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2	A change in the relation between the Subtropical Indian Ocean Dipole and the
3	South Atlantic Ocean Dipole indices in the past four decades
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23 Abstract

24	We utilized the global atmospheric reanalysis (ERA5) and reconstructed sea
25	surface temperature (SST) data from 1979 through 2020 to examine the stability of
26	the relationship between the SST oscillations in the southern Indian and the Atlantic
27	Oceans described by the Subtropical Indian Ocean Dipole (SIOD) and the South
28	Atlantic Ocean Dipole (SAOD) indices. We note a significant positive correlation
29	between the two indices prior to the year 2000 but practically no correlation
30	afterwards. We show that in the two decades prior to 2000, a positive phase of SAOD
31	is associated with more convective activities over the subtropical southern Atlantic
32	Ocean and eastern Brazil, which trigger a stronger upper-atmosphere wavetrain, and
33	further produces stronger southern subtropical highs and surface anti-cyclonic
34	circulations and therefore a stronger correlation between the two indices. The
35	situation is reversed after 2000. Our results are potentially applicable for predictions
36	of precipitation in southern Africa and South America.

37 **1 Introduction**

A southwest-northeast-oriented dipole mode characterizes the anomalous sea surface temperature (SST) patterns over the subtropical South Indian and Atlantic Oceans (Wang, 2010). The former is referred to as the Subtropical Indian Ocean Dipole (SIOD) mode (Behera and Yamagata, 2001) and the latter is named as the South Atlantic Ocean Dipole (SAOD) mode (Venegas et al., 1997). The two subtropical modes display similar seasonal variability with their peaks in austral summer (Morioka et al., 2012). Surface latent heat flux anomalies play a vital role in

45	their variability (Sterl and Hazeleger, 2003; Suzukietal., 2004; Hermes and Reason,
46	2005). Moreover, the interannual variability of the two modes has been linked to the
47	El Niño–Southern Oscillation (ENSO) (Boschat et al., 2013). The two subtropical
48	modes exert a great influence on precipitation in Africa and South America (Reason,
49	2001; 2002; Vigaud et al., 2009; Nnamchi and Li, 2011; Morioka et al., 2012; Wainer
50	et al., 2020) and, therefore, understanding the relationship between the two modes has
51	practical implications for precipitation forecasts in Africa and South America on
52	seasonal scale and beyond.
53	Using observational data, Fauchereau et al. (2003) noted the co-variability of the
54	SIOD and SAOD indices in austral summer. Hermes and Reason (2005) confirmed
55	the co-variability of the two indices and attributed it to an anomalous subtropical high.
56	Both studies suggested a linkage between the two indices and an atmospheric zonal
57	wavenumber-4 pattern in the Southern Hemisphere. Lin (2019) also suggested the
58	atmospheric zonal wavenumber-4 pattern controlling the South Atlantic-South Indian
59	Ocean SST pattern. The atmospheric wavenumber-4 was also observed in other
60	studies (Chiswell, 2021; Senapati, et al., 2021). The global wave-number-4 pattern in
61	SST includes southern subtropical Indian and Atlantic Ocean components that
62	resemble the two subtropical dipole modes (Senapati et al., 2021). The linkage
63	between the SST patterns in the Southern Hemisphere ocean basins and their relation
64	with atmospheric wavenumber-4 pattern is a challenging and active research topic
65	worthy of further investigation.
66	Although previous studies have suggested that a relationship exists between the

SIOD and the SAOD indices, few have focused on the stability of the relationship. In
this study, we examine the SIOD-SAOD relationship over the past four decades from
1979 through 2020. We underscore a change in the relationship that occurred around
2000 and provide a physical explanation for the change.

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Datasets and methods

Monthly SST data from the United States National Oceanic and Atmospheric 72 Administration (NOAA) Extended Reconstucted SST V5 (Huang et al., 2017) is the 73 primary dataset are-utilized to calculate the SIOD and SAOD indices. A secondary 74 75 SST data, To confirm the results, we also use the Kaplan Extended SST V2 data set from the UK Met Office (Kaplanet al., 1998), is also used to confirm the results. 76 Following previous studies, we derive the SIOD index as the difference in the SST 77 78 anomalies between the western (55-65 E, 37-27 S) and eastern (90-100 E, 28-18 S) subtropical Indian Ocean (Behera and Yamagata, 2001) and the SAOD index as the 79 difference of SST anomalies between the south-western (10-30 W, 30-40 S) and 80 81 north-eastern (0-20 W, 15-25 S) South Atlantic Ocean (Morioka et al., 2011). 82 Atmospheric data from the European Centre for Medium-Range Weather Forecasts 83 (ECMWF) fifth-generation reanalysis (ERA5, Hersbach et al., 2020) provide the 84 upper-level (200-hPa) and surface atmospheric variables used in our analyses except 85 for the . We also employ monthly top-of-atmosphere (TOA) outgoing longwave radiation (OLR) that is from the NOAA Interpolated OLR dataset (Liebmann and 86 87 Smith, 1996). For SST or atmospheric variables, the anomalies refer to the departure from their climatology computed as the 42-year averaged value. 88

89	Correlation and regression analyses are utilized to examine the relationship
90	between the SIOD and the SAOD indices. The confidence levels are determined by
91	the two-tailed Student's t test. Before the correlation or regression analyses are
92	applied to the data, the variables and indices are detrended. We also remove the
93	influence from the ENSO signal using the method proposed by An (2003), where the
94	ENSO signal is represented by the Niño 3.4 index. The generation and propagation of
95	planetary waves are identified on the basis of the Rossby wave source (RWS) and the
96	wave activity flux (WAF). The RWS is calculated following Sardeshmukh and
97	Hoskins (1988) and the WAF is derived using the method of Takaya and Nakamura
98	(2001). Notice that due to the peak of the SIOD and SAOD in February (Morioka et
99	al., 2012), the austral seasons in this study refer to summer (January-March), autumn
100	(April-June), winter (July-September), and spring (October-December).
100 101	(April-June), winter (July-September), and spring (October-December).3 Results
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101 102	3 Results The <u>regressed spatial patterns of temporal anomalies of SST depicted by</u> -SIOD
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101 102 103 104 105 106	 3 Results The regressed spatial patterns of temporal anomalies of SST depicted by SIOD and SAOD indices on detrended SST anomalies display southwest-northeast-oriented dipoles in the subtropical southern Indian Ocean (SIOD, Figure 1a) and Atlantic Ocean (SAOD, Figure 1b). Using the NOAA Extended Reconstructed SST V5 data, the correlations between the SIOD and SAOD indices over the 42-year period are 0.56 for austral summer (p<0.05), becoming insignificant in other seasons with

when the two indices are significantly correlated (0.45, p<0.01) (Figure 1c). The
Kaplan Extended SST V2 data yielded similar results, with slightly lower
summertime correlation coefficients <u>of</u>: 0.49 (with ENSO signal) and 0.38 (without
ENSO signal, p<0.05). Henceforth, we focus on the summer time series without the
ENSO signal.

116	To assess the stability of the SIOD-SAOD correlation over the past four decades,
117	we calculate moving correlation of the two indices using 15-year and 20-year sliding
118	windows (Figure 1d). For the 15-year window, the correlation is above (below) the 95%
119	confidence level before (after) 1998 and for the 20-year sliding window the shifting
120	occurs in 2003. Similar results are obtained using the Kaplan Extended SST V2 data
121	(Figure 2). There is a remarkable difference in the correlation between the two indices
122	prior to and after 1999 (Figure 1d). For the 1979-1999 period, the correlation
123	coefficient is 0.64_(p<0.01), dropping sharply to only 0.19 (p>0.05) for the 2000-2020
124	period. Results derived using the Kaplan Extended SST V2 data are almost very
125	similar, with the correlation coefficients of 0.60 (p<0.01) for the 1979-1999 period
126	and 0.20 (p>0.05) for the 2000-2020 period. This notable drop in the correlation
127	between the SIOD and SAOD indices from the first two decades to the next two
128	warrants further investigation. Below we explore the reasons behind the change.
129	We compare the regression maps of the Southern Hemisphere SST anomalies on
130	the summertime SAOD and SIOD indices for the 1979-1999 period with those for the
131	2000-2020 period (Figure 3). There are clear differences in the anomalous SST
132	patterns between the two periods. As a response to the positive phase of the SAOD

133	index, significant SST anomalies occur in the southern subtropical Indian Ocean
134	during the 1979-1999 period, with a spatial pattern_(Figure 3a) closely resembling the
135	positive phase SIOD index (Figure 1a); however, the SST anomalies for the
136	2000-2020 period are not significant in the southern subtropical Indian Ocean_(Figure
137	3b). Similarly, corresponding to the SIOD index, a dipole of significant SST
138	anomalies appears in the South Atlantic Ocean (Figure 3c) for the 1979-1999 period
139	that bear strong resemblance to the positive phase SAOD pattern (Figure 1b), whereas
140	for the 2000-2020 period, the SST anomalies are insignificant (Figure 3d). These
141	results confirm the strong correlation between the SAOD and SIOD indices during the
142	first two decades and the lack of correlation in the last two decades, separated by the
143	turn of the century. The SST anomalies in Figure 3 display the appearance of the SST
144	wavenumber-4 mode (Senapati et al., 2021), including the SIOD and SAOD pattern.
145	Senapati et al. (2022) suggested that the weakening of the SST wavenumber-4 pattern
146	after 2000 is related to South Pacific Meridonal Mode. In addition, after 2000, the
147	weaker SIOD-SAOD relationship after 2000 is duemay be related to the decadal
148	variability of a warm pool dipole, with opposite SST anomalies in the southeastern
149	Indian Ocean and the western-central tropical Pacific Ocean (Zhang et al., 2021).
150	Lin (2019) related_a South Atlantic-South Indian Ocean pattern to a wavetrain
151	induced by the South Atlantic Convergence Zone anomaly. We hypothesize that the
152	stability of the SAOD-SIOD relation may also be related to the strength of the
153	wavetrain. To test this hypothesis, we examine the regression patterns of several
154	atmospheric variables related to convective and wave activities (OLR, RWS, WAF,

155	200-hPa divergent wind, and streamfunction), to the SAOD index in austral summer
156	separately for the 1979-1999 period and the 2000-2020 period (Figure 4). Over the
157	1979-1999 period, corresponding to the positive phase of the SAOD index,
158	convective activities are enhanced over the southern subtropical Atlantic Ocean and
159	eastern Brazil, which are flanked by suppressed convective activities over tropical and
160	mid-latitude South Atlantic Ocean (Figure 4a). The convective activities over western
161	subtropical southern Atlantic Ocean and eastern Brazil produce positive RWS and
162	200-hPa divergent wind (Figure 4c), which trigger a wavetrain propagating
163	southeastwards into the South Atlantic Ocean, and then eastwards into the South
164	Indian Ocean, Australia and the South Pacific Ocean (Figure 4e). The wavetrain
165	generates negative streamfunction anomalies over the South Indian and Atlantic
166	Oceans (Figure 4e). In contrast, over the 2000-2020 period, the magnitude of the
167	anomalous OLR is less significant than that over the 1979-1999 period (Figure 4b).
168	Weaker RWS and upper level divergent wind (Figure 4d) indicate a weaker wavetrain,
169	which results in weaker streamfunction anomalies over the South Atlantic and Indian
170	Oceans (Figure 4f).
171	Although the magnitudes of the SST-OLR anomalies related to the SAOD index
172	are comparable over the two periods (Figure 4a and 4b), the anomalous OLR and

173 RWS and the related wavetrain associated with the SAOD index are substantially
174 different between the two periods. The differences in the climatological conditions
175 over the two periods may provide a plausible explanation. For example, over the
176 subtropical southern Atlantic Ocean and most of Brazil, <u>the climatological OLR</u>

177	anomalies are generally negative during the 1979-1999 period, suggesting stronger
178	convective activity favorable for the generation of the wavetrain (Figure 5a), but in
179	contrast, OLR anomalies are mostly positive during 2000-2020, indicating suppressed
180	convective activities unfavorable for the formation of the wavetrain (Figure 5b). Thus,
181	the interdecadal variability of the OLR activities can modulate the effect of the SAOD
182	mode on atmospheric circulation patterns over other ocean basins.
183	The SAOD and SIOD modes are related to the subtropical highs in the South
184	Atlantic and Indian Oceans, with stronger high corresponding to the positive phase of
185	the two indices due to wind-induced evaporation (Wang, 2010; Behera and Yamagata,
186	2001; Venegas et al., 1997). We proceed to examine the climatological mean sea level
187	pressure and surface wind field related to the aforementioned wavetrain over the two
188	periods (Figure 6). The position and strength of the climatological subtropical highs
189	and the associated surface winds in the southern Indian and the Atlantic Oceans show
190	little difference over the two periods (Figure 6a and 6b). However, the regression of
191	the mean sea level pressure to the SAOD index for the two periods show considerably
192	stronger subtropical highs and anti-cyclonic circulations in the South Atlantic and the
193	Indian Oceans over the 1979-1999 period than the 2000-2020 period (Figure 6c and
194	6 <u>d</u>). According to the study of Hermes and Reason (2005), a stronger subtropical high
195	favors larger magnitude of the SST anomalies represented by the SAOD and SIOD
196	indices. The large decrease in the strength of the summertime subtropical high
197	associated with SAOD from the first two decades to the next two (Figure 6c, 6d)
198	corroborates the sharp drop in the SAOD-SIOD correlation (Figure 1d).

199	Similarly, we have also obtained the patterns of the aforementioned atmospheric
200	circulation variables associated with the SIOD index separately for the two periods
201	(Figure 7). During 1979-1999, the anomalous OLR, RWS and 200 hPa divergent
202	wind fields favor the causal chain for the wavetrain generation, negative OLR
203	anomalies occurs over the northern South America, corresponding to upper-level
204	divergent wind and positive RWS anomalies, while positive OLR anomalies exist
205	over the southern Atlantic Ocean, leading to upper-level cvonvergent wind and
206	negative RWS anomalies (Figure 7a and 7c). Those anomalous RWSs produce an
207	anomalous a-Rossby wavetrain propagating from the southern Atlantic Ocean to
208	southern Indian Ocean (Figure 7e). but this is not the case during 2000-2020. During
209	2000-2020, negative (positive) OLR anomalies over the tropical (subtropical) central
210	Pacific Ocean and positive OLR anomalies over the subtropical central Pacific Ocean
211	generate anomalous upper-level winds and RWSs, which excite a wavetrain
212	propagating from the Pacific to South America and the southwestern South Atlantic
213	Ocean (Figure 7b, 7d, and 7f). Meanwhile, stronger convective activities over the
214	southwestern Indian Ocean and weaker convective activities over central Indian
215	Ocean also produce anomalous RWSs, which triggers a local wavetrain propagating
216	eastwards into Australia. However, the two wavetrains are controlled by different
217	factors and are not connected towith each other over the South Atlantic Ocean.
218	We also examine the MSLP and surface wind field related to the SIOD index in
219	austral summer for the 1979-1999 and 2000-2020 periods (Figure 8). Over the
220	<u>1979-1999 period</u> , there is two-stronger subtropical highs develop over the South

221	Indian and Atlantic Oceans, which induce the positive phase of the SIOD and SAOD
222	modes, respectively (Figure 8a), suggesting that the SIOD and SAOD index is
223	connected towith each other through the aforementioned wavetrain (Figure 7e). Over
224	the 2000-2020 period, positive MSLP anomalies and anomalous anticyclonic
225	circulation dominate over the South Indian Ocean, though negative MSLP anomalies
226	and anomalous cyclonic circulation occur over the southwestern South Indian Ocean
227	(Figure 8b). The atmospheric circulation anomalies over the South Indian Ocean areis
228	related to the OLR anomalies and induced a local wavetrain (Figure 7b, 7d and 7f).
229	The positive MSLP anomalies and anticycloinic circulation anomalies do not occurate
230	absent over the South Atlantic Ocean (Figure 8b). Above These results indicate that
231	the SIOD mode over the 2000-2020 period is related to local convective activities, not
232	to those over the South Atlantic Ocean.
232 233	to those over the South Atlantic Ocean. The SAOD and SIOD modes are related to the subtropical highs in the South-
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233 234	The SAOD and SIOD modes are related to the subtropical highs in the South Atlantic and Indian Oceans, with stronger high corresponding to the positive phase of
233 234 235	The SAOD and SIOD modes are related to the subtropical highs in the South Atlantic and Indian Oceans, with stronger high corresponding to the positive phase of the two indices due to wind-induced evaporation (Wang, 2010; Behera and Yamagata,
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7d). According to the study of Hermes and Reason (2005), a stronger subtropical high
favors larger magnitude of the SST anomalies represented by the SAOD and SIODindices. The large decrease in the strength of the summertime subtropical highassociated with SAOD from the first two decades to the next two (Figure 7e, 7d)corroborates the sharp drop in the SAOD-SIOD correlation (Figure 1d).

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Conclusion and discussion

In this study, we examined the relation between the oscillations of the SST in the 250 251 subtropical South Indian and the Atlantic Oceans described by the SIOD and SAOD indices and the stability of the relation using the ERA5 global atmospheric reanalysis 252 and reconstructed SST data from 1979 through 2020. We found significant relation 253 254 between the two indices in austral summer. Through moving correlation analyses, we discovered that the relation in austral summer was not stable for the past four decades. 255 Specifically, the correlation between the two indices was significant prior to 2000 but 256 257 insignificant afterwards. The change in the relation between the two indices is attributed to a change in the strength of the atmospheric wavetrain induced by 258 anomalous convective activity over the subtropical southern Atlantic Ocean and 259 eastern Brazil. More frequent and stronger convective activities prior to 2000 excited 260 stronger wavetrain, which produced stronger subtropical highs during the positive 261 phase of SAOD, resulting in a stronger relation between the two indices. The opposite 262 occurred after 2000. 263



265	Atlantic Ocean is the key to the relation between the SAOD and SIOD indices. What
266	determined the OLR anomalies in the region prior to and after 2000 needs to be
267	further investigated. Hermes and Reason (2005) suggested that the southern
268	subtropical high is related to the Antarctic Oscillation (AAO) and the linkage
269	strengthened after mid-1970s. The influence of the change in the AAO index on the
270	relation between the SAOD and the SIOD indices needs to be assessed. Yu et al.
271	(2017) noted a phase change of the Atlantic Multidecadal Oscillation (AMO) and the
272	Pacific Decadal Oscillation (PDO) indices in the late 1990s, with PDO shifting from
273	positive to negative and AMO switching from negative to positive around 1999. Dong
274	and Dai (2015) noted the influence of IPO on precipitation in Brazil. However, the
275	influence from the same phase of the IPO has great uncertainty and depends on the
276	period and dataset (Dong and Dai, 2015). Jones and Carvalho (2018) suggested more
277	precipitation in Brazil during the negative phase of the AMO than during its positive
278	phase. Longer datasets are utilized to examine the effect of the IPO and AMO on
279	convective activity over the subtropical South America and Atlantic Oceanon the
280	interdecadal time scale. Although our results are only based on statistical analyses,
281	they have potential for improving the prediction of precipitation in southern Africa
282	and South America.
283	Data Availability
284	The monthly SST data from the U.S. NOAA Extended Reconstructed Sea Surface

- 285Temperature (ERSST) version 5 (ERSST v5) are available online
- 286 (https://www1.ncdc.noaa.gov/pub/data/cmb/ersst/v5/netcdf/).Kaplan Extended SST

- 287 V2 data are derived from below website
- 288 (https://psl.noaa.gov/cgi-bin/db_search/DBSearch.pl?Dataset=Kaplan+Extended+SST
- +V2&Variable=Sea+Surface+Temperature). The monthly ERA5 reanalysis data are
- available from the Copernicus Climate Data Store
- 291 (<u>https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5</u>). The monthly
- 292 OLR data are derived from the NOAA Interpolated OLR
- 293 (https://psl.noaa.gov/cgi-bin/db_search/DBSearch.pl?Dataset=NOAA+Interpolated+O
- 294 LR&Variable=Outgoing+Longwave+Radiation).
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- 301 *Author contributions.* LY designed the research, analyzed the data, and wrote the first
- draft of the paper. S Z and TV revised the first draft and provided useful insights
- during various stages of the work. CS and BS provided some comments and helped
- 304 with editing the paper.
- 305 *Competing interests.* The authors declare that they have no conflict of interest.
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Figure 1. Spatial-Regression patterns of austral summer (JFM) SST anomalies (°C) for on the positive phase of the summertime indices of (a) the Subtropical Indian Ocean Dipole (SIOD), (b) the South Atlantic Ocean Dipole (SAOD), and (c) their time coefficients, and as well as (d) the moving correlations between the detrended and ENSO-signal-removed SIOD and SAOD indices (time coefficients) using athe 20-year (black solid line) and a 15-year (blue solid line) sliding window (black sold line) and 15 year sliding window (blue solid line). In (d), the dashed lines denote the correlation coefficients with the 95% confidence level for 20 samples (black-line) and 404 15 samples (blue line) samples and the abscissa indicates the end year of the moving correlations. 405 The above results are derived using the NOAA Extended Reconstucted SST V5 data. 406



Figure 2 Moving correlations of the <u>detrended and ENSO-signal-removed S</u>IOSD and SAOD
indices using <u>athe</u> 20-year (<u>black solid line</u>) and a 15-year (<u>blue solid line</u>) sliding window. (<u>black</u>
sold line) and 15 year sliding window (<u>blue solid line</u>) (d). The two indices are removed from the
ENSO signal and their trends. Dashed lines denote the correlation coefficients with the 95%
confidence level for 20 samples (<u>black-line</u>) and 15 samples (<u>blue-line</u>) samples. Abscissa
indicates the end year of the moving correlations. The above results <u>are obtained usinge the</u>
Kaplan Extended SST V2 data.



Figure 3. Regression maps of the SST anomalies (°C) onto the summertime indices of (a), (b)
SAOD and (c), (d) SIOD, over the periods of (a), (c) 1979-1999 and (b), (d) 2000-2020. Dots
denote the regions of above 95% confidence level.





Figure 4. Regression maps of (a), (b) the <u>anomalous</u> outgoing longwave radiation (OLR) (W m⁻²) at the top of the atmosphere, (c), (d) Rossby wave source (RWS) $(10^{-10}s^{-2})$ and 200-hPa divergent wind (vector), and (e), (f) wave activity flux (WAF) (vector) and streamfunction ($m^2 s^{-1}$) onto the summertime SAOD index over the periods of (a), (c), (e) 1979-1999 and (b), (d), (f) 2000-2020.







Figure 5. Climatological OLR anomalies (W m⁻²) during (a) 1979-1999 and (b) 2000-2020, with respect to the 42-year climatology over the 1979-2020 period.



Figure 6. Climatological mean sea level pressure (MSLP, in hPa) and 10-m wind field (vector) over the periods of (a) 1979-1999 and (b) 2000-2020, and regression maps of MSLP (in Pa) and 10-m wind field (vector) onto the summertime SAOD index over the periods of (c) 1979-1999 and (d) 2000-2020. Shaded regions and red vectors indicate above 95% confidence level.





Figure 7. Regression maps of– (a), (b) outgoing longwave radiation (OLR) (W m⁻²), (c), (d) Rossby wave source (RWS) $(10^{-10} \text{ s}^{-2})$ and 200-hPa divergent wind (vector), (e), (f) <u>WAF</u> wave activity flux (vector) and streamfunction (m² s⁻¹) onto the summertime SIOD index over the 1979-1999 period (a), (c), (e) and the 2000-2020 period (b), (d), (f).



Figure 8. Regression maps of MSLP (in Pa) and 10-m wind field (vector) onto the summertime
SIOD index over the periods of (a) 1979-1999 and (b) 2000-2020. Shaded regions and red vectors
indicate above 95% confidence level.