# Measurement Report: Rapid decline of aerosol absorption coefficient and aerosol optical properties effects on radiative forcing in an urban area of Beijing from 2018 to 2021

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# 14 Abstract

15 Reliable observations of aerosol optical properties are crucial for quantifying the 16 radiative forcing of climate. The simultaneous measurements of aerosol optical properties 17 at three wavelengths for PM<sub>1</sub> and PM<sub>10</sub> were conducted in urban Beijing from March 2018 18 to February 2022. The aerosol absorption coefficient ( $\sigma_{ab}$ ) at 550 nm of PM<sub>10</sub> and PM<sub>1</sub> 19 decreased by 55.0% and 53.5% from 2018 to 2021. Significant reduction in  $\sigma_{ab}$  may be 20 related to reduced primary emissions caused by effective air pollution control measures. 21  $PM_{2.5}$  mass concentration decreased by 34.4% from 2018 to 2021. SSA increased from 22  $0.89 \pm 0.04$  for PM<sub>10</sub> (0.87 ± 0.05 for PM<sub>1</sub>) in 2018 to 0.93 ± 0.03 for PM<sub>10</sub> (0.91 ± 0.04 23 for  $PM_1$ ) in 2021. Increasing SSA and decreasing  $PM_{2.5}$  mass concentration suggest that 24 the fraction of absorbing aerosols decreased with improved air quality due to pollution 25 control measure-taking. The annual average submicron absorption ratio (Rab) increased 26 from 86.1% in 2018 to 89.2% in 2021, suggesting that fine particles are the main 27 contributors to total  $PM_{10}$  absorption and that the fine particles to absorption became more 28 important. Absorption Angstrom exponent (AAE) in winter decreased from 2018 to 2021, 29 implying a decreasing contribution from brown carbon to light absorption, which may 30 relate to the reduced emissions of biomass burning and coal combustion. During the study 31 period, aerosol radiative forcing efficiency became more negative mainly influenced by increasing SSA, and was -27.0 and -26.2 W m<sup>-2</sup> AOD<sup>-1</sup> for PM<sub>10</sub> and PM<sub>1</sub> in 2021. Higher 32 33  $\sigma_{ab}$  and PM<sub>2.5</sub> mass concentrations were primarily distributed in clusters 4 and 5, 34 transported from the south and the west of Beijing each year.  $\sigma_{ab}$  and PM<sub>2.5</sub> corresponding 35 to clusters 4 and 5 decreased evidently from 2018 to 2021, which may result from the 36 control of source emissions in surrounding regions of Beijing. The 4-year data presented 37 in this study provide critical optical parameters for radiative forcing assessment within two 38 size ranges and are helpful for evaluating the effectiveness of clean air action.

39

### 1 Introduction

40 Atmospheric aerosols perturb the Earth's atmospheric radiation balance and climate 41 forcing by directly affecting the scattering and absorption of solar radiation (Charlson et 42 al., 1992; Jacobson, 2001) but also indirectly affecting cloud reflectivity and precipitation 43 processes (Twomey, 2007). Light-scattering aerosols contribute to offsetting the warming 44 effect of CO<sub>2</sub>, while absorbing aerosols contribute to the heating of the atmosphere (Bond 45 and Bergstrom, 2007), and produce a positive radiative forcing (Segura et al., 2016). The 46 largest contribution to aerosol absorption is from black carbon (BC), which absorbs 47 strongly over the entire solar spectrum (Bond and Bergstrom, 2007). Dust and brown 48 carbon (BrC) are also light absorption aerosols, which strongly absorb in the ultraviolet 49 (UV) spectrum. Globally, aerosols contributed an effective radiative forcing (ERF) of -1.3 $\pm$  0.7 W m<sup>-2</sup>, and the ERF due to emissions of BC is now estimated to be 0.11 (-0.20 to 50 0.42) W/m<sup>2</sup> between 1750 to 2019 (Szopa et al., 2021). However, aerosol properties are 51 52 highly spatial and temporal variable, which results in radiative forcing variation from local 53 to global scales and creates an observational challenge (Collaud Coen et al., 2013; Ealo et 54 al., 2018; Andrews et al., 2011). Therefore, reliable observations of aerosol optical 55 properties are crucial for quantifying the radiative forcing of climate.

56 In order to assess the role of aerosols on climate forcing accurately, a set of parameters 57 that describe aerosol's optical properties are needed, such as scattering coefficient ( $\sigma_{sp}$ ), 58 absorption coefficient( $\sigma_{ab}$ ), backscatter fraction(b) and single scattering albedo (SSA). SSA 59 is a key variable that determines the magnitude and the sign of the aerosol forcing (J. 60 Hansen et al., 1997; Lee et al., 2007; Li et al., 2022a; Zhang et al., 2020). Previous studies 61 found that SSA values range from slightly less than 0.8 to almost purely scattering particles 62 with SSA close to 1 at worldwide locations (Laj et al., 2020; Pandolfi et al., 2018), and higher SSA values indicate a tendency towards a cooling effect (Li et al., 2022a). The 63 64 backscatter fraction (b) describes how much aerosol particles scatter radiation in the 65 backward hemisphere compared with the total scattering, which is a crucial variable for aerosol radiative forcing efficiency (RFE) calculations (Andrews et al., 2011; Sheridan and
Ogren, 1999; Luoma et al., 2019). Previous studies found that the magnitude of RFE
increases with increasing b (Shen et al., 2018). Typical values of b for the atmospheric
aerosol at 550 nm were from approximately 0.05 to 0.20 (Titos et al., 2021).

70 Besides, aerosol optical properties are wavelength-dependent, absorption Angstrom 71 exponent (AAE) describes the spectral dependence of light absorption by aerosols and is 72 typically used to differentiate between different aerosol types (Helin et al., 2021). The AAE 73 for fresh BC is ~1, indicating "weak" spectral dependence of light absorption (Bond et al., 74 2013; Bond and Bergstrom, 2007), and the AAE >1 indicates the presence of BrC or dust, 75 which tend to exhibit absorption that increases sharply as wavelength decreases 76 (Moosmüller et al., 2009; Lack and Cappa, 2010). Thus, obtaining the aerosol absorption 77 coefficient at different wavelengths is essential and can be helpful to differentiate between 78 different aerosol types.

79 As one of the world's most populous and rapidly developing megacities, Beijing 80 experienced rapid economic growth and urbanization, accompanied by severe air pollution. 81 Many in-situ measurements of aerosol optical properties have been conducted in Beijing 82 (Bergin et al., 2001; He et al., 2009; Garland et al., 2009; Jing et al., 2015; Wang et al., 83 2019; Zhao et al., 2019; Xia et al., 2020). Previous studies found that high aerosol loading 84 leads to large  $\sigma_{ab}$  in Beijing (Jing et al., 2015; Garland et al., 2009; Bergin et al., 2001). 85 Moreover, the AAE showed significant seasonal variations in Beijing. Significantly higher 86 AAE in winter than in summer highlights the important role of absorption of non-BC 87 components (e.g. BrC) in winter (Xie et al., 2020; Xia et al., 2020). In order to reduce 88 emissions and improve air quality, the government implemented strict pollution control

89 measures (Xu and Zhang, 2020). Significant decreases in  $PM_{2.5}$  mass concentrations were 90 found in Beijing and the annual mean elemental carbon (EC) concentrations declined from 4.0 to 2.6  $\mu$ g m<sup>-3</sup> from March 2013 to February 2018 in Beijing (Ji et al., 2019). Xia et al. 91 92 (2020) separated and quantified the effects of emission control and meteorological 93 transport variability on BC loading from 2015 to 2017 in north China Plain. However, the 94 environmental effects caused by emission controls are related to not only their mass 95 concentrations, but also their optical properties and radiative effect (Luo et al., 2020). 96 Therefore, it's necessary to investigate the multiple-year variations in aerosol optical 97 properties and radiative effect in providing a comprehensive understanding of the effects of emission control. Wang et al. (2019) found that absorption coefficient ( $\sigma_{ap}$ ) for PM<sub>2.5</sub> 98 decreased from 2014 to 2017, with a significant decrease of  $\sigma_{ap}$  in autumn. Sun et al. (2022) 99 estimated that the direct radiative forcing of BC decreased by 67% from +3.36Wm<sup>-2</sup> in 100 2012 to +1.09 Wm<sup>-2</sup> in 2020. However, these studies were mostly conducted with 101 102 conventional total suspended particulate (TSP) cyclone, PM<sub>2.5</sub> size cut, or PM<sub>10</sub> size cut. 103 Few studies focused on the sub-micron and super-micron particle optical properties and 104 estimated aerosol radiative effect in the post-"Action Plan on Prevention and Control of 105 Air Pollution" era. Acquiring the aerosol optical for the total (< 10µm diameter) and 106 submicron aerosol is also in line with the aerosol advisory group of the Global Atmosphere 107 Watch recommendation (WMO/GAW, 2016).

In this study, the simultaneous measurements of aerosol optical properties at three wavelengths for  $PM_1$  and  $PM_{10}$  were conducted in urban Beijing from March 2018 to February 2022. The annual, seasonal, and diurnal variations of aerosol optical properties for two size cuts were investigated. The scattering properties of aerosols for two size ranges 112 (PM<sub>10</sub> and PM<sub>1</sub>) under dry conditions observed in Beijing have been analyzed in detail by 113 Hu et al. (2021). Thus, this study mainly focused on the variation of aerosol absorption 114 coefficient, single scattering albedo, and absorption Angstrom Exponent for PM<sub>10</sub> and PM<sub>1</sub>. 115 Moreover, the aerosol radiative effects in two size cuts were estimated. Finally, the 116 transport and its impact on aerosol optical properties were analyzed. The 4-year data 117 presented in this study provide key optical parameters for radiative forcing assessment 118 within two size ranges and are helpful for evaluating the effectiveness of clean air action.

# 119 **2 Instrumentation and methods**

# 120 **2.1 Site description**

The sampling site in this study is located on the roof of the Chinese Academy of Meteorological Sciences (CAMS, 116°19′ E, 39°57′ N, 46 m a.s.l) in Beijing, which is a typical urban site in the northwest of Beijing between the 2nd and 3rd ring roads. The laboratory is on the roof of CAMS building, and the measurements are taken at 53 m above ground level. The site is mainly influenced by local emissions from residential living and traffic pollution (Xia et al., 2019).

# 127 **2.2 Instruments and measurements**

The ambient air was sampled into a  $PM_{10}$  impactor with 16.7 LPM and then to an adsorption aerosol dryer, which controlled the relative humidity (RH) of sample air below 30% (Tuch et al., 2009). The dried aerosol sample passes through switched impactors that toggle the aerosol size cut between 1.0 µm (<1 µm) and 10 µm (<10 µm) aerodynamic particle diameters every 30 min, thus allowing to measure both fine and coarse particles (Hu et al., 2021). The sample aerosol was then passed into the Nephelometer (TSI Inc.,
Model 3563) and Tricolor Absorption Photometer (TAP, Brechtel Manufacturing, Inc.,
Hayward, CA, USA).

136 The integrating nephelometer measured the scattering coefficient ( $\sigma_{sp}$ ) (angular range 137 of 7–170°) and backscattering coefficient ( $\sigma_{bsp}$ ) (angular range of 90–170°) at 450, 550, and 700 nm. The scattering and backscattering coefficient were corrected for truncation 138 139 and instrument non-idealities using the method described by Anderson and Ogren (1998). 140 Details are given in Hu et al. (2021). To ensure the data's accuracy and reliability, the 141 nephelometer was calibrated regularly using filtered ambient air using a HEPA filter and 142 CO<sub>2</sub> with a purity of 99.999%. A zero-check was automatically performed once per hour 143 to obtain a nephelometer background.

144 TAP measures absorption coefficient ( $\sigma_{ab}$ ) at 465, 520, and 640 nm with the 47 mm 145 diameter, glass-fiber filter and is a commercially available version of the continuous light 146 absorption photometer (CLAP), which is low cost and high sensitivity (Ogren et al., 2017). 147 The TAP comprises eight sample spots and two reference spots. The aerosol-laden air 148 passes through one sample spot at a time, which allows for 8 times the filter lifetime 149 compared to single-spot photometers (Davies et al., 2019). Unlike the Multi-Angle 150 Absorption Photometer (MAAP), TAP does require a co-located aerosol light scattering or 151 extinction measurement to derive aerosol light absorption (Ogren et al., 2017). Thus, 152 simultaneous observation of aerosol light scattering has been measured and used to correct 153 absorption data. When the Nephelometer and TAP were calibrated or malfunctioning, no 154 data are available. During this study, 84% of the data was effective.

### 155 **2.3. Data processing**

156 The TAP measures the light transmitted through a filter as particles are deposited onto 157 the filter. The filter attenuation coefficient ( $\sigma_{atn}$ ), at a specific wavelength ( $\lambda$ ), can be 158 determined as:

159 
$$\sigma_{atn}(\lambda) = \frac{A}{Q} \times \frac{\Delta atn(\lambda)}{\Delta t}$$
(1)

160 where  $\Delta \operatorname{atn}(\lambda)$  is the filter attenuation at times t1 and t2, A is the area of on the filter, 161 and Q is the sample flow rate through the filter.

In order to correct the error caused by multiple scattering and filter loading, the aerosol light absorption coefficient ( $\sigma_{ab}$  ( $\lambda$ )) was corrected based on the methods of Bond et al. (1999) and Ogren et al. (2017). First, the effect of filter loading was calibrated based on Eq. (2):

166 
$$\sigma_{ab}(\lambda) \operatorname{raw} = \frac{0.85 \times \sigma_{atn}(\lambda)}{K_2 \times (1.0796 \times \operatorname{Tr}(\lambda) + 0.71)}$$
(2)

167 Then,  $\sigma_{ab}$  ( $\lambda$ )\_raw at 465, 520, and 640 nm were adjusted to the wavelength of the 168 light scattering coefficient based on the calculated AAE. Finally, the multiple scattering 169 effect was corrected based on Eq. (3):

170 
$$\sigma_{ab}(\lambda) = \sigma_{ab}(\lambda) \operatorname{raw} - \frac{K_1 \times \sigma_{sp}(\lambda)}{K_2}$$
 (3)

where Tr ( $\lambda$ ) is the normalized filter transmittance at time t relative to transmittance at the start of sampling (t =0) and  $\sigma_{sp}$  is the aerosol light-scattering coefficient at 450, 550, and 700 nm measured by the nephlometer. K<sub>1</sub> and K<sub>2</sub> were derived by Bond et al. (1999) as K<sub>1</sub> =0.02±0.02 and K<sub>2</sub> =1.22±0.20, where the uncertainties are given for the 95% confidence level. Using the corrected absorption coefficient data, the following parameters werecalculated:

Absorption Angstrom exponent (AAE) describes the spectral dependence of lightabsorption.

180 
$$AAE = -\frac{\ln(\sigma_{ab}^{\lambda 1})/\sigma_{ab}^{\lambda 2}}{\ln(\lambda 1/\lambda 2)}$$
(4)

The submicron absorption ratio (Rab) is determined as the ratio of the absorption
coefficients for PM<sub>1</sub> and PM<sub>10</sub>.

183 
$$\operatorname{Rab} = \frac{\sigma_{ab}(D < 1\mu m)}{\sigma_{ab}(D < 10\mu m)}$$
(5)

184 where  $\sigma_{ab}$  (D<1 µm) and  $\sigma_{ab}$  (D<10 µm) are  $\sigma_{ab}$  for particle diameters <1 µm and 10 185 µm, respectively.

Aerosol radiative forcing efficiency (RFE) at top-of-the-atmosphere (TOA) is a simplified formula that describes how large of an impact the aerosols would make to the aerosol radiative forcing ( $\Delta$ F) per unit of aerosol optical depth (AOD) (Sheridan and Ogren, 1999) and we estimated the RFE at TOA as the Eq.6 (Haywood and Shine, 1995; Sheridan and Ogren, 1999):

191 
$$RFE = \frac{\Delta F}{AOD} = -DS_0 T_{at}^2 (1 - A_C) \times SSA \times \beta \times ((1 - R_s)^2 - (\frac{2R_s}{\beta}) \times (\frac{1}{SSA} - 1))$$
(6)

where D is the fractional day length, S<sub>0</sub> is the solar constant, T<sub>at</sub> is the atmospheric transmission, Ac is the fractional cloud amount, and Rs is the surface reflectance. The constants used were D = 0.5, So = 1370 Wm<sup>-2</sup>, T<sub>at</sub> = 0.76, Ac = 0.6, and Rs = 0.15 as suggested by Haywood and Shine (1995), and upper scatter fraction  $\beta$  was calculated from  $\beta = 0.0817+1.8495 \times b-2.9682 \times b^2$ . backscatter fraction (b) was calculated based on scattering coefficient ( $\sigma_{sp}$ ) and backscattering coefficient ( $\sigma_{bsp}$ ) measured by Nephelometer

198 as  $b = \sigma_{bsp} / \sigma_{sp}$ . Equation (6) has been widely used to assess the intrinsic radiative forcing 199 efficiency of aerosols at the top of the atmosphere (Sheridan and Ogren, 1999; Virkkula et 200 al., 2011; Shen et al., 2018). Note that RFE in this study was in a dry condition. As the 201 backscatter fraction and single scattering albedo are all RH-dependent, the RFE is also 202 sensitive to RH (Fierz-Schmidhauser et al., 2010). Previous studies revealed that RFE 203 increased as the elevating RH (Titos et al., 2021; Xia et al., 2023). In this study, the values 204 of  $\Delta F$  at TOA were also caculated by multiplying the RFE for PM<sub>10</sub> with the AOD of 205 ambient atmospheric aerosols observed at the CAMS site during the study periods. AOD 206 can be downloaded from Aerosol Robotic Network (AERONET). Note that RFE was at a 207 dry state, thus the  $\Delta F$  at TOA here may be slightly underestimated.

### 208 **2.4. Other data used**

The hourly  $PM_{2.5}$  and  $PM_{10}$  mass concentrations were measured at Guan yuan station, which is about 3km from the CAMS site. The data can be derived from the national air quality real-time publishing platform (http://106.37.208.233:20035/). The hourly meteorological data were measured at Haidian station (station No. 54399) and obtained from the National Meteorological Information Center of China Meteorological Administration.

215 **2.5. Back trajectories analysis** 

To investigate the influence of air mass origins on aerosol optical properties, 48-h backward trajectories arriving at Beijing at a height of 500 m above ground level were calculated from 0:00 to 23:00 local time each day from March 2018 to February 2022, using the Trajstat Software, combined with HYSPLIT 4 model (Hybrid Single-Particle Lagrangian Integrated Trajectory), and the NCEP Global Data Assimilation System (GDAS) data with a  $1^{\circ} \times 1^{\circ}$  resolution (Draxler and Hess, 1998; Wang et al., 2009).

In this study, four seasons are defined as follows: spring from March to May, summer

from June to August, autumn from September to November, and winter from December to

the following February, and all data are reported in Beijing time (UTC+8).

### 225 **3 Results and discussion**

# 226 **3.1 Temporal variation of aerosol optical properties**

227 Figure 1 shows the annual variation of  $\sigma_{ab}$ , SSA, Rab, and PM<sub>2.5</sub> mass concentration 228 from 2018 to 2021. During the study period, the annual mean PM<sub>2.5</sub> in 2018 was 54.7 µg m<sup>-3</sup>, and it decreased by 34.4% (35.9 µg m<sup>-3</sup>) in 2021, which suggested that the strict 229 230 pollution control measures are effective in reducing the PM loadings in Beijing (Lei et al., 231 2021). Gong et al. (2022) demonstrated that emission reduction dominated the variations 232 of PM<sub>2.5</sub> mass concentration in Beijing from 2013 to 2020, and meteorology and emission 233 reduction contributed 7% and 63.2% of decreases, respectively.  $\sigma_{ab}$  at 550 nm of PM<sub>10</sub> and  $PM_1$  showed similar annual variations. The annual mean  $\sigma_{ab}\,at\,550$  nm of  $PM_{10}$  and  $PM_1$ 234 235 decreased by 55.0% and 53.5%, respectively, from 2018 to 2021. The Mann–Kendall trend 236 test supported that the decrease in  $\sigma_{ab}$  for PM<sub>1</sub> and PM<sub>10</sub> from 2018 to 2021 was significant 237 (Table S1). Carbonaceous aerosol, especially black carbon, is closely related to aerosol absorption (Yang et al., 2009). A continuous decrease in  $\sigma_{ab}$  was consistent with the 238 239 continuous reduction of black carbon concentration observed in Beijing in previous studies 240 (Ji et al., 2019; Sun et al., 2022), which was mainly related to significantly reduced primary 241 emissions caused by effective air pollution control measures in recent years (Xia et al., 2020). The annual mean  $\sigma_{ab}$  for PM<sub>10</sub> and PM<sub>1</sub> in 2021 was 9.8 Mm<sup>-1</sup> and 8.7 Mm<sup>-1</sup>, which 242 243 were both lower than the result observed in Nainital, in the GH region, India (Dumka et al., 244 2015), and the measurement at an urban site in Spain from March 2006 to February 2007 245 (Titos et al., 2012). In fact, with the emission reduction and improvement of air quality, the 246 aerosol scattering coefficient ( $\sigma_{sp}$ ) for PM<sub>10</sub> and PM<sub>1</sub> also decreased in Beijing. Hu et al. 247 (2021) revealed that  $\sigma_{sp}$  decreased by approximately 18.4% for PM<sub>10</sub>, and 16.7% for PM<sub>1</sub> 248 from 2018 to 2019 in Beijing. Atmospheric conditions also have an effect on aerosol optical 249 properties. The variations of meteorological parameters from 2018 to 2021 (Figure S4) 250 showed that pressure, wind speed, temperature, and RH varied slightly, while accumulated 251 precipitation increased in 2021 compared with the other 3 years. On the other hand, a 252 correlation analysis was made between aerosol optical properties and meteorological 253 parameters. The Pearson correlation coefficients (R) between  $\sigma_{ab}$  and meteorological 254 parameters (Table S2) are lower than 0.5, indicating that a weak correlation (R < 0.5) was 255 found between  $\sigma_{ab}$  and meteorological parameters. This suggests that the meteorological parameters' influence on  $\sigma_{ab}$  is minor. Xia et al. (2020) revealed that the effect of emission 256 257 reduction was the major reason for the decrease of BC in Beijing. Actually,  $\sigma_{ab}$  that was 258 observed at a background station in China and the European stations, which was with time 259 series longer than 10 years, also observed the reduction.  $\sigma_{ab}$  showed a statistically 260 significant decreasing trend in Mt.Waliguan, a background station in China, from 2008– 261 2018 (Collaud Coen et al., 2020), which was similar to a decreasing trend of black carbon 262 (BC) in Mt.Waliguan from 2008-2017, mainly related to emission reduction (Dai et al., 263 2021). A statistically significant decrease of 10-year  $\sigma_{ap}$  was found in 12 stations in Europe, which was similar to a decreasing trend in BC concentration in Europe related primarily to
traffic emission decreases (Collaud Coen et al., 2020).

266 SSA is a key variable in assessing the aerosol radiative forcing. The variation of SSA 267 also reflects the the ratio of aerosol scattering to total extinction with aerosol composition changes. The annual variations of SSA for PM<sub>10</sub> and PM<sub>1</sub> were similar. During 2018-2021, 268 269 annual mean SSA at 550 nm increased from  $0.89 \pm 0.04$  for PM<sub>10</sub>  $(0.87 \pm 0.05$  for PM<sub>1</sub>) in 270  $2018 \text{ to } 0.93 \pm 0.03 \text{ for PM}_{10} (0.91 \pm 0.04 \text{ for PM}_1) \text{ in } 2021$ . Increasing SSA and decreasing 271  $PM_{2.5}$  mass concentration during the past four years suggested that the fraction of absorbing 272 aerosols became lower compared to scattering aerosols with the improvement of air quality 273 due to pollution control measure-taking. Collaud Coen et al. (2020) found that SSA 274 observed in Mt. Waliguan, a background station in Asia, presented an increasing trend 275 based on 10-year datasets, which were related to more recent abatement policies. The mean 276 submicron absorption ratio (Rab) increased yearly during the same period. It was from 86.1% 277 in 2018 to 89.2% in 2021, suggesting that fine particles are the main contributors to total 278 PM<sub>10</sub> absorption, and the contributions from fine particles to absorption became more 279 important.

The  $\sigma_{ab}$ , SSA, and AAE for PM<sub>1</sub> and PM<sub>10</sub> showed similar annual variations in all seasons (Fig. 2 and Fig. S1). Thus, if not stated otherwise, the following discussion takes the aerosol optical properties of PM<sub>10</sub> as an example. As shown in Fig. 2 seasonal average of  $\sigma_{ab}$  presented a continuous reduction during all seasons from 2018 to 2021, reflecting the reduction of absorbing aerosols which were related to effective control of absorbing aerosols emissions in Beijing.  $\sigma_{ab}$  decreased by half in autumn and winter during the study period, which was probably due to reducing coal consumption as a heating source and the 287 reduction of biomass burning. Compared with 2018,  $\sigma_{ab}$  in the winter of 2019, 2020 and 288 2021 decreased by 3.0%, 24.9% and 53.2%, respectively. In the winter of 2019, the 289 lockdown of COVID-19 caused emission reduction from human activities in China (Le et 290 al., 2020; Tian et al., 2020), however, the unexpected smallest reduction of  $\sigma_{ab}$  was 291 observed in the winter of 2019 compared with the winter of 2020 and 2021. This is related 292 to the fact that severe haze pollution still occurred in the North China Plain and BC 293 concentrations rose unexpectedly during the lockdown period (Liu et al., 2021; Jia et al., 294 2021). In particular,  $\sigma_{ab}$  for PM<sub>1</sub> and PM<sub>10</sub> decreased even up to 63% and 67% in the 295 summer from 2018 to 2021. Traffic is a relatively stable source of absorption aerosols in 296 summer (Li et al., 2022b). The largest deduction of  $\sigma_{ab}$  was in summer and could be related 297 to more strict vehicle emission standards (Zhang et al., 2019).

298 In general, AAE was lowest in summer and highest in winter. The mean values of 299 AAE for  $PM_{10}$  were 1.13 and 1.41 in summer and winter, respectively, similar to result at 300 an urban site in Beijing in 2018 (Xie et al., 2020). During summer, the average AAE was 301 generally close to 1, which suggested that BC from traffic emissions was the major 302 component of light-absorbing aerosols. Li et al. (2022b) found that the percentage of liquid 303 fuel (traffic) contributing to the total BC was 86.8% in summer in Beijing. The highest 304 AAE suggested that BrC contributed to light absorption strongest in winter, which is due 305 to enhanced emissions from biomass burning and coal combustion in winter (Sun et al., 306 2018). Notably, AAE decreased in winter from 1.48 for  $PM_{10}$  (1.48 for  $PM_1$ ) in 2018 to 307 1.37 for  $PM_{10}$  (1.34 for  $PM_1$ ) in 2021 (Fig. 2 and Fig. S1), indicating a decreasing 308 contribution from BrC to light absorption, which may relate to the effect control of biomass 309 burning and coal combustion caused by changes in heating energy structure (Ji et al., 2022).

310 To improve air quality, the Beijing-Tianjin-Hebei region adjusted the energy structure 311 during the heating period and developed clean heating projects, such as the "coal to gas" 312 project (Zhao et al., 2020; Liu et al., 2019). During the whole period, AAE was similar in 313 spring and autumn indicating that light-absorbing aerosols were from similar emission 314 sources in spring and autumn (Ran et al., 2016). AAE slightly increased in spring and 315 autumn from 2018 to 2021. Part of the reason was the occurrence of multiple fugitive dust 316 in spring and autumn (Yi et al., 2021; Gui et al., 2022). On the other hand, BrC could also 317 be formed from secondary reactions (Bond et al., 2013; Wang et al., 2022). A slight 318 increase in AAE in spring and autumn may also have been caused by a greater amount of 319 secondary organic aerosol formation as a result of an increased atmospheric oxidation 320 capacity (Ji et al., 2019; Lei et al., 2021).

321 The seasonal mean SSA increased in all seasons from 2018 to 2021, indicating that 322 the contribution of scattering aerosols to extinction increased. This suggested that more 323 effective control of scattering aerosols should be attached more importance in order to 324 improve visibility in the future. In particular, SSA in winter increased significantly from 325 0.88 in 2018 to 0.93 in 2021, which revealed that the proportion of absorbing aerosols 326 decreases considerably in winter. This is consistent with recent research which suggests 327 that air pollution control measures has been more effective in reducing the primary 328 pollution emissions than secondary species (Vu et al., 2019; Sun et al., 2020). On the other 329 hand, seasonal mean SSA for  $PM_{10}$  was  $0.94\pm0.04$ ,  $0.94\pm0.04$ ,  $0.92\pm0.04$ ,  $0.93\pm0.03$  in 330 spring, summer, autumn, and winter 2021. Similar SSA suggests that the proportions of 331 light absorbing and scattering components became relatively stable in four seasons.

332 Figure 3 shows the diurnal variations of  $\sigma_{ab}$  and SSA at 550 nm for PM<sub>10</sub>, which are 333 similar to those for PM<sub>1</sub> (Figure. S2). In the past four years,  $\sigma_{ab}$  was lower during the day 334 and higher at night in four seasons. This was consistent with that observed at an urban site 335 in Beijing during 2014-2016 (Wang et al., 2019). The evolution of the planetary boundary 336 layer had an important influence on the diurnal variation of the  $\sigma_{ab}$ . With stronger solar 337 radiation, the boundary layer was more fully developed during the daytime, and after sunset, 338 the convective boundary layer underwent a transition to the nocturnal stable boundary layer 339 (Guo et al., 2016). Furthermore, emissions also affected the diurnal variation of the  $\sigma_{ab}$ . For 340 example, heavy-duty diesel trucks and heavy-duty vehicles were only allowed to enter 341 urban areas from 23:00 to the following day 06:00 (Hu et al., 2021). As a response, the 342 minimum  $\sigma_{ab}$  occurred during 12:00–18:00, when the planetary boundary layer was well-343 developed, and truck emission was lower. With shallow boundary layer height and 344 enhanced emissions from heavy-duty trucks,  $\sigma_{ab}$  reached the maximum at night. During the 345 study period, SSA showed a significant peak in the afternoon in four seasons, which was 346 similar to previous studies in urban Beijing (Zhao et al., 2019; Wang et al., 2019). Higher 347 SSA was shown in the afternoon, which was mainly related to the reduction of absorbing 348 aerosols emission, and more secondary scattering aerosol produced by strong chemical 349 reactions under intensive solar radiation and high temperature in the afternoon (Han et al., 350 2017).

351 **3.2 Aerosol radiative effect** 

To study the climate impact of the aerosol particles, we investigated the variation of aerosol radiative forcing efficiency (RFE) at the top-of-the-atmosphere (TOA) variations. 354 As seen in Fig. 4, RFE for  $PM_{10}$  and  $PM_1$  were always negative during the whole 355 observation period, suggesting that the aerosols measured in urban Beijing have a stable 356 cooling effect on the climate. RFE for PM<sub>10</sub> and PM<sub>1</sub> at dry condition were -27.0 and - $26.2 \text{ W m}^{-2} \text{ AOD}^{-1}$  in 2021 in urban Beijing, which was slightly negative than that of -24.9357 W m<sup>-2</sup> AOD<sup>-1</sup> in Naniing (Shen et al., 2018) and highly negative than that of -19.9 W m<sup>-2</sup> 358 AOD<sup>-1</sup> in Finland (Virkkula et al., 2011). This suggested that the aerosols in urban Beijing 359 360 have a higher cooling efficiency. In eq. (6) The fractional day length (D), solar constant 361 (So), atmospheric transmission ( $T_{at}$ ), fractional cloud amount (Ac), and surface reflectance 362 (Rs) were constants, which were widely used in previous studies (Delene and Ogren, 2002; 363 Andrews et al., 2011; Sherman et al., 2015; Shen et al., 2018). These values are the globally 364 averaged values and don't always represent the conditions in Beijing, but using the same 365 constants makes it possible to compare the intrinsic forcing efficiency of the aerosols 366 measured at different stations around the world and to study how the RFE changes with 367 varying SSA and b (Sherman et al., 2015; Luoma et al., 2019). On the other hand, RFE is 368 sensitive to RH as the aerosol optical properties are different due to hygroscopic growth 369 (Fierz-Schmidhauser et al., 2010; Luoma et al., 2019). Previous studies demonstrate that 370 SSA increases with RH, while b decreases with increasing RH (Carrico et al., 2003; Cheng 371 et al., 2008). The change of SSA to increase with RH and of b to decrease with RH will 372 have opposite effects on the RFE, and thus to some extent, the RH dependencies of these 373 two parameters will counterbalance each other (Luoma et al., 2019). Titos et al. (2021) 374 found that the range of forcing enhancement in different types of sites varies from almost 375 no enhancement up to a factor of 3-4 at RH=90 %. The results observed in urban Beijing 376 showed that the aerosol radiative forcing at RH = 80 % was 1.48 times that under dry 377 conditions (Xia et al., 2023). RFE was calculated at a dry state in this study, while the 378 atmosphere is not generally dry in the ambient air. Thus, the RFE in this study does not 379 represent ambient conditions. The simplified RFE in this study does not represent the actual 380 value for the aerosol forcing; however, it can still indicate how the changes in aerosol 381 optical properties affect the climate (Delene and Ogren, 2002; Andrews et al., 2011; 382 Sherman et al., 2015). RFE was affected by SSA and backscatter fraction (b) and we 383 investigated the RFE variations with SSA and b in Beijing. As shown in Fig. 5, When SSA 384 increases from 0.7 to 0.92, the mean RFE increases by 1.59 times, suggesting that SSA 385 plays an important role in strengthening cooling efficiency. When SSA>0.92, the mean RFE relatively keeps constant. The approximate constant RFE does not mean that the 386 387 absolute aerosol radiative forcing is constant; it just suggests that the intrinsic nature of the 388 aerosol will not significantly affect the calculation of RFE (Andrews et al., 2011). Also, 389 the backscatter fraction has a negative relationship with RFE. A lower values of backscatter 390 fraction corresponds to larger particles (Luoma et al., 2019). RFE became more negative 391 with increasing b, suggesting that smaller particles would cool the atmosphere more 392 efficiently. During the study period, SSA increased from 0.89 to 0.93, while the yearly 393 mean value of b was 0.13 every year during the study period. RFE became more negative 394 from 2018 to 2021, suggesting that the efficiency of the aerosol cooling atmosphere was 395 higher, which was mainly influenced by increasing SSA.

The ratio of  $\Delta$ F/AOD is known as the aerosol radiative forcing efficiency (RFE) and  $\Delta$ F at TOA was caculated by multiplying the RFE for PM<sub>10</sub> with the AOD of ambient atmospheric aerosols observed at the CAMS site during the study periods. The mean value of  $\Delta$ F from 2018-2021 was -15.0 W m<sup>-2</sup>, -12.5 W m<sup>-2</sup>, -12.1 W m<sup>-2</sup>, and -11.8 W m<sup>-2</sup>, 400 respectively. Although RFE became more negative, the annual mean  $\Delta F$  in 2021 401 corresponding to lower columnar aerosol loading became less negative than that of 2018 402 corresponding to higher columnar aerosol loading (Fig. S3) which was consistent with the 403 analysis that aerosol loading was a essential factor for the estimation of  $\Delta F$  (Andrews et al., 404 2011; Delene and Ogren, 2002).

# 405 **3.3 Transport and its impact on aerosol optical properties in Beijing**

406 In addition to local emissions, regional transport is also an important source of 407 particulate matter in Beijing (Chang et al., 2019). Based on previous studies, aerosol source 408 regions and air mass pathways could also affect aerosol optical properties, and the different 409 origins of air masses showed different aerosol optical properties (Zhuang et al., 2015; Pu 410 et al., 2015). The air mass back-trajectories analysis in the North China Plain revealed that 411 the absorption coefficients and SSA were high when the air masses came from densely 412 populated and highly industrial areas (Yan et al., 2008). Therefore, air mass back-413 trajectories were analyzed in this study to explore the regional transports' influence on 414 aerosol optical properties. First, the air mass back trajectories during 2018–2021 were 415 calculated and clustered (Fig. 7); then, we statistic the aerosol optical properties of each 416 cluster from 2018-2021 (Fig. 8). Based on the Euclidean distance, the back trajectories 417 were classified into five clusters, in which clusters 1, 2 and 3, which originated from the 418 clean areas in Mongolia and eastern Inner Mongolia, and transported to Beijing along the 419 pathway with low emissions, were corresponded to low  $\sigma_{ab}$  and low PM<sub>2.5</sub> (Fig. 8a, d). 420 Cluster 4 from the south of Beijing and cluster 5 from the west of Beijing were referred to 421 as the polluted air masses, and the average PM<sub>2.5</sub> concentrations and  $\sigma_{ab}$  of clusters 4 and 422 5 were higher than those of clusters 1, 2, and 3 in each year (Fig. 8a, d). Cluster 4 passed 423 through Shandong and Hebei Province, which was heavily polluted before arriving in 424 Beijing. Cluster 5 passed through polluted Shanxi and Hebei during transport. Higher  $\sigma_{ab}$ 425 and PM<sub>2.5</sub> mass concentrations were mainly distributed in clusters 4 and 5 each year. 426 Lower AAE in cluster 4 indicates that the southern air mass carries more freshly emitted 427 BC particles. SSA of cluster 4 from the south was higher (Fig. 8b), which may relate to 428 low BC/PM<sub>2.5</sub> ratios in south air masses (Xia et al., 2020). Zhang et al. (2013) found that 429 high levels of secondary inorganic aerosols related to high humidity were transported by 430 southern air masses, which enhanced heterogeneous reaction and leaded to relatively low 431  $BC/PM_{2.5}$  ratios. Fig. 7b showed percentage of each cluster accounting for the total back 432 trajectories in each year. The results indicated that variation in each cluster fraction from 433 2018 to 2021 was slight. In general, cluster 1-5 accounted for 19%-21%, 13%-17%, 16%-434 20%, 29%-36%, 12%-20% of total back trajectories, respectively. Notably, the percentage 435 of polluted-relevant air masses (cluster 4 and cluster 5) was ~50% each year, indicating 436 that the transport from the south and the west of has a considerable impact on the aerosol 437 optical properties.  $\sigma_{ab}$  corresponding to clusters 4 and 5 decreased by 47.3% and 58.4%, 438 and a decrease of PM<sub>2.5</sub> mass concentration from clusters 4 and 5 was 38.9% and 37.4% 439 during 2018 - 2021 (Fig. 8a, d), which may result from the air quality has improved caused 440 by control of source emissions in surrounding regions of Beijing. Therefore, the 441 comprehensive control of atmospheric pollution in Beijing and surrounding regions would 442 be highly effective in reducing air pollution in Beijing.

### 443 4 Conclusions

444 In this study, 4-year measurements of aerosol absorption properties and single scattering albedo for PM<sub>10</sub> and PM<sub>1</sub> in Beijing were analyzed. The annual mean PM<sub>2.5</sub> in 445 2018 was 54.7  $\mu$ g m<sup>-3</sup>, and it decreased by 34.4% (35.9  $\mu$ g m<sup>-3</sup>) in 2021, which suggested 446 447 that the strict pollution control measures are effective in reducing the PM loadings in 448 Beijing. The annual mean  $\sigma_{ab}$  of PM<sub>10</sub> and PM<sub>1</sub> decreased by 55.0% and 53.5%, 449 respectively, and it showed a similar decrease in all seasons. Significant reduction in  $\sigma_{ab}$ 450 may be related to reduced primary emissions caused by effective air pollution control 451 measures. SSA at 550 nm increased from  $0.89 \pm 0.04$  for PM<sub>10</sub> ( $0.87 \pm 0.05$  for PM<sub>1</sub>) in 452 2018 to  $0.93 \pm 0.03$  for PM<sub>10</sub> (0.91  $\pm 0.04$  for PM<sub>1</sub>) in 2021 and the seasonal averages of 453 SSA for two sizes also increased in four seasons. Increasing SSA and decreasing  $PM_{2.5}$ 454 mass concentration suggest that the fraction of absorbing aerosols decreased with improved 455 air quality due to pollution control measure-taking. During the study period, the annual 456 average of Rab increased year by year and was up to 89.2% in 2021, indicating that fine 457 particles are the main contributors to the total PM<sub>10</sub> particle absorption, and the 458 contributions from fine particles to absorption became more important in Beijing.

During the study period, AAE was lowest in summer and highest in winter. Seasonal mean AAE in summer was generally close to 1 indicating that freshly emitted BC from traffic sources was a major component of light-absorbing aerosols. The highest AAE highlights the importance of BrC light absorption in winter. Notably, AAE in winter decreased from 2018 to 2021, implying a decreasing contribution from BrC to absorption, which may relate to the effective control of biomass burning and coal combustion caused by changes in heating energy structure. AAE in spring and autumn was similar, indicating
light-absorbing aerosols were from similar emission sources in these two seasons.

467 Using a simple analytical equation, we investigated the aerosol radiative effect. 468 Aerosol radiative forcing efficiency (RFE) for PM<sub>10</sub> and PM<sub>1</sub> was always negative, 469 suggesting that the aerosols measured in urban Beijing have a stable cooling effect on the climate. RFE for PM<sub>10</sub> and PM<sub>1</sub> at dry conditions were -27.0 and -26.2 W m<sup>-2</sup> AOD<sup>-1</sup> in 470 471 2021 in urban Beijing. RFE was influenced by SSA and b. Higher b corresponds to more 472 negative RFE suggesting that smaller particles larger would cool the atmosphere more 473 efficiently. When SSA<0.92, the absolute value of mean RFE increased by 1.59 times, 474 suggesting that SSA plays an important role in strengthening cooling efficiency. When 475 SSA>0.92, the mean RFE keeps relatively constant, suggesting that the intrinsic nature of 476 the aerosol will not significantly affect the calculation of RFE. SSA increased from 0.89 to 477 0.93, while the yearly mean value of b was 0.13 every year during the study period. RFE 478 became more negative from 2018 to 2021, suggesting that the efficiency of the aerosol 479 cooling atmosphere was higher, which was mainly influenced by increasing SSA.

480 Regional transport and its impact on aerosol optical properties were also analyzed. 481 The air mass back trajectories arriving at Beijing were divided into five clusters. Clusters 482 1, 2, and 3, which originated from the clean area in Mongolia and eastern Inner Mongolia, 483 were transported to Beijing along the pathway with low emissions, corresponding to low 484  $\sigma_{ab}$  and low PM<sub>2.5</sub>. Air masses from south and west (Cluster 4 and Cluster 5), which both 485 crossed the polluted region, always brought high PM<sub>2.5</sub> concentrations and  $\sigma_{ab}$ .  $\sigma_{ab}$ 486 corresponding to clusters 4 and 5 decreased by 47.3% and 58.4%, and a decrease of PM<sub>2.5</sub> 487 mass concentration from clusters 4 and 5 was 38.9% and 37.4% during 2018 - 2021, which

488	may result from the control of source emissions in surrounding regions of Beijing.
489	Therefore, comprehensive control of atmospheric pollution in surrounding regions of
490	Beijing is conducive to reducing pollution in Beijing.
491	Data availability.
492	The data in this study are available at: https://doi.org/10.5281/zenodo.7466069 (Hu et
493	al., 2022)
494	Competing interests.
495	The authors declare that they have no conflict of interest.
496	Author contributions.
497	XH performed data analysis, prepared the figures and wrote the manuscript. JS
498	designed the experiment and outlined the manuscript. XH, CX, and JS conducted the
499	measurements. XS, YZ, QL, ZL, SZ, JW, AY, JL, SL and XZ discussed the results and
500	commented on the manuscript.
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**Figure 1.** Annual variation of aerosol optical properties and  $PM_{2.5}$  mass concentration, absorption coefficient  $\sigma_{ab}$  at 550 nm for (a)  $PM_1$  and (d)  $PM_{10}$ , SSA at 550 nm for (b)  $PM_1$ and (e)  $PM_{10}$ , (c) Rab and (f) $PM_{2.5}$  mass concentration. The solid line inside the box represents the median and the triangle indicates the mean. The box contains the range of values from 25% (bottom) to 75% (top), and the upper and lower whiskers are the 95th and 5th percentiles, respectively.



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**Figure 2.** Seasonal variation of aerosol optical properties of PM<sub>10</sub> from 2018-2021, (a-d)

870  $\sigma_{ab}$  (bar) at 550 nm, AAE<sub>450/700</sub> (triangle), and (e-h) SSA (bar) at 550 nm.



Figure 3. Diurnal variations of  $\sigma_{ab}$  (a-d) and SSA (e-h) at 550 nm for PM<sub>10</sub> in four seasons from 2018 to 2021.



Figure 4. The annual variation of aerosol radiative forcing efficiency for  $PM_1$  (a) and  $PM_{10}$ (b). The solid line inside the box represents the median, and the triangle indicates the mean. The box contains the range of values from 25% (bottom) to 75% (top), and the 95th and 5th percentiles, respectively.



**Figure 5.** The relationship of RFE with (a) SSA and (b) backscatter fraction. The pink dash

882 line represents the frequency distribution of SSA (a) and the brown dash line represents the

883 frequency distribution of backscatter fraction (b).



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**Figure 6.** Annual variation of aerosol radiative forcing ( $\Delta$ F) at TOA from 2018 to 2021 calculated from daily mean data. The solid line inside the box represents the median, and the triangle indicates the mean. The box contains the range of values from 25% (bottom) to 75% (top), and the 95th and 5th percentiles, respectively.



Figure 7. (a) Air mass clusters of back trajectories arriving in Beijing during 2018–2021
and (b) the fraction of each cluster accounting for the total back trajectories in each year.





Figure 8. The variation of (a)  $\sigma_{ab}$ , (b) SSA, (c) AAE, and PM<sub>2.5</sub> mass concentration in each cluster from 2018 to 2021. The solid line inside the box represents the median and the dot indicates the mean. The box contains the range of values from 25% (bottom) to 75% (top), and the 95th and 5th percentiles, respectively.