Response to Comments of Reviewer #1

Manuscript number: acp-2022-557

Title: Composited analyses of the chemical and physical characteristics of co-polluted days by ozone and PM_{2.5} over 2013–2020 in the Beijing–Tianjin–Hebei region

General comments:

In this manuscript the chemical and physical characteristics of O₃ (O₃SPD), PM_{2.5} (PM_{2.5}SPD) polluted days and O₃ and PM_{2.5} (O₃&PM_{2.5}PD) co-polluted days over BTH are investigated by using the 3-D global chemical transport model (GEOS-Chem). This manuscript is clearly written and well organized, and its conclusions are interesting.

Thanks to the referee for the helpful comments and constructive suggestions. We have revised the manuscript carefully and the point-to-point responses are listed below.

Major concerns/questions:

The simulated PM_{2.5} components including NO₃, NH⁺₄, SO²⁻₄, BC, and OC are compared against observed PM_{2.5} concentrations, and the comparison shows that the simulated PM_{2.5} had a NMB of -26.9%. Even with the adjusted thresholds, percentages of observed polluted days for PM_{2.5}SPD shown in Figure c are lower than for O₃SPD and O₃&PM_{2.5}PD. Is the underestimation attributable to some missing primary aerosols?

Response:

Thanks for pointing this out. In this work we calculated $PM_{2.5}$ concentration as the sum of the simulated masses of SO_4^{2-} , NO_3^{-} , NH_4^+ , BC, and OC as in previous studies (*Yang et al., 2016; Dang et al., 2019; Xie et al., 2022*). Although primary aerosols such as mineral dust and sea salt are not the dominant aerosol species in China (*Xuan et al., 2000; Ye et al., 2003; Duan et al., 2006; Zhao et al., 2013*), the absence of them in the calculation of PM_{2.5} contributed to the low biases in simulated PM_{2.5}SPD. To clarify this, we have added the following sentences in the first paragraph of Section 3.2.1: "It should be noted that mineral dust and sea-salt aerosols were not considered in this study, because they are not the major aerosol components in China and the concentrations are generally low based on previous measurements (*Xuan et al., 2000; Ye et al., 2003; Duan et al., 2013*). However, excluding dust and sea salt may lead to low biases in simulated PM_{2.5} concentrations.".

2. In the analysis two oxidation indicators (sulfur oxidation ratio and nitrogen oxidation ratio) are used, but not assessed. As observed SO₂ and NO₂ concentrations are available at CNEMC, model performance for SO₂ and NO₂ is suggested to be evaluated.

Response:

Following the Reviewer's suggestion, we have added Figure S2 in the Supplementary Material to evaluate the model performance for SO_2 and NO_2 . We have also added the following sentences in the second paragraph of Section 3.2.1 to describe the model

performance: "Due to the lack of the publicly accessible long-term observations of $PM_{2.5}$ components in China, we compared the simulated SO₂ and NO₂ (precursors for SO₄²⁻ and NO₃) with observations from CNEMC in Fig. S2. The simulated daily mean concentrations of NO₂ (SO₂) agree well with the observations from CNEMC with R of 0.82 (0.78) and MB of -14.9% (9.3%)."



Figure S2. Simulated and observed daily mean surface-layer concentrations of NO_2 and SO_2 as well as the scatterplots of simulated versus observed values for April to October of 2013–2020 in BTH.

3. Figure S5a shows the hourly variations of PBLH (m) averaged in all modelcaptured O₃SPD (blue), PM_{2.5}SPD (yellow), and O₃&PM_{2.5}PD (purple). Average PBLH at noon time for O₃SPD and O₃&PM_{2.5}PD is over 2000m, why are they so high? Figure S5b shows the daily anomaly of PBLH for O₃SPD and O₃&PM_{2.5}PD at night time exceeds -500m, while at noon time over 1000m. How does PBLH usually change over BTH?

Response:

The hourly variations of PBLH were taken from MERRA-2. Hourly PBLH values averaged over all days in the warm months (April-October) of 2013-2020 in BTH are shown in Figure R1. PBLH reached the highest value of 1842 m at 14:00 and the lowest value of 300 m at 6:00. The hourly variation of PBLH over BTH from MERRA-2 agrees closely with observations. *Guo et al. (2016)* used the fine-resolution sounding observations of 391552 profiles from January 2011 to July 2015 across China and reported that PBLH in BTH averaged over summers was around 1600-2000 m at 14:00 and 100-350 m at 2:00. *Tang et al. (2021)* carried out two field campaigns in Shijiazhuang, the area with serious air pollution in BTH, from August 25 to September 19, 2018 (late summer) and from June 8 to July 2, 2019 (early summer). They found that the highest PBLH during the daytime in summer was around 1800 m in O₃ polluted days.



Figure R1. Hourly variation of PBLH from MERRA2 averaged over all days in the warm months (April-October) of 2013-2020 in BTH.

4. Figure S6 shows the vertical profile of SO_4^{2-} chemical production. Why is SO_4^{2-} chemical production larger at high levels than at low levels? Is it associated with cloud or high relative humidity? How is SO₂ concentration distributed vertically? How to understand the difference between O₃SPD and PM_{2.5}SPD?

Response:

The vertical profile of $SO_4^{2^-}$ chemical production from aqueous oxidation shown in Figure S6 was associated with cloud and relative humidity (RH) (see Figures R2(a)-R2(c) below). The strongest aqueous chemical production of $SO_4^{2^-}$ occurred around 819 to 771 hPa where cloud optical depth (OPTDEPTH) and RH peaked. Clouds and high RH were conductive to the aqueous chemical production of $SO_4^{2^-}$. As a result, $SO_4^{2^-}$ chemical production was larger at high levels than at low levels.

Figure R2(d) below shows the vertical distributions of SO₂ in the model-captured O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD. For all the cases, SO₂ concentrations were the highest at the surface, decreased rapidly with height from the surface to 975 hPa, and then declined slowly at 944-819 hPa.

The differences between O_3 SPD and $PM_{2.5}$ SPD in Figure S6 indicated in the differences in vertical distribution of the chemical production of SO_4^{2-} , which have been explained in the first paragraph of this response.



Figure R2. The vertical distributions of (a) cloud optical thickness (OPTDEPTH), (b) relative humidity (RH), (c) SO_4^{2-} chemical production from aqueous oxidation (P(SO_4^{2-})), and (d) SO₂ averaged over the model-captured O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD in BTH in the months of April to October of 2013-2020.

5. It is interesting to see Figure 8a that O_3 levels for O_3 SPD are lower than for O_3 &PM_{2.5}PD. Does it mean high PM_{2.5} leads to increase in O_3 ? Figure 8b also shows BC is well mixed vertically up to ~819 hPa. Is it an average for all selected days?

Response:

In this study, we found that high O_3 levels lead to increases in $PM_{2.5}$ in $O_3\&PM_{2.5}PD$ for the following reasons: (1) $O_3\&PM_{2.5}PD$ occurred with high levels of atmospheric oxidants (OH and O_x), high SOR and NOR, leading to high concentrations of $PM_{2.5}$, (2) the vertical profiles of NO_3^- , NH_4^+ , and SO_4^{2-} were quite uniform at 975-819 hPa, corresponding to the stable O_3 concentrations at these altitudes, as confirmed by the process analysis.

However, high PM_{2.5} concentrations usually lead to reductions in O₃, as reported by previous studies. *Li et al. (2019)* reported that PM_{2.5} influences O₃ chemical production through the uptake of both HO_x and NO_x radicals, which suppressed O₃ production under high PM_{2.5} (PM_{2.5} > 60 μ g m⁻³) in the summer of 2013-2018 in the North China Plain. *Yang et al. (2022)* found that the aerosol-photolysis and aerosol-radiation interactions caused significant decreases in daytime surface-layer O₃ concentrations during multi-pollutant air pollution episodes.

Since the concentrations of BC were small and not easily visible in Figure 8b, we present the vertical distributions of BC in Figure R3 below, which are averaged over all selected samples of the three types of pollution (i.e., 2954 samples of O₃SPD, 2148 samples of PM_{2.5}SPD, and 1614 samples of O₃&PM_{2.5}PD). The variation of BC with

altitude was mainly driven by meteorology. The ratio of concentration at 819 to that at 975 hPa for BC and other PM_{2.5} components is shown in Table 1 of our manuscript for O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD. The BC_(819 hPa) /BC_(975 hPa) was 0.73, 0.64, and 0.79 in O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD, respectively. In PM_{2.5}SPD, NO₃⁻, NH₄⁺, OC had about the same ratio as BC (0.64) (with large decreases with height), except for SO₄²⁻ concentration that had a ratio of 0.81. In O₃&PM_{2.5}PD, the ratios of NO₃⁻, NH₄⁺, SO₄²⁻ were, 0.94, 0.91, 0.87, respectively, which were much higher than the value of BC (0.79), indicating NO₃⁻, NH₄⁺, SO₄²⁻ were quite uniform in the layers of 975-819 hPa with the influence of chemical processes. We have discussed these characteristics in Section 3.3.4.



Figure R3. The vertical distributions of BC averaged over the model-captured O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD in BTH in the months of April to October of 2013-2020.

Table 1. The ratio of concentration at 819 to that at 975 hPa for NO_3^- , NH_4^+ , SO_4^{2-} , BC, OC, and PM_{2.5} averaged over O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD in BTH.

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		NO ₃	NH_4^+	SO_4^{2-}	BC	OC	PM _{2.5}
Carrier	O ₃ SPD	0.95	0.90	0.85	0.73	0.73	0.86
Conc _{819 hPa} /	PM _{2.5} SPD	0.64	0.68	0.81	0.64	0.63	0.67
Conc975 hPa	O ₃ &PM _{2.5} PD	0.94	0.91	0.87	0.79	0.77	0.89

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Response to Comments of Reviewer #2

Manuscript number: acp-2022-557

Title: Composited analyses of the chemical and physical characteristics of co-polluted days by ozone and PM_{2.5} over 2013–2020 in the Beijing–Tianjin–Hebei region

General comments:

In recent years, decreases in $PM_{2.5}$ but increases in O₃ over eastern China make the cooccurrences of $PM_{2.5}$ and O₃ polluted days (O₃&PM_{2.5}PD) an important issue related to human health. In this work, Dai et al. explored the chemical and synoptic characteristics of O₃&PM_{2.5}PD in Beijing-Tianjin-Hebei (BTH) region within a GEOS-Chem framework. They provided comprehensive analysis and concrete details in the differences among PM_{2.5} alone polluted days (PM_{2.5}SPD), O₃ alone polluted days (O₃SPD) and O₃&PM_{2.5}PD. Results are novel and of scientific significance. I would like to suggest publication after addressing my comments below:

Thanks to the referee for the helpful comments and constructive suggestions. We have revised the manuscript carefully and the point-to-point responses are listed below.

Major Concerns:

1. I suggest authors to separate Section 3.3 into two or three parts, where the chemical characteristics, vertical profile and process analysis are described respectively. The current demonstration looks not very logistic and thus makes it hard to follow.

Response:

This paper is focused on the characteristics of chemical composition in O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD, thus the description of the chemical characteristics is a little bit long, but logical. To make this section easier to follow, we have added the following sentences in the first paragraph of Section 3.3 to act as a guide to the readers: "In this section, to investigate the chemical characteristics of O₃SPD, PM_{2.5}SPD and O₃&PM_{2.5}PD, we present first the simulated atmospheric oxidants in 3.3.1, and then show the simulated surface concentrations and vertical profiles of PM_{2.5} and MDA8 O₃ in 3.3.2 and 3.3.3, respectively, followed by the process analysis in 3.3.4. The observed AOD values to verify the model results are presented in 3.3.5.".

Section 3.2, GEOS-Chem still significantly underestimates peak PM_{2.5} concentrations as shown in Fig. 3d. Which PM_{2.5} components dominate such underestimates? I'm worried that GEOS-Chem incapacity in simulating peak PM_{2.5} could significantly influence the following analysis related to the differences in SO²⁻₄ and NO⁻₃ among O₃SPD, PM_{2.5}SPD and O₃&PM_{2.5}PD. At least more evaluation and discussions are necessary.

Response:

The Reviewer #1 pointed out that the underestimation of $PM_{2.5}$ might be due to the absence of mineral dust and sea salt aerosols in simulated $PM_{2.5}$, therefore we have added the following sentences in the first paragraph of Section 3.2.1: "It should be noted

that mineral dust and sea-salt aerosols were not considered in this study, because they are not the major aerosol components in China and the concentrations are generally low based on previous measurements (*Xuan et al., 2000; Ye et al., 2003; Duan et al., 2006; Zhao et al., 2013*). However, excluding dust and sea salt may lead to low biases in simulated PM_{2.5} concentrations.".

Due to the lack of the publicly accessible long-term observations of $PM_{2.5}$ components in China, we compared the simulated SO₂ and NO₂ (precursors for SO₄²⁻ and NO₃) with observations from CNEMC. The evaluation of model performance for SO₂ and NO₂ in BTH over the eight warm seasons (April to October, 2013–2020) has been added as Figure S2 in the Supplementary Material. We have also added the following sentences in the second paragraph of Section 3.2.1 to describe the model performance: "Due to the lack of the publicly accessible long-term observations of PM_{2.5} components in China, we compared the simulated SO₂ and NO₂ (precursors for SO₄²⁻ and NO₃) with observations from CNEMC in Fig. S2. The simulated daily mean concentrations of NO₂ (SO₂) agree well with the observations from CNEMC with R of 0.82 (0.78) and MB of -14.9% (9.3%).".



Figure S2. Simulated and observed daily mean surface-layer concentrations of NO_2 and SO_2 as well as the scatterplots of simulated versus observed values for April to October of 2013–2020 in BTH.

3. Lines 351-354 and Fig. 6, compared to O₃&PM_{2.5}PD, less S was oxidized into SO²₄ during PM_{2.5}SPD and less N was oxidized into NO⁵₃ during O₃SPD. Such differences also reflected in the PM_{2.5} components in Fig. 6. Are there any explanations about that? In addition, I'm curious what are the dominant oxidation pathways (e.g. SO₂ oxidation through H₂O₂, O₃, OH or NO₂) of SO₂ and NO_x in GEOS-Chem? Can pathways be different among O₃SPD, PM_{2.5}SPD and O₃&PM_{2.5}PD?

Response:

In O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD, the averaged values of SOR were 50.0%, 36.7%, and 49.7%, and those of NOR were 55.4%, 70.0%, and 70.2%, respectively. Compared to O₃&PM_{2.5}PD, SOR was lower in PM_{2.5}SPD, which was due to the lower atmospheric oxidation capacity and hence less SO_4^{2-} generation in PM_{2.5}SPD. Compared to O₃&PM_{2.5}PD, NOR was lower in O₃SPD because O_x (O_x = O₃ + NO₂) was lower in O₃SPD. We have clarified these reasons in the second paragraph of Section 3.3.1.

The formation pathways of $SO_4^{2^-}$ mainly include the gas-phase oxidation of SO_2 by OH and the in-cloud oxidation of SO_2 by O₃ and H₂O₂. The process that influences NO₃⁻ concentration is the gas-to-aerosol conversion of HNO₃ to form NO₃⁻ in GEOS-Chem as reported by *Mu et al. (2014)*. As for the dominant pathway for $SO_4^{2^-}$, the incloud $SO_4^{2^-}$ formation is known as the major source of global $SO_4^{2^-}$ because aqueous-phase oxidation of S(IV) by H₂O₂ and O₃ occurs much more rapidly than gas-phase oxidation of SO₂ by OH (*Barth et al., 2000; Ervens, 2015*). Previous modeling studies concluded that a dominant fraction of $SO_4^{2^-}$ (60–90%) is formed via in-cloud aqueous chemistry globally (*Dovrou et al., 2019; Ervens, 2018; Liao et al., 2013; Harris et al., 2013; Ma and Salzen, 2006*).

The GEOS-Chem's fullchem simulation outputs only the in-cloud SO_4^{2-} formation pathway, which is the dominant pathway for SO_4^{2-} as mentioned in the above paragraph. We don't think the pathways were different among O₃SPD, PM_{2.5}SPD and O₃&PM_{2.5}PD, but the values of process analyses for chemistry were different because of the different chemical and physical conditions.

4. In Fig.9, I'm confused about the totally different diffusion profile in SO_4^{2-} relative to NO_3^- and NH_4^+ . In the PBL, air pollutants are supposed to diffuse following concentration gradients. For NO_3^- and NH_4^+ , strong chemical production happened in upper layers (913-771 hPa), where diffusion contributions at this altitude were negative, meaning the diffusion of new-generated NO_3^- and NH_4^+ diffused through PBL. It is reasonable. However, SO_4^{2-} diffusion were still positive at altitude where chemical production was strong, which seems against the concentration gradients. It might also be related to the constant SO_4^{2-} profile in Fig. 8, which is interesting but I could not find clear explanations in this manuscript.

Response:

The vertical profile, chemical production, and diffusion of SO_4^{2-} were different from those of NO_3^- and NH_4^+ . Because of the diffusion and chemical production of SO_4^{2-} , the profile of SO_4^{2-} remained uniform and did not decrease with increasing altitude. To clarify that, we have added explanations in the second paragraph of Section 3.3.4: "Chem and Diff of SO_4^{2-} were different from those of NO_3^- and NH_4^+ . For SO_4^{2-} , Chem was positive from the surface to 510 hPa with a peak around 819 hPa, and Diff was positive at 819-771 hPa but negative from 819 hPa to the surface, which resulted in the uniform SO_4^{2-} profile." 5. I suggest authors to summarize some highlights logistically in conclusions, e.g. what are the major differences in chemical mechanisms among O₃SPD, PM_{2.5}SPD and O₃&PM_{2.5}PD? What meteorological factors or synoptic patterns drives the differences? Also, although authors made very comprehensive analysis, one important question remained not very clear to me: Why O₃&PM_{2.5}PD only occurred at part of the O₃SPD or PM_{2.5}SPD? Which one among chemical mechanisms, vertical profile and meteorology drives the differences?

Response:

The major differences in chemical mechanisms among O_3 SPD, $PM_{2.5}$ SPD and O_3 &PM_{2.5}PD were summarized by Figure 13 and described in the last paragraph of the conclusion section. We stated here that "Figure 13 summarizes the chemical and physical characteristics in O_3 &PM_{2.5}PD, O_3 SPD, and PM_{2.5}SPD in the BTH region. In O_3 &PM_{2.5}PD, the strong chemical productions of O_3 , NO_3^- , NH_4^+ , and SO_4^{2-} occur at high altitudes of 913-819 hPa where RH is high, and the accompanied downward airflow causes the stable concentrations at 944-819 hPa. The composited PBLH in O_3 &PM_{2.5}PD is about 946.1 m, and the strong mixed diffusion underneath the PBLH leads to high concentrations of pollutants at the ground level. In contrast, O_3 SPD occurs in hot and dry atmosphere with composited PBLH of 1073.5 m. Strong O_3 chemical production occurs around 819 hPa, and O_3 is then transported to the surface by downward air flow. The atmosphere is stable and stagnate when $PM_{2.5}$ SPD occurs, with the lowest PBLH of 681.8 m. High RH (high chemical formation of PM_{2.5}SPD."

As suggested, we have added the following sentences at the end of the last paragraph of the conclusion section to explain the driving factors of $O_3\&PM_{2.5}PD$: "To summarize, $O_3\&PM_{2.5}PD$ were characterized by high O_x , SOR, and NOR, uniform vertical profiles at 975-819 hPa, which were caused by an anomalous high-pressure system at 500 hPa, strong southerlies and high RH at 850 hPa. Meteorological parameters around 850 hPa promoted strong chemical production of secondary aerosols and downward transport, resulting in the unique vertical profiles and high surface concentrations in $O_3\&PM_{2.5}PD$.".

Specific Comments:

1. Lines 48-49: Natural sources also have significant contributions to PM_{2.5}.

Response:

We have revised the sentence: "... and the major $PM_{2.5}$ components (nitrate (NO₃⁻), ammonium (NH₄⁺), sulfate (SO₄²⁻), black carbon (BC), organic carbon (OC)) are mainly caused by anthropogenic emissions of aerosols and aerosol precursors."

2. Line 61: 'observations' should not be capitalized.

Response:

Revised.

3. Lines 334-342: I suggest authors to add a table or figure in the main text or supplementary to show the OH evaluation.

Response:

We have added Table S1 in the Supplementary Material. The simulated OH concentrations agreed closely with the observed values.

Site	Time period	Observed OH	Simulated OH	Reference for	
				observation	
Beijing	Summer	5.82×10^{6}	2.4×10^{6}	Woodward et	
(39.6°N, 116.2°E)	2017	(averaged)	(averaged)	al. (2020)	
Beijing	Winter	$1.5-2.0 \times 10^{6}$	1.8-9.2×10 ⁵	Ma et al.	
(40°N, 116.3°E)	2017	(daytime)	(averaged)	(2019)	
Wangdu	Summer	5-15×10 ⁶	$3.7-9.5 \times 10^{6}$	Tan et al.	
(38.7°N, 115.2°E)	2014	(daytime)	(averaged)	(2017)	

Table S1. The comparisons of simulated OH concentrations with observations in BTH.

4. Line 548: From the traditional synoptic definition, WPSH in eastern China should be regions with 500hPa geopotential height larger than 5880 m (or larger than 1520 m at 850hPa). I don't think the high pressure here is WPSH.

Response:

We have revised this sentence (see our response to your specific Comment #6).

5. Line 553: Northeast Cold Vortex is not necessary to abbreviate since it no longer appeared in the manuscript.

Response:

Revised.

6. Figure 12 and S10: I wonder could the synoptic patterns be clearer if using anomalies rather than absolute values?

Response:

Following the Reviewer's suggestion, we have added the anomalous synoptic patterns of Figure 12 and S10 (revised to Figure S12) as Figure S11 and S13, respectively, in the Supplementary Material. We have also added the following sentences in the second paragraph of Section 3.4: "the BTH region was controlled by westerlies and an anomalous high-pressure system at 500 hPa (Figure S11). At 850 hPa, BTH was at the west boundary of an anomalous anticyclone, and the associated strong anomalous southerlies at 850 hPa brought moist air to BTH (Fig. S12 and S13)"



Figure S11. Composites of the anomalies of winds (m s⁻¹) with SLP (sea level pressure) and with geopotential height at 850 hPa and 500 hPa for regional O₃SPD, PM_{2.5}SPD, and O₃&PM_{2.5}PD that were captured by the model during April-October of 2013-2020. The solid black rectangle indicates the BTH region.



Figure S13. Composites of the anomalies of winds (m s⁻¹) with RH (%) at 1000 hPa, 850 hPa, and 500 hPa for regional O_3 SPD, $PM_{2.5}$ SPD, and O_3 &PM_{2.5}PD that were captured by the model during April-October of 2013-2020. The solid black rectangle indicates the BTH region.

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