor-2 is C<sub>n</sub>H<sub>2n</sub>O<sub>8</sub>N<sub>2</sub> (n=4-5) (accounting for 30.8% in the 232 factor profile), followed by C<sub>n</sub>H<sub>2n-2</sub>O<sub>8</sub>N<sub>2</sub> (n=5-6) (accounting for 9.7% in the factor profile), which is likely a result of the decomposition from 2N-OOM<sub>Ali</sub> with large carbon numbers, according to their DBE 233 values of 0-1 on the carbon skeleton. This aliphatic factor presented even higher correlation with solar 234 radiation (R=0.65), peaking at around 12:00-14:00. Strong daytime peaks together with the good 235 correlations with irradiation suggest the dominance of photochemical oxidation in the formation of 2N-236 237 OOM<sub>Ali</sub>. For 2N-OOM<sub>Ali</sub>, although it showed strong daytime peak, weak nighttime peak was still observed. This indicates that although daytime formation of 2N-OOMAli prevails, their nighttime formation still 238

individual 2N-OOMs. While the former is dominated by OH radical oxidation, the latter involves NO<sub>3</sub>





fingerprint molecules are C<sub>5</sub>H<sub>8</sub>O<sub>9</sub>N<sub>2</sub> and C<sub>n</sub>H<sub>2n</sub>O<sub>7</sub>N<sub>2</sub> (n=5-8). C<sub>5</sub>H<sub>8</sub>O<sub>9</sub>N<sub>2</sub> was likely originated from 240 241 isoprene and C<sub>n</sub>H<sub>2n</sub>O<sub>7</sub>N<sub>2</sub> were likely from anthropogenic aliphatic precursors. Besides the nighttime formation of 2N-OOMAli, nighttime chemistry also dominated the formation 242 of 2N-OOM<sub>MT</sub>, which showed higher concentrations during the nighttime compared to daytime. This is 243 244 further supported by the stronger correlation between 2N-OOM<sub>MT</sub> and NO<sub>3</sub> radicals than solar radiation. 245 Formation of 2N-OOM<sub>MT</sub> is likely a result of NO<sub>3</sub> radical initiated oxidation. As shown in Figure 5, we 246 have identified a series of 2N-OOM<sub>MT</sub> molecules with molecular composition of C<sub>10</sub>H<sub>16</sub>O<sub>7,9,11</sub>N<sub>2</sub>, which 247 showed strong positive correlations with NO<sub>3</sub> radical. The occurrence of propagation reaction from RO<sub>2</sub> 248 to RO was critical to the formation of odd oxygen as proposed in previous chamber studies (Boyd et al., 2015; Claffin and Ziemann, 2018). Therefore, formation of C<sub>10</sub>H<sub>16</sub>O<sub>7,9,11</sub>N<sub>2</sub> likely started with the reaction 249 of monoterpene with NO<sub>3</sub> radicals forming a NO<sub>3</sub>-C<sub>10</sub>H<sub>16</sub> alkyl radical, followed by the formation of 250 251 organic peroxy radical (RO<sub>2</sub>) upon addition of O<sub>2</sub>. The RO<sub>2</sub> is then converted to an alkoxy radical (RO) 252 upon reaction with NO. The autoxidation process would then start and stepwise introduce O2 into the molecule, forming a series of more oxygenated RO<sub>2</sub> radicals, i.e., NO<sub>3</sub>-C<sub>10</sub>H<sub>16</sub>(O)(OO)<sub>n</sub>. The NO 253 termination reaction of these RO<sub>2</sub> radicals would finally result in ONs with chemical composition of NO<sub>3</sub>-254 255  $C_{10}H_{16}(O)(OO)_nO(NO)O$  (n=0, 1, 2). 256 On the other hand, the reaction rate between monoterpenes (i.e., alpha-pinene, beta-pinene and limonene) and NO<sub>3</sub> are about 60,000-140,000 times faster than that between monoterpenes and O<sub>3</sub> at 293K 257 258 (MCMv3.1), but the averaged nighttime concentrations of O<sub>3</sub> (22.8 ppb) was only about 18,000 times higher than that of NO<sub>3</sub> (1.3 ppt). Therefore, NO<sub>3</sub>-initiated oxidation process posed significant impacts on 259 2N-OOM<sub>MT</sub> formation during nighttime. The 2N-OOM<sub>MT</sub> resulted from NO<sub>3</sub> oxidation is also resolved as 260 a nighttime factor (nighttime factor-1) from PMF analysis, which tracked the NO<sub>3</sub> concentrations very 261 262 well (Figure S8) and peaked at around 19:00-23:00. Fingerprint molecule of nighttime factor-1 was mainly 263 including C<sub>10</sub>H<sub>16</sub>O<sub>9</sub>N<sub>2</sub> and C<sub>10</sub>H<sub>16</sub>O<sub>8</sub>N<sub>2</sub>, which is generated from NO<sub>3</sub>-initiated oxidation followed by NO 264 termination and this process will not change the nH of the parent monoterpene molecule.

existed. For example, we have obtained a nighttime factor from PMF analysis (nighttime factor-2), whose



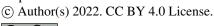


#### 3.4 Oxygenation level of 2N-OOMs

We then calculated the average effective oxygen number ( $nO_{eff} = nO - 2nN$ ) of 2N-OOMs, which is used to indicate the oxidation state of carbon by excluding the oxygen atoms bonded with nitrogen atoms. Note that calculation of  $nO_{eff}$  assumes that the nitrogen atoms are only associated with nitrate group (-ONO<sub>2</sub>), which is reasonable after excluding nitrophenol peaks. The average  $nO_{eff}$  of 2N-OOMs from different precursors in  $CL_{day}$ ,  $CL_{night}$ ,  $PL_{day}$  and  $PL_{night}$  were shown in Figure 6 and summarized in Table S2. 2N-OOM<sub>Aro</sub> had the highest  $nO_{eff}$  (4.8-5.6), followed by 2N-OOM<sub>MT</sub> (4.5-4.9) and 2N-OOM<sub>Ali</sub> were of lowest  $nO_{eff}$  (3.9-4.0). Difference in the oxygenation level of different types of OOMs can be attributed to the difference in oxidation mechanisms of the initiation reactions. For example, the OH-initiated oxidation of alkanes, aromatics and monoterpene/alkenes would form a  $C_xH_yO_2$  radical,  $C_xH_yO_5$  radical and  $C_xH_yO_3$  radical, respectively, incorporating different number of oxygen atoms into the original precursor molecules at the first step of oxidation (MCMv3.1). On the other hand, during the multiple-step oxidation in daytime, aromatics could still provide more C=C bonds than other precursors after the initial step which is plausibly capable to further react with OH, O<sub>3</sub> and others oxidants.

Furthermore, we also found that regardless of the pollution level, the nO<sub>eff</sub> was considerably higher in daytime cases than that in nighttime cases particularly for 2N-OOM<sub>Aro</sub> and 2N-OOM<sub>MT</sub>, suggesting a profound effects of photochemistry on the formation of highly oxygenated 2N-OOMs. This is likely because of the high NO<sub>x</sub> concentrations during the nighttime (Table 1), which could efficiently suppress the RO<sub>2</sub> radicals from autoxidation reactions forming overall less oxygenated OOM molecules. The effect of NO<sub>x</sub> on oxygenation levels would be discussed in a subsequent paragraph. The average nO<sub>eff</sub> of 2N-OOM<sub>Ali</sub> in four sub-periods were similar without significant daytime and nighttime difference, ranging from 3.9-4.0. This could be partly explained by the fact that reactions with oxidants such as OH and halogen radicals will similarly result in the addition of oxygen atoms by two for alkanes during the first step of oxidation. Thus, the oxygenation levels of 2N-OOM<sub>Ali</sub> were supposed to be insensitive to the oxidants in daytime or nighttime.

It is known that NO<sub>x</sub> is also critical in determining the fate of RO<sub>2</sub> radical during the oxidation,





forming RO radicals or organonitrates. Formation of RO radicals and organonitrates will have opposite effects on the oxidation state of the termination products since the former will significantly increase the oxygenation state of carbon through initiating propagation reactions before termination. We thus explore the effects of NO<sub>x</sub> on the average oxygenation levels of 2N-OOMs from different precursors during the whole campaign (Figure 7). Consistent with previous studies in polluted urban environment (Qiao et al., 2021; Yan et al., 2021), the detected 2N-OOMs were also of low oxygenation with nO<sub>eff</sub> of 3.9-5.4 (25-75% percentile) compared to those measured in forest or in laboratory studies (Berndt et al., 2016; Ehn et al., 2014; Jokinen et al., 2014; Rissanen et al., 2014; Yan et al., 2016). nO<sub>eff</sub> of 2N-OOM<sub>Aro</sub> and 2N-OOM<sub>MT</sub> increased with the decrease of NO<sub>x</sub> concentrations. This is likely due to the prevailing of NO<sub>x</sub> termination reactions because the maximum autoxidation rate constant of alkylbenzenes with long-chain substituents (e.g., isopropyl-benzene, ethyl-benzene) and monoterpene are comparable to the bimolecular reaction rate between RO<sub>2</sub> and NO (Bianchi et al., 2019). The oxygenation levels of 2N-OOM<sub>Ali</sub> appears to be insensitive to the pollution levels and NO<sub>x</sub> concentrations, which should be further investigated in future studies.

# 4 Conclusion

We report the unambiguous identification of 2N-OOMs as well as other OOMs using an ultra-high-resolution orbitrap coupled with a nitrate inlet. We found that OOMs distributed in a wide range of carbon numbers (nC = 4 - 16), among which the 2N-OOMs occupied a considerable fraction (26%) of the total observed OOMs. During the whole campaign, the 2N-OOM concentrations ranged from 1.1 × 10<sup>6</sup> to 42.0 × 10<sup>6</sup> molecule cm<sup>-3</sup> and concentrated in the nC range of 5 to 10 with high molecular weight (m/z > 350 Th), suggesting their low volatilities and thus potentially high contribution to local SOA formation. Aliphatic, aromatics, and monoterpenes were plausible precursors of 2N-OOMs with a fraction of 64.2%, 16% and 15.4%, respectively. The 2N-OOM<sub>Ali</sub> was found to be the most abundant 2N-OOMs and its fraction even increased in the polluted day with enhanced proportion of ones with nC>10, probably due to the high concentrations of aliphatic precursors accompanied with PM episodes. Significant

contribution of long-chain aliphatic compounds (nC > 10) to 2N-OOM formation is also supported by the





observation that 2N-OOM fraction increased with the increase of nC and they are of low DBE values, likely through multistep bimolecular oxidation. 2N-OOM<sub>Ali</sub> and 2N-OOM<sub>Aro</sub> mainly peaked in daytime and showed stronger correlations with solar radiation over NO<sub>3</sub> radicals, indicating their association with daytime photochemistry since benzene/alkyl benzenes and aliphatic hydrocarbons rapidly react with OH radicals compared with other oxidants, such as NO<sub>3</sub> radicals. In contrast, 2N-OOM<sub>MT</sub> prevailed during nighttime and also showed higher correlations with estimated NO<sub>3</sub> radical concentrations than solar radiation, suggesting that NO<sub>3</sub>-initiated oxidation of monoterpene dominated the formation of 2N-OOM<sub>MT</sub> during nighttime even under the polluted urban environment, *i.e.*, high NO<sub>x</sub>. In terms of oxygenation levels, we found that 2N-OOM<sub>Aro</sub> had highest averaged nO<sub>eff</sub> followed by 2N-OOM<sub>MT</sub>. Daytime photochemistry and low NO<sub>x</sub> concentrations had profound effects on the formation of more oxygenated 2N-OOMs. 2N-OOM<sub>Ali</sub> had the lowest nO<sub>eff</sub> and had negligible changes under different pollution levels. These results demonstrate the preference of 2N-OOM formation and the influencing factors in a Chinese megacity involving various VOC precursors (biogenic VOCs such as monoterpene and anthropogenic VOCs such as aromatics, aliphatic hydrocarbons) and various atmospheric oxidants (such as OH radical and NO<sub>3</sub> radicals), and highlight the influence of PM episode.

## Code/Data availability

Data presented in this paper are available upon request to the corresponding author.

#### **Author contributions**

CH designed this study. YL, YM, DDH, SL, SJ, and YG conducted the field campaign. YL analyzed data with contributions from CH and all the other co-authors. YL wrote the manuscript with contributions from all the other co-authors.

## **Competing interests**

The authors declare that they have no conflict of interest.

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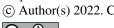


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 $\mathrm{CL}_{\mathrm{day}}$ Case Nov. 4th 23:00 - Nov. 5th 01:00 Nov. 4th 12:00 - 14:00 Time  $(\mu g \; m^{\text{-}3})$  $PM_{2.5}$ 9.5 7.5  $(W m^{-2})$ Solar 2.4 (°C)  $\bar{T}$ 13.0 18.9 64.1 35.2 % RH $[o_3]$ (ppb) 8.0 (ppb) [*NO*] 2.9 3.2 40.5 8.2 (ppt) 0.2 0.1  $[2N-OOM_{Aro}]$  $(\times 10^6 \text{ cm}^{-3})$ 

Nov. 7th 23:00 - Nov. 8th 01:00

Nov. 7th 12:00 - 14:00

44.0

384.5

30.5

73.9

2.2

0.3

6.4

1.3

6.0

2.3

9.8

2.3

20.8

6.3

 $[2N - OOM_{All}]$ 

 $[2N - OOM_{MT}]$ 

 $[2N - OOM_{Total}]$ 

 $(\times 10^6 \text{ cm}^{-3})$ 

 $(\times 10^6 \text{ cm}^{-3})$ 

 $(\times 10^6 \text{ cm}^{-3})$ 

2.1

26.5

36.2

Table 1 Summary of the four cases including the meteorological conditions and concentrations of trace gases and 2N-OOMs





### **Figure Captions**

**Figure 1.** (a) Average mass spectrum of the detected OOMs during the whole campaign. The pie chart shows the fractions of OOMs with different number of nitrogen and carbon atoms; (b) The fractions of 0N-OOMs, 1N-OOMs, 2N-OOMs and 3N-OOMs among total OOMs as a function of carbon number (nC).

**Figure 2.** The timeseries of 2N-OOMs originated from different precursors. Four sub-periods were selected to further investigate the fractional distribution of different type of OOM molecules as shown in the pie chart, including a clean daytime case (12:00 to 14:00 on November 4<sup>th</sup>, PM<sub>2.5</sub>=7.5 μg m<sup>-3</sup>, CL<sub>day</sub>), a clean nighttime case (23:00 on November 4<sup>th</sup> to 01:00 on November 5<sup>th</sup>, PM<sub>2.5</sub>=9.5 μg m<sup>-3</sup>, CL<sub>night</sub>), a daytime case in a PM episode (12:00 to 14:00 on November 7<sup>th</sup>, PM<sub>2.5</sub>=44.0 μg m<sup>-3</sup>, PL<sub>day</sub>) and a nighttime case in a PM episode (23:00 on November 7<sup>th</sup> to 01:00 on November 8<sup>th</sup>, PM<sub>2.5</sub>=60.5 μg m<sup>-3</sup>, PL<sub>night</sub>). The sizes of pie charts are scaled to the total concentrations of 2N-OOMs.

Figure 3. The fractions of 2N-OOM<sub>Ali</sub> with different carbon numbers in the four cases.

**Figure 4.** (a) Statistical distribution of the correlation coefficients (Spearman type) between 2N-OOMs and solar radiation ( $R_{2N-OOMs - solar}$ ) in red and the correlation coefficients between 2N-OOMs and [NO<sub>3</sub>] ( $R_{2N-OOMs - NO3}$ ) in blue for 2N-OOMs from different precursors. The horizontal lines are the median values, boxes denote the 25th- and 75th- percentile values, and whiskers represent the 10th- and 90th- percentile values. (b) The diel patterns of 2N-OOMs from different precursors.

**Figure 5.** Scatter plot of  $R_{2N-OOMs-NO3}$  against  $R_{2N-OOMs-solar}$  for specific 2N-OOM species.

**Figure 6.** The nO<sub>eff</sub> of 2N-OOMs derived from different precursors in the four cases, the error bars represent the standard deviations.

**Figure 7.** Effective oxygen number (nO<sub>eff</sub>) of 2N-OOMs against NO<sub>x</sub> concentration, the lines represent the median value while the shadows denote the 25th- and 75th- percentile values.





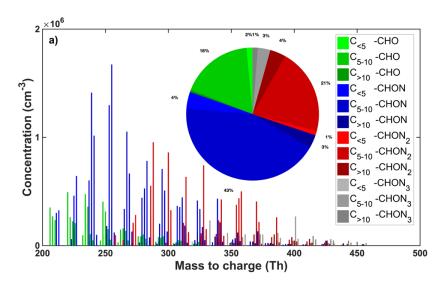


Figure 1a

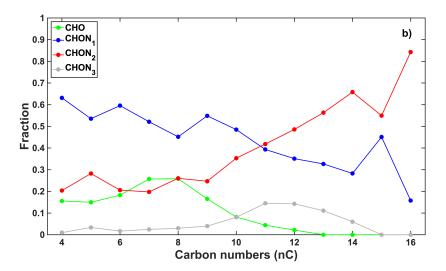


Figure 1b





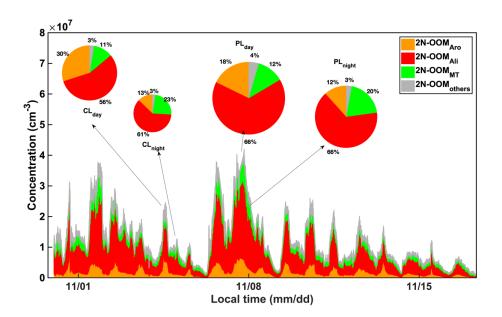


Figure 2

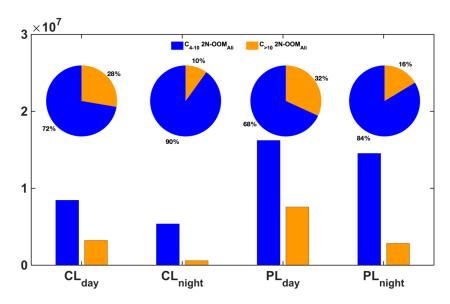


Figure 3





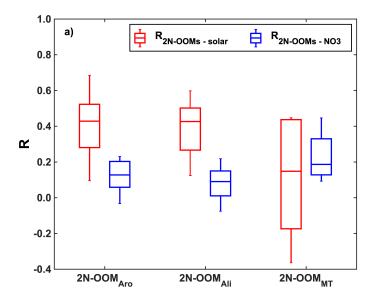


Figure 4a

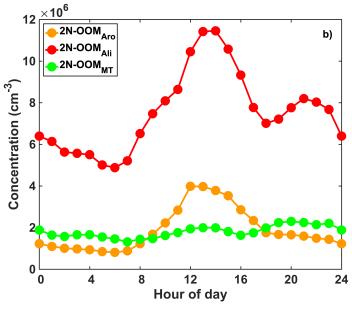


Figure 4b





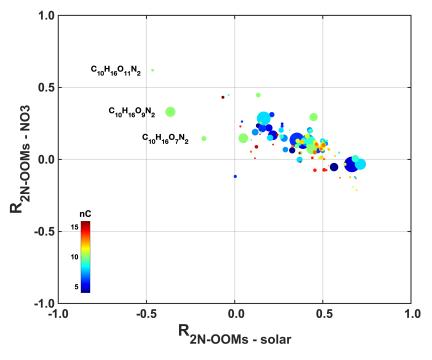


Figure 5

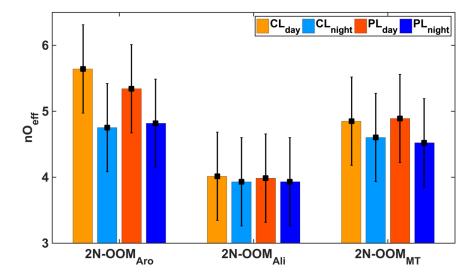


Figure 6





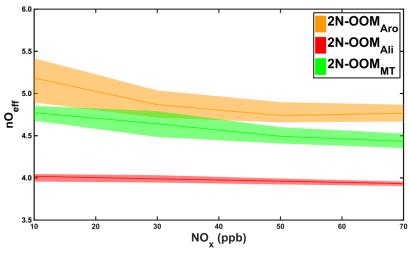


Figure 7