Estimating hub height wind speed Assessment of the wind energy resource on the coast of China based on machine learning algorithms: Implications for the wind energy assessment s

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Abstract. Wind energy is one of the most essential clean and renewable energy sources in today's world. Accurate estimation of The wind speed at wind turbine hub height is of significance important for wind energy assessment and exploitationapplication. To achieve the goal of reducing peaking carbon dioxide emissions and carbon neutrality in China, it is necessary to evaluate the wind energy resources on the coast of China. Nevertheless, the traditional power law method (PLM) relies ongenerally assumes athe constant coefficient to estimates the hub -heightlevel wind speed by assuming a constant exponent between surface and hub height wind speeds at wind turbine hub height by assuming log profile. Thise constant assumption inevitably may leads to significant uncertainties in estimating wind energy assessmentspeed profile especially under unstable conditions in the surface layer, given the large dependence on a variety of factors, such as terrain, time and height. To minimize the uncertainties, we here use three athe machine learning (ML) algorithms known as Rrandom fForest (RF) to estimate the hub height-wind speed at hub heights, such as at 120 m (WS₁₂₀), 160 m (WS₁₆₀) and 200 m (WS₂₀₀). These heights goes beyond the traditional wind mast limit of 100-120 m. The radar wind profiler and surface synoptic observations at the Qingdaoeight coastal stations from May 2018 to August 2020 are used as key inputs to develop the RF modelretrieve wind speed at wind turbine hub height and investigate the wind energy resource. A deep analysis of the RF model construction has been performed to ensure its applicability. Afterwards, three RFML models and the PLM are used to retrieve the WS₁₂₀, WS₁₆₀ and WS₂₀₀wind speed at 120 m (WS₁₂₀), 160 m (WS₁₆₀) and 200 m (WS₂₀₀) above ground level (WS₁₂₀).

The comparison of results with the radiosonde observations shows the wind speed from RF model is eloser to the observed value than that from PLM.

30 <u>At three heights, Tt</u>The comparison analyse is from both RF and PLM models are performed against of results with the observations radios onde wind measurements. At 120 m, At 120 m Overall, tT the RF

model at 120 m shows a relative higher correlation coefficient R of 0.93 and but a smaller RMSE of 1.09 m/s, compared with the R of 0.89 and RMSE of 1.50 m/s for the PLM.

Notably, the metrics used to determine the performance of model declines sharply with height for the 35 PLM model, as opposed to the stably variation for the RF model. This shows suggests the wind speed from the RF model is closer toagrees better with the observed value than that from exhibits advantages over the traditional PLM model. This is due to the factlikely because -that the RF model that well considers the factors such as surface friction and, heat transfer and high-height wind speed constraints exhibits superiority over the traditional PLM. The diurnal and seasonal variations of WS₁₂₀, WS₁₆₀ 40 and WS₂₀₀ from RF wind power density (WPD) are then investigated analyzed estimated. TFor land stations, the hourly mean WS₁₂₀wind speedWSPD is larger at daytime from 0900 to 1600 local solar time (LST) and reach a peak at 1400 LST. The seasonal variation of the WS₁₂₀ is large inat spring and winter, and winter and is low in summer and autumn, which. It is due to the joint influence of the East Asia Monsoon and Mongolian cyclones. The diurnal and seasonal variations of WS₁₆₀ and WS₂₀₀ are 45 similar to those at of WS₁₂₀. Finally, we investigated the absolute percentage error difference (APED) of wind power density between RF and WPD from PLM at different heights. In the vertical direction, the APE is gradually increased as the height increases. Overall, the PLM algorithm has some limitations in estimating wind speed at hub heightwind energy assessment at high heightsaltitudes. It is suggested to The use t The of RF model that combines more observations or auxiliary data is the more 50 suitable for the hub height wind speed estimation is suggested. These findings obtained here have great implications provide insights for the development and utilization of wind energy industry on the coast of China in the future.

Key words: wind energy, radar wind profiler, remote sensing, machine learning

55 1. Introduction

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With the rapid economic development of the world, the massive consumption of fossil fuels produces an increasing emissions of carbon dioxide, sulfur dioxide and other pollutants (Yuan, 2016; Magazzino et al., 2021). Large amounts of anthropogenic emissions of carbon dioxide and other greenhouse gases can affect the earth's radiation budget are a major driver for the global warming, leading to ever rising air temperature (Shakun et al., 2012; Shi et al., 2021). To tackle this problem, it is increasingly becoming imperative to develop renewable clean energy (Hong et al., 2012). Among the myriad renewable energy resources, wind energy has gained more and more favors because of its abundant availability, good sustainability, and high cost-effectiveness (Li et al., 2018; Leung et al., 2012). As one of the largest energy consuming countries in the world, China is currently facing an increasingly serious energy and climate situation (Khatib et al., 2012). The Chinese government proposes to peak itsthe peak carbon dioxide emissions before 2030 and achieve carbon neutrality strategy to deal with energy and environmental issuesbefore 2060 (Pei et al., 2022). With the stimulus of policies and the favor of investors, wind power industry in China is flourishing. Therefore, the scientific assessment of wind energy resources in China is of great importance for the healthy development of wind energy industry in the decades to come.

Characterizing The variation othe f-wind speed at wind -turbine hub height is key for wind energy assessment (Yu et al., 2022). The wind turbine is usually generally installed at the top of wind mast with a height of 100-120 m above ground level (AGL), which roughly corresponds to the surface layer (Veers et al., 2019). The wind speed data that have been widely can be used for wind energy assessment are mainly obtained from wind mast, Doppler lidar-siteurface observations or reanalysis data (Debnath et al., 2021). The 10 m wind data measured by the ground meteorological station can be used for wind energy assessment (Oh et al. 2012; Liu et al., 2019). The wind tower or mast can also provide wind speed observation data below 100 m AGL (Durisic et al. 2012; Liu et al., 2018). Moreover, the reanalysis data, such as the fifth generation European Centre for Medium-Range Weather Forecasts atmospheric reanalysis system (ERA5), can-also provide the hourly wind speed at a height of 10 or 100 m AGL for wind energy assessment (Laurila et al., 2021; Gualtieri, 2021). However, the wind turbines are increasing in height and rotor diameter with the development of technology, which go beyond the surface layer and enter the Ekman layer (Veers et al., 2019). Such as some offshore wind power plants, the blade tips of the largest wind turbine can reach heights of 250 m AGL (Gaertner et al. 2020). In addition, increasing wind turbine hub height reduces the impact of surface friction, enabling wind turbines to operate in high-quality wind resource environments (Veers et al., 2019). Therefore, the wind profile is important for the selection of wind turbine hub height and the assessment of wind energy.

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Currently It is widely recognized that, the wind profile is mainly obtained by empirical formulae (Li et al., 2018), such as the power law method (PLM) (Li et al., 2018). The PLM method generally assumes that the wind speed below 150 m in the planetary boundary layer (PBL) varies exponentially with height (Hellman et al. 1914). This It means that the wind speed at the wind turbine hub height can be calculated from the surface wind speed based on a constant power law exponent (α). But However, the surface layer wind profile is mainly controlled by the surface roughness, friction velocity and the atmospheric stability (Gryning et al., 2007). The surface layer is where obstructions such as trees, buildings, hills, and valleys cause turbulence and reduce the wind speed (Coleman et al., 2021; Solanki et al., 2022). Due to the influence of inhomogeneous underlying surface and ubiquitous atmospheric turbulence, wind speed varies constantly and greatly in the vertical (Tieleman 1992). Especially Moreover, In addition, above abovet the top of the surface layer, the factors, such as the Coriolis forceparameter, baroclinity and wind shear, increase the complexity of the wind profile also influence the wind profile (Br ümmer 1991). As a result, It leads that Thus, the α has spatiotemporal variability and depends on a variety of factors, such as terrain, time and height (Li et al., 2018). For example, Durisic et al. (2012) investigateds the wind profile at surface layer in the South Banat region based on meteorological mast data, and pointsed out that the α has obvious diurnal and seasonal changes. In addition, above the surface layer, the Coriolis parameter, baroclinity and wind shear also influence the wind profile (Brümmer 1991). Therefore, the assumption of a constant α poses great challenges and uncertainties to wind energy assessment. Some studies use more complex models to improve the PLM, such as the perturbation theory (Sen et al., 2012) and the bivariate wind speed-wind shear model (Jung et al., 2017). These studies confirm that there is a complex nonlinear relationship between surface observations and wind speed at the wind turbine hub height. Therefore, one of the greatest challenges is to develop an accurate method to describe the nonlinear transfer from surface observations to wind speed at wind turbine hub height.

With the development of machine learning (ML) technology, the ML algorithms have been widely used in the field of wind speed and wind power predictionforecasting (Magazzino et al., 2021). Chi et al. (2015) compared two wind speed forecastingspeed-forecastingprediction mechanisms in China based on linear regression and support vector machine (SVM) algorithms. They findound that the ML algorithms have better accuracy in solving the nonlinear problem. Lahouar and Slama et al. (2017) used several weathermeteorological factors to forecast wind power based on a random forest (RF)

model. The Results indicated that compared with physical and statistical approaches, the ML models can achieve obtain better accuracy when coping with problems that cannot be analytically defined. Therefore, it is worth trying to use ML algorithms to retrieve the wind speed at wind turbine hub height from available observations.

Given the abovementioned problems, we attempt to use a machine learning (ML) algorithm known as RFs to retrieve wind speed at wind turbine hub height 120 m AGL (WS₁₂₀) from the radar wind profiler (RWP) and surface synoptic observationsmulti-source RWP measurements. A RF algorithmmodel has been trained based on Tthe surface in situ wind speed, high-heightaltitude RWP wind speed and corresponding surface meteorological data from May 2018 to August 2020 are collected to develop the ML models. The performance of the classical PLM method and three RFML models arewere then compared. Next, the wind speeds from the most effective RF model were areas used to assess evaluate the wind power-on coast of China. The results of our study can provide useful information for the development of wind energy industry in on the coastal of China. The observational data are briefly introduced in section 2. The RFML models construction and wind energy evaluation method are displayed in section 3. Section 4 discusses the accuracy of the RFML models and the variation of wind energy resources. A summary of results is presented in section 5.

2. Materials and Data

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2.1 RWP datanetwork of China

The RWP is a ground-based remote sensing device that is used to can observe measure the atmospheric wind profiles from surface to 5-8 km AGL (Liu et al., 2019). The RWP network of China began to develop as of 2008, and the number of RWP stations increased to 134 by the end of 2020 (Liu et al., 2020). The time resolution of RWP data can reach minute level. ItThe RWP has high and low detection modes in the vertical direction, and their corresponding vertical resolutions are 120 and 60 m, respectively (Liu et al., 2020). HoweverNevertheless, the wind profile-observations near the ground surface, especially those (below 24300 m AGL) are usually highly uncertain removed, due to the influence of ground and intermittent clutter (May and Strauch 1998; Allabakash et al., 2019). Therefore, there exists large data gap between ground surface and the lowest measurement height provided by the RWP.

Here, the RWP data are is obtained provided by a coastal observational station in at Qingdao –(120.23 ° E, 36.33 °N), which is a typical coastal synoptic weather station. The spatial distribution and surface type of thisese stations are is shown in Fig. 1, marked by red points. Geographically, Qingdao station

is located on the south of Shandong Peninsula, and Peninsula and isare near the boundary of lies to the west of -the Yellow Sea. To be more specific, This station is set up in the suburb, surrounded by cropland. The altitude of thise station is 12 m above mean sea level. The hourly wind speed (WS₃₀₀) and direction (WD₃₀₀) data at 300 m AGLs are are obtained from 1 May 2018 to 31 August 2020. The original RWP data at 6-min intervals haves not been released temporarily, but it-can be reasonably requested upon demand by contacting to Dr. Jianping Guo-by (Eemail: -(jpguocams@gmail.com).

2.2 Anemometer

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The wind cup anemometer can measure the instantaneous wind speed, and speed and is installed at 10 m AGL (Mo et al., 2015). The sensing part of wind cup anemometer is composed of three or four conical or hemispherical empty cups. It can provide surface wind data with an error of less than 10% (Zhang et al., 2020). This device is also installed at eight Qingdao RWP stations. The 10 m wind speed data (WS₁₀) and direction (WD₁₀) data can be downloaded in http://www.nmic.cn/data/cdcdetail/dataCode/A.0012.0001.html (last access: 15 November 2022). Here, the WS₁₀10 m wind speed data data at the eight RWP stations are were also obtained from 1 May 2018 to 31 August 2020. The WS₁₀10 m wind speed data data are is was processed into hourly average value to match the RWP data.

2.3 Radiosonde data

The <u>radiosonde (RS)</u> provides the <u>vertical profiles</u> of wind speed and wind direction <u>at 5-8 meter intervals</u> twice a day at 0800 and 2000 local solar time (LST) (Guo et al., 2020). The accuracy of RS wind speed is within 0.1 m/s in the PBL (Guo et al., 2021b). One noteworthy drawback is that the operational RS can provide observations of wind profiles only twice per day: 0800 and 2000 local solar time (LST). TNote that only the station of Qingdao <u>station</u> is equipped with RS and RWP at the same time. The RS data also collected from 1 May 2018 to 31 August 2020, which can be downloaded from http://www.nmic.cn/data/cdcdetail/dataCode/B.0011.0001C.html (last access: 15 November 2022).

2.4 ERA5 data

The ERA5 is the reanalysis data combining model data and observations, which provides global, hourly estimates of atmospheric variables (Hoffmann et al., 2019). The horizontal resolution can reach 0.25 * 0.25 degree, and there are 137 vertical levels in vertical direction. "ERA5 hourly data on single levels from 1959 to present" is a dataset of ERA5, which can provide a series of surface parameters such as temperature, humidity, pressure and radiation etc. (Hersbach et al., 2020). It can be downloaded from the website of https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview (last accessed: on 15 November 2022). https://eda.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview (last accessed: on 15 November 2022). https://eda.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview (last accessed: on 15 November 2022). https://eda.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview (last accessed: on 15 November 2022).

affect the variation of wind speed have been collected, including charnock coefficient (Char), forecast surface roughness (FSR), friction velocity (FV), dew point (DP), temperature (Temp), pressure (Pres), net solar radiation (Rn), latent heat flux (LHF), and sensible heat flux (SHF). Char, FSR and FV are related to surface roughness, and thus can evaluate the influence of different surface types on the wind speed in the surface layer. DP, Temp and Press are the meteorological parameters associated with wind speed. Rn, LHF and SHF indicate the solar radiation level, which is directly related to the generation of wind. According to the longitude and latitude information of the RWP Qingdao station, the grid where the RWP station is located is selected and those parameters in the corresponding grid are obtained accordingly. These data arewere obtained from 1 May 2018 to 31 August 2020-at eight stations.

3. Methods

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The schematic diagram of surface layer wind profile observations is shown in Fig. 2. The wind mast or tower can provide wind speed data below 100 m AGL (Durisic et al. 2012; Liu et al., 2018). The RWP-network of China can measure the wind profiles from the 300 m to a height of 5-8 km AGL (Liu et al., 2019). It leads to a gap (100 to 300 m) in the observation of wind profile. At present, the PLM method is most often applied to extrapolate the surface wind speed to the wind turbine hub height, such as wind speed at 120 m (WS₁₂₀), 160 m (WS₁₆₀) and 200 m (WS₂₀₀) AGL-(WS₁₂₀).

3.1 Power law method

The PLM method is proposed by Hellman et al. (1914). It assumes that the wind speed below 150 m in the PBL varies exponentially with height. As a result, the wind speed at wind turbine hub heightacertain height is typically estimated using the following formula (Abbes et al., 2012):

$$v_2 = v_1 \times \left(\frac{h_2}{h_1}\right)^{\alpha} \tag{1}$$

where v_I and v_2 are the wind speed at height h_I (10 m) and h_2 , (120 m), respectively. The α is the power law exponent wind shear coefficient, which varies with time, altitude, and location (Durisic et al., 2012). In engineering application, the value of α is determined by the terrain type, and generally is estimated to range from 0.1 to 0.4 (Li et al., 2018). Here, the general value of α for coastal topography iswas set to 0.15 based on former studies (Patel et al., 2005; Banuelos et al., 2010). However, Jung et al. (2021) pointed out that the error in the wind power density estimation over China can reach to 30 % based on a constant α value. Therefore, we attempt to use ML algorithms to obtain the WS₁₂₀, WS₁₆₀ and WS₂₀₀.—

3.2 MaRFchine learning algorithms

RF is an ensemble ML method (Breiman, 2001), which has been widely used in regressive calculation (Breiman, 2001). It is a method to integrate many decision trees into forests and predict the result. Schematic diagram of RF is shown in Fig. S1e. The RF is composed of many decision trees, and each decision tree is irrelevant. The performance of RF is determined by the aggregation of the results of all the trees (Ma et al., 2021). For RF model, the number of trees (N) is an important parameter to achieve the optimal performance of the model. The further detailed information can be referred to Breiman (2001).

3.2.1 Inputs for RF

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In the construction of the RF model, it is necessary to obtain the relevant a series of variables that may affect the surface wind profile according to the physical mechanism and previous research. At present, the PLM is often used to calculate the wind speed at hub height. It confirms that the wind speed at hub height is related to the wind speed at other heights (Durisic et al., 2012; Li et al., 2018). Therefore, the WS₁₀, WD₁₀, WS₃₀₀ and WD₃₀₀ are selected as inputs. The surface wind profile also depends on the surface roughness, friction velocity and the atmospheric stability and surface stress and on the atmospheric stratification (Smith, 1988; Gryning et al., 2007), so that Char, FSR, FV and Char and Temp-are also regarded as inputs variable. The higher FSR causes a slower wind speed in the surface layer. At a land station, surface roughness is derived from the vegetation type (Li et al., 2021). The FV is a theoretical wind speed at the Earth's surface, which is used to calculate the way wind changes with height in near surfacethe surface layer (Stull, 1988). The FV is used to calculate the way wind changes with height in the surface layer (Stull, 1988). Moreover, considering that the generation of wind is closely associated with uneven heating of the Earth's surface by solar radiation (Solanki et al., 2022), the Rn, LHF and SHF are also selected as input variables. Additionally, some studies use atmospheric temperature and atmospheric pressure as input to improve the accuracy of wind speed prediction (Chi et al., 2015). Here, we also regard DP, Temp, Temp and Press as the input variables. The reference value, also included as input in the RFML models, is the WS120-WS120, WS160 and WS200 measured from RS.-

3.2.2 Feature selection

To estimate the WS₁₂₀, WS₁₆₀ and WS₂₀₀, we need to build RF model on 120 m (RF₁₂₀), 160 m (RF₁₆₀) and 200 m (RF₂₀₀), respectively. For each RFeach ML model, it is necessary to select the main features from the input-values to avoid data redundancy and reduce the complexity of the model (Ma et al., 2021). Following the previous research of Gregori et al. (2022), the variable inputs, s which cannot that

after being discarded do not cause a 2% reduction in correlation coefficient, are regarded as irrelevant feature and removed (Gregori et al., 2022). Figure 3 shows the importance analysis of input variables for three RFML models. The relative importance of the variable indicates the dependence of the model on this parameter. The relevantmain features of each modelingut variables with importance lager than 0.1 arewere marked by red bars. The irrelevant features are marked by blue bars, which are not regarded as final inputs in three RFfinal ML modelss. For three RF models,- the mainrelevant features are both WS₁₀, FV, Char, SHF and WS₃₀₀although the importance values of WS₁₀ and FV are large, the importance values of some inputs are also relatively large with varies from 0.1-0.15. It indicates d that except for surface stress, the factors such as surface friction, heat transfer and high-heightaltitude wind speed constraints are will also be considered in the construction inversion process of RF models. In addition, it is surprising that FSR has such low importance in three RF models construction. FSR is a measure of surface resistance, which directly affects the near-surface wind speed (Smith, 1988). At a land station, the FSRsurface roughness is derived from the vegetation type (Li et al., 2021) (Li et al., 2021). The surface type of Qingdao station is cropland. Li et al. (2021) confirms that the FSR at cropland is most likely to 0.3 m. In training data, the FSR from ERA5 also approximates a constant value (0.3 m). Since the constant variable has no meaning for RF model construction, the RF model divides FSR into irrelevant variable. Therefore, the final inputs for three RF models are WS₁₀, FV, Char, SHF and WS₃₀₀.

3.2.343 Model Ttraininguning parameters RF

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RF algorithm requires to setup the hyperparameters N in order to avoid overfitting in the training dataset (Ma et al., 2021). The most important hyperparameter of RF is the number of tree (N). Here, we used the RF algorithm for regression in MATLAB R2020b. The code and usage of RF are referred to the MATLAB help centerre (https://ww2.mathworks.cn/help/stats/treebagger.html, last access: 15 November 2022). The specific tuning parametertraining process of eachRF model is presented as follows:- The N value varies from 1-500 with an interval of 210. Correlation coefficient (R) and root mean square error (RMSE) arewere used to evaluate the accuracy of the model. We need to set an appropriate N value to maximize R and minimize RMSE. —Fig.ures S2e and 2f shows the tuning parameters process for the number of tree (N of three RF models). For RF₁₂₀, ilt can find that the R increased with N value increased, while the R iswas almost unchanged when N value is greater than 100. When N equals 300200, R reaches the maximum value (0.8182) and RMSE reaches the minimum value (1.64-68 m/s). Therefore, the N value is set to 300-200 for RF₁₂₀. Moreover, according to the same tuning parameters processprinciple, the N values are set to 300 and 150 for RF₁₆₀ and RF₂₀₀, respectively. After determining the final inputs and N values—hyperparameter, the three RF models

have been trained and tested. At Qingdao Station, a total of 746 sample data are obtained after data matching. We use the 5-fold crossover to train RF models. The test results are discussed in section 4.1.

3.2.43 Sensitivity analysis

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The accuracy and generalization of the RF model depend on training and testing samples (Ma et al., 2021). However, the training and testing samples are obtained at 0800 and 2000 LST. It needs to discuss whether the RF model also applies to other times. This depends on whether the RF model has enough generalization for the training samples, and whether the inputs at other times have appeared in the training samples. Fig. S3-S54 shows the difference between estimated wind speed \(\frac{\text{WS}}{\text{120}}\)-and observed wind speed\(\frac{\text{WS}}{\text{120}}\) of three RF models, which as a function of the inputs. For three RF models, the deviations are was relatively stable and did not change with the increase of inputs. It indicates that three RF models have good generalization for the training and testing samples. This is could be likely due to the fact that because the RF tends to increase random disturbance in the sample space, parameter space and model space, thereby reducing the impact of "cases" and improving the generalization ability (Breiman, 2001). Moreover, Fig. \(\text{ure}\) S6 shows the distribution of inputs at different time. The red dashed lines represent the maximum and minimum values of each variable at training samples. In the range of the red line, three RF models can provide stable output due to its good generalization ability. It can be found that almost all the inputs have appeared in training samples. Therefore, three RF models have sufficient generalization and can be used atim other times.

3.34 Assessment methods of wind energy

For the <u>wind speed at hub heightobtained WS₁₂₀</u>, a series of indicators <u>haveneed to</u> be<u>en</u> used to evaluate wind energy, such as Weibull distribution and wind power density (WPD) (Pishgar et al., 2015). These parameters are commonly used to evaluate the wind energy at a certain station (Fagbenle et al., 2011; Liu et al., 2018).

3.34.1 Weibull distribution

The Weibull distribution can calculate the cumulative probability F(v) and probability density f(v) function of WS_{120} in a certain period of time, which are expressed as follows (Chang et al., 2011):

$$F(v) = 1 - exp \left[-\left(\frac{v}{c}\right)^k \right] \tag{2}$$

$$f(v) = \frac{dF(v)}{dv} = \left(\frac{k}{c}\right) \left(\frac{v}{c}\right)^{k-1} exp\left[-\left(\frac{v}{c}\right)^{k}\right]$$
 (3)

where v is the WS₁₂₀; k and c are the shape parameter of the Weibull distribution. Higher c indicates larger wind speed, while the k indicates wind stability. Saleh et al. (2012) compared different methods to estimate k and c_1 and pointed out that the moments method is recommended in estimating the

Weibull shape parameter. Therefore, we use the moments method to calculate the k and c, which shows as follows (Rocha et al., 2012):

$$k = \left(\frac{\sigma}{\bar{v}}\right)^{-1.086} \tag{4}$$

$$k = \left(\frac{\sigma}{\bar{v}}\right)^{-1.086}$$

$$c = \frac{\bar{v}}{T\left(1 + \frac{1}{k}\right)}$$

$$(4)$$

$$(5)$$

where \bar{v} and σ are the mean and square deviation of WS₁₂₀, respectively. and Γ is the gamma function, which has a standard form as follows:

$$\mathcal{T}(x) = \int_0^\infty e^{-u} u^{x-1} du \tag{6}$$

3.<u>3</u>4.2 Wind power density

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The WPD is the wind energy per unit area that the airflow passes vertically in unit time, and generally takes the form like (Akpinar et al., 2005):

$$WPD = \frac{1}{2}\rho c^3 \mathcal{T}\left(\frac{k+3}{k}\right) \tag{7}$$

where ρ is the air density, k and c are the shape parameter of Weibull (equ.4 and 5), and Γ is the gamma function (equ.6). In addition, the absolute percentage error (APE) is used to quantify the differences in wind energy assessment based on different methods. The APE iswas calculated by:

$$APE = \frac{|WPD_{RF} - WPD_{PLM}|}{WPD_{RF}} * 100 \%$$
 (8)

where WPD_{RF} and WPD_{PLM} are calculated by the wind speed from RF and PLM, respectively.

4. Results and discussion

4.1 Intercomparison of WS₁₂₀-wind speed using different methods methods.

Figure 4 shows the wind profile from different methods under different time. The red, black and blue lines represent the mean wind speed from RS, PLM and RF, respectively. For the PLM, the retrieved results below 80 m AGL are consistent with the RS observations. Gryning et al. (2007) also pointed out that the wind profile based on surface-layer theory is valid up to a height of 50-80 m. Above 80 m AGL, the wind speeds retrieved by PLM deviate from the RS observations. This deviation is increasing with the height. The comparison results between PLM and RS at 120 m, 160 m and 200 m AGL (Fig. 5) are also confirmed it. This is due to the fact that above surface layer, the Coriolis force, baroclinity and wind shear increase the complexity of the wind profile (Brümmer 1991). Moreover, most of estimated results from PLM are underestimated when the observed WS₁₂₀wind speed is high, especially at 200 m AGL. The reason is that is due to thee surface wind profile WS₁₂₀ is affected by turbulence, surface friction and other factors (Tieleman 1992; Solanki et al., 2022). The turbulence

caused by inhomogeneous underlying surface can change the wind direction and reduce the horizontal wind speed (Coleman et al., 2021). Especially in coastal areas, the sea land interaction and complex surface types make the variations of near surface wind profiles more complex. The sSimple exponential relationship is unable to obtain the surface wind profile WS₁₂₀ with high accuracy, especially at high wind speed condition. By comparison, the WS₁₂₀, WS₁₆₀ and WS₂₀₀ retrieved from RF are closer to RS observations. Compared with PLM, the R and RMSE between the observed WS₁₂₀ wind speed and the estimated WS₁₂₀ wind speed from RF at three heights are significantly improved (Fig. 5). TEspecially for the RF, the highest R (0.934) and the smallest RMSE (1.090 m/s) show that the RF is the best model to retrieve WS₁₂₀. This is due to the fact that the surface friction (FV), These results indicate that considering heat transfer (SHF) and high-heightaltitude wind speed constraints (WS₃₀₀) are considered in construction inversion process of RF, which can improve the accuracy of the model. Moreover, it notes that three RF models tend to slightly overestimate small values and underestimate high values. This may be due to The reason is the small number of training samples at high and low values, resulting in the reduction of RF model generalization. Overall, it can be seen from the metrics of R and RMSE that the wind speedaccuracy from of RF models is better than that from of PLM.

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In addition, for both PLM and RF, the retrieved wind profile at 2000 LSTnighttime is closer to the RS observed observations. The comparisons between the observed wind speed and the estimated wind speed for PLM and RS under different time is shown in Fig. S7. The fitting results of PLM and RF at 2000 LST are slightly higher than that at 0800 LST. It indicates that the performance of PLM and RF vary with hour of the day. Thise is becausedue to the wind profile depends not only on the surface friction but also on the atmospheric stratification (Gryning et al., 2007). SinceThis is due to the The surface layer is in an unstable stratification due to heat transfer caused by solar radiation during daytime, while the surface layer tends to stable stratification due to surface radiation cools during nighttime (Yu et al., 2022; Solanki et al., 2022). The WS₁₂₀, WS₁₆₀ and WS₂₀₀wind speed WS₁₂₀ is are more vulnerable to the surface turbulence due to the unstable stratification during daytime. Therefore, the performance of PLM and RF at nighttime is better than that at daytime.

Figure 6 shows the comparisons between the observed <u>results</u> WS₁₂₀ and the estimated <u>results</u> WS₁₂₀ for <u>four methodsPLM and RF</u> under different season. The red, green, blue and black represent the spring, summer, autumn and winter, respectively.—<u>At three heights, the performance of PLM is the best in winter and the worst in summer.</u> It shows that the performance of PLM is affected by seasonal factors, which is <u>likely</u> due to the wind shear varying dramatically with season (Banuelos-Ruedas et al., 2010). <u>Pérez et al. (2005)Previous study indicatesd that the surface layer wind speed profile is</u>

mainly affected by the convection produced by surface heating in summer (Pérez et al., 2005). The WS₁₂₀ isWS₁₂₀, WS₁₆₀ and WS₂₀₀ affectaffect by the surface due to the unstable stratification, which leads that the PLM performs worst in summer. In contrast, during winter, the surface temperature is generally lower than the air temperature aloft creating a stable inversion (Yu et al., 2022; Liu et al., 2022). The WS₁₂₀, WS₁₆₀ and WS₂₀₀ WS₁₂₀—isare disconnected from the surface due to stable stratification. It leads that the PLM performs best in winter. As for RF, although the performance fitting result in spring is slightly lower than that in other seasons_x. the fitting results at four seasons are significantly improved compared with the other three methodPLMs. This indicates that RF is least affected by seasons. The reason is that the RF model is less subjective than PLM because they are data driven. Overall, in terms of stability and accuracy, the RF is more suitable for the best model to estimating wind speed at hub heightretrieve WS₁₂₀.

4.2 Characteristics Vertical profiles of wind speed at surface layer

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Figure 78 shows the diurnal and seasonal variations of WS₁₂₀, WS₁₆₀ and WS₂₀₀WPD at Qingdaoeight stations. The diurnal and seasonal variations of wind speed at three heights are on average similar to each other. From the perspective of daily variation, the WS₁₂₀-wind speed is larger at daytime from 0900 to 1600 LST, while is lower at nighttime from 0000 to 0400 LST. This daily cycle of WS₁₂₀-is mainly affected by the solar radiation and the sea-land breeze. On the one hand, the surface is heated by solar radiation at daytime, warming the low-level air. The convection formed by rising warm air mass results in high wind speed during the daytime. After sunset, the surface radiation cools and the air layer tends to stabilize, resulting in a gradual decrease in wind speed (Liu et al., 2018). On the other hand, the difference of specific heat capacity between sea and land can form the difference of thermal properties between sea and land. The difference of air pressure is obvious, which is easy to form sea land breeze (Li et al., 2020). Similar diurnal variations in 10 m wind speed arewere also observed at three other stations in China (Liu et al., 2013). From the perspective of seasonal variation, the wind speed seasonal distribution of WS₁₂₀ is large inat spring and winter, and winter and is low in summer and autumn. This is due to because the influence of East Asia Monsoon and Mongolian cyclones (Yu et al., 2016). The large-scale synoptic systems in China have a relatively high occurrence frequency during the cold season (spring and winter), which result in the higher wind speed than warm season (summer and autumn) (Liu et al., 2019).

The histograms of WS₁₂₀-, WS₁₆₀ and WS₂₀₀ with corresponding Weibull distributions at eight coastal stations are plotted in Fig. 987. The blue bar and pink lines represent occurrence probability and Weibull distributions, respectively. Moreover, the mean WS₁₂₀-wind speed and Weibull distribution

parameters for three heights all eight stations—are listed in Table 12. The occurrence probabilityprobabilities of WS₁₂₀-, WS₁₆₀ and WS₂₀₀ are both the unimodal distribution, with a peak probability in medium wind speed (about 5 m/s) and a low probability in high and low wind speed. The mean WS₁₂₀, WS₁₆₀ and WS₂₀₀ are 5.84, 6.26 and 6.57 m/s, whichwind speed gradually increases with heightat island stations is slightly higher than that at coastal land stations. This is due to tThe lower wind speed near the ground is caused by the influence of underlying surface roughness and surface frictionatmospheric stability, resulting in the lower wind speed near the ground-difference between sea and land breeze (Li et al., 2018; Li et al., 2020). In addition, there is a deviation between the probability density function and the frequency of occurrence at some stations, which is due to the fact that because Weibull distribution generally has a long tail effect or a right skewed distribution (Pishgar-Komleh et al., 2015; Ali et al., 2018). Overall, the Weibull distribution matches with the frequency of wind speed at all stations. Therefore, the Weibull distribution parameters can be applied for the wind energy assessment.

4.3 Vertical profiles of wind speed at surface layer Influence of wind speed from different methods on WPD

4.3 VariInfluence on wind energy assessmentation of wind resource

Figure 9 shows the diurnal variations of WPD from PLM and RF at 120 m, 160 m and 200 m AGL. The red solid and dotted lines represent the variation of WPD from RF and PLM, respectively. The gray bar represents the absolute percentage error difference (APED) of WPD between RF and PLM. The diurnal pattern of WPD from RF is similar tolike that from PLM. At three heights, t-the hourly mean WPD is larger at daytime from 0900 to 1600 LST with a peak at 1400 LST, and LST and is lower at nighttime from 0000 to 0400 LST. On the contrary, the— APED is lower at daytime (0800 to 1800 LST) and larger at nighttime (2000 to 0600 LST). At 120 m, the mean APED at daytime and nighttime are 14.09 40% and 35.80 20%, respectively. Considering that the results from RF are underestimated at high wind speed condition, the APED of WPD between the PLM and the actual observation at 1400 m and 200 m AGL are similar togenerally resemble that the features obtained at 120 m AGL. But the APED of WPD between RF and PLM increases with the height. These results indicate that the PLM

is more suitable for wind energy assessment in the daytime, and the error of wind energy assessment based on PLM is gradually increased as the height increases.

Figure 10 shows the monthly variations of WPD from PLM and RF at 120 m, 160 m and 200 m AGL. The monthly variation pattern of WPD from RF is also similar to that from PLM. The monthly WPD is relatively high for the period from March to May, as compared to the lower values from June August to October. At 120 m, the APED is largest inat summer and is lowest at in winter. The seasonal APED during spring, summer, autumn and winter are 23.65 %, 40.83 %, 19.67 % and 12.62 %, respectively. The monthly variations of APED at 160 m and 200 m are consistent with that at 120 m. It indicates that the PLM is more suitable for wind energy assessment at autumn and winter. In addition, the APED during spring at 120 m, 160 m and 200 m are 23.65 %, 28.12 % and 34.22 %, respectively. Due to the performance of RF model is the worst in spring, the APED of WPD between PLM and real value during spring may increases. Jung et al. (2021) also finds that the global median absolute percentage error in the wind energy estimations is 36.9% assuming the power law exponent being 0.14. Overall, the PLM has some limitations in wind energy assessment above 100 m. When using PLM to evaluate wind energy at high heightaltitude, it is necessary to pay attention to its errors. Moreover, the use of RF model that takes into account the —factors such as surface friction, heat transfer and high-heightaltitude wind speed constraints into account is suggested to evaluate wind energy at high altitude.

5. Summary and conclusions

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The traditional methods such as the power law method (PLM) used to estimate wind speed at hub height generally assume a constant exponent α in establishing the power law relationship between wind speeds at surface and hub -height, which inevitably leads to large uncertainties. To confront this challenge, this study used uses the random forest (RF)ML algorithms to retrieve the evaluate WS₁₂₀the wind energy resource at eight coastal stations wind profile based on the wind speed profile RWP and surface meteorological data from May 2018 to August 2020. Moreover, the accuracy wind estimates from of PLM, KNN, SVM and RF was areisare compared against based on the comparison between model observed WS₁₂₀ and estimatesed and observations results and observed value WS₁₂₀. Finally, the diurnal and seasonal variations of wind speed and WS₁₂₀, WS₁₆₀ and WS₂₀₀ from RF are then analyzed. Finally, the wind energy resource at 120 m, 160 m and 200 mat three heights eight coastal stations was are evaluated based on the wind speed from PLM and RF based on the WS₁₂₀ wind speed from RF.

The comparison against observations indicates that the WS₁₂₀ estimateds from RF areis closer to the observed value than that better than those from PLM, given- the Tthe-relative higher R (0.93 versus

465 0.89) and smaller RMSE (1.09 m/s versus 1.50 m/s) of RF are better than the R (0.89) and RMSE (1.50 m/s) of PLM RF model. MoreoverParticularly, the performance of PLM declines with height. Especially at 200 m, the R and RMSE between WS₂₀₀ fromoffrom PLM-and observed value isare changed to 0.78 and 2.42 m/s, respectively. In contrast, the RF model maintains good accuracy at different heights. The R (RMSE) for RF model at 120 m, 160 m and 200 m are 0.93 (1.09 m/s), 0.91 470 (1.29 m/s), and 0.91 (1.48 m/s), respectively. These results show that above the surface layer, the wind speeds from PLM deviate from the observed value. The RF model is more suitable for retrieving the hub height wind speed, wWhen the hub height is extended above the surface layer. Overall, the accuracy of three RFML model shows advantages overs is better than that of the traditional PLM. This is probably due to the fact that because the RFML models wwell considers the influence of near-surface 475 environmental parameters, to improve accuracy, such as friction velocity FV and charnock coefficient Char etc. Moreover, the heat transfer and high-height altitude wind speed constraints are also considered in the construction of RF model. Based on the wind speed WS₁₂₀, WS₁₆₀ and WS₂₀₀-from RF, the diurnal and seasonal variations of wind energy are then analyzed. The hourly mean WPD is larger at daytime from 0900 to 1600 LST with a peak at 1400 LST. The WPD is relatively high atin 480 spring and winter, as compared to the lower values at in summer and autumn. Finally, the differences of WPD between RF and PLM at different heights time scales are investigated. At 120 m, the mean APED of WPD between RF and PLM at daytime and nighttime are 14.09 % and 35.80 %, respectively. Moreover, the seasonal APED of WPD between RF and PLM at 120 m is largest at summer (40.83 %) and is lowest at in winter (12.62 %). In addition, the mean APE at 120 m, 160 m and 200 m are 24.19 %, 485 27.99 % and 32.57 %, respectively. These results indicate that there are some errors in the wind energy evaluation based on wind speed from PLM. Therefore, when retrieving high heightaltitude wind speed, it is suggested to combine more observation or auxiliary data to build a more accurate model, such as RF model. In the absence of other observation data, it is necessary to pay attention to the its errors when using PLM to evaluate wind energyspeedenergy at high heightaltitude. –

Our work <u>obtains</u>provides a new pathway to fill the data gap of wind speed at the hub height for the <u>high capability of the accurate wind profile</u> comprehensively assesses the wind energy resources on the coast of China using the state-of-the-art ML algorithm, which provides lays a solid foundation new meaninvaluable information for more robust the development of wind energy assessment industry in the coastal regions of China in the future. However, wind energy the high-precision wind profile estimate assessment is only one part of the efficient utilization of wind energy resources. The cost of wind turbines, topography conditions, environment harm, and other factors also need more attention, which deserves further investigation in the future.

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Data Availability

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The RWP output data and codes used in this paper can be provided for non-commercial research purposes upon motivated request (Jianping Guo, Email: jpguocams@gmail.com). The anemometer data can be downloaded in http://www.nmic.cn/data/cdcdetail/dataCode/A.0012.0001.html, last 15 November 2022. The RS data downloaded access: can be http://www.nmic.cn/data/cdcdetail/dataCode/B.0011.0001C.html, last access: 15 November 2022. The ERA5 data can be downloaded in https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview.

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Author Contributions

The study was completed with cooperation between all authors. JG and BL designed the research framework; BL and JG conducted the experiment and wrote the paper; XM, HL, SJ, YM, and WG analyzed the experimental results and helped touch on the manuscript.

515 Conflicts of Interest

The authors declare no conflicts of interest.

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Tables:

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Table 2-1 Statistics for the Weibull distribution of WS_{120} -, WS_{160} and WS_{200} at the eight stations from 1 May 2018 to 31 August 2020.

	Mean wind			
<u>Height</u>	<u>speed</u>	Standard	Weibull Shape	Weibull Scale
(m)Station	<u>(m/s)</u> \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	deviation (m/s)	factor k	factor c (m/s)
	(m/s)			
120Dongying	<u>5.84</u> 5.54	<u>2.54</u> 1.77	<u>2.47</u> 3.46	<u>6.58</u> 6.16
<u>160</u> Penglai	<u>6.26</u> 5.27	<u>2.59</u> 2.39	<u>2.60</u> 2.35	<u>7.05</u> 5.95
200 Qingdao	<u>6.57</u> 5.86	<u>2.80</u> 2.45	<u>2.52</u> 2.58	<u>7.40</u> 6.59
Lianyungang	5.81	1.75	3.68	6.43
Dayang	6.64	2.99	2.38	7.49
Dongtou	5.89	2.66	2.37	6.65
Fuqing	5.39	2.44	2.37	6.08
Z huhai	4.68	1.78	2.87	5.25

Figures:

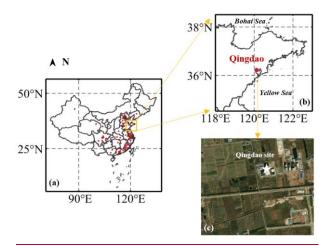


Figure 1. (a, b) Geographical distribution and (c) surface type of the radar eight radar wind profiler observational stations (red dots) in the coast of East Chinaat Qingdao.

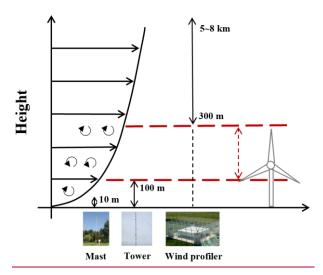


Figure. 2 The schematic diagram of surface layer wind profile observations.

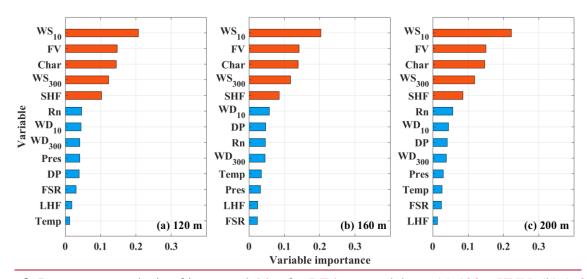


Figure 3. Importance analysis of input-variables for <u>RFthree</u> model <u>ats</u>: (a) <u>120 mKNN</u>, (b) <u>160 mSVM</u>, and (c) <u>200 mRF</u>.

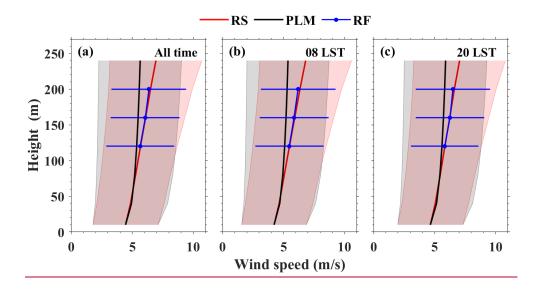


Figure 4. Vertical profiles of the mean wind speed from different methods at (a) all time, (b) 0800 and (c) 2000 LST. Red, black and blue lines represent mean wind profile from RS, PLM and RF, respectively. Corresponding color shading areas represent the standard deviation.

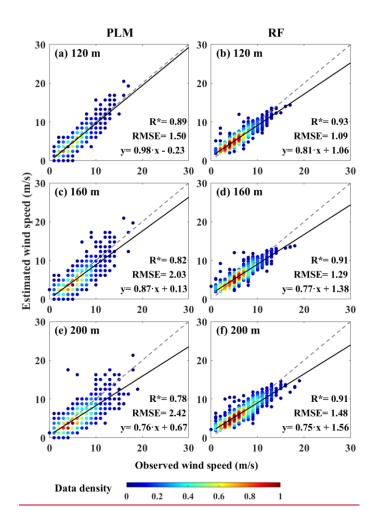


Figure 5. Comparisons between observed WS₁₂₀-wind speed and estimated WS₁₂₀-wind speed for (a, c, e) PLM and (b, d, f) RF at 120 m, 160 m and 200 m. based on the (a, e, i) PLM, (b, f, j) KNN, (c, g, k) SVM and (d, h, l) RF models under different time. The gray and black line is the reference and regression line, respectively. The color bar represents the data density. The asterisk indicates that the correlation coefficient (R) has passed the t-test at a confidence level of 95%.

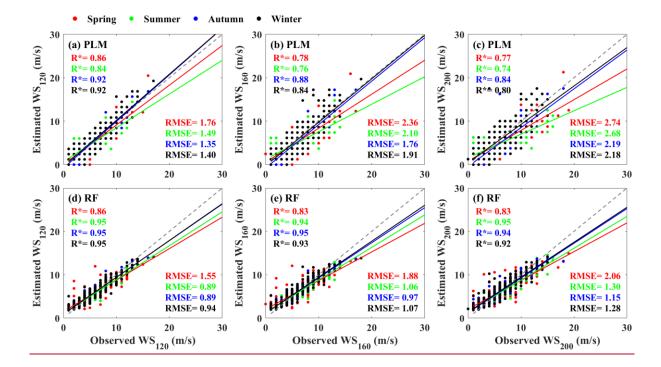


Figure 6. Comparisons between <u>observed wind speed and estimated wind speed for (a, b, c) PLM and (d, e, f) RF at 120 m, 160 m and 200 mobserved WS₁₂₀ and estimated WS₁₂₀ based on the (a) PLM, (b) KNN, (c) SVM and (d) RF models under different season. The red, green, blue and black represent spring, summer, autumn and winter, respectively. The asterisk indicates that the correlation coefficient (R) has passed the t-test at a confidence level of 95%.</u>

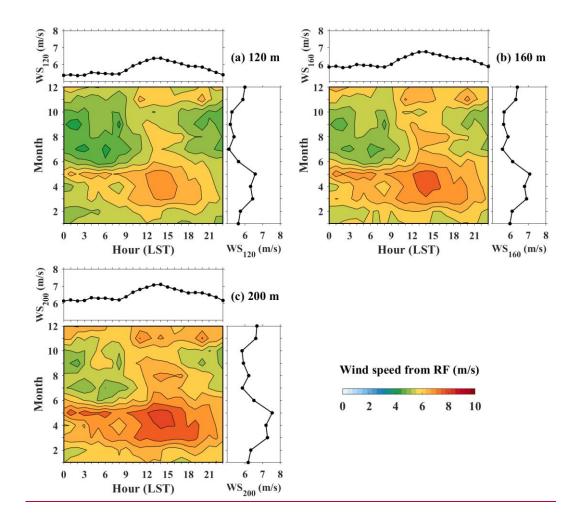


Figure 7. Monthly and diurnal cycles of (a) WS_{ws120}-, (b) WS₁₆₀ and (c) WS₂₀₀ from 1 May 2018 to 31 August 2020. Color bar represents the wind speed from RF model.

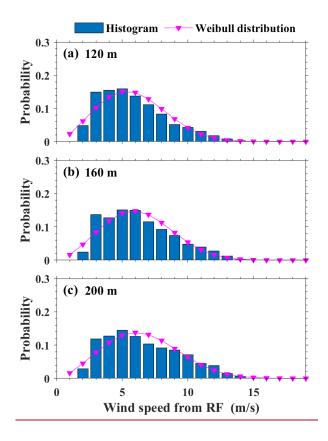


Figure 8. Probability distribution and Weibull distribution of (a) WS_{120} , (b) WS_{160} and (c) $WS_{200}WS_{120}$ at the eight stations from 1 May 2018 to 31 August 2020. The blue bar and pink lines represent occurrence probability and Weibull distributions, respectively.

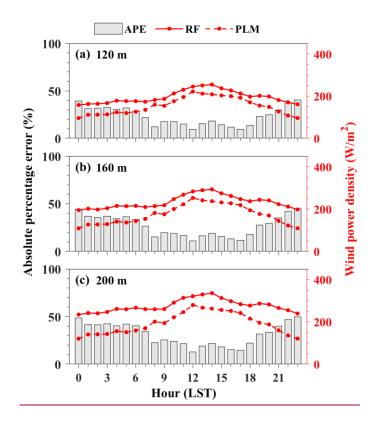


Figure 9. Diurnal variation of the WS₁₂₀ and wind power density (WPD) for the eight RWP stations at (a) 120 m, (b) 160 m and (c) 200 m as shown in Figure 1. The blue and red lines denote the mean wind speed and wind power density, respectively. The red solid and dotted lines represent the WPD from RF and PLM, respectively. The gray bar represents the absolute percentage error (APE) of WPD between RF and PLM.

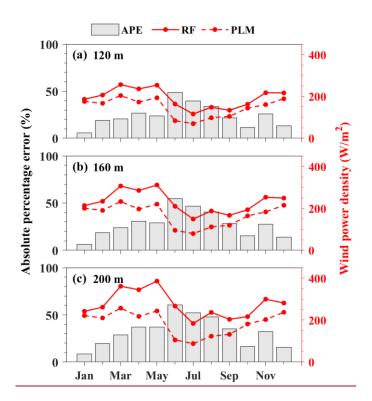


Fig. $\underline{10}$. Similar to Fig. $\underline{9}$, but for the monthly variation.