

# Quantifying the drivers of surface ozone anomalies in the urban areas over the Qinghai-Tibet Plateau

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## Abstract

Improved knowledge of the chemistry and drivers of surface ozone over the Qinghai-Tibet Plateau (QTP) is significant for regulatory and control purposes in this high-altitude region in the Himalaya. In this study, we investigate the processes and drivers of surface ozone anomalies (defined as deviations of ozone levels relative to their seasonal means) between 2015 and 2020 in urban areas over the QTP. We separate quantitatively the contributions of anthropogenic emissions and meteorology to surface ozone anomalies by using the random forest (RF) machine learning model based meteorological normalization method. Diurnal and seasonal surface ozone anomalies over the QTP were mainly driven by meteorological conditions, such as temperature, planetary boundary layer height, surface incoming shortwave flux, downward transport velocity, and inter-annual anomalies were mainly driven by anthropogenic emission. Depending on region and measurement hour, diurnal surface ozone anomalies varied over  $-27.82 \mu\text{g}/\text{m}^3$  to  $37.11 \mu\text{g}/\text{m}^3$ , where meteorological and anthropogenic contributions varied over  $-33.88 \mu\text{g}/\text{m}^3$  to  $35.86 \mu\text{g}/\text{m}^3$  and  $-4.32 \mu\text{g}/\text{m}^3$  to  $4.05 \mu\text{g}/\text{m}^3$ , respectively. Exceptional meteorology driven 97% of surface ozone nonattainment events from 2015 to 2020 in the urban areas over the QTP. Monthly averaged surface ozone anomalies from 2015 to 2020 varied with much smaller amplitudes than their diurnal anomalies, where meteorological and anthropogenic contributions varied over  $7.63 \mu\text{g}/\text{m}^3$  to  $55.61 \mu\text{g}/\text{m}^3$  and  $3.67 \mu\text{g}/\text{m}^3$  to  $35.28 \mu\text{g}/\text{m}^3$ , respectively. The inter-annual trends of surface ozone in Ngari, Lhasa, Naqu, Qamdo, Diqing, Haixi and Guoluo can be attributed to anthropogenic emissions by 95.77%, 96.30%, 97.83%, 82.30%, 99.26%, and 87.85%, and meteorology by 4.23%, 3.70%, 2.17%, 3.19%, 0.74%, and 12.15%, respectively. The inter-annual trends of surface ozone in other cities

1 were fully driven by anthropogenic emission, where the increasing inter-annual trends would have  
2 larger values if not for the favorable meteorological conditions. This study can not only improve  
3 our knowledge with respect to spatiotemporal variability of surface ozone but also provides valuable  
4 implication for ozone mitigation over the QTP.

## 5 **1. Introduction**

6 The Qinghai-Tibet Plateau (QTP) (27-45° N, 70-105° E), with an average altitude of 4000m  
7 above sea level (a.s.l), is the highest plateau in the world. It is known as the “Roof of the World”  
8 and the “Third Pole” (Qiu, 2008;Yang et al., 2013;Yin et al., 2017). The QTP has an area of  
9 approximately  $2.5 \times 10^6$  km<sup>2</sup> and accounts for about one quarter of China’s territory (Duo et al., 2018).  
10 The QTP is the source region of five major rivers in Asia, i.e., the Indus, Ganges, Brahmaputra,  
11 Yangtze, and Yellow rivers, which provide water resource to more than 1.4 billion people  
12 (Immerzeel et al., 2010). The QTP has been verified to be a critical region for regulating Asian  
13 monsoon climate and hydrological cycle, and it is thus an important ecological barrier of the whole  
14 Asia (Loewen et al., 2007;Yanai et al., 1992). The QTP has long been regarded as a pristine region  
15 due to its low population and industrial levels (Zhu et al., 2013). Due to its unique features of  
16 landform, ecosystem and monsoon circulation pattern, the QTP has been regarded as a sensitive  
17 region to anthropogenic impact, and is referred to as an important indicator of regional and global  
18 climate change (Qiu, 2008). The exogenous and local atmospheric pollutants are potential to  
19 accelerate the melting of glaciers, damage air quality, water sources, and grasslands, and threaten  
20 climate on regional and global scales (Yin et al., 2017;Yin et al., 2019c;Sun et al., 2021d;Pu et al.,  
21 2007;Kang et al., 2016). Therefore, improved knowledge of the evolutions and drivers of  
22 atmospheric pollutants in the QTP is of great importance for understanding local ecological situation  
23 and formulating regulatory policies.

24 Surface ozone (O<sub>3</sub>) is a major air pollutant that threatens human health and vegetation growth  
25 (Jerrett et al., 2009;Yin et al., 2021b). Surface ozone over the QTP is generated either from its local  
26 anthropogenic and natural precursors such as nitrogen oxides (NO<sub>x</sub>), volatile organic compounds  
27 (VOCs), and carbon monoxide (CO) via a chain of photochemical reactions or transported from  
28 long-distance regions by downwelling from the stratosphere. Surface ozone level is sensitive to local  
29 emissions, meteorological conditions and transport. Meteorological conditions affect surface ozone  
30 level indirectly through changes in natural emissions of its precursors or directly via changes in wet  
31 and dry removal, dilution, chemical reaction rates, and transport flux. Emissions of air pollutants  
32 affect surface ozone level by perturbing the abundances of hydroperoxyl (HO<sub>2</sub>) and alkylperoxyl  
33 (RO<sub>2</sub>) radicals which are the key atmospheric constituents in formation of ozone. Some previous  
34 studies have presented the variability and analyzed qualitatively the drivers of surface ozone over  
35 the QTP at a specific site or region (Xu et al., 2016;Yin et al., 2019b;Yin et al., 2017;Zhu et al.,  
36 2004). However, none of these studies have quantitatively separated the contributions of  
37 anthropogenic emission and meteorology. Separation of anthropogenic and meteorological drivers  
38 is very important since it conveys us exactly which processes drive the observed ozone anomaly  
39 and therefore right conclusions can be made on whether an emission mitigation policy is effective.

40 Chemical transport models (CTMs) are widely used to evaluate the influences of meteorology  
41 and anthropogenic emission on atmospheric pollution levels (Hou et al., 2022;Sun et al., 2021a;Yin  
42 et al., 2020;Yin et al., 2019a). However, there are significant uncertainties in the emission  
43 inventories and in the models themselves, and shutting down an emission inventory in CTMs may

1 cause large nonlinear effect, which inevitably influences the accuracy, performance and efficient of  
2 CTMs (Vu et al., 2019;Zhang et al., 2020). Mathematical and statistical models such as the multiple  
3 linear regression (MLR) model and general additive models (GAMs) have also been used in many  
4 studies to quantify the influence of meteorological factors (Li et al., 2019;Li et al., 2020a;Yin et al.,  
5 2021a;Yin et al., 2022;Zhai et al., 2019).

6 Machine learning (ML) is a well-known field that has been developing rapidly in recent years.  
7 Machine learning is a fusion of statistics, data science, and computing which experiences use across  
8 a very wide range of applications (Grange et al., 2018). Unlike most ML models such as artificial  
9 neural networks which are hard to understand the working mechanisms, the random forest (RF)  
10 model is not a “black-box” method, its prediction process can be explained, investigated, and  
11 understood (Gardner and Dorling, 2001;Grange et al., 2018;Grange and Carslaw, 2019;Shi et al.,  
12 2021). Recently, RF model based meteorological normalization technique has been proposed and  
13 used to decouple the meteorological influence on atmospheric pollution. For example, Vu et al. (Vu  
14 et al., 2019) have used this technique to demonstrate that the clean air action plan implemented in  
15 2013 was highly effective in reducing the anthropogenic emissions and improving air quality in  
16 Beijing. Shi et al. (Shi et al., 2021) have used this technique to quantitatively evaluate changes in  
17 ambient NO<sub>2</sub>, ozone, and PM<sub>2.5</sub> concentrations arising from these emission changes in 11 cities  
18 globally during the COVID-19 lockdowns.

19 In this study, we investigate the evolutions, implications, and drivers of surface ozone  
20 anomalies (defined as deviations of ozone levels relative to their seasonal means) from 2015 to 2020  
21 in the urban areas over the QTP. Compared with previous studies that focus on surface ozone over  
22 the QTP, this study involves in larger area and longer time span. Most importantly, this study  
23 separates quantitatively the contributions of anthropogenic emission and meteorology to surface  
24 ozone anomalies by using the RF model based meteorological normalization method. This study  
25 can not only improve our knowledge with respect to spatiotemporal variability of surface ozone but  
26 also provides valuable implication for ozone mitigation over the QTP. We introduce detailed  
27 descriptions of surface ozone and meteorological field dataset in section 2. The method for  
28 separating contributions of meteorology and anthropogenic emission is presented in section 3.  
29 Section 4 analyzes spatiotemporal variabilities of surface ozone from 2015 to 2020 in each city over  
30 the QTP. The performance of the RF model used for surface ozone prediction over the QTP is  
31 evaluated in Section 5. We discuss the implications and the drivers of surface ozone anomalies from  
32 2015 to 2020 in each city over the QTP in section 6. We conclude this study in section 7.

## 33 **2. Data sources**

### 34 **2.1 Surface ozone data**

35 The QTP covers an area of 2.5 million square meter and has a population of around 3 million,  
36 with most of them living in several cities. During the in depth study of the atmospheric chemistry  
37 over the Tibetan Plateau, @Tibet field campaign, ozone photochemistry and its roles in ozone  
38 budget are of great interests in both background atmosphere and in QTP urban areas. The former  
39 represents the influence of anthropogenic emission and cross boundary transport on the nature cycle  
40 of ozone in pristine atmosphere. The latter represents not only the upper limit of ozone  
41 photochemistry contribution to its budget, also a demanding knowledge for the sake of ozone  
42 pollution management. As illustrated in Figure 1, the QTP (Latitude range: 26°00' ~ 39°47',  
43 Longitude range: 73°19' ~ 104°47') covers the Kunlun Mountain, the A-erh-chin Mountain and the

1 Qilian Mountain in the north, the Pamir Plateau and the Karakorum Mountains in the west, the  
 2 Himalayas in the south, and the Qinling Mountains and the Loess Plateau in the east. These 12 cities  
 3 are the most populated areas over the QTP. All these cities except Aba and Diqing are located in  
 4 Tibet or Qinghai provinces. Aba and Diqing are in Sichuan and Yunnan provinces, respectively. The  
 5 area of these cities ranges from 7.7 to 430 thousand km<sup>2</sup>, the altitude ranges from 2.3 to 4.8 km a.s.l.,  
 6 and the population ranges from 0.12 to 2.47 million. The residents within the 12 cities are about  
 7 3.85 million account for about 51% of population over the QTP.

8 Hourly surface ozone data in the urban areas over the QTP are available from the China  
 9 National Environmental Monitoring Center (CNMEC) network (<http://www.cnemc.cn/en/>, last  
 10 access: November 26, 2021). The CNMEC network based ozone measurements have been widely  
 11 used in many studies for evaluation of regional atmospheric pollution and transport over China (Lu  
 12 et al., 2021; Lu et al., 2019a; Lu et al., 2020; Sun et al., 2021c; Sun et al., 2021d; Yin et al., 2021a; Yin  
 13 et al., 2021b; Yin et al., 2022). The CNEMC network has deployed 33 measurement sites in 12 cities  
 14 over the QTP (Table 1). The number of measurement sites in each city varies from 1 to 6. All surface  
 15 ozone time series at each measurement site are provided by active differential absorption ultraviolet  
 16 (UV) analyzers. For all the 33 measurement sites, hourly surface ozone data are available since 2015.  
 17 We first removed unreliable measurements at all measurement sites in each city by using the filter  
 18 criteria following our previous studies (Lu et al., 2018; Lu et al., 2020; Sun et al., 2021b; Sun et al.,  
 19 2021d; Yin et al., 2021a; Yin et al., 2021b), then averaged all measurements in each city to generate  
 20 a city representative dataset. All investigations in this study are performed on such city  
 21 representative basis.

22 The filter criteria can be summarized as follows. Hourly observed data points were first  
 23 transformed into  $Z$  scores via equation (1) and the observed data were then removed if the  
 24 corresponding  $Z_i$  value met one of the following conditions: (1)  $Z_i$  is larger or smaller than the  
 25 previous one ( $Z_{i-1}$ ) by 9 ( $|Z_i - Z_{i-1}| > 9$ ), (2) The absolute value of  $Z_i$  is greater than 4 ( $|Z_i| >$   
 26 4), or (3) the ratio of the  $Z$  value to the third-order center moving average is greater than 2  
 27 ( $\frac{3Z_i}{Z_{i-1}+Z_i+Z_{i+1}} > 2$ ).

$$28 \quad \mathbf{z}_k = \frac{\mathbf{x}_k - \mathbf{u}_k}{\sigma_k} \quad (1)$$

29 where  $\mathbf{u}_k$  and  $\sigma_k$  are the average and  $1\sigma$  standard deviation (STD) of  $\mathbf{x}_k$ , and  $\mathbf{z}_k$  is the pre-  
 30 processed value for parameter  $\mathbf{x}_k$ .

## 31 2.2 Meteorological data

32 Meteorological fields used in this study are from the Modern-Era Retrospective analysis for  
 33 Research and Applications Version 2 (MERRA-2) dataset (Gelaro et al., 2017). The MERRA-2  
 34 dataset is produced by the NASA Global Modeling and Assimilation Office and it can provide time  
 35 series of many meteorological variables with a spatial resolution of  $0.5^\circ \times 0.625^\circ$  (The NASA Global  
 36 Modeling and Assimilation Office (GMAO)). The boundary layer height and surface meteorological  
 37 variables are available per hour and other meteorological variables are available every 3 hours. It  
 38 has been verified that the MERRA-2 meteorological fields over the QTP are in good agreement with  
 39 the observations (Wang and Zeng, 2012; Xie et al., 2017). This MERRA-2 dataset has been  
 40 extensively used in evaluations of regional atmospheric pollution formation and transport over  
 41 China (Carvalho, 2019; Kishore Kumar et al., 2015; Song et al., 2018; Zhou et al., 2017; Li et al.,

1 2019;Li et al., 2020a;Yin et al., 2022;Zhai et al., 2019).

## 2 **3. Methodology**

### 3 **3.1 Quantifying seasonality and inter-annual variability**

4 We quantify the seasonality and inter-annual variability of surface ozone from 2015 to 2020 in  
5 each city over the QTP by using a bootstrap resampling method. The principle of such bootstrap  
6 resampling method was described in detail in Gardiner et al. (Gardiner et al., 2008). Many studies  
7 have verified the robustness of Gardiner’s methodology in modeling the seasonality and inter-annual  
8 variabilities of a suite of atmospheric species (Sun et al., 2020;Sun et al., 2021a;Sun et al.,  
9 2021b;Sun et al., 2021d;Sun et al., 2018). In this study, we used a second Fourier series plus a linear  
10 function to fit surface ozone monthly mean time series from 2015 to 2020 over the QTP. The usage  
11 of measurements on monthly basis can improve the fitting correlation and lower the regression  
12 residual. As a result, the relationship between the measured and bootstrap resampled surface ozone  
13 monthly mean time series can be expressed as,

$$14 \quad V(\mathbf{t}, \mathbf{b}) = b_0 + b_1 t + b_2 \cos\left(\frac{2\pi t}{12}\right) + b_3 \sin\left(\frac{2\pi t}{12}\right) + b_4 \cos\left(\frac{4\pi t}{12}\right) + b_5 \sin\left(\frac{4\pi t}{12}\right) \quad (2)$$

$$15 \quad F(\mathbf{t}, \mathbf{a}, \mathbf{b}) = V(\mathbf{t}, \mathbf{b}) + \varepsilon(\mathbf{t}) \quad (3)$$

16 where  $F(\mathbf{t}, \mathbf{a}, \mathbf{b})$  and  $V(\mathbf{t}, \mathbf{b})$  represent the measured and fitted surface ozone time series,  
17 respectively. The parameters  $b_0$ – $b_5$  contained in the vector  $\mathbf{b}$  are coefficients obtained from the  
18 bootstrap resampling regression with  $V(\mathbf{t}, \mathbf{b})$ . The  $b_0$  is the intercept, and the  $b_1$  is the annual  
19 growth rate, and  $b_1/b_0$  is the inter-annual trend discussed below. The parameters  $b_2$ – $b_5$  describe  
20 the seasonality,  $t$  is the measurement time in month elapsed since January 2015, and  $\varepsilon(\mathbf{t})$  represents  
21 the residual between the measurements and the fitting results. The autocorrelation in the residual  
22 can increase the uncertainty in calculation of inter-annual trend. In this study, we have followed the  
23 procedure of Santer et al. (Santer et al., 2008) and included the uncertainty arising from the  
24 autocorrelation in the residual.

### 25 **3.2 Random Forest (RF) model**

26 We have established a decision tree based random forest (RF) machine learning model to  
27 describe the relationships between hourly surface ozone concentrations (response variables) and  
28 their potential driving factors (predictive variables) in the urban areas over the QTP. As summarized  
29 in Table 2, predictive variables used in this study include time variables such as year 2015 to 2020,  
30 month 1 to 12, day of the year from 1 to 365, hour of the day from 0 to 23, and meteorological  
31 parameters such as wind, temperature, pressure, cloud fraction, rainfall, vertical transport, radiation  
32 and relative humidity. These time variables were selected as proxies for emissions since pollutant  
33 emissions vary by the time of day, day of the week, and season (Grange et al., 2018).

34 The detailed descriptions of RF machine learning model can be found in Breiman (Breiman,  
35 2001). Briefly, the RF model is an ensemble model consisting of hundreds of individual decision  
36 tree models. Each individual decision tree model uses a bootstrap aggregating algorithm to  
37 randomly sample response variables and their predictive variables with a replacement from a  
38 training dataset. In this study, a single regression decision tree is grown in different decision rules  
39 based on the best fitting between surface ozone measurements and their predictive variables. The  
40 predictive variables are selected randomly to give the best split for each tree node. The predicted  
41 surface ozone concentrations are given by the final decision as the outcome of the weighted average

1 of all individual decision trees. By averaging all predictions from bootstrap samples, the bagging  
2 process decreases variance and thus helps the model to minimize overfitting.

3 As shown in Figure 2, the whole dataset was randomly divided into (1) a training dataset to  
4 establish the random forest model and (2) a testing dataset (not included in model training) to  
5 evaluate the model performance. The training dataset was randomly selected from 70 % of the whole  
6 data and the remaining 30% was taken as the testing dataset. The hyperparameters for the RF model  
7 in this study were configured following those in Vu et al. (Vu et al., 2019) and Shi et al. (Shi et al.,  
8 2021) and are summarized as follows: the maximum tree of a forest is 300 ( $n\_tree=300$ ), the number  
9 of variables for splitting the decision tree is 4 ( $mtry=4$ ), and the minimum size of terminal nodes is  
10 3 ( $min\_node\_size=3$ ). Since the meteorological variables differ in units and magnitudes, which  
11 could lead to unstable performance of the model. Therefore, we uniformized all meteorological  
12 variables via equation (1) before using them in the RF model. This pre-processing procedure can  
13 also speed up the establishment of the RF model.

### 14 3.3 Separation of meteorological and anthropologic contributions

15 In order to separate the contributions of meteorology and anthropologic emission to surface  
16 ozone anomalies in each city over the QTP, we have decoupled meteorology driven anomalies by  
17 using the RF model based meteorological normalization method. The meteorological normalization  
18 method was first introduced by (Grange et al., 2018) and improved by Vu et al. (Vu et al., 2019) and  
19 Shi et al (Shi et al., 2021). To decouple the meteorological influence, we first generated a new input  
20 dataset of predictive variables, which includes original time variables and resampled meteorological  
21 variables ( $T_{surface}$ ,  $U_{10}$ ,  $V_{10}$ , PBLH, CLDT, PRECTOT, OMEGA, SWGDN, QV, TROPH).  
22 Specifically, meteorological variables at a specific selected hour of a particular day in the input  
23 dataset were generated by randomly selecting from the meteorological data during 1980 to 2020 at  
24 that particular hour of different dates within a four-week period (i.e., 2 weeks before and 2 weeks  
25 after that selected date). For example, the new input meteorological data at 18:00, 15 February 2018,  
26 are randomly selected from the meteorological data at 18:00 on any date from 1 to 29 February of  
27 any year during 1980 to 2020. This selection process was repeated 1000 times to generate a final  
28 input dataset. The 1000 meteorological data were then fed to the RF model to predict surface ozone  
29 concentration. The 1000 predicted ozone concentrations were then averaged as equation (4) to  
30 calculate the final meteorological normalized concentration ( $O_{3, dew}$ ) for that particular hour, day,  
31 and year. This process ensures that all kinds of weather conditions around the measurement time  
32 have been considered in the model predictions, which eliminate the influence of abnormal  
33 meteorological conditions and get concentrations under the averaged meteorological conditions.

$$34 \quad O_{3,dew} = \frac{1}{1000} \sum_{i=1}^{1000} O_{3,i,pred} \quad (4)$$

35 where  $O_{3,i,pred}$  is the surface ozone concentration predicted by using the  $i^{th}$  meteorological data  
36 randomly selected from the meteorological data at the specific selected hour on any date from 1 to  
37 29 February of any year in 1980 to 2020.  $O_{3, dew}$  represents surface ozone concentration under the  
38 mean meteorological conditions at the specific selected hour between 1980 to 2020.

39 If the seasonal variabilities of anthropogenic emission and meteorology are constant over year,  
40 the variability of surface ozone can be exactly reproduced by equation (2), i.e., the annual growth  
41 rate of surface ozone and the fitting residual should be close to zero. But this is not realistic in real  
42 world. Any year-to-year difference in either anthropogenic emission or meteorology could result in

1 anomalies. We calculate surface ozone anomalies ( $O_{3,anomalies}$ ) in each city over the QTP by  
 2 subtracting their seasonal mean values ( $O_{3,mean}$ ) from all hourly surface ozone measurements  
 3 ( $O_{3,individual}$ ) through equation (5) (Hakkarainen et al., 2019; Hakkarainen et al., 2016; Mustafa et  
 4 al., 2021).

$$5 \quad O_{3,anomalies} = O_{3,individual} - O_{3,mean} \quad (5)$$

6 where  $O_{3,mean}$  in each city are approximated by the seasonality plus the intercept described in  
 7 equation (1). As a result, the difference  $O_{3,meteo}$  between  $O_{3,individual}$  and  $O_{3,dew}$  calculated as  
 8 equation (6) is the portion of anomalies induced by changes in meteorology. The difference  
 9  $O_{3,emis}$  between  $O_{3,anomalies}$  and  $O_{3,meteo}$  calculated as equation (7) represents the portion of  
 10 anomalies induced by changes in anthropogenic emission.

$$11 \quad O_{3,meteo} = O_{3,individual} - O_{3,dew} \quad (6)$$

$$12 \quad O_{3,emis} = O_{3,anomalies} - O_{3,meteo} \quad (7)$$

13 By applying the meteorological normalization method, we finally separate the contributions of  
 14 meteorology and anthropogenic emissions to the surface ozone anomalies in each city over the QTP.  
 15 Positive  $O_{3,meteo}$  and  $O_{3,emis}$  indicate that changes in meteorology and anthropogenic emission  
 16 cause surface ozone concentration above their seasonal mean values, respectively. Similarly,  
 17 negative  $O_{3,meteo}$  and  $O_{3,emis}$  indicate that changes in meteorology and anthropogenic emission  
 18 cause surface ozone concentration below their seasonal mean values, respectively.

## 19 **4. Variabilities of surface ozone over the QTP**

### 20 **4.1 Overall ozone level**

21 Statistical summary and box plot of surface ozone concentration (units:  $\mu\text{g}/\text{m}^3$ ) in each city  
 22 over the QTP from 2015 to 2020 are presented in Table 3 and Figure S1, respectively. The average  
 23 of surface ozone between 2015 and 2020 in each city over the QTP varied over ( $50.67 \pm 29.57$ )  
 24  $\mu\text{g}/\text{m}^3$  to ( $90.38 \pm 28.83$ )  $\mu\text{g}/\text{m}^3$ , and the median value varied over  $53.00 \mu\text{g}/\text{m}^3$  to  $90.00 \mu\text{g}/\text{m}^3$ . In  
 25 comparison, the averages of surface ozone between 2015 and 2020 in the Beijing-Tianjin-Hebei  
 26 (BTH), the Fenwei Plain (FWP), the Yangtze River Delta (YRD) and the Pearl River Delta (PRD) in  
 27 densely populated and highly industrialized eastern China were  $140.76 \mu\text{g}/\text{m}^3$ ,  $132.16 \mu\text{g}/\text{m}^3$ ,  $125.09$   
 28  $\mu\text{g}/\text{m}^3$  and  $119.82 \mu\text{g}/\text{m}^3$ , respectively. The average of surface ozone between 2011 and 2015 at the  
 29 suburb Nam Co station in the southern-central of the QTP was ( $47.00 \pm 12.43$ )  $\mu\text{g}/\text{m}^3$  (Yin et al.,  
 30 2019b). As a result, surface ozone levels in the urban areas over the QTP are much lower than those  
 31 in urban areas in eastern China but higher than those in the suburb areas over the QTP. Among all  
 32 cities over the QTP, the highest and lowest surface ozone concentration occurs in Haixi and Aba,  
 33 with mean values of ( $90.38 \pm 28.83$ )  $\mu\text{g}/\text{m}^3$  and ( $50.67 \pm 28.83$ )  $\mu\text{g}/\text{m}^3$ , respectively. Generally,  
 34 surface ozone concentrations in Qinghai province are higher than those in Tibet province. We also  
 35 presented the percentile variation of surface ozone concentration (units:  $\mu\text{g}/\text{m}^3$ ) in each city over the  
 36 QTP from 2015 to 2020 in Figure S2. The percentile variation modes of surface ozone concentration  
 37 in all cities over the QTP are similar. In this study, only mean plus standard variance of surface  
 38 ozone concentration rather than its percentile variation in each city was investigated. This prevailing  
 39 method has been used in a number of studies to describe the variabilities of atmospheric  
 40 compositions over the QTP (Li et al., 2020b; Liu et al., 2021; Ma et al., 2020; Xu et al., 2018; Xu et  
 41 al., 2016; Yin et al., 2019c; Yin et al., 2017).

42 The ambient air quality standard issued by the Chinese government regularized that the critical  
 43 value (Class 1 limit) for the maximum 8-hour average ozone level is  $160 \mu\text{g}/\text{m}^3$ . With this rule, we

1 summarize the number of nonattainment day per year in each city over the QTP in Table 3. The  
2 number of nonattainment day per city and per year over the QTP is only 2 between 2015 and 2020.  
3 Ozone nonattainment events over the QTP typically occur in spring or summer. In comparison, the  
4 number of nonattainment day per city and per year over the BTH, FWP, YRD and PRD are much  
5 larger, with values of 78, 36, 82 and 45 between 2015 and 2020, respectively, and all ozone  
6 nonattainment events over these regions occur in summer. The number of nonattainment day in  
7 Ngari in 2020, Lhasa in 2016 and 2017, Shannan in 2017 and 2018, Haixi in 2015 and 2019, and  
8 Xining in 2017 are 13, 10, 20, 12, 10, 14, 16, and 17 days, respectively. The number of  
9 nonattainment day in all other cities over the QTP are less than 10 days. Especially, surface ozone  
10 concentrations in Aba, Naqu, and Diqing in all years between 2015 and 2020 are less than the Class  
11 1 limit of  $160 \mu\text{g}/\text{m}^3$ . There are only 1 and 2 nonattainment days in Nyingchi and Qamdo between  
12 2015 and 2020, respectively.

#### 13 **4.2 Diurnal variability**

14 Diurnal cycles of surface ozone in each season and each city over the QTP are presented in  
15 Figure 3. Overall, diurnal cycle of surface ozone in each city over the QTP presents a unimodal  
16 pattern in all seasons. For all cities in all seasons, high levels of surface ozone occur in the daytime  
17 (9:00 to 20:00 LT) and low levels of surface ozone occur in the nighttime (21:00 to 08:00 LT). As  
18 seen from Figure 3, surface ozone levels usually increase over time starting at 8:00 to 11:00 LT in  
19 the morning, reach the maximum values at 15:00 to 18:00 LT in the afternoon, and then decreases  
20 over time till the minimum values at 8:00 or 9:00 LT the next day.

21 The timings of the diurnal cycles in all cities over the QTP were shifted by 1 to 2 hours later in  
22 winter than those in the rest of the year, most likely due to the later time of sunrise. Yin et al. (2017)  
23 also observed such shift in diurnal cycle at the suburb Nam Co station. The diurnal cycles of surface  
24 ozone in the urban areas over the QTP spanned a large range of  $-43.73\%$  to  $47.12\%$  depending on  
25 region, season, and measurement time. The minimum and maximum surface ozone levels in the  
26 urban areas over the QTP varied over  $(22.89 \pm 15.55) \mu\text{g}/\text{m}^3$  to  $(68.96 \pm 18.27) \mu\text{g}/\text{m}^3$  and  $(57.77 \pm$   
27  $21.56) \mu\text{g}/\text{m}^3$  to  $(102.08 \pm 15.14) \mu\text{g}/\text{m}^3$ , respectively. On average, surface ozone levels in the urban  
28 areas over the QTP have mean values of  $(72.41 \pm 33.83) \mu\text{g}/\text{m}^3$  during the daytime (08:00-19:00)  
29 and  $(60.89 \pm 32.25) \mu\text{g}/\text{m}^3$  during the evening (20:00-08:00). The diurnal cycles of surface ozone in  
30 all cities over the QTP are generally consistent with the results reported in eastern China and the  
31 suburb areas over the QTP (Yin et al., 2019b; Yin et al., 2017; Zhao et al., 2016; Shen et al., 2014).

#### 32 **4.3 Seasonal variability**

33 Monthly averaged time series of surface ozone in each city over the QTP between 2015 and  
34 2020 are shown in Figure 4. Surface ozone levels in all cities over the QTP showed pronounced  
35 seasonal features. Seasonal cycles of surface ozone in most cities present a unimodal pattern with a  
36 seasonal peak occurs around March-July and a seasonal trough occurs around October-December.  
37 Specifically, maximum surface ozone levels occur in spring over Diqing, Lhasa, Naqu, Nyingchi,  
38 Qamdo, Shannan, Shigatse, Aba, and occur in summer over Ngari, Xining, Guoluo, and Haixi;  
39 Minimum surface ozone levels in Nyingchi and Diqing occur in autumn, and in other cities occur  
40 in winter. The minimum and maximum surface ozone levels between 2015 and 2020 over the QTP  
41 varied over  $(29.21 \pm 19.03) \mu\text{g}/\text{m}^3$  to  $(60.45 \pm 31.35) \mu\text{g}/\text{m}^3$  and  $(71.25 \pm 26.53) \mu\text{g}/\text{m}^3$  to  $(112.46 \pm$   
42  $28.92) \mu\text{g}/\text{m}^3$ , respectively (Table S1). The peak-to-trough contrast in Diqing, Naqu, Nyingchi, and



1 Aba were smaller than those in other cities. Due to regional deference in meteorology and  
 2 anthropogenic emission, seasonal cycle of surface ozone in the urban areas over the QTP is also  
 3 regional dependent.

#### 4 **4.4 Inter-annual variability**

5 The inter-annual variability of surface ozone between 2015 and 2020 in each city over the QTP  
 6 fitted by the bootstrap resampling method is presented in Figure 5 and S3, and also summarized in  
 7 Table S1. Generally, the measured and fitted surface ozone concentrations in each city over the QTP  
 8 are in good agreement with a correlation coefficient ( $R$ ) of 0.68–0.92 (Figure S4). The measured  
 9 features in terms of seasonality and inter-annual variability can be reproduced by the bootstrap  
 10 resampling model. However, due to the year-to-year deference in anthropogenic emission and  
 11 meteorology, both inter-annual variability and fitting residual were not zero in all cities. The inter-  
 12 annual trends in surface ozone level from 2015 to 2020 over the QTP spanned a large range of  
 13  $(-2.43 \pm 0.56) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$  to  $(7.55 \pm 1.61) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$ , indicating a regional representation of each  
 14 dataset. The inter-annual trends of surface ozone levels in most cities including Diqing, Naqu, Ngari,  
 15 Nyingchi, Shannan, Shigatse, Xining, Abzhou and Haixi showed positive trends. The largest  
 16 increasing trends were presented in Diqing and Nagri, with values of  $(5.31 \pm 1.28) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$  and  
 17  $(7.55 \pm 1.61) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$ , respectively. In contrast, surface ozone levels in Lhasa, Qamdo and Guoluo  
 18 presented negative trends, with values of  $(-1.62 \pm 0.76) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$ ,  $(-2.43 \pm 0.56) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$  and  $(-$   
 19  $2.36 \pm 0.81) \mu\text{g}/\text{m}^3 \cdot \text{yr}^{-1}$ , respectively.

#### 20 **5. Performance evaluation**

21 We evaluate the performance of the RF model in predicting hourly surface ozone level in each  
 22 city over the QTP using the metrics of Pearson correlation coefficient ( $R$ ), the root means square  
 23 error (RMSE), and the mean absolute error (MAE). They are commonly used metrics for evaluation  
 24 of machine learning model predictions, and are defined as equations (8), (9), and (10), respectively.

$$25 \quad R = \frac{n \sum_{i=0}^n x_i y_i - \sum_{i=0}^n x_i \sum_{i=0}^n y_i}{\sqrt{n \sum_{i=0}^n x_i^2 - (\sum_{i=0}^n x_i)^2} \cdot \sqrt{n \sum_{i=0}^n y_i^2 - (\sum_{i=0}^n y_i)^2}} \quad (8)$$

$$26 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n}} \quad (9)$$

$$27 \quad MAE = \frac{\sum_{i=1}^n |x_i - y_i|}{n} \quad (10)$$

28 where  $x_i$  and  $y_i$  are the  $i^{\text{th}}$  concurrent measured and predicted data pairs, respectively. The  $n$  is the  
 29 number of measurements. The  $R$  value represents the fitting correlation between the measurements  
 30 and predictions. The RMSE value measures the relative average difference between the  
 31 measurements and predictions. The MAE value measures the absolute average difference between  
 32 the measurements and predictions. The units of RMSE and MAE are same as the measured data,  
 33 namely  $\mu\text{g}/\text{m}^3$ .

34 Comparisons between the model predictions and measurements for the testing data (not  
 35 included in model training) in each city over the QTP are shown in Figure S5. Overall, the RF model  
 36 predictions and surface ozone measurements are in good agreements, showing high  $R$  and low  
 37 RMSE and MAE for testing dataset in each city over the QTP (Figure S5). Depending on cities, the  
 38  $R$  values varied over 0.85 to 0.94, the RMSE over 10.24 to 17.55  $\mu\text{g}/\text{m}^3$ , and MAE over 7.32 to  
 39 12.76  $\mu\text{g}/\text{m}^3$ . The  $R$ , RMSE, and MAE are independent of city and surface ozone level. The results

1 affirm that our model performs very well in predicting surface ozone levels and variabilities in each  
2 city over the QTP.

3 We further investigate the importance of each input variable in the RF model for predicting  
4 surface ozone level in each city over the QTP. As shown in Figure S6, time information such as hour  
5 term (Hour), year term (Year) or seasonal term (Month) are the most important variables in the RF  
6 model predictions in all cities except Xining and Haixi where temperature term ( $T_{2m}$ ) is the most  
7 important variable. For all cities, the aggregate importance of time information is larger than 50%.  
8 In all cities over the QTP, the meteorological variables such as temperature ( $T_{2m}$ ), relatively  
9 humidity (QV), Vertical pressure velocity (OMEGA) and Planetary boundary layer height (PBLH)  
10 play significant roles when explaining surface ozone concentrations. For other variables, although  
11 they are not decisive variables in the RF model predictions, they are not negligible in predicting  
12 surface ozone in all cities over the QTP. Although time information are the most important variables  
13 in the RF model predictions, they can be used very precisely, and thus the RF model to measurement  
14 discrepancy in all cities could be from other predictive variables rather than time information.

## 15 **6. Drivers of surface ozone anomalies**

16 In this section, we explore the drivers of surface ozone anomalies between 2015 and 2020 over  
17 the QTP. We first present descriptively the contributions of anthropogenic emission and  
18 meteorology to surface ozone anomalies over the QTP in section 6.1 to 6.3, where statistics on  
19 different time scales were summarized. We then present in-depth analysis of each driver in section  
20 6.4.

### 21 **6.1 Diurnal scale**

22 Figure 6 presents diurnal cycles of surface ozone anomalies between 2015 and 2020 along with  
23 the meteorology-driven and anthropogenic-driven portions in each city over the QTP. In all cities,  
24 the anthropogenic contributions are almost constant but the meteorological contributions show large  
25 variations throughout the day. Depending on region and measurement hour, diurnal surface ozone  
26 anomalies on average varied over  $-27.82 \mu\text{g}/\text{m}^3$  to  $37.11 \mu\text{g}/\text{m}^3$  between 2015 and 2020, where  
27 meteorological and anthropogenic contributions varied over  $-33.88 \mu\text{g}/\text{m}^3$  to  $35.86 \mu\text{g}/\text{m}^3$  and  $-4.32$   
28  $\mu\text{g}/\text{m}^3$  to  $4.05 \mu\text{g}/\text{m}^3$ , respectively. The least contrast between meteorological contribution and  
29 anthropogenic contribution occurs in Haixi. The diurnal cycles of meteorological contribution are  
30 consistent with those of surface ozone anomalies. High levels of meteorological contribution occur  
31 in the daytime (9:00 to 20:00 LT) and low levels of meteorological contributions occur in the  
32 nighttime. As a result, diurnal surface ozone anomalies in each city over the QTP were mainly driven  
33 by meteorology.

34 We further investigated the drivers of surface ozone nonattainment events from 2015 to 2020  
35 in each city over the QTP. All ozone nonattainment events were classified as meteorology-  
36 dominated or anthropogenic-dominated events according to which one has a larger contribution to  
37 the observed surface ozone nonattainment events. The statistical results are listed in Table S2.  
38 Except one day in Ngari in 2018, one day in Shigatse in 2016, and one day in Haixi in 2019 which  
39 were dominated by anthropogenic emission, all other surface ozone nonattainment events from 2015  
40 to 2020 over the QTP were dominated by meteorology. Exceptional meteorology driven 97% of  
41 surface ozone nonattainment events from 2015 to 2020 in the urban areas over the QTP. For the  
42 meteorology-dominated surface ozone nonattainment events, meteorological and anthropogenic

1 contributions varied over 32.85  $\mu\text{g}/\text{m}^3$  to 55.61  $\mu\text{g}/\text{m}^3$  and 3.67  $\mu\text{g}/\text{m}^3$  to 7.23  $\mu\text{g}/\text{m}^3$ , respectively.  
2 For the anthropogenic-dominated surface ozone nonattainment events, meteorological and  
3 anthropogenic contributions varied over 7.63  $\mu\text{g}/\text{m}^3$  to 10.53  $\mu\text{g}/\text{m}^3$  and 15.63  $\mu\text{g}/\text{m}^3$  to 35.28  $\mu\text{g}/\text{m}^3$ ,  
4 respectively.

## 5 **6.2 Seasonal scale**

6 Figure 7 presents seasonal cycles of surface ozone anomalies between 2015 and 2020 along  
7 with the meteorology-driven and anthropogenic-driven portions in each city over the QTP. In all  
8 cities, the monthly averaged surface ozone anomalies between 2015 and 2020 varied with much  
9 smaller amplitudes than their diurnal anomalies. Noticeable anomalies include pronounced positive  
10 anomalies in December in Nagri, in May in Lhasa, Shannan, and Qamdo, in July in Haixi, in June  
11 in Guoluo, and negative anomalies in July in Lhasa, Nyingchi, and Guoluo. Both meteorological  
12 and anthropogenic contributions are regional dependent and show large variations throughout the  
13 year. Depending on region and month, meteorological and anthropogenic contributions varied over  
14  $-4.54 \mu\text{g}/\text{m}^3$  to  $3.31 \mu\text{g}/\text{m}^3$  and  $-2.67 \mu\text{g}/\text{m}^3$  to  $3.35 \mu\text{g}/\text{m}^3$  between 2015 and 2020, respectively.

15 Seasonal surface ozone anomalies between 2015 and 2020 in all cities over the QTP were  
16 mainly driven by meteorology. For example, meteorology caused decrements of  $3.05 \mu\text{g}/\text{m}^3$  in July  
17 and  $4.27 \mu\text{g}/\text{m}^3$  in September in Diqing, while anthropogenic emission caused increments of  $0.64$   
18  $\mu\text{g}/\text{m}^3$  and  $1.34 \mu\text{g}/\text{m}^3$  in respective months. Aggregately, we observed  $-2.41 \mu\text{g}/\text{m}^3$  and  $-2.89 \mu\text{g}/\text{m}^3$   
19 of seasonal surface ozone anomalies in July and September in Ngari, respectively. In all cities,  
20 seasonal cycles of meteorological contribution are more consistent with those of surface ozone  
21 anomalies over the QTP. In some cases, surface ozone anomalies would have larger values if not for  
22 the unfavorable meteorological conditions, e.g., surface ozone anomalies in June in Ngari, in  
23 December in Shannan, Guoluo and Aba.

## 24 **6.3 Multi-year scale**

25 Annual mean surface ozone anomalies between 2015 and 2020 along with meteorology-driven  
26 and anthropogenic-driven portions in each city over the QTP are presented in Figure 8. Surface  
27 ozone in Diqing, Naqu, Nagri, Haixi and Shannan show larger year to year variations than those in  
28 other cities. Annual mean surface ozone levels in Diqing, Naqu, Nagri and Haixi showed significant  
29 reductions of  $2.10 \mu\text{g}/\text{m}^3$ ,  $10.32 \mu\text{g}/\text{m}^3$ ,  $6.87 \mu\text{g}/\text{m}^3$ , and  $15.97 \mu\text{g}/\text{m}^3$ , respectively, Shannan showed  
30 an increment of  $9.12 \mu\text{g}/\text{m}^3$ , and other cities showed comparable values in 2016 relative to 2015.  
31 The largest year to year difference occurred in Ngari during 2016 to 2017, which has an increment  
32 of  $25.25 \mu\text{g}/\text{m}^3$ . The results show that anthropogenic contributions decreased by  $1.85 \mu\text{g}/\text{m}^3$ ,  $7.14$   
33  $\mu\text{g}/\text{m}^3$ ,  $5.65 \mu\text{g}/\text{m}^3$ , and  $15.98 \mu\text{g}/\text{m}^3$ , respectively, in Diqing, Naqu, Nagri, Haixi, and increased by  
34  $11.13 \mu\text{g}/\text{m}^3$  in Shannan in 2016 relative to 2015, and increased by  $20.85 \mu\text{g}/\text{m}^3$  in Ngari in 2017  
35 relative to 2016. As a result, all above reductions or increments in surface ozone level were mainly  
36 driven by anthropogenic emission. In contrast, surface ozone anomalies in Lhasa in 2017 and 2020,  
37 in Shigatse and Nyingchi in 2019 were mainly driven by meteorology.

38 Table S3 summarizes the inter-annual trends of surface ozone anomalies, meteorological and  
39 anthropogenic contributions from 2015 to 2020 in each city over the QTP. Except Guoluo, Qamdo  
40 and Lhasa which show decreasing trends, anthropogenic contributions in all other cities showed  
41 increasing trends from 2015 to 2020. With respect to meteorology contribution, Ngari, Naqu, Diqing  
42 and Haixi showed increasing trends from 2015 to 2020 and all other cities showed decreasing trends.

1 The inter-annual trends of surface ozone anomalies in Ngari, Lhasa, Naqu, Qamdo, Diqing, Haixi  
2 and Guoluo can be attributed to anthropogenic emissions by 95.77%, 96.30%, 97.83%, 82.30%,  
3 99.26%, and 87.85%, and meteorology by 4.23%, 3.70%, 2.17%, 3.19%, 0.74%, and 12.15%,  
4 respectively. The inter-annual trends of surface ozone in other cities were fully driven by  
5 anthropogenic emission, where the increasing inter-annual trends would have larger values if not  
6 for the favorable meteorological conditions. As a result, the inter-annual trends of surface ozone  
7 anomalies in all cities over the QTP were dominated by anthropogenic emission.

## 8 **6.4 Discussions**

9 Typically, all cities over the QTP are formed at flat valleys with surrounding mountains rising  
10 to more than 5.0 km a.s.l., and keep continuous expansion and development over time. Inhibited by  
11 surrounding mountains, regional dependent emissions and mountain peak-valley meteorological  
12 systems result in regional representation of surface ozone level and their drivers on diurnal, seasonal,  
13 inter-annual scales.

14 Correlations between  $O_{3,meteo}$  and each meteorological anomaly are summarized for all time,  
15 diurnal scale, seasonal scale and multi-year scale in Table S4-S7. We find that all time scales of  
16 meteorology-driven surface ozone anomalies in each city are positively related with anomalies of  
17 temperature, planetary boundary layer height (PBLH), surface incoming shortwave flux (SWGDN),  
18 downward transport velocity at the PBLH (OMEGA), and tropopause height (TROPH). Among all  
19 these positive correlations, the correlations with temperature, PBLH, and SWGDN in all cities are  
20 higher than those with OMEGA and TROPH. Since high temperature and SWGDN facilitate the  
21 formation of ozone via the increase in chemical reaction rates or biogenic emissions, the  
22 meteorology-driven surface ozone anomalies have the highest correlations with the changes in  
23 temperature and SWGDN. Possible reasons for the ozone increases with the increase in PBLH  
24 include lower NO concentration at the urban surface due to the deep vertical mixing, which then  
25 limits ozone destruction and increases ozone concentrations (He et al., 2017), and more downward  
26 transport of ozone from the free troposphere where the ozone concentration is higher than the near-  
27 surface concentration (Sun et al., 2009). Large OMEGA and high tropopause height also facilitate  
28 downward transport of stratospheric ozone, resulting in high surface ozone level. The QTP has been  
29 identified as a hot spot for stratospheric–tropospheric exchange (Cristofanelli et al., 2010;Škerlak  
30 et al., 2014) where the surface ozone is elevated from the baseline during the spring due to frequent  
31 stratospheric intrusions. Generally, surface ozone anomalies are negatively related with humidity,  
32 rainfall, and total cloud fraction in each city over the QTP. These wet meteorological conditions  
33 inhibit biogenic emissions, slow down ozone chemical production, and facilitate the ventilation of  
34 ozone and its precursors (Gong and Liao, 2019;Jiang et al., 2021;Lu et al., 2019a;Lu et al.,  
35 2019b;Ma et al., 2019), and therefore contribute to ozone decrease.

36 The  $U_{10m}$  and  $V_{10m}$  represent the metrics for evaluating the horizontal transport. In most of  
37 cities over QTP, noticeable ozone vs. horizontal wind correlations are observed, indicating that  
38 horizontal transport is an important contributor to surface ozone (Shen et al., 2014;Zhu et al., 2004).  
39 The QTP region, as a whole, is primarily regulated by the interplay of the Indian summer monsoon  
40 and the westerlies, and the atmospheric environment over QTP is heterogeneous. Mount Everest is  
41 representative of the Himalayas on the southern edge of the Tibetan Plateau and is close to South  
42 Asia where anthropogenic atmospheric pollution has been increasingly recognized as disturbing the  
43 high mountain regions (Decesari et al., 2010;Maione et al., 2011;Putero et al., 2014). In the northern

1 QTP, including Xining, Haixi and Guoluo, is occasionally influenced by regional polluted air masses  
2 (Xue et al., 2011;Zhu et al., 2004), especially, the impacts of anthropogenic emissions from central  
3 and eastern China in the summer (Xue et al., 2011). For cities over the inland QTP, is distant from  
4 both South Asia and northwestern China; it has been found to be influenced by episodic long-range  
5 transport of air pollution from South Asia (Lüthi et al., 2015), evidenced by the study of aerosol and  
6 precipitation chemistry at these cities (Cong et al., 2010).

7 In order to determine which specific meteorological variables responsible for the meteorology-  
8 dominated ozone nonattainment events over the QTP, we have investigated the correlations between  
9 each meteorological variable and ozone anomalies in each city during the ozone nonattainment days.  
10 As tabulated in Table S8, temperature is the dominant meteorological variable responsible for the  
11 meteorology-dominated ozone nonattainment events, especially in Shigatse, Lhasa, Shannan, Haixi  
12 and Guoluo. In addition, the OMEGA is also an important meteorological variable in most cities,  
13 especially in Guoluo where the correlation is up to 0.69. For other meteorological variables, winds  
14 (U10m, V10m) and TROPH also have noticeable contributions to some ozone nonattainment events.

15 The NO<sub>x</sub> and VOCs are main precursors of surface ozone. The monthly and annual averaged  
16 anthropogenic emissions of NO<sub>x</sub> and VOCs in each city over the QTP extracted from the MEIC  
17 (Multi-resolution Emission Inventory for China) inventory between 2015 to 2017 are presented in  
18 Table S9-S12. Major anthropogenic emissions in each city over the QTP are from transport sector  
19 and residential sector including burning emissions of coal, post-harvest crop residue, yak dung and  
20 religious incense (Chen et al., 2009;Kang et al., 2016;Kang et al., 2019;Li et al., 2017). The NO<sub>x</sub>  
21 and VOCs emissions have been decreased in Diqing, Naqu, Nagri in 2016 relative to 2015. These  
22 reductions of NO<sub>x</sub> and VOCs emissions jointly driven the changes of ozone in these cities. Although  
23 NO<sub>x</sub> emissions increased in Haixi during 2015 to 2016, VOCs emissions have significantly  
24 decreased by 6.82 t. As a result, the decreases of ozone in Haixi in 2016 relative to 2015 were  
25 attributed to VOCs reductions in the same period.

26 The correlations of the monthly and annual averaged anthropogenic contributions against the  
27 NO<sub>x</sub> and VOCs emissions are summarized in Table S13. The correlations of the monthly averaged  
28 anthropogenic contributions against anthropogenic NO<sub>x</sub> and VOCs emissions are in the range of  
29 0.35-0.81 and 0.33-0.83, respectively. For the annual averaged statistics, the correlations against  
30 NO<sub>x</sub> and VOCs emissions are in the range of 0.15-0.94 (expect for Nyingchi and Diqing), and 0.34-  
31 0.98 (expect for Haixi), respectively. For all cities except Shannan, Qamdo and Haixi, both the NO<sub>x</sub>  
32 and VOCs emissions are consistent with the anthropogenic contributions. While only NO<sub>x</sub> emissions  
33 in Qamdo and Haixi and VOCs emissions in Shannan are consistent with anthropogenic  
34 contributions. In general, the changes of NO<sub>x</sub> and VOCs emissions in MEIC inventory are able to  
35 explain the variabilities of both monthly and annual averaged anthropogenic contributions.

## 36 7. Conclusions

37 In this study, we have investigated the evolutions, implications, and the drivers of surface ozone  
38 anomalies (defined as deviations of ozone levels relative to their seasonal means) between 2015 and  
39 2020 in the urban areas over the QTP. Diurnal, seasonal, and inter annual variabilities of surface  
40 ozone in 12 cities over the QTP are analyzed. The average of surface ozone between 2015 and 2020  
41 in each city over the QTP varied over  $(50.67 \pm 29.57) \mu\text{g}/\text{m}^3$  to  $(90.38 \pm 28.83) \mu\text{g}/\text{m}^3$ , and the median  
42 value varied over  $53.00 \mu\text{g}/\text{m}^3$  to  $90.00 \mu\text{g}/\text{m}^3$ . Overall, diurnal cycle of surface ozone in each city  
43 over the QTP presents a unimodal pattern in all seasons. For all cities in all seasons, high levels of

1 surface ozone occur in the daytime (9:00 to 20:00 LT) and low levels of surface ozone occur in the  
2 nighttime (21:00 to 08:00 LT). Seasonal cycles of surface ozone in most cities present a unimodal  
3 pattern with a seasonal peak occurs around March-July and a seasonal trough occurs around  
4 October-December. The inter-annual trends in surface ozone level from 2015 to 2020 over the QTP  
5 spanned a large range of  $(-2.43 \pm 0.56) \mu\text{g}/\text{m}^3\cdot\text{yr}^{-1}$  to  $(7.55 \pm 1.61) \mu\text{g}/\text{m}^3\cdot\text{yr}^{-1}$ , indicating a regional  
6 representation of each dataset.

7 We have established a RF regression model to describe the relationships between hourly  
8 surface ozone concentrations (response variables) and their potential driving factors (predictive  
9 variables) in the urban areas over the QTP. The RF model predictions and surface ozone  
10 measurements are in good agreement, showing high  $R$  and low RMSE and MAE in each city over  
11 the QTP. Depending on cities, the  $R$  values varied over 0.85 to 0.94, the RMSE over 10.24 to 17.55  
12  $\mu\text{g}/\text{m}^3$ , and MAE over 7.32 to 12.76  $\mu\text{g}/\text{m}^3$ . The  $R$ , RMSE, and MAE are independent of city and  
13 surface ozone level. The results affirm that our model performs very well in predicting surface ozone  
14 levels and variabilities in each city over the QTP.

15 We have separated quantitatively the contributions of anthropogenic emission and meteorology  
16 to surface ozone anomalies by using the RF model based meteorological normalization method.  
17 Diurnal and seasonal surface ozone anomalies over the QTP were mainly driven by meteorology,  
18 and inter-annual anomalies were mainly driven by anthropogenic emission. Depending on region  
19 and measurement hour, diurnal surface ozone anomalies varied over  $-30.55 \mu\text{g}/\text{m}^3$  to  $34.01 \mu\text{g}/\text{m}^3$   
20 between 2015 and 2020, where meteorological and anthropogenic contributions varied over  $-20.08$   
21  $\mu\text{g}/\text{m}^3$  to  $48.73 \mu\text{g}/\text{m}^3$  and  $-27.18 \mu\text{g}/\text{m}^3$  to  $1.92 \mu\text{g}/\text{m}^3$ , respectively. Unfavorable meteorology driven  
22 97% of surface ozone nonattainment events between 2015 and 2020 in the urban areas over the QTP.  
23 Monthly averaged surface ozone anomalies varied with much smaller amplitudes than their diurnal  
24 anomalies, where meteorological and anthropogenic contributions varied over  $7.63 \mu\text{g}/\text{m}^3$  to  $55.61$   
25  $\mu\text{g}/\text{m}^3$  and  $3.67 \mu\text{g}/\text{m}^3$  to  $35.28 \mu\text{g}/\text{m}^3$  between 2015 and 2020, respectively. The inter-annual trends  
26 of surface ozone anomalies in Ngari, Lhasa, Naqu, Qamdo, Diqing, Haixi and Guoluo can be  
27 attributed to anthropogenic emissions by 95.77%, 96.30%, 97.83%, 82.30%, 99.26%, and 87.85%,  
28 and meteorology by 4.23%, 3.70%, 2.17%, 3.19%, 0.74%, and 12.15%, respectively. The inter-  
29 annual trends of surface ozone anomalies in other cities were fully driven by anthropogenic emission,  
30 where the increasing inter-annual trends would have larger values if not for the favorable  
31 meteorological conditions. This study can not only improve our knowledge with respect to  
32 spatiotemporal variability of surface ozone but also provides valuable implication for ozone  
33 mitigation over the QTP.

34 **Code and data availability.** All other data are available on request of the corresponding author  
35 (Youwen Sun, ywsun@aiofm.ac.cn).

36 **Author contributions.** HY designed the study and wrote the paper. YS supervised and revised this  
37 paper. JN, MP, CY and CL provided constructive comments.

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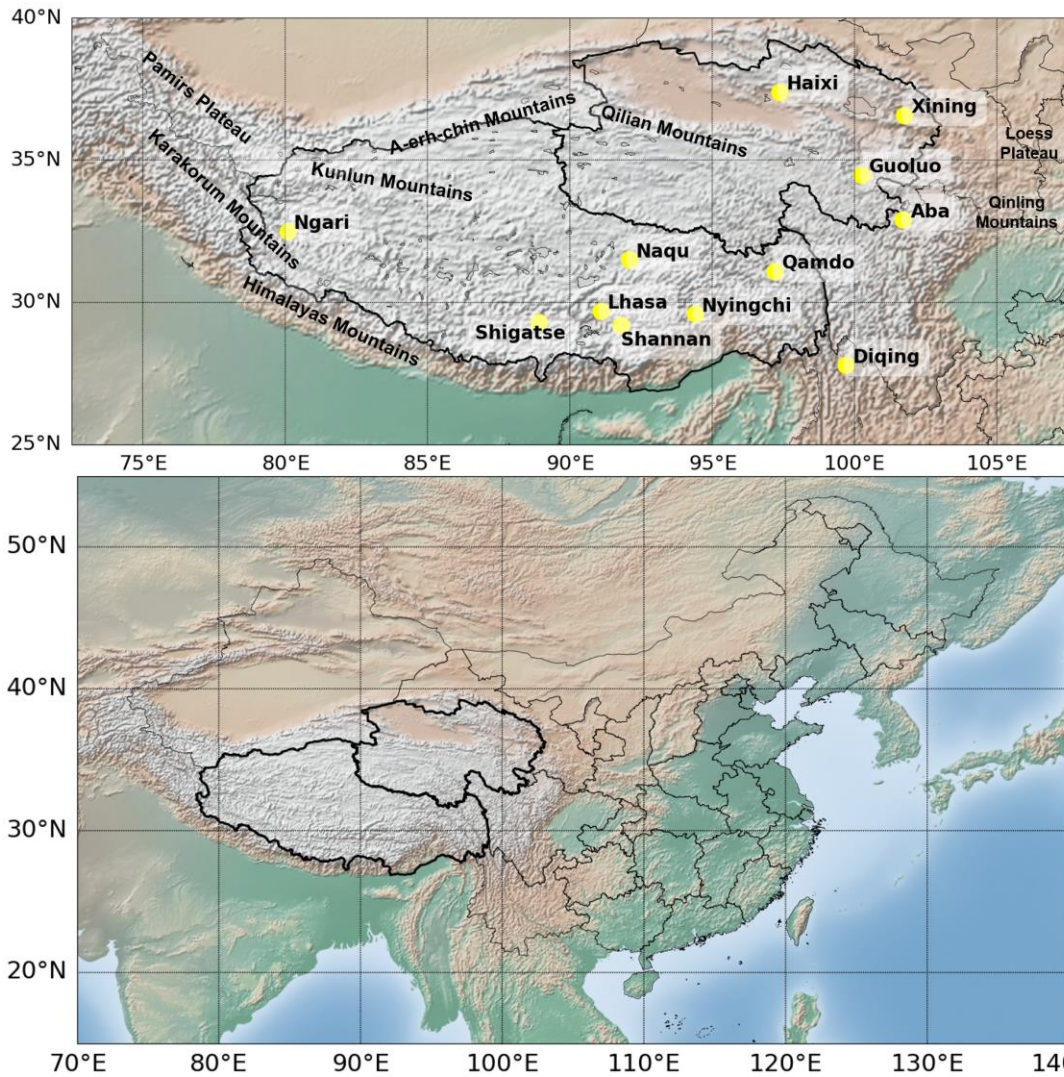
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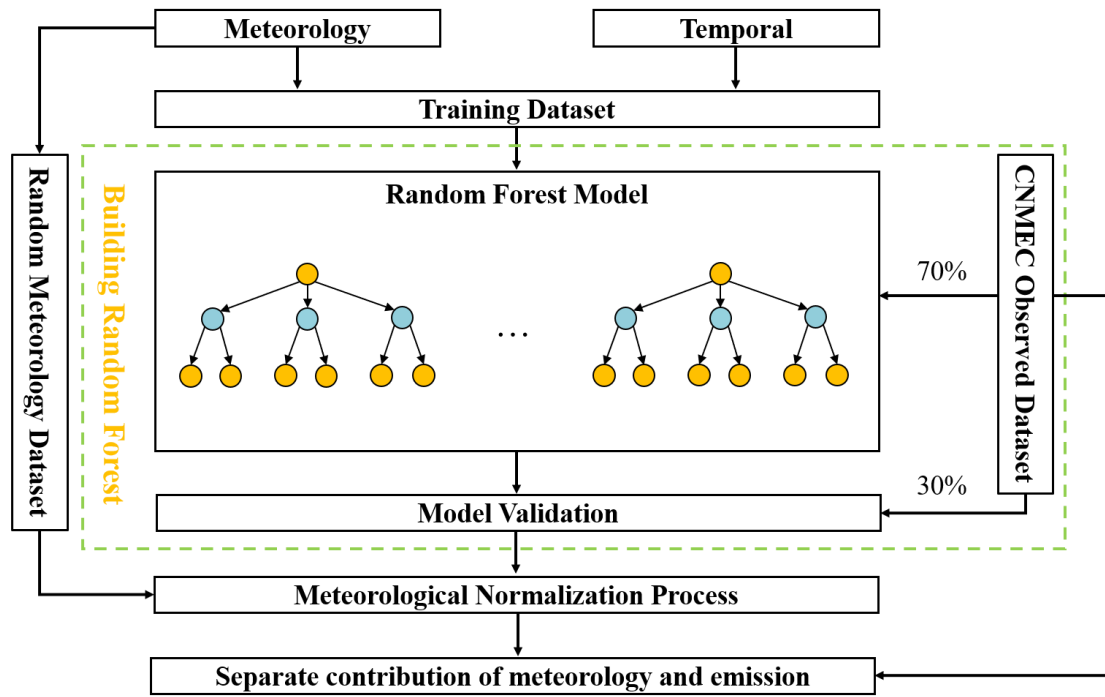
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1 70°E 80°E 90°E 100°E 110°E 120°E 130°E 140°E  
 2 **Figure 1.** Geolocations of each city over the Qinghai-Tibet Plateau (QTP). The base map of the  
 3 figure was created using the Basemap package in Python.

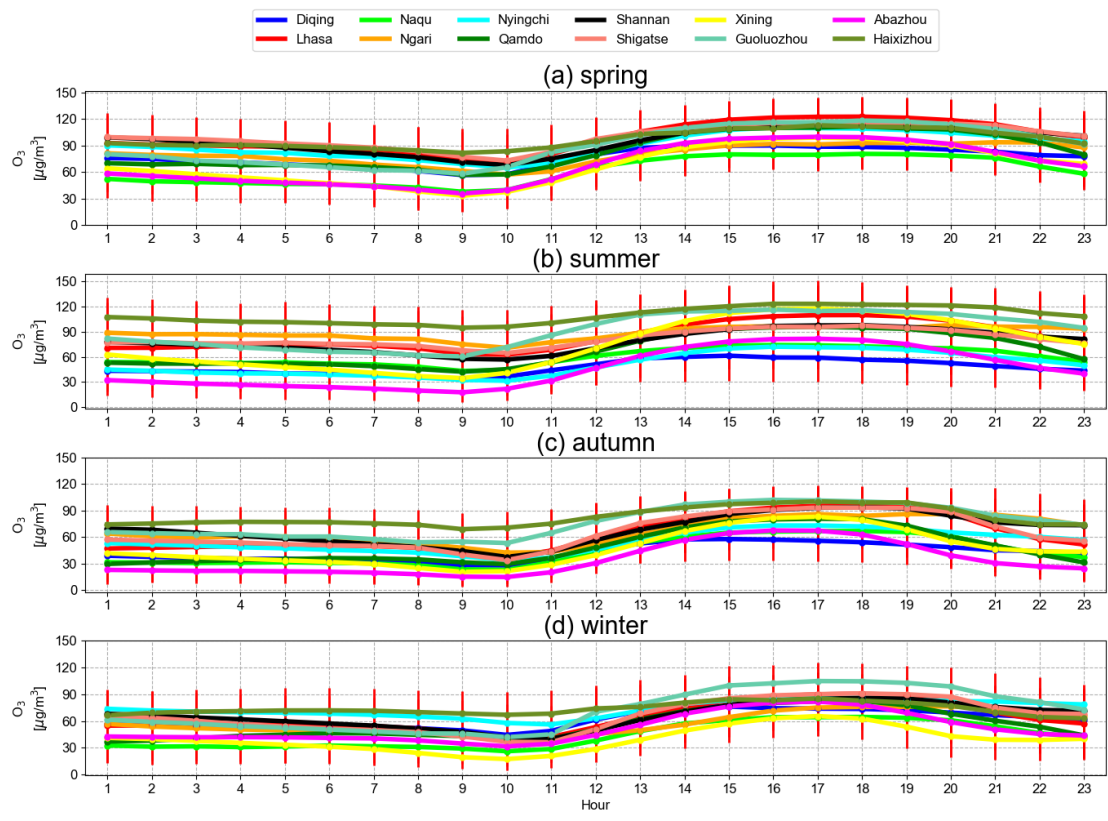
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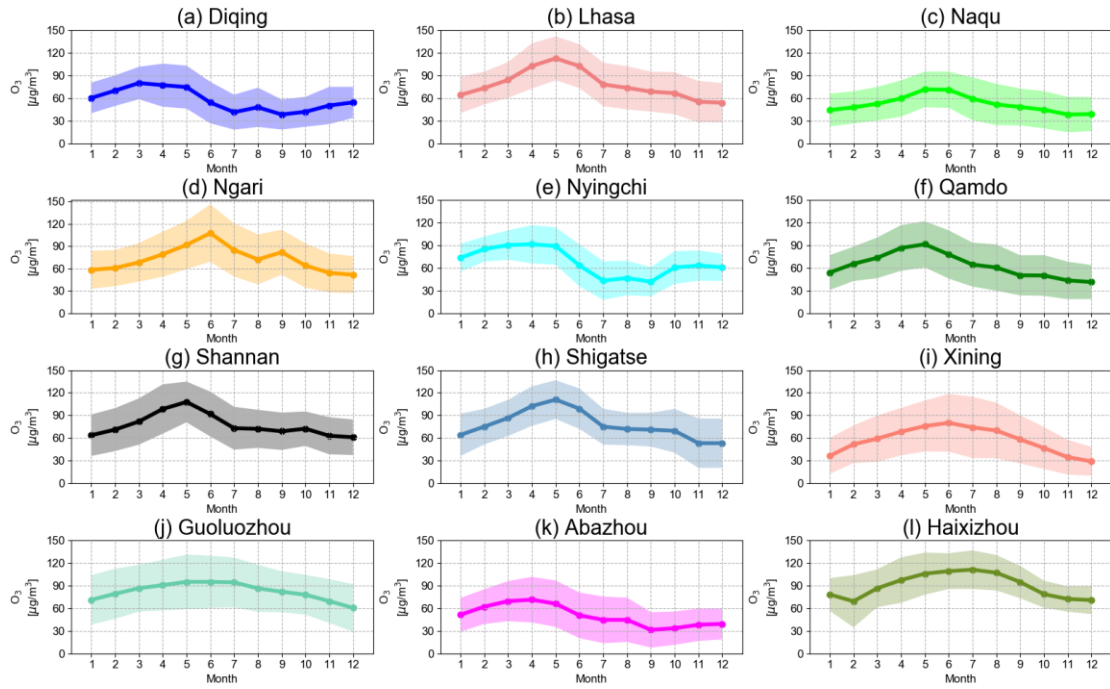
**Figure 2.** Flowchart for separation of meteorology and anthropogenic contributions.



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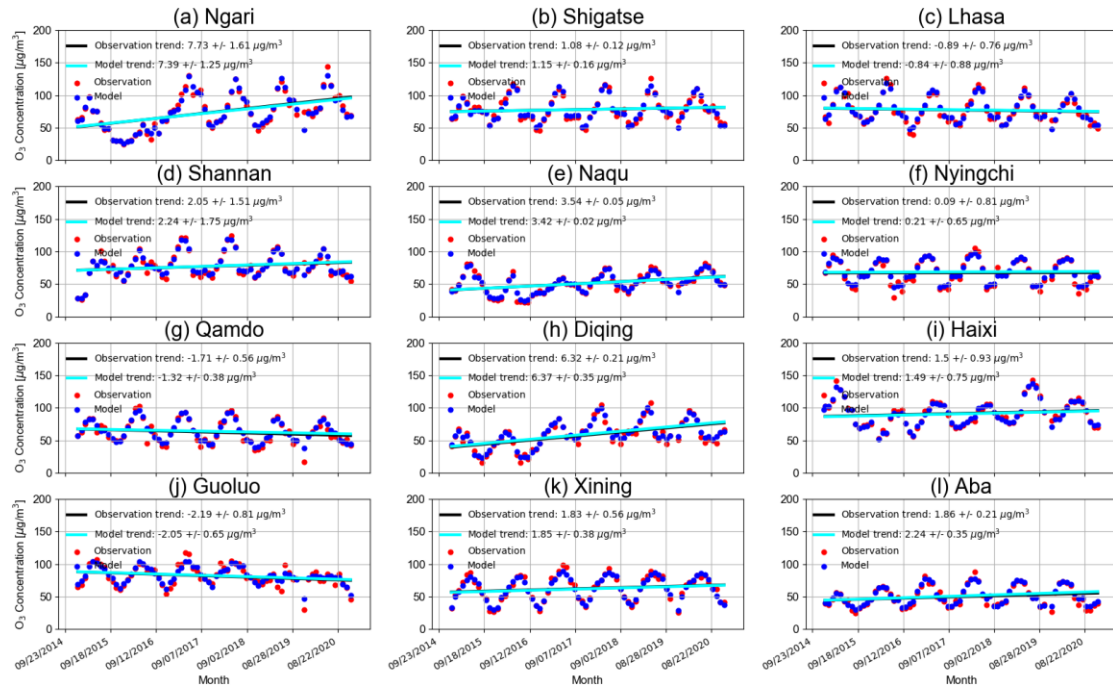
**Figure 3.** Diurnal cycle of surface ozone (units:  $\mu\text{g}/\text{m}^3$ ) in each season and each city over the QTP. The vertical error bar is  $1\sigma$  standard variation (STD) within that hour.





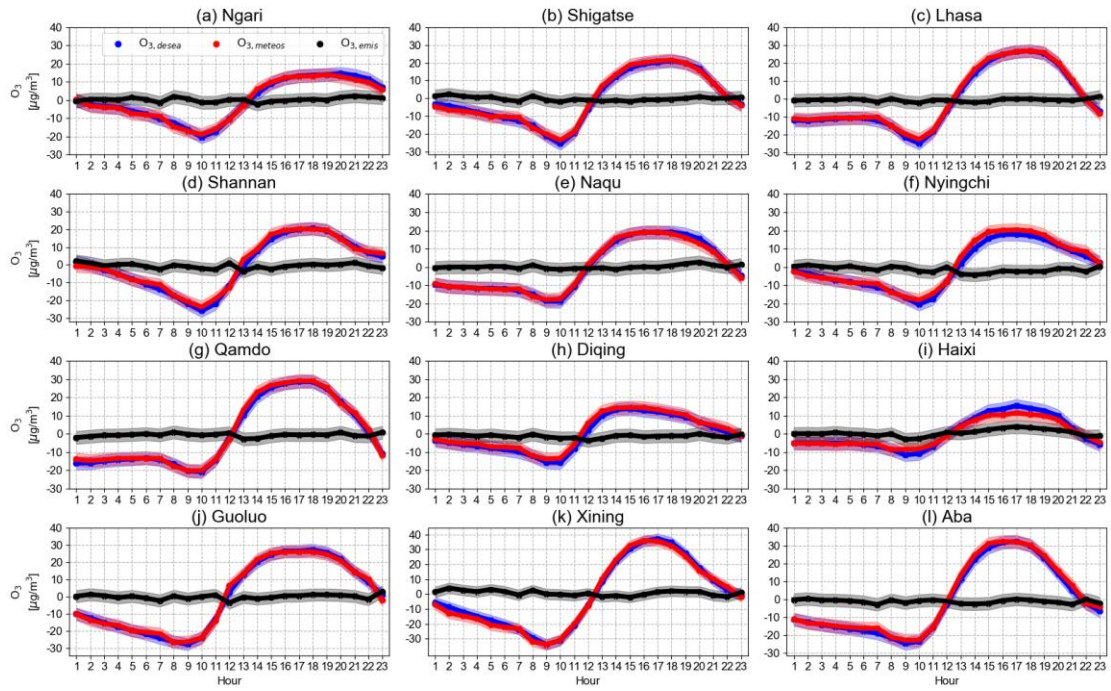
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**Figure 4.** Monthly mean time series of surface ozone (units:  $\mu\text{g}/\text{m}^3$ ) between 2015 and 2020 in each city over the QTP. The vertical error bar is  $1\sigma$  standard variation (STD) within that month.



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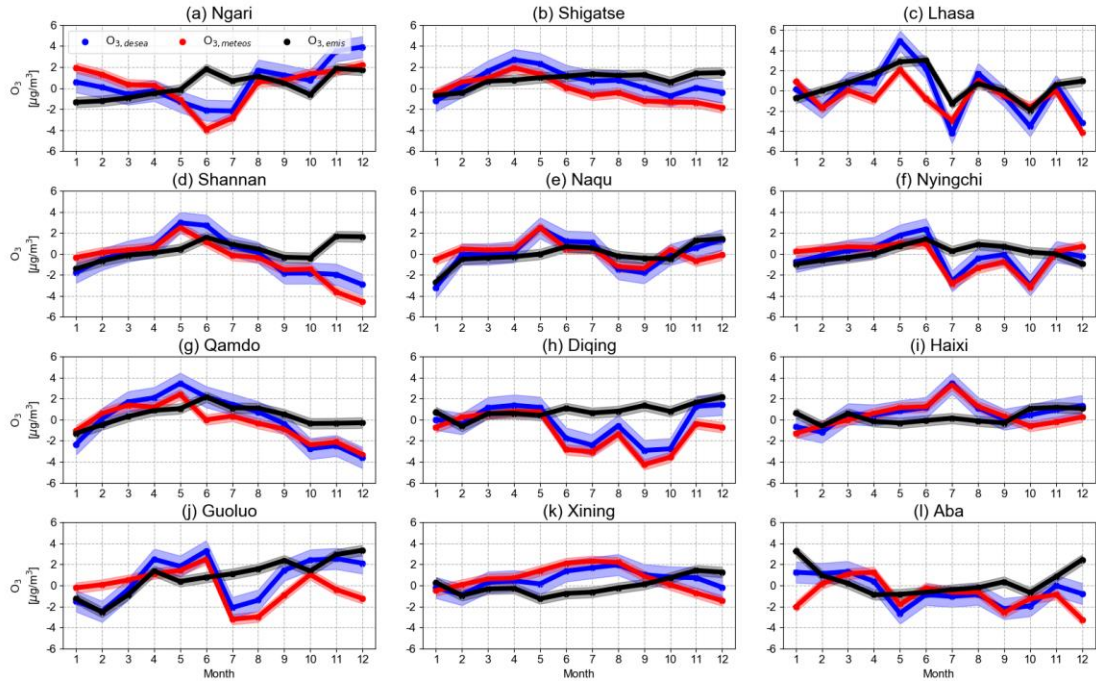
**Figure 5.** Inter-annual trends of surface ozone levels between 2015 and 2020 in the urban areas over the QTP. Blue dots are the monthly averaged surface ozone measurements. The seasonality and inter-annual variability in each city fitted by using a bootstrap resampling model with a second Fourier series (red dots) plus a linear function (black line) is also shown.



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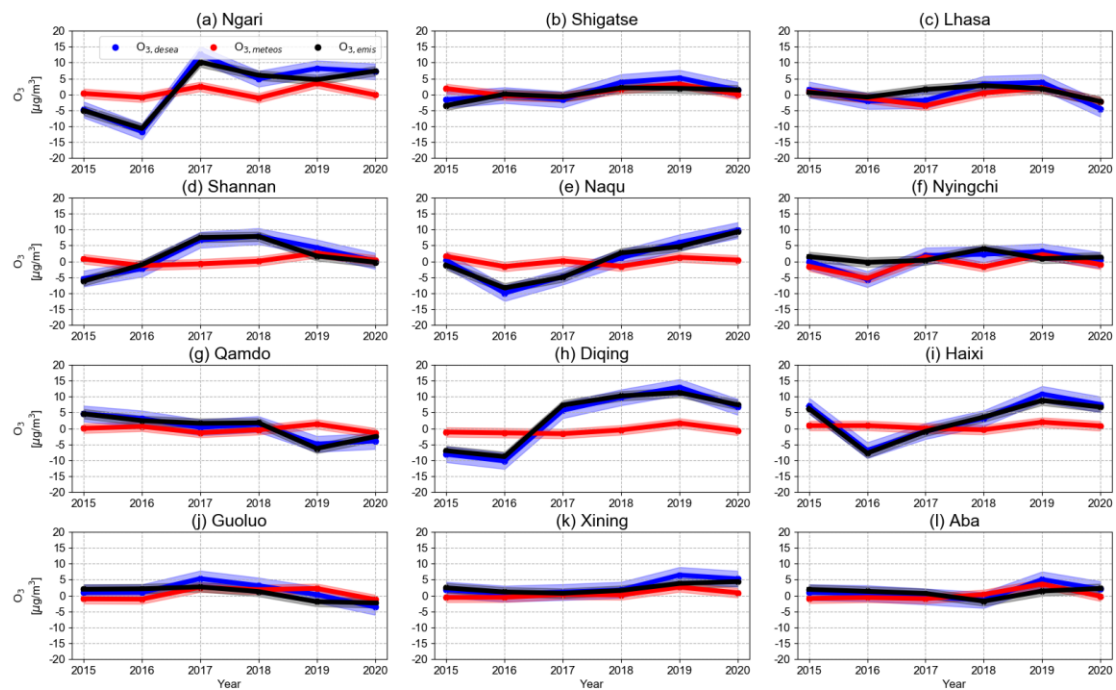
2 **Figure 6.** Diurnal cycles of surface ozone anomalies ( $O_{3,anomalies}$ , blue dots and lines) along with  
 3 the meteorology-driven portions ( $O_{3,meteo}$ , red dots and lines) and the anthropogenic-driven  
 4 portions ( $O_{3,emis}$ , black dots and lines) in each city over the QTP. Bold curves and the shadows are  
 5 diurnal cycles and the  $1\sigma$  standard variations, respectively.

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**Figure 7.** Seasonal cycles of surface ozone anomalies ( $O_{3,anomalies}$ , blue dots and lines) along with the meteorology-driven portions ( $O_{3,meteo}$ , red dots and lines) and the anthropogenic-driven portions ( $O_{3,emis}$ , black dots and lines) in each city over the QTP. Bold curves and the shadows are monthly mean values and the  $1\sigma$  standard variations, respectively.



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**Figure 8.** Annual mean surface ozone anomalies ( $O_{3,anomalies}$ , blue dots and lines) along with meteorology-driven portions ( $O_{3,meteo}$ , red dots and lines) and anthropogenic-driven portions ( $O_{3,emis}$ , black dots and lines) in each city over the QTP. Bold curves and the shadows are annual mean values and the  $1\sigma$  standard variations, respectively.

1 **Table 1.** Geolocations of each city over the QTP. Population statistics are available from the 2020  
 2 nationwide population census issued by National Bureau of Statistics of China.

Name	Latitude	Longitude	Number of site	Altitude (km)	Population (million)	Area (Thousand km <sup>2</sup> )
Ngari	32.5°N	80.1°E	2	4.5	0.12	345.0
Shigatse	29.3°N	88.9°E	3	4.0	0.80	182.0
Lhasa	29.7°N	91.1°E	6	3.7	0.87	31.7
Shannan	29.2°N	91.8°E	2	3.7	0.35	79.3
Naqu	31.5°N	92.1°E	3	4.5	0.50	430.0
Nyingchi	29.6°N	94.4°E	2	3.1	0.23	117.0
Qamdo	31.1°N	97.2°E	3	3.4	0.76	110.0
Diqing	27.8°N	99.7°E	2	3.5	0.39	23.9
Haixi	37.4°N	97.4°E	1	4.8	0.47	325.8
Guoluo	34.5°N	100.3°E	1	4.3	0.21	76.4
Xining	36.6°N	101.7°E	5	2.3	2.47	7.7
Aba	32.9°N	101.7°E	3	3.8	0.82	84.2

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1 **Table 2.** List of predictive variables fed into the RF model.

<b>Parameters</b>	<b>Description</b>	<b>Unit</b>
Meteorological variables by MERRA-2 dataset		
T <sub>surface</sub>	Surface air temperature	°C
U <sub>10m</sub>	zonal wind at 10 m height	m/s
V <sub>10m</sub>	meridional wind at 10 m height	m/s
PBLH	Planetary boundary layer height	m
CLDT	Total cloud area fraction	unitless
PRECTOT	Total Precipitation	kg·m <sup>2</sup> /s
OMEGA	Vertical pressure velocity at PBLH	Pa/s
SWGDN	Surface incoming shortwave flux	W/m <sup>2</sup>
QV	Specific humidity at 2 m height	kg/kg
TROPT	Tropospheric layer pressure	Pa
Time information		
Year	Year since 2015	/
Month	Month of the year	/
day	Day of the month	/
Hour	Hour of the day	/

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1 **Table 3.** Statistical summary of surface ozone concentration (units:  $\mu\text{g}/\text{m}^3$ ) in each city over the  
 2 QTP from 2015 to 2020.

City	Mean	Standard deviation	Median	The number of nonattainment day					
				2015	2016	2017	2018	2019	2020
Ngari	74.18	34.26	73.50	0	0	8	9	1	13
Shigatse	79.25	31.62	82.00	0	5	0	5	5	2
Lhasa	77.90	32.63	78.67	10	20	2	5	0	0
Shannan	77.55	30.75	78.00	0	2	12	10	2	3
Naqu	52.43	26.27	53.00	0	0	0	0	0	0
Nyingchi	67.30	28.30	68.00	0	0	1	0	0	0
Qamdo	64.23	31.47	62.00	0	2	0	0	0	0
Diqing	57.50	27.64	54.50	0	0	0	0	0	0
Haixi	90.38	28.83	90.00	14	0	0	0	16	2
Guoluo	82.98	33.29	86.00	3	0	3	3	0	0
Xining	63.50	36.02	60.00	0	2	17	6	3	3
Aba	50.67	29.57	47.00	0	0	0	0	0	0

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