# **Responses to Referee #1:**

This paper reports on measurements of spectrally resolved particle light absorption combined with aerosol chemical composition measured at the southeastern edge of the Tibetan Plateau. The particle light absorption data are used to infer BrC and BC levels. Based on the chemical signatures the aerosol was divided into two groups and the BrC optical properties were determined for each group. Overall radiative effects of the BrC relative to BC on a per particle mass basis showed that BrC has an important role. Back trajectory analysis was used to identify source regions of the BrC. The paper is well suited for publication in this journal. The authors consider uncertainty in their approach to determine BrC, which is a nice feature of this paper. A number of suggestions for further analysis and clarifications are noted below.

**Response:** We thank the reviewer for the helpful comments and providing us the opportunity to strengthen our research. We have carefully addressed the comments in point-by-point form as shown below. Detailed responses to each of the reviewer's comments are provided in blue, and the revised text is underlined. Attached please also find the marked-up manuscript to track the changes in the revised manuscript.

# **General Comments:**

**Comment (1):** Some of the methods description may be too detailed, such as the HERM algorithm description. A plainer language description would be better. Eg, line 128 and on describing Eq (3). First, this is a classic inversion problem encountered for many instruments in aerosol science (and many other fields). My understanding is that X is the measured ACSM mass spectra of the organic species, (why call it the receptor site?), G is the source contributions, which is what is you are trying to determine, and F is the mass spectra of the specific sources. Normally one knows F and performs the inversion to solve for G. In this case F is unknown, so a modified approach is used. This can then be described.

**Response:** (a) Yes, as the reviewer points out, X is the measured ACSM mass spectra of the organic species. In the original manuscript, the receptor site refers to the sampling site. To make HERM algorithm more concise and clear, we have revised the relevant description of HERM following the reviewer's suggestion:

"HERM <u>is an effective receptor model, which</u> was performed to retrieve potential sources of OA in this study. The HERM algorithm groups the matrix X (measured mass spectra of organic fragments) into two nonnegative constant matrices G (source contribution) and F (mass spectra of specific sources), and the model residual matrix E, defined as:

$$\mathbf{X} = \mathbf{G} \times \mathbf{F} + \mathbf{E} \tag{3}$$

The model does not require prior mass spectra of sources, and the values of G and F can be obtained using an iterative conjugate gradient algorithm. The principle of HERM has been described in detail elsewhere (Chen and Cao, 2018)." (*Page 5 Line 128–137*)

(b) We moved the Section 2.3.4 of statistical metrics to the supplement as Text S1, and also simplified the description of calculation of optical parameters (Section 2.3.3) as follows:

"The MAC of OA component in this study was resolved by the multiple linear regression (MLR) model combined with  $b_{abs-BrC}$  and OA source apportionment results, which is defined as follows:

$$b_{\text{abs-BrC}}$$
 ( $\lambda$ ) =  $a_1$  ( $\lambda$ ) × [BBOA] +  $a_2$  ( $\lambda$ ) × [po-OOA] (4)

Here,  $a_1$  and  $a_2$  denote the MAC of BBOA (MAC<sub>BBOA</sub>) and po-OOA (MAC<sub>po-OOA</sub>), respectively, in square meters per gram (m<sup>2</sup> g<sup>-1</sup>); [BBOA] and [po-OOA] are the mass concentration of BBOA and po-OOA, respectively, in micrograms per cubic meter ( $\mu$ g m<sup>-3</sup>). Tolerance (0.2) and variance inflation factor (4.7) for the ordinary least square fitting results indicated that there was no serious multicollinearity between two independent variables, however, heteroscedasticity existed according to "White Test" (p < 0.05). Thus, the weighted least squares method was used for parameter estimation in MLR model. The MAC of BC (MAC<sub>BC</sub>) was directly calculated with  $b_{abs-BC}$  divided by the mass concentration of BC, which was obtained by dividing  $b_{abs}$  (880 nm) by the default MAC (880 nm) used in the Model AE33 (Drinovec et al., 2015).

AAE describes the spectral dependence of light absorption by aerosols, and it <u>can be calculated</u> using a power law function with  $b_{abs}$  and MAC, respectively:

$$b_{\rm abs}$$
 ( $\lambda$ ) =  $k_1 \times \lambda^{-\rm AAE}$  (5)

MAC 
$$(\lambda) = k_2 \times \lambda^{-AAE}$$
 (6)

Here,  $k_1$  and  $k_2$  are constants independent of wavelength." (Page 6 Line 157–Page 7 Line 178)

**Comment (2):** A major possible issue is the characterization of the two sources identified by the source apportionment discussed above. Despite the complicated inversion, it seems the separation of source comes dow to two things, the po-OOA source characterized by m/z 44 (which seems similar to MO-OOA in other studies using this instrument, see discussion below), and m/z 60 the fragments of levoglucosan and other carbohydrates known to be emitted primarily from biomass combustion (and cooking, but which is not discussed here). The issue is one way to interpret these source apportionment results is that both are from biomass burning, BBOA is the possibly fresher or less photochemically processed BBOA and po-OOA are more aged and chemically processed BBOA. To me, this clarifies the data interpretation and is supported by the idea that both have the same source region and that they are correlated (line 262, r = 0.63). However, arguing against this is that the MACs are higher for po-

OOA which one would not be expect if this was more aged and possibly more photochemically bleached relative to the fresher or less processed (less bleached) BBOA.

**Response:** In our study, po-OOA characterized by higher m/z 44 was more oxygenated than BBOA, which meant stronger photobleaching effect. We agree with the reviewer that more photobleaching would result in the lower MAC of OA (Lee et al., 2014). According to another reviewer's suggestion, we recheck the accuracy of parameter estimation of the multiple linear regression (MLR) model. We find that the heteroscedasticity in MLR model existed when we use the ordinary least square fitting previously, and this may cause the overestimation of MAC<sub>po-OOA</sub>.

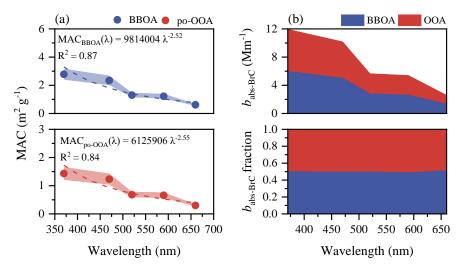
To address this concern, we use the weighted least squares method to estimate parameter of MLR model. Figure 5 shows the latest results of MAC<sub>BBOA</sub> and MAC<sub>po-OOA</sub>. That is, the MAC<sub>BBOA</sub> is higher than the MAC<sub>po-OOA</sub> at wavelengths from 370 to 660 nm. In the revised manuscript, we first add the testing results and fitting method of MLR to the Sect. 2.3.3:

"Tolerance (0.2) and variance inflation factor (4.7) for the ordinary least square fitting results indicated that there was no serious multicollinearity between two independent variables, however, heteroscedasticity existed according to "White Test" (p < 0.05). Thus, the weighted least squares method was used for parameter estimation in MLR model." (*Page 6 Line 163–166*)

We also update the MAC results and give the explanation that po-OOA has the lower MAC compared to BBOA in the Sect. 3.3:

"Compared with BBOA, <u>more oxygenated</u> po-OOA <u>was possibly</u> more photochemically bleached, which resulted in the lower MAC (Lee et al., 2014)." (*Page 11 Line 296–297*)

In the revised manuscript, Figure 5 shows:



"Figure <u>5</u>. (a) The mass absorption cross section of BBOA and po-OOA (MAC<sub>BBOA</sub> and MAC<sub>po-OOA</sub>, respectively) at five wavelengths  $(\lambda = 370, 470, 520, 590, and 660 nm)$ . The circle and shaded area represent the mean MAC values and the standard deviations, respectively. The dashed line is power-law fit. (b) Light absorption coefficient of BrC ( $b_{abs-BrC}$ ) from BBOA and po-OOA and its fraction in the total reconstructed BrC absorption at different wavelengths."

In the reference list, we add the new reference:

"Lee, H. J., Aiona, P. K., Laskin, A., Laskin, J., and Nizkorodov, S. A.: Effect of solar radiation on the optical properties and molecular composition of laboratory proxies of atmospheric brown carbon, Environ. Sci. Technol., 48(17), 10217–10226, https://doi.org/10.1021/es502515r, 2014."

# **Specific Comments:**

**Comment (3):** When discussing Eq (1), might want to say something about how well a power law fits data.

**Response:** AAE can be calculated using a pair of light absorption coefficients ( $b_{abs}$ ) at two different wavelength:

$$AAE(\lambda_1\lambda_2) = \frac{\ln\left(\frac{b_{abs}(\lambda_1)}{b_{abs}(\lambda_2)}\right)}{\ln\left(\frac{\lambda_1}{\lambda_2}\right)}$$

Eq (1) is derived from this method when  $b_{abs}$  at 880 nm and AAE of BC are known. Therefore,  $b_{abs}$  of BC obtained from Eq (1) always satisfies the power law relationship with wavelength.

**Comment (4):** Where does the term for one of the identified sources (po-OOA) come from. Has it been used before or is it being introduced here? Essentially, it seems to be driven by mass spectral peak at m/z 44, which is carboxylic acid fragment and which in past studies of ACSM/AMS is largely indicative of more aged oxygenated organic aerosol from various sources. Why not discuss this (ie, how that peak is determined in other studies and why a different name is used here).

**Response:** As noted by the reviewer, the fraction of m/z 44 in OA mass spectrum ( $f_{44}$ ) is a surrogate of oxidation degree (Aiken et al., 2008). The photochemical-oxidation processed oxygenated OA (po-OOA) resolved in this study was characterized by the highest peak at m/z 44 ( $f_{44}$ , 27.8 %), which was quite similar to those of more-oxidized oxygenated OA (MO-OOA) ( $f_{44} > 20\%$ ) identified frequently in previous ACSM and AMS studies (Tobler et al., 2021; Xu et al., 2018; Zhang et al., 2019). Here, since high O<sub>3</sub> was the driving factor of po-OOA formation, the term of po-OOA was introduced in this manuscript to stress the importance of photochemical processing in the Tibetan Plateau.

In the revised manuscript, to make it clear, we add:

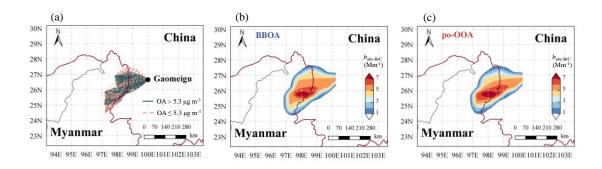
"Another OA source was featured by the <u>strong</u> correlation with m/z 44 (r = 0.97, p < 0.01), which is a surrogate of oxidation degree (Aiken et al., 2008). The most abundant peak in mass spectrum of po-OOA was at m/z 44 ( $f_{44}$ , 27.8%), similar to those in mass spectra of moreoxidized oxygenated OA (MO-OOA) ( $f_{44} > 20$  %) identified frequently in previous studies (Tobler et al., 2021; Xu et al., 2018; Zhang et al., 2019). It indicated that this OA source was likely related to extensive secondary processes occurring during transport (Wang et al., 2017; Xu et al., 2017). Figure 4d shows that both po-OOA mass concentration and its fraction in OA increased with increasing O<sub>3</sub> (R<sup>2</sup> = 0.79–0.87), however, neither of them correlated with RH (Fig. S3). These results supported that <u>high O<sub>3</sub> was the possible driving factor of po-OOA formation, thus the term of po-OOA was introduced in this study to stress the importance of photochemical-oxidation process in the TP." (*Page 10 Line 270–279*)</u>

In the reference list, we add the new reference:

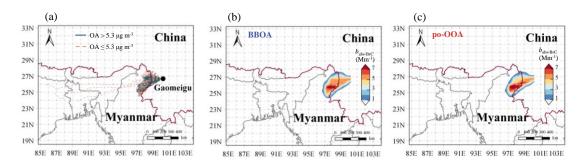
"Aiken, A. C., DeCarlo, P. F., Kroll, J. H., Worsnop, D. R., Huffman, J. A., Docherty, K. S., Ulbrich, I. M., Mohr, C., Kimmel, J. R., Sueper, D., Sun, Y. L., Zhang, Q., Trimborn, A., Northway, M., Ziemann, P. J., Canagaratna, M. R., Onasch, T. B., Alfarra, M. R., Prévôt, A. S. H., Dommen, J., Duplissy, J., Metzger, A., Baltensperger, U., and Jimenez, J. L.: O/C and OM/OC ratios of primary, secondary, and ambient organic aerosols with High-Resolution Time-of-Flight Aerosol Mass Spectrometry, Environ. Sci. Technol., 42 (12), 4478–4485, https://doi.org/10.1021/es703009q, 2008."

**Comment (5):** In the back trajectory analysis, one could test the sensitivity to the assumed starting height of 500 m by varying this parameter over some range and see if the predicted trajectories change much?

**Response:** We do some sensitivity tests for the starting height. Figure R1 and R2 show the 72-h backward trajectories and potential source regions for  $b_{abs-BrC}$  based on the assumed starting height of 750 m and 1000 m, respectively. Although there are some difference in the 72-h backward trajectories under the assumption of height of 500 m, 750, and 1000 m, the spatial distributions of potential source for  $b_{abs-BrC}$  from BBOA and po-OOA were similar. Therefore, the conclusion of "The source regions with the highest CWT values were located in the northern Myanmar and along the China-Myanmar border, while the CWT values in the areas surrounding Gaomeigu were relatively low." in this study is robust and reliable.



**Figure R1.** (a) 72-h backward trajectories of Gaomeigu from 8:00 on 14 to 23:00 on 31 March, 2018. (b) and (c) Concentration weighted trajectory (CWT) maps of  $b_{abs-BrC}$  at 370 nm (Mm<sup>-1</sup>) from BBOA and po-OOA, respectively, based on the assumed starting height of 750 m.



**Figure R2.** (a) 72-h backward trajectories of Gaomeigu from 8:00 on 14 to 23:00 on 31 March, 2018. (b) and (c) Concentration weighted trajectory (CWT) maps of  $b_{abs-BrC}$  at 370 nm (Mm<sup>-1</sup>) from BBOA and po-OOA, respectively, based on the assumed starting height of 1000 m.

**Comment (6):** In the calculation of SFE, one might also do a sensitivity test on the assumed variables, possibly most importantly the albedo (also what type of ground cover does a surface albedo of 0.9 represent)?

**Response:** We are sorry that this is a writing error, and the surface albedo used in our study was 0.19. We have corrected this mistake:

" $\tau_{atm}$ , F<sub>c</sub>, and  $a_s$  are the atmospheric transmission (0.79), the cloud fraction (0.6), and the surface albedo (0.<u>1</u>9), respectively, which are constants from the global average calculations" (*Page 8 Line 218–219*)

For SFE calculation, the relative uncertainty of SFE can be estimated as follows:

$$U_{SFE} = \sqrt{(2 \times U_{\tau_{atm}})^2 + U_{(1 - F_c)}^2 + U_{a_s}^2}$$

Here,  $U_{\tau_{atm}}$ ,  $U_{(1 - F_c)}$ , and  $U_{a_s}$  represent the relative uncertainties of  $\tau_{atm}$ ,  $F_c$ , and  $a_s$ , respectively. Take an example, when  $\tau_{atm}$ ,  $F_c$ , and  $a_s$  have relative uncertainties of 10%,

 $U_{SFE} = \sqrt{(2 \times 0.1)^2 + \left(\frac{0.6 \times 0.1}{(1 - 0.6)}\right)^2 + 0.1^2} \approx 0.27$ . In this study, the atmospheric transmission, cloud fraction, and surface albedo are not measured values, we used the global average values in order to compare with other studies better.

Comment (7): Line 217, 18-41 fold is relative to what?

**Response:** 18–41 folds is the result of the maximum hourly  $b_{abs}$  value relative to the minimum hourly  $b_{abs}$  value during the sampling period. To make this point clear, we have made the following revisions:

"The hourly  $b_{abs}$  values <u>at different wavelengths</u> varied <u>from</u> <u>minimum to maximum values by factors of 19</u>–41 from 14 to 31 March 2018, reflecting that atmospheric environment at Gaomeigu is influenced by dynamic changes in emission sources and meteorological condition." (*Page 9 Line 228–230*)

Comment (8): What are the bracketed variables in Table 1?

**Response:** The bracketed variables in Table 1 represented the minimum and maximum values of hourly  $b_{abs}$  during the sampling period. To make it clear, we have modified the relevant note in Table 1:

"**Table 1.** Submicron aerosol light absorption coefficient ( $b_{abs}$ ) contributed by BrC ( $b_{abs-BC}$ ) and BC ( $b_{abs-BC}$ ) at Gaomeigu during the sampling period (March 14<sup>th</sup> to 31<sup>th</sup>, 2018).

Parameter*	Wavelength							
(Mm <sup>-1</sup> )	370 nm	470 nm	520 nm	590 nm	660 nm	880 nm		
$b_{\rm abs}$	$33.1\pm24.4$	$26.7\pm19.7$	$20.3\pm13.9$	$18.2\pm12.5$	$13.7\pm9.0$	$8.0\pm4.9$		
	$(4.7 - 160.0)^{**}$	(3.8–138.4)	(2.6-93.0)	(2.1-84.8)	(1.7-56.9)	(1.5-28.6)		
$b_{ m abs-BrC}$	$12.3\pm13.8$	$10.7\pm11.5$	$6.0\pm6.0$	$5.8 \pm 5.8$	$2.7\pm2.6$	$0.0\pm0.0$		
$b_{ m abs-BC}$	$20.8 \pm 12.8$	$16.0\pm9.8$	$14.3\pm8.8$	$12.4\pm7.7$	$11.0\pm6.8$	$8.0\pm4.9$		

 $b_{abs-BrC}$  and  $b_{abs-BC}$  were calculated based on the AAE<sub>BC</sub> = 1.1.

\*\*<u>The measured hourly *b*abs from minimum to maximum values</u>."

**Comment (9):** The AAE frequency distribution is stated to be normally distributed (line 225), but it looks possibly bimodal. What is the justification for stating it is normally distributed?

**Response:** Thank you for pointing this out. We did the Kolmogorov-Smirnov and Shapiro-Wilk tests for AAE values with p values less than 0.01. The results proved that the frequency distribution of AAE was not normally distributed. The relevant description has been revised as follows:

"Frequency histograms of hourly AAE values showed <u>the media AAE</u> value of 1.59 with interquartile range from 1.38 to 1.83 (Fig. S1). Over 72 % of AAE values were higher than 1.4 (Upper limit of AAE<sub>BC</sub>), implying the presence of both BrC and BC absorption in the submicron aerosol at Gaomeigu." (*Page 9 Line 237–238*)

**Comment (10):** Line 259 and on discussing po-OOA correlation with  $O_3$  and RH. The logic here is not clear. Both  $O_3$  and RH vary substantially both spatially and even diurnally. Given this, how can  $O_3$  and RH at the measurement site be used to infer what the particles were exposed to over the time when transported from source region to where measured? It is the history of what the particles were exposed to that determines the properties at the measurements, the conditions at the measurement site may have only a small or minor impact.

**Response:** Yes. In fact, we are unable to elucidate what reactions occur in the secondary OA during atmospheric processes with the data measured in the sampling site alone. Establishing the relationship between indicators of oxidation (e.g., O<sub>3</sub>, O<sub>x</sub>, RH, and aerosol liquid water content) and secondary OA is the useful way to explore the potential formation mechanisms, but it is still not 100% confirmed whether these indicators are involved in secondary formation. In this study, both po-OOA mass concentration and its fraction in OA increased with increasing O<sub>3</sub> (R<sup>2</sup> = 0.79–0.87), however, neither of them correlated with RH. These results indicated, to some extent, that high O<sub>3</sub> was the possible driving factor of po-OOA formation. Meanwhile, the intense photochemical environment is an inherent feature of the TP. Thus, we believe that photochemical oxidation is an important potential pathway for the formation of po-OOA.

**Comment (11):** Line 261 to 264, seems that another explanation is that most of the po-OOA is processed BBOA, as discussed above.

**Response:** As addressed in the comment (2), in the revised manuscript, po-OOA was more oxygenated than BBOA, and had the lower MAC possibly due to more photobleaching effect.

**Comment (12):** The last statement of the Discussion (line 319) and in the conclusions is not clear. That is, how does knowledge of the secondary BrC help tackling climate change? Be more specific, this is too general a statement to be meaningful.

**Response:** Thank you for this comment. The revised discussion shows:

"The fractional radiative forcing by two OAs relative to BC was as high as  $48.8 \pm 15.5$  %, in which the relative radiative forcing of po-OOA to BC ( $24.2 \pm 13.2$  %) was almost equal that of BBOA to BC ( $24.6 \pm 9.1$  %) (Fig. 7b). These results suggested that BrC emitted from biomass burning and formed by photochemical oxidation was an efficient radiative forcing agent, which, along with BC, can remarkably disturb the radiative balance over the TP. Thus, the inclusion of BrC in the climate models will provide a better understanding of climate change of the southeastern TP. It should also be noted that although BBOA and po-OOA had similar radiative effects, effective measures on tackling the impact of BrC are to reduce primary emission and volatile organic precursor of BrC from biomass burning in the future, since the intense photochemical environment is an inherent feature of the TP." (Page 12 Line 340–Page 13 Line 348)

# Impacts of biomass burning and photochemical processing on the light absorption of brown carbon in the southeastern Tibetan Plateau

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Abstract. Brown carbon (BrC) in the atmosphere can greatly influence aerosol's radiative forcing over the Tibetan Plateau (TP), because it has the non-negligible capacity of light absorption <u>compared toas well as</u> black carbon (BC); however, our understanding of optical properties, sources, atmospheric processes of BrC in this region remains limited. In this study, a multiple-wavelength Aethalometer coupled with a quadrupole aerosol chemical speciation monitor were

- 15 deployed to investigate the highly time resolved BrC in the submicron aerosol in the southeastern edge of the TP during the pre-monsoon season. The result showed that BrC had the substantial contributions (20.0–40.2 %) to the light absorption of submicron aerosol from 370 to 660 nm. Organic aerosol (OA), an alternative to BrC, was split into a biomass burning OA (BBOA) with aging process and a photochemical-oxidation processed oxygenated OA (po-OOA) by a hybrid environmental receptor model analysis. Combined with light absorption coefficient of BrC (*b*<sub>abs-BrC</sub>), the
- source-specific mass absorption cross section of BBOA  $(0.6150-2.781.75 \text{ m}^2 \text{ g}^{-1})$  and po-OOA  $(0.308-1.432.15 \text{ m}^2 \text{ g}^{-1})$  at 370-660 nm were retrieved. On average,  $b_{abs-BrC}$  from po-OOA  $(1.31-6.03 \text{ Mm}^{-1})$  was <u>comparable to higher than</u> that from BBOA  $(1.30.7-6.02.3 \text{ Mm}^{-1})$  at all wavelengths. The concentration weighted trajectory analysis showed that the most important potential source regions for  $b_{abs-BrC}$  values from BBOA and po-OOA were located in the northern Myanmar and along the China-Myanmar border, indicating the cross-border transport of BrC from Southeast Asia. A
- 25 "simple forcing efficiency" evaluation further illustrated the importance of BrC radiative effect with the high fractional radiative forcing by two OAs relative to BC ( $48.850.6 \pm 15.518.7$  %). This study highlighted a significant influence of BrC <u>of biomass burning origin</u> from biomass burning emissions and secondary formation on climate change over the TP region during the pre-monsoon season.

# **1** Introduction

- 30 Carbonaceous aerosols, a major component of atmospheric particles, play an important role in the global climate by directly absorbing and scattering solar and terrestrial radiation (Bellouin et al., 2013; IPCC, 2013; Yao et al., 2017). In the past, black carbon (BC) was often considered to be the only light-absorbing carbonaceous aerosol, and organic aerosol (OA) was thought to purely scatter light (Bond and Bergstrom, 2006; Koch et al., 2007). However, a fraction of OA has been found to absorb radiation efficiently in near-ultraviolet (UV) and visible spectral ranges with a strong
- 35 wavelength dependence (Kirchstetter et al., 2004). This light-absorbing OA, collectively known as brown carbon (BrC) (Andreae and Gelencs ér, 2006), is receiving increasing attention due to its non-negligible radiative effect. Feng et al. (2013) and Lin et al. (2014) have reported that the radiative forcing (RF) caused by BrC absorption on a global scale can be up to + 0.25 and + 0.57 W m<sup>-2</sup>, respectively. Zhang et al. (2020a) estimated that globally BrC contributed more than 25% of BC RF. In particular, wherein the atmospheric heating of BrC is greater than that of BC in the tropical mid and
- 40 upper troposphere. Zhang et al. (2017) also suggested that the clear-sky RFs of high- and low-altitude BrC were 0.35  $\pm$  0.16 and 0.65  $\pm$  0.34 W m<sup>-2</sup>, corresponding to 34% and 24% of carbonaceous aerosol warming effect at the tropopause, respectively. The inclusion of BrC in climate models can reduce uncertainties in the global or regional RF assessment of aerosols. Therefore, a comprehensive understanding on light absorption properties of BrC is required.

In the atmosphere, primary BrC is mainly emitted from biomass burning and fossil fuel combustion (Olson et al., 2015;

- 45 Washenfelder et al., 2015; Xie et al., 2017), and secondary BrC is commonly formed from photochemical-oxidation and aqueous reactions of biogenic or anthropogenic precursors (Hecobian et al., 2010; Nakayama et al., 2013). The complex sources and formation mechanisms of BrC lead to the spatial-temporal variations in its light absorption properties. Accurately quantifying the source-specific absorption capacity (i.e., mass absorption cross section (MAC)) of BrC is essential for modelling BrC climate effect. However, direct source apportionment of BrC is impossible with current
- 50 analytical method, since BrC constituents responsible for light absorption remain relatively unknown (Laskin et al., 2015). Recent studies have usually used OA as an alternative to BrC for two major reasons: (1) the definition of OA contains all BrC constituents, and (2) OA from either online monitoring or filter extraction can be apportioned to a few major primary and secondary sources with the development of mass spectrometry. This allows for establishing the relationship between primary and secondary OA types and BrC absorption, which provides better quantification of the
- 55 impact of BrC from different sources and formation mechanisms on regional and global climates (Kaskaoutis et al., 2021; Moschos et al., 2018; Qin et al., 2018; Wang et al., 2021).

The Tibetan Plateau (TP), often referred to as the "Third Pole", is the largest and highest mountain region in the world and contains the most abundant ice outside of the polar regions (Yao et al., 2012). It has a huge impact on the large-scale atmospheric circulation and the hydrological cycle, which is the most sensitive and visible indicator of climate change

- 60 in the entire Asian continent (Chen and Bordoni, 2014; Immerzeel et al., 2010). In the recent decades, there has been the growing evidence of increased surface temperature in the Himalayas and the TP regions, accompanied by the accelerated glacier melt and retreat (Kehrwald et al., 2008; Liu and Chen, 2000; Wang et al., 2008). This rapid warming was firstly attributed to greenhouse gas warming; however, light-absorbing aerosols were found to be another major warming agent (Lau et al., 2010; Ramanathan et al., 2007). Previous studies paid a large amount of attention on BC due to its vital
- 65 climatic implication in the TP. The sources of BC varied significantly with the receptor location and the season (Kopacz et al., 2011; Tan et al., 2021; Zhang et al., 2015). For example, Zhang et al. (2015) reported that biomass burning from South Asia has the largest impact on BC in the central <u>TPplateau</u>, while fossil fuel combustion contributed the most to BC burden in the northeast <u>TPplateau</u> in all seasons and southeast <u>TPplateau</u> in the summer. The direct RF of BC at the top of the atmosphere (+ 1.6–3.5 W m<sup>-2</sup>) induced atmospheric heating rates of 0.13–0.35 K day<sup>-1</sup> in the Himalayas and
- 70 the TP regions (Liu et al., 2021; Panicker et al., 2020); meanwhile, BC deposited on the snow-covered areas can increase 1.0 °C of the surface temperature over the TP by reducing the snow albedo (Qian et al., 2011). It is clearly that there are primary OA emissions along with BC emitted from biomass burning and fossil fuel combustion, and secondary OA formation has been found in the TP (Xu et al., 2018; Zhang et al., 2019). However, the link between light absorption properties and sources of OA is less understood so far, which would lead to uncertainties in evaluating aerosol radiative

75 effect of TP.

In this study, real-time measurements of both light absorption properties and chemical characteristics of submicron aerosol were conducted in the southeastern margin of the TP during the pre-monsoon season. The main objectives were to (1) characterize the light absorption properties of BrC, (2) quantify the source-specific MAC and absorption of BrC, and (3) evaluate the importance of BrC radiative effect from different sources. This study provides insights into light

80 absorption properties of BrC, which is necessary for understanding the role of BrC in climate warming and revealing impacts of sources and atmospheric processes in the TP and surrounding areas.

# 2 Methodology

## 2.1 Sampling site and period

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Submicron aerosol online measurements of optical and chemical properties were performed at the Lijiang Astronomical Station, Chinese Academy of Sciences, Gaomeigu County, Yunnan Province (26 41' N, 100 °1' E; 3260 m a.s.l.) (Fig. 1). Continuous hourly O<sub>3</sub> and relative humidity (RH) were measured with the use of an ozone analyzer (EC9810, Ecotech Pty Ltd, Australia) and an automatic weather station (MAWS201, Vaisala, Helsinki, Finland). The monitoring station is situated in the southeastern edge of the TP, a natural channel for the transport of air pollutants from Southeast Asia to the TP (Tan et al., 2021). All instruments were placed on the rooftop of an office building (~10 m above the ground),

90 without any strong anthropogenic emission sources nearby. More detailed description about the sampling site can be found in Wang et al. (2019) and Liu et al. (2021). The sampling period lasted from 8:00 local stand time (LST, all time references that follow are given in LST) on 14 to 23:00 on 31 March, 2018, corresponding to the pre-monsoon season in the TP.

#### 2.2 Submicron aerosol measurements

- A newly developed Aethalometer (Model AE33, Magee Scientific, Berkeley, CA, USA) was used to measure aerosol light absorption coefficient (*b*<sub>abs</sub>) at multiple wavelengths (i.e., 370, 470, 520, 590, 660, and 880 nm) with a 1 min time resolution. Briefly, the ambient air sampled at a flow rate of 5 L min<sup>-1</sup> was firstly selected by a PM<sub>1</sub> (particulate matter with an aerodynamic diameter ≤ 1.0 µm) cyclone separator (BGI SCC 1.197, Mesa Labs, USA) to collect submicron aerosol on the filter. Light at different wavelengths emitted from diodes irradiated two parallel filter spots with deposition
- 100 rates of 3.85 and 1.15 L min<sup>-1</sup>, respectively. Thereafter, the two light attenuations measured by optical detectors was used to calculate  $b_{abs}$  through a real-time loading effect compensation algorithm. This "dual-spot" technique for the Model AE33 can eliminate the nonlinearity effect caused by increasing amount of aerosol deposit on the filter (Weingartner et al., 2003). Additionally, the Model AE33 automatically used a factor of 2.14 to compensate the scattering effect of quartz filter. Detailed operating principles of the Model AE33 can be found in Drinovec et al. (2015).
- 105 OA in the non-refractory PM<sub>1</sub> was measured using a quadrupole aerosol chemical speciation monitor (Q-ACSM, Aerodyne Research Inc., Billerica, Massachusetts, USA) with a 30 min time resolution. The aerodynamic lens coupled with a 100 µm diameter critical aperture in the Q-ACSM created a beam of focused submicron aerosols (40–1000 nm aerodynamic diameter), which was vaporized at ~600 °C, ionized by a 70 eV electron impact, and subsequently characterized with a mass spectrometer. The details of the instrument have been described elsewhere (Ng et al., 2011b).
- 110 The measured Q-ACSM data was processed by the ACSM local tool version 1.5.3.5 compiled with Igor Pro 6.37 (Wavemetrics, Inc., Lake Oswego, OR, USA) to determine the mass concentration and ion-speciated mass spectra of OA. Four our study, the default collection efficiency (0.45) and relative ionization efficiency (1.4) were used to obtain OA mass concentration (Middlebrook et al., 2012). The mass concentration and error matrices of organic fragments from mass-to-charge (m/z) 12 to 120 were initialized following the method of Allan et al. (2003).

#### 115 2.3 Data analysis

#### 2.3.1 Separation of BrC and BC absorption

The extrapolation method based on Absorption Ångström exponent (AAE) is widely used to project the absorption at the longer wavelength to the shorter wavelength of the spectrum (Olson et al., 2015; Pokhrel et al., 2017). With an

assumption of  $b_{abs}$  at 880 nm ( $b_{abs}$  (880 nm)) solely from BC (Kirchstetter et al., 2004), the light absorption coefficient 120 of BC ( $b_{abs-BC}$ ) at wavelengths ( $\lambda$ ) of 370, 470, 520, 590, and 660 nm can be obtained using the following formula:

$$b_{\rm abs-BC} (\lambda) = b_{\rm abs} (880 \text{ nm}) \times \left(\frac{880}{\lambda}\right)^{\rm AAE_{BC}}$$
 (1)

Here,  $b_{abs}$  and  $b_{abs-BC}$  are given in inverse megameters (Mm<sup>-1</sup>); AAE<sub>BC</sub> is assumed to be 1.1 ± 0.3, which represents the likely range of AAE for BC externally and internally mixed with non-absorbing material (Lack and Langridge, 2013). Then, BrC absorption is derived by subtracting BC absorption from the total submicron aerosol absorption via:

$$b_{\text{abs-BrC}}(\lambda) = b_{\text{abs}}(\lambda) - b_{\text{abs-BC}}(\lambda)$$
 (2)

Here,  $b_{abs-BrC}$  is the light absorption coefficient of BrC (Mm<sup>-1</sup>).

#### 2.3.2 Hybrid environmental receptor model (HERM) analysis

HERM is an effective receptor model, which analysis was performed to retrieve potential sources of OA in this study. The HERM algorithm groups the matrix X (measured mass spectra of organic fragments) into two nonnegative constant

130 matrices G (source contribution) and F (mass spectra of specific sources), and the model residual matrix E, defined asusing mass concentration and error matrices of organic fragments measured by the O-ACSM. The principle of HERM has been described elsewhere (Chen and Cao, 2018). Briefly, the HERM is a bilinear receptor model, which decomposes measured organic fragments matrix (X) at the receptor into matrices of the source contributions (G), source mass spectra (F), and the model residual (E):

125

$$\mathbf{X} = \mathbf{G} \times \mathbf{F} + \mathbf{E} \tag{3}$$

The model does not require prior mass spectra of sources, and the values of G and F can be obtained using an iterative conjugate gradient algorithm. The principle of HERM has been described in detail elsewhere (Chen and Cao, 2018). The HERM algorithm attempts to solve G and F by minimizing the object function Q, defined as:

$$\frac{Q - \sum_{t=1}^{I} \sum_{j=1}^{J} \frac{\left(x_{ij} - \sum_{k=1}^{K} \varsigma_{ij} f_{ij}\right)^{z}}{\sigma_{xij}^{2} + \sum_{k=1}^{K} \left(\varsigma_{ik}^{2} \sigma_{xij}^{2} + \delta_{jk} \sigma_{xij}^{2}\right)}$$

$$(4)$$

145

Here, I, J, and K are the number of samples, m/z variables, and sources, respectively; x<sub>ii</sub> is the measured concentration of the *j*th m/z in the *i*th sample;  $g_{ik}$  is the contribution of the *j*th source in the *i*th sample;  $f_{kj}$  is the *j*th m/z fraction of the total organic fragments in the kth source (so called mass spectrum);  $\sigma_{x_{\#}}$  and  $\sigma_{f_{\overline{k}}}$  represent the error in measured m/zconcentration and the variability in constrained mass spectrum, respectively;  $\delta_{ik}$  is set to 0 or 1 depending on whether the *j*th m/z in the kth mass spectrum is constrained or unconstrained, respectively.

Before HERM analysis, m/z from 12 to 120 with signal-to-noise between 0.2 and 2 and m/z 44 were down-weighted by increasing their errors by a factor of 2 (Ulbrich et al., 2009). HERM solutions from two to five factors with unconstrained mass spectrum were investigated to explore potential sources. The two-factor solution were chosen as the optimal solution, while greater number of factors (3–5) solutions existed many non-physical meaning factors dominated by

150 individual m/z and do not further split new sources. Bootstrap (BS) method was adopted for two-factor solution (Brown et al., 2015). In 50 times BS, no mass spectrum was unmapped (r < 0.6) indicating the two-factor solution was robust. Therefore, a biomass burning OA (BBOA) and a photochemical-oxidation processed oxygenated OA (po-OOA) were finally identified. More detailed description of mass spectra, time series, and correlations with tracers of these two OA factors can be found in Sect. 3.2.

#### 155 **2.3.3 Calculation of optical parameters**

MAC, expressed by normalized absorption cross sections to the mass of particles, is commonly used to describe the light absorption capacity of aerosols (Bond and Bergstrom, 2006). The MAC of OA component in this study was resolved by the multiple linear regression (MLR) model combined with  $b_{abs-BrC}$  and OA source apportionment results, which is defined. The amount of  $b_{abs-BrC}$  at different wavelengths can be estimated as follows:

$$b_{\text{abs-BrC}}(\lambda) = a_1(\lambda) \times [\text{BBOA}] + a_2(\lambda) \times [\text{po-OOA}]$$
 (54)

Here,  $a_1$  and  $a_2$  denote the MAC of BBOA (MAC<sub>BBOA</sub>) and po-OOA (MAC<sub>po-OOA</sub>), respectively, in square meters per gram (m<sup>2</sup> g<sup>-1</sup>); [BBOA] and [po-OOA] are the mass concentration of BBOA and po-OOA, respectively, in micrograms per cubic meter (µg m<sup>-3</sup>). Tolerance (0.2) and variance inflation factor (4.7) for the ordinary least square fitting results indicated that there was no serious multicollinearity between two independent variables, however, heteroscedasticity

165 existed according to "White Test" (p < 0.05). Thus, the weighted least squares method was used for parameter estimation in MLR model. The MAC of BC (MAC<sub>BC</sub>) was directly calculated with  $b_{abs-BC}$  divided by the mass concentration of BC [BC]:



170 Here, [BC]-wasis obtained by dividing  $b_{abs}$  (880 nm) by the default MAC (880 nm) used in the Model AE33-( $\mu g$ -m<sup>-3</sup>) (Drinovec et al., 2015).

AAE describes the spectral dependence of light absorption by aerosols, and it often reflect the composition of lightabsorbing components. Generally, the greater proportion of BrC relative to BC indicates the larger AAE (Lack and Cappa, 2010). Equations (7) and (8) show the calculations of AAE can be calculated using a power law function with  $b_{abs}$ 

175 and MAC, respectively:

$$b_{\rm abs}(\lambda) = k_1 \times \lambda^{-\rm AAE} \tag{75}$$

MAC 
$$(\lambda) = k_2 \times \lambda^{-AAE}$$
 (86)

Here,  $k_1$  and  $k_2$  are constants independent of wavelength.

# 2.3.4 Statistical metrics

180 The uncentered correlation coefficient (UC) is a qualitative metric to characterize the similarity between mass spectra of sources, which is defined as follows (Ulbrich et al., 2009):

$$UC = \frac{x - y}{\|x\| \|y\|} \tag{9}$$

Here, x and y represent a pair of mass spectra as vectors.

The index of agreement (IOA) is used as an indicator to evaluate the performance of the simulated data from MLR model against the measured data (Willmott, 1981). The IOA varies between 0 (no agreement) and 1 (perfect agreement), and can be expressed as:

$$IOA = 1 - \frac{\sum_{t=1}^{N} (S_t - M_t)^2}{\sum_{t=1}^{N} (|S_t - M_{ave}| + |M_t - M_{ave}|)^2}$$
(10)

Here, N is the total number of the simulated data;  $S_i$  and  $M_i$  are the simulated and measured  $b_{abs-BrC}$ , respectively; and  $M_{ave}$  is the average measured  $b_{abs-BrC}$ .

## 190 2.4 Trajectory-related analysis

A geographic information system based software TrajStat was utilized to investigate the influences of regional transport on BrC absorption at Gaomeigu from 14 to 31 March, 2018 (Wang et al., 2009). The trajectories were calculated with the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLT) model developed by the National Oceanic and Atmospheric Administration (Draxler and Hess, 19988). In this study, the model was set to run twenty-four times per day at starting times of 0:00–23:00 with 1 h step. 72-h backward trajectories at the height of 500 m above the ground level at Gaomeigu during the sampling period were produced based on the gridded meteorological data from Global Data Assimilation System (ftp://arlftp.arlhq.noaa.gov/pub/archives/gdas1, last access: 1 June, 2022).

The concentration weighted trajectory (CWT) method was further used to identify the potential source regions that likely affected the BrC absorption at Gaomeigu (Hsu et al., 2003). The geographic zone covered by the total number of

200 backward trajectories (K) was divided into I  $\times$  J grid cells with the resolution of 0.5 °  $\times$  0.5 °. The CWT value of each grid can be defined as follows:

$$b_{\text{abs-BrC-}ij} = \frac{\sum_{k=1}^{K} b_{\text{abs-BrC-}k} \tau_{ijk}}{\sum_{k=1}^{K} \tau_{ijk}} W_{ij}$$
(117)

Here,  $b_{abs-BrC-ij}$  is the average weighted light absorption coefficient of BrC in the *ij*th cell;  $b_{abs-BrC-k}$  is the light absorption coefficient of BrC observed on the arrival of trajectory *k*; and  $\tau_{ijk}$  is the time spent in the *ij*th cell by trajectory *k*. The

205 weighting function of  $W_{ij}$  was applied to reduce the effect of the small number of back-trajectory segment endpoints that fall into the grid cell (Wang et al., 2006):

$$W_{ij} = \begin{cases} 1.00 & 135 < n_{ij} \\ 0.70 & 45 < n_{ij} \le 135 \\ 0.42 & 15 < n_{ij} \le 45 \\ 0.17 & n_{ij} \le 15 \end{cases}$$
(128)

Here,  $n_{ij}$  is the total number of endpoints in the *ij*th cell. In this study, the total number of endpoints located in 72 cells of the geographic zone is 3268, so that the average number of endpoints in all cells is about 45.

#### 210 2.5 Radiative effect calculation

The concept "simple forcing efficiency" (SFE) introduced by Bond and Bergstrom (2006) is a useful way to evaluate the radiative effect of atmospheric aerosols. Without consideration of aerosol scattering, a variant of wavelength-dependent SFE is given as follows:

$$SFE_i (\lambda) = \frac{S_0(\lambda)}{4} \times \tau_{atm}^2 \times (1 - F_c) \times [4a_s \times MAC_i (\lambda)]$$
(139)

Here, the subscript *i* represents BBOA, po-OOA, or BC; λ denotes the wavelength from 370 to 660 nm with 1 nm step; SFE is given in watts per gram (W g<sup>-1</sup>), which represents the positive energy added to the Earth atmosphere system by a given mass of light-absorbing particles in the atmosphere; S<sub>0</sub> is the solar irradiance based on the ASTM G173-03 reference spectra in watts per square meters (W m<sup>-2</sup>); τ<sub>atm</sub>, F<sub>c</sub>, and a<sub>s</sub> are the atmospheric transmission (0.79), the cloud fraction (0.6), and the surface albedo (0.<u>1</u>9), respectively, which are constants from the global average calculations;
MAC with a 1 nm resolution is extrapolated using Eq. (<u>86</u>). And then, the fraction of solar radiation absorbed by OA component relative to BC (f<sub>OA/BC</sub>) can be calculated as:

$$f_{\text{OA/BC}} = \frac{\sum_{\lambda=370}^{660} \text{SFE}_{\text{OA}}(\lambda) \times C_{\text{OA}}}{\sum_{\lambda=370}^{660} \text{SFE}_{\text{BC}}(\lambda) \times C_{\text{BC}}}$$
(1410)

Here, the integrated SFE is the sum of the SFE from 370 to 660 nm;  $C_{OA}$  and  $C_{BC}$  are the average mass concentrations of OA component and BC during the sampling period.

#### 3.1 Overview of BrC absorption

The temporal variation in submicron aerosol  $b_{abs}$  at wavelengths from 370 to 880 nm as well as the OA mass concentration during the entire campaign at Gaomeigu are depicted in Fig. 2S1 in the Supplement. The hourly  $b_{abs}$  values at different wavelengths varied from minimum to maximum values by factors of 198–41 folds from 14 to 31 March 2018, reflecting that atmospheric environment at Gaomeigu is influenced by dynamic changes in emission sources and

- 2018, reflecting that atmospheric environment at Gaomeigu is influenced by dynamic changes in emission sources and meteorological condition. Particularly, the larger variations in  $b_{abs}$  values at 370–660 nm, compared with that at 880 nm, highlighted the impact of non-BC light-absorbing materials. As shown in Table 1, the average  $b_{abs}$  values were 33.1 ± 24.4 Mm<sup>-1</sup> (arithmetic mean ±standard deviation) at 370 nm, 26.7 ±19.7 Mm<sup>-1</sup> at 470 nm, 20.3 ±13.9 Mm<sup>-1</sup> at 520 nm, 18.2 ±12.5 Mm<sup>-1</sup> at 590 nm, 13.7 ±9.0 Mm<sup>-1</sup> at 660 nm, and 8.0 ±4.9 Mm<sup>-1</sup> at 880 nm. The  $b_{abs}$  values obtained in this
- study were comparable with those reported previously at the sampling sites of the TP, where the major anthropogenic sources (i.e., industry, fossil fuel combustion, etc.) are limited locally (Niu et al., 2018; Zhao et al., 2019; Zhu et al., 2021). Frequency histograms of hourly AAE values showed the media AAE value of 1.59 with interquartile range from 1.38 to 1.83 a typical normal distribution, with an average AAE value of 1.62 ±0.28 (Fig. S12). Over 72 % of AAE values were higher than 1.4 (Upper limit of AAE<sub>BC</sub>), implying the presence of both BrC and BC absorption in the submission acrossel at Geometry.
- 240 submicron aerosol at Gaomeigu.

Based on Eqs. (1) and (2),  $b_{abs-BrC}$  and  $b_{abs-BC}$  were separated from the total absorption using the AAE<sub>BC</sub> = 1.1. The average  $b_{abs-BrC}$  values during the campaign were 12.3 ± 13.8, 10.7 ± 11.4, 6.0 ± 6.0, 5.8 ± 5.7, and 2.7 ± 2.6 Mm<sup>-1</sup> at 370, 470, 520, 590, and 660 nm, respectively (Table 1). Figure 2–3 shows fractions of light absorption at specific wavelengths by BrC and BC in the submicron aerosol. BrC contributed substantially to  $b_{abs}$ , which accounted for 20.0–

- 40.2 % from 370 to 660 nm. The average contributions of b<sub>abs-BrC</sub> to b<sub>abs</sub> in the near-UV and blue spectral ranges (300–500 nm) were higher than those in other visible ranges (520–880 nm), indicating that BrC was a considerable absorbing material at short wavelengths in the atmosphere. It should be noted that the assumption for AAE<sub>BC</sub> would lead to the biases in the BrC absorption calculation (Lack and Langridge, 2013). The uncertainties of AAE<sub>BC</sub> (±0.3) in this study caused variations of 14.3–16.6 %, 10.2–12.2 %, 10.3–11.5 %, 7.7–8.6 %, and 6.6–7.1 % in the estimation of BrC absorption contributions at 370, 470, 520, 590, and 660 nm, respectively (Fig. 23).
- $250^{\circ}$  absorption contributions at 570, 470, 520, 550, and  $500^{\circ}$  min, respectively (Fig.  $\pm 5$ ).

With respect to the relationship between BrC absorption and OA components,  $b_{abs-BrC}$  values at 370–660 nm were significantly correlated with OA mass concentrations (r = 0.64–0.70, p < 0.01) (Fig. <u>S3S2</u>), confirming a strong link between BrC-chromophores and OA in the southeastern margin of TP (Lack et al., 2013; Laskin et al., 2015).

## **3.2 OA source apportionment**

255 HERM analysis identified two distinct OA sources, consisting of BBOA and po-OOA. Each of OA sources had unique characteristics on mass spectrum, temporary variation, and atmospheric processes. The detailed source apportionment results of OA are shown in Fig. <u>34</u>.

The mass spectrum of BBOA resembled that of BBOA obtained in previous studies (Uncentered correlation coefficientsUC = 0.80-0.87) (Crippa et al., 2013; Ng et al., 2011a; Wang et al., 2017). It was characterized by a
prominent peak of *m/z* 60, and a strong positive correlation was found between BBOA and *m/z* 60 concentrations (r = 0.72, *p* < 0.01) (Figs. 3n-4a and b). We have known that *m/z* 60 is a typical molecular fragment of levoglucosan, mannosan, and galactosan, which are good organic tracers of biomass burning (Kalogridis et al., 2018; Kim et al., 2017; Reyes-Villegas et al., 2018). The fraction of *m/z* 60 in BBOA mass spectrum (*f*<sub>60</sub>, 0.9 %) was higher than 0.3% (background level in absence of biomass burning), suggesting the impact of biomass burning at Gaomeigu (Cubison et al., 2011).
Scatterplots of *f*<sub>44</sub> vs. *f*<sub>60</sub> was used to analyze aging degree of BBOA in the atmosphere (Fig. 3e4c). The *f*<sub>60</sub> usually decreases from fresh to aged biomass burning emissions because of degradation and oxidation reactions during the atmospheric aging, while the *f*<sub>44</sub> increases (Paglione et al., 2020). The *f*<sub>60</sub> and *f*<sub>44</sub> of BBOA resolved in this study (0.9 % and 6.3 %, respectively) indicates BBOA was partly aged, possibly caused by the long-distance regional transport. This is further demonstrated in our trajectory-related analysis (Sect. 3.3).

- Another OA source was featured by the high correlation with *m/z* 44 (r = 0.97, *p* < 0.01), which is a surrogate of oxidation degree (Aiken et al., 2008). as well as previously reported OOA (Tobler et al., 2021; Xu et al., 2018; Zhang et al., 2019). The most abundant peak in mass spectrum of po-OOA was at *m/z* 44 (*f*<sub>44</sub>, 27.8 %), similar to those in mass spectra of more-oxidized oxygenated OA (MO-OOA) (*f*<sub>44</sub> > 20 %) identified frequently in previous studies (Tobler et al., 2021; Xu et al., 2018; Zhang et al., 2019). had a high *f*<sub>44</sub> (27.8 %) and a low *f*<sub>43</sub> (0.8 %), It indicated that this OA source that was
- 275 likely related to extensive secondary processes occurring during transport (Wang et al., 2017; Xu et al., 2017). Figure  $3d_{4d}$  shows that both po-OOA mass concentration and its fraction in OA increased with increasing O<sub>3</sub> (R<sup>2</sup> = 0.79–0.87), however, neither of them correlated with RH (Fig.  $54S_3$ ). These results supported that <u>high O<sub>3</sub> was the possible driving</u> <u>factor of po-OOA formation, thus the term of po-OOA was introduced in this study to stress the importance of</u> photochemical-oxidation process<u> in the TP-appeared to affect most formation of po-OOA</u>. Moreover, the temporal
- variation of mass concentration in po-OOA significantly correlated with that in BBOA (r = 0.63, p < 0.01), indicating that a portion of po-OOA could be derived from oxidation of volatile organic precursor from biomass burning (Bruns et al., 2016; Posner et al., 2018).

## 3.3 Source-dependent characteristics of BrC absorption

To further quantify the contributions of OA sources to BrC light absorption, a MLR model was applied to retrieve MAC values from BBOA and po-OOA. As shown in Fig. 54a, the wavelength dependences of MAC<sub>BBOA</sub> and MAC<sub>po-OOA</sub> were generally described by the power-law relationship (R<sup>2</sup> = 0.8477–0.87), and the AAE of BrC values for BBOA and po-OOA were greater than 2.0 (Kirchstetter et al., 2004). The MAC<sub>BBOA</sub> was 2.781.75 ± 0.390.48 m<sup>2</sup> g<sup>-1</sup> at 370 nm, and dropped to 0.610.50 ± 0.078 m<sup>2</sup> g<sup>-1</sup> at 660 nm. Taking the near-UV wavelength as the representative for discussion, the MAC<sub>BBOA</sub> obtained in this study was within that range from biomass burning (0.9–7.7 m<sup>2</sup> g<sup>-1</sup>) reported by laboratory experiments and field measurements studies (Kaskaoutis et al., 2021; Kumar et al., 2018; Lack et al., 2012; Qin et al., 2018; Wang et al., 2020; Washenfelder et al., 2015). The differences in light absorption capacity of OA from biomass burning may be partly associated with biomass types and combustion efficiencies (Budisulistiorini et al., 2017; Martinsson et al., 2015; Tian et al., 2019). In addition, the photobleaching effect of aerosol at different aging degree can

- also lead to the variation in MAC<sub>BBOA</sub> (Sumlin et al., 2017; Zhong and Jang, 2014). The MAC<sub>po-OOA</sub> was  $1.432.15 \pm 0.234 \text{ m}^2 \text{ g}^{-1}$  at 370 nm,  $1.2369 \pm 0.2019 \text{ m}^2 \text{ g}^{-1}$  at 470 nm,  $0.6890 \pm 0.1009 \text{ m}^2 \text{ g}^{-1}$  at 520 nm,  $0.6685 \pm 0.1009 \text{ m}^2 \text{ g}^{-1}$  at 590 nm, and  $0.308 \pm 0.04 \text{ m}^2 \text{ g}^{-1}$  at 660 nm. Compared with BBOA, more oxygenated po-OOA was possibly more photochemically bleached, which resulted in the lower MAC (Lee et al., 2014). absorbed light more efficiently in the near UV, consisting with previous findings for photochemical production of BrC from biomass burning (Kumar et al., 2018; Moschos et al., 2018).
- The MLR model reasonably reconstructed the temporal variation in the measured  $b_{abs-BrC}$  values, with the index of 300 agreement IOAs ranged from 0.73 to 0.79 for different wavelengths. The total reconstructed light absorption coefficient of BrC ( $rb_{abs-BrC}$ ) was the sum of  $b_{abs-BrC}$  from BBOA and po-OOA obtained from the MLR model. As shown in Fig. 4b5b, the average  $b_{abs-BrC}$  values from BBOA were 6.02.3 ±3.60.3, 5.02.2 ±3.00.3, 2.81.3 ±1.70.4, 2.61.2 ±1.60.4, and  $1.30.7 \pm 0.80.4$  Mm<sup>-1</sup> at 370, 470, 520, 590, and 660 nm, respectively. The bass-BrC from BBOA had considerable contributions contributed about half (48.729.5-5140.12 %) ofto the total reconstructed light absorption coefficient of 305 BrC ( $rb_{abs-BrC}$ ) at 370–660 nm, indicating that biomass burning is an important primary source of BrC absorption at Gaomeigu. This was possibly related to transport of pollutants emitted from South and Southeast Asia during the premonsoon season, where biomass burning activities are intensive (Zhang et al., 2020b; Zhang et al., 2015). The po-OOA produced larger comparable  $b_{abs-BrC}$  values (6.03 ±4.20.7, 5.14.9 ±3.60.7, 2.86 ±2.00.6, 2.85 ±1.90.6, and 1.31 ±0.96 Mm<sup>-1</sup> at 370, 470, 520, 590, and 660 nm, respectively), suggesting the critical role of photochemical-oxidation processes 310 in the BrC absorption at Gaomeigu. Four periods are marked in Fig. S12, characterized by the inclusion of obvious rising stages for both OA concentrations and  $b_{abs}$  values. The  $b_{abs-BrC}$  at 370 nm from BBOA and po-OOA-contributed a
  - comparable faction (42.1 % vs. 57.9 %) the most to  $rb_{abs-BrC}$  (63.4 %) during the period I, while the contribution of  $b_{abs-BrC}$

BrC from po-OOA increased significantly during other periods (52.272.3-64.781.4%) (Fig. \$55.4). The rapid increases
in *b*<sub>abs-BrC</sub> from po-OOA were likely caused by photochemical-oxidation processes that were favored by relatively high O<sub>3</sub> condition (75-84 ppb) during the periods II–IV; for comparison, the O<sub>3</sub> mixing ratio during period I was 52 ppb. We noted that the largest *rb*<sub>abs-BrC</sub> occurred in period II when both primary source emissions and secondary formation were strong. These results further highlighted the importance of biomass burning and photochemical-oxidation on light absorption of BrC at Gaomeigu.

The air-mass trajectory and CWT analyses were used to identify whether local emission or regional transported air pollution was the major source of b<sub>abs-BrC</sub> from OA components at Gaomeigu. Figure 5a-6a shows the 72-h backward trajectories of the receptor site during the sampling period, and all those were originated from Myanmar. The percentage of the trajectories with high OA concentration (> 5.3 µg m<sup>-3</sup>, the median value of hourly OA concentration) exceed 50%. From the CWT maps of b<sub>abs-BrC</sub> at 370 nm, the spatial distributions of potential source for b<sub>abs-BrC</sub> from BBOA and po-OOA were similar (Figs. 5b-6b and c). The source regions with the highest CWT values were located in the northern Myanmar and along the China-Myanmar border, while the CWT values in the areas surrounding Gaomeigu were relatively low. This indicates that large b<sub>abs-BrC</sub> loadings at Gaomeigu were more likely resulted in strong cross-border transport of BrC from biomass burning and secondary formation than local emission during the pre-monsoon season.

#### 3.4 Radiative effect of BrC

330 As described in Sect. 2.5, a simple model was used to provide a first-order estimate of the radiative effect of lightabsorbing particles. Figure 76 a shows SFEs of BBOA, po-OOA, and BC at wavelengths from 370 to 660 nm. The SFE peaks of BBOA, po-OOA, and BC were observed at the boundary between the UV and blue spectra (i.e., ~450 nm), that was mainly caused by the high MAC and strong solar irradiance at specific wavelength. The integrated SFEs of BBOA and po-OOA over the entire solar spectra (370–660 nm) in this study wasere 24.2 W g<sup>-1</sup>17.4 and 17.0 W g<sup>-4</sup>, respectively, comparable to that of primary OA (21 W g<sup>-1</sup>, 300–1000 nm) reported in Lu et al. (2015). The integrated SFE of po-OOA 335 (12.5 W g<sup>-1</sup>) was only half of that of BBOA due to the relative lower MAC. BC had a much higher integrated SFE (226.6 W g<sup>-1</sup>) compared with OAs. This is consistent with the widely acknowledged view that BC is the strongest and most important light-absorbing particle in the atmosphere (Bahadur et al., 2012; Bond et al., 2013). As the concentration of OA in the atmosphere is generally greater than that of BC, the importance of BrC radiative effect was further evaluated 340 by calculating the fraction of solar radiation absorbed by OA relative to BC. The fractional radiative forcing by two OAs relative to BC was as high as  $48.850.6 \pm 15.518.7$  %, in which the relative radiative forcing of po-OOA to BC (24.232.9)  $\pm 13.218.0$  %) was almost twice-equal that of BBOA to BC (24.617.7  $\pm 9.16.6$  %) (Fig. 76b). These results suggested that BrC emitted from biomass burning and formed by photochemical oxidation was an efficient radiative forcing agent, which, along with BC, can remarkably disturb the radiative balance over the TP. Thus, the inclusion of BrC in the climate 345 models will provide a better understanding of climate change of the southeastern TP. It should also be noted that although BBOA and po-OOA had similar radiative effects, effective measures on tackling the impact of BrC are to reduce primary emission and volatile organic precursor of BrC from biomass burning in the future, since the intense photochemical environment is an inherent feature of the TP.the radiative effect of BrC, especially secondary BrC, should be given more consideration in tackling climate change of the southeastern TP.

#### 350 4 Conclusion

This study conducted an intensive real-time measurement at Gaomeigu in the southeastern margin of the TP during the pre-monsoon season to investigate light absorption properties, sources, secondary formation, and radiative effect of BrC in the submicron aerosol. Based on the assumption of AAE<sub>BC</sub> = 1, the average  $b_{abs-BrC}$  values were calculated as 12.3 ± 13.8, 10.7  $\pm$  11.4, 6.0  $\pm$  6.0, 5.8  $\pm$  5.7, and 2.7  $\pm$  2.6 Mm<sup>-1</sup> at 370, 470, 520, 590, and 660 nm, respectively, which contributed 20.0-40.2 % of the total light absorption. OA was used as an alternative to BrC due to the significant 355 correlation (r = 0.64-0.70, p < 0.01) between its mass concentration and  $b_{abs-BrC}$ . The HERM analysis identified two OA sources, including a BBOA and a po-OOA. BBOA was partly aged as evidenced by the  $f_{60}$  (0.9 %) and  $f_{44}$  (6.3 %) of mass spectrum, while significant positive correlation between po-OOA and O3 indicated that photochemical-oxidation process was possibly the main pathway for the formation of po-OOA. A MLR model combined with  $b_{abs-BrC}$  and OA 360 concentration was used to estimate the MAC of OA. The result showed that po-OOA absorbed light lessmore efficiently in the near-UV compared with BBOA due to more photobleaching effect. The MAC<sub>BBOA</sub> and MAC<sub>po-OOA</sub> was 2.781.75 $\pm 0.3948$  and  $1.432.15 \pm 0.234$  m<sup>2</sup> g<sup>-1</sup> at 370 nm, and dropped to  $0.6150 \pm 0.078$  and  $0.308 \pm 0.04$  m<sup>2</sup> g<sup>-1</sup> at 660 nm, respectively.  $b_{abs-BrC}$  from BBOA contributed about half (48.7–51.1 %)29.5–40.2 % of the reconstructed  $b_{abs-BrC}$  at 370-660 nm, while the rest was from po-OOA. All the 72-h backward trajectories of the Gaomeigu site came from Myanmar. The spatial distributions of potential source regions for  $b_{abs-BrC}$  showed the highest CWT values for BBOA 365 and po-OOA were both in the northern Myanmar and along the China-Myanmar border, demonstrating that biomass burning emissions and secondary formation from the cross-border transport of Southeast Asia were the major source of  $b_{abs-BrC}$  at Gaomeigu. According to the integrated SFEs of BBOA, po-OOA, and BC over the solar spectra (370–660 nm)  $(24.217.4, 12.517.0, and 226.6 \text{ W g}^{-1}, respectively)$ , the fractional radiative forcing by BBOA  $(24.617.7 \pm 9.16.6 \%)$  and po-OOA ( $24.232.9 \pm 13.218.0$  %) relative to BC were obtained, highlighting the importance of BrC radiative effect. This 370

study provides insights into light absorption properties of BrC and its potential impacts on climate change over the TP and surrounding areas.

*Data availability.* Data used to support the findings in this study are archived at the Institute of Earth Environment, Chinese Academy of Sciences, and are publicly available at https://doi.org/10.5281/zenodo.74965047034650.

375 Competing interests. The authors declare that they have no conflict of interest.

*Author contributions.* QW, YH, and JC designed the campaign. JT and JW conducted field measurements. QW, JT, and YM made data analysis and interpretation. JT wrote the paper with contributions from all co-authors.

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**Table 1.** Submicron aerosol light absorption coefficient ( $b_{abs}$ ) contributed by BrC ( $b_{abs-BrC}$ ) and BC ( $b_{abs-BC}$ ) at Gaomeigu during the sampling period (March 14<sup>th</sup> to 31<sup>th</sup>, 2018).

Parameter <sup>*</sup> (Mm <sup>-1</sup> )	Wavelength							
	370 nm	470 nm	520 nm	590 nm	660 nm	880 nm		
$b_{\rm abs}$	$33.1 \pm 24.4$	$26.7 \pm 19.7$	$20.3 \pm 13.9$	$18.2 \pm 12.5$	$13.7 \pm 9.0$	$8.0 \pm 4.9$		
	$(4.7 - 160.0)^{**}$	(3.8–138.4)	(2.6-93.0)	(2.1 - 84.8)	(1.7-56.9)	(1.5-28.6)		
$b_{\rm abs-BrC}$	$12.3 \pm 13.8$	$10.7 \pm 11.5$	$6.0~{\pm}6.0$	$5.8\pm5.8$	$2.7 \pm 2.6$	$0.0\pm 0.0$		
$b_{ m abs-BC}$	$20.8 \pm 12.8$	$16.0 \pm 9.8$	$14.3\ \pm 8.8$	$12.4 \pm 7.7$	$11.0 \pm 6.8$	$8.0 \pm 4.9$		

 $b_{abs-BrC}$  and  $b_{abs-BC}$  were calculated based on the AAE<sub>BC</sub> = 1.1.

\*\*<u>The</u>Variations of the measured hourly  $b_{abs}$  from minimum to maximum values.

# 675 Figure captions:

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Figure 1. Topography map of the Tibetan Plateau and the location of the sampling site at Gaomeigu.

**Figure 2.** Hourly variations in (a) OA mass concentrations and (b) submicron aerosol light absorption coefficients ( $b_{abs}$ ) at different wavelengths (370, 470, 520, 590, 660 and 880 nm) at Gaomeigu from 14 to 31 March, 2018.

**Figure 23.** Light absorption fractions at specific wavelengths contributed by BrC and BC under different absorption Ångstr öm exponent of BC (AAE<sub>BC</sub>) assumptions. The red, blue, and green lines were the dividing lines between BrC and BC light absorption fractions when  $AAE_{BC} = 1.1, 0.8$ , and 1.4, respectively. The grey filled area represents variations in the BrC absorption fraction caused by the uncertainties of  $AAE_{BC} (\pm 0.3)$ .

Figure 34. (a) Mass spectra of BBOA and po-OOA. (b) Pearson correlations between mass concentrations of OA components and the tracer molecular fragments. (c) Scatterplots of *f*<sub>44</sub> vs. *f*<sub>60</sub> for BBOA resolved in this study and reported by previous literatures. (d) Variations of po-OOA mass concentration and its fraction in OA as a function of O<sub>3</sub>. The data are grouped in O<sub>3</sub> bins (10 ppb increment).

**Figure 45.** (a) The mass absorption cross section of BBOA and po-OOA (MAC<sub>BBOA</sub> and MAC<sub>po-OOA</sub>, respectively) at five wavelengths ( $\lambda = 370, 470, 520, 590$ , and 660 nm). The circle and shaded area represent the mean MAC values and the standard deviations, respectively. The dashed line is power-law fit. (b) Light absorption coefficient of BrC ( $b_{abs-BrC}$ ) from BBOA and po-OOA and its fraction in the total reconstructed BrC absorption at different wavelengths.

**Figure 56**. (a) 72-h backward trajectories of Gaomeigu from 8:00 on 14 to 23:00 on 31 March, 2018. (b) and (c) Concentration weighted trajectory (CWT) maps of  $b_{abs-BrC}$  at 370 nm (Mm<sup>-1</sup>) from BBOA and po-OOA, respectively.

**Figure 67**. (a) Simple forcing efficiency (SFE) of light-absorbing particles from 370 to 660 nm and the integrated SFE over the entire solar spectra (370–660 nm). (b) The fraction of solar radiation absorbed by OA components relative to

695 BC. In each panel, the short line and square inside the boxes indicate the median and mean values, respectively. The lower and upper edges of the boxes denote the standard deviation. The vertical bars ("whiskers") show the 5<sup>th</sup> and 95<sup>th</sup> percentiles. Scattered data points and normal distribution curve are also shown.

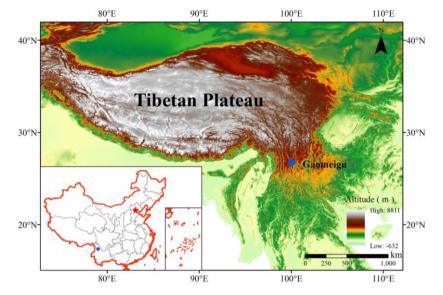
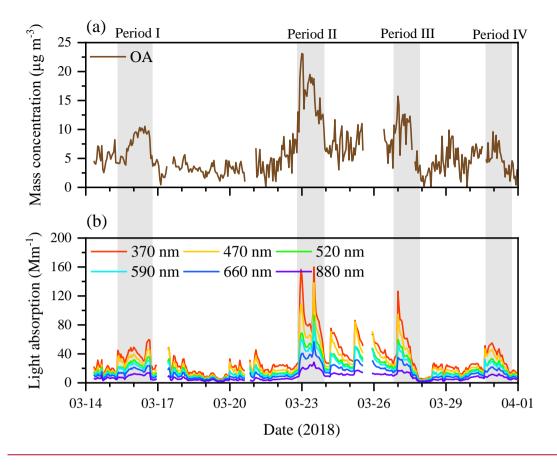
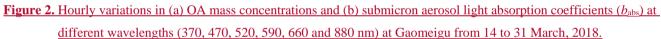
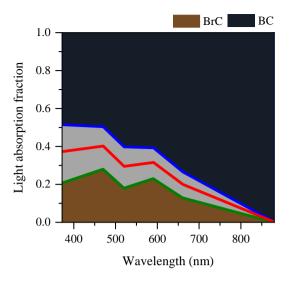


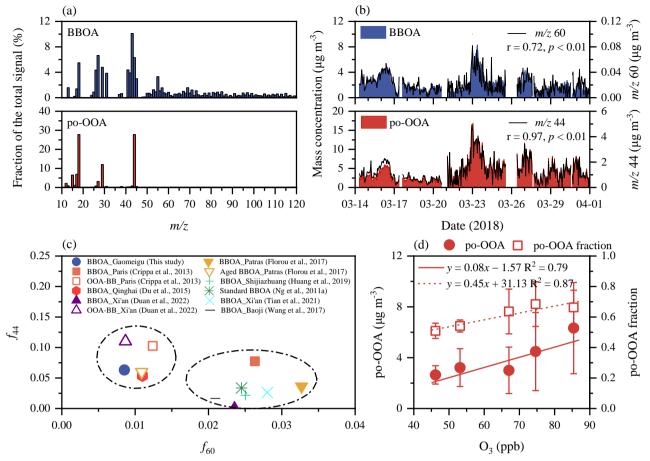
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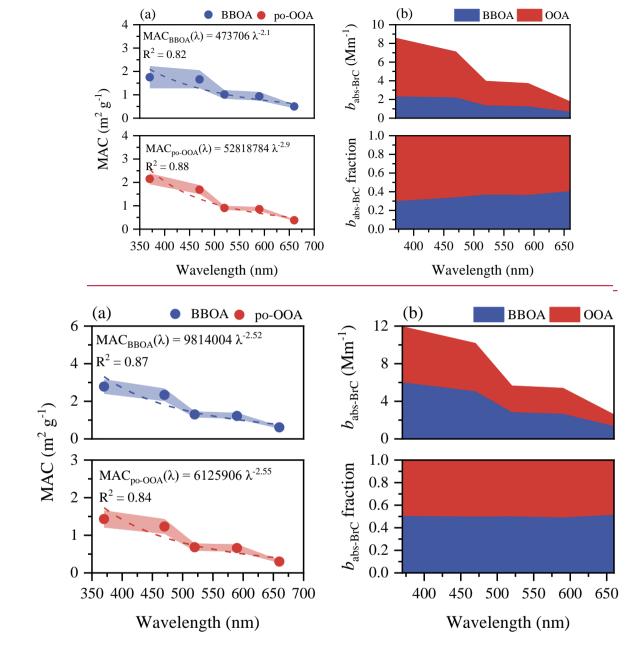




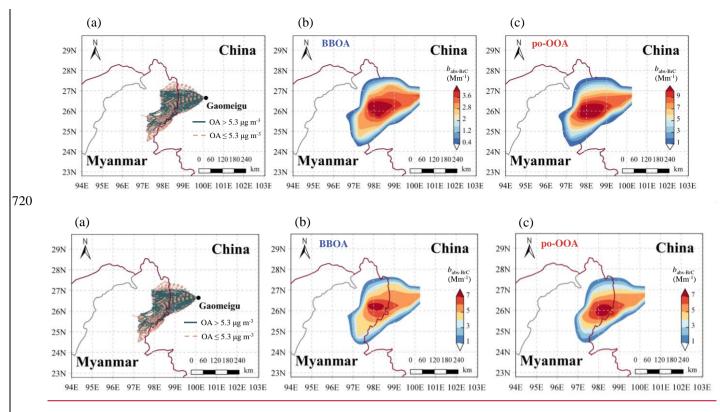
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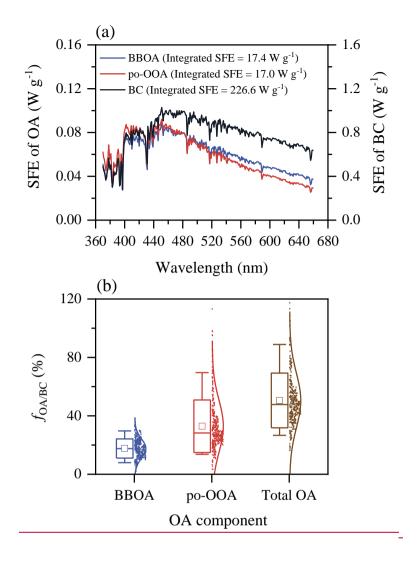
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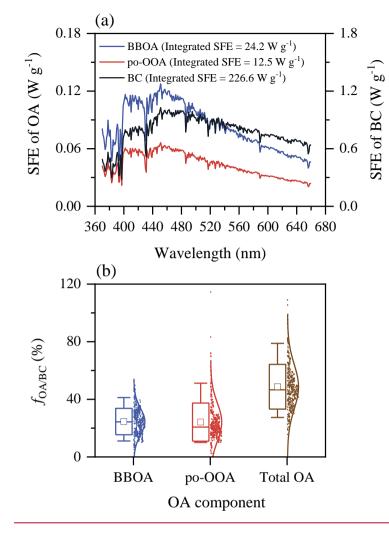


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