Global Distribution of Asian, Middle Eastern, and SaharanNorth African Dust Simulated by CESM1/CARMA

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12 Dust aerosols affect the radiative and energy balance at local and global scales by scattering and absorbing sunlight and infrared light. Parameterizations of dust lifting, microphysics, as well as physical and radiative properties of dust in climate models are still subject to large uncertainty. Here we use a sectional aerosol model (CARMA) coupled with a climate model (CESM1) to investigate the global distribution of dust aerosols, with an emphasis on the vertical distribution of dust. Consistent with observations at locations remote from source regions, simulated dust mass size distributions peak 18 at around 2-3 micrometres in diameter and increase by 4 orders of magnitude from 0.1 μm to 2 μm. 19 The size distribution above 2 um is highly variable depending on distance from the source, and subject to uncertainty due to possible size dependent changes in physical properties such as shape and density. Simulated annual mean dust mass concentrations are within one order of magnitude of those found by 22 the surface measurement network around the globe. Simulated annual mean aerosol optical depths are ~10% lower than AERONET observations near the dust source regions. Both simulations and in-situ 24 measurements during the NASA ATom field campaign suggest that dust mass concentrations over the remote ocean drop by two to three orders of magnitude from the surface to the upper troposphere (200 hPa). The model suggests that Saharan, Middle Eastern, and Asian dust accounts for ~59.7%, 12.5%, and 13.3% of the global annual mean dust emissions, with the remaining 14.5% originating from scattered smaller dust sources. Although Saharan dust dominates global dust mass loading at the surface, the relative contribution of Asian dust increases with altitude and becomes dominant in the upper troposphere. The simulations show that Asian dust contributes ~60.9% to the global and annual mean dust concentration between 266 hPa and 160 hPa. Asian dust is mostly lifted in the spring by mid-latitude frontal systems. However, deep convection during the Asian summer monsoon (ASM) favours the vertical transport of local dust to the upper atmosphere. Simulated dust accumulates in the ASM anticyclone and forms a local maximum; however, the simulated dust mass concentration is only ~0.04% of the total aerosols in the Asian Tropopause Aerosol Layer (ATAL), which are dominated by organics, sulfates and nitrates. Dust aerosols affect the radiative and energy balance at local and global scales by scattering and absorbing sunlight and infrared light. Previous study suggests dust size distribution is one of the major sources of uncertainty in modelling the dust global distribution. Climate models overestimates the fine

Abstract.

40 dust (\leq 5 µm) by an order of magnitude, while underestimates the coarse dust (\geq 5µm) ranges between

- half to one-and-a-half orders of magnitude compared with the global observations. Here we improved
- the simulated size distribution of dust aerosol using a sectional aerosol model coupled with the
- Community Earth System Model (CESM1/CARMA). Simulated dust mass size distributions peak at
- around 2-3 micrometers in diameter and increase by 4 orders of magnitude from 0.1 μm to 2 µm. Our
- 45 model demonstrates that North African, Middle Eastern, and Asian dust accounts for ~59.7%, 12.5%,
- and 13.3% to the global annual mean dust emissions, with the remaining 14.5% originating from
- scattered smaller dust sources. The model dust vertical distributions are validated against the NASA
- Atmospheric Tomography (ATom) field campaign datasets. Both simulations and ATom in-situ
- measurements during ATom field campaign suggest that dust mass concentrations over the remote
- ocean drop by two to three orders of magnitude from the surface to the upper troposphere (200 hPa).
- Our model suggests that Asian dust contributes to more than 40% of annual mean dust mass
- abundances in the global upper troposphere and lower stratosphere (UTLS). Model suggests that the
- Asian dust dominates the dust mass budget in the UTLS of the Asian summer monsoon (ASM) region,
- with a relative contribution 1-2 orders of magnitude higher than the dust originated from North African
- and Middle Eastern deserts.

1 Introduction

 Mineral dust, from both natural and anthropogenic sources, accounting for more than 50% of the total global aerosol mass burden (Textor et al., 2006; Andreae, 1995; Andreae et al., 1986; Zender et al., 2004). Mineral dust impacts the radiation balance of the planet by scattering and absorbing sunlight, and unlike most other types of aerosols, dust has significant effects on thermal radiation due to its relatively large particle sizes (i.e., Satheesh and Moorthy, 2005; Sokolik and Toon, 1996; Balkanski et al., 2007; Tegen and Lacis, 1996). Dust optical properties vary between different sources (Sokolik and Toon, 1999), making it complex to construct global models of dust radiative effects. Dust also indirectly impacts climate by serving as a prominent nuclei for heterogenous ice formation (e.g., Maloney et al., 2022; Cziczo et al., 2013). Despite being insoluble, dust can also serve as cloud condensation nuclei due to the large particle sizes of dust, influencing cloud microphysical and rainfall processes (Rosenfeld et al., 2001; Levin et al., 1996). The climate effects of mineral dust are profound because it can be entrained into the boundary layer and transported long distances (Grousset et al., 2003; Prospero, 1996). Tegen and Schepanski (2009) suggest that the Sahara and Asia are the largest source regions of mineral dust on Earth, accounting for more than 60-95% of the global dust load. Saharan dust is lifted all year, primarily due to subtropical weather systems. Saharan dust can travel across the Atlantic Ocean, driven by the trade wind circulation (Karyampudi, 1979; Karyampudi et al., 1999). Asian dust is mostly lifted in the spring by mid-latitude frontal systems, and is likely to be removed near its source due to rainfall though it can be carried at upper levels across the Pacific (Su and Toon, 2011). The North African and Asian dust can be transported to the upper troposphere (UT) and even farther around 77 the Earth by subtropical westerly jets (Yang et al., 2022). The accurate representation of the dust emissions from individual source regions is important to understand the climate impact of dust on the Earth system (Kok et al., 2021). The contributions of dust from the different source regions to the global dust load are still uncertain. Global model simulations show that the dust emission from different sources regions differ by an order of magnitude among 82 different models (Huneeus et al., 2011). Kok et al. (2021) suggests that current models on average 83 overestimate the contribution of North African dust to the global burden by ~65%, while 84 underestimating the contribution of Asian dust by ~30%.

 Northern Hemisphere reaches a maximum in March-April-May. The dust concentrations in 4-6 km 116 have an opposite phase with the wind speed over Africa and West Asia. Despite the great coverage of 117 satellite data, remote sensing techniques have considerable uncertainty in retrieving the dust vertical 118 distribution. In this study, we constrain the simulations with the airborne in-situ measurement of dust vertical distribution from the Atmospheric Tomography Mission (ATom) from 2016 to 2018 (Froyd et 120 al., 2022; Wofsy et al., 2018). These data are primarily taken over the oceans, well away from dust 121 source regions.

 Climate models are used to quantify the budget, emission, deposition, and climate implications of dust aerosols. However, climate models have considerable uncertainty in their parameterizations of the emissions, horizontal and vertical transport, and wet/dry deposition processes of dust aerosols (Huneeus et al., 2011; Kim et al., 2014; Pu and Ginoux, 2018; Boucher et al., 2013). Limited in-situ 126 observations of dust properties on the global scale introduce considerable uncertainty to the simulated dust cycle (e.g., Kim et al., 2014; Wu et al., 2020). For instance, the simulated global dust mass burden varies by a factor of four among the dust models reported in Zender et al. (2004). Huneeus et al. (2011) found large differences in the simulated dust lifetime among AeroCom models, mostly between 1.6 and 7.1 days. In addition, the simulated annual emissions of dust ranged between 500 and 4400 Tg yr−1 131 among the 15 GCMs. Shindell et al. (2013) showed that simulated dust AOD varies by more than a factor of two among ten climate models in the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP). Pu and Ginoux (2018) found that the Coupled Model Intercomparison Project Phase 5 (CMIP5) models failed to capture interannual variation in the optical depth of dust (DOD). Yu et al. (2010) showed that the modeled dust extinction of GOCART exceeded 136 CALIOP's measurements by more than a factor of two from the middle to the upper troposphere over 137 the northwestern Pacific. One source of uncertainty in quantify the emission of dust aerosols that can be attributed to the size distribution of dust aerosol (Tegen, 2003). The emitted dust size distribution is a basic parameter 140 to simulate (Huneeus et al., 2011) and the lifetime and radiative of dust with different particle sizes 141 differ substantially that impact the simulation of dust on global scale (Kok, 2011). Kok (2011) showed that most Global Climate Models (GCM) overestimate the dust emitted fraction with particle size less 143 than 2 μ m by a factor of \sim 2-8 and underestimate the fraction of emitted with greater than 5 μ m which causes the underestimation of dust global emission rate.

2 Methods

2.1 CESM1/CARMA model

We use a sectional aerosol microphysics model, the Community Aerosol and Radiation Model for

Atmospheres (CARMA) (Yu et al., 2015b; Yu et al., 2019; Bardeen et al., 2008; Toon et al., 1988)

coupled with the NSF/DOE Community Earth System Model (CESM) to simulate the global

distribution of dust between 2014 and 2019. The model simulations are conducted at a horizontal

resolution of 1.9°×2.5° and with a time step of 30 min. The model has 56 hybrid levels from the surface

up to about 45 km, with a vertical resolution of about 1 km near the tropopause. The meteorological

fields were nudged to Goddard Earth Observing System (GEOS5) reanalysis data.

CESM1/CARMA includes two groups of particles. The first group is liquid sulfuric acid droplets

185 that form from gas phase nucleation and span a diameter range from 0.2 nm to 2.6 μm. The second

group is an internal mixture of primary emitted organics, secondary organics, dust, sea salt, black

carbon, and condensed sulfate (Yu et al., 2015b). The mixed particles are resolved with 20 discrete size

bins with diameters ranging from 100 nm to 17 μm in the model. The aerosol optical properties in

CESM1/CARMA are estimated using a Mie scattering code, with inputs based on particle size, relative

190 humidity, and aerosol composition (Yu et al., 2015b). We assume that dust has a density of 2.65 g/cm³

191 and use mid-visiblewavelength dependent refractive indices $\Theta f(RI)$ (Yu et al., 2015b). The RI at 532 nm

is 1.53-0.006i in the mode, which is independent of the dust source region, even though these

properties vary with dust source in reality. Note that the reported imaginary part of the refractive index

of dust aerosol ranges from 0.0006 to 0.0048 according to previous studies (Sinyuk et al., 2003; Di

Biagio et al., 2019; Balkanski et al., 2007), which suggests that our model may overestimates the

absorption aerosol optical depth from dust aerosol.

2.2 Dust emission parameterization

Mineral dust emission is simulated as a saltation-sandblasting process, which can be explained by the

wind erosion theory. The process is driven by surface stress, which is usually expressed as friction wind

200 velocity (Su and Toon, 2009). Ginoux et al., 2001). When the frictional wind speed exceeds a certain

threshold, the force of the wind will overcome the gravitational force of the sand grains and the

cohesive forces between particles, and sand-sized particles will saltate. When they impact the surface

dust particles will be lofted into the air (Marticorena and Bergametti, 1995). The wind-driven emission

204 of dust aerosols in CESM1/CARMA is provided by Su and Toon (2009) and Yu et al. (2015b). The total 205 emission flux is parameterized as:

$$
F_{total} = C \times S_e \times (u - u_t) \times u^2,
$$

207 where F_{total} is the particle size dependent flux of dust; C is an arbitrary constant that depends on 208 the spatial resolution of the climate model among other factors and is set to 0.6 μ g s²m⁻⁵; u is the 10-209 m wind speed, which is parameterized by the surface friction velocity (u^*) and the 10-m drag coefficient (C_d) so that under neutral conditions $u = \frac{u^*}{\sqrt{c}}$ 210 coefficient (C_d) so that under neutral conditions $u = \frac{u}{\sqrt{c_d}}$; and u_t is the threshold wind speed, which 211 depends on the particle size (Su and Toon, 2009; Marticorena and Bergametti, 1995). Details on u^* , u_t , 212 and C_d can be found in Yu et al. (2015b). S_e is the dust erodibility factor, which denotes the efficiency 213 of dust lifting and derived from the TOMS aerosol index reported by Ginoux et al. (2001). 214 Following Prospero and Bonatti (1969), the model assumes that 90% of the dust emission mass 215 flux is distributed in silt bins with diameter ranges from 2.6 to 17.4 μ m, and the remaining 10% is in 216 clay bins with diameter ranges from 0.1 to 2 μ m (Yu et al., 2015b). In the present study, we adjust the 217 relative mass fractions in clay and silt bins to match the data reported by Adebiyi and Kok (2020) and 218 discussed in section 3.1 below.

219 **2.3 Convective transport parameterization**

220 Particles are primarily activated at the cloud base. CESM1/CARMA considers the secondary activation 221 of particles, including dust, from the entrained air above the cloud base in convective plumes (bases 222 (secondary activation, Froyd et al., 2022; Yu et al., 2019). Previous studies have found that climate 223 models that fail to consider secondary activation above the cloud base overestimate the abundance of 224 primary particles like sea salt and black carbon in the upper troposphere by orders of magnitude (Yu et 225 al., 2019; Murphy et al., 2021). A comparison with global airborne measurements of dust suggests that 226 dust is also subject to secondary activation above the cloud base and subsequent in-cloud removal 227 (Froyd et al., 2022). For below-cloud scavenging, we assume that dust's the tunning parameter for 228 aerosol's solubility in CESM1/CARMA is 0.2 which is lower than for dust and 1.0 for sea salt's 229 solubility of 1.0. (Yu et al., 2015b). For convective removal, we treat dust's removal efficiency the 230 same as other aerosol types. Details of the parameterizations can be found in Wang et al. (2013), Grell 231 and Freitas (2014), and the supplement of Yu et al. (2019).

2.4 ATom airborne field campaign

The Atmospheric Tomography Mission (ATom) was an airborne field campaign with in-situ

measurements of atmospheric composition in the remote troposphere from about 0.18 to 12 km in

- 235 altitude in the Pacific and Atlantic basins, spanning from ~82°N to ~86°S latitude (Spanu et al., 2020;
- Wofsy et al., 2018). It consisted of 48 science flights by the NASA DC-8 aircraft with 548 vertical
- profiles during four flight series covering roughly the same loop (Bourgeois et al., 2020). A

 comprehensive set of aerosol measurement data including mineral dust was collected from July 2016 to May 2018.

 In this study we compare the simulations with measured dust concentrations during the ATom mission from 2016 to 2018. Dust concentration data are based on data from the National Oceanic and Atmospheric Administration (NOAA) Particle Analysis by Laser Mass Spectrometry (PALMS) instrument (Froyd et al., 2019; Brock et al., 2019; Murphy et al., 2003). The PALMS instrument measures 244 the chemical composition of individual ambient particles from about 0.151 to 54.8 µm in diameter by evaporating individual particles and then using a time of flight mass spectrometer to analyze ions (Murphy et al., 2006). Dust and other particle types are classified using spectral signatures. Dust mass concentrations are then determined by combining the PALMS classifications with absolute particle 248 concentrations from independent optical particle counters (Froyd et al., 2019; Froyd et al., 2022). The 249 measured aerodynamic particle size are converted to the geometric diameter using a constant density and shape factor as described Froyd et al. (2022). To directly compare with ATom dust vertical profiles measured by PALMS, we sample the simulated dust concentration with diameter between 0.1 μm and 252 4.5 μm along the ATom flight track.

2.5 Surface measurement networks

254 Huneeus et al. (2011) summarize dust measurements at the surface around the globe including those 255 from cruises and long-term surface measurements compiled by Mahowald et al. (2009) and the University of Miami network (Prospero, 1989; Arimoto et al., 1996). Data compiled by Mahowald et 257 al., (2009) contain the data set of short-term measurements from cruises and long term 258 measuring monitoring stations with monthly daily averaged surface dust concentrations. Cruises

- measured iron (Fe) and converted to dust by assuming a 3.5% Fe in dust. The iron content in dust
- varies according to the source regions and this value is the average iron content of the Earth's crust
- 261 (Mahowald et al., 2005). Long-term observations by the University of Miami include Pacific, Atlantic,
- 262 and Antarctic Ocean sites globally and measure the mass concentration of dust with diameter less than
- 263 40 µm (Prospero, 1989, 1996; Arimoto et al., 1996).

264 **3 Model validation**

- 265 **3.1 Dust size distribution and emission**
- 266 Based on global measurements of atmospheric dust size distributions, Adebiyi and Kok (2020) found
- 267 that the global models in AeroCom (Aerosol Comparison between Observations and Models project)
- 268 underestimate the coarse dust mass load in the atmosphere by a factor of four and overestimate the fine
- 269 dust mass load by 1-3 orders of magnitude. Figure 1 shows that CESM1/CARMA Yu et al. (2015b)
- 270 generally reproduces the measured dust size distribution with diameter less than 1 µm or greater than 3
- 271 µm within the variabilities of the data. However, the CESM1/CARMA Yu et al. (2015b)
- 272 underestimates the dust in the size range between 1 and 3 μ m by one order of magnitude (red dashed
- 273 line). In this study, we simply adjust the mass fraction of the emitted dust in the silt bins with geometric
- 274 diameter lessgreater than 2 µm from 90% to 94%. The global dust size distribution simulated in the
- 275 modified model (CESM-CARMA solid red line in Fig.1) agrees better with measurements from
- 276 Adebiyi and Kok (2020) (Figure 1). The simulation show that the model underestimates the coarse-
- 277 mode dust with diameter larger than $10 \mu m$ by $\sim 48\%$. The modeled total dust concentration at surface
- 278 can be biased low, while modeled dust in the upper troposphere is not significantly affected as giant
- 279 dust particles sediments quickly.

 the area under each dM/dlnD curve). The global and annual mean dust size distribution simulated by CESM1/CARMA with the dust emission parameterization described in Yu et al. (2015b) is shown by 286 the dashed red line; the simulation by CESM1/CARMA with the modified emission parameterization is shown by the solid red line; temporal variabilities (1 standard deviation) from Yu et al., (2015b) and CESM1/CARMA are denoted by green and cyan lines; the simulated size distribution by the AeroCom models reported in Adebiyi and Kok (2020) is denoted by the gray lines; the measured dust size distribution derived from the global measurements reported in Adebiyi and Kok (2020) is denoted by the solid black line; the shading represent the 95% confidence interval.

292 Figure 2 shows the global annual mean emission of fine (with diameter less than $4.5 \mu m$) and 293 coarse (with diameter greater than $4.5 \mu m$) dust simulated by CESM1/CARMA. The simulated global and annual mean mass emission of coarse dust is higher than that of fine dust by a factor of 2.8. The 295 three largest dust source regions in the world, i.e., the Sahara, Middle East, and Asia contribute $\sim 85\%$ of total global dust emissions, and about 97% of Northern Hemisphere (NH) dust. Dust emissions from 297 the Sahara in North Africa account for ~59.7% of global emissions by mass. Middle Eastern and Asian 298 dust emissions account for \sim 12.5% and 13.3% of global emissions, respectively.

300

301 **Figure 2.** (a) Simulated annual emission flux (μg/m2/s) of dust with geometric diameter less than 4.5 302 μm in CESM1/CARMA averaged from Feb 2014 to Jan 2018. (b) same as (a) but for dust with 303 geometric diameter larger than 4.5 μ m. The regions of interest (Saharan, are denoted by the black boxes. 304 The coordinates of the three regions are (1) North African source $(20^{\circ}W-35^{\circ}E; 10.4^{\circ}-36.9^{\circ}N)$, (2) 305 Middle Eastern, source (35°-60°E; 6.6°-38.8°N), and (3) Asian source regions) are denoted by the 306 black boxes.(55°-60°E for 40.7°-48.3°N, and 60°-125°E for 25.5°-48.3°N).

307 **3.2 Comparison with dust surface measurements**

308 In Figure 3, we compare the simulated annual mean dust concentrations at the surface from 2014 to

309 2018 with the observational datasets summarized in Huneeus et al. (2011). In general, the simulated

- 310 dust concentrations are within one order of magnitude of observations (Figure 3b). The simulated dust
- 311 underestimated the measured dust concentration from University of Miami network by 70%, while
- 312 overestimated the dust concentration from the complied dataset (Mahowald et al., 2009) by a factor of
- 313 3.75. Both the model and observations show that the dust concentration in the Northern Hemisphere
- 314 (NH) is about one order of magnitude higher than that in the Southern Hemisphere (SH) due to higher
- 315 NH dust emissions because of the greater area of Northern Hemisphere deserts. Simulated dust

 Figure 3. (a) Simulated global dust surface concentrations (μg/m3) averaged from 2014 to 2019 from CESM1/CARMA shown in the filled contour. The summarized dust surface concentration data sets from Huneeus et al. (2011) are denoted by markers of different shapes. Compiled observations including those from long-term observational sites and cruise data reported in Mahowald et al. (2009) are denoted by triangles and circles, respectively; measurements from the University of Miami network 335 (PROSPERO, Prospero 1989; Arimoto et al., 1996) from 1981 to 1998 are denoted by diamonds. (b) Comparison of the simulated dust concentrations by CESM1/CARMA with the compiled observational dataset from Mahowald et al. (2009) and University of Miami network. Gray circles and blue triangles represent selected data from Mahowald et al. (2009) short-term cruises and long-term observations, 339 respectively; red diamonds represent the University of Miami network measurements. "R1" and "RMSE1" represents correlation coefficient (R) and the Root mean square error (RMSE) between simulations and measurements from Mahowald et al., (2009), respectively. In the meantime, "R2" and "RMSE2" denoted the University of Miami dust data. The gray dotted line donated the simulated error bar extracted from the simulated daily concentration following the method in Mahowald et al. (2008). (c) Same as (b), but the North African downwind area as well as the tropical Pacific basin and Southern Hemisphere (SH) remote ocean are represented as green, orange, and purple stars, respectively. The 1:1, 1:10, and 10:1 relationships between the simulated and observed dust concentrations are denoted

by the black dashed lines.

 Figure 4 compares the simulated concentrations of dust below 1 kilometer above the sea level with diameter less than 4.5 µm near the surface (0-1 km above sea level) over remote ocean basins with the NASA Atmospheric Tomography (ATom1) airborne field campaign (Froyd et al., 2022; Wofsy et al., 2018). Both observations and the model suggest that higher dust concentrations are found in the 352 Atlantic basin downwind of the Saharan DesertNorth African and near the west coast of North America. As shown in Figure 4c, the model underestimates the average dust surface concentrations 354 observed during ATom1 by -4362% , with a correlation coefficient of 0.515. Except for the Southern Ocean, the modeled dust concentration is within an order of magnitude of the observations in general. The model strongly underestimates the observed dust concentration in the remote Southern Ocean by over one order of magnitude. The underestimation of southern Pacific Ocean dust could be partly due to underestimation of the emissions in SH. In addition to a possible lack of emissions, the model may generate too much convection and thereby have a too efficient wet scavenging of dust aerosols.

panel denote 1:10, 1:1, and 10:1 relationships between observations and simulations, respectively.

3.3 Comparison with dust vertical distribution

 Figure 5 compares the dust vertical distribution between CESM1/CARMA and measurements by PALMS during ATom1 in August 2016. The observed dust concentrations in the lower tropospheric NH midlatitudes (27ºN-60ºN) and tropics (27ºS-27ºN) are about an order of magnitude higher than those in the SH midlatitudes (27ºS-60ºS) due to higher surface emissions in NH (Figure 2). The tropical lower 375 tropospheric dust loading in the Atlantic basin, which is downwind of the Saharan DesertNorth African, is over one order of magnitude higher than that in the Pacific Ocean. Both observations and the model show that the dust concentration decreases by two to three orders of magnitude from the surface to about 200 hPa12 km. The strong vertical gradient is consistent with the findings reported in Yu et al. (2019) and Froyd et al. (2022), that deep convection activates the entrained dust aerosols above the cloud base and subsequently removes the particles in-cloud. Maloney et al. (2022) suggests that there is a strong removal of dust by ice formation through heterogeneous nucleation. The model overestimates the observed dust concentration in the mid and upper troposphere possibly because our model does not 383 include the interaction. A layer of dust between $\frac{8002}{2}$ and $\frac{400 \text{ hPa8 km}}{2}$, which the model fails to reproduce over the southern Atlantic, is observed during ATom1 but not in ATom2-4 (Figure S1-S3). 385 Figure $\frac{5S1}{1.5}$ shows that about $\frac{5235}{6}$ of the simulated dust near the surface are coarse mode dust (4.5um) – 17 um) and the coarse dust mass fraction drops rapidly with altitude. Simulations show that 95% of 387 the total dust concentration in the upper troposphereabove 5 km is fine dust (with diameter less than 4.5 µm) because coarse dust is subject to more efficient wet and dry deposition during long-range transport vertically or horizontally.

392 **Figure 5.** Simulated (dotted solidlong dash line) and measured (solid line) vertical profiles of the dust 393 concentrations in August 2016 during the ATom1 field campaign. The dashed lines represent Both 394 model and observations are sampled along the simulated vertical distribution of the total dust 395 eoncentration (diameter up to 17.4 μ m). flight track. The profiles are averaged over the Pacific Ocean 396 (redpink) or Atlantic Ocean (blue) in the Northern Hemisphere (NH) midlatitudes (27°N-60°N, panel a) 397 and tropics (27°S-27°N, panel b), and in the Southern Hemisphere (SH) midlatitudes (27°S-60°S, panel 398 c).

399 **3.4 Comparison with AERONET in Asia and the SaharaNorth Africa**

400 The simulated aerosol optical depth (AOD) at $\frac{1020532}{2}$ nm wavelength from CESM1/CARMA is

- 401 compared to the measurements near dust source regions from 2014 to 2018 for most of the Aerosol
- 402 Robotic Network (AERONET) sites (Figure 6a). On average, the model underestimates the averaged

 Figure 6. (a) Annual mean AOD at 1020532 nm wavelength from 2014 to 2018 simulated by 417 CESM1/CARMA, denoted by the color-filled contours.; (b) same as (a) for simulation without dust. 418 The measured AOD from AERONET ground sites is-located inside the major dust emission 419 regions (Figure 2) are denoted by the color-coded circles. (ϕ c) Comparison of the simulated annual mean AOD at $\frac{1020532}{2}$ nm wavelength with measurements from 2014 to 2018 for the most of the 421 AERONET sites except for Australian sites. Due to the limited data availability in Australian 422 AERONET sites, the multiyear annual mean AOD from year 1998 to 2022 are used.. North Africa, the 423 Middle East, Asia, and Australia Asia are represented as black, green, blue, pink, cyan and pink 424 eirelesblue number, respectively. (d) same as (c) but for without dust. The solid red line denotes the best fit. The dashed black lines represent 1:2, 1:1, and 2:1 relationships between the observations and simulations.

4 Global distributions of SaharanNorth African, Middle Eastern, and Asian dust

- In this section, we show the global distributions and source attributions of dust from the surface to the
- lower stratosphere. Consistent with previous studies (Tanaka et al., 2006, Chin et al., 2007 and Kok et
- al., 2021), modeled North African dust accounts for about 50-60% total global dust loading (mostly in
- 431 the lower troposphere). Validated by the recent global NASA ATom measurements, our study
- calculated the dust source attributions in each altitude and the dust source attribution in the anticyclone
- 433 of the Asian summer monsoon region. We show that the Asian dust with less annual emission than the
- North African dust is transported higher and become dominant in the upper troposphere and lower
- stratosphere (UTLS).

4.1 Surface distribution of dust

437 Figure 7 shows the simulated annual mean surface concentrations of SaharanNorth African, Middle Eastern, and Asian dust and their relative contributions to the simulated total dust from 2014 to 2018. In general, the simulated maximum concentrations are located near the source regions. The dust concentrations decrease dramatically by about two to three orders of magnitude from the source to remote regions due to efficient dry and wet scavenging. Limited dust is transported across the equator from NH to SH midlatitudes at the surface level. The simulated NH dust can travel to SH once

 Figure 7. Simulated global spatial distribution of annual mean surface dust mass concentrations and the fractional contribution of each source. Simulations are averaged from 2014 to 2018. Left panels represent each source's concentration of dust. Right panels represent each source's contribution to total dust.

450 SaharanNorth African dust dominates the surface dust concentrations in the Western Hemisphere including the North Atlantic basin, Europe, Caribbean, and eastern North America. The model suggests that simulated SaharanNorth African dust concentrations drop by three orders of magnitude during

453 transport from North Africa to 60 \degree N and peaks in the Caribbean. The modeled shape and direction of 454 the transported dust plume is similar to the simulations of Colarco et al. (2003). The trans-Atlantic 455 transport of the African dust to Amazon Basin in the northeasterly trade winds are observed (Yu et al., 2015c; Swap et al., 1992; Prospero et al., 2014). Based on satellite and in-situ deposition data, Yu et al. 457 (2015c) quantified the deposition of African dust in the Amazon basin. Consistently, our simulated dust 458 over Amazon Basin is primarily transported from the North Africa.

459 The simulated annual mean dust concentration in Asia is about 24% of that in the Saharan 460 DesertNorth African, which Su and Toon (2011) attribute to Asia having a much smaller area of dust sources than the Sahara. Asian dust dominates in the Eastern Hemisphere including the North Pacific basin, Russia, and some can be transported to Alaska and Canada. Previous studies have indicated that dust from the Gobi Desert region entrained in a surface cyclone arrives in the western U.S. boundary layer via cross-Pacific transport (Arimoto et al., 1996). With CARMA we show that although some Asian dust can be transported to the western U.S. across the Pacific basin (Figure 7), its relative mass contribution to the total dust concentration in the Western U.S. is about 1% on the annual basis (Figure 3). Simulated dust in the boundary layer is mostly removed by wet and dry deposition during the cross- Pacific transport, while lifted Asian dust can be transported more efficiently across the Pacific basin and accounts for about 50% of the dust loading in the middle troposphere above the western U.S. (Figure $\frac{54}{5}$). The Pacific Dust Experiment (PACDEX) shows that the coarse mode Asian dust is rapidly removed amid the remote transport, while the fine mode dust less than 2.5 um in diameter is entrained into the upper air and transported across the Pacific Basin by the upper tropospheric westerly jets (Stith et al., 2009). Consistent with PACDEX, our model shows that 92% of Asian dust mass that transported 10 km above U.S. are less than 2.5 um in diameter (not shown). Middle Eastern dust contributes significantly to surface dust loading over the Indian Ocean, eastern edge of Africa, southern India, and Southeast Asia. The simulated latitudinal transport of Middle Eastern dust is limited (Figure 477 S4S5). Our model suggests that the contribution of SaharanNorth African and Asian dust to the surface 478 dust in the Arctic is similar. Significant contributions of Asian dust are confirmed through ice core 479 isotopic analysis of the dust deposited at the ice camp in Greenland (Bory et al., 2002; 2003). Note that the current model fails to consider high-latitude dust sources in Siberia and Alaska, which are believed to be the major contributors to Arctic dust (Lambert et al., 2015; Zwaaftink et al., 2016).

4.2 Vertical distribution of dust

483 Figure 8 compares the simulated vertical distributions of SaharanNorth African, Middle Eastern, and Asian dust in the lower, middle, and upper troposphere averaged from 2014 to 2018. Simulated global dust concentrations drop by one order of magnitude from the surface to about 600 hPa and by four orders of magnitude from the surface to 160 hPa. The rapid decline of dust mass concentration is due mostly to deposition and subgrid-scale convective removal above the cloud base (Yu et al., 2019; Froyd et al., 2022). However, Maloney et al. (2022) show that heterogenous nucleation of ice on dust, followed by sedimentation also contributes to loss of dust from the mid and upper troposphere. Model results show that the dust from the Sahara, Middle East, and Asia accounts for ~61.7%, 12.9%, and 13.9% of global annual mean surface dust concentration, respectively. In the NH midlatitudes, the relative contribution of Asian dust increases with altitude and becomes dominant in the upper troposphere. Asian dust contributes ~60.9% of the dust at pressures from 266 hPa to 160 hPa. Asian dust is mostly lifted in the spring by mid-latitude frontal systems (Caffrey et al., 2018). This higher relative contribution of Asian dust in the upper troposphere of the NH midlatitudes and tropics suggests 496 that Asian dust is lifted more efficiently than SaharanNorth African dust. Asian dust is mostly lifted in 497 mid-latitude springtime weather systems that are efficient at transporting dust aloft. SaharanNorth 498 African dust is lifted in tropical systems that are less efficient at transporting dust to high altitudes since 499 there is widespread descending air at the latitudes of the Saharan DesertNorth African, which is in the descending branch of the Hadley circulation (Su and Toon, 2011). The upward transport of $\frac{1}{501}$ SaharanNorth African dust is restricted due to infrequent deep convection over the Saharan 502 DesertNorth African (Froyd et al., 2022). Frequent convective activity and cold frontal systems (Kawai et al., 2018, 2015; Hara et al., 2009) transport Asian dust upward to higher altitudes. Figures 8d-8e show that the upper tropospheric dust concentration in the NH midlatitudes is about one order of 505 magnitude higher than that in the tropics. Note that the tropical dust in the middle and upper troposphere over the Pacific basin is overestimated by one order of magnitude compared with the Atom1 observation (Figure 5). However, model's performance on the tropical dust varies with seasons. For example, model underestimated the Atom3 observation by one-order of magnitude, while better agreements are made compared with Atom2 and Atom4 observations (Supplement figures S2-S4). In general, modeled annual mean distribution of tropical dust is subject to large uncertainties (in Figure

8). Especially the convective transport parameterization for a climate model with coarse resolution is

still highly uncertain.

 Figure 8. (a) Simulated vertical profiles of average dust concentration for 2014 to 2018 from each desert emission zone; green bars denote SaharanNorth African dust, red bars denote Middle Eastern dust, and blue bars denote Asian dust. (b-d) Same as Figure 8a but averaged for Northern Hemisphere (NH) midlatitudes (30°N-60°N) and tropics (30°S-30°N) from 500 to 350 hPa. (d-e) Same as Figure 8b-8c but for pressure levels from 266 to 160 hPa.

 Figure 9 shows the vertical distribution of the zonal and annual mean dust fractional contributions from the three dust source regions. The Sahara dominates the tropical dust budget from the surface to the upper troposphere and accounts about 50% of dust in the troposphere of the NH mid-high latitudes. 523 The model shows that limited SaharanNorth African dust is transported into the stratosphere. In 524 contrast, Asian dust contributes less than SaharanNorth African dust in the troposphere except for the midlatitudes where the sources are located. Asian dust contributes more than 40% of the dust in the global UTLS, with the peak in the NH midlatitude UTLS having a mass fraction of more than 60%. Once the Asian dust is lifted high enough into the stratosphere, some can be transported to the SH UTLS. Our model suggests that Asian dust might be the dominant source of ice nucleating particles in 529 the global UTLS. The simulations show that the fractional contribution of SaharanNorth African and Asian dust is comparable in the lower and middle troposphere of the Arctic.

 Figure 9. Simulation of each dust source's fractional contribution to zonal and annual average total dust as a function of altitude (left axis) and latitude (bottom axis). Shading indicates dust concentrations, and the black line in each figure denotes the annually averaged simulated tropopause height.

54.3 Dust attribution in the Asian summer monsoon region

 A layer of aerosols in the UTLS of the ASM is revealed by satellites (Thomason and Vernier, 2013; Vernier et al., 2015; Vernier et al., 2011) and balloon-borne optical particle counters (Vernier et al., 2018; Yu et al., 2017). In the meantime, a high occurrence of cirrus clouds is found by satellites (Sassen et al., 2008; Nazaryan et al., 2008), and). The relative contributions of dust particles might play an 542 important role in to the cirrus formationcloud in the ASM region remain unquantified and worth future 543 evaluation. Recent airborne in-situ measurements suggest that the ASM tropopause aerosol layer is composed of mostly sulfate, organics, and nitrate (Hopfner et al., 2019; Appel et al., 2022). The budget of dust particles near the tropopause (~100 hPa) and at cirrus altitudes (e.g., 500-200 hPa) remains unquantified. Figure 10 illustrates the simulated June-July-August (JJA) dust concentrations at 100 hPa averaged from 2014 to 2018. A peak of dust is simulated in the ASM region associated with the anticyclonic air flow similar to sulfate and organics. However, the dust abundance is extremely limited compared with sulfate and organics. The simulated mass fraction of aerosol contributed by dust is \sim $\frac{34}{8}$ at 200 hPa and 0.0408% at 100 hPa inside the ASM- $\frac{Figure}{100}$. As expected, Asian dust dominates the dust budget in the ASM region, with a relative contribution 1-2 orders of magnitude

553 higher than SaharanNorth African and Middle Eastern dust. There is limited SaharanNorth African and Middle Eastern dust transport to the ASM region by the strong upper tropospheric westerlies (Tanaka et al., 2005; Prasad and Singh, 2007). Note that the dust concentration simulated by CESM1/CARMA at 556 100 hPa in the ASM region is about 9 x 10^{-5} μ g/m³, which is about 3 orders of magnitude smaller than the values simulated by the CESM-MAM7 model reported by Bossolasco et al. (2021). Such low values of dust concentration are due to inclusion of secondary activation of dust above the cloud base in the convective transport scheme revised by Yu et al. (2019). Failure to include this removal will lead

to large overestimates of dust aloft.

 Figure 10. Simulated mass concentrations of SaharanNorth African, Middle East and Asian dust at 100 hPa (left) averaged in June-July-August (JJA) from year 2014 to 2018. Purple boxes denote the Asian Summer Monsoon region.

65 Summary

 This study uses a sectional aerosol model coupled with a climate model, CESM1/CARMA, to simulate 567 the global distribution of dust, 85% over which comes from Asian, Middle Eastern, and SaharanNorth 568 African sources. Compared with measurements reported in Adebiyi and Kok (2020), the model of Yu et al. (2015b) underestimates the observed dust in the size range between 1 and 3 µm by one order of magnitude. We modified the size distribution of the dust emission, and the improved model is within the error bars of measurements summarized by Adebiyi and Kok (2020). Both observations and the simulations suggest that the dust mass size distribution increases by about 4 orders of magnitude from 0.1 μm to 2 μm, reaches its highest values around 2-3 micrometers in diameter and remains fairly constant for larger sizes up to 20 µm diameter. We compared the simulated dust distributions with multiple observational datasets including surface and airborne in-situ measurements over remote regions and aerosol optical depth measurements near the dust source regions. CESM1/CARMA reproduces the annual mean dust surface concentrations around the globe within one order of magnitude of the observations summarized in Huneeus et al. (2011). The global vertical distributions of dust measured by PALMS during the NASA ATom field campaign are used to constrain the model. Both the model and PALMS measurements suggest that dust mass concentrations over remote ocean basins drop by two to three orders of magnitude from the surface to the upper troposphere (200 hPa). Simulations show that about 52% of dust near the surface are coarse, while 95% of the total dust concentration in the upper troposphere is fine dust (with diameter less than 4.5 µm). The rapid decline of dust aerosols with altitude is associated with the efficient in-cloud convective removal of dust aerosols (Froyd et al., 2022; Yu et al., 2019). However, in situ cirrus formation can also lead to downward transport of dust (Maloney et al., 2022). In addition, both the model and PALMS measurements suggest that dust concentrations in the lower troposphere of the NH midlatitudes (27ºN- 60ºN) and tropics (27ºS-27ºN) are about an order of magnitude higher than that in the SH midlatitudes (27ºS-60ºS). The model captures ~90% of the annual mean column aerosol optical depth measured by 33 AERONET stations near the dust source regions. Our simulations suggest that the annual mean dust emissions from the Sahara, Middle East, and Asia account for ~59.7%, 12.5%, and 13.3% of global annual mean dust emissions, respectively. Dust

emitted from the Sahara is transported toward Europe, but mostly to the Western Hemisphere including

 the North Atlantic basin, and eastern North America. Asian dust dominates the Eastern Hemisphere including the North Pacific basin, Russia, and some can be transported to Alaska and Canada. Middle Eastern dust contributes significantly to the surface dust over the Indian Ocean, the eastern edge of 597 Africa, southern India, and Southeast Asia. Although Saharan North African dust dominates global dust mass loading at the surface, the relative contribution of Asian dust increases with altitude and becomes 599 dominant in the upper troposphere of the northern hemisphere. (NH). Once the Asian dust is lifted high enough into the stratosphere, some can be transported to the SH UTLS. Asian dust might be the dominant source of ice nucleating particles in the global UTLS. Asian dust contributes ~60.9% of the dust mass at pressure levels from 266 hPa to 160 hPa. The increasing fractional contribution of Asian dust is due to efficient vertical transport in midlatitude weather systems, while tropical weather systems are not as efficient due to subsiding motion in the descending branch of the Hadley circulation and convective activity over the Sahara is relatively infrequent (Froyd et al., 2022). Asian dust dominates the dust budget in the global upper troposphere during the summer months, with the peak fractional contribution in the ASM region, which is about 1-2 orders of magnitude higher than that of 608 SaharanNorth African and Middle Eastern dust. The model suggests that the dust forms a local 609 maximum in the ASM anticyclone as well as organics and sulfate-nitrate (Yu et al., 2022). However, the simulated dust mass concentration is only $~0.0408\%$ of the total aerosols in the Asian Tropopause Aerosol Layer (ATAL). Constrained by the state-of-the-art measurements of dust at the global scale, our model highlights the significant contribution of Asian dust to the global upper troposphere where cirrus clouds may form heterogeneously.

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944 **Supplement:**

947 0.2 km and above 5 km during the ATom1 field campaign. The green and pink bar denoted coarse-

948 mode (with diameter greater than 4.5 μ m) and fine mode (with diameter less than 4.5 μ m) dust mass

949 concentration, respectively. Lines (right axis) present the coarse mode dust concentrations fraction of

950 total dust (cyan).

951

 dust concentrations in February 2017 during the ATom2 field campaign. Graphs are equivalent to 956 Figure 5. The dashed lines represent the simulated vertical distributions of the total dust concentrations 957 (diameter up to 17.4 µm). The profiles are averaged over the Pacific Ocean (redpink) or Atlantic Ocean (blue) in the Northern Hemisphere midlatitudes (27ºN-60ºN, panel a) and tropics (27ºS-27ºN, panel b), and in the Southern Hemisphere midlatitudes (27ºS-60ºS, panel c).

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Figure S45. Simulation of each dust source's fractional contribution to total dust as a function of

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990 equivalent to Figure 9.

