



- 1 Differences between recent emission inventories strongly affect anthropogenic aerosol
- 2 evolution from 1990 to 2019
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9 Abstract

This study focuses on implications of differences between recent global emissions inventories for 10 11 simulated trends in anthropogenic aerosol abundances and radiative forcing (RF) over the 1990-2019 12 period. We use the ECLIPSE version 6 (ECLv6) and Community Emission Data System year 2021 13 release (CEDS21) as input to the chemical transport model OsloCTM3 and compare the resulting 14 aerosol evolution to corresponding results derived with the first CEDS release, as well as to observed 15 trends in regional and global aerosol optical depth (AOD). Using CEDS21 and ECLv6 results in 3% 16 and 6% lower global mean AOD compared to CEDS in 2014, primarily driven by differences over China and India, where the area average AOD is up to 30% lower. These differences are considerably larger 17 18 than the satellite-derived interannual variability in AOD. A negative linear trend (over 2005-2017) in 19 global AOD following changes in anthropogenic emissions is found with all three inventories but is 20 markedly stronger with CEDS21 and ECLv6. Furthermore, we confirm that the model better captures 21 the sign and strength of the observed AOD trend over China with CEDS21 and ECLv6 compared to 22 using CEDS. We estimate a net, global mean aerosol-induced RF in 2014 relative to 1990 of 0.08 W m⁻ 23 ² for CEDS21, and 0.12 W m² for ECLv6, compared to 0.03 W m⁻² with CEDS. Using CEDS21, we also 24 estimate the RF in 2019 relative to 1990 to be 0.10 W m⁻², reflecting the continuing decreasing trend in 25 aerosol loads post 2014. Our results facilitate more rigorous comparison between existing and 26 upcoming studies of climate and health effects of aerosols using different emission inventories.

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28 1 Introduction

29 Human activities have led to a substantial increase in atmospheric abundances of aerosols relative to 30 pre-industrial conditions. While increasing emissions of greenhouse gases is the dominant driver of 31 recent global warming, aerosols play a key role in shaping regional and global climate, and for anthropogenic climate change, through their interactions with radiation and clouds. The sixth assessment 32 33 report (AR6) of the Intergovernmental Panel on Climate Change (IPCC) estimates that changes in 34 atmospheric aerosols have contributed an effective radiative forcing (ERF) of -1.3 W m² over the 35 industrial era (1750-2014), albeit with a wide uncertainty range of -2.0 to -0.6 W m⁻² (Forster et al., 36 2021).

Over recent decades, anthropogenic emissions of aerosols and their precursor gases has been changing rapidly, with substantial spatiotemporal heterogeneity and particularly in Asia. Following decades of rapid economic growth in China, the combustion of coal, other fossil fuels, and biofuels increased considerably, resulting in the region becoming the dominating source of air pollution emissions. However, since the implementation of the Action Plan on Air Pollution Prevention and Control, emissions of sulfur dioxide (SO₂) and then nitrogen oxide (NOx) in China have declined rapidly





(Klimont et al., 2017; Klimont et al., 2013; Tong et al., 2020; Zheng et al., 2018). Recent studies suggest
that also black carbon (BC) emissions are declining (Kanaya et al., 2020; Zheng et al., 2018). In contrast,
a continuing strong growth of SO₂ emissions has been seen in South Asia (Kurokawa & Ohara, 2020;

46 Li et al., 2017). These contrasting trends have given rise to a distinct dipole pattern of increasing and

47 declining aerosol optical depth over South and East Asia, respectively, visible in satellite data (Samset

48 et al., 2019).

49 Such rapid aerosol changes are likely to affect regional climate, however the exact magnitude and role 50 remains insufficiently quantified. One factor contributing to uncertainty is the substantial differences, 51 in both magnitudes and trends, that exist between current emission inventories (e.g. Crippa et al., 2018; 52 Elguindi et al., 2020). A critical issue that has recently been highlighted is a notable underestimation of 53 the decline in Chinese emissions of SO₂ and NOx, and overestimation of carbonaceous aerosol 54 emissions in Asia and Africa, in the Community Emission Data System (CEDS) developed for the sixth 55 cycle of the Coupled Model Intercomparison Project (CMIP6) (Szopa et al., 2021). Since the initial parts 56 of the CMIP6 exercise, the CEDS inventory has undergone several revisions. The most recent version, 57 CEDS21, covering the period up to 2019, exhibit several key differences compared to the initial release 58 - for some species all the way back to the early 2000s (O'Rourke et al. (2021)). In particular, both BC 59 and OC emissions are substantially lower in the update, and issues related to the decreasing trend in 60 Chinese SO₂ are largely addressed.

61 Given the relative importance of these source regions, such inventory differences may have implications 62 for simulations of anthropogenic aerosol distributions globally and contribute to increased uncertainty 63 in estimates of aerosol-induced climate impacts, both in the IPCC AR6 and elsewhere in the literature. 64 For instance, recent work has shown that results from the CMIP6 experiments fail to fully capture the 65 observed recent trends in aerosol optical depth (AOD) in Asia (Cherian & Quaas, 2020; Ramachandran 66 et al., 2022; Su et al., 2021; Wang et al., 2021), with the discrepancy largely attributed to the 67 misrepresentation of emissions in the region in last decade of the historical CMIP6 period. Other studies 68 demonstrate that the poor representation of observed aerosol trends can propagate to further 69 uncertainties in attribution of aerosol-induced impacts, such as the East Asian monsoon (Wang et al., 70 2022) and health impacts (Cheng et al., 2021). In addition to CMIP6, the CEDS emissions have also 71 been used in individual model studies of historical aerosol evolution, radiative forcing, sector attribution, 72 and air quality assessments (e.g. Bauer et al., 2020; Chowdhury et al., 2022; Lund et al., 2018; Lund et 73 al., 2020; Paulot et al., 2018). Moreover, uncertainties and biases in the baseline historical inventory 74 may influence scenario-based assessments of near-term future regional climate risk.

75 As the update to CEDS came too late for uptake in IPCC AR6, it is pertinent to investigate the influence 76 of the emission differences on the modeled evolution of atmospheric aerosol trends and subsequent 77 climate implications. Here we undertake one such investigation. Using the chemical transport model 78 OsloCTM3, we perform simulations with the CEDS21 emission inventory and compare to previously 79 published results derived with the original CEDS release (Lund et al., 2018; Lund et al., 2019). We also perform simulations with a third recent global inventory, the ECLIPSE version 6b, where emissions are 80 81 similar in evolution but generally even lower than in CEDS21, especially in the most recent period. We 82 quantify the differences between inventories in simulated evolution of global and regional 83 anthropogenic aerosol loads over the 1990-2014 period and in the resulting radiative forcing. We also 84 explore the post-2014 aerosol evolutions with CEDS21 and compare trends in simulated aerosol optical 85 depth to remote sensing observations.

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87 2 Methods

Atmospheric concentrations of aerosols are simulated with the global chemical transport model
 OsloCTM3 (Lund et al., 2018; Søvde et al., 2012). The model is driven by meteorological data from the





90 European Center for Medium Range Weather Forecast (ECMWF) OpenIFS model updated every 3 91 hours and is run in a 2.25°x2.25° horizontal resolution, with 60 vertical levels (the uppermost centered at 0.1 hPa). OsloCTM3 treats tropospheric and stratospheric chemistry, as well as modules for 92 93 carbonaceous, secondary organic, sulfate, ammonium-nitrate, sea salt and dust aerosols. Aerosols are 94 scavenged by convective and large-scale rain (ice and liquid phase), with rainfall calculated from 95 ECMWF data for convective activity, cloud fraction, and rainfall. Dry deposition applies prescribed 96 deposition velocities for different land cover types. For further details we refer to Lund et al. (2018) and 97 Søvde et al. (2012).

98 The aerosol optical depth (AOD) and instantaneous top-of-atmosphere radiative forcing due to aerosol-99 radiation interactions (RFari) is calculated offline using a multi-stream model with the discrete ordinate 100 method DISORT (Myhre et al., 2013; Stammes et al., 1988). The same radiative transfer model is also 101 used to estimate the radiative forcing of aerosol-cloud interactions (RFaci) (earlier denoted the cloud 102 albedo effect or Twomey effect). To account for the change in cloud droplet concentration resulting 103 from anthropogenic aerosols, which alter the cloud effective radius and thus the optical properties of the 104 clouds, the approach from Quaas et al. (2006) is used.

Modeled AOD is compared with retrievals from the MODIS instrument on the Aqua satellite, which is 105 106 available for the period 2003-2020 (MOD08, 2018). We use the combined Dark Target and Deep Blue AOD at 550nm, release MOD08 M3 V6.1, downloaded from the NASA Giovanni interface. MODIS-107 108 Terra AOD is also available for the same period and, for most years, is around 10% lower than MODIS-109 Aqua on global average. However, based on previous evaluation of the MODIS AOD and a reported 110 drift in the Terra data (Levy et al., 2010; Sherman et al., 2017), we chose to use MODIS-Aqua for the 111 model comparison in the current study. We also compare modeled AOD with ground-based 112 measurements from the AERONET (AErosol RObotic NETwork) (Holben et al., 1998) Version 3 Level 2.0 retrievals at 500 nm. The comparison uses all available data from all months and stations for a given 113 114 year, with modeled AOD linearly interpolated to the latitude and longitude of each station. Temporal trends in simulated and observed AOD are estimated on global-mean and grid point basis by linear least 115 116 square fitting and defined as statistically significant (from no trend) when the linear Pearsons correlation coefficient is significant at the 0.05 level. Interannual variability is estimated on a grid point basis as the 117 118 standard deviation of the residual when subtracting a 10-year boxcar average (with mirrored data around 119 the end points).

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Two sets of time slice simulations are performed using anthropogenic emissions from the CEDS version 121 122 2021 (O'Rourke et al., 2021) (hereafter "CEDS21") and ECLIPSEv6b baseline (hereafter "ECLv6") 123 inventories. The ECLv6 emissions are developed with the Greenhouse Gas - Air Pollution Interactions 124 and Synergies (GAINS) model (Amann et al., 2011). Version 6b (IIASA, 2022) consists of gridded 125 aerosol and reactive gas emissions in 5-year intervals over the period 1990-2015, as well as emissions 126 for 2008, 2009, 2014 and 2016. The Community Emission Data System (CEDS) inventory provides a 127 gridded inventory of anthropogenic greenhouse gas, reactive gases and aerosols since 1750 (Hoesly et 128 al., 2018). In the first release, the most recent year was 2014, while the 2021 release covers the period 129 until 2019. Simulations with OsloCTM3 are performed for 1990, 1995, 2000, 2005, 2010, 2014 and 2016 emissions, as well as years 2018 and 2019 for CEDS21. Results from the current study are 130 131 compared with previously published results from simulations performed with the first release of the CEDS emissions (hereafter "CEDS") and three of the SSP scenarios (Lund et al., 2018; Lund et al., 132 133 2019). Keeping in line with the experimental design in Lund et al. (2018), we use year 2010 meteorological data and each simulation is run for one year, with 6 months spin-up. In all simulations, 134 135 biomass burning emissions from van Marle et al. (2017) are used for the 1990-2014 period, with Global 136 Fire Emissions Database version 4 (GFED4, Randerson et al. (2017)) thereafter. We note that van Marle





et al. (2017) emissions are also based on GFED. Other natural emissions are fixed at the year 2010levels.

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140 Additionally, we use output from a timeseries of OsloCTM3 simulations with CEDS emissions and actual, running meteorology covering the period 1990-2017 (the last three years uses Shared 141 Socioeconomic Pathways (SSP) 2-4.5 emissions (Fricko et al., 2017) linearly interpolated between 2015 142 and 2020) (hereafter "CEDSmet"). Originally performed for the phase III of the Aerocom project (e.g. 143 144 Gliß et al., 2021), this time series allows an assessment of the role of meteorology in the simulated 145 aerosol trends. Finally, we produce an updated version of this timeseries using the 2021 release of the CEDS emissions for the 2001-2017 period (i.e. when the differences are most pronounced) (hereafter 146 "CEDS21met"). A summary of the experiments is provided in Table S1. 147

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149 Figure 1 shows global, total emissions of SO₂, BC, OC and NOx over the 1990-2019 period in the three 150 inventories used here. The differences are particularly pronounced after 2005. Both ECLv6 and CEDS21 151 show substantially lower emissions of all species during this period, relative to CEDS. The largest differences are for BC and OC, where CEDS21 is 20-30% lower than CEDS in 2014. For SO2 and NOx, 152 153 the corresponding number is approx. 10%. ECLv6 is generally lower than both CEDS inventories, particularly for SO₂, where ECLv6 is 30% lower than CEDS. While not used in this study, we also note 154 155 that similar differences have also been found between CEDS and two other recent global inventories, the Emissions Database for Global Atmospheric Research (EDGAR) version 5 (Crippa et al., 2020) and 156 157 Hemispheric Transport of Air Pollution (HTAP) version 3 (Crippa et al., 2022). Important geographical 158 distinctions underlie these global differences, as demonstrated for SO₂ emissions in 2014 in Fig. 1, where 159 lower emissions in ECLv6 and CEDS21 are primarily found in China, India, and the Arabian Peninsula. 160 For many regions and species, differences exist also prior to 2014 (Fig. S1). For instance, CEDS21 has 161 the highest BC emissions in China of the three inventories until year 2000, while ECLv6 BC emissions are higher than CEDS21 in both India and Africa South of the Sahara. In India, CEDS and CEDS21 162 163 show increasing SO₂ emissions while in ECLv6 these appear to be leveling off during 2014-2016. Aside from East and South Asia, the overall temporal evolution is generally similar in the main source regions 164 165 across inventories, although magnitudes differ.

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169 3 Results and discussion

Here we first document the differences and trends in aerosol distributions simulated with the three
different emission inventories, discussing burdens of individual species before focusing on total AOD.
We then present updated estimates of radiative forcing relative to 1990. Finally, we compare the
simulated global and regional AOD with observations over the period.

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175 3.1 Aerosol burdens

The differences between inventories are substantial enough to influence simulated aerosol burdens (column integrated aerosol mass, in mg m⁻²) at the global mean level. For 2014, we estimate 4% and 6% lower global mean burdens of BC with CEDS21 and ECLv6 (increasing to 6% and 11% when considering only fossil fuel and biofuel emissions), respectively, compared to CEDS (see Table S2 for absolute numbers). For primary organic aerosol (POA), the corresponding numbers are 11% and 14% (30% and 40%), while global mean total sulfate burden is 8% and 15% lower. Smaller reductions are also seen in the global mean secondary organic aerosol (SOA) burden. Biogenic volatile organic





compound (VOC) emissions, the main source of SOA, are the same in all simulations. However, the
 SOA abundance is affected by differences in anthropogenic VOCs and by changes in primary organic
 aerosols, which serve as substrates for SOA formation.

186 Regionally, even larger difference between the two new inventories and CEDS arise, as shown in Fig. 187 2 (see Fig. S2 for corresponding percentage changes). For all main anthropogenic aerosol species, the 188 absolute differences are consistently largest over East Asia, followed by South Asia, and larger for 189 ECLv6 than for CEDS21. Averaged over these regions, we find reductions in the year 2014 burdens of BC, POA and sulfate of up to 0.45, 3.5, and 1.9 mg m⁻², respectively, when switching from CEDS to 190 191 ECLv6 (Fig. 2). This constitutes changes of around 30-40% (Fig. S2). For BC and sulfate, burdens are also notably lower over North Africa and the Middle East with ECLv6 compared to both CEDS and 192 CEDS21. 193

194 The only species that is more abundant globally with the two new inventories, is nitrate. However, there 195 are important regional differences, where the burden is lower compared to CEDS in South Asia and on 196 the US east coast but higher in the US Midwest, parts of Africa and South America, and, especially, over East Asia (Fig.2, Fig.S2). The net effect is a 15 and 24% higher global mean nitrate burden with 197 198 CEDS21 and ECLv6, respectively, relative to CEDS. Changes in the atmospheric nitrate distribution 199 results from a complex interplay between differences in emissions of NOx, ammonia, and SO₂. For 200 instance, in China (and elsewhere), the lower emissions of SO₂ in both ECLv6 and CEDS21 reduces the 201 chemical competition for available sulfate and, in turn, increases the production of nitrate aerosol. The 202 potential for an increasing relative role of nitrate in a world with concurrent declines in SO₂ emissions 203 has also been discussed in previous studies (e.g. Bauer et al., 2007; Bellouin et al., 2011).

Both globally and regionally, the spread in estimated aerosol load in 2014 between simulations with different inventories is on the same order of magnitude or larger than the change over the 5-year period from 2014 to 2019 in CEDS21. We note that regional burdens can be influenced by long-range transport and thus affected by remote emission inventory differences. We also note that we find differences in surface concentrations between simulations that broadly track the results for burden. While beyond the scope of the present study, this may have implications for assessments of air pollution related health impacts.

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213 **3.2** Aerosol optical depth

214 The differences between inventories are also directly reflected in the simulated total AOD. Over the 215 whole period considered, global mean AOD is highest in simulations with the first release of the CEDS 216 emissions, followed by CEDS21 and then ECLv6, with increasing divergence over time, especially after 217 2005 (Fig. 3a). Averaged globally, we estimate 3% and 6% lower AOD with CEDS21 and ECLv6, 218 respectively, compared to CEDS in 2014. Regional differences are larger and, as expected, most 219 pronounced over China and India (Fig. 3b). Averaged over each of these regions (indicated by the boxes 220 in Fig.3b), we estimate 20% and 30% lower AOD using the two new emission inventories, respectively, 221 in 2014. For context, we also show the interannual variability in AOD from MODIS-Aqua (see Sect. 2): 222 For most of these regions the differences between inventories are markedly larger.

Also plotted in Fig. 3a is the linear trend from 2005 to 2017 for each of the global timeseries. We
estimate that anthropogenic emission changes in the CEDS21 and ECLv6 inventories have resulted in a
significant (at the 0.05 level - see Sect. 2) negative linear trend in global mean AOD of -0.005 and 0.006 per decade, respectively. This trend strengthens when extended to 2019 in CEDS21. A negative
global trend is also found when using the first CEDS release, however, it is smaller and not significant
over the period 2005-2014. Extending the timeseries to 2017 by assuming that emissions follow SSP24.5 after 2014 (dashed orange line), the negative trends strengthens and switches to significant as per





our definition, but it remains smaller than for the other two inventories (at -0.003 per decade). We note
that despite the negative long-term trend and continued decrease in anthropogenic emissions, CEDS21
AOD is up in 2019 compared to 2018. We attribute this primarily to the high 2019 biomass burning
emissions in GFEDv4, more than 25% higher than in 2018.

234 Regionally, all three emission inventories result in a significant decline in AOD over the Eastern US, 235 Europe, and parts of Russia and Eurasia over the 2005-2017 period in our simulations (Fig. 3c). There 236 is also a marked negative trend over South America, as well as a weaker decline over Equatorial Africa. 237 In contrast, increases in simulated AOD are seen over Eastern Siberia. A positive, but weaker and not 238 significant at the 0.05 level, trend is also seen over Canada. This is presumably due to higher biomass 239 burning activity, which is supported by a significant increasing trend in annual biomass burning carbon 240 emissions in GFEDv4 in the boreal North America and eastern Eurasia regions over the same period (not shown). There is also a decline in GFEDv4 carbon emissions in South America and Africa south of 241 242 the Sahara, suggesting that biomass burning is also a key driver of the simulated AOD trends there. 243 While the three inventories largely agree in all of above regions, the key differences arise, as expected, 244 when looking at Asia. Both CEDS21 and ECLv6 show a significant decreasing trend over China. A 245 decline is also present in the simulations with CEDS – when extending the time series to 2017 using 246 SSP245 emissions – but is much weaker and not significant. Real world emissions have hence likely 247 tracked well below the SSP245 projections in the region. While all three inventories show a significant 248 positive trend in AOD over India, this is strongest in CEDS. Regional trends and differences will be further discussed in Sect. 3.4, including a comparison with observations. 249

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251 **3.3** Radiative forcing of anthropogenic aerosol since 1990

252 Figure 4a shows the RFari, RFaci, and net aerosol radiative forcing (RFnet, RFari plus RFaci) relative 253 to 1990 for the three sets of experiments. The net RF of changes in anthropogenic (and biomass burning) 254 aerosol is positive since 1990, except for 1995 and 2005, where a small negative forcing is estimated. 255 As shown in Fig. 1, all inventories show an increase in anthropogenic SO₂ emissions in 2005 compared 256 to the years before, and both these years have relatively high biomass burning emissions in these years. 257 This positive global mean RF is determined by the balance between a positive forcing over the northern 258 extratropics, predominantly due to aerosol-radiation interactions, and a negative forcing over Asia and 259 parts of South America and Africa (Fig. S3).

In 2014, we estimate a global mean RFnet of 0.03 W m⁻² for CEDS, 0.08 W m⁻² for CEDS21, and 0.12 260 W m⁻² for ECLv6 relative to 1990, of which the RFari constitutes 0.07 W m⁻², 0.09 W m⁻² and 0.10 W 261 262 m^{-2} . Our CEDS RFari estimate is similar to the multi-model mean RFari of 0.05 W m^{-2} derived for the 1990-2015 period using ECLIPSE version 5 emissions by Myhre et al. (2017). The same study estimated 263 a model mean RFnet of 0.1 W m⁻², but with a significant intermodel spread, from close to zero to more 264 265 than 0.2 W m⁻². This spread is larger than the difference between estimates with different inventories in 266 the present analysis. Nevertheless, the differences in emissions between CEDS and CEDS21 (ECLv6) 267 translates to a factor 3 (5) stronger RFnet in our calculations. These differences arise primarily from the 268 weaker forcing over East Asia and, for ECLv6, also over South Asia and South and Central America, 269 compared to CEDS (Figure 4b). In contrast, all three inventories give similar RF over the 1990-2014 270 period in North America, Europe, and Eurasia and show the effect of the southeastward shift in 271 emissions over the past decades. A negative forcing is seen over China during this period with all three 272 inventories; however, this is markedly weaker in CEDS21 and ECLv6 (Fig. S3).

Figure 4c shows the RFnet in 2019 relative to 2014, i.e. the five most recent years provided by CEDS21.
In contrast to the 1990-2014 period (Fig. S3), a net positive forcing is estimated over China, in line with
the decline in SO₂ emissions. Over India, the forcing has remained negative, although weaker than
during the preceding period. Over Europe and western Russia, the bulk of the emission decline, and





hence forcing, was already realized until 2014, with only relatively weaker RF seen until 2019. On
global average, RFnet is estimated to be 0.10 W m⁻² in 2019 relative to 1990 for CEDS21 emissions
(small reduction from 0.13 W m⁻² in 2018 likely due to stronger biomass burning emissions in 2019)
(Fig. 4a).

In the first CEDS release, the most recent historical year was 2014. Using a selection of the SSP scenarios, Lund et al. (2019) quantified the projected aerosol-induced RF. The orange hatched bars in Fig. 4 show the range in RFnet in 2020 and 2030 (relative to 1990) estimated with SSP1-1.9, SSP2-4.5 and SSP3-7.0 in that study. The RFnet in 2019 estimated with CEDS21 is close to the lower end of the bar, i.e. the RFnet projected under SSP3-7.0. However, prior to this higher biomass burning year, there are indications that the RFnet from simulations with CEDS21 tracked closer to SSP2-4.5 or an even lower emission pathway.

288 The dipole pattern of aerosol changes and resulting RF over India versus China was first highlighted by 289 Samset et al. (2019). Using emissions from CEDS and SSP1-1.9, SSP2-4.5 and SSP3-7.0, combined 290 with a radiative kernel approach, that study estimated a range of 2014-2030 aerosol (SO₂ and BC) RF 291 of -1.0 W m⁻² (SSP1-1.9) to 0.82 W m⁻² (SSP2-4.5) over India, and 0.06 W m⁻² (SSP2-4.5) to 1.10 W 292 m^{-2} (SSP3-7.0) over China. Part of this range can be attributed to poor knowledge of current, and hence also future, regional emissions (Samset et al. 2019). In the present study, we estimate regionally 293 294 averaged RFnet in 2019 relative to 2014 of -0.09 W m⁻² and 0.22 W m⁻² over India and China, 295 respectively. For China, this recent RFnet is about 20% of the previously estimated difference between high and low future aerosol emission scenarios in 2030 (SSP2-4.5 and SSP3-7.0). Uncertainties in the 296 297 amount of recent emission reductions can therefore markedly affect assessments of projected near-tern 298 aerosol-induced climate impacts, as they depend on a well constrained starting point.

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300 3.4 Comparison with observed aerosol trends

301 We have demonstrated that the differences between recent global inventories translates to notable 302 differences in global and regional anthropogenic aerosol distributions, trends, and radiative forcing. To 303 assess whether the model captures observed trends better with the CEDS21 emissions, we compare 304 simulated AOD to MODIS-Aqua retrievals and ground-based AERONET measurement. For this 305 evaluation, simulations where the model is driven by meteorology for the respective years, referred to 306 as CEDSmet and CEDS21met, are used (see Sect. 2). Figure 5a shows the annual, global mean simulated 307 AOD from 1990 to 2017 and the MODIS-Aqua AOD from 2003 to 2019. Dashed lines show the linear 308 2005-2017 trends. Figures 5b-d show the spatially explicit trends.

The simulated global mean AOD is lower than the MODIS-Aqua, by around 20%. However, the overall 309 310 geographical pattern of the observed AOD is captured by the model (Fig. S4). Furthermore, the AOD 311 simulated by the OsloCTM3 is within, although in the lower range, of the spread in AOD between the 312 CMIP and AeroCom models (Vogel et al., 2022). As also shown by Vogel et al. (2022), there can be a 313 notable spread also in AOD derived from different satellite products, where MODIS retrievals comes 314 out in the upper end. The OsloCTM3 AOD is within the standard deviation range of satellite derived 315 AOD found in that study. Overall, this suggests a reasonable OsloCTM3 performance in terms of 316 magnitude and distribution.

In terms of temporal evolution, we estimate weakly negative linear trends in simulated global mean AOD from 2005 to 2017 with both CEDS and CEDS21, albeit not significant at the 0.05 level. Using the original release of the CEDS emissions with the SSP2-4.5 extension (i.e. CEDSmet), we calculate a trend in global mean AOD of -0.001 per decade. With CEDS21 emissions, this strengthens to -0.003 per decade. These are weaker than the trends associated with anthropogenic emission changes derived from the fixed meteorology simulations in Sect. 3.1 (-0.003 and -0.005 per decade with CEDS and CEDS21, respectively) and not significant, demonstrating the influence of variability in meteorology





and natural aerosols. Consistent evidence of a declining influence of anthropogenic aerosols on climate
 has also been found for a range of observed variables (Quaas et al., 2022).

326 In contrast, MODIS-Aqua suggests a positive linear trend of 0.001 per decade in global mean AOD over 327 the 2005-2017 period, further strengthening to 0.004 per decade when considering the full time series 328 of available observations (2003-2019). A positive global AOD trend was also found in ground based 329 observations by Mortier et al. (2020). This positive observed trend is driven by an increase in AOD over 330 oceans, associated with sea salt aerosol, as well as over boreal regions in the northern high latitudes, associated with biomass burning aerosol (Fig. 5b). We do not, however, find this trend to be significant 331 332 at the 0.05 level. This could in part be due to influence from the substantial year-to-year variability seen after 2010, which was also pointed out by Vogel et al. (2022). While we are primarily focused on the 333 334 anthropogenically-influenced regions in the present analysis, we note that the model does not fully 335 capture the trends over high-latitude boreal biomass burning regions, nor over the Southern hemisphere 336 oceanic regions (Fig. 5c-d). While studies to date show a wide spread in simulated response of sea spray 337 aerosol to changing climate, recent studies have suggested increases both at the global (Struthers et al., 338 2013) and, even more strongly, at the regional scale (Korhonen et al., 2010). Moreover, other factors 339 than wind speed are proposed to be possible drivers of a climate feedback on sea salt aerosol (e.g. Paulot 340 et al., 2020, and references therein). Better understanding of changing natural aerosols in the OsloCTM3 341 and reasons for the discrepancies compared to observations require further, dedicated studies.

342 Regionally, there are significant observed declines in AOD over East Asia, eastern US, and parts of 343 Europe (Fig. 5b). A negative trend is also seen over South America; however, this is not significantly 344 different from zero over this period. A significant positive trend is seen over India. The trends over 345 North America, Europe, and Asia are consistent with the concurrent changes in anthropogenic emissions 346 and have been seen in both ground based and remote observations of both AOD and other variables (Gui 347 et al., 2021; Moseid et al., 2020; Paulot et al., 2018; Quaas et al., 2022). The trends in AOD simulated 348 with the OsloCTM3 show the same sign as MODIS-Aqua in most regions, for both emission inventories 349 (Fig. 5c-d). As expected from results in preceding sections, the main differences, between CEDSmet 350 and MODIS-Aqua, as well as between model results, arise over Asia. We therefore take a closer look at 351 the evolution of AOD in this region (Fig. 6). Both MODIS-Aqua and the OsloCTM3 show an increase 352 in AOD over India from 2008, although modeled changes are weaker in magnitude. As indicated by 353 preceding sections, using CEDS21 results in marked improvements compared to observed AOD trends 354 over China, both for the first and last full 5-year periods. A continuation of the dipole pattern of increases 355 and decreases over India and China is evident from the observations for the 2018-2020 period (noting 356 that the COVID-19 pandemic resulted in significant temporary impacts on emissions in 2020). In the 357 case of India, this increase suggests that the leveling off in anthropogenic emissions in the inventories 358 (Fig. S1) may not be representative of the observed evolution. However, we note that natural emissions, as well as long-range transport, may factor into the observed trend as well, complicating the comparison. 359 360 We also note that the 5-year deviations exhibit quite some variability over the Middle East, with both 361 positive and negative deviations from the baseline period. While anthropogenic emissions in this region 362 increase steadily over the period (by 13-40% depending on species) in the inventories used in the present study, the strong influence from dust emissions in this region likely contributes to the temporal 363 364 variability.

A previous OsloCTM3 study by Lund et al. (2018) found an improved agreement between year 2010 ground-based observations and model output, including over Asia, when switching from CMIP5 and ECLIPSEv5 emissions to CEDS, the latter having higher emissions. This seemingly contradicts expectations following the now-known biases in this first release of CEDS. Here we repeat the comparison with AERONET measurements, but for year 2014. Resulting scatter density plots are given in the SI.

On global average, the model underestimates observed AOD, consistent with the comparison against
 MODIS-Aqua and Lund et al. (2018). The normalized mean bias (NMB) ranges from 22 to 29% in the





373 simulations with fixed meteorology (Fig. S5). This underestimation is somewhat larger than what was 374 found by Lund et al. (2018), but since the year, number of measurements and stations are different, a direct comparison is difficult. These simulations use 2010 meteorology, however, the difference in 375 376 meteorology appears to only explain a small part of the bias, as can be seen by comparing scatter density 377 plots for CEDS and CEDSmet and CEDS21 and CEDS21met. Interannual variability may also play a 378 role. We consistently find higher NMB and lower correlation when switching from the original CEDS release to CEDS21 and ECLv6. The largest normalized mean bias (NMB) of -29% is found in the 379 simulation using ECLv6 emissions, the lowest of the three inventories, while the smallest NMB is 380 381 calculated for CEDS (-22%) (Fig. S5). Hence, while the model is better able to represent observed recent 382 aerosol trends over East Asia with newer emission inventories, results point to other issues. Specifically, 383 our analysis indicates that the too high emissions in CEDS may have partly concealed underestimations 384 of other aerosol sources in the model. One possible candidate is dust aerosol from soils in agricultural regions and human activities in urban areas (e.g. construction, non-exhaust transport emissions), which 385 386 are suggested to give an important contribution to the particulate matter load (e.g. Chen et al., 2019; Xia 387 et al., 2022), but is stilling missing from many global models, including the OsloCTM3.

388

389 4 Conclusions

390 We have investigated the impact of differences between recent global emission inventories on simulated 391 anthropogenic aerosol abundances, and associated radiative forcing, from 1990 to 2019. Simulations 392 with the chemical transport model OsloCTM3 and the CEDS emission inventory, developed for the 393 sixth cycle of the IPCC, are compared with corresponding results using two newer inventories: The 394 CEDS 2021 update (CEDS21) and the ECLIPSE version 6b (ECLv6). Our main objective was to explore 395 the implications of now known biases in CEDS, specifically the underestimation of the decline in 396 Chinese precursor emissions and an overestimation of Asian and African BC and OC emissions. While largely addressed in the updated release, these biases introduce added uncertainty in recently published 397 398 estimates of the anthropogenic aerosol evolution and effects.

399 We have found that, apart for nitrate, the CEDS21 (ECLv6) result in lower global aerosol burdens than 400 CEDS, ranging from 4% (6%) for BC to approx. 10% (15%) for sulfate and POA in 2014 (the most 401 recent historical year common for all scenarios). Differences are consistently most pronounced over 402 East Asia, followed by South Asia, where they are on the order of 30-60% depending on species and 403 scenario. We also note marked differences between CEDS and ECLv6 over North Africa and the Middle East. In our model, the global mean fine mode nitrate burden is 15% (24%) higher with CEDS21 404 405 (ECLv6) relative to CEDS, but with regional heterogeneity in sign of the difference. Overall, we 406 estimate 3% (6%) lower total AOD with CEDS21 (ECLv6), respectively, compared to CEDS in 2014. 407 The difference reaches approx. 20% and 30% over East and South Asia.

408 Changes in anthropogenic emissions result in a negative linear trend in global mean AOD over the 20052017 period with all three inventories, but increasingly stronger with CEDS21 (ECLv6). Importantly,
410 we find that the model is better able to capture the declining AOD trend observed by MODIS-Aqua over
411 China with both new inventories, whereas it is weak and not significant with CEDS. A positive AOD
412 trend is found over India; however, it is weaker in the model than in MODIS-Aqua data.

413 Using offline radiative transfer calculations, we estimate a global mean net aerosol RF in 2014 relative 414 to 1990 of 0.03 W m⁻² for CEDS, 0.08 W m⁻² for CEDS21, and 0.12 W m⁻² for ECLv6. Following the 415 continued declined in CEDS21 emissions, a positive global-mean net RF is also estimated for the 5-year 416 period 2014-2019, with the strongest positive signals over China and easter US.

417 While the focus of the present study is on anthropogenic aerosols, our comparison with observed AOD 418 reveals potential issues related to the representation of natural aerosols in the OsloCTM3. Specifically,

419 the modeled AOD does not capture the slight positive global trend apparent in MODIS-Aqua, with key





discrepancies over northern hemisphere biomass burning regions and the Southern Ocean. For
individual years, we also find a larger underestimation in AOD compared to AERONET measurements
when switching from CEDS to the lower CEDS21 and ECLv6 emissions, despite better representation
of some key regional trends. This could indicate that too high anthropogenic emission estimates have
masked challenges with for instance dust emissions. Dedicated studies are required to investigate this in
more detail.

426 Anthropogenic aerosols are changing rapidly, particularly in Asia, with potentially large but 427 insufficiently quantified implications for regional climate. We have demonstrated that differences 428 between recent emission inventories can have marked effects on the magnitude and trend of regional 429 and global aerosol abundances, and in turn on estimates of radiative forcing. Although additional studies 430 are required to fully quantify the broader implications for aerosol-induced climate and health impacts, 431 our results facilitate comparisons between existing and upcoming studies, using different emission 432 inventories, of anthropogenic aerosols and their effects.

433

434 Code availability

435 The OsloCTM3 is available on from <u>https://github.com/NordicESMhub/OsloCTM3</u>.

436

437 Data availability

- 438 Model data underlying the manuscript figures are available from 10.6084/m9.figshare.20254764.
- 439 AERONET data is downloaded from <u>https://aeronet.gsfc.nasa.gov/</u>, MODIS data from
- 440 <u>https://giovanni.gsfc.nasa.gov/giovanni/</u>, and CEDS21 emissions from the PNNL DataHub
- 441 <u>https://doi.org/10.25584/PNNLDataHub/1779095</u>.

442

443 Acknowledgements

This work has been conducted with support from the Research Council of Norway (grants 248834,
314997 and 324182). The authors acknowledge the UNINETT Sigma2 - the National Infrastructure

446 for High Performance Computing and Data Storage in Norway – resources (grant NN9188K).

447

448 Author contributions

MTL led the study design and analysis and the writing. The OsloCTM3 model experiments wereperformed by MTL and RBS. GM performed the radiative transfer modeling and BHS contributed

451 graphics, silly jokes, and MODIS analysis. All authors contributed to the discussions and writing.

452

453 Competing interests

- 454 The authors declare that they have no conflict of interest.
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Figure 1 Global total anthropogenic emissions of SO₂, BC, OC, and NOx in the CEDS21, ECLv6,
CEDS17 inventories, for the period 1990 to the most recent inventory year (2019, 2016 and 2014,
respectively). Dotted lines show emissions from the SSP2-4.5 scenario, linearly interpolated from 2015
to 2019. The maps show the difference in SO2 emissions in 2014, the most recent common year



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Figure 2 Absolute difference in regional mean burden of the key anthropogenic aerosol species between
simulations with CEDS21 and CEDS (upper bar) and ECLv6 and CEDS (lower bar). Regions are the
same as in Lund et al. (2019): EAS = East Asia, SAS = South Asia, SAF = Sub-Saharan Africa, NAM =
North America, SAM = South America, NAF = North Africa and the Middle East, EUR = Europe, SEA
South East Asia, RBU = Russia.

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Figure 3: a) Global mean total AOD simulated with emissions from the CEDS21, ECLv6 and CEDS
inventories. In the case of CEDS, the timeseries is extended from 2014 to 2017 using SSP2-4.5 emissions.
Dashed lines show the linear 2005-2017 trend, defined as statistically significant from no trend when
the linear Pearsons correlation coefficient is significant at the 0.05 level. b) Difference in AOD between
the two inventories and CEDS in 2014, i.e. the last year of historical emissions in CEDS. Also shown is
the interannual variability in MODIS AOD. c) Regional linear trends in AOD over 2005-2017 with the
three different emission inventories.

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Figure 4: a) RFari and RFaci (left) and RFnet (RFari+RFaci) relative to 1990 under the CEDS21,
ECLv6, and CEDS emission inventories. The vertical bars to the right show the range in RFnet in 2020
and 2030 (relative to 1990) estimated with the SSP1-1.9 and SSP3-7.0 emissions (adapted from Lund et
al. (2019)). b) Difference in RFnet in 2014 relative to 1990 between simulations with ECLv6 and CEDS
emissions. c) The RFnet in 2019 relative to 2014 with CEDS21.

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Figure 5: a) Global, annual mean AOD from MODIS-Aqua and the OsloCTM3 over the 1990-2019
period. Note that data north and south of 70° is excluded here due to the limited MODIS-Aqua coverage.
Dashed lines show linear trend from 2005 to 2017. b-d) Spatially resolved linear trends in observed and
simulated AOD. Hatching indicates where the linear trend is significantly different from zero at the 0.05
level.







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- 719 Figure 6: Evolution of AOD over South and East Asia, and the Middle East, over the period 2003-
- 720 2020. All panels show five-year average deviations from the period 2003-2017, except the rightmost
- 721 MODIS-Aqua panel which show the three-year average deviation (same baseline). The top row shows
- retrievals from MODIS Aqua; the two bottom rows show model calculations with OsloCTM3 based on
- 723 the CEDS and CEDS21 emission inventories.