

Climate-driven deterioration of future ozone pollution in  
Asia predicted by machine learning with multisource data

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## Abstract

Ozone ( $O_3$ ) is a secondary pollutant in the atmosphere formed by photochemical reactions that endangers human health and ecosystems.  $O_3$  has aggravated in Asia in recent decades and will vary in the future. In this study, to quantify the impacts of future climate change on  $O_3$  pollution, near-surface  $O_3$  concentrations over Asia in 2020–2100 are projected using a machine learning (ML) method along with multisource data. The ML model is trained with combined  $O_3$  data from a global atmospheric chemical transport model and real-time observations. The ML model is then used to estimate future  $O_3$  with meteorological fields from multi-model simulations under various climate scenarios. The near-surface  $O_3$  concentrations are projected to increase by 5–20% over South China, Southeast Asia, and South India and less than 10% over North China and Gangetic Plains under the high forcing scenarios in the last decade of 21<sup>st</sup> century, compared to the first decade of 2020–2100. The  $O_3$  increases are primarily owing to the favorable meteorological conditions for  $O_3$  photochemical formation in most Asian regions. We also find that the summertime  $O_3$  pollution over eastern China will expand from North China to South China and extend into the cold season in a warmer future. Our results demonstrate the important role of climate change penalty on Asian  $O_3$  in the future, which provides implications for environmental and climate strategies of adaptation and mitigation.

## 1. Introduction

Tropospheric ozone ( $O_3$ ) is a secondary air pollutant, formed by photochemical oxidation of nonmethane volatile organic compounds (NMVOCs) and carbon monoxide (CO) in the presence of nitrogen oxides ( $NO_x = NO + NO_2$ ) and sunlight. It has adverse effects on human health (Malley et al., 2017; Cakmak et al., 2018), vegetation growth (Yue et al. 2017; Mills et al., 2018) and climate change (Checa-Garcia et al., 2018; Gaudel et al., 2018). A better understanding of the causes of changes in  $O_3$  concentrations is useful for developing effective environment and climate strategies.

Since mid-1990s, Asian regions, including South Asia, East Asia and Southeast Asia, have experienced the fastest  $O_3$  increase rate of 2–8 ppb/decade at remote surface sites and in the lower free troposphere across the world (IPCC, 2021). A number of air quality monitoring stations have been established in China since 2013 to measure real-time near-surface particulate matter,  $O_3$ , and other air pollutants. The measurements showed an increasing trend of urban warm-season daily maximum 8-hour average (MDA8)  $O_3$  concentrations of 2.4 ppb (5%)  $yr^{-1}$  that is faster than any other regions worldwide during 2013–2019 (Lu et al., 2020). However, many regions in Asia lack  $O_3$  observations with sufficient spatial and temporal coverage. Also, most of the present regional observations are collected only near population clusters, which are not representative of the entire region (Zhou et al., 2022).

To supplement the limited near-surface  $O_3$  measurements, many studies

utilized global and regional models with comprehensive physical and chemical processes to simulate O<sub>3</sub> concentrations (Zhu et al., 2017; Gao et al., 2020; Yang et al., 2022). Moreover, statistical models have also been used to estimate O<sub>3</sub> concentrations (Chen et al., 2020; Zhang et al., 2020). In recent years, machine learning (ML) approaches, such as random forest (Xue et al., 2020; Wei et al., 2022), neural network (Di et al., 2017), support vector machine (Su et al., 2020), extreme gradient boosting (Liu et al., 2020), and ensemble learning (Liu et al., 2022), were widely applied to estimate O<sub>3</sub> levels based on potential influential factors (e.g., precursor emissions, meteorological conditions, land use, surface elevation, gross domestic product, population density, and geographical variables). The abovementioned previous studies utilizing the ML methods showed high computational efficiency and accuracy, with an overall R<sup>2</sup> between the observed and predicted O<sub>3</sub> concentrations in the range of 0.7–0.9.

Meteorological factors and synoptic conditions play important roles in affecting O<sub>3</sub> pollution (Fu and Tai, 2015; Gong and Liao, 2019; Yin et al., 2019; Liu et al., 2020; Dang et al., 2021). Gong and Liao. (2019) illustrated that hot, dry, and stagnant weather conditions are favorable for the formation and persistence of severe O<sub>3</sub> pollution over northern China. High air temperature along with intense incoming shortwave radiation accelerates both photochemical reaction rates and natural precursor emissions for O<sub>3</sub> production (Jacob and Winner, 2009). Under high relative humidity conditions, O<sub>3</sub>

concentrations decrease due to many complex physical and chemical mechanisms (Jeong and Park, 2013; Kavassalis and Murphy., 2017; Lu et al., 2019; Li M. et al., 2021a). Cloud and precipitation impact O<sub>3</sub> levels through reducing the downwelling solar radiation and washout of pollutants (Toh et al., 2013). Anomalous sea level pressure patterns can affect the long-range transport of O<sub>3</sub> by influencing atmospheric circulation (Santurtún et al., 2015). By changing the air stagnant condition and transport of pollutants, wind fields can also affect O<sub>3</sub> concentrations in local and downwind areas of emission sources (Doherty et al., 2013).

Future climate change corresponding to the different climate scenarios can impact O<sub>3</sub> through altering meteorological conditions (Wang et al., 2013, Fu and Tian et al., 2019). Using regional climate fields downscaled from general circulation models to investigate potential O<sub>3</sub> variations in the U.S. due to changing climate, Fann et al. (2015) projected the MDA8 O<sub>3</sub> to increase by 1–5 ppb as daily maximum average temperature increases by 1–4°C in 2030 relative to 2000. Colette et al. (2015) estimated that the climate penalty for future summertime near-surface O<sub>3</sub> reaches 0.99–1.5 ppb by the end of the 21<sup>st</sup> century (2071–2100) in Europe compared to present-day levels using an ensemble of eight global coupled climate-chemistry models under the RCP (Representative Concentration Pathway) 8.5 scenario. Through fixing sea surface temperature at present-day and future conditions in five atmospheric-only models as part of the AerChemMIP (Aerosol Chemistry Model

Intercomparison Project), Zanis et al. (2022) projected the climate change penalties and benefits on global near-surface O<sub>3</sub> concentrations from 2015 to 2100 under scenarios of Shared Socioeconomic Pathways (SSPs) 3-7.0. They found O<sub>3</sub> reductions in most regions of the globe, except a robust O<sub>3</sub> climate penalty of 1–2 ppb °C<sup>-1</sup> in South and East Asia under global warming following the SSP3-7.0 pathway. However, SSP3-7.0 is not a good representative scenario for both air quality and climate in Asia. The emissions of greenhouse gases (GHGs) and air pollutants over East Asia in SSP3-7.0 are assumed to significantly increase in the near future and keep at high levels in the middle of the 21<sup>st</sup> century among all SSPs (Li et al., 2022), while the emissions of air pollutants have been cut by a lot since 2010s in the real world (Wang et al., 2021). The GHGs and pollutant emissions are very likely to continually decline in the future related to the carbon neutrality commitment (Cheng et al., 2021).

In this study, we aim to better characterize the impact from future climate change on Asian O<sub>3</sub> pollution using multiple state-of-the-art modeling tools and data. It is important for policy-makers that mitigating global climate change potentially has positive benefits to surface air quality through meteorological factors, not only the reduction in fossil fuel co-emissions. The near-surface O<sub>3</sub> concentrations covering 2020–2100 in Asia are projected using a ML method integrated with multisource data, including assimilated O<sub>3</sub> data that combine ground observations across China and simulations from a global 3-D chemical transport model (GEOS-Chem), meteorological fields under various climate

scenarios from the latest Coupled Model Intercomparison Project Phase 6 (CMIP6) multi-model simulations, and other auxiliary data (e.g., emissions, land use, topography, population density, and spatiotemporal information). ML approach gives the capacity to explore many scenarios more rapidly and for longer time periods than the chemical transport model process-based modeling. Details of the data and methodology used in this study are described in section 2. Section 3 analyzes the results of climate-driven O<sub>3</sub> variations over different key regions of Asia. Section 4 summarizes the main conclusions and discusses potential uncertainties in this study.

## **2. Materials and Methods**

### **2.1 GEOS-Chem model description**

Figure 1 illustrates the procedures for predicting future near-surface O<sub>3</sub> over Asia under four scenarios. To assimilate O<sub>3</sub> data for the ML model training, the near-surface O<sub>3</sub> concentrations over Asia from 2014 to 2019 are firstly simulated using the nested-grid version of the 3-D GEOS-Chem model (version 12.9.3), driven by the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) reanalysis meteorological data (Gelaro et al., 2017). The nested GEOS-Chem has 47 vertical layers from the surface up to 0.01 hPa, with a horizontal resolution of 0.5° latitude × 0.625° longitude over the Asia domain (11°S–55°N, 60–150°E). The lateral boundaries of chemical tracer concentrations are provided by global simulations at 2° latitude × 2.5° longitude horizontal resolution. The model includes fully coupled aerosol-O<sub>3</sub>-

NO<sub>x</sub>-hydrocarbon chemical mechanisms (Park et al., 2004; Pye et al., 2009; Mao et al., 2013), with about 300 species participated in over 400 kinetic and photochemical reactions (Bey et al., 2001). The stratospheric O<sub>3</sub> chemistry is simulated through linearized O<sub>3</sub> parameterization scheme (LINOZ; Mclinden et al., 2000), and the planetary boundary layer mixing is calculated by a nonlocal scheme (Lin and McElroy, 2010). GEOS-Chem has shown a good performance in reproducing spatiotemporal distributions of O<sub>3</sub> concentrations (e.g., Ni et al., 2018; Li et al., 2019).

The historical (2014–2019) anthropogenic emissions of O<sub>3</sub> precursor gases, including NO<sub>x</sub>, NMVOCs, and CO, utilized in the nested domain are obtained from the Community Emissions Data System (CEDS; Hoesly et al., 2018) version 2021\_04\_21, which fully considered the recent emission reductions in China related to clean air measures. The biomass burning emissions are acquired from the Global Fire Emissions Database version 4 (GFED4; van der Werf et al., 2017). Biogenic emissions of NMVOCs from the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.1 are employed, with updates from Guenther et al. (2012). Soil NO<sub>x</sub> sources are calculated with an updated version of the Berkeley-Dalhousie Soil NO<sub>x</sub> Parameterization scheme (Hudman et al., 2012). NO<sub>x</sub> emissions from lightning are as described by Murray et al. (2012), and the vertical distribution of emissions follows Ott et al. (2010).

## **2.2 Ground O<sub>3</sub> observations**



To improve the performance of the ML model in predicting O<sub>3</sub> concentrations, the nationwide hourly near-surface O<sub>3</sub> concentrations in China during 2014–2019 are obtained from the China Ministry of Ecology and Environment (MEE) and used for O<sub>3</sub> data assimilation, which has been widely used to examine pollution over China in previous studies (Li K. et al., 2020, 2021; Qian et al., 2022). The observational network had about 500 monitoring sites in 2013, and expanded to more than 1500 sites after 2019, covering 360 cities in mainland China. In this study, the quality controlled hourly O<sub>3</sub> observations in 360 cities are averaged within each 0.5° latitude × 0.625° longitude grid of the GEOS-Chem model.

### 2.3 Data assimilation

The assimilation system, which is used to combine the O<sub>3</sub> observations across China with results from GEOS-Chem simulations, is based on a three-dimensional variational (3DVar) data assimilation (Kalnay, 2003; Evensen et al., 2022). The goal of the 3DVar is to find the maximum likelihood estimation of a state vector  $x$ , which is the O<sub>3</sub> concentrations here in this study, given the available observations  $y$  through minimizing the cost function:

$$J(x) = \frac{1}{2}(x - x^b)^T \mathbf{B}^{-1} (x - x^b) + \frac{1}{2}(y - H(x))^T \mathbf{O}^{-1} (y - H(x))$$

Here  $x^b$  represents the priori simulation.  $\mathbf{B}$  is the empirical background covariance matrix formulated as a product of the uncertainty in the simulated value and a distance-based correlation matrix  $\mathbf{C}$ , and the individual element is calculated as:

$$\mathbf{B}_{i,j} = 0.2 * \mathbf{x}_i^b * 0.2 * \mathbf{x}_j^b * \mathbf{C}_{i,j}$$

Here we have used 20% choice to characterize uncertainty of the  $\text{O}_3$  simulation, the correlation matrix is empirically set as:

$$\mathbf{C}_{i,j} = e^{-(\frac{d_{i,j}}{200km})^2/2}$$

Here  $d_{i,j}$  represents the spatial distance between the grid cell  $i$  and  $j$ .

$\mathbf{H}$  denotes the linear observation operator that converts the simulation results into the observational space. Here all observations are assumed to be independent, and therefore  $\mathbf{O}$  is a diagonal covariance matrix storing the square of the observation uncertainty, which is also set as 20% similarly.

Comparisons between observed and assimilated  $\text{O}_3$  concentrations over 2014–2019 are shown in Figure 2. The overall correlation coefficient ( $R$ ) is 0.94, and the normalized mean bias (NMB) is  $-0.1\%$ , suggesting that the assimilated data have an excellent representation of  $\text{O}_3$  observations and minimize the uncertainties of GEOS-Chem simulations in China.

## 2.4 Predicting $\text{O}_3$ using a machine learning method

In this study, a random forest (RF) model is used to predict  $\text{O}_3$  concentrations, similar to our previous studies (Li H. et al., 2021, 2022), with input data of assimilated  $\text{O}_3$  concentrations in China that combine observations and results from GEOS-Chem model simulations, GEOS-Chem simulated  $\text{O}_3$  concentrations outside of China, MERRA-2 meteorological variables,  $\text{O}_3$  precursor emissions, land cover (LC), normalized difference vegetation index (NDVI), topography (TOPO), population density (POP), and the month of the

year (MOY) and geographic location of each model grid as spatiotemporal information. Details of the datasets are summarized in Table 1.

For predicting future climate-driven near-surface O<sub>3</sub> concentrations, the ML model is trained with samples over 2014–2018 and the remaining 2019 data are used for model validation. To obtain an optimal ML model, hyperparameters are firstly tuned using the 10-fold cross-validation (Rodriguez et al., 2010). The best hyperparameters (n\_estimators=200, min\_samples\_split=2, max\_features= "sqrt", bootstrap= "True") of the ML model are utilized. Several statistical metrics, including coefficient of determination (R<sup>2</sup>), mean absolute error (MAE), root mean square error (RMSE) and mean relative error (MRE) are used to evaluate the performance of ML model. Then the climate-driven near-surface O<sub>3</sub> concentrations during 2020–2100 under four SSPs (SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5) in Asia can be estimated using the trained ML model with varying meteorological factors under the climate change scenarios. Both anthropogenic and natural emissions of O<sub>3</sub> precursors are fixed at the present-day levels for the prediction.

## **2.5 Meteorological fields from CMIP6 multi-model simulations**

Monthly meteorological parameters under four different future climate scenarios, including SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5 (a representation of low, intermediate, medium to high, and high forcing levels, respectively), are fed to a ML model to predict near-surface O<sub>3</sub> concentrations. The Scenario Model Intercomparison Project (ScenarioMIP) as part of CMIP6

provides multi-model projections of climate variables driven by future emission and land use changes under different SSPs (O'Neill et al., 2016). In our study, meteorological fields, such as air temperature (at 2m, 850 hPa, and 500 hPa), wind fields (at 850 and 500 hPa), surface relative humidity, incoming shortwave radiation at the surface, total cloud cover, precipitation rate, and sea level pressure, are chosen as the key meteorological predictors for near-surface O<sub>3</sub> concentrations, which are obtained from 18 global climate models, i.e., ACCESS-CM2, ACCESS-ESM1-5, CanESM5, CESM2-WACCM, CMCC-CM2-SR5, EC-Earth3-Veg, EC-Earth3, FGOALS-f3-L, FGOALS-g3, GFDL-ESM4, INM-CM5-0, IPSL-CM6A-LR, MIROC6, MPI-ESM1-2-HR, MPI-ESM1-2-LR, MRI-ESM2-0, NorESM2-LM, and NorESM2-MM. Before being applied to the ML model, future meteorological fields from ScenarioMIP are adjusted by their potential bias, characterized as the difference in their historical climatological mean (2014–2019) and MERRA-2 following Li et al. (2022). It minimizes the inconsistencies in the initial conditions in models and reanalysis data.

### **3. Results**

#### **3.1 Predictive capability of the machine learning model**

The predicted monthly O<sub>3</sub> concentrations over Asia in 2019 by the ML model are in good agreement with the assimilated O<sub>3</sub> data constructed with observations and GEOS-Chem model results (Fig. 3). The overall R<sup>2</sup> between the predicted and assimilated O<sub>3</sub> concentrations is as high as 0.92 and the ML model has a low MRE of 9% in predicting O<sub>3</sub> concentrations over the Asia

domain. Overall, these statistical indices indicate that the RF model is promising for predicting the spatial distributions and temporal variations of near-surface O<sub>3</sub> concentrations over Asia, which can provide a practical means for studying long-term variations in O<sub>3</sub> under the future climate change.

Meanwhile, the ML model predictive capability for each grid cell over the entire domain during 2014–2019 is further evaluated and demonstrated in Figure 4. Regarding the spatial performance, the estimated O<sub>3</sub> concentrations are highly correlated to the assimilated data in most regions of Asia with small biases, indicating a strong spatial predictive ability of the RF model. More than 80% of land areas have a R<sup>2</sup> greater than 0.9. In terms of model uncertainties, about 95% of land areas have a RMSE (MAE) less than 3 (2) parts per billion (ppb). Furthermore, approximately 86% of land areas show small modeling bias with MRE below 5%. Note that several grid cells show MRE over 5% but still below 15%, which is related to the data assimilation using monitored and simulated O<sub>3</sub> concentrations in China and the coarse resolution for coastal areas and islands over Southeast Asia.

Figure 5 shows the importance score of independent variables that contribute to the prediction of trained ML model, which called Gini importance and implies the influence of input features on the target variable in the ML model. The results suggest that among all the input predictors, relative humidity, incoming solar radiation at the surface, and topography are the top-three most influential variables for the model construction of near-surface O<sub>3</sub> in Asia, with

importance scores of 15%, 12% and 10%, respectively. The primary importance of relative humidity has also been reported in previous studies (e.g., Han et al., 2020; Qian et al., 2022). Other meteorological parameters, such as cloud cover, sea level pressure, air temperature, precipitation, also have a substantial impact on the O<sub>3</sub> estimates, with importance scores ranging from 4% to 8%. In the ML model, the emissions of three primary O<sub>3</sub> precursors, including NMVOCs, NO<sub>x</sub>, and CO, have a relatively low importance score of 4–5% individually due to the spatiotemporal diversity of O<sub>3</sub> production regimes. However, it is noted that the O<sub>3</sub> variations in different regions are dominated by different meteorological factors (Weng et al., 2022). The importance score of each independent feature quantified in this study can only reflect the overall importance across Asia, which is less representative of any specific regions.

### **3.2 Predicted future climate-driven O<sub>3</sub> variations**

Figure 6 shows the predicted absolute and percentage changes in annual mean near-surface O<sub>3</sub> concentrations in response to climate change between the first and last decades of 2020–2100 based on the future meteorological fields from the 18 CMIP6 models. Fig. 7 shows the time series of the regional averaged values over six sub-regions of Asia during 2020–2100. Under the global warming trends of all future scenarios, the climate-driven near-surface O<sub>3</sub> concentrations increase constantly from 2020 to 2100 over many key regions in Asia, such as North China (NC), South China (SC), Southeast Asia (SEA), South India (SI) and Gangetic Plains (GP), except the Tibetan Plateau

(TP). The O<sub>3</sub> concentrations over SC, SEA, and SI are projected to increase considerably with the maximum increase up to 5 ppb (20%) in 2095 (2091–2100 mean) compared to 2025 (2020–2029 mean) under the SSP5-8.5 scenario, revealing a strong O<sub>3</sub>-climate penalty in most Asian regions. The climate-driven changes in O<sub>3</sub> concentrations are smaller under the less warming scenarios, especially in SSP1-2.6 that has O<sub>3</sub> changes less than 5% across Asia. These suggest that future climate following low emissions and sustainable pathways is more favorable for the mitigation of O<sub>3</sub> pollution in Asia than high forcing scenarios.

The strong O<sub>3</sub>-climate penalty over eastern China can be attributed to the particularly high O<sub>3</sub> precursor emissions (Fig. S1), relative to western China, which lead to a positive local net O<sub>3</sub> production close to sources in a warming climate (Fig. S2) (Zanis et al., 2022). The absolute and percentage changes in regional averaged near-surface O<sub>3</sub> concentrations between 2025 and 2095 under the four scenarios are shown in Figure 8. The climate-driven changes in O<sub>3</sub> concentrations are gradually stronger from north (2–3%) to south (3–8%) of China, which demonstrates that the changes in meteorology exert a greater impact on near-surface O<sub>3</sub> concentrations over SC than NC under future climate change. By the end of the 21<sup>st</sup> century, the relative humidity will decrease (Fig. S3) and downward solar radiation will increase (Fig. S4) over SC compared to those in 2025, which are conducive to the O<sub>3</sub> productions, while NC has the opposite changes. Moreover, cloud cover will decrease more over SC than NC

(Fig. S5), contributing to the larger increase in O<sub>3</sub> productions and concentrations over SC than NC in a warming climate.

In South Asia, climate change also enhances O<sub>3</sub> concentrations by <5% over GP and SI (Fig. 8), due to the massive precursor emissions (Fig. S1) and O<sub>3</sub> productions. Over SI, the decreases in relative humidity (Fig. S3) and cloud amount (Fig. S5), and increases in downward solar radiation at the surface (Fig. S4) favor photochemical production of O<sub>3</sub> and induce the large increases in O<sub>3</sub> concentrations in this region. Averaged over SEA, O<sub>3</sub> concentrations driven by higher temperature (Fig. S2), more downward solar radiation (Fig. S4), and lower relative humidity (Fig. S3) and cloud cover (Fig. S5) in 2095 are projected to increase O<sub>3</sub> concentrations by 5–7% in SSP3-7.0 and SSP5-8.5 and 0–3% in SSP1-2.6 and SSP2-4.5 scenarios, relative to 2025 (Fig. 8).

The Tibetan Plateau (TP), known as the highest topography in China with more solar radiation at the surface, has strong stratosphere-troposphere exchanges of O<sub>3</sub> compared with other regions leading to high O<sub>3</sub> concentrations over this region (Fig. S6). Climate-driven O<sub>3</sub> concentrations are projected to decline by less than 2% over TP from 2025 to 2095 (Fig. 8). It is likely because less solar radiation (Fig. S4) and more frequent occurrence of rainy weather (Fig. S7) in the future would reduce the local chemical production of O<sub>3</sub>.

### **3.3 The seasonality of future climate-driven O<sub>3</sub> variations**

Climate over Asia has obvious seasonal variation related to the Asian monsoon system. Figure 9 shows the spatial distributions of percentage



changes in projected climate-driven O<sub>3</sub> concentrations in spring (March–April–May, MAM), summer (June–July–August, JJA), autumn (September–October–November, SON), and winter (December–January–February, DJF) between 2025 and 2095 under the four scenarios. In general, air quality in many regions of Asia will deteriorate in all seasons associated with intensified O<sub>3</sub> pollution under climate change.

In eastern China, O<sub>3</sub> pollution occurs most frequently in summer and is more severe in NC than SC currently (Li et al., 2019). Under future climate warming, JJA O<sub>3</sub> concentrations will increase by 5–20% in SC under the high forcing scenarios, while the changes in NC are less than 5%. It suggests that future climate change will expand the summertime O<sub>3</sub> pollution from NC to SC over eastern China. Another feature is the strong increases in O<sub>3</sub> concentrations by 10–20% throughout eastern China and exceeding 20% over Sichuan Basin in SON, which relate to the significant increases in temperature (Fig. S8) and solar radiation (Fig. S9) in this season over central-eastern China under the high forcing scenarios. It further indicates that future climate change will extend the O<sub>3</sub> pollution from summer into autumn.

In South Asia, the climate-driven increases in O<sub>3</sub> concentrations vary from JJA over SI to DJF over GP. Relative to 2025, in summer of 2095, anomalous high pressure (Fig. S10) along with anticyclone (Figs. S11 and S12) dominates South Asia, which is not conducive to O<sub>3</sub> diffusion, leading to increases in JJA O<sub>3</sub> concentrations over SI. The intensified O<sub>3</sub> pollution across GP in DJF under

climate change is related to the strong surface warming (Fig. S8), decreases in relative humidity (Fig. S13), cloud cover (Fig. S14) and rainfall (Fig. S15), as well as increases in solar radiation at the surface (Fig. S9), favoring the photochemical production of O<sub>3</sub>. In north part of Southeast Asia, JJA has the largest O<sub>3</sub> rise via the same mechanism as for SI, while O<sub>3</sub> increases by the same magnitude in all seasons in south part of Southeast Asia driven by future climate change.

#### **4. Conclusions and discussion**

The O<sub>3</sub> pollution has been increasing over Asia in recent decades, which harms human health and vegetations. In the future warmer climate, O<sub>3</sub> pollution over Asia can be modulated by changes in meteorological fields. In this study, to examine the variations in O<sub>3</sub> concentrations over Asia due to the future climate change, monthly near-surface O<sub>3</sub> concentrations from 2020 to 2100 under four climate scenarios (SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-8.5) are predicted using a ML model with input data from assimilated O<sub>3</sub> combining GEOS-Chem simulations and real-time observations, future meteorological parameters from CMIP6 multi-model simulations, emissions of O<sub>3</sub> precursors, land use, topography, population density and spatiotemporal information. Our results suggest that the future O<sub>3</sub> pollution over Asia will be significantly exacerbated in a warming climate, especially under high forcing scenarios.

Trained by the assimilated O<sub>3</sub> concentrations and reanalysis data, the ML model can well predict O<sub>3</sub> over Asia with the coefficient determination of 0.92

between assimilated and predicted O<sub>3</sub> concentrations and relative error of 9%. Then the future Asian O<sub>3</sub> concentrations from 2020 to 2100 driven by climate change are projected in the ML model with varying meteorological fields from 18 CMIP6 models under four future climate scenarios.

The climate penalty on O<sub>3</sub> is robust over most regions of Asia. The annual mean O<sub>3</sub> levels in 2095 are projected to increase by 5–20% relative to 2025 under the high forcing scenarios over South China, Southeast Asia, and South India and less than 10% over North China and Gangetic Plains, due to more favorable meteorological conditions for O<sub>3</sub> photochemical production, while there is a decrease of <5% over the Tibetan Plateau. The climate-driven changes in O<sub>3</sub> concentrations are smaller under the less warming scenarios, suggesting that future climate following low emissions and sustainable pathways would be more effective in the mitigation of O<sub>3</sub> pollution in Asia than the high forcing scenarios. Seasonal variation analysis reveals that the summertime O<sub>3</sub> pollution over eastern China will expand from North China to South China and extend into the cold season under the future climate change. In addition, South Asian O<sub>3</sub> pollution will increase over South India in summer and over Gangetic Plains in winter.

Zanis et al. (2022) analyzed the global climate change benefit and penalty on O<sub>3</sub> based on sensitivity simulations from five CMIP6 models under the SSP3-7.0 scenario. They showed positive changes in JJA O<sub>3</sub> concentrations by less than 1 ppb from 2010 to 2095 over East Asia and South Asia driven by climate

change, but with large uncertainties due to the model diversity. The ML method in this study gives similar positive changes in O<sub>3</sub> as Zanis et al. (2022). Pommier et al. (2018) applied the EMEP chemical transport model driven by the downscaled meteorological data from the NorESM1-M to investigate the impacts of regional climate change on near-surface O<sub>3</sub> over India. They showed that near-surface O<sub>3</sub> would increase by up to 4% over Northern India and decrease by 3% over Southern India from 2050 to 2100 under the RCP8.5 scenario. We show that the climate-driven O<sub>3</sub> in this study would increase over both Gangetic Plains (0.2%) and South India (3%) under the SSP5-8.5 scenario in 2050 relative to 2016 (2014–2019 mean). The discrepancies may rise from that the results of Pommier et al. (2018) were based on NorESM1-M simulated climate alone, while the climate change predicted by 18 CMIP6 models were applied in this study and the ensemble mean O<sub>3</sub> concentrations were shown here.

There are a few uncertainties and limitations in the projected near-surface O<sub>3</sub> concentrations over Asia in terms of input data, GEOS-Chem simulations, CMIP6 multi-model simulations, and the ML model. First, only observational data over 2014–2019 across China were used for the O<sub>3</sub> assimilation. Longer-term measurements with broader spatial coverage are more desirable to improve the model performance. Land use data and population density are fixed at present-day conditions when predicting the future O<sub>3</sub> since we focus on the variations in meteorological parameters under climate change, which will

437 vary in the future. In addition, natural O<sub>3</sub> precursor emissions such as biogenic  
438 emissions of NMVOCs, and NO<sub>x</sub> from soil and lightning sources are fixed at  
439 year-2016 levels in the future estimates, which can induce biases in the O<sub>3</sub>  
440 projections since climate change can strongly influence natural emissions of O<sub>3</sub>  
441 precursors (Liu et al., 2019). Although the methane concentrations in GEOS-  
442 Chem model are prescribed and its role in the O<sub>3</sub> production is not considered  
443 in the ML model, the climate influence of methane is included in the CMIP6  
444 multi-model simulations. Consequently, the impact of future changes in  
445 methane on O<sub>3</sub> concentrations via climate change are considered in the future  
446 projections.

447       Second, the GEOS-Chem model has been demonstrated to well capture  
448 the magnitude of and spatiotemporal variations in O<sub>3</sub>, with an average bias of  
449 about 10% over China (Lou et al., 2014) and Southeast Asia (Marvin et al.,  
450 2021), and less than 20% over India (David et al., 2019). The future decrease  
451 in relative humidity will cause stomatal closure and also increase near-surface  
452 O<sub>3</sub>. The O<sub>3</sub>-vegetation interactions are not represented in the default GEOS-  
453 Chem model. A newly coupled global atmospheric chemistry-vegetation model  
454 (Lei et al., 2020) could be applied in the future study.

455       Third, the meteorological parameters characterizing future climate change  
456 from the CMIP6 multi-model simulations can also give rise to uncertainties in  
457 this study (Xu et al., 2021). Moreover, the spatial autocorrelation in random split  
458 of training data for cross-validation would lead to the overly optimistic statistics

of ML model predictive power (Ploton et al., 2020). Additionally, the overall importance scores of the features in this study can only reflect that from the whole study domain. Further investigations are required to identify and quantify the importance score of each local variable contributed to the near-surface O<sub>3</sub> predictions in different specific regions. Also, the good ability of the ML model for the present-day condition may not imply a satisfactorily extrapolation under the future warming condition, which can bias our results and deserves further investigation in future studies.

Last but not least, the near-surface O<sub>3</sub> have increased rapidly in China since 2013 owing to both precursor emission changes and atmospheric warming (Li M. et al., 2021b), which significantly affect human health (Lu et al., 2020) and also requires further studies.

Overall, our study provides a framework of combining real-time observations, chemical transport model simulations and multi-climate model predictions with data assimilation and machine learning methods to estimate future climate driven near-surface O<sub>3</sub> concentrations. The emphasis of this work is to quantify the impacts of future climate change on O<sub>3</sub> pollution in Asia, which is of great significance for the future O<sub>3</sub> pollution mitigation strategies.

## **Author contributions**

YY designed the research. HL performed the model simulations, analyzed data and wrote the initial draft. JJ designed the data assimilation. YY, JJ, HW, and KL helped edit and review the manuscript. All the authors discussed the results and contributed to the final manuscript.

## **Code and data availability**

The GEOS-Chem model is available at <https://zenodo.org/record/3974569#.YTD81NMzagR> (last access: 1 August 2022). MERRA-2 reanalysis data can be downloaded at <https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/> (last access: 1 August 2022). Multi-model projections of climate variables are from Scenario Model Intercomparison Project in Phase 6 of the Coupled Model Intercomparison Project <https://esgf-node.llnl.gov/search/cmip6/> (last access: 1 August 2022). Land cover is derived from <http://maps.elie.ucl.ac.be/CCI/viewer/download.php> (last access: 1 August 2022). Hourly O<sub>3</sub> concentrations are obtained from the public website of the China Ministry of Ecology and Environment <https://www.mee.gov.cn> (last access: 1 August 2022). Normalized difference vegetation index is obtained from <https://www.ncei.noaa.gov/data/avhrr-land-normalized-difference-vegetation-index/access/> (last access: 1 August 2022). Topography is collected from <https://cgiarcsi.community/data/srtm-90m-digital-elevation-database-v4-1/> (last access: 1 August 2022). Population density is

498 acquired from <https://landscan.ornl.gov/landscan-datasets> (last access: 1  
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506 concentrations in this study are available upon request.

#### 507 ***Competing Interest***

508 The contact author has declared that neither they nor their co-authors have any  
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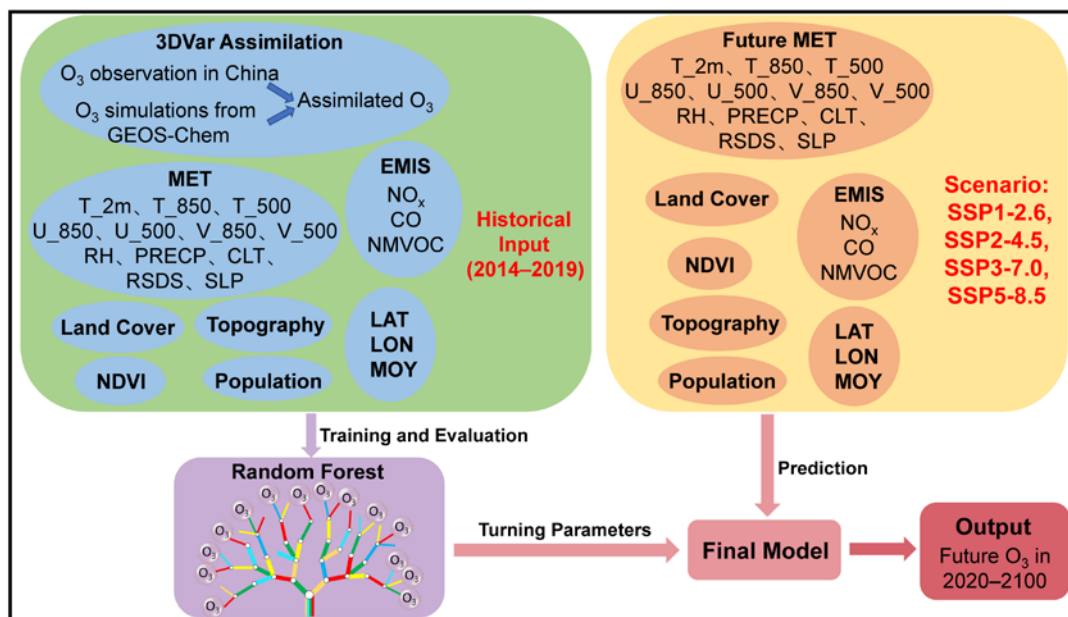


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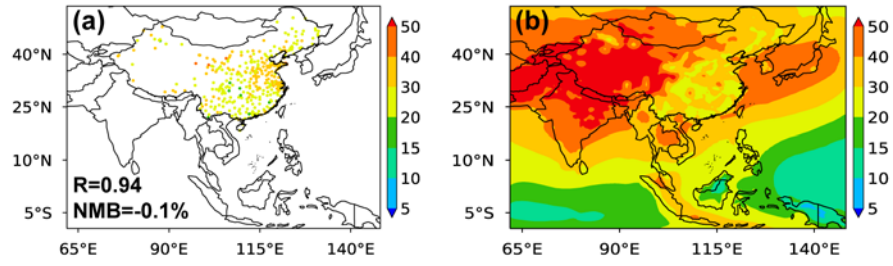
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930 **Table 1.** Summary of detailed datasets used in this study.

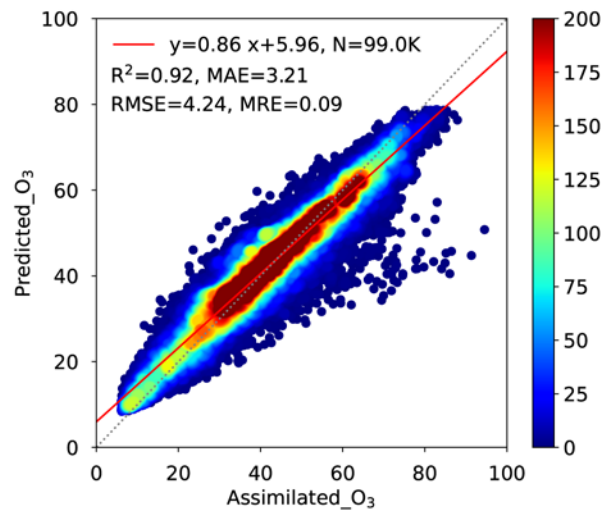
Dataset type	Variable	Description	Spatial resolution	Temporal resolution	Time period	Data source
O <sub>3</sub>	O <sub>3</sub>	Near-surface ozone concentrations	0.5°×0.625°	Monthly (historical)	2014–2019 (historical)	Assimilated GEOS-Chem simulations and Observations
Meteorology	T_2m	Air temperature at 2 meters	0.5°×0.625°	Monthly (historical)	2014–2019 (historical)	MERRA-2 (historical)
	T_850	Air temperature at 850 hPa				
	T_500	Air temperature at 500 hPa				
	U_850	Zonal wind at 850 hPa				
	U_500	Zonal wind at 500 hPa				
	V_850	Meridional wind at 850 hPa				
	V_500	Meridional wind at 500 hPa				
	RH	Relative humidity				
	PRECP	Precipitation rate				
	CLT	Total cloud cover				
	RSDS	Incoming shortwave radiation at the surface				
	SLP	Sea level pressure				
Emission	NO <sub>x</sub>	Nitric oxide from anthropogenic sources	0.5°×0.625°	Monthly (historical)	2014–2019 (historical)	CEDS (Anthropogenic)
		Nitric oxide from biomass burning			2019 (future)	
		Nitric oxide from soil sources			2016	
		Nitric oxide from lightning sources			2016	
	CO	Carbon monoxide from anthropogenic sources			2014–2019 (historical)	
		Carbon monoxide from biomass burning			2014–2019 (historical)	
		Non-methane volatile organic compounds from anthropogenic sources			2019 (future)	
	NMVOC	Non-methane volatile organic compounds from biomass burning			2016	
		Non-methane volatile organic compounds from biogenic sources			2016	
Land use	LC	Land cover	300 m×300 m	Monthly	2014–2019 (historical)	ESA CCI
	NDVI	Normalized Difference Vegetation Index	0.05°×0.05°		2019 (future)	AVHRR
Topography	TOPO	Digital elevation model	90 m×90 m	-	2010	SRTM
Population	POP	Population density	1 km×1 km	-	2010	Land Scan



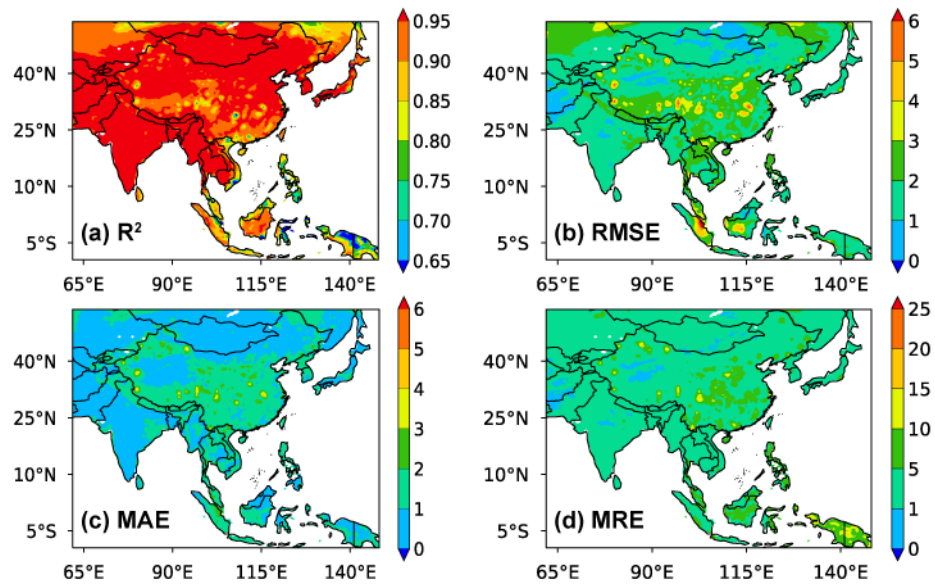
**Figure 1.** The structure and specific schematics for predicting future O<sub>3</sub> concentrations under four scenarios based on the machine learning (ML) method.



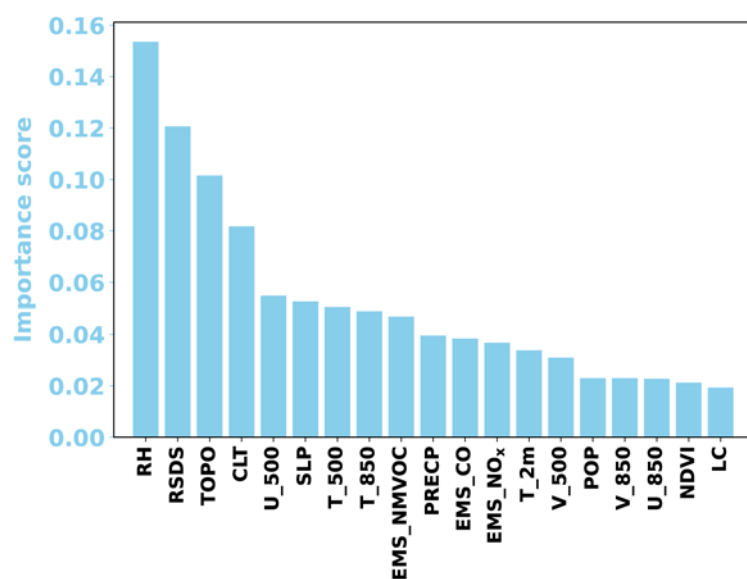
**Figure 2.** Spatial distributions of observed near-surface O<sub>3</sub> concentrations across China (a) and assimilated O<sub>3</sub> concentrations over Asia (b) in 2014–2019. Correlation coefficient ( $R$ ) between observed and assimilated O<sub>3</sub> and the normalized mean bias ( $NMB = \sum (\text{Observed} - \text{Assimilated}) / \sum \text{Assimilated} \times 100\%$ ) are given at the bottom left of panel (a).



**Figure 3.** Density scatterplots of predicted vs assimilated monthly near-surface O<sub>3</sub> concentrations (ppb) in 2019 over Asia. The gray and red lines are the 1:1 line and linear regression line, respectively. Statistical metrics including the number of samples (N), correlation of determination ( $R^2$ , unitless), root mean square error (RMSE, ppb), mean absolute error (MAE, ppb), and mean relative error (MRE, %) are shown at the top left.

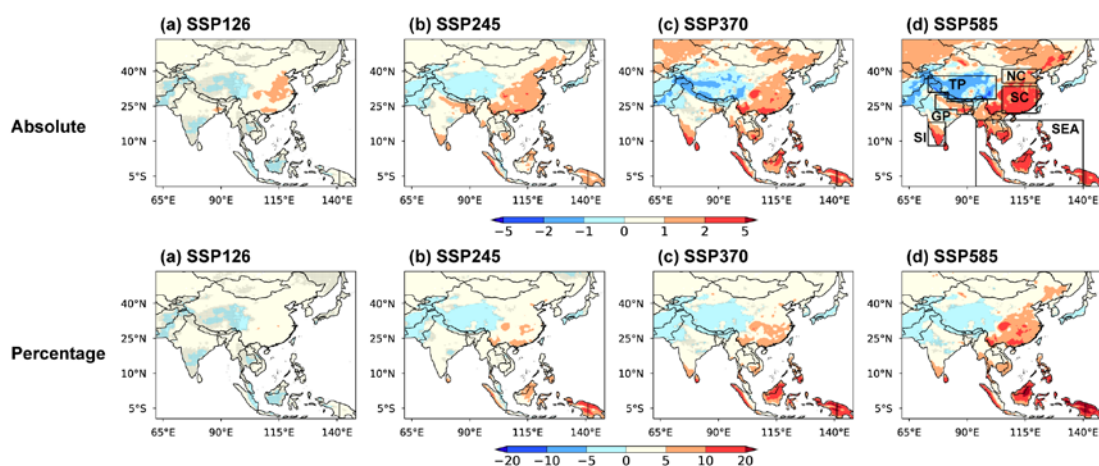


**Figure 4.** Spatial distributions of the performance statistics of the ML model with regard to (a)  $R^2$  (unitless), (b) RMSE (ppb), (c) MAE (ppb), and (d) MRE (%) during 2014–2019 over Asia.

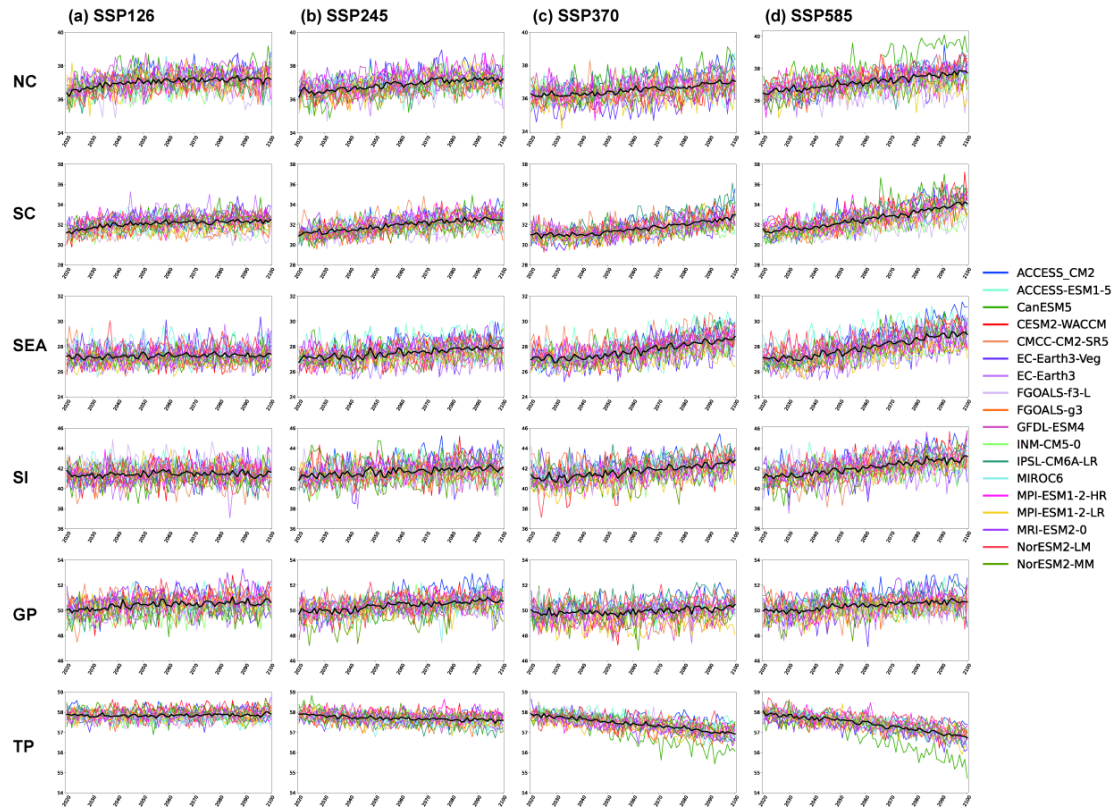


**Figure 5.** Importance scores of independent variables (meteorological parameters, emissions, land use, topography, and population density) used in the ML model for predicting future near-surface O<sub>3</sub> concentrations over Asia.

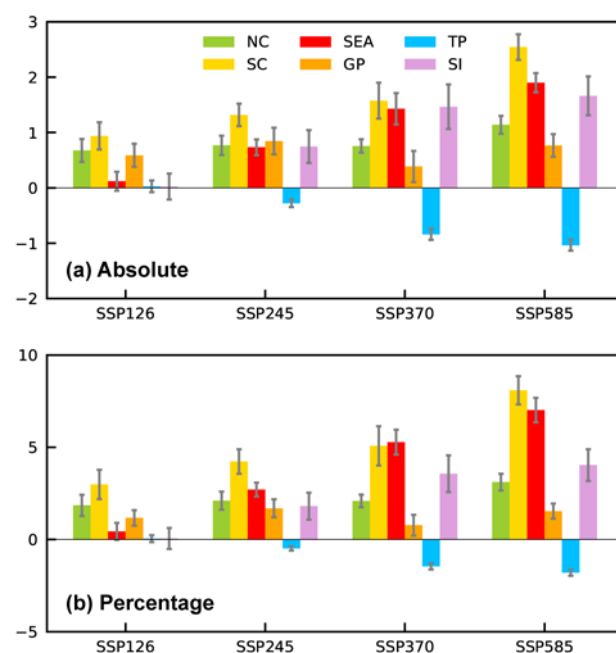




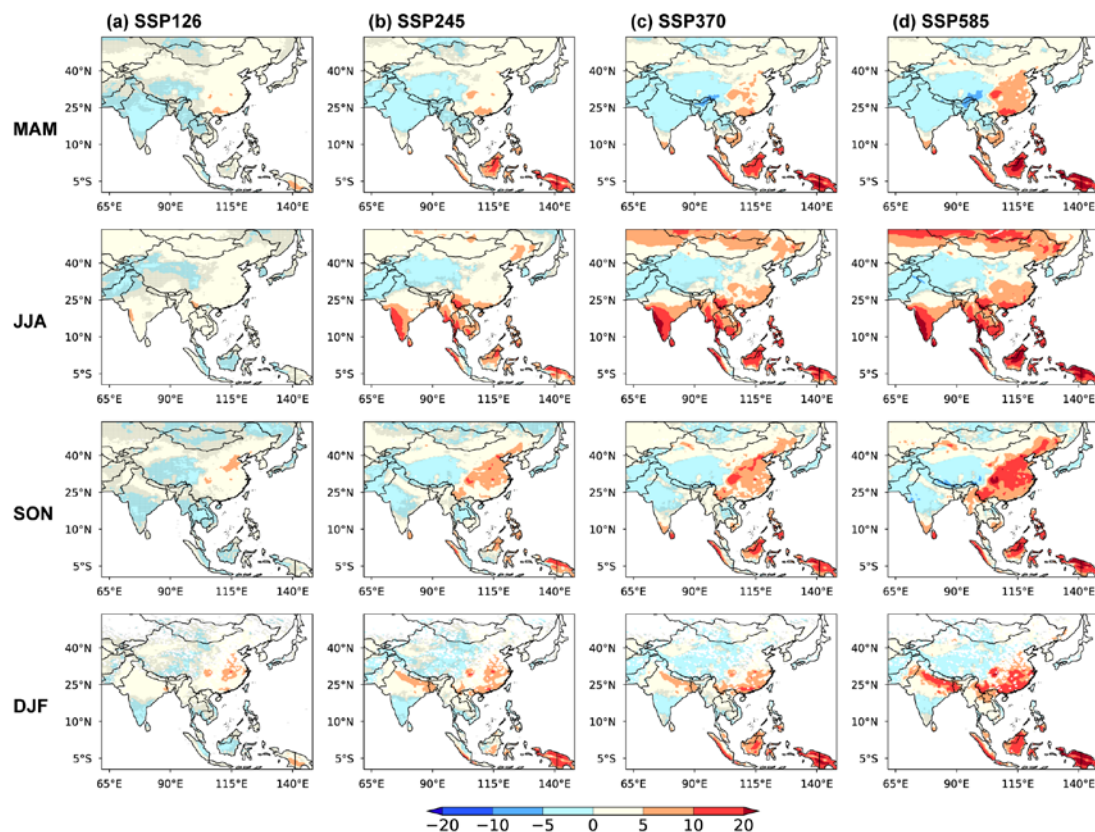
**Figure 6.** The spatial distributions of absolute (ppb) and percentage difference (%) of surface O<sub>3</sub> level between 2025 (2020–2029 mean) and 2095 (2091–2100 mean) driven by climate change under four scenarios (a, e) SSP1-2.6, (b, f) SSP2-4.5, (c, g) SSP3-7.0 and (d, h) SSP5-8.5. The box-outlined areas in (d) are North China (NC, 35°–41°N, 105°–120°E), South China (SC, 22°–33.5°N, 105°–120°E), Southeast Asia (SEA, -9.5°S–19°N, 93.75°–140°E), South India (SI, 8°–18°N, 73.125°–80.625°E), Gangetic Plains (GP, 21.5°–23.5°N, 85.625°–92.5°E, 23.5°–27°N, 76.25°–92.5°E, and 27°–30°N, 76.25°–81.25°E), and Tibetan Plateau (TP, 28°–31°N, 81.875°–102.5°E and 31°–38°N, 73.125°–102.5°E). No overlaying hatch pattern indicates statistical significance with 95% confidence from a two-tailed t test.



**Figure 7.** Time series (2020–2100) of annual mean near-surface O<sub>3</sub> concentrations (ppb) driven by climate change under the four scenarios (SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5) over North China (NC, 35°–41°N, 105°–120°E), South China (SC, 22°–33.5°N, 105°–120°E), Southeast Asia (SEA, -9.5°S–19°N, 93.75°–140°E), South India (SI, 8°–18°N, 73.125°–80.625°E), Gangetic Plains (GP, 21.5°–23.5°N, 85.625°–92.5°E, 23.5°–27°N, 76.25°–92.5°E, and 27°–30°N, 76.25°–81.25°E), and Tibetan Plateau (TP, 28°–31°N, 81.875°–102.5°E and 31°–38°N, 73.125°–102.5°E). The black lines are the averages of the predicted O<sub>3</sub> based on meteorological fields from 18 CMIP6 models.



**Figure 8.** Absolute (a, ppb) and percentage (b, %) changes in projected near-surface climate-driven O<sub>3</sub> concentrations in 2095 (2091–2100 mean) relative to 2025 (2020–2029 mean) over the six selected key regions of Asia, including NC, SC, SEA, SI, GP, and TP under four future climate scenarios. The error bars indicate one standard deviation.



**Figure 9.** The spatial distributions of percentage differences (%) in near-surface  $O_3$  concentrations between 2025 (2020–2029 mean) and 2095 (2091–2100 mean) driven by climate change under four scenarios (SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5, from left to right) averaged in MAM (March–April–May), JJA (June–July–August), SON (September–October–November), and DJF (December–January–February) (from top to bottom). No overlaying hatch pattern indicates statistical significance with 95% confidence from a two-tailed t test.