

Measurement report: Intensive biomass burning emissions and rapid nitrate formation drive severe haze formation in Sichuan basin, China: insights from aerosol mass spectrometry

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Abstract

Haze pollution is a severe environmental problem, caused by elevation of fine particles (aerodynamic diameter $< 2.5 \mu\text{m}$, $\text{PM}_{2.5}$), which is related to secondary aerosol formation, unfavourable synoptic conditions, regional transport, etc. The regional haze formation in basin areas, along with intensive emission of precursors, high relative humidity and poor dispersion conditions, is still limitedly understood. In this study, a field campaign was conducted to investigate the factors resulting in haze formation in Sichuan Basin (SCB) during winter in 2021. The fine aerosol chemical composition was characterised by using a time-of-flight aerosol chemical speciation monitor (ToF-ACSM), which also provided detailed information on the sources for organic aerosols (OA). The average concentration of non-refractory fine particles ($\text{NR-PM}_{2.5}$) was $98.5 \pm 38.7 \mu\text{g}/\text{m}^3$, and organics aerosols, nitrate, sulphate, ammonium, and chloride took up 40.3, 28.8, 10.6, 15.3 and 5.1 % of $\text{PM}_{2.5}$. Three factors, including a hydrocarbon-like OA (HOA), a biomass burning OA (BBOA), and an oxygenated OA (OOA), were identified by applying the positive matrix factorisation (PMF)

analysis, and they constituted 24.2, 24.2 and 51.6 % of OA on average, respectively. Nitrate formation was promoted by gas-phase and aqueous-phase oxidation, while sulphate was mainly formed through aqueous-phase process. OOA showed strong dependence on Ox, demonstrating the contribution of photooxidation to OOA formation. OOA concentration increased as aerosol liquid water content (ALWC) increased within 200 $\mu\text{g}/\text{m}^3$ and kept relatively constant when ALWC > 200 $\mu\text{g}/\text{m}^3$, suggesting the insignificant effect of aqueous-phase reactions on OOA formation. Among the three haze episodes identified during the whole campaign, the driving factors were different: the first haze episode (H1) was driven by nitrate formation through photochemical and aqueous-phase reactions, and the second haze episode (H2) was mainly driven by the intense emission of primary organic aerosols from biomass burning and vehicle exhaust, while the third haze episode (H3) was mainly driven by reactions involving nitrate formation and biomass burning emission. HOA and BBOA were scavenged, while OOA, nitrate, and sulphate formation were enhanced by aqueous-phase reactions during fog periods, which resulted in the increase of O:C from pre-fog to post-fog periods. This study revealed the factors driving severe haze formation in SCB, and implied the benefit of controlling nitrate as well as intense biomass burning and vehicle exhaust emission to the mitigation of heavy aerosol pollution in this region.

1. Introduction

Although a series of emission reduction strategies had been implemented to mitigate severe haze pollution over a decade in China, the fine particle (particulate matter with aerodynamic diameter less than 2.5 μm , $\text{PM}_{2.5}$) pollution events still occurred, especially during autumn and winter (Ding et al., 2019; Zhao et al., 2020; Yan et al., 2020). Haze formation was affected by the intense emission of primary particles, rapid formation of secondary aerosols, stagnant meteorological conditions, and topography. The interplay among these factors usually makes haze formation complex (Bao et al., 2019; Guo et al., 2014; Zheng et al., 2015), which resulted in difficulties in making air pollution mitigation strategies.

Many studies showed that the rapid increase of secondary aerosols (including secondary inorganic/organic aerosols, i.e., SIA and SOA) played an important role in haze formation (Huang et al., 2014; Wu et al., 2022). Nitrate, sulphate, and ammonium, mainly formed through photochemical oxidation and aqueous-phase reactions in the atmosphere, were the major component of SIA. Previous studies demonstrated that the substantial formation of nitrate and sulphate exacerbated severe haze development (Wang et al., 2020; Liu et al., 2020). For example, Zheng et al. (2016) investigated the factors driving haze formation in Beijing in 2013, and the results showed that the enhanced production of sulphate and nitrate led to their increased contribution to $\text{PM}_{2.5}$ as the pollution level increased, while the contribution of organic matter (OM) decreased. The emission of SO_2 had been reduced dramatically over the past ten years in China; however, NO_x did not show a significant reduction (Zhou et al., 2021; Liu et al., 2019). Thus, the haze formation was found to be mainly driven by the reactions generating nitrate in recent year (Fu et al., 2020; Li et al., 2018; Zhai et al., 2021).

Compared to SIA, the formation process of SOA was more complicated (Chen et al., 2017). For instance, SOA could be formed through the gas-phase photooxidation of volatile organic compounds (VOCs), which was affected by temperature, relative humidity (RH), and total organic aerosol mass loadings (Clark et al., 2016; Hinks et al., 2018; Donahue et al., 2006). SOA could also be formed through the oxidation of water-soluble VOCs or organic products of gas-phase photochemistry, which was observed in the field and laboratory studies (Liu et al., 2018; Chen et al., 2015). Besides, the aging of primary organic aerosols (POA) by oxidants in the atmosphere also

contributed to SOA (Wang et al., 2021; Gilardoni et al., 2016). For instance, the organic aerosols emitted from biomass burning were oxidised through the photochemical oxidation process driven by OH radicals which might take place in both gas and aqueous phases (Paglione et al., 2020). The variations of regional and seasonal emissions might also affect the formation of SOA (Dai et al., 2019; Sun et al., 2016). Thus, it is of vital importance to consider various factors when investigating SOA formation.

Similar to the city clusters such as Beijing, Shanghai and Guangzhou in North China Plain (NCP), Yangtze River Delta (YRD) and Pearl River Delta (PRD), the Chengdu-Chongqing city cluster, located in the Sichuan Basin (SCB) in Southwest China, is also suffering severe haze pollution (Tao et al., 2017; Tan et al., 2019). Many efforts had been made to investigate the temporal variation, chemical composition and formation mechanism of PM_{2.5} during the evolution of haze episodes in NCP, YRD and PRD (Peng et al., 2021; Sun et al., 2016; Zhang et al., 2015; Yan et al., 2020), whereas, only a few studies focused on the factors resulting in haze formation in SCB (Zhang et al., 2019; Song et al., 2019). These studies mainly focused on the formation of inorganic species in PM_{2.5}, and the results showed that the rapid formation of SIA under high RH conditions promoted the increase of PM_{2.5}. However, further studies on the characteristics of OA, including the temporal evolution, sources and formation pathways, are still lacking.

The area of SCB is ~260,000 km² and its population is ~110 million, making it an important developing region in Southwest China. The basin is a subtropical expanse of low hills and plains and is completely encircled by high mountains and plateaus, which is unfavourable for either horizontal transport or vertical diffusion. The atmosphere in SCB was characterised by persistently high relative humidity and low wind speed all year round. The haze evolution in SCB might be different from those in other regions due to its unique topography, meteorological conditions and emission sources, which remained unclear. Therefore, comprehensive studies are needed to reveal the key factors contributing to haze formation in the basin (Wang et al., 2018).

The Time-of-Flight Aerosol Chemical Speciation Monitor (ToF-ACSM) is a robust and highly sensitive instrument that provides real-time characterisation and composition of non-refractory PM₁/PM_{2.5} (NR-PM₁/PM_{2.5}) depending on the type of the aerodynamic lens used (Liu et al., 2007; Xu et al., 2017). Compared to the Aerodyne aerosol spectrometer (AMS), the ToF-ACSM is more compact and cheaper. However, the ToF-ACSM does not figure particle sizing. Compared to the

quadrupole-ACSM (Q-ACSM), the ToF-ACSM has better mass resolution and detection limits (Fröhlich et al., 2013). Although the ToF-ACSM had not been widely deployed in field observations as AMS/Q-ACSM did in a number of different sites over China, it had successfully characterised the variation of $PM_{10}/PM_{2.5}$ and the sources of organic aerosols in the cities within NCP, YRD and PRD (Ge et al., 2022; Sun et al., 2020; Guo et al., 2020). Despite this, few studies applied a Q/ToF-ACSM or AMS in SCB. The responses of aerosol chemistry to meteorology and emissions therein remained poorly understood. To the authors' best knowledge, this is the first time that the ToF-ACSM has been deployed in the field observations within SCB.

The present study aims to uncover the factors driving severe haze formation during winter in SBC. The characteristics of NR- $PM_{2.5}$, including mass concentration, chemical composition, temporal and diurnal variation, were described in detail. The OA sources resolved by the positive matrix factorisation (PMF) analysis and elemental composition were investigated to elucidate the possible aging process of OA. The formation mechanism for SIA and SOA was also studied based on the dependencies of nitrate, sulphate and OOA with odd oxygen ($Ox = O_3 + NO_2$) and aerosol liquid water content. In addition, the evolution process of chemical composition and elemental composition were summarised during different haze and fog episodes to investigate the main factors exacerbating haze pollution. The data and results would fill the knowledge gap on the factors affecting haze formation in SCB and provide a scientific basis for future air pollutant mitigation strategies in this unique basin.

2. Material and methods

2.1 Sampling site

The field study was carried out from 18 December 2021 to 22 January 2022 at a site (30°55'59" N, 104°12'25"E) in Deyang, and the site was near the northern border of Chengdu, the capital of Sichuan province, China (as shown in Fig. 1). The site was located in a typical suburban region surrounded by several food, aluminium alloy, and building materials factories. There was a main road ~200 m south of the site. The north and west of the site were croplands and villages. Thus, the site was affected by traffic emissions, biomass burning and industrial pollutants. The study at this site would help to understand the characteristics of regional haze pollution and the influence of

regional transport between urban and suburban areas on haze formation

2.2 Instrumentation

During the campaign, the mass loadings of non-refractory organics, nitrate, sulphate, ammonium and chloride in $PM_{2.5}$ were obtained online by a ToF-ACSM. The gaseous species, including NO , NO_2 , O_3 , SO_2 , CO and CO_2 , were continuously measured by the Thermo gas analysers (model 43i, 49i, 42i, 48i and 410i). The meteorological parameters, including temperature (T), relative humidity (RH), solar radiation (SR), wind speed (WS) and wind direction (WD), were obtained by an automatic weather station (Luff WS501-UMB).

For the NR- $PM_{2.5}$ measurement, the ambient air was pumped into the sampling line via a vacuum pump, and the flow rate was maintained at 3 L/min with a flow meter. Before being sampled by the ToF-ACSM, the ambient air would go through a $PM_{2.5}$ cyclone (URG-2000-30ED, USA) to remove coarse particles, then was dried by a Nafion drier. The sampling line was assembled using 3/8" stainless steel tubes coated with the sponge to prevent water condensation.

The working principle of ToF-ACSM had been described in detail in previous studies (Ng et al., 2011; Fröhlich et al., 2013). Briefly, a 100 μm critical orifice and an aerodynamic lens were settled in the front inlet system to focus the ambient particles into a concentrated and narrow beam with a flow rate of ~ 0.084 L/min. It should be mentioned that a $PM_{2.5}$ lens was used during the whole campaign, which made the $PM_{2.5}$ measurement available (Xu et al., 2017).

The particle beam was transmitted through a vacuum chamber in which the gas-phase species were separated from the particle beam. At the end of the vacuum chamber, the particles were thermally vaporised at ~ 600 °C by impacting on a resistively heated porous tungsten surface. There the non-refractory constituents in the particles flash vaporise and are subsequently ionised by a 70 eV electron impact. Finally, the ions were extracted by a set of ion optics and detected by the time-of-flight mass spectrometer.

The ToF-ACSM was operated with a time resolution of 10 mins and scanned from m/z 10 to 219. The ionisation efficiency (IE) calibration was performed before and after the campaign according to the proposal of a previous study (Fröhlich et al., 2013). Briefly, the size-selected (350 nm) NH_4NO_3 particles, which were generated by an aerosol generator, were simultaneously sampled

by the ToF-ACSM and a condensation particle counter (CPC 3775, TSI). Then, the IE can be determined by comparing the response of ToF-ACSM to the mass of ammonium nitrate. The relative ionisation efficiencies (RIEs) of sulphate and ammonium were also determined by sampling $(\text{NH}_4)_2\text{SO}_4$ particles.

2.3 Data process

2.3.1 ToF-ACSM data analysis

The ToF-ACSM data analysis software (Tofware v2.5.13) written in Igor (Wavemetrics, Lake Oswego, OR, USA) was used to analyse the mass concentration and chemical composition. The IE value was 239 ions/pg, and the RIEs for sulphate and ammonium were 1.05 and 3.6, respectively. For organics, nitrate and chloride, commonly used RIE values, i.e. 1.4, 1.1 and 1.3, were applied. The algorithm proposed by Middlebrook et al. (2012) to determine the collection efficiency (CE) of ToF-ACSM was applied to quantify the aerosol species, as the acidity, chemical composition, and phase state changed the particle bounce effects at the vaporiser (Matthew et al., 2008).

The triangle plot of f_{44} (ratio of m/z 44 to total signal in the component mass spectrum) versus f_{43} (ratio of m/z 43 to total signal in the component mass spectrum) and f_{44} versus f_{60} (ratio of m/z 60 to total signal in the component mass spectrum) were applied to characterise the evolution of OA (Ng et al., 2010). The O/C and H/C were determined by the parameterization proposed by Canagaratna et al. (2015).

2.3.2 PMF analysis

The mass spectrum data matrix of OA was analysed by the PMF Evaluation Tool (PET, v2.08D) (Paatero and Tapper, 1994; Ulbrich et al., 2009) in order to resolve distinct OA factors that might be representative of specific sources. The PMF-ACSM data processing was followed by the procedures proposed previously (Ulbrich et al., 2009; Zhang et al., 2011). Due to the weak signal intensities, the ions with m/z over 120 were not included in the analysis. Any ions with signal-to-noise (S/N) < 0.2 were removed from the analysis, and the ions whose S/N was 0.2–2 were down-weighted by increasing their errors calculations by a factor of 2 (Sun et al., 2011). Different PMF

solutions were resolved by varying the factor number from 2 to 7. The solutions were evaluated by comparing the mass spectral profiles of the output secondary aerosol factors as a function of the rotational parameter (f_{peak}). Finally, a three-factor solution with $f_{peak} = 0$ was selected as our best solution. The comparison of the results for three to five-factor solutions was described in detail in Fig. S4 and Table. S2.

2.3.3 Identification of haze episodes

The haze episodes were defined by the daily $PM_{2.5}$ mass concentration exceeding Grade II National Ambient Air Quality Standard (AAQS) of $75 \mu g/m^3$ for two successive days (Wang et al., 2014). In the present study, we made some slight modifications to this definition following the procedure of Zheng et al. (2016). Briefly, the periods during which the 24 h-moving average concentration of $PM_{2.5}$ exceeds $75 \mu g/m^3$ for two successive days are regarded as episode candidates. If the hourly $PM_{2.5}$ concentration during the first hour of an episode candidate is $50-75 \mu g/m^3$, then the episode has a shape of 'slow start'; if it is $0-50 \mu g/m^3$, then the episode has the shape of the 'rapid start'. Similarly, the shape at the end of an episode candidate can also be identified. Haze episodes having a 'slow start' typically arise from the gradual accumulation of pollutants emitted both locally and regionally under unfavourable meteorological conditions, while haze episodes having a 'rapid start' are most likely related to regional transport (Zheng et al., 2015). Haze episodes having a 'slow end' usually resulted from the gradual scavange of pollutants.

According to the definition mentioned above, three haze episodes (denoted as H1, H2 and H3, respectively, in Fig. 2), all with the types of 'slow start' and 'slow end', were identified over the whole campaign. In addition, a fog event occurred during each haze episode (denoted as F1, F2 and F3, respectively). Lacking the information of visibility and aerosol size distribution up to several tens of micrometres, we were not able to precisely diagnose the accumulation and dissipation stages of a fog event. Instead, we selected the hours with RH near 100 % as the duration of a fog event, which was the same as the condition described in previous studies (Izhar et al., 2020; Guo et al., 2015).

2.4 Air parcels trajectories analysis

2-day back trajectories arriving at the receptor site were calculated every hour over the whole

campaign using the National Oceanic and Atmospheric Administration (NOAA) HYSPLIT version 4 (Draxler and Hess, 1998). Input to the model is in the form of 1 ° latitude-longitude gridded meteorological parameters from the Global Data Assimilation System meteorological dataset. We chose an arrival height of 500 m which is above ground level (AGL) for target analysis in the HYSPLIT model to diminish the effects of surface friction (Polissar et al., 2001); this height value and greater are regarded as in the open height of the planetary boundary layer in winter and are more useful for long-range transport. Finally, 839 backward trajectories in total were obtained. Then, these trajectories were grouped into four clusters, i.e., Cluster1 from the north, Cluster2 from the southwest, Cluster3 from the northeast, and Cluster4 from the east. The trajectories of each cluster accounted for 12.6, 6.2, 58.4, and 22.8 % of total air parcels trajectories during the whole campaign, respectively.

3. Results and discuss

3.1 Overview of meteorology and PM_{2.5} chemical composition

The temporal variation of meteorological parameters, concentrations of gaseous pollutants, and chemical compositions of PM_{2.5} over the whole campaign are illustrated in Fig. 2. The missing data were due to the acquisition software malfunction of the instrument. During the campaign, the temperature ranged from -1.9 to 16.3 °C with a mean value of 7.3 ± 2.8 °C, and the RH ranged from 35 to 100 % with an average of $81 \pm 12.4\%$. The wind from the southwest prevailed with an average speed of 0.7 ± 0.5 m/s during the entire campaign. This indicated that the atmosphere was in a stagnant state with relatively low temperature and high RH.

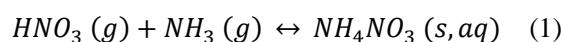
The mass concentration of NR-PM_{2.5} during the campaign ranged from 23 to 230 µg/m³, with an average of 98.5 ± 38.7 µg/m³. This was comparable to the average PM_{2.5} concentrations during wintertime in other cities in the SCB (Table S1). The OA concentration varied from several to 103 µg/m³, with an average of 39.2 ± 3.9 µg/m³, constituting the majority of PM_{2.5}. OA contributed ~20-69 % to PM_{2.5} with an average of 40.3 ± 7.6 %. The average concentrations of nitrate, sulphate, ammonium and chloride were 29 ± 14 (ranging from ~4 to 80 µg/m³), 10 ± 4.2 (ranging from ~2 to 28 µg/m³), 15.1 ± 6.4 (ranging from ~3 to 38 µg/m³) and 5.2 ± 4.1 µg/m³ (ranging from ~1 to 50 µg/m³), taking up 28.8 ± 5.5 , 10.6 ± 2.8 , 15.3 ± 2.2 and 5.1 ± 3.1 % of PM_{2.5}, respectively. The

dominance of organic species was similar to previous observations in urban Chengdu during the winter of 2014 and 2015 (Kong et al., 2020; Wang et al., 2018). However, the nitrate concentration was higher than that of sulphate, which was contrary to that reported previously. A recent observation in urban Chengdu also found that higher fraction of nitrate in PM_{2.5} compared to sulphate, probably due to the remarkable decrease in SO₂ emission in the past ten years (Huang et al., 2021; Fu et al., 2017).

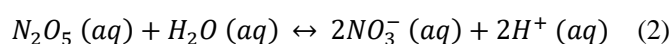
3.2 Characteristics of inorganic aerosol

The correlation between the molar equivalent concentrations of measured ammonium and the sum of molar equivalent concentrations of nitrate, sulphate and chloride was illustrated in Fig. 3. The slope of the regression line for ammonium against the sum of nitrate, sulphate and chloride with a value of 1.01 indicated that the anions in PM_{2.5} were well neutralised by cation (ammonium). This result illustrated that nitrate, sulphate, and chloride were mainly in the form of NH₄NO₃, (NH₄)₂SO₄ and NH₄Cl, which were commonly considered secondarily formed (Ianniello et al., 2011; Ge et al., 2017).

As SO₄²⁻ competed with NO₃⁻ for NH₄⁺ during their formation, the relationship between nitrate-to-sulphate molar ratio ([NO₃⁻]/[SO₄²⁻]) and ammonium-to-sulphate molar ratio ([NH₄⁺]/[SO₄²⁻]) was indicative of the pathway of nitrate formation (He et al., 2012). If [NO₃⁻]/[SO₄²⁻] linearly correlated with [NH₄⁺]/[SO₄²⁻] under ammonium-rich conditions ([NO₃⁻]/[SO₄²⁻] ≥ 1.5), the homogeneous formation of nitrate was expected:



While for ammonium-poor conditions ([NO₃⁻]/[SO₄²⁻] < 1.5), the high concentration of nitrate was attributed to its formation through hydrolysis of N₂O₅ on the pre-existing aerosols (Pathak et al., 2009):



To better elucidate the factors affecting nitrate formation, we divided the observation period into daytime (6:00 - 18:00, local time) and nighttime (18:00 - 6:00 next day, local time) hours. Fig. S1 showed that the [NO₃⁻]/[SO₄²⁻] during daytime was larger than 1.5, indicating ammonium-rich conditions. [NO₃⁻]/[SO₄²⁻] was significantly correlated with [NH₄⁺]/[SO₄²⁻] during daytime with the

regression function:

$$\frac{NO_3^-}{SO_4^{2-}} = 0.69 \times \frac{NH_4^+}{SO_4^{2-}} - 1.08 \quad (3)$$

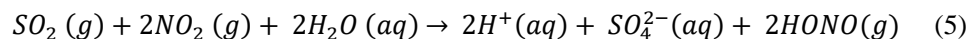
The intercept of the regression line on the $[NH_4^+]/[SO_4^{2-}]$ axis was 1.56, which was close to 1.5, as suggested by Pathak et al. (2009), implying that the nitrate formation was mainly driven by the homogeneous pathway (Sun et al., 2011). Indeed, the nitrate concentration and nitrogen oxidation ratio ($NOR = n(NO_3^-)/[n(NO_2) + n(NO_3^-)]$) increased as the Ox concentration increased (as shown in Fig. 4), and exhibited a strong O_3/Ox ratio dependency, which further demonstrated the homogeneous daytime formation of nitrate.

The emission of NO_x and SO_2 had been reduced while NH_3 increased in the past almost ten years, which resulted in the ammonium-rich condition in the atmosphere (Fu et al., 2017; Liu et al., 2018). Despite this, a recent study showed that the nocturnal nitrate formation was not sensitive to NH_3 , and even increased slightly as NH_3 decreased, which was likely due to the aerosol acidity effects on the partitioning of nitrate (Wen et al., 2018). Thus, the fitting of $[NO_3^-]/[SO_4^{2-}]$ vs. $[NH_4^+]/[SO_4^{2-}]$ might not be applicable for identifying the nitrate formation process during nighttime. The average O_3 concentration was $13.7 \mu g/m^3$ and the average RH was 83.3 % during nighttime, which favoured the aqueous-phase reactions to occur. Higher nitrate concentration was observed with increasing ALWC during nighttime (as illustrated in Fig. S2), and so was NOR. This phenomenon further implies the heterogeneous hydrolysis of N_2O_5 might dominate the formation of nocturnal nitrate. The results were consistent with the study of Tian et al. (2019), which showed that heterogeneous hydrolysis of N_2O_5 dominated nitrate formation during nighttime, while photochemical reactions also played an important role in nitrate formation during daytime in two megacities in Sichuan Basin.

Fig. S2(a) showed that the average sulphate concentration increased slightly when $Ox > 60 \mu g/m^3$ during daytime hours, suggesting the contribution of the photochemical process to sulphate formation. However, the overall sulphur oxidation ratio ($SOR = n(SO_4^{2-})/[n(SO_2) + n(SO_4^{2-})]$) decreased with increasing Ox (Fig. 4(b)), suggesting the photooxidation was not efficient for converting SO_2 to sulphate. By contrast, SOR showed an increasing trend as ALWC increased, demonstrating the efficient conversion of SO_2 to sulphate through aqueous-phase reactions. A previous study showed that the aqueous oxidation of SO_2 by NO_2 is key to efficient sulphate

formation on fine aerosols with high relative humidity and NH₃ neutralisation (Wang et al., 2016).

As mentioned above, the atmospheric aerosols were well neutralised, and RH was high, which favoured the following reaction to occur:



3.3 Characteristics of organic aerosol

3.3.1 Source appointment of OA

PMF analysis was performed to explore the OA sources measured during the whole campaign. A three-factor solution was chosen as the best PMF analysis results based on the mass spectra profile, variation of Q/Q_{exp}, diurnal variation and correlation with external tracers. The resolved factors included a hydrocarbon-like OA (HOA), a biomass burning OA (BBOA) and an oxygenated OA (OOA). The mass spectra profiles of these three factors were shown in Fig. 5, and the temporal profile of each factor and its external tracer were also shown.

The mass spectrum of HOA was dominated by the ions of m/z 29, 41, 55, 57, 69 and 71. HOA was well correlated with BC ($r = 0.77$), which was largely emitted by vehicles. The diurnal cycles of HOA showed two peaks during typical rush hours, demonstrating the contribution of traffic emissions to HOA (Lanz et al., 2007; Zhang et al., 2005). The average concentration of HOA was $8.9 \pm 6.5 \mu\text{g}/\text{m}^3$ and constituted $24.2 \pm 10.4 \%$ of OA over the whole campaign. The increased fraction of HOA as a function of total OA (Fig. 6) demonstrated the contribution of motor vehicle emissions to haze formation.

BBOA was characterised by the pronounced peaks at m/z 60 (mainly C₂H₄O₂⁺) and 73 (C₃H₅O₂⁺), which were generally regarded as biomass burning markers from levoglucosan compounds (Mohr et al., 2012; Weimer et al., 2008; Alfarra et al., 2007). BBOA was well correlated with m/z 60 and m/z 73 ($r = 0.76$ and 0.94 , respectively) and accounted for 88 % of m/z 60 and 70 % for m/z 73, which were higher than those in other sources. A good correlation was also found between BBOA and chloride ($r = 0.64$), which was also suggested to be one of the tracers of biomass burning. The diurnal variation of BBOA showed a similar trend of chloride (Fig. S3(a)), with two peaks during 8:00-10:00 and at 19:00, which was due to the residential cooking and heating using

biomass. The concentration of BBOA ranged from ~ 1 to $34 \mu\text{g}/\text{m}^3$, with an average of $8.9 \pm 5.4 \mu\text{g}/\text{m}^3$. BBOA took up $24.2 \pm 8.6 \%$ with a maximum of 46% of OA, and its fraction also increased with increasing total OA concentration, indicating the contributions of biomass burning activities during haze episodes.

OOA was featured by the dominant signal intensities at m/z 28 (mainly CO^+) and m/z 44 (CO_2^+). OOA accounted for 69% of m/z 44, which was higher than those in other sources. The time series of OOA correlated well with those of nitrate and sulphate ($r = 0.81$ and 0.72 , respectively), suggesting the commonly regional and aged properties of OOA. The concentration of OOA accumulated gradually from 8:00 to 13:00, then decreased till night time. The diurnal cycle of OOA was similar to solar radiation (as shown in Fig. S3(d)), suggesting OOA formation was associated with photochemical reactions. The average OOA concentration showed increasing trends as Ox concentration increased during both daytime and nighttime (Fig. S5), indicating the probable formation pathways of OOA from its precursors (Kuang et al., 2020; Zhan et al., 2021). Note that the accumulation of NO_2 would lead to the decrease of O_3/Ox ratio at night; thus, Ox might not be an appropriate indicator of photochemical oxidation (Xu et al., 2017; Herndon et al., 2008). The OOA formation might be attributed to other processes during nighttime. For example, previous studies showed that high NO_x concentration facilitated the formation of nitrate radical (NO_3), and the NO_3 oxidation of biogenic volatile organic compounds (BVOC) was important for nighttime secondary organic aerosol formation (Boyd et al., 2017; Rollins et al., 2012). Except that the average OOA concentration showed an increasing trend when $\text{ALWC} < 200 \mu\text{g}/\text{m}^3$ during nighttime, OOA concentration did not change significantly with increasing ALWC during both day- and nighttime, suggesting the aqueous-phase reactions were not a significant pathway toward OOA formation.

3.3.2 Elemental composition of OA

The f_{44} vs. f_{43} and f_{44} vs. f_{60} during the entire campaign were illustrated in Fig. 7. The triangle plot of f_{44} vs. f_{43} has been widely used to characterise OA evolution in the atmosphere because m/z 's 44 and 43 are usually from different functional groups, and the ratio changes as a function of atmospheric aging. The f_{43} ranged from ~ 0.06 to 0.12 with an average of 0.08 ± 0.009 , and the

range of f_{44} was ~ 0.07 - 0.24 with an average of 0.15 ± 0.03 , suggesting the existence of both fresh and aged aerosols in the atmosphere. Most of the data were within the triangle space characterised by a series of field observations and experimental data (Ng et al., 2011). However, those points with higher f_{44} were outside the upper boundary of the triangle region, suggesting the corresponding aerosols were more oxidised. It could be observed that the points with higher f_{44} (> 0.16) corresponded to relatively higher Ox concentration and lower ALWC, while those with lower f_{44} corresponded to relatively lower Ox concentration and higher ALWC, suggesting that the formation of more oxidised OOA was mainly attributed to photochemical reactions, and the formation of less oxidised OOA was probably attributed to aqueous-phase reactions (Zhao et al., 2019; Kim et al., 2019).

The triangle plot of f_{44} vs. f_{60} was widely used as a metric to access the evolution of atmospheric BBOA (Cubison et al., 2011). A value of 0.003 for f_{60} was recommended as an appropriate value to represent the atmospheric background free of biomass burning influence (Aiken et al., 2009; Docherty et al., 2008). The f_{60} ranged from 0.0028 to 0.055 with an average of 0.008 ± 0.003 during the campaign. Except for several points, the f_{60} was ubiquitously higher than 0.003 and most points fell in the triangular region, suggesting the contribution of biomass burning to OA. The f_{44} and f_{60} of BBOA resolved by PMF in the present study were also in the triangular region and comparable with previous studies (Paglione et al., 2020; Zhao et al., 2019; Kim et al., 2019). Fig. S6 showed that f_{44} increased while f_{60} decreased with increasing Ox, indicating the likely oxidation of levoglucosan and/or levoglucosan-like substances. Cubison et al. (2011) suggested that the increasing f_{44} was not only attributed to the oxidation of levoglucosan-like species, but the oxidation of bulk OA also played a role because the levoglucosan-like species only contributed a small fraction of the OA mass (Aiken et al., 2009). Their contribution to the total signal m/z 44 before or after aging was also small. Compared to the effects of Ox, the increasing ALWC did not seem to push f_{60} to the left upper region. Most of the data points, which corresponded to high ALWC, were within the region of aged BBOA in the f_{44} vs. f_{60} space as defined previously by Paglione et al. (2020), indicating the probable aqueous-phase oxidation of BBOA. Although the aqueous OOA (aq-OOA) could not be resolved in the present study, we deduced that the aqueous-phase reactions occurred and contributed to the formation of OOA, for (1) the ambient RH was typically above 65%, which favoured the presence of wet aerosol particles; (2) the range of O/C

estimated from the Improved-Ambient (IA) method varied from 0.46 to 0.85 when the ambient RH > 80 %, covering the O/C range of the OOA obtained from the photooxidation of organic precursors in the aqueous phase and ambient aq-OOA observed in many other cities (Duan et al., 2021; Mandariya et al., 2019; Sun et al., 2010; Xu et al., 2017; Gilardoni et al., 2016).

The evolution of OA during the whole campaign was characterised by the van Krevelen (VK) diagram in Fig. 8. The slope obtained from the linear regression of H:C versus O:C plotted in the VK- $\overline{\text{OS}}_c$ space could be used to infer the composition of OA and the chemical process in OA formation (Docherty et al., 2018). The slope of 0 in VK- $\overline{\text{OS}}_c$ plot was related to the replacement of a hydrogen atom with an OH moiety, while the slopes of -0.5 and -1 indicated the formation of carboxylic groups with/without fragmentation, and a slope of -2 was equivalent to the replacement of an aliphatic carbon with a carbonyl group (Heald et al., 2010; Ng et al., 2011). The slope of the linear fitting line for all the data points was -0.14, suggesting the probable formation of carboxylic acid moieties and hydroxyl groups. The slopes of the linear fitting lines for each fog episode were similar and close to zero, which was consistent with the hydroxyl group formation possibly taking place in aerosol water through dark chemistry (Sun et al., 2010; Yu et al., 2014; Zhao et al., 2014).

3.4 Regional transport

Air parcels from the north (Cluster1) transported at relatively high heights before arriving at the observation site (as illustrated in Fig. 9), and corresponded to the lowest average PM_{2.5} concentration ($66 \pm 30 \mu\text{g}/\text{m}^3$). The air parcels of Cluster2 had the longest transport distance and highest transport height. Although they took up the least proportion of total air parcels, they had the highest average PM_{2.5} concentration ($119 \pm 30 \mu\text{g}/\text{m}^3$) during the whole campaign, suggesting that pollutants accumulated high in the air. Air parcels from the east (Cluster3) with the shortest transport distance and relatively low transport height had an average PM_{2.5} concentration of $113 \pm 34 \mu\text{g}/\text{m}^3$. This indicated that the pollutants might be brought to the observation site along with the transport of air parcels originating from adjacent areas. The air parcels from the northeast of Sichuan province (Cluster4) had the lowest transport height; however, the corresponding PM_{2.5} concentration was lower than that of Cluster3.

Compared to cluster1 and cluster4, a higher contribution of nitrate to PM_{2.5} was observed for

Cluster2 and Cluster3, which was mainly related to the intense emission from industry and vehicles. However, the contribution of BBOA was higher for Cluster3 and Cluster4 compared to other clusters, suggesting intense biomass burning along their transport paths.

To better understand the potential pollutant sources during the campaign, the potential source contribution function (PSCF) was applied to analyse the possible regions that might contribute to haze formation. The spatial distribution of weighted PSCF for different chemical compositions was illustrated in Fig. 10. The WPSCF values for organics over the southwest and southeast were > 0.7 , indicating these locations were likely source areas of organics. For nitrate and sulphate, the areas by the southwest and south of the sampling site were potential source regions. The major source regions for HOA were the areas of south and northeast Deyang. The regions contributing to BBOA were the areas of east Sichuan province, which was consistent with a higher proportion of BBOA in $PM_{2.5}$ in the air parcels stemming from these locations. For OOA, the WPSCF values over the southwest and southeast of Deyang and northeast Sichuan province were > 0.7 , suggesting the contribution of these regions.

3.5 Case studies for haze pollution

3.5.1 Factors driving the evolution of haze episodes

As mentioned above, three haze episodes were identified over the whole campaign. The synoptic conditions and aerosol chemical composition for each haze episode were summarised in Table. S3. The average temperature during H2 was lower than those during H1 and H3, while the average solar radiation was higher. The mean RH and wind speed were almost the same during each haze episode. The average concentrations of aerosol chemical composition and their contributions to $PM_{2.5}$ were different in each haze episode, indicating that the factors causing haze formation might be different during the campaign.

The average $PM_{2.5}$ concentrations measured by ToF-ACSM during H1, H2, and H3 were 113 ± 46 , 109 ± 46 , and $104 \pm 30 \mu g/m^3$, respectively. The average mass fractions of OA, nitrate, sulphate, ammonium, and chloride were similar during H1 and H3 (as shown in Fig. 11(a)). During H2, the mass fractions of sulphate and ammonium were slightly higher than those in H1 and H3, while the mass fractions of OA and chloride were lower. In OA, the fraction of primary organic aerosols (POA

= HOA + BBOA) during H3 was higher than those during H1 and H2. The fraction of BBOA showed an increasing trend from H1 to H3, demonstrating the contribution of biomass burning to haze formation. Despite the importance of BBOA to winter haze formation in SCB, the control of biomass burning did not receive much attention, and more efforts were needed for atmospheric aerosol mitigation in the future.

Fig. 11(b) showed that the concentrations of OA, nitrate, sulphate, ammonium, and chloride all increased as the ambient air quality worsened (implying by the increasing $PM_{2.5}$ concentration) during each haze episode. During H1, the fraction of nitrate in $PM_{2.5}$ increased, while the proportions of sulphate, OOA, and HOA in $PM_{2.5}$ decreased as the $PM_{2.5}$ concentration increased, indicating the evolution of this haze episode was mainly driven by the reactions involving nitrate formation. The average NO_3^- formation rate as a function of $PM_{2.5}$ concentration during H1 was depicted in Fig. S7. The NO_3^- formation rate increased fast as $PM_{2.5}$ concentration increased from 50 to 110 $\mu g/m^3$, which also showed the rapid formation of nitrate contributed to haze formation. In contrast, the average nitrate formation rates were below zero when the $PM_{2.5}$ concentration was < 130 $\mu g/m^3$ during H2 and H3, suggesting nitrate formation did not play an important role at the early stage of H2 and H3. Although the nitrate formation rate decreased when $PM_{2.5}$ concentration was > 110 $\mu g/m^3$ during H1, it remained positive, suggesting the nitrate concentration increased gradually.

For H2, the fraction of nitrate and ammonium in $PM_{2.5}$ did not show apparent changes, and the fractions of sulphate and OOA decreased, while the fractions of BBOA and HOA increased as the air quality worsened, demonstrating that the emission of primary organic aerosols from biomass burning and vehicle exhaust were the major factors which drove the haze formation. During H3, the fractions of nitrate and BBOA in $PM_{2.5}$ increased, while OOA decreased and the rest composition did not change significantly as the $PM_{2.5}$ concentration increased, showing that the evolution of this haze episode was mainly driven by the reactions involving nitrate formation and biomass burning. The fire maps (as illustrated in Fig. S8) showed that more fire spots during H2 and H3 were observed around Deyang compared to non-haze episodes, suggesting the biomass burning activities were more intensive during these haze episodes.

The average estimated O:C and H:C during H1 and H2 were similar and slightly higher/lower than that during H3, thus resulting in a higher carbon oxidation state ($\overline{OS}_c \approx 2O:C - H:C$) during H1 and H2. The lower average \overline{OS}_c during H3 might be due to the higher proportion of HOA and

BBOA, which did not undergo long-time aging and kept relatively fresh in the atmosphere.

3.5.2 Evolution of chemical composition during fog periods

The fog periods usually started at night or early morning and dissipated in the afternoon. The individual meteorological parameter differed among each fog period (as summarised in Table S3). The average temperature was the highest during F1 (6.2 ± 2.3 °C), and the lowest was observed during F2 (1.5 ± 3.2 °C). The maximum solar radiation during F1 and F2 (470 and 500 W/m²) were similar and much higher than that during F3 (75 W/m²). The synoptic conditions with low temperature and calm wind favoured the formation of radiation fogs in each fog period.

The chemical composition of PM_{2.5} was also different during each fog period. The average concentrations of organics, nitrate, and ammonium were almost the same during F2 and F3 and significantly lower than those during F1. However, the average chloride concentration during F2 was twice of those during F1 and F3, suggesting the possibility of stronger biomass burning emissions during F2. OOA constituted the major part of OA during F1, while HOA and BBOA were more important than OOA during F2 and F3. The domination of secondary species in PM_{2.5} during F1 was probably due to the aqueous-phase reaction, while the primary emission tended to be stronger during F2 and F3.

Since the aerosols were dried by a Nafion drier, the aerosols that ToF-ACSM measured were the interstitial particles in droplets or those excluded from fog droplets. In order to better characterise the evolution of chemical composition in each fog period, the intervals for 3 h before and after the fog period, when ambient RH was lower than 95 %, were regarded as pre-fog and post-fog periods, respectively (Kim et al., 2019). Note that the post-fog was not assigned for F3 because the ToF-ACSM data were not available with a failure of acquisition software.

The average concentrations of different chemical compositions during the evolution of fog episodes (pre-fog, during fog, and post-fog) were illustrated in Fig. 12. Compared to the pre-fog period of F1, all species in the interstitial aerosols decreased during the foggy period, likely due to the scavenging by fog droplets. Note that the OOA and sulphate decreased less than other species, probably due to the OOA and sulphate formation through aqueous-phase reactions against the scavenging effect of fog droplets. Except for OOA and nitrate, all species kept decreasing during

post-fog periods, which might be attributed to the increase of the planet boundary layer (PBL) height. The increase of OOA and nitrate was probably associated with the enhancement of photochemical reaction after the dissipation of fog.

Distinguished from F1, all species (except for HOA) increased during the foggy period from the pre-fog period during F2. Although hydrophilic species (e.g., nitrate, sulphate, and OOA) tended to be scavenged by fog droplets, it seemed that the formation of OOA, nitrate, sulphate, and ammonium was significantly faster than wet removal, thus resulting in an increase during the foggy period. BBOA and HOA were commonly considered as hydrophobic species, and they were excluded from fog droplets. Previous studies showed that BBOA and HOA concentrations decreased during the foggy period compared to the pre-fog period (Collett et al., 2008; Kim et al., 2019), despite their insoluble nature. The increase of BBOA in the present study was attributed to the intense emission from biomass burning during the foggy period, which overwhelmed the scavenging effects of fog droplets. During the post-fog period, BBOA and HOA decreased significantly, possibly due to weaker emission and the efficient removal of fog droplets through nucleation and/or coagulation. The dynamics of PBL might also play a role because the temperature kept increasing, and higher PBL was expected during the post-fog period. With stronger solar radiation, OOA, nitrate, and ammonium continued growing during the post-fog period from the foggy period through photochemical reactions. However, sulphate slightly decreased, which might be due to the insufficient formation through photochemical reactions, and decreased as the PBL height increased. Similar to F2, all the secondary species increased during the foggy period from the pre-fog period during F3. However, BBOA and HOA were reduced significantly by the scavenging of fog droplets.

The average elemental O:C showed an increasing trend from pre-fog periods to post-fog/foggy periods, while H:C did not change significantly for different fog events, suggesting the OA became more oxidised. As shown in Fig. S9, the mass fractions of OOA increased, while the contribution of BBOA and HOA decreased from pre-fog periods to post-fog/foggy periods for the three fog events. As a consequence, the O:C increased in line with the increased contribution of OOA. Previous studies had reported that the aqueous-phase reactions enhanced OOA formation during fog/high RH periods (Chakraborty et al., 2016; Kuang et al., 2020; Chakraborty et al., 2015). It appeared that the OOA formation would balance out the scavenging of fog droplets during the foggy period for the three fog events, despite the hydrophilic OOA being preferentially scavenged by fog droplets. Thus,

the OOA concentration marginally decreased or even increased during foggy periods.

4. Conclusions

Haze pollution has long been a severe environmental problem in SCB. The formation process of haze pollution in SCB might be different from those in NCP, YRD, and PRD due to the unique topography and meteorological conditions, which are still unclear. Based on the measurement data of a ToF-ACSM and combined with the PMF and PSCF analysis, the temporal variation, formation process, and sources of PM_{2.5} were characterised to elucidate further the factors contributing to haze formation. It was found that the concentrations of OA and nitrate increased dramatically as PM_{2.5} concentration increased, and the stagnant synoptic condition favoured the accumulation of these pollutants. For different haze events, the driving factors could be classified into three types: one was the reactions involving nitrate formation; another one was the intense biomass burning and vehicle exhaust emissions, and the last one was the combination of the reactions involving nitrate formation and biomass burning. Nitrate formation was primarily affected by photooxidation during daytime, while the nocturnal nitrate formation was dominated by aqueous-phase reactions. OOA constituted a major part of OA, and it was mainly generated through photooxidation, while aqueous-phase reactions did not significantly promote its formation.

Due to the limitation of the present study, the parameters which are indicative of the pathways of nitrate formation are not characterised. The major precursors contributing to a large amount of OOA are not clear yet. In addition, how controlling BBOA will affect the atmospheric visibility, radiative forcing, and climate change in SCB needs further investigation in the future. In spite of the deficiencies, the results in this study implied that controlling primary emissions (such as biomass burning and vehicle exhaust) and precursors of secondary aerosols (e.g., NO_x, SO₂, and VOCs) during severe haze periods will benefit the improvement of air quality in SCB.

Data availability. The data generated and analysed in this study are available on visiting <https://doi.org/10.5281/zenodo.6965551>.

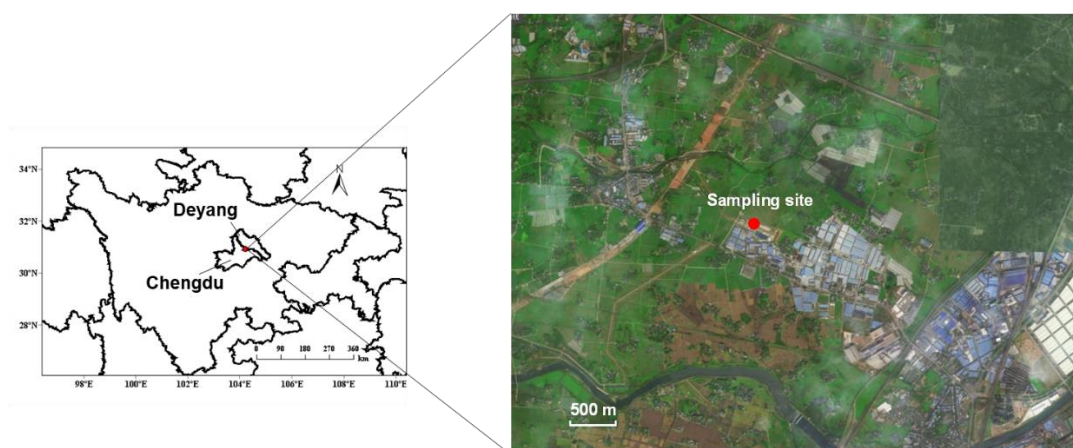
Author contributions. FY and SX designed this study. XZ and QL contributed to data collection during the field campaign. JZ, DZ, and CZ performed field experiments. ZL and CP performed the data analysis. ZB wrote the manuscript. GS, LZ, and YC contributed to the scientific discussion and paper correction.

Competing interests. The authors declare that they have no conflict of interest.

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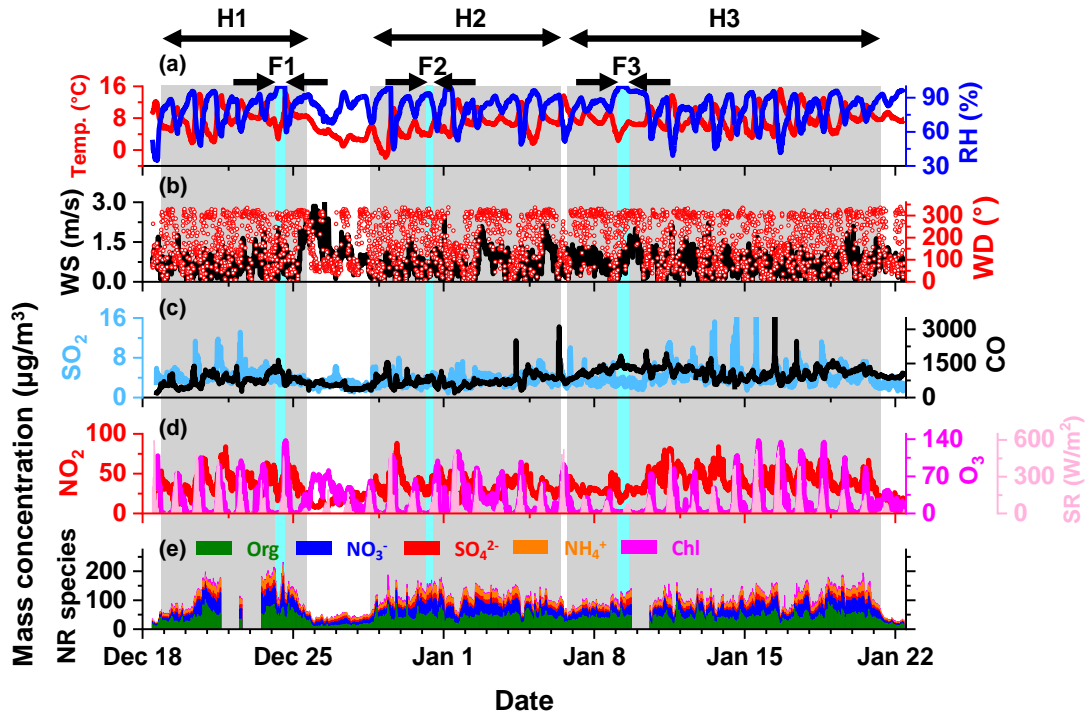
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573 Fig. 1 Location of the observation site (from Baidu Maps, ©2022 Baidu – GS(2021)6026).

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578 Fig. 2 Time series of (a) relative humidity (RH) and temperature (T); (b) wind
 579 speed; (c), (d) CO, NO₂, SO₂, and O₃ mass concentrations and solar radiation; (e) mass concentration
 580 of organics, nitrate, sulphate, ammonium, and chloride in NR-PM_{2.5}. The grey-shaded areas
 581 represent the intervals of H1, H2, and H3, respectively. The light blue-shaded areas represent the
 582 intervals of F1, F2, and F3, respectively.

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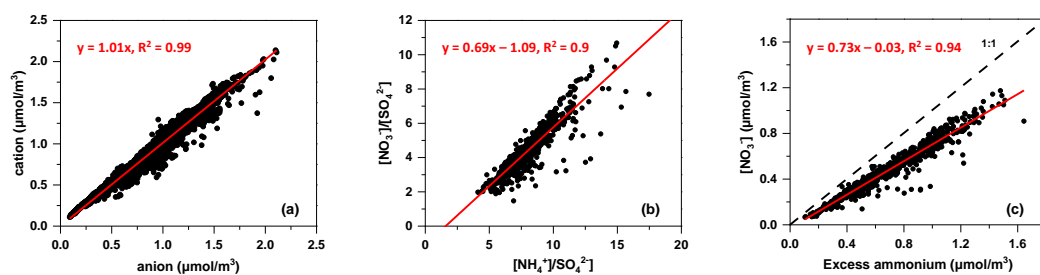
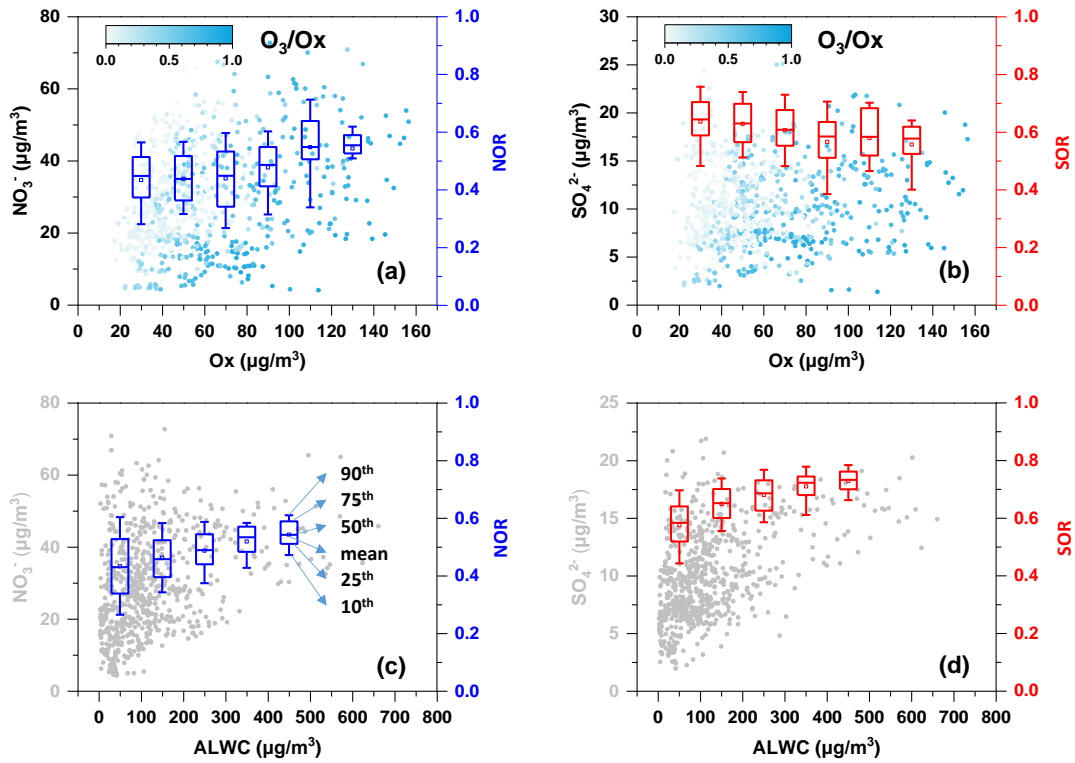


Fig. 3 Scatter plots of (a) molar concentrations of cations vs. anions, (b) molar ratios of nitrate to sulphate vs. ammonium to sulphate, and (c) molar concentrations of nitrate vs. excess ammonium.



590

591 Fig. 4 Variation of (a), (c) nitrate and NOR and (b), (d) sulphate and SOR as Ox/ALWC increases.

592 The data NOR and SOR were grouped into different bins according to 20 $\mu g/m^3$ increment of Ox in593 (a) and (b), and 100 $\mu g/m^3$ increment of ALWC in (c) and (d). The colour scale represents O_3/Ox

594 ratios in (a) and (b). The mean (square), 50th (horizontal line inside the box), 25th and 75th

595 percentiles (lower and upper box), and 10th and 90th percentiles (lower and upper whiskers) of the

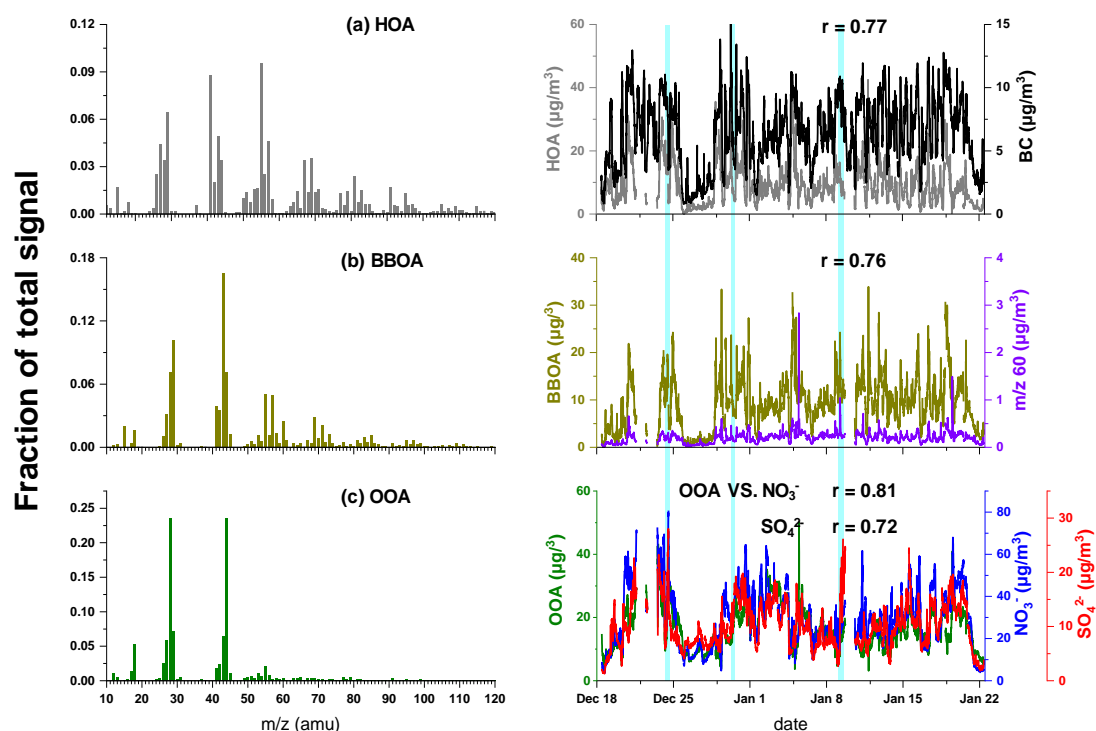
596 box chart are marked in (c). The concentration of ALWC was simulated using the ISORROPIA-II model.

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602 Fig. 5 Mass spectrum of (a) HOA, (b) BBOA, and (c) OOA resolved by PMF. The time series of
 603 each OA source and corresponding tracers are depicted in the right panel. The light blue shaded
 604 areas represent the intervals of foggy periods.

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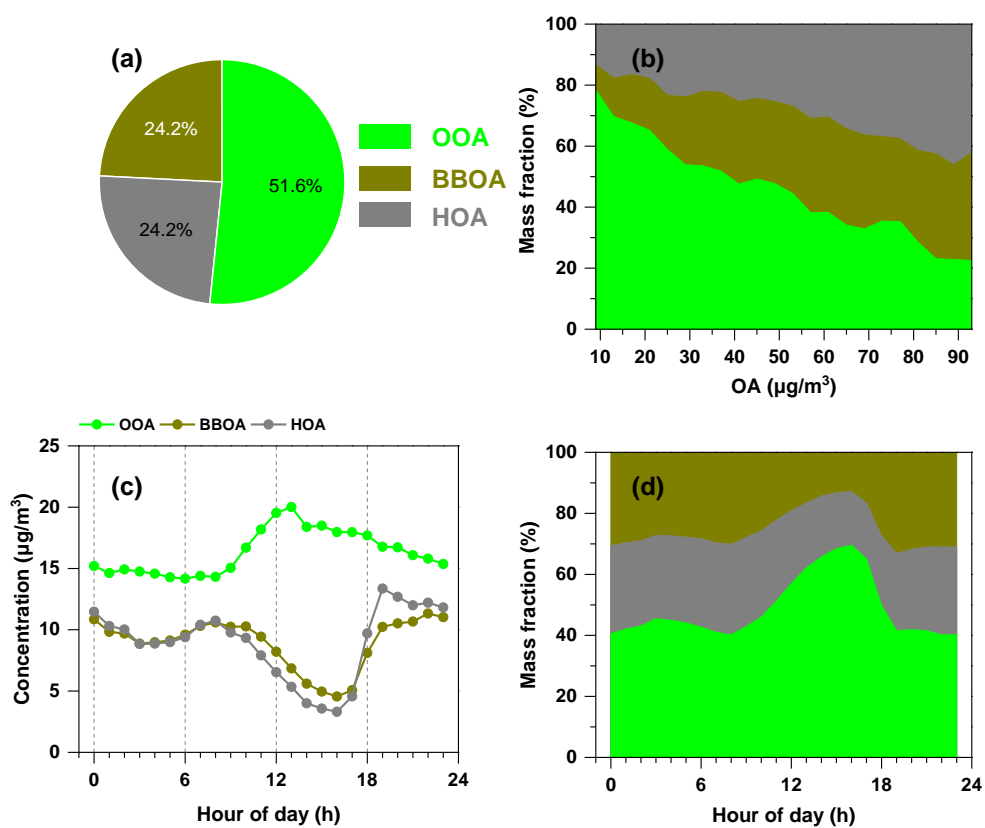
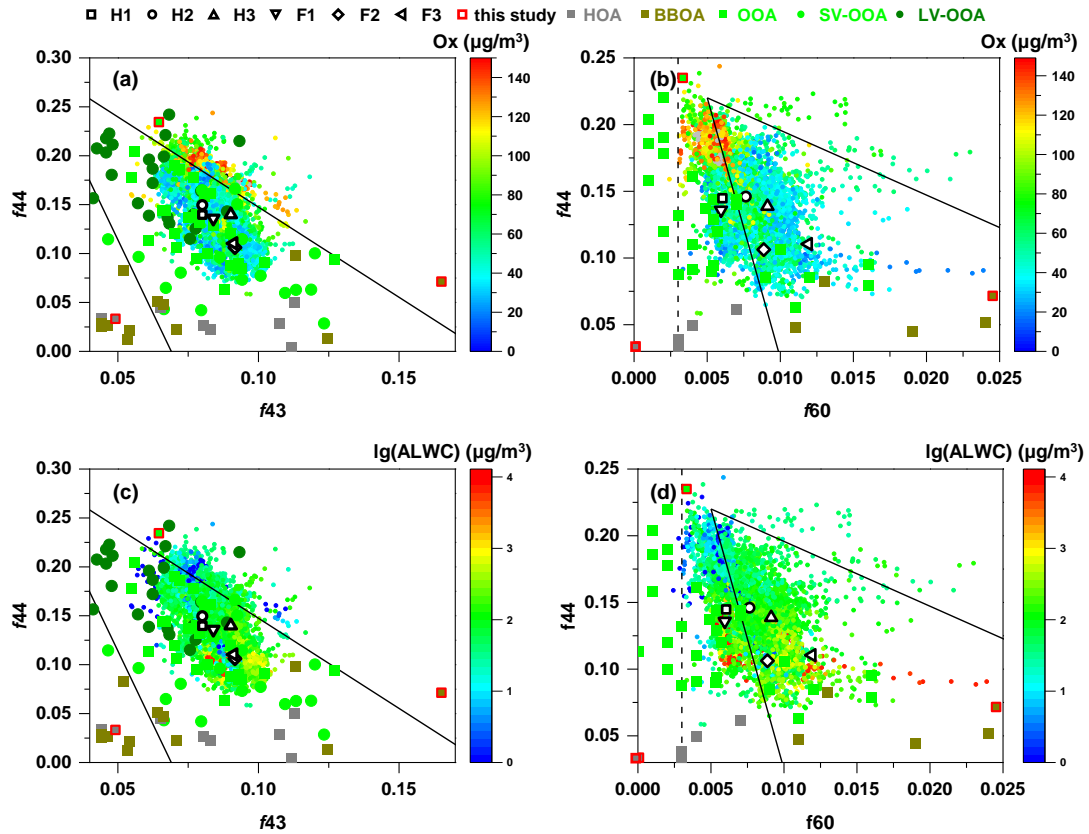


Fig. 6 Average mass fraction of different OOA, BBOA, and HOA (a) in OA and (b) as a function of OA mass concentration. The diurnal variation of different OA compositions and their mass contributions are shown in (c) and (d).

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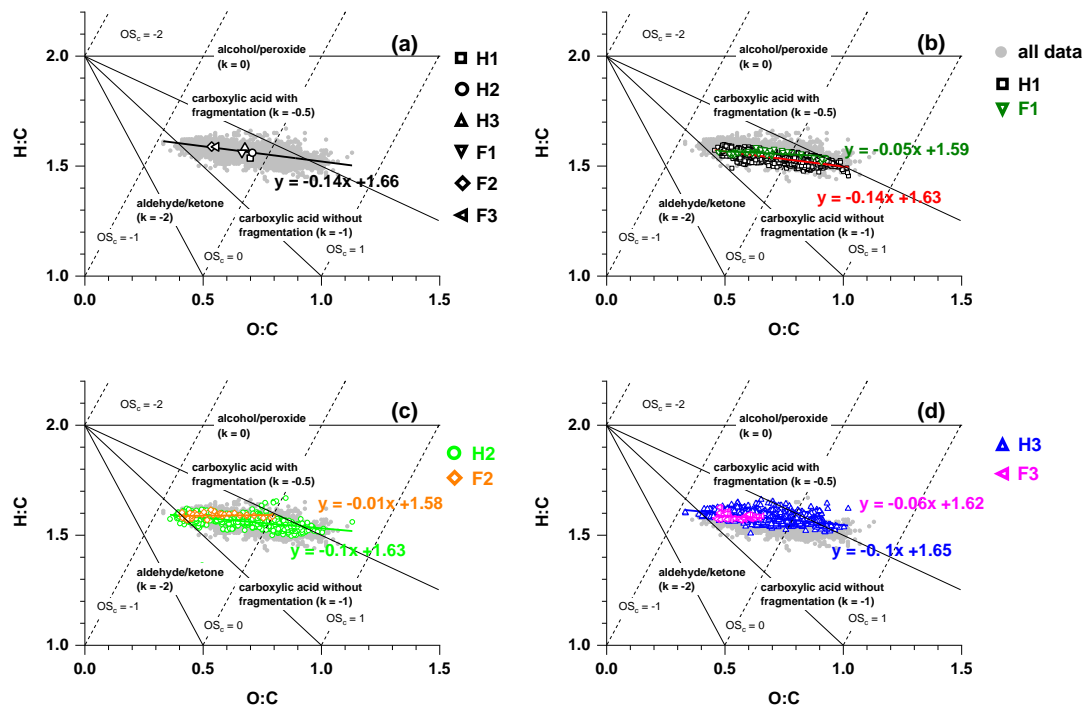


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615 Fig. 7 Triangle plots of (a), (c) f_{44} (ratio of m/z 44 to total signal in the component mass spectrum)
 616 vs. f_{43} (ratio of m/z 43 to total signal in the component mass spectrum), and (b), (d) f_{44} vs. f_{60}
 617 (ratio of m/z 60 to total signal in the component mass spectrum) during the whole campaign. The
 618 colour scale in (a) and (b) represents Ox concentration, and that in (c) and (d) represents $\lg(\text{ALWC})$.
 619 The solid lines in (a) and (c) are derived from the results reported by Ng et al. (2010). The dashed
 620 line representing the background value of secondary aged OA and the solid guidelines in (b) and (d)
 621 are derived from Cubison et al. (2011). The f_{44} vs. f_{43} and f_{44} vs. f_{60} for different OA sources
 622 reported in previous studies are also shown (Kim et al., 2019; Ng et al., 2011; Wang et al., 2016; Zhao
 623 et al., 2019; Paglione et al., 2020).

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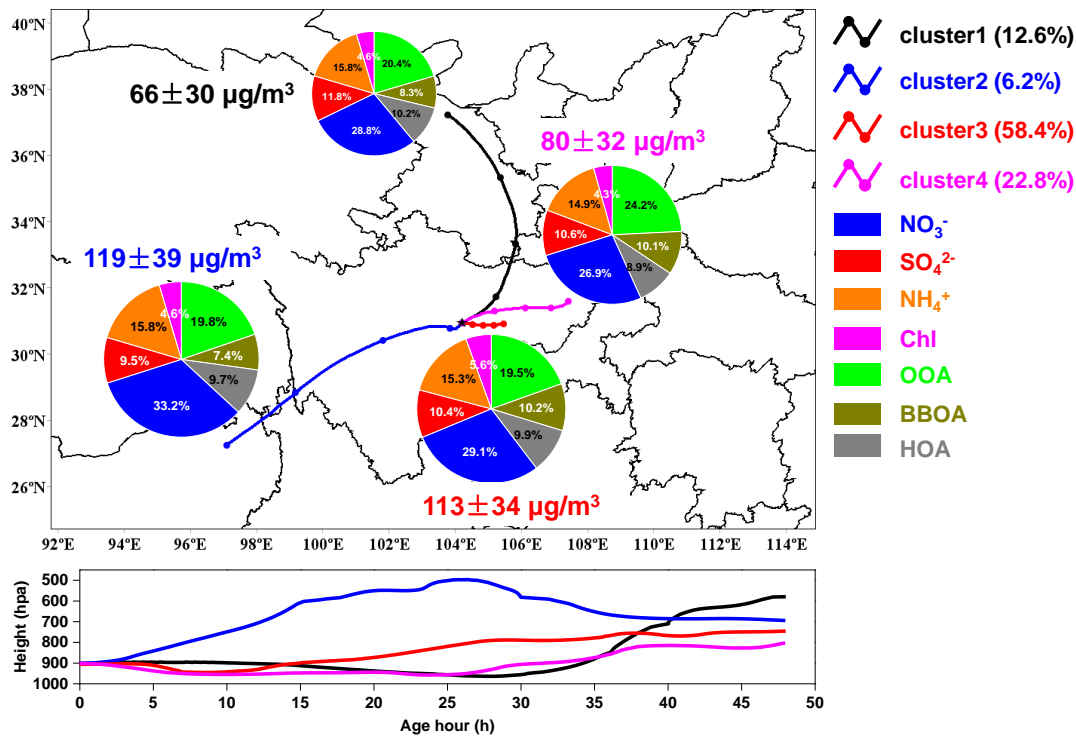


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628 Fig. 8 The Van Krevelen- \overline{OS}_c diagram with data during (a) the whole campaign, (b) H1 and F1, (c)
629 H2 and F2, and (d) H3 and F3. The triangle lines with different slopes show distinct formation
630 processes (Heald et al., 2010).

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635 Fig. 9 Simulation results of 48 h backward air parcels cluster-mean trajectories during the campaign.

636 The lines in black, blue, red, and purple represent the mean trajectories of Cluster1 to Cluster4,

637 respectively. The pie charts show the average mass contribution of different chemical compositions

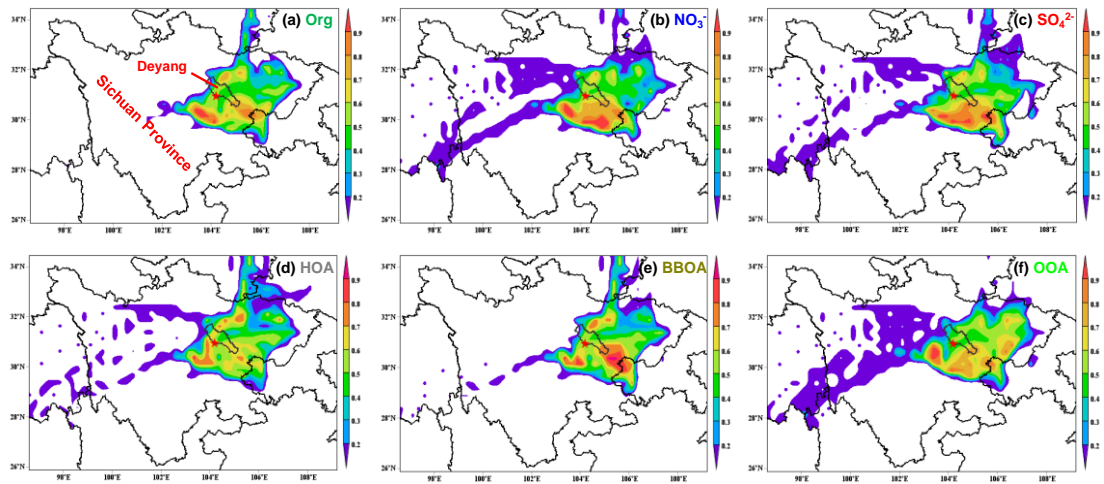
638 to PM_{2.5} for each cluster. The lower panel shows the height profile for different air parcels clusters

639 along their transport paths.

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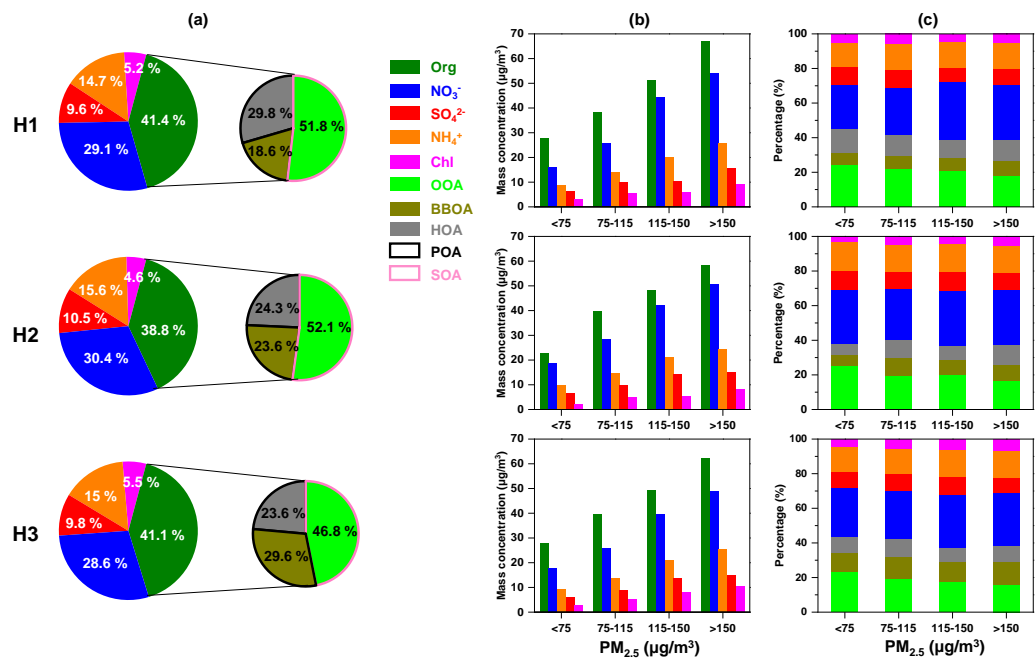
644 Fig. 10 Simulation results of PSCF for (a) organics, (b) nitrate, (c) sulphate, (d) HOA, (e) BBOA,
 645 and (f) OOA during the whole campaign. The 50th percentile of the concentrations for each
 646 composition (organics: $39.5 \mu\text{g}/\text{m}^3$, nitrate: $27.8 \mu\text{g}/\text{m}^3$, sulphate: $9.5 \mu\text{g}/\text{m}^3$, HOA: $7.6 \mu\text{g}/\text{m}^3$,
 647 BBOA: $8.7 \mu\text{g}/\text{m}^3$, OOA: $15.2 \mu\text{g}/\text{m}^3$) were used as thresholds in the PSCF analysis. The areas of
 648 Deyang and Sichuan Province are marked in (a).

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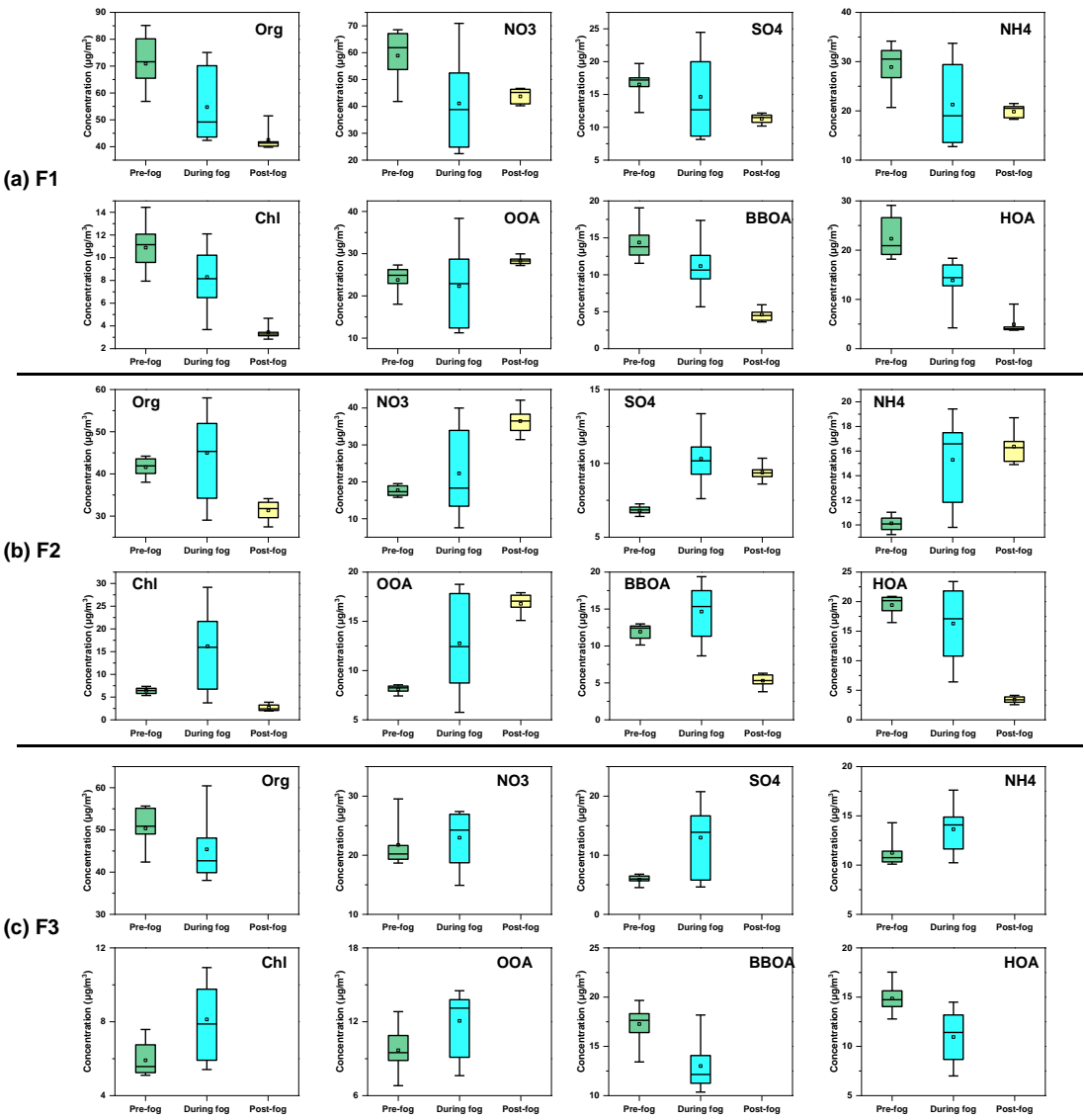


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654 Fig. 11 (a) Average mass fractions of different chemical compositions in PM_{2.5}, (b) mass
655 concentration, and (c) relative contribution of inorganic/organic species as a function of PM_{2.5}
656 concentration during each haze episode. The right panel in (a) depicts the contribution of OOA,
657 BBOA, and HOA to OA.

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662 Fig. 12 Variation of organics, nitrate, sulphate, ammonium, chloride, OOA, BBOA, and HOA

663 concentration during the evolution of (a) F1, (b) F2, and (c) F3.

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