1	Model-based insights into aerosol perturbation on pristine continental
2	convective precipitation
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16

17 Abstract

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19 The Tibetan Plateau (TP) is of great importance for weather and climate due to its role as heat 20 and water resource. Relatively clean aerosol conditions over the Plateau makes the study on the aerosol-cloud-precipitation interactions in this pristine continental region distinctive. In order to 21 investigate the impacts of aerosols on small-scale convection processes over the TP, a convective 22 event with precipitation observed on 24 July 2014 in Naqu was selected to explore the influence 23 24 of aerosols on the onset and intensity of precipitation. We use the Modern-Era Retrospective 25 analysis for Research and Applications Version 2 (MERRA-2) reanalysis to derive the cloud condensation nuclei (CCN) number concentration, which can be regarded as the real-time 26 27 background. These values are adopted to initialize the regional WRF 4.0 meteorological model and to simulate the onset of convective events and the formation of precipitation. Four sets of 28 29 experiments, named clean (1/10 CCN), control (default setting), Tibetan Plateau (real CCN 30 calculated from MERRA-2 reanalysis), and polluted (10 times CCN), were adopted for our 31 simulations. A detailed analysis of the microphysical processes shows that the conversion of cloud 32 water into rain is enhanced by small increases in aerosol concentration, while it is suppressed by 33 larger increases in concentration. However, the transformation of cloud water to graupel and the development of convective clouds are favored under polluted situation. As a result, the onset of 34 the precipitation is delayed and cold-rain intensity increases. 35

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37 Key words: Aerosol; Tibetan Plateau; Precipitation

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39 Highlights:

The evolution of convective events on the pristine continent under different atmospheric aerosol
burden are examined.

The response of cloud water to rain conversion process to aerosol number concentration is nonmonotonic.

Under polluted situation, the onset of the convective precipitation is delayed and the cold-rain
intensity increases.

47 **1. Introduction**

The role of aerosol particles on the formation of convective clouds and related precipitation 48 remains a matter of extensive scientific investigations (Andreae et al., 2004; Fan et al., 2013; Freud 49 and Rosenfeld, 2012; Li et al., 2011; Rosenfeld et al., 2008; Sun and Zhao, 2021; Tao et al., 2012; 50 Zhao et al., 2020). Due to the complexity of the processes involved, the treatment of convective 51 cloud formation in weather forecast models remains uncertain, especially for the regions with 52 insufficient observational data (Ma et al., 2018). The Tibetan Plateau (TP) represents a clean region, 53 54 in which the aerosol optical depth baseline value is comparable to that in the Arctic and remote ocean areas (Pokharel et al., 2019; Yang et al., 2021b). However, even though the TP is regarded 55 as a pristine continent, it is occasionally perturbed by the intrusion of dust particles originating in 56 the surrounding deserts and by black carbon particles produced by biomass burning in the regions 57 58 of South Asia and part of Africa (Zhu et al., 2019; Zhao et al., 2020; Yang et al., 2021b). The analysis presented here in the climate-sensitive and environmentally fragile continental TP 59 60 characterized by frequent convective events, will hopefully be of interest for similar investigations to be conducted in other areas of the world, which is about the aerosol perturbaitions on pristine 61 62 continent.

The Tibetan Plateau, with an average elevation of more than 4000 meters, covers 63 approximately a quarter of the Chinese territory (Wu et al., 2007; Yao et al., 2012). It greatly 64 influences weather and climate in East Asia and even globally due to its unique geographical 65 location and topography-induced thermal and dynamical effects (Pokharel et al., 2019). The water 66 vapor balance on the TP directly affects the water cycle over a large area of the plateau and the 67 surrounding areas due to high sensible heat and low air density (Duan et al., 2012; Fu et al., 2006; 68 Zhao et al., 2018). Convection on the Tibetan plateau is characterized by high frequency but low 69 70 intensity activity (Fu et al., 2006; Gao et al, 2016; Ye 1981). Aerosols can act as cloud 71 condensation nuclei (CCN) and ice nuclei (IN) that affect cloud microphysical processes and thermal and dynamical conditions (IPCC, 2013; Redemann et al., 2021; Stevens et al., 2017; Yang 72 et al., 2021a). Relatively clean conditions with low levels of background aerosols, frequent 73 convection and induced precipitation make the study of aerosols' impact on convective 74 precipitation over the TP distinctive. 75

Aerosol observational sites over the TP are sparse. Ground-based observations include (1) the two stations of the Automated Aerosol Observation Network (AERONET) in Nam Co and

Qomolangma (QOMS), (2) the stations of $PM_{2.5}$ and PM_{10} from the China Air Quality Online 78 Monitoring and Analysis Platform of the Ministry of Environmental Protection at the seven 79 stations of Linzhi, Ali, Lhasa, Changdu, Naqu, Shannan, and Shigatse, and (3) the concentrations 80 of PM₁ at four stations from China Meteorological Administration (CMA) Atmosphere Watch 81 Network (CAWNET) at Gongga, Lhasa, Xining, and Shangri-La. The monitoring of PM_{2.5} and 82 PM₁₀ on the TP were initiated in January 2013 at Lhasa, in January 2015 at Ali and Nagu, and in 83 January 2017 at Changdu, Shannan, Shigatse, and Linzhi. The CMA recorded PM1 data at Gonga, 84 Lhasa, and Shangri-La data from January 2014, and at Xining, starting in 2018. CMA used a 85 GRIMM Model 1.180 aerosol spectrometer with observations every five minutes at wavelengths 86 ranging from 1 µm to 10 µm. A decade of measurements of aerosol optical properties at two 87 AERONET stations, Nam Co and QOMS on the Tibetan Plateau, shows that aerosol optical depth 88 89 (AOD) values were maximum in spring and minimum in autumn (Pokharel et al., 2019). Due to the anisotropic reflection of the unique geographical surface in TP, the satellite retrieval of aerosol 90 91 properties is difficult (Zhao et al., 2020). The main aerosol types on the Tibetan Plateau were further identified as continental background, biomass burning, and dust (Pokharel et al., 2019; 92 93 Yang et al., 2021b; Zhu et al., 2019; Zhao et al., 2020). Satellite observations from March to June indicate that aerosols are transported from South Asia to the region close to the Himalaya (Liu et 94 95 al., 2008). In summer, aerosols from Northwest China and Central Asia are transported to the northern Tibetan Plateau (Huang et al., 2007). In general, aerosol conditions over the TP 96 97 correspond mainly to a background situation. However, incoming pollution from South/East Asia under the influence of the summer monsoon can cause relatively high disturbances in the area of 98 99 the Tibetan Plateau.

Among the studies conducted in development over the TP, are the Third Tibetan Plateau 100 101 Atmospheric Scientific Experiment (TIPEX-II and TIPEX-III), initiated jointly by the China 102 Meteorological Administration (CMA), the Chinese Academy of Sciences (CAS), and the National Natural Scientific Foundation of China (NSFC) (Zhao et al., 2018), and the Third Pole 103 Environment (TPE) Program, which was initially proposed and agreed upon by several participants 104 from China, India, Germany, Japan, Italy, Nepal, the Netherlands, Norway, Pakistan, US, Canada, 105 Tajikistan, and Switzerland (Yao et al., 2012). These studies highlighted the role of aerosol 106 characteristics and related impact on cloud and precipitation in the TP in relation with weather and 107 climate modification due to East Asia and South Asia anthropogenic emissions, and dust 108

mobilization in the Taklamakan Desert (Kang et al., 2019; Liu et al., 2019; Xu et al., 2015), but 109 also in relation with further impacts on the weather system in the downstream regions, e.g. Yangtze 110 Delta region, or/and Sichuan Basin (Lau et al., 2019; Liu et al., 2019; Liu et al., 2020; Zhao et al., 111 2018; Zhao et al., 2020). It has been shown that cloud cover and radiation effects in pristine regions 112 are particularly sensitive to aerosols (Garrett et al., 2006). Further, aerosols on the Tibetan Plateau 113 can affect weather and climate directly by absorbing and scattering solar radiation, and indirectly 114 by modifying the nature of the clouds. Using a cloud-resolving weather research and forecasting 115 116 model, Zhou et al. (2017) found that the increase in the aerosol load over the plateau not only contributes to enhanced updrafts in clouds, but also transports a larger number of ice phase 117 particles to the upper troposphere. Based on satellite observations and the reanalysis of the dataset, 118 Liu et al. (2019) studied the effect of aerosols on clouds over the Tibetan Plateau and the effect of 119 120 dust-contaminated convective clouds on precipitation in downstream areas. They identified an effect of Taklamakan dust on convective clouds, which in turn causes heavy rainfall in downstream 121 122 areas. However, one should highlight that there are still some uncertainties in the satellite retrievals. The findings of aerosol-related studies require situation-specific analyses since the northern and 123 124 southern parts of the Tibetan Plateau are characterized by different aerosol backgrounds and composition with different climate systems and meteorological conditions. Using the aerosol 125 126 spectral radiative transfer model (SPRINTARS) and the non-hydrostatic Icosahedral Atmospheric Model (NICAM), Liu et al. (2020) found that dust aerosol transported from the Taklamakan desert 127 128 delayed the onset of heavy rainfall in the northern Tibetan Plateau by 12 hours through the indirect aerosol-cloud interaction, and enhanced the precipitation in the northern region. Aerosols may also 129 influence the Asian monsoon by affecting snow melting trends and TP surface temperature, which 130 in turn affects precipitation (Lee et al., 2013). The role of aerosols in the teleconnections between 131 132 the heat-pump and the stronger convection and precipitation in the TP or downstream regions (Wu 133 et al., 2016) also needs to be accounted for in the weather forecasting models (Liu et al., 2019; Zhao et al., 2020). 134

Although after decades of efforts, our awareness of Tibetan Plateau aerosols and related weather impact gradually increased, the confidence of current knowledge on aerosols over the TP still needs further observational evidence, more in-depth physical analysis and model investigation. In order to gain understanding on the formation of small-scale convection and related precipitation, we analyze here a particular event that took place in Naqu (92.067° E, 31.483° N) on 24 July 2014.

As observational data are sparse, we use the Modern-Era Retrospective analysis for Research and 140 Applications Version 2 (MERRA-2) reanalysis to derive the cloud condensation nuclei, which can 141 be regarded as the real-time background. These values are adopted to initialize the regional WRF 142 4.0 meteorological model and to simulate the onset of convective events and the formation of 143 precipitation. Vertical soundings provide data on the state of the background atmosphere. The 144 purpose of the present study is to use available information in this region of the Tibetan Plateau to 145 assess the dependence of the evolution of convective events on the pristine continent under 146 147 different background atmospheric aerosol burden. Since data in the region of the Tibetan Plateau are sparse, the study relies heavily on model simulations, and the outcome should therefore be 148 regarded as a preliminary and partial attempt to investigate a possible relationship between aerosol 149 and convective precipitation in this region. This methodology could then be applied in other 150 151 regions of the world with similar background environments.

The paper is organized as follows: Section 2 introduces the data and the methodology that are adopted in the study; it also describes the convection event under investigation and presents the experimental design for the numerical simulations. Section 3 compares the microphysical processes that characterize the different model experiments. Section 4 presents a summary and the conclusions.

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158 **2. Data and methods**

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160 **2.1 Data**

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162 **2.1.1 MERRA-2 data**

MERRA-2, a long-term global reanalysis that assimilates space-based observations of 163 164 aerosols (Randles et al., 2017), is an upgrade of the offline aerosol analysis data MERRAero based on the GOCART model (Chin et al., 2002). GOCART emission sources include aerosols and gases 165 from biomass burning, fossil fuel combustion, natural emission sources (ocean, volcanic eruptions, 166 dust), etc. (Chin et al., 2013). The bias-revised AOD is obtained from the observations by the 167 Moderate Resolution Imaging Spectroradiometer (MODIS). Cloud-filtered Aerosol Robotic 168 Network (AERONET) AOD data are used as input in a neural network to integrate Moderate 169 Resolution Imaging Spectroradiometer (MODIS) radiances into the bias-corrected AOD. The 170

MERRA-2 Aerosol reanalysis data are additionally included in the NASA Earth Observing System (EOS), NOAA Polar Operational Environmental Satellites (POES), and ground-based observations (Randles et al., 2017). Note that uncertainties are incurred when satellite retrievals are used over the TP, due to the complicated reflection of the land surface (Yang et al., 2020; Zhao et al., 2020; Jiang et al., 2022). The dataset used in the present paper is the MERRA-2 aerosol mixing ratio data MERRA-2 inst3_3d_aer_Nv for 23 July 2014, with a spatial resolution of 0.625° x 0.5° (longitude, latitude) on 72 vertical layers and with a temporal resolution of 3 hours.

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179 2.1.2 Precipitation and sounding data

The Station-Satellite combined 0.1° x 0.1° hourly precipitation data (Shen et al., 2014) are provided by the China Meteorological Administration Information Center, while the ground precipitation observations are obtained from the Naqu automatic station. Note that some unrealistic rainfall centers are depicted over western China due to the sparse automatic weather station network (Shen et al., 2014). The sounding data are taken from the China Meteorological Data Network National Meteorological Science Data Center (http://data.cma.cn).

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187 **2.2 Method**

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189 **2.2.1 The calculation for cloud condensation nuclei (CCN)**

190 In the Thompson Aerosol-aware scheme (Thompson and Eidhammer, 2014), the number concentration of cloud droplets is not fixed, but is derived from a series of calculations and look-191 up tables of the CCN and IN input calculated from the mixing ratio of different aerosol species. 192 This scheme takes into account the activation of cloud condensation nuclei to form cloud droplets. 193 194 Further, the aerosol background mixing ratios are used to calculate the cloud droplet number 195 concentration. The input MERRA-2 inst3_3d_aer_Nv data contains the following variables: mass mixing ratios of sea salt (SS, five bins), sulfate (SO₄), organic carbon (OC), black carbon (BC), 196 and dust (DU, five bins). The characteristic particle sizes, density parameters, and particle size 197 ranges were obtained with reference to the aerosol radius distribution file of MERRA-2 (Chin et 198 al., 2002). We assume that dust particles larger than 0.5 µm are ice-friendly aerosols and that all 199 remaining aerosol species except black carbon are water-friendly aerosols. The aerosol number 200 concentrations are calculated at the WRF pre-processing stage by assuming a log-normal 201

distribution with characteristic diameter and geometric standard deviation in the concentration (Thompson and Eidhammer, 2014). Since the aerosol radius distribution file of MERRA-2 provides the particle size intervals for different bins of sea salt and dust particles, the integration of the probability density function is determined between the lower and the upper limits of the radius. The details of the aerosol parameters are shown in Table 1.

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Aerosol type	Density (kg m ⁻³)	Mean radius (μm)	Radius lower (µm)	Radius upper (µm)	Standard deviation (μm)
Sulfate	1700	0.350	0.005	0.500	2.030
Organic carbon	1800	0.350	0.005	0.500	2.200
	2500	0.730	0.100	1.000	2.000
	2650	1.400	1.000	1.800	2.000
Dust (5 bins)	2650	2.400	1.800	3.000	2.000
	2650	4.500	3.000	6.000	2.000
	2650	8.000	6.000	10.000	2.000
	2200	0.079	0.030	0.100	2.030
Sea salt	2200	0.316	0.100	0.500	2.030
(5 bins)	2200	1.119	0.500	1.500	2.030
(5 6113)	2200	2.818	1.500	5.000	2.030
	2200	7.772	5.000	10.000	2.030

208 **Table 1** Aerosol particle radius, standard deviation, and density

The total mass density calculation equation is derived by:

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$$\int_{r_{lower}}^{r_{upper}} \frac{10^{-18}N}{r ln\sigma_g \sqrt{2\pi}} exp \left[\frac{-1}{2ln^2 \sigma_g} \left(lnr - lnr_g \right)^2 \right] \frac{4}{3} \pi r^3 \rho dr = M \tag{1}$$

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where *N* is the number concentration (unit: $\# \text{kg}^{-1}$), *r* is the integral radius (uint: μ m), σ_g is the geometric standard deviation (unit: μ m), and r_g is the median radius (unit: μ m), ρ is the particle density (unit: kg m⁻³). The probability density integral for selected bin needs to be multiplied to the probability *P* in the corresponding bin, and it is calculated as: 216

$$P = \frac{\int_{r_{lower}}^{r_{upper}} \frac{1}{r \ln \sigma_g \sqrt{2\pi}} \exp\left[\frac{-1}{2ln^2 \sigma_g} \left(\ln r - \ln r_g\right)^2\right] dr}{\int_0 \frac{1}{r \ln \sigma_g \sqrt{2\pi}} \exp\left[\frac{-1}{2ln^2 \sigma_g} \left(\ln r - \ln r_g\right)^2\right] dr}$$
(2)

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Since ice-friendly aerosols contain only dust aerosol particles with a radius greater than 0.5 μ m in model, the percentage of particles with a radius greater than 0.5 μ m of the total number of particles in the interval is also calculated after the number concentration is derived for the first dust bin. The number concentration of ice-friendly aerosol N_i and of water-friendly aerosol concentration N_w are calculated by Eq. (3) and (4), respectively:

$$N_i = N_{dust1} * P(r_{lower} = 0.5 \,\mu m) + \sum_{i=2}^{5} N_{dusti}$$
(3)

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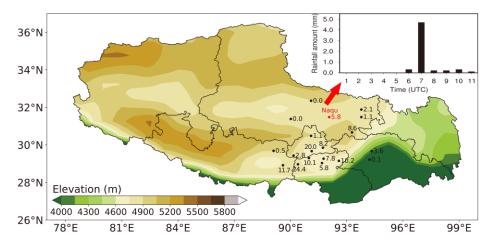
$$N_w = N_{SO4} + N_{OC} + \sum_{i=1}^5 N_{ssi}$$
(4)

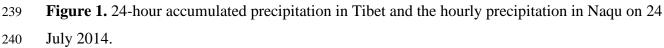
Here N_{dusti} is the number concentration of dust aerosol particles for five specific bins, N_{SO_4} is the sulfate number concentration, N_{OC} is the organic carbon number concentration, and N_{ssi} is the number concentration of sea salt particles for five specific bins. The data are interpolated to the simulation area, and finally written to the WRF Pre-Processing System (WPS).

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229 **2.2.2 Case selection**

The typical convective precipitation event in Naqu, a city in the relatively flat plateau with a 230 simple meadow surface, on 24 July 2014 is selected for simulation. A mesoscale precipitation 231 event with a large-scale impact occurred in the central plateau, while the center of the precipitation 232 area was concentrated in the southern part of the central plateau. The elevation of the central 233 plateau ranges from 4600 to 5200 meters. As shown in Fig.1, Naqu is located at the northern edge 234 235 of this precipitation, and the 24-hour accumulated precipitation amount in Naqu reaches 5.8 mm. On 24 July, the hourly precipitation amount in 07:00 (UTC) at Naqu station reached 4.7 mm, which 236 is of medium intensity. 237





From the sounding data map at 00:00 UTC (08:00 at Beijing Time) on 24 July 2014 (Fig. 2a), 241 the temperature dew point difference in Naqu (red solid line minus green solid line) was less than 242 4°C, which means that a wet layer was formed between 400-500 hPa. A relatively dry area was 243 present above 300 hPa, and the whole layer formed an "inverted trumpet" with a dry upper layer 244 superimposed on a wet lower layer, which is conducive of producing an unstable development of 245 convection. In Fig. 2b, which corresponds to 12:00 UTC (20:00 at Beijing Time) on the same day, 246 the relative humidity of the air in the upper troposphere increased significantly and the relative dry 247 248 layer disappeared; the whole atmosphere was in a near-saturated state and gradually became stable. This suggests that the convection developed during 00:00 UTC to 12:00 UTC on 24 July 2014. 249

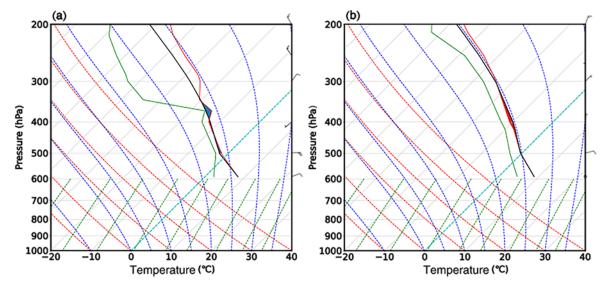


Figure 2. T-logP sounding data from Naqu station at (a) 00:00 UTC and (b) 12:00 UTC on 24 July 2014 (black solid line: temperature-pressure curve (laminar curve); green solid line: dew point pressure curve; red solid line: state curve; grey solid line (diagonal): isotherm; grey solid line (horizontal): isobaric line; blue dashed line: wet adiabatic line; red dashed line: dry adiabatic line; green dashed line: saturation mixing ratio; light blue dashed line: 0°C isotherm).

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257 2.2.3 Model setup

The Weather Research Forecast (WRF) model is one of the most commonly used 258 meteorological research and numerical weather forecasting systems. It provides users with a wide 259 choice of formulations for atmospheric processes, and can run on a variety of computer platforms 260 (http://www2.mmm.ucar.edu/wrf/users/). The model version used in this paper is WRF-V4.0, and 261 262 the basic model settings are shown in Table 2. The integration of 24 hours starts at 00:00 UTC on 24 July 2014. A triple nesting grid with spacing of 25 km, 5 km and 1 km, respectively, and an 263 integration step of 60 seconds for the outer layer is applied, as shown in Fig.3. The precipitation 264 in the 0.1°x 0.1° area around Naqu (31.4-31.5° N, 92.0-92.1° E, area A) and the distribution of the 265 aerosol number concentration in the 1°x 1° area around Nagu (31-32° N, 91.5-92.5° E, area B) are 266 examined in our detailed analysis. 267

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269 **Table 2** Model basic settings

Model basic settings			
Model version	WRF 4.0		
Initial field	FNL		
Simulation period	24 July 2014 00:00 - 25 July 2014 00:00		
Step length	60 s		
Number of nesting levels	3 levels		
Grid size	25:5:1		
Center point	Latitude: 28.0 ° N, Longitude: 92.0 ° E		

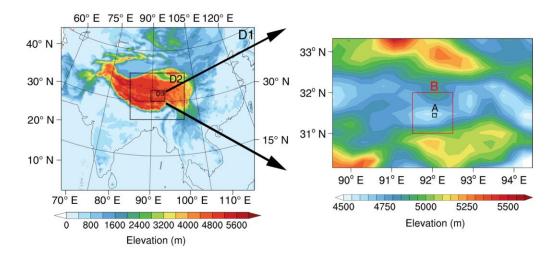




Figure 3. Color-filled map of the height field for simulated region (area A is marked with black rectangle, and area B is marked with red rectangle).

The simulation uses the RRTMG long-wave and short-wave radiation scheme (Iacono et al., 274 2008), the Mellor-Yamada-Janjic planetary boundary layer scheme (Dyer et al., 1970), the Eta 275 similarity near-surface layer scheme, and the Noah-MP land surface scheme (Niu et al., 2011). 276 277 The Grell-Freitas cumulus convective parameterization scheme (Grell et al., 2013) is adopted for the outer two grids while the cumulus scheme is turned off in the inner grid. The physical parameter 278 schemes are shown in Table 3. The microphysical scheme selected in this paper is the Thompson 279 aerosol-aware scheme (Thompson et al., 2014), in which the default is set as the control run 280 (Control); the Clean and Polluted schemes multiply the default cloud condensation nuclei number 281 by 1/10 and 10 times, respectively; the TP uses the MERRA-2 aerosols on 23 July 2014. The 282 283 experimental settings are described in Table 4.

- 284
- **Table 3** Physical parameter scheme settings

Physical parameter scheme settings	
Microphysical scheme	Thompson aerosol-aware scheme
Long wave radiation scheme	RRTMG Longwave
Shortwave radiation scheme	RRTMG Shortwave
Land surface	Noah-MP
Planetary boundary layer scheme	Mellor-Yamada-Janjic
Cumulus parameterization scheme	Grell-Freitas (the inner layer turns off)

Table 4 Experimental settings

Marker	Microphysical settings	Settings
Control	'use_aero_icbc' is set to false	Default NaCCN, NaIN setting
Clean	'use_aero_icbc' is set to false	1/10*NaCCN, NaIN
Polluted	'use_aero_icbc' is set to false	10*NaCCN, NaIN
TP (slightly polluted)	'use_aero_icbc' is set to true	MERRA-2 aerosol reanalysis

3. Results

3.1 Aerosol and cloud analysis

Figure 4 compares the spatial distribution of the vertically averaged water-friendly aerosol number concentration from (a) clean, (b) control, (c) TP, and (d) polluted cases at 00:00 on 24 July 2014. It shows that, at the simulation start time, the number concentration of the water-friendly aerosols in TP simulation (Fig. 4c) is almost 2 times than that of default simulation (Fig. 4b), which can be regarded as slightly polluted situation. In this way, the dependence of the evolution of the convective event that took place in Naqu (92.067° E, 31.483° N) on 24 July 2014, are examined under different background atmospheric aerosol burden, which are almost 1/10, 1 time, 2 times, 10 times of the default CCN setting for Clean, Control, TP (slightly polluted), and Polluted, respectively.

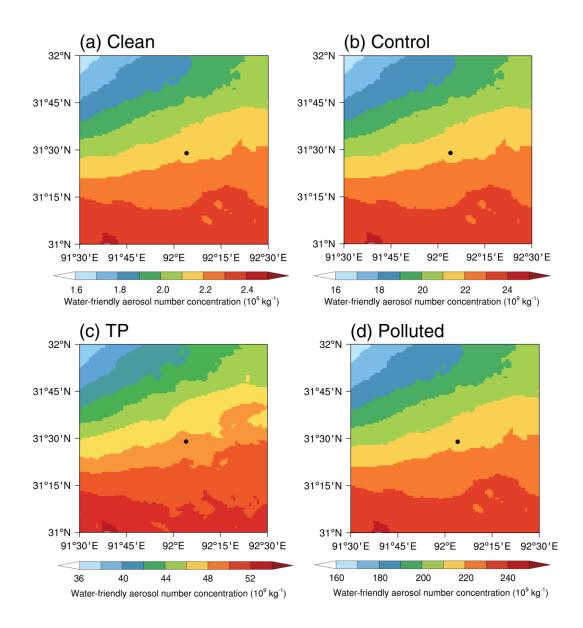
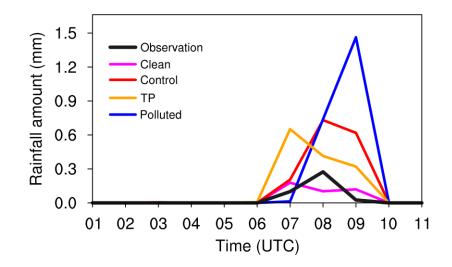




Figure 4. Vertically averaged water-friendly aerosol number concentration from (a) clean, (b)
control, (c) TP, and (d) polluted cases at 00:00 on 24 July 2014 in the 1°x 1° area around Naqu
(31-32° N, 91.5-92.5° E, area B). The dot represents the position of Naqu.

Since the precipitation is interrupted at 11:00 UTC (Fig. 1), the analysis focuses on the vertical distribution of the hydrometeor categories from 00:00 to 11:00 UTC on 24 July 2014. The mean precipitation in the $0.1 \circ x 0.1 \circ$ area surrounding Naqu (31.4-31.5° N, 92.0-92.1° E, area A) is selected for a time series analysis. Figure 5 shows that the precipitation starts at 06:00 and the hourly maximum precipitation occurs at 08:00. Afterwards, the precipitation intensity gradually decreases and ends up at 11:00. All four simulations show a decreasing precipitation rate occurring

after 09:00. The maximum precipitation intensity is predicted to happen at 07:00 in the clean and 311 TP simulations; it occurs at 08:00 and at 09:00 in the control and the polluted simulations, 312 respectively. The timing of the maximum precipitation rate is delayed and the precipitation 313 intensity is enhanced as air pollution heavily increases. Comparing the simulation results for clean 314 and polluted conditions, we find that the time at which precipitation starts is later in polluted air 315 than in clean situation. However, the amount of precipitation was significantly enhanced. This 316 suggests that an increase in atmospheric aerosol load leads to a delayed onset, but an increased 317 318 intensity of the precipitation.



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Figure 5. Time series of hourly precipitation rate (mm) in area A (31.4-31.5°N, 92.0-92.1°E) from

321 00:00 to 11:00 UTC on 24 July 2014.

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323 **3.2 Hydrometeor categories and microphysical processes analysis**

In order to analyze the influence of aerosols on water condensate at different heights, the time 324 series of the vertical distribution of liquid phase water condensate and ice phase water condensate 325 from clean, control, TP, and polluted are shown in Fig. 6a, b, c, and d, respectively. Note that, 326 compared to urban areas, the baseline aerosol burden in TP is pristine, and the clean simulation 327 328 here represents extremely clean condition. From 01:00 to 03:00, the liquid phase water condensate existed in all four simulated cases, and were mainly distributed between the pressure levels of 350 329 and 450 hPa. During this time, no precipitation was produced or the amount of precipitation was 330 small. The analysis of the vertically pointing Ka-band cloud radar observation at Naqu, also shows 331

that only scattered clouds existed at the height between 5 and 7 km before 05:00 UTC (Cheng etal., 2022).

From 05:00 UTC, in the clean simulation (Fig. 6a), the liquid phase water condensate is mainly 334 distributed in the lower layers and its abundance starts to increase, which indicates the warm-based 335 336 convective cloud formed; while there little ice phase water condensate is presented. Compared to the clean simulation, in the control scenario (Fig. 6b), the amount of liquid phase water condensate 337 formed in the control case is higher and the maximum value locates at a higher altitude. At the 338 339 same time, the ice phase water condensate increases. It indicates shifting from clean to control 340 scenario, the convective cloud invigorates and precipitation increases with increasing aerosol number concentration. In the TP simulation (Fig. 6c), in which the water-friendly aerosols 341 342 background is 2 times of that in the control simulation (Fig. 6b), but not in the polluted simulation, the amount of liquid phase water condensate decreases sharply. This indicates the rain already 343 344 started (Fig. 5). It also suggests that the precipitation intensity increases and the precipitation starts earlier with the increase of aerosol loading when the atmosphere is slightly polluted. This may be 345 explained by aerosol-limited environment and the higher coalescence efficiency due to the 346 secondary droplet activation in convective clouds, especially in relatively clean areas (Efraim et 347 al., 2022). In the polluted scenario (Fig. 6d), the liquid phase water condensate in the polluted case 348 does not change substantially, however, the onset time is delayed. Under polluted situation, the 349 warm cloud precipitation does not occur easily, and the cloud development is more vigorous. As 350 a result, the onset time of the precipitation is delayed. The ice phase water condensate increased 351 substantially. In the polluted case, more ice phase water condensate is formed in both upper and 352 lower layers (Fig. 6d); while in the TP case (Fig. 6c), there is more ice phase water condensate 353 only in the upper layers. This suggests that, with the increase of aerosol loading, the ice cloud 354 precipitation increases. As a result, the onset time of the precipitation is delayed, but the 355 precipitation intensity increases. This is consistent with the impact of aerosols on convective 356 precipitation as derived from observations in south-east China (Jiang et al., 2016; Wu et al., 2016; 357 Yang et al., 2018). 358

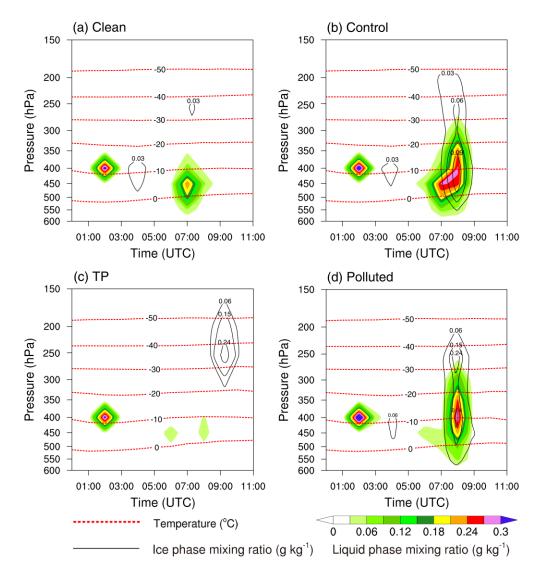
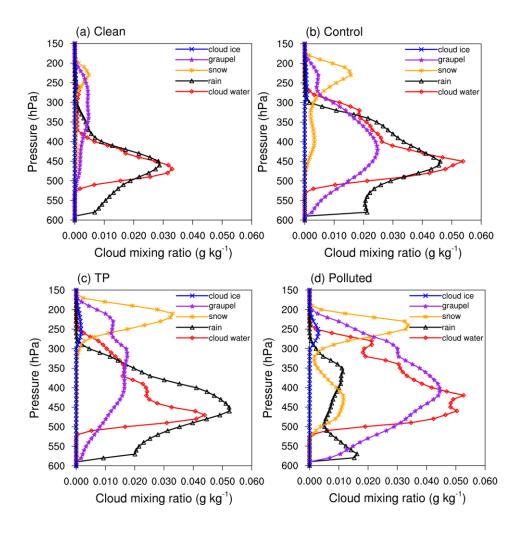




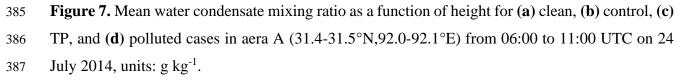
Figure 6. Time series of the vertical distribution of the mean liquid phase and ice phase water condensate mixing ratio in (a) clean, (b) control, (c) TP, and (d) polluted simulations in area A (31.4-31.5°N,92.0-92.1°E), in g kg⁻¹, with red dashed lines as isotherms.

In order to analyze the evolution of microphysical quantities and processes, considering that 363 precipitation mainly occurs between 06:00 and 11:00, various water condensate particles in area 364 A are averaged during this period. Five water condensate mixing ratios obtained for cloud water, 365 cloud ice, rain, snow, and graupel as a function of pressure for clean, control, TP, and polluted 366 simulations are shown in Fig. 7a, b, c, and d, respectively. At 150-300 hPa, snowfall occurs in all 367 four scenarios, and the proportion of snowfall increases as pollution increases. At 300-500hPa, 368 369 compared with the clean simulation (Fig. 7a), the five water condensate mixing ratios increase with the increased aerosol burden in the control simulation (Fig. 7b). Compared with the control 370

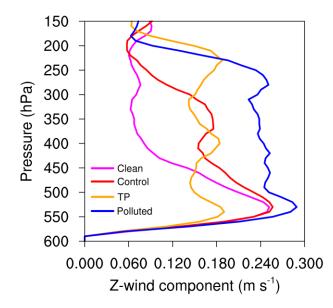
simulation (Fig. 7b), the mixing ratio of rain increases while both of cloud water and graupel 371 decrease in the TP simulation (Fig. 7c). This suggests that, as aerosol loading increases, the 372 conversion process of cloud water to rain invigorates. In the polluted scenario (Fig. 7d), the mixing 373 ratios of cloud water, graupel, and snow are characterized by larger values than in the other three 374 scenarios, while the mixing ratio of rain has the smallest value. It indicates that the conversion 375 process of cloud water to rain is suppressed, but the conversion of cloud water to graupel is favored. 376 At 500-600 hPa, which is near the surface, rainfall is dominant in the clean case (Fig. 7a), while 377 graupel in addition to rainfall are visible in other simulations (Fig. 7b, c, and d). This suggests that, 378 with the increase of aerosol burden, the conversion process of cloud water to rain in clouds is 379 suppressed, but the generation of ice phase particles is favored. The proportion of surface graupel 380 to the total precipitation increases from 6.913%, 7.833%, 14.004%, and 26.376% in clean, control, 381 TP, and polluted, respectively. It also indicates that the development of convective clouds is more 382 383 vigorous under the polluted scenario.



384



The vertical distributions of the number concentration of cloud water, rain and snow for the 388 four scenarios (which is not shown here) show similar results, which indicates the increase of 389 aerosol number concentration tends to increase the cloud droplet number concentration but to 390 decrease the cloud droplet scale, suppresses the warm cloud rainfall and invigorates cloud 391 development (Fig. 8), producing more ice phase substances. The melting of ice phase particles 392 increases the cold-rain precipitation, which delays the onset of the precipitation and increases 393 precipitation intensity. It is consistent with the findings that in polluted scenario, the increase in 394 aerosols suppress the warm-rain process but enhance the growth of graupel and increase the cold-395 396 rain (Rosenfeld et al., 2000; Tao et al., 2012).



397

Figure 8. Updrafts in clouds in aera A (31.4-31.5°N,92.0-92.1°E) averaged from 06:00 to 11:00 UTC on 24 July 2014, in units of m s⁻¹.

400

401 **4. Summary and discussion**

402

Aerosol studies on the Tibetan Plateau are constrained by a small number of stations and 403 observations, and by a limited amount of satellite data. The aerosol optical thickness in this region 404 is generally smaller than in other regions, with only a few cases exceeding 0.1, which also explains 405 the low availability of aerosol satellite data in the region. Although the region can be considered 406 as a region with a background aerosol situation, air masses transported by summer winds from 407 South Asia can cause relatively strong local disturbances. The unique topography and the relatively 408 409 pristine aerosol background levels above the Tibetan Plateau motivate us to explore the impact of 410 aerosols on the formation of local convective precipitation events.

The Weather Research and Forecasting (WRF) model 4.0 version with Thompson aerosolaware microphysical scheme was used to explore the influence of aerosols on convective precipitation processes. A specific convective precipitation event in Naqu, on the central Tibetan Plateau that occurred on 24 July 2014 was selected in our study. Four sets of experiments, named clean (1/10 CCN), control (default setting), Tibetan Plateau (real CCN calculated from MERRA-2 reanalysis), and polluted (10 times CCN), were retained for our simulations. A detailed analysis of the microphysical processes shows that the conversion of cloud water into rain is enhanced by small increases in aerosol concentration, while it is suppressed by larger increases in concentration. At the same time, the generation of ice phase particles and the development of convective clouds are enhanced under polluted situation. As a result, the onset of the precipitation is delayed; however, rainfall occurs with higher intensity.

Since the air in the plateau area is relatively clean, the response of precipitation could be sensitive to aerosol perturbation. However, the errors associated with the observations over the Tibetan Plateau are large and sensitive to convective precipitation during the initial phase of the event. Under such circumstances, our study has adopted a compromise approach to discuss the effect of aerosols on convective precipitation in the relatively clean highlands.

The treatment of aerosols in the model can be chosen according to the air quality situation at 427 428 a particular time. If the air is clean, initial conditions for the simulated aerosol concentrations can be chosen to be close to the actual observations; in a polluted situation, the background field for 429 430 the WRF simulation can be generated according to the real-time aerosol reanalysis method as described in the paper, especially before year 2015. More sustained and comprehensive 431 432 observations over the Tibetan Plateau are a prerequisite for better understanding the aerosol impact on precipitation formation in this region. More factors, such as latent heat, sensible heat, surface 433 434 topography, aerosol types, etc. should be carried out as comprehensive analysis in this region. At the same time, approaches to determining measurement representation error (Asher et al., 2022) 435 436 for model evaluation should be established in the pristine region.

437 **Data Availability**

The Station-Satellite combined 0.1° x 0.1° hourly precipitation data (Shen et al., 2014) are provided by the China Meteorological Administration Information Center, and the ground precipitation observations are obtained from the Naqu automatic station. The sounding data are taken from the China Meteorological Data Network National Meteorological Science Data Center. All the data is available at (http://data.cma.cn). 443

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445

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635	Yaoting Li: Visualization, and editing.
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638	Guy Brasseur: Conceptualization, supervision, and editing.
639	Xi Zhao: Revision.
640	
641	Declaration of interests
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643	\boxtimes The authors declare that they have no known competing financial interests or personal
644	relationships that could have appeared to influence the work reported in this paper.
645	
646	The authors declare the following financial interests/personal relationships which may be
647	considered as potential competing interests:
648	