



# 1 A Global Evaluation of Daily to Seasonal Aerosol and Water 2 Vapor Relationships Using a Combination of AERONET and 3 NAAPS Reanalysis Data

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13 **Abstract.** The co-transport of aerosol particles and water vapor has long been noted in the literature, with a myriad of  
14 implications from air mass characterization to radiative transfer. In this study, the relationship between aerosol optical  
15 depth (AOD) and precipitable water vapor (PW) is evaluated to our knowledge for the first time globally, at daily to  
16 seasonal levels using approximately 20 years of AERONET observational data and the 16-year NAAPS reanalysis  
17 v1.0 (NAAPS-RA) model fields. The combination of AERONET observations with small associated uncertainties and  
18 the reanalysis fields with full global coverage is used to provide a best estimate of the seasonal AOD and PW  
19 relationships, including an evaluation of correlations, slope, and PW probability distributions for identification of  
20 statistically significant differences in PW for high AOD events. The relationships produced from the AERONET and  
21 NAAPS-RA datasets were compared against each other and showed consistency, indicating that the NAAPS-RA  
22 provides a realistic representation of the AOD and PW relationship. The initial analysis is then extended to layer AOD  
23 and PW relationships for proxies of the planetary boundary layer, and lower, middle and upper free troposphere. It  
24 was found that the dominant AOD and PW relationship is positive, supported by both AERONET and model  
25 evaluation, which varies in strength by season and location. These relationships were found to be statistically  
26 significant and present across the globe, observed on an event by event level. Evaluations at individual AERONET  
27 sites implicate synoptic-scale transport as a contributing factor in these relationships at daily levels. Negative AOD  
28 and PW relationships were identified and predominantly associated with regional dry season timescales in which  
29 biomass burning is the predominant aerosol type. This is not an indication of dry air association with smoke aerosol  
30 for an individual event, but is more a reflection of the overall dry conditions leading to more biomass burning and  
31 higher associated AOD values. Stronger correlations between AOD and PW are found when evaluating the data by  
32 vertical layers, including boundary layer, lower/middle/upper free troposphere (corresponding to typical water vapor  
33 channels), with the largest correlations observed in the free troposphere-indicative of aerosol and water vapor transport  
34 events. By evaluating variability between PW and relative humidity in the NAAPS-RA, hygroscopic growth was  
35 found to 1) amplify positive AOD-PW relationships, particularly in the mid-latitudes; 2) diminish negative  
36 relationships in dominant biomass burning regions; and 3) leads to statistically insignificant changes in PW for high  
37 AOD events in ocean regions. The importance of hygroscopic growth in these relationships indicates that PW is a  
38 useful tracer for AOD, but not necessarily as strongly for aerosol mass. Synoptic-scale African dust events are an  
39 exception where PW is a strong tracer for aerosol shown by strong relationships even with hygroscopic affects. Given  
40 this results, PW can be exploited in coupled aerosol and meteorology data assimilation for AOD and the collocation  
41 of aerosol and water vapor should be carefully taken into account when evaluating radiative impacts of aerosol, with  
42 the season and location in mind.



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44 **1.0- Introduction**

45 The definition of an aerosol is that it is a colloidal system of particles or droplets suspended in a dispersed gaseous  
46 medium (American Meteorological Society, 2020). While the word “aerosol” is often taken to represent only the  
47 particulate phase, the true definition reminds us of the thermodynamic, compositional and radiative “whole” that  
48 makes up the particulate and dispersed phases of an aerosol parcel. With this definition in mind, an important aspect  
49 of aerosol parcels that should be considered is the covariability between the aerosol particles and the dispersed water  
50 vapor. While the aerosol and water vapor relationship is generally accounted for in the context of relative humidity,  
51 hygroscopicity, and optical properties (e.g., Hänel et al., 1976; Charlson et al. 1992), the covariability of aerosol  
52 particles and dispersed water vapor is important in its own right. Early studies of co-located aerosol and water vapor  
53 measurements demonstrated the structural covariability between the two components (e.g., Stull and Eloranta 1984;  
54 Kleinman and Daum, 1991; Turner 2002; De Tomasi and Perrone, 2003). Now, coupled aerosol-water vapor profiles  
55 are commonly used to infer aerosol layer structure (e.g., Livingston et al., 2003; Reid et al., 2003; 2008; 2019; Wang  
56 et al. 2012; Yufeng et al., 2018), cloud detrainment (Su et al., 2011; Reid et al., 2019; He et al., 2021) and mixed layer  
57 properties (Späth et al., 2016). Even integrated aerosol optical depth (AOD) and precipitable water vapor (PW)  
58 comparisons have utility and have been used to identify air masses, transport pathways, and aerosol optical properties.  
59 Regional studies include Africa (Kumar et al., 2017, Xian et al. 2020), the Amazon (Kaufman and Frasier, 1997;  
60 Martins et al., 2018), India (Kumar et al., 2013; and Kannemadugu et al., 2015), and North America (O’Neil et al.,  
61 1993; Smirnov et al., 1994). Notable examples of co-transport of aerosol particles and water vapor include the African  
62 Monsoon Multidisciplinary Analysis (AMMA) in which elevated biomass burning aerosol layers were found with  
63 higher water vapor concentrations than the surrounding air (Kim et al. 2009). Likewise, Marsham et al., 2016  
64 investigated water vapor enhancements with dust in the Saharan Air Layer (SAL).

65  
66 It should be noted that higher PW amounts are typically associated with higher cloud cover fractions. These higher  
67 cloud fractions create additional environmental conditions for enhancements of the aerosol AOD and PW relationship.  
68 There is a high RH halo around cumulus clouds (Radke and Hobbs, 1991; Perry and Hobbs, 1996) which increases  
69 the near cloud hygroscopic growth of aerosol. Additionally, the passage of aerosol through clouds by convection  
70 and/or advection also increases hygroscopic growth. Cloud processing of particles in cloud droplets and new particle  
71 formation from gas-to-particle reactions in cloud water droplets are also important. Examples of remote sensing  
72 observations from AERONET of cloud processing increasing AOD in layer clouds and/or fog are given in Eck et al.  
73 (2012) and in the vicinity of cumulus clouds in Eck et al. (2014). Additionally high AOD events were often found to  
74 be associated with clouds in East Asia (Eck et al., 2019; Arola et al., 2017).

75  
76 In addition to its utility as a tracer for transport and mixing, the aerosol particle-water vapor co-transport are significant  
77 in regards to their relative contributions to overall solar and terrestrial radiative effects (Rosario et al., 2011; Marsham  
78 et al., 2016; Deaconu et al., 2019; Gutleben et al., 2019; Granados-Muñoz et al., 2019; Zhu et al., 2019; Yu et al.,  
79 2021). Similarly, co-transport must be considered in atmospheric correction of land, ocean and atmospheric products



80 (e.g., Sobrino et al. 1993; Eck and Holben 1994; DeSouza-Machado et al., 2006; Luo et al, 2019; Zeng et al., 2017;  
81 Patadia et al., 2018; Frouin et al. 2019, Ibrahim et al. 2019; Miller et al. 2019). As previously noted, there are also  
82 links to cloud development and potentially indirect effects (Ten Hoeve et al., 2011; Pistone et al., 2016). Ultimately,  
83 the coupled aerosol particle- water vapor system must be considered jointly to adequately contain overall climate  
84 budgets and forcing (Kaufman and Fraser, 1997; Wong et al., 2009; Schneider et al, 2010; Sherwood et al, 2010;  
85 Haywood et al., 2011; Huttunen et al., 2014; Yu et al., 2014; Spyrou 2018).

86  
87 Finally, recent advances in coupled data assimilation (DA) allow for not only a joint analysis of aerosol particles and  
88 water vapor as is done in weakly coupled approaches, but for observations to jointly influence posteriors through  
89 cross-covariances in strongly coupled DA (Liu et al., 2011; Lee et al., 2017; Ménard et al., 2019). The hope is that  
90 strongly coupled DA can be used to generate a more consistent representation of coupled atmospheric systems. In the  
91 context of the aforementioned references on the coupled aerosol particle-water vapor system, there is now a more  
92 pressing need for evaluating joint aerosol and water vapor measurements. This is further emphasized by the observed  
93 frequency of aerosol and water vapor co-transport in both forecast models and satellite observations. Similar spatial  
94 patterns between aerosol optical depth (AOD) and precipitable water vapor (PW) can be observed on a daily basis in  
95 model analyses, forecasts, and satellite products such as the Morphed Integrated Microwave Imagery at CIMSS - Total  
96 Precipitable Water (MIMIC-TPW) (Wimmers et al. 2011), particularly associated with mid-latitude fronts. An  
97 example of forecasts of TPW and AOD from the Navy Global Environmental Model (NAVGEM) (Hogan et al. 2014)  
98 and Navy Aerosol Analysis Prediction System (NAAPS; Lynch et al. 2016), respectively are shown in Figure 1 in  
99 which co-transport regions are highlighted. Aerosol and water vapor relationships are not expected to be universal and  
100 will likely vary in magnitude from air mass to air mass due to differences in sources, physics, and overall vertical  
101 distribution. While the previously mentioned studies have found relationships between aerosol and water vapor for a  
102 host of case or local studies, this relationship has not to our knowledge been evaluated on a larger spatial and temporal  
103 scale for broad applicability for aerosol forecasting and data assimilation.

104

105 This is the first of several studies developing coupled data analysis and assimilation of the water vapor- aerosol particle  
106 system. Here, the project begins by focusing on observations of synoptic scale temporal and spatial relationships using  
107 the extensive NASA Aerosol Robotic Network (AERONET; Holben et al., 1998; Giles et al., 2019). The advantage  
108 of AERONET for this study is that the data record is long and includes high frequency ground-based measurements  
109 of both aerosol in the form of AOD and water vapor in the form of PW with sites located across the globe. Additionally,  
110 AERONET measurements are made throughout the entire daylight hours when the sun is not obscured by clouds. It  
111 should be noted that this does result in a high pressure bias in AERONET data since few measurements are possible  
112 in extensive cloud fraction conditions. Another important advantage is that the observations can be made effectively  
113 in the near vicinity of clouds without the commonly observed satellite measurement artifacts of multiple scattering  
114 between clouds, molecules, and particles which enables a minimization of cloud contamination in the near vicinity of  
115 clouds as compared to satellite observations. While the AERONET network is extensive, it cannot provide a full global  
116 evaluation of the aerosol and water vapor relationship. Therefore, the relationships identified in the AERONET dataset  
117 are compared against model AOD and PW relationships found in the NAAPS reanalysis (NAAPS-RA) dataset (Lynch



118 et al. 2016). A description of both the AERONET and NAAPS-RA datasets and the analyses conducting for  
119 quantifying the global AOD and PW relationships are described in the Methods section below. The results of the  
120 analysis are discussed in the context of large-scale relationships between column-integrated AOD and PW. A follow-  
121 on study will then take the relationships found in this work and move on to evaluate the relationships on an event level  
122 in space and time as well as the controlling factors that drive the aerosol and water vapor relationship, in particular,  
123 how much synoptic scale transport controls the observed covariability.

124

## 125 **2 Methods**

126 In order to evaluate the relationship between column-integrated aerosol and water vapor in space and time, the  
127 AERONET observational network is used as it provides joint measurements of aerosol and water vapor with low  
128 levels of uncertainty, has a large number of sites located across the globe, and a long data record. While AERONET  
129 measurements are column-integrated, they provide a good starting point for understanding the observed aerosol and  
130 water vapor relationships at locations across the globe. As a first step, relationships are quantified at AERONET sites  
131 between daily-averaged AOD and PW measurements. The focus here is on the synoptic-scale relationships between  
132 aerosol and water vapor. Therefore, daily-averaged relationships are evaluated in this analysis, using correlations and  
133 an evaluation of the water vapor probability distributions to identify statistically significant changes in PW with AOD.  
134 The evaluation is then extended to the NAAPS-RA dataset in order to provide a more complete global perspective in  
135 the full column as well as in different vertical components of the atmosphere, including the boundary layer and free  
136 troposphere, as a means to understand how these relationships vary when considering vertical position. Finally, the  
137 impact of relative humidity and hygroscopic growth covariability on model predicted AOD and PW relationships is  
138 evaluated.

### 139 **2.1 Data Description:**

#### 140 **2.1.1 AERONET AOD**

141 AERONET is a global ground-based network of sun photometers that measure direct sun and sky radiances over a  
142 range of wavelengths (340–1640nm). These measurements are used to generate column-integrated aerosol properties  
143 of AOD and aerosol microphysical and radiative properties (Holben et al. 1998; Giles et al., 2019). The network  
144 includes over 600 sites with data available at <https://aeronet.gsfc.nasa.gov/>. The uncertainty in AERONET AOD is  
145 reported to be ~0.01–0.02 for level 2 data with the higher uncertainty of 0.02 pertaining to the UV wavelengths and  
146 the lower ~0.01 uncertainty associated with visible and near infrared wavelengths (Eck et al., 1999). Due to this low  
147 uncertainty, AERONET AOD observations are used for validation of satellite retrievals (Remer et al. 2002, Ichoku et  
148 al. 2002, Kahn et al. 2005) as well as for verification of model forecasts (Zhang et al. 2008, , Benedetti et al. 2008,  
149 Sessions et al. 2015, Xian et al. 2019). For this analysis, AERONET version 3 (Giles et al. 2019), level 2 daily-  
150 averaged AOD observations are used. AERONET AOD observations at 675nm for all available sites were collected  
151 and sites that had a minimum of 100 daily-averaged values were retained for the analysis, with seasonal data counts  
152 in Figure 2. The 675nm wavelength was selected as it is a core AERONET wavelength that is available at all sites and  
153 provides parity for both the fine and coarse aerosol modes.



154

### 155 **2.1.2 AERONET Water Vapor**

156 Precipitable water vapor (PW), a measure of the total amount of water vapor contained in a vertical column from the  
157 surface to the top of the atmosphere, is retrieved from AERONET direct sun irradiance measurements in the water  
158 vapor absorption band around 940nm. The uncertainty of AERONET water vapor data is reported at 12% (Sano et al.  
159 2003) and more recently, an analysis of uncertainty against radiosonde, microwave radiometry, and GPS data indicated  
160 a dry bias of 5-6 % and a total estimated uncertainty of 12-15% (Perez-Ramirez et al. (2014)). The Perez-Ramirez et  
161 al. (2014) evaluation with the identified uncertainty range of 12-15% included PW retrieval comparison at 3 sites  
162 located in the tropics, mid-latitudes and the arctic, covering a range of climatic conditions and temperature/water vapor  
163 profiles, and therefore, provides a reasonable uncertainty estimate for the entire AERONET network. The PW data  
164 used in this analysis comes from the same AERONET version 3, level 2 daily-averaged dataset that is used for the  
165 AOD data. As was the case for the AERONET AOD data, sites that had a minimum of 100 daily-averaged values  
166 were retained for the analysis (Figure 2).

167

### 168 **2.1.3 NAAPS Reanalysis**

169 The NAAPS aerosol reanalysis v1.0 (Lynch et al. 2016) is a standardized global modal AOD product generated by  
170 the U.S. Naval Research Laboratory (NRL) that extends over a 16 year time period (2003-2019). The core of the  
171 aerosol reanalysis is the NAAPS offline aerosol transport model and its associated 2-dimensional variational data  
172 assimilation system, the Navy Variational Data Assimilation System for Aerosol Optical Depth (NAVDAS-AOD).  
173 NAAPS has been run semi-operationally at NRL since 1998 and became operational at the Fleet Numerical  
174 Meteorology and Oceanography Center (FNMOC) in 2006 with NAVDAS-AOD operationally implemented in 2010.  
175 For the NAAPS-RA, NAVDAS-AOD is used to assimilate quality-assured and quality-controlled AOD retrievals  
176 from the Moderate Resolution Imaging Spectroradiometer (MODIS) and Multi-angle Imaging SpectroRadiometer  
177 (MISR).

178

179 NAAPS generates 3-dimensional forecasts of dust, smoke, sea salt and a combined anthropogenic/biogenic fine  
180 aerosol (ABF, also referred to in this work as pollution) mass concentration fields and the associated 3-dimensional  
181 aerosol extinction and column-integrated AOD fields. As an offline model, NAAPS is driven by meteorological fields  
182 from the Navy Global Environmental Model (NAVGEM) (Hogan et al. 2014), using analysis fields every 6 hours and  
183 forecasts provided at 3 hour intervals. The NAVGEM analysis fields are generated using NAVDAS for assimilation  
184 of a large number of conventional and satellite-based observations (Daley and Barker, 2001). NAVGEM variables  
185 used by NAAPS includes the topography, sea ice, snow cover, surface stress, surface heat/moisture fluxes,  
186 precipitation, lifting condensation level, cloud cover and height as well as 3-dimensional winds, temperature, and the  
187 most relevant for this work, humidity. For the NAAPS analysis, aerosol sources, including dust and smoke, and  
188 deposition processes were regionally tuned to best match observations (AERONET, MODIS). A detailed description  
189 of the NAAPS-RA v1.0 is described in Lynch et al. 2016.

190



191 In NAAPS, the Hanel (1976) formulation of the hygroscopic growth factor ( $f$ ) for a given species  $i$  and relative  
192 humidity ( $r$ ) is used to represent the effect of humidity on particle light scattering, defined as:

$$193 \quad f_i(r) = \left[ \frac{(1-r)}{(1-r_o)} \right]^{-\gamma_i}, \quad (1)$$

194 where  $\gamma_i$  is an empirical species-dependent exponent (ABF=0.5 assuming 40% sulfate and 60% organics, smoke=0.18,  
195 sea salt = 0.46, dust=0) and  $r_o$  is the reference relative humidity of 30%. The hygroscopic growth factor is applied  
196 when calculating the aerosol scattering coefficient. In order to assess the impact of hygroscopic growth on model-  
197 predicted AOD and PW correlations, a “dry” AOD is also calculated for the NAAPS-RA in which the hygroscopic  
198 growth factor is not applied.

199 For this work, the NAAPS-RA v1.0 AOD fields and the NAVGEM humidity fields used in generating the NAAPS-  
200 RA are extracted for the full 16 year dataset (2003-2019). NAVGEM humidity fields were integrated vertically to  
201 generate model-predicted PW fields and both the PW and AOD fields were averaged on a daily basis. Additionally,  
202 “dry” AOD fields were calculated for the NAAPS-RA and likewise, averaged on a daily basis.

## 203 **2.2 AOD and PW Relationship Analysis:**

### 204 **2.2.1 Correlation Analysis**

205 As a first step in understanding the relationship between aerosol and water vapor in the AERONET data record,  
206 correlations (Pearson correlation coefficients) are calculated at each AERONET site with a minimum of 100 data  
207 points. The correlations are calculated between the daily-averaged AOD (675nm) and PW datasets seasonally  
208 (December-January-February (DJF), March-April-May (MAM), June-July-August (JJA), September-October-  
209 November (SON)). This analysis is used to identify when and where relationships exist between AOD and PW in the  
210 data record and the strength of the relationship. In order to provide global context to the AERONET AOD and PW  
211 correlations, the same analysis was conducted using the NAAPS 16-year v1.0 reanalysis dataset. The seasonal  
212 reanalysis correlations were calculated in a similar manner as the AERONET data, using daily-averaged model-  
213 generated AOD and PW values. The model-generated values were then compared against observationally generated  
214 AERONET correlations. The correlations in both the AERONET and NAAPS-RA evaluation were tested for  
215 statistical significance at the 95% confidence level.

### 216 **2.2.2. Slope Evaluation**

217 In addition to the AOD and PW Pearson correlation coefficients calculated from the AERONET and NAAPS-RA  
218 datasets, the slopes of the AOD and PW relationship were calculated from the seasonal data using a Theil-Sen  
219 regression. A Theil-Sen regression is a robust method for fitting a line to sample points by choosing the median of  
220 slopes of all lines through pairs of points. Due to the use of the median slope, the Theil-Sen method is insensitive to  
221 outliers and therefore, a useful method for this analysis. With the Theil-Sen regression, a 95 % confidence interval of  
222 the Theil-Sen slope was calculated for each location and season.

### 223 **2.2.3 Evaluation of the AOD and PW Probability Distribution**



224 In addition to a correlation and slope analysis, the AOD and PW probability distributions were also evaluated. Given  
225 the expectation that aerosol and water vapor relationships will change depending on the air mass, seasonal correlations  
226 can obscure the presence of aerosol and water vapor relationships when air masses with an existing relationship  
227 between aerosol and water vapor occur infrequently. In this evaluation, the PW distribution associated with high AOD  
228 events, defined as having an AOD value greater than 1 standard deviation above the mean, were compared to the PW  
229 distribution for all data for a given location and season. A t-test was conducted to identify statistically significant  
230 differences in the PW distribution means for the high AOD events and all data (p-value = 0.05). This analysis was  
231 conducted seasonally (DJF, MAM, JJA, SON) using both the AERONET and the NAAPS-RA datasets.

#### 232 **2.2.4 Vertical Evaluation of the AOD and PW Relationship**

233 While the global and AERONET site AOD and PW evaluations as well as the studies cited in the introduction provide  
234 an understanding of the column-integrated relationship between aerosol and water vapor, an additional evaluation was  
235 conducted to look at the aerosol and water vapor relationship in different levels of the troposphere. This evaluation  
236 was conducted using the NAAPS-RA fields only, since observations of joint aerosol and water vapor vertical structure  
237 are limited. Model generated correlations were calculated for a defined boundary layer (BL), lower free troposphere  
238 (LT), mid-free troposphere (MT), and upper free troposphere (UT) region. The reanalysis total aerosol extinction and  
239 specific humidity were vertically integrated in the first 1km of the atmosphere as a representation of the boundary  
240 layer. Integration levels in the free troposphere were selected based on the sensitivities of the upper, mid-level, and  
241 lower-level geostationary water vapor channels on the NOAA Geostationary Operational Environmental Satellite  
242 (GOES) Advanced Baseline Imager (ABI) and the JMA Advanced Himawari Imager (AHI) with a goal of using these  
243 water vapor channels to further explore aerosol and water vapor relationships in future work. The selected integration  
244 levels were from 800 to 500 hPa (LT), 600 to 300 hPa (MT), and 400 to 300 hPa (UT), respectively. The vertically  
245 integrated relationships, as was done for the full column-integrated evaluation, are calculated seasonally and are used  
246 to identify if the model correlations are controlled by aerosol and water vapor in certain parts of the atmosphere. While  
247 the boundary layer is expected to be a dominant control of the signal, given the sources of both aerosol and water  
248 vapor are within the boundary layer, strong correlations within the free troposphere could indicate aerosol and water  
249 vapor relationships as a result of lifting from the surface or long-range transport which typically occurs within the free  
250 troposphere.

#### 251 **2.2.5 Impact of Hygroscopic Growth on AOD and PW relationships**

252 It is well documented in the literature that water uptake on aerosol particles under moist conditions impacts aerosol  
253 optical properties. Because of this, it is necessary to understand how much hygroscopic growth impacts AOD and PW  
254 relationships through covariability of PW and relative humidity. The data to evaluate this observationally is not  
255 available, therefore, the NAAPS-RA is used to evaluate the impact of the hygroscopic growth factor on model  
256 predicted correlations. As a first step in this evaluation, the correlation between PW and relative humidity was  
257 calculated by season for the previously defined vertical components of the atmosphere (boundary layer,  
258 lower/mid/upper free troposphere). In order to calculate relative humidity for each defined part of the troposphere, a  
259 saturation specific humidity was calculated in each model level using the reanalysis pressure and temperature fields



260 as input. Both the specific humidity and the saturation specific humidity were vertically integrated over the defined  
261 levels and the ratio of the two values was used to produce a relative humidity that conserves the amount of water vapor  
262 through the associated portion of the troposphere. This analysis gives a first look at where the covariability between  
263 PW and RH is expected to be most impactful on the AOD and PW relationship. However, given aerosol hygroscopic  
264 growth is dependent on aerosol type, the analysis was taken a step further by calculating the seasonal relationships,  
265 including correlations/slopes and the probability distribution evaluation, between dry AOD and PW. The dry AOD,  
266 in which the impact of hygroscopic growth on AOD is removed as described in the NAAPS-RA section (2.1.3), was  
267 calculated for the full dataset. The relationships using the dry AOD are compared to the standard AOD/PW results as  
268 a means to evaluate the impact of hygroscopic growth on the modeled AOD and PW relationships.

#### 269 **2.2.6 Evaluation at Individual AERONET Sites**

270 While the previous analyses provide a global perspective on the aerosol and water vapor relationships, the relationships  
271 were also evaluated at select AERONET sites to provide a first look at what is driving the observed covariability  
272 between AOD and PW on an event level. The AERONET sites, including Tallahassee, Florida in the Southeast United  
273 States, Beijing, China in East Asia, Izana, Canary Islands off the coast of Africa, and Alta Floresta, Brazil in South  
274 America, are selected based on the strength of the observed/modeled relationships and cases are selected for different  
275 seasons that exhibited both positive and negative relationships. While this evaluation does not by any means provide  
276 a complete understanding of the drivers of these relationships across the globe, it can be used to provide some insight.

### 277 **3.0 Results**

278 This study is highly multi-dimensional. In order to elucidate the findings, the results are first presented as a global  
279 evaluation, which is followed by a more in-depth discussion by region, level, and accounting for the impacts of  
280 hygroscopicity. As aerosol regimes are typically seasonal in nature, all evaluations are performed for DJF-MAM-JJA-  
281 SON. Summaries of the data used in the analyses are presented in Figures 2 through 6, including: Figure 2, seasonal  
282 counts of daily-averaged AERONET AOD and PW data; Figure 3, seasonal mean AERONET and NAAPS-RA AOD  
283 and PW values; Figure 4 seasonal NAAPS-RA AOD averages by aerosol types (dust, sea salt, anthropogenic/biogenic  
284 fine, biomass burning); and Figures 5 and 6, the NAAPS-RA AOD and PW (respectively) 25th, 75th, and 90th  
285 percentiles from daily data and associated interquartile range (IQR) of by season. In regards to the AERONET  
286 analysis, only sites with a minimum of 100 data points are included, as previously discussed. Due to this constraint,  
287 some temporary sites used for field campaigns are excluded in this work.

#### 288 **3.1 Global Patterns of AOD-PW Correlation**

289 Overall, the seasonal patterns in both AOD and PW are pretty consistent between AERONET and the NAAPS-RA  
290 (Figure 3). For example, peak AOD values in North America and Europe occur during the summer months in both  
291 datasets. Likewise, peak AOD values are found over the Sahel in winter and spring due to a combination of dry-season  
292 biomass burning and dust associated with the northeasterly Harmattan winds with shifts in peak AOD further north in  
293 summer due to increased dust activity over the Sahara. Like the Sahel, peak AOD values associated with fire activity  
294 during regional dry seasons are also found in both datasets for Central and South America, Southern Africa and



295 Southeast Asia. Boreal regions, which also exhibit seasonality due to fires in summer months, are not as well sampled  
296 in the AERONET dataset, making it harder to see seasonal shifts in AOD. However, this seasonality is found in the  
297 NAAPS-RA. Likewise, northward shifts in PW are seen in AERONET and the NAAPS-RA in the summer and a  
298 southward shift in the winter months. A more in-depth discussion of the data by region is below:

- 299 1) North America: The largest number of AERONET sites are present in this region with ~180 included in the  
300 analysis. AERONET data counts are the highest in the summer months (JJA) which also coincides with  
301 peak mean AOD and PW values in both the AERONET and NAAPS-RA datasets (Figure 3). Summertime  
302 peak AOD values are associated with ABF and smoke aerosol types, concentrated to the North, and a  
303 combination of ABF and transported dust to the South (Figure 4). Despite JJA being associated with the  
304 highest AOD values, the IQR is only around 0.1-0.2 (Figure 5). The 90th percentile AOD values in JJA for  
305 North America are mainly associated with large smoke events, particularly originating from the Pacific  
306 Northwest and Boreal regions (Figure 5). High AOD values are also observed in MAM months concentrated  
307 in the Southeast United States (Figures 2 and 5), associated with smoke (originating from Central American  
308 fires) and ABF/pollution aerosol types (Figure 5).
- 309 2) Europe: Data from ~125 AERONET sites was included in the analysis in Europe. Like the North America  
310 region, peak AERONET data counts occur during JJA months (Figure 2). Peak AOD values are observed  
311 during MAM and JJA (Figures 3 and 5), mainly associated with pollution in Eastern Europe, and  
312 Mediterranean dust (Figure 4). PW values also peak during JJA (Figures 2 and 6). AOD IQR values, like  
313 North America, are relatively small and on the order of 0.1-0.2 in JJA/MAM, with 90th percentile AOD  
314 events in the 0.3-0.5 range.
- 315 3) East Asia: The analysis in East Asia included data from ~52 AERONET sites. AERONET data counts are  
316 relatively consistent throughout the seasons (Figure 2). AOD values in East Asia are high throughout the year  
317 due to the presence of pollution, concentrated to the East and dust, particularly in the spring and summer  
318 (Figure 4). While pollution aerosol is present throughout the year, AOD values tend to be higher in the winter  
319 months than the summer months in the NAAPS-RA (Figures 3 and 5) with the strength of the East Asian  
320 Monsoon being a controlling factor in the spatial distribution and aerosol concentration in the region (Zhang  
321 et al. 2010; Yan et al. 2011; Zhu et al. 2012; Mao and Liao, 2017). However, in the AERONET dataset, the  
322 highest AOD values are observed in the summer months, consistent with the literature (Eck et al. 2005, 2018).  
323 This discrepancy may be related to the satellite data that is assimilated in the NAAPS-RA in the summer  
324 months. High AOD values are often misclassified as cloudy by the retrieval algorithms and subsequently  
325 screened (Eck et al. 2018), which can contribute to low AOD biases in the model (Reid et al. 2022). The  
326 range in AOD values is particularly large over East Asia as shown by the percentiles in Figure 5 with peak  
327 IQR values of around 0.6-0.7 occurring during DJF.
- 328 4) South America: Data from ~44 AERONET sites was used in the analysis in South America. AERONET  
329 data counts are the greatest during JJA and SON months, which is coincident with the highest AOD values.  
330 This is particularly the case in SON, which is the dry season in South America when fire activity is increased.



331 The dominance of smoke aerosol is shown in the NAAPS-RA for these months (Figure 4). Extreme event  
332 AOD values (90th percentile) and the IQR are the greatest for SON, again due to fire activity (Figure 5).

333 5) Northern Africa: Data from ~39 sites were used for evaluation in Northern Africa. Data counts are relatively  
334 consistent across the seasons with the exception of the Banizoumbou, Niger site with approximately 1600  
335 data points from 16 years of data during the DJF season. The AERONET and reanalysis average AOD values  
336 for the North African Sahel region peaks in the winter and spring months (DJF, MAM) due to a combination  
337 of dust and smoke aerosol (Figure 4). Peak Sahel AOD values coincide with the ITCZ being its most southern  
338 position, which is shown in the PW fields (Figure 3 and 6). North Africa, particularly the Sahara, has high  
339 AOD in the spring and summer months due to dust outbreaks with peak AOD values exceeding 1 and IQR  
340 values in the 0.4-0.5 range (Figure 5).

341 6) Southern Africa: The analysis in Southern Africa included data from ~30 AERONET sites. AERONET data  
342 counts are pretty consistent throughout the year, however, there are less sites available for analysis during  
343 the DJF months. AOD values in Southern Africa are the highest in JJA and SON which is coincident with  
344 peak fire activity in the region.

345 7) Arabian Peninsula: AERONET data counts from ~20 sites are consistent across the seasons in this region.  
346 While dust emissions are present through the year, peak dust activity occurs in the summer months as shown  
347 in the AERONET and NAAPS-RA AOD mean and percentile values (Figures 3 and 5).

348 8) India: The number of AERONET sites was ~20 in India with locations concentrated towards the North for  
349 sampling the Indo Gangetic Plain in which pollution dominated AOD is present throughout the year, with  
350 peak AOD values exceeding 1 during all seasons (Figure 3-5). Dust aerosol from the Thar Desert and the  
351 Arabian Peninsula are transported to western India, particularly in the MAM and JJA seasons, while smoke  
352 aerosol contributes to AOD in eastern India in MAM. AOD and PW are heavily influenced by the summer  
353 monsoon season in which peak PW is observed (Figures 3 and 6).

354 9) Southeast Asia: Data from ~21 sites was available for the analysis in Southeast Asia. The number sites used  
355 is greatest in the spring (Figure 2), coincident with the Peninsular Southeast Asia fire season in which peak  
356 AOD values exceed 1 and large IQR values are present (Figure 5). Peak AOD values shift towards Insular  
357 Southeast Asia during the SON months in which fire activity increases. Pollution is also present throughout  
358 the year.

359 Regressions of AOD and PW for the daily data by season, including correlation coefficients and slopes, and the  
360 statistically significant difference in mean PW between the distribution associated with high AOD events only and the  
361 full PW distribution for both the NAAPS-RA and AERONET daily data are presented in Figure 7 with confidence  
362 intervals on the Theil-Sen slopes shown in Figure 8. Red regions/sites indicate a positive correlation in which higher  
363 PW is associated with higher AOD values and blue regions indicate a negative relationship in which lower PW is  
364 associated with high AOD values. For all evaluations in Figure 7, the predominant signal is positive (ie. red) in both  
365 the AERONET observations and the NAAPS-RA with the strongest correlations varying by season, and/or aerosol  
366 regime. In the AERONET dataset, the strongest positive correlations are summarized in Tables 1-4 for DJF, MAM,  
367 JJA, and SON, respectively. Also included are NAAPS-RA values for these sites as a means of comparison. For winter



368 months (DJF), the strongest positive correlations ( $>0.6$ ) occur at sites in the Southeast United States, East Asia and  
369 select sites in the Middle East such as Dhadnah, UAE (Table 1). In the spring months (MAM), dominant positive  
370 relationships occur at mostly Eastern United States sites and the Nainital site in India (Table 2). Southern Africa sites  
371 associated with smoke aerosol, Eastern European sites, and select sites in the Eastern United States have the strongest  
372 positive correlations in the summer months (JJA) (Table 3, Figure 7). In the fall (SON), AERONET positive  
373 correlations are strongest for the Eastern United States, select European sites as well as a site at Dhadnah, United Arab  
374 Emirates.

375 The NAAPS-RA daily correlations (Figure 7) within seasonal aggregates indicate similar but not identical spatial  
376 patterns relative to the AERONET dataset. The dominant positive correlation regions include the Eastern/Southeastern  
377 United States as is found in AERONET. Likewise, stronger European AOD and PW correlations are found in the  
378 summer months, in Eastern Asia in the winter season, and the Middle East in the fall. The NAAPS-RA results are  
379 helpful in that it provides a more complete perspective on the AOD and PW relationships. In addition to strong positive  
380 correlations in Southeast United States and East Asia during DJF, the NAAPS-RA also indicates strong positive  
381 correlations in parts of Southwest Asia (Iran/Afghanistan/Pakistan), India, South America, and Southern Africa, which  
382 are minimally if not at all sampled by AERONET. The spatial extent of the observationally-sampled relationships can  
383 also be seen. For example in MAM, the AERONET correlation at the Tamanrasset site in Algeria is 0.55 with a  
384 consistent NAAPS-RA correlation of 0.53. In the reanalysis, the correlations, greater than 0.5, extend to the east of  
385 Tamanrasset. Likewise, the spatial extent of correlations for maritime regions can be seen in the reanalysis, where  
386 AERONET sites are rare. In JJA months, correlations at the Dahkla site in Morocco are 0.45 in the AERONET dataset.  
387 Although the NAAPS-RA correlation at Dahkla is weaker ( $R=0.31$ ), the positive relationship observed in both datasets  
388 on the West coast of Africa can be seen extending out into the Atlantic ocean in the reanalysis, consistent with dust  
389 transport pathways. Correlations associated with aerosol transport are also seen in Southern Africa in the reanalysis,  
390 extending out into the ocean.

391 Although positive correlations are dominant throughout the world, negative correlations were also identified in both  
392 the AERONET and NAAPS-RA datasets from daily data. In the AERONET dataset, negative correlations are limited  
393 to the tropic/subtropics with negatively correlated regions mostly associated with biomass burning. The strongest  
394 negative correlations in the AERONET dataset are shown in Tables 1-4 with NAAPS-RA values shown for  
395 comparison. During all seasons, negative correlations are found in the Sahel region in both AERONET and the  
396 NAAPS-RA with the negative relationships extending further northwards in the spring and summer months. This  
397 results in an exceptionally strong dipole between Saharan and Sahelian outflow and is likely related to shifts in the  
398 ITCZ. This points to aerosol sources (biomass burning and dust) and scavenging as a cause of the negative AOD and  
399 PW relationship. The NAAPS-RA shows these negative correlations extending into the Atlantic Ocean with seasonally  
400 dependent differences. Negative correlations extend into the Caribbean in JJA and to northern parts of South America  
401 in MAM, consistent with seasonal transport pathways. Other negative correlation regions include Southeast Asia,  
402 South America, and Southern Africa. For these regions, the strongest negative correlations are associated with the  
403 respective dry, burning seasons. For example, negative correlations are strongest in Peninsular Southeast Asia in



404 MAM and in Insular Southeast Asia and South America in SON. In these cases, negative AOD and PW relationships  
405 are likely a result of higher aerosol emission occurring under dry conditions, which lead to more fire activity. Southern  
406 Africa is an exception during JJA, in which smoke aerosol is dominant (Figure 4). However, this is consistent with  
407 previous studies which have found elevated free tropospheric water vapor levels associated with Southern African  
408 smoke events (Adebisi et al. 2015; Pistone et al. 2021). Correlations in both AERONET and the NAAPS-RA are  
409 positive in JJA and negative in MAM and SON when smoke aerosol is also present, but not at its peak. One of the  
410 largest AERONET negative correlations occurs at the Jomsom, Nepal site in JJA with a value of -0.65 (Table 3),  
411 although nearby sites show small or statistically insignificant correlations. The Jomsom site is located at 2825 meters  
412 with maximum PW values around 2 while the nearby Pokhara site is 2000 meters lower in altitude with maximum  
413 PW values around 5, therefore, Jomsom is likely a regional outlier due to altitude effects. For Jomsom and the  
414 surrounding regions, the NAAPS-RA indicates no statistically significant correlation. While NAAPS and AERONET  
415 are in general agreement in the locations of negative correlations, this discrepancy is likely related to meso or small  
416 scale features that are not captured in a global, 1 degree model.

417

### 418 **3.2 Consistency between AERONET and NAAPS-RA**

419 While the global plots of AERONET and NAAPS-RA AOD and PW relationships give a sense of spatial agreement,  
420 a scatterplot comparison of the quantitative values generated from the two datasets are used to take a closer look at  
421 the consistency between the observed and predicted relationships. A seasonal comparison of AERONET and NAAPS-  
422 RA regressions is shown as a scatterplot in Figure 9, including site by site a) correlations; b) Theil-Sen slopes; and c)  
423 the PW mean difference for high AOD events. In addition to the three scatterplot comparisons, all locations for which  
424 for the sign of the AOD and PW relationships differed between the AERONET and the NAAPS-RA datasets were  
425 identified. For these identified sites, the distribution of AERONET correlations are plotted by season in Figure 9d.  
426 This is included as a means to examine the strength of the observed AOD/PW relationship under conditions when the  
427 datasets disagree. Overall, the observations and model are in general agreement in the sign of the correlations (Figure  
428 9a) with similar results found for the Theil-Sen slope and the PW mean differences for high AOD events (Figure 9b,c).  
429 Differences in the sign of the correlation are found for 15.5, 9.5, 10.2, 10.1% of analyzed AERONET sites for the  
430 DJF, MAM, JJA, and SON months, respectively. For all seasons except JJA, these differences are mostly associated  
431 with a negative correlation in the AERONET data and a positive value in the NAAPS-RA. Differences in correlation  
432 sign occur for sites in which the AERONET-generated correlations are weak, mostly falling below 0.20 (Figure 9d),  
433 with the exception of the Jomsom AERONET site in JJA in which AERONET indicated a strong negative correlation  
434 and the reanalysis had a slight positive, but statistically insignificant relationship as previously discussed. For the  
435 strongest correlation sites, AERONET and NAAPS are in good agreement in DJF and MAM (Tables 1 and 2). For  
436 JJA and SON, NAAPS-RA has a tendency to produce weaker correlations relative to AERONET (Tables 3 and 4).  
437 Some differences are expected given that the event sampling is different between the AERONET observations and  
438 the 16-year NAAPS-RA. However, the overall agreement in the correlations between the two datasets provides some  
439 confidence in the NAAPS-RA for generating regionally and seasonally varying AOD and PW relationships on a global  
440 scale.



### 441 3.3 Slope Evaluation

442 With the consistency between AERONET and NAAPS-RA established, a more thorough evaluation of the strength of  
443 the slope of AOD-PW relationship has been conducted. As previously discussed, the AOD and PW relationship in  
444 both the AERONET and NAAPS-RA datasets were quantified using a Theil-Sen regression in order to fit a slope to  
445 the change in AOD per unit cm PW (Figures 7 and 8). Examples of NAAPS-RA and AERONET Theil-Sen fittings  
446 for eight AERONET sites scattered over the globe, each with their own unique aerosol environment are shown in  
447 Figure 10. Included are positive and negative correlation examples shown for each season (DJF: Beijing China and  
448 Lamto, Ivory Coast; MAM-Houston Texas and Ilorin Nigeria; JJA-Helsinki, Finland and Dakar Senegal; and SON-  
449 Dhadnah UAE and Palangkaraya, Indonesia) with the selected sites having some of the strongest correlations for the  
450 respective seasons in the AERONET and NAAPS-RA datasets (Tables 1-4). Good agreement is shown between the  
451 NAAPS-RA and AERONET-generated Theil-Sen slopes at the selected sites with the largest differences occurring at  
452 the Lamto and Palangkaraya sites in which relatively less AERONET observations are available. These fittings are  
453 calculated for each grid and AERONET site and are used to generate the results in Figures 7 and 8. The examples in  
454 Figure 10 show the insensitivity of the Theil-Sen regression to outliers, while the correlation coefficient is quite  
455 sensitive to such values. Beijing in DJF exhibits a large change in AOD with PW, as high AOD events are more  
456 frequent at this location (Figures 5 and 10). However, places like Houston, Helsinki and Dhadnah have relatively  
457 smaller Theil-Sen slopes as high AOD events, with a value around 1, occur less frequently and do not influence the  
458 slope. For these locations, the range of frequently observed AOD events is much smaller (Figures 5 and 10), resulting  
459 in small changes in AOD with PW. Although there is certainly scatter in the data points in Figure 10, statistically  
460 significant trends exist. The scatter in the data points occurs more so at negative correlation locations (Figure 10),  
461 resulting in smaller correlation coefficients. While the relationships for both positive and negatively correlated  
462 locations are statistically significant and the Theil-Sen regression gives an overall trend, the scatter indicates  
463 differences in AOD and PW relationships will occur from day to day. This is expected as the AOD-PW relationship  
464 is based on a combination of transport covariance and local meteorology-source relationships.

465 The global and seasonal pattern in the positive and negative Theil-Sen slopes are consistent with the correlation  
466 analysis results (Figures 7 and 8). The biggest Theil-Sen slopes tend to occur where larger IQR ranges are present  
467 (Figure 5), as was shown for the Beijing Theil-Sen slope example in Figure 10. The largest slopes in both datasets are  
468 centered on Beijing in the DJF months with values exceeding  $1\text{ cm}^{-1}$ . Beijing consistently has some of the largest  
469 positive changes in AOD with PW in the AERONET dataset for all seasons with values, including 95% confidence  
470 intervals, of  $1.1(1.0-1.2)$ ,  $0.35(0.32-0.38)$ ,  $0.46(0.43-0.51)$ , and  $0.26(0.22-0.3)$   $\text{cm}^{-1}$  for DJF, MAM, JJA, and SON,  
471 respectively. The NAAPS-RA is largely consistent with AERONET for the DJF and MAM months with corresponding  
472 values of  $1.13(1.08-1.18)$ , and  $0.31(0.29-0.33)$   $\text{cm}^{-1}$ . Less sensitivity to PW is found in the reanalysis for JJA and  
473 SON with corresponding values at Beijing of  $0.13(0.11-0.14)$ , and  $0.18(0.17-0.20)$   $\text{cm}^{-1}$ . This is likely due to an  
474 underestimation of haze formation within NAAPS, as with other global models (e.g., Sessions et al., 2015; Xian et al.,  
475 2019) and also possibly due to the underestimation of AOD in summer from NAAPS due to a low AOD bias in the  
476 assimilated satellite AOD datasets in the East Asia region (Eck et al., 2018). Large positive changes in AOD with PW  
477 extend through Asia, the Middle East, and Northern Africa, all regions impacted by high AOD events. As is the case



478 in the correlation results, the strong dipole in slopes is clear over North Africa with positive slopes to the North and  
479 negative slopes in the southern Sahel region. Likewise, negative slopes are mainly associated with burning regions  
480 with the exception of Southern Africa in JJA. Statistically significant correlations and slopes at high latitudes,  
481 particularly Antarctica, indicate aerosol/water vapor transport in the model since local sources are limited, although  
482 AOD and PW values are low (Figures 5 and 6).

483 Recall the scatterplot comparison of AERONET and NAAPS generated changes in AOD with PW is shown in Figure  
484 9(b). Again, there is generally good agreement between the datasets, consistent with the correlation comparison. The  
485 signs of the slopes are the same with the exception of 14.6, 9.2, 12.3, and 8.1% of sites for DJF, MAM, JJA, and SON,  
486 respectively. Sites where differences in sign are observed have weak correlations (Figure 8d). The NAAPS-RA has a  
487 tendency to under-predict negative changes in AOD with PW relative to AERONET for SON months where peak  
488 negative slopes are generated from AERONET. This is also shown in Table 4 as well as the global maps in Figure 7  
489 where differences can be seen, particularly in the Sahel and Southeast Asia. At the Kuching site in Borneo in SON,  
490 the AERONET generated slope is  $-0.37(-0.48 \text{ to } -0.28) \text{ cm}^{-1}$  with a reanalysis value of  $-0.11(-0.13 \text{ to } -0.09) \text{ cm}^{-1}$   
491 likely due to strong mesoscale variability and poor constraint in biomass burning on Borneo (Reid et al., 2013; Wang  
492 et al., 2013). Additionally, this could again be due to satellite retrieval screening of smoke as cloud and NAAPS failing  
493 to simulate the highest AOD smoke events in Borneo, especially in the dry El Nino years such as 2015 (Eck et al.  
494 2019, Shi et al. 2019). The reanalysis also tends to under predict positive slopes for JJA at the AERONET sites where  
495 the largest slopes are observed. This difference is not restricted to a particular region, but can be seen in East Asia,  
496 Africa, and Mexico City (Figure 7). As an example, the Tamanrasset site in Algeria exhibits slopes in the AERONET  
497 data of  $0.26(0.23-0.30) \text{ cm}^{-1}$  and in the reanalysis of  $0.07(0.06-0.08)$ . Likewise, at the Lubango site in Angola, the  
498 slope is  $0.27(0.21-0.34) \text{ cm}^{-1}$  in the AERONET data and  $0.13(0.12-0.14) \text{ cm}^{-1}$  in the reanalysis. The Tamanrasset  
499 site is at 1377 meters altitude in the Ahaggar Mountains which is significantly higher than the surrounding terrain in  
500 the Saharan Desert. The Lubango site in Angola is at 2047 meters, also higher than a portion of the surrounding terrain.  
501 This terrain/altitude influence is likely a factor in the discrepancies. The differences in slopes for JJA are also shown  
502 in Table 3.

### 503 **3.4 Evaluation of the AOD and PW Probability Distribution**

504 While the correlation and slope evaluation is used to define a seasonal AOD and PW relationship across the datasets,  
505 it is expected that variations in the aerosol and water vapor relationship will exist across air masses. As a result, a  
506 probability distribution evaluation is another useful way to examine the data. The seasonal evaluation of the probability  
507 distributions is included in Figure 7, below the correlation and slope results. The plots show the statistically significant  
508 difference in the mean for the PW distribution associated with high AOD events (AOD values more than 1 standard  
509 deviation above the mean) and the full PW distribution. Red regions/sites indicate that the PW mean for high AOD  
510 events is statistically higher than the full distribution mean (i.e. higher moisture levels). Blue regions/sites indicate a  
511 lower PW mean for high AOD events (i.e. dryer conditions). Regions or sites in white have no statistically significant  
512 difference. The spatial pattern in the probability distribution evaluation are similar to the correlation and slope analysis,  
513 however, the probability distribution evaluation highlights different regions than the previous analyses. For example,



514 across all seasons, larger changes in PW for high AOD events are observed in Argentina, South America, including  
515 at the CEILAP-BA (Buenos Aires, Argentina) with values of 0.88, 0.94, 1.01, and 1.00 cm for DJF, MAM, JJA, SON  
516 in the AERONET dataset and values of 0.61, 0.35, 0.95, and 0.73 cm in the NAAPS-RA dataset. This is a region that  
517 is impacted by both local pollution and transported biomass burning (Resquin et al. 2018). Larger changes in PW for  
518 high AOD events are also observed over Northern Australia during MAM, which is consistent with peak bushfire  
519 season in the region. Larger changes in PW are also found over the United States and Canada, consistent with patterns  
520 in the correlation evaluation, but with more pronounced values relative to other locations. ABF is generally the  
521 dominant aerosol type with biomass burning from Central America and Western US/Boreal Regions during the MAM  
522 and JJA seasons, respectively. Likewise, Eurasian Boreal regions associated with biomass burning activity during JJA  
523 are more pronounced in the PW distribution evaluation. The peak in values in the Southeast United States are found  
524 during the DJF season. During MAM and SON, the peak areas include most of the Eastern United States and extending  
525 into Canada and Central America. However, regions that were more pronounced in the correlation and slope  
526 evaluation, have smaller differences in mean PW for high AOD events, Beijing being a good example of this. Based  
527 on AERONET, the difference in mean PW at Beijing is 0.21cm while the difference is 0.35cm in the NAAPS-RA for  
528 DJF when the strongest correlations and largest slopes were found. However, sites like Stennis, Mississippi (Table 1)  
529 which had a much smaller slope than Beijing (0.04cm<sup>-1</sup> compared to 1.1cm<sup>-1</sup>) have a much larger difference in mean  
530 PW with the AERONET value of 1.08cm and the NAAPS value of 1.16cm. This is because the probability distribution  
531 evaluation is taking into account those infrequent, outlier events that don't affect the Theil-Sen slopes. Locations  
532 where the IQR is relatively small, such as the United States, Europe, Australia, and parts of South America and  
533 Southern Africa have greater differences in mean PW, despite having small Theil-Sen slopes, due to the impact of  
534 outlier events. For many of these regions, the outliers are associated with biomass burning, indicating that PW is a  
535 useful tracer for such events.

536 Like the correlation and slope evaluation, a comparison of AERONET and NAAPS generated differences in mean  
537 PW was conducted by season. Similar to the previous two comparisons, AERONET and NAAPS are in agreement in  
538 the sign of PW difference for most locations, demonstrated by the global plots in Figure 7 and the scatterplots in  
539 Figure 8c. The % of sites that have differences in sign between the two datasets are 8.9, 6.87, 5.86, and 4.15% for  
540 DJF, MAM, JJA, and SON, respectively. These percentages are smaller than the % of sites with differences in the  
541 correlation and slope analysis. However, like the previous evaluations, most sites that exhibit sign differences between  
542 AERONET and NAAPS had weak AOD and PW relationships ( $R < 0.20$ , Figure 8d), with the exception of some  
543 outliers in which small scale features that cannot be resolved in the global model may be at play. The comparisons  
544 between AERONET and NAAPS-RA across the different evaluations indicate that NAAPS is generating AOD and  
545 PW relationships that are pretty consistent with the observational data. Although differences in magnitude are present,  
546 the direction of the relationships are very consistent, providing confidence in the use of the NAAPS-RA for further  
547 exploring the AOD and PW relationship, particularly in the vertical and accounting for hygroscopic affects.

### 548 3.5 Vertical Evaluation of the AOD and PW Relationship



549 In addition to calculating the full column-integrated AOD and PW correlations in the NAAPS-RA, the correlations  
550 were also evaluated by vertically integrating the extinction and specific humidity through previously defined pressure  
551 levels in the atmosphere that correspond to a boundary layer, lower, middle, and upper free troposphere. This  
552 evaluation was conducted seasonally, like the fully integrated analysis, with results shown in Figure 11. In addition to  
553 the global plots, histograms of the AOD and PW correlations for the full column and the vertical components of the  
554 atmosphere are shown in Figure 12. It is notable that stronger positive correlations exist when looking at limited parts  
555 of the atmosphere compared to the fully integrated column. This is most evident in the global plots for ocean regions,  
556 particularly in the Southern Hemisphere, where correlations exceeding 0.5 occur compared to the fully integrated  
557 correlations that are on the order of 0.2. This result is not unexpected given that the vertical components of the  
558 atmosphere look different depending on things like vertical mixing, a local aerosol and water vapor source compared  
559 to a long-range transport event, the relative humidity profile etc. Additionally, some regions exhibit stronger  
560 correlations in certain portions of the atmosphere. For example, dust dominated regions such as the Sahara, Arabian  
561 Peninsula and the Gobi and Taklimakan deserts have the strongest correlations in the mid FT. This is higher up in the  
562 atmosphere than expected, given for example, studies have shown East Asian dust heights to range from 1.9 to 3.1km  
563 (Liu et al. 2019) and the typical description of the Saharan Air Layer (SAL) includes dust-laden air between  
564 approximately 850 and 500 hPa (Karyampudi et al. 1999) with several other studies identifying Saharan dust up to  
565 ~5km for summertime dust transport (Mortier et al. 2016, Veselovskii et al. 2016, Tesche et al. 2011). This indicates  
566 that the model may be transporting too much dust aerosol and water vapor higher into the atmosphere and this transport  
567 is well correlated. Correlations over North America and Eastern Europe are strongest in the BL to lower FT.  
568 Wintertime correlations over East Asia/Beijing are pretty consistent throughout the column. Negative correlation  
569 regions associated with smoke aerosol, including the Sahel, Southern Africa, and Southeast Asia have the strongest  
570 correlations in the lower FT and largely disappear beyond this point. The shift in correlations with vertical location  
571 are also evident in the histograms (Figure 12) when compared to the full column distribution. This is particularly the  
572 case for the lower and mid free troposphere where the number of grids with correlations greater than 0.5 increase.  
573 Additionally, the shift of the correlations to mostly positive can be seen in the mid and upper free troposphere  
574 histograms.

### 575 **3.6 Impact of Hygroscopic Growth on AOD and PW relationships**

576 The final consideration in this work is hygroscopicity. Although the effects of clouds on the AOD and PW relationship  
577 is also important to understand, this effect cannot be investigated using NAAPS since the model does not account for  
578 the processing of aerosol in cloud droplet, rapid gas-to-particle conversion in cloud droplets or the high RH halo in  
579 the immediate vicinity of clouds. However, this should be considered in follow-on work. While relationships between  
580 AOD and PW have been demonstrated, this signal can be either from co-transport or a confounding relationship  
581 between enhanced PW and RH. The correlation between RH and PW is shown in Figure 13 by season and for the  
582 boundary layer and parts of the free troposphere. The largest spatial variations in the PW and RH correlation occur in  
583 the boundary layer, as anticipated, with strong correlations found over Africa, extending into the Indian Ocean/India,  
584 located further North during JJA and further South during DJF and similar patterns during MAM and SON. Other  
585 regions of high correlation in the boundary layer include off the coast of South America, parts of Australia, and limited



586 locations in the tropical oceans. Beyond the boundary layer, the overall patterns are generally consistent throughout  
587 the vertical column with strong correlations in the subtropics and tropics ( $>0.9$ ) with some variations on the extent by  
588 season. In JJA for example, this high correlation region extends further north while in DJF the high correlation region  
589 extends further in the Southern hemisphere. In this highly correlated region, hygroscopic growth is expected to be a  
590 significant driver in AOD and PW relationships when dust is not the dominant aerosol type. RH and PW correlations  
591 are higher over ocean regions than over land in the northern hemisphere, which should be impactful for sea salt aerosol  
592 and PW correlations. The impact of the RH and PW correlations on the AOD and PW relationship are shown in  
593 calculated seasonal relationships between “dry” AOD, which excludes the impact of hygroscopic growth, and PW in  
594 the NAAPS-RA in Figure 14. This figure includes the correlations, the slope of the “dry” AOD and PW relationship,  
595 and the statistically significant difference in mean PW for high “dry” AOD events. The removal of hygroscopic growth  
596 from the AOD calculation had the following outcomes on the resulting correlations: 1) the previously positive  
597 correlation was reduced in magnitude 2) the previously negative correlation coefficient became more negative 3) the  
598 sign of the correlation flipped from positive to negative and 4) little to no change in the correlation. Regions such as  
599 the Eastern United States and Europe fall into the first category where positive AOD and PW correlations are found  
600 for all seasons, but the correlation coefficient is greatly reduced. For the Eastern United States, peak correlation  
601 coefficients were in the approximately 0.6-0.7 range with hygroscopic growth and fell below 0.5 without it. This is  
602 especially true in JJA when RH and PW correlations are the strongest. Likewise, positive correlations in Europe are  
603 still present, but weakened. In these cases, hygroscopic growth amplifies an existing positive relationship that is  
604 somewhat weak when evaluating seasonal data by correlation. In regards to the second category, this corresponds to  
605 regions dominated by smoke aerosol that previously exhibited negative AOD and PW relationships, such as Peninsular  
606 Southeast Asia during the MAM months and Insular Southeast Asia during the SON months. Additionally, increases  
607 in negative correlations are found for aerosol transport from Asia across the Pacific Ocean. In these cases, hygroscopic  
608 growth reduces an existing negative relationship between aerosol and water vapor. Ocean regions mostly account for  
609 the third category where the correlation flipped from a weak positive to negative value. Regions that are dominated  
610 by dust, including the Sahara and Arabian Peninsula, fell into the fourth category as there is no hygroscopic growth  
611 for dust in NAAPS.

612

613 In regards to the slope of “dry” AOD and PW, the same categories apply with similar spatial patterns relative to the  
614 correlation analysis. Additionally, the same is true when examining the difference in mean PW for high “dry” AOD  
615 cases. In this case, it is found that either: 1) an increase in PW is still statistically significant, but the difference in  
616 mean PW is much less 2) a decrease in PW for high “dry” AOD cases is still statistically significant with a larger  
617 decrease when not considering hygroscopic growth 3) the sign of the difference flipped from an increase in PW to a  
618 decrease or the difference became statistically insignificant and 4) the PW difference did not change much due to dust  
619 dominated conditions. While the modeled differences in PW are statistically significant when excluding hygroscopic  
620 growth, they are small, with peak differences on the order of a few millimeters. The results here indicate that  
621 hygroscopic growth of aerosol plays an important role in the AOD and PW relationship. While PW is still a good  
622 tracer for AOD as shown in this work, it should be kept in mind that there is a difference in water vapor as a tracer for



623 AOD and for aerosol mass. It is expected that the relationship between “dry” AOD and PW would be a closer  
624 representation of the dry aerosol mass to PW relationship.

### 625 **3.7 Discussion through Example Cases at Individual AERONET Sites**

626 In order to further understand regional differences in observed AOD and PW relationships, individual sites in which  
627 strong AOD and PW relationships were identified and had several years of observational data available were selected  
628 for further analysis. These sites included: 1) Tallahassee, Florida for Southeast US pollution (Figure 15); 2) Beijing,  
629 China for Asian haze and dust (Figure 16); 3) Izana, Canary Islands for Saharan dust (Figure 17); and Alta Floresta,  
630 Brazil for South American biomass burning (Figure 18). For the four identified AERONET sites, the daily-averaged  
631 AOD and PW timeseries are examined for seasons in which correlations were found to be strong. This includes DJF  
632 for the Tallahassee and Beijing sites and JJA for Izana. At these sites, the identified relationships between AOD and  
633 PW were positive. The Alta Floresta site, which exhibited negative AOD and PW relationships in the presented results,  
634 is further examined for the SON biomass burning season. The daily-averaged data are included since this is what was  
635 analyzed in the previous analyses. Additionally, the AERONET data, without any averaging, is further examined for  
636 individual cases from the site-specific timeseries for which peaks in AOD and/or PW were found. NAAPS-RA AOD  
637 and PW fields are also shown for the selected cases (Figures 15-18).

638 At the Tallahassee site, the predominant aerosol type is ABF/pollution and although AOD values are generally low  
639 during DJF (mean values in the 0.1-0.2 range, Figure 3), strong AOD and PW relationships were found with  
640 correlations of 0.74 in the AERONET dataset and 0.66 in the NAAPS-RA with PW mean differences around 1cm for  
641 high AOD events (Table 1). The daily-averaged AOD and PW timeseries for the 2018-2019 DJF season are shown in  
642 Figure 15a. The timeseries indicates, consistent with the correlation analysis, that the daily-average AOD and PW  
643 generally move together. There are several joint peaks in AOD and PW that occur during the time period and three  
644 selected cases are examined further, including 1/1/2019, 2/7/2019, and 2/17/2019, with these events identified in the  
645 Figure15a timeseries using red arrows. The AERONET AOD and PW timeseries for these three cases are shown in  
646 Figures 15b-d, respectively. The 2/17 case has the least data points available, making it harder to evaluate diurnal  
647 changes in AOD and PW, however, the 1/1 and 2/7 cases have a good number of data points throughout the afternoon  
648 and later into the evening. For these two cases in particular, the changes in AOD and PW throughout the day are  
649 generally consistent with each other, indicating that the AOD and PW relationships can extend to sub-daily timescales.  
650 AOD and PW plots for the three identified cases are shown from the NAAPS-RA in Figure 15e as a means to assess  
651 the types of aerosol events that are impacting Tallahassee when coordinated peaks in AOD and PW are observed. For  
652 all three cases, coincident transport of AOD and PW is observed in the reanalysis fields, associated with a frontal  
653 system. This type of frontal transport was commonly found for events in which coincident PW and AOD peaks are  
654 observed at Tallahassee. As the DJF season in the Southeast United States has significant frontal activity, this is likely  
655 an important factor in enhanced AOD/PW relationships during this season.

656 Beijing is an urban site that commonly experiences high AOD levels related to pollution as well as transported dust  
657 and smoke events. Wintertime events are notorious for exhibiting some of the worst air quality in the world for a major  
658 population center (e.g., Wang et al., 2014; Gao et al., 2016; Zhang et al., 2018). Additionally, Beijing has the benefit



659 of a long AERONET data record with measurements dating back to 2001. Like Tallahassee, the AOD and PW  
660 timeseries at Beijing is evaluated for the 2018-2019 DJF season (Figure 16) with strong positive relationships  
661 identified in both the AERONET and NAAPS datasets with correlations of 0.71 and 0.76, respectively, and the change  
662 in AOD with PW exceeding  $1\text{cm}^{-1}$  (Table 1). As indicated in Figure 4, ABF/pollution is the dominant aerosol type  
663 with some dust present with much higher AOD values observed at this location (Figures 3 and 5). In East Asia,  
664 pollution build-up often occurs under stagnant weather conditions where a stable atmosphere leads to limited vertical  
665 mixing (Wang et al. 2014, Li et al. 2019) with the monsoon being an important factor in determining synoptic  
666 conditions. In particular, the East Asian Winter Monsoon (EAWM) has been shown to be a controlling factor in aerosol  
667 concentrations during the winter season (Li et al. 2016; Jeong et al. 2017). With a strong EAWM, reduced aerosol  
668 concentration occur over northern East Asia, including Beijing, due to stronger northerly winds. In weaker EAWM  
669 years, increased aerosol concentrations occur in the north due to weakened winds and more stagnant conditions. The  
670 daily-averaged AERONET AOD and PW timeseries for 2018-2019 DJF is shown in Figure 16a. Consistent with the  
671 previously presented evaluations, the daily-average time series for this particular DJF time period are well correlated  
672 with AOD and PW moving up and down together. As was done for the Tallahassee site, several peaks in AOD and  
673 PW were selected for further evaluation and are highlighted with red arrows on Figure 16a, including the peak on 1/3  
674 and its subsequent decrease on 1/4/19, and the peak on 12/20/18 and its subsequent decrease on 12/21/18. The non-  
675 averaged AERONET AOD and PW timeseries for these two cases are shown in Figure 16b and c, respectively, with  
676 a zoomed in view of 12/21 on Figure 16d. For both of these events, high AOD from ABF/pollution and PW values  
677 are observed with a subsequent dropoff the following day, which are well coordinated in the full dataset. The closer  
678 look at the data on 12/21/18 (16d), like the previous Tallahassee examples, shows consistent movement between the  
679 measured AOD and PW indicating the presence of correlations on short timescales. For both of these events, the  
680 NAAPS-RA AOD and PW fields are shown for both the peak and subsequent dropoff in Figure 16e. The movement  
681 of the large-scale air mass can be seen in both the AOD and PW fields. For the 1/3/19-1/4/19 event, NAVGEM  
682 meteorological fields indicate weakened northerly winds due to a region of high pressure over the eastern portion of  
683 the continent, leading to stagnant conditions at the surface in Beijing and local pollution and water vapor build-up. On  
684 the following day, the high pressure system moved eastward. As a result, the Siberian High northerlies were no longer  
685 suppressed and a more typical wintertime circulation resumes with the winds jointly pushing the aerosol and water  
686 vapor southward and away from Beijing. For the 12/20/18-12/21/18 case, extensive multi-level cloud cover can be  
687 seen in both MODIS Terra and Aqua images on 12/20, indicating that this may be a case where clouds may have  
688 played a role in gas-to-particle conversion in the polluted air and/or enhanced particle humidification in the high RH  
689 fields associated the clouds, consistent with the findings of Eck et al. (2018). As the air mass moves on 12/21, a joint  
690 reduction in both AOD and PW is observed at Beijing, demonstrating the impact of large scale transport. Thus in the  
691 Beijing case, the overall regional weather patterns are an important factor in the AOD and PW relationship.

692

693 The third site that is examined is Izana in the Canary Islands (Figure 17). The Izana site, which is located  
694 approximately 300km west of the African coast, is particularly useful for evaluating aerosol and water vapor  
695 relationships for free tropospheric dust. It has also been noted in the community that against a Saharan air layer free



696 subsidence regime, the infrared signals of Saharan Air Layer dust are quite small relative to co-transported water vapor  
697 (Gutleben et al., 2019; Ryder, 2021; Barreto et al., 2022). Nevertheless, forecasters find the water vapor signal useful  
698 in tracking dust events (Kuciauskas et al., 2018). Izana is a mountain site located at approximately 2400m, above a  
699 strong subtropical temperature inversion layer which makes it ideal for monitoring free tropospheric plumes. In the  
700 summer months, frequent and intense Saharan air mass outbreaks in the subtropical free troposphere impact the site.  
701 Particularly large AOD dust storms were observed transporting Saharan dust across the Atlantic in the summer of  
702 2020, including the so called “Godzilla” dust event in June that has been examined in detail in previous studies (Francis  
703 et al. 2020). As a result, this time period was evaluated in further detail at Izana with a focus on several dust events.  
704 In the analysis conducted in this work, positive relationships between AOD and PW were found in both the AERONET  
705 and NAAPS datasets at Izana during the JJA season with the AERONET dataset having a correlation of 0.66 and the  
706 NAAPS-RA indicating a weaker correlation of 0.48 (Table 3). As noted for other sites, this difference may be due to  
707 altitude effects at the Izana site which may not be captured in NAAPS. The daily-average AOD and PW timeseries  
708 for the 2020 JJA season at Izana are shown in Figure 17a. The AOD and PW are pretty well correlated, although there  
709 are PW peaks present without the presence of aerosol. As sources of aerosol and water vapor are different, this is not  
710 unexpected. Three of the peak from the timeseries as indicated by the red arrows were selected for further evaluation,  
711 including 6/14/20, 7/18/20, and 7/31/20 with timeseries shown in Figures 17b-d, respectively. As was shown for the  
712 previous pollution cases, the AOD and PW are well correlated in the non-averaged dataset showing the presence of  
713 correlations for dust events on timescales less than a day. The NAAPS-RA AOD and PW fields are shown for the  
714 6/14/20 case in addition to the associated dropoff of both AOD and PW on 6/18/20, as well as for the 7/18/20 and  
715 7/31/20 events in Figure 17e. For the 6/14/20 case, CALIPSO indicates dust tops near Izana at around 5km. A cutoff  
716 low was present to the northwest of Africa and a subtropical high over the Western coast of Africa which resulted in  
717 increased dust generation and recirculation. The southwesterly winds transport the dust to Izana on June 14 and at the  
718 same time, moist ocean air shown by the PW fields is transported to Izana as well, resulting in a spike in both AOD  
719 and PW at the AERONET site. On June 18, the moisture and dust begin pushing south and west across the Atlantic,  
720 resulting in decreases in AOD and PW at the same time at Izana. Similar examples of dust and water vapor cotransport  
721 are shown for the 7/18/20 and 7/31/20 cases. These results are consistent with previous studies which have indicated  
722 enhanced water vapor mixing ratios associated with the dust in Saharan Air Layer events (Marshall et al. 2008, Jung  
723 et al. 2013, Kanitz et al. 2014). Thus, Izana is a good example of subtropical free tropospheric co-transport of water  
724 vapor and dust.

725

726 The final site evaluated was Alta Floresta, Brazil for the 2019 SON season, when biomass burning is the dominant  
727 aerosol type. Here, there is a strong seasonal dependency: drier seasonal conditions are associated with a July-October  
728 biomass burning season. At this site and other regions of biomass burning, negative correlations between AOD and  
729 PW were identified in the presented evaluations. For Alta Floresta, SON correlations of -0.38 and -0.47 were  
730 generated from the AERONET and NAAPS-RA datasets, respectively (Table 4). The daily-average AOD and PW  
731 timeseries are shown in Figure 18a. An important thing to note about this timeseries is that a clear downward shift in  
732 the PW fields occurs during October, consistent with monthly site climatologies from AERONET (3.61cm in



733 September, 4.15cm in October, 4.5cm in November). As fires are associated with dry conditions, the AOD fields  
734 decrease as the water vapor increases. This shift towards wetter and decreased aerosol conditions is driving the  
735 seasonal negative correlations for biomass burning regions. However, despite this overall shift, peaks in AOD and PW  
736 are generally positively correlated. Several such cases are highlighted in the timeseries, including 9/15/19, and 9/23/19.  
737 The 9/9/19 event is also highlighted in which the daily-averaged AOD peaks and the PW is at a low. For all three  
738 cases, the AOD and PW timeseries shown in Figures b-d, show good positive correlations with AOD and PW changing  
739 in the same way throughout the day. For the 9/9/19 case, this appears to a more locally driven event with the extent of  
740 the smoke being more limited and the air being drier than the surrounding areas, suggesting a different air mass (Figure  
741 18e). Additionally, there is much more small scale variability in the AOD and PW fields (Figure 18b). For this type  
742 of event, the daily-average PW fields might not be as good of an indicator of what is going on with AOD. For the  
743 other two cases, the NAAPS-RA plots indicate larger spatial extent of the smoke with more moisture associated with  
744 the air mass. In this case, daily-average PW is a better indicator for large scale smoke events. However, for all three  
745 smoke cases, AOD and PW are correlated on an event level. Thus, in this case the AOD-PW relationship identified in  
746 the previously presented AERONET and NAAPS-RA evaluations represents the end of the burning season with wet  
747 season onset in the middle of a “climatological season”. However, PW is a good positive indicator of AOD associated  
748 with smoke on an event level, consistent with what has previously been shown in the literature for case study  
749 evaluations.

#### 750 **4.0 Conclusions and Implications**

751 The relationship between AOD and PW was evaluated globally and on seasonal and daily timescales using  
752 approximately 20 years of AERONET observational data and the 16-year NAAPS-RA v1.0 model fields. As  
753 AERONET observations have small measurement uncertainties, the observational analysis provides a best estimate  
754 of the AOD and PW relationships. The observational analysis was combined with the NAAPS-RA in order to provide  
755 a complete global perspective on the AOD and PW relationship as well as to provide an avenue for further exploration,  
756 including what the likely drivers of these relationships are, what the relationships look like when taking the vertical  
757 location into account, and the impact of hygroscopic growth on the AOD and PW correlations.

758 The major findings of this work include:

- 759 1. Seasonal relationships between AOD and PW are present across the globe at both seasonal and daily levels.  
760 Most often AOD and PW relationships are strongly positive at seasonal to daily time scales, especially for  
761 species such as pollution and dust. Biomass burning, however, has negative seasonal relationships due to fire  
762 proclivity in dry seasons. Nevertheless, positive daily relationships are observed, associated with transport.  
763 For regions like the Sahel, negative relationships between AOD and PW were found with spatial patterns  
764 consistent with shifts in the ITCZ in which convection leads to aerosol scavenging.
- 765 2. Mid-latitude relationships between AOD and PW appear to be driven by frontal activity. While  
766 tropical/subtropical relationships are driven by seasonal monsoon activity, ITCZ, and dry season patterns.



- 767 Dust transport associated with African easterly waves and cyclones are the link between aerosol and water  
768 vapor for the Sahara.
- 769 3. The observed correlations between the AOD and PW were stronger when evaluated by vertical level with the  
770 strongest correlations identified in the free troposphere, consistent with large scale aerosol and water vapor  
771 transport. The location of the strongest correlations varied by aerosol type with dust dominated regions  
772 having the strongest correlations in the mid free troposphere and smoke dominated regions having the  
773 strongest correlations in the lower free troposphere.
- 774 4. Hygroscopic growth of aerosol particles, which is associated with increased relative humidity and often  
775 occurs with increasing PW, has a large influence on the observed covariability between AOD and PW,  
776 particularly in the mid-latitudes and for non-dust aerosol species. While transport covariance between AOD  
777 and PW is present, the imbedded RH to PW relationship is the dominant term. This indicates that PW is a  
778 good tracer for AOD, but not necessarily aerosol mass.
- 779 5. Covariability between AOD and PW for dust-dominated events is statistically significant and hygroscopic  
780 growth is not an important factor.

781 Overall, this evaluation provides a global perspective on AOD and PW relationships. As has been previously  
782 shown for individual case studies in the literature, this work reaffirms that PW is a useful tracer for aerosol  
783 transport and such relationships are present across the globe. The seasonal AOD and PW evaluations conducted  
784 in this work highlight regions and seasons for which AOD and PW relationships are expected to be more  
785 prevalent. In particular, regions and seasons for which strong correlations and impacts on the PW distribution for  
786 high AOD events are found are associated with synoptic scale aerosol events, including large-scale pollution and  
787 smoke events over CONUS and Europe, Saharan dust events over the Atlantic, biomass burning events during  
788 regional dry seasons in South/Central America, Africa, and Southeast Asia, and Asian dust/haze events. This is  
789 confirmed when evaluating AOD and PW relationships on an event basis in different parts of the world in which  
790 coincident peaks in daily-averaged AOD and PW were associated with large-scale aerosol transport events. The  
791 vertical evaluation of the AOD and PW relationship provides further evidence that a strong contributor to the  
792 identified relationship is synoptic scale aerosol transport in the free troposphere where the relationships were  
793 found to be stronger than the fully integrated vertical column. These signals were present for all aerosol types  
794 evaluated, indicating PW can be a useful tracer for AOD associated with all aerosol types as long as sources of  
795 both and a common linking transport mechanism are present. For example, in the United States, fronts were the  
796 linking transport mechanism while in East Asia, monsoonal patterns controlled joint transport. Likewise, dust  
797 transport associated with African easterly waves and cyclones linked aerosol and water vapor for the Sahara.  
798 Regions identified with strong correlations indicate the frequent presence of such synoptic scale co-transport  
799 events while the PW distribution evaluation for high AOD events highlights regions in which such events are  
800 present, but can be infrequent, as was the case for Boreal smoke events in summertime.

801 This work provides a first step in understanding the important aerosol and water vapor relationship on a global  
802 scale. While aerosol and water vapor relationships will vary from air mass to air mass, this analysis provides an



803 understanding of where and when AOD and PW relationships are expected to be of importance and can be  
804 exploited in 1) using water vapor as an aerosol tracer 2) in data assimilation applications and 3) for radiative  
805 transfer studies in which collocated aerosol and water vapor can impact results. While this analysis provides a  
806 quantitative estimate of the aerosol and water vapor relationship in a big picture sense, the next step is to further  
807 understand the aerosol and water vapor relationships on an event level. This is particularly important for data  
808 assimilation in which an understanding of how this relationship temporally and spatially evolves for individual  
809 air masses needs to be developed. As such, a follow-on study will be conducted to investigate the evolution of  
810 aerosol and water vapor in space and time on an event level with a focus on specific regions identified in this  
811 work.

#### 812 **Code and data availability**

813 AERONET observations are available for download through <https://aeronet.gsfc.nasa.gov/> and the NAAPS  
814 reanalysis data in NetCDF format can be downloaded through The US Global Ocean Data Assimilation  
815 Experiment (GODAE) server (<https://usgodae.org/>).

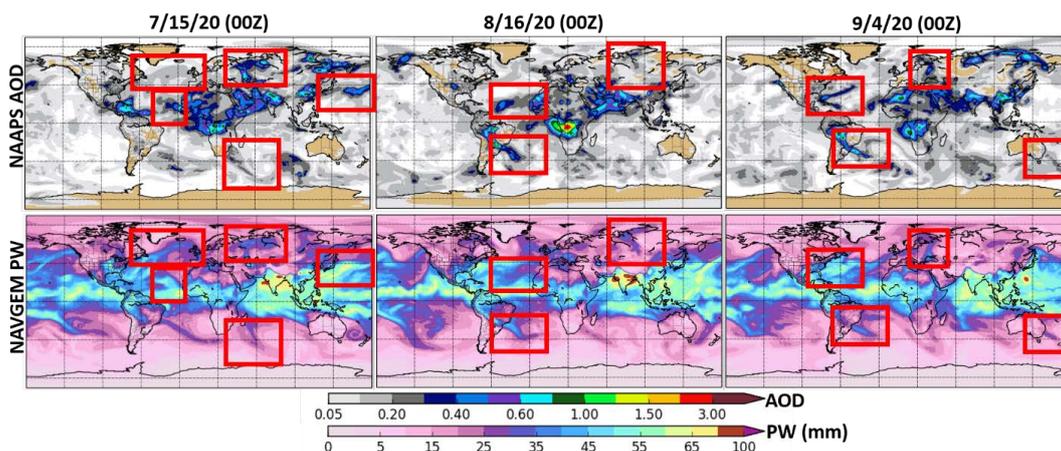
#### 816 **Author contributions**

817 Authors Juli Rubin and Jeffrey Reid planned the analysis while Juli Rubin conducted the majority of the analyses  
818 presented in this work. Peng Xian provided the NAAPS-RA dataset and provided help in using the data. Jeffrey  
819 Reid, Juli Rubin, Christopher Selman and Thomas Eck helped in interpreting the results of the study with Chris  
820 Selman focused on the meteorological aspect and Thomas Eck providing important feedback on the evaluation  
821 using AERONET data.

#### 822 **Competing interests**

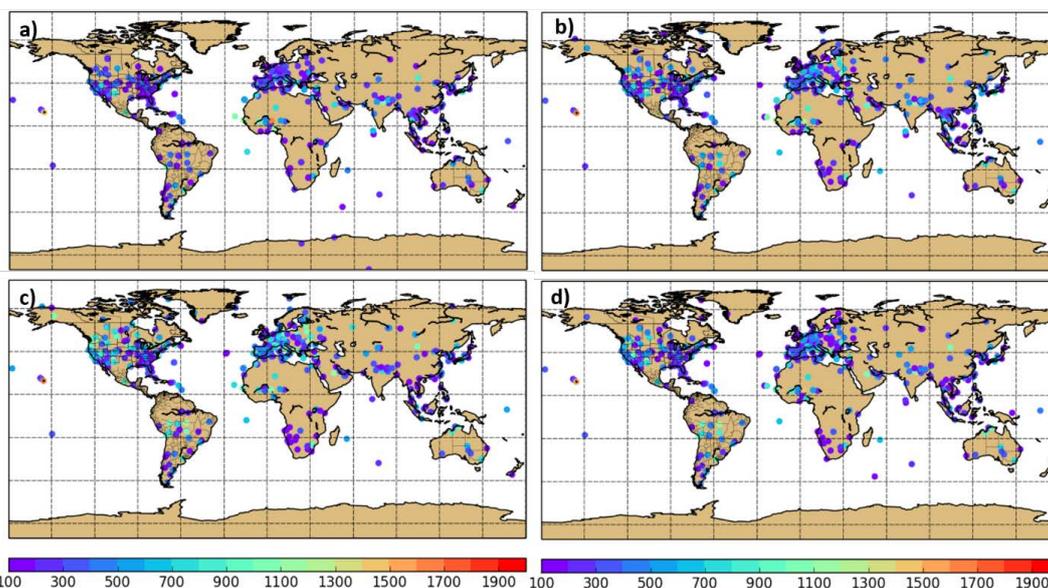
823 The peer-review process was guided by an independent editor, and the authors have no other competing interests  
824 to declare.

825



826

827 Figure 1. Examples of NAAPS AOD and NAVGEM PW forecasts in which similar synoptic scale transport patterns are  
828 found, particularly in the mid-latitudes. Aerosol and water vapor features with similar transport patterns are highlighted  
829 in matching red boxes in the AOD and PW plots. These types of co-transport events of both positive and negative correlation  
830 are found in forecasts on a daily basis.

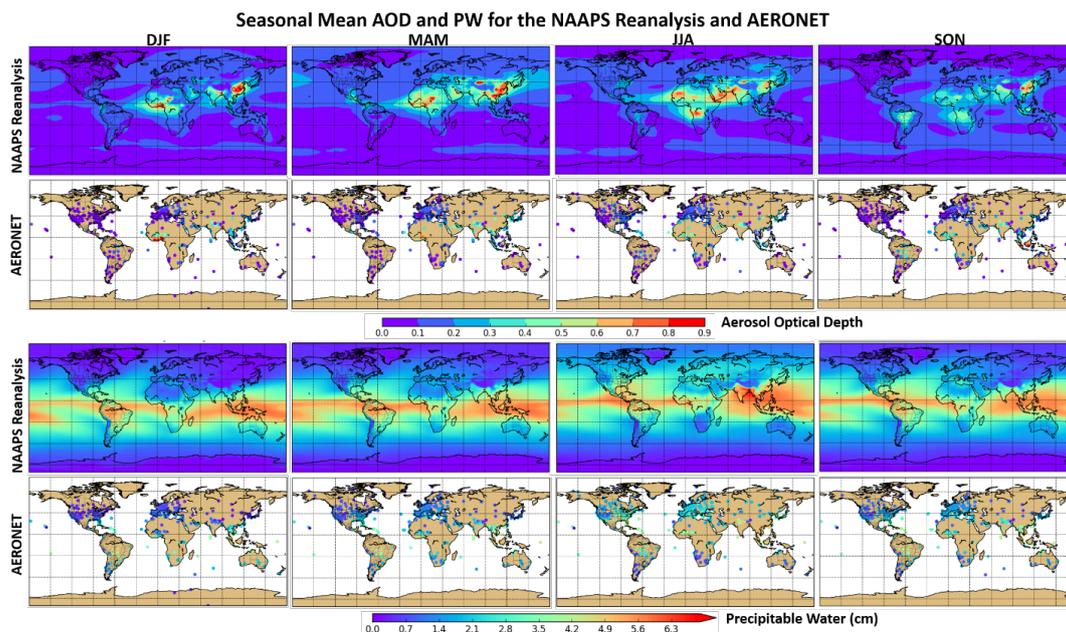


831

832 Figure 2. Count of daily-averaged AERONET AOD and PW data points by season: a) DJF b) MAM c) JJA d) SON. Only  
833 sites with at least 100 points are shown.

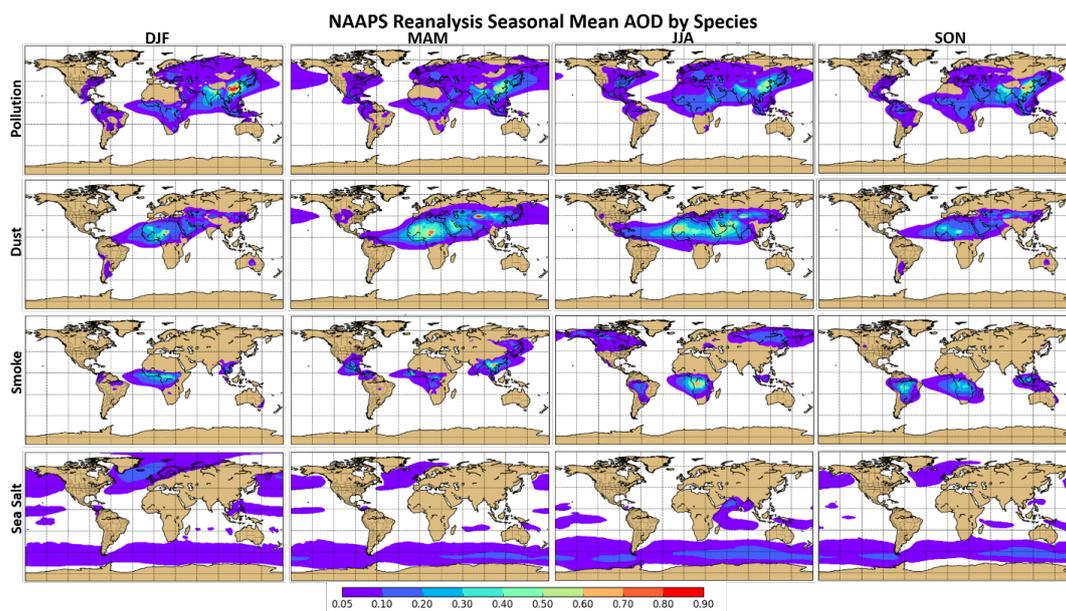
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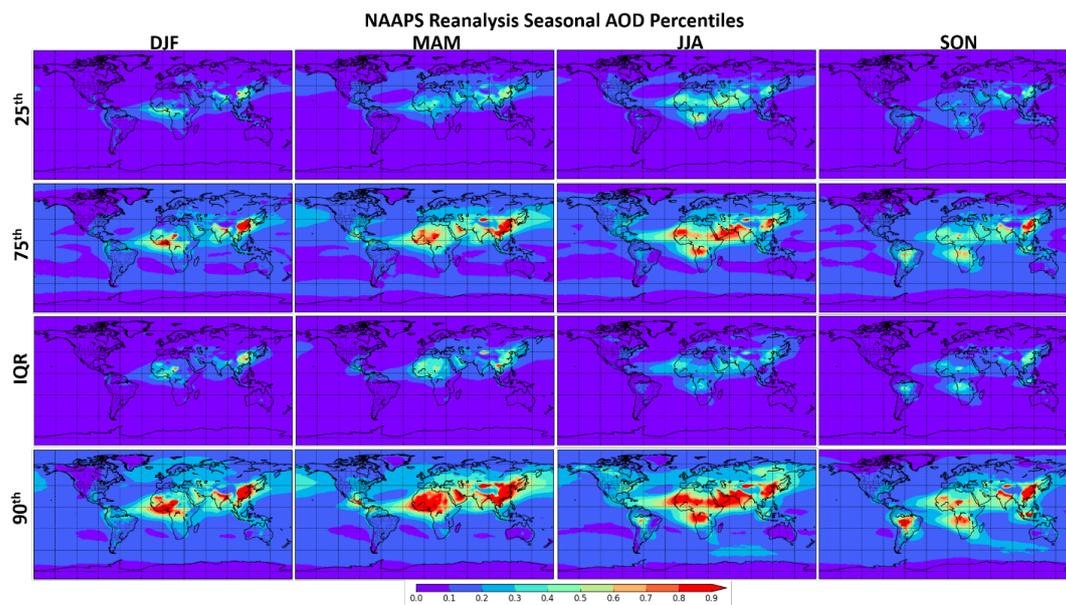
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837 **Figure 3.** Mean AOD and Precipitable Water (cm) for the NAAPS-RA and at AERONET sites by season: DJF, MAM, JJA,  
838 SON.



839

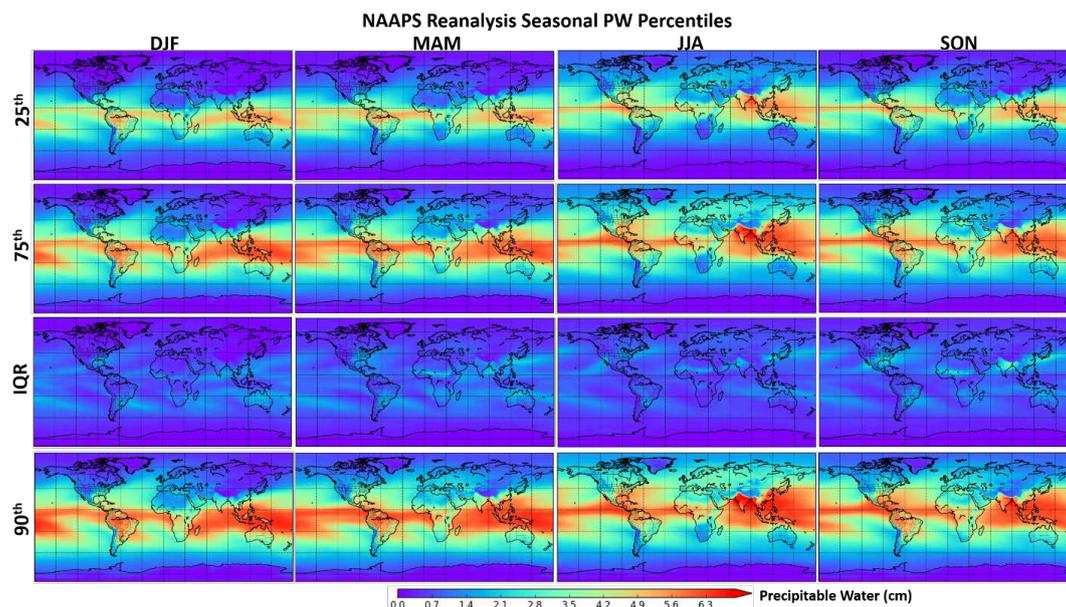
840 **Figure 4.** NAAPS-RA seasonally averaged AOD by aerosol type, including pollution (anthropogenic and biogenic fine  
841 aerosol), dust, smoke, and sea salt.



842

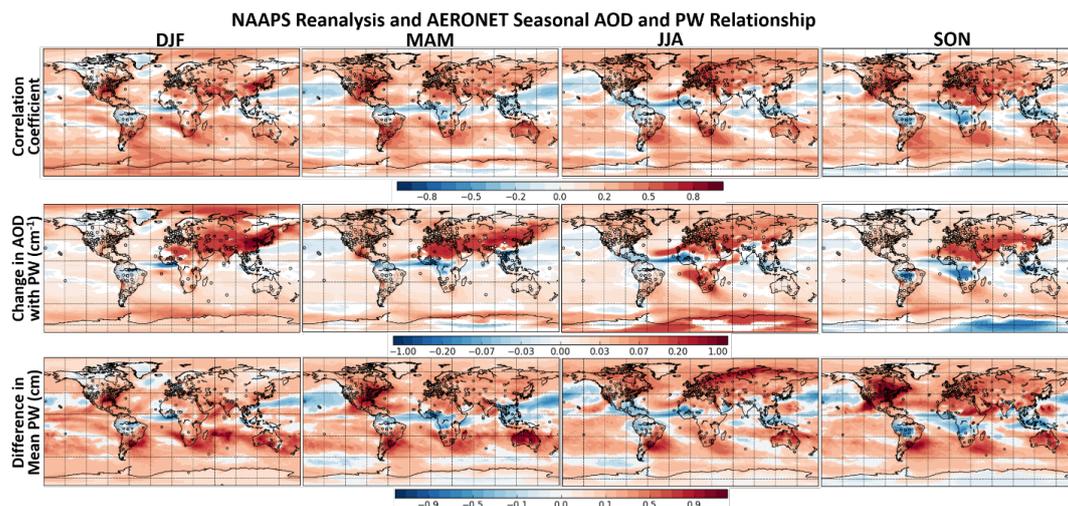
843 Figure 5. NAAPS-RA AOD percentiles by season (DJF, MAM, JJA, SON). The 25th and 75th percentiles are shown along  
844 with the interquartile range (IQR). The 90th percentile is used to show high AOD values at a given location.

845



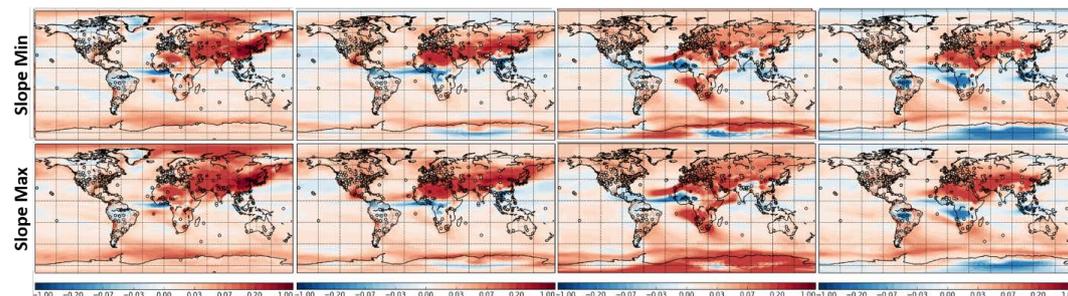
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847 Figure 6. NAAPS-RA PW percentiles by season (DJF, MAM, JJA, SON). The 25th and 75th percentiles are shown along  
848 with the interquartile range (IQR). The 90th percentile is used to show high PW values at a given location.



849

850 Figure 7. Seasonal AOD and PW relationships based on AERONET data (circles) and the NAAPS-RA (global map) shown  
851 as: a) correlation coefficients between daily-averaged AOD and PW (non-zero values are statistically significant at the 95%  
852 level) b) Theil-Sen regression slopes (change in AOD with PW) between daily-averaged AOD and PW in units of  $\text{cm}^{-1}$  at  
853 locations where the correlation is statistically significant and c) the statistically significant difference in mean PW (cm)  
854 between the PW distribution associated with high AOD events ( $> 1$  standard deviation above mean) and the PW distribution  
855 for all AOD values. Red regions indicate a positive relationship between AOD and PW (higher moisture conditions for  
856 higher AOD events) and blue regions indicate a negative relationship (drier conditions for higher AOD events).



857

858 Figure 8. The 95% confidence interval in the Theil-Sen change in AOD with PW ( $\text{cm}^{-1}$ ) for DJF, MAM, JJA, and SON.  
859 The confidence intervals are shown for both the NAAPS-RA and AERONET.

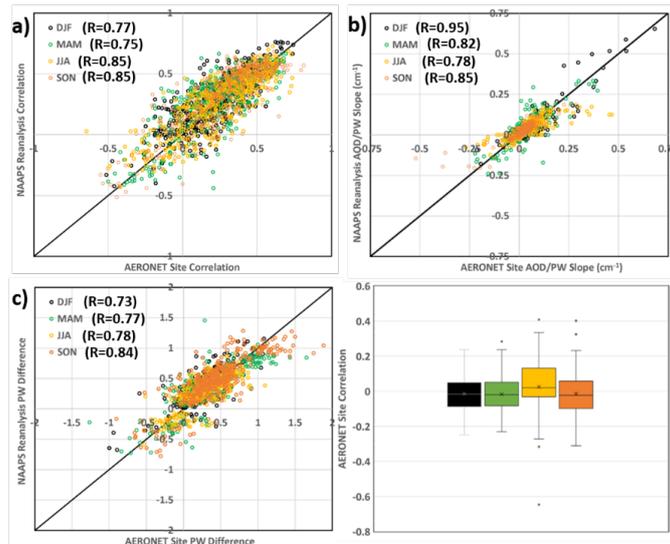
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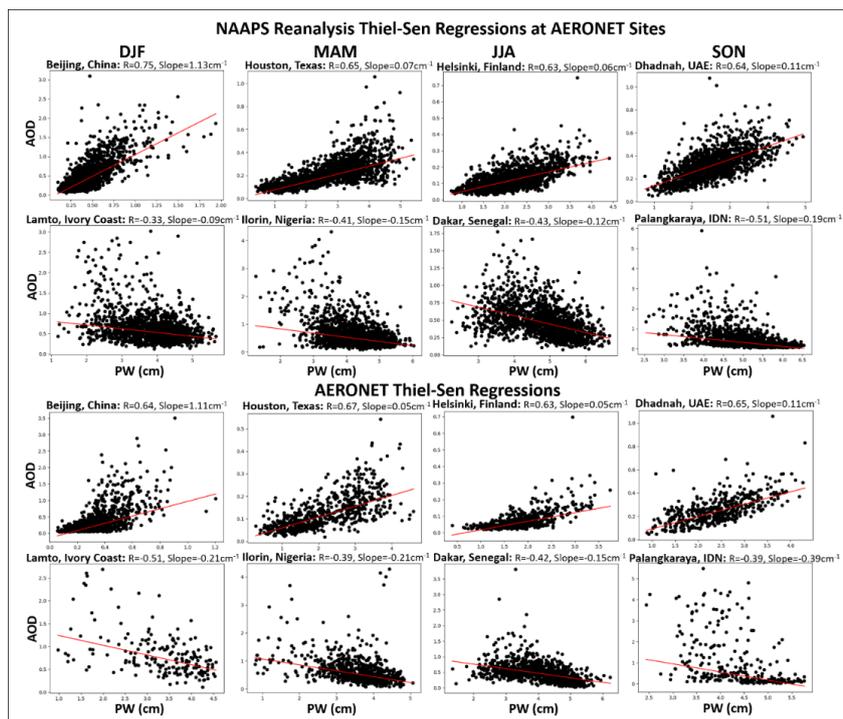
Scatterplot Comparison of AERONET and NAAPS Seasonal AOD/PW Relationships



863

864 Figure 9. Scatterplot comparisons of the NAAPS-RA and AERONET: a) AOD and PW correlations at AERONET sites b)  
865 the change in AOD with PW (cm-1) at AERONET sites and c) the statistically significant difference in mean PW associated  
866 with high AOD events compared to the full PW distribution at AERONET sites. The comparisons are shown by season  
867 (DJF, MAM, SON, JJA) and correlations between the datasets are included. Additionally, the distribution of AERONET  
868 site correlations for which sign differences were found between NAAPS and AERONET calculated AOD/PW relationships  
869 are shown seasonally in d).

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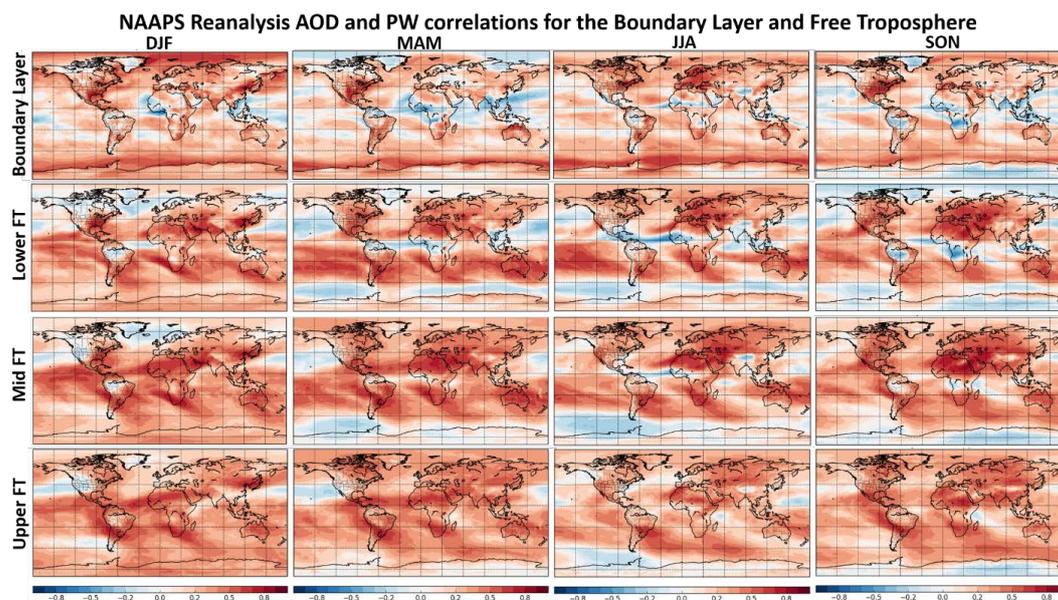


871

872 Figure 10. Seasonal examples of NAAPS-RA and AERONET Thiel-Sen regression calculations for positive correlation  
873 locations (Beijing, Houston, Helsinki, Dhadnah) and a negative correlation locations (Lamto, Ilorin, Dakar, Palangkaraya).  
874 The black dots are the AOD and PW pairs from the NAAPS-RA or AERONET and the red line is the Thiel-Sen fitting,  
875 which is the median of the slopes for the range of data pairings. The location name, correlation coefficient (R), and the  
876 Thiel-Sen slope (Slope) are included with each plot.

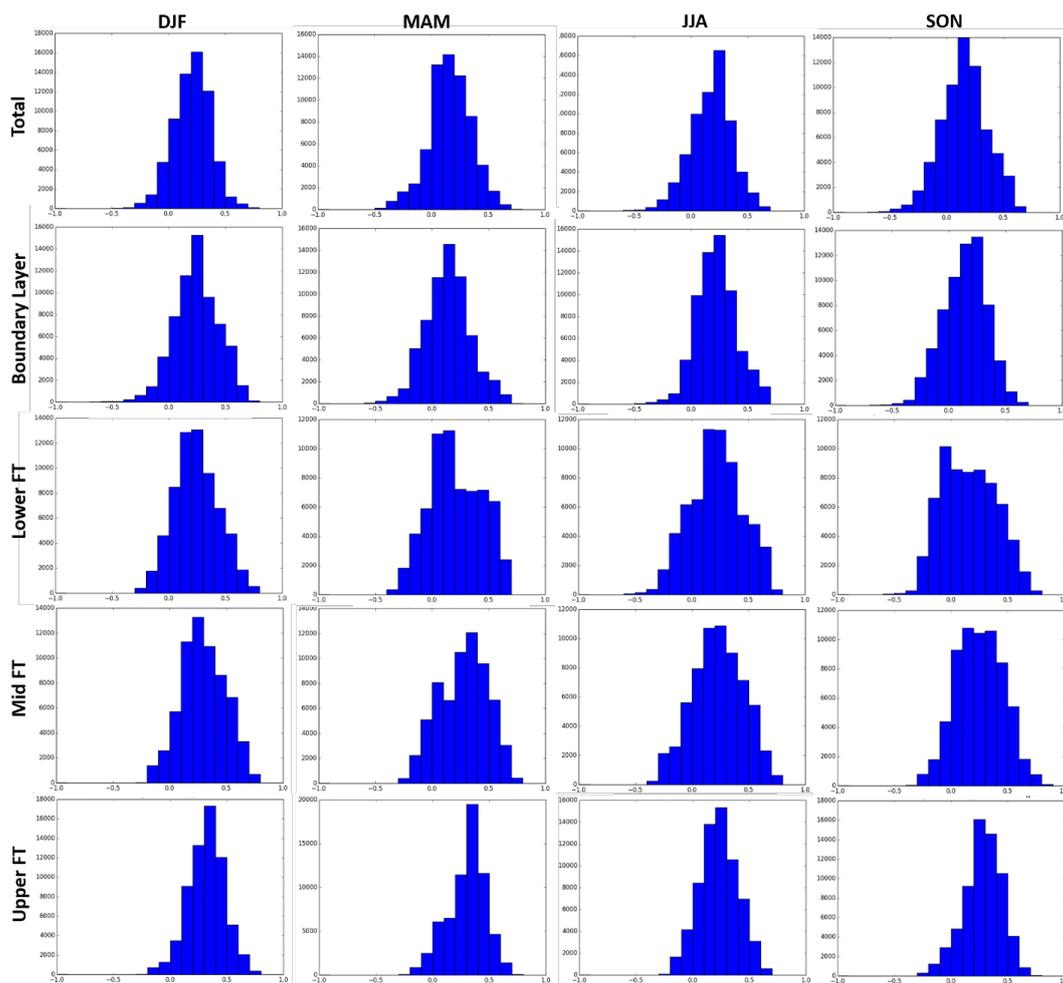
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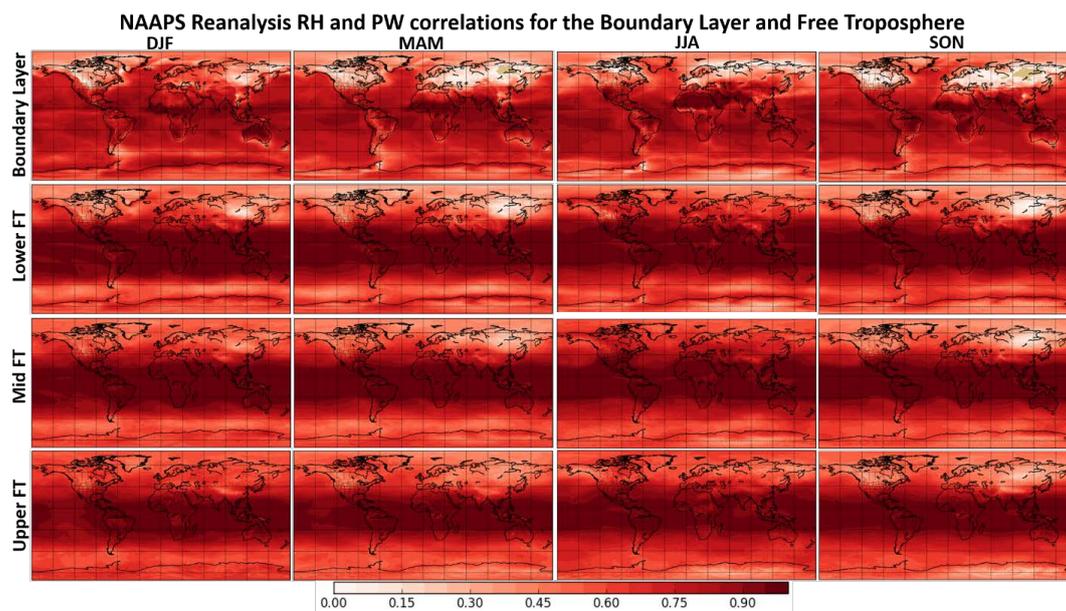
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880 **Figure 11.** NAAPS-RA seasonal correlations (DJF, MAM, JJA, SON) between vertically-integrated total aerosol extinction  
881 and specific humidity in the boundary layer, lower free troposphere, mid free troposphere and upper free troposphere. Red  
882 values indicate a positive correlation and blue values indicate a negative correlation.



883

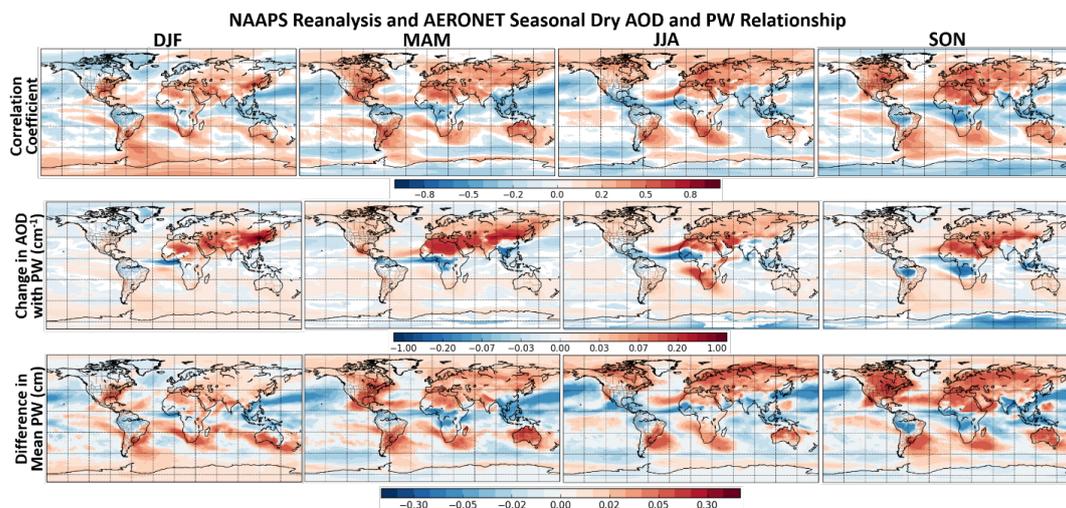
884 **Figure 12.** AOD and PW correlation histograms by season for the full integrated column (Total) and vertical components  
885 of the atmosphere (Boundary Layer, Lower/Mid/Upper Free Troposphere).



886

887 **Figure 13.** NAAPS-RA seasonal correlations (DJF, MAM, JJA, SON) between vertically-integrated relative humidity  
 888 (integrated specific humidity/integrated saturation specific humidity) and specific humidity in the boundary layer, lower  
 889 free troposphere, mid free troposphere and upper free troposphere.

890



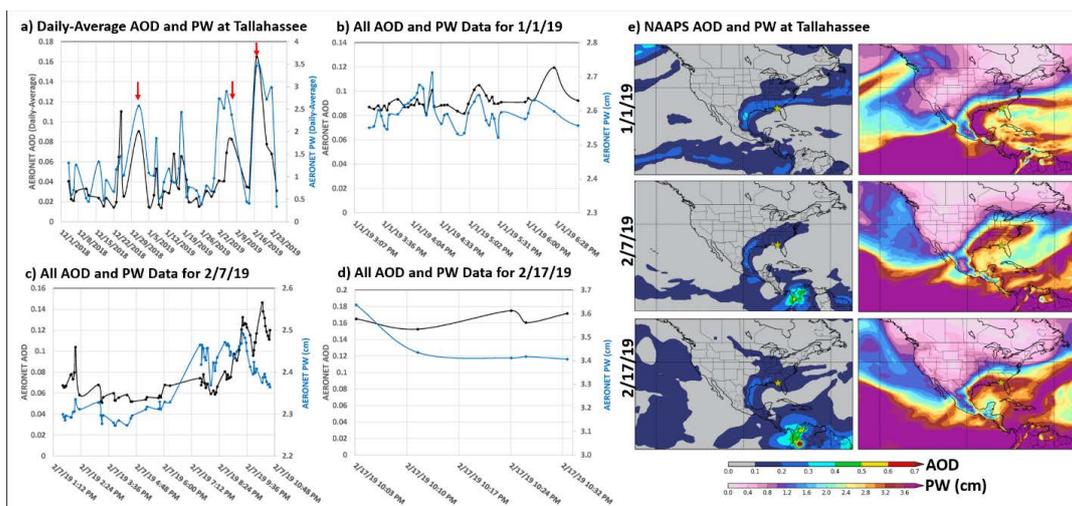
891

892 **Figure 14.** Seasonal dry AOD and PW relationships based on the NAAPS-RA shown as: a) correlation coefficients between  
 893 daily-averaged dry AOD and PW (non-zero values are statistically significant at the 95% level) b) Theil-Sen regression  
 894 slopes (change in AOD with PW) between daily-averaged dry AOD and PW in units of  $\text{cm}^{-1}$  at locations where the  
 895 correlation is statistically significant and c) the statistically significant difference in mean PW (cm) between the PW  
 896 distribution associated with high dry AOD events ( $> 1$  standard deviation above mean) and the PW distribution for all AOD



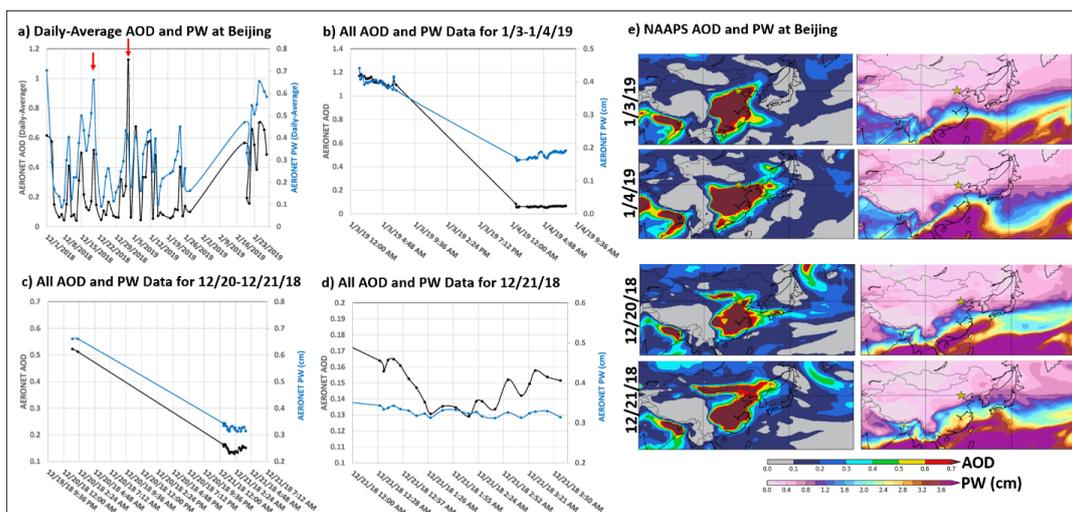
897 values. Red regions indicate a positive relationship between dry AOD and PW and blue regions indicate a negative  
898 relationship.

899

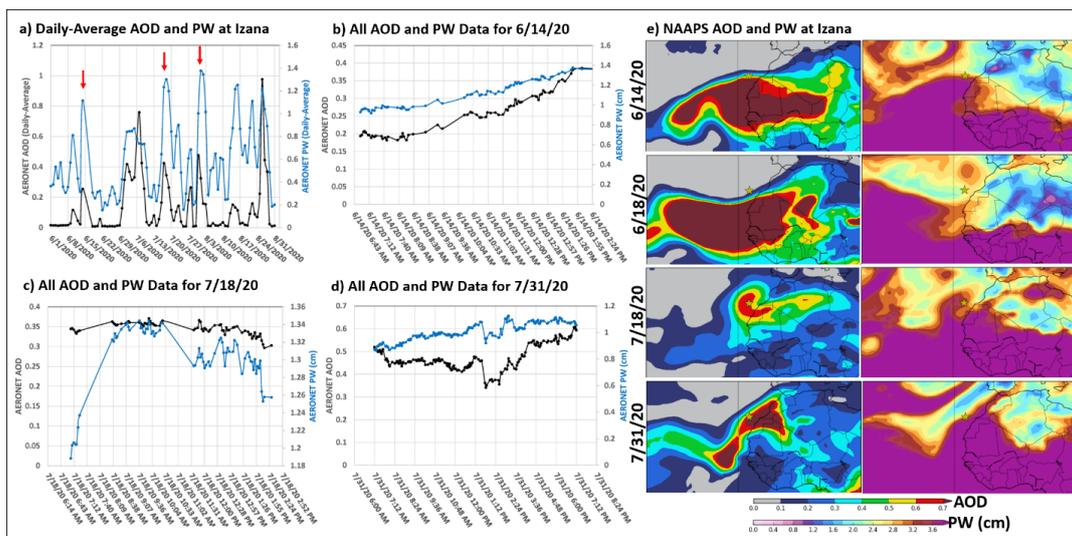


900 Figure 15. AOD and PW timeseries at the Tallahassee, Florida site in which strong positive correlations are observed during the DJF season. The daily-average AOD and PW timeseries are shown for the 2018-2019 DJF season with red arrows  
901 indicating select events for which joint peaks in AOD and PW are observed (a). Timeseries of AERONET data (non-  
902 averaged, all data) for dates identified with red arrows are shown in timeseries b-d. Additionally, NAAPS-RA AOD and  
903 PW (cm) fields are shown for the same dates with the AERONET site marked with a yellow star (e).  
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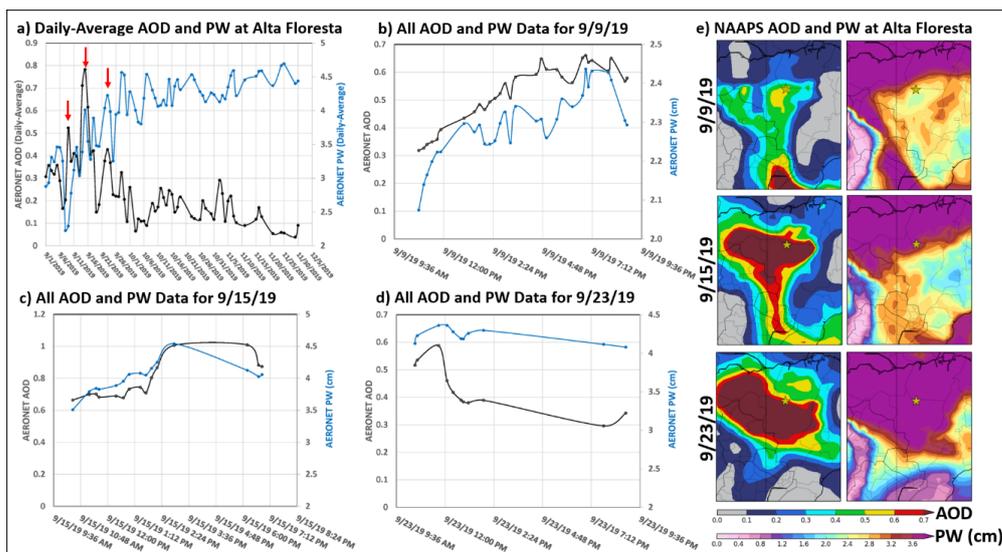
906 Figure 16. AOD and PW timeseries at the Beijing, China site in which strong positive correlations are observed during the  
907 DJF season. The daily-average AOD and PW timeseries are shown for the 2018-2019 DJF season with red arrows indicating  
908 select events for which joint peaks in AOD and PW are observed (a). Timeseries of AERONET data (non-averaged, all  
909 data) for dates identified with red arrows are shown in timeseries b-d. Additionally, NAAPS-RA AOD and PW (cm) fields  
910 are shown for the same dates with the AERONET site marked with a yellow star (e), including the air mass movement for  
911 1/3-1/4/19 and 12/20-12/21/18.



912

913 Figure 17. AOD and PW timeseries at the Izana, Canary Islands site in which strong positive correlations are observed  
 914 during the JJA season. The daily-average AOD and PW timeseries are shown for the 2020 JJA season with red arrows  
 915 indicating select events for which joint peaks in AOD and PW are observed (a). Timeseries of AERONET data (non-  
 916 averaged, all data) for dates identified with red arrows are shown in timeseries b-d. Additionally, NAAPS-RA AOD and  
 917 PW (cm) fields are shown for the same dates with the AERONET site marked with a yellow star (e). The fields for 6/18/20  
 918 are also included which show the joint dip in AOD and PW in the (a) timeseries after the 6/14 event.

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920

921 Figure 18. AOD and PW timeseries at the Alta Floresta site in Brazil in which negative AOD and PW correlations were  
 922 identified in the seasonal analysis. The daily-average AOD and PW timeseries are shown for the 2019 SON season with red  
 923 arrows indicating select events for further evaluation (a). AERONET AOD and PW timeseries (non-averaged, all data) for  
 924 the selected events are shown in timeseries b-d. Additionally, NAAPS-RA AOD and PW (cm) fields are shown for the same  
 925 dates with the AERONET site marked with a yellow star (e).



926 **Table 1.** AOD and PW relationship evaluation results for DJF at select AERONET sites that exhibited the strongest  
 927 AERONET correlations, positive and negative. The site name and latitude/longitude information are shown as well as the  
 928 correlation (R), change in AOD with PW (Slope), and the statistically significant difference in mean PW for high AOD  
 929 events (PW Diff) for both AERONET and the NAAPS-RA.

AERONET Site	Lat	Lon	AERONET			NAAPS Reanalysis		
			R	Slope (cm <sup>-1</sup> )	PW Diff (cm)	R	Slope (cm <sup>-1</sup> )	PW Diff (cm)
Stennis	30.37	-89.62	0.743	0.044	1.082	0.739	0.042	1.156
Tallahassee	30.45	-84.30	0.739	0.024	1.068	0.664	0.037	0.901
Beijing-CAMS	39.93	116.32	0.707	1.016	0.225	0.755	1.132	0.347
XiangHe	39.75	116.96	0.673	1.349	0.196	0.755	1.132	0.347
SEARCH-Centreville	32.90	-87.25	0.665	0.028	0.931	0.706	0.045	1.000
Univ_of_Houston	29.72	-95.34	0.661	0.039	0.734	0.762	0.058	1.109
SEARCH-OLF	30.55	-87.38	0.659	0.025	0.820	0.720	0.039	1.069
Beijing	39.98	116.38	0.638	1.108	0.211	0.755	1.132	0.347
UAHuntsville	34.73	-86.64	0.633	0.029	0.930	0.684	0.049	0.866
UH_Coastal_Center	29.39	-95.04	0.624	0.039	0.862	0.762	0.058	1.109
Dhadnah	25.51	56.32	0.610	0.120	0.535	0.548	0.110	0.653
Ilorin	8.48	4.67	-0.261	-0.100	-0.513	-0.159	-0.063	-0.356
Pontianak	0.08	109.19	-0.345	-0.054	-0.476	-0.255	-0.029	-0.278
Koforidua_ANUC	6.11	-0.30	-0.459	-0.194	-0.894	-0.424	-0.178	-0.676
Jambi	-1.63	103.64	-0.473	-0.110	-0.942	-0.217	-0.039	-0.392
LAMTO-STATION	6.22	-5.03	-0.513	-0.214	-0.999	-0.331	-0.093	-0.644

930

931 **Table 2.** AOD and PW relationship evaluation results for MAM at select AERONET sites that exhibited the strongest  
 932 AERONET correlations, positive and negative. The site name and latitude/longitude information are shown as well as the  
 933 correlation (R), change in AOD with PW (Slope), and the statistically significant difference in mean PW for high AOD  
 934 events (PW Diff) for both AERONET and the NAAPS-RA.

AERONET Site	Lat	Lon	AERONET			NAAPS Reanalysis		
			R	Slope (cm <sup>-1</sup> )	PW Diff (cm)	R	Slope (cm <sup>-1</sup> )	PW Diff (cm)
UH_Coastal_Center	29.39	-95.04	0.694	0.041	1.099	0.665	0.068	1.058
UMBC	39.25	-76.71	0.680	0.042	1.219	0.602	0.053	0.870
Stennis	30.37	-89.62	0.680	0.046	0.967	0.630	0.048	0.978
NEON_OSBS	29.69	-81.99	0.679	0.032	1.241	0.511	0.038	0.737
NEON_TALL	32.95	-87.39	0.677	0.032	0.915	0.604	0.047	0.815
Univ_of_Houston	29.72	-95.34	0.666	0.048	1.000	0.665	0.068	1.058
UAHuntsville	34.73	-86.64	0.664	0.049	0.992	0.611	0.050	0.766
CCNY	40.82	-73.95	0.663	0.056	1.132	0.614	0.056	0.889
CASLEO	-31.80	-69.30	0.652	0.020	0.342	0.432	0.044	0.218
NEON_ORNL	35.96	-84.28	0.649	0.033	1.007	0.586	0.051	0.698
Nainital	29.36	79.46	0.629	0.256	0.422	0.388	0.112	0.457
Midway_Island	28.21	-177.38	-0.326	-0.033	-0.370	-0.323	-0.026	-0.490
Mandalay_MTU	21.97	96.19	-0.338	-0.049	-0.439	-0.384	-0.080	-0.717
LAMTO-STATION	6.22	-5.03	-0.353	-0.177	-0.429	-0.321	-0.132	-0.350
Vientiane	17.99	102.57	-0.355	-0.130	-0.451	-0.377	-0.144	-0.408
Chiang_Mai_Met_St	18.77	98.97	-0.372	-0.109	-0.586	-0.391	-0.126	-0.554
Jambi	-1.63	103.64	-0.392	-0.034	-1.265	-0.206	-0.020	-0.220
Ilorin	8.48	4.67	-0.392	-0.210	-0.710	-0.412	-0.151	-0.726
NGHIA_DO	21.05	105.80	-0.455	-0.216	-0.632	-0.318	-0.166	-0.349
Djougou	9.76	1.60	-0.500	-0.222	-0.836	-0.385	-0.114	-0.700

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938 **Table 3.** AOD and PW relationship evaluation results for JJA at select AERONET sites that exhibited the strongest  
 939 AERONET correlations, positive and negative. The site name and latitude/longitude information are shown as well as the  
 940 correlation (R), change in AOD with PW (Slope), and the statistically significant difference in mean PW for high AOD  
 941 events (PW Diff) for both AERONET and the NAAPS-RA. PW difference values of 0 in the NAAPS-RA indicate the change  
 942 was not statistically significant.

AERONET Site	Lat	Lon	AERONET			NAAPS Reanalysis		
			R	Slope (cm <sup>-1</sup> )	PW Diff (cm)	R	Slope (cm <sup>-1</sup> )	PW Diff (cm)
Huambo	-12.87	15.70	0.737	0.365	0.447	0.467	0.174	0.350
DRAGON_OLNES	39.15	-77.07	0.731	0.088	0.894	0.399	0.048	0.386
Pretoria_CSIR-DPSS	-25.76	28.28	0.731	0.140	0.438	0.660	0.120	0.446
DRAGON_CLLGP	38.99	-76.91	0.719	0.085	1.097	0.348	0.039	0.387
Durban_UKZN	-29.82	30.94	0.711	0.111	0.670	0.687	0.131	0.567
Raciborz	50.08	18.19	0.672	0.065	0.749	0.614	0.085	0.578
Izana	28.31	-16.50	0.662	0.240	0.345	0.477	0.152	0.495
Helsinki	60.20	24.96	0.633	0.052	0.790	0.631	0.060	0.698
IMS-METU-ERDEML	36.57	34.26	0.632	0.099	0.543	0.559	0.073	0.484
CLUJ_UBB	46.77	23.55	0.632	0.091	0.529	0.602	0.100	0.438
Pokhara	28.19	83.98	-0.349	-0.111	-0.452	0.029	0.026	0.000
Bidi_Bahn	14.06	-2.45	-0.360	-0.175	-0.244	-0.251	-0.060	-0.305
Ouagadougou	12.42	-1.49	-0.385	-0.180	-0.292	-0.205	-0.059	-0.211
Lumbini	27.49	83.28	-0.388	-0.157	-0.434	-0.098	-0.013	-0.171
Dakar	14.39	-16.96	-0.418	-0.146	-0.528	-0.428	-0.122	-0.584
Agoufou	15.35	-1.48	-0.491	-0.209	-0.533	-0.258	-0.060	-0.346
IER_Cinzana	13.28	-5.93	-0.501	-0.269	-0.424	-0.279	-0.077	-0.259
Jomsom	28.78	83.71	-0.646	-0.064	-0.581	0.029	0.026	0.000

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944 **Table 4.** AOD and PW relationship evaluation results for SON at select AERONET sites that exhibited the strongest  
 945 AERONET correlations, positive and negative. The site name and latitude/longitude information are shown as well as the  
 946 correlation (R), change in AOD with PW (Slope), and the statistically significant difference in mean PW for high AOD  
 947 events (PW Diff) for both AERONET and the NAAPS-RA. PW difference values of 0 in the NAAPS-RA indicate the change  
 948 was not statistically significant.

AERONET Site	Lat	Lon	AERONET			NAAPS Reanalysis		
			R	Slope (cm <sup>-1</sup> )	PW Diff (cm)	R	Slope (cm <sup>-1</sup> )	PW Diff (cm)
USDA	39.03	-76.88	0.815	0.088	1.883	0.570	0.036	1.043
SEARCH-Centreville	32.90	-87.25	0.796	0.026	1.748	0.531	0.032	0.917
St_Louis_University	38.64	-90.23	0.724	0.032	1.369	0.574	0.037	1.188
Martova	49.94	36.95	0.706	0.070	0.590	0.531	0.064	0.633
UMBC	39.25	-76.71	0.699	0.035	1.473	0.570	0.036	1.043
Poprad-Ganovce	49.04	20.32	0.689	0.053	0.651	0.568	0.071	0.525
NEON_TALL	32.95	-87.39	0.679	0.025	1.184	0.531	0.032	0.917
GISS	40.80	-73.96	0.673	0.062	1.369	0.556	0.033	0.950
Harvard_Forest	42.53	-72.19	0.666	0.035	1.051	0.576	0.036	1.020
Mingo	36.97	-90.14	0.655	0.040	1.500	0.594	0.038	1.186
Dhadnah	25.51	56.32	0.651	0.106	0.757	0.643	0.115	0.706
Midway_Island	28.21	-177.38	-0.344	-0.013	-0.431	-0.172	-0.007	-0.339
Alta_Floresta	-9.87	-56.10	-0.382	-0.148	-0.573	-0.470	-0.197	-0.634
Koforidua_ANUC	6.11	-0.30	-0.384	-0.161	-0.173	-0.252	-0.046	-0.330
Rio_Branco	-9.96	-67.87	-0.426	-0.121	-0.496	-0.430	-0.145	-0.643
Abracos_Hill	-10.76	-62.36	-0.430	-0.226	-0.351	-0.401	-0.205	-0.373
Ilorin	8.48	4.67	-0.431	-0.091	-0.653	-0.227	-0.033	-0.476
Palangkaraya	-2.23	113.95	-0.443	-0.385	-0.549	-0.512	-0.195	-0.886
Ji_Parana_SE	-10.93	-61.85	-0.483	-0.197	-0.659	-0.412	-0.203	-0.393
Pontianak	0.08	109.19	-0.536	-0.521	-0.644	-0.400	-0.145	-0.454
Kuching	1.49	110.35	-0.552	-0.373	-0.528	-0.329	-0.111	-0.360

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