Measurement report: Changes in light absorption and molecular composition of water-soluble humic-like substances during a winter haze bloom-decay process in Guangzhou, China

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Abstract

Water-soluble humic-like substances (HULIS) absorb light in near-UV and visible wavelengths and exert significant influence on the atmospheric environment and climate. However, knowledge on HULIS evolution during haze bloom-decay process is limited. Herein, $PM_{2.5}$ samples were obtained during a winter haze event in Guangzhou, China, and light absorption and molecular composition of HULIS were investigated by UV-vis spectrophotometry and ultrahigh-resolution mass spectrometry. Compared with HULIS in clean days, the absorption coefficients (Abs_{365}) of HULIS in haze days were significantly higher but the mass absorption efficiencies (MAE_{365}) were relatively lower, suggesting diverse and dynamic absorption properties of HULIS during haze episodes. The CHO and CHON compounds were the most abundant components in HULIS, followed by CHOS, CHONS, and CHN. Haze HULIS presented comparatively higher molecular weight, lower aromaticity index (AI_{mod}), and higher O/C_w, O/N_w, and O/S_w ratios, indicating that HULIS fractions undergo relatively higher oxidation during haze days than clean days. Moreover, CHON and CHO compounds with high AI_{mod} were the major potential chromophores in HULIS and significantly contributed to HULIS light absorption. It's worth noting that the proportions of these chromophores were decreased during haze event, mainly owing to their higher oxidation during haze episode. Besides, accumulated contribution of organic compounds emitted from vehicles and formed from reactions of bio-VOCs also diluted light-absorbing compounds in haze HULIS. These findings help to understand HULIS evolution during haze bloom-decay process in the subtropic region of China.

1. Introduction

Water-soluble humic-like substances (HULIS), belonging to a class of highly complex organic compounds with physical/chemical properties similar to humic substances in natural aquatic/soil environments, which constitute 30%–70% of water-soluble organic compounds in ambient aerosols and are responsible for > 70% of light absorption (at 365 nm) in water-soluble brown carbon (BrC) (Graber and Rudich, 2006; Laskin et al., 2015; Huang et al., 2018). They are thought to be comprised of aromatic structures containing aliphatic side chains and oxygenated functional groups such as hydroxyl, carboxyl, nitrate, and organosulfate groups (Lin et al., 2012; Song et al., 2018; Zeng et al., 2020). HULIS are ubiquitously identified in atmospheric aerosols, fog, cloud, and rain water, and have been demonstrated to play significant effects on both atmospheric environment and climate (Bianco et al., 2018; Wu et al., 2018; Zhan et al., 2022). In addition, HULIS exert adverse health effects because they can enhance the oxidative potential of organic aerosols (Ma et al., 2019; Cao et al., 2021).

In recent years, severe particulate pollution (i.e., haze events) frequently occur in some developing country such as China, which has drawn extensive public and scientific concerns (An et al., 2019; Zhang et al., 2020). According to An et al. (2019), contributions of organic aerosols, including primary organic aerosols and secondary organic aerosols (SOA), are significant for severe haze events; in particular, the contribution of SOA in China is expected to continuously increase because of stronger chemical reactions in the atmosphere. HULIS are an important component in organic aerosols, which originate from a variety of primary emissions (e.g., biomass burning (BB), coal combustion, off-road engine emission) (Fan et al., 2016; Cui et al., 2019; Tang

et al., 2020) and secondary chemical oxidation of biogenic and anthropogenic volatile organic compounds (VOCs) (Yu et al., 2016; Tomaz et al., 2018) and soot (Fan et al., 2020). During the haze episode, a number of chemical processes occur in aqueous phase (Wong et al., 2017; Wu et al., 2018) and gas phase (Sumlin et al., 2017), which lead to significant changes in chemical composition and light absorption properties of HULIS. For instance, recent studies on oxidation of BB-derived BrC have indicated that although both enhancement and bleaching of BrC occur during aging, bleaching of BrC becomes dominant over a long period (Fan et al., 2020; Wong et al., 2017; Ni et al., 2021). However, multiphase reaction between carbonyl and amine has demonstrated rapid formation of light-absorbing organic compounds (Kampf et al., 2016). Nevertheless, it should be noted that these results were mainly obtained from laboratory experiments and may not reflect the complex evolution behavior of BrC in atmospheric environment.

High concentrations of HULIS have been determined during typical haze episodes in northern, eastern, and southern China (Fan et al., 2016; Zhang et al., 2020; Wang et al., 2020), and have been demonstrated to significantly influence atmospheric visibility, environment, and photochemical process. Guangzhou is the biggest city in the Pearl River Delta (PRD), one of the most developed regions in China, and is located in the subtropical zone with a population of over 18 million people (Yu et al., 2017). Although a remarkable decline in atmospheric particulate matter ($PM_{2.5}$) pollution has been observed in recent years owing to strict regulatory controls, O_3 and VOCs still remain at higher levels and severe haze pollution caused by fine particulate matter frequently occur in winter (An et al., 2019; Li et al., 2019; Yang et al., 2022). Several studies have investigated the optical, chemical, and molecular properties of HULIS in the PRD region

(Lin et al., 2010, 2012; Fan et al., 2016; Liu et al., 2018; Jiang et al., 2020, 2021). For example, the studies on the temporal variations of water-soluble HULIS in Guangzhou indicated that HULIS had higher concentrations and mass absorption efficiencies (MAE_{365}) in the winter, which were attributed to the increasing contribution of BB and secondary nitrate formation in the winter monsoon period (Fan et al., 2016; Jiang et al., 2020, 2021). In addition, the molecular composition of HULIS (and BrC) in the PRD region were also investigated and demonstrated that the levels of unsaturated and aromatic structures are the important factor influencing their light absorption properties (Jiang et al., 2020). However, detailed information regarding the evolution of light absorption and molecular composition of HULIS during haze events is still scarce.

Recently, ultrahigh-resolution Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR MS) coupled with electrospray ionization (ESI) sources has been frequently employed to investigate the molecular characteristics of HULIS in ambient aerosols (Song et al., 2018, 2022; Tang et al., 2020; Zeng et al., 2021). Owing to its extremely high mass resolution and accuracy, this technique allows further exploration of the evolution of HULIS during haze event. The present study performed comprehensive characterization of HULIS in $PM_{2.5}$ collected during a haze event in Guangzhou, China. The abundances and light absorption properties of HULIS were first measured, and carbonaceous fractions, water-soluble ions, and levoglucosan (Lev) were determined. Subsequently, four HULIS samples collected during different haze stages were analyzed using FT-ICR MS operated in both ESI− and ESI+ modes. To the best of our knowledge, the present study is the first to apply a combination of optical properties and molecular characterization by FT-ICR MS to investigate HULIS in a haze event in the subtropical

zone of China. The results obtained provide novel insights into the evolution of HULIS during haze event, and are important for predicting the environmental and climatic effects of HULIS in South China.

2. Material and Methods

2.1. Aerosol sampling

The $PM_{2.5}$ samples were collected on the campus of Guangzhou Institute of Geochemistry, Chinese Academy of Sciences, Guangzhou, China (23.14N, 113.35E), which is an academic and residential region. Traffic emissions and residential activities are the potential pollution sources in the sampling area. The 24-h $PM_{2.5}$ sampling was conducted using a high-volume sampler (Tianhong Intelligent Instrument Plant, Wuhan, China, with a flow rate of 1.0 $m^3 min^{-1}$) during 7 to 30 January of 2018, and a total of 24 samples were collected on the prebaked quartz filters (20.3 × 25.4 cm^2, Whatman, Maidstone, UK). Field blank samples were collected by keeping a blank filter in the sampler without pumping air. Before sampling, the filters were wrapped in aluminum foil and prebaked at 450°C for 6 h to remove carbonaceous impurities. Before and after sampling, the filters were weighed at 25°C and 50% RH on a microbalance (Sartorius Model BP210D). The $PM_{2.5}$ concentrations were determined by weighing the filters before and after collection. Finally, all filter samples were stored in a refrigerator at −20 ℃ until analysis. The mass accuracy achieved was < 2% based on triplicate analyses of filter samples. Meteorological data (http://www.wunderground.com/history/airport/ZGGG), including wind speed, temperature, relative humidity, and concentrations of SO_2, O_3, and NO_2, for the sampling days are presented in Figure 1 and Table S1.

2.2. Isolation of HULIS

HULIS were isolated using a water extraction and solid-phase extraction (SPE) procedure as described previously (Zou et al., 2020). This method has been used in most previous studies because of its easy operation and high reliability and reproducibility and low limit of detection (Fan et al., 2002), therefore, it was also used in this study. Briefly, portions of the $PM_{2.5}$ samples (100 cm^2) were ultrasonically extracted with 50 mL of ultrapure water for 30 min. The extracts were filtered through a 0.22-μm PTFE syringe filter to remove the suspended insoluble particles. About 50 mL of water extracts were obtained from each sample, of which 20 mL was used for the isolation and analysis of HULIS, 20 mL for analysis of water-soluble organic carbon (WSOC), and the remainded extracts for the analysis of inorganic ions, respectively. Then, the 20 mL water extracts were adjusted to pH of 2 with HCl, and loaded on a preconditioned SPE cartridge (Oasis HLB, 200 mg/6 mL, Waters, USA). The hydrophilic fraction (i.e., inorganic ions, high-polar organic acids, etc) was removed with ultrapure water, whereas the relatively hydrophobic HULIS fraction was retained and eluted with 2% (v/v) ammonia/methanol. Finally, HULIS solution was evaporated to dryness with a gentle N_2 stream and redissolved with ultrapure water for the analysis.

It is noted that the HULIS here is the hydrophobic portion of water-soluble organic matter, which can be isolated with different types of SPE columns (e.g., HLB, C-18, DEAE, XAD-8, and PPL) (Fan et al., 2012, 2013; Lin et al., 2012; Zou et al., 2020; Jiang et al., 2020). Although each resin type has its special chemical properties, the hydrophobic HULIS isolated with different sorbents were similar in chemical, molecular properties based on previous studies (Fan et al., 2012, 2013; Zou et al., 2020). Therefore,

for better comparison with other studies, the hydrophobic fractions isolated by SPE methods were all termed as HULIS in the present paper.

2.3. Light absorption analysis

The absorption spectra of the WSOC and HULIS fractions were measured by a UV-vis spectrophotometer (UV-2600, Shimadzu) between 200 to 700 nm. Each spectrum was corrected for the filter blanks. The light absorption coefficients, absorption Ångström exponent (AAE) and mass absorption efficiency (MAE_{λ}) were calculated and the detailed methods are presented in the Supporting Information (SI).

2.4. Chemical analysis

For FT-ICR MS analysis, the HULIS samples were isolated from $PM_{2.5}$ collected during four periods: before haze days (clean-I days, 7–12 January), haze bloom days (haze-I days, 13–18 January), haze decay days (haze-II days, 19–24 January), and after haze days (clean-II days, 25–30 January). A filter punch (18 cm in diameter) was taken from every sample, and all the six samples in each period was combined for the isolation of HULIS fractions. The obtained HULIS samples were measured with an ESI FT-ICR MS (Bruker Daltonik GmbH, Bremen, Germany) equipped with a 9.4 T refrigerated actively shielded superconducting magnet. The system was operated in both ESI− and ESI+ modes. The scan range was set to m/z from 100 to 1000, with a typical mass-resolving power >450,000 at m/z 319 with <0.2 ppm absolute mass error. The mass spectra were calibrated externally with arginine clusters and internally recalibrated with typical O_5-class species peaks in DataAnalysis 4.4 (Bruker Daltonics). Due to the inherent differences in the ionization mechanisms between ESI- and ESI+ modes, the

177 data detected by the two ionization modes can provide complementary information on the
178 molecular composition of atmospheric HULIS (Lin et al., 2012; Lin et al., 2018). The
179 details of data analysis are provided in the SI.

180 The amounts of organic carbon (OC) and elemental carbon (EC) were determined by
181 a OC/EC analyzer (Sunset Laboratory Inc., USA) (Mo et al., 2018). The concentrations
182 of WSOC and HULIS were determined by a TOC analyzer (Shimadzu TOC_VCPH,
183 Kyoto, Japan). The water-soluble inorganic species (NO_3^-, SO_4^{2-}, Cl^-, NH_4^+, K^+, Na^+,
184 Ca^{2+}, Mg^{2+},) were measured with a Dionex ICS-900 ion chromatography system (Thermo
185 Fisher Scientific, USA) as described previously (Huang et al., 2018). The concentrations
186 of Lev were analyzed with a gas chromatography–MS after derivatization with BSTFA
187 and pyridine at 70 °C for 3 h (Huang et al., 2018). Detailed information regarding these
188 measurements is provided in the SI.

189 **3. Results and Discussion**

190 **3.1. Abundance and chemical composition of $PM_{2.5}$**

191 Figure 1 shows the meteorological conditions, $PM_{2.5}$ concentration, and
192 concentrations of major chemical constituents, including carbon fractions and water-
193 soluble inorganic ions in $PM_{2.5}$ samples obtained during a haze bloom-decay process.
194 Based on the variation in $PM_{2.5}$ concentration, these samples were categorized into four
195 groups: clean-I days (before haze, 14–24 μg m^{-3}), haze-I days (haze bloom, 45–114 μg
196 m^{-3}), haze-II days (haze decay, 58–115 μg m^{-3}), and clean-II days (after haze, 9–35 μg
197 m^{-3}). As indicated in Table S1 and Figure 1, the $PM_{2.5}$ concentrations increased from 18
198 ± 3.3 μg m^{-3} in clean-I days to 82 ± 26 and 84 ± 22 μg m^{-3} in haze-I and haze-II days,

199 respectively, and then decreased to 21 ± 10 μg m^{-3} in clean-II days. This finding
200 obviously indicated that the average $PM_{2.5}$ concentrations during the examined haze
201 episode are higher than the second-grade national ambient air quality standard in China
202 (75 μg m^{-3}, 24 h), whereas those during clean days are lower than the first-grade national
203 ambient air quality standard in China (35 μg m^{-3}, 24 h). However, the average $PM_{2.5}$
204 concentrations during the haze event are lower than those in the cities in winter haze,
205 including Shenyang (108 μg m^{-3}) (Zhang et al., 2020), and Nanjing (123 ± 28.5 μg m^{-3})
206 (Li et al., 2020), Beijing (158 μg m^{-3}) and Xi'an (345 μg m^{-3}) (Zhang et al., 2018).
207 As shown in Table S1, the average concentrations of OC and EC were 2.2–15 and
208 0.36–2.7 μgC m^{-3} in the four stages, respectively, implying that the distinct changes in
209 OC and EC were higher during haze episodes than those in clear days. During the entire
210 study period, WSOC concentration ranged from 0.5 to 12.5 μgC m^{-3} (4.3 ± 1.2 μgC m^{-3}),
211 which contributed to 53%–57% of OC in $PM_{2.5}$. The HULIS concentration noted in the
212 present study ranged from 0.15 to 6.1 μgC m^{-3} (2.2 ± 1.9 μgC m^{-3}), which was
213 comparable to those observed in the PRD region, such as Hong Kong (2.38 ± 1.62 μgC
214 m^{-3}) (Ma et al., 2019) , Guangzhou (2.4 ± 1.6 μgC m^{-3}) (Fan et al., 2016), and Heshan
215 (2.08 ± 1.16 μgC m^{-3}) (Jiang et al., 2020), but lower than those in northern cities of
216 China, such as Xi'an (12.4 ± 6.5 μgC m^{-3}) (Huang et al., 2020), Beijing (3.79 ± 3.03
217 μgC m^{-3}) (Mo et al., 2018), and Lanzhou (4.7 μgC m^{-3}) (Tan et al., 2016). As shown in
218 Figure 1, HULIS also exhibited obvious variations during the entire sampling period. The
219 average HULIS concentration was 0.46 ± 0.22 μgC m^{-3} in clean-I days, which sharply
220 increased to 4.5 ± 1.2 μgC m^{-3} in haze-I days, then decreased to 3.1 ± 1.2 μgC m^{-3} in
221 haze-II days, and rapidly declined to 0.75 ± 0.52 μgC m^{-3} in clean-II days. This result

was consistent with the changing trend of WSOC, OC, and EC. In addition, the HULIS/WSOC ratios were about 0.50 ± 0.13 in the $PM_{2.5}$ samples, which are in broad agreement with other studies showing that HULIS is the major fraction of WSOC (Fan et al., 2016; Ma et al., 2019; Jiang et al., 2020).

As illustrated in Figure 1, obvious variations in chemical compositions were also observed in these $PM_{2.5}$ samples. Secondary inorganic aerosols (SIA) (i.e., SO_4^{2-}, NO_3^-, and NH_4^+), OC, and EC exhibited a similar variation during the entire study period, and their contents sharply increased from 10 January in clean-I days to 13–18 January in haze-I days, then slowly decreased in haze-II days, and finally reached lower levels in clean-II days. It must be noted that the increasing rate of EC was similar to that of SIA in haze-I days, indicating that direct emissions and atmospheric reactions may play similar roles in $PM_{2.5}$ increase during this haze bloom period. As indicated in Figure 1f, the highest values of NO_3^-/SO_4^{2-} were observed in haze-I days, implying the important influence of traffic exhausts in the haze bloom period (Mo et al., 2018). In addition, the high NO_2 and O_3 concentrations and the stable meteorological condition with high temperature also led to the outburst of fine particulate pollution in this period. During haze-II days, the SIA and OM contents in $PM_{2.5}$ slowly decreased, whereas the concentrations of Na^+, Cl^-, and unidentified materials in $PM_{2.5}$ increased (Figure 1e,h), suggesting that local contribution weakened and regional contribution via sea salt became more important (Jiang et al., 2021). This phenomenon was also observed to be consistent with the changes in the pollutant sources transported by air masses. As indicated in Figure S1, the $PM_{2.5}$ samples in haze-II days included some contributors transported from

coastal area of eastern Guangdong Province and Fujian Province, and the $PM_{2.5}$ are likely to be enriched with sea salt materials and mineral dusts.

3.2. Light absorption

The light absorption properties of WSOC and HULIS (Figure 1d, i, j and Table S2) exhibited obvious temporal variations during the sampling period. The AAE values of WSOC and HULIS ranged from 4.1 to 6.4 and 5.6 to 6.6, respectively. The AAE values for HULIS were obviously higher than those for WSOC in the same sample (Figure 1i), indicating that light absorption of HULIS is more wavelength-dependent than that of WSOC. Similar results were also observed in previous studies (Park et al., 2018; Jiang et al., 2020; Cao et al., 2021). These differences could be attributed to the differences in chemical composition of chromophores in WSOC and HULIS. As shown in Table S2, the E_{250}/E_{365} values of HULIS (5.3–5.6) all higher than that (4.4–5.1) of WSOC, suggested that the light-absorbing species in HULIS may have relative lower aromaticity and/or lower molecular weight than those in WSOC (Chen et al., 2016; Li and Hur, 2017). Therefore, the HULIS fractions exhibit relative higher absorption at UV and short visible wavelengths and relative lower absorption at long visible wavelengths, which resulting in relative higher AAE values. Moreover, the AAE values of HULIS did not present significant variation during the entire haze process, which could be related with the evolution of HULIS chromophores in different stages (Jiang et al., 2020; Huang et al., 2018; Deng et al., 2022). At first, the enhanced oxidation of aromatic species in haze days could lead to the bleaching or degradation of chromophores (a detailed explanation was provided in Section 3.3), thus a less wavelength dependence (Forrister et al., 2015；Zhan et al., 2022). In contrast, the outburst of secondary organic aerosols and the

photolysis of organic aerosols in haze days both tended to the higher AAE values (Saleh et al., 2013; Dasari et al., 2019). Consequently, the different trends in AAE were counterbalanced during the haze days, which resulting in no significant AAE variations were observed for HULIS in entire sampling process. This is also consistent with the trends of the E_{250}/E_{365} ratios of HULIS in the four stages (Table S2).

Light absorption at 365 nm (Abs_{365}) for WSOC and HULIS were 2.5 ± 2.0 and 1.8 ± 1.6 M m^{-1}, respectively (Table S2). HULIS contributed to about 72% of light absorption coefficients by WSOC, implying that they enriched the major light-absorbing components in WSOC. As shown in Figure 1d, the Abs_{365} values for HULIS presented obvious temporal variations. The $Abs_{365,HULIS}$ value was 0.55 ± 0.06 M m^{-1} in clean-I days, which first increased to 3.4 ± 1.5 M m^{-1} in haze-I days and then slowly decreased to 2.6 ± 0.85 M m^{-1} in haze-II days, and finally rapidly declined to 0.64 ± 0.32 M m^{-1} in clean-II days. This result was similar to the variations in the mass concentration of HULIS. Furthermore, the Abs_{365} values for HULIS in Guangzhou were found to be higher than those observed in southeastern Tibetan Plateau (0.38–1.0 M m^{-1}) (Zhu et al., 2018), but obviously lower than those in Xi'an (7.6–36 M m^{-1}) (Shen et al., 2017) and Beijing, (3.7–10.1 M m^{-1}) (Du et al., 2014).

In general, MAE_{365} value can be used to assess the light absorption capacity of target organic compounds (Li et al., 2019). As shown in Figure 1j and Table S2, the average MAE_{365} value for WSOC was 1.0 ± 0.21 m^2 gC^{-1} (0.68–1.3 m^2 gC^{-1}), nearly same to 1.1± 0.27 m^2 gC^{-1} (0.77–1.8 m^2 gC^{-1}) for HULIS, during the entire sampling period. Moreover, the MAE_{365} values for HULIS measured in the present study were noted to be dropped in the ranges of those determined in Beijing (1.43 ± 0.33 m^2 g C^{-1}) (Mo et al.,

2018), Xi'an (0.91–1.85m^2 g C^{-1}) (Yuan et al., 2021), and Hong Kong (1.84 ± 0.77 m^2 gC^{-1}) (Ma et al., 2019). The average MAE_{365} values for HULIS exhibited some temporal variations. The MAE_{365} values for HULIS were 0.91±0.03 and 0.95 ± 0.11 m^2 gC^{-1} in haze-I and haze-II days, respectively, which were lower than those (1.3 ± 0.22 and 1.3 ± 0.27 m^2 gC^{-1}, respectively) observed in clean-I and clean-II days, suggesting that HULIS have a relatively weaker light absorption capability in haze days. This finding is consistent with the results reported by Zhang et al. (2017), who found that the MAE_{365} values in the heating or non-heating seasons during hazy days were lower than those in clean days. These differences in MAE_{365} values may potentially contribute to the enhanced oxidation reaction that was derived by the increased O_3 levels and high temperature and relative humidity (RH) during haze days (Figure 1). This oxidation process would lead the chromophores containing C=C unsaturated bond to be severely degraded (Wang et al., 2017a; Zhang et al., 2017). Besides, an increase in additional sources for HULIS in the study area, such as weaker or non-light-absorbing compounds formed by atmospheric oxidation, could also result in weaker light absorption of HULIS during the haze episode (Liu et al., 2018).

3.3. Molecular evolution of HULIS during the haze process

For an in-depth understanding of the variation in HULIS at molecular level during the haze process, the four HULIS samples collected in different stages of the haze process were analyzed by ESI FT-ICR MS in both negative and positive modes. As shown in Figure 2, thousands of peaks were detected in the mass range between m/z 100 and m/z 700, with the high intensity ions noted within m/z 150–400. It is obvious that some organic compounds with stronger arbitrary abundance were labeled, and their

formulas, double bond equivalent (DBE), modified aromaticity index (AI_{mod}), and potential sources were listed in Table S3. Compounds a ($C_7H_7NO_3$) and b ($C_8H_6O_4$), both have high DBE values, which might be assigned to aromatics such as methylnitrophenol and phthalic acid, whereas compound d ($C_8H_{18}O_4S$) with low DBE value and high O/S ratio was probably aliphatic organosulfate. According to previous studies, these organic molecules might be derived from BB and diesel fuel and thereby these results suggested that both BB and vehicular emissions are important sources of BrC in ambient aerosols (Mohr et al., 2013; Riva et al., 2015; Blair et al., 2017). Furthermore, compound e ($C_{10}H_{17}NO_7S$) and compound f ($C_{10}H_{18}N_2O_{11}S$) in Table S3 were found to be identical to the oxidation products of monoterpenes, suggest that biogenic sources could contribute to the formation of HULIS (Surratt et al., 2008; Wang et al., 2019). Thus, HULIS could be affected by multiple sources during the haze process, possibly including BB, biogenic sources, and anthropogenic emissions.

The identified formulas could be divided into seven compound categories, namely, CHO−, CHON−, CHOS−, and CHONS− detected in ESI− mode and CHO+, CHN+, and CHON+ detected in ESI+ mode. As illustrated in Figure 2, the CHO compounds were the most abundant group in all the HULIS, accounting for 43%–50% and 51%–57% of the overall compounds detected in the ESI− and ESI+ modes, respectively. It must be noted that relatively lower contents of CHO− were detected during the haze episode (haze-I and haze-II days) and CHO+ molecules in haze-I HULIS. The CHON compounds were the second most abundant group in all the HULIS. As shown in Figure 2, the relative content of CHON− was 23% in clean-I days, which slightly increased to 24%–25% in haze episode, and then decreased to 23% in clean-II days. In contrast, the relative content of

CHON+ compounds was 41% in clean-I days, which increased to 45% in haze-I days, then fell to 42% in haze-II days and 41% in clean-II days. Both CHOS− and CHONS− compounds were identified in all the four HULIS, accounting for 19%–22% and 8%–11% of the total identified compounds, respectively. The CHN+ compounds were the least abundant (1.3%–3.6%) in the four HULIS samples, and were relatively higher during the haze episode, especially in haze-I days.

Tables S4 and S5 show the relative abundance weighted elemental ratios, molecular weight (MW), DBE, AI_{mod}, and carbon oxidation state (OS_C) for the identified compounds in HULIS. The MW_w values for HULIS determined in the ESI− mode in haze-I and haze-II days were 302 and 283, respectively, which were higher than those in clean-I and clean-II days (266 and 264, respectively). Similar variation was also observed for MW_w for HULIS detected in ESI+ mode (Table S5). These results clearly indicated that more higher MW compounds constituted HULIS obtained during the haze episode. Furthermore, the molecular properties of HULIS in different stages of haze process also exhibited some observable differences. As shown in Table S4, the HULIS samples in haze episode detected by ESI− mode presented relatively lower $AI_{mod,w}$ values and relatively higher O/C_w, O/N_w, and O/S_w ratios than those in clean days, indicating that haze HULIS exhibited relatively lower aromaticity and higher oxidation degree than clean HULIS. These differences can be attributed to the enhanced oxidation degradation of aromatic compounds (e.g., phenols, nitroaromatic compounds and polycyclic aromatic hydrocarbons (PAHs)) during the haze process. In addition, increased contribution from traffic emission and secondary reactions of bio-VOCs also decreased the aromaticity and increased the oxidation degree of HULIS (Liu et al., 2016; Tang et al., 2020). These

359 changes in HULIS compounds led to the decrease in their MAE_{365} values during the haze
360 episode, as described above (Zhong and Jang, 2014; Song et al., 2019).

361 **3.3.1. CHO Compounds**

362 The CHO compounds bear O-containing functional groups, and have been
363 frequently detected in ambient aerosols. As shown in Figure 2, the CHO compounds were
364 the predominant component in the four HULIS samples, and the MW_w values for CHO−
365 and CHO+ compounds were 247–288 and 236–272, respectively, with relatively higher
366 MW_w values observed for the CHO group (CHO− and CHO+) in haze HULIS, especially
367 in haze-I samples. This finding may be related to the stronger oxidation of HULIS during
368 haze days, because the aqueous oxidation of biomass burning aerosols was found to yield
369 high MW of organic products (Tomaz et al., 2018; Yu et al., 2016).

370 The OS_C is often used to describe the degree of oxidation of organic species in the
371 atmosphere (Kroll et al., 2011; Wang et al., 2017b). Figure 3 shows plots of OS_C versus
372 carbon number for the CHO compounds. As indicated in the figure, CHO compounds
373 exhibited OS_C from −2 to +1 with up to 40 carbon atoms. Kroll et al. (2011) proposed
374 that compounds with OS_C between −0.5 and +1 and < 18 carbon atoms can be attributed
375 to semi-volatile and low-volatile oxidized organic aerosols (SV-OOA and LV-OOA),
376 which are mainly formed by complex oxidation reactions in atmosphere. Compounds
377 with OS_C between −0.5 and −1.5 and 6–23 carbon atoms are related to primary biomass
378 burning organic aerosol (BBOA). In addition, compounds with OS_C between −1 and −2
379 and ≥18 carbon atoms have been suggested to be hydrocarbon-like organic aerosols
380 (HOA), which are regarded as primary combustion surrogate (Zhang et al., 2005; Kroll et
381 al., 2011; Wang et al., 2017b).

As illustrated in Figure 3 and Table S6, most of the CHO− compounds clustered in the BBOA region, accounting for 40%–46% of the total CHO− compounds, thus suggesting that BB may be a major contributor to CHO compounds in HULIS. Figure 3 clearly indicates that the majority of aromatic and condensed aromatic compounds produced signals in the OS_C region between −0.5 and 1.0 and carbon number of 3–18 (Figure 3), which corresponded to SV-OOA and LV-OOA. The proportions of SV-OOA and LV-OOA accounted for 23%–28% and 1.9%–2.4% of the total CHO− compounds, respectively, and presented no significant variation. In contrast, the HOA components in haze-I days showed the highest abundance (18%), which were much higher than those (3.5%–4.5%) in haze-II, clean-I, and clean-II days. This finding indicated that the increase in the primary source is associated with fossil fuel combustion such as vehicle emissions during the haze bloom period (Zhang et al., 2005).

As shown in Figure 3, CHO+ compounds presented lower OS_C (from −2.0 to 1.0) than CHO− compounds. Most of the CHO+ compounds occurred in the BBOA region in all four HULIS samples, making up to 60%–72% of the total CHO+ compounds, which again suggesting that BB is an important contributor to CHO compounds in HULIS. The HOA among CHO+ compounds showed the same changing trends as those among CHO− compounds, and higher HOA abundance was observed during haze-I days. In addition, some high AI_{mod} values of aromatics were found in the regions A1+ and A2+ (Figure 3), which implied that the highest AI_{mod} values ($AI \geq 0.67$) with $DBE \geq 22$ were only detected during the haze days possibly owing to soot-derived materials or oxidized PAHs (Decesari et al., 2002; Kuang and Shang, 2020). It must be noted that the sampling site in the present study is influenced by traffic sources, the enhanced oxidation of vehicle-

exhausted soot also results in the accumulation of water-soluble high aromatic organic species (Decesari et al., 2002).

3.3.2. CHON Compounds

In the present study, 1379–2217 and 2008–2943 formulas were assigned to CHON compounds identified in the ESI− and ESI+ spectra, respectively, which accounted for 23%–25% (ESI−) and 41%–45% (ESI+) of total identified compounds, respectively. Relatively higher contents of CHON− compounds were obviously detected in HULIS samples obtained during haze-I days, suggesting the occurrence of more N-containing components in HULIS during haze bloom days. As shown in Tables S4 and S5, the average MW_w values for CHON− and CHON+ compounds were 328 and 317 in haze-I days, respectively, which were slightly higher than those determined in haze-II days and all higher than those observed in clean-I and clean-II days. Meanwhile, the $AI_{mod,w}$ values for CHON− in haze days were 0.31–0.34, which were slightly lower than those in clean days (0.37 and 0.40). These findings indicated that more high MW CHON compounds with lower aromatic structures were formed during the haze episode.

The O/N_w ratios for CHON− and CHON+ during haze-I and haze-II days were 5.3–5.7 and 3.8, respectively, which were higher than those determined during the two clean periods, confirming that these compounds were highly oxidized during the haze episode (Tables S4 and S5). In general, compounds with $O/N \geq 3$ may indicate oxidized N groups such as nitro ($–NO_2$) or nitrooxy ($–ONO_2$), whereas compounds with $O/N < 3$ may denote the reduced N compounds (i.e., amines) (Lin et al., 2012; Song et al., 2018). In the present study, most of the CHON compounds (79%–91% of CHON– compounds and 61%–64% of CHON+ compounds) exhibited $O/N \geq 3$, suggesting that high

concentrations of nitro compounds or organonitrates were contained in the CHON compounds. Moreover, these compounds were more abundant in the CHON– group during the haze episode (87%–91%), when compared with those during clean-I and clean-II days (79%–82%), again implying that CHON– compounds undergo relatively higher oxidization during the haze episode. As indicated in Figure 1, the increase in NO_2 was consistent with increased production of highly oxidized N-containing organic compounds (NOCs) during the haze episode, which suggested the significant contribution of NO_3-related multigenerational chemistry to organonitrate aerosol formation (Berkemeier et al., 2016).

The majority of aromatics and condensed aromatics produced clear signals in regions associated with SV-OOA and LV-OOA (Figure 4). BBOA also constituted a significant proportion (33%–39%) in the CHON– group, and a relatively lower BBOA content was observed in haze-I days. The abundance of HOA was relatively lower, accounting for 2.3%–7.8% of the total CHON compounds, and the relative abundance of HOA in haze-I days was much higher than that in haze-II, clean-I, and clean-II days, suggesting the accumulation of primary fossil fuel combustion during haze-I days.

The CHON+ compounds mainly occurred at the range of $-2.0 < OS_C < 1.5$, with average OS_C values of around −1.0 for each sample, clearly indicating that CHON+ compounds were relatively lower than CHON– compounds. Most of the CHON+ compounds were detected in the BBOA region, accounting for 60%–76% of the total $CHON^+$ compounds. The relative contribution of BBOA in haze-I days was lower than that in haze-II and clean days. Moreover, a large number of aromatic species were observed at the region B1+ (Figure 4), demonstrating that higher aromatic compounds

were only detected in haze-I days, which may be related to soot or BC. Similar trend was also exhibited by CHO+ compounds, indicating the contribution of local combustion sources (e.g., traffic emission) during haze-I days.

3.3.3. CHOS and CHONS Compounds

In this study, 478–696 CHOS compounds and 306–589 CHONS compounds were identified in ESI– mode (Table S4). Among these S-containing compounds, >86% of the CHOS compounds had O/S ratios >4, whereas > 89% of the CHONS compounds presented O/S ratios >7, suggesting that these S-containing compounds were possibly organosulfates and nitrooxyorganosulfates. As listed in Table S4, the $AI_{mod,w}$ values for CHOS and CHONS were about 0.02 and 0.01 in the HULIS fraction, which were much lower than those for CHO and CHON. Almost 99% of the CHOS and CHONS compounds in the HULIS fraction had AI_{mod} values <0.5, while >93% of the CHONS compounds had AI_{mod} = 0, indicating that they were mainly comprised of aliphatic and olefinic organosulfates. These results are consistent with the previous findings that the major S-containing compounds among organic aerosols in Guangzhou are organosulfates formed by secondary oxidation reaction of long-chain alkenes/fatty acids with SO_2 (Jiang et al., 2020), which generally possessed long aliphatic carbon chains and a higher degree of oxidation. However, these compounds are different from the S-containing compounds detected during the hazy days in Beijing (Jiang et al., 2016; Mo et al., 2016), which were determined to be aliphatic organosulfates with low degree of oxidation and higher amounts of aromatics and PAH-derived organosulfates, having a strong correlation with anthropogenic emissions.

As described earlier, CHOS− and CHONS− compounds might be related to organosulfates or nitrooxyorganosulfates, which have been observed to be derived from atmospheric reactions of bio-VOCs such as α-pinene, limonene, and isoprene (Huang et al., 2018; Surratt *et al.*, 2008) and fossil fuel combustion including coal combustion, off-road engine emissions (Song et al., 2018, 2019; Cui et al., 2019). In the present study, the relative contents of S-containing compounds (CHOS+CHONS) in the HULIS fraction in haze days were all higher than those in clean days (Figure 2). Moreover, the CHOS and CHONS compounds in haze HULIS always have relatively high relatively O/S ratios than those in clean HULIS. These findings suggested the relatively higher contribution of SO_2-related chemical oxidation during the haze event.

3.3.4. CHN Compounds

The N-bases (CHN) are usually identified in ambient aerosols and smokes from BB. In the present study, 110–165 CHN+ compounds were identified in ESI+ mode, with most of them (>86%) presenting DBE ≥ 2, suggesting that they might be nitrile and amine species (Lin et al., 2012). As shown in Figure 2, the abundances of CHN+ compounds were 2.0%–3.6% in the haze days, which were much higher than those noted in clean days (1.3%–1.4%), indicating higher contribution of CHN+ compounds to the HULIS fraction during the haze episode. The MW_w values for CHN+ compounds were 204–223, which were lower than those for the other groups (i.e., CHO+, CHON+) (Table S5). However, the average AI_{mod} values for N-bases (0.37–0.48) detected in the ESI+ mode were much higher than those for CHO+ (0.11–0.12) and CHON+ (0.20–0.22) compounds, implying that these reduced CHN+ compounds exhibited more unsaturated or aromatic structures.

To further understand the molecular distribution of CHN+ compounds during the haze process, van Krevelen (VK) diagrams were constructed by plotting the H/C ratio versus N/C ratio (Figure S2). It was obvious that this plot could separate the compound classes with different degree of AI. As shown in Figure S2, compounds (denoted in black color) in the upper region of the VK diagram had one N atom with DBE = 0, indicating that they are aliphatic amines. It can be noted from Table S7 that the aliphatic group presented the lowest abundance in all the samples, suggesting that the CHN+ compounds possessed comparatively lower aliphatic structures. Olefinic compounds showed the highest abundance in the four samples, which accounted for 37%–51% of the total CHN+ compounds. Importantly, a large proportion of the compounds (>39%) exhibited high degree of AI (AI > 0.5) (Figure S2 and Table S7), suggesting a large amounts of aromatic structure and N-heterocyclic ring in HULIS. Moreover, the CHN+ compounds in haze-I days presented obviously lower content of aromatic structures than those in haze-II, clean-I, and clean-II days, signifying the relatively high contribution of fossil fuel combustion (which generally emits more low-aromatic CHN compounds) during the haze bloom episode(Song et al., 2022). In addition, the CHN+ group also constituted a large proportion of BBOA (Table S6), which indicated the significant contribution of BB. However, it must be noted that a relatively lower content of BBOA was detected during haze-I days, which was consistent with the changing trends of CHON- or CHON+ compounds during the haze episode. These results suggested the relatively lower contribution of BB during haze-I days, because quiet and stable weather conditions can prevent regional transport of BB sources during this stage (Wu et al., 2018).

3.4. Factors influencing light absorption and molecular characteristics of HULIS during the haze bloom-decay process

As described earlier, the light absorption properties of HULIS exhibited obvious variation during the haze bloom-decay process. The average Abs_{365} value for HULIS was 0.55 ± 0.06 M m^{-1} in clean-I days, which first increased to 3.4 ± 1.5 M m^{-1} in haze-I days, then slowly decreased to 2.6 ± 0.85 M m^{-1} in haze-II days, and finally rapidly declined to 0.64 ± 0.32 M m^{-1} in clean-II days. In general, the light absorption of HULIS can be related to their chemical and molecular properties that are influenced by factors such as sources, secondary formation, and aging process. The results of principal component analysis (PCA) obviously showed a positive loading for principal component 1 (PC1), and the Abs_{365} values for HULIS were clustered with EC, K_{bb}^{+}, Lev, NH_4^{+}, and NO_3^{-} (Figure 5). These results suggested that BB and other sources such as new particle formation could contribute to light absorption of HULIS (An et al., 2019; Song et al., 2019). Similarly, the findings of Pearson correlation coefficient analysis revealed that the Abs_{365} values for HULIS exhibited significant positive correlations with K_{bb}^{+} ($r = 0.728$, $p < 0.01$) and Lev ($r = 0.800$, $p < 0.01$) (Table S8). As Lev and K_{bb}^{+} are generally considered as tracers derived from BB, these results suggested the significant contribution of BB to light absorption of HULIS. This observation was also supported by the abundance of BBOA compounds detected in all the four HULIS samples (Table S6). The significant positive relationships between the Abs_{365} values for HULIS and secondary ions (i.e., NO_3^{-} ($r = 0.702$, $p < 0.01$), SO_4^{2-} ($r = 0.554$, $p < 0.05$), and NH_4^{+} ($r = 0.899$, $p < 0.01$)) indicated the important impact of secondary formation on the light absorption of HULIS. Besides, the Abs_{365} values for HULIS were also strongly correlated

541 with NO_2, O_3, and NO_2, which confirmed the important impact of atmospheric oxidation
542 reactions on the light absorption of HULIS.

543 It must be noted that MAE_{365} is a key parameter signifying the light absorption
544 ability of HULIS. As listed in Table S2, the MAE_{365} values for HULIS varied in different
545 stages, and were lower in haze days owing to the variation in the chemical and molecular
546 composition of HULIS during the haze bloom-decay process. Furthermore, the AI_{mod}
547 values for HULIS varied in different stages (Tables S4), and were relatively lower in
548 haze days, indicating that haze HULIS have comparatively lower degree of conjugation
549 or aromaticity. This finding suggested that the HULIS compounds may undergo higher
550 oxidation during the haze episode, causing a decline in chromophores and reduction in
551 the light absorption capacity of HULIS (Lin et al., 2017). Besides, the accumulated
552 contribution of organic compounds from vehicle emission and secondary chemical
553 reactions of bio-VOCs may also dilute light-absorbing compounds in haze HULIS (Tang
554 et al., 2020; Liu et al., 2016).

555 Lin et al. (2018) reported that potential light-absorbing chromophores can be
556 determined in the region between DBE = 0.5 × C (linear conjugated polyenes $C_xH_yC_2$)
557 and DBE = 0.9 × C (fullerene-like hydrocarbons). In the present study, most of the high-
558 intensity CHON, CHO, and CHN compounds with high AI values were clustered in
559 potential BrC chromophore region (Figures S3 and S4), which mainly comprised CHON
560 (46%–50% in ESI- mode and 56%–62% in ESI+ mode, respectively) and CHO (44%–48%
561 in ESI- mode and 29%–38% in ESI+ mode, respectively) compounds (Table 1).
562 Although the contribution of CHN+ compounds to BrC was relatively lower, the content
563 of potential chromophores among the total CHN+ compounds was higher than those in

CHON+ and CHO+ compounds. Therefore, these three groups of light-absorbing compounds (i.e., CHON+, CHN+, and CHO+ compounds) were further examined. As shown in Table 1, the Int_C/Int_{BrC} values of CHO− (i.e., content of CHO− chromophores in the total chromophores) decreased from 48% to 44% whereas the Int_C/Int_{BrC} values of CHON− increased from 46% to 50% during the haze bloom process. These findings indicated that more NOCs chromophores were formed during this stage in which higher NO_2 concentration may be preferred for the formation of N-containing chromophores such as nitrophenols. However, it must be noted that the proportions of both CHO− and CHON− chromophores among the total identified compounds decreased from clean-I to haze-I days, suggesting the occurrence of stronger photo-bleaching process during the haze bloom stage (Zeng et al., 2020). Likewise, both CHO+ and CHON+ compounds presented similar variation during the entire study period. In addition, the CHN+ compounds also exhibited higher Int_C/Int_{BrC} values during the haze bloom process and suggesting the accumulated contribution from local combustion process. Furthermore, the proportion of CHON+ chromophores in the total CHON+ compounds increased with the decreasing content of CHN+ chromophores, may implying that some aromatic CHN compounds were transformed to CHON+ compounds during the aging process.

4. Conclusions

This study investigated the evolution of light absorption and molecular properties of HULIS during a winter haze bloom-decay process, and examined the key factors affecting the light absorption of HULIS in Guangzhou, China. The results showed that HULIS exhibited significant variation in light absorption during the haze bloom-decay

process. First, higher Abs_{365} values were observed in haze days, indicating the presence of significant amounts of light-absorbing organic compounds during the haze episode. However, the MAE_{365} values for HULIS in haze days were relatively lower than those in clean days, suggesting the light absorption capabilities of HULIS were weakened during the haze event. Furthermore, CHON and CHO compounds, exhibiting relatively higher degree of conjugated structure, were the most abundant groups in all the HULIS samples, and were also the major contributors to light absorption capacity of HULIS. Importantly, the molecular properties of HULIS dynamically varied during the entire haze episode. When compared with HULIS in clean days, those in haze days presented relatively lower AI_{mod} values and higher O/C_w, O/N_w, and O/S_w ratios, suggesting the predominance of compounds with low aromaticity and higher oxidation in HULIS during haze episode. These results indicated that HULIS compounds undergo relatively stronger oxidation during the haze days. Moreover, PCA and Pearson correlation analysis revealed that BB and secondary chemical formation both contributed to the variation in the light absorption properties of HULIS. Both primary sources (such as accumulated contribution of organic compounds formed from local traffic emission) and secondary sources (such as stronger chemical reactions) led to the rapid increase in HULIS during the haze bloom days. However, stronger oxidation of HULIS compounds were observed during the haze episode, and some potential BrC chromophores were degraded. In addition, the chemical reactions of bio-VOCs such as isoprene also diluted the light-absorbing compounds in HULIS.

Thus, the present study provides novel insights into the light and molecular evolution of HULIS during haze event, which are important for predicting the

environmental and climatic effects of HULIS. However, as this study examined only one haze bloom-decay process in winter in Guangzhou, the results obtained may be not adequate for understanding all the haze episodes in South China. Therefore, there is a need for a comprehensive investigation of haze episode in different seasons and regions in future.

Data availability

The research data are available in the Harvard Dataverse (https://doi.org/10.7910/DVN/DYGYQT, Song, 2022).

Author contributions. J. Song and P. Peng designed the research together. C, Zou, T. Cao, and M. Li carried out the $PM_{2.5}$ sampling experiments. C, Zou and T. Cao extracted and analyzed the WSOC and HULIS samples. B. Jiang analyzed the HULIS samples by FT-ICR MS. C. Zou and J. Song wrote the paper. J. Li, X. Ding, Z Yu, and G. Zhang commented and revised the paper.

Competing interests. The authors declare that they have no conflict of interest

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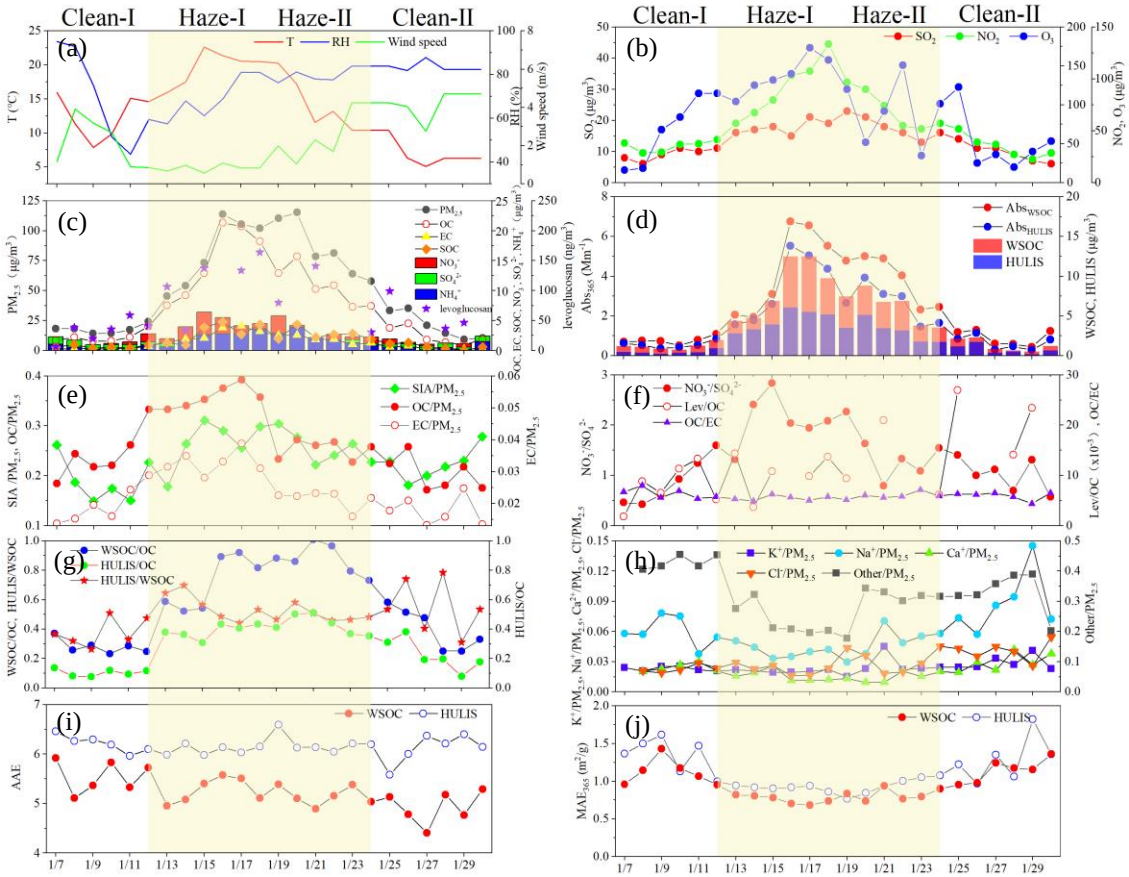

Figure 1. Temporal variation in meteorological parameters, concentrations of chemical composition, and optical properties (Abs_{365}, MAE_{365}, and AAE) of water-soluble BrC in the $PM_{2.5}$ samples.

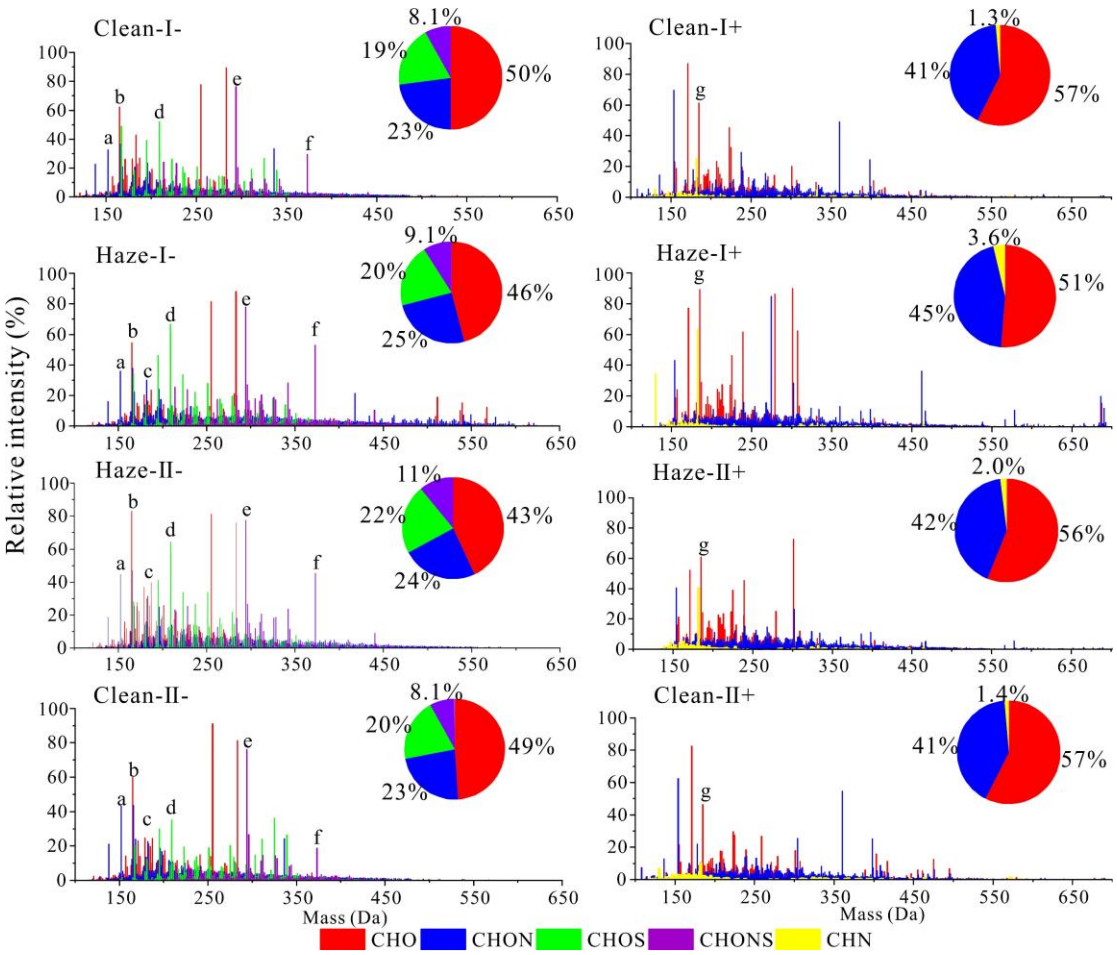

Figure 2. Mass spectra of HULIS detected in ESI- and ESI+ modes during the haze process. The pie charts represent the intensity percent of different compound groups.

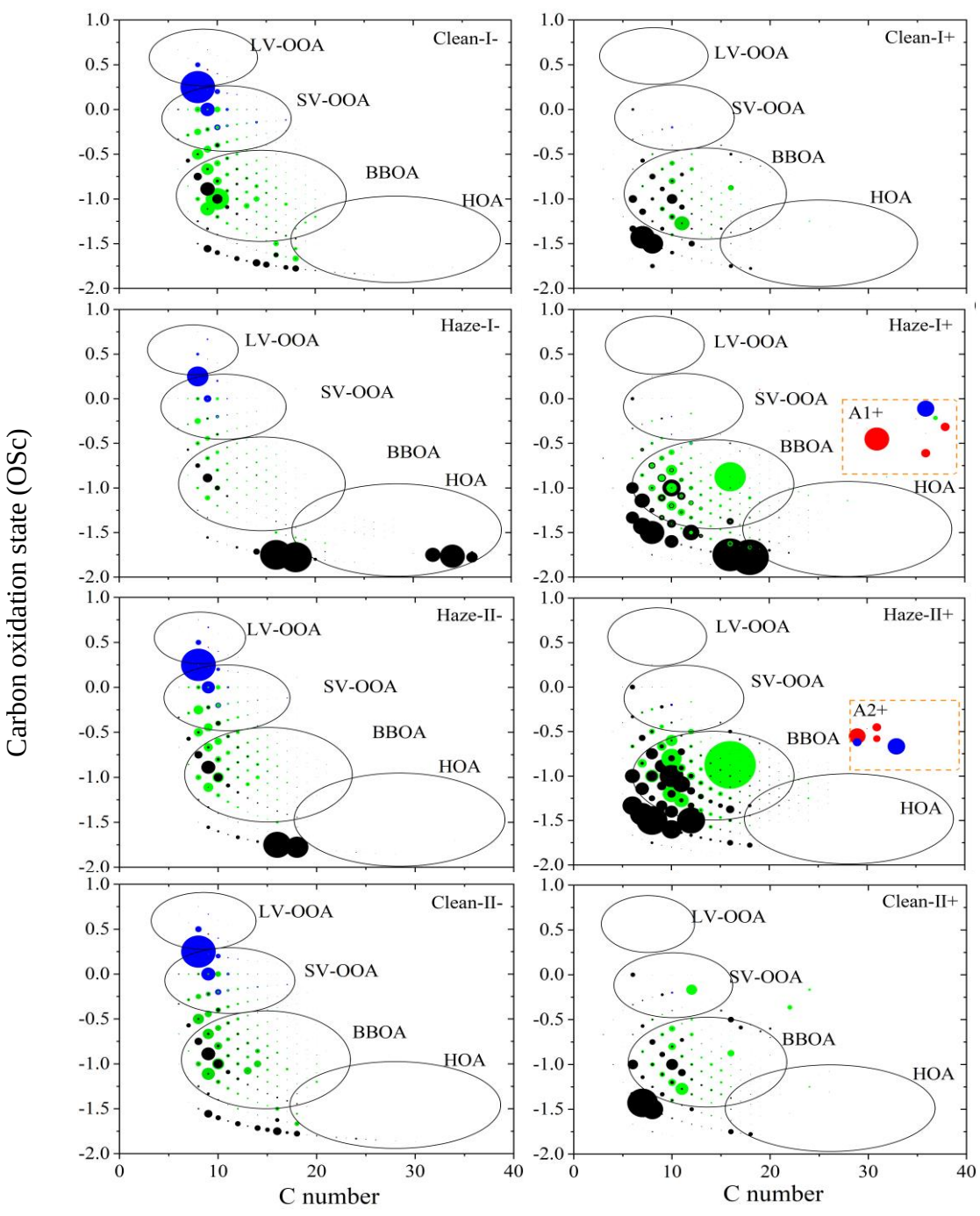

Figure 3. Carbon oxidation state (OSc) plots for CHO- and CHO+. Formulas with black, green, blue, and red are assigned to aliphatic (AI = 0), olefinic (0< AI <0.5), aromatic (0.5≤ AI <0.67), and condensed aromatic (AI ≥0.67) species (Koch and Dittmar, 2006), respectively.

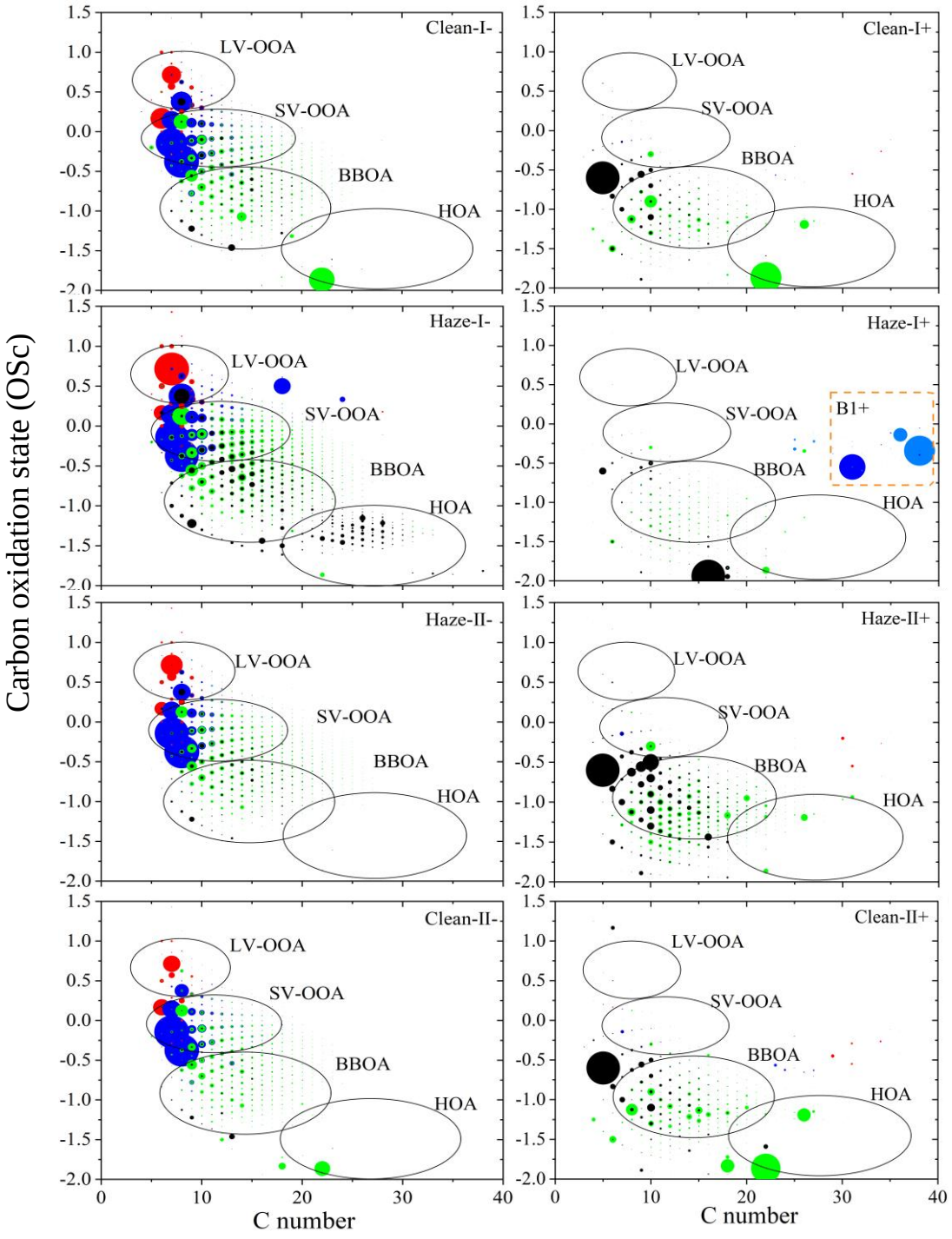

Figure 4. Carbon oxidation state (OSc) plots for CHON- and CHON+. Formulas with black, green, blue, and red are assigned to aliphatic (AI = 0), olefinic (0< AI <0.5), aromatic (0.5≤ AI <0.67), and condensed aromatic (AI ≥0.67) species (Koch and Dittmar, 2006), respective.

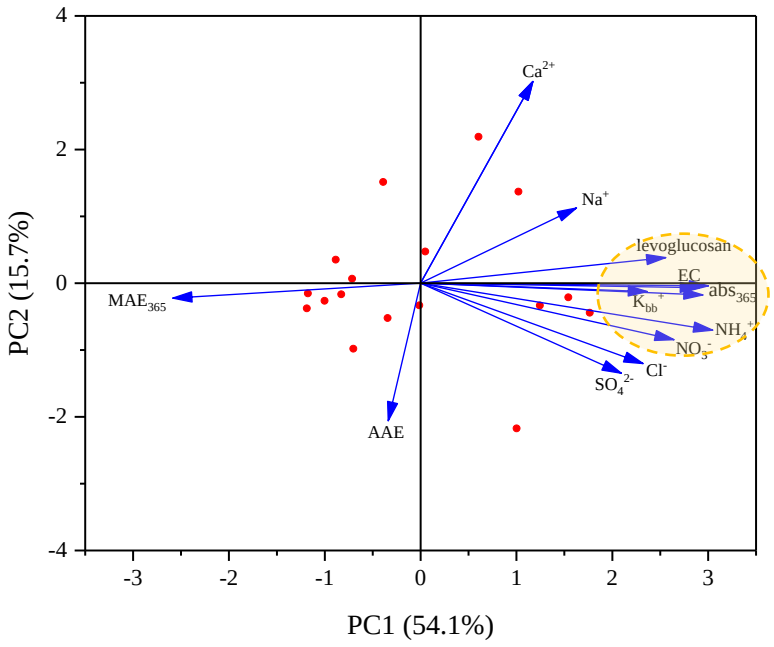

Figure 5. Principal component analysis results for the optical properties of HULIS and chemical compositions of $PM_{2.5}$.