



#### An assessment of land energy balance over East Asia from 1 multiple lines and the roles of Tibet Plateau, aerosols, and 2 clouds 3

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21 Abstract. With high emissions of aerosols and the known world's "Third Pole" of the Tibet Plateau (TP) in 22 East Asia, knowledge on the energy budget over this region is widely concerned. This study first attempts 23 to estimate the present-day land energy balance over East Asia by combining surface and satellite 24 observations, as well as the atmospheric reanalysis and Coupled Model Intercomparison Project phase 6 25 (CMIP6) simulations. Compared to the global land budget, a substantially larger fraction of atmospheric 26 shortwave radiation of 5.2% is reflected, highly associated with the higher aerosol loadings and more clouds 27 over East Asian land. While a slightly smaller fraction of atmospheric shortwave absorption of 0.6% is 28 unexpectedly estimated, possibly related to the lower water vapor content effects due to the thinner air over 29 the TP to overcompensate for the aerosol and cloud effects over East Asian land. The weaker greenhouse 30 effect and fewer low clouds due to the TP are very likely the causes for the smaller fraction of East Asian-31 land surface downward longwave radiation. Hence, high aerosol loadings, clouds, and the TP over East Asia 32 play vital roles in the shortwave budgets, while the TP is responsible for the longwave budgets during this 33 regional energy budget assessment. The further obtained cloud radiative effects suggest that the presence of 34 clouds results in a larger cooling effect on the climate system over East Asian land than that over globe. This 35 study helps understand the potential factors influencing the diversifying energy budget assessments over 36 regions.

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#### 38 **1. Introduction**

39 Current patterns of Earth's weather and climate are largely determined by the spatiotemporal 40 distributions of energy exchanges between the surface, atmosphere, and space. Theoretically, the outgoing 41 longwave radiation (OLR) is balanced by the incoming and reflected solar radiation at the top of the 42 atmosphere (TOA) to produce an equilibrium climate. The incoming solar radiation can be scattered by 43 clouds and aerosols or absorbed by the intermediary atmosphere, thereby contributing to the diverse energy 44 transformation at the surface (Trenberth et al., 2009; Wild et al., 2013a). The Earth's surface energy balance 45 is of particular significance because it is the key driver of atmospheric and oceanic circulations, hydrological 46 cycles, and various surface processes (Wild et al., 2008; Mercado et al., 2009; Wild et al., 2013a; L Ecuyer 47 et al., 2015). Anthropogenic influences on climate change are driven by the uneven distribution of the TOA 48 net radiation caused by forcings perturbed by variations of the atmospheric composition of greenhouse gases 49 and aerosols as well as aerosol-cloud interactions (Trenberth et al., 2009; Stephens et al., 2012; Wild et al., 50 2013a; Trenberth et al., 2014; L Ecuyer et al., 2015; Wild et al., 2019).

Many efforts have been made to quantify the magnitudes of different radiative components or energy budgets in the climate system over a range of time-space scales, such as on global scales (Lin et al., 2008; Trenberth et al., 2009; Stephens et al., 2012; Wild et al., 2013b; Wild et al., 2015; L Ecuyer et al., 2015; Wild et al., 2019; Wild, 2020), over land and ocean domains or the energy transport between them (Fasullo and Trenberth, 2008a, b; Trenberth et al., 2009; Wild et al., 2015; L Ecuyer et al., 2015), over the Arctic (Previdi et al., 2015; Christensen et al., 2016), and over individual continents and ocean basins (L Ecuyer et al., 2015; Kim and Lee, 2018; Thomas et al., 2020). The energy balance at the TOA can be accurately





58 monitored by satellites from the most advanced Clouds and the Earth's Radiant Energy System (CERES) 59 Energy Balanced and Filled (EBAF) data product (Loeb et al., 2018), while considerably larger uncertainties 60 appear at the surface fluxes owing to weaker observational constraints (Raschke et al., 2016; Kato et al., 61 2018; Huang et al., 2019). These assessments mostly build upon complementary approaches from a 62 combination of space and surface observations, climate models, and reanalyses. To date, the discrepancies 63 of independent global mean surface radiative fluxes have estimated to be within a few W m<sup>-2</sup> (Wild, 2017a, 64 b), enabling the accurate quantification of global surface budgets. In addition, the surface radiative 65 components simulated by various climate models vary substantially in a range of around 10-20 W m<sup>-2</sup> on 66 global scales, but exhibit greater inter-model discrepancies on regional scales (Li et al., 2013; Wild et al., 67 2013a; Boeke and Taylor, 2016; Wild et al., 2015; Wild, 2017a, b, 2020). Existing challenges on the surface 68 energy estimates include considerable uncertainties from surface albedo and skin temperature, as well as the 69 partitioning of surface net radiation into sensible and latent heat (SH; LH) (Wild, 2017a, b).

70 As the world's largest and highest plateau, the Tibet Plateau (TP) covers nearly one third of the East 71 Asian land area, significantly affecting the atmospheric circulation, energy budget, and water cycles of 72 climate system through its orographic and thermal effects (Liu et al., 2007; Xu et al., 2008a, b; Wu et al., 73 2015). Deeper insights into the energy budget differences over East Asian and global land under the 74 background of high aerosol emissions and the role of the TP in East Asia are of the meaningful and essential 75 attempts. Therefore, our emphasis in this study is on the regional characterization of the East Asian energy 76 balance under both all-sky and clear-sky conditions based on a combination of surface observations, satellite-77 derived products, reanalysis, and Coupled Model Intercomparison Project phase 6 (CMIP6) models. The 78 cloud influence on the radiative energy budgets at the TOA, within the atmosphere, and at the surface is 79 further quantified over this region. Section 2 introduces the different data sources used in this study, 80 including surface and satellite observations, climate models, and reanalysis. Sections 3 and 4 provide detailed 81 analyses of the all-sky and clear-sky estimates of the energy balance components. The inferred cloud 82 radiative effects (CREs) at the TOA, within the atmosphere, and at the surface are presented in Section 5. 83 Summary and conclusions are given in Section 6. The present-day in this study represents years of 2010-84 2014, which corresponds to the last five years of the historical simulations in CMIP6 climate models. East 85 Asian land as considered in this study consists of five countries, including China, Japan, South and North 86 Korea, as well as Mongolia.

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## 88 2. Data sources

89 2.1. Surface observations

Considering the efforts to diminish the inhomogeneities in the measurement of ground-based surface
(downward) solar radiation (SSR) (Tang et al., 2011; Wang, 2014; Wang et al., 2015; Wang and Wild, 2016;
He et al., 2018; Yang et al., 2018, 2019) and the large amount of observational stations over China, the
homogenized monthly all-sky and clear-sky SSR datasets from the China Meteorological Administration
(CMA) National Meteorological Information Center (NMIC) are used in this study (http://data.cma.cn/enl)





95 (Yang et al., 2018, 2019). In this dataset, the clear-sky condition at observational sites is defined based on 96 the measured cloud fraction per day of no more than 15% (Yang et al., 2018). Taking clear-sky data (with 97 relatively complex missing months compared to the all-sky dataset) as an example, sites with more than one 98 year of > 2 missing months were deleted to ensure  $\geq$  4 years of available data during the period 2010-2014, 99 then the spline interpolation was performed on the missing months of the selected sites. As a consequence, 100 99 and 76 sites are available for the all-sky and clear-sky studies, respectively. Besides, to further explore 101 the impacts from different site types, 84 (62) urban and 15 (14) rural stations for all-sky (clear-sky) 102 conditions are defined according to the administrative divisions of China (Wang et al., 2017).

103 For the remaining East Asian sites, we use the monthly Global Energy Balance Archive (GEBA) dataset 104 (http://www.geba.ethz.ch) (Wild et al., 2017), which contains a worldwide widespread distribution of 105 monthly data from many sources, e.g., from the World Radiation Data Center (WRDC), the Baseline Surface 106 Radiation Network (BSRN), etc. Among these data sources, the BSRN dataset has a much higher precision 107 and temporal resolution (up to 1 min) compared to the GEBA, but its site number is very limited over East 108 Asia (only a few sites located in Japan and one site in Xianghe, China, but with no data available during this 109 study period), thus making it impossible to obtain clear-sky data using the clear-sky detection algorithm. 110 Moreover, the relative random error of the monthly SSR from the GEBA data evaluated by Gilgen et al. 111 (1998) is 5%.

112 In order to retain as many sites as possible during the study period, we widen the selection criterion of 113 the GEBA data, i.e., sites with data  $\geq$  4 years and missing months  $\leq$  3. Eventually, 8, 2, 4, and 14 sites are 114 selected from GEBA in China, Mongolia, South and North Korea, and Japan, respectively. Especially, 115 among the 14 sites in Japan, five pairs of the duplicate sites are obtained from the WRDC and BSRN sources, 116 respectively, and the left 4 sites are only from the WRDC (9 sites available). For China, only one site from 117 Hongkong out of 8 GEBA sites is not repetitive from the above-mentioned CMA sites (1 site available). 118 Therefore, 16 out of 28 GEBA sites are available under all-sky conditions (including 15 sites over regions 119 outside China and 1 site over Hongkong, China) by taking the average of these duplicate sites in Japan 120 instead, while the clear-sky reference sites are obtained from the interpolated CERES EBAF clear-sky 121 estimates at the GEBA sites (also 16 sites) due to the limited numbers of observational sites over these 122 regions. Additionally, we regard four island sites in Japan as rural stations (not shown in the figures), while 123 the sites in Mongolia as well as South and North Korea are all urban sites.

As shown in Fig. S1, there are 99 (rural/total: 15/99) and 16 (rural/total: 4/16) sites from the CMA and
GEBA available under all-sky conditions, respectively, whereas 76 (rural/total: 14/99) and 16 (from the
CERES-interpolated data at the 16 GEBA sites) sites are considered for clear-sky conditions, respectively.
More detailed station information is given in Table S1.





## 129 2.2. Satellite observation

130 Owing to the excellent temporal and spatial coverage of satellite instruments, CERES data products are 131 widely used to track variations of Earth's energy budgets. The newly released CERES EBAF Edition 4.1 132 with a monthly  $1^{\circ} \times 1^{\circ}$  latitude-longitude resolution is used in this study (https://ceres.larc.nasa.gov/data/). In 133 this dataset, the TOA radiation components are adjusted within their uncertainty ranges based on the 134 independent observational ocean estimates of global heating rate (Loeb et al., 2018). Unlike the directly 135 measured TOA energy budget, the EBAF-surface energy fluxes are calculated by the cloud and aerosol 136 properties from satellite-derived products as well as the atmospheric profiles from reanalysis, with a lower 137 accuracy than their TOA counterparts (Kato et al., 2018). The uncertainty ranges in 1°×1° regional monthly 138 all-sky and clear-sky longwave (LW) and shortwave (SW) radiation fluxes at the TOA are also documented 139 by Loeb et al. (2018).

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## 141 2.3. Climate models and reanalysis

142 Data from 40 CMIP6 climate models are used for the analyses in this study with their model 143 abbreviations, modeling groups, and resolutions in Table S2. A detailed description of the modeling groups 144 participating in CMIP6 is provided at https://pcmdi.llnl.gov/CMIP6/. The CMIP6 model-calculated radiation 145 fluxes under investigation for this study include energy budgets under both all-sky and clear-sky conditions 146 from 'historical all forcings' experiments covering the period 2010-2014. In these historical simulations, 147 both natural (e.g., solar variability and volcanic aerosols) and anthropogenic (e.g., greenhouse gases, aerosols, 148 and land use) forcings are considered to reproduce the climate change and evolution since preindustrial times 149 as accurately as possible (Eyring et al., 2016). Only the first ensemble member of each model is selected for 150 the analysis and the model numbers vary slightly among different available energy components.

151 In the long history of the European Center for Medium-range Weather Forecast (ECMWF), ERA5 is 152 the fifth generation product. It is a comprehensive reanalysis from 1979 (soon be backdated to 1950) to near 153 real time, which assimilates as many observations as possible in the upper air and near surface 154 (https://cds.climate.copernicus.eu/). Monthly means of the radiative components from ERA5 are used in this 155 study with a resolution of  $0.25^{\circ} \times 0.25^{\circ}$  (regridded to  $1^{\circ} \times 1^{\circ}$ ). Compared to previous reanalyses (such as ERA-156 Interim), a major strength of ERA5 is the much higher temporal and spatial resolutions, as well as a larger 157 number of vertical levels (Hersbach et al., 2020). Several independent studies have evaluated the 158 performance of ERA5 since its release. For example, excellent closure of the Arctic energy budget based on 159 ERA5 atmospheric data has been assessed by Mayer et al. (2019). The representation of surface irradiance 160 of ERA5 has been compared with other reanalyses and with ground and satellite observations (Trolliet et al., 161 2018; Urraca et al., 2018). Specifically, Trolliet et al. (2018) found that the surface solar irradiance over the 162 tropical Atlantic Ocean from ERA5 exhibits fewer biases than the second version of the Modern-Era 163 Retrospective Analysis for Research and Applications (MERRA-2). Urraca et al. (2018) reported that ERA5 164 can be a valid alternative for satellite-derived products in terms of surface irradiance in most inland stations 165 compared to ERA-Interim or MERRA-2.





# 166 **3.** Assessment of land energy balance budgets under all-sky conditions

## 167 3.1. Shortwave components

168 Under all-sky conditions, the present-day annual land-mean anomalies of TOA incident solar radiation 169 as well as the SW net radiation at the TOA, within the atmosphere, and at the surface regarding to their 170 respective multi-model means as simulated by various CMIP6 models over East Asia are shown in Fig. 1a. 171 A summary of the CMIP6 model statistics (such as available model number, model spread, and the standard 172 deviation (SD)), along with the corresponding multi-model mean, ERA5-, and CERES-derived estimates of 173 different energy balance components are listed in Table 1. As shown in Fig. 1a, with the exception of the 174 BCC-CSM2-MR and BCC-CESM1 models, all models give an estimate around 334 W m<sup>-2</sup> for TOA 175 incoming solar radiation with a very small SD of 0.2, closely matching the multi-model mean as well as the 176 CERES and ERA5 estimates (Table 1). The multi-model means of solar absorption at the TOA, within the 177 atmosphere, and at the surface are 217, 73, and 144 W m<sup>-2</sup>, respectively, all within 2 W m<sup>-2</sup> of the biases 178 against the CERES-derived estimates, while they are 3-4 W m<sup>-2</sup> larger for those from ERA5 at the TOA and within the atmosphere, yielding 1 W m<sup>-2</sup> of bias against the CERES-based estimate at the surface (Table 1). 179 180 However, the individual models vary significantly in their simulated annual East Asian land-mean solar 181 absorption both at the TOA and surface (Fig. 1a), with SDs of around 6 W m<sup>-2</sup> and inter-model spreads of 182 more than 20 W m<sup>-2</sup> (Table 1). Considering the smaller absolute amount of atmospheric and surface solar 183 absorption compared to the TOA counterpart (73 and 144 vs. 217 W m<sup>-2</sup>; Table 1), the relative (percentage) 184 differences relative to their respective multi-model means ( relative (percentage) difference =  $\frac{\text{range}}{\text{multi-model mean}} \times 100\%$ ) indicate that the uncertainties within the atmosphere and at the surface are larger 185 than that at the TOA (i.e., TOA:  $\frac{22}{217} \times 100\% = 10\%$ ; Atmosphere:  $\frac{19}{73} \times 100\% = 26\%$ ; Surface: 186  $\frac{23}{144} \times 100\% = 16\%$ ). 187







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Figure 1. Annual land mean anomalies of (a, b) shortwave (SW) and (c, d) longwave (LW) budgets
(Units: W m<sup>-2</sup>) with regard to their respective multi-model means for present-day climate under (a, c) allsky and (b, d) clear-sky conditions over East Asia as simulated by various CMIP6 models. The black, red,
blue, and green lines represent the TOA incoming solar radiation, as well as the net SW/LW radiation at
the TOA, within the atmosphere, and at the surface, respectively.

196 Table 1. Annual land mean estimates (Units: W m<sup>-2</sup>) of the magnitudes of various energy balance 197 components and cloud radiative effects (CREs) over East Asia under all-sky and clear-sky conditions at the 198 TOA, within the atmosphere, and at the surface, respectively. The CMIP6 model statistics (e.g., available 199 model number, spread, standard deviation (SD)), as well as the corresponding multi-model mean, ERA5-, 200 and CERES-derived estimates are also given in the Table.

Component (W m <sup>-2</sup> )	CMIP6			ERA5	CERES	
	models	spread	SD	mean		
TOA						
Solar down	39	4	0.2	334	334	334
Solar up all-sky	39	23	6	-117	-115	-118





Solar net all-sky	39	22	6.1	217	219	216
Solar up clear-sky	39	24	7	-76	-78	-72
Solar net clear-sky	39	24	6.9	258	256	262
SW CRE	39	26	6.5	-41	-37	-46
Thermal up all-sky	39	12	3.5	-224	-225	-226
Thermal up clear-sky	39	15	3.2	-247	-246	-250
LW CRE	39	12	2.4	23	21	24
Net CRE	39	24	5.8	-18	-16	-22
Atmosphere						
SW absorption all-sky	39	19	3.8	73	78	74
SW absorption clear-sky	35	19	3.8	69	77	71
SW CRE	32	33	6.9	4	2	3
LW net all-sky	39	22	5.1	-152	-150	-157
LW net clear-sky	35	16	3.6	-151	-151	-154
LW CRE	32	14	3.3	-2	1	-3
Net CRE	32	35	7.8	1	2	0
Surface						
SW down all-sky	39	33	7.6	186	191	178
SW up all-sky	39	24	6.5	-43	-50	-36
SW absorbed all-sky	39	23	6.1	144	141	142
SW down clear-sky	35	25	4.6	242	238	236
SW up clear-sky	35	27	6.8	-53	-59	-45
SW absorbed clear-sky	32	36	7.8	189	179	191
SW CRE	35	28	6.6	-46	-38	-49
LW down all-sky	39	27	7.9	280	273	285
LW up all-sky	39	23	7.1	-352	-347	-354
LW net all-sky	39	23	5.7	-71	-74	-69
LW down clear-sky	35	26	6.8	256	253	256
LW up clear-sky	35	23	7.1	-351	-347	-353
LW net clear-sky	35	18	4.1	-95	-94	-97
LW CRE	35	12	3.5	24	20	27
net CRE	32	31	6	-21	-18	-22
net radiation	39	20	5.3	72	67	73
LH	40	26	4.7	-43	-38	_
SH	40	21	5.2	-31	-29	

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The simulated SSR, however, shows the largest spread of more than 30 W m<sup>-2</sup> (ranging from 172–205 W m<sup>-2</sup>) among all the substantially differing all-sky surface radiation components, with a large SD of 7.6 W m<sup>-2</sup> (Fig. 2a; Table 1). The multi-model mean SSR is estimated to be 186 W m<sup>-2</sup>, suggesting positive and negative deviations of 8 and 5 W m<sup>-2</sup> from the CERES- and ERA5- derived estimates, respectively (Table 1). Interestingly, although the discrepancy between them is very large (8 or 5 W m<sup>-2</sup>), both the resulting surface solar absorption differences are very small (within 3 W m<sup>-2</sup>), indicating that a higher SSR goes





- 208 together with a higher surface albedo (Table 1), which agrees well with that on a global mean level (Wild et
- 209 al., 2015).

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Figure 2. Annual land mean surface downward (a) SW and (b) LW radiation (Units: W m<sup>-2</sup>) under both all-sky (orange bars) and clear-sky (green bars) conditions over East Asia as calculated by various CMIP6 models.

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## 216 3.2. Best estimates for the surface downward SW radiation

217 As a major component of Earth's energy balance, the solar radiation reaching the Earth's surface 218 governs a wide range of surface physical and chemical processes. The spatial distributions of the site-based 219 annual mean SSR from the CMA and GEBA (Section 2.1) over East Asia under all-sky conditions are 220 presented in Fig. 3a, together with the classified rural and urban sites. In short, the high values are mainly 221 located at the high elevation stations over western China and a few island sites in Japan (e.g., 222 Minamitorishima, Japan; not shown in the figure), especially over the TP, with the largest value reaching 223 263 W m<sup>-2</sup> (Geer, Tibet), which is associated with the high atmospheric transparency over these regions. 224 However, the low annual mean values are primary over southwestern China, with the smallest value of 103 225 W m<sup>-2</sup> (Shapingba, Chongqing), which is possibly caused by the higher aerosol loadings (Liao et al., 2015;





- 226 de Leeuw et al., 2018) and more clouds (Li et al., 2017; You et al., 2019; Lei et al., 2020; Zhang et al., 2020)
- 227 over these regions. This distribution pattern is highly consistent with that over China documented by Wang
- 228 et al. (2021).

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Figure 3. Spatial distributions of annual mean surface downward solar radiation (SSR) (Units: W m<sup>-2</sup>) under
(a) all-sky and (b) clear-sky conditions over East Asia. The all-sky sites are available from 99 CMA (China)
and 16 GEBA (remaining regions outside China and one site in Hongkong, China) stations, while there are
76 CMA and 16 CERES-interpolated sites for clear-sky conditions. The cross and circle symbols indicate
rural (19 vs. 18 for all-sky and clear-sky conditions) and urban stations (96 vs. 74), respectively.

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237 Figure 4 shows the distributions of annual mean SSR biases derived from the CERES, CMIP6 multi-238 model mean, and ERA5 against the surface observations, as well as the comparisons of their respective 239 annual land means at the surface sites with their observed counterparts. The corresponding quantifications 240 of the magnitudes of station-mean biases are also given in Table 2. According to the comparisons, they all 241 correlate well with the ground-based observations, with their respective high correlation coefficients of 0.93, 242 0.87, and 0.89, indicative of the highest accuracy in the CERES-derived estimate (Figs. 4b, d, and f). To 243 quantify their SSR mean biases against the corresponding observed counterparts, the CERES-based bias at 244 all sites is the smallest, with a station-mean bias of 3.8 W m<sup>-2</sup>, followed by the CMIP6 multi-model mean 245 and the ERA5 reanalysis (with respective station-mean biases of 13.8 and 16.5 W m<sup>-2</sup>) (Table 2). 246 Additionally, among all the aforementioned SSR estimates, the East Asian urban sites are in general more 247 significantly overestimated than the rural sites on average compared to the surface observations (Figs. 4b, d, 248 and f; Table 2). This further supports the argument that rural stations might be more representative for larger 249 scale comparisons (e.g., the general circulation model grid scales) than the urban stations (which are 250 vulnerable to local pollution) (Wang et al., 2018). The overestimations are mainly located in the high-latitude 251 regions over East Asia for CERES-derived estimates (among them the underestimations mostly from rural 252 sites), while the underestimates are primarily located in lower-latitude and eastern coastal regions (Figs. 4a 253 and b). The CMIP6 multi-model mean and ERA5-derived SSR generally greatly overestimate the surface-254 based observations both at urban and rural sites, except for the regions over northern and northeastern Inner 255 Mongolia, northwestern Heilongjiang (located in the northeastern China), and some individual sites over 256 southwestern China (Figs. 4c-f). The annual land-mean area-weighted average SSR over East Asia derived





- from CERES is estimated to be 178 W m<sup>-2</sup>, which is closest to the surface observational estimate of 174 W m<sup>-2</sup>, compared to the much higher overestimations of both the CMIP6 multi-model mean and ERA5 (186 and 191W m<sup>-2</sup>) against the surface observations (Table 3), which shows a high consistency with their bias
- distributions and the collocated quantifications (Fig. 4; Table 2).

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Figure 4. Spatial distributions of annual mean SSR biases (Units: W m<sup>2</sup>) derived from (a) CERES-EBAF,
(c) CMIP6 multi-model mean, and (e) ERA5 reanalysis at a combination of the CMA and GEBA sites under
all-sky conditions over East Asia. The corresponding comparisons of their respective annual means at the
surface sites with their observed counterparts are displayed in (b), (d), and (f), respectively. The cross and
circle symbols in Figs. a, c, e as well as the orange and green stars in Figs. b, d, f indicate rural and urban
stations, respectively.





270	Table 2. Annual station-mean SSR biases (Units: W m <sup>-2</sup> ) derived from CERES-EBAF, CMIP6 multi-model
271	mean, and ERA5 compared to the surface observational sites under all-sky and clear-sky conditions during
272	2010-2014 over East Asian land, together with the separate station averages of biases over urban and rural
273	sites.

Station-mean SSR biases		All-sky			Clear-sky	
against surface sites (Units: W m <sup>-2</sup> )	all	urban	rural	all	urban	rural
CERES-EBAF - surface sites	3.8	4.2	1.6	0.4	0.5	-0.3
CMIP6 - surface sites	13.8	15.0	7.4	9.1	9.7	6.4
ERA5 - surface sites	16.5	17.2	12.7	5.7	6.2	3.6

274

275 Table 3. Annual land mean area-weighted average SSR (Units: W m<sup>-2</sup>) from a combination of the CMA and

276	GEBA (CERES-interpolated) site observations under all-sky (clear-sky) conditions during the period 2010-
277	2014 over East Asia, together with the corresponding estimates from the CERES-EBAF, CMIP6 multi-

2,,	2011 over East Hista, together with the corre
278	model means, and ERA5, respectively.

Average annual mean SSR during 2010-2014 over East Asia (Units: W m <sup>-2</sup> )	Surface observations	CERES-EBAF	CMIP6	ERA5
All-sky	174	178	186	191
Clear-sky	230	236	242	238

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280 However, the ground-based observations are spatially limited with sparse stations in some remote 281 regions and are thus inadequate for many applications, as they may be not representative for real situations. 282 To better constrain the large spread in the model-based SSR outlined above, we combine the ground-based 283 observations to obtain the best estimate referring to the approach introduced in (Wild et al., 2013a). Figure 284 5a gives various CMIP6 model biases of all-sky SSR at all the surface sites and their respective East Asian 285 land means. The higher overestimations relative to surface observations generally correspond to higher 286 model-based East Asian land means, with a much higher correlation coefficient of 0.96 than that of 0.88 on 287 the global scale (Wild et al., 2015). Thus, the best estimate of the annual East Asian land-mean SSR is 288 deduced to be 174.2 $\pm$ 1.3 W m<sup>-2</sup> (2 $\sigma$  uncertainty) in light of the linear regression analysis. The corresponding 289 estimates from CERES and ERA5 are also labeled in the figure, at 178 and 191 W m<sup>-2</sup>, respectively, implying 290 a slight and substantial overestimation for CERES and ERA5 estimates. There is an overall tendency that 291 most models overestimate the surface downward SW fluxes (36 out of 39 sites) compared to the ground-292 based observations, with a multi-model mean overestimation relative to site observations of 13.8 W m<sup>-2</sup>, 293 which is also a longstanding issue in climate modelling (Wild et al., 1995; Wild et al., 2015).







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Figure 5. Annual land mean SSR (Units: W m<sup>2</sup>) of various CMIP6 models as well as their respective model
biases relative to an average over surface sites (99 CMA and 16 GEBA for all-sky; 76 CMA and 16 CERESinterpolated sites for clear-sky) under (a) all-sky and (b) clear-sky conditions during 2010-2014 over East
Asia. Green stars represent various CMIP6 models. Best estimate here (orange circle) can be inferred from
the intersection between the linear regression line (green solid lines) and the zero-bias line (blue dotted lines).
Furthermore, the corresponding estimates from CERES-EBAF and ERA5 are also given by red triangle and
blue square, respectively.

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## 304 3.3. Longwave components

305 Similar to the all-sky SW counterparts, obvious discrepancies can still be noted in the annual land-mean 306 LW radiation over East Asia among models, especially for those within the atmosphere and at the surface 307 (Fig. 1c). Correspondingly, the simulated TOA OLR varies in a range of 12 W m<sup>-2</sup>, which is almost 10 W 308  $m^{-2}$  lower than that within the atmosphere (22 W  $m^{-2}$ ) and at the surface (23 W  $m^{-2}$ ) (Table 1). The estimated 309 annual East Asian land-mean TOA OLR from the CMIP6 multi-model mean is -224 W m<sup>-2</sup>, within 2 W m<sup>-2</sup> 310 of the deviations from the CERES- and ERA5-inferred estimates. The model spread of the simulated annual 311 land-mean net LW radiation becomes larger from the TOA to the surface, with SDs of 3.5, 5.1, and 5.7 W 312 m<sup>-2</sup>, respectively, which shows the same tendency as the relative (percentages) differences with respect to 313 their multi-model means (5.4%, 14.5%, and 32.4%).

314 These large discrepancies in surface net LW radiation between models are particularly evident in the 315 surface downward LW radiation (Fig. 2b; Table 1), with a range up to 27 W m<sup>-2</sup> (from 267 to 294 W m<sup>-2</sup>) 316 and a SD of 7.9 W m<sup>-2</sup>, which is also the largest deviation among all components under all-sky conditions. 317 Compared to the CERES estimates, the slightly lower surface upward LW radiation (-352 vs. -354 W m<sup>-2</sup>) 318 and much lower surface downward LW radiation (280 vs. 285 W m<sup>-2</sup>) from the multi-model means are the 319 major reason for the small deviation (within 2 W m<sup>-2</sup>) of the surface net LW radiation between them (Table 320 1). It's interesting to note that the annual East Asian land-mean surface upward LW radiation estimated from 321 the ERA5 is the lowest among all these estimates, at -347 W m<sup>-2</sup>, suggesting the lowest surface skin 322 temperature of the ERA5 product according to the Stefan-Boltzmann law, followed by the estimates from 323 the multi-model mean and CERES (Table 1). In addition, the annual land-mean surface downward LW 324 radiation estimated by ERA5 is 273 W m<sup>-2</sup>, approximately 7 and 12 W m<sup>-2</sup> lower than the estimates by the





325 CMIP6 multi-model mean and CERES, respectively (Table 1). Therefore, both the lower surface upward 326 and downward LW radiation fluxes result in the small deviation in the estimated surface net LW radiation 327 from ERA5 compared to those from the multi-model mean and CERES (Table 1). Since the reanalysis 328 products take as many observed atmospheric parameters with global coverage as possible into consideration 329 during the radiative transfer calculations, they are widely used to obtain more accurate surface LW radiation 330 (Simmons et al., 2004; Wild et al., 2015). We also examined the corresponding surface LW fluxes from 331 another reanalysis, namely MERRA-2, and found much lower annual land means than those from ERA5, in 332 particular for the surface downward LW radiation (not shown), which arrives at the similar conclusions with 333 that documented by Urraca et al. (2018). Thus, considering the limited observational surface LW radiation 334 data over East Asia, ERA5 might be the best reference for the estimates of the annual land-mean surface 335 upward and downward LW radiation, at -347 and 273 W m<sup>-2</sup>, respectively (Table 1).

336

337 3.4. Discussion of land energy balance over East Asia under all-sky conditions

338 3.4.1. Radiative components

339 Figure 6a displays the schematic diagram of the all-sky land mean energy balance over East Asia, 340 including the above-mentioned SW and LW radiation budgets and other radiative components discussed in 341 the following. The estimated annual East Asian land-mean incoming, reflected, and net SW radiation as well 342 as the OLR at the TOA are therefore 334, -118, 216, and -226 W m<sup>-2</sup> (Table 1), respectively, based on the 343 CERES EBAF dataset. The corresponding uncertainties are obtained from the uncertainty of 2.5 (1 $\sigma$ 344 uncertainty) W m<sup>-2</sup> for both SW and LW fluxes given by (Loeb et al., 2018). The annual East Asian land-345 mean TOA OLR in CERES-EBAF is estimated to be 10 W m-2 larger than the TOA absorbed SW radiation, 346 implying an energy loss of 10 W m<sup>-2</sup> at the TOA under all-sky conditions, which should be compensated by 347 the LH and SH transported from regions outside East Asia (Fig. 6a).







349



350

351Figure 6. Diagrams of the annual land mean energy balance (Units: W m<sup>-2</sup>) over East Asia under (a) all-352sky and (b) clear-sky conditions for present-day climate. The uncertainty ranges are also given in

353 parentheses.





355 For the SSR, the annual East Asian land-mean best estimate based on the CMIP6 multi-model 356 simulations and surface observations is 174.2 W m<sup>-2</sup> (Fig. 5a and Fig. 6a). Considering the abnormally high 357 overestimation by ERA5 compared to surface observation, the high value of the uncertainty range is given 358 by the estimate from CERES EBAF (178 W m<sup>-2</sup>), while its low value is from the lowest model estimate (172 359 W m<sup>-2</sup>; Fig. 2a) (Fig. 6a). The all-sky surface albedo information is derived from the ratio between the 360 CERES-derived surface upward and downward solar radiation, with a radiation weighted average of around 361 0.2 (36.4/178.3) over East Asian land. However, the corresponding surface albedos estimated by the CMIP6 362 multi-model mean and ERA5 are substantially higher than that from the CERES, with respective averages 363 of around 0.23 (42.7/186.4) and 0.26 (49.6/191). Considering the large spatial coverage of remote sensing 364 measurement to map albedo globally, the CERES-derived annual East Asian land-mean surface albedo is 365 adopted as the best estimate in this study. Therefore, considering the rounded best SSR estimate of 174 W 366 m<sup>-2</sup>, the calculated surface reflected and absorbed SW radiation fluxes are around -35 and 139 W m<sup>-2</sup>, 367 respectively. As shown in Table 1, the uncertainty range of the surface absorbed SW radiation is 132-144 368 W m<sup>-2</sup> according to the lowest value of CMIP6 models and the highest estimate among the aforementioned 369 estimates, which gives rise to an uncertainty range of the surface reflected solar radiation of 34-40 W m<sup>-2</sup>. 370 Together with the annual East Asian land-mean SW absorption at the TOA and surface of 216 and 139 W 371  $m^{-2}$ , the best estimate for the atmospheric SW absorption is therefore to be 77 W  $m^{-2}$ , which is within 4 W 372 m<sup>-2</sup> of the differences between those estimated from the CMIP6 multi-model mean and CERES and closes 373 to the ERA5-derived estimate of 78 W m<sup>-2</sup> (Table 1). The uncertainty range of the atmospheric SW 374 absorption is also determined by the estimates from different data sources as shown in Fig. 6a.

375 The downward LW radiation emitted by the atmosphere is mainly sensitive to the near-surface 376 temperature, water vapor, and cloud properties, while the surface emission is in proportion to the skin 377 temperature according to the Stefan-Boltzmann law. As analyzed in section 3.3, the best estimates of the 378 East Asian annual land-mean surface upward and downward LW radiation amount to -347 and 273 W m<sup>-2</sup>, 379 respectively, with uncertainty ranges coming also from the above-discussed different data sources (Fig. 6a). 380 The surface net LW radiation is then estimated to be -74 W m<sup>-2</sup> based on the surface upward and downward 381 LW radiation outlined above. Combined with TOA outgoing thermal radiation of -226 W m<sup>-2</sup>, the estimated 382 atmospheric net LW radiation is -152 W m<sup>-2</sup>, which is close to the collocated estimates from the multi-model 383 mean (-152 W m<sup>-2</sup>) and ERA5 (-150 W m<sup>-2</sup>) but deviates substantially from the CERES-derived estimate of 384 -157 W m<sup>-2</sup> (Table 1). Considering the surface absorbed SW radiation of 139 W m<sup>-2</sup>, a best estimate for 385 surface net radiation is 65 W m<sup>-2</sup>, suggesting that around 65 W m<sup>-2</sup> of energy is available for the non-radiative 386 SH and LH. Besides, the ERA5 estimate of 67 W m<sup>-2</sup> is very close to the best estimate of 65 W m<sup>-2</sup>, while 387 much higher estimates of 72 and 73 W m<sup>-2</sup> are obtained from the multi-model mean and CERES (Table 1), 388 respectively.





## 390 3.4.2. Nonradiative components

391 The surface net radiation is mainly balanced by the non-radiative components of SH and LH in addition 392 to a very small proportion of ground heat flux and melt (less than 1%) (Ohmura, 2004). However, due to the 393 lack of constraints from in-situ and space observations, this partitioning of the surface net radiation into SH 394 and LH is still subject to considerable uncertainties. As shown in Fig. S2, the simulated annual East Asian 395 land-mean LH and SH vary greatly between different models, with a range of 26 and 21 W m<sup>-2</sup>, respectively, 396 as well as the relative discrepancies relative to their respective multi-model means of 60% ( $\frac{26}{42} \times 100\%$ ) and 68% ( $\frac{21}{21}$ ×100%), respectively, showing larger discrepancies between models with larger uncertainties in SH 397 398 (Table 1). The best SH estimate can therefore be obtained from the residual of the LH. To obtain a more 399 accurate surface LH from available datasets of the multi-model mean and ERA5, we take an average of them 400 as the best estimate, namely -40 W m<sup>-2</sup>, the uncertainty ranges of which are also given according to these 401 estimates (Fig. 6a). Note that all the values in this study are calculated on the basis of one decimal point, 402 which may result in 1 W m<sup>-2</sup> of bias during the rounding process. Combined with the surface net radiation 403 and LH of 65 and -40 W m<sup>-2</sup>, respectively, the surface SH is estimated to be -25 W m<sup>-2</sup>, the uncertainty range 404 of which is also given by the existing estimates from various CMIP6 models and ERA5 (Fig. 6a). In addition, 405 although the annual land-mean SH estimated from the MERRA-2 is much higher than the estimates from 406 multi-model mean and ERA5 (not shown), the estimated LH is around -39 W m<sup>-2</sup> (not shown), very close to 407 the best estimate of -40 W m<sup>-2</sup>, which increases our confidence in the estimation of this quantity.

408

#### 409 3.4.3. Comparisons with global annual land-mean estimates

410 Notable discrepancies exist in the global land-mean energy budgets reported by Wild et al. (2015) and 411 the regional land-mean estimates over East Asia in this study (Fig. S3; Table 4). For the SW budgets, the 412 estimated annual land-mean TOA incident solar radiation over East Asia is 9 W m<sup>-2</sup> higher than that over 413 global land (334 vs. 325 W m<sup>-2</sup>), implying a slightly lower land-mean solar zenith angle over East Asia. 414 Comparisons also show a slightly higher relative percentage of TOA reflected solar radiation of 0.8% despite 415 of the much lower surface reflected SW radiation of 4.3% over East Asian land compared to global land with 416 respect to their respective TOA incident solar radiation (thereafter call 'relative percentage' for short). This 417 suggests much more relative atmospheric SW reflection of 5.2% over East Asian land, which agrees fairly 418 well with more aerosols (Wei et al., 2019) and clouds (King et al., 2013; Fan et al., 2018; also see Fig. S4) 419 over this region compared to global land. However, the annual land-mean solar radiation reaching the East 420 Asian surface is around 10 W m<sup>-2</sup> lower than that over global land (174 vs. 184 W m<sup>-2</sup>), approximately 421 accounting for 52.1% and 56.6% of their respective incident solar radiation at the TOA, respectively, 422 indicating lower fraction of solar energy arriving at the East Asian surface compared to global land. Together 423 with the lower annual land-mean surface albedo over East Asian land compared to global land (20% vs. 424 26%), this leads to the similar relative percentages of surface absorptions (41.6% vs. 41.9%). Although the 425 magnitude of the atmospheric SW absorptions over East Asian and global land are nearly the same (both 426 around 77 W m<sup>-2</sup>), the corresponding relative percentage over East Asian land is a little bit lower than that





- 427 over global land (around 0.6%). This is somewhat unexpected due to the fact of more clouds and aerosol
- 428 loadings over East Asian land, which is possibly offset by the lower water vapor contents caused by the
- 429 higher altitudes and thinner air over the TP.

430

431 Table 4. Comparisons of the annual mean SW/LW energy balance components (Units: W m<sup>-2</sup>) over East

432 Asian land (this study) and global land (Wild et al., 2015) as well as the corresponding relative percentages

433 with regard to their respective TOA incident solar radiation/surface LW emissions, along with the relative 434 percentage differences between them.

	East A	Asian land		Glot	al land	Daraantaga
Component	Annual	Relative		Annual	Relative	difference
	mean	percentage	_	mean	percentage	unrerence
SW budget			_			
TOA solar down	334	1		325	1	_
TOA solar up	-118	35.3%		-112	34.5%	0.8%
Atmospheric SW absorption	77	23.1%		77	23.7%	-0.6%
Atmospheric SW reflection	-83	24.9%		-64	19.7%	5.2%
Surface solar down	174	52.1%		184	56.6%	-4.5%
Surface solar up	-35	10.5%		-48	14.8%	-4.3%
Surface solar absorption	139	41.6%		136	41.9%	-0.3%
LW budget						
TOA LW up	-226	65.1%		-232	62.4%	2.7%
Atmospheric LW absorption	-152	43.8%		-166	44.6%	-0.8%
surface LW down	273	78.7%		306	82.3%	-3.6%
Surface LW up	-347	1		-372	1	_

435

436 For the LW budgets, the regional surface LW emission over East Asia is estimated to be much lower 437 than the global land-mean estimates in Wild et al. (2015) (Fig. S3), which mainly results from the lower 438 temperature over the TP induced by high altitudes. The relative percentage of land mean surface downward 439 LW radiation with respect to the surface emission over East Asia is about 78.7 %, which is lower than the 440 global estimate of 82.3%, corresponding well to a reduction in greenhouse effect and fewer low clouds due 441 to the TP (Fig. S4) considering its coverage over East Asian land. Ultimately, a higher percentage of LW 442 radiation is emitted to space over East Asian land compared to global land (65.1% vs. 62.4%). Our estimates 443 also indicate approximately similar amounts of LH (40 vs. 38 W m<sup>-2</sup>) and much lower SH (25 vs. 32 W m<sup>-</sup> 444 <sup>2</sup>) over East Asia compared to the global land-mean estimates (Fig. S3), which is possibly related to the 445 lower East Asian-land surface temperature.

446 In general, as can be concluded from Table 4, although much less surface SW radiation of 4.3% is 447 reflected over East Asian land compared to global land, a slightly more SW reflection of 0.8% is estimated 448 at the TOA, indicating much larger atmospheric SW reflection of 5.2% due to the stronger scattering from 449 aerosols and clouds over East Asian land than global land. However, the SW absorption within the 450 atmosphere over East Asian land is 0.6% lower than that over global land despite of the more absorption 451 from clouds and aerosols, which is possibly offset by the lower water vapor contents caused by the thinner 452 air over the TP. The lower surface temperature, weaker greenhouse effect and fewer low clouds due to the 453 high altitudes and the thinner air over the TP in East Asian land are the major reasons for the relative lower





454 surface LW emission, less and more fractions of surface downward LW radiation of 3.6% and the OLR of

455 2.7% over East Asian land compared to global land, respectively.

456

# 457 4. Assessment of land energy balance budgets under clear-sky conditions

458 The clear-sky land energy balance budgets over East Asia are similarly evaluated as all-sky conditions. 459 Detailed analyses are given in Supplemental material if interested. The annual land-mean SW clear-sky 460 absorptions at the TOA and surface over East Asia show larger variations among different models than that 461 under all-sky conditions (Fig. 1a and b; Table 1), which is consistent with that reported by Wild et al. (2019) 462 but is amazingly in contrast to the recognition that the representation of clouds is the largest uncertainties in 463 climate models (Dolinar et al., 2015). Specially, the surface SW clear-sky absorptions simulated by various 464 models still exhibit a larger uncertainty than the TOA counterparts despite of the lower absolute values (Fig. 465 1b; Table 1). Contrary to the all-sky counterparts, the simulated clear-sky SSR among different models, 466 shows notably smaller inter-model spread and SD than the surface SW absorptions (Table 1), with much 467 smaller model discrepancy compared to the all-sky conditions (Fig. 2a; Table 1).

468 To further constrain the outlined inter-model discrepancy of the simulated clear-sky SSR, surface 469 observations from the CMA and CERES-interpolated estimates at the GEBA sites are utilized in this study. 470 The high values of the station-based clear-sky SSR are mainly located in the TP, but with an abnormally 471 high value located at the southern China (Fig. 3b). All the East Asian land-mean clear-sky SSR estimates 472 from CERES, CMIP6 multi-model mean, and ERA5 agree reasonably well with the surface observations, 473 but with smaller correlation coefficients ranging from 0.78 to 0.82 compared to the all-sky conditions (Figs. 474 7 b, d, and f). The CERES-derived clear-sky SSR is mainly overestimated in central and western China, but 475 with slight underestimations mainly located in northeastern, eastern, and southern China (Fig. 7a). Similar 476 bias patterns can also be found in the clear-sky SSR from the CMIP6 multi-model mean and ERA5 compared 477 to the surface observations, except for some individual sites over northeastern Inner Mongolia, eastern China, 478 western Mongolia, and Japan (Figs. 7c and e), but with relatively smaller overestimations than the all-sky 479 counterparts (Figs. 4c and e; Table 2). Specifically, the smallest station mean bias in CERES-derived SSR 480 compared to the multi-model mean and ERA5 (Table 2) can be attributed to its even distributed surface sites 481 of overestimations and underestimations (Figs. 7b, d, f). Again, among all the aforementioned clear-sky SSR 482 biases, more overestimations exist in urban stations than the rural stations (b, d, f in Figs. 4 and 7; Table 2). 483 Consequently, all East Asian land-mean area-weighted averages of clear-sky SSR from CERES, CMIP6 484 multi-model mean, and ERA5 show higher overestimations of around 6, 12, and 8 W m<sup>-2</sup>, respectively, 485 compared to the surface observed counterpart of 230 W m<sup>-2</sup> (Table 3). Based on the similar method 486 introduced in Wild et al. (2015), the best estimate for the East Asian land-mean clear-sky SSR is determined to be  $234\pm1.1$  W m<sup>-2</sup> ( $2\sigma$  uncertainty), with a slightly smaller correlation coefficient of 0.94 and smaller 487 488 deviations from the CERES and ERA5 estimates compared to the all-sky counterparts (Fig. 5b; Table 3). 489 Besides, the overestimations still exist in the observed land-mean clear-sky SSR for most climate models over East Asia, with a smaller multi-model mean overestimation of 9.1 W m<sup>-2</sup> than the all-sky counterparts. 490





491



492

Figure 7. Spatial distributions of annual mean SSR biases derived from (a) CERES-EBAF, (b) CMIP6
multi-model mean, and (c) ERA5 reanalysis against surface observations from a combination of the CMA
and CERES-interpolated sites under clear-sky conditions over East Asia. The corresponding comparisons of
their respective annual land means at the surface sites with their observed counterparts are displayed in (b),
(d), and (f), respectively. The cross and circle symbols in Figs. a, c, e as well as the orange and green stars
in Figs. b, d, f indicate rural and urban stations, respectively.

499

500 This clear-sky energy budget only represents the removal of cloud but maintains the same atmospheric 501 conditions as the all-sky conditions. Ultimately, the clear-sky East Asian land-mean energy budget is 502 established as displayed in Fig. 6b. In addition to the analyses above, the clear-sky TOA energy budgets are 503 derived from CERES-derived product, with uncertainty ranges referred to Loeb et al. (2018), while the 504 surface LW budgets are again from ERA5 reanalysis. Also, additional clear-sky radiation weighted surface





- albedo of 0.19 from CERES is obtained to estimate the surface reflected and absorbed SW radiation. All the
  uncertainty ranges are given by different data sources from various CMIP6 models, as well as the multimodel mean, CERES-, and ERA5-derived estimates, except for their TOA counterparts.
  We doublecheck the energy balance components evaluated in this study by referring to the uncertainty
- ranges from CERES-derived product given by Kato et al. (2018) (Table 5), which indicates that all estimated energy components fall within these uncertainty ranges, except for the all-sky surface downward LW radiation, with about 3 W m<sup>-2</sup> lower than the corresponding lowest CERES range. This is in line with its
- 512 much higher CERES-derived estimate compared to that of the ERA5 (285 vs. 273 W m<sup>-2</sup>) (Table 1).
- 513
- 514 **Table 5.** Uncertainties (Units: W m<sup>-2</sup>) in  $1^{\circ} \times 1^{\circ}$  regional monthly surface SW, LW, and net (SW + LW) 515 fluxes under all-sky and clear-sky conditions for the CERES-EBAF Edition 4.1 product (referring to Kato
- 516 et al. (2018)), as well as its corresponding estimates of various surface fluxes.

Uncertainties( $1\sigma$ )	All-sky	Clear-sky
SW down	178±14	236±6
SW up	36±11	45±11
SW net	142±13	191±13
LW down	285±9	256±8
LW up	354±15	353±15
LW net	69±17	97±17
SW + LW net	73±20	95±20

517

518 Overall, around 21.6% and 56.9% of the TOA incoming solar radiation are absorbed by the atmosphere 519 and surface, respectively, for clear-sky conditions, while these absorptions are 23.1% and 41.6% for all-sky 520 conditions. This implies that the existence of clouds results in more atmospheric SW absorption of around 521 1.5% and much less surface solar absorption of around 15.3% with respect to the TOA incoming solar 522 radiation.

523

## 524 5. The cloud radiative effects (CREs)

According to the annual land-mean best estimates of radiative components over East Asia under all-sky and clear-sky conditions obtained in previous sections, the present-day CREs can be inferred quantitively over this region. The calculated SW, LW, and net CREs at the TOA, within the atmosphere, and at the surface are therefore presented in Fig. 8. Moreover, the corresponding calculation formulas are also given in the followings:

530

531	TOA SW CRE = TOA outgoing SW $_{\rm all-sky}$ - TOA outgoing SW $_{\rm clear-sky}$
532	TOA LW CRE = TOA outgoing LW <sub>all-sky</sub> - TOA outgoing LW <sub>clear-sky</sub>

533 TOA Net CRE = TOA SW CRE + TOA LW CRE





534	
535	Surface Net SW CRE = Surface Net SW <sub>all-sky</sub> - Surface Net SW <sub>clear-sky</sub>
536	Surface Net LW CRE = Surface Net $LW_{all-sky}$ - Surface Net $LW_{clear-sky}$
537	Surface Net total CRE = Surface Net SW CRE + Surface Net LW CRE
538	
539	Atmospheric SW CRE = TOA SW CRE - Surface Net SW CRE
540	Atmospheric LW CRE = TOA LW CRE - Surface Net LW CRE

541



542

543 Figure 8. Diagram of the annual land mean SW, LW, and net (SW + LW) cloud radiative effects (CREs)
544 (Units: W m<sup>2</sup>) at the TOA, within the atmosphere, and at the surface over East Asia, calculated by the
545 differences between all-sky and clear-sky radiation budgets as given in Fig. 7.

547	Best estimates for the annual East Asian land-mean reflected solar radiation at the TOA under all-sky
548	and clear-sky conditions are -118 and -72 W m <sup>-2</sup> , respectively, differing by -46 W m <sup>-2</sup> , indicating that the
549	clouds give rise to an extra 46 W m $^{\text{-}2}$ solar reflection at the TOA, thus cooling the Earth-atmosphere system.
550	Similarly, the TOA LW CRE, obtained as the difference between the TOA thermal radiation under all-sky
551	and clear-sky conditions, is 24 W m <sup>-2</sup> , suggesting a warming effect of clouds on the system. Thus, the





estimated TOA net CRE is -22 W m<sup>-2</sup>, pointing out that the overall effects of clouds result in an energy loss
 and net cooling to the system, not only in the global mean, but also over East Asian land.

554 At the Earth's surface, the shading effects of clouds are estimated to reduce the surface solar radiation 555 by 60 W m<sup>-2</sup>, from 234 to 174 W m<sup>-2</sup>, while the surface solar absorption differs by 51 W m<sup>-2</sup>, from 190 to 556 139 W m<sup>-2</sup>, namely the surface net SW CRE is -51 W m<sup>-2</sup>. On cloudy skies, the estimated surface downward 557 LW radiation increases from 253 to 273 W m<sup>-2</sup>, with an increase of 20 W m<sup>-2</sup>, illustrating that the surface 558 net LW CRE is 20 W m<sup>-2</sup> and therefore leads to a surface warming. Thus, the surface net CRE, i.e., the sum 559 of the surface net SW and LW CRE, is then -31 W m<sup>-2</sup>, indicating that clouds contribute more to the SW 560 energy budgets. Eventually, the clouds lead to the enhancement of the SW and LW absorption within the 561 atmosphere of around 5 and 4 W m<sup>2</sup>, respectively, thus resulting in an atmospheric net CRE of 9 W m<sup>2</sup> over 562 East Asian land.

563 The above CRE estimates are compared to the corresponding estimates from different data sources (Fig. 564 9; Table 1). Generally, compared to the LW CREs (Fig. 9b), the simulated SW CREs show larger spreads 565 and SDs amongst models (Fig. 9a; Table 1). For the SW CREs at the TOA, within the atmosphere, and at 566 the surface, the CERES-derived estimates match perfectly with the best estimates mentioned above, within 567 2 W m<sup>-2</sup> of the biases, followed by the estimates from the multi-model means and ERA5 (Table 1). For the 568 LW CREs, the calculated TOA LW CREs from the CMIP6 multi-model mean and CERES differ by no more 569 than 1 W m<sup>-2</sup> compared to the best estimate, while large differences are noted at the surface LW CREs, 570 thereby leading to their opposite signs in the atmospheric LW CREs (Fig. 9b; Table 1). Specifically, since 571 the ERA5-based TOA LW CRE deviates by no more than 3 W m<sup>-2</sup> with the best estimate of 24 W m<sup>-2</sup> with 572 nearly the same surface LW CRE, the estimated atmospheric LW CRE is therefore the closest to the best 573 estimate (Table 1). This is owing to the fact that we make use of the ERA5 data as the reference to estimate 574 the surface LW radiation. Thus, the major reason for the large discrepancies in the atmospheric and surface 575 LW CREs estimated from different data sources with respect to the best estimates in this study is the 576 determination of the surface downward and upward LW radiation, which is also the reason for the large 577 deviations in their net CREs (Fig. 9c).







579

Figure 9. Annual land mean anomalies of (a) SW, (b) LW, and (c) net (SW + LW) CRE (Units: W m<sup>-2</sup>) at
the TOA (red line), within the atmosphere (blue line), and at the surface (green line) with regard to their
respective multi-model means over East Asia, respectively, as represented by various CMIP6 models. The
numbers in the parentheses indicate the available CMIP6 climate models for the corresponding radiation
components.

585

586 A better comparison with the global annual mean best estimates of CREs by Wild et al. (2019) is given 587 in Fig. S5. At the TOA, a slightly lower and much lower East Asian land-mean SW and LW CREs of 1 W 588 m<sup>-2</sup> and 4 W m<sup>-2</sup> result in 3 W m<sup>-2</sup> more energy loss at the TOA compared to the globe. At the surface, much lower annual East Asian land-mean SW and LW CREs by 3 W m<sup>-2</sup> and 8 W m<sup>-2</sup> are estimated compared to 589 590 the values over the globe, leading to a net CRE deviation of 5 W m<sup>-2</sup>, indicative of 5 W m<sup>-2</sup> more energy loss 591 at the surface. However, lower and higher annual East Asian land-mean SW and LW CREs of 2 and 4 W m 592 <sup>2</sup> within the atmosphere contribute to the nearly close net CRE with a deviation of no more than 2 W m<sup>-2</sup> 593 compared to the global mean estimates. On the whole, lower annual East Asian land-mean best estimates in 594 the absolute values of surface SW and LW CREs as well as the TOA LW CRE compared to their global 595 mean counterparts give rise to the CRE differences between them.





#### 596 **6.** Summary and conclusions

597 This study aims to explore how the energy budgets are interrupted by the complex orographic and 598 thermal effects of the TP, as well as the high anthropogenic aerosol emissions over East Asian land compared 599 to global land, based on complementary data sources from space and surface observations, as well as the 600 CMIP6 climate models and ERA5 reanalysis. A further quantitative investigation of CREs at the TOA, 601 within the atmosphere, and at the surface is also conducted.

602 Comparisons between all-sky and clear-sky energy budgets indicate that the overall effects of clouds 603 greatly reduce the surface solar absorption by about 15.3% and enhance that within the atmosphere by 1.5%. 604 Compared to the global land energy budget estimates from Wild et al. (2015), for the SW budgets, notably 605 more atmospheric SW reflection of 5.2% but with a slightly less atmospheric SW absorption of 0.6% with 606 respect to their respective TOA incident solar radiation are estimated over East Asian land, possibly 607 indicating that the lower water vapor content effects due to TP overcompensate for the aerosol and cloud 608 effects over East Asian land. For the LW budgets, a substantially lower surface LW emission of around 25 609 W m<sup>-2</sup> and smaller relative surface downward LW radiation of around 3.6% with respect to their respective 610 surface emissions can be noticed over East Asian land compared to global land, which possibly result from 611 the lower regional surface skin temperature, as well as the weaker greenhouse effect and fewer low clouds 612 mainly induced by the high altitude and thinner air over TP, thus leading to a higher percentage of regional 613 OLR of 2.7%.

614 The CREs over East Asian land are inferred through the energy budget differences between all-sky and 615 clear-sky conditions. The clouds reduce the solar absorption at the TOA by 46 W m<sup>-2</sup> and enhance the TOA 616 thermal radiation by 24 W m<sup>-2</sup>, respectively, leading to a TOA net CRE of -22 W m<sup>-2</sup>, a more cooling effect 617 on the regional climate system than that over globe (-19 W m<sup>-2</sup>). At the surface, the net CRE is estimated to 618 be -31 W m<sup>-2</sup> according to less solar absorption of 51 W m<sup>-2</sup> and more downward thermal radiation of 20 W 619 m<sup>-2</sup>, indicative of larger cloud impacts on SW radiation. Within the atmosphere, the estimated net CRE is 9 620 W m<sup>-2</sup> due to an increase of 5 W m<sup>-2</sup> of solar absorption and 4 W m<sup>-2</sup> of the net thermal radiation, respectively. 621 Compared to the global mean best estimates of CREs as introduced by Wild et al. (2019), relatively lower 622 East Asian land-mean best estimates of surface SW and LW CREs as well as the TOA LW CRE contribute 623 to the CRE differences between them.

624 On the whole, all the estimated land-mean energy balance components over East Asia in this study fall 625 within the uncertainty ranges of the CERES-derived assessments, except for the all-sky surface downward 626 LW radiation. More accurate and reliable datasets should be utilized to reduce the substantial uncertainties 627 in the regional energy balance estimates, particularly in the surface budgets, and more widespread temporal 628 and spatial representations of energy budget research are recommended for more comprehensive 629 comparisons in future.





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- 636 Data Availability Statement. The CERES SYN1deg data is available at https://ceres-tool.larc.nasa.gov/ord-
- 637 tool/jsp/SYN1degEd41Selection.jsp; The AIRS data is accessible from
- 638 https://disc.gsfc.nasa.gov/datasets/AIRS3STM\_006/summary?keywords=AIRS; The MODIS data is from
- $639 \qquad https://ladsweb.modaps.eosdis.nasa.gov/archive/allData/61/MYD08_M3/?process=ftpAsHttp&path=allData/61/$
- 640 a%2f61%2fMYD08\_M3; The CloudSat data is from http://www.cloudsat.cira.colostate.edu/data-
- 641 products/level-2b/2b-cwc-ro; The MERRA-2 dataset is obtained at
- 642 https://disc.gsfc.nasa.gov/datasets/M2IMNPANA\_5.12.4/summary?keywords=merra-2. The ERA-Interim
- 643 is from https://apps.ecmwf.int/datasets/data/interim-full-moda/levtype=sfc.

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- 645 Author contributions. HZ, MW, and QW proposed the main ideas of this study. QW designed and wrote the
- 646 manuscript. SY provided the homogenized ground-based surface solar radiation data. QC, XZ, and GS
- 647 contributed to the interpretation of the results. BX and YW assisted with the figures. All co-authors
- 648 participated in discussions and provided constructive suggestions.
- 649
- 650 *Competing interests.* The authors declare that they have no conflict of interest.

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