# A Sulfuric Acid Nucleation Potential Model for the Atmosphere

Jack Johnson<sup>1,2</sup> and Coty N. Jen<sup>1,2</sup>

1. Chemical Engineering, Carnegie Mellon University, Pittsburgh, PA, 15213, USA

2. Center for Atmospheric Particle Studies, Carnegie Mellon University, Pittsburgh, PA, 15213, USA

5 Correspondence to: Coty N. Jen (cotyj@andrew.cmu.edu)

Abstract. Observations over the last decade have demonstrated that the atmosphere contains potentially hundreds of compounds that can react with sulfuric acid to nucleate stable aerosol particles. Consequently, modeling atmospheric nucleation requires detailed knowledge of nucleation reaction kinetics and spatially and temporally resolved measurements of numerous precursor compounds. This study introduces the Nucleation Potential Model (NPM), a novel nucleation model that

- dramatically simplifies the diverse reactions between sulfuric acid and any combination of precursor gases. NPM predicts 1nm nucleation rates are from dependent on only two measurable gas concentrations, regardless of whether all precursor gases are known. NPM describes sulfuric acid nucleating with a parameterized base compound at an effective base concentration, [Beff]. [Beff] captures the ability of a compound or mixture to form stable clusters with sulfuric acid and is estimated from
- 15 measured 1-nm particle concentrations. NPM is applied to experimental and field observations of sulfuric acid nucleation to demonstrate how [B<sub>eff</sub>] varies for different stabilizing compounds, mixtures, and sampling locations. Analysis of previous field observations shows distinct differences in [B<sub>eff</sub>] between locations that follow the emission sources and stabilizing compound concentrations for that region. Overall, NPM allows researchers to easily model nucleation across diverse environments and estimate the concentration of non-sulfuric acid precursors using a condensation particle counter.

#### 20 1 Introduction

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Atmospheric aerosol particles play an important role in cloud formation and <u>, as a result</u>, Earth's radiation balance. Global climate models estimate that around 50% of cloud condensation nuclei (CCN) are produced by nucleation (Gordon et al., 2017; Yu and Luo, 2009; Merikanto et al., 2009; Spracklen et al., 2008), whereby gas-phase compounds react and form a stable particle approximately 1-nm in diameter (Jen et al., 2015; Chen et al., 2012). As a result, nucleation influences cloud properties and lifetimes, which subsequently impact Earth's radiation balance (Spracklen et al., 2008, 2006). Therefore, accurate modeling of nucleation rates in the atmosphere is necessary to predict atmospheric aerosol concentrations used in global weather and climate models.

Aerosol nucleation in the troposphere is primarily driven by sulfuric acid (Kuang et al., 2008; Sihto et al., 2006; Sipilä et al., 2010; Lee et al., 2019; Weber et al., 1996, 1997; Kulmala et al., 2004) which reacts with atmospherie basesa large variety
of compounds, such aslike ammonia, to form particles (Kürten et al., 2016a; Glasoe et al., 2015; Weber et al., 1998; Kirkby et al., 2011; Jen et al., 2014; Coffman and Hegg, 1995; Almeida et al., 2013). Laboratory studies have demonstrated that sulfuric acid nucleates with various compounds at rates spanning over seven orders of magnitude (Elm et al., 2016; Jen et al., 2016, 2014; Kürten et al., 2015). The ever-expanding list of compounds includes ammonia (Kirkby et al., 2011;

Hanson and Eisele, 2002; Coffman and Hegg, 1995), amines (Glasoe et al., 2015; Kurtén et al., 2008; Jen et al., 2014), diamines
(Elm et al., 2016; Jen et al., 2016; Elm et al., 2017), alcohol amines (Xie et al., 2017), organic acids (Zhao et al., 2009; Zhang et al., 2004), oxidized organics (Riccobono et al., 2012, 2014; Ehn et al., 2014; Zhao et al., 2013), water (Kulmala et al., 1998; Merikanto et al., 2007), and ions (Eisele et al., 2006; Kirkby et al., 2011). -Additionally, sulfuric acid has been shown to nucleate with multiple compounds synergistically, such as dimethylamine/ammonia (Glasoe et al., 2015; Yu et al., 2012) and oxidized organics/ammonia (Lehtipalo et al., 2018).

- 40 Currently, three classes of nucleation models are used to estimate atmospheric nucleation rates, but no existing model is capable of capturing the true complexity of atmospheric nucleation reactions. First, power-law nucleation models estimate nucleation rates from empirically derived power-law functions fitted from measured nucleation rates of with concentrations of sulfuric acid with various precursor gases encentrations (Yao et al., 2018; Glasoe et al., 2015; Kirkby et al., 2011). These power-law models have been used to predict nucleation rates in areas such as Asian megacities, the Amazon Rainforest, and globally (Yao et al., 2018; Zhao et al., 2020; Dunne et al., 2016). However, the power-law models are typically only dependent
- on two to three nucleation precursor concentrations, and thus cannot accurately predict nucleation rates in areas where numerous and unknown compounds are nucleating with sulfuric acid (Zhao et al., 2020). Computational chemistry nucleation models compute formation free energies of clusters containing sulfuric acid and stabilizing compounds in order to numerically solve the cluster balance equations (Ortega et al., 2012; Myllys et al., 2018; McGrath et al., 2012; Olenius et al., 2013; Elm,
- 50 2019; Yu et al., 2018). While computational chemistry models can rigorously show the formation pathways of sulfuric acid clusters, the method becomes too computationally expensive when determining formation pathways for a mixture of nucleating compounds. Finally, acid-base nucleation models are based on experimentally observed nucleation kinetics that have demonstrated particles form via the sequential addition of acid and base molecules (Chen et al., 2012; Jen et al., 2014; Kürten et al., 2018). These experiments use a chemical ionization mass spectrometer (CIMS) to measure gas and cluster concentrations
- 55 to estimate cluster evaporation rates. While-Though acid-base models can experimentally determine the reaction kinetics of sulfuric acid clusters, finding evaporation rates for numerous cluster types is experimentally arduous due to its dependence on nucleation precursor composition and concentration. While each model type provides unique and beneficial information about how sulfuric acid nucleates, they fail to predict particle nucleation rates in complex mixtures, such as the atmosphere, and require high spatial and temporal speciated precursor measurements to accurately predict global nucleation rates.
- 60 Currently, most global climate models only account for sulfuric acid binary or ternary nucleation with water or water and ammonia (Semeniuk and Dastoor, 2018). , with Only a few models including incorporate power-law nucleation models Currently, most global climate models do not account for sulfuric acid nucleation, with a few models including power-law nucleation models (Gordon et al., 2017; Zhao et al., 2020; Dunne et al., 2016). However, experimental observations indicate that even low concentrations of other stabilizing compounds can enhance sulfuric acid nucleation rates beyond that these
- 65 predicted from models\_-(Li et al., 2020; Wang et al., 2018). Moreover, <u>many</u> emission inventories used in global climate models only contain <u>emission factors for</u> sulfur dioxide <u>concentrations</u> and ammonia <u>concentrations</u> (Semeniuk and Dastoor, 2018; Lee et al., 2013; Dunne et al., 2016; Spracklen et al., 2008) with some including volatile organic compounds

concentrations-(Hoesly et al., 2018). Furthermore, only sparse measurements, both in time and space, exist of the numerous precursor compounds in the atmosphere. Combined, these factors contribute to significant model error in predicting aerosol number concentrations in regions with no dominant nucleation pathway\_(Dunne et al., 2016; Kerminen et al., 2018; Ranjithkumar et al., 2021).

This study presents a generalized, semi-empirical model for sulfuric acid nucleation, known as the Nucleation Potential Model (NPM), that simplifies the numerous and often unknown nucleation reactions into a single reaction pathway. Specifically, NPM reflects how sulfuric acid reacts with an effective base compound and predicts 1-nm nucleation rates from 75 sulfuric acid and a parameterized base concentration ([Beff]). [Beff] captures the combined concentrations of compounds and their ability to stabilize sulfuric acid clusters. This parameterized concentration is estimated from measured 1-nm particle concentrations formed from controlled reactions between sulfuric acid and a complex mixture. This study demonstrates the dependencies of [Beff] from a variety of stabilizing gas mixtures and how [Beff] varies across diverse regions of the world.

- The full impact of using the Nucleation Potential Model is two-fold: (1) The effective nucleation precursor 80 concentration needed to predict 1-nm nucleation rates can be measured with aConsequently, use of NPM circumvents the need to deploy a mass spectrometer to measure how nucleation rates depend on numerous precursor concentrations, and instead relies on a more portable and cost-effective condensation particle counter (CPC), instead of a mass spectrometer-. The increased development and deployment of 1-nm CPCs (Hering et al., 2017; Lehtipalo et al., 2022; Kuang, 2018) will enable researchers to measure [Beff] at high spatial and temporal resolution which is currently challenging to conductachieve with
- 85 mass spectrometers. Furthermore, the combined observations from NPM with a CPC and mass spectrometry will also provide a detailed understanding on which compounds nucleate and the rate at which they nucleate. -In addition, (2) the NPM is currently the only nucleation model that can capture represent nucleation of arbitrarily to what extent the atmosphere, which is a complex-and constantly changing mixtures of compounds found in the atmosphere, nucleates particles. The combined observations from NPM with a CPC and mass spectrometry will provide a detailed understanding on which compounds and
- 90 the rate at which they nucleate. use of NPM circumvents the need to deploy a mass spectrometer to measure numerous precursor concentrations and instead relies on a more portable and cost effective condensation particle counter (CPC).Additionally, this measurement technique could be deployed with a mass spectrometer as well as other aerosol instruments to capture all particle properties such as hygroscopicity and particle composition which are not captured by the MPM.\_ This study demonstrates the dependencies of [Beff] from a variety of stabilizing gas mixtures and its potential to predict
- 95 1-nm nucleation rateshow [Ber] varies across diverse regions of the world. Increased development and Whiledeployment of 1-nm CPCs (Hering et al., 2017; Kuang et al., 2012; Lehtipalo et al., 2022) will also enable researchers to measure [Ber] at high spatial and temporal resolution to determine how specific regions and seasons influence nucleation rates which can more easily be incorporate into aerosol models. this model is currently limited to particle measurements at the 1-nm size, current particle counter technology is continuing to increase the availability of 1-nm particle concentrations across the world-(Hering
- 100 et al., 2017; Kuang et al., 2012)

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# 2 Methodology

# 2.1 Model Description

The Nucleation Potential Model (NPM) generalizes the formation of 1-nm particles from sulfuric acid nucleation as a series of second-order reactions. Reaction 1 shows the reaction pathway for the NPM, where *n* represents the number of sulfuric acid (*A*) and base (*B*) molecules in a cluster.  $N_n$  denotes the cluster size with  $N_I$  as the monomer (i.e., one sulfuric acid molecule with that same number any number of base or other attached compounds) up to  $N_4$  as the tetramer. The reaction pathway is based on the most <u>energetically</u> probable pathway for sulfuric acid and base clusters to form, with less probable pathways excluded to reduce model calculation time and complexity (Olenius et al., 2017). The final step in Reaction 1 is the formation of the tetramer,  $N_4$ . At the tetramer size, the particles are approximately 1 nm in diameter or 1.3 nm in mobility

- 110 diameter (Chen et al., 2012; Jen et al., 2015; Larriba et al., 2011). <u>Coagulation losses are estimated using the reaction rate constants to model from the collision rate constant betweens of clusters. Any cluster formed through N<sub>8</sub> in size is accounted for in the total concentration of particles. Coagulation loss to larger particles (i.e., growth to sizes larger than N<sub>8</sub>) is not included in this model, as the flow reactor has no when no pre-existing particles are present. Coagulation to pre-existing particles are is included as a separate loss term when analyzing ambient observations. Cluster balance equations (i.e., rates laws) for Reaction</u>
- 115 1 are provided in the supplementary information (SI, Equation S1).

$$A_{1} + B_{eff} \stackrel{k}{\rightarrow} A_{1} \cdot B_{eff}$$

$$N_{n} = A_{n} \cdot B_{n}$$

$$N_{1} + N_{1} \stackrel{k}{\rightarrow} N_{2}$$
Reaction 1
$$N_{1} + N_{2} \stackrel{k}{\rightarrow} N_{3}$$

$$N_{2} + N_{2} \stackrel{k}{\rightarrow} N_{4}$$

$$N_{1} + N_{3} \stackrel{k}{\rightarrow} N_{4}$$

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The forward reaction constant is assumed to be equal for all clusters at  $k = 4.2 \text{ x } 10^{-10} \text{ cm}^3 \text{ s}^{-1}$  and is the collision rate constant calculated using parameters estimated from density functional theory and bulk properties (Ortega et al., 2012). The

effective base concentration ( $[B_{eff}]$ ) represents the stabilization effects that a compound or mixture of compounds has on the formation rate of sulfuric acid clusters.  $[B_{eff}]$  also depends on the nucleation precursors' concentrations, composition, temperature, and humidity. A compound that effectively stabilizes sulfuric acid clusters has a higher value for  $[B_{eff}]$  than a

120 temperature, and humidity. A compound that effectively stabilizes sulfuric acid clusters has a higher value for  $[B_{eff}]$  than a weaker stabilizing compound.  $[B_{eff}]$  is numerically solved from the cluster balance equations (Equation S1) with inputs of the initial concentration of sulfuric acid monomer ( $[A_1]_o$ ), the final concentration of nucleated 1-nm particles (i.e.,  $[N_4]$ ), and nucleation reaction time ( $t_{nucl.}$ ).

#### 2.2 Experimental Setup

- 125 [B<sub>eff</sub>] was determined for nucleating systems consisting of sulfuric acid and various combinations of atmospherically relevant bases reacting in an extremely clean and repeatable flow reactor at 300 K and 20% relative humidity (RH) (Formete et al., 2021). Despite that [B<sub>eff</sub>] is likely influenced by temperature and RHthough thesemaybecompared to variations in. Lowering temperature would stabilize sulfuric acid clusters, leading to an increase in [B<sub>eff</sub>] (Hanson and Eisele, 2002; Vehkamäki et al., 2002; Dunne et al., 2016). The effects of RH are not clear and would depend on the concentration and
- 130 composition of the other nucleation precursor vapors in the system (Olenius et al., 2017; Ball et al., 1999; Henschel et al., 2014; Merikanto et al., 2007). While experiments in this study are taken at constant temperature and RH, fFuture experiments will testexamine NPM atover a wider range of temperature and RH to determine the impact this has on [Berr]. The flow reactor system used for these measurements was constantly purged with a mixture of sulfuric acid, nitrogen, and water (Fomete et al., 2021; Ball et al., 1999; Jen et al., 2014). This creates extremely clean and repeatable conditions in the reactor. Baseline
- 135 measurements are taken daily to verify the flow reactor's cleanliness and repeatability in concentration, temperature, and RH. <u>The method for these baseline measurements is described in (Fomete et al., 2021)</u>. [A<sub>1</sub>]<sub>o</sub> and base concentrations ([B]) were measured with a custom-built, transverse atmospheric pressure acetate/hydronium chemical ionization inlet coupled to a long time-of-flight mass spectrometer (Pittsburgh Cluster CIMS, PCC) (Fomete et al., 2021). The bases included dilute concentrations of ammonia (NH<sub>3</sub>), methylamine (MA, CH<sub>3</sub>NH<sub>2</sub>), dimethylamine (DMA, (CH<sub>3</sub>)<sub>2</sub>NH), and trimethylamine
- (TMA, C<sub>3</sub>H<sub>9</sub>N) <u>that are injected into the flow reactor by flowing nitrogen over a custom-made permeation tube (Fomete et al., 2021; Zollner et al., 2012)</u>. The t<sub>nucl</sub> was determined to be 2 s from the modeled centerline velocity of the reactor (Hanson et al., 2017; Panta et al., 2012). The concentrations of N<sub>4</sub> and larger particles were measured with a 1-nm versatile water-based Condensation Particle Counter (vwCPC, TSI 3789) (Hering et al., 2017).\_-The flow tube was optimized to minimize the concentration of particles >1-nm by lowering the sulfuric acid monomer concentration ([A<sub>1</sub>]<sub>0</sub>). This was done to keep below the maximum particle concentration of theprevent the vwCPC from saturating and minimize particle coagulation with particles
- larger than N<sub>g</sub>. See Figure S1 for more details on 1-nm particle optimization experiments.

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# **3** Results and Discussion

# **3.1 Experimental Model Validation**

- Figure 1 shows [Beff] for the single component injections of NH<sub>3</sub>, MA, DMA, and TMA in the sulfuric acid reactor.
  These atmospherically relevant compounds have previously been shown to nucleate with sulfuric acid at different rates (Jen et al., 2016, 2014; Kurtén et al., 2008; Glasoe et al., 2015). -[A<sub>1</sub>]<sub>0</sub>, was measured daily and ranged between 9x10<sup>7</sup> cm<sup>-3</sup> to 3x10<sup>8</sup> cm<sup>-3</sup>. Daily measurements of [A<sub>1</sub>]<sub>0</sub> were then used as the initial concentration of sulfuric acid in the NPM. The average value for [A<sub>1</sub>]<sub>0</sub>.([A<sub>1</sub>]<sub>0</sub>.avg<sup>-</sup> is -approximately 1.4x10<sup>8</sup> cm<sup>-3</sup>) will be used throughout the rest of the paper-for simplicity<sub>5</sub>. While [A<sub>1</sub>]<sub>0</sub> is higher than those typically measured in the atmosphere, any range of [A<sub>1</sub>]<sub>0</sub> can be modelled as this parameter is an
- 155 input to the NPM. These atmospherically relevant compounds have previously been shown to nucleate with sulfuric acid at different rates (Jen et al., 2016, 2014; Kurtén et al., 2008; Glasoe et al., 2015). The error bars represent how the standard deviation of particle concentrations effects [Ben]. Each base compound was injected at various measured [B], ranging from 0.5 to 32 pptv. The concentrations of [B] base concentrations examined in this study falls within the range observed in the atmosphere (Hanson et al., 2011; Cai et al., 2021; Kürten et al., 2016b). Note, the error bars in Fig. 1 represent how the
- 160 standard deviation in particle concentration measurements effects [Beff]. While [A1]<sub>e</sub> is higher than those typically measured in the atmosphere, any range of [A1]<sub>e</sub> can be modelled as this parameter is an input to the NPM. The concentrations of [B] examined in this study falls within the range observed in the atmosphere (Hanson et al., 2011; Cai et al., 2021; Kürten et al., 2016b).

Each compound was injected at various measured [B], ranging from ~1 to ~30 pptv. From Fig. 1, [Beff] for NH3

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- 165 remains unchanged at approximately 10-15 pptv across the entire [NH<sub>3</sub>] range. This constant [B<sub>eff</sub>] trend suggests that NH<sub>3</sub> does not significantly stabilize sulfuric acid clusters and enhance nucleation rates under the experimental conditions in the flow tube. This is expected due to the relatively short nucleation time when compared to previous experimental flow reactor studies\_(Jen et al., 2016; Glasoe et al., 2015). In contrast, [B<sub>eff</sub>] increases up to ~40 pptv with increasing [MA], demonstrating that this compound enhances sulfuric acid nucleation greater\_more\_than NH<sub>3</sub>. The [B<sub>eff</sub>] curves for DMA and TMA exhibit 170 higher slopes than MA and NH<sub>3</sub>, indicating that DMA and TMA substantially enhance sulfuric acid nucleation rates at low [B]. Furthermore, at [B] = 10 pptv, [B<sub>eff</sub>] for DMA and TMA are two to three times higher than MA and four to six times higher than NH<sub>3</sub>. This indicates that DMA and TMA have a much stronger interaction with sulfuric acid clusters than MA and NH<sub>3</sub>. Note, the plateau in [B<sub>eff</sub>] occurs when a significant concentration of >1-nm particles at high [B] increases the coagulation
- rate up to N<sub>8</sub> beyond what is predicted by the NPM (up to N<sub>8</sub>). The relative strengthpotency of these compounds in enhancing
   nucleation is consistent with previously published results indicating that the NPM is correctly capturing the nucleation potency of NH<sub>3</sub>, MA, DMA, and TMA (Glasoe et al., 2015; Jen et al., 2014; Kürten et al., 2018).

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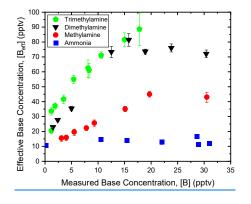


Figure 1: Comparison of effective base concentration from NPM ([Beff]) with measured base concentration ([B]) for single component injections of ammonia (blue squares), methylamine (red circles), dimethylamine (black triangles), and trimethylamine (green pentagons). <u>The averageInitial</u> sulfuric acid concentrations wereas 1.4x10<sup>8</sup> cm<sup>-3</sup>, and the reaction time was 2 s.

# NPM was also used to determine [Beff] for more complex mixtures of nucleation precursors. Figure 2 shows [Beff] from simultaneous injections of NH<sub>3</sub> at 73 pptv and varying [DMA] into the sulfuric acid flow reactor. NH<sub>3</sub> and DMA mixture injections have higher values for [Beff], up to 120 pptv, which are especially prominent at higher concentrations of DMA. At [B] = 20 pptv, [Beff] for the mixture of NH<sub>3</sub> and DMA is significantly higher than linear addition of the [Beff] from individual DMA and NH<sub>3</sub>, ~110\_pptv compared to ~80 pptv, respectively. This suggests that DMA and NH<sub>3</sub> react synergistically with sulfuric acid to form particles. The synergist effect is due to ammonia's ability to stabilize sulfuric acid clusters long enough for DMA to collide and react with the sulfuric acid-ammonia clusters (Myllys et al., 2019; DePalma et al., 2012; Glasoe et al., 2015).

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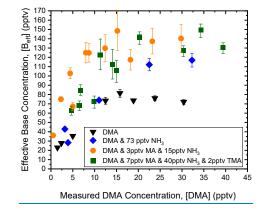


Figure 2: Comparison of  $[B_{eff}]$  and measured dimethylamine (DMA) concentration for dualmulticomponent injections. Mixture experiments for DMA (black triangles), DMA with 73 pptv NH<sub>3</sub> (blue diamonds), DMA with 7 pptv MA, 40 pptv NH<sub>3</sub> and 2 pptv TMA (green squares), and DMA with 3 pptv MA and 15 pptv NH<sub>3</sub> (orange circles). The <u>average</u> sulfuric acid concentration was  $1.4 \times 10^8$ cm<sup>-3</sup> and a <u>nucleation time</u> reaction time of -2 s.

Figure 2 also shows mixtures containing combinations of NH<sub>3</sub>, MA, and TMA with varying amounts of DMA. Again,
 an increase in [DMA] leads to an increase in [B<sub>eff</sub>], and all mixture curves display an enhancement to nucleation compared to pure sulfuric acid-DMA nucleation. A slight variation
 There is no significant distinction in the trends of [B<sub>eff</sub>] between the 3 and 4 component mixture curves. This could be due to the higher base concentrations in the 3 and 4 component these systems compared to the sulfuric acid concentration which results in particles being formed at the sulfuric acid collision limit. Addition of more bases could also help grow particles, causing higher coagulation losses not captured in the coagulation loss term in NPM.

in  $[B_{eff}]$  between the 3 and 4 component mixture curvesAs discussed further in the SI, NPM only accounts for coagulation with up to particles up to of N<sub>8</sub> in size, indicating that NPM may not be capturing coagulation effects in the system saturated with base. - can be observed since MA, NH<sub>2</sub>, and TMA concentrations do not change significantly. Additionally,  $[B_{eff}]$  is ~ 60 pptv at 10 pptv of DMA injected in the DMA and NH<sub>3</sub> curve in Fig. 2, while  $[B_{eff}]$  is ~100 pptv at 10 pptv of DMA injected

- 200 intofor the 3 and 4 component mixture curves in Fig. 2. These observations imply that NH<sub>3</sub> and DMA synergistic reactions are reacting synergistically with sulfuric acid, while MA and TMA are individually reacting with sulfuric acid to form particles contribute the additional 40 pptv to [B<sub>eff</sub>]. However, a computational chemistry model is required to draw further conclusions on how these molecules are reacting in a complex mixture. still the dominant nucleation pathway and contribute the most to [B<sub>eff</sub>] while MA and TMA potentially react separately with sulfuric acid to form particles. OverallRegardless,
- 205 observations from Fig. 2 indicate that NPM-can determines to what extent how a complex mixture of compounds will enhances sulfuric acid nucleation solely using measurements from the vwCPC.

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A significant amount of The measured uncertainty scatter in [Beff] is observed for the mixture experiments in Fig. 2 is higher than compared to the singlehe single-component results (Fig.ure 1). The uncertainty error bars were was estimated from the standard deviation in the concentration of particles for each experiment. Fluctuations in particle concentrations capture the 210 small variation in injected base concentrations, as well as disruption to the flow profiles. Additionally, the mixture experiments were measured over multiple days while many of the single component measurements were taken in 1-2 days. There are likely small day-to-day changes in the mixing within the dilution system which would increase the uncertainty across a longer time frame of measurements. This seatter is potentially due to inconsistent mixing of alkylamines within the injection stream into the flow reactor and/or mixing inside the flow reactor. The overall uncertainty in [Beff] is also primarily influenced by the 215 uncertainty in the particle size distribution, and to a lesser extent the particle concentration measurements, measured concentrations of gas-phase compounds, the flow dynamics within the flow reactor, temperature, and humidity. The estimated systematic uncertainty in PCC measurement of [A1]o and [B] are approximately a factor a two and would not impact the trends observed in Figures 1 and 2 (Zhao et al., 2010; Simon et al., 2016; Erupe et al., 2010). Currently, daily baseline measurements were taken following the procedure in Fomete et al. (2021) to ensure consistent and stable concentrations of both gas-phase 220 and particle-phase compounds within the flow reactor. Furthermore, the measured particle concentrations are not corrected for detection efficiency as it is not known for electrically neutral sulfuric acid-amine 1-nm particles. The detection efficiency of clusters composed of sulfuric acid and amines/ammonia is normally assumed to be similar, and thus accounting for this will not impact the reported [Beff]. In future studies, electrically neutral size distributions will be measured to constrain the coagulation rates in NPM.

#### 225 3.2 Application of Nucleation Potential Model to the AtmosphereEstimation of [Bert] in Various Regions of the World

The NPM was also used to determine how the effective concentration of stabilizing compounds vary around the world. Nucleation rates of 1-nm particles (J<sub>1nm</sub>, which equals the formation rate of N<sub>4</sub>) and sulfuric acid concentrations were obtained from previous field campaigns including in Hyytiälä Forest, Finland (Sihto et al., 2006); Mexico City, Mexico (Iida et al., 2008); Atlanta, Georgia (McMurry and Eisele, 2005); Boulder, Colorado (Eisele et al., 2006); and Beijing, China (Cai 230 et al., 2021). The equations of the NPM (Equation S1) were solved at steady state to determine [Beff] from the observed J<sub>1nm</sub>, and coagulation rates of each cluster to pre-existing particles were calculated from the Fuch's surface area for Atlanta, Boulder, Mexico City, and Hyytiälä (Kuang et al., 2010). Figure 3 shows how [Beff] varies based on measured [A1]. Each location exhibits clear differences in the range of [Beff] regardless of measured sulfuric acid concentration. For example, Beijing shows the highest [Beff] at -15 pptv of any location with an average value of 2 pptv, indicating high concentrations of potent stabilizing 235 compounds (e.g., DMA). The [Beff] for Beijing are consistent with the measured [Beff] of single-component injection of [DMA]~42-52 pptv (Fig.ure 1) which matches is similar to the measured [DMA]=2-3 pptv concentration at Beijing (Cai et al., 2021). Interestingly, the [Beff] of Beijing does not reflect the higher [Beff] from synergistic sulfuric acid nucleation with ammonia and DMA (Fig. 2), suggesting that other compounds could be preventing this synergistic nucleation pathway from dominating in Beijing during the time period that nucleation rates were measured. In addition, the [Beff] observed in Beijing

- 240 contrasts with the other locations.-Specifically, Hyytiälä Forest, where [Berf] <<-0.02 +-2 pptv, is lower than even sulfuric acid-ammonia nucleation in the labshown in Fig. 1. (Fig. 1) and agrees with the previously measured [DMA] <1 pptv in this area. (Sipilä et al., 2015).-Mexico City and Atlanta are moderately polluted cities and exhibited [Berf] of 0.8 and 0.1 pptv respectively. These values are less than Beijing, but higher than Boulder, or and the Hyytiälä Forest, suggesting thatese Mexico City and Atlanta contain moderate amounts and types of nucleating precursors.</p>
- 245 The values of [B<sub>eff</sub>] for all the sites except Beijing are lower than observed in the laboratory (Fig. 1 and 2). Mexico-City and Atlanta are moderately polluted cities and exhibited [B<sub>eff</sub>]-4.8 pptv and better matches sulfuric acid ammonia nucleation (Fig. 1). This could be due to uncertainties in calculating Significant discrepancies between the values of [B<sub>eff</sub>] in the laboratory and field measurements occur for Mexico City, Atlanta, Boulder, and Hyytiala. Some of this discrepancy is likely due to the differences in how the data for each field campaign was measured. For example, J<sub>eff</sub> from >3 nm particle
- 250 size distributions mucleation rates in Hyytiälä, Mexico City, Atlanta, and Boulder- whereaswere interpolated from particle size distributions that had a 3 nm cut point. J<sub>4nm</sub> was measured directly during the Beijing campaign. on the other hand, was able to directly measure J1nm. Additionally, Beijing data included a condensation sink measurement with each measured nucleation rate, while the remaining locations only had a few averaged condensation sink measurements for the entire campaign. Having accurate real-time measurements of the condensation sink is preferred to due the model's sensitivity to this parameter. Beijing
- 255 also exhibited thehad some of the highest nucleation rates and condensation sink rates, while also having the lowest concentration of sulfuric acid. This means [B<sub>eff</sub>] would need to increase to account for the higher nucleation rates with all other variables held constant. In the future, NPM field measurements will be conducted with a flow reactor at a known concentration of sulfuric acid to reduce the number of differences between field measurements and laboratory experiments. In addition, the lowest amine concentration examined in laboratory experiments for Fig. 1 and 2 was 1-2 pptv which may be higher than what
- 260 occurred during the campaigns in Hyytiälä, Mexico City, Atlanta, and Boulder. Another reason the field [B<sub>eff</sub>] are lower than observed in the laboratory is that other compounds exist in the atmosphere that help supress sulfuric acid nucleation. These unknown compounds would lower [B<sub>eff</sub>]. Further laboratory experiments are needed to better understand which and how specific compounds interfere with sulfuric acid nucleation. Additionally, more granular laboratory measurements will be taken of base concentrations between 0-10 [pptv] as these concentrations are more atmospherically relevant for many of these compounds.

\_Differences in temperature and relative humidity also play a role in  $[B_{eff}]$ . However, these differences may not besignificant. as  $[B_{eff}]$  between the Hyytiälä Forest (~0 °C) and Boulder, CO (~22 °C) are similar. A lower temperature should increase  $[B_{eff}]$  but Hyytiälä Forest (~0 °C) is lower than observed for Boulder (~22 °C). Boulder air quality is more impacted by agriculture (Flocke et al., 2020)(Zhao et al., 2011) and should contain more basic compounds which likely explains the

270 higher [Beff] compared to Hyytiälä Forest (Sipilä et al., 2015). This implies that the precursor compound concentration/composition plays a more significant role in [Beff] than temperature. However, moreFuture worklaboratory experiments are needed to determine -will include an exploration into how [Beff] is impacted by temperatureT and RH asnet this information. This will is be critical to understandpredicting how [Beff] varies around the world. Future workAdditionally.

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will<u>future field measurements will also</u> include the direct measurement of [Beff] via controlled reactions of atmospheric air with a known concentration of sulfuric acid for a more direct comparison of laboratory and field measurement results. 275 RegardlessOverall, these observations demonstrate that [Beff] reflects the composition and concentration of stabilizing compounds detected in the atmosphere and can be used to model sulfuric acid nucleation rates in diverse areas.

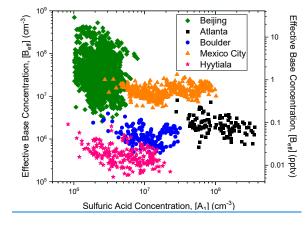


Figure 3: Comparison of the effective base concentration ([Beff]) at various measured sulfuric acid concentrations ([A1]) across five locations: green diamonds Beijing, China; red triangles Mexico City, Mexico; black squares Atlanta, Georgia; blue circles Boulder, Colorado; and pink stars Hyytiälä Forest, Finland.

Figure 4 compares [Beff] to the weighted amine concentration ([DMA] + 0.2[TMA]) measured in Beijing (Cai et al., 280 2021). In Figure 4,  $[B_{eff}]$  and the weighted amine concentration are positively correlated with a slope of  $0.\frac{7691}{1000}$ , indicating that [Beff] is sensitive to the amine concentration over a wide range of sulfuric acid concentrations. Furthermore, the data were divided into October, November, and December (2018) to explore how the seasons may affect precursor concentrations and nucleation rates. For October, more variation in [Beff] is observed when compared to the weighted amine concentration. This variation could be due to weather and temperature changes that enhance or reduce sulfuric acid nucleation rates. Additionally, other compounds likely exist in Beijing that nucleate with sulfuric acid which were not reported. November and December are

significantly colder in Beijing, which would correlate with higher fuel (e.g., coal) burning and greater emissions of sulfuric

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acid and amines.

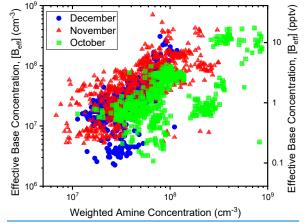


Figure 4: Comparison of effective base concentration from NPM ([Beff]) with the weighted amine concentration measured in Beijing, China in 2018. October greenblack measurements are squares, November orange triangles, and December blue circles.

#### 4 Conclusion

radiative balance.

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The Nucleation Potential Model (NPM) is presented that simplifies predicting sulfuric acid nucleation rates in the complex atmosphere with two precursor concentrations: sulfuric acid and an effective base concentration ([Beff]). The effective base concentration captures the amounts and types of stabilizing compounds that enhance sulfuric acid nucleation rates. NPM was applied to systems containing up to four atmospherically relevant bases reacting with sulfuric acid in a flow reactor. [Beff] was determined from measured 1-nm particle concentrations, and its value depends heavily on the presence of strong stabilizing compounds, such as DMA and TMA, and their concentrations. [Beff] values also reflect synergistic effects between multiple compounds like DMA and ammonia. Finally, NPM was also used to calculate [Beff] in various locations worldwide. 295 Results show how the potency of the complex mixtures varies between polluted and unpolluted environments, and these observations did not require every potential stabilizing compound nucleating with sulfuric acid to be measured. [Beff] can be determined from measured 1-nm particle concentrations produced from controlled reactions between a specified sulfuric acid concentration and a complex mixture. NPM complements current speciated measurements, such as those from a CIMS, by providing additional insights into the potency of combined atmospheric compounds at enhancing sulfuric acid nucleation. 300 NPM and further measurement of [Beff] in diverse locations and seasons will help improve aerosol number concentrations predictions, reduce error in global climate models, and expand understanding of the anthropogenic contribution to Earth's

305 Data Availability: Data is available upon request and will be uploaded to The Index of Chamber Atmospheric Research in the United States (ICARUS).

Author Contribution: JJ and CNJ both conceived of the model and experimental setup. JJ performed the experiments and data analysis. JJ and CNJ contributed to writing the paper.

Competing Interests: The authors declare that they have no conflict of interest

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