



1 **Size dependent hygroscopicity of levoglucosan and** 2 **D-glucose aerosol nanoparticles**

3 **Ting Lei^{1,2}, Hang Su^{2,3}, Nan Ma⁴, Ulrich Pöschl², Alfred Wiedensohler⁵, Yafang Cheng¹**

4 ¹Minerva Research Group, Max Planck Institute for Chemistry, 55128 Mainz, Germany

5 ²Multiphase Chemistry Department, Max Planck Institute for Chemistry, 55128 Mainz, Germany

6 ³State Environmental Protection Key Laboratory of Formation and Prevention of Urban Air Pollution Complex,
7 Shanghai Academy of Environmental Sciences, Shanghai 200233, China

8 ⁴Institute for Environmental and Climate Research, Jinan University, 511443 Guangzhou, China

9 ⁵Leibniz Institute for Tropospheric Research, 04318 Leipzig, Germany

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11 *Correspondence to:* Yafang Cheng (yafang.cheng@mpic.de)

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13 **Abstract:** The interaction between water vapor and aerosol nanoparticles is of great significance
14 in atmospheric processes. However, current knowledge of hygroscopicity of sub-10 nm organic
15 nanoparticles and their concentration-dependent thermodynamic properties (e.g., water activity) in
16 the highly supersaturated concentration range is scarcely available. In this study, we investigate the
17 size dependence of hygroscopicity of organics (i.e., levoglucosan, D-glucose) in size down to 6 nm
18 using a nano-hygroscopicity tandem differential mobility analyzer (nano-HTDMA). There is a
19 weak size dependence of the hygroscopic growth factor observed for levoglucosan and D-glucose
20 nanoparticles with diameters down to 20 nm. However, a clear size-dependent hygroscopic growth
21 factor is observed for D-glucose nanoparticles down to 6 nm in size. A reduction in diameters of
22 sub-20 nm levoglucosan is observed at the dry RHs, which is explained by partial levoglucosan
23 evaporation into gas phase, indicating high impact of volatility of sub-20 nm levoglucosan aerosol



24 nanoparticles. However, this also means that the hygroscopic growth factors of levoglucosan
25 nanoparticles with diameters below 20 nm are not possible to be determined. The use of water
26 activity parameterization models proposed by Kreidenweis et al. (2005) (KD, Köhler), the Extend-
27 Aerosol Inorganic Model (E-AIM (standard UNIFAC), and Differential Köhler Analysis (DKA)
28 method is to determine thermodynamic properties (e.g., water activity) of levoglucosan and D-
29 glucose nanodroplets as a function of solute concentration, respectively. Predicated water activity
30 for these aqueous organic solutions (i.e., levoglucosan, D-glucose) from the different methods are
31 similar to observations from references in the low solute concentration ($< 20 \text{ mol kg}^{-1}$), while a
32 quite difference is found in the high solute concentration ($> 20 \text{ mol kg}^{-1}$). In addition, we compare
33 hygroscopicity measurements for levoglucosan and D-glucose nanoparticles with the E-AIM
34 (standard UNIFAC), the ideal solution theory, and DKA predictions, respectively. The ideal
35 solution theory describes well the measured hygroscopic growth factors of levoglucosan with
36 diameters down to 20 nm and D-glucose nanoparticles with diameters higher than 60 nm,
37 respectively, while the E-AIM (standard UNIFAC) model can successfully predict the growth
38 factors of levoglucosan with diameters from 100 down to 6 nm at RH above 88-40 % (e.g., at RH
39 above 88 % for 100 nm D-glucose, at RH above 40 % for 6 nm D-glucose). The use of the DKA
40 method leads to a good agreement with measured hygroscopic growth factors of D-glucose aerosol
41 nanoparticles with diameters from 100 down to 6 nm.

42

43 **1 Introduction**

44 Organic aerosol nanoparticles play an important role in new particle formation, subsequent
45 condensation and coagulation growth, cloud condensation nuclei (CCN), and thus in affecting
46 visibility degradation, radiative forcing, and climate (Chylek and Coakley, 1974; Charlson et al.,



47 1992; Dusek et al., 2010; Cheng et al., 2012; Zhang et al., 2012; Kulmala et al., 2013). Both growth
48 of nanoparticles and their ability to act as CCN are directly related to its hygroscopicity that
49 describes the interaction between organic nanoparticles and water vapor (Köhler, 1936;
50 Kreidenweis et al., 2005; Su et al., 2010; Cheng et al., 2015; Wang et al., 2015). However, current
51 knowledge of hygroscopicity of sub-10 nm organic nanoparticles and their concentration-
52 dependent thermodynamic properties (e.g., water activity) in the highly supersaturated
53 concentration range is scarcely available.

54 Levoglucosan aerosol nanoparticles have attracted increasing interest in recent years (Simoneit et
55 al., 1999; Mochida and Kawamura, 2004; Mikhailov et al., 2009; Elias et al., 2010; Lei et al., 2014,
56 2018; Bhattarai et al., 2019) due to relative stability and high emission factors, which are
57 considered as an ideal tracer for characterization and quantitation the biomass burning (Fraser and
58 Lakshmanan, 2000). Also, levoglucosan is typically the most abundant species in wood burning
59 aerosols, which contributes substantially (16.6–30.9% by mass) to the total organics in PM_{2.5}
60 (Mochida and Kawamura, 2004). D-glucose, a hydrolysis product of cellulose and levoglucosan,
61 is a major pyrolysis product of wood (Mochida and Kawamura, 2004). Levoglucosan and D-
62 glucose substances may be representative in reproducing the hygroscopic behavior of the real
63 biomass burning aerosol particles (Bhandari and Bareyre. 2003; Mochida and Kawamura, 2004;
64 Chan et al., 2005; Koehler et al., 2006; Peng et al., 2010). Most of the previous lab studies have
65 been focused on investigation of the hygroscopic behavior of 100-nm levoglucosan and D-glucose
66 aerosol nanoparticles, which mainly utilized the humidified tandem differential mobility analyzers
67 (DMAs) (Mikhailov et al., 2004; Mochida and Kawamura. 2004; Koehler et al., 2006; Lei et al.,
68 2014; 2018). For example, Mochida and Kawamura (2004) observed that 100-nm levoglucosan
69 and D-glucose aerosol nanoparticles uptake/release water continuously in both deliquescence and



70 efflorescence modes, respectively. To our knowledge, there are no phase transitions for these
71 organic aerosol nanoparticles in both hydration and dehydration processes.

72 Early studies showed that the hygroscopicity and solubility of inorganic aerosols, such as
73 ammonium sulfate (AS) and sodium chloride (NaCl), exhibited a strong size dependence (Cheng
74 et al., 2015). Firstly, hygroscopic diameter growth factors of AS, NaCl as well as Na₂SO₄
75 nanoparticles are found to decrease with size decreases in both deliquescence and efflorescence
76 modes (Biskos et al., 2006a, b, c, Lei et al., 2020). Secondly, there is no significant difference in
77 the deliquescence relative humidity (DRH) and the efflorescence relative humidity (ERH) between
78 AS nanoparticles with dry diameters of 6 and 60 nm (Biskos et al., 2006b; Lei et al., 2020), while
79 a pronounced size dependence of the DRH of NaCl is up to 10 % RH between dry diameters of 6
80 and 60 nm (Biskos et al., 2006a). The behaviors of change of phase transition RH and
81 concentrations of Na₂SO₄ are between NaCl and AS (Lei et al., 2020). However, there are very few
82 lab studies on investigating hygroscopicity (*g_f*, DRH, ERH) of organic aerosol nanoparticles in sub-
83 10 nm size range (Wang et al., 2017). It is not clear how the size effect is going to influence the
84 hygroscopic growth of organics, especially with no DRH and ERH. Besides technique limitation
85 (Lei et al., 2020; Wang et al., 2017), another reason is the high diffusion of sub-100 nm organics
86 nanoparticles, especially in the sub-10 nm size range, which results in nanoparticle losses in the
87 HTDMA system (Seinfeld and Pandis, 2006).

88 For inorganic aerosols, the lack of thermodynamic properties of the highly supersaturated aqueous
89 solution nanodroplets (Tang and Munkelwitz, 1994; Tang 1996; Pruppacher and Klett, 1997; Clegg
90 et al., 1998) are limiting predictability of aerosol hygroscopic behavior of sub-10 nm aerosol
91 nanoparticles (Cheng et al., 2015). Also, there are very few thermodynamic data in the highly
92 supersaturated concentration for organic solution, such as levoglucosan and D-glucose (Bhandari



93 and Bareyre. 2003; Chan et al., 2005; Koehler et al., 2006; Peng et al., 2010). By measuring the
94 hygroscopic growth factor of particles of different sizes, we may be able to retrieve these
95 thermodynamic data using a Differential Köhler Analysis (DKA) method (Cheng et al., 2015). This
96 will further help us to understand the new particle formation, transportation, and their interactions
97 between water molecules.

98 In this study, we investigate the hygroscopic growth factors of levoglucosan and D-glucose
99 nanoparticles in size down to 6 nm using a nano-hygroscopic tandem differential mobility analyzer
100 (nano-HTDMA, Lei et al., 2020). Moreover, we compare our measurement data with model
101 prediction from the Extended Aerosol Inorganic Model (E-AIM (standard UNIFAC)) (Clegg et al.,
102 2001; Clegg and Seinfeld, 2006; available online: <http://www.aim.env.ac.uk/aim/aim.php>), the
103 ideal solution theory, and DKA. In addition, the use of the DKA method is to calculate
104 thermodynamic properties (e.g., water activity) of D-glucose nanodroplets in the highly
105 supersaturated concentration range and then to compare with KD-derived data (KD=Kreidenweis),
106 thermodynamic property data from Köhler (Kreidenweis et al., 2005), E-AIM (standard UNIFAC)
107 model, and references, respectively.

108

109 **2 Methodology**

110 **2.1 Experimental methods**

111 **2.1.1 Nanoparticle generation**

112 An electrospray is employed to generate levoglucosan and D-glucose aerosol nanoparticles of 6, 8,
113 10, and 15 nm using 2, 3, 5, and 10 mM aqueous solutions with 50 % volume fraction of a 20 mM
114 ammonium acetate buffer solution (Chen et al., 2005; Wang et al., 2015), respectively. The



115 generated nanoparticles are diluted by mixing with dry and filtered N₂ (1 l/min) and CO₂ (0.1 l/min),
116 bringing aerosol nanoparticles to a dry RH state ($\leq 2\%$ RH). Subsequently, aerosol nanoparticles
117 pass through a Po²¹⁰ neutralizer to reach the equilibrium charge distribution (Wiedensohler 1986).
118 In order to avoid blocking the 25- μm capillary tube in the electrospray with high solution
119 concentration, the aerosol nanoparticles with diameters of 60-100 and 20 nm are generated by an
120 atomizer with a 0.05 and 0.01 wt % organic solution (i.e., levoglucosan and D-glucose),
121 respectively. The chemical substances and their physical properties are characterized in Table S1.
122 These solutions are prepared with distilled and de-ionized million-Q water (resistivity of 18.2 M Ω
123 cm at 298.15 K). Note that, the size selected by the nano-DMA1 should be the right part of peak
124 diameter of the number size distribution of the generated nanoparticles, which minimizes the
125 influence of the multiple charged nanoparticles in hygroscopicity measurements.

126 **2.1.2 Nano-HTDMA setup**

127 Figure 1 shows a schematic of the nano-HTDMA system for investigating the hygroscopic
128 behavior of aerosol nanoparticles, especially in the sub-10 nm size range. The detailed description,
129 calibration, and validation of nano-HTDMA setup have been reported in the previous paper (Lei et
130 al., 2020). In brief, the polydisperse aerosol nanoparticles pass through a silica gel diffusion dryer
131 and a Nafion gas dryer (TROPOS Model ND.070, Length 60 cm). The dry aerosol nanoparticles
132 at RH below 10 % are charged by a Kr⁸⁵ bipolar charger and then enter the first nano-differential
133 mobility analyzer (nano-DMA1, TROPOS Model Vienna-type short DMA), where a monodisperse
134 distribution of nanoparticles with the desired dry diameter is selected. The monodispersed
135 nanoparticles subsequently are exposed to the different RH conditions, which can be set to
136 deliquescence mode (from low RH to high RH for measuring deliquescence) or efflorescence mode
137 (from the high RH to low RH for measuring efflorescence). In the deliquescence mode, the dry



138 aerosol nanoparticles are gradually humidified to a target RH through a Nafion humidifier (NH-1,
139 TROPOS Model ND.070, Length 60 cm). In the efflorescence mode, after deliquescence of aerosol
140 nanoparticles with RH above 97% in a Nafion humidifier (NH-2: Perma Pure Model MH-110,
141 Length 30 cm), the deliquesced aerosol nanoparticles are stepwise dried to a target RH in NH-1.
142 The number size distribution of the humidified nanoparticles is then measured by a nano-
143 differential mobility analyzer (nano-DMA2) at a target RH through a Nafion humidifier (NH-3,
144 Perma Pure Model PD-100) coupled with an ultrafine condensation particle counter (CPC, TSI,
145 model no. 3776). To have the uniform RH within the nano-DMA2 for the accurate determination
146 of hygroscopicity (g_f , DRH, ERH) of aerosol nanoparticles, the difference between the sheath flow
147 RH (RH_s) and the aerosol flow RH (RH_a) upstream of the nano-DMA2 is kept $<1\%$. Most
148 importantly, the temperature difference between inlet and outlet of the nano-DMA2 is maintained
149 below $0.2\text{ }^\circ\text{C}$ during the measurements. In addition, the residence time (e.g., 5.4 s: between the
150 humidifier and the nano-DMA2; 0.07 s: deliquescence for aerosol nanoparticles) is sufficient for
151 water-soluble aerosol nanoparticles to equilibrate with water vapor at a given RH and to occur
152 solid-liquid phase transition (Kerminen 1997; Duplissy et al., 2005; Raoux et al., 2007),
153 respectively.

154 **2.2 Theory and modeling methods**

155 **2.2.1 Köhler theory**

156 The fractional ambient relative humidity ($\frac{RH}{100}$) over a spherical droplet in equilibrium with the
157 environment is described by Köhler equation (Köhler 1936):

$$158 \quad \frac{RH}{100} = a_w \exp\left(\frac{4\sigma_{sol}v_w}{RTG_f D_s}\right) \quad (1)$$



159 where a_w is the water activity of the solution droplet, σ_{sol} is the liquid-vapor interfacial energy of
160 solution droplet (also called surface tension), v_w is the partial molar volume of water, R is the
161 universal gas constant, T is the temperature, G_f is the diameter growth factor of aerosol particles,
162 and D_s is the dry diameter of spherical aerosol particles. The hygroscopic growth curve (G_f vs RH)
163 is estimated based on the assumptions in models or theories described in the following sections
164 (2.2.2-2.2.3).

165 2.2.2 Water activity

166 2.2.2.1 Köhler

167 The expression for water activity used in the simplified Köhler theory assumes the droplet contains
168 n_w moles of water and n_s moles of nonvolatile solute.

$$169 \quad a_w = \frac{n_w}{n_w + v n_s} \quad (2)$$

170 v is the number of ions of solute present in solution ($v=1$ for organic composition). This expression
171 has been applied to the diluted solution (Kreidenweis et al., 2005; Koehler et al., 2006).

172 2.2.2.2 KD

173 The following KD expression is proposed by Kreidenweis et al. (2005) (KD= Kreidenweis) is to
174 present the relationship between a_w and G_f determined in hygroscopic growth measurements:

$$175 \quad G_f = \left[1 + (a + b * a_w + c * a_w^2) \frac{a_w}{1 - a_w} \right]^{\frac{1}{3}} \quad (3)$$

176 The coefficients a , b , and c for organic solution droplet in this study from Lei et al. (2014, 2018)
177 and Estillore et al. (2017) as shown in Table S2.

178 2.2.2.3 DKA



179 Differential Köhler analysis (DKA) proposed by Cheng et al. (2015) is theoretically based on
180 Köhler equation (Köhler, 1936) to determine water activity by measuring hygroscopic growth
181 factors of aerosol nanoparticles in different sizes.

$$182 \quad a_w = \frac{\left(\frac{D_{s1}}{D_{s1}-D_{s2}}\right)}{\left(\frac{D_{s2}}{D_{s1}-D_{s2}}\right)} \frac{s_{w1}}{s_{w2}} \quad (4)$$

183 where $A = \frac{4v_w}{RTg_f}$, s_{w1} and s_{w2} are water saturation ratio measured at the same g_f but at the
184 different initial dry diameters (D_{s1}, D_{s2}), respectively. Using the DKA method can calculate the
185 water activity in the highly supersaturated concentration range.

186 2.2.3 Growth factor

187 2.2.3.1 Ideal solution growth factor

188 For idea solution, the hygroscopic curve can be estimated assuming that the water activity a_w of
189 the solution containing non-volatile and non-electrolyte solute component is equal to the molar
190 ratio of water in the solution. Here, the partial molar volume of pure water in the solution is equal
191 to the molar volume of pure water. Since the hygroscopic diameter growth factor measurements
192 are on volume basis using nano-HTDMA system, the expression of G_f as a function of molar ratio
193 (x_j), molar mass (M_j), and mass density (ρ_j) of components j as follows:

$$194 \quad G_f = \left[\frac{\sum_j \left(x_j M_j \frac{1}{\rho_j} \right)}{\sum_{j,j \neq w} \left(x_j M_j \frac{1}{\rho_j} \right)} \right]^{\frac{1}{3}} \quad (5)$$

195 2.2.3.2 Growth factor prediction by E-AIM model

196 The hygroscopic growth curve of aerosol particles is commonly evaluated from Extend-Aerosol
197 Inorganic Model (E-AIM). It is a thermodynamic equilibrium model used for calculating phase
198 partitioning (gas/liquid/solid). Most importantly, the E-AIM mode can model thermodynamic



199 properties (e.g., water activity, liquid-vapor interfacial energy, and solution density) in the highly
200 supersaturated concentration solution (Dutcher et al., 2013). Also, the standard universal quasi-
201 chemical functional group activity coefficients (UNIFAC) within E-AIM can be used to predict
202 a_w , σ_{sol} , and ρ_{sol} of organic aqueous solution (Fredenslund et al., 1975; Hansen et al., 1991). Note
203 that, The E-AIM calculations based on the standard UNIFAC group contribution method are to
204 predict hygroscopic growth factors of organic aerosol particles. (i.e., E-AIM model (standard
205 UNIFAC)) growth curve as a function of RH is based on Eq. (1) and Eq. (6).).

$$206 \quad G_f = \left(\frac{\rho_s}{x_s \rho_{sol}} \right)^{\frac{1}{3}} \quad (6)$$

207 ρ_s and ρ_{sol} are the density of solute and solution, respectively, and x_s is the solute mass fraction.

208 **2.2.4 Calculation of ratio of gas-phase concentration to the total concentration**

209 2.2.4.1 Calculation of gas-phase concentration (g/cm^3)

$$210 \quad P_A = P_A^0 \exp\left(\frac{2\sigma M}{RT\rho_l R_p}\right) \quad (7)$$

$$211 \quad m_{gas} = \frac{PVM}{RT} \quad (8)$$

212 where P_A and P_A^0 are vapor pressure, equilibrium vapor pressure, respectively. σ , M ,
213 ρ_l , and R_p mean surface tension, molecular weight of the substance, liquid-phase density, and a
214 droplet of radius, respectively. This equation (Eq. 8) establish a relationship between mass in gas
215 phase (m_{gas}) and pressure (P), volume (V), mole mass (M), the ideal gas constant (R), and
216 temperature. Here, Vapor pressure (P) is equal to saturated ratio of levoglucosan vapor multiplied
217 saturated levoglucosan vapor pressure at 293.15 K.

218 2.2.4.2 Calculation of total concentration of generated particles (g/cm^3)



$$219 \quad m_{total} = \frac{dN}{d \log D_p} \times d \log D_p \times \frac{\pi}{6} D_p^3 \times \rho \quad (9)$$

220 where dN is particle concentration, D_p is the particle diameter, and ρ is the density of particles.

221 2.2.4.3 Ratio of the gas-phase concentration to the total concentration of generated particles

$$222 \quad Ratio = \frac{m_{gas}}{m_{total}} \quad (10)$$

223

224 **3 Results and discussion**

225 **3.1 Levoglucosan**

226 **3.1.1 Concentration-dependent water activity of levoglucosan solution**

227 Figure 2 shows KD-derived water activity of aqueous levoglucosan nanoparticles with molality up
228 to 140 mol kg⁻¹. Here, by applying a water activity parameterization model (KD, Eq. 3) to measured
229 growth factors of levoglucosan aerosol nanoparticles with diameters from 20 to 100 nm using a
230 nano-HTDMA. Chan et al. (2005) levitated single particles of ~10 μm levoglucosan at the different
231 RHs in an electrodynamic balance for mass measurements, and reported water activity data for
232 aqueous droplets with molality up to 14 mol kg⁻¹. These water activity data are compared with
233 predictions from the Köhler (Kreidenweis et al., 2005, Eq. 2) and the E-AIM model, respectively.
234 A good agreement between KD-derived water activity and Köhler indicates these aerosol particles
235 are diluted aqueous droplets with molality less than 20 mol kg⁻¹. However, a derivation of Köhler
236 from the KD-derived water activity is observed as the molality increases from 20 to 120 mol kg⁻¹,
237 indicating levoglucosan nanoparticles become the highly supersaturated. Also, a discrepancy exists
238 between KD-derived data and E-AIM model prediction. For DKA-derived water activity
239 calculations, a strong size dependence of the hygroscopic growth factors is needed for aerosol



240 nanoparticles in the different sizes, which is not the case for the hygroscopic measurements of
241 levoglucosan nanoparticles.

242 **3.1.2 Size dependent hygroscopicity of levoglucosan nanoparticles**

243 Black solid squares in Fig. 3 shows the measured humidogram of 100-nm levoglucosan
244 nanoparticles in both deliquescence and efflorescence modes. Levoglucosan nanoparticles uptake
245 water continuously from 5 % to 90 % RH. Also, they gradually release water as RH decreases
246 down to 5 %. The hygroscopic growth factors of levoglucosan nanoparticles in deliquescence and
247 efflorescence modes overlap. For example, the hygroscopic growth factors of levoglucosan
248 nanoparticles at 80 % RH, 87 % RH are 1.16, 1.23, respectively, in the deliquescence mode, very
249 close to the corresponding values in the efflorescence mode are 1.15, 1.22 (shown in Fig. S1),
250 suggesting that growing and shrinking of particles are in equilibrium with water vapor surrounding
251 moisture conditions. No prompt phase transitions of levoglucosan nanoparticles are observed in
252 both deliquescence and efflorescence modes. A similar non-prompt phase transition of
253 levoglucosan nanoparticles was observed in the previous studies (Mochida and Kawamura, 2004;
254 Chan et al., 2005; Svenningsson et al., 2006; Mikhailov et al., 2008; Lei et al., 2014, 2018). This
255 study is in good agreement with most of reference results, but there is a difference in the
256 hygroscopic growth factor of levoglucosan nanoparticles between Mikhailov et al. (2008) and this
257 study. The reason is that Mikhailov et al. (2008) used minimum mobility diameter measured in the
258 hydration and dehydration modes instead of the initial dry mobility diameter measured in the
259 hydration or dehydration modes to calculate the hygroscopic growth factor of levoglucosan
260 nanoparticles, which could lead to the higher hygroscopic growth factors of levoglucosan
261 nanoparticles than that of this study.



262 Figure 4 shows measured size-resolved hygroscopic growth factors of levoglucosan nanoparticles
263 against RH up to 90 %. There is a weak size dependence of hygroscopic growth factors of
264 levoglucosan nanoparticles with diameters down to 20 nm in both deliquescence and efflorescence
265 modes. E.g., a slight difference in hygroscopic growth factor between 100 and 20-nm levoglucosan
266 nanoparticles is ~ 0.02 at 88 % RH. In addition, E-AIM (standard UNIFAC) model and ideal
267 solution theory are used to predict our measurement results as shown in Fig. 4a and 4b, respectively.
268 E-AIM (standard UNIFAC) model is applied to estimate the hygroscopic growth of organic aerosol
269 nanoparticles according to UNIFAC group contribution method. Ideal solution theory is used to
270 describe water absorption of the ideal/diluted aqueous solution nanodroplets. Due to consideration
271 of Kelvin effect in model and theory, these model predictions are expected to present a size
272 dependence of growth factors of nanoparticles in size from 100 down to 20 nm. For example, as
273 shown in Fig. 4a, the thermodynamic equilibrium model (E-AIM (standard UNIFAC)) shows a
274 weak size dependence of the growth factors of levoglucosan nanoparticles with diameters 100, 60,
275 and 20 nm at low RH but a strong size dependence of growth factors at RH above 70 %. However,
276 the calculated growth factors of nanoparticles down to 20 nm in size are deviated from the
277 measured growth factors of levoglucosan nanoparticles at RH below 80 %, which is similar to the
278 observation of 100-nm levoglucosan hygroscopicity prediction from previous studies (Lei et al.,
279 2014, 2018). Lei et al. (2014, 2018) explained that the possible reason for this discrepancy is that
280 the E-AIM (standard UNIFAC) predictions are not suitable for organic compounds with the
281 strongly polar functional groups in series (Fredenslund et al., 1975; Hansen et al., 1991). Since
282 levoglucosan contains three OH groups in series, thus, thermodynamic properties (e.g., water
283 activity, surface tension) in E-AIM (standard UNIFAC) are more likely to be invalid for
284 levoglucosan system. However, a good agreement of growth factors of levoglucosan with



285 diameters 100, 60, and 20 nm is observed between measurements and predictions by ideal solution
286 theory as shown in Fig. 4b.

287 The hygroscopic growth for sub-20 nm levoglucosan nanoparticles cannot be determined with the
288 nano-HTDMA system because we observed significant evaporation of the dry particles in the
289 measurement system. Figure 5a-b shows the measured peak diameter of normalized size
290 distribution scanned by the nano-DMA2 and nano-DMA1 for sub-20 nm levoglucosan
291 nanoparticles. It is obvious that the size of nanoparticles in DMA2 is smaller than that in DMA1,
292 corresponding to a decrease of 22% to 50% of 15-nm and 10-nm levoglucosan nanoparticles,
293 respectively, indicating significant evaporation of these small levoglucosan nanoparticles in the
294 system. To test this hypothesis, we estimate the ratio of gas-phase concentration to the total
295 concentration of the generated levoglucosan nanoparticles in the different sizes. Firstly, the
296 calculated gas-phase concentration of levoglucosan is based on the Kelvin equation and ideal gas
297 equation (Eq. 7&8, Sect. 2.2.4). Figure 5c shows the vapor saturation ratio of levoglucosan as
298 nanodroplet diameter increases from 0 to 100 nm. The inset in Fig. 5c is an enlarged view (black
299 open square) of vapor saturation ratio of levoglucosan as a function of nanodroplet diameters below
300 20 nm. The Kelvin effect on levoglucosan nanodroplets is very weak at diameters above 20 nm,
301 but significantly enhanced for levoglucosan nanodroplets with diameters below 20 nm. Secondly,
302 the total concentration of levoglucosan particles is estimated by Eq. (9). Thus, the results of the
303 ratio of gas-phase concentration (m_g) to the total concentration (m_t) have been shown in Fig. 5d and
304 Table S3 for levoglucosan nanoparticles in the diameter range from 10 to 100 nm. It shows a slight
305 increase in the calculated ratio (m_g/m_t) for levoglucosan aerosol nanoparticles with diameters from
306 100 down to 20 nm. However, the ratio of gas-phase concentration to the total concentration is
307 dramatically enhanced for sub-20 nm levoglucosan aerosol nanoparticles, which is consistent with



308 measurement observations, indicating the larger impact of evaporation of sub-20 nm levoglucosan
309 nanoparticles on the measurement results.

310 **3.2 D-glucose**

311 **3.2.1 Concentration-dependent water activity of D-glucose solution**

312 Figure 6 shows the DKA-derived water activity of aqueous D-glucose nanodroplets with diameters
313 from 6 nm to 100 nm with molality up to 1000 mol kg⁻¹ (Cheng et al., 2015, Eq. 4). Here, by
314 comparing with KD-derived water activity, Köhler, E-AIM model, and observation from literatures
315 (Comesaña et al., 2001; Peng et al., 2001; Bhandari and Bareyre, 2003; Ferreira et al., 2003), a
316 good agreement between them is observed in the solute concentration below 20 mol kg⁻¹. However,
317 there is a disagreement between water activity results in the highly supersaturated concentration
318 range (> 20 mol kg⁻¹).

319 **3.2.2 Size dependent hygroscopicity of D-glucose nanoparticles**

320 Figure 7 shows the measured hygroscopic growth factors of 100-nm D-glucose nanoparticles as a
321 function of RH. No significant difference in the hygroscopic growth factor of 100-nm D-glucose
322 nanoparticles is found between deliquescence and efflorescence measurement modes (Fig. S2). For
323 example, the measured growth factors of D-glucose nanoparticles at 81 % RH, 88 % RH are 1.16,
324 1.25 in the deliquescence mode, respectively, in good agreement with results in the efflorescence
325 mode ($g_f=1.17$ at 81 % RH, $g_f=1.26$ at 88 % RH shown in Fig. S2). Also, measured hygroscopic
326 growth factors of 100-nm D-glucose are consistent with results from previous studies (Mochida
327 and Kawamura, 2004; Suda and Petters, 2013; Estillore et al., 2017; Mikhailov and Vlasenko,
328 2020). No prompt phase transitions are observed during in both deliquescence and efflorescence
329 measurement modes. Estillore et al. (2017) observed a slightly amorphous structure of D-glucose



330 particles under ambient conditions using an atomic force microscopy and D-glucose particles grow
331 through gradual water uptake where the solid-liquid phase transition is non-discrete. Thus, a
332 continuous growth/shrink of diameter in both deliquescence and efflorescence modes is explained
333 by the lack of crystallization of D-glucose nanoparticles upon drying to low RH below 10%.

334 Figure 8a shows the size dependence of measured hygroscopic growth factors of D-glucose
335 nanoparticles in the size range from 6 to 100 nm, with differences in growth factor up to 0.14
336 between 100-nm and 6-nm nanoparticles at 90 % RH (Fig. S2). A weak size dependence on the
337 hygroscopic growth factors of D-glucose nanoparticles is observed in the size range from 20 to 100
338 nm, which is similar to observation for levoglucosan nanoparticles with diameters down to 20 nm.
339 However, there is a strong size-dependent growth factor of D-glucose nanoparticles with diameters
340 from 6 to 20 nm, especially at high RH, i.e., $RH > \sim 80\%$. There is no evident difference in
341 hygroscopic growth factors of D-glucose nanoparticles at RH below 80 % in size range from 6 to
342 100 nm. To have a clear observation for size dependence of the hygroscopic growth factor of D-
343 glucose aerosol nanoparticles with diameters down to 6 nm, Fig. 8b shows the change in the
344 hygroscopic growth factor of D-glucose aerosol nanoparticles with diameters from 100 down to 6
345 nm at 87 % RH. The hygroscopic growth factor of D-glucose nanoparticles is almost unchanged
346 with diameters from 20 to 100 nm. However, a markedly increase in the hygroscopic growth factor
347 of D-glucose aerosol nanoparticles is observed as size increases from 6 to 20 nm. E-AIM model
348 predict well the measured hygroscopic growth factors of D-glucose with diameters smaller than 15
349 nm at 87 % RH, while ideal solution theory agrees with hygroscopic measurement results of D-
350 glucose with diameters higher than 60 nm at the same RH. The use of DKA methods leads to a
351 good agreement between measurements and model predictions.



352 The measured hygroscopic growth factors of D-glucose nanoparticles with diameters of 6 and 100
353 nm are compared with the model and theory shown in Fig. 9, Fig. S3, and Fig. S4, respectively.
354 Note that, E-AIM (standard UNIFAC) model prediction is optimized for organic compounds with
355 lesser polar groups in series, i.e., intramolecular interaction, such as hydrogen bond between polar
356 groups, may result in model prediction inaccuracy. Ideal solution theory is applied to predict the
357 hygroscopic growth factor of organics in the ideal solution. Figure 9a and Fig. S3 show that the
358 measured growth factors of 100-nm D-glucose nanoparticles are lower than predicted growth
359 factors from E-AIM (standard UNIFAC) model, especially at RH below 85 %. Also, E-AIM
360 (standard UNIFAC) model could predict well the measured hygroscopic growth factor of 6-nm D-
361 glucose aerosol nanoparticles at RH above 40 % shown in Fig. 9a and Fig. S3. The possible reason
362 for discrepancies between E-AIM (standard UNIFAC) model and measurements is inaccurate
363 thermodynamic parameters (e.g., water activity, surface tension) estimated by the E-AIM (standard
364 UNIFAC) model without consideration intramolecular interaction. D-glucose contains five OH
365 groups in series, hydrogen bond could potentially exist and affects the E-AIM (standard UNIFAC)
366 model-measurement agreement for D-glucose aerosol nanoparticles system. Using ideal solution
367 theory is to predict the hygroscopic curve of D-glucose nanoparticles with diameters of 6-100 nm
368 shown in Fig. 9b and Fig. S3. There is a good agreement between measured growth factors of 100-
369 nm D-glucose and ideal theory predictions. This suggests that thermodynamic parameters (e.g.,
370 water activity, surface tension, and solution density) assumed by the ideal solution theory are
371 accurate to use in Eq. (1) and (2) for predicting the hygroscopic curve of D-glucose nanoparticles
372 with large sizes (e.g., 60, 100 nm). However, an underestimation of growth factors of 6-nm D-
373 glucose nanoparticles has been shown in Fig. 9b and Fig. S3 by ideal solution theory prediction at
374 RH above 30 %. The possible reason is the unfavorable assumption of ideal solution theory. As D-
375 glucose size decreases from 20 to 6 nm, D-glucose nanodroplets could be highly supersaturated in



376 concentration compared to the dilution solution. However, the current thermodynamic models (e.g.,
377 E-AIM) mostly rely on the concentration-dependent thermodynamic properties (such as water
378 activity) derived from the measurements of large aerosol particles or even bulk samples (Tang and
379 Munkelwitz, 1994; Tang, 1996; Pruppacher and Klett, 1997; Clegg et al., 1998). They are thus
380 difficult or impossible to apply to describe the hygroscopic behavior of sub-10 nm nanoparticles,
381 which can often be supersaturated in concentration compared to bulk solutions (Cheng et al., 2015;
382 Wang et al., 2018). Thus, nanosize effect on these thermodynamic properties have been taken into
383 account in the models and theories (Cheng et al., 2015). Combination of DKA methods and
384 hygroscopic measurements of aerosol nanoparticles in the different sizes can use to determine the
385 thermodynamic properties (e.g., water activity) in the highly supersaturated concentration range
386 (Cheng et al., 2015). Therefore, as shown in Fig. 9c and Fig. S4, the use of the DKA method leads
387 a good agreement with the measured hygroscopic growth factors of Glucose nanoparticles with
388 diameters from 100 down to 6 nm.

389

390 **4 Conclusions**

391 In this study, we investigate the hygroscopic behavior of levoglucosan and D-glucose nanoparticles
392 with diameters down to 6 nm using a nano-HTDMA. Due to the larger impact of evaporation of
393 sub-20 nm levoglucosan nanoparticles in the nano-HTDMA system, we measure hygroscopic
394 growth factor of levoglucosan with diameters down to 20 nm. There is a weak size dependence of
395 hygroscopic growth factor of levoglucosan and D-glucose with diameters down to 20 nm, while a
396 strong size dependence of the hygroscopic growth factor of D-glucose has been clearly observed
397 in the size range from 6 to 20 nm. No prompt phase transitions occur in both deliquescence and
398 efflorescence modes for both levoglucosan and D-glucose nanoparticles. By comparing with the



399 KD-derived water activity, Köhler, E-AIM model, and DKA-derived data, the predicted water
400 activity of aqueous organic solution (levoglucosan and D-glucose) is consistent with observation
401 data from references in the low solute concentration ($< 20 \text{ mol kg}^{-1}$) but failed in the solute
402 concentration ($> 20 \text{ mol kg}^{-1}$). In addition, ideal solution theory predicts well the hygroscopic
403 behavior of two specific organics with diameters higher than 60 nm (levoglucosan and D-glucose),
404 while hygroscopic growth factor of D-glucose down to 6 nm in size is in good agreement with E-
405 AIM (standard UNIFAC) model prediction at high RH. The use of the DKA method leads to a
406 good agreement with measured hygroscopic growth factor of glucose nanoparticles with diameters
407 from 100 down to 6 nm.

408 Biomass burning is an important source of anthropogenic atmospheric aerosols. Aerosol particles
409 in the biomass burning smoke enriched with hygroscopic behavior are suggested to act as efficient
410 CCN. It is well known that aerosol population can appear as externally mixed or internally mixed
411 (homogeneously internally, core-shell internally) in the biomass burning processes. The mixing
412 structure has an important effect on the hygroscopic behavior of aerosol particles, especially for
413 sub-100 nm size range. We will be able to investigate the effect of the mixing state on the
414 hygroscopic behavior of aerosol nanoparticles from biomass burning in different sizes. This will
415 further help us to understand their interaction with water vapor.

416

417 **Data availability**

418 Reader who are interested in the data should contact Yafang Cheng (Yafang.cheng@mpic.de).

419 **Competing interests**



420 Some authors are members of the editorial board of journal Atmospheric Chemistry Physics. The
421 peer-review process was guided by an independent editor, and the authors have also no other
422 competing interests to declare

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427 **Author contributions:** Y.C. and H.S. designed and led the study. T.L. performed the experiments.
428 All co-authors discussed the results and commented on the manuscript. T.L. wrote the manuscript
429 with input from all co-authors

430

431 **4 References**

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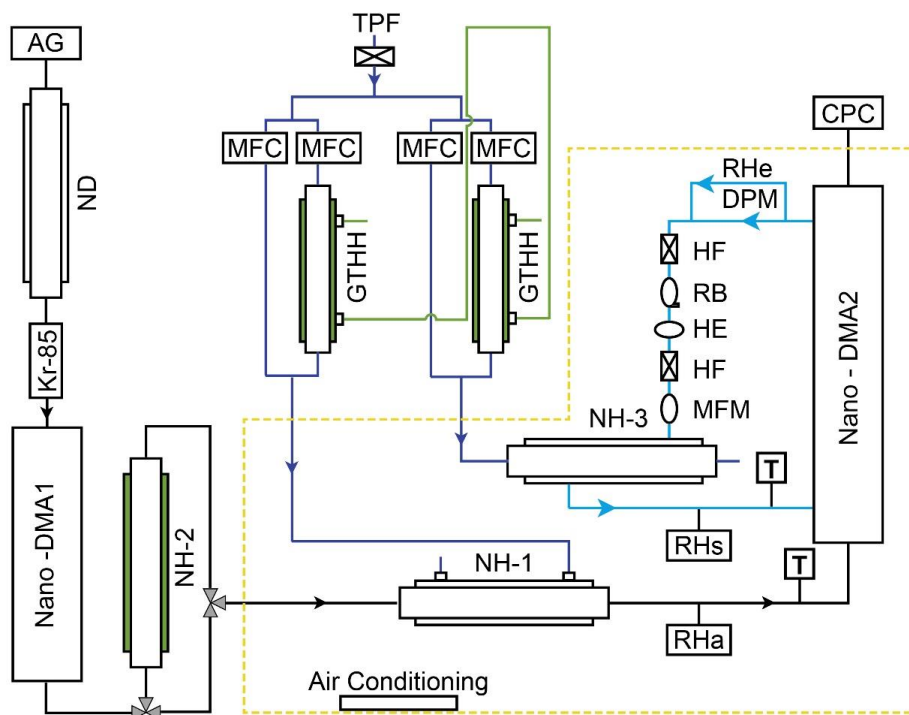
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678 **Figure 1.** Experimental setup of the nano-HTDMA. Here, AG: aerosol generator (aerosol atomizer or electrospray);
679 ND: naphion dryer; Kr-85: Krypton source aerosol neutralizer; Nano-DMA: nano differential mobility analyzer; TPF:
680 total particle filter; HF: hydrophobic filter; MFC: mass flow controller; MFM: mass flow meter; RB: recirculation
681 blower; DPM: dew point mirror; GTHH: Gore-Tex humidifier and heater; NH: naphion humidifier; HE: heat exchanger;
682 CPC: condensation particle counter; Black line: aerosol line; Blue line: sheath line; Royal blue line: humidified air;
683 Green line: MilliQ water (resistivity of 18.2 MΩ cm at 298.15 K). RH_a and RH_s (measured by RH sensors) represent
684 the RH of aerosol and sheath flow in the inlet of nano-DMA2, respectively. RH_e (measured by dew point) represents
685 the RH of excess air. T represent the temperature of aerosol and sheath flow in the inlet of nano-DMA2, respectively.

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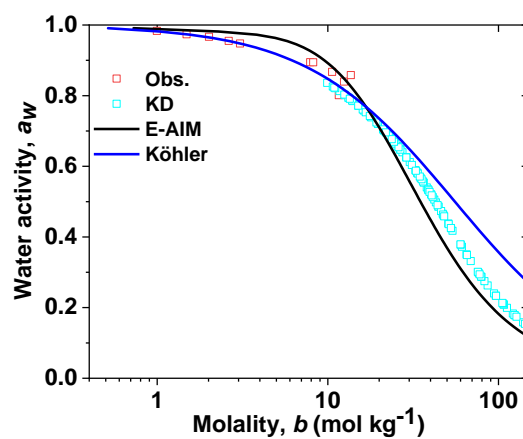
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693 **Figure 2.** Concentration-dependent water activity (a_w) of levoglucosan solution. The KD-derived a_w (KD=Kreidenweis,
694 cyan open square) is compared with observations (red open square), E-AIM (Extend-Aerosol Inorganic Model, black
695 line), and a_w model (Köhler, blue line).

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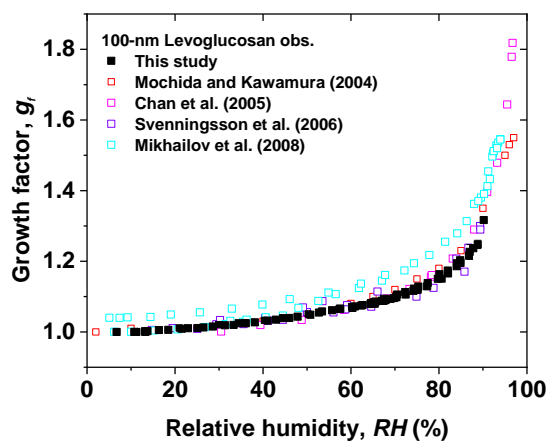
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714 **Figure 3.** Hygroscopic diameter growth factor (G_r) of levoglucosan particles with dry diameter of 100 nm in both
715 deliquescence and efflorescence mode processes (black solid square). The measured data compared with literature data
716 from Mochida and Kawamura (2004) in both deliquescence and efflorescence modes (red open square), Chan et al.
717 (2005) in the deliquescence mode (magenta open square), Svenningsson et al. (2006) in the deliquescence mode (violet
718 open square), and Mikhailov et al. (2008) in both deliquescence and efflorescence modes (cyan open square).

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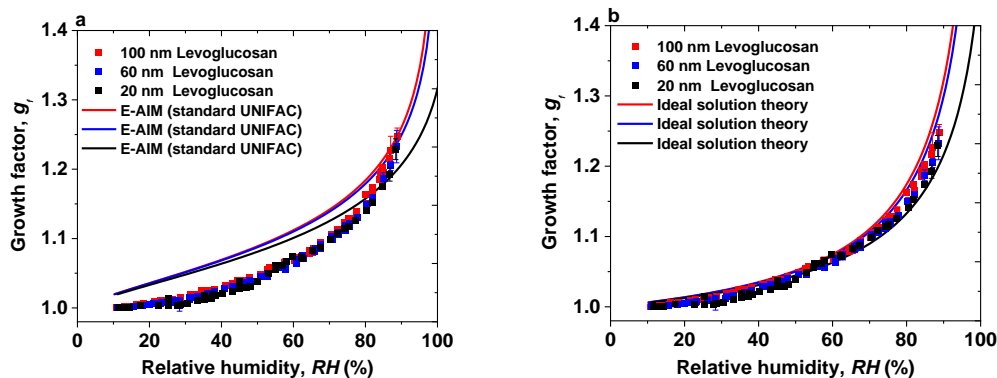
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729 **Figure 4.** Hygroscopic diameter growth factor (G_f) of levoglucosan particles with dry diameter of 100 nm (red square),

730 60 nm (blue square), and 20 nm (green square). Köhler model curves are based on: (a) E-AIM (standard UNIFAC)

731 (100 nm: red, 60 nm: blue, 20 nm: green line), (b) ideal solution theory (100 nm: red, 60 nm: blue, 20 nm: green line).

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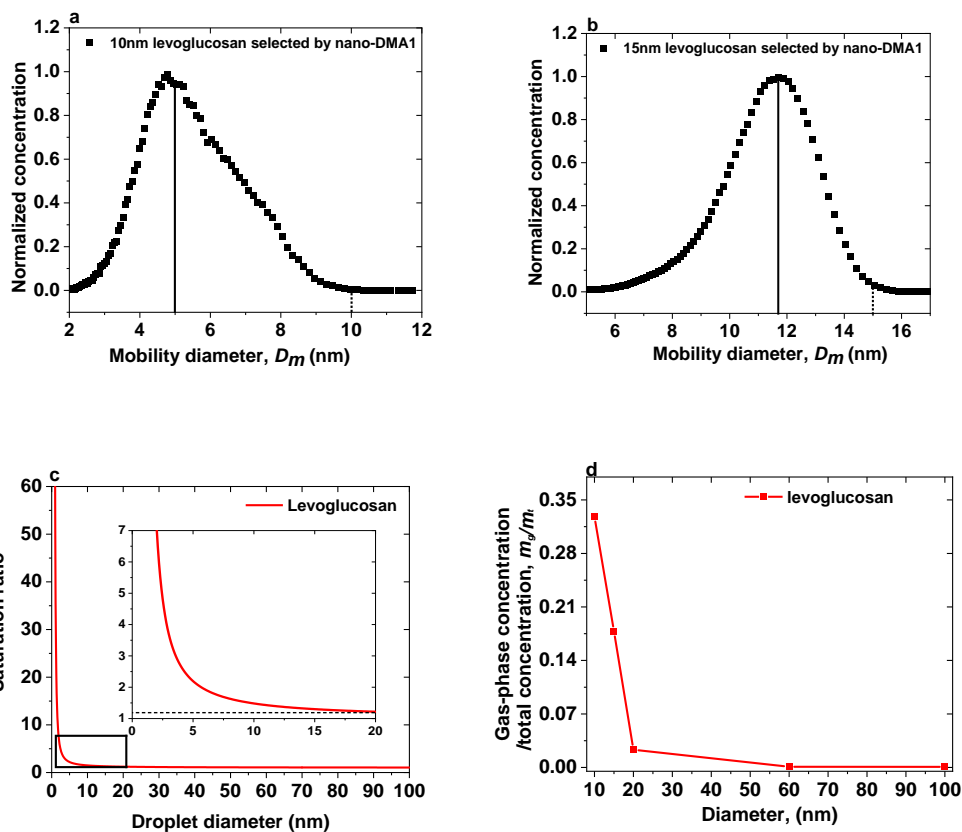
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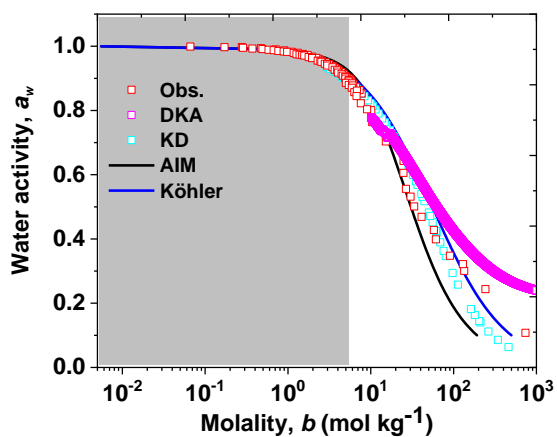
747 **Figure 5.** The normalized size distributions scanned by nano-DMA2 for: (a) 10 nm and (b) 15-nm levoglucosan at 10%
748 at 298K. The dotted lines mark the diameters of the monodispersed nanoparticles selected by the nano-DMA1. The
749 back solid lines mark the peak diameters from the normalized size distributions scanned by the nano-DMA2. (c) Vapor
750 saturation ratio of levoglucosan as a function of nanodroplet diameter according to the Kelvin equation. The diameter
751 range 0-20 nm for the saturation ratio of levoglucosan particles is shown as an inset. The value of surface tension of
752 pure levoglucosan is $0.0227104 \text{ [J m}^{-2}\text{]}$. (d) The ratio of gas-phase concentration (m_g) to the total concentration (m_t) of
753 levoglucosan nanoparticles against diameter.

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759 **Figure 6.** Concentration-dependent water activity (a_w) of levoglucosan solution. The DKA-derived a_w (Differential
760 Köhler Analysis, magenta open square) is compared with observations (red open square), E-AIM (Extend-Aerosol
761 Inorganic Model, black line), a_w model (Köhler, blue line), and parameterization model for a_w (KD=Kreidenweis, cyan
762 open square). The light grey shaded areas mark the sub-saturated concentration with respect to bulk solution.

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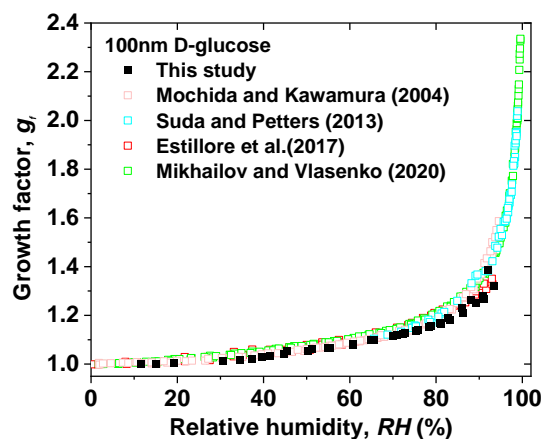
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773 **Figure 7.** Hygroscopic diameter growth factor (G_f) of D-glucose particles with dry diameter of 100 nm in both
774 deliquescence and efflorescence modes (black solid square). The measured data compared with reference data from
775 Mochida and Kawamura (2004) in both deliquescence and efflorescence modes (pink open square), Suda and Petters,
776 (2017) in deliquescence mode (violet open square), Estillore et al., (2017) in both deliquescence and efflorescence
777 modes (red open square), and Mikhailov and Vlasenko (2020) in both deliquescence and efflorescence modes (green
778 open square).

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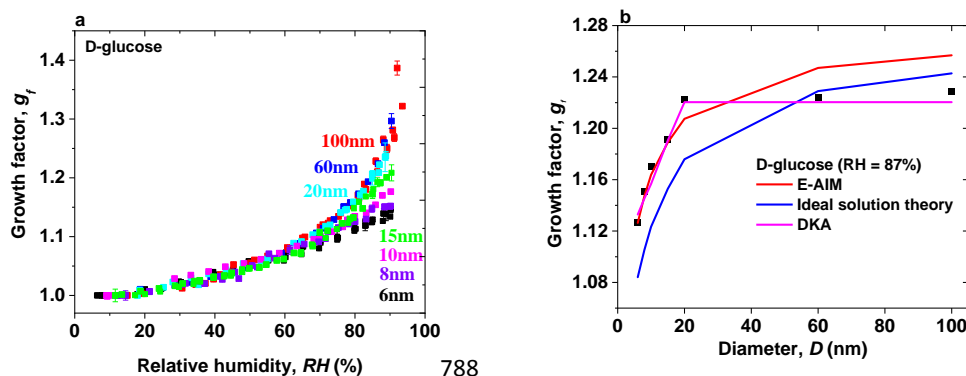
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789 **Figure 8.** (a) Hygroscopic diameter growth factor (G_f) of D-glucose nanoparticles with dry diameters of 100 nm (red
790 square), 60 nm (blue square), 20 nm (cyan square), 15 nm (green square), 10 nm (pink square), 8 nm (royal square),
791 and 6 nm (black square). (b) Hygroscopic diameter growth factor (G_f , black square) of D-glucose nanoparticles with
792 dry diameters from 6 to 100 nm at 87% RH. The measured hygroscopic growth factors of D-glucose nanoparticles
793 with diameters from 100 down to are compared with E-AIM model (red line), ideal solution theory (blue line), and
794 DKA prediction (pink line).

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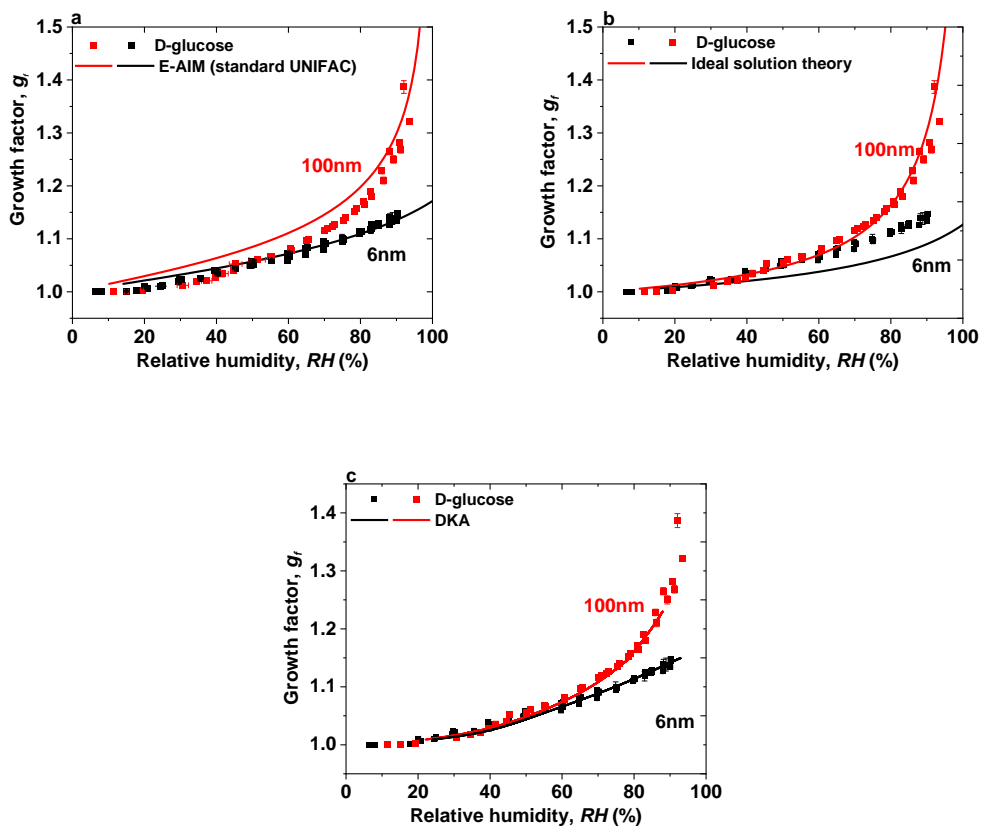
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807 **Figure 9.** Hygroscopic diameter growth factor (G_f) of D-glucose nanoparticles with dry diameters of 100 nm (red
808 square) and 6 nm (black square). Köhler model curves are based on: (a) AIM (standard UNIFAC), (100 nm: red, 6 nm:
809 black line), (b) ideal solution theory (100 nm: red, 6 nm: black line), and (c) DKA mode (100 nm: red, 6 nm: black
810 line).

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