



1 **Oligomer formation from the gas-phase reactions of Criegee**
2 **intermediates with hydroperoxide esters: mechanism and kinetics**

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22 Abstract

23 Hydroperoxide esters, formed in the reactions of carbonyl oxides (also called
24 Criegee intermediates, CIs) with formic acid, play a crucial role in the formation of
25 secondary organic aerosol (SOA) in the atmosphere. However, the transformation
26 mechanism of hydroperoxide esters in the presence of stabilized Criegee
27 intermediates (SCIs) is not well understood. Herein, the oligomerization reaction
28 mechanisms and kinetics of distinct SCIs (CH_2OO , *syn*- CH_3CHOO , *anti*- CH_3CHOO
29 and $(\text{CH}_3)_2\text{COO}$) reactions with their respective hydroperoxide esters as well as with
30 hydroperoxymethyl formate (HPMF) are investigated in the gas phase using quantum
31 chemical and kinetics modeling methods. The calculations show that the addition
32 reactions of SCIs with hydroperoxide esters proceed through successive insertion of
33 SCIs into hydroperoxide ester to form oligomers that involve SCIs as the repeating
34 unit. The exothermicity of oligomerization reactions significantly decreases when the
35 number of methyl substituents increases, and the exothermicity of *anti*-methyl
36 substituted carbonyl oxides is obviously higher than that of *syn*-methyl substituted
37 carbonyl oxides. The $-\text{OOH}$ insertion reaction is energetically more feasible than the
38 $-\text{CH}$ insertion pathway in the SCIs oligomerization reactions, and the barrier heights
39 increase with increasing the number of SCIs except *syn*- CH_3CHOO . For the reactions
40 of distinct SCIs with HPMF, the barrier of $-\text{OOH}$ insertion pathway shows a dramatic
41 decrease when a methyl substituent occurs at the *anti*-position, while it reveals a
42 significant increase when a methyl group is introduced at the *syn*-position and
43 dimethyl substitutions. Compared with the rate coefficients of the $\text{CH}_2\text{OO} + \text{HPMF}$
44 reaction, the rate coefficients increase by about one order of magnitude when a methyl
45 substituent occurs at the *anti*-position, whereas the rate coefficients decrease by 1-2
46 orders of magnitude when a methyl group is introduced at the *syn*-position. These new
47 findings advance our current understanding on the influence of Criegee-chemistry on
48 the formation processes and chemical compositions of SOA.
49



50 **1. Introduction**

51 Alkenes are an important class of volatile organic compounds (VOCs) that are
52 emitted into the atmosphere from large quantities of biogenic and anthropogenic
53 sources (Lester and Klippenstein, 2018). The reaction with ozone is one of the
54 dominant degradation pathways for alkenes in the atmosphere (Johnson and Marston,
55 2008; Atkinson and Arey, 2003). Ozonolysis of alkene proceeds through the
56 electrophilic 1,3-cycloaddition of ozone to C=C double bond of alkenes to form a
57 primary ozonides (POZ), and then it rapidly decomposes into a carbonyl compound
58 and a carbonyl oxide (also called Criegee intermediates, CIs) (Criegee, 1975; Osborn
59 and Taatjes, 2015; Giorio et al., 2017). A part of the initially energized CIs (~ 37-50%)
60 may promptly dissociate to OH radicals, which are thought to be an important
61 nonphotolytic source of OH radicals in the atmosphere (Novelli et al., 2014; Liu et al.,
62 2014). The remaining CIs (~ 63-50%) are collisionally stabilized prior to the thermal
63 unimolecular decay (Lester and Klippenstein, 2018; Novelli et al., 2014; Anglada and
64 Solé 2016). The stabilized Criegee intermediates (SCIs) can proceed bimolecular
65 reactions with various trace species such as H₂O, NO₂, SO₂, and HCOOH to generate
66 secondary organic aerosol (SOA), thus profoundly influencing air quality, global
67 climate and human health (Osborn and Taatjes, 2015; Khan et al., 2018; Lin and Chao,
68 2017; Liu et al., 2019; Chhantyal-Pun et al., 2018; Gong and Chen, 2021; Taatjes,
69 2017).

70 Formic acid (HCOOH), one of the most abundant carboxylic acids, has a
71 significant influence on rainwater acidity in remote areas, where pH reduces by
72 0.25-0.5 in the presence of HCOOH (Stavrakou et al., 2012; Wang et al., 2020;
73 Chaliyakunnel et al., 2016). It also plays an important role in the formation of cloud
74 condensation nuclei (CCN), indirectly influencing radiative forcing and climate
75 change (Yu, 2000). The primary sources of HCOOH include biomass burning, human
76 activities, tropical and boreal forests, as well as the secondary sources involve the
77 photochemical oxidation of non-methane hydrocarbons, such as ketene-enols, vinyl
78 alcohol, isoprene, and terpenoids (Stavrakou et al., 2012; Wang et al., 2020;



79 Chaliyakunnel et al., 2016; So et al., 2014; Paulot et al., 2011). According to satellite
80 measurements, the production of HCOOH is up to 100-120 Tg yr⁻¹, and the value is
81 expected to increase due to the acceleration of industrialization and urbanization
82 (Stavrakou et al., 2012). Recent kinetics measurements have revealed that the reaction
83 with HCOOH is a more important loss process for SCI than is presently assumed,
84 especially in terrestrial equatorial areas and in high SCI concentration areas (Welz et
85 al., 2014; Chung et al., 2019). The formed hydroperoxide esters have been identified
86 as the low-volatility and high-oxygenated compounds, contributing to the formation
87 and growth of SOA (Welz et al., 2014; Vansco et al., 2021; Sakamoto et al., 2017;
88 Riva et al., 2017).

89 Welz et al. (2014) directly determined the rate coefficients for the reactions of
90 CH₂OO and CH₃CHOO with formic and acetic acid by employing multiplexed
91 photoionization mass spectrometry and cavity-enhanced broadband ultraviolet
92 absorption spectroscopy. They found that the measured rate coefficients are in the
93 excess of $1.0 \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, which are several orders of magnitude greater
94 than those derived from previous experimental studies (Johnson et al., 2001; Tobias et
95 al., 2001). Sipilä et al. conducted a competitive reaction kinetics experiment to
96 investigate the reactions of acetone oxide ((CH₃)₂OO) with SO₂, HCOOH and
97 CH₃COOH, and they concluded that the (CH₃)₂OO + HCOOH/CH₃OOH reactions are
98 faster than the (CH₃)₂OO + SO₂ system by about three times (Sipilä et al., 2014).
99 These high rate coefficients could make the reaction with carboxylic acids a
100 substantial dominant chemical sink for carbonyl oxides in the atmosphere (Welz et al.,
101 2014; Taatjes et al., 2019; Chhantyal-Pun et al., 2017). Quantum chemical
102 calculations show that the reaction of CH₂OO with HCOOH proceeds through a facile
103 transfer of hydrogen atom from the acidic OH group to the terminal oxygen of
104 CH₂OO to form hydroperoxymethyl formate (HPMF) (Long et al., 2009; Vereecken,
105 2017; Porterfield et al., 2019). Chen et al. (2018) concluded the same by investigating
106 the reactions of various carbonyl oxides with HCOOH that the barrierless
107 1,4-insertion reaction is the most favorable pathway, and the primary products are
108 hydroperoxide esters. Caravan et al. (2020) employed high-level ab initio



109 CCSD(T)-F12 methods to study the reaction of methyl vinyl ketone oxide
110 (MVK-oxide) with HCOOH, and they found that the barrierless net insertion of
111 MVK-oxide into HCOOH leading to the formation of a functionalized hydroperoxide
112 is dominant over fragmentation to produce an alkoxy radical and OH radicals.
113 Moreover, oligomerization reactions with hydroperoxides and peroxy radicals are
114 identified as one of the dominant loss processes for carbonyl oxides under
115 atmospheric conditions (Sakamoto et al., 2013; Sadezky et al., 2008; Zhao et al., 2015;
116 Chen et al., 2017 and 2019; Rouso et al., 2018). All the above milestone
117 investigations provide important information for understanding the chemistry of
118 Criegee intermediate in the presence of carboxylic acids. However, to the best of our
119 knowledge, there are few studies on the oligomerization reactions of SCIs with
120 hydroperoxide esters, which are important with regard to organic new particle and
121 cloud condensation nuclei formations. Moreover, the relationship between the
122 reactivity of SCIs and the nature of substituents remains uncertain in the SCIs
123 oligomerization reactions.

124 In the present study, we mainly focus on the oligomerization reaction
125 mechanisms and kinetics of four carbonyl oxides reactions with their hydroperoxide
126 esters as well as with HPMF by employing quantum chemical calculations and
127 kinetics modeling methods. For the initiation reactions of carbonyl oxides with formic
128 acid, four kinds of pathways including 1,4 O-H insertion, 1,2 O-H insertion, C-H
129 insertion, and C=O cycloaddition are considered. For the oligomerization reactions of
130 the successive insertion of carbonyl oxides into hydroperoxide esters, two types of
131 reactions involving –OOH and –CH insertions are taken into account. The selected
132 carbonyl oxides, including CH₂OO, *syn*-, *anti*-CH₃CHOO and (CH₃)₂CHOO, are
133 anticipated upon the ozonolysis of ethylene, propylene, and 2,3-dimethyl-2-butene,
134 whereas the hydroperoxide esters are assumed to arise from the bimolecular reactions
135 of carbonyl oxides with formic acid in the atmosphere.

136 2. Computational details

137 2.1 Electronic structure and energy calculations



138 All electronic structure calculations are carried out by using Gaussian 09
139 program (Frisch et al., 2009). The geometries of all stationary points, including
140 reactants (R), intermediates (IM), transition states (TS), and products (P), are
141 optimized at the M06-2X/6-311+G(2df,2p) level of theory, since the M06-2X
142 functional has the reliable performance for predicting thermochemistry, kinetics and
143 hydrogen bonding interactions (Zhao and Truhlar, 2008). Harmonic vibrational
144 frequencies are performed at the same level to verify the nature of transition state
145 (NIMAG = 1) and saddle point (NIMAG = 0), and to provide zero-point vibrational
146 energy (ZPVE) and Gibbs free energies corrections (G_{corr}), which are scaled by a
147 factor of 0.98 (Alecú et al., 2010). Intrinsic reaction coordinate (IRC) calculations are
148 carried out to verify that each transition state is connected to the desired reactant and
149 product (Fukui, 1981). The single point energy (SPE) calculations are performed at
150 the M06-2X/ma-TZVP level of theory based on the M06-2X/6-311+G(2df,2p)
151 optimized geometries. Moreover, the basis set superposition error (BSSE) is
152 performed by using the counterpoise method proposed by Boys and Bernardi (1970)
153 to evaluate the stability of pre-reactive complexes (RC). Herein, the Gibbs free energy
154 (G) is defined as the sum of SPE and Gibbs correction ($G = E + G_{\text{corr}}$). Electronic
155 energy (ΔE^\ddagger) and Gibbs free energy (ΔG^\ddagger) barriers are defined as the difference in
156 energy between a TS and a RC ($\Delta E^\ddagger = E_{\text{TS}} - E_{\text{RC}}$ and $\Delta G^\ddagger = G_{\text{TS}} - G_{\text{RC}}$). Reaction
157 Gibbs free energy (ΔG) is defined as the difference in energy between a P and a R
158 ($\Delta G = G_{\text{P}} - G_{\text{R}}$).

159 To further assess the reliability of the selected M06-2X/ma-TZVP method for
160 SPE calculations, the single point energies of all stationary points involved in the
161 initiation reactions of distinct SCIs with HCOOH are recalculated at the
162 high-precision CCSD(T)/6-311+G(2df,2p) and QCISD(T)/6-311+G(2df,2p) levels of
163 theory. The calculated results are summarized in Table S1. This table shows that the
164 ΔE^\ddagger and ΔG^\ddagger obtained using the QCISD(T) method are in excellent agreement with
165 those obtained using the CCSD(T) approach. It is therefore that the energies obtained
166 using the CCSD(T) method are used as the benchmark for comparison. The mean



167 absolute deviations (MAD) of ΔE^\ddagger and ΔG^\ddagger between the CCSD(T) and M06-2X
168 methods are 0.43 and 0.41 kcal mol⁻¹, respectively; the largest deviations of ΔE^\ddagger and
169 ΔG^\ddagger are 1.0 and 1.1 kcal mol⁻¹, respectively. These results reveals that the energies
170 obtained using the M06-2X method are close to those obtained using the CCSD(T)
171 approach. Therefore, the M06-2X/ma-TZVP method is suitable to investigate the
172 SCIs oligomerization reactions. In the following discussion, the energies are applied
173 in terms of Gibbs free energy to describe the reaction mechanism unless otherwise
174 stated.

175 2.2 Kinetics calculations

176 The rate coefficients for the barrierless reactions are determined by employing
177 the variational transition state theory (VTST) (Georgievskii and Klippenstein, 2005),
178 and the rate coefficients for tight transition states are calculated by using the canonical
179 transition state theory (CTST) along with one-dimensional asymmetric Eckart
180 tunneling correction (Truhlar, et al., 1996; Eckart, 1930). As shown in Fig. 1, the
181 entrance pathway Entry2 of R₁R₂COO reactions with HCOOH consists of two steps:
182 (i) an intermediate IMent2 is formed via a barrierless process; (ii) then, it decomposes
183 to the product Pent2 through a tight transition state TSent2. The whole reaction
184 process can be described as Eq. (1):



186 Assuming the rapid equilibrium is established between IMent2 and reactants.
187 According to the steady-state approximation (SSA), the total rate coefficient is
188 approximately expressed as Eq. (2) (Zhang et al., 2012):

$$189 \quad k_{\text{tot}} = \frac{k_1}{k_{-1} + k_2} k_2 \approx \frac{k_1}{k_{-1}} k_2 = K_{\text{eq}} k_2 \quad (2)$$

190 The equilibrium constant K_{eq} is written as Eq. (3):

$$191 \quad K_{\text{eq}} = \sigma \frac{Q_{\text{IM}}(T)}{Q_{\text{R}_1}(T)Q_{\text{R}_2}(T)} \exp\left(\frac{G_{\text{R}} - G_{\text{IM}}}{RT}\right) \quad (3)$$

192 where σ refers to reaction symmetry number, $Q_{\text{IM}}(T)$, $Q_{\text{R}_1}(T)$ and $Q_{\text{R}_2}(T)$ denote



193 the partition functions of intermediate, reactants R1 and R2, which are equal to the
194 multiplication of translational, rotational, vibrational and electronic partition functions
195 ($Q = Q_{\text{rot}}Q_{\text{vib}}Q_{\text{trans}}Q_{\text{elec}}$) (Mendes et al., 2014), T is the temperature in Kelvin, R is the
196 ideal gas constant, G_{R} and G_{IM} are the total Gibbs free energies of reactant and
197 intermediate, respectively. The kinetic calculations are performed by utilizing the
198 KiSThelP 2019 program (Canneaux et al., 2013).

199 **3. Results and discussion**

200 **3.1 Initiation reactions of distinct SCIs with HCOOH**

201 The reaction with HCOOH is one of the dominant loss processes for SCIs and is
202 expected to trigger the formation of SOA in the atmosphere (Chhantyal-Pun et al.,
203 2018; Cabezas and Endo, 2020; Zhao et al., 2018; Zhou et al., 2019). The potential
204 energy surface (PES) of distinct SCIs (CH_2OO , *syn*-, *anti*- CH_3CHOO and $(\text{CH}_3)_2\text{COO}$)
205 reactions with HCOOH is drawn in Fig. 1. The geometries of all stationary points are
206 displayed in Fig. S1. The relative free energy of each stationary point and free energy
207 barrier (ΔG^\ddagger) of each elementary reaction are summarized in Table 1. As shown in Fig.
208 1, the bimolecular reaction of distinct SCIs with HCOOH proceeds via four possible
209 pathways, namely (1) 1,4 O-H insertion (Entry 1), (2) 1,2 O-H insertion (Entry 2), (3)
210 C-H insertion (Entry 3), and (4) C=O cycloaddition (Entry 4). For Entry 1, the
211 addition reactions proceed through the barrierless 1,4 O-H insertion of carbonyl
212 oxides into HCOOH to form hydroperoxide esters Pent1 with exoergicities of > 25
213 kcal mol^{-1} . Despite an attempt by various methods, the corresponding transition state
214 is still not located in the effort of optimization. This conclusion is further supported
215 by the analogous reaction systems that 1,4 O-H insertion reaction is a barrierless
216 process (Long et al., 2009; Vereecken, 2017; Cabezas and Endo, 2019; Lin et al.,
217 2019). The detailed mechanism includes that the O=C-OH moiety of HCOOH
218 connects to the center carbon of SCIs, while the remaining hydrogen atom transfers to
219 the terminal oxygen of SCIs resulting in the formation of Pent1. The exothermicity of
220 different pathways decreases in the order of $37.6 (\text{CH}_2\text{OO}) > 34.0 (\textit{anti}\text{-CH}_3\text{CHOO}) >$
221 $29.8 (\textit{syn}\text{-CH}_3\text{CHOO}) > 25.6 ((\text{CH}_3)_2\text{COO}) \text{ kcal mol}^{-1}$, indicating that the



222 exothermicity is highly dependent on the number and location of methyl groups. For
223 example, the exothermicity of the parent $\text{CH}_2\text{OO} + \text{HCOOH}$ reaction is the largest,
224 whereas it becomes the smallest when two methyl groups are introduced at the R_1 and
225 R_2 positions of CH_2OO . The exothermicity of *anti*- $\text{CH}_3\text{CHOO} + \text{HCOOH}$ reaction is
226 about $4.0 \text{ kcal mol}^{-1}$ higher than that of *syn*- $\text{CH}_3\text{CHOO} + \text{HCOOH}$ system.

227 For Entry 2, each addition reaction starts with the formation of a pre-reactive
228 hydrogen bonded complex IMent2 in the entrance channel. Then it immediately
229 converts into product Pent2 through the respective transition state. The reaction
230 mechanism involves that the HCOO^- fragment of HCOOH binds to the center carbon
231 of SCIs, whereas the remnant $-\text{H}$ fragment adds to the SCIs terminal oxygen resulting
232 in the formation of Pent2. The reaction barrier ΔG^\ddagger increases in the order of 10.0
233 $(\text{CH}_2\text{OO}) < 13.0$ (*anti*- CH_3CHOO) < 14.6 (*syn*- CH_3CHOO) ≈ 14.4 ($(\text{CH}_3)_2\text{COO}$)
234 kcal mol^{-1} , suggesting that the parent $\text{CH}_2\text{OO} + \text{HCOOH}$ reaction is favored
235 kinetically. Compared with the barrier of parent system, the barrier increases by 3.0
236 kcal mol^{-1} when methyl substitution occurs at the R_1 position, and the barrier
237 increases by $\sim 5 \text{ kcal mol}^{-1}$ when the methyl groups are introduced at the R_2 position
238 and R_1 and R_2 positions. The aforementioned result implies that the
239 methyl-substituted CH_2OO hinders the 1,2 O-H insertion of carbonyl oxides into
240 formic acid. Notably, the exothermicity decreases significantly as the number of
241 methyl group is increased. The products Pent1 and Pent2 formed from Entry 1 and 2
242 are two conformations that differ in the orientation of the $-\text{C}(\text{O})\text{H}$ moiety over the $-$
243 OOH group. The calculated result shows that Pent1 is more stable than Pent2 in
244 energy due to the existence of intramolecular hydrogen bond between hydrogen atom
245 of $-\text{OOH}$ group and carbonyl oxygen atom.

246 For Entry 3, the addition reaction begins with the formation of a pre-reactive
247 complex IMent3 in the entrance channel, and then it surmounts a barrier to reaction.
248 However, the barriers of C-H insertion reactions are high ($21.8\text{-}27.6 \text{ kcal mol}^{-1}$), such
249 that they are of less importance in the atmosphere. The high reaction barriers might be
250 attributed to the large bond dissociation energy (BDE) of C-H bond in the formic acid.
251 For Entry 4, the addition reaction proceeds through the cycloaddition of SCIs to the



252 C=O bond of HCOOH to produce a five-membered ring compound Pent4. The barrier
253 of C=O cycloaddition reaction in the CH₂OO + HCOOH reaction is 5.8 kcal mol⁻¹,
254 which is lower than that of the corresponding channels in Entry 2 and Entry 3 by 4.2
255 and 16.0 kcal mol⁻¹, respectively. The result reveals that the C=O cycloaddition
256 reaction is feasible kinetically. A similar conclusion is also obtained from the
257 reactions of HCOOH with *syn-/anti*-CH₃CHOO and (CH₃)₂COO that the C=O
258 cycloaddition reactions are favored over 1,2 O-H and C-H insertion reactions.

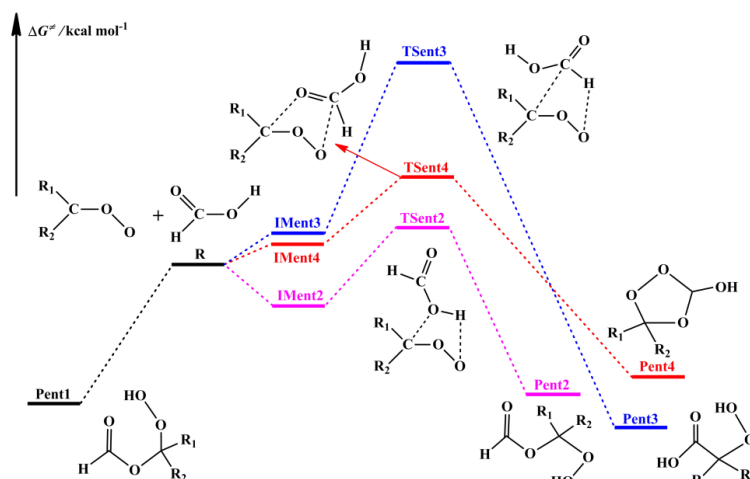
259 The rate coefficients of each elementary pathway are calculated in the
260 temperature range of 273-400 K as listed in Table S2-S5. As shown in Table S2, the
261 total rate coefficients k_{tot} of CH₂OO reaction with HCOOH are as high as $\sim 10^{-10}$ cm³
262 molecule⁻¹ s⁻¹ and exhibit a slightly negative temperature dependence in the
263 temperature range studied. At room temperature, k_{tot} is estimated to be 3.6×10^{-10} cm³
264 molecule⁻¹ s⁻¹, which is greater by a factor of ~ 3 than that reported by Welz et al.
265 (2014) ($[1.1 \pm 0.1] \times 10^{-10}$ cm³ molecule⁻¹ s⁻¹), Chung et al. (2019) ($[1.4 \pm 0.3] \times 10^{-10}$
266 cm³ molecule⁻¹ s⁻¹), and Peltola et al. (2020) ($[1.0 \pm 0.03] \times 10^{-10}$ cm³ molecule⁻¹ s⁻¹).
267 $k(\text{TSent1})$ is approximately equal to k_{tot} in the whole temperature range, and it
268 decreases in the range of 4.3×10^{-10} (273 K) to 2.1×10^{-10} (400 K) cm³ molecule⁻¹ s⁻¹
269 with increasing temperature. $k(\text{TSent1})$ is several orders of magnitude greater than
270 $k(\text{TSent2})$, $k(\text{TSent3})$ and $k(\text{TSent4})$ over the temperature range from 273 to 400 K.
271 The result again shows that the barrierless 1,4 O-H insertion reaction is predominant.
272 It should be noted that although the barrier of Entry 2 is 4.2 kcal mol⁻¹ higher than
273 that of Entry 4, the rate coefficient $k(\text{TSent2})$ is merely about 1-2 fold smaller than
274 $k(\text{TSent4})$. The reason is ascribed to the fact that the C=O cycloaddition reaction is
275 entropically unfavorable (Vereecken, 2017).

276 Entry 2 is competitive with Entry 4 in the *anti*-CH₃CHOO + HCOOH reaction
277 (Table S3), while the competition of Entry 2 is significantly greater than that of Entry
278 4 in the *syn*-CH₃CHOO + HCOOH and (CH₃)₂COO + HCOOH systems (Table
279 S4-S5). This result shows that the relative importance of different pathways is highly
280 dependent on the number and location of methyl substituents in the carbonyl oxides.
281 Notably, the rate coefficient of each elementary pathway included in the



282 *anti*-CH₃CHOO + HCOOH reaction is several orders of magnitude greater than that
283 of the corresponding channel involved in the other SCIs + HCOOH systems. It is
284 because that *anti*-CH₃CHOO is substantially more reactive toward HCOOH than
285 other SCIs. Similar phenomenon has also observed from the reactivity of
286 *anti*-CH₃CHOO toward water and SO₂ (Taatjes, et al., 2013; Long et al., 2016; Huang
287 et al., 2015; Cabezas and Endo, 2018). At ambient temperature, the total rate
288 coefficients of HCOOH reactions with *syn*-CH₃CHOO, *anti*-CH₃CHOO and
289 (CH₃)₂COO are estimated to be 9.8, 7.2 and 5.4 × 10⁻¹⁰ cm³ molecule⁻¹ s⁻¹,
290 respectively, which are in good agreement with the prior experimental measurements
291 5 ± 3, 2.5 ± 0.3 and 4.5 × 10⁻¹⁰ cm³ molecule⁻¹ s⁻¹ (Welz et al., 2014; Chung et al.,
292 2019; Sipilä et al., 2014).

293 Based on the aforementioned discussions, it can be concluded that the barrierless
294 1,4 O-H insertion reaction is the dominant pathway in the initiation reactions of
295 distinct SCIs with HCOOH. This conclusion is consistent with the recent
296 experimental results derived from the reactions of formic acid with methacrolein
297 oxide (MACR-oxide) and methyl vinyl ketone oxide (MVK-oxide) that the
298 1,4-addition mechanism is energetically favorable (Vansco et al., 2021; Caravan et al.,
299 2020). Therefore, in the present study, the products Pent1 formed from the barrierless
300 1,4 O-H insertion of carbonyl oxides into HCOOH are selected as the model
301 compounds to investigate the oligomerization reaction mechanisms of carbonyl
302 oxides reactions with hydroperoxide esters.



303

304 **Figure 1.** Schematic PES for the possible entrance pathways of the initiation reactions of SCIs
 305 with HCOOH (black, pink, blue, and red lines represent 1,4 O-H insertion, 1,2 O-H insertion, C-H
 306 insertion, and C=O cycloaddition reactions, respectively)

307 **Table 1** Relative free energies of stationary points and free-energy barriers (ΔG^\ddagger) at 298 K in kcal
 308 mol⁻¹ for the various SCIs ($R_1R_2\text{COO}$, $R_1, R_2=\text{H}, \text{CH}_3$) reactions with HCOOH calculated at the
 309 M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

Entry	R1	R2	IMent	TSent	Pent	ΔG^\ddagger
1	H	H	–	–	-37.6	–
	CH ₃	H	–	–	-34.0	–
	H	CH ₃	–	–	-29.8	–
	CH ₃	CH ₃	–	–	-25.6	–
2	H	H	-3.1	6.9	-37.3	10.0
	CH ₃	H	-11.0	2.0	-33.7	13.0
	H	CH ₃	-6.6	8.0	-29.1	14.6
	CH ₃	CH ₃	-8.8	5.6	-24.9	14.4
3	H	H	3.4	25.2	-46.9	21.8
	CH ₃	H	1.8	24.0	-41.5	22.2
	H	CH ₃	3.0	30.6	-37.6	27.6
	CH ₃	CH ₃	1.9	29.5	-33.0	27.6
4	H	H	3.4	9.2	-31.7	5.8
	CH ₃	H	2.2	7.8	-29.4	5.6
	H	CH ₃	3.5	14.6	-25.3	11.1
	CH ₃	CH ₃	3.0	13.2	-22.9	10.2

310 **3.2 The reactions of distinct SCIs with their respective hydroperoxide**

311 **esters**



312 The formed hydroperoxide ester has two possible unimolecular decomposition
313 pathways. The first is the direct O-O bond rupture resulting in the formation of
314 oxylmethylformate and OH radicals (Vereecken, 2017). The second is the –OH
315 fragment binding to adjacent hydrogen atom leading to the formation of anhydride
316 and H₂O (Aplincourt and Ruiz-López, 2000; Neeb et al., 1998). However, the barriers
317 of these two unimolecular reactions are extremely high, such that they are of less
318 importance in the atmosphere. The formed hydroperoxide ester possess –OOH and –
319 OC(O)H groups, both of them can serve as the reactive moieties to react with
320 carbonyl oxides giving rise to the formation of oligomers. In the present study, we
321 mainly consider two types of pathways: (a) –OOH insertion and (b) –CH insertion,
322 while the C=O cycloaddition reaction is not taken into account because it is
323 entropically unfavorable (Vereecken, 2017; Lin et al., 2019). The aforementioned
324 reactions are discussed in detail in the following subsections.

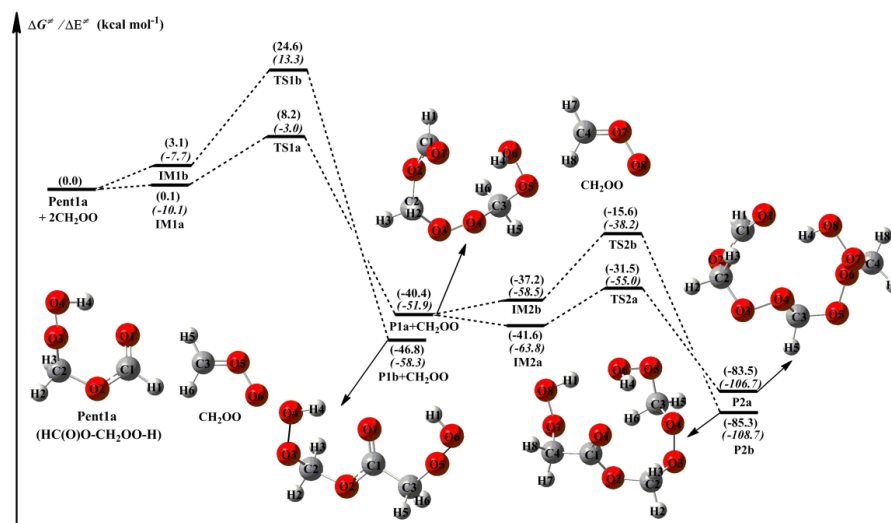
325 **3.2.1 The reactions of 2CH₂OO with Pent1a**

326 The simplest carbonyl oxide, CH₂OO, originates from the reaction of all terminal
327 alkenes with ozone (ozonolysis) in the atmosphere (Lin and Chao, 2017). The reaction
328 with HCOOH is expected to be one of the dominant loss processes for CH₂OO, and
329 the main product is HPMF (Welz et al., 2014; Cabezas and Endo, 2019). The
330 schematic PES for the addition reaction 2CH₂OO + Pent1a (HPMF) is drawn in Fig. 2,
331 and the optimized geometries of all stationary points are displayed in Fig. S2. As seen
332 from Fig. 2, the successive insertion of CH₂OO into Pent1a eventually leads to the
333 formation of oligomers P2a and P2b composed of CH₂OO as the repeat unit. These
334 oligomerization reactions are strongly exothermic and spontaneous (> 83 kcal mol⁻¹),
335 implying that they are feasible thermodynamically. For the initial –OOH insertion
336 reaction R1a, the pre-reactive intermediate IM1a with a seven-membered ring
337 structure is formed, which is stabilized by the hydrogen bond interactions between the
338 H₄ atom of Pent1a and the O₆ atom of CH₂OO ($D_{(O_6-H_4)} = 1.706 \text{ \AA}$), and between the
339 H₆ atom of CH₂OO and the O₃ atom of Pent1a ($D_{(O_3-H_6)} = 2.115 \text{ \AA}$). Then it converts
340 into P1a (C₃H₆O₆, HC(O)O–(CH₂OO)₂–H) with a barrier of 8.1 kcal mol⁻¹ and an



341 exoergicity of $40.4 \text{ kcal mol}^{-1}$. The reaction mechanism involves that the –
342 $\text{OOCH}_2\text{OC}(\text{O})\text{H}$ fragment of Pent1a connects to the C_3 atom of CH_2OO , while the
343 remaining H_4 atom binds to the CH_2OO terminal O_6 atom. For the initial –CH
344 insertion reaction R1b, a weakly hydrogen bonded intermediate IM1b is formed in the
345 entrance channel, then it transforms into P1b ($\text{C}_3\text{H}_6\text{O}_6$, $\text{HO}_2\text{CH}_2\text{OC}(\text{O})\text{CH}_2\text{OOH}$)
346 with a barrier of $21.5 \text{ kcal mol}^{-1}$. The detailed mechanism includes that the
347 $\text{HOOCH}_2\text{OC}(\text{O})-$ fragment of Pent1a binds to the C_3 atom of CH_2OO , while the
348 remnant H_1 atom adds to the terminal O_6 atom of CH_2OO . The barrier of R1b is
349 higher than that of R1a by $13.4 \text{ kcal mol}^{-1}$, suggesting that the –OOH insertion
350 reaction is favorable energetically.

351 Equivalent to the reaction of CH_2OO with Pent1a, the addition reaction between
352 CH_2OO and P1a starts with the formation of cyclic intermediates IM2a and IM2b in
353 the entrance channel. The relative energies of IM2a and IM2b with respect to the
354 separate reactants P1a and CH_2OO are -1.2 and $3.2 \text{ kcal mol}^{-1}$, respectively, below the
355 energies of the initial reactants $2\text{CH}_2\text{OO}$ and Pent1a are 41.6 and $37.2 \text{ kcal mol}^{-1}$,
356 respectively. Then they immediately transform into the respective products P2a and
357 P2b via the –OOH and –CH insertion transition states TS2a and TS2b with the
358 barriers of 10.1 and $21.6 \text{ kcal mol}^{-1}$. This result again shows that the –OOH insertion
359 reaction is favored over the –CH insertion pathway. This result is consistent with the
360 prior previous study that –CH insertion reaction is of minor importance in the
361 bimolecular reaction of CH_2OO with alkenes (Buras et al., 2014). It deserves
362 mentioning that the barrier of –OOH insertion reaction increases as the number of
363 CH_2OO is increased.
364



365

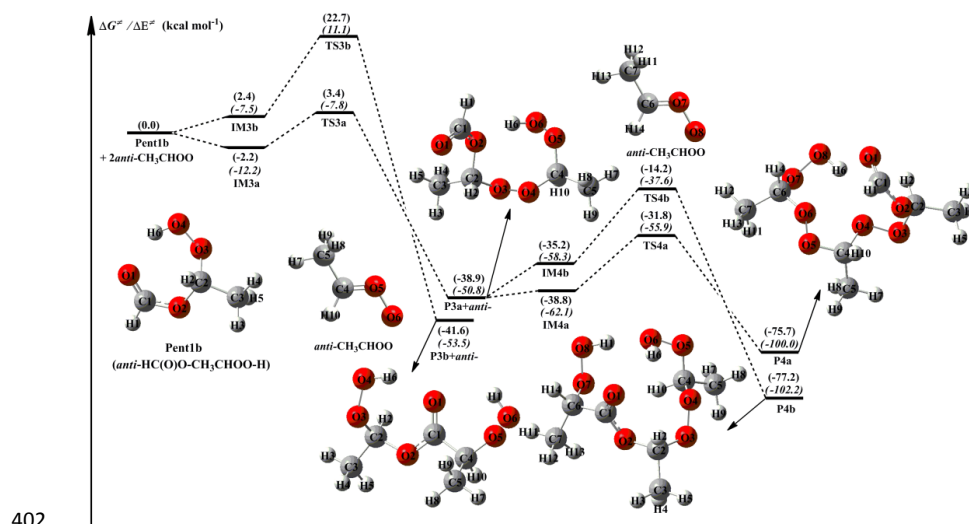
366 **Figure 2.** PES (ΔG and ΔE , in italics) for the $2\text{CH}_2\text{OO} + \text{Pent1a}$ reaction at the
 367 M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory.

368 3.2.2 The reactions of *anti*- CH_3CHOO with Pent1b

369 The methyl-substituted CH_2OO has two conformers, *syn*- and *anti*- CH_3CHOO
 370 that distinguish by the orientation of methyl group relative to the terminal oxygen
 371 (Taatjes et al., 2013). *syn*- CH_3CHOO is more stable than *anti*- CH_3CHOO in energy
 372 due to the existence of intermolecular hydrogen bond (Long et al., 2016). The
 373 activation enthalpy of the interconversion between *syn*- CH_3CHOO and
 374 *anti*- CH_3CHOO is up to $38.5 \text{ kcal mol}^{-1}$, implying that they can treat as independent
 375 species in the atmosphere (Long et al., 2016; Yin and Takahashi, 2017). The
 376 schematic PES for the addition reaction $2\text{anti-CH}_3\text{CHOO} + \text{Pent1b}$ is presented in Fig.
 377 3, and the optimized geometries of all stationary points are shown in Fig. S3. As
 378 shown in Fig. 3, the addition reaction $2\text{anti-CH}_3\text{CHOO} + \text{Pent1b}$ proceeds through
 379 successive insertion of *anti*- CH_3CHOO into Pent1b leading to the formation of
 380 oligomers P4a and P4b that contain *anti*- CH_3CHOO as chain unit. The first
 381 *anti*- CH_3CHOO addition reaction begins with the formation of IM3a and IM3b in the
 382 entrance channel, which lie -2.2 and $2.4 \text{ kcal mol}^{-1}$ respectively, with respect to the
 383 separate reactants. Then the IM3a and IM3b transform into P3a and P3b via $-\text{OOH}$
 384 and $-\text{CH}$ insertion transition states TS3a and TS3b with the barriers of 5.6 and 20.3



385 kcal mol⁻¹. This result shows that the –OOH insertion reaction is more favorable than
386 the –CH insertion pathway. Compared with the barriers of R1a and R1b in the
387 2CH₂OO + Pent1a reaction, the barriers of R3a and R3b decrease by 2.5 and 1.2
388 kcal mol⁻¹ when a methyl group is introduced at the *anti*-position. The result reveals
389 that the reactivity of *anti*-CH₃CHOO is substantially higher than that of CH₂OO. This
390 conclusion is further supported by the findings of other studies, which have reported
391 that *anti*-CH₃CHOO is more reactive toward H₂O, SO₂, and H₂O₂ than CH₂OO (Chen
392 et al., 2017; Taatjes et al., 2013; Huang et al., 2015). Similarly, the secondary
393 *anti*-CH₃CHOO addition reaction starts with the formation of IM4a and IM4b in the
394 entrance channel with the 0.1 and 3.7 kcal mol⁻¹ stability, followed by conversion to
395 the final products P4a and P4b through the –OOH and –CH insertion reactions R4a
396 and R4b. The transition states TS4a and TS4b lie 7.0 and 21.0 kcal mol⁻¹, respectively,
397 above the energies of the respective intermediates IM4a and IM4b. This result again
398 shows that the –OOH insertion reaction is the most favorable channel, and the barrier
399 increases as the number of *anti*-CH₃CHOO is increased. This conclusion is consistent
400 with the result obtained from the 2CH₂OO + Pent1a reaction that the first CH₂OO
401 addition reaction is favored energetically.

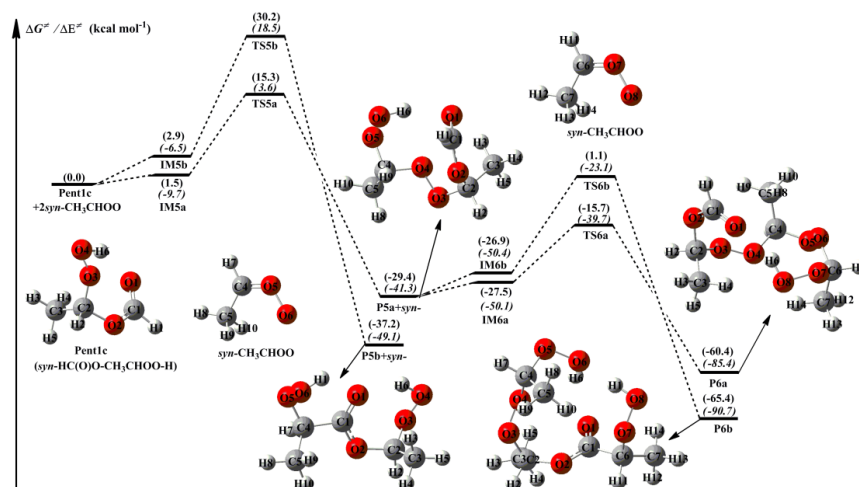


402
403 **Figure 3.** PES (ΔG and ΔE , in italics) for the $2anti\text{-CH}_3\text{CHOO} + \text{Pent1b}$ reaction at the
404 M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory



405 **3.2.3 The reactions of *syn*-CH₃CHOO with Pent1c**

406 Equivalent to the *2anti*-CH₃CHOO + Pent1b reaction, the addition reaction
407 *2syn*-CH₃CHOO + Pent1c has similar transformation pathways, and is thus briefly
408 discussed in the present study. From Fig. 4, it can be seen that the addition reaction
409 *2syn*-CH₃CHOO + Pent1c undergoes via successive insertion of *syn*-CH₃CHOO into
410 Pent1c to form P6a and P6b that involve *syn*-CH₃CHOO as the repeating unit. The
411 most favorable pathway is that the breakage of –OOH bond in Pent1c occurs
412 simultaneously with the insertion of first *syn*-CH₃CHOO to form P5a, followed by the
413 insertion of secondary *syn*-CH₃CHOO to produce P6a. The barriers of these two –
414 OOH insertion reactions R5a and R6a are 13.8 and 11.8 kcal mol⁻¹, respectively,
415 which are higher than those of R3a and R4a in the *2anti*-CH₃CHOO + Pent1b system
416 by 8.2 and 4.8 kcal mol⁻¹, respectively. The result reveals that the reactivity of
417 *syn*-CH₃CHOO is substantially lower than that of *anti*-CH₃CHOO. The reactivity of
418 *anti*- and *syn*-CH₃CHOO can be attributed to the total contributions of electrostatic
419 and steric hindrance effects, in which steric hindrance is obviously dominant for
420 *syn*-CH₃CHOO, that is not thus conducive to the nucleophilic attack of hydroperoxide
421 esters. Notably, the barrier of the favorable –OOH insertion pathway decreases with
422 increasing the number of *syn*-CH₃CHOO in the *2syn*-CH₃CHOO + Pent1c reaction,
423 which is contrary to the case of the 2CH₂OO + Pent1a and *2anti*-CH₃CHOO + Pent1b
424 reactions.



425
426 **Figure 4.** PES (ΔG and ΔE , in italics) for the $2_{syn}\text{-CH}_3\text{CHOO} + \text{Pent1c}$ reaction at the
427 M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

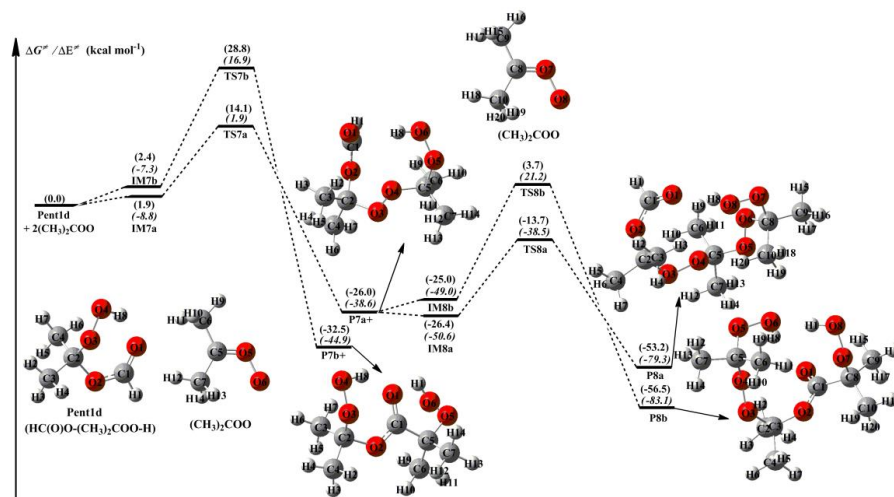
428 3.2.4 The reactions of $2(\text{CH}_3)_2\text{COO}$ with Pent1d

429 The dimethyl-substituted Criegee intermediate, $(\text{CH}_3)_2\text{COO}$, is generated from
430 the ozonolysis of 2,3-dimethyl-2-butene in the atmosphere (Lester and Klippenstein,
431 2018; Drozd et al., 2017; Long et al., 2018). The bimolecular reaction of $(\text{CH}_3)_2\text{COO}$
432 with water is not fast enough ($k < 1.5 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$), while the reaction of
433 $(\text{CH}_3)_2\text{COO}$ with HCOOH has a near gas kinetic limit rate ($k = 5.4 \times 10^{-10} \text{ cm}^3$
434 $\text{molecule}^{-1} \text{ s}^{-1}$) (Huang et al., 2015). The result implies that a fraction of $(\text{CH}_3)_2\text{COO}$
435 may survive under high humidity environments and react with HCOOH leading to the
436 formation of hydroperoxide ester Pent1d. The schematic PES for the addition reaction
437 $2(\text{CH}_3)_2\text{COO} + \text{Pent1d}$ is plotted in Fig. 5, and the optimized geometries of all
438 stationary points are shown in Fig. S5.

439 As can be seen in Fig. 5, the addition reaction $2(\text{CH}_3)_2\text{COO} + \text{Pent1d}$ starts with
440 the formation of complexes IM7a and IM7b, which lie 1.9 and 2.4 kcal mol^{-1} ,
441 respectively, above the energies of the separate reactants. Then they subsequently
442 transform into products P7a and P7b through the $-\text{OOH}$ and $-\text{CH}$ insertion transition
443 states TS7a and TS7b with the barriers of 12.2 and 26.4 kcal mol^{-1} . This result again
444 shows that the $-\text{OOH}$ insertion reaction is favored over the $-\text{CH}$ insertion pathway. A
445 similar conclusion is also obtained from the secondary $(\text{CH}_3)_2\text{COO}$ addition reaction



446 that the -OOH insertion reaction is the dominant pathway. It is of interest to compare
447 the barriers of -OOH insertion reactions in the $(\text{CH}_3)_2\text{COO} + \text{Pent1d}$ system with
448 those of the analogous reactions in other SCIs + Pent1 reactions. It can be found that
449 the barriers decrease in the order of $\text{syn-CH}_3\text{CHOO} > (\text{CH}_3)_2\text{COO} > \text{CH}_2\text{OO} >$
450 $\text{anti-CH}_3\text{CHOO}$ in the first-step SCIs addition reaction, while they become
451 $(\text{CH}_3)_2\text{COO} > \text{syn-CH}_3\text{CHOO} > \text{CH}_2\text{OO} > \text{anti-CH}_3\text{CHOO}$ in the second-step SCI
452 addition pathway. The result shows that the reactivity of SCIs is significantly affected
453 by the number and location of methyl substituents. A similar conclusion is also
454 obtained from the thermodynamic parameters that the exothermicity of -OOH
455 insertion reactions significantly decreases with increasing the number of methyl
456 substituents, and the exothermicity of *anti*-methyl substituted carbonyl oxide is
457 obviously higher than that of *syn*-methyl substituted carbonyl oxide.



458
459 **Figure 5.** PES (ΔG and ΔE , in italics) for the $2(\text{CH}_3)_2\text{COO} + \text{Pent1d}$ reaction at the
460 M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory.

461 3.3 The reactions of distinct SCIs with Pent1a

462 To further elucidate the effect of the number and location of methyl substituents
463 on the reactivity of carbonyl oxides toward hydroperoxide esters, Pent1a is selected as
464 the model compound since it is the simplest hydroperoxide ester formed from the
465 barrierless 1,4 O-H insertion of CH_2OO into HCOOH . On the basis of aforementioned
466 discussions, -OOH insertion reaction is the most favorable pathway. Therefore, this



467 type of reaction is taken into consideration in the reactions of distinct SCIs with
468 Pent1a. The corresponding PES and the optimized geometries of all stationary points
469 are displayed in Fig. 6 and S6, respectively. From Fig. 6, it is seen that the mechanism
470 of Pent1a reactions with *anti*-CH₃CHOO, *syn*-CH₃CHOO and (CH₃)₂COO is similar
471 to that of the reaction CH₂OO + Pent1a discussed above. For each addition reaction, a
472 pre-reactive intermediate is formed prior to the corresponding transition state, and
473 then it overcomes a modest barrier to produce the respective product. Compared with
474 the barrier of CH₂OO reaction with Pent1a (8.1 kcal mol⁻¹), the barrier decreases by
475 2.5 kcal mol⁻¹ when a methyl substituent occurs at the *anti*-position, while the barrier
476 increases by about 3.0 kcal mol⁻¹ when a methyl group is introduced at the
477 *syn*-position and dimethyl substitutions. This result indicates that the reactivity of
478 *anti*-CH₃CHOO is substantially higher than that of CH₂OO, *syn*-CH₃CHOO and
479 (CH₃)₂COO. It is worth noting that the exothermicity of distinct SCIs reactions with
480 Pent1a obviously decreases as the number of methyl group is increased, and the
481 exothermicity of *anti*-methyl substituent is higher than that of *syn*-methyl substituent.
482 As a result, the barrier heights and thermodynamic parameters of the oligomerization
483 reactions of SCIs with hydroperoxide esters are significantly affected by the number
484 and location of methyl substituents.

485 The rate coefficients of distinct SCIs reactions with Pent1a are calculated in the
486 temperature range of 273-400 K as summarized in Table 2. As shown in Table 2, the
487 rate coefficients k_{R1a} of the reaction CH₂OO + Pent1a decrease in the range of $5.0 \times$
488 10^{-11} (273 K) to 5.0×10^{-12} cm³ molecule⁻¹ s⁻¹ (400 K) with increasing temperature. A
489 similar phenomenon is also observed from the rate coefficients of Pent1a reactions
490 with *anti*-CH₃CHOO (R9), *syn*-CH₃CHOO (R10), and (CH₃)₂COO (R11) that they
491 exhibit a slightly negative temperature dependence. k_{R9} is several orders of magnitude
492 greater than k_{R1a} , k_{R10} and k_{R11} in the whole temperature range, suggesting that the
493 bimolecular reaction *anti*-CH₃CHOO + Pent1a (R9) is favored kinetically. Compared
494 with the rate coefficients of parent reaction R1a, the rate coefficients increase by
495 about one order of magnitude when a methyl substituent occurs at the *anti*-position,
496 whereas the rate coefficients decrease by 1-2 orders of magnitude when a methyl



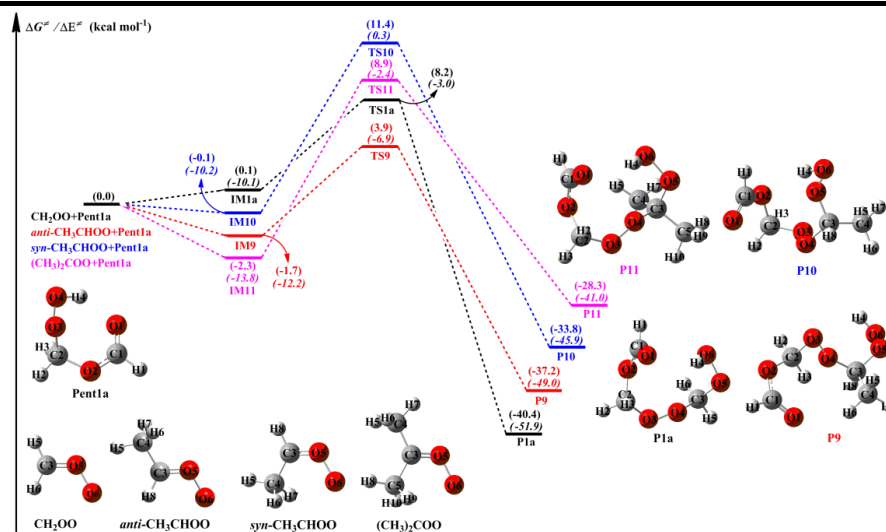
497 group is introduced at the *syn*-position. k_{R11} is greater than k_{R1a} by a factor of ~ 1.2 in
498 the temperature range studied. It should be noted that although the barrier of R10 is
499 nearly identical to that of R11, k_{R10} is 1-2 orders of magnitude lower than k_{R11} in the
500 entire temperature range. This is probably because the rate coefficients are mediated
501 by pre-reactive intermediates that IM11 is more stable than IM10 in energy.

502 It is of interest to assess whether the reactions of distinct SCIs with HPMF can
503 compete well with the losses to reactions with other trace species (e.g., H_2O , $HCOOH$
504 and SO_2), because it is well known that the reactions with other trace species are
505 expected to be the dominant chemical sinks for SCIs in the atmosphere (Taatjes et al.,
506 2013; Long et al., 2016). For the reactions of CH_2OO with H_2O , $HCOOH$ and SO_2 ,
507 the experimental rate coefficients are determined to be 1.5×10^{-15} , 1.1×10^{-10} , and 3.9
508 $\times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, respectively (Welz et al., 2014; Huang et al., 2015; Chao et
509 al., 2015). The atmospheric concentrations of H_2O , $HCOOH$ and SO_2 in forest
510 environments are measured to be $3.9\text{-}6.1 \times 10^{17}$, $5.0\text{-}10 \times 10^{10}$, and $1.7\text{-}9.0 \times 10^{10}$
511 $\text{ molecules cm}^{-3}$, respectively (Vereecken et al., 2012). The effective rate coefficient of
512 CH_2OO reactions with H_2O ($k_{\text{eff}(H_2O)}$), $HCOOH$ ($k_{\text{eff}(HCOOH)}$) and SO_2 ($k_{\text{eff}(SO_2)}$) are
513 calculated to be $5.9\text{-}9.2 \times 10^2$, $5.5\text{-}11$, $0.7\text{-}3.5 \text{ s}^{-1}$, respectively. The result indicates
514 that the $CH_2OO + H_2O$ reaction is favored over bimolecular reactions with $HCOOH$
515 and SO_2 . Similar conclusion is also obtained from the reactions of other carbonyl
516 oxides with H_2O , $HCOOH$ and SO_2 . According to the results shown in the Table 2,
517 the room temperature rate coefficient for the reaction of CH_2OO with HPMF is
518 calculated to be $2.7 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$. However, to the best of our knowledge,
519 the atmospheric concentration of HPMF has not been reported up to now. We assume
520 the concentration of HPMF is the same as that of $HCOOH$ in the atmosphere. The
521 effective rate coefficient of CH_2OO reaction with HPMF ($k_{\text{eff}(HPMF)}$) is estimated to be
522 $1.4\text{-}2.7 \text{ s}^{-1}$, which is significantly lower than $k_{\text{eff}(H_2O)}$ and $k_{\text{eff}(HCOOH)}$. $k_{\text{eff}(HPMF)}$ is nearly
523 identical to $k_{\text{eff}(SO_2)}$. Based on the above discussions, it can be concluded that the
524 reactions of carbonyl oxides with hydroperoxide esters play a certain role in the
525 formation of organic new particle in some regions where low concentration of water
526 vapor and high concentration of hydroperoxide esters occur.



527 **Table 2** The rate coefficients of distinct SCIs reactions with Pent1a computed at different
 528 temperatures

T/K	$k_{\text{CH}_2\text{OO}}(\text{R1a})$	$k_{\text{anti-CH}_3\text{CHOO}}(\text{R9})$	$k_{\text{syn-CH}_3\text{CHOO}}(\text{R10})$	$k_{(\text{CH}_3)_2\text{COO}}(\text{R11})$
273	5.0×10^{-11}	6.4×10^{-10}	2.0×10^{-13}	4.4×10^{-11}
280	4.2×10^{-11}	4.7×10^{-10}	1.9×10^{-13}	3.5×10^{-11}
298	2.7×10^{-11}	3.3×10^{-10}	1.7×10^{-13}	2.2×10^{-11}
300	2.6×10^{-11}	2.8×10^{-10}	1.7×10^{-13}	2.1×10^{-11}
320	1.7×10^{-11}	2.3×10^{-10}	1.5×10^{-13}	1.4×10^{-11}
340	1.2×10^{-11}	1.7×10^{-10}	1.4×10^{-13}	9.4×10^{-12}
360	8.5×10^{-12}	1.1×10^{-10}	1.3×10^{-13}	6.9×10^{-12}
380	6.4×10^{-12}	8.3×10^{-11}	1.2×10^{-13}	5.3×10^{-12}
400	5.0×10^{-12}	5.3×10^{-11}	1.2×10^{-13}	4.2×10^{-12}



529
 530 **Figure 6.** PES (ΔG and ΔE , in italics) for the distinct SCIs + Pent1a reactions at the
 531 M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

532 4. Conclusions

533 The oligomerization reaction mechanism and kinetics of Criegee intermediates
 534 reactions with their respective hydroperoxide esters as well as HPMF are investigated
 535 using quantum chemical calculations and kinetics modeling methods. The main
 536 conclusion is summarized as follows.

537 (a) For the initiation reactions of distinct SCIs with HCOOH, the barrierless 1,4



538 O-H insertion reaction leading to the formation of hydroperoxide esters is the most
539 favorable pathway. The exothermicity of the parent reaction $\text{CH}_2\text{OO} + \text{HCOOH}$ is the
540 largest, whereas it becomes the smallest when two methyl groups are introduced at the
541 R_1 and R_2 positions of CH_2OO . The exothermicity of *anti*- $\text{CH}_3\text{CHOO} + \text{HCOOH}$
542 reaction is about $4.0 \text{ kcal mol}^{-1}$ higher than that of *syn*- $\text{CH}_3\text{CHOO} + \text{HCOOH}$ system.

543 (b) The addition reactions of SCIs with hydroperoxide esters proceed through
544 successive insertion of SCIs into hydroperoxide ester to form oligomers that involve
545 SCIs as the repeating unit. These oligomerization reactions are strongly exothermic
546 and spontaneous. The exothermicity of oligomerization reactions significantly
547 decreases when the number of methyl substituents increases, and the exothermicity of
548 *anti*-methyl substituted carbonyl oxides is obviously higher than that of *syn*-methyl
549 substituted carbonyl oxides.

550 (c) The $-\text{OOH}$ insertion reaction is favored over the $-\text{CH}$ insertion pathway in
551 the SCIs oligomerization reactions, and the barrier heights increase with increasing
552 the number of SCIs except *syn*- CH_3CHOO . The barrier of $-\text{OOH}$ insertion pathway
553 shows a dramatic decrease when a methyl substituent occurs at the *anti*-position,
554 while it reveals a significant increase when a methyl group is introduced at the
555 *syn*-position and dimethyl substitutions.

556 (d) Compared with the rate coefficients of the $\text{CH}_2\text{OO} + \text{HPMF}$ reaction, the rate
557 coefficients increase by about one order of magnitude when a methyl substituent
558 occurs at the *anti*-position, whereas the rate coefficients decrease by 1-2 orders of
559 magnitude when a methyl group is introduced at the *syn*-position.

560

561 **Data availability**

562 The data are accessible by contacting the corresponding author
563 (huangyu@ieecas.cn).

564

565 **Supplement**



566 The following information is provided in the Supplement: The electronic energy
567 (ΔE^\ddagger) and Gibbs free energy (ΔG^\ddagger) barriers for the initial reactions of distinct SCIs
568 with HCOOH predicted at different levels; Rate coefficients of initiation reactions of
569 distinct SCIs with HCOOH; Optimized geometries of all the stationary points.

570

571 **Author contribution**

572 LC designed the study. LC and YH wrote the paper. LC performed theoretical
573 calculation. YX, ZJ, and WW analyzed the data. All authors reviewed and commented
574 on the paper.

575

576 **Competing interests**

577 The authors declare that they have no conflict of interest.

578

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585

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