



1 **Technical note: Identification of chemical composition and source of fluorescent**
2 **components in atmospheric water-soluble brown carbon by excitation-emission**
3 **matrix with parallel factor analysis: Potential limitation and application**

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18



19 **Abstract**

20 Three-dimensional excitation-emission matrix (EEM) fluorescence spectroscopy
21 is an important method for identification of occurrences, chemical composition, and
22 sources of atmospheric chromophores. However, current knowledge on identification
23 and interpretation of fluorescent components is mainly based on aquatic dissolved
24 organic matter and might not be applicable to atmospheric samples. Therefore, this
25 study comprehensively investigated EEM data of different types of strong
26 light-absorbing organic compounds, water-soluble organic matter (WSOM) in
27 different aerosol samples (combustion source samples and ambient aerosols), soil
28 dust, and purified fulvic and humic acids by an EEM-parallel factor method. The
29 results demonstrated that organic compounds with high aromaticity and strong
30 electron-donating groups generally present strong fluorescence spectra at longer
31 emission wavelength, whereas organic compounds substituted with
32 electron-withdrawing groups have relatively weaker fluorescence intensity. In
33 particular, aromatic compounds containing nitro groups (i.e., nitrophenols), which
34 show strong absorption and are the major component of atmospheric brown carbon,
35 exhibited no significant fluorescence. Although fluorescent component 1 (235,
36 270/330 nm) in ambient WSOM is generally considered as protein-like groups, our
37 findings suggested that it is mainly composed of aromatic acids, phenolic
38 compounds, and their derivatives, with only traces of amino acids. Principal
39 component analysis and Pearson correlation coefficients between mass absorption
40 efficiency at 365 nm (MAE_{365}) and humification index (HIX), C1, C2, and C3



41 indicated that the highly aromatic and oxidized fluorescent component 3 may be an
42 important contributor to the light-absorption capacity of ambient WSOM. These
43 findings provide new insights for the analysis of chemical properties and sources of
44 atmospheric fluorophores using the EEM method.

45

46 **1. Introduction**

47 Brown carbon (BrC) is a class of organic compounds with light absorption in
48 near-ultraviolet and visible wavelength, and is ubiquitous in ambient aerosols, cloud
49 or fog, and rainwater (Fu et al., 2015; Laskin et al., 2015). Owing to its strong
50 light-absorption capacity, BrC can cause up to 45 % solar radiation absorption by
51 atmospheric aerosols, and have potential effects on regional and even global climate
52 (Zhang et al., 2013). In addition, BrC also participates in atmospheric photochemical
53 reactions, affects the physicochemical properties of atmospheric aerosols (Laskin et
54 al., 2015; Tang et al., 2020b), and potentially, can be activated to form reactive
55 oxygen species that cause adverse effects on human health (Bates et al., 2019; Cao et
56 al., 2021).

57 Excitation-emission matrix (EEM) fluorescence spectroscopy is a highly
58 sensitive and widely used analytical technique for the identification of chemical
59 characteristics and sources of chromophores in dissolved organic matter (DOM) in
60 aquatic environments (Murphy et al., 2010, 2013; Zhang et al., 2014). Recently,
61 EEM has been further extended and frequently applied for the investigation of
62 water-soluble organic matter (WSOM), such as light-absorbing organic compounds



63 in atmospheric aerosols and fine particles from combustion process (Chen et al.,
64 2020; Fan et al., 2016; Wu et al., 2020). For instance, humic-like substances (HULIS)
65 and protein-like substances (PRLIS) have been identified as important fluorescent
66 components in combustion-derived particles and ambient aerosols (Cao et al., 2021;
67 Matos et al., 2015; Wu et al., 2020). Chen et al. (2016) used EEM coupled with
68 parallel factor analysis (PARAFAC) and high-resolution mass spectrometry to
69 identify chromophores in ambient aerosols, and proposed that fluorescent
70 components with longer excitation (Ex)/emission (Em) wavelengths comprise more
71 highly oxygenated groups (Chen et al., 2016b). In addition, further application of the
72 EEM method has also revealed that the concentration and types of fluorophores
73 obviously vary during atmospheric processes, such as photolytic aging of biomass
74 burning(BB)-derived chromophores (Aftab et al., 2018; Fan et al., 2020; Tang et al.,
75 2020b). Therefore, the EEM method has significant potential for the characterization
76 (types, sources, and evolution) of atmospheric BrC.

77 However, application of the EEM method for the identification of atmospheric
78 BrC has some limitations. It is well known that the present identification,
79 classification, and interpretation of fluorescent components in atmospheric WSOM
80 are mainly based on the fluorescence peak position of DOM in aquatic environments
81 (Coble, 1996; Wünsch et al., 2019). Nonetheless, the chemical and molecular
82 composition and source of WSOM in atmospheric aerosols significantly vary from
83 those of WSOM in aquatic environments (Graber and Rudich, 2006; Laskin et al.,
84 2015); hence, the current fluorescence criterion derived from aquatic environments



85 could lead to some inaccurate description of the fluorescent components in
86 atmospheric WSOM. For instance, the EEM region at Ex/Em = 235(270)/330 nm is
87 assigned to PRLIS and/or tryptophan-like substances in aquatic environment (Coble,
88 1996), but is also associated with non-nitrogen species such as polyphenols in
89 atmospheric WSOM (Chen et al., 2016b). The EEM region at peak M (290–
90 315/370–420 nm) is considered as a typical signal of marine-derived HULIS (Coble,
91 2007; Zhao et al., 2019), but the source of this peak should be cautiously
92 investigated when interpreting BrC in continental aerosols. In addition, the
93 intensities of fluorescent species are not always linearly correlated with their
94 concentrations, which can be affected by the aromatic ring system, number and types
95 of functional groups, and inner-filter effects (IFEs), thereby leading to a greater
96 uncertainty in intensity measurements (Andrade-Eiroa et al., 2013; Chen et al., 2020;
97 Wang et al., 2020). Atmospheric BrC is composed of complex organic molecules
98 with various properties (Chen et al., 2020), and only a subset of the BrC molecules
99 that contain functional groups are capable of fluorescence emission upon relaxation
100 from an excited state (Andrade-Eiroa et al., 2013). Hence, interpretation of
101 fluorescence data may only correspond to fluorescent chromophores and may not be
102 representative of BrC as a whole (Chen et al., 2020; Wang et al., 2020). All these
103 factors limit further application of the EEM method for the analysis of atmospheric
104 BrC. Therefore, it is essential to investigate the light-absorbing species that can be
105 detected by EEM and obtain important information for identifying the chemical
106 compositions and possible sources of these species.



107 Accordingly, in the present study, the EEM profiles of a series of BrC model
108 compounds and WSOM isolated from primary combustion samples, soils, and
109 atmospheric aerosols were investigated. The chemical characteristics and sources of
110 the main fluorophores were interpreted according to the fluorescence location and
111 intensity, and the chemical structure of the model compounds and source samples
112 analyzed. Then, atmospheric aerosols in Guangzhou (GZ) and Chuzhou (CZ) cities
113 were collected and fluorescent chromophores within the water-soluble fraction were
114 identified to estimate the application of the EEM-PARAFAC method in
115 characterizing atmospheric BrC. The results obtained help to broaden application of
116 the EEM-PARAFAC method to study atmospheric BrC.

117

118 **2. Materials and methods**

119 **2.1. Materials**

120 For accurate identification of the chemical composition and structures of
121 fluorophores in atmospheric BrC and for assessment of application of the EEM
122 method to examine atmospheric BrC, a total of 136 samples were investigated in this
123 study. The samples comprised: (1) 35 BrC model compounds, including phenolic
124 compounds, aromatic acids, nitroaromatic compounds (NACs), PRLIS,
125 N-heterocyclic compounds, and polycyclic aromatic hydrocarbons (PAHs) and their
126 derivatives (Table S1). These compounds are usually detected in ambient samples
127 and have been considered as the typical BrC model compounds; (2) 13 primary
128 combustion source samples collected from biomass burning, coal combustion(CC),



129 and vehicle emission (VE); (3) five soil samples obtained from the rural area of
130 Guangdong Province, China, with different vegetation; (4) six purified fulvic and
131 humic acids (FAs and HAs, respectively) kindly provided by Professor Weilin Huang
132 (Rutgers, The State University of New Jersey, NJ, USA); and (5) 34 diurnal fine
133 particulate matter (PM_{2.5}) samples collected from 6 to 22 April, 2021 at GZ and CZ,
134 respectively. In addition, 43 annual PM_{2.5} samples were collected from February
135 2018 to January 2019 at the GZ site and classified as wet and dry season
136 atmospheric PM_{2.5} samples (for detailed information, see Test S1 of supporting
137 information (SI)).

138

139 **2.2. Standard solution and aqueous extraction of ambient samples**

140 Solutions of model organic compounds were prepared by dissolving a certain
141 amount of dried solid or liquid samples in Milli-Q water or methanol. The ambient
142 aerosol and soil samples were ultrasonically extracted with ultrapure water for three
143 times, and the supernatants were filtered using a 0.22- μ m PTFE syringe filter to
144 isolate the WSOM. The specific separation and purification methods have been
145 published in previous studies (Chen et al., 2020; Fu et al., 2015; Wang et al., 2020;
146 Yan and Kim, 2017) and presented in SI (Test S2).

147

148 **2.3. EEM-PARAFAC analysis**

149 The EEM fluorescence spectra of the aqueous extraction of the samples in 1-cm
150 quartz cuvettes were recorded using a three-dimensional fluorescence
151 spectrophotometer (Aqualog; HORIBA Scientific, USA) at room temperature. The



152 scanning ranges for Ex and Em was 200–500 and 250–550 nm, respectively. The
153 wavelength increment of the Ex and Em scans was 5 nm, integration time 0.5 s, and
154 Milli-Q water (18.2 Ω) used as blank reference. The absorbance measurements were
155 used to correct the EEM for IFEs as described previously (Fu et al., 2015) if the
156 absorbance was > 0.05 at 250 nm (Murphy et al., 2013; Tang et al., 2020a).
157 Background samples were also analyzed and the background values were subtracted
158 from the values obtained for all the samples. To avoid concentration effects, the
159 fluorescence spectra were normalized by the water Raman area to produce Raman
160 Unit (R.U.) and further by organic carbon concentration of the samples to the
161 normalized fluorescence intensities (R.U./(mg C/L)) (Yang et al., 2022) are shown in
162 Table S2.

163 The PARAFAC modeling procedure was conducted in MATLAB 2014b
164 (Mathwork.Inc, USA) using the drEEM toolkit (Murphy et al., 2018; Wünsch et al.,
165 2019). The PARAFAC was computed using two to nine component models, with
166 non-negativity constraints and residual analysis, and split-half analysis was
167 employed to validate the number of fluorescent components. Based on the results of
168 the split-half and core consistency analyses, three-component models were chosen
169 for further investigation. The relative contribution of individual chromophores was
170 estimated by calculating the maximum fluorescence intensities (F_{\max} : maximum
171 fluorescence intensity of the identified fluorescent components; relative content (%)
172 $= F_{\max}/\Sigma F_{\max}$) (Chen et al., 2020; Fan et al., 2020).

173



174

175 **3. Results and discussion**

176 **3.1. Fluorescence properties of BrC model compounds**

177 To identify whether the light-absorbing species possess fluorescence, a series of
178 BrC model compounds were tested by the EEM method, and the fluorescence
179 profiles are shown in Fig. S1. The results revealed that the location and intensity of
180 the fluorescence peaks of different compounds were different, which varied with the
181 distinct functional groups and aromatic conjugate system.

182 Although phenolic compounds are important light-absorbing species in
183 atmospheric BrC (Smith et al., 2016; Yu et al., 2014, 2016), not all of them exhibit
184 strong fluorescence. As shown in Fig. S1a, a strong fluorescence peak in the EEM
185 spectrum of phenol was observed at $E_x/E_m = 270/295$ nm. When the phenol
186 compounds were substituted with electron-donating groups (e.g., hydroxyl), all of
187 the stronger fluorescence peaks were obviously red-shifted to 310–320 nm (e.g.,
188 catechol, hydroquinone, and 2-methoxyphenol). However, phenolic compounds
189 substituted with electron-withdrawing groups (e.g., carboxyl and aldehyde)
190 displayed weaker or even no fluorescence (Fig. S1a). These differences could be
191 owing to the ability of the electron-donating groups to form a larger conjugate
192 system coupled with the benzene ring and decrease the $\pi \rightarrow \pi^*$ transition energy, thus
193 leading to an increase in the E_m wavelength (i.e., red shift) and variation in
194 fluorescence intensity. In contrast, the electron-withdrawing group can reduce the
195 conjugate structure formed by the benzene ring and hydroxyl group, reducing the



196 fluorescence intensity (Andrade-Eiroa et al., 2010; Andrade-Eiroa et al., 2013).

197 Aromatic acid and its derivatives are also important light-absorbing organic
198 compounds in atmospheric BrC. Owing to the negative effects of carboxyl group, a
199 weak fluorescence peak (275/315 nm) was identified for benzoic acid and no
200 fluorescence was detected for benzene polycarboxylic acids, such as phthalic acid,
201 terephthalic acid, and trimesic acid (Fig. S1b). However, when benzoic acid was
202 substituted with electron-donating groups (e.g., hydroxyl, methoxy), higher intensity
203 fluorescence peaks were observed. Two strong fluorescence peaks at 230/405 and
204 290/405 nm were identified for 2-hydroxybenzoic acid substituted with only one
205 hydroxyl group. These peaks could have been the result of the ortho structure of the
206 hydroxy and carboxyl groups, which is favorable for the formation of intramolecular
207 hydrogen bond and generates a double-ring conjugate structure, reducing the
208 transition energy and thereby presenting strong UV absorption and fluorescence
209 (Andrade-Eiroa et al., 2013).

210 The N-containing compounds, especially NACs, have strong light absorption,
211 and have been reported to be the major components of atmospheric BrC, accounting
212 for more than 60 % of the total light absorption intensity at 300–500 nm (Huang et
213 al., 2021; Lin et al., 2016; Lin et al., 2017). However, most of the NACs did not
214 exhibit any fluorescence (Fig. S1c), similar to that reported in a previous study by
215 Chen et al. (2020), which could be owing to the significant reduction in the electron
216 density of benzene ring by the nitro (-NO₂) group—strong electron-withdrawing
217 group—thereby weakening the fluorescence.



218 Tryptophan and tyrosine are the two most studied PRLIS species, and their
219 EEM spectra are generally used as standards for comparison with fluorophores in
220 atmospheric WSOM and aquatic DOM (Coble, 1996, 2007). As shown in Fig. S1d,
221 the Ex/Em peaks at 275/300 and 275/350 nm corresponded to tyrosine and
222 tryptophan, respectively. The maximum Em wavelength of phenylalanine was more
223 inclined to short wavelength (280 nm) and with much weaker fluorescence intensity.
224 Moreover, the fluorescence peaks of PRLIS were obviously overlapped with phenols
225 and aromatic acids (Fig. S1a, b). It must be noted that the concentrations of phenols
226 and aromatic acids were significantly higher than those of tryptophan and tyrosine in
227 the atmospheric samples (Table S2); therefore, the aerosol BrC fluorophores in these
228 regions are more likely to have originated from phenols and aromatic acids rather
229 than PRLIS.

230 The N-heterocyclic compounds such as pyrrole, pyridine, and imidazole are
231 commonly identified in atmospheric samples (Dou et al., 2015; Jiang et al., 2019;
232 Kosyakov et al., 2020). However, no fluorescence was observed for these three
233 species in the present study, indicating that the absorbed energy may have been
234 consumed by relaxation or vibration (Fig. S1e). Nevertheless,
235 imidazole-2-formaldehyde produced two strong fluorescence peaks at 290/440 and
236 350/440 nm, formed from the oxidation of imidazole, suggesting that some
237 N-heterocyclic compounds from secondary reaction may exhibit strong fluorescence
238 at higher wavelength in atmospheric BrC (Ackendorf et al., 2017).

239 PAHs and its derivatives are mainly formed from incomplete combustion



240 processes, and are important components of BrC (Chen et al., 2020; Lin et al., 2017;
241 Mahamuni et al., 2020). As shown in Fig. S1f, all PAHs exhibited strong
242 fluorescence emission, with its peak location associated with the conjugated
243 aromatic system. Naphthalene presented a fluorescence band located at the
244 maximum Em wavelength of about 325 nm. As expected, with the increasing size of
245 the π -bond system and degree of conjugation, the fluorescence band moved toward
246 the longer wavelength range, and a new Em band was observed at 360–390 nm for
247 3–4-ring phenanthrene and pyrene, and at 400–500 nm for \geq 5-ring PAHs
248 (Mahamuni et al., 2020). The fluorescence spectra of high-ring PAHs were more
249 complex because of more types of double bonds. As shown in Fig. S1f, the intensity
250 and location of the fluorescence peaks were also significantly changed when
251 different types of groups were substituted with PAHs. For example, 1-naphthol
252 exhibited stronger EEM peak at a relatively longer wavelength (230, 290/460 nm)
253 owing to its high conjugated structure, when compared with naphthalene. This EEM
254 spectrum was located in the EEM region of FAs, implying that FAs are composed of
255 aromatic units and O-containing groups. In contrast, relatively weaker fluorescence
256 was observed for 9-fluorenone, anthraquinone, and 2-naphthalenecarboxylic acid,
257 and no EEM signals were observed for 2-nitronaphthol (Fig. S1c), which was
258 substituted with a strong electron-withdrawing group ($-\text{NO}_2$).

259

260 3.2. Fluorescence properties of BrC from different sources

261 As shown in Fig. S4a and S4b, BB and CC WSOM exhibited similar



262 fluorescence spectra, with two types of fluorescence peaks at $Ex/Em \approx (230-$
263 $240)/(340-400)$ nm (peak A) and $Ex/Em \approx (260-280)/(330-360)$ nm (peak B),
264 respectively. The two fluorescence peaks were similar to those previously reported
265 for BB WSOM and HULIS (Fan et al., 2020; Tang et al., 2020a; Yang et al., 2022).
266 In general, peak A mainly corresponds to the protein-like UV region, with a minor
267 contribution from fulvic-like substances, whereas peak B could be attributed to
268 tryptophan-like fluorophores. However, based on the results of the present study,
269 these two peaks could be mainly attributed to aromatic species such as aromatic
270 acids, phenolic compounds, and minor quantity of PAHs (e.g., naphthalene) (Fig. 1).
271 The fluorescence spectra of WSOM from two types of vehicles (diesel and gasoline)
272 also presented two fluorophores. A relatively strong fluorescence peak was observed
273 at the low Ex wavelength ($Ex/Em \approx 230/350$ nm) and a relatively weaker peak was
274 detected at the high Ex wavelength ($Ex/Em \approx 270/350$ nm) (Fig. S4c). These results
275 are consistent with those reported in previous studies on VE (Chen et al., 2020; Tang
276 et al., 2020a; Yang et al., 2022), and similar to the EEM fluorescence spectra of BB
277 and CC WSOM. However, the fluorescence ranges of vehicles WSOM were
278 obviously narrower, suggesting that BB and CC WSOM fluorescent components are
279 more complex.

280 Soil-derived DOM is also a primary source of atmospheric WSOM. As shown
281 in Fig. S5a, two main fluorescence peaks located at $Ex/Em = 230/430$ and $320/430$
282 nm, respectively, were detected in the fluorescence spectra of soil DOM, which are
283 similar to those reported in previous studies (Ge et al., 2021; Liu et al., 2009) and



284 particularly close to the position of FAs (Fig. S5b).

285 Secondary chemical formation is another important source of atmospheric
286 WSOM. For example, the aqueous-phase reactions of aldehydes with ammonium
287 sulfate (AS) can produce highly fluorescent species (Hawkins et al., 2016).
288 Glyoxal-AS and glyoxal/glycine reaction products fluoresce at 340/450 nm, whereas
289 formaldehyde-AS reaction product fluoresce at 250/430 nm. Secondary organic
290 aerosols (SOAs) produced in the limonene/O₃ system have been reported to strongly
291 fluoresce in the presence of NH₃ (Bones et al., 2010). In addition, aging of primary
292 organic compounds has also been found to change the fluorescence spectra (Lee et
293 al., 2013; Li et al., 2021; Powelson et al., 2014). For instance, aging of syringic acid
294 with OH radicals caused the initial fluorescence band to move toward the long
295 wavelength range, producing a new band at a broad Em band at 400–600 nm.
296 Similarly, the fluorescence peaks red-shifted (e.g., from 260–270/360 nm to 280–
297 290/390–400 nm) during the O₃ aging process (Fan et al., 2020), suggesting the
298 degradation of the initial compound and formation of new secondary organic
299 compounds generally located at longer wavelengths, possibly with a high degree of
300 aromaticity or highly oxidized functional groups (Chen et al., 2016a).

301

302 **3.3. Identification of chemical species and potential sources of fluorescent** 303 **components in ambient aerosols**

304 The typical EEM spectra of atmospheric water-soluble light-absorbing
305 compounds are shown in Fig. 2. Three fluorescence peaks were identified in the



306 aerosol WSOM samples: a stronger fluorescence peak at $Ex/Em = 230\text{--}250/360\text{--}420$
307 nm, and two relative weaker fluorescence peaks at $Ex/Em = 270\text{--}290/340\text{--}370$ nm
308 and $300\text{--}320/360\text{--}420$ nm, respectively. Similar fluorescence bands have been
309 previously identified in the EEM fluorescence spectra of WSOM from $PM_{2.5}$ in
310 Xi'an of Northwest China and in Godavari of Nepal (Qin et al., 2018; Wu et al.,
311 2019). Although the fluorescence intensities varied with different sites and seasons,
312 the EEM spectra of WSOM were very similar, making it difficult to directly
313 distinguish the different samples solely based on the characteristics of the EEM
314 profiles. Therefore, a more powerful protocol named the PARAFAC method was
315 employed to identify the individual fluorophores in ambient WSOM.

316

317 **3.3.1. Identification and quantification of fluorescent components by the** 318 **PARAFAC method**

319 To better explain the various fluorophores in different atmospheric WSOM
320 samples, the EEM spectra were resolved with the EEM-PARAFAC tool
321 (Andrade-Eiroa et al., 2013; Murphy et al., 2013). As shown in Fig. 3, three
322 fluorescent components (C1, C2, and C3) were identified in the atmospheric samples;
323 C1 occurred at relatively lower Em wavelength, exhibiting two fluorescence peaks at
324 $Ex/Em = 235(270)/330$ nm, C2 presented fluorescence peaks at around $Ex/Em =$
325 $235(320)/390$ nm, and C3 had a longer Em wavelength than C1 and C2, which was
326 located at $Ex/Em =$ about $250(355)/455$ nm. In general, these fluorescent
327 components have been interpreted based on the knowledge of fluorescence



328 characteristics of aquatic DOM. Accordingly, C1 is considered to belong to the
329 typical PRLIS (Chen et al., 2016a; Chen et al., 2020), C2 is associated with
330 fulvic-like substances or less-oxygenated HULIS (Chen et al., 2016a; Wang et al.,
331 2020), and C3 is usually considered to correspond to terrestrial HULIS that are
332 highly oxygenated organic matter (Table S3) (Chen et al., 2016a; Zhou et al., 2017).
333 However, it must be noted that the sources and transformation process are
334 significantly different for WSOM in aerosols and DOM in aquatic environment;
335 therefore, the fluorescence classifications of DOM might not be applicable to
336 atmospheric WSOM.

337 In general, the Ex and Em wavelengths of fluorescent components are mainly
338 associated with their chemical characteristics and structures (Table S1 and Fig. 1). In
339 the present study, C1 was similar to tryptophan-like fluorophore associated with
340 PRLIS in rainwater (Zhang et al., 2014; Zhou et al., 2017) and fog water (Bianco et
341 al., 2014; Bianco et al., 2016). However, this fluorophore might also be possibly
342 related to the small molecular aromatic compounds, such as aromatic acids (e.g.,
343 3,5-dihydroxybenzoic acid and 2-naphthalenecarboxylic acid) and PAHs (e.g.,
344 naphthalene, phenanthrene, and anthraquinone) (Fig. 1a) (Miyakawa et al., 2015; Wu
345 et al., 2019). In addition, this fluorophore could also contain traces of some phenolic
346 compounds, including catechol, hydroquinone, and 2-methoxyphenol. These organic
347 species might have probably been generated by various types of combustion process
348 and atmospheric oxidation reaction. It must be noted that investigations of the
349 fluorescent components in atmospheric WSOM should not only consider their



350 position in the fluorescence spectrum, but also their concentration and possibility of
351 trapping. Many previous studies have reported that the concentration of amino acids
352 in the atmospheric aerosols is almost negligible, when compared with that of lower
353 molecular weight aromatic compounds such as aromatic acids and phenolic
354 compounds (Table S2). Therefore, fluorescent components in this Ex/Em region
355 could be attributed to non-nitrogen aromatic species, rather than PRLIS. Moreover,
356 this fluorophore overlapped with that of WSOM from combustion process such as
357 BB, CC, and VE (Fig. 1b), suggesting significant contribution of combustion
358 process.

359 When compared with C1, C2 exhibited a strong fluorescence peak at longer
360 Ex/Em wavelength of 235(320)/390 nm, implying that this fluorescent component
361 presented relative larger molecular size and higher aromaticity than C1 (Pöhlker et
362 al., 2012). As shown in Fig. 1a, the fluorescence of C2 is similar to that of aromatic
363 compounds (e.g., 2-naphthalenecarboxylic acid, 2-hydroxybenzoic acid,
364 anthraquinone) and high-ring PAHs (e.g., pyrene, anthraquinone, anthracene,
365 chrysene) (Mahamuni et al., 2020), and overlaps with the fluorescence spectra of
366 FAs. In addition, this fluorophore has also been reported to be related to the
367 generation of SOAs from organic precursors emitted from biological/anthropogenic
368 emission and combustion process (Wang et al., 2020). For example, the
369 aqueous-phase reactions of aldehydes with AS has been proposed as an important
370 source of atmospheric BrC, which present similar fluorescence spectral profiles
371 (Hawkins et al., 2016; Lee et al., 2013) (Fig. 1b). Besides, oxidative oligomerization



372 of phenols and their derivatives can also shift the Ex/Em wavelength of these
373 substances to longer wavelength, falling into similar fluorescence region (Li et al.,
374 2021; Tang et al., 2020a; Vione et al., 2019). As suggested by Chen et al. (2016a),
375 this fluorescent component may be a less-oxygenated fluorescent group contributed
376 by biomass combustion. Therefore, fluorophore C2 might be related to the
377 derivatives of biomass burning and/or biogenic molecules, with relatively lower
378 degree of oxidation (Chen et al., 2016a; Jiang et al., 2022).

379 C3 presented longer Em wavelength than C1 and C2, with two peaks at around
380 Ex/Em=250/455 nm and 355/455 nm (Fig. 3). This fluorescent component overlaps
381 with the fluorescence of high-ring PAHs and their derivatives, such as fluoranthene,
382 benzo-b-fluoranthene, benzo-a-pyrene, indeno-123cd-pyrene, 1-naphthol, and
383 N-heterocyclic compounds, including imidazole-2-formaldehyde (Chen et al., 2020;
384 Mahamuni et al., 2020). Furthermore, this fluorescent component exhibited similar
385 Ex/Em wavelength to that of FAs and HAs (Fig. S5b), suggesting the possible
386 contribution of soil dust, and thus could be assigned as HULIS (Lin and Guo, 2020).
387 Similar fluorescent substances have also been identified in the study of atmospheric
388 aerosol fluorescent chromophores, such as “HULIS-1” at about 470 nm in Nagoya,
389 Japan (Chen et al., 2016a) and “component 2” at about 480 nm in Godavari, Nepal
390 (Wu et al., 2019). Based on the PARAFAC results with aerosol mass spectrometry
391 data, C3 was considered to be a fluorescent group with high oxygen content and high
392 O/C ratio, close to that of aged organic aerosols (Chen et al., 2016a; Jiang et al.,
393 2022) (Fig. 1b). It must be noted that low molecular weight organic compounds can



394 further undergo oligomerization to high molecular weight species with long Em
395 wavelength during the aging process (Hawkins et al., 2016; Li et al., 2021; Tang et
396 al., 2020b; Yu et al., 2016). The resulting compounds may present a more complex
397 structure than its precursor, probably owing to the presence of condensed aromatic
398 ring and other π -electron systems with a high level of conjugation; thus, atmospheric
399 aging is assumed to be a potential contributor to C3 (Barsotti et al., 2016; De
400 Laurentiis et al., 2013; Hawkins et al., 2016).

401

402 3.3.2. Spatial and seasonal variations of fluorescent components in WSOM

403 The relative contributions of C1, C2, and C3 components to the total
404 fluorescence intensities ($F_{\max}/\sum F_{\max}$) were calculated (Fig. 4), and were found to be
405 similar for WSOM from CZ and GZ, exhibiting maximum C2 content and relatively
406 lower C1 and C3 contents. Furthermore, these WSOM samples showed obvious
407 spatial and seasonal variations. First, CZ WSOM presented relatively higher C3
408 content, whereas GZ WSOM had relatively higher C2 content at the same sampling
409 period. Such differences in the composition of fluorescent components may be
410 ascribed to the variation in the primary emission sources and atmospheric aging
411 process in the two sites. The relatively higher C3 content in CZ could be attributed to
412 the comparatively high contribution of soil dust in the suburban region. In contrast,
413 the relatively higher C2 content in GZ WSOM may be attributed to the
414 comparatively stronger atmospheric chemical reaction associated with bio-volatile
415 organic compounds (bio-VOCs) in the hot and humid region of GZ. This result was



416 consistent with the relatively higher humification index (HIX) and normalized
417 fluorescence volume (NFV) values ($\log(\text{NFV})$) of CZ WSOM (Fig. S6) (Chen et al.,
418 2020; Yang et al., 2022).

419 In addition, the resolved Ex and Em spectra for GZ WSOM were also similar in
420 different seasons, implying that the types of fluorophores contributing to WSOM
421 were predominantly the same throughout the year. However, the compositions of
422 fluorescent components varied in different seasons. In the dry season (October–
423 March), WSOM showed relatively higher contents of C3 fluorophores, whereas in
424 the wet season (April–September), slightly higher contents of C2 fluorophores were
425 detected (Fig. 4) (Chen et al., 2020; Wang et al., 2020). These differences might
426 possibly be associated with the variations in the source composition and aging
427 effects of BrC in different seasons. The higher content of C3 in WSOM in the dry
428 season suggested the occurrence of more highly aromatic and highly oxidized
429 compounds. These results could be explained by the fact that more aged organic
430 aerosols and dust were transported from the northern region of China (Jiang et al.,
431 2021). In contrast, the slightly higher C2 content in the wet season may be attributed
432 to the relatively stronger secondary formation of bio-SOAs and photodegradation
433 effects in high-temperature and relative humidity season.

434

435 **3.4. Correlation with optical and fluorescence properties**

436 It is well known that some organic substances that can generate fluorescence
437 should absorb energy and transit from ground state to excited state and back to



438 ground state, which could produce corresponding fluorescence. However, it is very
439 difficult to clearly understand the absorption spectra and corresponding fluorescence
440 spectrum of complex WSOM. As indicated in the present study, not all WSOM with
441 strong light absorption exhibit strong fluorescence (e.g., NACs). Hence, to elucidate
442 the association between light-absorbing chromophores and fluorescent components,
443 the relationship between optical properties and fluorophores was analyzed by using
444 principal component analysis and Pearson correlation analysis (Fig. S7). The
445 MAE₃₆₅ showed an obvious positive loading for principal component 1 (PC1) and
446 was grouped with C3 and HIX. Moreover, the MAE₃₆₅ values were positively
447 correlated with C3 and HIX, indicating that light absorption by BrC is more
448 dependent on these fluorophores with long Ex and Em wavelengths and high
449 humification. These results also suggested that the oligomerized fluorescent products
450 with high aromaticity and oxygenated groups may be the dominant factor affecting
451 the light absorption capacity of the fluorescent chromophores. Similar findings have
452 also been reported by Chen et al. (2020) and Tang et al. (2021).

453

454 **4. Conclusion and future prospects**

455 In this study, the fluorescence properties of BrC model compounds were
456 investigated to determine the chromophoric species that can be evaluated by the
457 EEM method. Accordingly, the aerosol WSOM in two sites (CZ and GZ) were
458 investigated by the EEM-PARAFAC method, and the chemical characteristics and
459 potential sources of fluorescent components were examined. The main conclusions



460 and future prospects are as follows:

461 (1) Fluorescent components have predominantly been evaluated based on the
462 knowledge of fluorophores in aquatic DOM, which often leads to misinterpretation.
463 In the present study, the chemical characteristics of fluorophores in different Ex/Em
464 regions were discussed based on the fluorescence properties of BrC model
465 compounds and their amounts in aerosols. In particular, the C1 fluorophore in
466 atmospheric WSOM, which has been frequently assigned to PRLIS because of the
467 similarity in fluorescence spectra, was demonstrated to mainly include aromatic
468 acids, phenolic compounds, and their derivatives, with negligible amount of amino
469 acids.

470 (2) The fluorescence properties of target compounds are mainly influenced by
471 the aromatic system and characteristics of adjacent functional groups. Organic
472 compounds with high aromaticity and strong electron-donating groups (e.g.,
473 hydroxyl, methoxyl) generally exhibited strong fluorescence spectra at longer Em
474 wavelength, whereas organic compounds substituted with electron-withdrawing
475 groups presented relatively weaker fluorescence intensity. In particular, aromatic
476 compounds containing nitro groups (i.e., nitrophenols) showed strong absorption and
477 were the major component of atmospheric BrC; however, they did not exhibit
478 significant fluorescence. Thus, fluorescence method could only measure a subset of
479 chromophores in aerosol BrC and should be used with caution for the investigation
480 of aerosol BrC.

481 (3) The EEM spectra for aerosol WSOM were very similar; however, the



482 relative contents of certain fluorescent components significantly varied with the
483 sampling site and season. For example, more fluorescent components associated
484 with dust and secondary oxidation of small molecular compounds from combustion
485 emission were identified in GZ WSOM, whereas more fluorescent components
486 derived from atmospheric chemical reaction of bio-VOCs were observed in CZ
487 WSOM. In addition, GZ WSOM exhibited more highly aromatic and highly
488 oxidized compounds in the dry season.

489 Although many studies have applied EEM-PARAFAC method to investigate
490 atmospheric WSOM and have obtained useful data, there are still challenges and
491 gaps that must be addressed. First, caution should be taken for credible
492 interpretations of the fluorescent components in atmospheric WSOM because of the
493 differences in chemical characteristics of organic matter derived from different
494 sources. In addition, the same fluorophores may exhibit different Ex/Em ranges and
495 intensities in different environmental conditions (e.g., pH, co-existing metal ions and
496 inorganic salts, etc.). Therefore, more theoretical and experimental studies are
497 necessary to understand the relationship between the fluorescent groups and
498 positions of fluorescence peaks, as well as the influences of sources and chemical
499 formation process of the fluorescent groups on fluorescence peaks.

500

501 **Data availability**

502 The research data can be accessed in the Harvard Dataverse ([https://](https://doi.org/10.7910/DVN/ULCIU9)
503 doi.org/10.7910/DVN/ULCIU9, Song, 2022)



504

505 **Author contributions.** J. Song designed the research. T. Cao and C. Xu, analyzed
506 the model compounds and WSOM samples by UV-Vis and EEM. T. Cao and X. Fan
507 resolved the EEM by PARAFAC tool. M. Li carried out the PM_{2.5} sampling
508 experiments. T. Cao and J. Song wrote the paper. J. Li, W. Jia, and P. Peng
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510

511 **Competing interests.** The authors declare that they have no conflict of interest

512

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517

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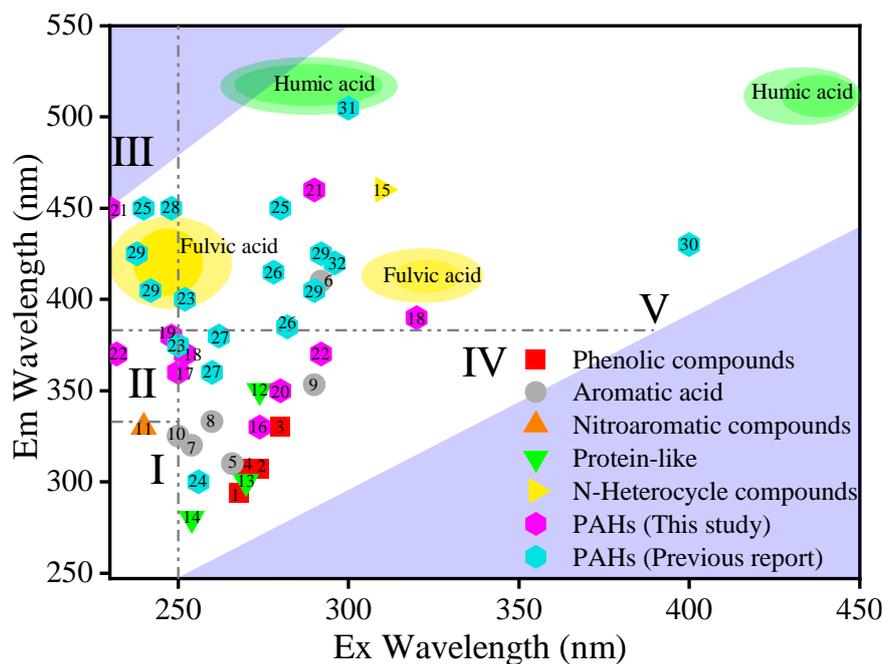
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(a)



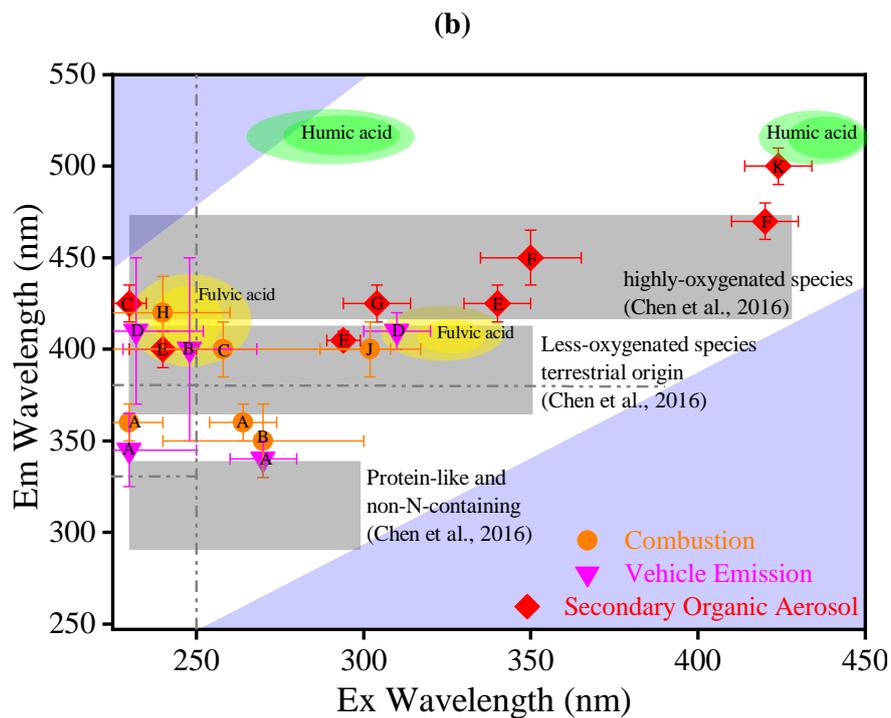
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1: Phenol	2: Catechol	3: Hydroquinone
4: 2-Methoxyphenol	5: Benzoic acid	6: 2-Hydroxybenzoic acid
7: 4-Hydroxybenzoic acid	8: 4-Hydroxy-3,5-dimethoxybenzoic acid	9: 3, 5-Dihydroxybenzoic acid
10: Vanillic acid	11: 4-Nitrocatechol	12: DL-Tryptophan
13: DL-Tryosine	14: Phenylalanine	15: midazole-2-formaldehyde
16: Naphthalene	17: Phenanthrene	18: Pyrene
19: Anthraquinone	20: 9-Fluorenone	21: 1-Naphthol
22: 2-Napphtalenecarboxylic acid	23: Anthracene	24: Fluorene
25: Fluoranthene	26: Benzo-a-Anthracene	27: Chrysene
28: Benzo-b-Fluoranthene	29: Benzo-k-Fluoranthene	30: Perylene
31: Indeno-123cd-Pyrene	32: Benzo-ghi-Perylene	

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A: This study	B: Yang et al., 2022
C: Chen et al., 2020	D: Tang et al., 2020b
E: Hawkins et al., 2016	F: Bones et al., 2010
G: Lee et al., 2013	H: Mahamuni et al., 2020
J: Yan and Kim 2017	K: Powelson et al., 2014

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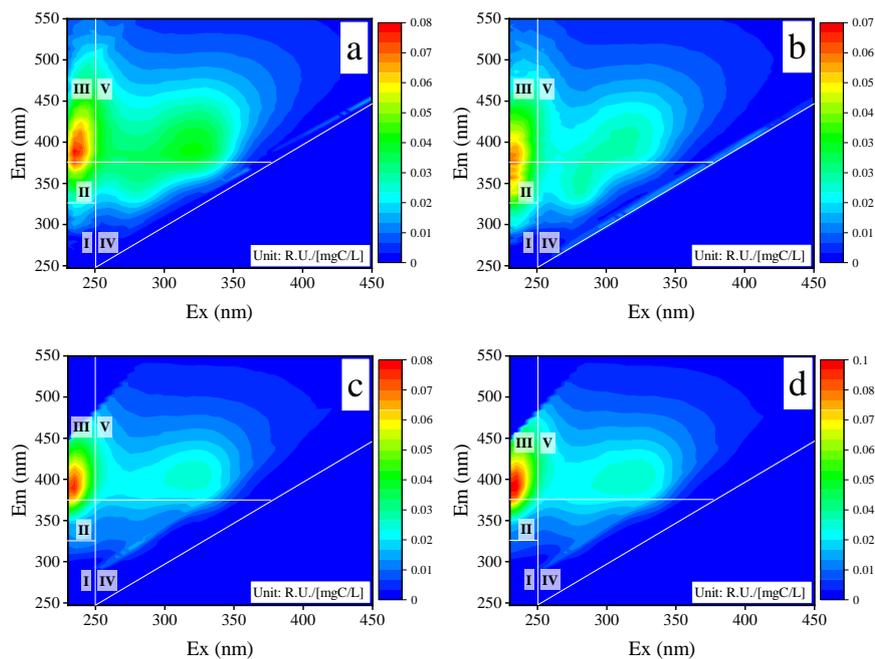
Figure 1. Comparison of chemical characteristics of molecules assigned to each fluorescence component of BrC model compounds (a) and source WSOM (b).

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792 **Figure 2.** The 3D-EEM spectra of WSOM in atmospheric PM_{2.5} samples (a:

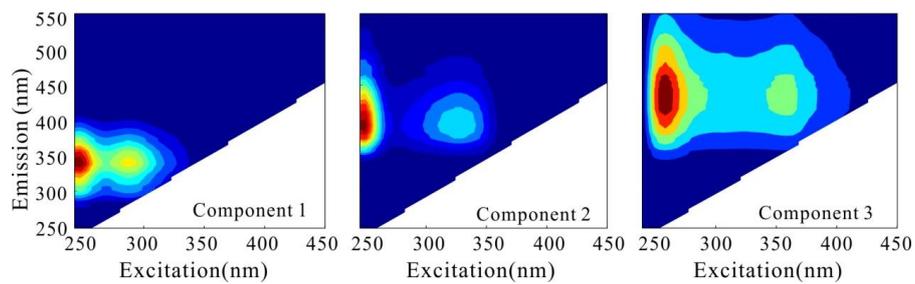
793 Chuzhou (CZ); b: Guangzhou (GZ); c: GZ wet season; d: GZ dry season)

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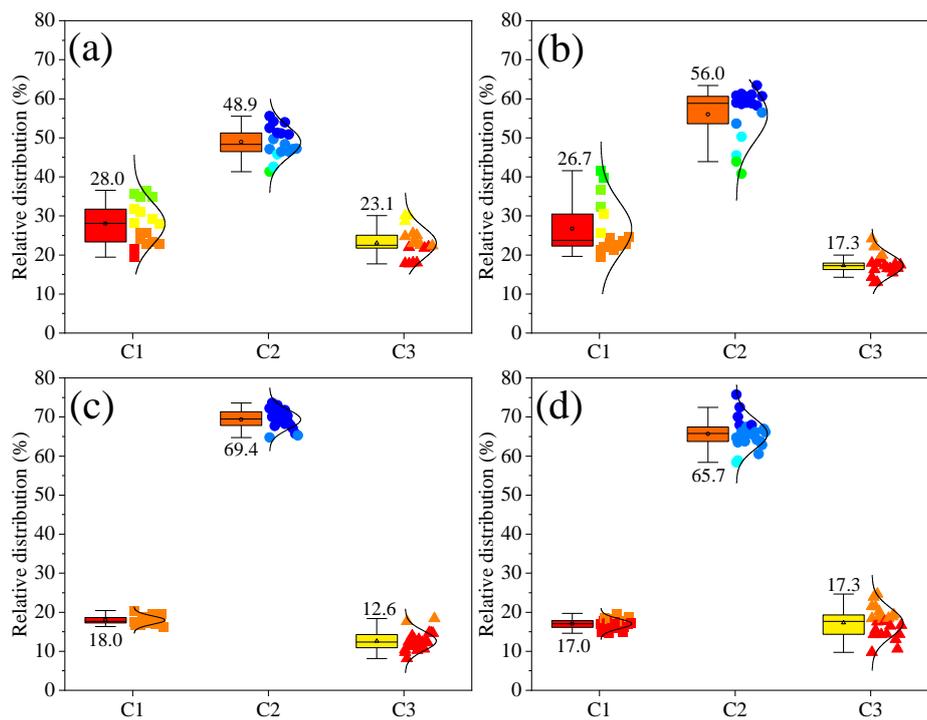
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801 **Figure 3.** The EEM components derived from the PARAFAC model of WSOC in

802 atmospheric PM_{2.5} samples collected at Chuzhou (CZ) and Guangzhou (GZ) sites.

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804 **Figure 4.** Relative contribution of individual fluorophores of atmospheric WSOM. (a:

805 Chuzhou (CZ); b: Guangzhou (GZ); c: wet season of GZ; d: dry season of GZ)

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