



1 Aerosol activation characteristics and prediction at the
2 central European ACTRIS research station Melpitz,
3 Germany

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14 **Abstract:** Understanding aerosol particle activation is essential for evaluating aerosol
15 indirect effects (AIEs) on climate. Long-term measurements on aerosol particle
16 activation help to understand the AIEs and narrow down the uncertainties of AIEs
17 simulation; however, they are still scarce. In this study, more than 4-year aerosol
18 comprehensive measurements were utilized at the central European research station
19 Melpitz, Germany, to gain insight into the aerosol particle activation and provide
20 recommendations on improving prediction. The overall characteristics of aerosol
21 particle activation at Melpitz are first summarized. For supersaturation (*SS*) levels of
22 0.1%, 0.2%, 0.3%, 0.5%, and 0.7%, the mean cloud condensation nuclei (CCN) number
23 concentration (N_{CCN}) increases with the increase of *SS* from 513 to 2477 cm⁻³, which
24 represents 11% to 52% of the total particle number concentration with diameter ranging
25 from 10 to 800 nm, while the hygroscopicity factor (κ) and the critical diameter (D_c)
26 decrease from 0.28 ± 0.08 (mean value \pm one standard deviation) to 0.20 ± 0.09 and



27 from 177 ± 19 to 54 ± 8 nm, respectively. Aerosol particle activation is highly variable
28 across seasons, especially at low SS conditions. At $SS = 0.1\%$, the seasonal mean N_{CCN}
29 is 681 cm^{-3} in winter, which is almost twice higher than the summer value (347 cm^{-3});
30 the seasonal mean activation ratio (AR) in winter (0.18) is three times higher than the
31 summer one. Subsequently, size dependency of both κ and the state of mixing were
32 investigated. As the particle diameter (D_p) increases, κ increases at D_p of ~ 40 to 100 nm
33 and almost stays constant at D_p of 100 to 200 nm, whereas the degree of the external
34 mixture keeps decreasing at D_p of ~ 40 to 200 nm. The relationships of κ vs. D_p and
35 mixture degree vs. D_p were both fitted well by the power-law function for each season.
36 Finally, we recommend applying the $\kappa - D_p$ power-law fit for N_{CCN} prediction, which
37 can narrow down the median uncertainty within 10% for different SS conditions and
38 seasons at Melpitz; it also could be applied to predict N_{CCN} at other rural and continental
39 regions with a similar aerosol background. Additionally, the mean κ value over D_p of
40 100 to 200 nm also works well on the N_{CCN} prediction when SS is less than 0.2%.

41 **1. Introduction**

42 The specific subset of aerosol particles that serves as nuclei for the condensation
43 of water vapor, forming activated cloud droplets at a given supersaturation (SS)
44 condition, is known as cloud condensation nuclei (CCN). Aerosol particle activation
45 affects the aerosol and cloud interactions (ACI), thereby changing the cloud
46 microstructure (Zhao et al., 2012; Lu et al., 2013; Jia et al., 2019; Wang et al., 2019),
47 precipitation (Khain, 2009; Wang et al., 2011; Fan et al., 2012, 2018), radiation
48 (Twomey, 1974, 1977; Albrecht, 1989; Zhao and Garrett, 2015), and by these effects
49 the global climate (Ramanathan et al., 2001; Wang et al., 2014; Rosenfeld et al., 2019).
50 The latest sixth assessment report from IPCC (2021) pointed out that aerosol indirect



51 effects (AIEs) remain the most considerable uncertainty in assessing the anthropogenic
52 contribution to present and future climate change.

53 The ambient *SS* and aerosol activation ability are both important for predicting the
54 number concentration of activated droplets. The classical Köhler theory (Köhler, 1936),
55 combining the Raoult law with the Kelvin effect, illustrates that the aerosol particle
56 activation depends on particle size, chemical composition and the given *SS*. Petters and
57 Kreidenweis (2007) utilized a single hygroscopicity factor κ to describe the CCN
58 activity at each particle diameter (D_p), which facilitates studying the activation process
59 without considering the complex chemical compositions of aerosol particles
60 (McFiggans et al., 2006).

61 Different perspectives have been presented on the influence of particle size and
62 composition on the CCN activation. In terms of a single aerosol particle, the actual
63 particle size plays a more important role than the chemical composition for activation
64 because of the reciprocal relationship between κ and D_p^3 at a given *SS*. As for a
65 population of aerosol particles, Dusek et al. (2006) concluded that particle number size
66 distribution (PNSD) matters more than the chemical composition distribution, which
67 has been supported by many experiments. Even sometimes, assuming a constant κ still
68 predicted CCN number concentration (N_{CCN}) well (e.g., Sihto et al., 2011; Wang et al.,
69 2018a). Andreae and Rosenfeld (2008) reviewed the previous studies on aerosol particle
70 activation and recommended that for modeling purposes, the global κ values of 0.3 ± 0.1
71 and 0.7 ± 0.2 can be representative for continental and marine aerosol, respectively,
72 which has been widely used to predict N_{CCN} . The regional variability should be
73 underlined because the mean κ measured in urban, rural, and forest exhibits significant
74 differences. For instance, Sihto et al. (2011) suggested an average κ of 0.18 to predict
75 the CCN activation well in boreal forest conditions in Hyttiälä, Finland; a fixed κ of



76 0.31 suffices to calculate the N_{CCN} in a suburban site located in the center of the North
77 China Plain (Wang et al., 2018a); the mean κ is 0.5 in a near-coast and rural background
78 station (CESAR Tower) in Netherlands (Schmale et al., 2018); the median κ ranges
79 from 0.02 to 0.16 at $SS = 0.1\text{--}1.0\%$ in an urban background site in Budapest, Hungary
80 (Salma et al., 2021). Therefore, the assumption of a constant $\kappa = 0.3$ may not be
81 appropriate when trying to predict N_{CCN} for different continental regions.

82 Additionally, some experiments, especially conducted on more diverse particulate
83 sources, have indicated chemistry does play an important role in N_{CCN} variability (e.g.,
84 Nenes et al., 2002; Petters and Kreidenweis, 2007; Rose et al., 2010). Not only the bulk
85 chemical composition with a constant κ should be considered for N_{CCN} prediction, but
86 the size-resolved chemical composition (Deng et al., 2011, 2013; Wu et al., 2016) and
87 the mixing state should be applied (Su et al., 2010; Zhang et al., 2014). Information on
88 the organic aerosol fraction improves N_{CCN} prediction considerably (Poulain et al., 2010;
89 Zhang et al., 2016; Kuang et al., 2020). Freshly formed particles are about 1 nm in
90 diameter (Kulmala et al., 2012); they must grow to tens of nanometers in diameter to
91 serve as the effective CCN at a relatively high SS of $\sim 1\%$ (Dusek et al., 2006) and even
92 larger than 200 nm to be efficient at SS less than 0.1% (Deng et al., 2013). Aerosol
93 chemical composition changes during the growing and aging processes. For instance, κ
94 increases with particle size caused by photochemical processes which enhance
95 secondary inorganic species formation and go along with an increase in particle size
96 (Massling et al., 2009; Zhang et al., 2017; Wang et al., 2018b). On the other hand, in
97 sulfate dominated new particle formation (NPF) events with subsequent particle growth
98 by condensation of organic vapors, the κ of small particles may exceed the κ of the
99 larger ones (Wang et al., 2018a). If the κ of organic aerosol increases from 0.05 to 0.15,
100 the global average aerosol radiative forcing would decrease by $\sim 1 \text{ W m}^{-2}$, which is in



101 the same order of magnitude as the overall climate forcing of anthropogenic aerosol
102 during the industrialization period (Rastak et al., 2017).

103 To obtain the regional parameters of aerosol particle activation, extensive field
104 campaigns have been conducted worldwide. Besides the significant difference in spatial,
105 also the temporal variations of aerosol activation characteristics are essential for N_{CCN}
106 prediction (Andreae and Rosenfeld, 2008). Most of the observations lasted 1–2 months
107 or even less; they mainly focused on the effects of short-term weather processes or
108 pollution events on aerosol particle activation, such as the effects of the summer
109 monsoon (Jayachandran et al., 2017, 2020), wet removal (Croft et al., 2009), NPF
110 events (Dusek et al., 2010; Wu et al., 2015), biomass burning (Rose et al., 2010), and
111 aerosol particle aging as well as oxidation processes (Zhang et al., 2016, 2017). The
112 long-term CCN concentration measurements (of at least one full year) are still rarely
113 reported, resulting in insufficient knowledge concerning the seasonal and annual cycles
114 of aerosol particle activation, which are also critical for model predictions and
115 evaluations. Burkart et al. (2011) reported the particle activation in the urban
116 background aerosol of Vienna, Austria, based on 11-month aerosol and CCN
117 concentration measurements. Paramonov et al. (2015) reported a synthesis of CCN
118 measurements within the EUCAARI (European Integrated project on Aerosol Cloud
119 Climate and Air Quality interactions) network using the long-term data collected at 14
120 locations. Pöhlker et al. (2016) presented the climatology of CCN properties of a remote
121 central Amazonian rain forest site using 1-year measurements. Che et al. (2017)
122 provided the aerosol-activation properties in the Yangtze River Delta, China, based on
123 ~1-year measurements. Using the long-term (of most > 1 year) aerosol and CCN
124 concentration measurements from 12 sites, Schmale et al. (2018) presented the spatial
125 differences in aerosol particle activation for various regional backgrounds. However,



126 systematic studies focusing on the seasonal cycle of size-resolved particle activation
127 and respective CCN predictions are still scarce in the central European continent. Such
128 a study would be of great help for understanding ACI and narrowing down the regional
129 uncertainties in climate predictions.

130 In this investigation, more than 4-year comprehensive measurements of aerosol
131 physical, chemical, and activation properties collected at the ACTRIS (Aerosol, Clouds
132 and Trace Gases Research Infrastructure, <http://www.actris.eu/>) site Melpitz, Germany,
133 are utilized. The major objective is to gain insight into the aerosol particle activation
134 and provide recommendations on methods for CCN predictions. We present therefore
135 the long-term observations and seasonal cycles of various particle activation variables
136 such as CCN number size distribution, N_{CCN} , activation ratio, critical diameter, size-
137 resolved κ and mixing state degree. Furthermore, we evaluated the accuracy of N_{CCN}
138 calculated from five different activation schemes and finally provide recommendations
139 to use a power-law based parameterization for the dependence of κ on particles diameter
140 for long-term N_{CCN} prediction at Melpitz and for other regions with a similar aerosol
141 background condition.

142 **2. Methodology**

143 **2.1 Experiment details**

144 Atmospheric aerosol measurements were conducted at the Melpitz observatory
145 (51.54°N, 12.93°E, 86 m above sea level), 50 km to the northeast of Leipzig, Germany.
146 The aerosol particles observed at Melpitz can be regarded as representative for the
147 central European rural background conditions (Birmili et al., 2009). The surroundings
148 of the site are mostly pastures and forests without significant sources of anthropogenic
149 emissions. More detailed descriptions of the Melpitz site can be found in for example,
150 Poulain et al. (2020).



151 This study focuses on the physicochemical properties and the activation ability of
152 aerosol particles using the data collected at Melpitz from August 2012 to October 2016.
153 Figure 1 demonstrates the experimental setup. All instruments were in the same
154 container laboratory and utilized the same air inlet. Ambient aerosol particles were first
155 pretreated through a PM₁₀ Anderson inlet and an automatic aerosol diffusion dryer kept
156 the relative humidity in sampling lines at a relative humidity less than 40% (Tuch et al.,
157 2009). Subsequently, the aerosol flow was divided into the different instruments using
158 an isokinetic splitter. Particle number size distributions (PNSD) were measured using a
159 Dual-mobility particle size spectrometer (D-MPSS, TROPOS-type; Birmili et al., 1999;
160 Wiedensohler et al., 2012) within the diameter ranging from 5 to 800 nm. An aerosol
161 chemical species monitor (ACSM, Aerodyne Inc; Ng et al., 2011) was used to measure
162 the chemical compositions of near-PM₁ non-refractory submicron aerosol particles
163 (nitrate, sulfate, chloride, ammonium, and organics). A multi-angle absorption
164 photometer (MAAP, model 5012, Thermo Scientific; Petzold and Schönlinner, 2004)
165 was used to measure the particle light absorption coefficients and to estimate the
166 equivalent black carbon (eBC) mass concentration. For simultaneous measurement of
167 particle and CCN number size distributions, dried aerosol particles were passed through
168 the bipolar charger to establish charge equilibrium (Wiedensohler, 1988) and then
169 through a differential mobility analyzer (DMA) for selecting a monodisperse particle
170 fraction; after the DMA the flow was divided into two parts, respectively passed through
171 a condensation particle counter (CPC, model 3010, TSI) to measure the total number
172 concentration of the selected monodisperse condensation nuclei (N_{CN}) and through a
173 cloud condensation nuclei counter (CCNC, model 100, Droplet Measurement
174 Technologies; Roberts and Nenes, 2005) to measure the N_{CCN} . Thus, the size dependent
175 activated fraction (AF, N_{CCN}/N_{CN}) curve, i.e., the AF at a certain diameter (D_p) of dry



176 particles, could be obtained. A total of five different *SS* conditions was set in the CCNC
177 instrument (0.1%, 0.2%, 0.3%, 0.5%, and 0.7%). A complete *SS* cycle lasted ~2.5 hours.

178 All the instrumentation was frequently calibrated within the framework of the
179 European Center for Aerosol Calibration (ECAC, <https://www.actris-ecac.eu/>). The
180 ACSM was regularly calibrated according to the manufacturer's recommendations with
181 350 nm monodispersed ammonium nitrate and ammonium sulfate particles (Freney et
182 al., 2019; Poulain et al., 2020). The D-MPSS was calibrated following the
183 recommendations in Wiedensohler et al. (2018). Throughout the campaign, the CCNC
184 was regularly calibrated following the procedures outlined in Rose et al. (2008). The
185 measurement uncertainties of these instruments should be noted. The uncertainty in the
186 MAAP is within 10% (Müller et al., 2011), and those in the D-MPSS and CCNC are
187 both on the order of 10% (Wiedensohler et al., 2018; Rose et al., 2008). For the *SS*
188 setting in CCNC, Gysel and Stratmann (2013) pointed out that an achievable accuracy
189 in *SS* is 10 % (relative) at *SS* > 0.2%, and less than 0.02 % (absolute) at the lower *SS*.
190 For the ACSM data, the uncertainty in determining the total non-refractory mass is 9%;
191 while for the individual chemical components, it is 15% for nitrate, 28% for sulfate, 36%
192 for ammonium, and 19% for organic matter (Crenn et al., 2015).

193 Due to instrument failures and maintenance operations, missing measurements
194 occurred during the campaign. Effective data coverage is shown in Figure 2. Overall,
195 the CCNC, D-MPSS, and ACSM-MAAP captured 45578 AF curves, 103052 PNSDs,
196 and 26876-hour aerosol chemical measurements, which covered 63%, 92%, and 77%
197 of the campaign time, respectively. For 42% of the time all these instruments were
198 measuring together.

199 2.2 Methods

200 Each AF curve (N_{CCN}/N_{CN} vs. D_p) was firstly corrected for multiply charged



201 particles. Multiply (mostly doubly) charged particles appear in the AF curve as a plateau
202 or shoulder at small diameters because they have the same electrical mobility diameter
203 as singly charged smaller particles; thus, they are falsely selected in the DMA (Rose et
204 al., 2008; Henning et al., 2014). For this was corrected by subtracting the multiply
205 charged particle fraction as determined from the D-MPSS measurements from each
206 value of N_{CCN}/N_{CN} in AF. The PNSD from the D-MPSS measurements (5 to 800 nm)
207 are needed as the DMA-CCNC size range does not cover the large particle fraction,
208 which is essential for the correction. Subsequently, we obtained the corrected AF curves.

209 Each corrected AF curve was fitted with a sigmoid function,

$$AF = a + b / \left(1 + \exp \left(- \frac{D_p - D_c}{\sigma_s} \right) \right) \quad (1)$$

210 where a and b are the lower and upper limits for calculating critical diameters (D_c) at
211 the set-nominal SS , and σ_s is a measure for the width of the sigmoid function. This AF
212 fit was multiplied with the PNSD to gain the CCN number size distribution and by
213 integrating the total number of CCN, i.e., N_{CCN} .

214 The critical diameter (D_c) of dry particles, κ , and mixing state at each SS condition
215 can be derived from the AF fit results. Affected by aerosol mixing, the AF rises
216 gradually from 0 to the max (~ 1) rather than an intermittent mutation. D_c is defined as
217 the diameter of the dry particles from which 50% of the particles are activated at the
218 given SS .

219 The shape of the AF curve, i.e., the relative width of the AF, represents the degree
220 of external mixture, which can be quantified by the ratio of $(D_{75} - D_{25})/D_c$ (Jurányi et
221 al., 2013). D_{75} and D_{25} are the diameters at which 75% and 25% of the particles are
222 activated at the given SS . Internal mixture implies that all particles with equal dry size
223 have equal κ with $(D_{75} - D_{25})/D_c = 0$, whereas a distribution of different κ can be



224 observed for externally mixed aerosol with higher $(D_{75} - D_{25})/D_c$ values. Jurányi et al.
225 (2013) confirmed the reliability of this approach by comparing the κ distributions
226 derived from parallel monodisperse CCN measurements and HTDMA measurements.

227 According to the derivation of κ -Köhler theory (Petters and Kreidenweis, 2007),
228 the κ can be calculated from D_c at a given SS :

$$\kappa = \frac{4A^3}{27D_c^3 \ln^2(1 + SS/100)} \quad (2a)$$

229 with

$$A = \frac{4\sigma_{s/a}M_w}{RT\rho_w} \quad (2b)$$

230 where $\sigma_{s/a}$ is the droplet surface tension (assumed to be that of pure water, 0.0728 Nm^{-2}),
231 M_w the molecular weight of water, R the universal gas constant, T the absolute
232 temperature, ρ_w the density of water, and A can be considered a function of T . Thus, the
233 size-resolved κ can be obtained at each SS cycle.

234 Besides deriving it from the monodisperse CCN measurements, κ can be
235 determined from the ACSM chemical composition measurements (κ_{chem}) using the
236 Zdanovskii–Stokes–Robinson (ZSR) mixing rule (Zdanovskii, 1948; Stokes and
237 Robinson, 1966) combined with κ -Köhler theory:

$$\kappa_{chem} = \sum_i \varepsilon_i \kappa_i \quad (3)$$

238 where κ_i and ε_i mean the κ and volume fraction for each component, respectively, and
239 i is the number of the component in the mixture. The ε_i was derived from its measured
240 component i mass concentration and density (ρ_i). A simple ion-pairing scheme (Gysel
241 et al., 2007) was used in this study with the κ_i and ρ_i values listed in Table 1 (Wu et al.,
242 2015). Note that a κ of 0.1 is used for particulate organics (Dusek et al., 2010; Gunthe



243 et al., 2009, 2011); for black carbon, we use a κ of 0 (Rose et al., 2011; Schmale et al.,
244 2018).

245 When κ is given, we can predict the N_{CCN} at each SS . Thereto, $D_c(\kappa, SS)$ is
246 calculated from equation 2a. And, assuming an internal mixture, the predicted N_{CCN} is
247 the integration of the PNSD from D_c , that is,

$$\text{Predicted } N_{CCN} = \int_{D_c}^{800} \text{PNSD}(D_p) dD_p \quad (4)$$

248 3. Results

249 3.1 Aerosol activation characteristics

250 Figure 3a presents the time series of the mean CCN number size distribution at
251 each SS condition. As SS increases, CCN number size distribution broadens towards
252 smaller particle sizes, causing an increase in N_{CCN} and activation ratio (AR, i.e., ratio
253 of N_{CCN} to total aerosol number concentration with diameter ranging from 10 to 800 nm,
254 N_{aero}). At Melpitz, the mean N_{CCN} is 513, 1102, 1466, 2020, and 2477 cm^{-3} at SS of 0.1%,
255 0.2%, 0.3%, 0.5%, and 0.7%, respectively. The mean AR ranged from 0.11 to 0.52 at
256 $SS = 0.1\%$ to 0.7%. As shown in Table 2, the mean N_{CCN} measured at Melpitz is
257 generally higher than that measured in more remote rural background stations. For
258 instance, as SS increased from 0.1% to 1.0%, the mean N_{CCN} increased from 362 to 1795
259 cm^{-3} in Vavihill, Sweden (Fors et al., 2011) and 274 to 1128 cm^{-3} in Hyytiälä, Finland
260 (Paramonov et al., 2015); in Southern Great Plains, USA, the mean N_{CCN} at $SS = 0.4\%$
261 was 1248 cm^{-3} (Liu and Li, 2014); the mean N_{CCN} increased from 118 to 1826 cm^{-3} as
262 SS increased from 0.1% to 0.94% in Mahabaleshwar, India (Singla et al., 2017).
263 However, the mean N_{CCN} measured at Melpitz is far lower than that measured in
264 polluted regions. For example, in a rural site of Guangzhou, China, the mean N_{CCN}
265 increased from 995 to 10731 cm^{-3} as SS increased from 0.068% to 0.67% (Rose et al.,



266 2010); higher N_{CCN} was observed in Wuqing, China, with the mean N_{CCN} of 2192–12963
267 cm^{-3} at $SS = 0.056\text{--}0.7\%$ (Deng et al., 2011); in an urban site of Seoul, Korea, the mean
268 N_{CCN} increased from 4145 to 6067 cm^{-3} as SS increased from 0.4% to 0.8% (Kim et al.,
269 2014); in a polluted continental site of Mahabubnagar, India, the mean N_{CCN} at $SS = 1.0\%$
270 was $\sim 5400 \text{ cm}^{-3}$ (Varghese et al., 2016).

271 At Melpitz, aerosol activation characteristics are highly variable across seasons.
272 At $SS = 0.1\%$, CCN number size distribution is wider in spring and winter than in
273 summer and autumn; the mean N_{CCN} at $SS = 0.1\%$ is 585, 347, 440, and 681 cm^{-3} in
274 spring, summer, autumn, and winter, respectively. The mean N_{CCN} at $SS = 0.1\%$ in
275 winter is almost twice as high as that found in summer. The highest mean AR at $SS =$
276 0.1% was 0.18 observed in winter, whereas the lowest mean AR (0.06) was observed
277 in summer. In spring and autumn, the mean AR at $SS = 0.1\%$ is 0.1. As SS increases,
278 CCN number size distribution gradually peaks in summer, especially at $SS = 0.5\%$ and
279 0.7% . At $SS = 0.7\%$, the mean N_{CCN} is 2622, 2530, 2222, and 2495 cm^{-3} , and the mean
280 AR is 0.49, 0.41, 0.51, and 0.68 in spring, summer, autumn, and winter, respectively.

281 The AR- SS and N_{CCN} - SS relationships in each season and all datasets are shown in
282 Figures 3b and 3c. The two relationships are similar, and both can be fitted well by the
283 power-law function (Twomey, 1959) and the error function (Pöhlker et al., 2018). The
284 fit results are shown in Table 3. The error function fits the relationships better than the
285 power-law function because of more parameters. The power parameter in the power-
286 law function means the change rate of the controlled variable with the independent
287 variable, that is the slope in a log-log coordinate system, so it is also called the slope
288 parameter. In the power-law fits of the two relationships, the slope parameters are
289 highest in summer and lowest in winter. Therefore, AR and N_{CCN} are most sensitive to
290 SS in summer, whereas the opposite is true in winter. The coefficients in the power-law



291 fits represent the AR and N_{CCN} at $SS = 1\%$. The coefficient in AR- SS fit is highest in
292 winter (0.89) and lowest in summer (0.61). However, the coefficient in N_{CCN} - SS fit is
293 highest in summer (3951 cm^{-3}) and lowest in autumn (3136 cm^{-3}). Over the whole
294 period, the mean values of the slope parameter and the coefficient in the N_{CCN} - SS power-
295 law fit are 3497 cm^{-3} and 0.81, respectively, which are within the range of values for
296 continental aerosol (slope parameter of $600\text{--}3500 \text{ cm}^{-3}$ and coefficient of 0.4–0.9)
297 reported in Seinfeld and Pandis (2016).

298 CCN number size distribution is a part of the particle number size distribution
299 (PNSD), which approximately corresponds to the part of PNSD with $D_p > D_c$ when
300 assuming particles to be internally mixed. The schematic diagram in Appendix A shows
301 the relationship between the PNSD and the CCN number size distribution. Aerosol
302 chemical composition determines the κ through equation 3, thereby changing D_c at a
303 given SS condition through equation 2a. Thus, we present the time series of the PNSD
304 and chemical compositions in Figure 4 to explain the variations in aerosol activation
305 characteristics.

306 In summer, affected by the frequent NPF events (Ma et al., 2015; Wang et al.,
307 2017), the Aitken-mode particles with $D_p < 100 \text{ nm}$ account for the largest portion of
308 the PNSD, resulting in the highest N_{aero} with a mean value of 6224 cm^{-3} and the smallest
309 geometric mean diameter ($GMD = \exp\left(\frac{\sum_i n_i \times \ln D_i}{N_{aero}}\right)$) with a mean value of 50 nm among
310 the four seasons. On the contrary, in winter, the mean GMD increases to 58 nm, which
311 is the largest among the four seasons, and the N_{aero} decreases to the lowest with a mean
312 value of 3686 cm^{-3} because of the rare NPF events. During the NPF events, only a part
313 of newly formed particles grows to sizes larger than D_c (e.g., $\sim 55 \text{ nm}$ at $SS = 0.7\%$),
314 whereas most of the new particles are still unactivated at $SS \leq 0.5\%$. Therefore, CCN
315 number size distribution gradually peaks as SS increases in summer, whereas AR keeps



316 a minimum even at relatively high SS conditions as shown in Figure 3a. In winter, the
317 lowest N_{aero} and the largest GMD contribute to the highest AR at each SS condition.

318 Figure 4b shows the average changes of the aerosol particle chemical compositions
319 over a year and the estimated bulk κ_{chem} of submicron aerosol particles. At Melpitz, the
320 mean value of bulk κ_{chem} is 0.36 with one standard deviation of 0.09 over the whole
321 period; the seasonal mean κ_{chem} plus/minus one standard deviation are 0.38 ± 0.09 ,
322 0.29 ± 0.08 , 0.36 ± 0.08 , and 0.40 ± 0.08 in spring, summer, autumn, and winter,
323 respectively. Because the κ_{chem} depends on aerosol particle chemical composition
324 through equation 3, we examined the correlation between κ_{chem} and the mass fraction
325 of each component to explain the variations of κ_{chem} . As shown in Figures 5a and 5b, a
326 negative correlation between the κ_{chem} and the organic mass fraction (f_{org}) was observed,
327 while an opposite trend was found for the nitrate ($f_{nitrate}$). Additionally, the κ_{chem} is not
328 correlated with the sulfate mass fraction ($f_{sulfate}$) and the BC mass fraction (f_{BC}), as
329 shown in Figures 5c and 5d.

330 In summer, there is the lowest bulk κ_{chem} with 0.29 ± 0.08 corresponding to the
331 highest f_{org} (56% of total mass on average), which could be related to the strong
332 formation of the secondary organic aerosol. In winter, low temperatures favor the
333 particulate phase of nitrate (Poulain et al., 2011) with a mean $f_{nitrate}$ of 31%, which might
334 explain the highest κ_{chem} (0.40 ± 0.08). According to equation 2a, D_c increases as
335 κ decreases at a given SS condition. Thus, the lowest κ_{chem} results in the narrowest CCN
336 number size distribution and a decrease in N_{CCN} in summer, especially at relatively low
337 SS conditions (e.g., 0.1% and 0.2%) as shown in Figure 3a.

338 3.2 Size-resolved particle hygroscopicity factor and mixing state

339 The hygroscopicity factor and the mixing state directly influence the D_c and the



340 shape of the AF curve, thereby changing the N_{CCN} at a given SS condition. These two
341 parameters are not constant and both vary with particle size and season.

342 Figure 6a presents monthly averages of κ calculated from monodisperse CCN
343 measurements (κ_{CCN}) at each SS condition, and their seasonal mean values are
344 summarized in Table 4. At Melpitz, the mean κ_{CCN} plus/minus one standard deviation
345 over all datasets are 0.28 ± 0.08 , 0.28 ± 0.10 , 0.24 ± 0.10 , 0.21 ± 0.09 , and 0.20 ± 0.09 at
346 $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% , respectively, where the mean κ_{CCN} were all
347 less than the mean bulk κ_{chem} of 0.36. The seasonal variation of κ_{CCN} at each SS
348 condition is similar to that of κ_{chem} . In summer, κ_{CCN} is lowest among the four seasons,
349 with mean values of 0.23, 0.25, 0.21, 0.19, and 0.19 at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% ,
350 and 0.7% , respectively. The highest κ_{CCN} at each SS condition was observed in winter,
351 with mean values of 0.32, 0.32, 0.28, 0.23, and 0.21 at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% ,
352 and 0.7% , respectively. κ_{CCN} in spring are slightly lower than that in winter, with mean
353 values of 0.31, 0.32, 0.27, 0.22, and 0.21 at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% ,
354 respectively. In autumn, the mean κ_{CCN} are 0.27, 0.26, 0.22, 0.19, and 0.19 at $SS = 0.1\%$,
355 0.2% , 0.3% , 0.5% , and 0.7% , respectively, which is slightly higher than that observed
356 in summer.

357 Figure 6b presents the monthly variation of D_c at each SS condition, which shows
358 the opposite trend to $\kappa_{CCN} - SS$ because of the negative correlation of D_c^3 vs. κ shown
359 in equation 2a. The seasonal mean D_c are shown in Table 4. The mean D_c plus/minus
360 one standard deviation over the whole period are 177 ± 19 , 112 ± 14 , 91 ± 15 , 67 ± 9 , and
361 54 ± 8 nm at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% , respectively. The largest D_c at
362 each SS condition were observed in summer, with mean values of 187, 116, 94, 69, and
363 55 nm at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% , respectively. Followed by autumn
364 and spring, the smallest D_c at each SS condition was observed in winter, with mean



365 values of 168, 107, 86, 64, and 53 nm at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% ,
366 respectively.

367 The monthly average of the external-mixing degree is shown in Figure 6c. The
368 degree of external mixture is quantified by the ratio of $(D_{75} - D_{25})/D_c$. The seasonal
369 mean $(D_{75} - D_{25})/D_c$ are presented in Table 4. Jurányi et al. (2013) pointed out that the
370 $(D_{75} - D_{25})/D_c$ ranged from 0.08 to 0.12 for ammonium sulfate calibration
371 measurements at $SS = 0.1\text{--}1.0\%$, which indicated an internal mixture within
372 measurement accuracy. For our measurements, the mean $(D_{75} - D_{25})/D_c$ over all datasets
373 range from 0.17 to 0.25 at $SS = 0.1\text{--}0.7\%$. In summer, $(D_{75} - D_{25})/D_c$ is lowest ranging
374 from 0.14 to 0.18 at $SS = 0.1\text{--}0.7\%$, implying that aerosol particles were extremely
375 close to being internally mixed. Followed by spring and autumn, the highest $(D_{75} -$
376 $D_{25})/D_c$ was observed in winter with values ranging from 0.24 to 0.36 at $SS = 0.1\text{--}0.7\%$.
377 Therefore, the results tend to indicate that the aerosol particles were less internally
378 mixed in winter among the four seasons at Melpitz. In non-urban locations, initially
379 externally mixed aerosol particles become an internal mixture on a time scale of ~ 1 day
380 (Fierce et al., 2016). In winter, the relatively stable weather patterns increase the
381 persistence of aerosol (> 5 days) at Melpitz (Schmale et al., 2018). When tracking an
382 aerosol cluster, the prolonged mixing time should promote the aging process, leading
383 to an internal mixture. However, we observed a less internally mixed aerosol particle
384 population in winter. A plausible explanation is mixing in of local pollution.

385 Essentially, the relationship between κ_{CCN} and SS is determined by the κ_{CCN} vs. D_p
386 relationship. Identically, the relationship between $(D_{75} - D_{25})/D_c$ and SS depends on the
387 $(D_{75} - D_{25})/D_c$ vs. D_p relationship. Monodisperse CCN measurements provide the size-
388 resolved κ and $(D_{75} - D_{25})/D_c$. At a given SS condition, κ_{CCN} represents the κ of particles
389 at $D_p = D_c$, and the same is true for $(D_{75} - D_{25})/D_c$. It should be noted that our



390 monodisperse CCN measurements only provide the size-resolved κ and $(D_{75} - D_{25})/D_c$
391 within D_p of ~ 40 – 200 nm.

392 As shown in Figure 7a, κ_{CCN} increases with D_p at D_p of ~ 40 to 100 nm, whereas
393 κ_{CCN} almost stays constant at D_p of 100 to 200 nm for all seasons. Additionally, the
394 increase κ_{CCN} with D_p varies with season. The κ_{CCN} vs. D_p relationship is fitted by a
395 power-law function at each season. Fit results are presented in Table 5. In summer, there
396 is the lowest slope parameter of 0.19 in the κ_{CCN} vs. D_p power-law fit, meaning that the
397 difference between the κ_{CCN} at different particle sizes is smallest among the four seasons.
398 Followed by autumn with the slope parameter of 0.31 , the slope parameter is highest in
399 spring and winter of 0.36 – 0.37 . Therefore, the κ_{CCN} is most sensitive to D_p in spring and
400 winter.

401 Figure 7b presents the $(D_{75} - D_{25})/D_c$ vs. D_p relationship. As particle size increases,
402 $(D_{75} - D_{25})/D_c$ decreases at D_p of ~ 40 to 200 nm for all seasons, meaning that small
403 particles are less internally mixed. The reason is that during the aerosol aging process,
404 not only particle size increases but κ becomes more uniform. The $(D_{75} - D_{25})/D_c$ vs. D_p
405 relationship is also fitted well by the power-law function at each season, with fit results
406 shown in Table 5. The highest absolute value of the slope parameter was observed in
407 autumn of 0.42 , followed by winter of 0.30 and spring of 0.26 , and the lowest was 0.20
408 observed in summer. Thus, the difference between the degree of external mixture at
409 different particle sizes is largest in autumn, followed by winter and spring, and is
410 smallest in summer.

411 3.3 N_{CCN} prediction at Melpitz

412 N_{CCN} plays an important role in modeling the formation and evolution of clouds
413 (Zhao et al., 2012; Fan et al., 2012, 2018). This section evaluates the accuracy of N_{CCN}
414 predicted from five different schemes. Table 6 introduces the five schemes, which can



415 be summarized into two categories of N_{CCN} prediction approach. The fit results of N_{CCN}
416 - SS relationship and AR - SS relationship can predict N_{CCN} at the given SS conditions,
417 which belongs to the 1st category approach, corresponding to the 1st and 2nd schemes in
418 Table 6, respectively. Compared to CCN measurements, it is generally more common
419 and simpler to obtain the PNSD measurements; thus, we usually predict N_{CCN} using the
420 real-time PNSD combined with the parameterized CCN activity, which belongs to the
421 2nd category approach. The last three schemes in Table 6 belong to the 2nd category
422 approach, but they vary in assuming κ . The 3rd scheme uses a fixed κ of 0.3 without
423 temporal and size-dependent variations, as recommended for continental aerosol
424 (Andreae and Rosenfeld., 2008). The 4th scheme uses the bulk κ_{chem} calculated from
425 aerosol chemical composition, which is also non-size-dependent but changes over time.
426 The 5th scheme uses the κ - D_p power-law fit results shown in Table 5, which are size-
427 dependent without temporal variations at each season. Applying the κ - D_p power-law
428 equation into equation 2a, D_c can be derived as function of SS ,

$$D_c = \left(\frac{4 \times A^3}{27 \times coef \times \ln^2(1 + SS/100)} \right)^{\frac{1}{slope+3}} \quad (5)$$

429 where the *slope* and *coef* represent the slope parameter and the coefficient in κ - D_p
430 power-law fit. Subsequently, the predicted N_{CCN} can be calculated through equation 4.
431 The last three schemes all assume that aerosol particles are internally mixed at a
432 particular D_p , as used in many previous N_{CCN} prediction studies (e.g., Deng et al., 2013;
433 Pöhlker et al., 2016; Wang et al., 2018a).

434 The prediction results are shown in Figure 8. The linear equation ($y = kx$) is used
435 to fit the relationship between the predicted N_{CCN} and the measured one, and its slope
436 represents the mean ratio of the predicted N_{CCN} to the measured N_{CCN} . To make the
437 results of the predictions comparable for all regression schemes, we also applied a linear



438 regression to the 1st scheme and forced the linear regression through zero for all
439 schemes. The relative deviation (RD) equals the ratio of the absolute difference between
440 the predicted N_{CCN} and the measured one to the measured N_{CCN} , i.e., $RD = (|\text{predicted}$
441 $N_{CCN} - \text{measured } N_{CCN}|)/\text{measured } N_{CCN}$; a large RD represents a large deviation
442 between prediction and measurement. The slope and RD shown in Figure 8 are both
443 calculated from all five SS conditions for each season. As shown in Figure 8, the 1st and
444 2nd schemes only provide rough estimates of the N_{CCN} on account of the pretty high RD
445 ranging from 64% to 136%. Compared to the 1st category approach (the 1st and 2nd
446 schemes), the 2nd category approach (the 3rd, 4th, and 5th schemes) predicts N_{CCN} better.
447 The predicted N_{CCN} correlates well with the measured one for the 3rd, 4th, and 5th
448 schemes with $R^2 > 0.97$; but N_{CCN} is generally overestimated for the 3rd and 4th schemes
449 because the fit slopes range from 1.03 to 1.17 for different seasons. The 5th scheme
450 appears to be the best one for N_{CCN} prediction among the five schemes on account of
451 the lowest RD ranging from 11% to 17% and the fit slope of ~ 1 for different seasons. It
452 should be noted that the fit slope shown in Figure 8 represents the average over all five
453 SS conditions, which could obscure the performance at each SS condition. Thus, Figure
454 9 further evaluates the five schemes for the N_{CCN} prediction at each SS condition.

455 When using the $N_{CCN} - SS$ power-law fit (the 1st scheme) to predict N_{CCN} , it causes
456 significant overestimations of N_{CCN} at $SS = 0.1\%$ with median values ranging from 3%
457 to 29% for different seasons and causes less than 21% underestimations in median at
458 other larger SS conditions. Additionally, the prediction results are much uncertain at a
459 given SS condition and season, especially at $SS = 0.1\%$. For instance, one-quarter of the
460 predicted N_{CCN} are twice higher than the measured values at $SS = 0.1\%$ for all datasets.
461 Thus, this scheme can only be used to provide rough estimations of N_{CCN} .

462 When using the real-time N_{aero} combined with $AR - SS$ power-law fit (the 2nd



463 scheme) to predict N_{CCN} , the performances are slightly better than those of the 1st
464 scheme. The median overestimations of N_{CCN} are less than 17% at $SS = 0.1\%$ for all
465 seasons, while the median underestimations of N_{CCN} range from 12% to 35% at $SS =$
466 $0.2\%–0.7\%$ for all seasons. Similarly, the prediction results remain a high uncertainty
467 at a given SS condition and season. Thus, this scheme also provides rough estimations
468 on N_{CCN} .

469 When assuming the real-time PNSD combined with a constant κ of 0.3 (the 3rd
470 scheme) to predict N_{CCN} , it causes overestimations of N_{CCN} in most cases. The median
471 of the overestimation ranges from -3% to 30% at $SS = 0.1\%–0.7\%$ for different seasons.
472 As shown in Figure 7a, a constant κ of 0.3 is almost greater than the κ_{CCN} of all particles
473 with the diameter ranging from ~40 to 200 nm, except for the accumulation-mode
474 particles (D_p of 100 to 200 nm) in spring and winter. Therefore, besides the well-
475 predicted N_{CCN} at $SS = 0.1\%$ and 0.2% in spring and winter, N_{CCN} is overestimated at
476 assuming a constant κ of 0.3 as shown in Figure 9c. The largest overestimation occurs
477 at $SS = 0.1\%$ in summer (30% in median) because of the low κ_{CCN} (0.22 in average)
478 combined with the low measured N_{CCN} (347 cm^{-3} in average). Although the largest
479 median overestimation reaches to 30%, which is numerically similar to the largest
480 median overestimation of the 1st scheme (29%) and the largest median underestimation
481 of the 2nd scheme (35%), the uncertainties of the 3rd scheme are much lower than those
482 of the 1st and 2nd schemes. For example, when using 3rd scheme, one-quarter of the ratio
483 of the predicted N_{CCN} to the measured N_{CCN} are larger than 1.31 at $SS = 0.1\%$ for all
484 datasets as shown in Figure 9c, while the ratio is ~2.0 for both the results of 1st and 2nd
485 scheme as shown in Figures 9a and 9b. Thus, the 3rd scheme has better predictions on
486 N_{CCN} compared to the 1st and 2nd schemes.

487 When assuming the real-time PNSD combined with the real-time bulk κ_{chem} (the



488 4th scheme) to predict N_{CCN} , it also causes clear overestimations of N_{CCN} in most cases,
489 like the prediction results calculated from the 3rd scheme. The median overestimations
490 are within 7% to 21% at $SS = 0.1\%$ – 0.7% for different seasons. The reason for the
491 overestimation is that the κ_{chem} is greater than κ_{CCN} measured at all the five SS
492 conditions. For instance, the mean κ_{CCN} over all datasets ranges from 0.20 to 0.28 at SS
493 = 0.1% – 0.7% , whereas the mean κ_{chem} over all datasets is 0.36. The largest
494 overestimation also occurs at $SS = 0.1\%$ in summer with 21% in median. Compared to
495 the 3rd scheme, the uncertainty of the N_{CCN} prediction at a given SS condition and season
496 is lower in the 4th scheme. Considering the median overestimations of the predicted
497 N_{CCN} at different seasons and SS conditions and the uncertainty of the predicted N_{CCN} at
498 each given season and SS condition, we conclude that the performances of the 4th
499 scheme are better than the 3rd scheme.

500 When assuming the real-time PNSD combined with the $\kappa - D_p$ power-law fit (the
501 5th scheme) to predict N_{CCN} , it can predict the N_{CCN} well at each SS condition for all
502 seasons. At $SS = 0.1\%$, it causes less than 10% overestimation in median for N_{CCN}
503 prediction for all seasons; at $SS = 0.2\%$ – 0.7% , the median overestimation ranges from
504 -3% to 6% for all seasons. The uncertainty of the N_{CCN} prediction at a given SS condition
505 and season is also smallest among the five schemes, especially at relatively high SS
506 conditions (e.g., 0.5% and 0.7%). For instance, at $SS = 0.7\%$ for all datasets, when using
507 the 5th scheme, one-quarter of the ratio of the predicted N_{CCN} to the measured N_{CCN} are
508 larger than 1.10, while the ratio ranges from 1.18 to 1.38 for other four schemes.
509 Therefore, the 5th scheme provides the best N_{CCN} prediction among the five schemes.

510 Overall, the performance for N_{CCN} prediction is gradually getting better from the
511 1st to the 5th scheme shown in Table 6. The classic $N_{CCN} - SS$ and $AR - SS$ power-law fits
512 shown in Table 3 can only be used to provide rough estimates of the N_{CCN} . At Melpitz,



513 using a constant κ of 0.3 or the bulk κ_{chem} both causes significant overestimations of
514 N_{CCN} with about 30% in median, especially at $SS = 0.1\%$ in summer. The $\kappa - D_p$ power-
515 law fit at each season shown in Table 5 is recommended applying for N_{CCN} prediction
516 at Melpitz, which can narrow down the prediction deviation (ratio of the predicted N_{CCN}
517 to the measured N_{CCN} minus 1) within 10% in median. Additionally, as shown in Figure
518 10, the $\kappa - D_p$ power-law fit measured at Melpitz is similar to that measured at other
519 rural and continental regions with similar aerosol background conditions, e.g., the
520 Vavihill station in Sweden (Fors et al., 2011) and the Xinken station in China (Eichler
521 et al., 2008), and is also valid for some urban (Ye et al., 2013) and suburb regions
522 (Mazoyer et al., 2019). Therefore, the $\kappa - D_p$ power-law fit measured at Melpitz could
523 be applied to predict N_{CCN} for these regions. However, it may cause considerable
524 deviations for different aerosol background regions, e.g., the polluted suburb station in
525 Xingtai, China (Wang et al., 2018a), the coast of Barbados (Kristensen et al., 2016), the
526 amazon rainforest (Pöhlker et al., 2016), and the urban station in Budapest, Hungary
527 (Salma et al., 2021), because their $\kappa - D_p$ relationships are different from that measured
528 at Melpitz.

529 Additionally, it should be noted that the main size dependence of κ occurs at D_p of
530 ~ 40 to 100 nm as shown in Figure 7a, which would be for SS larger than 0.2%. At D_p
531 of 100 to 200 nm corresponding to SS less than 0.2%, κ almost stays constant. The mean
532 value of κ is close to 0.3 for spring and winter, and that's where deviations in Figure 9c
533 are small. However, the mean value of κ overestimates the κ for SS larger than 0.2% at
534 each season. We further compare the N_{CCN} predictions between using the seasonally
535 mean value of κ over D_p of 100 to 200 nm and the $\kappa - D_p$ power-law fit. As shown in
536 Figure 11, at $SS = 0.1$ and 0.2%, the seasonally mean κ value over D_p of 100 to 200 nm



537 and κ - D_p power-law fit both predict the N_{CCN} well at each season, while the mean κ
538 value leads to significant overestimation of N_{CCN} within 10% on average at $SS = 0.3$,
539 0.5 , and 0.7% . Therefore, to predict the N_{CCN} at a relatively low SS of less than 0.2%
540 (e.g., in fog and shallow stratiform cloud), the mean κ value over D_p of 100 to 200 nm
541 also works well. The mean value plus/minus one standard deviation are 0.32 ± 0.09 ,
542 0.24 ± 0.07 , 0.26 ± 0.09 , 0.32 ± 0.10 and 0.28 ± 0.09 for spring, summer, autumn, winter,
543 and all datasets, respectively.

544 **4. Conclusions**

545 Aerosol particle activation plays an important role in determining the number
546 concentration of cloud droplets, thereby affecting cloud microphysics, precipitation
547 processes, radiation, and climate. To reduce the uncertainties and gain more confidence
548 in the simulations on AIEs, long-term measurements on aerosol activation
549 characteristics are essential; however, still rarely reported. Based on more than 4-year
550 comprehensive measurements conducted at the central European ACTRIS site Melpitz,
551 Germany, this study presents a systematic seasonal analysis of aerosol activation
552 characteristics and N_{CCN} predictions.

553 Over the whole period, the mean N_{CCN} and AR increased from 513 to 2477 cm^{-3}
554 and 0.11 to 0.52 with SS increasing from 0.1% to 0.7%, respectively. Aerosol activation
555 characteristics are highly variable across seasons. At $SS = 0.1\%$, the seasonal mean N_{CCN}
556 is 681 cm^{-3} in winter, which is almost twice higher than the summer value (347 cm^{-3});
557 the seasonal mean AR is 0.18 in winter, which is three times higher than the summer
558 value (0.06). Aerosol particle activation depends on its physical and chemical properties.
559 Affected by the frequent NPF events, in summer, the mean N_{aero} is highest (6224 cm^{-3})
560 and the mean GMD is smallest (50 nm) among the four seasons. On the contrary in
561 winter, the mean N_{aero} is lowest (3686 cm^{-3}) and the mean GMD is largest (58 nm). In



562 summer, the mean f_{org} (56%) is highest among the four seasons, corresponding to the
563 lowest κ_{chem} with a mean value of 0.29; in winter, the mean $f_{nitrate}$ (36%) is highest
564 among the four seasons, which might explain the highest mean κ_{chem} (0.40). Therefore,
565 in winter, the highest κ_{chem} , largest GMD , and the lowest N_{aero} cause the highest AR at
566 each SS condition among the four seasons.

567 Both κ and the mixing state are size-dependent, thereby varying with SS . The mean
568 κ is 0.28, 0.28, 0.24, 0.21, and 0.20 at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% ,
569 respectively. D_c depends on κ at a given SS condition. The mean D_c is 177, 112, 91, 67,
570 and 54 nm at $SS = 0.1\%$, 0.2% , 0.3% , 0.5% , and 0.7% , respectively. For different
571 seasons, the seasonal mean κ varies from 0.23 to 0.32 at $SS = 0.1\%$, and 0.19 to 0.21 at
572 $SS = 0.7\%$; the seasonal mean D_c varies from 168 nm to 187 nm at $SS = 0.1\%$, and 53
573 nm to 55 nm at $SS = 0.7\%$. The degree of external mixture is quantified by the $(D_{75} -$
574 $D_{25})/D_c$, which ranges from 0.17 to 0.25 in average over the whole period at $SS =$
575 $0.1-0.7\%$. In summer, aerosol particles were extremely close to being internally mixed
576 with $(D_{75} - D_{25})/D_c$ ranging from 0.14 to 0.18 at $SS = 0.1-0.7\%$; in winter, particles
577 were less internally mixed among the four seasons with $(D_{75} - D_{25})/D_c$ ranging from
578 0.24 to 0.36 at $SS = 0.1-0.7\%$. As D_p increases, κ increases at D_p of ~ 40 to 100 nm and
579 almost stays constant at D_p of 100 to 200 nm), and $(D_{75} - D_{25})/D_c$ decreases for all
580 seasons. The relationships of $(D_{75} - D_{25})/D_c$ vs. D_p and κ vs. D_p are both fitted well by
581 the power-law function for each season.

582 Five activation schemes are evaluated on the N_{CCN} predictions. Compared to using
583 the classic $N_{CCN} - SS$ or $AR - SS$ power-law fits to predict N_{CCN} , the prediction is better
584 by using the real-time PNSD combined with the parameterized κ , including a constant
585 κ of 0.3, the bulk κ_{chem} , and the $\kappa - D_p$ power-law fit. However, assuming a constant κ



586 of 0.3 recommended for continental aerosol (Andreae and Rosenfeld., 2008) or the bulk
587 κ_{chem} calculated from aerosol chemical composition both cause significant
588 overestimations of the N_{CCN} with about 30% in median, especially at $SS = 0.1\%$ in
589 summer. Generally, the performances of the latter (the bulk κ_{chem}) are slightly better
590 than the former (a constant κ of 0.3) on account of the lower uncertainty at each given
591 season and SS condition. Size-resolved κ improves the N_{CCN} prediction. We recommend
592 applying the $\kappa - D_p$ power-law fit for N_{CCN} prediction, which obtains the best prediction
593 among the five schemes. At Melpitz, using the real-time PNSD combined with the $\kappa -$
594 D_p power-law fit could narrow down the uncertainty of N_{CCN} prediction within 10% in
595 median for all SS conditions (0.1–0.7%) and seasons. The $\kappa - D_p$ power-law fit
596 presented in this study could apply to other rural and continental regions with similar
597 aerosol background conditions. To our knowledge, the $\kappa - D_p$ power-law fit is the first
598 time applied to predict N_{CCN} . Additionally, the mean κ value over D_p of 100 to 200 nm
599 also works well to predict N_{CCN} at a relatively low SS of less than 0.2%.
600



601 Appendix B Notation list

A	comprehensive parameter for $\sigma_{s/a}$, M_w , R , T , and ρ_w in equation (2b)
a	lower limit for calculating critical diameters at the set-nominal supersaturations in equation (1)
ACI	aerosol and cloud interactions
ACSM	aerosol chemical species monitor
ACTRIS	Aerosol, Clouds and Trace Gases Research Infrastructure
AF	activated fraction, i.e., N_{CCN}/N_{CN}
AIEs	aerosol indirect effects
AR	activation ratio, i.e., N_{CCN}/N_{aero}
b	upper limit for calculating critical diameters at the set-nominal supersaturations in equation (1)
BC	black carbon
CN	condensation nuclei
CCN	cloud condensation nuclei
CCNC	cloud condensation nuclei counter
$coef$	coefficient in $\kappa - D_p$ power-law fit
CPC	condensation particle counter
D_p	diameter of the dry particle
D_c	critical diameter of the dry particle
D_X	D at which X % of the particles are activated
$(D_{75} - D_{25})/D_c$	degree of external mixture
D-MPSS	Dual-mobility particle size spectrometer
DMA	differential mobility analyzer
eBC	equivalent black carbon
f_{BC}	mass fraction of BC in submicron aerosol
$f_{nitrate}$	mass fraction of nitrate in submicron aerosol
f_{org}	mass fraction of organics in submicron aerosol
$f_{sulfate}$	mass fraction of sulfate in submicron aerosol
GMD	geometric mean diameter of PNSD
M_w	molecular weight of water
N_{aero}	number concentration of aerosol with D_p ranging 10 to 800 nm
N_{CN}	number concentration of CN
N_{CCN}	number concentration of CCN
NPF	new particle formation
PM ₁₀	particulate matter with the $D_p < 10 \mu\text{m}$
PNSD	particle number size distribution
R	universal gas constant
R^2	coefficient of determination
RD	relative deviation between the predicted N_{CCN} and the measured one
SS	supersaturation
T	temperature
σ_s	represent the shape of the sigmoid function



$\sigma_{s/a}$	droplet surface tension
κ	hygroscopicity factor of aerosol particle
κ_i	κ of each component
κ_{CCN}	κ calculated from the monodisperse CCN measurements
κ_{chem}	κ calculated from the aerosol chemical measurements
ε_i	volume fraction of each component
ρ_w	density of the liquid water

602

603 ***Data availability.***

604 The data used in this study are available from Silvia Henning (henning@tropos.de)
605 upon request and <https://doi.org/10.1594/PANGAEA.938215>.

606 ***Author contributions.***

607 AW, SH and LP designed the research. SH and LP collected the data at Melpitz. YW
608 performed the data analysis and prepared the paper. All co-authors contributed to
609 interpretation of the results as well as paper review and editing.

610 ***Competing interests.***

611 The authors declare that they have no conflict of interest.

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628



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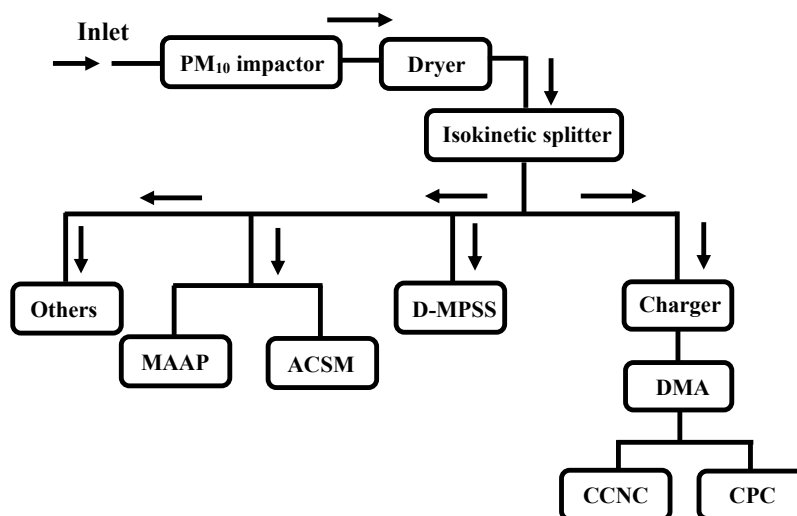
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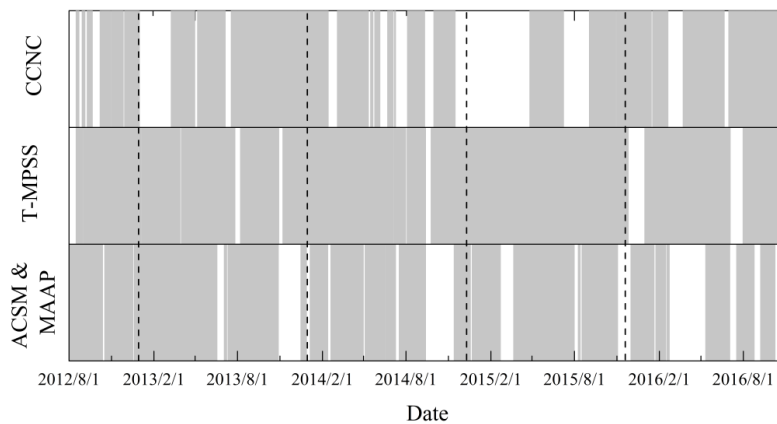
993 Figure 1. Schematic diagram of the experimental setup. D-MPSS — Dual-mobility particle size

994 spectrometer, ACSM — aerosol chemical species monitor, MAAP — multi-angle absorption

995 photometer, DMA — differential mobility analyzer, CPC — condensation particle counter, CCNC

996 — cloud condensation nuclei counter.

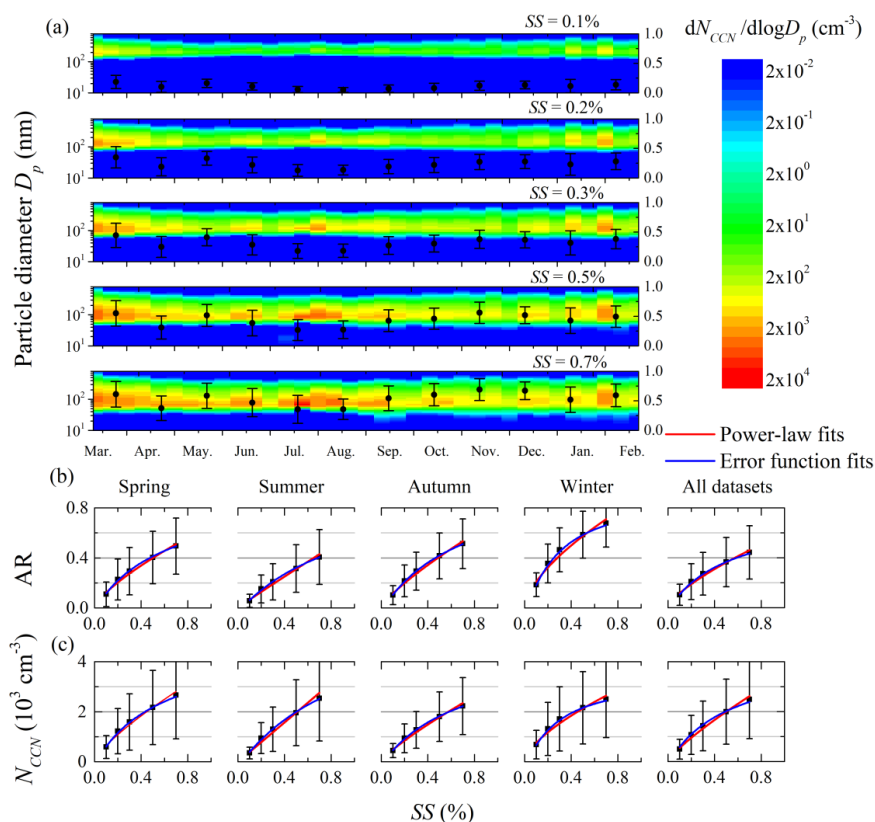
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999 Figure 2. Coverage of the effective data represented by the gray columns.

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1002 Figure 3. Seasonal variations of (a) CCN number size distributions and activation ratios (AR) at five

1003 different supersaturation (SS) conditions, (b) relationship between AR and SS for different seasons,

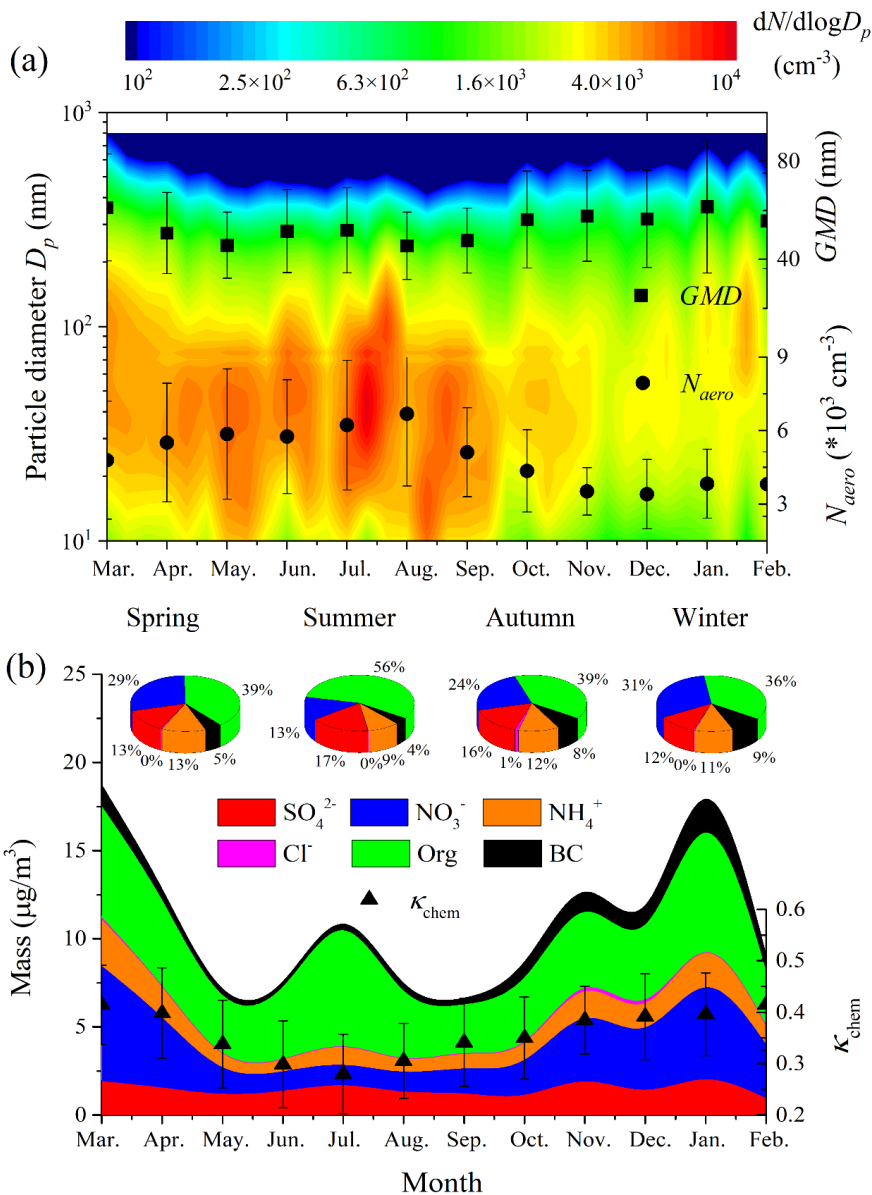
1004 and (c) relationship between CCN number concentration (N_{CCN}) and SS for different seasons. Error

1005 bar means one standard deviation. Red lines and blue lines are the fittings for AR vs. SS and N_{CCN}

1006 vs. SS with using the power-law function and the error function, respectively. Fitting results are

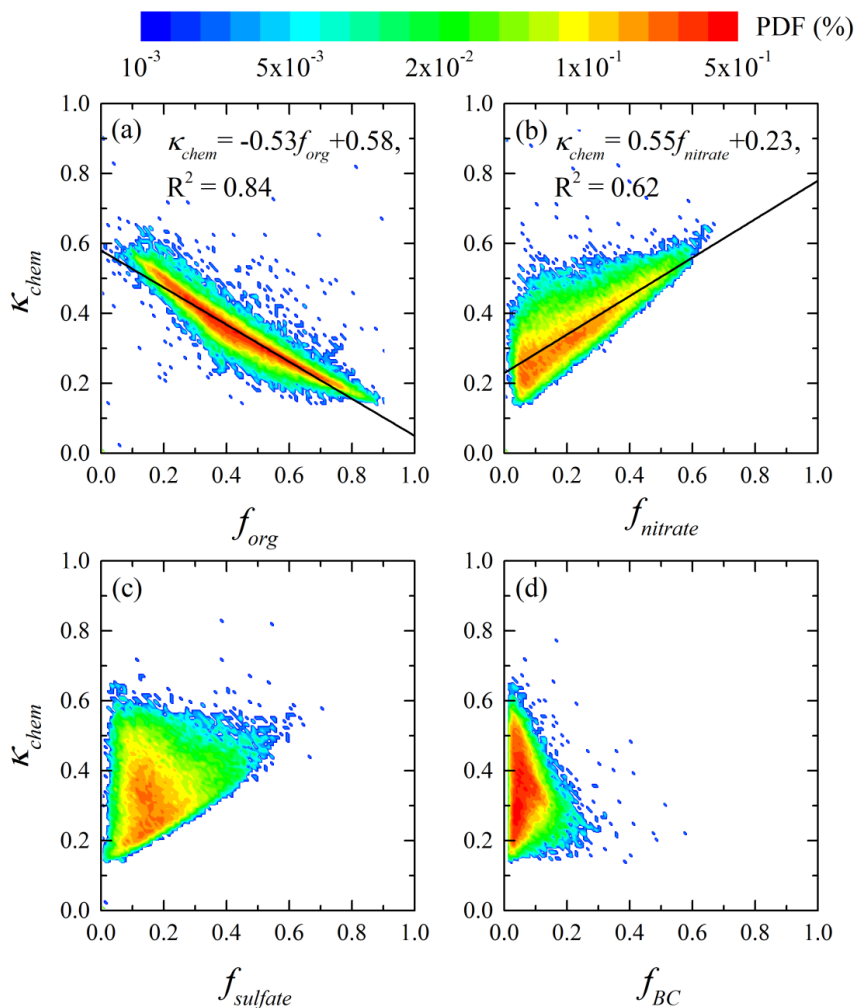
1007 shown in Table 3.

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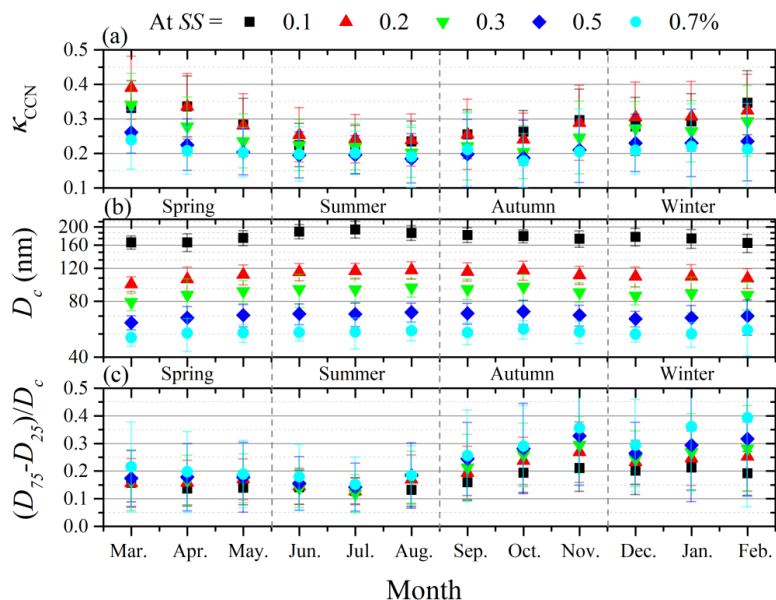


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1010 Figure 4. Seasonal variations of (a) aerosol physical and (b) chemical properties. $dN_{aero}/d\log D$
 1011 represents the aerosol number concentration at each bin, GMD is the geometric mean diameter of
 1012 the particles, N_{aero} means total aerosol number concentration with diameter ranging 10 to 800 nm,
 1013 κ_{chem} is the hygroscopicity factor calculated from the chemical composition. Error bar is one
 1014 standard derivation.



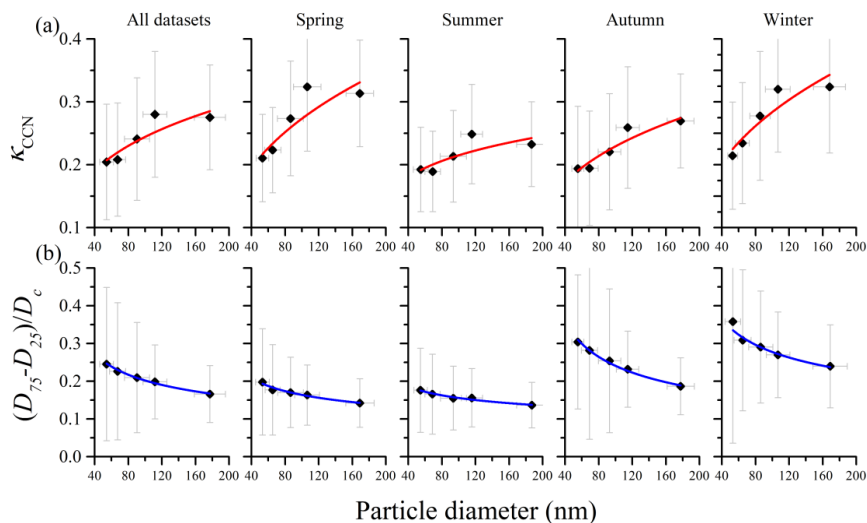
1015
1016 Figure 5. Relationships between (a) aerosol hygroscopicity factor calculated from the chemical
1017 composition (κ_{chem}) and mass fraction of organics (f_{org}) in submicron aerosol, (b) κ_{chem} vs. mass
1018 fraction of nitrate ($f_{nitrate}$), (c) κ_{chem} vs. mass fraction of nitrate ($f_{sulfate}$), and (d) κ_{chem} vs. mass fraction
1019 of black carbon (f_{BC}). Color bar represents the probability density function (PDF). Black lines are
1020 linear fit lines.
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1023 Figure 6. Monthly average of (a) hygroscopicity factor calculated from monodisperse CCN
1024 measurements (κ_{CCN}), (b) critical diameter of dry particle for activation (D_c), and (c) the degree of
1025 external mixture $((D_{75} - D_{25})/D_c)$ at five different supersaturation (SS) conditions. The definitions
1026 of D_{75} and D_{25} are the D_p at which 75% and 25% of the particles are activated at the given SS,
1027 respectively. Error bar is one standard derivation.

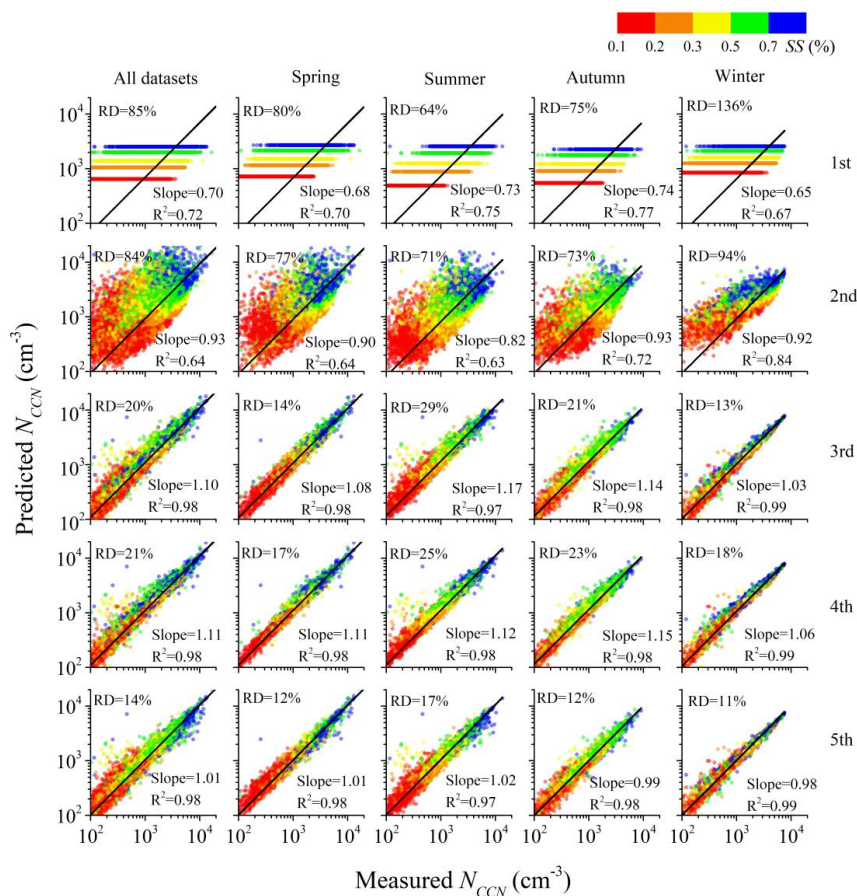
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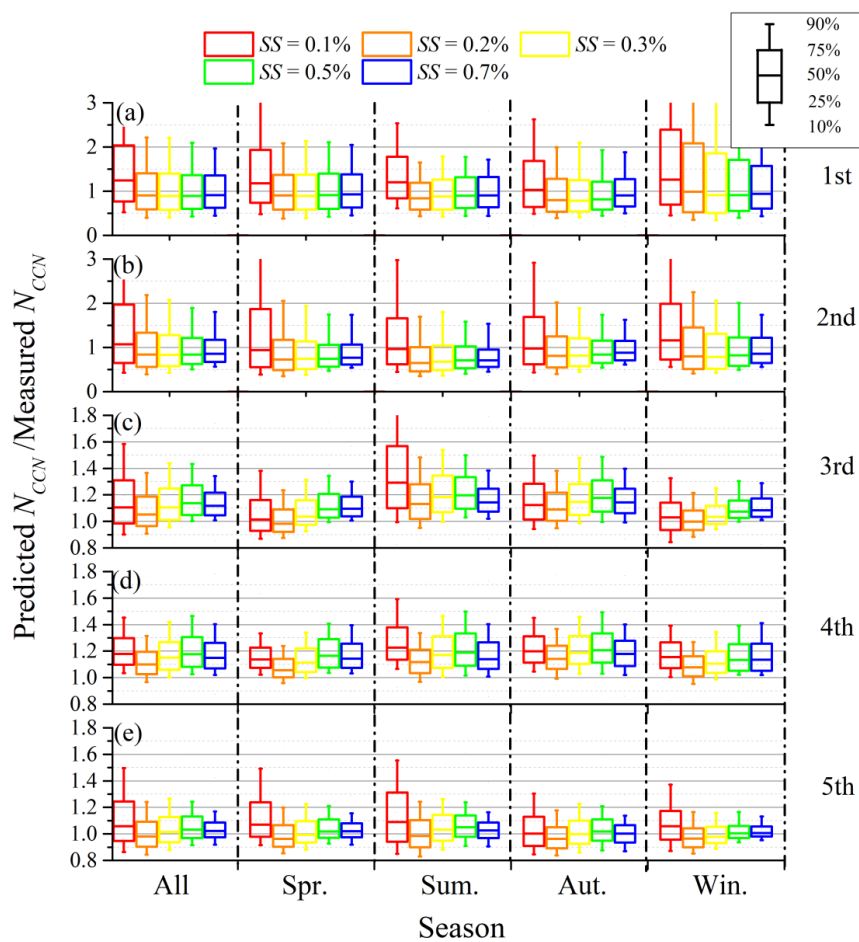
1030 Figure 7. (a) Relationship between the particle diameter (D_p) and hygroscopicity factor calculated
1031 from monodisperse CCN measurements (κ_{CCN}), and (b) D_p vs. degree of external mixture ($(D_{75} -$
1032 $D_{25})/D_c$) at each season. The definitions of D_{75} and D_{25} are the D_p at which 75% and 25% of the
1033 particles are activated at the given SS , respectively. Red and blue lines are power-law fits for κ_{CCN}
1034 vs. D_p and $(D_{75} - D_{25})/D_c$ vs. D_p .

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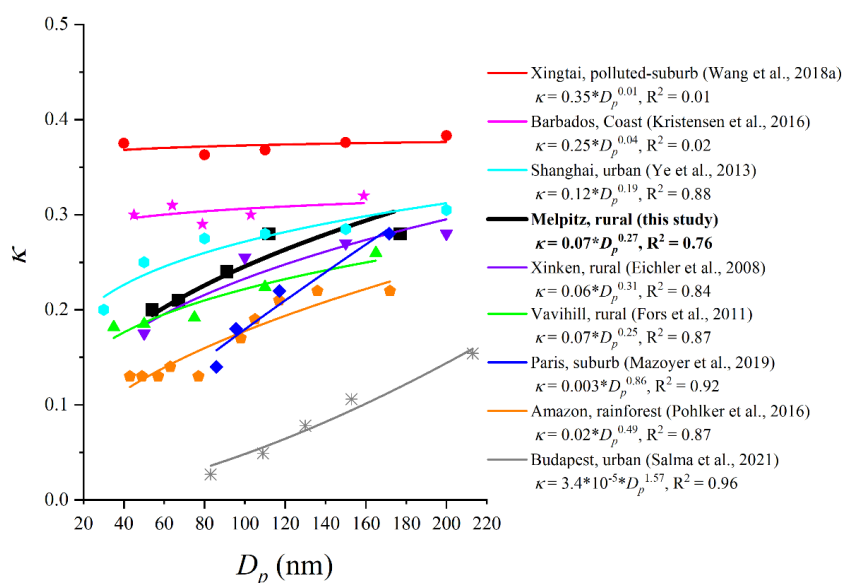
1037 Figure 8. Predicted vs. measured CCN number concentration (N_{CCN}) for different seasons. The
 1038 Predicted N_{CCN} is calculated from five different schemes with a detailed introduction shown in Table
 1039 6. Color bar represents the different supersaturation (SS) conditions. Black lines are the linear fits.
 1040 The slope and R^2 of the linear regression and the relative deviation (RD) of the predicted N_{CCN} (RD=
 1041 $(|predicted\ N_{CCN} - measured\ N_{CCN}|) / measured\ N_{CCN}$) are shown in each panel. Each row represents
 1042 the results at the same scheme in different seasons; each column represents the results at different
 1043 schemes in the same season.
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1046 Figure 9. Statistics of the ratio of predicted CCN number concentration (N_{CCN}) to the measured one
1047 at different supersaturation (SS) conditions for each season and all datasets. The (a), (b), (c), (d), and
1048 (e) represent the prediction results from the 1st, 2nd, 3rd, 4th, and 5th scheme, respectively.

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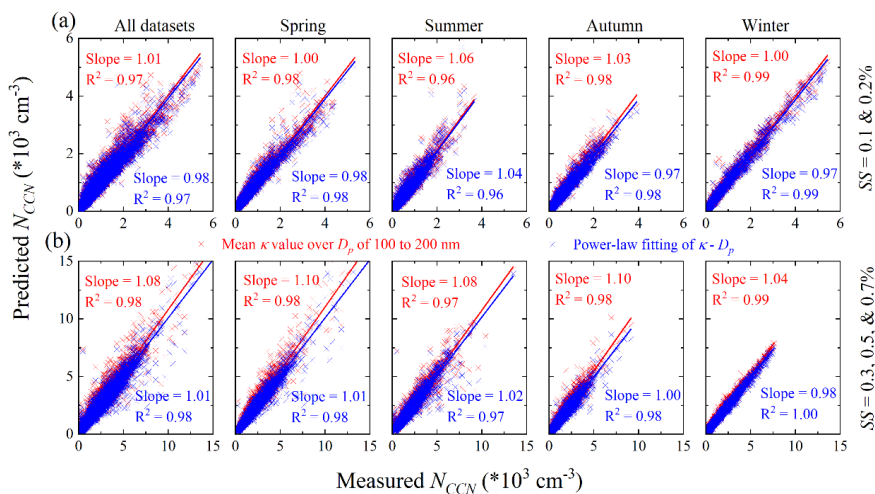


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1051 Figure 10. Relationships between the particle hygroscopicity factor (κ) and diameter (D_p) observed

1052 at different aerosol background regions. Lines are the power-law fits of κ vs. D_p .

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Figure 11. Predicted vs. measured CCN number concentration (N_{CCN}) at different supersaturation (SS) conditions for different seasons. (a) represents the results at $SS = 0.1$ and 0.2% ; (b) shows the results at $SS = 0.3, 0.5,$ and 0.7% . Red cross represents the predicted N_{CCN} using mean hygroscopicity factor (κ) over particle diameter (D_p) of 100 to 200 nm, while the blue cross represents the predicted N_{CCN} using power-law fit of κ and D_p . Red and blue lines are the linear fits.



1061 Table 1. Densities (ρ) and hygroscopicity factor (κ) for each component.

Species	NH_4NO_3	$(\text{NH}_4)_2\text{SO}_4$	NH_4HSO_4	H_2SO_4	Organics	BC
ρ (kg m^{-3})	1720	1769	1780	1830	1400	1700
κ	0.67	0.61	0.61	0.92	0.1	0

1062



1063 Table 2. Summary of CCN number concentration (N_{CCN}) at different supersaturation (SS) conditions
 1064 measured at different locations.

Location (coordinates; a.m.s.l)	Type	Period	SS (%)	Mean N_{CCN} (cm^{-3})	Reference
			0.1	513	
Melpitz, Germany (51.5°N, 12.9°E; 86 m)	rural, continental	Aug. 2012–Oct. 2016	0.2	1102	Present study
			0.3	1466	
			0.5	2020	
			0.7	2477	
Vavihill, Sweden (56.0°N, 13.2 °E; 172 m)	rural	May 2008– Jul 2010	0.1–1.0	362–1795	Fors et al., 2011
Southern Great Plains, USA (36.6°N, 97.5°W; 320 m)	rural, agricultural	Sep. 2006– Apr. 2011	0.4	1248	Liu and Li, 2014
Hyytiälä, Finland (61.9°N, 24.3°E; 181 m)	rural	Feb. 2009– Dec. 2012	0.1–1.0	274–1128	Paramonov et al., 2015
Mahabaleshwar, India (17.9°N, 73.7°E; ~490 m)	rural	Jun. 2015	0.1– 0.94	118–1826	Singla et al., 2017
Guangzhou, China (23.6°N, 113.1°E; ~21 m)	rural	Jul. 2006	0.068– 0.67	995–10731	Rose et al., 2010
Wuqing, China (39.4°N, 117.0°E; 7.4 m)	suburban	Dec. 2009–Jan. 2010	0.056– 0.7	2192–12963	Deng et al., 2011
Seoul, Korea (37.6°N, 127.0°E; ~38 m)	urban	2004–2010	0.4–0.8	4145–6067	Kim et al., 2014
Mahabubnagar, India (17.7°N, 78.9°E; ~490 m)	polluted continental	Oct. 2011	1.0	~5400	Varghese et al., 2016

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1066 Table 3. Power-law function fits and error function fits for the relationships between activation ratio
 1067 (AR) vs. supersaturation (SS), and CCN number concentration (N_{CCN}) vs. SS for different seasons.

Season	AR vs. SS		N_{CCN} vs. SS	
	Power-law	Error Function	Power-law	Error Function
	AR	AR	N_{CCN}	N_{CCN}
Spring	$=0.66SS^{0.73}$, R ² =0.98	$=0.5+0.50\text{erf}(\ln(SS/0.72)/2.3$ 3), R ² =0.998	$=3679SS^{0.76}$, R ² =0.97	$=2637+2637\text{erf}(\ln(SS/0.72)/2.3$ 3), R ² =0.998
Summer	AR $=0.61SS^{0.97}$, R ² =0.97	AR $=0.51+0.51\text{erf}(\ln(SS/1.04)/2.$ 15), R ² =0.997	N_{CCN} $=3951SS^{1.01}$, R ² =0.96	N_{CCN} $=3162+3162\text{erf}(\ln(SS/1.04)/2.1$ 5), R ² =0.997
Autumn	AR $=0.71SS^{0.79}$, R ² =0.98	AR $=0.56+0.56\text{erf}(\ln(SS/0.84)/2.$ 29), R ² =0.999	N_{CCN} $=3136SS^{0.81}$, R ² =0.98	N_{CCN} $=2433+2433\text{erf}(\ln(SS/0.84)/2.$ 29), R ² =0.999
Winter	AR $=0.89SS^{0.63}$, R ² =0.96	AR $=0.44+0.44\text{erf}(\ln(SS/0.29)/1.$ 83), R ² =0.999	N_{CCN} $=3325SS^{0.64}$, R ² =0.96	N_{CCN} $=1624+1624\text{erf}(\ln(SS/0.29)/1.8$ 3), R ² =0.999
All	AR $=0.59SS^{0.71}$, R ² =0.98	AR $=0.40+0.40\text{erf}(\ln(SS/0.59)/2.$ 25), R ² =0.998	N_{CCN} $=3497SS^{0.81}$, R ² =0.98	N_{CCN} $=2199+2199\text{erf}(\ln(SS/0.59)/2.2$ 5), R ² =0.998

1068



1069 Table 4. At each supersaturation (SS) condition, seasonal mean values of the hygroscopicity factor
 1070 calculated from monodisperse CCN measurements (κ_{CCN}), the critical diameter of dry particle for
 1071 activation (D_c), and the degree of external mixture ($(D_{75} - D_{25})/D_c$). The unit of D_c is nm.

Parameters	SS (%)	All datasets	Spring	Summer	Autumn	Winter
κ_{CCN}	0.1	0.28	0.31	0.23	0.27	0.32
	0.2	0.28	0.32	0.25	0.26	0.32
	0.3	0.24	0.27	0.21	0.22	0.28
	0.5	0.21	0.22	0.19	0.19	0.23
	0.7	0.20	0.21	0.19	0.19	0.21
D_c	0.1	177	169	187	178	168
	0.2	112	107	116	115	107
	0.3	91	87	94	93	86
	0.5	67	65	69	69	64
	0.7	54	53	55	55	53
$(D_{75} - D_{25})/D_c$	0.1	0.17	0.14	0.14	0.19	0.24
	0.2	0.20	0.16	0.16	0.23	0.27
	0.3	0.21	0.17	0.15	0.25	0.29
	0.5	0.23	0.18	0.17	0.28	0.31
	0.7	0.25	0.20	0.18	0.30	0.36

1072



1073 Table 5. Power-law fit results in Figure 7. The unit of particle diameter (D_p) is nm.

	κ_{CCN} vs. D_p	$(D_{75} - D_{25})/D_c$ vs. D_p
All datasets	$y = 0.07 x^{0.27}, R^2 = 0.76$	$y = 0.92 x^{-0.33}, R^2 = 0.99$
Spring	$y = 0.05 x^{0.37}, R^2 = 0.76$	$y = 0.55 x^{-0.26}, R^2 = 0.97$
Summer	$y = 0.09 x^{0.19}, R^2 = 0.56$	$y = 0.39 x^{-0.20}, R^2 = 0.95$
Autumn	$y = 0.05 x^{0.31}, R^2 = 0.88$	$y = 1.70 x^{-0.42}, R^2 = 0.99$
Winter	$y = 0.05 x^{0.36}, R^2 = 0.82$	$y = 1.10 x^{-0.30}, R^2 = 0.95$

1074



1075 Table 6. Introduction of five activation schemes. The meaning of the abbreviation can be found in
 1076 Notation list.

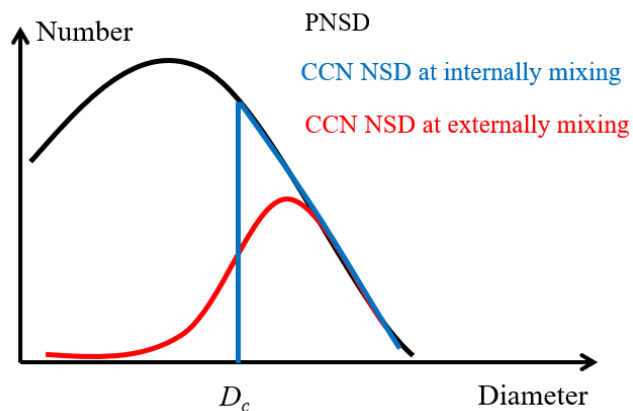
Category	Scheme	Introduction
1 st category:	1 st	N_{CCN} - SS power-law fits shown in Table 3
N_{CCN} - SS or AR - SS empirical fit	2 nd	Real-time N_{aero} combined with AR - SS power-law fits shown in Table 3
2 nd category:	3 rd	Real-time PNSD combined with a constant κ of 0.3
Real-time PNSD combined with the parameterized κ	4 th	Real-time PNSD combined with the real-time bulk κ_{chem}
	5 th	Real-time PNSD combined with κ - D_p power-law fits shown in Table 5

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1078



1079 **Appendix A**



1080

1081 Figure A1. Schematic diagram for the relationship among the particle number size distribution
1082 (PNSD), CCN number size distribution (CCN NSD) at internally mixing, and the CCN NSD at
1083 externally mixing.

1084