

# 1 Nitrate Chemistry in the Northeast US Part II: Oxygen Isotopes Reveal

## 2 Differences in Particulate and Gas Phase Formation

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14 **Abstract.** The northeastern US represents a mostly urban corridor impacted by high population  
15 and fossil-fuel combustion emission density. This has led to historically degraded air quality and  
16 acid rain that has been a focus of regulatory-driven emissions reductions. Detailing the chemistry  
17 of atmospheric nitrate formation is critical for improving the model representation of atmospheric  
18 chemistry and air quality. The oxygen isotopic composition of atmospheric nitrate are useful  
19 indicators in tracking nitrate formation pathways. Here, we measured oxygen isotope deltas ( $\Delta(^{17}\text{O})$   
20 and  $\delta(^{18}\text{O})$ ) for nitric acid ( $\text{HNO}_3$ ) and particulate nitrate ( $\text{pNO}_3$ ) from three US EPA Clean Air  
21 Status and Trends Network (CASTNET) sites in the northeastern US from December 2016 to 2018.  
22 The  $\Delta(^{17}\text{O}, \text{HNO}_3)$  and  $\delta(^{18}\text{O}, \text{HNO}_3)$  values ranged from 12.9 ‰ to 30.9 ‰ and from 46.9 ‰ to  
23 82.1 ‰, and the  $\Delta(^{17}\text{O}, \text{pNO}_3)$  and  $\delta(^{18}\text{O}, \text{pNO}_3)$  ranged from 16.6 ‰ to 33.7 ‰ and from 43.6 ‰  
24 to 85.3 ‰, respectively. There was distinct seasonality of  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  with higher values  
25 observed during winter compared to summer, suggesting a shift in  $\text{O}_3$  to  $\text{HO}_x$  radical chemistry, as  
26 expected. Unexpectedly, there was a statistical difference in  $\Delta(^{17}\text{O})$  between  $\text{HNO}_3$  and  $\text{pNO}_3$ , with

27 higher values observed for pNO<sub>3</sub> (27.1±3.8) ‰ relative to HNO<sub>3</sub> (22.7±3.6) ‰, and significant  
28 differences in the relationship between  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ . This difference suggests atmospheric  
29 nitrate phase-dependent oxidation chemistry that is not predicted in models. Based on the output  
30 from GEOS-Chem, and both the  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  observations, we quantify the production  
31 pathways of atmospheric nitrate. The model significantly overestimated the heterogeneous N<sub>2</sub>O<sub>5</sub>  
32 hydrolysis production for both HNO<sub>3</sub> and pNO<sub>3</sub>, a finding consistent with observed seasonal  
33 changes in  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  of HNO<sub>3</sub> and pNO<sub>3</sub>, though large uncertainties remain in the  
34 quantitative transfer of  $\delta(^{18}\text{O})$  from major atmospheric oxidants. This comparison provides  
35 important insight into the role of oxidation chemistry in reconciling a commonly observed positive  
36 bias for modeled atmospheric nitrate concentrations in the northeastern US.

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## 38 **1. Introduction**

39 Nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) in the atmosphere have an important impact on air quality and  
40 human and ecosystem health (Galloway et al., 2004). NO<sub>x</sub> plays an important role in influencing  
41 the oxidizing efficiency of the atmosphere, including the production of ozone (O<sub>3</sub>), and leads to  
42 the formation of atmospheric nitrate (gas phase nitric acid (HNO<sub>3</sub>) and nitrate in particulate form  
43 (pNO<sub>3</sub>)) (Crutzen et al., 1979). HNO<sub>3</sub> and pNO<sub>3</sub> are, in turn, important contributors to dry and wet  
44 N deposition. Nitrate is a key component of particulate matter (PM<sub>2.5</sub>), which has direct adverse  
45 effects on human respiratory and climate change, and the deposition of N to ecosystems can  
46 contribute to soil acidification and eutrophication (Camargo and Alonso, 2006; Schlesinger, 2007;  
47 Tai et al., 2010). Thus, changes in the chemistry and chemical feedbacks associated with NO<sub>x</sub> have  
48 important implications for predicting air quality improvements and climatic responses.

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50 The US Environmental Protection Agency (EPA) reported that NO<sub>x</sub> emissions have decreased by  
51 36 % in the United States from 2007 to 2015 due to effective regulations in response to the Clean  
52 Air Act and its Amendments (US EPA, 2017; CASTNET, 2019; NEI, 2017; Shah et al., 2018).  
53 However, atmospheric pNO<sub>3</sub> concentrations have responded sub-linearly to the dramatic NO<sub>x</sub>  
54 emission reductions, with only a 7.8 % pNO<sub>3</sub> decrease over the same period in the northeastern  
55 US. Uncertainties in our understanding between NO<sub>x</sub> reductions and the production of atmospheric  
56 nitrate challenge our ability to make effective reductions in reactive nitrogen concentrations. Major  
57 factors influencing atmospheric nitrate production include oxidant availability, heterogeneous

58 chemistry, gas-to-particle partitioning, and potential aerosol nitrate photolysis (Jaeglé et al., 2018;  
59 Shah et al., 2018; Kasibhatla et al., 2018).

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61 Atmospheric nitrate concentrations have been simulated using various chemistry models to detail  
62 spatiotemporal variabilities between precursor NO<sub>x</sub> emissions and nitrate in the US, with  
63 somewhat limited success (Walker et al., 2012; Zhang et al., 2012). In particular, the  
64 concentrations of nitrate observed in the northeastern US tend to be overestimated in models (e.g.,  
65 Heald et al., 2012; Zhang et al., 2012), which is an important region to monitor due to its high  
66 population density, transport patterns and the tendency for poor air quality (Sickles and Shadwick,  
67 2015). Modeling studies suggest that biases revealed by comparison with observations **could be**  
68 due to uncertainties in NO<sub>x</sub> and **gaseous ammonia (NH<sub>3</sub>)** emission estimates, dry deposition  
69 removal rates, heterogeneous chemical production rates, and changing chemistry due to reductions  
70 in NO<sub>x</sub> and sulfur dioxide emissions (Heald et al., 2012; Holt et al., 2015; Shah et al., 2018).

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72 The nitrate oxygen isotope deltas ( $\Delta(^{17}\text{O})$  and  $\delta(^{18}\text{O})$ ) **have** proven to provide observational  
73 constraints on the oxidation pathways that are responsible for the formation of atmospheric nitrate  
74 (Hastings et al., 2003; Michalski et al., 2003; Alexander et al., 2009). The isotopic composition is  
75 expressed as  $\delta$ , which is a standardized notation and quantified as  $\delta = (R_{\text{sample}}/R_{\text{reference}} - 1)$ .  $R$  is  
76 the ratio of the heavy isotope to the light isotope (e.g.,  $^{18}\text{O}/^{16}\text{O}$ ;  $^{17}\text{O}/^{16}\text{O}$ ) in the sample and  
77 internationally recognized isotopic reference material (Vienna Standard Mean Ocean Water),  
78 respectively. Several studies have suggested that the distinctive ( $\Delta(^{17}\text{O}) = \delta(^{17}\text{O}) - 0.52 \times \delta(^{18}\text{O})$ )  
79 and  $\delta(^{18}\text{O})$  signatures of atmospheric oxidants such as O<sub>3</sub>, O<sub>2</sub>/RO<sub>2</sub>/HO<sub>2</sub>, H<sub>2</sub>O and OH are  
80 incorporated into nitrate, tracking the oxidation chemistry of NO<sub>x</sub> (Hastings et al., 2003; Michalski  
81 et al., 2003; Savarino et al., 2007). Traditionally, the influence of O<sub>3</sub> incorporation in nitrate has  
82 been quantitatively tracked using only  $\Delta(^{17}\text{O})$ , because of the unique mass-independent fractionation  
83 that results in O<sub>3</sub> carrying excess  $\delta(^{17}\text{O})$ , yielding a transferrable  $\Delta(^{17}\text{O}) = (39 \pm 2) \text{‰}$  (Thiemens,  
84 2006; Vicars and Savarino, 2014). However, all other atmospheric oxidants contain expected  
85 mass-dependent signatures such that all have  $\Delta(^{17}\text{O})$  value of approximately 0 ‰. The  $\delta(^{18}\text{O})$  of  
86 atmospheric oxidants could provide further insights into nitrate production mechanisms, especially  
87 in cases where oxidants other than O<sub>3</sub> are important, since it is distinctive for each oxidant (e.g.,

88  $\delta(^{18}\text{O}, \text{O}_3) = (126.3 \pm 12) \text{‰}$ ,  $\delta(^{18}\text{O}, \text{O}_2) = 23 \text{‰}$ ,  $\delta(^{18}\text{O}, \text{OH}) = -43 \text{‰}$  (Michalski et al., 2012; Vicars  
89 and Savarino, 2014)).

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91 The northeastern US remains an important region to monitor due to historically degraded air  
92 quality by  $\text{NO}_x$  emissions and negative atmospheric nitrate deposition impacts on sensitive  
93 ecosystems. Changes in oxidation chemistry and chemical feedbacks associated with nitrate  
94 production and deposition have important implications for predicting air quality improvements  
95 and informing policy recommendations. In this study, using the Clean Air Status and Trends  
96 Network (CASTNET) samples, we explored spatiotemporal differences in  $\text{HNO}_3$  and  $\text{pNO}_3$   
97 concentrations and production mechanisms in the northeastern US over two years. Based on these  
98 observations, we aimed to better constrain the mismatch in modeled predictions of atmospheric  
99 nitrate chemistry in the northeastern US. This is the first study to quantitatively evaluate the triple  
100 oxygen isotope composition from observations and model simulations in the northeastern US. The  
101 comparison with the combination of  $\Delta(^{17}\text{O})$  and  $\delta(^{18}\text{O})$  values, and both gaseous and particle  
102 phases of nitrate, provide a significant advance in our ability to probe the representation of  
103 oxidation chemistry in atmospheric chemistry models.

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## 106 **2. Methods**

### 107 **2.1 CASTNET Samples**

108 Atmospheric nitrate samples were collected by the US EPA at several locations of Clean Air Status  
109 and Trends Network (CASTNET) sites in the northeastern US (**Figure 1**). Three CASTNET sites  
110 were selected: Abington, CT (ABT147,  $41.84^\circ \text{N}$ ,  $-72.01^\circ \text{W}$ ), Connecticut Hill, NY (CTH110,  
111  $42.40^\circ \text{N}$ ,  $-76.65^\circ \text{W}$ ), Woodstock, NH (WST109,  $43.94^\circ \text{N}$ ,  $-71.70^\circ \text{W}$ ). The samples were  
112 collected weekly from December 23, 2016, to December 28, 2018, using a three-stage filter pack  
113 system. Based on EPA protocols,  $\text{pNO}_3$  was collected using a Teflon filter in the first stage of the  
114 filter pack, and gaseous  $\text{HNO}_3$  was collected using a Nylon filter in the second stage of the filter  
115 pack. We note that due to the semi-volatile characteristic of ammonium nitrate, some  $\text{pNO}_3$  might  
116 volatilize as  $\text{HNO}_3$  and collect downstream of the filter pack leading to negative biases for  $\text{pNO}_3$   
117 and positive biases for  $\text{HNO}_3$  collection (Hering and Cass, 1999; Ashbaugh and Eldred, 2004).

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## 2.2 Concentration and Isotope Analyses

Filters were extracted and measured for nitrate concentration using Ion Chromatography and then stored in the CASTNET laboratory at room temperature for up to two years. Extracted samples were shipped to Brown University in the summer of 2020. Nitrate concentrations were measured at Brown University to check for stability of nitrate using standard colorimetric methods (i.e., US EPA Method 353.2) on an automated discrete UV-Vis Analyzer (SmartChem Westco Scientific Instruments, Inc.). The limit of detection was 0.1 and 0.3  $\mu\text{M}$  for nitrite and nitrate, respectively, and the pooled standard deviation of replicate quality control standards was better than 3 %. Overall, strong positive correlations were found between measured concentrations at Brown and reported CASTNET data for both  $\text{HNO}_3$  ( $y = 0.99x - 0.08$  ( $R^2 = 0.99$ );  $p < 0.05$ ) and  $\text{pNO}_3$  ( $y = 1.04x + 0.09$  ( $R^2 = 0.99$ );  $p < 0.05$ ) (**Figure 2**); we, therefore, consider the samples representative of their original concentrations.

The samples were collected once a week, and equal volumes of filter extract were combined for isotope analysis to produce monthly aggregates for  $\text{HNO}_3$  and  $\text{pNO}_3$ , respectively. Oxygen ( $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ ) stable isotopic compositions in  $\text{HNO}_3$  and  $\text{pNO}_3$  were analyzed utilizing the bacterial denitrifier method at Brown University (Sigman et al., 2001; Casciotti et al., 2002; Kaiser et al., 2007). Briefly, samples were injected into a buffer solution containing *P. aureofaciens*, which lack the nitrous oxide ( $\text{N}_2\text{O}$ ) reductase enzyme, and sample nitrate was quantitatively reduced to  $\text{N}_2\text{O}$ . For  $\delta(^{18}\text{O})$  analysis, the generated  $\text{N}_2\text{O}$  is injected into a Thermo-Finnegan Delta V Plus isotope ratio mass spectrometry (IRMS) with a modified Gas Bench system after flowing through an automated extraction and purification system. Determination of  $\delta(^{18}\text{O})$  in  $\text{N}_2\text{O}$  was conducted at an  $m/z$  of 44, 45, and 46 and corrected using internationally recognized isotopic reference materials that included IAEA- $\text{NO}_3$  (25.6 ‰), USGS34 (-27.9 ‰), and USGS35 (57.5 ‰). The  $\Delta(^{17}\text{O})$  was determined in a separate analysis. The bacteria-generated  $\text{N}_2\text{O}$  was decomposed to  $\text{N}_2$  and  $\text{O}_2$  in a gold furnace heated to 770 °C and analyzed at  $m/z$  32, 33, and 34 to determine  $^{17}\text{O}/^{16}\text{O}$  and  $^{18}\text{O}/^{16}\text{O}$  ratios of the evolved  $\text{O}_2$ . The 33/32 and 34/32 mass ratios were corrected using isotopic reference materials, USGS34 (-0.29 ‰) and USGS35 (21.6 ‰), and then  $\Delta(^{17}\text{O})$  was determined from  $\Delta(^{17}\text{O}) = \delta(^{17}\text{O}) - 0.52 \times \delta(^{18}\text{O})$ . Due to sample mass limitations, some samples were only analyzed for  $\delta(^{18}\text{O})$ . The number of samples that were not measured for  $\Delta(^{17}\text{O})$  was 1  $\text{HNO}_3$  sample from CTH110, 2  $\text{HNO}_3$  samples from ABT147, 5  $\text{pNO}_3$  samples from CTH110, and 16  $\text{pNO}_3$  samples

150 from WST109. The overall pooled standard deviations of isotopic reference materials and sample  
151 numbers were as followed: USGS34 ( $\sigma(\delta(^{18}\text{O})) = 0.5 \text{ ‰}$  ( $n = 21$ );  $\sigma(\Delta(^{17}\text{O})) = 1 \text{ ‰}$  ( $n = 26$ ));  
152 USGS35 ( $\sigma(\delta(^{18}\text{O})) = 0.4 \text{ ‰}$  ( $n = 27$ );  $\sigma(\Delta(^{17}\text{O})) = 2 \text{ ‰}$  ( $n = 26$ )), and IAEA-N3 ( $\sigma(\delta(^{18}\text{O})) = 0.3$   
153  $\text{‰}$  ( $n = 23$ )).

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### 155 **2.3 GEOS-Chem Modeling**

156 The GEOS-Chem global model of atmospheric chemistry (Bey et al., 2001; Walker et al., 2012;  
157 2019) was utilized to track the production of  $\text{NO}_2$  and  $\text{HNO}_3$  at the CASTNET sites and further to  
158 model the oxygen isotope deltas (e.g.,  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ ) following a previous framework  
159 (Alexander et al., 2020). Following this framework, oxidation chemistry is tagged only for  $\text{HNO}_3$   
160 production and is assumed to be the same for  $\text{pNO}_3$ . We use version 13.2.1  
161 (doi:10.5281/zenodo.5500717) of the model driven by GEOS5-FP assimilated meteorology from  
162 the NASA Global Modeling and Assimilation Office (GMAO). A nested grid ( $0.25^\circ$  latitude  $\times$   
163  $0.3125^\circ$  longitude horizontal resolution;  $\sim 25$  km) simulation was conducted over the northeastern  
164 United States ( $97^\circ$ - $60^\circ$  W;  $35^\circ$ - $60^\circ$  N) in 2017 and 2018. Boundary conditions were from global  
165 simulations performed at  $4^\circ$  latitude  $\times$   $5^\circ$  longitude horizontal resolution for the same years after  
166 a one-year initialization. Gas- and aerosol-phase chemistry was simulated using the default  
167 “fullchem” mechanism (Bates and Jacob, 2019; Wang et al., 2021). Inorganic gas and aerosol  
168 partitioning were conducted using version 2.2 of the ISORROPIA II thermodynamic equilibrium  
169 model (Fountoukis and Nenes, 2007).

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171 All default anthropogenic emissions were applied, which is primarily version 2.0 of the  
172 Community Emissions Data System (Hoesly et al., 2018) as implemented by McDuffie et al.  
173 (2020). Natural emissions respond to local meteorology and include biogenic VOCs from  
174 terrestrial plants and the ocean (Millet et al., 2010; Guenther et al., 2012; Hu et al., 2015; Breider  
175 et al., 2017),  $\text{NO}_x$  from lightning and soil microbial activity (Murray et al., 2012; Hudman et al.,  
176 2012), mineral dust (Ridley et al., 2012), and sea salt (Jaeglé et al., 2011; Huang and Jaeglé, 2017).  
177 Biomass burning emissions were monthly means from version 4.1s of the Global Fire Emissions  
178 Database (GFED4.1s; van der Werf et al., 2017). Wet deposition for water-soluble aerosols is  
179 described by Liu et al. (2001) and by Amos et al. (2012) for gases. Dry deposition is based on the  
180 resistance-in-series scheme of Wesely (1989). Diagnostics were implemented to archive the total

181 production and loss pathways of NO<sub>y</sub>, NO<sub>x</sub>, NO<sub>2</sub>, RONO<sub>2</sub>, HNO<sub>3</sub> and pNO<sub>3</sub>, including the net flux  
182 of mass between HNO<sub>3</sub> and pNO<sub>3</sub> in ISOROPPIA II. We evaluated model performance for  
183 simulating concentrations and isotope deltas ( $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ ) using the Normalized Mean Bias  
184 (*B*) metric (Eq.1):

$$185 \quad B = (\sum (\bar{Q}_m - \bar{Q}_o) / \sum \bar{Q}_o) \quad (\text{Eq.1})$$

186 where  $\bar{Q}_m$  = modeled quantities and  $\bar{Q}_o$  = observed quantities.

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#### 188 **2.4 $\delta(^{18}\text{O})$ and $\Delta(^{17}\text{O})$ Calculations Based on Model Outputs**

189 The oxygen isotope deltas ( $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ ) of nitrate were calculated based on oxygen isotope  
190 mass-balance using production rate outputs from the GEOS-Chem global 3-D model and  
191 compared with our observations. Expected  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  ranges resulting from nitrate  
192 production pathways have been previously described and calculated using oxygen mass-balance  
193 (Alexander et al., 2009, 2020; Michalski et al., 2003; Morin et al., 2011; Carter et al., 2021). Briefly,  
194 the  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  of nitrate are determined by both NO<sub>x</sub> photochemical cycling and nitrate  
195 formation reactions (Alexander et al., 2009; Walters et al., 2018). During NO<sub>x</sub> photochemical  
196 cycling, the oxygen isotopic compositions of NO<sub>x</sub> are determined by the relative production rates  
197 of NO<sub>2</sub> via reaction of NO with O<sub>3</sub>, peroxy and hydroperoxyl radicals, and halogen oxides (XO;  
198 BrO, ClO). The proportional contribution of O<sub>3</sub> during NO oxidation is denoted as *A* and is  
199 calculated using

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$$201 \quad A = (k(\text{O}_3+\text{NO}) [\text{O}_3] + k(\text{XO}+\text{NO})[\text{XO}]) / (k(\text{O}_3+\text{NO})[\text{O}_3] + k(\text{XO}+\text{NO})[\text{XO}] + k(\text{HO}_2+\text{NO})[\text{HO}_2] \\ 202 \quad + k(\text{RO}_2+\text{NO})[\text{RO}_2]) \quad (\text{Eq. 2})$$

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204 where *k* is the respective rate constant for NO oxidation via O<sub>3</sub>, XO, HO<sub>2</sub>, and RO<sub>2</sub>. The  $\Delta(^{17}\text{O})$   
205 value of the terminal oxygen atom in O<sub>3</sub> (O<sub>3</sub><sup>\*</sup>) is assumed to be (39±2) ‰ based on observations,  
206 while all other oxidants are assumed to be 0 ‰ (Vicars et al., 2012; Vicars and Savarino, 2014;  
207 Alexander et al., 2020).  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  values of nitrate from each production pathway were  
208 then determined using O mass-balance based on the O transfer from varying oxidants involved in  
209 its formation (**Table 1**).

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211 The GEOS-Chem global model has been previously used to quantify nitrate production pathways  
212 based on  $\Delta(^{17}\text{O})$  (Alexander et al., 2009, 2020), but this has not been done for  $\delta(^{18}\text{O})$ . Using a  
213 similar framework as for  $\Delta(^{17}\text{O})$ , we expect that  $\delta(^{18}\text{O})$  of  $\text{NO}_2$  reflects isotopic signatures of both  
214  $\text{O}_3$  and  $\text{O}_2$ , as it has been assumed that the O isotopic composition of  $\text{RO}_2$  and  $\text{HO}_2$  is equal to  $\text{O}_2$   
215 (Michalski et al, 2012; Walters et al., 2018). Accordingly, the values of  $\delta(^{18}\text{O}, \text{NO}_2)$  can be  
216 predicted by the proportional contribution of  $\text{O}_3$  and both  $\text{HO}_2$  and  $\text{RO}_2$  during  $\text{NO}_x$  cycling with  
217 their distinct  $\delta(^{18}\text{O})$  values of  $\text{O}_3$  and  $\text{O}_2$  (Eq. 3; **Table 1**).

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$$219 \delta(^{18}\text{O}, \text{NO}_2) = A(\delta(^{18}\text{O}, \text{O}_3^*)) + (1-A)(\delta(^{18}\text{O}, \text{O}_2)) \quad (\text{Eq.3})$$

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221 The  $\delta(^{18}\text{O})$  values of  $\text{O}_3$  and  $\text{O}_2$  are adopted from previous studies that determined  $\delta(^{18}\text{O}, \text{O}_3^*)$  and  
222  $\delta(^{18}\text{O}, \text{O}_2)$  as 126.3 ‰ and 23 ‰ (Vicars and Savarino, 2014; Kroopnick and Craig, 1972,  
223 respectively). For the calculation of  $\delta(^{18}\text{O})$  of nitrate, the value of  $\delta(^{18}\text{O})$  of  $\text{H}_2\text{O}(\text{l})$  is assumed to  
224 be -6 ‰, which is a typical mid-latitude value and represents water in the liquid phase incorporated  
225 into nitrate formation associated with heterogeneous reactions by  $\text{N}_2\text{O}_5$  or  $\text{NO}_2$  hydrolysis  
226 (Michalski et al, 2012). The  $\delta(^{18}\text{O})$  value of OH can be dependent on both  $\text{O}_3$  and  $\text{H}_2\text{O}(\text{g})$ , as well  
227 as environmental conditions since oxygen in OH can exchange with  $\text{H}_2\text{O}(\text{g})$  (Dubey et al. 1997).  
228 Fractionation factors associated with the O transfer into  $\text{NO}_y$  products are unknown and therefore  
229 were not considered. The  $\delta(^{18}\text{O})$  of  $\text{H}_2\text{O}(\text{g})$  was estimated based on the equilibrium between  $\text{H}_2\text{O}(\text{l})$   
230 and  $\text{H}_2\text{O}(\text{g})$  with a temperature-dependent fractionation factor (1.0094 at 298K); it is assumed that  
231 OH and  $\text{H}_2\text{O}(\text{g})$  exist in isotopic equilibrium, which has a theoretically determined fractionation  
232 factor (1.0371 at 298K) and leads to an estimate of  $\delta(^{18}\text{O}, \text{OH})$  of -43 ‰ (Michalski et al, 2012;  
233 Walters and Michalski, 2016). We note that the typical annual temperature for the northeast US is  
234 approximately 287 K, yielding a  $\delta(^{18}\text{O}, \text{OH})$  of -45 ‰; for comparison purposes with prior  
235 publications, we utilize -43 ‰, which makes little difference in the quantitative results below. In  
236 the final step,  $\delta(^{18}\text{O})$  or  $\Delta(^{17}\text{O})$  of total atmospheric nitrate were calculated based on the monthly-  
237 averaged production rates from GEOS-Chem and the seven major reactions that produce nitrate in  
238 the model (**Table 1**) to compare with observations.

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### 241 **3. Results and Discussion**



### 3.1 Spatiotemporal Variations of Atmospheric Nitrate Concentration

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**Figure 3** shows the monthly averaged spatiotemporal variations of HNO<sub>3</sub> and pNO<sub>3</sub> mass concentration (denoted as  $\gamma$ ). The observed (o) HNO<sub>3</sub> concentrations ( $\gamma_o(\text{HNO}_3)$ ) ranged from 0.11  $\mu\text{g m}^{-3}$  to 0.88  $\mu\text{g m}^{-3}$ , with a mean value of 0.46  $\mu\text{g m}^{-3}$  across the three CASTNET sites. The observed pNO<sub>3</sub> concentrations ( $\gamma_o(\text{pNO}_3)$ ) ranged from 0.04  $\mu\text{g m}^{-3}$  to 2.01  $\mu\text{g m}^{-3}$ , with a mean value of 0.50  $\mu\text{g m}^{-3}$ , and showed clear seasonality at all three sites with averaged higher values (0.75±0.52)  $\mu\text{g m}^{-3}$  in the cold season (October to March) and lower values (0.25±0.17)  $\mu\text{g m}^{-3}$  in the warm season (April to September), which were significantly different ( $p < 0.01$ ). On the other hand,  $\gamma_o(\text{HNO}_3)$  was seasonally invariable with (0.42±0.17)  $\mu\text{g m}^{-3}$  for cold season and (0.50±0.24)  $\mu\text{g m}^{-3}$  for warm season that was not statistically different ( $p > 0.05$ ). Averaged  $\gamma_o(\text{HNO}_3)$  was generally lower than pNO<sub>3</sub> across the sites, but the difference was statistically insignificant ( $p > 0.05$ ). Both  $\gamma_o(\text{HNO}_3)$  and  $\gamma_o(\text{pNO}_3)$  indicated spatial variability with higher values at ABT147 and CTH110 than the WST109 site. The mean annual  $\gamma_o(\text{HNO}_3)$  and  $\gamma_o(\text{pNO}_3)$  were (0.61±0.15)  $\mu\text{g m}^{-3}$  and (0.66±0.34)  $\mu\text{g m}^{-3}$  at ABT147, (0.55±0.13)  $\mu\text{g m}^{-3}$  and (0.68±0.58)  $\mu\text{g m}^{-3}$  at CTH110, and (0.22±0.06)  $\mu\text{g m}^{-3}$  and (0.17±0.13)  $\mu\text{g m}^{-3}$  at WST109, respectively.

The modeled (m) HNO<sub>3</sub> concentrations ( $\gamma_m(\text{HNO}_3)$ ) ranged from 0.20  $\mu\text{g m}^{-3}$  to 2.36  $\mu\text{g m}^{-3}$ , with a mean value of 0.82  $\mu\text{g m}^{-3}$  and modeled pNO<sub>3</sub> concentrations ( $\gamma_m(\text{pNO}_3)$ ) ranged from 0.20  $\mu\text{g m}^{-3}$  to 5.27  $\mu\text{g m}^{-3}$ , with a mean value of 1.89  $\mu\text{g m}^{-3}$ . Contrary to our observed data, no consistent spatial variability was observed for  $\gamma_m(\text{HNO}_3)$  and  $\gamma_m(\text{pNO}_3)$ . The mean  $\gamma_m(\text{HNO}_3)$  and  $\gamma_m(\text{pNO}_3)$  were (1.09±0.62)  $\mu\text{g m}^{-3}$  and (1.73±1.13)  $\mu\text{g m}^{-3}$  at ABT147, (0.74±0.46)  $\mu\text{g m}^{-3}$  and (2.42±1.71)  $\mu\text{g m}^{-3}$  at CTH110, and (0.64±0.22)  $\mu\text{g m}^{-3}$  and (1.52±1.24)  $\mu\text{g m}^{-3}$  at WST109, respectively. However, there are significant seasonal model biases for the HNO<sub>3</sub> and pNO<sub>3</sub>. The model significantly overestimates pNO<sub>3</sub> during the winter (3-9 times) and overestimates HNO<sub>3</sub> during the summer (2-3 times).

As stated above, there can be negative biases for pNO<sub>3</sub> and positive biases for HNO<sub>3</sub> collection, but these should be reduced by comparing the model to total atmospheric nitrate (tNO<sub>3</sub> = HNO<sub>3</sub> + pNO<sub>3</sub>). Still, the simulated tNO<sub>3</sub> concentration ( $\gamma_m(\text{tNO}_3)$ ) with GEOS-Chem is notably

272 overestimated relative to observations ( $\gamma_{\text{o}}(\text{tNO}_3)$ ;  $B = 182\%$ ; **Figure 3**). While the simulated  $\text{pNO}_3$   
273 well reproduce the observed seasonality (high concentrations in the cold season and vice versa), it  
274 highly overestimated the concentrations for most of the year ( $B = 276\%$ ; **Figure 3**). The simulated  
275  $\text{HNO}_3$  did not capture the observed relative lack of seasonality, instead showing clear seasonality  
276 with generally high concentrations in the warm season and low in the cold season. The lack of  
277 agreement between GEOS-Chem and nitrate observations is consistent with previously reported  
278 results in other studies (Heald et al., 2012; Zhang et al., 2012; Walker et al., 2012). Uncertainties  
279 in  $\text{N}_2\text{O}_5$  hydrolysis rate, emission estimates, or dry and wet deposition removal rates have been  
280 suggested as possible causes for predicted nitrate biases. For instance, Luo et al., 2019; 2020  
281 reported dramatic improvement of nitric acid and nitrate biases by updating wet scavenging  
282 parameterization in the GEOS-Chem model; however, this update leads to biases in oxidized  
283 nitrogen wet deposition between model predictions and observations.

284

### 285 **3.2 Oxygen Isotopic Compositions – Oxidation Chemistry and Phase Difference**

286

287 The oxygen isotopic compositions in atmospheric nitrate are used to evaluate  $\text{NO}_x$  oxidation  
288 chemistry and to assess seasonal changes in nitrate formation mechanisms. For the CASTNET  
289 sites, the  $\Delta(^{17}\text{O}, \text{HNO}_3)$  and  $\Delta(^{17}\text{O}, \text{pNO}_3)$  values ranged from 12.9 ‰ to 30.9 ‰ and from 16.6 ‰  
290 to 33.7 ‰, with a mean value of  $(22.7 \pm 3.6)\%$  and  $(27.1 \pm 3.8)\%$ , respectively (**Figure 4**). The  
291  $\delta(^{18}\text{O}, \text{HNO}_3)$  and  $\delta(^{18}\text{O}, \text{pNO}_3)$  values ranged from 46.9 ‰ to 82.1 ‰ and from 43.6 ‰ to 85.3  
292 ‰, with a mean value of  $(68.1 \pm 7.1)\%$  and  $(68.2 \pm 8.3)\%$ , respectively (**Figure 5**). These  
293 observations are in the range of previously reported values in polluted mid-latitudes:  $\delta(^{18}\text{O}, \text{HNO}_3)$   
294 and  $\delta(^{18}\text{O}, \text{pNO}_3)$  in CASTNET sites in Ohio, Pennsylvania, and New York from April 2004 to  
295 March 2005 ranged from 51.6 ‰ to 94.0 ‰ and from 45.2 ‰ to 92.7 ‰ (Elliott et al., 2009),  
296 respectively. They are also consistent with observations of polluted air masses in Canada from  
297 September 2010 to January 2014, which were from 62.4 to 81.7 ‰ for  $\delta(^{18}\text{O}, \text{HNO}_3)$ , from 19.3  
298 to 29.0 ‰ for  $\Delta(^{17}\text{O}, \text{HNO}_3)$ , from 48.4 to 83.2 ‰ for  $\delta(^{18}\text{O}, \text{pNO}_3)$ , and from 13.8 to 30.5 ‰ for  
299  $\Delta(^{17}\text{O}, \text{pNO}_3)$  (Savard et al., 2018).

300

301 Previous studies and modeling results have indicated that the seasonality of oxygen isotopic  
302 compositions in  $\text{HNO}_3$  and  $\text{pNO}_3$  is driven by a shift in oxidation chemistry (e.g., Hastings et al.,

2003; Michalski et al, 2012; Alexander et al., 2009; 2020). Globally, the seasonality reflects a shift  
in  $O_3$  to  $HO_x$  radical chemistry during winter to summer, respectively. Wintertime has higher  $NO$   
 $+ O_3$  branching ratios than summer, which has increased  $NO + RO_2/HO_2$ . The high values of  $\delta(^{18}O)$   
and  $\Delta(^{17}O)$  in  $HNO_3$  and  $pNO_3$  during the cold season are caused by the increased incorporation  
of  $O_3$  into the nitrate product through  $N_2O_5$  heterogeneous hydrolysis on aerosols (Figures 4 and  
5). In contrast, the dominance of gas-phase production by the  $NO_2 + OH$  reaction dilutes the  
isotopic influence of  $O_3$  during warm seasons leading to the low values of  $\delta(^{18}O)$  and  $\Delta(^{17}O)$  in  
 $HNO_3$  and  $pNO_3$ . Spatial variability is observed in  $\delta(^{18}O)$  and  $\Delta(^{17}O)$  of  $HNO_3$ , with highest values  
at CTH110 ( $\delta(^{18}O)$ :  $(71.5 \pm 5.6) \text{‰}$  ( $n = 24$ ) and  $\Delta(^{17}O)$ :  $(25.0 \pm 3.1) \text{‰}$  ( $n = 23$ )) followed by  
ABT147 ( $\delta(^{18}O)$ :  $(70.1 \pm 4.8) \text{‰}$  ( $n = 24$ ) and  $\Delta(^{17}O)$ :  $(23.1 \pm 2.2) \text{‰}$  ( $n = 24$ )) and WST109 ( $\delta(^{18}O)$ :  
 $(62.8 \pm 7.7) \text{‰}$  ( $n = 24$ ) and  $\Delta(^{17}O)$ :  $(20.2 \pm 3.7) \text{‰}$  ( $n = 24$ )). However,  $\delta(^{18}O)$  and  $\Delta(^{17}O)$  of  $pNO_3$   
were not significantly different across the stations: for ABT147 ( $\delta(^{18}O)$ :  $(68.6 \pm 7.1) \text{‰}$  ( $n = 24$ )  
and  $\Delta(^{17}O)$ :  $(26.4 \pm 3.6) \text{‰}$  ( $n = 22$ )); CTH110 ( $\delta(^{18}O)$ :  $(69.1 \pm 8.9) \text{‰}$  ( $n = 24$ ) and  $\Delta(^{17}O)$ :  $(26.8 \pm 4.1)$   
 $\text{‰}$  ( $n = 19$ )); and WST109 ( $\delta(^{18}O)$ :  $(66.8 \pm 8.7) \text{‰}$  ( $n = 24$ ) and  $\Delta(^{17}O)$ :  $(29.4 \pm 2.9) \text{‰}$  ( $n = 10$ )).

Our observations indicate a significant phase-dependent difference in oxidation chemistry between  
 $HNO_3$  and  $pNO_3$  that is unexpected (Figure 6). Many modeled mechanisms of gas- and aqueous-  
phase chemistry produce  $HNO_3$ , then  $HNO_3$  is partitioned into the aerosol phase based on  
thermodynamic equilibrium (i.e.,  $NH_4NO_3(s) \rightleftharpoons HNO_3(g) + NH_3(g)$ ) or coarse uptake.  
Conventional understanding would expect  $\Delta(^{17}O)$  of  $HNO_3$  and  $pNO_3$  to be the same (e.g.,  
Alexander., 2020). However, observed  $\Delta(^{17}O, pNO_3)$  tends to be significantly higher than  $\Delta(^{17}O,$   
 $HNO_3)$  ( $p < 0.01$  at ABT and CTH,  $p = 0.088$  at WST). The difference between  $\Delta(^{17}O, pNO_3)$  and  
 $\Delta(^{17}O, HNO_3)$  was larger in the cold season than in the warm season. For example, on average,  
 $\Delta(^{17}O, pNO_3)$  was  $(5.1 \pm 2.6 \text{‰})$  higher than  $\Delta(^{17}O, HNO_3)$  during the cold months, while  $\Delta(^{17}O,$   
 $pNO_3)$  was  $(2.7 \pm 4.7 \text{‰})$  higher than  $\Delta(^{17}O, HNO_3)$  during warm months. This phase difference in  
 $\Delta(^{17}O)$  cannot be explained by potential sample biases caused by volatilization, which leads to  
mass-dependent fractionation. This difference might be related to the differences in particulate  
nitrate size-dependent production pathways. Previous studies of size-segregated  $\Delta(^{17}O, pNO_3)$   
indicated higher values for coarse  $pNO_3$  (aerodynamic diameter ( $D_a$ )  $> 0.95 \mu m$ ) relative to fine  
 $pNO_3$  ( $D_a < 0.95 \mu m$ ) that was concluded to reflect the increased importance of heterogeneous  
 $N_2O_5$  hydrolysis on coarse particles relative to fine particles (Vicars et al., 2013). The CASTNET

334 pNO<sub>3</sub> samples reflect total suspended particles (TSP) such that increased importance of N<sub>2</sub>O<sub>5</sub>  
335 heterogeneous chemistry for coarse particulate nitrate formation could explain the higher  $\Delta(^{17}\text{O},$   
336 pNO<sub>3</sub>) values we observe relative to  $\Delta(^{17}\text{O}, \text{HNO}_3)$ .

337  
338 Positive linear relationships between  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  were observed for HNO<sub>3</sub> and pNO<sub>3</sub> across  
339 the CASTNET sites, with similar slopes but different oxygen isotopic signatures indicated by  
340 different intercepts (Figure 6). For the relationship of  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ , the high end-member  
341 should result from O<sub>3</sub>, and the lower end-member depends on the isotopic signature of the  
342 atmospheric oxidants involved. The transferable  $\delta(^{18}\text{O})$  signatures of atmospheric oxidants are not  
343 fully understood yet, reflecting a complex combination of atmospheric oxidant source signatures  
344 and isotope fractionation during reaction and incorporation into the nitrate end-product. While  
345 ozone has a notably high  $\Delta(^{17}\text{O})$  value ((39±2) ‰; Vicars and Savarino, 2014),  $\Delta(^{17}\text{O})$  values of  
346 other atmospheric oxidants such as O<sub>2</sub>/RO<sub>2</sub>/HO<sub>2</sub>, H<sub>2</sub>O and OH are equal to or close to 0 ‰  
347 (Michalski et al., 2012; Walters et al., 2019). Overall, our results suggest more O<sub>3</sub> is incorporated  
348 during the formation of pNO<sub>3</sub> than HNO<sub>3</sub>. We further analyze the oxidation chemistry involved in  
349 atmospheric nitrate formation based on output from the GEOS-Chem chemical transport model.

350

### 351 3.3 Quantifying atmospheric nitrate oxidation chemistry using $\Delta(^{17}\text{O})$

352 Observations of the oxygen isotopic composition were utilized to quantify the relative importance  
353 of different nitrate formation pathways and to assess model representation of the chemistry of  
354 nitrate formation. Using atmospheric nitrate production rates from the GEOS-Chem model (“base  
355 case”),  $\Delta(^{17}\text{O})$  was calculated within a grid cell corresponding to our CASTNET sites and  
356 compared with observed  $\Delta(^{17}\text{O}, \text{HNO}_3, \text{pNO}_3)$  at each site (Figure 4). We note that the previous  
357  $\Delta(^{17}\text{O})$  GEOS-Chem framework tags NO<sub>2</sub> and HNO<sub>3</sub> production and assumes that pNO<sub>3</sub>  
358 production is similar to HNO<sub>3</sub> due to thermodynamic equilibrium. Thus, we compared the  
359 simulated  $\Delta(^{17}\text{O}, \text{HNO}_3)$  from GEOS-Chem to our  $\Delta(^{17}\text{O}, \text{HNO}_3)$  and  $\Delta(^{17}\text{O}, \text{pNO}_3)$  observations.  
360 The averaged residuals over the collection period for each site were 3.9 ‰, 2.8 ‰, and 5.6 ‰ for  
361  $\Delta(^{17}\text{O}, \text{HNO}_3)$ , and 1.5 ‰, 1.7 ‰, and 6.1 ‰ for  $\Delta(^{17}\text{O}, \text{pNO}_3)$  at ABT147, CTH110, and WST109,  
362 respectively (Figure 4). Calculated  $\Delta(^{17}\text{O})$  based on GEOS-Chem output reproduced the observed  
363 temporal variations well (Figure 4), although the model better captured the lower observed  $\Delta(^{17}\text{O})$   
364 during warmer months versus the higher values observed in the cooler months (Table 2). The

365 GEOS-Chem model **also** does not capture observed spatial  $\Delta(^{17}\text{O})$  variabilities. For instance,  
366 higher  $\Delta(^{17}\text{O})$  values (especially for  $\text{HNO}_3$ ) were observed at CTH110 compared to WST109;  
367 while no significant spatial  $\Delta(^{17}\text{O})$  differences **were** predicted from GEOS-Chem. The model  
368 prediction was sensitive to the type of nitrate; the calculated  $\Delta(^{17}\text{O})$  showed a better agreement  
369 with observed  $\Delta(^{17}\text{O})$  of  $\text{pNO}_3$  ( $y = 0.55x + 12.62$  ( $R^2 = 0.48$ )) than  $\Delta(^{17}\text{O})$  of  $\text{HNO}_3$  ( $y = 0.46x +$   
370  $10.68$  ( $R^2 = 0.44$ )) at all CASTNET sites ( $B = -2\%$  and  $15\%$ , respectively) (**Figure 7**).

371  
372 **Several** studies have used  $\Delta(^{17}\text{O})$  to quantify and/or constrain modeled chemical mechanisms. **Here,**  
373 **GEOS-Chem nitrate production rates and thus calculated  $\Delta(^{17}\text{O})$  were optimized to find the lowest**  
374 **residual sum of squares between the calculated and observed  $\Delta(^{17}\text{O})$ . This optimization algorithm**  
375 **constrains the relative rates of nitrate formation pathways simulated by GEOS-Chem.**  
376 **Additionally, the optimization was conducted for  $\text{HNO}_3$  and  $\text{pNO}_3$  separately.** Calculated  $\Delta(^{17}\text{O})$   
377 from the base GEOS-Chem model was generally 1.15 times higher than observed  $\Delta(^{17}\text{O}, \text{HNO}_3)$   
378 and 0.98 times lower than  $\Delta(^{17}\text{O}, \text{pNO}_3)$  across all CASTNET sites. After optimization, the  
379 residuals between observed and calculated  $\Delta(^{17}\text{O})$  dramatically decreased (**Figure 4**), **especially in**  
380 **the cold season (Table 2).** **Moreover,** the linear relationships had slopes much closer to the 1:1 line  
381 (i.e., from 0.46 to 1.03 for  $\text{HNO}_3$  and from 0.55 to 0.78 for  $\text{pNO}_3$ ; **Figure 7**) than the base GEOS-  
382 Chem model across the three CASTNET site (the relationships for each site before and after  
383 optimization are shown in **Figure 7**). On a subannual basis, the  $\Delta(^{17}\text{O})$  comparison for the cold  
384 season showed better improvement than the warm season, especially for  $\Delta(^{17}\text{O}, \text{HNO}_3)$ .

385  
386 The dominant annual pathway for nitrate formation in the GEOS-Chem model (“base case”) was  
387  $\text{N}_2\text{O}_5$  hydrolysis, which accounts for 50 % (**Figure 8**), followed by  $\text{NO}_2 + \text{OH}$  (31 %) and  $\text{RONO}_2$   
388 hydrolysis (13 %) across all CASTNET sites. Nitrate production via the reaction of  $\text{XNO}_3$   
389 hydrolysis and  $\text{NO}_3 + \text{HC}$  was small ( $< 1\%$ ) at all sites. Strong seasonality in nitrate production  
390 was observed, as expected, with high portions of  $\text{N}_2\text{O}_5$  hydrolysis in winter and  $\text{NO}_2 + \text{OH}$  in  
391 summer (**Figure 8**).

392  
393 After optimization, the dominant pathway for nitrate formation in GEOS-Chem changed compared  
394 to the base case. For  $\Delta(^{17}\text{O}, \text{pNO}_3)$ ,  $\text{NO}_2 + \text{OH}$  (60 %) was the dominant pathway for nitrate  
395 formation in the optimized GEOS-Chem calculation, followed by  $\text{N}_2\text{O}_5$  hydrolysis (31 %) and

396 NO<sub>3</sub> hydrolysis (4 %) (Figure 8). At the same time,  $\Delta(^{17}\text{O}, \text{HNO}_3)$  was almost entirely driven by  
397 NO<sub>2</sub> + OH reaction (98 %) in the optimized GEOS-Chem case (Figure 8). The optimized GEOS-  
398 Chem calculations suggest that the fraction of nitrate produced by N<sub>2</sub>O<sub>5</sub> hydrolysis was  
399 significantly overestimated in the GEOS-Chem base case. In the base case, N<sub>2</sub>O<sub>5</sub> hydrolysis  
400 dominated nitrate production, especially in the cold season with a fraction of over 68 % at all  
401 CASTNET sites (Figure 8). This may also partly explain major nitrate concentration overestimates,  
402 particularly in the cold season.

403

### 404 3.4 Modeling $\delta(^{18}\text{O})$ of Atmospheric Nitrate

405 The GEOS-Chem model  $\delta(^{18}\text{O})$  was also calculated in the same manner as  $\Delta(^{17}\text{O})$  (Figure 5).  
406 Unlike the calculated  $\Delta(^{17}\text{O})$ , calculated  $\delta(^{18}\text{O})$  showed remarkably positive biases compared with  
407 measured  $\delta(^{18}\text{O})$  of HNO<sub>3</sub> ( $B = 22$  %) and pNO<sub>3</sub> ( $B = 21$  %). The averaged residuals for  $\delta(^{18}\text{O},$   
408 HNO<sub>3</sub>) at each site were 13.9 ‰, 12.9 ‰, and 19.6 ‰, and for  $\delta(^{18}\text{O}, \text{pNO}_3)$  were 15.4 ‰, 14.2  
409 ‰, and 18.2 ‰ at ABT147, CTH110, and WST109, respectively (Figure 5, Table 2). Modeling  
410 the  $\delta(^{18}\text{O})$  values of nitrate is more challenging than  $\Delta(^{17}\text{O})$  because not all oxidant  $\delta(^{18}\text{O})$  values  
411 have been directly observed and fractionation factors associated with the O transfer into NO<sub>y</sub>  
412 products are unknown. Uncertainty in  $\delta(^{18}\text{O})$  values could be a major factor causing disagreement  
413 between observed and calculated  $\delta(^{18}\text{O})$ . Additionally, [uncertainties in the gas-phase and aerosol  
414 scheme related to tNO<sub>3</sub> production](#) in GEOS-Chem could account for the discrepancy. [Still, as  
415 with  \$\Delta\(^{17}\text{O}\)\$ , the calculated  \$\delta\(^{18}\text{O}\)\$  showed far more disagreement with observations during cooler  
416 months than warmer months \(Table 2\).](#)

417

418 Newly optimized nitrate production in GEOS-Chem was also applied to  $\delta(^{18}\text{O})$  calculation and  
419 compared with previous results. As with  $\Delta(^{17}\text{O})$ , a slope of the regression line between (optimized)  
420 calculated and observed  $\delta(^{18}\text{O})$  became closer to 1 (i.e., from 0.30 to 0.74 for HNO<sub>3</sub> and from 0.39  
421 to 0.49 for pNO<sub>3</sub>; Figure 9) and residuals improved at each site after the optimization (Figure 5).  
422 Overall, the optimized GEOS-Chem  $\delta(^{18}\text{O})$  calculation showed better agreement than the base  
423 GEOS-Chem model ( $B = -6$  % for  $\delta(^{18}\text{O}, \text{HNO}_3)$  and  $B = 13$  % for  $\delta(^{18}\text{O}, \text{pNO}_3)$ ). [The most  
424 significant improvement, as shown by the residuals, is during the cold season.](#)

425

### 426 3.5 $\delta(^{18}\text{O})$ Optimization of Atmospheric Oxidants

427 After optimization of relative nitrate production rates in GEOS-Chem based on  $\Delta(^{17}\text{O})$ , we applied  
428 the optimized chemical production to calculate  $\delta(^{18}\text{O})$ , but still observed discrepancy between  
429 observed and predicted  $\delta(^{18}\text{O})$ . The discrepancy could be related to variable and somewhat  
430 unconstrained  $\delta(^{18}\text{O})$  values of atmospheric oxidants important for nitrate formation. To test this,  
431 the assumed (literature)  $\delta(^{18}\text{O})$  values of oxidants were optimized by selecting the best linear fit  
432 between the observations and calculated  $\delta(^{18}\text{O}, \text{HNO}_3 \text{ and pNO}_3)$  (**Figure 10**). After optimization  
433 for  $\delta(^{18}\text{O})$  of oxidants, the discrepancy between observation and calculation was **dramatically**  
434 reduced (**Figure 9**) with a decrease in  $B$  from 21 to 1 %. The optimization predicted  $\delta(^{18}\text{O})$  of  $\text{H}_2\text{O}$   
435 values similar to what was expected (-6.5 ‰ vs. -6.0 ‰), however different values were predicted  
436 for  $\delta(^{18}\text{O})$  of  $\text{O}_2$ ,  $\text{OH}$ , and  $\text{O}_3$  (**Table 3**). A typical mid-latitude value (-6 ‰) of  $\delta(^{18}\text{O}, \text{H}_2\text{O})$  was  
437 selected in this study. We note that the  $\delta(^{18}\text{O}, \text{H}_2\text{O})$  will vary seasonally; however, the calculated  
438  $\delta(^{18}\text{O})$  value of nitrate was insensitive to this value because of the relatively minor role that  $\text{H}_2\text{O}$   
439 contributes to O atoms of atmospheric nitrate. For  $\delta(^{18}\text{O})$  of  $\text{O}_2$ ,  $\text{OH}$ , and  $\text{O}_3$ , it is possible that this  
440 reflects isotope effects associated with the incorporation of these oxidants during nitrate  
441 production, rather than further issues with model chemistry since the relative production rates here  
442 are constrained based on  $\Delta(^{17}\text{O})$ . The  $\delta(^{18}\text{O}, \text{O}_2)$  was the best fit with calculated  $\delta(^{18}\text{O})$  of nitrate  
443 values when assuming a value of 11.1 ‰ (vs. the well-known 23 ‰). Since atmospheric  $\text{O}_2$  is  
444 incorporated into nitrate via NO oxidation by  $\text{HO}_2$  and  $\text{RO}_2$  radicals, it was assumed that the  $\delta(^{18}\text{O})$   
445 value of  $\text{RO}_2$  and  $\text{HO}_2$  is equal to  $\text{O}_2$  such that this does not consider any potential isotope effects  
446 associated with  $\text{HO}_2$  and  $\text{RO}_2$  formation and reaction with NO. The optimized value of 11 ‰  
447 reflects the O atom derived from  $\text{RO}_2/\text{HO}_2$  reactions incorporated into  $\text{NO}_2$ . Thus, our optimized  
448 value might suggest that  $\text{RO}_2/\text{HO}_2$  singly substituted with  $^{18}\text{O}$  (e.g.,  $\text{R}^{18}\text{O}^{16}\text{O}$ ,  $\text{H}^{18}\text{O}^{16}\text{O}$ ) reacts  
449 slower than the  $^{16}\text{O}$  isotopologues (e.g.,  $\text{R}^{16}\text{O}_2$  or  $\text{H}^{16}\text{O}_2$ ).

450  
451 The observed  $\delta(^{18}\text{O})$  of  $\text{O}_3$  and  $\text{OH}$  for the CASTNET samples were the best fit with calculated  
452  $\delta(^{18}\text{O})$  when assuming values of 89.9 ‰ for  $\delta(^{18}\text{O}, \text{O}_3)$  and 42.2 ‰ for  $\delta(^{18}\text{O}, \text{OH})$ , respectively.  
453 In the previous section, we noted that  $\text{NO}_2 + \text{OH}$  and  $\text{N}_2\text{O}_5$  hydrolysis reactions were the dominant  
454 pathways for nitrate formation, indicating that  $\text{OH}$  and  $\text{O}_3$  play an important role in determining  
455 the  $\delta(^{18}\text{O})$  value in nitrate. Indeed, optimizing  $\delta(^{18}\text{O})$  values to find the best agreement between  
456 observation and calculation is largely dependent on  $\delta(^{18}\text{O})$  values of  $\text{O}_3$  and  $\text{OH}$  (see also **Table**  
457 **3**). The optimized  $\delta(^{18}\text{O}, \text{O}_3^*)$  value (89.9 ‰) was lower than the average reported  $\delta(^{18}\text{O}, \text{O}_3^*)$

458 ((~126±12) ‰; Vicars and Savarino, 2014), though the  $\delta(^{18}\text{O}, \text{O}_3)$  is known to vary with  
459 temperature and pressure, and could also potentially be fractionated during reactions  
460 (Brenninkmeijer et al., 2004). For example, Walters and Michalski (2016) calculated an isotopic  
461 enrichment factor near -20 ‰ associated with  $\text{O}_3$  transfer in its reaction with NO, which would  
462 lower the transferable  $\delta(^{18}\text{O})$  of  $\text{O}_3$ , consistent with our predictions. The isotope effect for NO +  
463  $\text{O}_3$  reaction is the only one currently known; in other words, no other  $\delta(^{18}\text{O})$  isotope effects  
464 associated with  $\text{O}_3$  reaction with  $\text{NO}_y$  (e.g.,  $\text{NO}_2 + \text{O}_3$ ) have been calculated. Further, there is  
465 potential for equilibrium isotope effects between  $\text{N}_2\text{O}_5$ ,  $\text{NO}_2$ , and  $\text{NO}_3$  that could also impart a  
466 mass-dependent  $\delta(^{18}\text{O})$  fractionation. While difficult to pinpoint the exact isotope effects occurring,  
467 our optimized value predicts an elevated  $\delta(^{18}\text{O})$  value derived from  $\text{O}_3$  compared to the other  
468 oxidants, consistent with our expectation.

469  
470 For  $\delta(^{18}\text{O}, \text{OH})$ , the optimized value dramatically increased compared to the initial assumed value  
471 (-43.0 ‰). The initial  $\delta(^{18}\text{O}, \text{OH})$  value is based on several assumptions that may not be correct  
472 regarding isotope exchange with  $\text{H}_2\text{O}(\text{g})$ . Additionally, Fang et al. (2021) suggested that  $\delta(^{15}\text{N})$  of  
473 nitrate is largely controlled by an isotope effect in the  $\text{NO}_2 + \text{OH}$  pathway, and it could be  
474 conceivable that  $\delta(^{18}\text{O})$  may be affected by a similar isotope effect as well. Overall, the  
475 optimization of  $\delta(^{18}\text{O}, \text{OH})$  is highly dependent on the  $\delta(^{18}\text{O}, \text{O}_3^*)$  (see **Table 3**), which makes  
476 sense given the proportional control of the  $\text{NO}_2 + \text{OH}$  and  $\text{N}_2\text{O}_5$  hydrolysis reactions. Despite the  
477 uncertainty in the transferrable  $\delta(^{18}\text{O})$  from major oxidants, the comparison between predicted and  
478 observed  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  both suggest a larger relative importance of  $\text{NO}_2 + \text{OH}$  chemistry than  
479 reflected in the model simulations. We note here that this finding is consistent with our companion  
480 study (acp-2022-621) of  $\delta(^{15}\text{N}, \text{HNO}_3)$  and  $\delta(^{15}\text{N}, \text{pNO}_3)$  as well.

481  
482 Overall, the observed differences in the oxygen isotopic composition of  $\text{HNO}_3$  and  $\text{pNO}_3$ , the  
483 observed relationships of  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  in the different nitrate phases, and the significant  
484 mismatch with the global model base case challenge our current representation of nitrate chemistry  
485 in atmospheric chemistry models. Generally, the GEOS-Chem  $\Delta(^{17}\text{O})$  simulations were biased  
486 high relative to observations, indicating the over-incorporation of  $\text{O}_3$  during nitrate formation. The  
487 largest discrepancies in the model-observation comparisons, particularly for  $\text{pNO}_3$ , occur in winter.  
488 Our optimized chemistry, constrained by the observed  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ , suggested that the



489 heterogenous production of nitrate via  $\text{N}_2\text{O}_5$  chemistry is currently significantly overestimated.  
490 While our focus is on the northeastern US, an area of important environmental change due to  
491 regulated emissions reductions, this finding has implications for the global modeling of  
492 atmospheric nitrate and oxidation chemistry.

493

#### 494 **4. Conclusions**

495

496 Using a combination of concentration and isotopic analyses, we evaluated atmospheric nitrate  
497 formation pathways in the northeastern US in 2017–2018. The GEOS-Chem model showed large  
498 positive biases for  $\text{HNO}_3$  and  $\text{pNO}_3$  concentrations, an important issue that is common in  
499 atmospheric chemistry models. The observed oxygen isotopic compositions ( $\Delta(^{17}\text{O})$  and  $\delta(^{18}\text{O})$ )  
500 revealed a more important relative role of  $\text{NO}_2+\text{OH}$  chemistry and indicated that the model  
501 chemistry overpredicted heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  for atmospheric nitrate in the  
502 northeastern US. We also observed nitrate-phase differences in  $\Delta(^{17}\text{O})$  and  $\delta(^{18}\text{O})$ , which are not  
503 captured in current models. Further investigation of size-segregated nitrate chemistry is  
504 recommended to improve model prediction of nitrate formation.

505

506 Additionally, this finding has important implications for predicting oxidation chemistry in the  
507 atmosphere. For instance, the production of nitrate via heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$   
508 represents a radical termination process, such that a much-reduced importance of this reaction  
509 could yield more radical chemistry with an impact on oxidant concentrations. Indeed, an important  
510 mechanism for converting  $\text{NO}_x$  to atmospheric nitrate could affect controlling the oxidizing  
511 efficiency, which directly influences the atmospheric oxidation budget and many atmospheric  
512 pollutants' (notably greenhouse gases) lifetime in the atmosphere. Thus, better constraining their  
513 chemistries and feedbacks is crucial to understanding atmospheric nitrate production pathways and  
514 its connection to atmospheric oxidation chemistry.

515

516 Traditionally,  $\Delta(^{17}\text{O})$  has been used to quantitatively assess nitrate production pathways. The use  
517 of  $\delta(^{18}\text{O})$  as well can enhance our understanding of the oxidants contributing to nitrate formation,  
518 particularly for distinguishing oxidants that have similar  $\Delta(^{17}\text{O})$  values (i.e., all are near 0 ‰ except  
519 ozone). However, our study also observed a discrepancy between observed and calculated  $\delta(^{18}\text{O})$

520 values, even after accounting for an optimized chemical production based on  $\Delta(^{17}\text{O})$ . The best  
521 match of the observations suggests that the transferrable  $\delta(^{18}\text{O})$  values of oxidants may vary more  
522 than is currently suggested in the literature. **Improved** constraints, particularly on the isotopic  
523 composition of OH and variability in  $\delta(^{18}\text{O}, \text{O}_3)$  would add **critical** value to modeling and  
524 interpretation of major oxidation chemistry in the atmosphere.

525

526 **Data Availability.** Data presented in this article are available on the Harvard Dataverse at  
527 <https://doi.org/10.7910/DVN/X6BB1I>, US EPA CASTNET database.

528

529 **Author Contributions.** HK, WWW, MGH designed the varying aspects of the study. CB and  
530 WWW carried out the laboratory measurements. HK interpreted data, conducted statistical  
531 analysis, and analyzed model results. LTM contributed GEOS-Chem simulations. HK and WWW  
532 prepared the article with contributions from all co-authors.

533

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537

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539

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## 545 **Reference**

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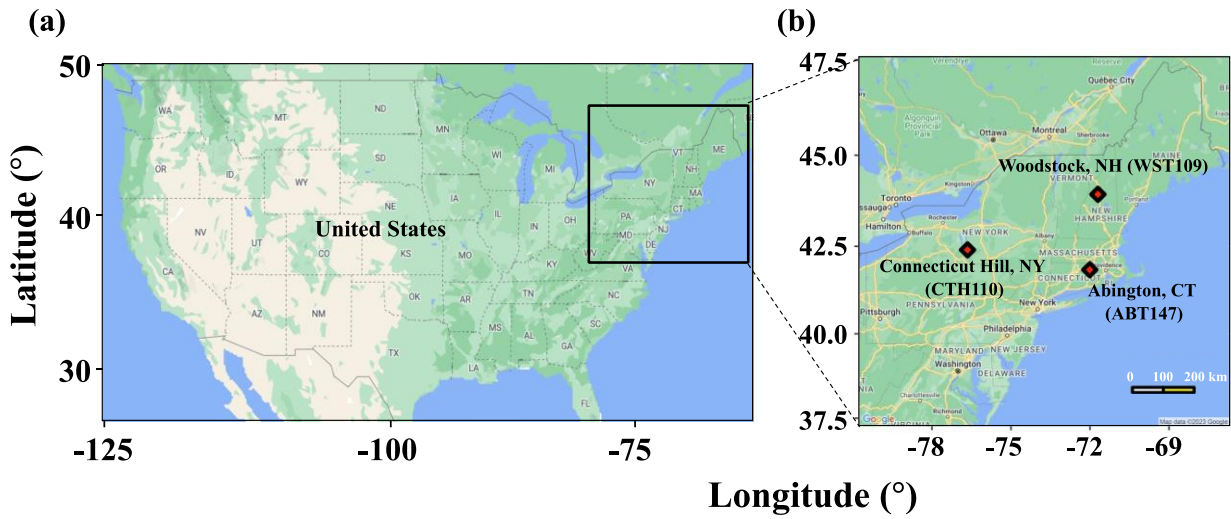
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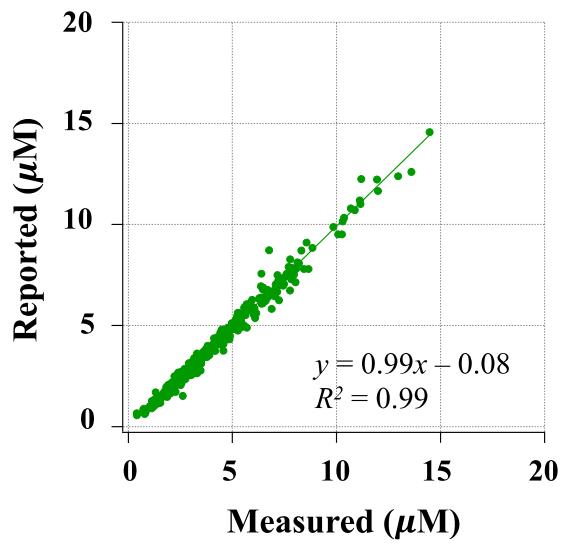




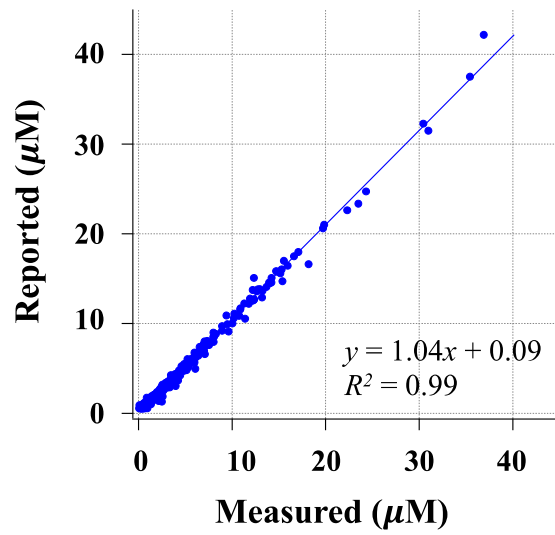
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 786 **Figure 1. Map of the United States (a) and selected CASTNET sites (b) for this study in the**  
 787 **northeastern US. Major cities (urban areas), transportation routes, and CASTNET sites are**  
 788 **also indicated in (b). The image was created using Google Earth (©2023 Google).**

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(a) HNO<sub>3</sub>



(b) pNO<sub>3</sub>



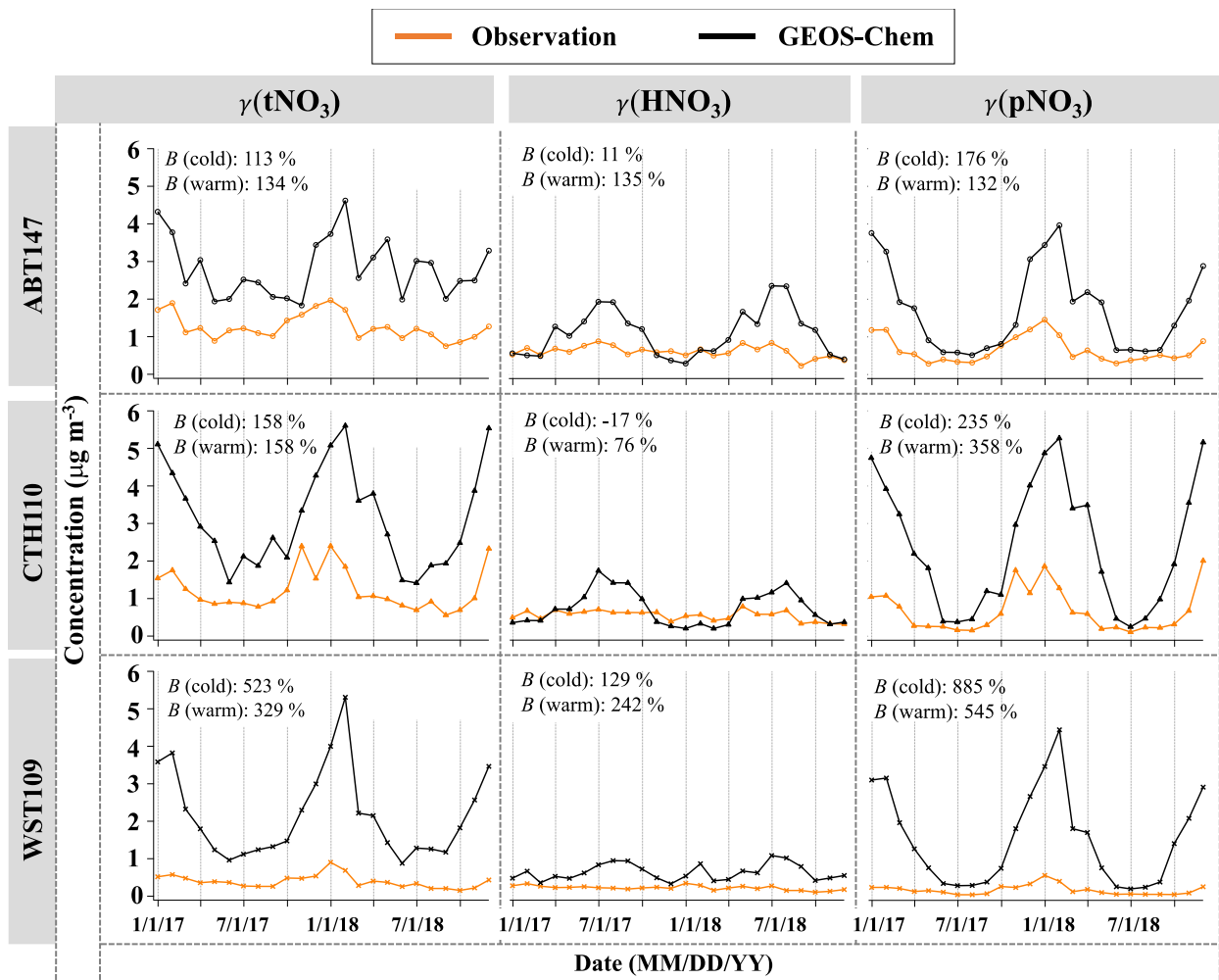
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801 **Figure 2. Relationship of HNO<sub>3</sub> (a) and pNO<sub>3</sub> (b) filter extract concentrations reported by**  
802 **CASTNET and re-measured at Brown University.**

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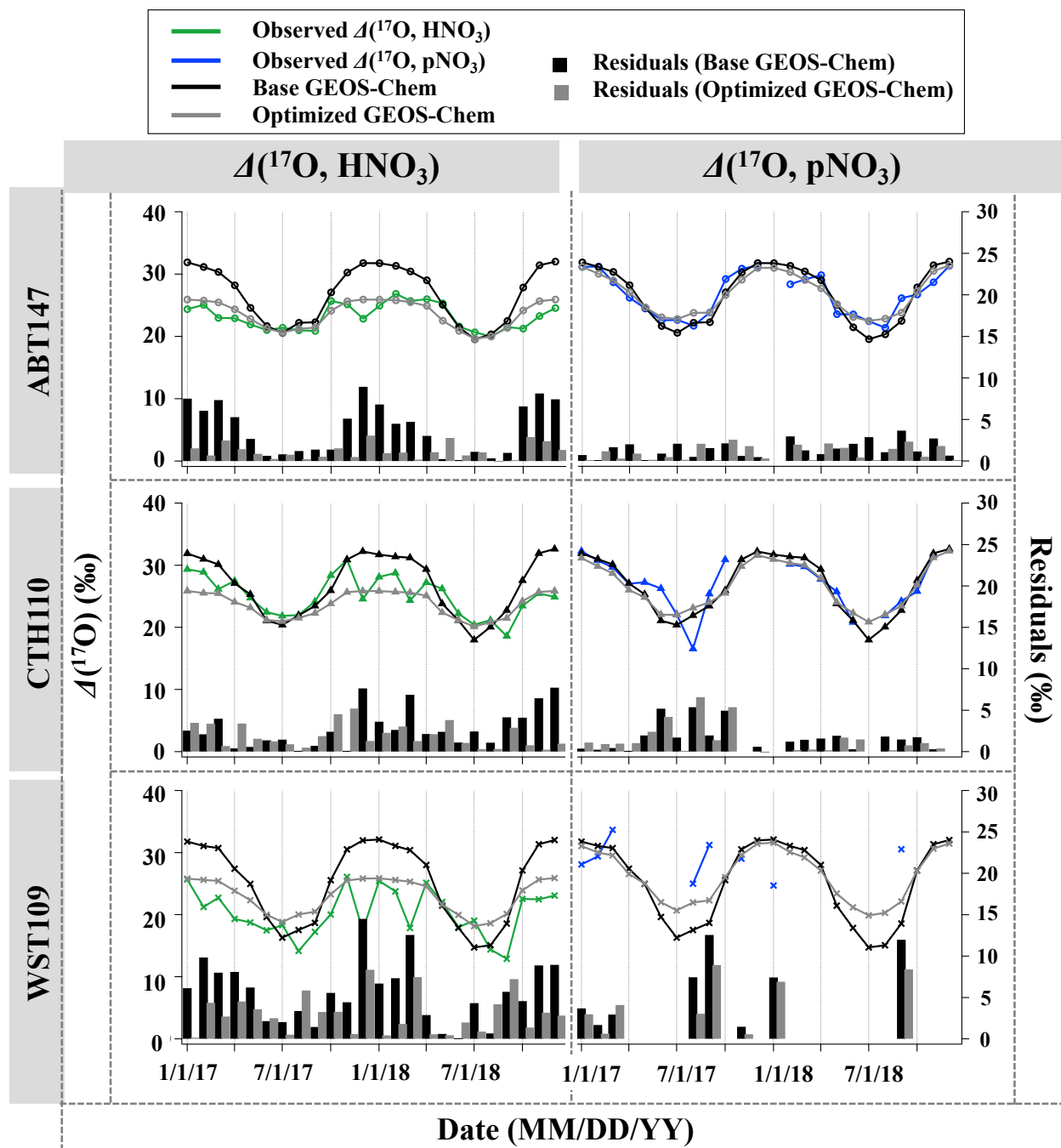
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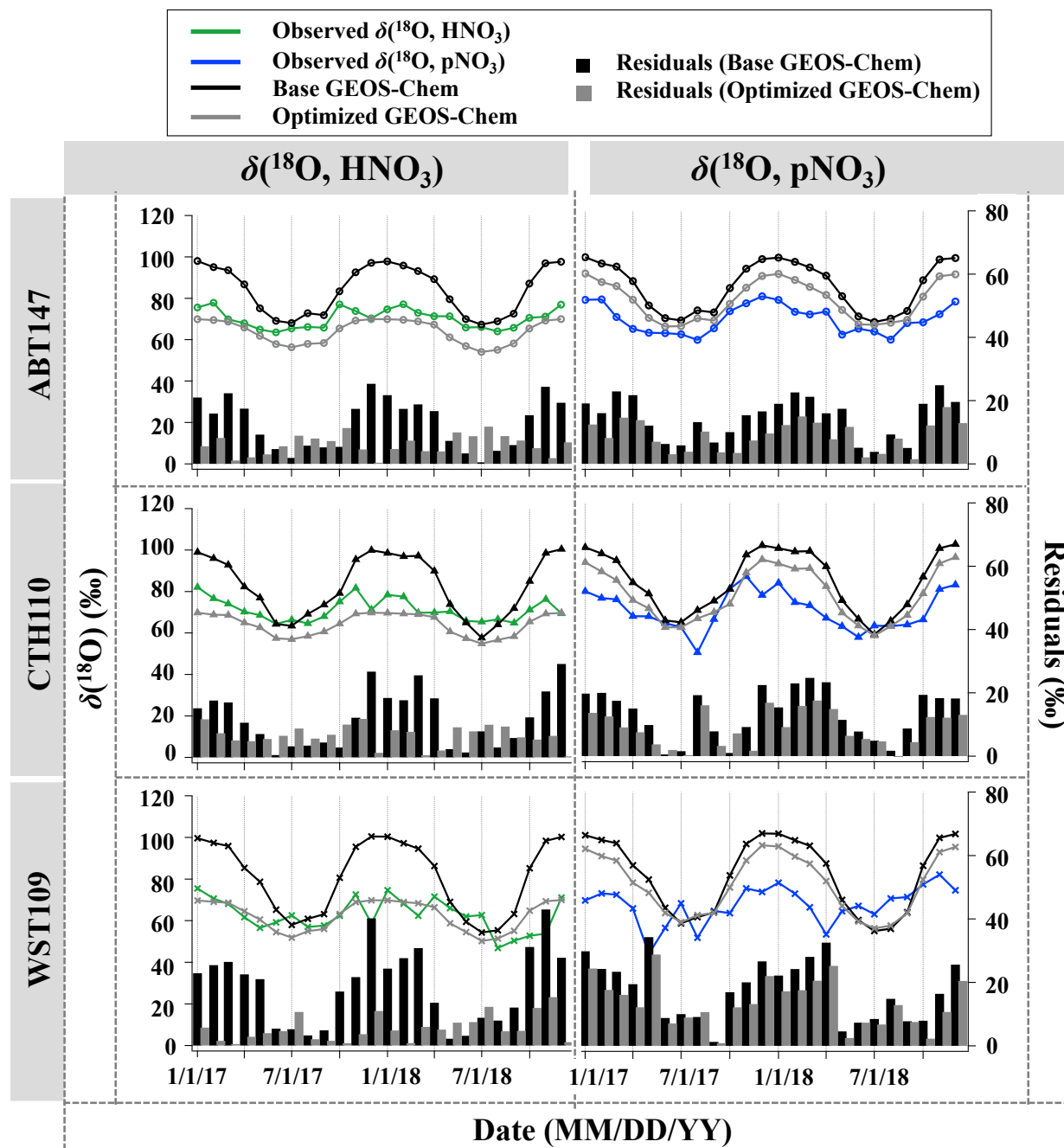


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 807 **Figure 3. Time series of monthly mean total nitrate, HNO<sub>3</sub>, and pNO<sub>3</sub> concentrations ( $\gamma$ )**  
 808 **observed and simulated at ABT147, CTH110, and WST109 CASTNET sites.  $B$  refers to the**  
 809 **normalized mean bias for comparison of the model to observations (see section 2.3 in**  
 810 **Methods).**

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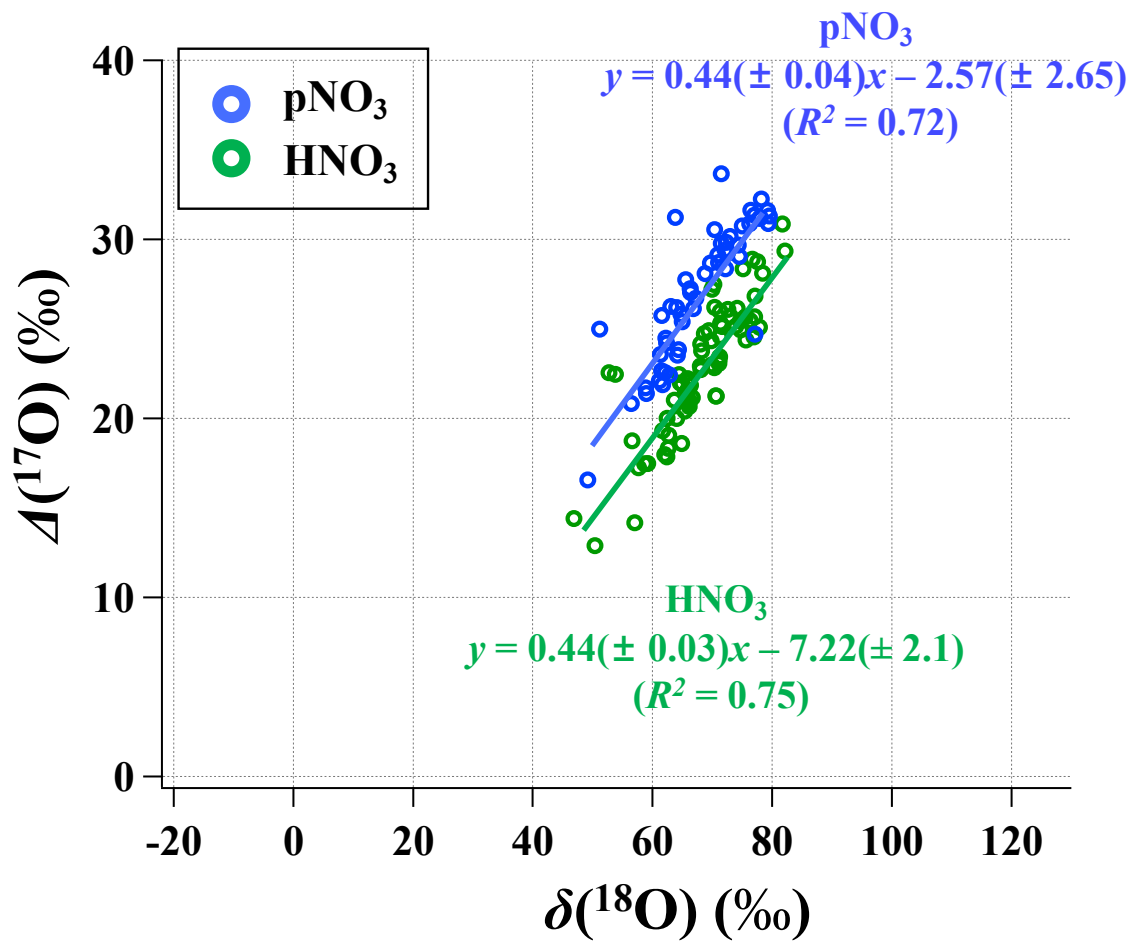


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 821 **Figure 4. Time series of the monthly mean for observed and calculated  $\Delta(^{17}\text{O})$  for  $\text{HNO}_3$  and**  
 822  **$\text{pNO}_3$  over ABT147, CTH110, and WST109 CASTNET sites. Calculated  $\Delta(^{17}\text{O})$  using base**  
 823 **(black) and optimized (grey) GEOS-Chem are shown in the plot together. Bars indicate the**  
 824 **residuals between calculation and observation.**  
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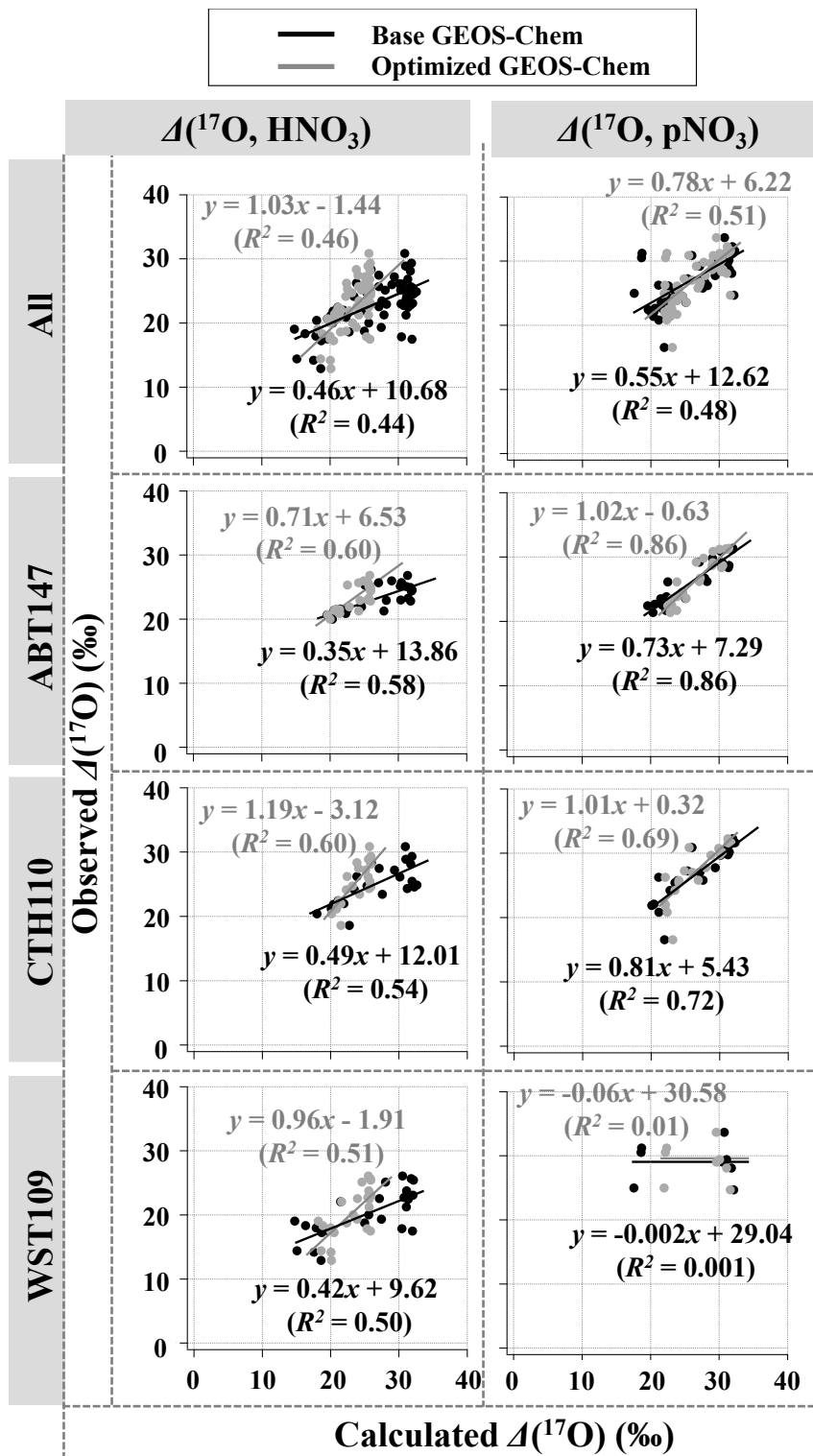


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**Figure 5.** Time series of the monthly mean for observed and calculated  $\delta(^{18}\text{O})$  for  $\text{HNO}_3$  and  $\text{pNO}_3$  over ABT147, CTH110, and WST109 CASTNET sites. Calculated  $\delta(^{18}\text{O})$  using base (black) and optimized (grey) GEOS-Chem are shown in the plot together. Bars indicate the residuals between calculation and observation.

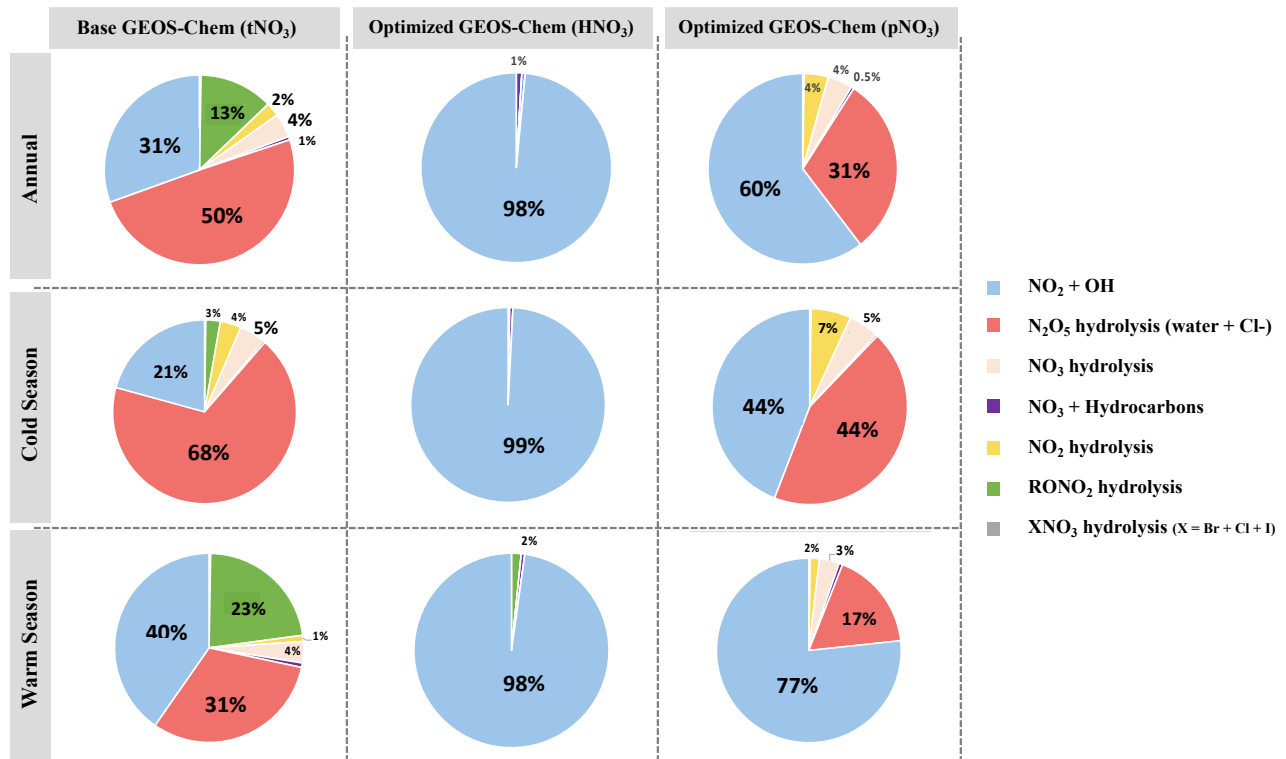


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 833 **Figure 6.** Relationship between the monthly mean ( $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$ ) for observed  $\text{HNO}_3$   
 834 (green) and  $\text{pNO}_3$  (blue) across all CASTNET sites with correlation coefficient ( $R^2$ ) and slope  
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 842 **Figure 7. Correlation between observed and calculated  $\Delta(^{17}\text{O})$  for  $\text{HNO}_3$  and  $\text{pNO}_3$  using base**  
 843 **GEOS-Chem (black) and optimized GEOS-Chem (grey) by each site.**

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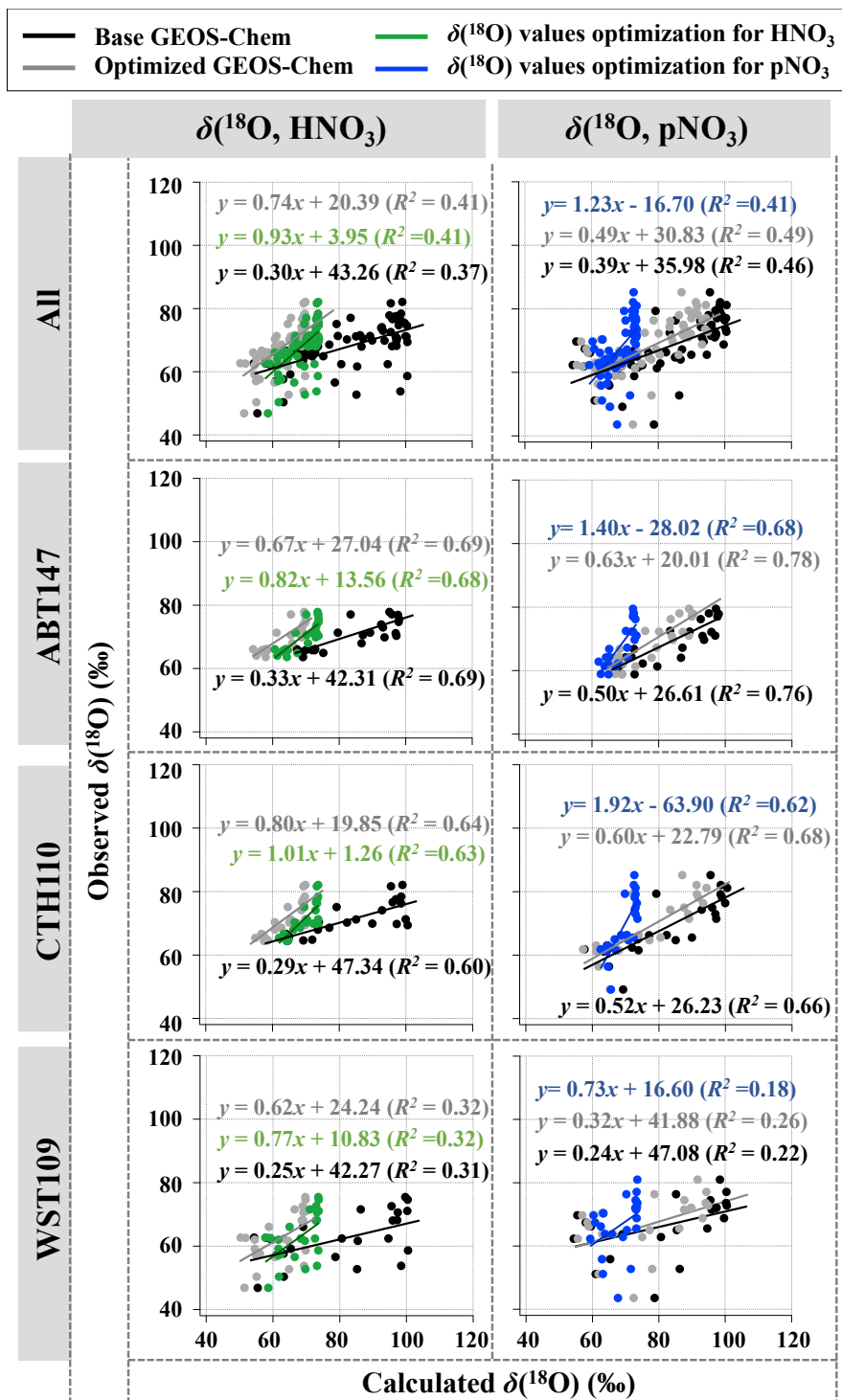
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847 **Figure 8.** Relative proportions for major nitrate production pathways by season from base  
 848 GEOS-Chem output (HNO<sub>3</sub> + pNO<sub>3</sub>), optimized GEOS-Chem for HNO<sub>3</sub> production only,  
 849 and optimized GEOS-Chem for pNO<sub>3</sub> production only based on comparison with  
 850 observations across the three CASTNET sites. Annual refers to the full two-year record (Dec  
 851 2016-Dec 2018). The cold and warm seasons refer to Oct-Mar and Apr-Sep, respectively.

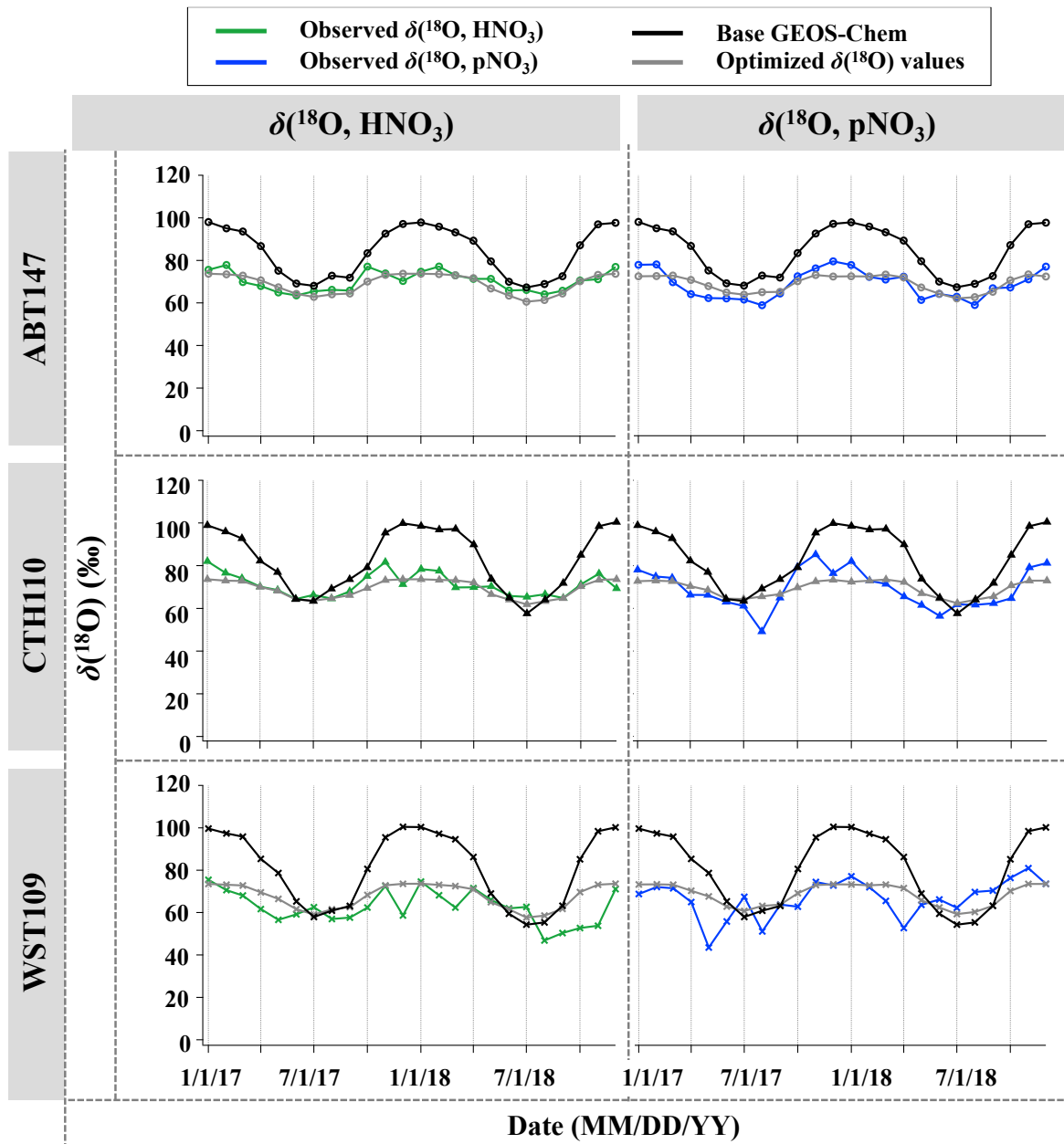
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 855 **Figure 9.** Correlation between observed and calculated  $\delta(^{18}\text{O})$  for  $\text{HNO}_3$  and  $\text{pNO}_3$  by each  
 856 site. Calculated  $\delta(^{18}\text{O})$  values using base GEOS-Chem, optimized GEOS-Chem, and  
 857 optimized  $\delta(^{18}\text{O})$  values indicated as black, grey, and green (for  $\text{HNO}_3$ ) or blue (for  $\text{pNO}_3$ ),  
 858 respectively.



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861 **Figure 10.** Time series of observed and calculated  $\delta(^{18}\text{O})$  for  $\text{HNO}_3$  and  $\text{pNO}_3$  for ABT147,  
 862 CTH110, and WST109 sites. Calculated  $\delta(^{18}\text{O})$  using base GEOS-Chem (black) and using  
 863 optimized  $\delta(^{18}\text{O})$  values (grey) are shown in the plot together.

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867 **Table 1. Equations for  $\delta(^{18}\text{O})$  and  $\Delta(^{17}\text{O})$  calculations by different nitrate formation**  
 868 **pathways.**

Gas-phase reactions	$\Delta(^{17}\text{O}, \text{tNO}_3)$	$\delta(^{18}\text{O}, \text{tNO}_3)$
$\text{NO}_2 + \text{OH}$	$\frac{2}{3} A \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{2}{3} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{3} \delta(^{18}\text{O}, \text{OH})$
$\text{NO}_3 + \text{Hydrocarbons}$	$(\frac{2}{3} A + \frac{1}{3}) \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{2}{3} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{3} \delta(^{18}\text{O}, \text{O}_3^*)$
<b>Heterogeneous reactions</b>		
$\text{N}_2\text{O}_5$ hydrolysis (water + $\text{Cl}^-$ )	$(\frac{2}{3} A + \frac{1}{6}) \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{4}{6} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{6} \delta(^{18}\text{O}, \text{O}_3^*) + \frac{1}{6} \delta(^{18}\text{O}, \text{H}_2\text{O})$
$\text{NO}_3$ hydrolysis	$(\frac{2}{3} A + \frac{1}{3}) \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{2}{3} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{3} \delta(^{18}\text{O}, \text{O}_3^*)$
$\text{NO}_2$ hydrolysis	$(\frac{2}{3} A + \frac{1}{3}) \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{2}{3} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{3} \delta(^{18}\text{O}, \text{H}_2\text{O})$
$\text{RONO}_2$ hydrolysis	$\frac{1}{3} A \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{2}{3} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{3} \delta(^{18}\text{O}, \text{NO}_2)$
$\text{XNO}_3$ hydrolysis (X = Br + Cl + I)	$(\frac{2}{3} A + \frac{1}{3}) \Delta(^{17}\text{O}, \text{O}_3^*)$	$\frac{2}{3} \delta(^{18}\text{O}, \text{NO}_2) + \frac{1}{3} \delta(^{18}\text{O}, \text{O}_3^*)$

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889 **Table 2.** Summary of the residuals between observed and calculated oxygen isotope deltas by  
 890 season using the base and optimized GEOS-Chem, respectively.

Residuals		$\Delta(^{17}\text{O}, \text{HNO}_3)$	$\Delta(^{17}\text{O}, \text{pNO}_3)$	$\delta(^{18}\text{O}, \text{HNO}_3)$	$\delta(^{18}\text{O}, \text{pNO}_3)$
<b>Base GEOS-Chem</b>	Annual	4.1 ‰	2.3 ‰	15.5 ‰	15.9 ‰
	Cold	6.2 ‰	1.7 ‰	23.1 ‰	20.4 ‰
	Warm	2.0 ‰	2.8 ‰	7.9 ‰	11.4 ‰
<b>Optimized GEOS-Chem</b>	Annual	2.0 ‰	1.7 ‰	6.2 ‰	10.4 ‰
	Cold	2.3 ‰	1.4 ‰	5.7 ‰	13.2 ‰
	Warm	1.8 ‰	2.0 ‰	6.7 ‰	7.7 ‰

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914 **Table 3.**  $\delta(^{18}\text{O})$  values for each oxidant before and after optimization based on different  
 915 scenarios

Atmospheric Oxidants	Assumed $\delta^{18}\text{O}$ (‰)	Optimized $\delta^{18}\text{O}$ (‰)			
		Non-fixed	Fixed $\text{O}_3^*$	Fixed $\text{O}_3^*$ and $\text{H}_2\text{O}$	Fixed $\text{O}_3^*$ , $\text{H}_2\text{O}$ , and $\text{O}_2$
$\text{O}_3^*$	126.3	89.9	-	-	-
$\text{H}_2\text{O}$	-6.0	-6.5	-180.7	-	-
$\text{O}_2/\text{RO}_2/\text{HO}_2$	23.0	11.1	40.9	44.4	-
$\text{OH}$	-43.0	42.2	-31.3	-39.4	-36

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