

1 Unbalanced emission reductions of different species and sectors in 2 China during COVID-19 lockdown derived by multi-species surface 3 observation assimilation

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23 **Abstract.** The unprecedented lockdown of human activities during the COVID-19 pandemic have significantly influenced the
24 social life in China. However, understanding of the impact of this unique event on the emissions of different species is still
25 insufficient, prohibiting the proper assessment of the environmental impacts of COVID-19 restrictions. Here we developed a
26 multi-air pollutant inversion system to simultaneously estimate the emissions of NO_x, SO₂, CO, PM_{2.5} and PM₁₀ in China
27 during COVID-19 restrictions with high temporal (daily) and horizontal (15km) resolutions. Subsequently, contributions of
28 emission changes versus meteorology variations during COVID-19 lockdown were separated and quantified. The results
29 demonstrated that the inversion system effectively reproduced the actual emission variations of multi-air pollutants in China
30 during different periods of COVID-19 lockdown, which indicate that the lockdown is largely a nationwide road traffic control
31 measure with NO_x emissions decreased substantially by ~40%. However, emissions of other air pollutants were found only
32 decreased by ~10%, because power generation and heavy industrial processes were not halted during lockdown, and residential
33 activities may actually have increased due to the stay-at-home orders. Consequently, although obvious reductions of PM_{2.5}
34 concentrations occurred over North China Plain (NCP) during lockdown period, the emission change only accounted for 8.6%
35 of PM_{2.5} reductions, and even led to substantial increases of O₃. The meteorological variation instead dominated the changes
36 in PM_{2.5} concentrations over NCP, which contributed 90% of the PM_{2.5} reductions over most parts of NCP region. Meanwhile,

37 our results suggest that the local stagnant meteorological conditions together with inefficient reductions in PM_{2.5} emissions
38 were the main drivers of the unexpected PM_{2.5} pollution in Beijing during lockdown period. These results highlighted that
39 traffic control as a separate pollution control measure has limited effects on the coordinated control of O₃ and PM_{2.5}
40 concentrations under current complex air pollution conditions in China. More comprehensive and balanced regulations for
41 multiple precursors from different sectors are required to address O₃ and PM_{2.5} pollution in China.

42 **1 Introduction**

43 A novel coronavirus disease (COVID-19) broke out in Wuhan at the end of 2019 but quickly spread across the whole
44 China within a month. To curb the spread of the virus, strict epidemic control measures were implemented by Chinese
45 governments to prevent large gatherings, including strict travel restriction, shutting down of non-essential industries, extended
46 holidays, closing of schools and entertainment houses (Cheng et al., 2020). These restrictions have had a significant impact on
47 the industrial activities and social life, as exemplified by the drop of China's industrial output by 15-30%
48 (<https://data.stats.gov.cn/>, last accessed on 22 Oct, 2022) and the dramatic decrease of traffic flow by 60–90% in major cities
49 of China during COVID-19 epidemic (<http://jiaotong.baidu.com/>, last accessed on 22 Oct, 2022), which provides us a natural
50 experiment to examine the responses of the emissions and air quality on the changes in human activities.

51 It has been well documented that the short-term stringent emission control targeted on power generator or heavy industry
52 enacted by Chinese government during certain societal events, such as the 2008 Olympics Games, 2014 Asia-Pacific Economic
53 Cooperation conference and 2015 China Victory Day Parade, is an effective way to reduce emissions and improve air quality
54 (Okuda et al., 2011; Wang et al., 2014; Tang et al., 2015; Zhang et al., 2016; Wu et al., 2020; Chu et al., 2018). However,
55 different from those stringent emission controls, the COVID-19 restrictions are inclined to affect emissions from sectors more
56 closely to social life whose influence on emissions has still not well been assessed. Previous studies suggest that the COVID-
57 19 restrictions have substantially reduced the China's anthropogenic emissions from almost all sectors (Zheng et al., 2021;
58 Huang et al., 2021; Xing et al., 2020). For example, by using a bottom-up method based on near-real-time activity data, Zheng
59 et al. (2021) reported that the emissions of NO_x, SO₂, CO and primary PM_{2.5} decreased by 36%, 27%, 28% and 24% during
60 COVID-19 restrictions, mostly due to the reductions in industry and transportation sector. Xing et al. (2020), by using a
61 response model, estimated stronger COVID-19 shutdown effects on emissions over the North China Plain (NCP) with
62 emissions of NO_x, SO₂ and primary PM_{2.5} dropped by 51%, 28% and 63%, respectively. Others argue that the COVID-19
63 restriction may mainly affect the emissions from transportation, light industry and manufacturing, while it has much smaller
64 effects on the emissions from the power generator and heavy industry because of their non-interruptible processes (Chu et al.,
65 2021; Hammer et al., 2021; Le et al., 2020; Zhao et al., 2020). Moreover, the residential emissions may even increase during
66 the COVID-19 lockdown due to the increased demanding for space heating and cooking with the stay-at-home orders.
67 Therefore, Le et al. (2020) only considered the NO_x reductions during COVID-19 restrictions in their investigation of the
68 severe haze during COVID-19 lockdown, and similarly, Hammer et al. (2021) only considered the emission reductions in the

69 transportation sector. This indicates that there has large uncertainty in the current understanding of the effects of COVID-19
70 restrictions on the emissions of different species.

71 Quantification of the emission changes of different species and different sectors during the COVID-19 lockdown is thus
72 necessary for the comprehensive understanding of the environmental impacts of COVID-19 restrictions. In particular, although
73 observations indeed show decreases of air pollutant concentrations during COVID-19 restrictions (Fan et al., 2020; Wang et
74 al., 2021; He et al., 2020; Shi and Brasseur, 2020), the air quality improvement is much smaller than the expected (Shi et al.,
75 2021; Diamond and Wood, 2020; Yan et al., 2022). Moreover, severe haze still occurred in northern China (Sulaymon et al.,
76 2021; Le et al., 2020) and O₃ concentrations even showed significant increases (Zhang et al., 2021; Li et al., 2020). A number
77 of studies were conducted to explain this anomalous air quality change by analyzing the effects of emission changes,
78 meteorological variations and secondary production (Huang et al., 2021; Le et al., 2020; Hammer et al., 2021; Zhao et al.,
79 2020; Zhao et al., 2021; Sulaymon et al., 2021; Wang et al., 2020; Li et al., 2021). However, due to the unknown emission
80 changes during COVID-19 restrictions, the emission reduction scenarios that used to represent the COVID-19 shutdown effects
81 varied among different studies and did not consider the spatial and temporal heterogeneity of the emission changes, leading to
82 biases in the model simulation (Zhao et al., 2021; Li et al., 2021; Hammer et al., 2021; Zheng et al., 2021) and uncertainty in
83 the quantification of the contributions of different factors.

84 Pioneer studies by Zheng et al. (2021) and Forster et al. (2020) have derived multi-air pollutant emissions from social
85 activity data using a bottom-up method, but due to the lack of detailed social activity data, large uncertainties existed in their
86 estimates. The meteorologically and seasonally driven variability of the concentrations of air pollutants also prohibit drawing
87 fully quantitative conclusions on the changes of emissions based on observations alone (Levelt et al., 2022). The emission
88 inversion technique, which takes advantage of the chemical transport model (CTM) and real-time observations, provides an
89 attractive way to estimate the sector-specific and space-based emission changes during COVID-19 restrictions, as shown in
90 Zhang et al. (2020), Zhang et al. (2021), Feng et al. (2020) and Hu et al. (2022). However, these studies only inverted the
91 emissions of single species (e.g., NO_x and SO₂) without insights into multiple species. In view of this discrepancy, in this study
92 we developed a multi-air pollutant inversion system to simultaneously estimate the multi-air pollutant emissions in China,
93 including NO_x, SO₂, CO, PM_{2.5} and PM₁₀, during the COVID-19 restrictions using an ensemble Kalman filter (EnKF) and
94 surface observations from the China National Environmental Monitoring Centre (CNEMC). Subsequently, the inverted
95 emission inventory was used to quantify the contributions of emission changes versus meteorology variations to the changes
96 in PM_{2.5} and O₃ concentrations over the NCP region during the COVID-19 restrictions.

97 **2 Method and data**

98 We developed a high-resolution multi-air pollutant inversion system to estimate the daily emissions of NO_x, SO₂, CO,
99 PM_{2.5} and PM₁₀ in China from 1 Jan to 29 Feb 2020 when the COVID-19 pandemic was at its most serious and the effects of
100 the COVID-19 restrictions were most profound in China. This system uses the NAQPMS (Nested Air Quality Prediction

101 Modelling System) model as the forecast model and the EnKF coupled with the state argumentation method as the inversion
102 method. It has the capabilities of simultaneous inversion of multi-air pollutant emissions at high temporal (daily) and spatial
103 (15km) resolutions. An iteration inversion scheme was also developed in this study to address the large biases in the a priori
104 emissions. In order to better characterize the emission changes during the COVID-19 restrictions, the whole time period was
105 divided into three periods according to different control phases of COVID-19 and the timing of the Chinese Lunar New Year:
106 before lockdown (P1, January 1-20), lockdown (P2, January 21-February 9) and after back-to-work day (P3, February 10-29).
107 Emission changes in different regions of China were also analyzed, including the North China Plain (NCP), Northeast China
108 (NE), Southeast China (SE), Southwest China (SW), Northwest China (NW) and Central regions (defined in Fig. 1) to
109 investigate the responses of emissions to the COVID-19 restrictions in different regions. In the following sections, we briefly
110 introduce each component of the inversion system.

111 **2.1 Chemical transport model and its configuration**

112 The NAQPMS model was used as the forecast model to represent the atmospheric chemistry in this study, which has been
113 used in previous inversion studies (Tang et al., 2011; Tang et al., 2013; Kong et al., 2019; Wu et al., 2020), where detailed
114 descriptions of NAQPMS are available. The Weather Research and Forecasting Model (WRF)(Skamarock, 2008) is used to
115 provide the meteorological inputs to the NAQPMS model.

116 Figure 1 shows the modelling domain of this study with a high horizontal resolution of 15 km. The a priori emission
117 inventory used in this study includes monthly anthropogenic emissions from the HTAP_v2.2 emission inventory for the base
118 year of 2010 (Janssens-Maenhout et al., 2015), biomass burning emissions from the Global Fire Emissions Data base (GFED)
119 version 4 (Randerson et al., 2017; Van Der Werf et al., 2010), biogenic volatile organic compound (BVOC) emissions from
120 MEGAN-MACC (Sindelarova et al., 2014), marine volatile organic compound emissions from the POET database (Granier et
121 al., 2005), soil NO_x emissions from the Regional Emission inventory in Asia (Yan et al., 2003) and lightning NO_x emissions
122 from Price et al. (1997). Chemical top and boundary conditions were provided by the global CTM MOZART (Model for
123 Ozone and Related Chemical Tracers) (Brasseur et al., 1998; Hauglustaine et al., 1998). We assumed no monthly variations in
124 the a priori emission inventory and used January's emission inventory for the whole simulation period so that the emission
125 variation was solely derived from the surface observations. A two-week free run of NAQPMS was conducted as a spin-up
126 time. For each day's meteorological simulation, a 36-h free run of WRF was conducted, of which the first 12-h simulation was
127 a spin-up run and the next 24-h simulation provided the meteorological inputs to NAQPMS. Initial and boundary conditions
128 for the meteorological simulation were provided by the National Center for Atmospheric Research/National Center for
129 Environment Prediction (NCAR/NCEP) 1° × 1° reanalysis data. Evaluation results for the WRF simulation are available in
130 Text S1 in Supplement.

131 2.2 Surface Observations

132 The hourly concentrations of NO₂, SO₂, CO, PM_{2.5} and PM₁₀ from CNEMC were used in this study to estimate the
133 emissions during COVID-19. The spatial distributions of these observation sites are shown in Fig. 1, which contains 1436
134 observation sites covering most regions of China. Before assimilation, outliers of observations were first filtered out using the
135 automatic outlier detection method developed by Wu et al. (2018) to prevent the adverse effects of the outliers on data
136 assimilation. Then, the hourly concentrations were averaged to the daily values for the inversions of daily emissions.

137 The observation error is one of the key inputs to the data assimilation, which together with the background error determine
138 the relative weights of the observation and background values on the analysis. The observation error includes measurement
139 error and representativeness error. The measurement error of each species was designated according to the officially released
140 documents of the Chinese Ministry of Ecology and Environmental Protection (HJ 193-2013 and HJ 654-2013, available at
141 <http://www.cnemc.cn/jcgf/dqhj/>, last accessed on 22 Oct 2022), which is 5% for PM_{2.5} and PM₁₀ and 2% for SO₂, NO₂ and
142 CO. A representativeness error arises from the different spatial scales that the discrete observation data and model simulation
143 represent, which was estimated based on the previous study by Li et al. (2019) and Kong et al. (2021). It should be noted that
144 the NO₂ measurement from CNEMC is made by the chemiluminescent analyser with a molybdenum converter. Due to the
145 interference of HNO₃, PAN and alkyl nitrates (AN), the NO₂ concentrations can be overestimated (Dunlea et al., 2007; Lamsal
146 et al., 2008) that may lead to spurious decreases in NO_x emissions during the lockdown period. Previous studies usually use
147 chemical transport model to simulate NO_x, HNO₃, PAN and AN to produce correction factors (CFs) for the NO₂ measurements
148 (Cooper et al., 2020; He et al., 2022) using the following relationship proposed by Lamsal et al. (2008):

$$149 \quad CF = \frac{[NO_2]}{[NO_2] + 0.95[PAN] + 0.35[HNO_3] + \sum[AN]} \quad (1)$$

150 but the calculation of CF could be affected by the simulation errors in the model caused by uncertainties in emission inventory
151 or other error sources, which may contaminate the observations. Therefore, similar to Feng et al. (2020), we did not correct
152 the NO₂ measurement in our inversion of NO_x emissions since there were large uncertainties in the NO_x emissions during the
153 COVID-19 pandemic that possibly led to erroneous CF. Since the EnKF considered the errors in observations through the use
154 of observation error covariance matrix, the chemiluminescence monitor interference to NO₂ measurement were treated as the
155 observation error during the assimilation. A sensitivity inversion experiment was also conducted based on the corrected NO₂
156 measurement using CF, which suggests that the chemiluminescence monitor interference only have small impacts on the
157 inversed NO_x emission in terms of magnitude and its variation during COVID-19 pandemic. Detailed results of the sensitivity
158 experiment are available in Text S2 in Supplement.

159 2.3 Inversion estimation scheme

160 The EnKF coupled with the state augmentation method was used in this study to constrain the emissions of multiple
161 species. EnKF is an advanced data assimilation method proposed by Evensen (1994) that features representation of the
162 uncertainties of the model state by a stochastic ensemble of model realizations. Different from the mass balance method used

163 in Zhang et al. (2020) and Zhang et al. (2021) that has difficulties in accounting for nonlinear relationship between emissions
 164 and concentrations and is more suitable for short-lived species (e.g. NO_x) under relatively coarse (>1°) resolutions (Streets et
 165 al., 2013), the EnKF can consider the indirect relationship between emissions and concentrations caused by complex physical
 166 and chemical processes in the atmosphere through the use of flow-dependent background error covariance produced by
 167 ensemble CTM forecasts (Evensen, 2009; Miyazaki et al., 2012). Compared with the four-dimensional variational assimilation
 168 method used in Hu et al. (2022), the EnKF method has comparable computational cost (Skachko et al., 2014) but is more easily
 169 implemented without the need to develop complicated adjoint models for complex CTMs. The state augmentation method is
 170 a commonly used parameter estimation method (Tandeo et al., 2020), in which the emissions of multi species are treated as
 171 state variable and are simultaneously updated according to the relationship between the emissions and concentrations of related
 172 species. Due to the chemical reactions in the atmosphere, the concentrations of different species are interrelated with each
 173 other. For example, the ambient PM_{2.5} is not only primarily emitted, but also formed secondarily through reactions with several
 174 gaseous precursors, such as NO₂ and SO₂. This means that the estimations of PM_{2.5} emission by single inversed estimation
 175 method could be biased if the errors in NO₂ and SO₂ emissions were not corrected synchronously. Therefore, it is beneficial
 176 to do the multi-species inversion estimation which can provide more constraints on the atmospheric chemical system and lead
 177 to more reasonable inversion results. Meanwhile, the use of EnKF method coupled with the state augmentation method allows
 178 the estimations of multi-species emissions almost without additional computational cost.

179 Appropriate estimation of the uncertainty in emissions and chemical concentrations is important for the performance of
 180 inversion estimation using EnKF. Since the source emission data over mainland China in HTAP_v2.2 inventory is obtained
 181 from the MIX inventory (Li et al., 2017b), the uncertainties of emissions of different species, including PMF, PMC, BC, OC,
 182 NO_x, CO, SO₂, NH₃ and NMVOC (nonmethane volatile organic compounds), were obtained from Li et al. (2017b) and Streets
 183 et al. (2003), which were represented by an ensemble of perturbed emissions generated by multiplying the a priori emissions
 184 with a perturbation factor $\beta_{i,s}$:

$$185 \mathbf{E}_{i,s} = \beta_{i,s} \circ \mathbf{E}_s^p, \quad i = 1, 2, \dots, N_{ens} \quad (2)$$

186 where $E_{i,s}$ represents the vector of the i th member of perturbed emissions for species s , E_s^p represents the a priori emissions
 187 for this species, \circ denotes the schur product and N_{ens} denotes the ensemble size. In this way, the adjustment of emissions is
 188 equivalent to the adjustment of perturbation factors.

189 In terms of the uncertainty in chemical concentrations, considering that emission uncertainty is the major contributor to
 190 the uncertainties in air quality modelling, especially during the COVID-19 period when emissions changed rapidly,
 191 uncertainties in chemical variables were obtained through ensemble simulations driven by perturbed emissions. The ensemble
 192 size was chosen as 50 to maintain the balance between the filter performance and computational cost. After the ensemble
 193 simulations, emissions of multiple species were updated using a deterministic form of EnKF (DEnKF) proposed by Sakov and
 194 Oke (2008), which is formulated by

$$195 \bar{\mathbf{x}}^a = \bar{\mathbf{x}}^b + \mathbf{P}_e^b \mathbf{H}^T (\mathbf{H} \mathbf{P}_e^b \mathbf{H}^T + \mathbf{R})^{-1} (\mathbf{y}^o - \mathbf{H} \bar{\mathbf{x}}^b) \quad (3)$$

$$196 \quad \bar{\mathbf{x}}^b = \frac{1}{N} \sum_{i=1}^N \mathbf{x}_i^b; \mathbf{X}_i^b = \mathbf{x}_i^b - \bar{\mathbf{x}}^b \quad (4)$$

$$197 \quad \mathbf{P}_e^b = \frac{1}{N-1} \sum_{i=1}^N \mathbf{X}_i^b (\mathbf{X}_i^b)^T \quad (5)$$

198 where \mathbf{x} denotes the state variables; b the background state (a priori); a the analysis state (posteriori); \mathbf{P}_e^b the ensemble-
 199 estimated background error covariance matrix and N the ensemble size. \mathbf{y}^o represents the vector of observations with an error
 200 covariance matrix of \mathbf{R} . \mathbf{H} is the linear observational operator that maps the m -dimensional state vector \mathbf{x} to a p - (number of
 201 observations) dimensional observational vector ($\mathbf{H}\bar{\mathbf{x}}^b$). The state variables were defined as follows according to state
 202 augmentation method during the assimilation:

$$203 \quad \mathbf{x}_i = [\mathbf{c}_i, \boldsymbol{\beta}_i]^T, i = 1, 2, \dots, N_{ens} \quad (6)$$

$$204 \quad \mathbf{c}_i = [\mathbf{PM}_{2.5}, \mathbf{PM}_{10-2.5}, \mathbf{NO}_2, \mathbf{SO}_2, \mathbf{CO}]_i \quad (7)$$

$$205 \quad \boldsymbol{\beta}_i = [\boldsymbol{\beta}_{PMF}, \boldsymbol{\beta}_{BC}, \boldsymbol{\beta}_{OC}, \boldsymbol{\beta}_{PMC}, \boldsymbol{\beta}_{NO_x}, \boldsymbol{\beta}_{SO_2}, \boldsymbol{\beta}_{CO}]_i \quad (8)$$

206 where \mathbf{x}_i represents the i th member of the assimilated state variable, which consists of the fields of chemical variables \mathbf{c}_i and
 207 emission perturbation factors $\boldsymbol{\beta}_i$. Detailed descriptions of the model state variables are summarized in Table 1. The use of
 208 $\text{PM}_{10-2.5}$ (PM_{10} minus $\text{PM}_{2.5}$) values was aimed to avoid the potential cross-correlations between $\text{PM}_{2.5}$ and PM_{10} (Peng et al.,
 209 2018; Ma et al., 2019). Moreover, to prevent spurious correlations between non- or weakly related variables, similar to Ma et
 210 al. (2019) and Miyazaki et al. (2012), state variable localization was used during assimilation, with observations of one
 211 particular species only used in the updates of the same species' emission rate. Corresponding relationship between the chemical
 212 observations and adjusted emissions is summarized in Table 1. The $\text{PM}_{2.5}$ observations were one exception and were used to
 213 update the emissions of PMF (fine mode unspiciated aerosol), BC (black carbon) and OC (organic carbon) since the
 214 observations of speciated $\text{PM}_{2.5}$ were not available in this study. The lack of speciated $\text{PM}_{2.5}$ observations may lead to
 215 uncertainties in the estimated emissions of PMF, BC and OC. Therefore, we only analyzed the emissions of $\text{PM}_{2.5}$, which were
 216 the sum of the emissions of these three species. Similarly, only PM_{10} emissions were analyzed in this study, which includes
 217 the emissions of $\text{PM}_{2.5}$ and PMC (coarse mode unspiciated aerosol).

218 Due to the strict control measures implemented during the last decades, the emissions in China decreased dramatically
 219 from 2010 to 2020, especially for SO_2 . Thus, there are large biases in the a priori estimates of emissions in China (Zheng et
 220 al., 2018), which would lead to incomplete adjustments of the a priori emissions and degrade the performance of assimilation.
 221 Therefore, an iteration inversion scheme was developed in this study to address the large biases of SO_2 emissions. As illustrated
 222 in Fig. 2, the main idea of the iteration inversion scheme is to update the ensemble mean of the state variable using the inversion
 223 results of the k th iteration and corresponding simulations. The state variable used in the $(k+1)$ th inversions is written as
 224 follows:

$$225 \quad \mathbf{x}_i^{k+1} = [\mathbf{c}^k + \mathbf{c}_i^e - \bar{\mathbf{c}}^e, \boldsymbol{\beta}^k + \boldsymbol{\beta}_i^e - \bar{\boldsymbol{\beta}}^e]^T \quad (9)$$

226 where \mathbf{c}^k represents the simulation results using the inversed emissions of the k th iteration, \mathbf{c}_i^e represents the i th member of
 227 ensemble simulations with an ensemble mean of $\bar{\mathbf{c}}^e$, $\boldsymbol{\beta}^k$ represents the perturbation factors of the k th iteration, and
 228 $\boldsymbol{\beta}_i^e$ represents the i th member of the ensemble of perturbation factors with a mean value of $\bar{\boldsymbol{\beta}}^e$.

229 Using this method, the problems of large biases in the a priori emissions were well addressed as exemplified in Fig. 3 for
 230 SO₂ emissions. It can be clearly seen that due to the large positive biases in the a priori SO₂ emissions, the model still has large
 231 positive biases (NMB = 30.9–220.5%) and errors (RMSE = 8.7–23.0 μg/m³) in simulated SO₂ concentration over all regions
 232 of China even after assimilation (first iteration). However, the biases and errors continued to decrease with the increasing of
 233 iteration times till the fourth iteration in which there were no significant improvement in SO₂ simulations compared to those
 234 in third iteration. These results suggested that the iteration inversion method used in this study can well constrain the a priori
 235 emission with large biases and, in this application, conducting three iteration is enough for constraining the emission. Besides
 236 SO₂ emissions, the iteration inversion scheme was also applied to the emissions of other species. Meanwhile, to reduce the
 237 influences of random model errors (e.g., errors in meteorological inputs) on the estimation of the variation in emissions, a 15-
 238 day running average was performed on our daily inversion results after the inversion estimation.

239 2.4 Quantification of the effects of emission changes and meteorological variations

240 In previous studies, the meteorological-induced (MI) changes were usually determined by the CTM with a fixed emission
 241 input setting and a varying meteorological input. Then, the difference between the MI changes and total changes in air pollutant
 242 concentrations is defined as emission-induced (EI) changes. Another approach to estimate EI changes is to perform simulations
 243 with a fixed meteorological input setting and varying emission inputs. Then, the MI changes are defined as the difference
 244 between EI changes and total changes in air pollutant concentrations. Due to the nonlinear effects of atmospheric chemical
 245 systems, these two methods yield different results. Thus, both methods were used in this study to account for the nonlinear
 246 effects. The averaged results of these two methods are used to represent the impacts of emission changes and meteorological
 247 variation on the air quality changes during the COVID-19 restrictions. In total, three scenario experiments were designed based
 248 on our inversion results (Table 2). The first scenario simulation used the varying meteorological and emission inputs from the
 249 P1 to P2 period, which represents the real-world scenario and is used to estimate the total changes in air pollutant concentrations
 250 induced by emissions and meteorological changes from the P1 to P2 period (BASE scenario). The second scenario experiment
 251 used the varying meteorological inputs but replaced the emissions during the P2 period with those during the P1 period, which
 252 was used to estimate the MI changes using the first method (MET change scenario). The third scenario experiment used the
 253 varying emissions input and replaced the meteorological input during the P2 period with that during the P1 period, which was
 254 used to estimate the EI changes using the second method (EMIS change scenario). Based on the first method, the MI and EI
 255 changes can be estimated as follows:

$$256 \quad MI_{MET \text{ change scenario}} = conc_{p2, MET \text{ change scenario}} - conc_{p1, MET \text{ change scenario}} \quad (10)$$

$$257 \quad EI_{MET \text{ change scenario}} = conc_{p2, BASE \text{ scenario}} - conc_{p1, BASE \text{ scenario}} - MI_{MET \text{ change scenario}} \quad (11)$$

258 where $MI_{MET\ change\ scenario}$ represents the MI changes estimated based on the results from the MET change scenario,
 259 $conc_{p1, MET\ change\ scenario}$ and $conc_{p2, MET\ change\ scenario}$ represent the averaged concentrations of air pollutants during the P1
 260 and P2 periods under the MET change scenario, $EI_{MET\ change\ scenario}$ represents the EI changes estimated based on the results
 261 from the MET change scenario, and $conc_{p1, BASE\ scenario}$, $conc_{p2, BASE\ scenario}$ respectively represent the averaged
 262 concentrations of air pollutants during the P1 and P2 periods under the BASE scenario. Similarly, the MI and EI changes
 263 estimated based on the second method are formulated as follows:

$$264 \quad EI_{EMIS\ change\ scenario} = conc_{p2, EMIS\ change\ scenario} - conc_{p1, EMIS\ change\ scenario} \quad (12)$$

$$265 \quad MI_{EMIS\ change\ scenario} = conc_{p2, BASE\ scenario} - conc_{p1, BASE\ scenario} - EI_{EMIS\ change\ scenario} \quad (13)$$

266 Then, the estimations from these two methods are averaged to estimate the contributions of meteorological change and
 267 emission change to the changes in $PM_{2.5}$ and O_3 concentrations during the COVID-19 lockdown:

$$268 \quad MI = (MI_{EMIS\ change\ scenario} + MI_{MET\ change\ scenario})/2 \quad (14)$$

$$269 \quad EI = (EI_{EMIS\ change\ scenario} + EI_{MET\ change\ scenario})/2 \quad (15)$$

$$270 \quad contri_{met} = \frac{MI}{MI+EI} \times 100 \quad (16)$$

$$271 \quad contri_{emis} = \frac{EI}{MI+EI} \times 100 \quad (17)$$

272 where $contri_{met}$ and $contri_{emis}$ represent the relative contributions (%) of the meteorological variations and emission
 273 changes to the changes in air pollutant concentrations. Detailed definition of each notation used in the calculation of MI and
 274 EI is given in Table 3.

275 **3 Results**

276 **3.1 Validation of the inversion results**

277 We firstly validate our inversion system by using a cross-validation method, in which 20% of observation sites were
 278 withheld from the emission inversion and used as the validation datasets. Figure S1–6 showed the concentrations of different
 279 air pollutants in China from 1st Jan to 29th Feb 2020 obtained from observations at validation sites and simulations using a
 280 priori and a posteriori emission. Commonly used statistical evaluation indices, including correlation coefficient (R), mean bias
 281 error (MBE), normalized mean bias (NMB) and root of mean square error (RMSE) are summarized in Table S1. The validation
 282 results suggest that the posteriori simulation agreed well with the observed concentrations for all species. The large biases in
 283 the a priori simulation of $PM_{2.5}$, PM_{10} , SO_2 and CO were almost completely removed in the a posteriori simulation with NMB
 284 about -3.9–15.7% for $PM_{2.5}$, -3.1–11.6% for PM_{10} , -12.6–5.3% for NO_2 , -9.5–6.2% for SO_2 and -10–7.6% for CO (Table S1).
 285 RMSE values were also significantly reduced in the a posteriori simulation which were 9.1–32.2 $\mu g/m^3$ for $PM_{2.5}$, 12.6–
 286 42.4 $\mu g/m^3$ for PM_{10} , 5.1–12.3 $\mu g/m^3$ for NO_2 , 1.2–5.6 $\mu g/m^3$ for SO_2 and 0.10–0.46 mg/m^3 for CO. Moreover, the inversion
 287 emission considerably improved the fit to the observed time evolution of air pollutants' concentrations. The R values were

288 improved for all species in the a posteriori simulation that were up to 0.74–0.94 for PM_{2.5}, 0.63 – 0.92 for PM₁₀, 0.76–0.94 for
289 NO₂, 0.23–0.79 for SO₂ and 0.63–0.92 for CO. These results suggest that our inversion results have excellent performance in
290 representing the magnitude and variation of these species' emission in China during COVID-19 restrictions. Model
291 performance in simulating O₃ concentration is relatively poor compared to other species although improvement was
292 remarkable in NCP, NE and SE regions. This would be due to the use of outdated emission inventory for base year 2010 and
293 that the emission of non-mental volatile organic compounds (NMVOC), another important precursor for O₃, were not
294 constrained in this study. As shown in fig. S7, the NMVOC emissions for base year 2010 were generally lower than those for
295 2018 except over the SW regions. Considering the increasing trend of NMVOC emissions in China (Li et al., 2019), the
296 underestimates of NMVOC emissions for base year 2020 could be larger. This is in line with the negative biases in the
297 simulated O₃ concentrations over these regions.

298 **3.2 Emission changes of multi-species during COVID-19 restrictions**

299 **3.2.1 Unbalanced emission changes between NO_x and other species**

300 The control of COVID-19 began on 23rd January when the Chinese government declared the first level of national
301 responses to public health emergencies, one day before the 2020 Chinese New Year Eve. Figure 4 shows the time evolution
302 of the normalized emission anomaly for different species in China from 1st January to 29th February. The temporal variation
303 in the emission varied largely between NO_x and other species. Due to the combined effects of the Spring Festival and COVID-
304 19 lockdown, NO_x emissions decreased continuously at the beginning of January until approximately one week after the
305 implementation of the COVID-19 lockdown, with estimated decreases in NO_x emissions of up to 42.5% from the P1 to P2
306 period (Table 4). Subsequently, the NO_x emissions stabilized with small fluctuations until the official back-to-work day when
307 the NO_x emissions began to increase due to easing of the control measures and the resumption of business. According to
308 inversion estimation, NO_x emissions recovered by 3.9% during the P3 period. These results indicate that the temporal variation
309 in our estimated NO_x emissions agreed well with the timing of the Spring Festival and different control stages of COVID-19.
310 However, for other species (i.e., PM_{2.5}, PM₁₀, SO₂ and CO), although their emissions generally decreased from 1st January to
311 the end of the 2020 Spring Festival holiday, they showed much smaller reductions than the NO_x emissions. The emission
312 reduction for these species was only approximately 7.9-12.1% (Table 4). This is consistent with the inversion results by Hu et
313 al. (2022) who found that SO₂ emissions in China decreased only by 9.2% during COVID-19 lockdown. In addition, the
314 emissions of these species quickly rebounded to their normal level just one week after the end of the Spring Festival holiday.
315 As estimated by our inversion results, the SO₂ emissions recovered by 7.2% during the P3 period, which was only 2.5% lower
316 than that during the P1 period. The PM_{2.5} and PM₁₀ emissions during the P3 period were 3.3% and 43.6% higher, respectively,
317 than those during the P1 period.

318 Similar results were found in different regions of China (Fig. 5 and Table 5), where the NO_x emissions decreased much
319 more than other species. In addition, unlike the uniform decreases in NO_x emissions in different regions of China (~40%),

320 there was apparent spatial heterogeneity in the emission changes in PM_{2.5}, PM₁₀, SO₂ and CO (Table 5 and Fig. 6). For example,
321 from the P1 to P2 period, the PM_{2.5} emissions decreased by over 20% in the Central region but only by 8.8% in the NE region.
322 The PM_{2.5} emissions even increased by 5.5% in the NCP region. This may be due to the increased emissions from industry
323 and fireworks according to the field measurements conducted by previous studies (Li et al., 2022; Ma et al., 2022; Zuo et al.,
324 2022; Dai et al., 2020). Based on the measurement of stable Cu and Si isotopic signature and distinctive metal ratios in Beijing
325 and Hebei, Zuo et al. (2022) analyzed the variations in the PM_{2.5} sources during the COVID-19 pandemic, who reported that
326 the primary PM_{2.5} emissions did not decrease in Beijing and Hebei, and that the PM-associated industrial emissions may
327 actually increase during the lockdown period. The increased industrial heat sources detected by Li et al. (2022) based on VIIRS
328 active fire data also supported the increased industrial emissions over the NCP region during lockdown period. Meanwhile,
329 consistent with the field measurements in Beijing and Tianjin conducted by Ma et al. (2022) and Dai et al. (2020), substantial
330 high levels of potassium (K⁺) and magnesium (Mg²⁺) ion were found over the NCP region during the Spring Festival according
331 to the aerosol chemical composition measurements obtained from CNEMC (Fig. S8). Since K⁺ and Mg²⁺ are two important
332 fingerprints of the firework emissions, the high levels of K⁺ and Mg²⁺ suggest that the emissions from fireworks during Spring
333 Festival were also a potential contributor to the increased of PM_{2.5} emissions over the NCP region. In contrast, the SW and
334 central regions exhibited relatively larger emission reductions for these species (Fig. 5 and Table 5) by 12.6–25.9% and 10.6–
335 23.7%, respectively. The emission rebound during the P3 period was more prominent in the SE, central and SW regions (Fig.
336 5 and Fig. 7), where emissions recovered by 6.0–16.4% for NO_x, 7.5–19.8% for SO₂, 7.4–13.1% for CO, 12.3–47.7% for PM_{2.5}
337 and 28.6–135.9% for PM₁₀ (Table 5). This result is consistent with the earlier degradation of the response level to the COVID-
338 19 virus (from the first level to the second or third level) over these regions (Table S2). In contrast, there were decreases in
339 emissions in the NCP, NE and NW regions. PM_{2.5} emissions were reduced by 9.9% in the NCP region and by 19.2% in the
340 NE region from the P2 to P3 period (Table 5). Moreover, we found that the PM₁₀ emissions surged in the NW and central
341 regions, where the PM₁₀ emissions during the P3 period were almost two times larger than those during the P2 period (Table
342 5). However, this finding may be related to the enhanced dust emissions over these two regions rather than the effects of
343 returning to work according to the decreased PM_{2.5}/PM₁₀ ratios during the P3 period. According to Fig.S9, the PM_{2.5}/PM₁₀
344 ratio was relatively stable during the P1 and P2 period, but it decreased substantially during the P3 period, from 0.81 to 0.48
345 over the NW region and from 0.77 to 0.53 over the Central region. A lower PM_{2.5}/PM₁₀ ratio commonly suggests that the PM₁₀
346 is more likely to be attributed to natural sources such as dust (Wang et al., 2015; Fan et al., 2021). Moreover, the NW and
347 Central region are typical source areas of dust in China, therefore the increasing of PM₁₀ emissions over NW and Central
348 regions may be mainly related to the enhanced dust emissions. This demonstrates the necessity to consider changes in natural
349 emissions during COVID-19 restrictions. Thus, to reduce the effects of natural emissions on our findings, the same analysis
350 was performed for the emissions over southeast China (Fig. S10) where emissions were dominated by anthropogenic sources,
351 which shows consistent results with the findings above (Fig. S11 and Table S3).

352 3.2.3 Explanations for the emission changes during COVID-19 restrictions

353 Two explanations may help clarify the unbalanced emission changes between NO_x and other species. First, the COVID-
354 19 lockdown policy has led to dramatic decreases in transportation activities throughout China; however, as shown in Fig. 4,
355 the relative contributions of the transportation sector to the emissions of SO_2 (2.4%), CO (18.5%), $\text{PM}_{2.5}$ (6.1%) and PM_{10}
356 (4.7%) are much smaller than those for NO_x emissions (34.3%) (Zheng et al., 2018; Li et al., 2017a). Thus, the reduction in
357 traffic activities can only substantially decrease NO_x emissions. Reductions in CO emissions (-10.6%) were relatively larger
358 than those for SO_2 (-9.7%) and $\text{PM}_{2.5}$ (-7.9%) emissions, which is consistent with the relatively larger contributions of the
359 transportation sector to CO emissions. However, the differences in the percentage decreases in emissions of CO, SO_2 and $\text{PM}_{2.5}$
360 is not as significant as the differences in their transportation share (18% versus 2% and 6%). This may be on the one hand due
361 to the uncertainty in the estimated relative contributions of different sectors to the total emissions of CO, SO_2 and $\text{PM}_{2.5}$, on
362 the other hand were possibly due to the uncertainty in the emission inversions, especially considering that the decreasing trend
363 of CO, SO_2 and $\text{PM}_{2.5}$ were not significant. Also, other factors beyond transportation may have influenced the reductions of
364 anthropogenic emissions during P2 period. For example, the PM_{10} emissions showed the largest reductions among these four
365 species, which is related in part to the reduced dust emissions due to shutting down of construction sites during the lockdown
366 period (Li et al., 2020). Second, as shown in Fig. 4, the industrial and residential sectors are the major contributors to the
367 anthropogenic emissions of SO_2 , CO, $\text{PM}_{2.5}$ and PM_{10} in China, together contributing 77.6%, 78.3%, 86.5% and 86.3%,
368 respectively, to their total emissions. The much smaller reductions of these species' emissions were thus in line with the fact
369 that there were no intentional restrictions on heavy industry during the COVID-19 restrictions. A large number of non-
370 interruptible processes, such as steel, glass, coke, refractory, petrochemical, electric power, and especially heating, cannot be
371 stopped during the COVID-19 lockdown. According to statistical data from the National Bureau of Statistics of China (Fig.
372 S12), the industrial and power sectors did not show similar reductions in their activity levels as those seen in the transportation
373 sector. Power generation and steel production even showed increases in many provinces, which corresponds well with the
374 emission increases over these regions. In addition, since people were required to stay at home, residential emissions were likely
375 increased due to the increased energy consumption for heating or cooking. Therefore, our inversion results supported the views
376 that the emissions of species related to industrial and residential activities did not decline much during the lockdown period,
377 and that the COVID-19 lockdown policy was largely a traffic control measure with small influences on other sectors.

378 3.3 Investigation of air quality change over the NCP region during COVID-19 restrictions

379 Using the inversion results, we reassessed the environmental impacts of the COVID-19 restrictions on the air pollution
380 over NCP region. The NCP region was chosen because it is the key target region of air pollution control in China and where
381 unexpected severe haze occurred. A major caveat in previous studies that explored the impacts of COVID-19 lockdowns on
382 air quality is the uncertainty in the emission changes during COVID-19 restrictions. The inversion results enable us give a
383 more reliable assessment of the environmental impacts of COVID-19 restrictions. Figure 8 shows the observed changes in

384 PM_{2.5} and O₃ concentrations over the NCP region from the P1 to P2 period. The observations showed consistent reductions in
385 PM_{2.5} concentrations over the NCP region (by 13.6 μg/m³). However, substantial increases in PM_{2.5} concentrations were
386 observed in the Beijing area (by 31.2 μg/m³). In contrast to the widespread reductions in PM_{2.5} concentrations, the O₃
387 concentrations significantly increased over the whole NCP region (by 28.3 μg/m³) and the Beijing area (by 16.8 μg/m³). The
388 simulations based on our inversion results reproduced the observed changes in PM_{2.5} and O₃ concentrations over the NCP
389 region well, although the O₃ concentrations were underestimated in all regions (Fig. S6) and the changes in PM_{2.5} and O₃
390 concentrations were slightly overestimated by 1.6 and 2.6 μg/m³ in the simulation (Fig. 8).

391 As detailed in the Sect 2.4, the simulated changes in air pollutant concentrations before and after lockdown were
392 decomposed into meteorological-induced (MI) changes and emission-induced (EI) changes through two different scenarios to
393 account for the nonlinearity of the atmospheric chemical system. According to Fig. S13, the differences in calculated MI and
394 EI based on different scenarios were small for PM_{2.5} concentrations, which were about 2 μg/m³ in this application, while they
395 were relatively larger for O₃, which were around 5 μg/m³ over the Beijing and NCP region (Fig. S14). In addition, the sign of
396 calculated MI using different scenarios were opposite although both suggested weak contributions of meteorological variation
397 to the changes of O₃ concentrations. This suggests that the calculated MI and EI changes of O₃ concentrations could be more
398 sensitive to the used scenarios, which may be associated with the stronger chemical nonlinearity of the O₃ concentrations.
399 Figure 9 shows the mean results of the calculated MI and EI changes using the two different scenarios. It shows that the
400 meteorological variation dominated the changes in PM_{2.5} concentrations over the NCP region, which contributed 90% of the
401 PM_{2.5} reductions over most parts of the NCP region. Moreover, this variation made significant contributions (57.9%) to the
402 increases in PM_{2.5} concentrations over the Beijing area. This finding suggested that meteorological variations played an
403 irreplaceable role in the occurrence of the unexpected PM_{2.5} pollution around the Beijing area. Compared with the
404 meteorological conditions before lockdown (Fig. 10), there were increases in relative humidity over northern China, which
405 facilitated the reactions for aerosol formation and growth. Wind speed also decreased over the Beijing area accompanied by
406 an anomalous south wind, which facilitated aerosol accumulation and the transportation of air pollutants from the polluted
407 industrial regions of the Hebei Province to Beijing. The increases in boundary layer height from the P1 to P2 period were also
408 much smaller in the Beijing area than in other areas of the NCP. Thus, the Beijing area has exhibited distinct meteorological
409 variations from other areas of the NCP region, which correspond well to the different changes in PM_{2.5} concentrations over the
410 Beijing area.

411 The emission changes contributed slightly to the PM_{2.5} reductions over the NCP region (8.6%). This is because, on the
412 one hand, the large reductions in NO_x emissions (by 44.4%) only reduced nitrate by approximately 10–30% due to the nonlinear
413 effects of chemical reactions (Fig. 11), and on the other hand, the emissions of primary PM_{2.5} and its precursors from other
414 sectors changed little during the COVID-19 restrictions (Table 5). The emission changes contributed more to the increased
415 PM_{2.5} concentrations over the Beijing area (42.1%). This is mainly associated with the increases in primary PM_{2.5} emissions
416 around the Beijing area, as seen in Fig. 6, possibly due to the increased emissions from the industry as we mentioned before
417 (Zuo et al., 2022) and the increased firework emissions during the Spring Festival as shown by the rapid increases in

418 concentrations of K^+ and Mg^{2+} measured by CNEMC (Fig. S15). Therefore, our results suggested that the unexpected $PM_{2.5}$
419 pollution during lockdown period was mainly driven by unfavorable meteorological conditions together with small changes
420 or even increases in primary $PM_{2.5}$ emissions. This finding is in line with previous results of Le et al. (2020) but different from
421 those of Huang et al. (2021), who suggested that enhanced secondary aerosol formation was the main driver of severe haze
422 during the COVID-19 restrictions. To investigate it, we further analyzed the changes in the concentrations of secondary
423 inorganic aerosols (SIAs). First, we evaluated our model results against the observed SIA concentrations, which showed that
424 the model results using our inversion emissions well reproduced the observed concentrations of SIAs over the NCP region
425 (Fig. 12) with mean bias (MB) ranging from -5.14 to 5.45 $\mu\text{g}/\text{m}^3$ and correlation coefficient (R) ranging from 0.59 to 0.80. The
426 observed increases in SIA concentrations over the Beijing area, especially for sulfate concentrations, were also captured in our
427 simulations (Fig. 11), although underestimation occurred due to the uncertainty in simulating SIA concentrations. Through
428 sensitivity experiments, we found that the increases in SIA concentrations were still driven by meteorological variations (Fig.
429 13). In fact, the emission reductions only led to a 10% decrease in SIA concentrations over the NCP region. This finding
430 suggests that the enhanced secondary aerosol formation was likely mainly driven by the unfavorable meteorological conditions
431 associated with higher temperature and relative humidity instead of the emission reductions during the lockdown period. This
432 is in line with the observation evidences from Ma, T et al (2022) who emphasized that the increased temperature and relative
433 humidity promoted the formation of secondary pollutants during the COVID-19 restrictions.

434 In terms of O_3 concentrations, the emission changes subsequently became the dominant contributor to the O_3 increases
435 by more than 100% in the Beijing area and by 96.0% over the NCP region. This result is mainly because the lockdown period
436 occurred in midwinter when photochemical O_3 formation was minimal; thus, the large increase in O_3 is expected solely from
437 the effect of the reduced titration reaction associated with the large reductions in NO_x emissions. Although the higher
438 temperature and slower wind speed during the lockdown period were favorable for the increases in O_3 concentrations, their
439 contributions were much smaller than those of emission changes (Fig. 9). These results suggested that control measures, such
440 as COVID-19 restrictions, were inefficient for air pollution mitigation in China considering the high economic cost of the
441 COVID-19 restrictions.

442 We also compared our results with previous studies that differentiated the contributions of meteorology and emission to
443 the $PM_{2.5}$ and O_3 concentrations. Before comparisons, it should be noted that it is difficult to directly compare our results with
444 previous studies due the altered definition of meteorological contribution, different reference period that used to quantify the
445 meteorological contributions and different targeted region. For example, in Song et al. (2021), the reference period used to
446 determine the meteorological contribution is the corresponding period of COVID-19 pandemic in 2019. Le et al. (2020) used
447 the multiyear climatology as the reference period. In Wang et al. (2020) and Sulaymon et al. (2021), the MI changes of $PM_{2.5}$
448 concentrations were defined as the difference between the modeled concentrations in high-pollution days and those in low-
449 pollution days under hypothetical emission reduction scenario. Zhao et al. (2020) used a similar reference period to ours to
450 determine the MI changes but they used the outdated emission inventory. Table 6 summarized the results from the selected
451 studies over Beijing and Beijing-Tianjin-Hebei region. Note that some studies only provided the relative changes in the

452 modeled PM_{2.5} concentrations. It shows that due to the uncertainties in emission changes during COVID-19 pandemic, the EI
453 changes estimated by Zhao et al. (2020) were possibly overestimated compared to our studies (55% versus 24.7%). Both
454 Sulaymon et al. (2021) and Wang et al. (2020) suggested negative EI changes during COVID-19 period in Beijing. This
455 because they presumed that the emissions were largely reduced during COVID-19 lockdown which may deviate from the real
456 changes of emissions according to our inversion results. Meanwhile, although they used same method and reference period,
457 their results differed largely (-2.7 versus -13.4 $\mu\text{g}/\text{m}^3$) due to the different emission reduction scenario they assumed. Le et
458 al. (2020) only considered the emission reductions of NO_x in their sensitivity simulations without considerations of other
459 species, therefore their calculated EI changes may be underestimated compared to our results (almost 0% versus 24.7%).
460 However, the calculated MI changes were consistent between our study and Le et al. (2020). In terms of O₃, the calculated EI
461 changes by our study were also higher than that calculated by Zhao et al. (2020) in Beijing (85.7% versus 70%). These results
462 suggested that the EI and MI changes calculated by our study could be more reasonable, considering that the emissions of
463 different species were well constrained which could better represent the temporal variation and spatial heterogeneity of
464 emission changes during COVID-19.

465 **4 Conclusions and discussions**

466 The COVID-19 pandemic is an unprecedented event that significantly influenced the social activity and associated
467 emissions of air pollutants. Our results provide a quantitative assessment of the influences of COVID-19 restrictions on multi-
468 air pollutant emissions in China. Otherwise, understanding of the relationship between air quality and human activities may
469 be biased. The inversion results provide important evidences that the COVID-19 lockdown policy was largely a traffic control
470 measure with substantially reducing impacts on NO_x emissions but much smaller influences on the emissions of other species
471 and other sectors. Traffic control has widely been considered to be the normal protocol in implementing regulations in many
472 cities of China, but its effectiveness on air pollution control is still disputed (Han and Naeher, 2006; Zhang et al., 2007; Chen
473 et al., 2021; Cai and Xie, 2011; Chowdhury et al., 2017; Li et al., 2017c). Thus, the COVID-19 restrictions provided us with a
474 real nationwide traffic control scenario to investigate the effectiveness of traffic control on the mitigation of air pollution in
475 China. The results suggested that traffic control as a separate pollution control measure has limited effects on the coordinated
476 control of high concentrations of O₃ and PM_{2.5} under the current air pollution conditions in China. In this case, the PM_{2.5}
477 concentrations were slightly reduced, while leading to substantial increases in O₃ concentrations. Severe haze was also not
478 avoided during the COVID-19 restrictions due to unbalanced emission changes from other sectors and unfavorable
479 meteorological conditions. China is now facing major challenges in both controlling PM_{2.5} and controlling emerging O₃
480 pollution. The tragic COVID-19 pandemic has revealed the limitation of the road traffic control measure in the coordinated
481 control of PM_{2.5} and O₃. More comprehensive regulations for multiple precursors from different sectors are required in the
482 future to address O₃ and PM_{2.5} pollution in China.

483 Finally, there are certain limitations that should be aware of in our inversion work. Firstly, the COVID-19 restrictions
484 were initiated during the Spring Festival of China which would also influence the air pollutant emissions in China. However,
485 the inversion method used in this study did not differentiate the contributions of the Spring Festival from the COVID-19
486 restrictions. Similarly, the effects of natural emission changes were not differentiated in this study, which would lead to
487 uncertainty in quantifying the effects of the COVID-19 restrictions on air pollutant emissions. Secondly, the overestimations
488 of NO₂ measurement induced by chemiluminescence monitor interference were not directly corrected in our study due to the
489 lack of synchronous observations of HNO₃, PAN and AN, thus the estimated NO_x emissions could be slightly overestimated
490 according to the sensitivity run with corrected NO₂ measurement using CFs (Fig. S16–18). Meanwhile, the sensitivity results
491 suggest that the inversed NO_x emissions may even drop faster if the NO₂ measurement were corrected over the SE and SW
492 regions (Fig. S19). Thirdly, the use of outdated emission inventory as the a priori emission would also be a potential limitation
493 in our work although the iteration inversion method was used. A sensitivity inversion run was thus conducted based on the a
494 priori emission for a more recent year of 2018 to test the influence of the a priori emission inventory. This new emission
495 inventory is comprised of the anthropogenic emissions obtained from HTAPv3 (Crippa et al., 2023), the biogenic, soil and
496 oceanic emissions obtained from the CAMS global emission inventory
497 (<https://ads.atmosphere.copernicus.eu/cdsapp#!/dataset/cams-global-emission-inventories?tab=overview>, last access: March
498 15, 2023) and the biomass burning emissions obtained from the Global Fire Assimilation System (GFAS) (Kaiser et al., 2012).
499 Detailed steps of the new inversion estimation were same as those elucidated in Sect.2. The results suggest that the inversion
500 results based on the 2010 and 2018 inventory were broadly close to each other, while the inversion results based on 2018
501 inventory were relatively higher than those based on 2010 inventory, reflecting the uncertainty in our inversion results caused
502 by the choice of a priori emission inventory (Fig. S20–22). However, the sensitivity run consistently showed that the NO_x
503 emissions decreased much larger than other species (Fig. S23–24). This suggests that the choice of a priori emission inventory
504 may not obviously influence the main conclusion of our study, but can lead to uncertainty in the magnitude of the inversion
505 results which should be aware of by potential readers.

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517 **Tables**518 **Table 1. Corresponding relationship between the chemical observations and adjusted emissions**

Species	Descriptions	Observations that used for inversions of this species
BC	black carbon	PM _{2.5}
OC	organic carbon	PM _{2.5}
PMF	fine mode unspciated aerosol	PM _{2.5}
PMC	coarse mode unspciated aerosol	PM ₁₀ – PM _{2.5}
NO _x	nitrogen oxide	NO ₂
SO ₂	sulfur dioxide	SO ₂
CO	carbon monoxide	CO

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520 **Table 2. Configuration of simulation scenarios**

Scenarios	Meteorology input	Emission input	Purpose
BASE scenario	varied meteorological condition from pre lockdown to lockdown period	varied emission from pre-lockdown to lockdown period	To estimate the total changes of air pollutant concentrations induced by emission and meteorological change
MET change scenario	varied meteorological condition from pre-lockdown to lockdown period	constant emissions during pre-lockdown and lockdown period	To estimate the impacts of meteorological changes on the air pollutants
EMIS change scenario	constant meteorological during pre-lockdown and lockdown period	varied emission from pre-lockdown to lockdown period	To estimate the impacts of emission changes on the air pollutants

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529 **Table 3. Descriptions of different items used in the calculation of meteorological-induced and emission-induced changes of air**
 530 **pollutant concentrations**

notation	description
MI	meteorological-induced changes in air pollutant concentrations
EI	emission-induced changes in air pollutant concentrations
$MI_{MET\ change\ scenario}$	meteorological-induced changes in air pollutant concentrations calculated by the MET change scenario
$EI_{MET\ change\ scenario}$	emission-induced changes in air pollutant concentrations calculated by total changes minus $MI_{MET\ change\ scenario}$
$EI_{EMIS\ change\ scenario}$	emission-induced changes in air pollutant concentrations calculated by the EMIS change scenario
$MI_{EMIS\ change\ scenario}$	meteorological-induced changes in air pollutant concentrations calculated by total changes minus $EI_{EMIS\ change\ scenario}$
$conc_{p1,BASE\ scenario}$	averaged concentrations of air pollutants during P1 period under the BASE scenario
$conc_{p2,BASE\ scenario}$	averaged concentrations of air pollutants during P2 period under the BASE scenario
$conc_{p1,MET\ change\ scenario}$	averaged concentrations of air pollutants during P1 period under the MET change scenario
$conc_{p2,MET\ change\ scenario}$	averaged concentrations of air pollutants during P2 period under the MET change scenario
$conc_{p1,EMIS\ change\ scenario}$	averaged concentrations of air pollutants during P1 period under the EMIS change scenario
$conc_{p2,EMIS\ change\ scenario}$	averaged concentrations of air pollutants during P2 period under the EMIS change scenario
$contri_{met}$	relative contributions of the meteorological variations to the changes in air pollutant concentrations
$contri_{emis}$	relative contributions of the emission changes to the changes in air pollutant concentrations

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536 **Table 4. Inversion estimated emissions of different air pollutants in China and their changes between different periods during**
 537 **COVID-19.**

	NO _x	SO ₂	CO	PM _{2.5}	PM ₁₀
P1 (Gg/day)	72.9	23.8	1160.2	44.5	75.5
P2 (Gg/day)	41.9	21.5	1037.4	40.9	66.4
P3 (Gg/day)	44.8	23.2	1078.2	45.9	108.4
(P2-P1)/P1	-42.5%	-9.7%	-10.6%	-7.9%	-12.1%
(P3-P2)/P1	3.9%	7.2%	3.6%	11.2%	55.7%
(P3-P1)/P1	-38.6%	-2.5%	-7.0%	3.3%	43.6%

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561 **Table 5. Inversion estimated emission changes of different air pollutants over different regions in China between different periods**
 562 **during COVID-19 restrictions**

	NO _x	PM _{2.5}	PM ₁₀	SO ₂	CO
NCP					
(P2-P1)/P1	-44.4%	5.5%	2.8%	-1.6%	-4.3%
(P3-P2)/P1	-0.8%	-9.9%	31.8%	-5.9%	-10.0%
(P3-P1)/P1	-45.2%	-4.3%	34.7%	-7.5%	-14.3%
NE					
(P2-P1)/P1	-41.8%	-8.8%	-3.5%	-3.2%	-10.9%
(P3-P2)/P1	-6.0%	-19.2%	23.7%	-2.9%	-6.6%
(P3-P1)/P1	-47.8%	-28.0%	20.2%	-6.1%	-17.5%
SE					
(P2-P1)/P1	-41.4%	-9.5%	-24.4%	-19.4%	-3.5%
(P3-P2)/P1	10.2%	12.3%	28.6%	19.8%	13.1%
(P3-P1)/P1	-31.2%	2.8%	4.2%	0.3%	9.7%
SW					
(P2-P1)/P1	-43.5%	-12.6%	-25.9%	-17.5%	-23.8%
(P3-P2)/P1	6.0%	47.7%	33.1%	7.5%	7.4%
(P3-P1)/P1	-37.5%	35.1%	7.2%	-10.0%	-16.4%
NW					
(P2-P1)/P1	-38.5%	-4.0%	-8.3%	14.2%	-2.6%
(P3-P2)/P1	-21.1%	4.9%	145.3%	-4.1%	-7.2%
(P3-P1)/P1	-59.6%	0.9%	136.9%	10.1%	-9.8%
Central					
(P2-P1)/P1	-43.8%	-23.7%	-15.7%	-10.6%	-17.4%
(P3-P2)/P1	16.4%	24.4%	135.9%	18.5%	8.4%
(P3-P1)/P1	-27.4%	0.7%	120.3%	7.9%	-9.0%

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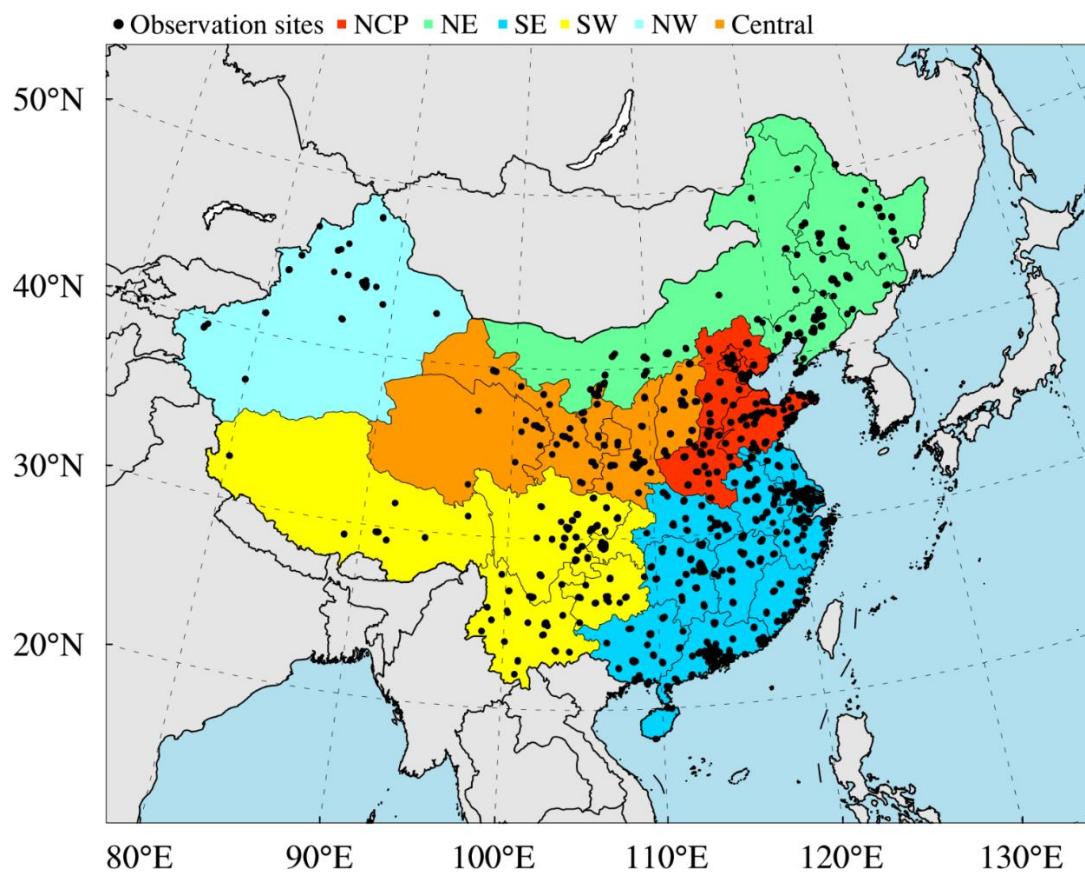
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566 **Table 6. Calculated MI and EI changes in PM_{2.5} concentrations during COVID-19 pandemic by previous studies**

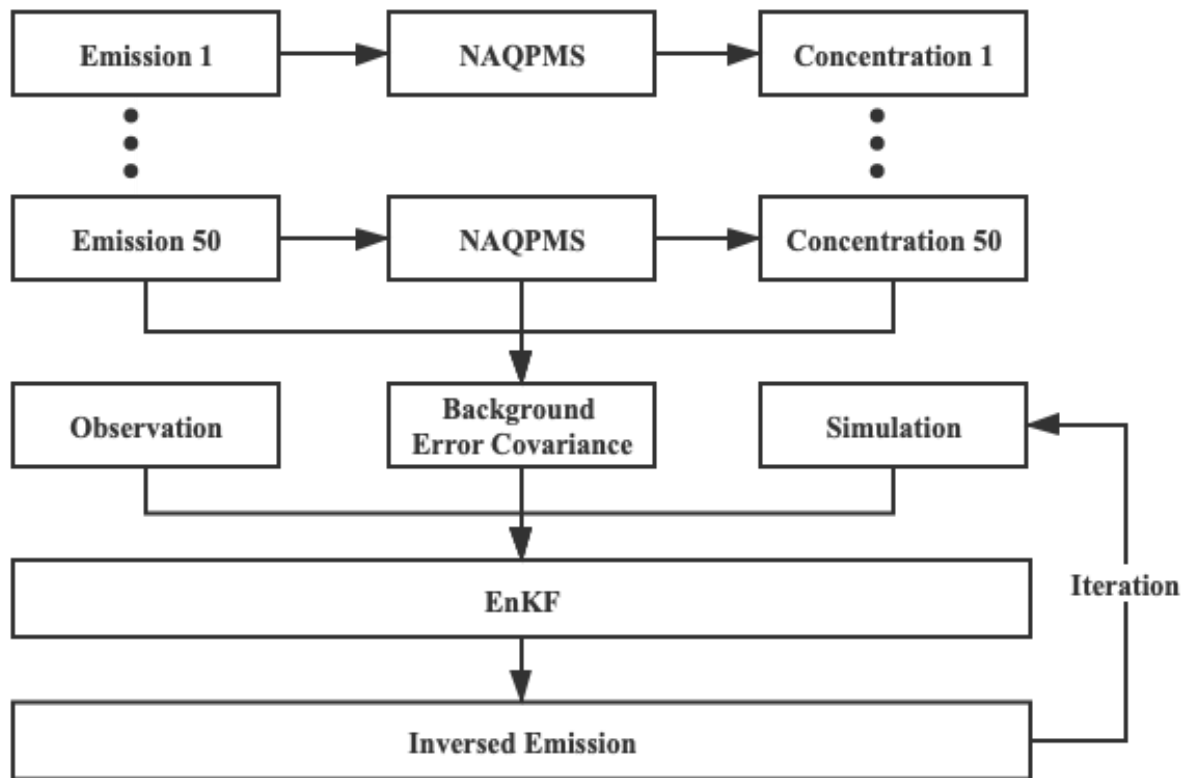
	MI changes	EI changes	Region	Reference period	Method	Reference
1	26.79 µg/ m ³	-21.84 µg/m ³	Beijing	January 23-March 10, 2019 versus January 23-March 10, 2020	observation-based wind- decomposition method	Song et al. (2021)
2	Around 20 µg/m ³	-2.7 µg/ m ³	Beijing	January 01 to February 29, 2020	CTM with hypothetical emission reduction scenario	Sulaymon et al. (2021)
3	Around 45 µg/m ³	-13.4 µg/ m ³	Beijing	January 01 to February 29, 2020	CTM with hypothetical emission reduction scenario	Wang et al. (2020)
4	31.3%	Around 0%	Beijing- Tianjin- Hebei	January 01 to February 13, 2020	CTM sensitivity simulations using different emission rates and multiyear climatology	Le et al. (2020)
5	Around 5%	Around 55%	Beijing	January 16-22, 2020 versus January 26 to February 1, 2020	CTM with fixed emission inventory for 2017	Zhao et al. (2020)
6	17.5 µg/ m ³ (34.0%)	12.7 µg/ m ³ (24.7%)	Beijing	January 1-20, 2020 versus January 21 to February 9, 2020	CTM with inversion emission inventory	This study

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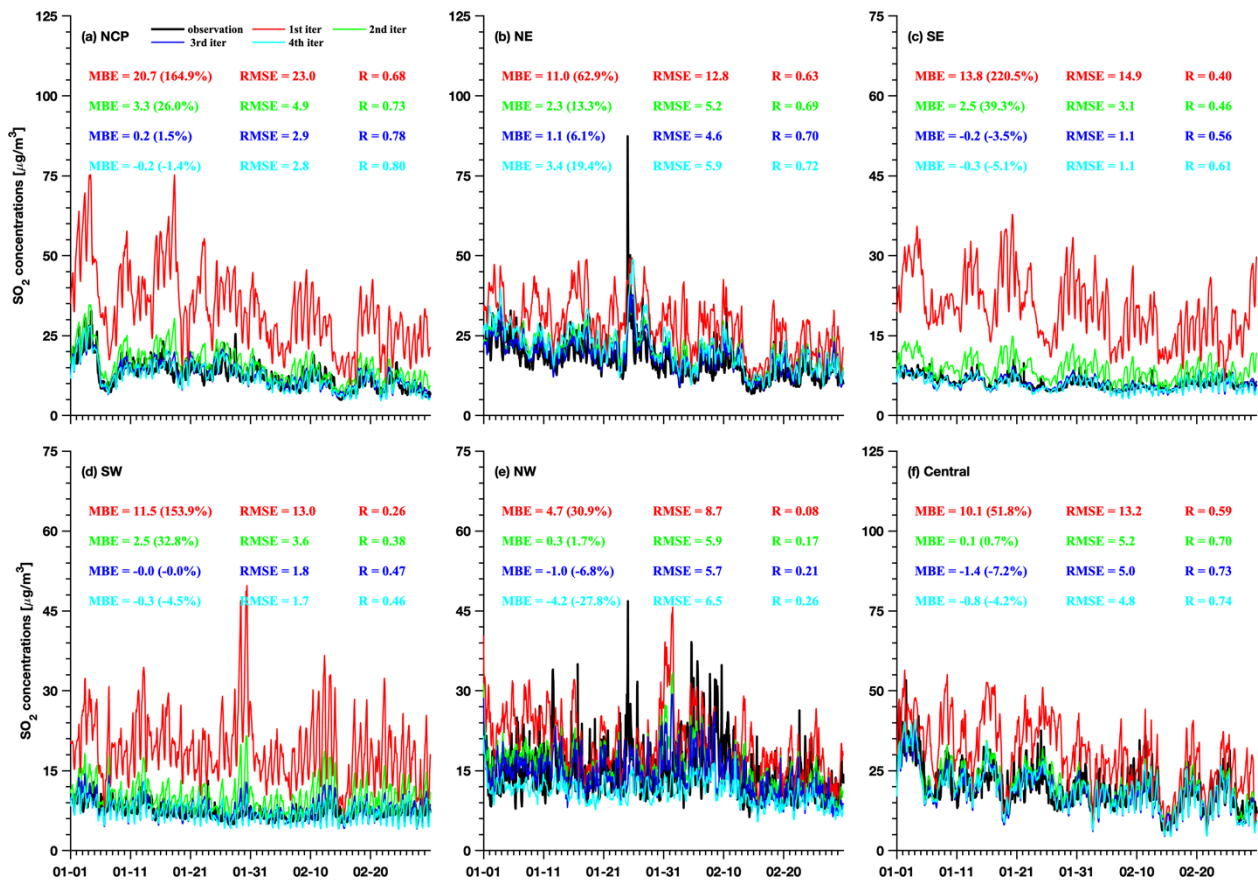
570 **Figure 1: Modeling domain of the ensemble simulation overlay the distributions of observation sites from CNEMC. Different colours**
571 **denote the different regions in mainland of China, namely North China Plain (NCP), Northeast China (NE), Southwest China (SW),**
572 **Southeast China (SE), Northwest China (NW) and Central.**



573

574 **Figure 2: Illustration of the iteration inversion scheme used in this study.**

575

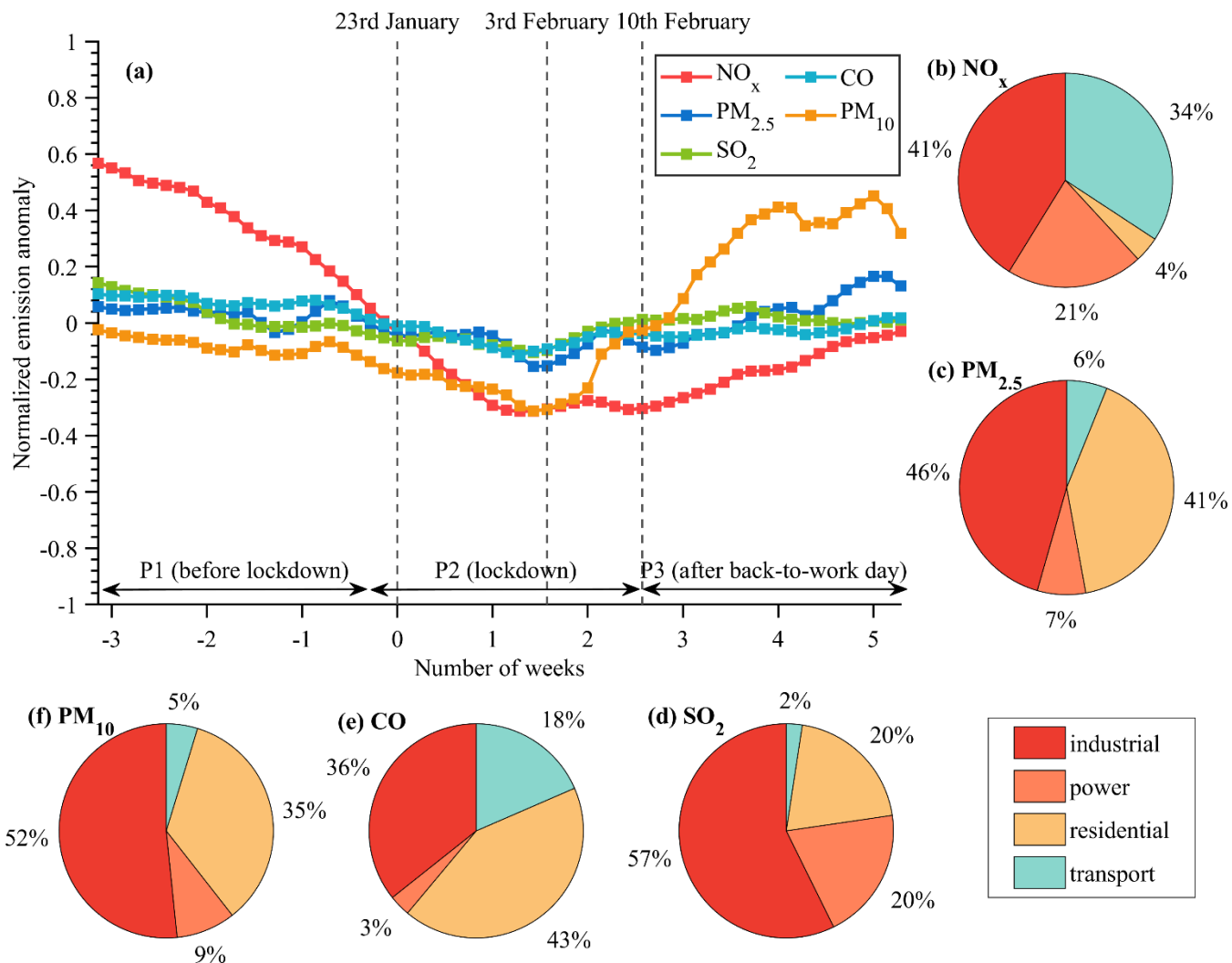


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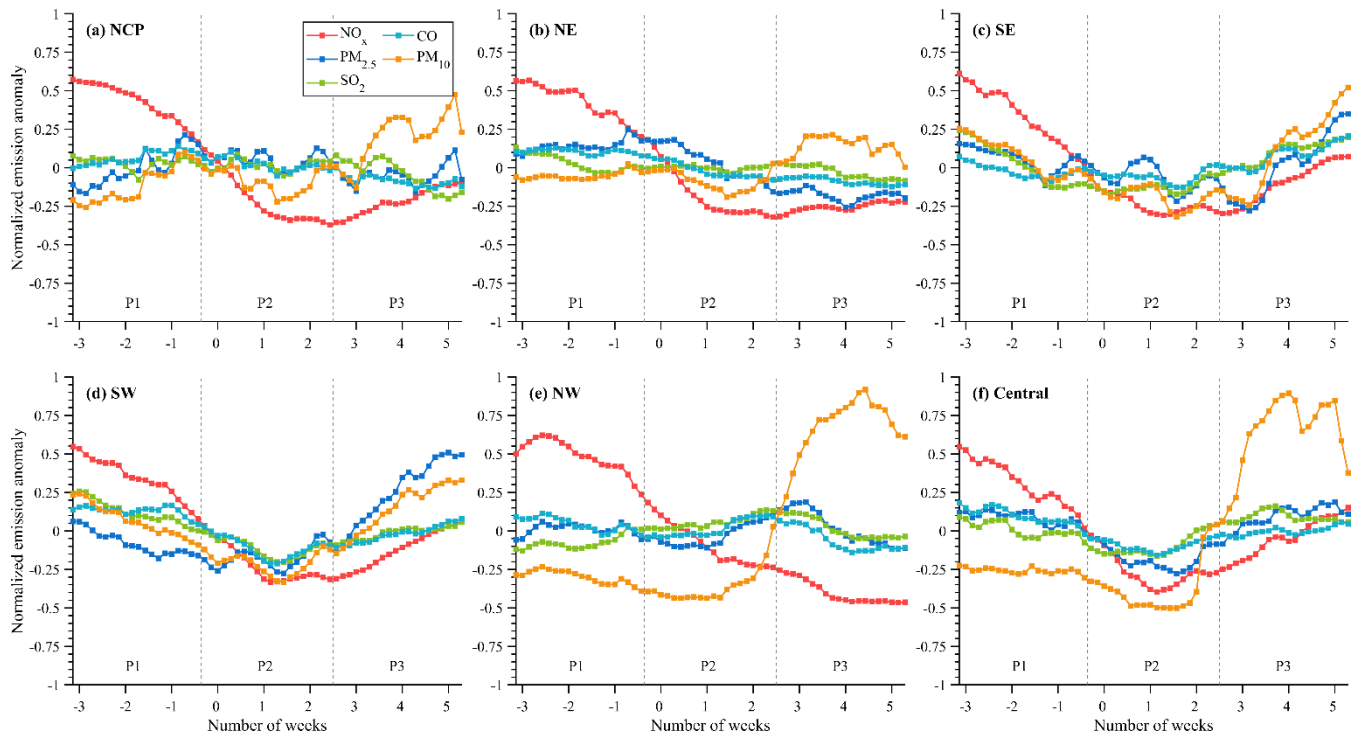
577 **Figure 3: Comparisons of the observed and simulated mean SO₂ concentrations using emissions of different iteration time at**
 578 **validation sites over (a) NCP region, (b) NE region, (c) SE region, (d) SW region, (e) NW region and (f) Central region.**

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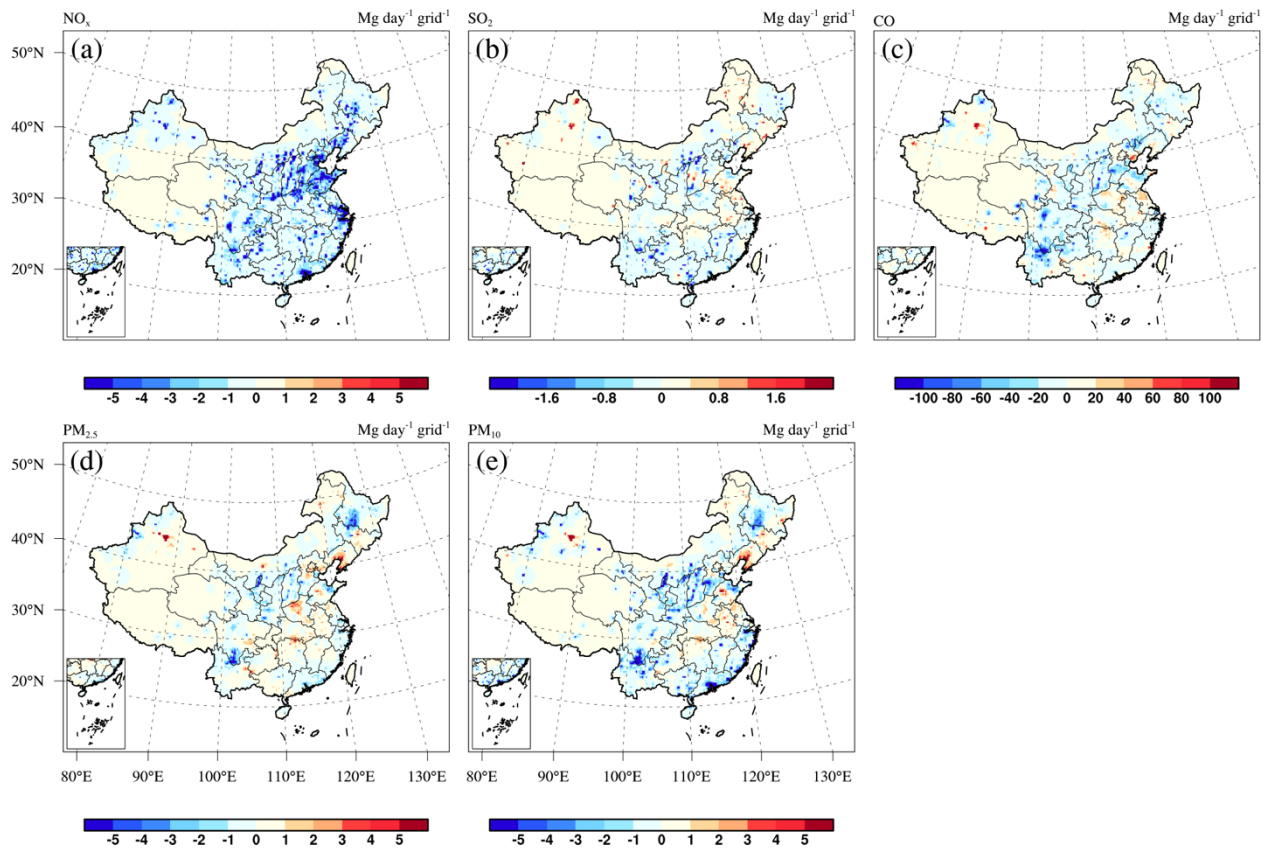


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 583 **Figure 4: (a) Time series of normalized emission anomalies estimated by inversion results for different species in China from 1st**
 584 **January to 29th February 2020, and (b-f) Relative contributions of different sectors to the total anthropogenic emissions of NO_x,**
 585 **PM_{2.5}, PM₁₀, CO and SO₂ obtained from Zheng et al. (2018). The normalized emission anomaly is calculated by the emission anomaly**
 586 **divided by the average emissions during the whole period.**



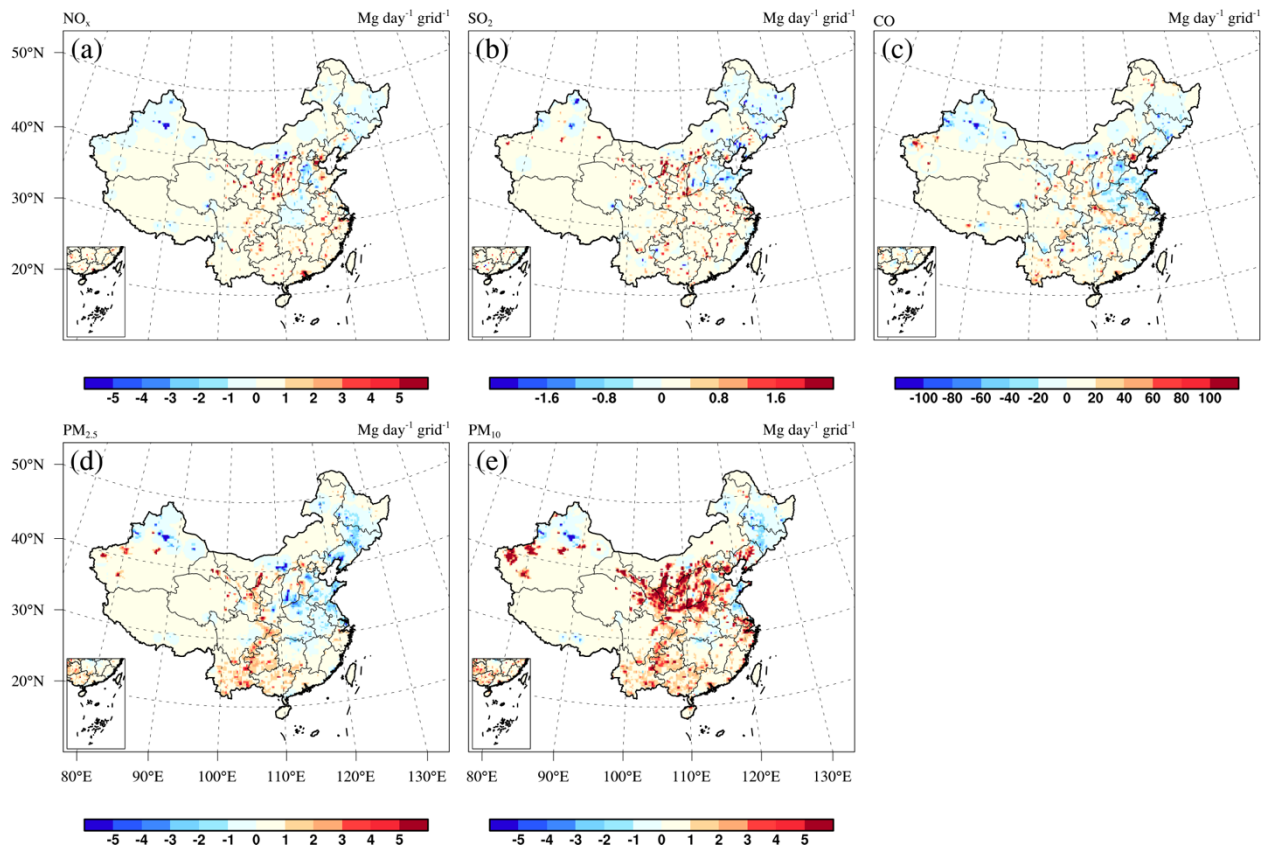
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Figure 5: Time series of normalized emission anomalies estimated by inversion results for different species over (a) NCP region, (b) NE region, (c) SE region, (d) SW region, (e) NW region and (f) Central region from 1st January to 29th February 2020.



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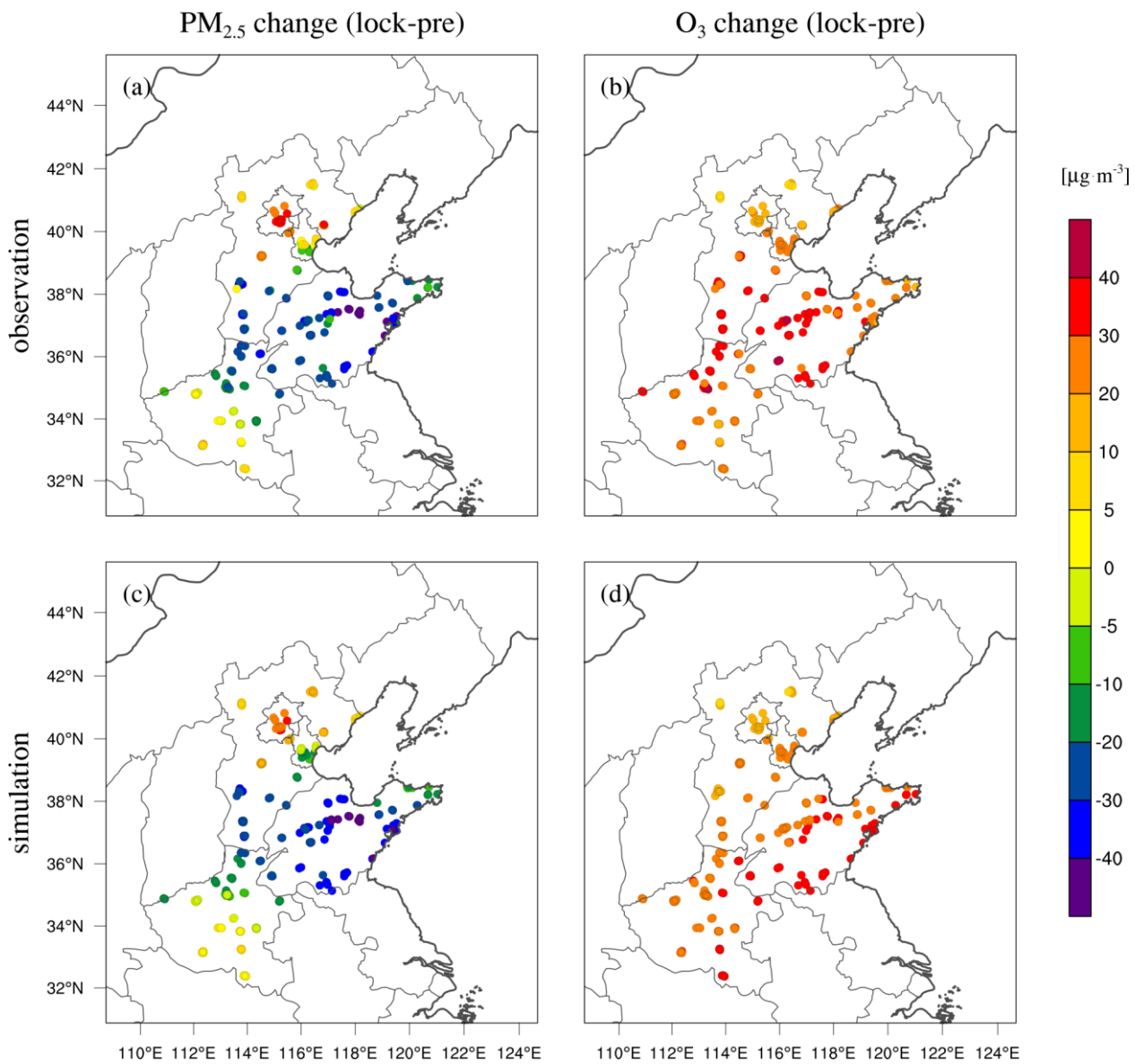
591 **Figure 6: The inversion estimated emission changes of (a) NO_x , (b) SO_2 , (c) CO , (d) $\text{PM}_{2.5}$ and (e) PM_{10} in China from P1 to P2 period.**



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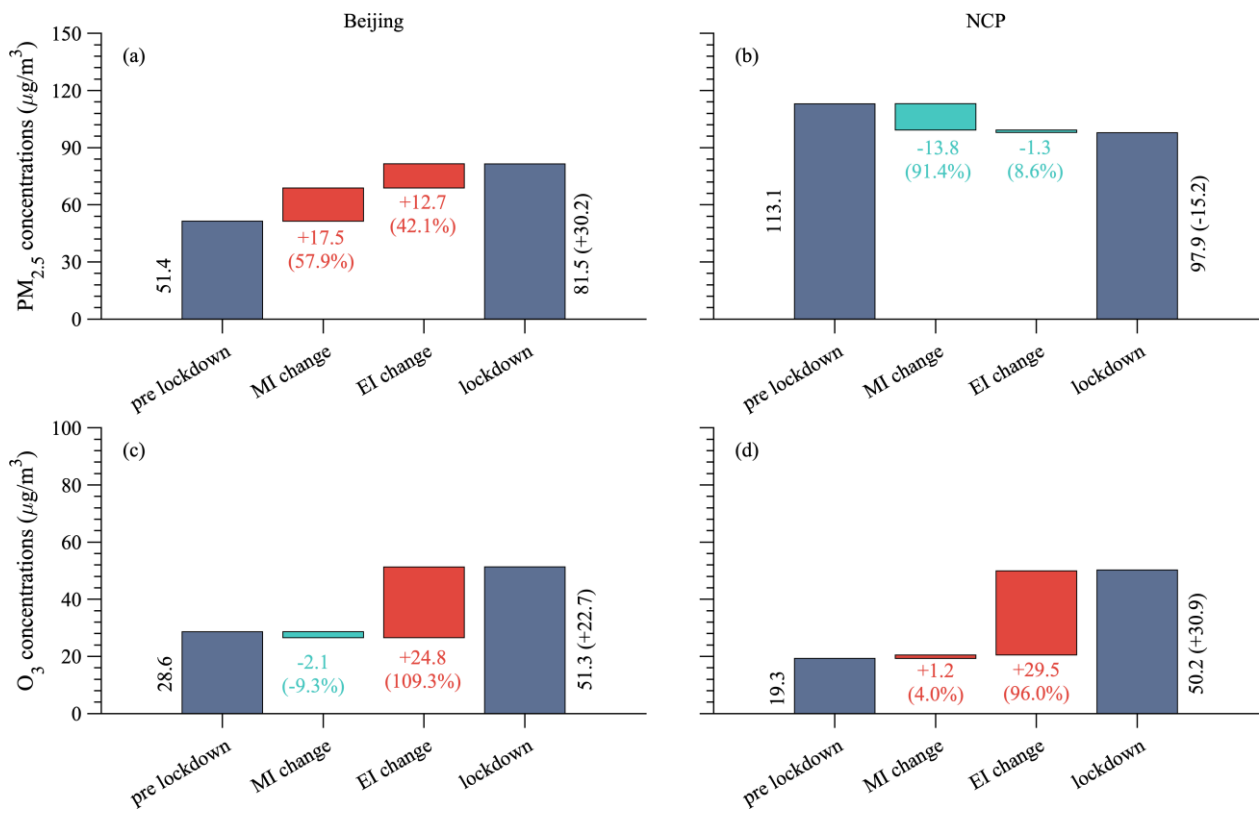
593 **Figure 7: The inversion estimated emission changes of (a) NO_x , (b) SO_2 , (c) CO , (d) $\text{PM}_{2.5}$ and (e) PM_{10} in China from P2 to P3 period.**

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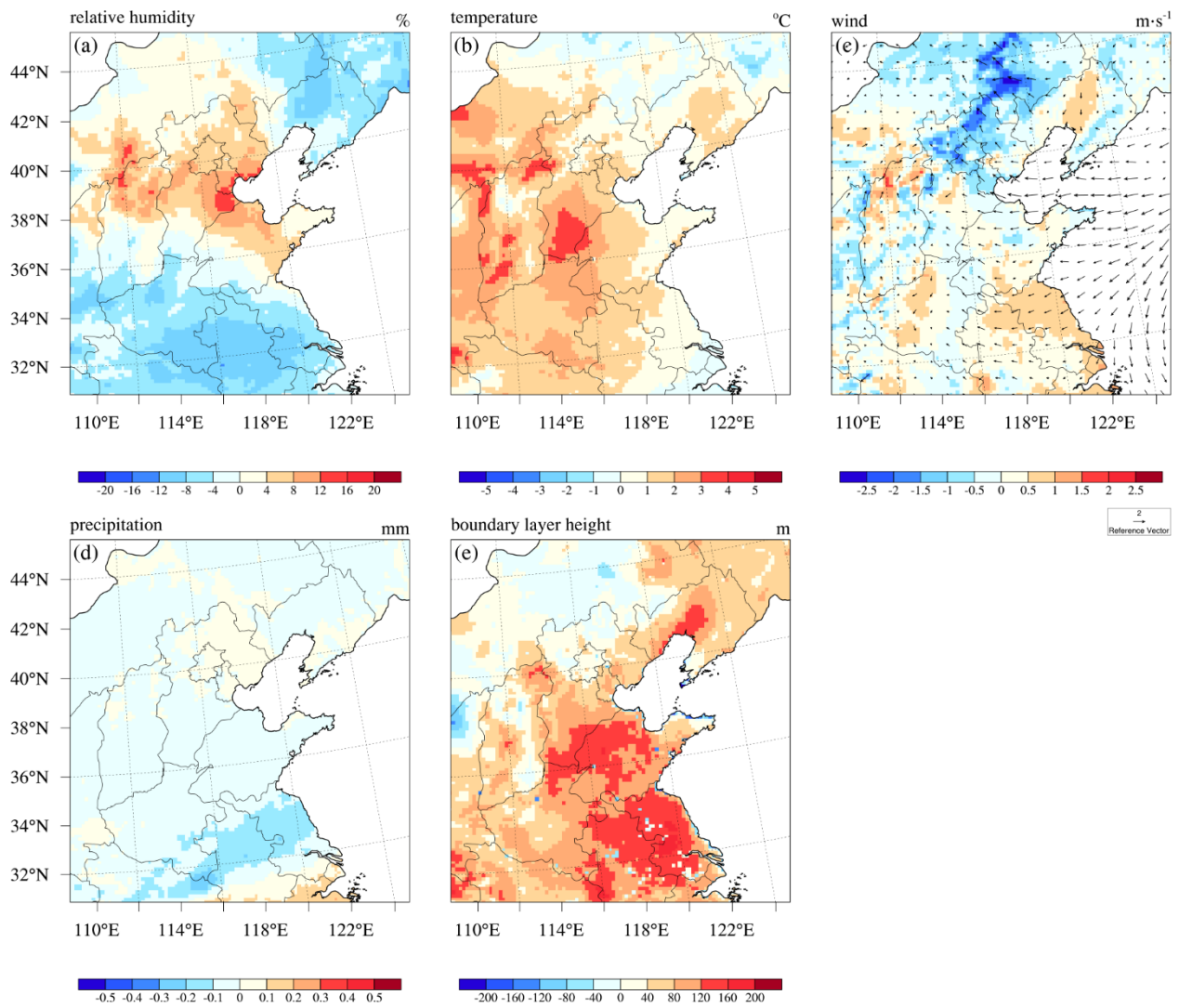
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596 **Figure 8: Changes in the observed and simulated concentrations of (a, c) $PM_{2.5}$ and (b, d) O_3 over the NCP region from the pre**
 597 **lockdown period (P1) to the lockdown period (P2).**



598

599 **Figure 9: Contributions of the meteorological variations and emission changes to the changes in (a, b) $PM_{2.5}$ and (c, d) O_3**
 600 **concentrations over Beijing and the NCP region from the pre lockdown period (P1) to the lockdown period (P2).**



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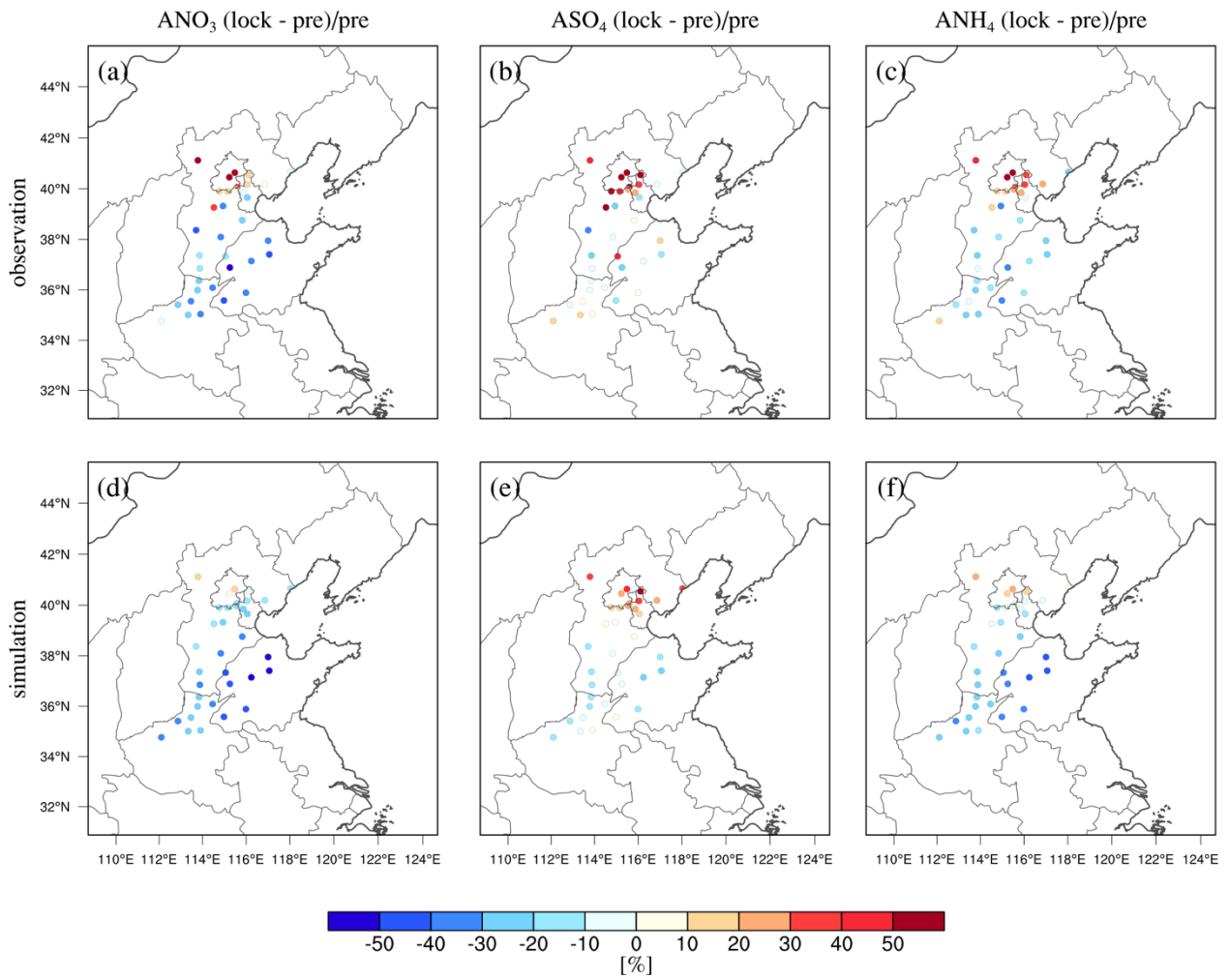
602 **Figure 10: Changes in the (a) relative humidity, (b) temperature, (c) wind speed, (d) precipitation and (e) boundary layer height**
 603 **over the NCP region from P1 to P2 period obtained from WRF simulations.**

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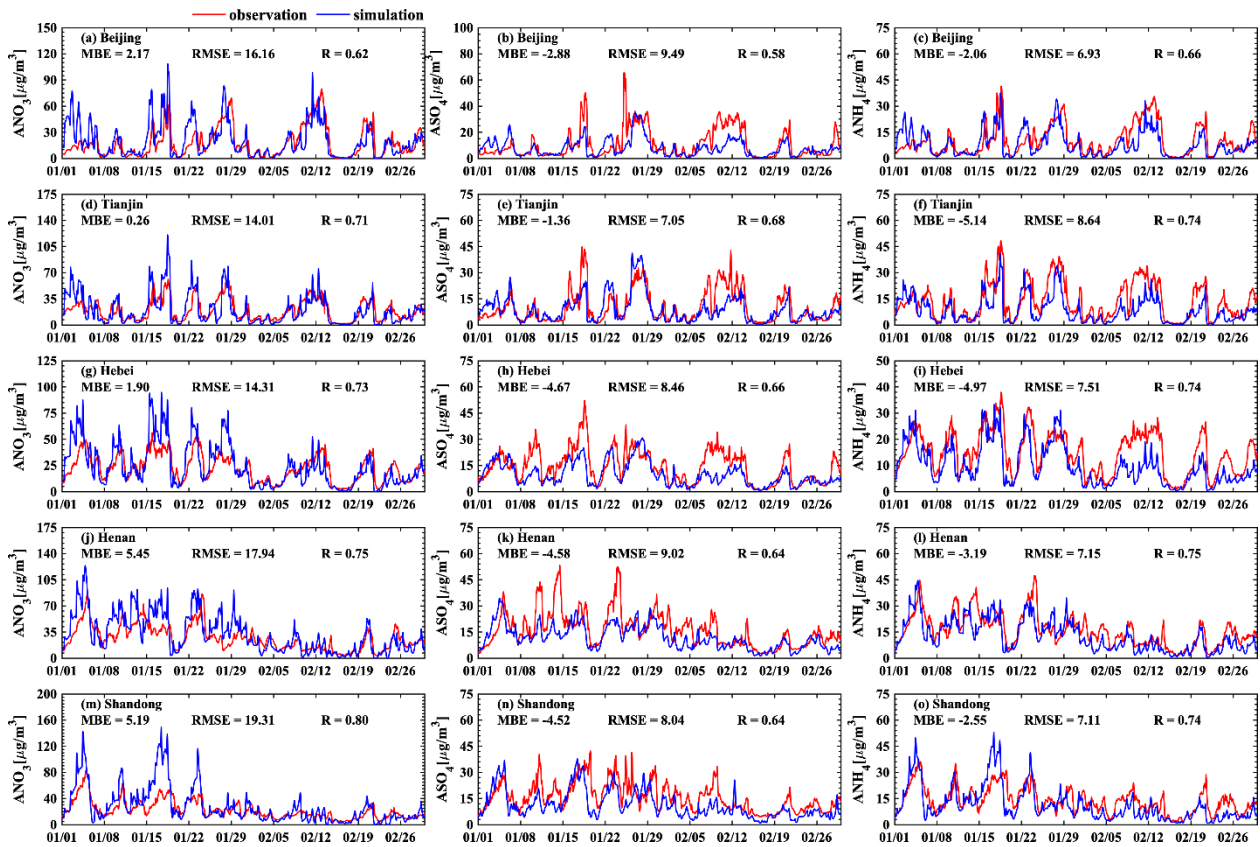
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609 **Figure 11: Relative changes in the simulated and observed concentrations of (a) ANO_3 , (b) ASO_4 , (c) ANH_4 over NCP region from**
 610 **P1 to P2 period.**

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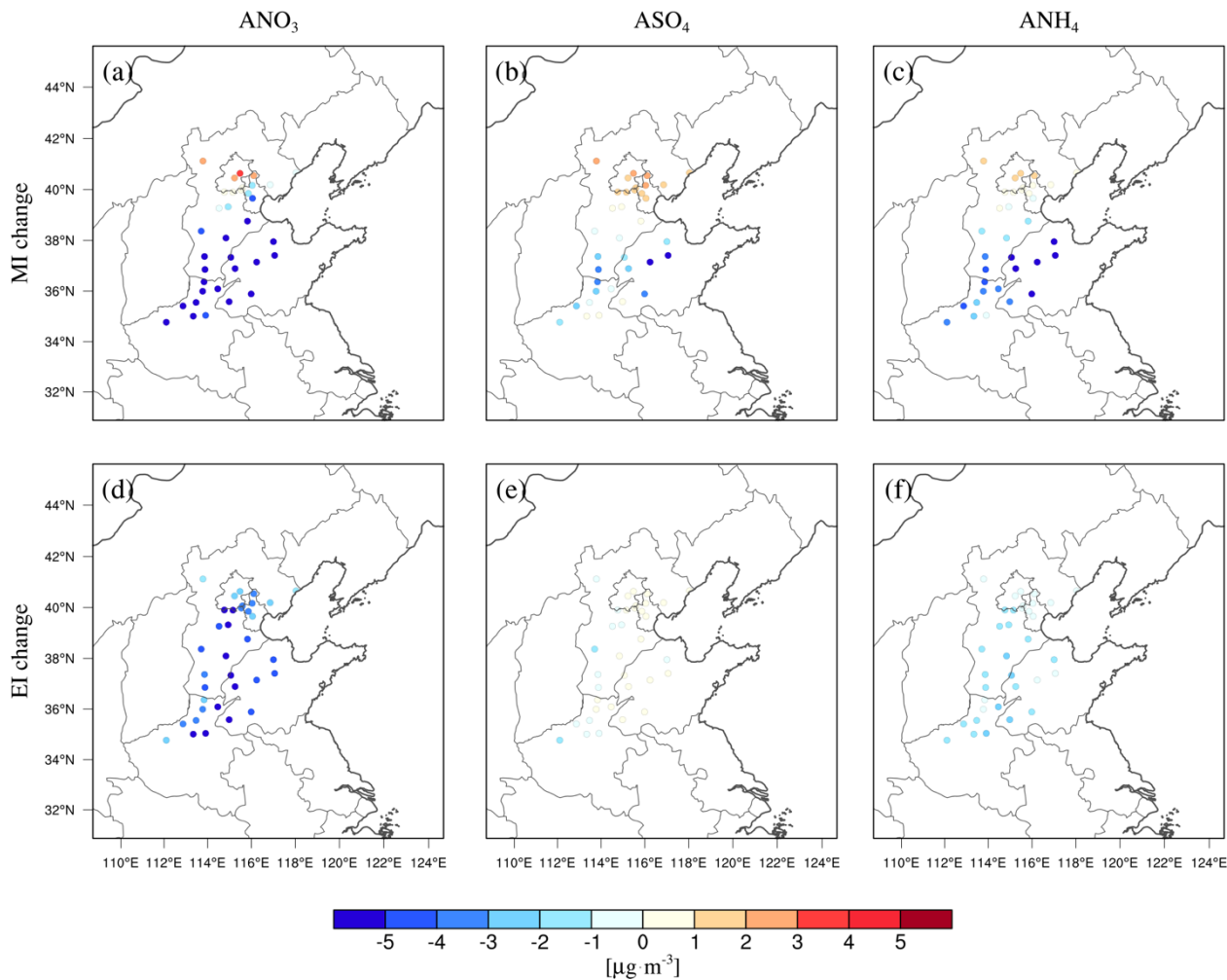


612

613 **Figure 12: Time series of observed and simulated concentrations of ANO_3 , ASO_4 and ANH_4 in (a-c) Beijing, (b-f) Tianjin, (g-i) Hebei,**
 614 **(j-l) Henan and (m-o) Shangdong province from 1st January to 29th February 2020.**

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617

618 **Figure 13: Meteorology-induced (MI) changes in the concentrations of (a) ANO_3 , (b) ASO_4 and (c) ANH_4 , as well as Emission-**
 619 **induced (EI) changes in the concentrations of (d) ANO_3 , (e) ASO_4 and (f) ANH_4 .**

620

621 **Data availability**

622 The hourly surface observations can be obtained from China National Environmental Monitoring Centre
 623 (<http://www.cnemc.cn/en>); The inversion estimated emissions of multi-air pollutants in China during COVID-19 lockdown
 624 period and the NAQPMS simulation results are available from the corresponding authors on request.

625 **Author contributions**

626 X.T., J.Z., and Z.W. conceived and designed the project; H.W., L.K., X.T., and L.W. established the data assimilation system;
627 M.L. Q.W. S.H. W.S. contributed to interpreting the data. L.K. conducted the inversion estimate, drew figures, and wrote the
628 paper with comments provided by J.L., X.P., M.G., P.F., Y.S., H.A. and G.R.C.

629 **Competing interests**

630 The authors declare no competing financial interest.

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