



1 **Impact of fossil and non-fossil sources on the molecular compositions of water soluble humic-**
2 **like substance in PM_{2.5} at a suburb site of Yangtze River Delta, China**

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18

19 **Abstract**

20 Atmospheric humic-like substances (HULIS) affect global radiation balance due to its strong
21 light absorption at the ultraviolet wavelength. The potential sources and molecular compositions
22 of water soluble HULIS at a suburb site of Yangtze River Delta from 2017 to 2018 were discussed
23 based on the radiocarbon (¹⁴C) analysis combining the Fourier Transform Ion Cyclotron
24 Resonance Mass Spectrometry (FT-ICR MS) technique in this study. The ¹⁴C results showed that
25 the averaged non-fossil source contributions to HULIS were 39 ± 8 % and 36 ± 6 % in summer
26 and winter, respectively, indicating that both the fossil and non-fossil sources played important
27 roles in the formation of HULIS. The Van Krevelen diagrams obtained from the FT-ICR MS
28 results showed that the proportions of tannins-like and carbohydrates-like groups were higher in
29 summer, suggesting significant contribution of HULIS from biogenic secondary organic aerosols
30 (SOA). The higher proportions of condensed aromatic structures in winter suggested the increasing
31 anthropogenic emissions. Molecular composition analysis on the CHO, CHON, CHOS, and



32 CHONS subgroups showed the relatively higher intensities of high O-containing macromolecular
33 oligomers in CHO compounds in summer, further indicating stronger biogenic SOA formation in
34 summer. High-intensity phenolic substances and flavonoids which were related to biomass burning
35 and polycyclic aromatic hydrocarbons (PAHs) derivatives indicating fossil fuel combustion
36 emissions were found in winter CHO compounds. Besides, two high-intensity CHO compounds
37 containing condensed aromatic ring structures ($C_9H_6O_7$ and $C_{10}H_5O_8$) identified in summer and
38 winter samples were similar to those from off-road engine samples, indicating that traffic emission
39 was one of the important fossil sources of HULIS at the study site. The CHON compounds were
40 mainly composed of organonitrates or nitro compounds with significant higher intensities in winter,
41 which was associated to enhanced formation of organonitrates due to high NO_x in winter. However,
42 the high-intensity CHON molecular formulas in summer were referring to N-heterocyclic aromatic
43 compounds, which were produced from the atmospheric secondary processes involving reduced
44 N species (e.g., ammonium). The S-containing compounds were mainly composed of
45 organosulfates (OSs) derived from biogenic precursors, long-chain alkane and aromatic
46 hydrocarbon, further illustrating the mixed sources of HULIS and both important biogenic and
47 anthropogenic source contributions to HULIS at the study site. These findings add to our
48 understanding of the interaction between the sources and the molecular compositions of
49 atmospheric HULIS.

50

51 **1. Introduction**

52 Atmospheric humic-like substances (HULIS) have been observed worldwide and can be
53 produced from primary combustion of biomass, fossil fuel, as well as various secondary processes
54 such as photochemical processes of volatile organic compounds (VOCs) and heterogeneous
55 reactions of organic aerosols in the atmosphere (Kuang et al., 2015; Li et al., 2019; Ma et al., 2018;
56 Sun et al., 2021). As important component of brown carbon (BrC) aerosols, HULIS species have
57 been widely reported to have a great impact on global radiative budget, contributing to 20-40% of
58 the direct radiative forcing caused by light absorbing aerosols due to its light absorption at the
59 ultraviolet wavelength (Chung et al., 2012; Zhang et al., 2017; Zhang et al., 2020; Wang et al.,
60 2018b). HULIS are a highly complex mixture of polar organic compounds composed of aromatic
61 and hydrophobic aliphatic structures containing carboxyl, carbonyl, and hydroxyl function groups
62 (Zheng et al., 2013; Graber and Rudich, 2006). During the atmospheric secondary oxidation



63 processes, the substitutions of hydrophilic functional groups produced aerosol hygroscopicity
64 (Huo et al., 2021; Jiang et al., 2020). Polycarboxylic acids in HULIS are surface-active and play
65 an important role in the cloud condensation nuclei (CCN) activity (Tsui and McNeill, 2018). N-
66 base compounds can promote the generation of atmospheric reactive oxygen species (ROS) which
67 have a great impact on human health (Wang et al., 2017b; De Haan et al., 2018; Song et al., 2022).
68 Identifying the molecular compositions of HULIS is a challenge due to complex mixtures
69 contained in HULIS and can help to a better understanding of the processes involving organic
70 compounds in atmosphere (Noziere et al., 2015; Laskin et al., 2018).

71 The Fourier-Transform Ion Cyclotron Resonance Mass Spectrometry (FT-ICR MS) coupled
72 with electrospray ionization (ESI) ion source have been widely used in identifying the chemical
73 structure of HULIS, providing high mass accuracy and can determine molecular formulas from
74 mixed compounds (Chen et al., 2016; Wang et al., 2019b; Lin et al., 2012a; Jiang et al., 2020).
75 Typical molecular formulas composed of C, H, and O atoms in HULIS were observed being
76 abundant in carboxylic acids, lignin-derived products, and polycyclic aromatic hydrocarbons
77 (PAHs) or their derivatives (Lin et al., 2012a; Sun et al., 2021; Jiang et al., 2020; Huo et al., 2021;
78 Song et al., 2018). In addition, the HULIS formation of N and S containing precursors were also
79 widely detected. The N-containing compounds such as nitroaromatics were important
80 chromophores in HULIS in aged biomass burning organic aerosols (BBOA), as well as in ambient
81 aerosols influenced by biomass burning (BB), while reduced N compounds such as N-heterocyclic
82 aromatic compounds were found to be important chromophores in fresh BBOA (Wang et al.,
83 2019b; Song et al., 2022; Jiang et al., 2020; Wang et al., 2017b). Recent laboratory simulation
84 experiments showed that the photooxidation of various anthropogenic VOCs (e.g., naphthalene,
85 benzene, toluene, and ethylbenzene) would be promoted under high NO_x condition, producing
86 strongly light absorbing nitroaromatics (Yang et al., 2022; Aiona et al., 2018; Siemens et al., 2022;
87 Xie et al., 2017). Otherwise, nighttime oxidation of biogenic or anthropogenic VOCs, such as
88 benzene/toluene, isoprene (C₅H₈) and monoterpenes (C₁₀H₁₆) by NO₃ radicals lead to substantial
89 organonitrates formation, where the VOCs oxidation is strongly affected by NO_x (He et al., 2021;
90 Shen et al., 2021; Wang et al., 2020; Zheng et al., 2021).

91 The organosulfates (OSs) and nitrooxy organosulfates (nitrooxy-OSs) have also been found
92 to widely exist in HULIS in different atmospheric environment (Lin et al., 2012b; Lin et al., 2012a;
93 Sun et al., 2021). Field study and laboratory smog chamber experiments have confirmed that OSs



94 and nitrooxy-OSs in the atmosphere mainly come from the O₃, OH, or NO₃ oxidation of biogenic
95 VOCs such as isoprene, α/β -pinene as well as aromatic hydrocarbon in the presence of H₂SO₄/SO₂
96 (Surratt et al., 2008; Glasius et al., 2021; Yang et al., 2020; Lin et al., 2012b; Huang et al., 2020).
97 Coal combustions were found to be important sources of the aromatic OSs and nitrooxy-OSs in
98 HULIS (Song et al., 2018). Besides, the long-chain alkanes were found to be important precursor
99 of OSs in atmospheric aerosol samples from urban area which was related to vehicle emissions
100 (Wang et al., 2019a; Tao et al., 2014).

101 Nanjing is one of the main cities in the Yangtze River Delta (YRD), which is one of the most
102 developed areas in China. Previous study reported by our laboratory have found significant HULIS
103 formation at Nanjing, China influenced by both biogenic and anthropogenic emissions (Bao et al.,
104 2022). The molecular compositions of water soluble HULIS isolated from PM_{2.5} samples collected
105 in summertime and wintertime from 2017 to 2018 at Nanjing, China, were investigated combining
106 the FT-ICR MS and radiocarbon (¹⁴C) analysis. We aim to obtain the molecular characteristic
107 differences of water soluble HULIS in summertime and wintertime and to get a better
108 understanding of the influence of different sources on the molecular compositions of HULIS.

109 **2. Materials and methods**

110 **2.1 Sample collection**

111 The 24 h PM_{2.5} samples were collected on the roof of Wende building, which was about 21
112 m height from the ground at Nanjing University of Information Science and Technology (32.2° N,
113 118.7° E) using a high-volume sampler (KC-1000, Qingdao, China) at a flow rate of 300 L min⁻¹.
114 The study site was located at the north suburb area of Nanjing, adjacent to G205 State Road and
115 surrounded by an industrial park and residential area. Generally, the study site was affected by
116 human activity, industrial emission, and traffic emission. The sample collection was conducted in
117 summer from 12 August 2017 to 26 August 2017 and in winter from 31 December 2017 to 31
118 January 2018. A heavy haze event occurred from 31 December 2017 to 3 January 2018, thus the
119 sample frequency was adjusted to 2 h in daytime and 8 h in nighttime. Field blank filters were
120 performed before and after sample collection for each season. More details about the sample
121 collection can be found in previous research reported by our laboratory (Bao et al., 2022). The air
122 pollutants data were provided by China National Environmental Monitoring Centre. Twelve
123 samples were selected for further chemical analysis and the details about the sample selection are
124 described in Section 3.1 in this study.



125 2.2 Chemical analysis

126 The solid phase extraction (SPE) was performed to isolate the water soluble HULIS in this
127 study and the carbon fraction in HULIS (HULIS-C) were determined using a total carbon
128 analyzer (Shimadzu-TOC-VCPH, Shimadzu, Japan) with standard deviation of reproducibility test
129 less than 3.5% and detection limit of $0.14 \mu\text{g C m}^{-3}$. The mass concentrations of levoglucosan were
130 measured using an ion chromatography (Dionex ICS-5000+, ThermoFisher Scientific, USA) and
131 the details of the methods have been described previously (Liu et al., 2019). All data were blank
132 corrected in this study. More details about the HULIS isolation and measurement have been
133 described in (Bao et al., 2022).

134 2.3 Radiocarbon analysis

135 For the radiocarbon measurement of the HULIS samples, the organic solvents were firstly
136 evaporated under a gentle flow of ultrapure N_2 for 30–40 minutes in tin cups. After that, the tin
137 cups were wrapped into balls and more than $50 \mu\text{g}$ of carbon from the HULIS samples was
138 combusted into CO_2 using an elemental analyzer (EA, model vario micro, elemental, Germany),
139 then reduced into graphite targets for ^{14}C determination at the State Key Laboratory of Organic
140 Geochemistry, Guangzhou Institute of Geochemistry, Guangzhou, China (Jiang et al., 2020).
141 Detailed descriptions of the ^{14}C data processing can be found in previous study (Mo et al., 2018).
142 Briefly, the ^{14}C values were expressed as the modern carbon (f_m) fraction after correcting for the
143 $\delta^{13}\text{C}$ fractionation. The f_m was converted into non-fossil carbon (f_{nr}) fraction with the correction
144 factor of 1.06 ± 0.07 based on the long-term time series of $^{14}\text{CO}_2$ sampled at the background station
145 in this study (Levin et al., 2013; Levin and Kromer, 2004). No field blank correction was
146 performed for the carbon isotope analysis since the carbon content in the field blanks was
147 negligible.

148 2.4 High-resolution FT-ICR MS analysis

149 The ultrahigh resolution mass spectra of the HULIS samples were obtained through a Solarix
150 XR FT-ICR MS (Bruker Daltonics, GmbH, Bremen, Germany) equipped with a 9.4 T
151 superconducting magnet (Gamry Instruments, Warminster, USA) and a Paracell analyzer cell
152 (Bruker Daltonik GmbH, Bremen, Germany) in the negative ESI mode. The detection mass range
153 was set as m/z 150 to 800 and the ion accumulation time was set as 0.65 s. A total of 100 continuous
154 4M transient data points were superposed to enhance the signal to noise ratio and dynamic range.
155 The mass spectrum was externally calibrated with a standard solution of arginine and internal



156 recalibration was performed using typical O₆S₁ chemical species in DataAnalysis ver. 4.4 software
157 (Bruker Daltonics) (Mo et al., 2018; Tang et al., 2020; Jiang et al., 2020). Field blank filters were
158 analyzed as same as the samples and all the sample data were blank corrected. More details about
159 the data processing can be found in Text S1 in the supporting information.

160 **3. Results and discussion**

161 3.1 General temporal characteristics during the sampling periods

162 Figure 1 displays the temporal variations of non-fossil contributions to HULIS-C, the mass
163 concentrations of HULIS-C, levoglucosan, NO₃⁻, SO₄²⁻, NH₄⁺, SO₂, NO₂, and PM_{2.5}, as well as the
164 relative humidity and temperature during the study periods corresponding to the 12 samples. The
165 12 samples were named as S1-S6 and W1-W6 in chronological order in this study. The averaged
166 mass concentrations of PM_{2.5} in summer and winter during the selected periods were 21.05 ± 8.05
167 μg m⁻³ and 445.67 ± 275.00 μg m⁻³, respectively, indicating the serious pollution level in winter.
168 The daily PM_{2.5} mass concentrations in summer were all below the daily averaged Chinese
169 National Ambient Air Quality Standard (NAAQS) of 35 μg m⁻³ for the first grade, while the daily
170 PM_{2.5} mass concentrations in winter all exceeded the daily averaged NAAQS of 35 μg m⁻³ for the
171 first grade, of which the PM_{2.5} mass concentrations of W1-W3 and W6 exceeded 200 μg m⁻³.

172 As shown in Fig. 1, the mass concentrations of HULIS-C, levoglucosan, water soluble
173 secondary inorganic aerosols (SIA), and air pollutants showed similar trends in winter, suggesting
174 the influence of BB and anthropogenic emissions in winter (Wu et al., 2019b). Significant
175 increasing of the levoglucosan and HULIS-C mass concentrations were found from 31 December
176 2017 to 1 January 2018, corresponding to the W1-W3 samples, indicating the BB impact during
177 the periods. The maximum of the levoglucosan and HULIS-C mass concentrations were 552.79
178 μg m⁻³ and 7.40 μg m⁻³, respectively. Despite the higher levoglucosan mass concentrations in the
179 W1-W3 samples, the radiocarbon analysis results showed that the *f_{nr}* of HULIS-C ranged from 30 %
180 to 50 % with an average contribution of 39 ± 8 % in summer and ranged from 32 % to 48 % with
181 an average contribution of 36 ± 6 % in winter, indicating that both fossil and non-fossil sources
182 played important roles in the formation of HULIS at the study site. There were other emission
183 sources of HULIS in winter other than BB. Figure S1 shows the 48 h back trajectories of each
184 sample during the selected periods. The study site was affected by the clean air masses from the
185 ocean in summer and the air masses mainly from the northern heating cities in winter, suggesting
186 the coal combustion contributions to HULIS in winter.



187 3.2 Mass spectra and molecular formula assignments

188 Figure S2 and S3 shows the negative ion ESI FT-ICR mass spectra of HULIS in summer and
189 winter, respectively. The molecular formulas listed are some of the top ten molecular formulas.
190 Thousands of peaks are present in the spectra in the range from m/z 150 to m/z 600 and the most
191 intense ion peaks are those in the range m/z 200-400 in summer and m/z 150-350 in winter. Our
192 results are similar to those found for the ultrahigh resolution mass spectra of water-soluble organic
193 compounds in particles produced from BB, coal combustion, vehicle exhaust emissions, as well as
194 in ambient aerosols and cloud water samples (Tang et al., 2020; Sun et al., 2021; Song et al., 2018;
195 Song et al., 2019; Bianco et al., 2018). In this study, the assigned molecular formulas were
196 classified into the following four main subgroups based on their elemental compositions: CHO
197 (compounds containing only C, H, and O), CHON (compounds containing C, H, O and N), CHOS
198 (compounds containing C, H, O, and S), and CHONS (compounds containing C, H, O, N, and S).
199 As shown in Fig. 2, the proportions of the four subgroups accounted for the overall formulas
200 followed as CHO (20 %-27 %), CHON (28 %-43 %), CHOS (19 %-26 %), and CHONS (16 %-
201 26 %) in summer, respectively and CHO (15 %-19 %), CHON (30 %-40 %), CHOS (21 %-32 %),
202 and CHONS (20 %-29 %) in winter, respectively. The average proportions of the CHO, CHON,
203 CHOS, and CHONS compounds in summer were 22 ± 3 %, 36 ± 5 %, 22 ± 3 %, and 20 ± 4 %,
204 respectively. The average proportions of the four subgroups in winter were 17 ± 2 %, 32 ± 4 %,
205 24 ± 3 %, and 27 ± 4 %, respectively. The CHON groups were the major components of molecular
206 formulas. Notably, the contributions of S-containing compounds (CHOS and CHONS groups)
207 increased in winter which might be related to the polluted air masses transported from the northern
208 heating cities with increasing coal combustions emissions in winter (Song et al., 2018).

209 Table S1 and S2 displays the composition characteristics of atmospheric HULIS in the
210 summer and winter samples, including the relative intensity weighted average values of number,
211 molecular weight (MW_w), elemental ratios (O/C_w and H/C_w), double-bond equivalent (DBE_w),
212 aromaticity index (AI_w), and DBE/C_w . A total of 14387 and 15731 peaks were detected in the
213 summer and winter samples, respectively. The O/C and H/C ratios are commonly calculated to
214 evaluate the oxidation degree and saturation degree of the compounds, respectively (Ning et al.,
215 2022). The O/C_w values were in a range of 0.61-0.80 with an average value of 0.71 ± 0.07 for
216 summer samples and in a range of 0.59-0.67 with an average value of 0.62 ± 0.03 for winter
217 samples, respectively. The higher oxidation degree of summer samples than winter samples



218 indicated stronger secondary HULIS formation in summer. The H/C_w values were in a range of
219 1.38-1.46 with an average value of 1.42 ± 0.03 for summer samples and in a range of 1.33-1.41
220 with an average value of 1.36 ± 0.04 for winter samples, respectively. The O/C_w and H/C_w of each
221 molecular subgroup followed a changing trend of $CHO < CHON < CHOS < CHONS$ compounds.
222 Most of the S-containing compounds had a O/C value ≥ 0.7 , suggesting the large amounts of highly
223 oxidized OSs in S-containing compounds which contained various functional groups and were
224 mainly from the photochemical oxidation of biogenic or anthropogenic volatile organic
225 compounds (VOCs) (Mutzel et al., 2015). The DBE values were calculated to describe the degree
226 of unsaturation of compounds and restricted the assigned molecular formulas with unreasonably
227 high or low number of rings or double bonds (Kroll et al., 2011). The higher DBE_w and DBE/C_w
228 values of CHO and CHON compounds were found in this study.

229 Considering that double bonds can be formed by heteroatoms especially O atoms, whereas
230 make no contributions to the aromaticity of the compounds, AI_w was calculated to supplement the
231 DBE results (Song et al., 2018; Ning et al., 2019). AI_w can eliminate the contribution of O, N, and
232 S atoms to the $C=C$ double bond density of molecules. The AI_w values of different compounds
233 groups in HULIS presented the changing trends: $AI_w(CHONS) > AI_w(CHON) > AI_w(CHO) >$
234 $AI_w(CHOS)$ in summer and $AI_w(CHON) > AI_w(CHO) > AI_w(CHONS) > AI_w(CHOS)$ in winter,
235 respectively. The formulas can be classified into three parts based on AI values proposed by
236 previous studies: aliphatic ($AI=0$), olefinic ($0 < AI \leq 0.5$) and aromatic ($AI > 0.5$) (Koch and Dittmar,
237 2006). As shown in Fig. S4 and S5, the aliphatic were the main components of S-containing
238 compounds in this study and the olefinic and aromatic were the main components of CHO and
239 CHON compounds. Furthermore, the aromatic proportion of CHO and CHON compounds
240 significantly increased in winter, suggesting the increasing anthropogenic emissions in winter.

241 3.3 Comparative analysis using Van Krevelen diagrams

242 In this study, the Van Krevelen diagrams (Fig. 3) were constructed to display the molecular
243 composition and categorical distribution of the collected samples (Noziere et al., 2015; Patriarca
244 et al., 2018; Li et al., 2022). According to the elemental ratios (O/C and H/C ratios) and AI values,
245 seven major compound classes were classified, including lipids-like species, lignins-like species,
246 proteins-like species, tannins-like species, carbohydrates-like species, condensed aromatics
247 structure, and unsaturated hydrocarbons (Table S3). The Van Krevelen diagrams showed similar
248 distributions in the 12 samples. The CHO and CHON compounds located in the lower left area



249 and the S-containing compounds located in the upper light area with higher O/C and H/C ratios,
250 indicating a higher degree of oxidation and saturation. The condensed aromatic structure mainly
251 consisted in CHO and CHON compounds, further suggesting the influence of anthropogenic
252 emissions on the formation of CHO and CHON compounds.

253 Figure 4 presents the averaged relative contributions of the number of molecular formulas
254 from the seven categories in summer and winter samples, respectively. Lignins-like species
255 accounted for the highest proportion of CHO compounds with average contributions of 58 % and
256 61 % in summer and winter, respectively, followed by CHON compounds with average
257 contributions of 48 % and 57 % in summer and winter, respectively. Lignins are mainly composed
258 of carboxyl groups, alicyclic rings, aromatic rings, and other O-containing groups. Previous studies
259 have reported that lignin was a complex phenolic polymer which usually came from direct
260 biological emissions or combustions of biofuel (Ning et al., 2019; Boreddy et al., 2021; Sun et al.,
261 2021). Lignins pyrolysis products and other lignins derived molecules have been shown to be
262 oxidized into light absorbing BrC chromophore under certain conditions (Fleming et al., 2020).

263 Tannins-like species accounted for 21 %, 27 %, 23 %, and 30 % of CHO, CHON, CHOS, and
264 CHONS compounds, respectively in summer which were higher than those in winter with
265 contributions of 13 %, 16 %, 16 %, and 23 % to CHO, CHON, CHOS, and CHONS compounds,
266 respectively. Tannins-like species are a series of polyphenolic compounds containing hydroxyls
267 and carboxylic groups which have been widely reported in fogs, cloud water and aerosol samples,
268 attributing to highly oxidized organic compounds such as OSs or nitrooxy-OSs produced from the
269 nighttime chemistry between the biogenic VOCs with the NO₃ (Altieri et al., 2009; Bianco et al.,
270 2018; Ning et al., 2019; Altieri et al., 2008; Shen et al., 2021). Carbohydrates-like species which
271 contain monosaccharide, alditols, and anhydrosugars mainly consisted in CHONS compounds
272 which also had a relative higher proportion of 33 % in summer than that of 29 % in winter (Sun et
273 al., 2021). C₁₀H₁₆NO₇₋₉S, as monoterpene nitrooxy-OSs, showing high relative intensities, were
274 typical carbohydrates-like species detected in this study which represented biogenic secondary
275 organic aerosols (SOA) (Ning et al., 2019; Surratt et al., 2008; Wang et al., 2020). Both the higher
276 proportions of tannins-like and carbohydrates-like classes in summer indicated stronger biogenic
277 SOA formation in this study.

278 Proteins-like classes mainly consisted in CHOS compounds with average proportions of 29 %
279 and 38 % in summer and winter, respectively. Proteins contain peptide-like structures formed by



280 dehydration with different kinds of amino acids and consist of short chains of amino acid residues
281 (Bianco et al., 2018). These compounds are associated with photochemical oxidation processing
282 in aerosols, thus resulting in the significant formation of OSs from biogenic or anthropogenic
283 precursors in this study (Bigg and Leck, 2008).

284 Higher condensed aromatics were detected in winter with average proportions of 14 % in
285 CHO compounds and 8 % in CHON compounds, respectively which were 2-2.5 times of those in
286 summer. Condensed aromatics are important components of PAHs which usually emitted from
287 incomplete combustion of fossil fuels (Ma et al., 2020). The increase of the proportion of
288 condensed aromatics in winter indicated the stronger influence of anthropogenic sources on
289 HULIS formation. The unsaturated hydrocarbons and lipids-like species showed the lowest
290 molecular number percentage of less than 1 % in this study. Previous studies have shown that the
291 lipids-like species were the main components of water insoluble organic compounds in aerosols
292 and could be attributed to monocarboxylic acids (Ning et al., 2022; Wozniak et al., 2008).

293 In summary, both the summer and winter samples were mainly composed of compounds from
294 biogenic origins (lignins-like, tannins-like, proteins-like, and carbohydrates-like species). It is
295 noted that ESI ionization technology is more sensitive for the identification of polar compounds.
296 Therefore, the low polar or nonpolar compounds, such as PAHs or their derivatives from fossil
297 sources, were probably underestimated in this study (Jiang et al., 2014; Lin et al., 2018).

298 3.4 Molecular composition of HULIS

299 3.4.1 Molecular characteristics of CHO compounds

300 The O/C_w and H/C_w ratios for the CHO compounds were 0.45-0.56 and 1.15-1.30 for the
301 summer samples and 0.42-0.48 and 0.90-1.02 for the winter samples (Table S1 and S2). The
302 summer samples showed higher oxidation degree and saturation degree. We firstly plotted the Van
303 Krevelen diagrams of the four molecular subgroups showing relative intensities for all the 12
304 samples and similar distributions of the high-intensity compounds were found in the 6 summer
305 samples and the 6 winter samples, respectively. Then we combined all the data in summer and
306 winter, respectively. As shown in Fig. 5a and 5d, the CHO compounds in summer with high
307 relative abundance were located at the area within $0.2 \leq O/C \leq 1.0$ and $1.0 \leq H/C \leq 1.7$, mainly
308 including lignins-like species and tannins-like species which were closely related to biogenic
309 emissions. On the contrary, the condensed aromatics showed high relative abundance in winter,
310 suggesting the obvious different sources of HULIS in summer and winter. The DBE values



311 increased with the increasing of the C numbers (Fig. 5b and 5e). The high-intensity CHO
312 compounds in HULIS had DBE values between 3-7 with C numbers from 10 to 20 for summer
313 samples. In winter, the high-intensity CHO compounds had DBE values between 7-11 with C
314 numbers from 5 to 15. As mentioned above, the aromatic (AI > 0.5) proportion of CHO compounds
315 significantly increased in winter, the higher DBE values in winter further indicated the consists of
316 more highly unsaturated aromatic compounds which reflected the anthropogenic emissions.

317 The CHO compounds were classified according to the number of oxygen atoms to evaluate
318 the oxygen content. As shown in Fig. 5c and 5f, the high-intensity CHO compounds with 6-11
319 oxygen atom were detected in summer, such as $C_{15}H_{24}O_6$, $C_{15}H_{22}O_{10}$, $C_{18}H_{26}O_8$, and $C_{18}H_{26}O_9$,
320 these highly oxygenated organic molecules with high molecular weight have also been detected in
321 laboratory α -pinene ozonolysis SOA (Pospisilova et al., 2020). We further classified the CHO
322 compounds by different carbon atom numbers. As shown in Fig. S6, the C_{17} - C_{22} compounds were
323 the main components of the CHO compounds, accounting for more than 50 % of the total number
324 of CHO molecular formulas in both summer and winter seasons. However, the total relative
325 intensities of the CHO compounds in summer were significantly higher than those in winter, of
326 which the C_{23} - C_{26} and C_{27} - C_{32} compounds were enrich in summer. These high molecular weight
327 compounds were probably oligomers formed from various biogenic precursors, such as isoprene,
328 sesquiterpene, and monoterpene (Daellenbach et al., 2019; Berndt et al., 2018). The high intensities
329 of these compounds in summer further indicated the stronger biogenic SOA formation in summer
330 compared with that in winter.

331 High-intensity CHO compounds with 4-9 oxygen atom were detected in winter (Fig. 5c) of
332 which the $C_{14}H_{10}O_4$ formula with a DBE value of 10 appeared the highest intensity, which was
333 probable functional PAHs and have been reported in HULIS from coal combustion smoke particles
334 (Song et al., 2019). As shown in Fig. S2 and S3, the $C_{14}H_{10}O_4$ formula appeared high intensity in
335 all the winter samples, providing the evidence of coal combustion emissions in winter. Some other
336 high-intensity compounds in winter, such as $C_{14}H_8O_4$ and $C_{14}H_8O_5$ both with DBE values of 11,
337 and $C_{13}H_8O_2$, $C_{13}H_8O_5$, and $C_{13}H_8O_6$ with DBE values of 10, might refer to hydroxyl substitutions
338 derived from anthracenedione and xanthone, respectively, which have been reported in secondary
339 wood combustion products (Bruns et al., 2015). $C_{15}H_{10}O_6$, $C_{15}H_8O_6$, and $C_{16}H_{12}O_7$ which had
340 DBE values of 11, 12, and 11, respectively, might be flavonoids which had flavone backbone, the
341 key structure of plant pigments, widely existing in plants in nature and could be important sources



342 of BrC chromophores in aged BBOA (Fleming et al., 2020; Lin et al., 2016; Huang et al., 2021).
343 Phenolic substances derived from phenol, guaiacol, and syringol are also widely existed in BBOA,
344 usually from the pyrolysis of lignins in wood, which also play an important role in aqueous-phase
345 SOA formation (Boreddy et al., 2021). For instance, $C_{13}H_{10}O_3$ and $C_{13}H_{10}O_5$ are guaiacol
346 derivatives, $C_{15}H_{16}O_8$ are syringol derivatives and $C_{18}H_{14}O_6$ and $C_{18}H_{14}O_7$ are phenol derivatives
347 (Sun et al., 2021). As shown in Fig. S7, the relative intensities of the CHO compounds mentioned
348 above produced from BB were found to have similar trends with the mass concentrations of
349 levoglucosan, which were significantly higher in W1-W3 samples, corresponding to the BB period
350 from 31 December 2017 to 1 January 2018, providing the evidence of BB influence on HULIS
351 formation in winter.

352 It is noted that the top compounds $C_9H_6O_7$ and $C_{10}H_6O_8$ were detected both in the summer
353 and winter samples (Fig. S2 and S3), which had DBE values of 7 and 8, respectively, containing
354 abundant condensed aromatic ring structures with high O numbers. Their peaks were also detected
355 in the HFO (heavy-fuel-oil)-fueled off-road engine samples reported before, suggesting the traffic
356 emission contributions to HULIS (Cui et al., 2019). This supported the radiocarbon analysis results
357 in this study and gave further information that the traffic emissions were important fossil sources
358 in both summer and winter seasons.

359 3.4.2 Molecular characteristics of CHON compounds

360 The O/C_w of CHON compounds in summer and winter were 0.57-0.71 and 0.52-0.56,
361 respectively, while the H/C_w were 1.20-1.32 and 1.00-1.11, respectively (Table S1 and S2).
362 Compared with the summer CHON compounds, the winter CHON compounds presented
363 significant higher ion abundance (Fig. 6a and 6d). The most abundant CHON subgroups had DBE
364 values of 4-7 and 3-10 in summer and winter, respectively (Fig. 6b and 6e). Similar with CHO
365 compounds, the higher DBE values of high-intensity CHON compounds in HULIS in winter
366 indicated a high prevalence of double bonds or ring structures. According to the N and O number,
367 the CHON compounds were classified into N_1O_x (N_1O_1 - N_1O_{15}) and N_2O_x (N_2O_2 - N_2O_{14}) subgroups
368 in summer and N_1O_x (N_1O_1 - N_1O_{12}) and N_2O_x (N_2O_2 - N_2O_{12}) subgroups in winter, respectively (Fig.
369 6c and 6f). NO_{8-12} and NO_{6-9} compounds were most enriched subgroups in summer and winter,
370 respectively. More oxygen-enriched CHON compounds containing O number above 9 were
371 detected in summer, implying the higher oxidation degree for summer samples. In addition, the
372 N_1O_x were both the major compounds represented average of $64 \pm 4\%$ and $61 \pm 6\%$ of the CHON



373 molecular formulas in summer and winter, respectively, indicating the presence of more single
374 nitro/amino substituents in CHON compounds in this study.

375 Among the CHON compounds, 95 ± 1 % and 86 ± 3 % CHON compounds had O/N values
376 ≥ 3 in summer and winter, respectively in this study, indicating these compounds contained large
377 amounts of oxidized nitrogen functional groups such as nitro compounds ($-\text{NO}_2$) and/or
378 organonitrates ($-\text{ONO}_2$) and excess oxygen atoms indicated the existence of other oxygen-
379 containing functional groups (Laskin et al., 2009). The organonitrates formation from NO_3
380 oxidation of biogenic or anthropogenic VOCs can affect the interactions between anthropogenic
381 and natural emissions (He et al., 2021; Shen et al., 2021; Wang et al., 2020). Organonitrates were
382 found to be important species contributing to SOA formation in polluted urban environment, which
383 were enhanced under high NO_x level (Zheng et al., 2021). The significant higher relative intensities
384 of CHON compounds in winter indicated that the high NO_x environment in winter promoted the
385 formation of organonitrates and highlighted the importance of organonitrates for SOA control in
386 polluted environment.

387 Furthermore, we found that the increase of the relative abundance of CHON compounds in
388 winter was particularly significant in W1-W3 samples (Fig. S2 and S3), corresponding to the BB
389 episode. Phenols produced from the pyrolysis of lignins can react with NO_3 radicals in the
390 atmosphere, producing nitrophenols, which have been shown to be important BrC chromophore
391 in BBOA (Wang et al., 2017b; Lin et al., 2016; Cai et al., 2020). It was reported that the gas-phase
392 reactions of NO_3 radicals with phenolic substances took place at least 4 orders of magnitude faster
393 than those with aromatic hydrocarbon and even faster in the aqueous phase (Lin et al., 2017).
394 Among the top CHON compounds with high relative abundance in W1-W3 samples, such as
395 $\text{C}_6\text{H}_4\text{N}_2\text{O}_6$ and $\text{C}_7\text{H}_6\text{N}_2\text{O}_6$ with DBE values of 5 and 6, respectively, were refer to nitrophenols
396 containing one or two nitrogen-containing functional groups, which have been widely reported in
397 aged BBOA, indicating the increasing of the CHON compounds relative intensity in W1-W3
398 samples were closely related to BB (Lin et al., 2017; Cai et al., 2020; Mohr et al., 2013; Kourtchev
399 et al., 2016; Lin et al., 2016). Some other top CHON compounds in winter samples such as
400 $\text{C}_9\text{H}_4\text{NO}_4$ and $\text{C}_{10}\text{H}_6\text{NO}_4$ with low O/C and H/C ratios most likely indicated the presence of
401 condensed aromatic structures in the compounds. The $\text{C}_9\text{H}_4\text{NO}_4$ compounds were most likely
402 emitted from vehicle emissions which have previously been reported (Cui et al., 2019).



403 It is worth noting that some high-intensity CHON compounds with low O/C and H/C ratios
404 were detected in summer samples in this study (Fig. 6a), which were closely related to aromatic
405 compounds from anthropogenic emissions. The top compounds with molecular formulas of
406 $C_8H_5N_2O_2$ and $C_{19}H_{11}N_2O_4$, which had O/N of 2 and 1, respectively, both were reduced N
407 compounds referring to N-heterocyclic compounds. Previously study have found that the N-
408 heterocyclic aromatic compounds can be formed through the aldehyde–ammonia reactions (De
409 Haan et al., 2018; Zhang et al., 2022). This indicated the important role of reduced N species (e.g.,
410 ammonium) in the formation of anthropogenic SOA in summer.

411 3.4.3 Molecular characteristics of S-containing compounds (CHOS and CHONS compounds)

412 The O/C_w of CHOS compounds in summer and winter were 0.60-0.79 and 0.56-0.67,
413 respectively, while the H/C_w were 1.50-1.54 and 1.53-1.72, respectively. The O/C_w of CHONS
414 compounds in summer and winter were 0.82-1.01 and 0.76-0.94, respectively, while the H/C_w
415 were 1.57-1.65 and 1.58-1.66, respectively (Table S1 and S2). As shown in Fig. 7a, 7d, 8a, and 8d,
416 the high-intensity S-containing compounds in summer and winter were both located at the area
417 where $O/C > 0.5$ and $H/C > 1.5$, respectively. In addition, the relative intensity of S-containing
418 compounds increased with the O/C ratios, suggesting the S-containing compounds were highly
419 oxidized. A small number of high-intensity S-containing compounds with $O/C < 1.0$ and $H/C < 1.0$
420 were also found in winter in this study, which might be related to OSs and nitrooxy-OSs produced
421 from the oxidation of aromatic hydrocarbon. The CHOS compounds presenting high relative
422 abundance were rich in $O_{6-9}S$ and $O_{5-7}S$ groups in summer and winter, respectively, of which the
423 DBE values were all below 4. The CHONS compounds were rich in $O_{8-10}S$ and $O_{7-9}S$ groups in
424 summer and winter, respectively, of which the DBE values were all below 6 (Fig. 7b, 7e, 7c, 7f,
425 8b, 8e, 8c, and 8f). Compared with those of the CHO and CHON compounds, the DBE values of
426 S-containing compounds were significantly lower.

427 Among the S-containing compounds, more than 95 % of the CHOS, $CHON_1S$, and $CHON_2S$
428 formulas had O/S ratios greater than 4, 7, and 10, respectively, implying these compounds may
429 contain organic sulfate functional groups ($-OSO_3$) or one or two organic nitrate groups ($-ONO_2$)
430 and these compounds are more likely OSs or nitrooxy-OSs, presenting lower DBE values and
431 higher O/C and H/C ratios (Table S5 and S6) (O'Brien et al., 2014). The high-intensity CHONS
432 compounds observed in this study, such as $C_{10}H_{16}NO_{7-9}S$, $C_{10}H_{18}NO_{8-9}S$, $C_{10}H_{18}N_2O_{11}S$, and



433 C₉H₁₄NO₈S could be nitrooxy-OSs derived from limonene, α -terpinene, and monoterpene (Figure
434 S2 and S3) (Sun et al., 2021; Brüggemann et al., 2020; Wang et al., 2020; Wang et al., 2018c).

435 The CHOS compounds with high intensity abundance, such as typical isoprene epoxydiols
436 (IEPOX) derived OSs with molecular formulas of C₅H₈O₇S and C₅H₁₀O₇S were both detected in
437 the summer and winter samples, of which the relative intensity of C₅H₈O₇S were over 80 % in S1,
438 S2, S5, and S6 samples, indicating the significant isoprene SOA formation in summer (Kourtchev
439 et al., 2016; Kourtchev et al., 2013). The results were consistent with the previous research on the
440 sources of HULIS based on positive matrix factorization (PMF) model reported by our laboratory
441 (Bao et al., 2022). The monoterpenes derived OSs such as C₈H₁₄O₆S, C₈H₁₄O₈S, C₁₀H₁₈O₈,
442 C₁₀H₁₄O₆, and C₁₁H₁₆O₇ were detected in both summer and winter samples in this study, which
443 could refer to monoterpene-OSs derived from α -pinene, α -terpinene, and limonene (Wang et al.,
444 2020). Moreover, OSs with high carbon numbers (C \geq 14) such as C₁₄H₂₂O₇S, C₁₄H₂₂O₈S,
445 C₁₄H₂₄O₇S, C₁₅H₂₆O₇S, C₁₅H₂₄O₇S, C₁₅H₂₄O₈S, and C₁₆H₂₈O₇S were also observed in both
446 summer and winter samples. Long-chain alkanes emitted from vehicle emissions might be
447 precursors of these OSs which was consistent with the molecular structures of OSs collected in
448 urban areas affected by traffic emissions such as Shanghai, Los Angeles, and Beijing (Wang et al.,
449 2019a; Tao et al., 2014; Wang et al., 2016). The aromatic OSs such as naphthalene derived OSs
450 with molecular formulas of C₁₀H₁₀O₆S, C₁₀H₁₀O₇S, and C₁₀H₁₂O₇S, 2-methylnaphthalene derived
451 OSs with molecular formulas of C₉H₁₂O₆S, C₁₁H₁₂O₇S, and C₁₁H₁₄O₇S, and hydroxybenzene
452 derived OSs with molecular formulas of C₆H₆O₅S were also observed in this study (Qi et al., 2021;
453 Riva et al., 2015; Blair et al., 2017). Figure S8 further displays the ternary plot of the relative
454 intensities of OSs from biogenic precursors (e.g., isoprene and monoterpenes), long-chain alkanes
455 and aromatic hydrocarbon. As shown in Fig. S8, the biogenic OSs and long-chain alkanes OSs
456 formation were comparable in summer and winter, demonstrating both biogenic and anthropogenic
457 emission contributions to HULIS. The aromatic OSs presented higher relative intensities in winter,
458 further indicating the increasing anthropogenic emissions in winter. The presence of long-chain
459 alkanes derived OSs in both summer and winter seasons provided another evidence that the traffic
460 emission was one of the important fossil sources of HULIS in this study.

461 3.5 Comparison with organic compounds in source and atmospheric aerosol samples

462 The O/C and H/C ratios of water soluble HULIS in this study were compared with those of
463 water soluble organic compounds reported in source samples from BB, coal combustions, and



464 vehicle emissions (Tang et al., 2020; Song et al., 2018; Cui et al., 2019; Song et al., 2019), cloud
465 water samples (Bianco et al., 2018; Zhao et al., 2013), rainwater samples (Altieri et al., 2009), fog
466 samples (Brege et al., 2018), as well as aerosol samples collected in Beijing (Jang et al., 2020; Wu
467 et al., 2019a; Wang et al., 2018a), Tianjin (Han et al., 2022), Baoding (Sun et al., 2021), Shanghai
468 (Wang et al., 2017a), Guangzhou (Jiang et al., 2021), respectively in China, Mainz (Wang et al.,
469 2018a), Cork city (Kourtchev et al., 2014), and Bologna (Brege et al., 2018), respectively in Europe,
470 and Bakersfield (O'Brien et al., 2014) and Virginia (Willoughby et al., 2014), respectively in the
471 United States (Fig. 9). The O/C ratios were obviously higher than those detected in primary BB,
472 coal combustion, and vehicle emission samples. The H/C ratios of the CHO and CHON
473 compounds were comparable with the source samples, indicating the organics in HULIS
474 experienced atmospheric secondary process and the mixed sources of HULIS in this study. The
475 H/C ratios of the S-containing compounds were much higher than those of source samples which
476 could be attributed to the significant organosulfates formation in the atmosphere.

477 The O/C ratios reported in this study were also higher than those reported in aerosol samples
478 in urban area in China, further indicating the serious secondary pollution at Nanjing, China.
479 Among the CHO and CHON compounds, we found that the highest H/C ratio values were observed
480 in the southern city of Guangzhou, followed by those in Nanjing and Shanghai, and the lowest
481 values were observed in the northern cities such as Beijing, Tianjin, and Baoding, indicating the
482 higher unsaturation degree of the aerosol samples collected from the northern heating cities, which
483 were also considered as the heavy industrial region in China. The higher H/C ratios of aerosol
484 samples collected in Europe and the United States indicated the less anthropogenic emissions such
485 as industrial emissions from those areas.

486 **4. Conclusions**

487 This study focuses on the sources and molecular characteristics differences of water soluble
488 HULIS in summertime and wintertime from 2017 to 2018 at a suburb site of the YRD, China based
489 on the radiocarbon analysis and FT-ICR MS measurement with ESI ion source in negative mode.
490 The carbon isotope analysis results highlight both important fossil and non-fossil source
491 contributions to HULIS at the study site. A total of 14387 and 15731 peaks were detected in the
492 summer and winter samples, respectively based on the FT-ICR MS results. The assigned molecular
493 formulas were classified into CHO, CHON, CHOS, and CHONS subgroups according to their
494 elemental compositions. The Van Krevelen diagrams showed that more tannins-like and



495 carbohydrates-like species were detected in summer indicating biogenic SOA formation. Whereas
496 more compounds containing condensed aromatic structures were detected in winter which were
497 derived from anthropogenic emissions. The total relative intensity of CHO compounds in summer
498 were significantly higher than those in winter, containing lots of macromolecular oligomers
499 derived from biogenic precursors. The high-intensity CHO compounds in winter were mainly
500 aromatic compounds such as phenolic substances and flavonoids which were related to aged
501 BBOA and oxidized PAHs most probably from fossil fuel combustion. On the contrary, the total
502 relative intensity of CHON compounds significantly increased in winter, mainly composed of nitro
503 compounds or organonitrates. The enhanced formation of nitrophenols in winter indicated the BB
504 influence. The increasing organonitrates formation in winter highlighted the secondary N-
505 containing compounds formation via NO₃ radical-initiated oxidation processes. It is worth noting
506 that the top CHON compounds in summer were referring to reduced N compounds produced from
507 the aldehyde–ammonia reactions. The S-containing compounds were mainly composed of highly
508 oxidized OSs. The monoterpenes derived OSs and long-chain alkanes derived OSs were widely
509 observed in both summer and winter samples, while the aromatic OSs formation were found to be
510 more significant in winter. The presence of long-chain alkanes derived OSs supported the
511 radiocarbon results, proving that the traffic emission was the important fossil sources at the study
512 site. Our results highlighted the equal importance of future reduction in both fossil and non-fossil
513 emissions on atmospheric pollution control.

514

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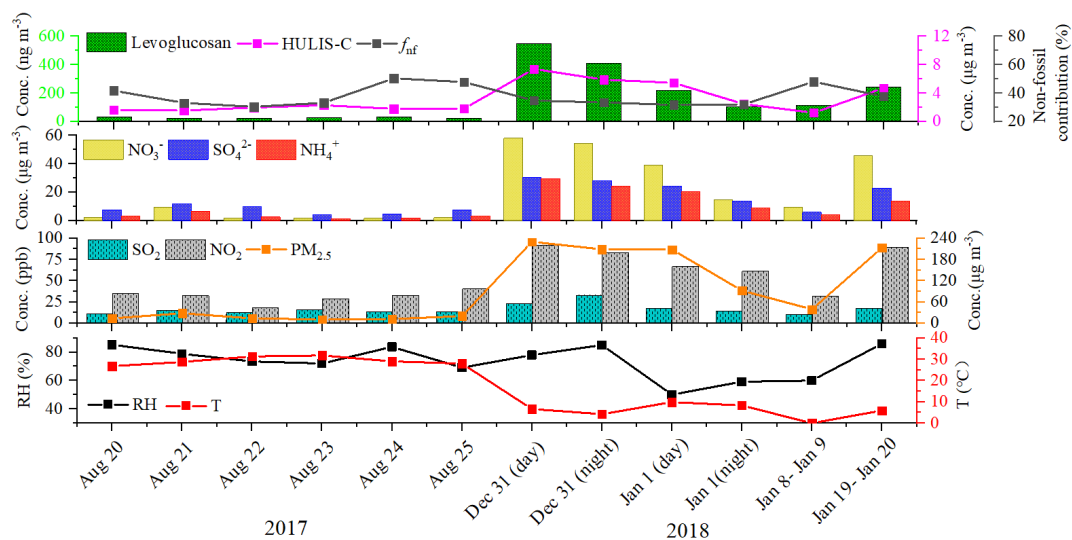
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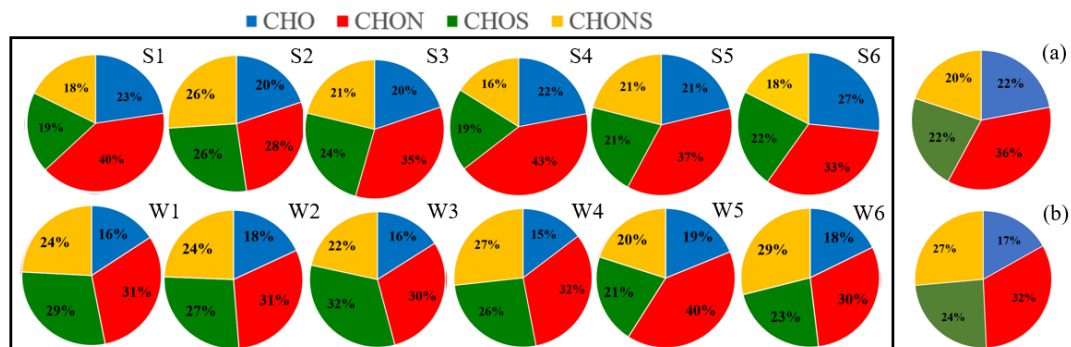


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867

868 Figure 1. Time series of non-fossil contributions to HULIS-C, the mass concentrations of HULIS-
869 C, Levoglucosan, NO_3^- , SO_4^{2-} , NH_4^+ , SO_2 , NO_2 , and $\text{PM}_{2.5}$, relative humidity, and temperature
870 during the study periods.

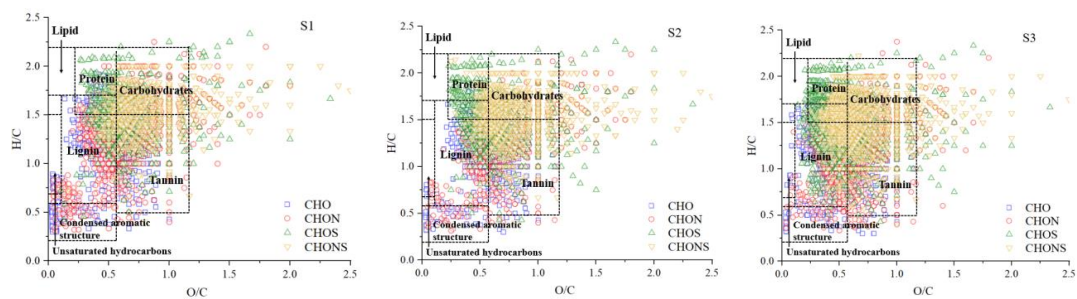


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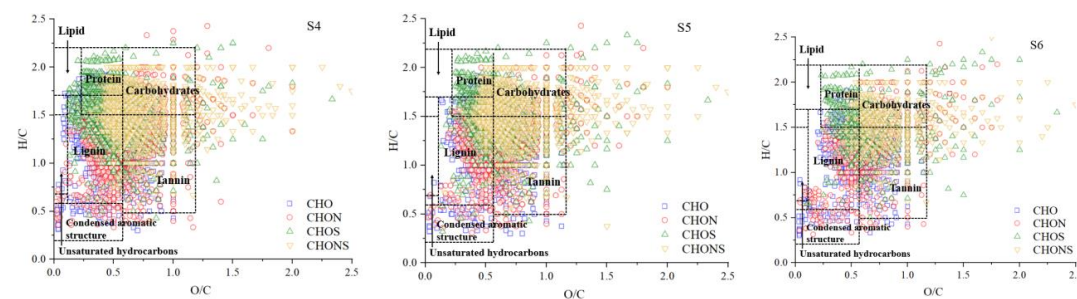
872 Figure 2. Pie graph of the number percentages of each elemental formula group for the 12 samples
873 plotted in the box and the averaged number percentages of each elemental formula group for the
874 summer samples (a) and winter samples (b).



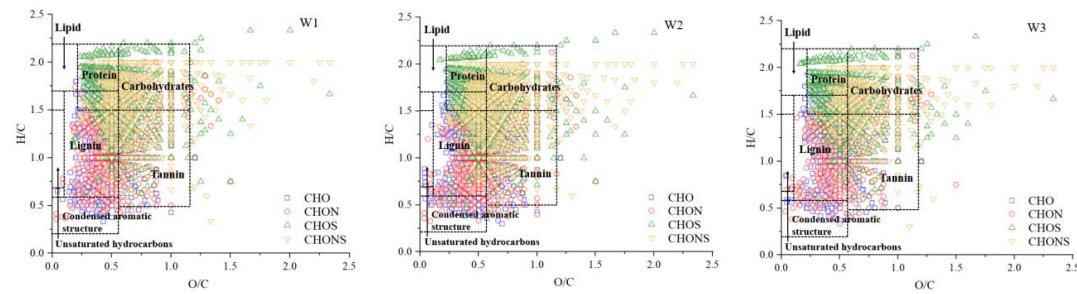
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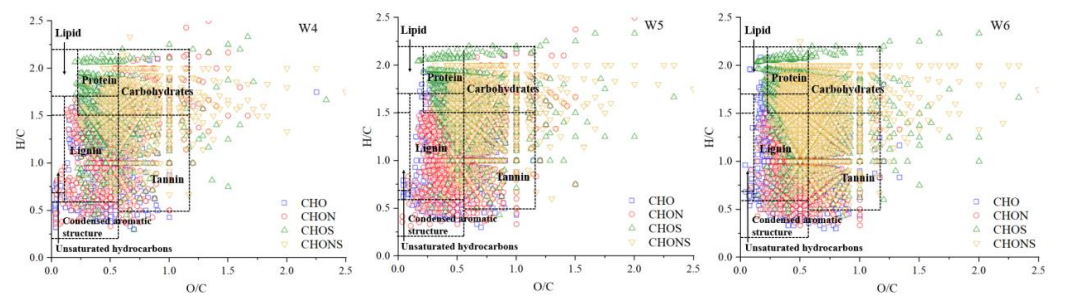
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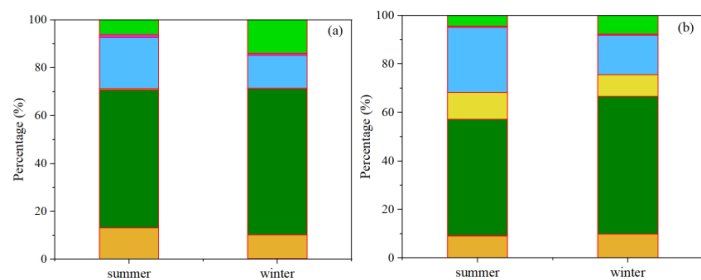
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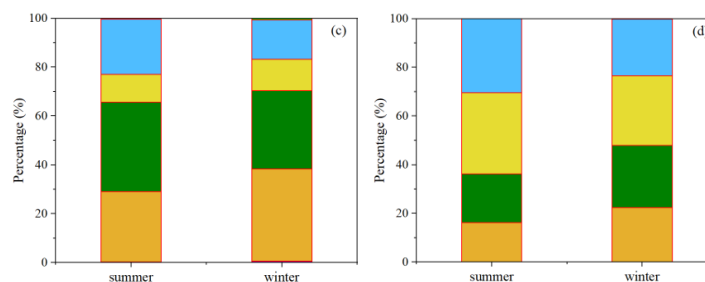
879 Figure 3. Van Krevelen diagrams of the 12 samples.



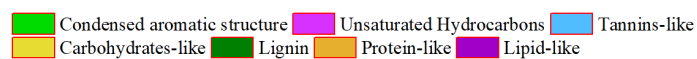
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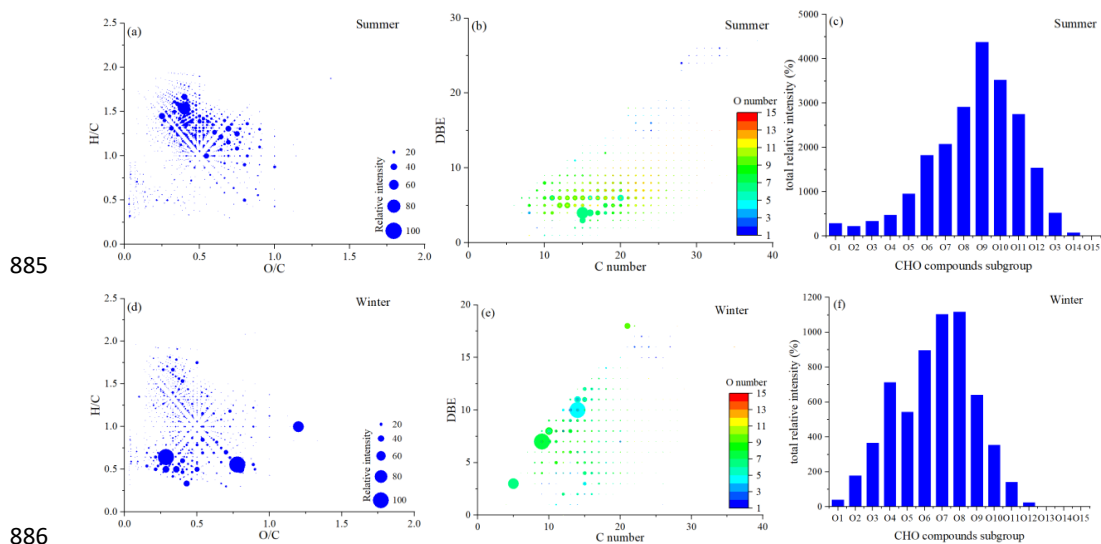


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Figure 4. Contributions of seven categories in CHO (a), CHON (b), CHOS (c), and CHONS (d)

884

compounds.



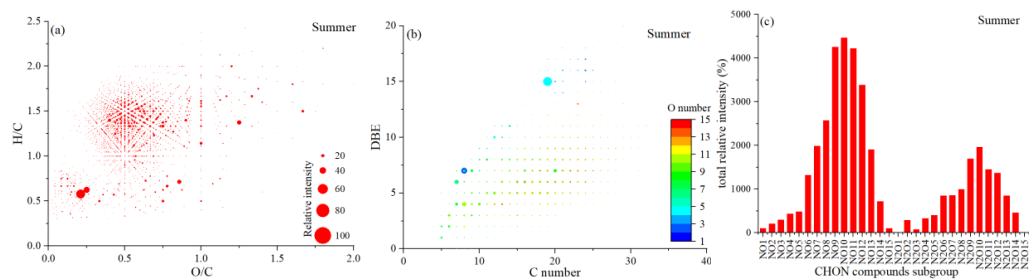
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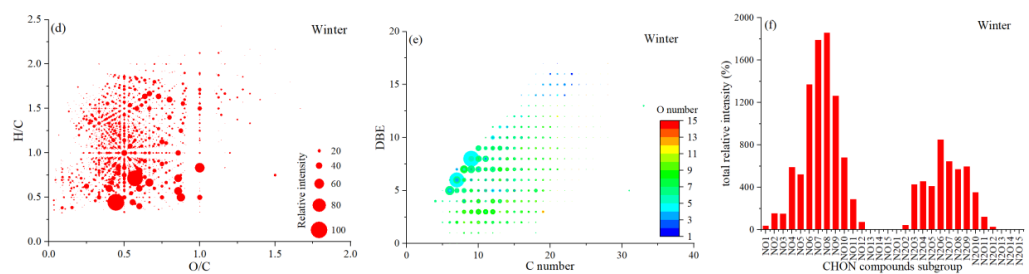
887 Figure 5. Van Krevelen diagram ((a) and (d)), plot of DBE values vs carbon atom numbers ((b)
888 and (e)), and the total relative intensity of each subgroup ((c) and (f)) for the CHO compounds in
889 summer and winter.



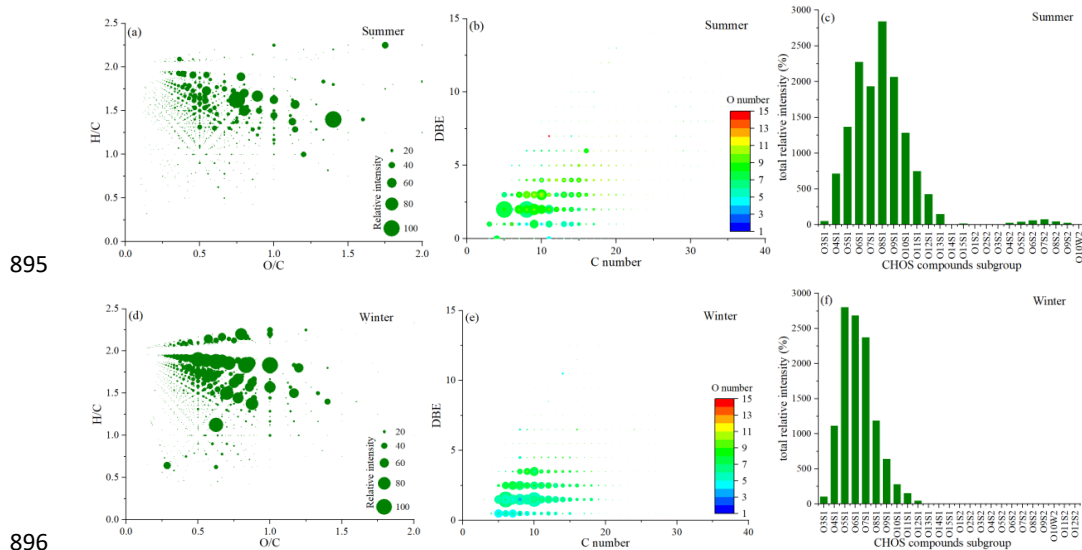
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892 Figure 6. Van Krevelen diagram ((a) and (d)), plot of DBE values vs carbon atom numbers ((b)
893 and (e)), and the total relative intensity of each subgroup ((c) and (f)) for the CHON compounds
894 in summer and winter.



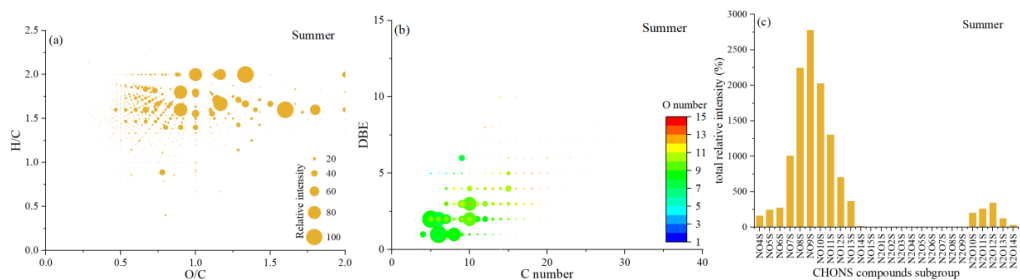
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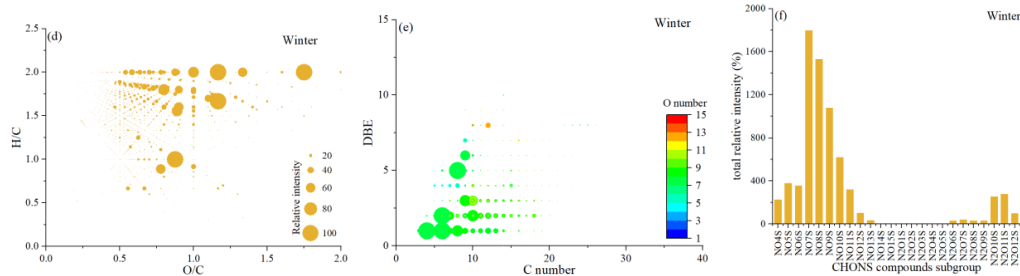
897 Figure 7. Van Krevelen diagram ((a) and (d)), plot of DBE values vs carbon atom numbers ((b)
898 and (e)), and the total relative intensity of each subgroup ((c) and (f)) for the CHOS compounds in
899 summer and winter.



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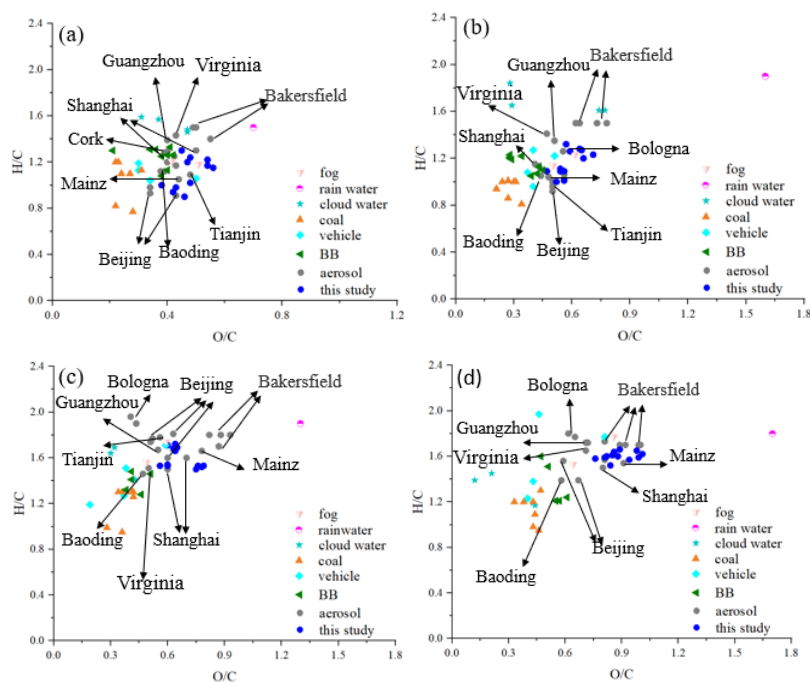


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902 Figure 8. Van Krevelen diagram ((a) and (d)), plot of DBE values vs carbon atom numbers ((b)
903 and (e)), and the total relative intensity of each subgroup ((c) and (f)) for the CHONS compounds
904 in summer and winter.

905



906

907

908 Figure 9. Comparison of O/C and H/C ratios of water soluble organic compounds in different
909 atmospheric media in CHO (a), CHON (b), CHOS (c), and CHONS (d) compounds.