

1 **Organic enrichment in droplet residual particles relative to out of cloud over the northwest**  
2 **Atlantic: Analysis of airborne ACTIVATE data**

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22

23 **Abstract.**

24 Cloud processing is known to generate aerosol species such as sulfate and secondary  
25 organic aerosol, yet there is a scarcity of airborne data to examine this issue. The NASA Aerosol  
26 Cloud meTeorology Interactions oVer the western ATlantic Experiment (ACTIVATE) was  
27 designed to build an unprecedented dataset relevant to aerosol-cloud interactions with two  
28 coordinated aircraft over the northwest Atlantic, with aerosol mass spectrometer data used from  
29 four deployments between 2020-2021 to contrast aerosol composition below, in (using a  
30 counterflow virtual impactor), and above boundary layer clouds. Consistent features in all time  
31 periods of the deployments (January-March, May-June, August-September) include the mass  
32 fraction of organics and relative amount of oxygenated organics ( $m/z$  44) relative to total organics  
33 ( $f_{44}$ ) increasing in droplet residuals relative to below and above cloud. Detailed analysis comparing  
34 data below and in cloud suggests a possible role for in-cloud aqueous processing in explaining  
35 such results; an intriguing aspect though requiring more attention is that only approximately a  
36 quarter of the cloud cases (29 of 110) showed higher organic mass fractions either below or above  
37 cloud. Of those 29 cases, the majority (25) showed higher organic mass fraction below cloud base  
38 where the cloud processing signature is presumably more evident as compared to above cloud.  
39 These results are consistent with the few past studies analyzing droplet residuals pointing to higher  
40 organic enrichment than in adjacent cloud-free areas. The data findings are important as other  
41 datasets (e.g., reanalysis) suggest that sulfate is both more abundant than organics (in contrast to  
42 this work) and more closely related to drop number concentrations in the winter when aerosol-  
43 cloud interactions are strongest; here we show that organics are more abundant than sulfate in the  
44 droplet residuals and that aerosol interaction with clouds potentially decreases particle  
45 hygroscopicity due to the increase in organic:sulfate ratio for droplet residuals relative to  
46 surrounding cloud-free air. These results are important in light of the growing importance of  
47 organics over the northwest Atlantic in recent decades relative to sulfate owing to the success of  
48 regulatory activity over the eastern United States to cut sulfur dioxide emissions.

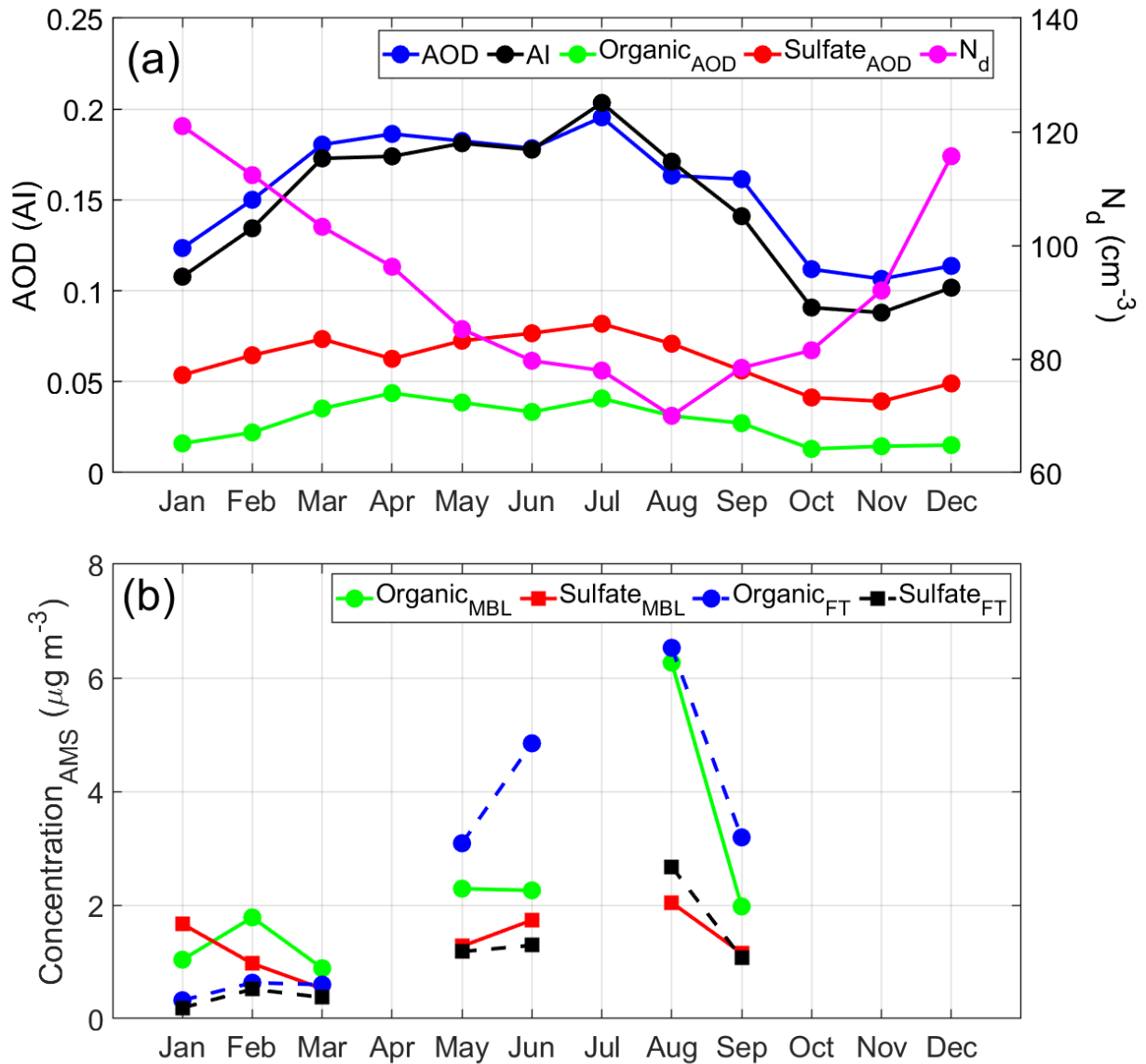
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## 50 1. Introduction

51 The nature of aerosol-cloud interactions over the northwest Atlantic Ocean is uncertain  
52 even though the region has been the target of decades of atmospheric research (Sorooshian et al.,  
53 2020). These interactions include a subset of aerosol particles called cloud condensation nuclei  
54 (CCN) that activate into cloud droplets, which subsequently undergo aqueous processing to  
55 transform into a particle after evaporation varying in size and composition relative to the original  
56 CCN. An aspect of these steps that is poorly characterized is the composition of the droplet  
57 residuals in cloud relative to particles below and above clouds, which requires airborne  
58 measurements. The NASA Aerosol Cloud meTeorology Interactions oVer the western ATLantic  
59 Experiment (ACTIVATE) was designed to collect in situ and remote sensing data in and around  
60 clouds during different seasons in a region with a wide range of weather conditions (Painemal et  
61 al., 2021) and air mass sources (Corral et al., 2021), qualifying as a suitable dataset to examine  
62 this very issue.

63 The annual cycle of aerosol and cloud drop number concentrations ( $N_d$ ) varies in the  
64 northwest Atlantic, with aerosol parameters (e.g., aerosol optical depth, aerosol index) peaking in  
65 summer months in contrast to  $N_d$  being highest the winter (Figure 1). This discrepancy was  
66 reconciled by Dadashazar et al. (2021a) who showed that conditions linked to cold air outbreak  
67 events (e.g., enhanced turbulence, higher marine boundary layer (MBL) height, higher low-level  
68 liquid cloud fraction) promote stronger aerosol-cloud interactions in the winter to help activate  
69 particles into drops with higher efficiency than other times of the year. Gradient boosted regression  
70 tree analysis revealed that the most influential aerosol parameter in predicting  $N_d$  was either surface  
71 mass concentration of sulfate (winter) or organics (summer). However, those results were based  
72 on reanalysis data without any indication of causal effects between aerosol composition and cloud  
73 microphysics. Airborne in situ data are needed to unravel the composition details in and around  
74 clouds. Of particular interest related to aerosol chemical characterization around clouds is growing  
75 evidence in the literature that in-cloud aqueous processing can generate not only sulfate (Barth et  
76 al., 2000; Ervens, 2015) but also secondary organic aerosol (SOA) (Blando and Turpin, 2000;  
77 Warneck, 2003; Sorooshian et al., 2006a; Ervens et al., 2011; Heald et al., 2011), which is  
78 hypothesized to manifest itself in enhanced organic mass fractions in droplet residuals relative to  
79 below and above cloud. Past work over the northwest Atlantic has pointed to the importance of  
80 secondary formation via gas-to-particle conversion processes in influencing the organic carbon  
81 budget of aerosol particles (de Gouw et al., 2005; Schroder et al., 2018; Shah et al., 2019).  
82 Furthermore, chemical analysis of droplet residuals should lend insight into the properties of  
83 aerosol particles that will be released after droplets evaporate, which could control their propensity  
84 to activate in a subsequent passage through cloud, with past work showing an important role for  
85 organics (Russell et al., 2000; Drewnick et al., 2007; Mertes et al., 2007; Hawkins et al., 2008;  
86 Asa-Awuku et al., 2015).

87 The goal of this study is to compare aerosol mass spectrometer data over the northwest  
88 Atlantic below, in, and above clouds for different times of the year (January-March, May-June,  
89 August-September). Case studies of flights during cold air outbreaks probe deeper to better  
90 understand the nature of aerosol and droplet residual particle composition during these events with  
91 stronger aerosol-cloud interactions as compared to other times of the year (Dadashazar et al.,  
92 2021a; Painemal et al., 2021). The results have implications for aerosol-cloud interactions as  
93 droplet residual composition is shown here to deviate from that of aerosol out of cloud.



94

95 **Figure 1. (a) Monthly mean values (January 2013 – December 2017) of CERES-MODIS**  
 96 **cloud droplet number concentration ( $N_d$ ) for low-level clouds (heights below 700 hPa),**  
 97 **MERRA-2 aerosol index, and MERRA-2 total and speciated (sulfate and organic) aerosol**  
 98 **optical depth. Data used apply to the spatial area over the northwest Atlantic where**  
 99 **ACTIVATE data were collected (boxes 1-3 in Figure 2). (b) Monthly mean values of sulfate**  
 100 **and organic using ACTIVATE airborne data differentiated by marine boundary layer**  
 101 **(BCB/BBL legs) versus free troposphere (ACT/ABL legs); these legs are described in Section**  
 102 **2.1.**

103

## 104 2. Methods

### 105 2.1 Field Campaign Description

106 We use airborne in situ data collected aboard the HU-25 Falcon from deployments 1 (14  
107 February – 12 March 2020), 2 (13 August – 30 September 2020), 3 (27 January – 2 April 2021),  
108 and 4 (13 May – 30 June 2021) of the ACTIVATE mission. Data necessary for this study were  
109 only available for two flights in deployment 3 (29 January and 3 February) owing to an aircraft  
110 maintenance issue reducing the size of the available payload. ACTIVATE employs a dual aircraft  
111 approach with the Falcon acquiring in situ data for trace gases, aerosol particles, and clouds in the  
112 MBL while a King Air flies overhead at ~9 km conducting remote sensing measurements and  
113 launching dropsondes (Sorooshian et al., 2019). Typical flights are ~3-4 hours based out of NASA  
114 Langley Research Center in Hampton, Virginia. The Falcon flies in what are termed “ensembles”,  
115 which comprise legs in the following nominal order: below cloud base (BCB), above cloud base  
116 (ACB), BCB, ACB, minimum altitude leg at ~150 m (Min. Alt.), above cloud top (ACT), below  
117 cloud top (BCT), and then descent back to BCB to start a new ensemble. Cloud-free ensembles  
118 include the following legs: Min. Alt., below boundary layer top (BBL), above boundary layer top  
119 (ABL), and then descent back down to Min. Alt. to start a new ensemble. The Falcon flies at ~120  
120 m s<sup>-1</sup>, with the duration (length) of each leg and cloud ensemble being ~3.3 min (~24 km) and 35  
121 min (~250 km), respectively. Cloud-free ensembles were approximately 15 min (~100 km). The  
122 repeated nature of these ensembles has built a large statistical database relevant to aerosol-cloud-  
123 meteorology interactions. Clear ensembles were generally closer to the coast.

124

## 125 **2.2 Airborne Instrument Details**

126 The central dataset relevant to aerosol composition in this study comes from the Aerodyne  
127 High-Resolution Time-of-Flight Aerosol Mass Spectrometer (AMS) (DeCarlo et al., 2008). The  
128 instrument measures submicrometer non-refractory aerosol composition in 1 Hz Fast-MS mode  
129 with data averaged to 25-second time resolution. We make use of specific mass spectral markers  
130 including m/z 43 (mostly C<sub>2</sub>H<sub>3</sub>O<sup>+</sup>) and 44 (CO<sub>2</sub><sup>+</sup>), which represent oxygenated organic fragments,  
131 with the ratios of the markers relative to total organic mass referred to as f<sub>43</sub> and f<sub>44</sub>, respectively.  
132 AMS measurements were conducted downstream of an isokinetic double diffuser inlet (Brechtel  
133 Manufacturing Inc.) in cloud-free conditions and downstream of a counterflow virtual impactor  
134 (CVI) inlet (Brechtel Manufacturing Inc.) in clouds (Shingler et al., 2012). For classification of  
135 data as cloud and cloud-free, we use a liquid water content (LWC) threshold of 0.05 g m<sup>-3</sup> based  
136 on data from the Fast Cloud Droplet Probe (FCDP; D<sub>p</sub> ~3 – 50 μm) (SPEC Inc.; Kirschler et al.,  
137 2022). This LWC threshold has been used in recent work using ACTIVATE data (Dadashazar et  
138 al., 2021a). Data for both rain water content and ice water content were used from a two-  
139 dimensional stereo probe vertical direction (2DS-V; D<sub>p</sub> ~29 – 1465 μm) (SPEC, Inc.). We also use  
140 a proxy for hygroscopicity in the form of f(RH), which is the ratio of total light scattering between  
141 relative humidities of 80% and 20% as measured by tandem nephelometers (TSI Inc, St. Paul, MN,  
142 USA; Model 3563) (Ziemba et al., 2013).

143 Note that while cloud water samples were also chemically characterized, those data are  
144 outside the scope of this work as the partial speciation of organics in the cloud water samples  
145 makes it hard to compare to AMS total organics. Furthermore, particle-into-liquid sampler (PILS)  
146 data are not used owing to lengthier time resolution (~5 min) and chemical smearing during sample  
147 collection (Sorooshian et al., 2006b) preventing a clear assignment of data to individual legs in  
148 ensembles.

149

## 150 **2.3 Complementary Datasets**

### 151 **2.3.1 HYSPLIT and CWT Maps**

152 We obtained 5-day back-trajectory data from NOAA’s Hybrid Single-Particle Lagrangian  
153 Integrated Trajectory (HYSPLIT) model (Stein et al., 2015; Rolph, 2017) ending at the Falcon  
154 position during any of the 29,164 cloud-free AMS data points. Note that this includes data during  
155 cloud ensembles but only when cloud liquid water content was  $< 0.05 \text{ g m}^{-3}$ , and thus data during  
156 BCB and ACT legs are included. We relied on the National Centers for Environmental  
157 Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis data using the  
158 “Model vertical velocity” method and obtained data points every 6 hours along trajectories.

159 As this study is mainly focused on organics and sulfate, concentration-weighted trajectory  
160 (CWT) maps were generated using HYSPLIT back-trajectories in conjunction with speciated AMS  
161 data (Figures S1-S2) to show the predominant sources for each of these two aerosol components  
162 (e.g., Hsu et al., 2003). As demonstrated by past works for other regions (e.g., Dadashazar et al.,  
163 2019), the method assigns a weighted concentration to grid cells based on mean concentrations  
164 passing through each grid cell from all the considered trajectories. CWT profile maps are produced  
165 using the GIS-based software called TrajStat (Wang et al., 2009).

### 166 **2.3.2 MERRA-2**

167 We use both total and speciated (sulfate and organic) aerosol optical depth (AOD) at 550  
168 nm from the Modern-Era Retrospective analysis for Research and Applications-Version 2  
169 (MERRA-2) (Gelaro et al., 2017) between January 2013 and December 2017 near Aqua’s overpass  
170 time (13:30 local time). We also show results for aerosol index (AI), which is the product of AOD  
171 and the Ångström parameter. As the latter accounts for aerosol size, AI is better related to columnar  
172 CCN as compared to AOD (Nakajima et al., 2001). Data are used for the spatial area over the  
173 northwest Atlantic where ACTIVATE data were collected (boxes 1-3 in Figure 2).

### 174 **2.3.3 CERES-MODIS**

175 Cloud droplet number concentrations ( $N_d$ ) are presented for the ACTIVATE region  
176 following the specific calculations and filtering methods of Dadashazar et al. (2021a) using Clouds  
177 and the Earth’s Radiant Energy System (CERES) edition 4 products (Minnis et al., 2011; Minnis  
178 et al., 2021). CERES retrieval algorithms are applied to MODerate resolution Imaging  
179 Spectroradiometer (MODIS)-Aqua radiances as obtained during daytime overpasses around 13:30  
180 local time. Level 3 cloud data were used between January 2013 and December 2017 at  $1^\circ \times 1^\circ$   
181 resolution for low-level clouds ( $> 700 \text{ hPa}$ ) based on CERES-MODIS edition 4 Single Scanning  
182 Footprint (SSF) products (Loeb et al., 2016).  $N_d$  was calculated with an adiabatic cloud model  
183 (Grosvenor et al., 2018):

184

$$185 \quad N_d = \frac{\sqrt{5}}{2 \pi k} \left( \frac{f_{ad} C_w \tau}{Q_{ext} \rho_w r_e^5} \right)^{1/2} \quad (1)$$

186

187 where  $k$  represents the droplet spectrum width (assumed to be 0.8 over the ocean),  $r_e$  is cloud drop  
188 effective radius,  $\tau$  is cloud optical depth,  $Q_{\text{ext}}$  is the unitless extinction efficiency factor (assumed  
189 to be 2 for liquid droplets), and  $\rho_w$  is the density of water ( $1 \text{ g cm}^{-3}$ ).  $N_d$  data are used when low-  
190 level liquid cloud fraction exceeded 40%. Data are used for the same spatial area as MERRA-2  
191 data (i.e., boxes 1-3 in Figure 2).

192

## 193 **2.4 Classification of Cold Air Outbreak Flights**

194 We determine whether flights occurred during cold air outbreaks (CAOs) leveraging  
195 methods in recent ACTIVATE studies (Seethala et al., 2021; Corral et al., 2022). Briefly, Visible  
196 Infrared Imaging Radiometer Suite (VIIRS) imagery (NASA Worldview) is used to visually  
197 identify cloud streets that are characteristic of CAOs. Flight notes and weather forecast slides were  
198 used as additional confirmation, followed by data from dropsondes released from the King Air  
199 following the method described in Papritz et al. (2015).

200

## 201 **3. Results**

202 A motivation of this study is the opposite annual pattern of  $N_d$  and aerosol parameters  
203 shown in Figure 1a. Notable is that sulfate AOD exceeds that of organic AOD for all months based  
204 on MERRA-2 data, which has been shown before in the region (Braun et al., 2021). The  
205 ACTIVATE airborne data show that while the total concentrations of both aerosol components are  
206 higher in the summer months (similar to related aerosol parameters in Figure 1a), a difference  
207 compared to MERRA-2 speciated AODs is that organic levels exceed those of sulfate (except  
208 January in the MBL), regardless of whether the data were collected in the MBL (i.e., BBL and  
209 BCB legs) or free troposphere (i.e., ACT and ABL legs) (Figure 1b). Hegg et al. (1997) concluded  
210 for the month of June based on a chemical apportionment study using aerosol column optical depth  
211 data off the mid-Atlantic coast of the United States that the three most abundant components (in  
212 decreasing order) were water, carbonaceous compounds, and then sulfate. This is an important  
213 result with implications for aerosol characteristics such as hygroscopicity. For instance, higher  
214 organic:sulfate mass ratios in the MBL correspond to suppressed hygroscopic growth factors at  
215 high relative humidities ( $\geq 85\%$ ) (Hersey et al., 2009).

216

### 217 **3.1 Multi-season Overview of AMS Composition**

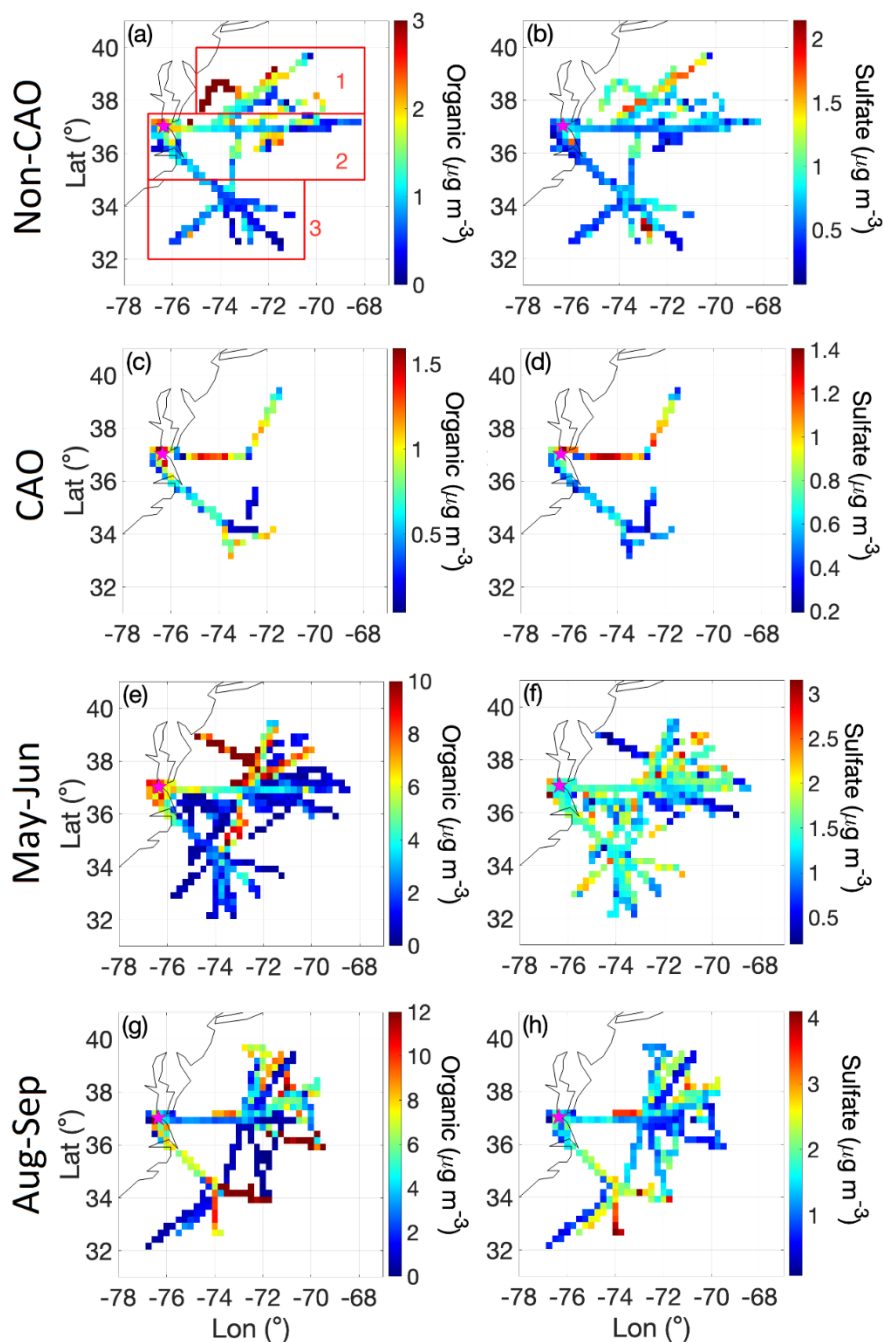
218 Relative to all AMS species, sulfate and organics are the dominant aerosol components by  
219 mass with combined mass fractions being near 75% usually regardless of season or location  
220 relative to clouds (Tables S1-S2; spatial maps in Figure 2); this is consistent with their predictive  
221 capability for  $N_d$  over the northwest Atlantic (Dadashazar et al., 2021a). Nitrate and ammonium  
222 were the next most abundant components. The highest organic concentrations were in August-  
223 September assisted in part by transported wildfire emissions from western North America (Mardi  
224 et al., 2021). Mean vertical profiles of organics in each season (Figure 3) show that in all months,

225 but especially May-June and August-September, there is an enhancement at altitudes exceeding  
226 200 m in the northernmost parts of the study region. Organic aerosol CWT maps reveal significant  
227 influence from continental sources based on the highest concentrations along trajectories coming  
228 from the U.S. East Coast (Figure S1). In terms of the nature of the organic aerosol fraction, vertical  
229 profiles of  $f_{44}$  were fairly similar between seasons and areas of the study region (Figure 2), ranging  
230 in mean value for the various leg types in Table S1 between 0.11 and 0.27. For reference, the  $f_{44}$   
231 of atomized oxalic acid, a tracer for cloud processing in the absence of biomass burning and coarse  
232 aerosol (Hilario et al., 2021 and references therein), is 0.36 (Lambe et al., 2011).

233 In contrast to organics, sulfate exhibits more spatially homogenous concentrations over the  
234 northwest Atlantic (Figure 2) owing largely to ocean-emitted dimethylsulfide that undergoes gas  
235 and in-cloud oxidation such as what was shown for the eastern North Atlantic (Ovadnevaite et al.,  
236 2014). This is supported by how sulfate's seasonal CWT maps (Figure S2) differ from those of  
237 organics with comparable concentrations widespread over the northwest Atlantic relative to the  
238 continent. The August-September CWT map for sulfate reveals more high concentration areas  
239 (note the different color bar scale for Aug-Sep in Figure S2) over the continent with concentrations  
240 exceeding those over most of the ocean; this is presumably due to more secondary formation  
241 stemming from local sulfur dioxide emissions over the eastern U.S. (Yang et al., 2018) aided in  
242 part by higher temperatures and humidity (Corral et al., 2021) that co-vary with other conditions  
243 favorable for sulfate production such as stagnation and certain air flow patterns (Tai et al., 2010).  
244 Figure 3 demonstrates that neither sulfate or organics exhibit a clear reduction with altitude  
245 pointing towards a potential source aloft that might include long-range transport and/or secondary  
246 production.

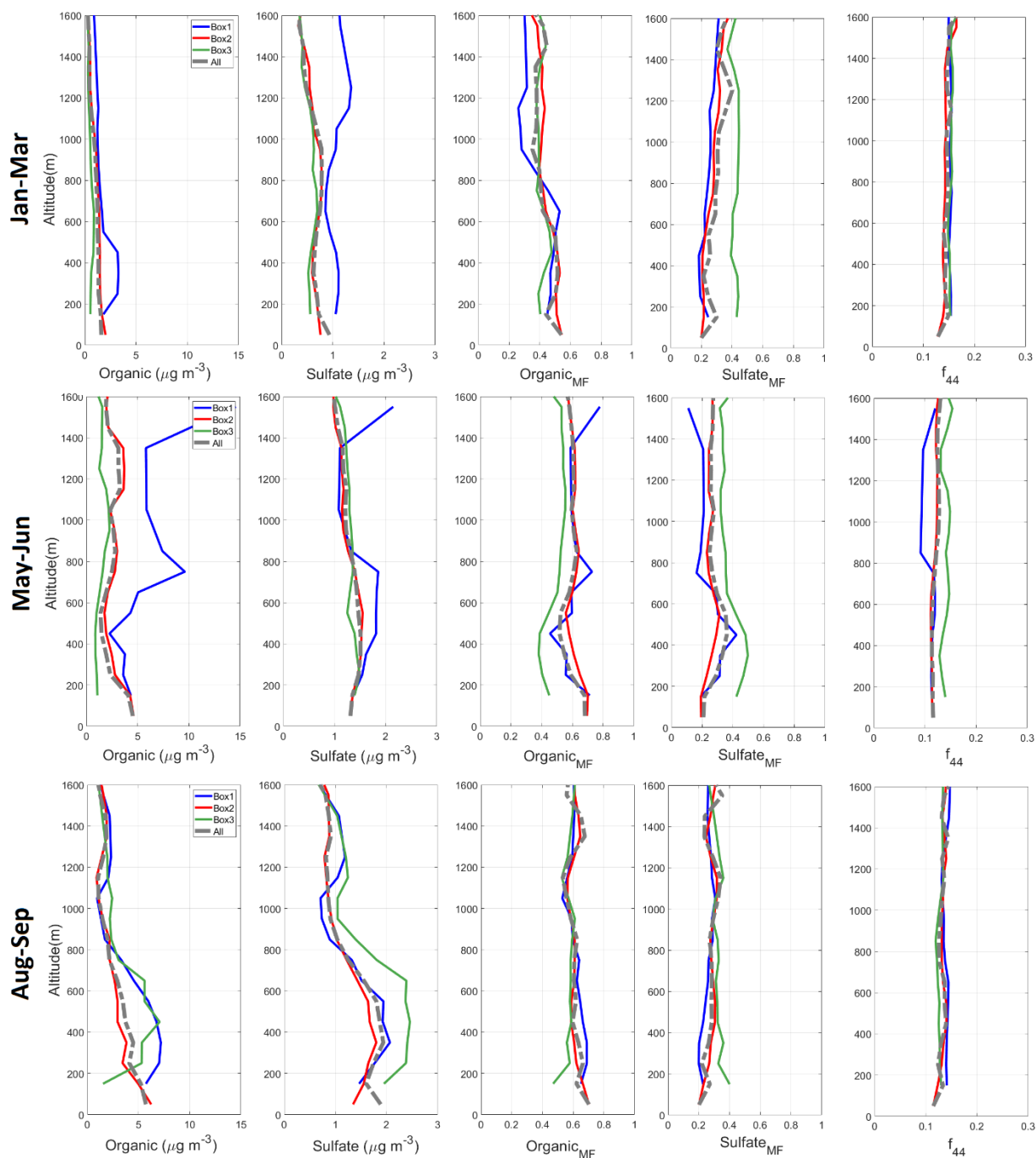
247 Although based on only two consecutive days of flight data, results from Leaitch et al.  
248 (2010) are relevant in that they sampled below, in, and above boundary layer clouds over the  
249 northwest Atlantic. On the first day with more marine influence, sulfate was more abundant than  
250 organics in fine particles below cloud. In contrast, the second day had more continental influence  
251 with organic levels exceeding those of sulfate below cloud, which was often the case during  
252 ACTIVATE (Table S1). They concluded with a parcel model that the impact of anthropogenic  
253 carbonaceous components on the cloud albedo effect can exceed that of anthropogenic sulfate,  
254 which motivates attention to the droplet residual composition discussed next.





255

256 **Figure 2. Spatial map of cloud-free AMS data for organics and sulfate collected during**  
 257 **deployments 1-4 of ACTIVATE spanning from February 2020 to June 2021. Non-CAO and**  
 258 **CAO represent non-cold air outbreak and cold air outbreak days, respectively, between**  
 259 **January and March. Spatial boxes labeled 1-3 in (a) correspond to domains used for**  
 260 **calculations in other parts of this study. Grid cells are  $0.25^\circ \times 0.25^\circ$  and represent an average**  
 261 **of data across all vertical levels flown between 0.02 and 8.1 km. Color bar scales differ by**  
 262 **panel to highlight variability better within a panel.**



263

264 **Figure 3. Vertically-resolved cloud-free AMS data for the different time periods of**  
 265 **ACTIVATE deployments and boxes defined in Figure 2a. Shown are (left to right) organic**  
 266 **and sulfate concentrations, organic and sulfate mass fraction, and the ratio of m/z 44 to**  
 267 **total organic ( $f_{44}$ ). The top row for January-March combines CAO and non-CAO days,**  
 268 **which are separated for other parts of the study.**

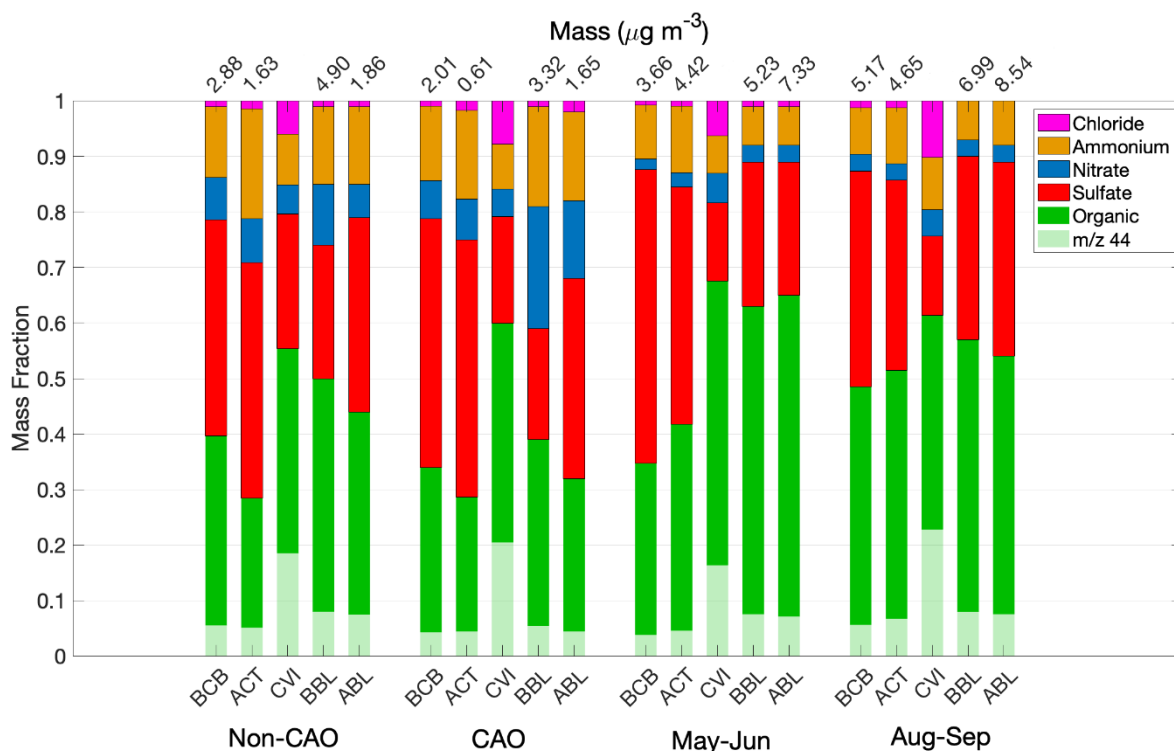
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270

### 271 **3.2 Droplet Residual Composition**

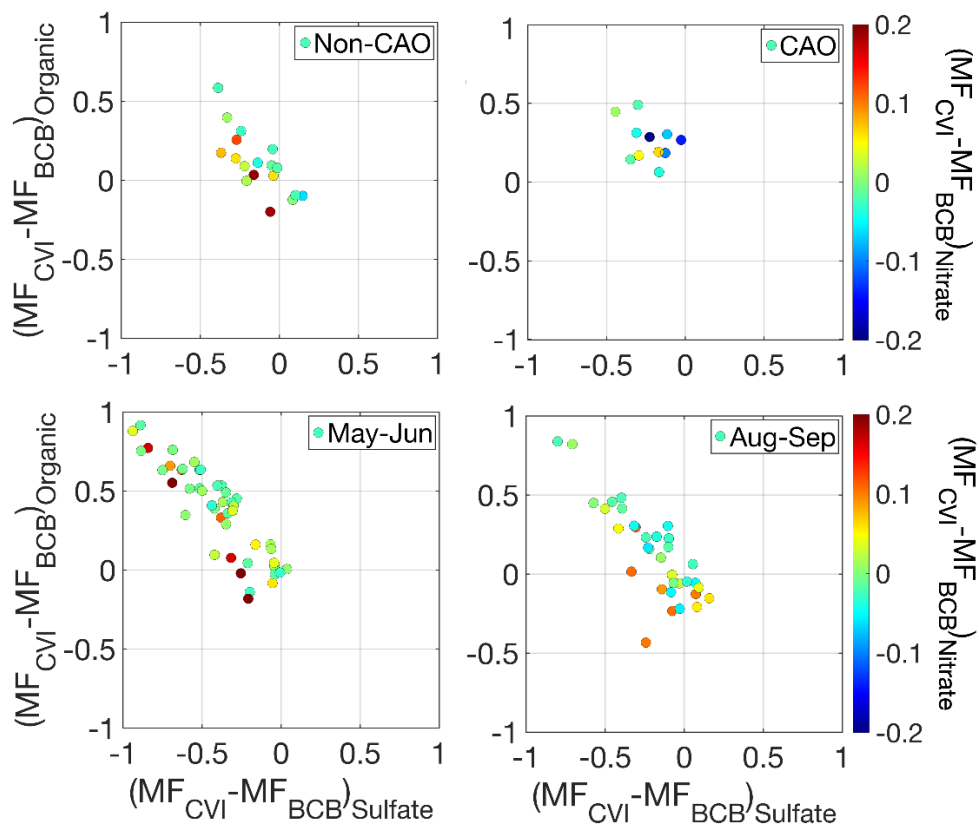
272 A striking result in all seasons is that organic mass fraction was higher downstream of the  
273 CVI in droplet residual particles in contrast to adjacent BCB and ACT legs in cloud ensembles  
274 (Figure 4 and Table S1). To compensate, sulfate mass fractions decreased in droplet residuals.  
275 Furthermore,  $f_{44}$  increased in droplet residuals as compared to BCB and ACT data in each season,  
276 indicative of more contribution of oxygenated organic species like carboxylic acids. There was no  
277 significant difference in the mass fraction profiles between seasons for a fixed leg type (Figure 4).  
278 The higher organic mass fractions in the BBL/ABL legs of clear ensembles relative to BCB/ACT  
279 legs of cloud ensembles can be explained by how most of the clear ensemble data were collected  
280 closer to land where there are greater organic levels in the continental outflow relative to farther  
281 offshore where sulfate presumably becomes more important due to marine emissions of precursors  
282 such as dimethylsulfide. The region's synoptic flow is not always strictly offshore from west-to-  
283 east. Thus, the higher organic content near the coast often could just be due to local emissions that  
284 are confined to the coast and are not advected any farther east.

285 The organic mass fraction and  $f_{44}$  changes in droplet residuals can be explained at least in  
286 part by some combination of preferential activation of CCN with these special properties and/or  
287 aqueous processing in droplets to generate oxygenated organics. Although not the focus here, the  
288 high chloride mass fractions in droplet residuals (Figure 4) can be explained by how sea salt would  
289 preferentially activate into drops owing to its large size and that the AMS has some ability (albeit  
290 not efficient) to detect sea salt chloride (Zorn et al., 2008; Ovadnevaite et al., 2012).



291  
 292 **Figure 4. Seasonal comparison of AMS mass fractions, including the relative contribution of**  
 293 **m/z 44 to total organic ( $f_{44}$ ). Numbers above each bar represent the mean total AMS mass**  
 294 **concentration for that category; note that absolute masses are not reported downstream of**  
 295 **a CVI owing to high uncertainties. Note that the Non-CAO and CAO categories represent**  
 296 **all flight data in January-March (deployments 1 and 3) that were separated using the criteria**  
 297 **in Section 2.4.**

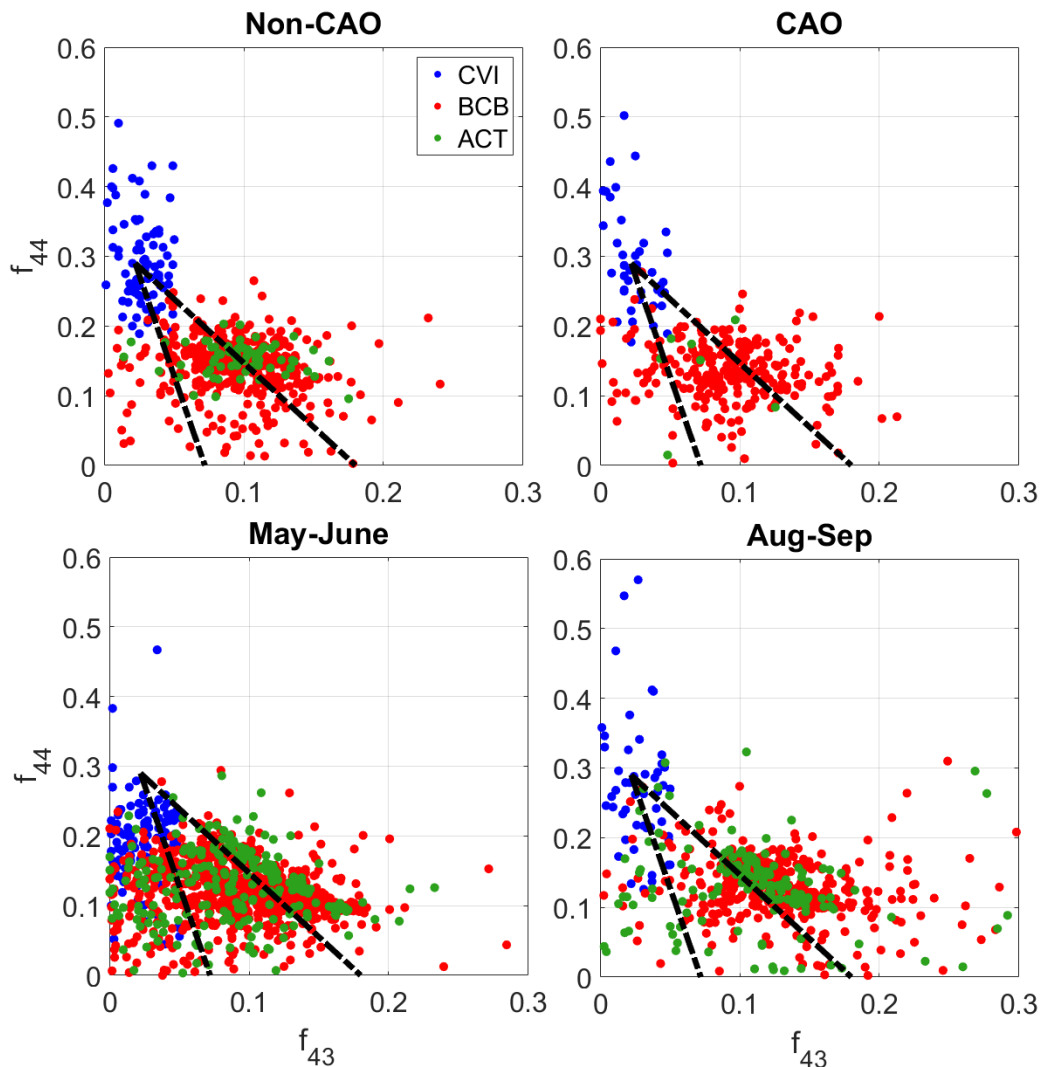
298  
 299 We next examine scatterplots of organic mass fraction (i.e., organic mass divided by total  
 300 AMS mass) differences between each cloud leg with CVI-AMS data and its closest BCB leg in  
 301 the same cloud ensemble versus analogous sulfate mass fraction differences for the same pair of  
 302 legs (Figure 5). Aqueous processing to preferentially increase one of the two species relative to  
 303 the other would presumably translate into a positive value on the more preferred species' axis; in  
 304 other words, if there was more organic aerosol formation in clouds via aqueous processing  
 305 relative to sulfate, it would register as a positive (negative) value on the y (x) axis. Regardless of  
 306 season, the results reveal a consistent feature of increasing (decreasing) organic (sulfate) mass  
 307 fraction downstream of the CVI relative to BCB samples, suggestive of aqueous processing  
 308 shifting the composition to be more organic-rich. For the very few points laying to the bottom  
 309 left of the origin, nitrate is often more enhanced in those droplet residual samples relative to  
 310 BCB data. Comparing CVI-AMS data to the closest ACT leg in the same ensemble reveals a  
 311 similar trend (not shown).



312

313 **Figure 5. Scatterplot of the difference in organic mass fraction in cloud legs with CVI data**  
 314 **and below cloud base (BCB) legs for an individual cloud ensemble relative to the analogous**  
 315 **difference for sulfate mass fraction between the same pair of legs. Markers are colored by**  
 316 **the analogous difference in nitrate mass fraction. Panels represent different seasons with**  
 317 **winter deployments (January-March) separated into CAO and non-CAO days.**

318 A comparison of  $f_{44}$  versus  $f_{43}$  in “triangle plot” format (Ng et al., 2010) shows an important  
 319 difference between CVI and BCB/ACT data in each season (Figure 6). Ambient organic aerosol  
 320 typically converge at the top left of the triangle representative of more atmospheric aging leading  
 321 to low volatility oxygenated organic aerosol species. The CVI data are systematically higher and  
 322 to the left of the triangle plot in each season. In contrast, the BCB and ACT data are lower and to  
 323 the right of the triangle plots without much distinction, suggestive of a similarly lower level of  
 324 oxygenation relative to droplet residuals.

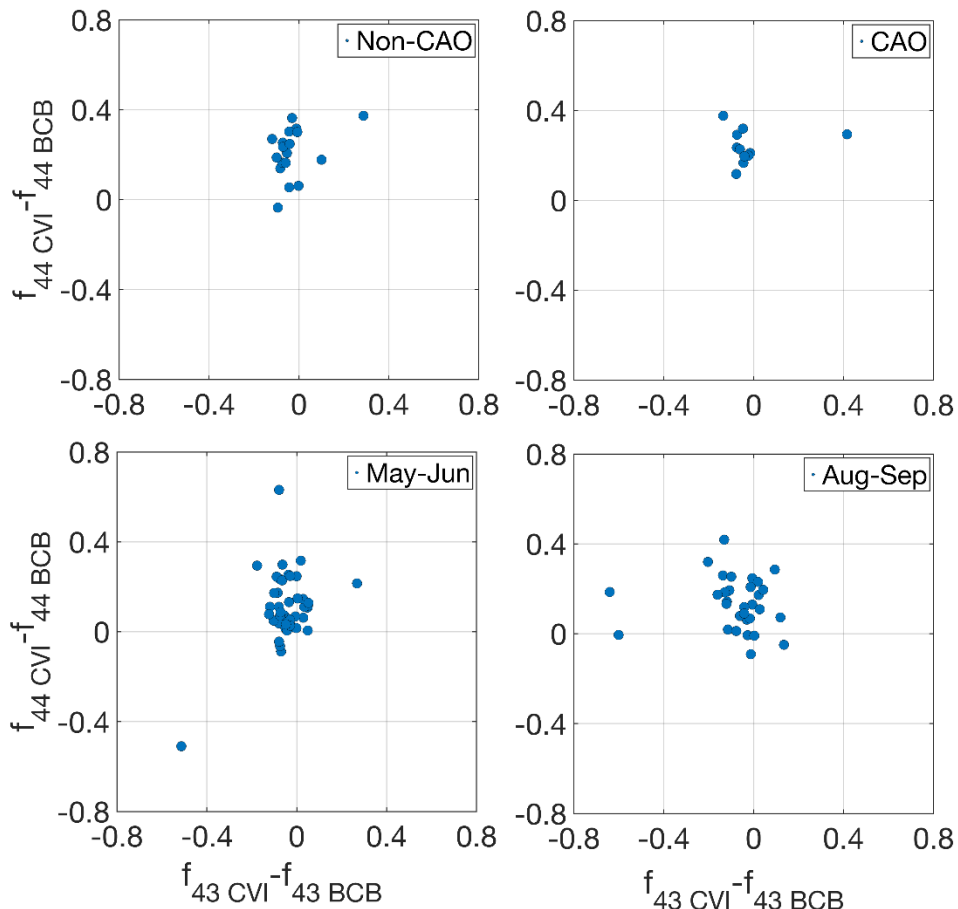


325

326 **Figure 6. Comparison of  $f_{44}$  and  $f_{43}$  for individual BCB and ACT legs out of cloud, in addition**  
 327 **to CVI data in cloud legs. Panels represent different seasons with winter deployments**  
 328 **(January-March) separated into CAO and non-CAO days. Superimposed on the plots are**  
 329 **triangles corresponding to how former work (Ng et al., 2010) compared these ratios. Points**  
 330 **with organic mass concentration less than  $0.5 \mu\text{g m}^{-3}$  were omitted from this analysis.**

331 The CVI droplet residuals are more oxidized because of some combination of aqueous  
 332 processing effects to yield more oxidized organic species, or because CCN with higher  $f_{44}$  activated  
 333 into droplets. To probe more into which of the two aforementioned processes could be more  
 334 responsible for the cluster of CVI points at the top left of the triangle plots, we next examine  
 335 (analogous to Figure 5) scatterplots of  $f_{44,\text{CVI}} - f_{44,\text{BCB}}$  versus  $f_{43,\text{CVI}} - f_{43,\text{BCB}}$ , where data are  
 336 compared between the pair of cloud and BCB legs closest to one another in individual cloud  
 337 ensembles (Figure 7). If there was no difference in organic composition between a pair of legs,  
 338 a marker representing that pair would be at the origin. Aqueous processing is presumed to result in  
 339 a positive (negative) value on the y (x) axis. Each season consistently exhibits points positioned

340 to the top left of the origin suggestive of aqueous processing leading to the enhanced oxygenation  
 341 of the organic fraction in droplet residuals relative to BCB legs.



342  
 343 **Figure 7. Scatterplot of the difference in  $f_{44}$  in cloud legs with CVI data and below cloud base**  
 344 **(BCB) legs for an individual cloud ensemble relative to the analagous difference for  $f_{43}$ .**  
 345 **Panels represent different seasons with winter deployments (January-March) separated into**  
 346 **CAO and non-CAO days.**

347 A discussion on possible contributing factors (other than aqueous processing) to the  
 348 different chemical signature in CVI samples relative to adjacent cloud-free areas is warranted.  
 349 First, we note that 23% of BCB/CVI pairs of data points (25 out of 110) exhibited higher organic  
 350 mass fraction in the BCB leg relative to droplet residuals (Figure 8). This number increases to 26%  
 351 when considering if either the BCB or ACT organic mass fraction was higher than the  
 352 corresponding CVI data in cloud for an ensemble. Clearly the cases where a higher organic mass  
 353 fraction was observed out of cloud seems to be most prevalent below cloud suggesting that location  
 354 is where a cloud processing signature can be more reliably observed. These 26% of the cases  
 355 studied demonstrate that the null case exists without an organic enhancement downstream of the  
 356 CVI, reducing concerns over instrument and sampling artifacts.

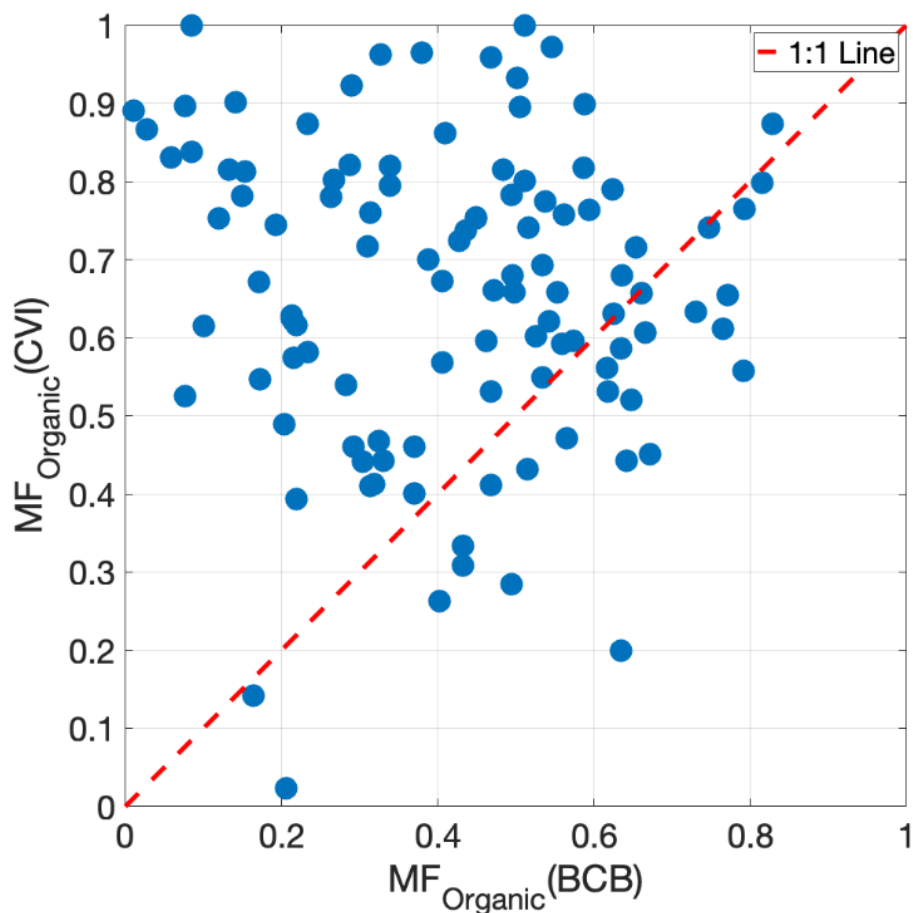
357 In terms of the contamination due to the inlet's material of construction, the CVI inlet was  
358 designed with both stainless steel and aluminum yielding negligible organic contamination  
359 (Shingler et al., 2012). A way to test this is to conduct CVI sampling in cloud-free conditions.  
360 Figure S3 shows a representative time series of AMS data during a flight (research flight 10 on 28  
361 February 2020) with numerous cloud passes and periods when there was still sampling  
362 downstream of the CVI inlet outside of cloud. During those three key periods shown out of cloud  
363 with CVI sampling, sulfate and organic levels exhibit concentrations close to zero and with  
364 concentrations considerably lower than CVI data in cloud. Compared to sulfate, there is more  
365 variability in organic levels downstream of the CVI regardless of whether sampling was in or out  
366 of cloud or even whether sampling was done using the isokinetic inlet out of cloud. The data reveal  
367 that at small time scales there is variability in the organic:sulfate ratio behind the CVI in cloud,  
368 specifically when comparing the clouds at 16:18-16:29 versus 16:39-16:43 with the former being  
369 more organic rich. This representative time series provides confidence in the inlet itself not being  
370 the source of the significant changes observed downstream the CVI throughout the first four  
371 ACTIVATE deployments. This case flight is examined more in Section 3.3.

372 The heated counterflow in the CVI reduces positive artifacts from volatile gaseous species  
373 partitioning into sampled droplets such as with volatile organic compounds (VOCs) to form  
374 organics or with nitric acid to form nitrate (Prabhakar et al., 2014); in contrast, the heated  
375 counterflow would presumably evaporate some fraction of the existing nitrate and organics in the  
376 CCN that activated into droplets unlike sulfate which is not volatile. Thus, the heated inlet would  
377 tend to favor sulfate in the cloud droplet residuals and could not explain the enhanced organic  
378 residual observations here.

379 Inlets including the CVI can be prone to droplet shatter such as with large drizzle drops (>  
380 100  $\mu\text{m}$ ) (Twohy et al., 2013), although drizzle was not always frequent and the particulate artifacts  
381 generated would still be representative of droplet residuals. It seems implausible that such drop  
382 shatter would lead to an organic enrichment especially as the chemical results we report were  
383 consistent across the entire study region. AMS results were compared to both rain water content  
384 and ice water content without evidence of a distinct relationship between precipitation levels and  
385 whether or not there was a higher organic mass fraction behind the CVI relative to out of cloud.

386 It is also noteworthy that there can be considerable variability in AMS composition along  
387 level legs (BCB, in cloud, ACT) pointing to how a signature of cloud processing out of cloud can  
388 be reduced when averaging data. Figure S3 demonstrates variability along individual legs that is  
389 not consistent with the organic:sulfate ratio always being enhanced downstream of the CVI.





390

391 **Figure 8. Scatterplot of organic mass fraction in droplet residuals (downstream CVI in**  
 392 **cloud) and in aerosol sampled during the closest below cloud base (BCB) leg from**  
 393 **ACTIVATE deployments 1-4. A total of 25 points out of a total of 110 (23%) were below**  
 394 **the 1:1 line.**

395

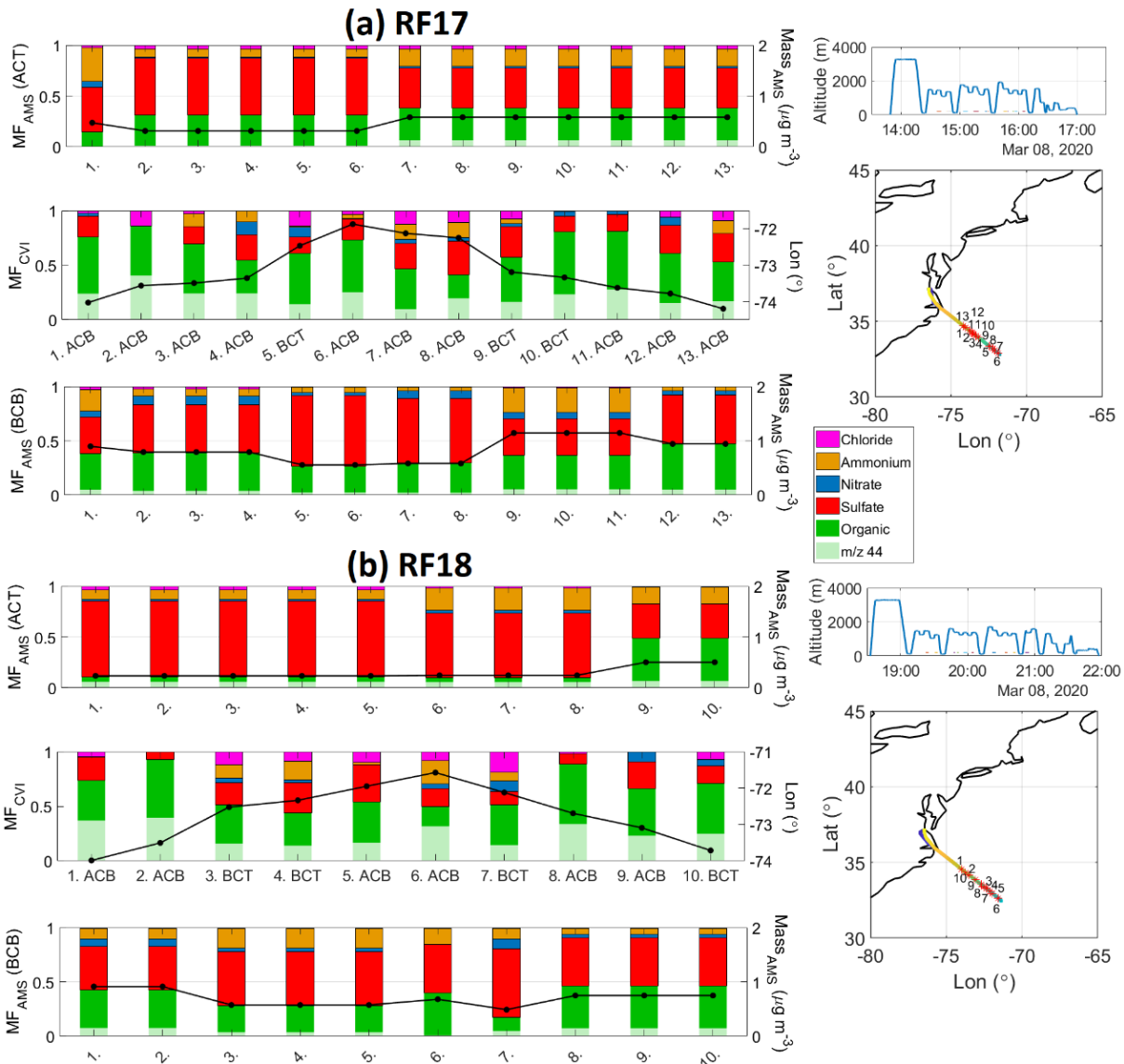
396 The previous discussion does not provide support for any form of artifact or contamination  
 397 explaining why 74% of the CVI data points exhibited higher organic mass fractions than both the  
 398 BCB or ACT legs. One could argue that the chemical signature of cloud processing should be  
 399 evident out of cloud somewhere as ultimately the droplet residual particles will evaporate outside  
 400 of cloud and return to the aerosol phase. As will be discussed in Section 4 though, there is a body  
 401 of literature pointing to droplet residuals having the strongest signature of cloud processing rather  
 402 than below or above cloud. Although difficult to prove with this dataset, a plausible explanation is  
 403 that the processed aerosol dilutes into the MBL at a time-scale that is much faster than the  
 404 production/evaporation cycle.

405

### 406 3.3 Cold Air Outbreak Case Studies

407 Owing to interest in the winter season having the strongest aerosol-cloud  
408 interactions (Dadashazar et al., 2021a; Painemal et al., 2021), here we examine six case study  
409 research flights (RFs) during CAOs to understand the compositional characteristics below, inside,  
410 and above clouds. We focus more on the representative day of 8 March 2020 (Figure 9), which  
411 included two consecutive flights (RFs 17 and 18) based out of Hampton, Virginia profiling aerosol  
412 and cloud properties in CAO conditions. These two flights were investigated in past work showing  
413 enhanced new particle formation in ACT legs (Corral et al., 2022) and that entrainment of free  
414 tropospheric air dilutes MBL CCN concentrations (Tornow et al., 2022). The other four flights  
415 (Figure 10: RFs 5-6 on 22 February 2020; Figure 11: RFs 10-11 on 28 February 2020) exhibited  
416 the same general results as those shown for 8 March with higher organic mass fractions and  $f_{44}$  in  
417 the cloud legs.

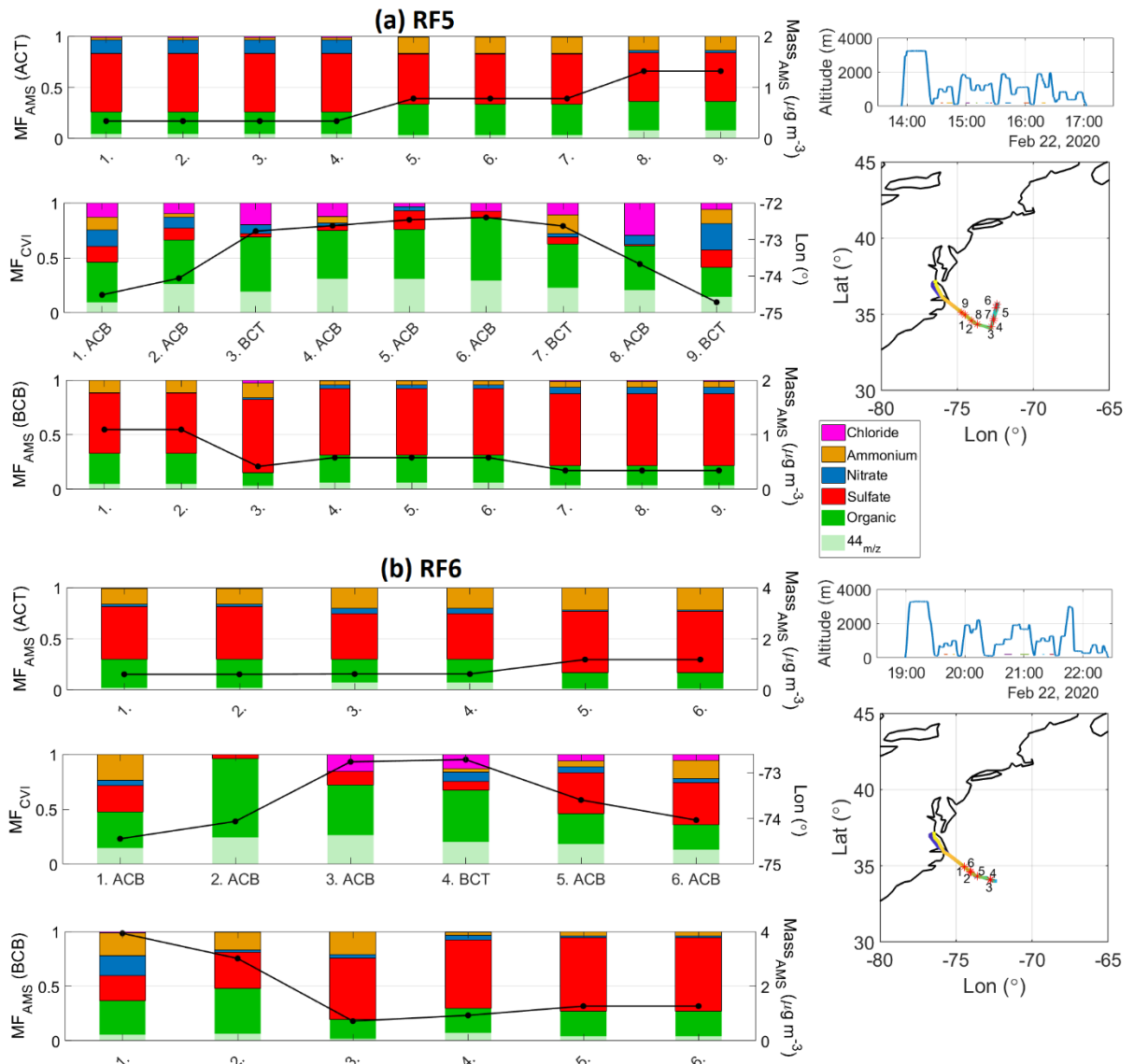
418 Figure 9 shows the AMS composition profile on the out-and-back flights on 8 March,  
419 which involved flying out to a point and repeating the same path back to the airfield. Stacked on  
420 top of each other in Figure 9 are the corresponding legs within individual cloud ensembles  
421 including (from top to bottom) ACT, either BCT or ACB legs with CVI data, and BCB. RF17 in  
422 the morning comprised 13 different cloud legs with corresponding BCB and ACT legs. The BCB  
423 and ACT mass fraction profiles were similar with sulfate being most abundant (mass fractions:  
424 0.34-0.65) followed closely by organics (mass fractions: 0.15-0.42). The  $f_{44}$  fraction of the  
425 organics in BCB and ACT legs was quite low (0.00-0.16). The cloud data show a very different  
426 profile with organics dominating the mass profile (mass fractions: 0.41-0.86) followed usually by  
427 sulfate (mass fractions: 0.00-0.30). Furthermore, there was a significant jump in  $f_{44}$  in the CVI data  
428 (0.21-0.48). RF18 later in the day re-traced the same flight path and included 10 sets of matching  
429 cloud-BCB/ACT legs showing again a similar jump in both organic mass fraction and  $f_{44}$  in droplet  
430 residuals. In the second flight there was more variability in the BCB and ACT pairs, with higher  
431 sulfate mass fractions (0.34-0.75) in the ACT legs throughout most of the flight excluding the last  
432 two sets of legs. The total AMS mass concentrations were slightly higher in the BCB legs (0.49-  
433 0.91  $\mu\text{g m}^{-3}$ ) relative to ACT legs (0.24-0.50  $\mu\text{g m}^{-3}$ ).



434

435 **Figure 9. Summary of AMS composition in adjacent BCB, cloud, and ACT legs during back-**  
 436 **to-back flights (Research Flights 17 and 18) in CAO conditions on 8 March 2020. Shown in**  
 437 **the bar charts are the mass fractions of AMS components in addition to either total AMS**  
 438 **mass (for ACT and BCB legs; such data are not robust for CVI legs due to how the CVI**  
 439 **operates) or longitude on the right y-axis. Note that some BCB and ACT legs are repeated**  
 440 **for different cloud legs as they represent the closest leg to an individual cloud leg. On the far**  
 441 **right are Falcon altitude during the flight along with the spatial map with numbers**  
 442 **corresponding to the leg set numbers in the bar charts.**

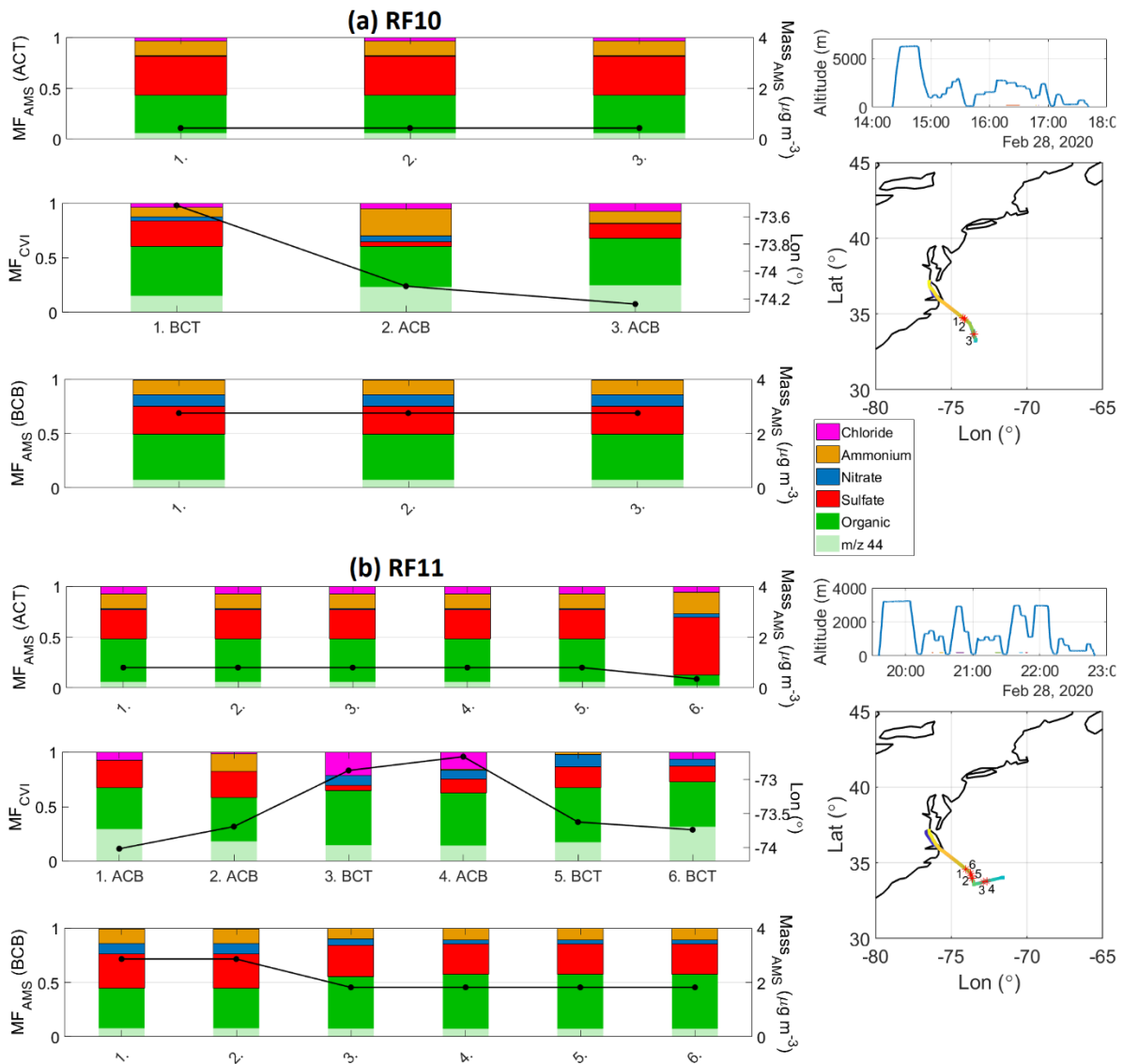
443



444

445 **Figure 10. Summary of AMS composition in adjacent BCB, cloud, and ACT legs during**  
 446 **back-to-back flights (Research Flights 5 and 6) in CAO conditions on 22 February 2020.**  
 447 **Shown in the bar charts are the mass fractions of AMS components in addition to either**  
 448 **total AMS mass (for ACT and BCB legs; such data are not robust for CVI legs due to how**  
 449 **the CVI operates) or longitude on the right y-axis. Note that some BCB and ACT legs are**  
 450 **repeated for different cloud legs as they represent the closest leg to an individual cloud leg.**  
 451 **On the far right are Falcon altitude time series along with the spatial map with numbers**  
 452 **corresponding to the leg numbers in the bar charts.**

453



454

455 **Figure 11. Summary of AMS composition in adjacent BCB, cloud, and ACT legs during**  
 456 **back-to-back flights (Research Flights 10 and 11) in cold air outbreak conditions on 28**  
 457 **February 2020. Shown in the bar charts are the mass fractions of AMS components in**  
 458 **addition to either total AMS mass (for ACT and BCB legs; such data are not robust for**  
 459 **CVI legs due to how the CVI operates) or longitude on the right y-axis. Note that some**  
 460 **BCB and ACT legs are repeated for different cloud legs as they represent the closest leg to**  
 461 **an individual cloud leg. On the far right are flight altitude time series along with the spatial**  
 462 **map with numbers corresponding to the leg numbers in the bar charts.**

463

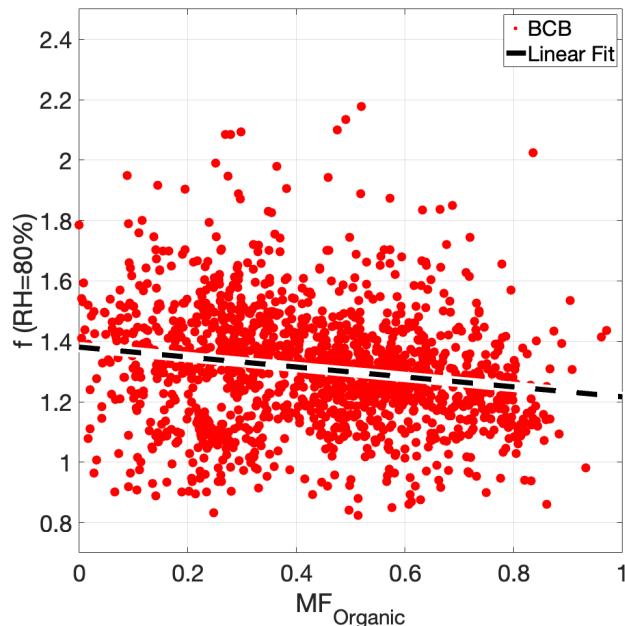
464 **4. Discussion**

465 Our results represent unique atmospheric data that are scarce in the literature owing to the  
466 difficulty of obtaining aerosol chemical data below, in, and above cloud in close spatiotemporal  
467 proximity across many flights in different times of the year. Figure 1 provides implications of the  
468 results in terms of differences with MERRA-2 speciated AOD. Although we cannot  
469 unambiguously prove it with the dataset, the results suggest that the most likely explanation for  
470 organic and  $f_{44}$  enrichment in droplet residuals has to do with aqueous processing rather than  
471 preferential activation of CCN with enhanced values of the organic:sulfate ratio and  $f_{44}$ . That the  
472 droplet residuals shift to a more organic-rich signature with more oxygenated organics has  
473 implications for the aerosol particle properties remaining after droplet evaporation as they shift in  
474 composition and possibly size. Interestingly this study shows though that such a signature out of  
475 cloud was absent for 74% of the cloud cases as organic mass fraction was higher in cloud versus  
476 either below or above cloud. These findings are significant in terms of motivating additional  
477 research, especially as other studies discussed below also have shown higher levels of organic  
478 mass fraction of ratios of oxygenated organics relative to total organic mass in CVI samples as  
479 compared to out of cloud.

480 Coggon et al. (2012) showed increased AMS organic:sulfate ratios with altitude in the  
481 MBL over the northeast Pacific Ocean coincident with increased liquid water content, which was  
482 attributed to aqueous processing effects to generate more organics relative to sulfate; this was also  
483 suggested by past work in that region with a PILS (Sorooshian et al., 2007). Coggon et al. (2012)  
484 showed that organics and sulfate were typically the most abundant AMS species both below cloud  
485 and in droplet residuals with comparable mass fractions and no consistent trend of either one  
486 dominating the droplet residual composition; however, they showed that in 70% of their cloud  
487 cases that the CVI data exhibited higher organic mass fraction relative to out of cloud. Past  
488 measurements off the California coast and over Texas revealed enhanced  $f_{44}$  values in droplet  
489 residuals relative to below and above cloud data and also relative to interstitial aerosol particles in  
490 cloud (Sorooshian et al., 2010). That study showed similarly enhanced values of other ratios in  
491 droplet residuals indicative of more oxygenated organics (e.g., PILS oxalate:AMS m/z 44, PILS  
492 oxalate:AMS organic). Over the Texas area, PILS measurements of oxalate relative to AMS sulfate  
493 and organic revealed significant enhancements (factors up to 4 and 13, respectively) downstream  
494 a CVI relative to cloud-free conditions at similar altitudes (Wonaschuetz et al., 2012); furthermore,  
495 they showed that organic mass fractions increased together with oxalate:organic and  
496 oxalate:sulfate ratios as a function of residual cloud fraction, which was a metric representing  
497 “cloud processing history” of an air parcel in shallow cumulus cloud fields. CVI-AMS data from  
498 a surface site studying warm tropospheric clouds on Mt. Åreskutan in central Sweden in July 2003  
499 showed that organics and nitrate activated with higher ease than sulfate (Drewnick et al., 2007);  
500 even though our results suggest the droplet residual changes in composition are likely driven by  
501 aqueous processing, it is relevant that organics have been shown in at least one other region to  
502 activate more easily than sulfate.

503 While a measurement of hygroscopicity of the droplet residuals was not available, we  
504 instead examine aerosol hygroscopicity from BCB legs as that is the area out of cloud most  
505 commonly exhibiting higher organic mass fractions relative to in cloud. Even if the signature out  
506 of cloud is not as clear as one would expect presumably owing to dilution effects, still the  
507 influence of cloud processing on organics inevitably should exist to some extent making the  
508 subsequent discussion valuable. Having more organics relative to sulfate may reduce  
509 hygroscopicity at high RHs (e.g., Hersey et al., 2009), but a compensating factor could be that

510 the organics are more oxygenated, which would increase the hygroscopicity of the organic  
511 fraction itself.



512  
513 **Figure 12. Relationship between  $f(\text{RH})$  and organic mass fraction for BCB legs during**  
514 **ACTIVATE deployments 1-4. Markers are based on  $f(\text{RH})$  data synched to the time**  
515 **resolution of the AMS data. The  $f(\text{RH})$  values from the linear fit at a  $\text{MF}_{\text{organic}}$  value of 0.0**  
516 **1.0 are 1.39 and 1.22, respectively.**

517 Figure 12 shows an inverse relationship between  $f(\text{RH})$  and organic mass fraction across  
518 all the BCB legs in ACTIVATE deployments 1-4, which is similar to what has been observed over  
519 the continental U.S. (Shingler et al., 2016); using the linear best fit line shows that the  
520 representative  $f(\text{RH})$  value for pure organic aerosol (i.e., organic mass fraction of 1.0) was 1.22 in  
521 contrast with 0.92 over the continental United States (Shingler et al., 2016). The  $f(\text{RH})$  value for  
522 pure inorganic aerosol (i.e., organic mass fraction of 0.0) was 1.39. Results of Figure 12 along  
523 with previous discussion suggests that aerosol interaction with clouds decreases particle  
524 hygroscopicity at an RH of 80% although future work will look deeper into aerosol hygroscopic  
525 properties over the ACTIVATE region. This is especially relevant as regulatory activities have  
526 reduced sulfate levels over the eastern U.S. in recent decades promoting higher relative amounts  
527 of organics (Bates et al., 2005; Hand et al., 2012) with downwind impacts on the northwest Atlantic  
528 due to offshore flow (Keene et al., 2014; Aldhaif et al., 2021; Dadashazar et al., 2021b).

529

## 530 5. Conclusion

531 A large airborne dataset collected over the northwest Atlantic as part of the NASA  
532 ACTIVATE mission reveals a distinctly different chemical signature in cloud droplet residuals  
533 (lower sulfate mass fraction, higher organic mass fraction, and higher  $f_{44}$ ) relative to particles  
534 below and above cloud for approximately 75% of the cloud cases examined. Several case study  
535 flights during cold air outbreak conditions are profiled showing the aforementioned compositional

536 changes in droplet residuals. Detailed analysis suggests this shift in composition is driven more by  
537 in-cloud aqueous processing rather than preferential activation of CCN with such chemical  
538 characteristics. Of the 29 cases (out of 110) with higher organic mass fraction either above or  
539 below clouds versus droplet residuals, 25 (4) exhibited higher organic mass fraction below (above)  
540 cloud suggestive of the cloud processing signature being more prevalent below cloud. These  
541 results are analogous to past work in other regions using different instrumentation showing  
542 maximum values of various metrics relevant to organics (e.g.,  $f_{44}$ , organic mass fraction)  
543 downstream of a CVI in cloud relative to either below or above cloud (Sorooshian et al., 2010;  
544 Coggon et al., 2012; Wonaschuetz et al., 2012). More work is needed to continue validating  
545 whether aqueous processing is the primary reason for the composition changes and to determine  
546 if these results apply to other regions.

547         The results of this study motivate increased attention to both in-cloud formation of  
548 oxygenated organics and the composition of particles activating into droplets over the northwest  
549 Atlantic. This work has implications for aerosol-cloud interactions in this region as datasets often  
550 relied on in the absence of airborne data such as reanalysis data suggest a different story where  
551 sulfate is more enhanced than organics year-round (in contrast to the airborne data) (e.g., Braun et  
552 al., 2021). The high relative abundance of organics needs more attention, especially in light of the  
553 increasing relative amount of species in aerosol particles other than sulfate due to regulatory  
554 activities over the U.S. (Hand et al., 2012).



555 *Data Availability.*

556 ACTIVATE Airborne Data:  
557 [https://doi.org/10.5067/ASDC/ACTIVATE\\_Aerosol\\_AircraftInSitu\\_Falcon\\_Data\\_1](https://doi.org/10.5067/ASDC/ACTIVATE_Aerosol_AircraftInSitu_Falcon_Data_1)  
558 (NASA/LARC/SD/ASDC, 2020a),  
559 [https://doi.org/10.5067/ASDC/ACTIVATE\\_Cloud\\_AircraftInSitu\\_Falcon\\_Data\\_1](https://doi.org/10.5067/ASDC/ACTIVATE_Cloud_AircraftInSitu_Falcon_Data_1)  
560 (NASA/LARC/SD/ASDC, 2020b), and  
561 [https://doi.org/10.5067/ASDC/ACTIVATE\\_MetNav\\_AircraftInSitu\\_Falcon\\_Data\\_1](https://doi.org/10.5067/ASDC/ACTIVATE_MetNav_AircraftInSitu_Falcon_Data_1)  
562 (NASA/LARC/SD/ASDC, 2020c).

563 *Author contributions.* HD conducted the analysis. AS and HD prepared the manuscript. All authors  
564 contributed by providing input and/or participating in airborne data collection.

565 *Competing interests.* The authors declare that they have no conflict of interest.

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574

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