

1 **Simulating Wildfire Emissions and Plumerise using**
2 **Geostationary Satellite Fire Radiative Power**
3 **Measurements: A Case Study of the 2019 Williams**
4 **Flats fire**

5 Aditya Kumar^{1*}, R. Bradley Pierce¹, Ravan Ahmadov^{2,3}, Gabriel
6 Pereira⁴, Saulo Freitas⁵, Georg Grell³, Chris Schmidt¹, Allen Lenzen¹,
7 Joshua P. Schwarz⁶, Anne E. Perring⁷, Joseph M. Katich^{7,8}, John Hair⁹,
8 Jose L. Jimenez^{2, 10}, Pedro Campuzano-Jost^{2, 10}, Hongyu Guo^{2,10}

9 ¹Space Science and Engineering Center, University of Wisconsin Madison,
10 Madison, WI, USA

11 ²Cooperative Institute for Research in Environmental Sciences (CIRES),
12 University of Colorado Boulder, Boulder, CO, USA

13 ³NOAA Global Systems Laboratory, Boulder, CO, USA

14 ⁴Federal University of São João del-Rei, MG, Brazil

15 ⁵Center for Weather Forecast and Climatic Studies (CPTEC), Brazil

16 ⁶National Oceanic and Atmospheric Administration Chemical Sciences
17 Laboratory, Boulder, CO, USA

18 ⁷Department of Chemistry, Colgate University, Hamilton, NY, USA

19 ⁸Now at Ball Aerospace, Boulder, CO, USA

20 ⁹National Aeronautics and Space Administration (NASA) Langley Research
21 Center, Hampton, VA, USA

22 ¹⁰Department of Chemistry, University of Colorado Boulder, Boulder, CO, USA

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26 *Correspondence to Aditya Kumar (akumar98@wisc.edu)

29 **Abstract**

30 We use the Weather Research and Forecasting with Chemistry (WRF-Chem) model with new
31 implementations of GOES-16 fire radiative power (FRP) based wildfire emissions and plume-rise
32 to interpret aerosol observations during the 2019 NASA-NOAA FIREX-AQ field campaign and
33 perform model evaluations. We compare simulated aerosol concentrations and optical properties
34 against observations of black carbon aerosol from the NOAA Single Particle Soot Photometer
35 (NOAA-SP2), organic aerosol from the CU High Resolution Aerosol Mass Spectrometer (HR-
36 AMS) and aerosol backscatter coefficients from the High Spectral Resolution Lidar (HSRL)
37 system. This study focuses on the Williams Flats fire in Washington, which was repeatedly
38 sampled during four science flights by the NASA DC-8 (August 3 – August 8, 2019). The
39 emissions and plume-rise methodologies are implemented following NOAA’s operational High
40 Resolution Rapid Refresh coupled with Smoke (HRRR-Smoke) forecasting model. In addition,
41 new GOES-16 FRP based diurnal cycle functions are developed and incorporated in WRF-Chem.
42 The FIREX-AQ observations represented a diverse set of sampled environments ranging from
43 fresh/aged smoke from the Williams Flats fire to remnants of plumes transported over long
44 distances. The Williams Flats fire resulted in significant aerosol enhancements during August 3-
45 8, 2019, which were substantially underestimated by the standard version of WRF-Chem. The
46 simulated BC and OC concentrations increased between 92 – 125 times (BC) and 28-78 times
47 (OC) with the new implementation compared to the standard WRF-Chem version. These increases
48 resulted in better agreement with the FIREX-AQ airborne observations for BC and OC
49 concentrations (particularly for fresh smoke sampling phases) and aerosol backscatter coefficients.
50 The model still showed a low bias in simulating the aerosol loadings observed in aged plumes
51 from Williams Flats. WRF-Chem with the FRP-based plumerise simulated similar plume heights

52 to the standard plumerise model in WRF-Chem. The simulated plume heights (for both versions)
53 compared well with estimated plume heights using the HSRL measurements. Therefore, the better
54 agreement with observations were mainly driven by the higher emissions in the FRP-based
55 version. The model evaluations also highlighted the importance of accurately accounting for the
56 wildfire diurnal cycle and including adequate representation of the underlying chemical
57 mechanisms, both of which could significantly impact model forecasting performance.

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80 **1. Introduction**

81 Wildfires are episodic ecosystem disturbances that play a key role in shaping and overall
82 functioning of terrestrial ecosystems (Bond et al., 2005;Pausas and Ribeiro, 2017) and provide
83 several ecosystem services (Pausas and Keeley, 2019). They also emit large amounts of pollutants
84 into the atmosphere which can have important implications for air quality (McClure and Jaffe,
85 2018;Jaffe et al., 2020), atmospheric chemistry/composition (Xu et al., 2021), human health (Xu
86 et al., 2020), and the Earth’s radiation budget (Jiang et al., 2020). A particular concern associated
87 with wildfire events arises from the serious health effects wildfire smoke can have (e.g. (Reid et
88 al., 2016)). Wildfire regimes (e.g., frequency, size, and severity) have altered significantly over
89 the past few years in the United States (US) with climate change hypothesized to be a major driving
90 force (Flannigan et al., 2000;Holden et al., 2018;Halofsky et al., 2020). These alterations have
91 been predicted to continue in the coming decades (e.g., Pechony and Shindell (2010)) resulting in
92 growing concerns over the potential health impacts. In addition, long-range transport of smoke is
93 a cause of concern for downwind communities.

94 Air quality forecasts generated by computational models are useful to assess the impacts a wildfire
95 event could have on air quality (in the vicinity of the fire as well as at far away locations) and
96 consequently the risk posed on human health due to smoke exposure. Thus, the accuracy of air
97 quality forecasts both during fire events and in general is of paramount importance as highlighted
98 by previous studies (e.g., Kumar et al. (2018);Al-Saadi et al. (2005)). Computational models used
99 to provide air quality forecasts rely on a continuous ingestion of fire detections and properties
100 available from either polar-orbiting or geostationary satellites and are run with the latest available
101 information to generate smoke forecasts for the next few days (typically 36 to 72 hours). There are

102 several forecasting systems that have these models as a basis. Recently, Ye et al. (2021) have
103 discussed and evaluated these forecasting systems during the **Fire Influence on Regional to Global**
104 **Environment and Air Quality (FIREX-AQ)** field campaign in detail. The ability of computational
105 models to accurately simulate air quality impacts during wildfire events is critically dependent on
106 the inputs such as the estimated emissions, the simulated altitude of the emissions (smoke injection
107 height, or plume-rise) (Val Martin et al., 2012;Carter et al., 2020) and meteorological variables
108 (e.g., wind direction).

109 Wildfire emissions in the past have primarily been estimated following the model of Seiler and
110 Crutzen (1980). There have been several fire emission inventories compiled over the years which
111 use this methodology as the fundamental basis (e.g., **Global Fire Emissions Database (GFED)**
112 (Van Der Werf et al., 2004;2006;2010;2017), **Fire INventory from the National Center for**
113 **Atmospheric Research (FINN)** (Wiedinmyer et al., 2011)). However, this method is prone to
114 uncertainties given the large number of parameters involved (burned area estimates, available
115 biomass density, combustion efficiencies). Significant advances have been made in estimating the
116 burned area with refined global estimates available. However, the uncertainties associated with
117 available biomass density (ABD) and combustion efficiency estimates are particularly large and
118 persist (e.g., (Reid et al., 2009)). An alternative emissions estimation approach is based on using
119 the remote-sensing measurements of fire radiative power (FRP) and has formed the basis of
120 multiple recent emission inventories (e.g., **Global Fire Assimilation System (GFAS)** (Kaiser et al.,
121 2012), **Quick Fire Emissions Dataset (QFED)** (Darmenov and da Silva, 2015)). A major advantage
122 FRP based approaches like GFAS provide is the ability to leverage key relationships, e.g., land
123 cover specific consumption rates, from more comprehensive biogeochemical datasets like GFED
124 in near-real time. In addition, Wiggins et al. (2020) found significant correlations between GOES-

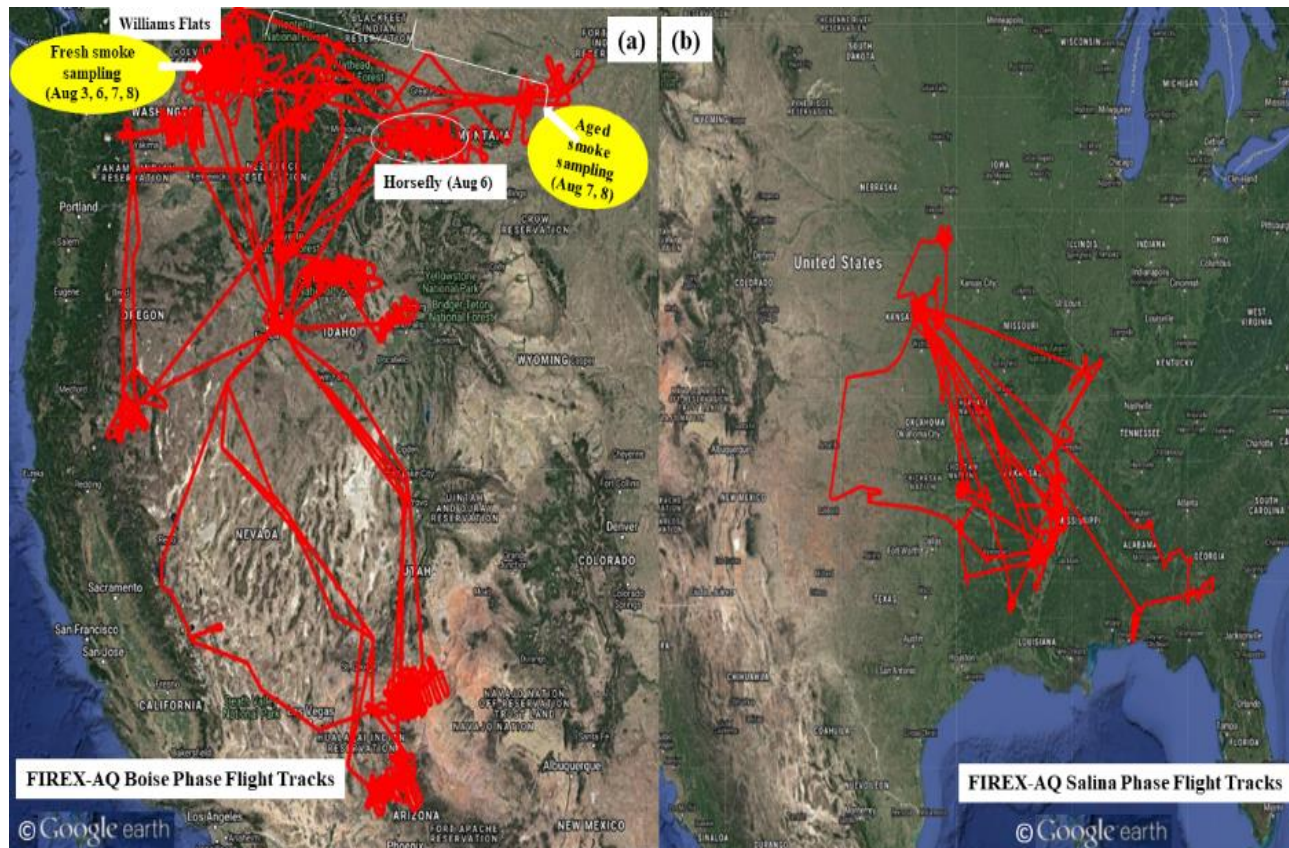
125 16 FRP and in-situ measurements of important smoke tracers (e.g., CO₂, CO). Wiggins et al.
126 (2021) discuss in detail the differences in the two approaches to estimate fire emissions and the
127 underlying uncertainties.

128 In contrast to fire emission inventories, the issue of estimating plume-rise in computational models
129 has received considerably less attention. There have been a few plume rise approaches developed
130 in the past with a detailed list provided by Val Martin et al. (2012). The approach developed by
131 Freitas et al. (2007) (updates in Freitas et al. (2010)) has been the most commonly used. It has been
132 evaluated by past studies (e.g., (Val Martin et al., 2012)) and has been embedded in several
133 computational models including the **Weather Research and Forecasting with Chemistry (WRF-**
134 **Chem)** model (described in Section 2). In recent work, a modified version of this approach has
135 been included in the **High- Resolution Rapid Refresh coupled with Smoke (HRRR-Smoke)**
136 forecasting model (described in Section 2) run operationally at the **National Oceanic and**
137 **Atmospheric Administration (NOAA)**. The modified plume-rise approach incorporates FRP in
138 computing the plume-rise. HRRR-Smoke also includes an FRP-based approach to estimate fire
139 emissions. However, the HRRR-Smoke FRP-based approaches of estimating emissions and
140 plume-rise together with GOES-16 FRP measurements have not been implemented in other
141 computational models and no previous studies exist focusing on field observations based
142 evaluation of the performance in WRF-Chem.

143 The 2019 FIREX-AQ field campaign (Roberts et al., 2018) was jointly led by the **National**
144 **Aeronautics Space Administration (NASA)** and **NOAA**. The campaign took place during July –
145 September 2019 in two phases. The first phase was held out of Boise (ID) (Figure 1 (a)) in the
146 Western US ((July – August 2019) referred to as phase 1 hereon) and the second phase was out of

147 Salina (KS) (Figure 1(b)) ((August – September 2019) referred to as phase 2 hereon) in the South-
148 Eastern US.

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151 **Figure 1: NASA DC-8 flight tracks during the Boise phase (a, left) and Salina phase (b, right)**
152 **of the 2019 FIREX-AQ field campaign. The locations of Williams Flats fire and Horsefly fire**
153 **which are the main focus of this study are shown (in white) along with the sampling dates**
154 **and details. Image: © Google Earth**

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156 Phase 1 focused on wildfires primarily in the Western U.S. while Phase 2 was aimed at sampling
157 agricultural (and prescribed) fires in the South-Eastern U.S. The campaign included a suite of
158 measurement platforms aimed at sampling fire smoke at different altitudes and different times of
159 the day. The goal of the campaign was to improve the current scientific understanding of fire
160 behavior, fire smoke chemistry and its impact on atmospheric composition and air quality.
161 Multiple airborne (NASA DC-8, NASA ER-2, NOAA CHEM-Twin Otter, and NOAA MET-Twin

162 Otter) and ground based measurement platforms were employed during the campaign to get a
163 comprehensive sampling of the fires of interest. Mobile ground-based platforms (e.g., Aerodyne,
164 NASA Langley Mobile Laboratory) provided high resolution ground level sampling of fire smoke.
165 Wildfires occurring in different ecosystems and meteorological conditions and agricultural fires
166 involving burning of different crop types were sampled using a suite of instruments aboard the
167 different aircrafts. High temporal resolution measurements (typically 1 Hz, up to 20 Hz for some
168 sensors) of important trace gas species (e.g., CO, O₃, NO_x, and VOCs) and aerosols (e.g., BC, OC)
169 were carried out aboard the different aircraft. High Spectral Resolution Lidar (HSRL)
170 measurements of aerosol optical properties are also available for all DC-8 flights of the campaign.
171 This study uses the WRF-Chem model with FRP-based fire emissions and plume-rise estimation
172 methodologies employed in the HRRR-Smoke forecasting system to interpret aerosol observations
173 during the FIREX-AQ field campaign and perform evaluations of retrospective aerosol forecasts
174 with in-situ measurements available from the FIREX-AQ field campaign. Section 2 of this paper
175 provides a general overview of the modeling tools including the WRF-Chem and the HRRR-
176 Smoke models. Section 3 describes the data products used in this study including the GOES-16
177 fire product and in-situ measurement data available from FIREX-AQ. Section 4 presents
178 discussion/interpretation of the FIREX-AQ observations and results from the model evaluation for
179 the respective FIREX-AQ DC-8 science flights.

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184 **2. Methodology**

185 **2.1. The WRF-Chem model**

186 The WRF-Chem model (Grell et al., 2005) is a model of meteorology, atmospheric
187 chemistry/physics, and transport. It builds on the existing WRF model (Skamarock et al.,
188 2019; Powers et al., 2017), which is primarily a weather forecasting model, by including full
189 coupling of the meteorological component with a chemistry component. WRF-Chem uses the
190 Advanced Research WRF (ARW) dynamical core to solve the flux-form of the non-hydrostatic
191 Euler equations. It uses the Arakawa Staggered C-Grid horizontally whereas the vertical levels in
192 the model are defined using a terrain following sigma-hybrid coordinate system (Skamarock et al.,
193 2019) [Section 3.2 and Section 1.2](Arakawa and Lamb, 1977). The WRF Preprocessing System
194 (WPS) is the input pre-processing component of WRF-Chem. It is used to pre-process the
195 terrestrial (e.g., 2-D vegetation, soil data) and meteorological (e.g., 3-D temperature, pressure
196 fields) data to be compatible with the WRF-Chem configuration (model domain extent, grid size
197 etc.). The chemistry component includes emissions of atmospheric species (anthropogenic,
198 biogenic, geogenic (dust and volcanoes), fires), chemical mechanisms for gas-phase species and
199 aerosols and atmospheric loss processes. Each chemical mechanism can either be coupled with
200 aerosol schemes or run by itself. Dry deposition parameterization in the model follows the
201 resistance-based scheme of Wesely (1989). The model supports both 1-way and 2-way horizontal
202 nesting. WRF-Chem includes several schemes for microphysics (e.g., WRF Single-Moment 3-
203 Class (WSM3) (Hong et al., 2004), Thompson (Thompson et al., 2004;2008) etc.), surface layer
204 (e.g. Revised MM5 similarity theory (Jiménez et al., 2012), deep/shallow cumulus
205 parameterization (e.g., Grell-Freitas scheme (Grell and Freitas, 2014), GRIMs scheme (Hong and

206 Jang, 2018)), land surface (e.g. NOAH land surface model (Chen and Dudhia, 2001), planetary
207 boundary layer (e.g. Yonsei University PBL scheme (Hong et al., 2006)), and atmospheric
208 radiation (e.g. Rapid Radiative Transfer Model for GCMs (RRTMG scheme) (Iacono et al., 2008)).

209 We use the WRF-Chem version run in real-time at the University of Wisconsin Madison Space
210 Science and Engineering Center (WRFv3.5.1 and referred to as WRF-Chem hereon). It is a 1-way
211 nested version of WRF-Chem and comprises of a regional domain spanning the continental United
212 States (CONUS) with a horizontal spatial resolution of 8 km and 34 vertical layers (Greenwald et
213 al., 2016). This model is used to provide daily chemical forecasts (currently for aerosols only) over
214 CONUS and was one of the participating models providing chemical forecasting assistance for
215 flight planning during FIREX-AQ. It uses the **Goddard Chemistry Aerosol Radiation and**
216 **Transport/Georgia Tech-Goddard Global Ozone Chemistry Aerosol Radiation and Transport**
217 **(GOCART)** mechanism to simulate tropospheric aerosol components (Chin et al.,
218 2000a;2000b;2002;Ginoux et al., 2001). The simulated aerosol components include sulfate (SO_4^{2-}
219), hydrophilic and hydrophobic organic (OC) and black carbon (BC), dust, and sea-salt (SS) with
220 no secondary organic aerosol (SOA) formation. No size distributions are included for SO_4^{2-} , OC
221 and BC while a sectional scheme is used for dust (0.5, 1.4, 2.4, 4.5, 8.0 μm and SS (0.3, 1.0, 3.2,
222 7.5 μm). GOCART uses an organic aerosol (OA)/OC ratio of 1.8, which is generally appropriate
223 for fresh biomass burning organic aerosol emissions (Andreae, 2019) but low for more aged
224 aerosol (Hodzic et al., 2020). The Aerosol Optical Depth (AOD) in the model is calculated at 550
225 nm by vertical integration of the aerosol extinction using Mie scattering based look-up tables of
226 effective radius and extinction coefficients as a function of relative humidity. Hygroscopic growth
227 is accounted for by determining hygroscopic growth factors from look-up tables computed using
228 Mie theory following Martin et al. (2003) and extinction efficiencies are used as a function of mole

229 fraction. The microphysics scheme is from Thompson et al. (2004), a modified version of the
230 Rapid Radiative Transfer Model radiative scheme (RRTMG) is used for both shortwave
231 (RRTMG_SW) and longwave (RRTMG_LW) radiation along with the Noah Land Surface Model
232 (Noah-LSM) and the Mellor-Yamada-Janjic (Eta) surface layer scheme (Janjic, 1996;2002).

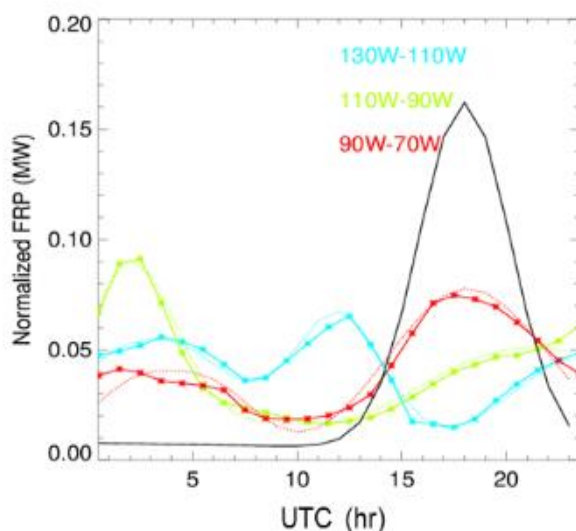
233 The initial (ICs) and lateral boundary conditions (LBCs) for meteorology and aerosol species (SO₂,
234 SO₄²⁻, Dimethyl sulfide (DMS), BC, OC, dust, SS) are from the **Global Forecast System (GFS)** and
235 the global component of the **Realtime Air Quality Modeling System** (referred to as RAQMS
236 hereon) (Pierce et al., 2003;2007;Natarajan et al., 2012) respectively. RAQMS combines chemical
237 modeling and assimilation to provide 4-day global chemical forecasts. The version providing
238 chemical ICs/LBCs for this study uses the GOCART mechanism, fire detections from MODIS,
239 has a spatial resolution of 1° x 1° and the University of Wisconsin (UW) hybrid isentropic
240 coordinate model as the dynamical core (Schaack et al., 2004). It has 35 vertical levels extending
241 from the surface to the upper stratosphere (terrain-following at the surface to isentropic in the
242 stratosphere). The modeling system is initialized with assimilation of total column ozone from the
243 **Ozone Monitoring Instrument (OMI)**, ozone profiles from MLS and AOD from MODIS. It also
244 includes comprehensive stratospheric and tropospheric chemistry mechanisms (Pierce et al.,
245 2007), which have been extensively evaluated (Kiley et al., 2003;Fairlie et al., 2007;Pierce et al.,
246 2009;Al-Saadi et al., 2008;Natarajan et al., 2012;Yates et al., 2013;Sullivan et al., 2015;Baylon et
247 al., 2016;Huang et al., 2017).

248 WRF-Chem employs the PREP-Chem (v1.3) emissions preprocessor (Freitas et al., 2011) to
249 compute daily emissions of atmospheric species. These emissions include anthropogenic, fires,
250 volcanic, and biogenic sources, which are input to WRF-Chem at the start of a simulation. Fire
251 emissions are based on the Brazilian Biomass Burning Emission Model (3BEM) (Longo et al.,

252 2010), which is a fire burned area based bottom-up approach. The original version of the model
253 was designed to use remote-sensing observations from both geostationary and polar-orbiting
254 satellites. The geostationary satellite data was from the GOES WF_ABBA product which included
255 the instantaneous fire size whereas for polar orbiting satellites a mean fire size was assumed. The
256 details of this approach are provided in Freitas et al. (2011). 3BEM computes daily emissions for
257 110 species for each fire location. PREP-Chem at UW Madison has been modified to use only the
258 GOES-16 Fire Detection and Characterization (FDC) product (described in Section 3.1). The
259 GOES-16 FDC algorithm is an extension of the GOES Wildfire Automated Biomass Burning
260 Algorithm (Section 3.1). Aboveground carbon density estimates are based on Olson et al. (2000)
261 with later updates by (Gibbs, 2006;2007). The land cover data (Belward, 1996;Sestini et al., 2003)
262 has a 1 km spatial resolution and 17 land cover types based on the International Geosphere-
263 Biosphere Program (IGBP) land cover classification. Combustion factors and emission factors are
264 based on look up tables. Emission factors are from Andreae and Merlet (2001) and Longo et al.
265 (2009). The plume-rise model (Freitas et al., 2007;2010) is embedded in WRF-Chem and is a 1-
266 D time-dependent entrainment plume model. This model is used to simulate the vertical
267 distribution of emissions/plumerise for each WRF-Chem grid cell with a fire. It takes as input the
268 emissions for the grid cell, fire properties (e.g., fire size), and other parameters (e.g., meteorology,
269 land cover). The model provides as output the lower and upper levels between which the emissions
270 are to be distributed. PREP-Chem computes daily emissions for each fire location, aggregates them
271 on the 8km x 8km WRF-Chem grid and provides them as input (together with fire properties (e.g.,
272 fire size)) for WRF-Chem and its plumerise model, which distributes the emissions in the vertical
273 domain. The diurnal cycle of wildfire emissions is simulated by using an analytical function which

274 peaks at 18Z (Figure 2 (black curve)). This is the default diurnal cycle available with WRF-Chem
275 and was developed based on fires in the Amazon (Freitas et al., 2011).

276 In operational/forecast mode, the model provides a 60-hour forecast every day. The forecast runs
277 are initialized at 0000 UTC and use fire detection and meteorology data from the previous day.
278 Fires are assumed to persist throughout the forecasting period. For this study, WRF-Chem was run
279 for 36-hour periods in retrospective mode with a specific focus on the Boise phase of the FIREX-
280 AQ field campaign.



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282 **Figure 2: The diurnal cycle functions (solid lines (green, blue, and red)) developed based on**
283 **GOES-16 FRP data during the FIREX-AQ period. The original WRF-Chem diurnal cycle**
284 **function is also shown (solid black line). The dashed lines (green, blue, and red) show the**
285 **normalized FRP.**

286 In retrospective mode, the model has the same configuration as the forecast mode except that fire
287 detections are for the current day, and the NOAA National Center for Environmental Prediction
288 (NCEP) Global Data Assimilation System (GDAS) (Wang and Lei, 2014) is used for initial and
289 lateral boundary meteorological conditions and RAQMS is used for initial and lateral boundary
290 aerosol conditions. The modeling experiments consisted of two sets of simulations with different

291 WRF-Chem versions. Set 1 included the WRF-Chem version with the default PREP-Chem v1.3
292 fire emissions estimates, the Freitas et al. (2007) plumerise model described earlier in this section
293 (referred to as the 3BEM version hereon), and the diurnal cycle function peaking at 18Z. Set 2
294 included the version with fire radiative power (FRP) based emissions estimates and plumerise
295 model (referred to as FRP version hereon). Both Set 1 and Set 2 runs use the same emission factors
296 from Andreae and Merlet (2001) and Longo et al. (2009). The FRP based updates are implemented
297 following the **H**igh **R**esolution **R**apid **R**efresh **S**moke (HRRR-Smoke) modeling system which is
298 a forecasting modeling system providing high temporal and spatial resolution (3 km) smoke
299 forecasts for CONUS (using the VIIRS fire product) (described in the next section). We also
300 developed new diurnal cycle functions (solid red, blue, and green curves in Figure 2) by adapting
301 the default analytical function (shown in black in Figure 2) to match the mean diurnal GOES-16
302 FRP profiles within three different longitude bands over the FIREX-AQ period (August-
303 September 2019). The default diurnal cycle function for biomass burning emissions in WRF-Chem
304 is a Gaussian function peaking at 18UTC (Freitas et al 2011). The GOES-16 FRP measurements
305 during the FIREX-AQ period (August – September 2019) were divided into three zones based on
306 longitude (zone 1 (blue in Figure 2): -130W to -110W, zone 2 (green in Figure 2): -110W to -90W
307 and zone 3 (red in Figure 2): -90W to -70W) and the mean FRP diurnal profiles were constructed
308 for each zone. The default diurnal cycle function used in WRF-Chem was iteratively adjusted to
309 match the FRP profiles for each zone resulting in three diurnal cycle functions. These diurnal
310 functions were used in the FRP version.

311 **2.3. HRRR-Smoke model**

312 The **H**igh **R**esolution **R**apid **R**efresh **S**moke (HRRR-Smoke) model is a 3-D forecasting model
313 (<https://rapidrefresh.noaa.gov/hrrr/HRRRsmoke/>), which is run at NOAA/NCEP. It uses a single

314 smoke tracer to simulate smoke emissions and transport at a high spatial and temporal resolution
315 to provide real-time smoke forecasts. The model domain spans the CONUS with a horizontal
316 spatial resolution of 3 km and 50 vertical levels. HRRR-Smoke forecasts are initialized every hour
317 using the HRRR meteorological analyses with the forecast lead times varying between 18-48
318 hours. HRRR-Smoke is a coupled model where the direct radiative effects of smoke feedback on
319 the dynamics. The model uses fire location (latitude, longitude) and FRP measurements from 4
320 polar orbiting satellites, 2 (Suomi-NPP and NOAA-20) for VIIRS (375m resolution I-band Active
321 Fire (AF) algorithm which is based on the Moderate Resolution Imaging Spectroradiometer
322 (MODIS) Collection 6 retrieval (Giglio et al., 2016)) and 2 (Terra and Aqua) for MODIS. It
323 employs an FRP based methodology to estimate fire smoke emissions and simulate plume-rise in
324 the model. Smoke emissions in HRRR-Smoke are estimated by using FRP measurements to derive
325 the fire radiative energy (FRE) over the fire duration (Ahmadov et al., 2017). The biomass burned
326 is estimated by multiplying the FRE estimates with conversion coefficients from Kaiser et al.
327 (2012). The model accounts for variation in these coefficients across ecosystems by using
328 ecosystem specific conversion coefficients. The land cover types in HRRR-Smoke are defined
329 following the IGBP land cover classification (17 land cover types). The plume-rise in the model
330 is based on Freitas et al. (2007) with heat energy flux estimation parameterized as a function of
331 FRP per unit fire size. HRRR-Smoke forecasts and simulations have been comprehensively
332 evaluated for several fire seasons. These evaluations have included comparisons with hourly PM_{2.5}
333 measurements from the U.S. EPA Air Quality System Network at multiple sites in the Washington
334 state during the 2015 fire season (Deanes et al., 2016). The HRRR-Smoke model forecasts for
335 FIREX-AQ were evaluated by Ye et al. (2021) using aircraft in-situ and remote sensing
336 measurements.

337 **3. Data**

338 **3.1. GOES-16 Fire Product**

339 GOES-16/GOES-East was the first in NOAA's GOES-R series of geostationary satellites. It was
340 launched in November 2016 and occupies an orbit over 75.2°W. The **A**dvanced **B**aseline **I**mager
341 (ABI) is a 16-channel (2 visible, 4 near-infrared, 10 infrared) passive imaging radiometer onboard
342 GOES-16. It provides imagery of the Earth's surface and the atmosphere at very high spatial (2
343 km for infrared bands) and temporal (5 min for CONUS, 15 min for the Western Hemisphere/Full-
344 Disk) resolutions and includes several features that can be used to improve fire detection and
345 emissions estimation. For example, the finer spatial and temporal resolution of ABI data would
346 enable detection of small and short-lived fires. Under clear sky conditions, the minimum detectable
347 size of a fire (mean temperature: 800K) is estimated to be 0.004 km² at the sub-satellite point.
348 Short-lived fires are often missed by polar-orbiting satellites due to their limited temporal
349 coverage.

350 The **F**ire **D**etection and **C**haracterization (FDC) product is one of the multiple GOES-16 ABI
351 derived baseline products. The product has a spatial resolution of 2 km and is available for CONUS
352 every 5 minutes. It uses a modified version of the Wildfire Automated Biomass Burning Algorithm
353 (WF-ABBA) (Prins and Menzel, 1992;1994;Prins et al., 1998;2001;Schmidt and Prins, 2003)
354 developed specifically for the ABI (referred to as ABI algorithm hereon). The ABI algorithm
355 primarily relies on retrievals in the 3.9 and 11.2 μm spectral bands (ABI channels 7 and 14) and
356 channel 2 (if available during daytime) to identify fires and derive sub-pixel fire properties in a
357 two-step process consisting of identifying potential fires and subsequently filtering out false
358 alarms. The algorithm uses several ABI (brightness temperatures/radiances (Channels 7 and 14

359 required, Channels 2 and 15 are optional), solar geometry and ABI sensor quality 3BEM flags)
360 and non-ABI datasets (Global land cover classification, land/sea/desert mask from MODIS 5
361 collection, NCEP total precipitable water, MODIS global emissivity) in the process of deriving
362 the final fire product. The product provides fire detection locations (latitude, longitude), fire
363 properties (e.g., sub-pixel instantaneous fire size, fire radiative power, fire brightness temperature
364 etc.) and a metadata mask classifying each detection into one of six categories (Code 10(30):
365 Processed fire (sub-pixel fire size and temperature estimated), Code 11(31): Saturated fire pixel,
366 Code 12(32): Cloud contaminated (partially cloudy/smoke), Code 13(33): High probability fire,
367 Code 14 (34): Medium probability fire and Code 15(35): Low probability fire. The codes in
368 parenthesis are used when the detection also passes a temporal filtering test). We only use Codes
369 10(30) in this study due to the availability of both FRP and fire size estimates. The sub-pixel
370 instantaneous fire size and temperature is estimated using the Dozier technique (Dozier, 1981).
371 The Dozier method utilizes the total radiances in the 3.9 and 11.2 μm spectral bands and the
372 respective radiances in these bands from the fire and the background to solve for the proportion of
373 each ABI pixel that is on fire. Under realistic conditions (likely to be encountered in an operational
374 environment), Giglio and Kendall (2001) estimated that the random errors (at one standard
375 deviation) in estimating the fire size could be within 50% when the proportion of the pixel on fire
376 is more than 0.005. For proportions lower than 0.005, both the systematic and random errors could
377 be greater. GOES-16 data for the FIREX-AQ campaign period was available publicly.

378 **3.2. NASA DC-8 Airborne Observations from FIREX-AQ**

379 380 **3.2.1. Black Carbon Measurements from the NOAA Single-Particle Soot Photometer (SP2)**

381 We use refractory Black Carbon (rBC) measurements from the NOAA Single Particle Soot
382 Photometer (SP2) (Schwarz et al., 2006;2008;2010a;2017;Perring et al., 2017) to evaluate WRF-
383 Chem simulated BC. Henceforth, we use the terminology BC to refer both to the material
384 quantified by the SP2, and the modeled species. The SP2 is primarily used to measure the
385 refractory Black Carbon (rBC) mass content of individual accumulation mode aerosol particles.
386 These mass estimates are independent of the particle mixing state or morphology. The instrument
387 has been used on various research aircrafts to provide airborne rBC in-situ measurements in
388 multiple field campaigns (e.g., NASA DC-8 (SEAC4RS) (Perring et al., 2017), NSF/NCAR GV
389 (HIPPO)(Schwarz et al., 2010b)). The SP2 flew onboard the NASA DC-8 for both the Boise and
390 Salina phases of the FIREX-AQ field campaign and provided in-situ measurements of rBC mass
391 concentration (ng -BC/std. m^3 , (1013 mb pressure and 273K temperature) at 1-Hz frequency. The
392 rBC concentrations reported by the SP2 include final calibrations and adjustments for dilutions, a
393 correction factor to account for the non-detected rBC (sizes outside of SP2 detection range (90-
394 550 nm)) as well as rejection of highly contaminated (due to high concentrations) observations.
395 Smaller concentration biases also occurring under high aerosol loadings (Schwarz et al., under
396 review 2021) but affecting rBC concentrations by well less than 20% have not been corrected.
397 Total uncertainty in accumulation-mode rBC concentrations measured by the SP2 are $\leq 40\%$ in
398 FIREX-AQ. As GOCART does not resolve BC aerosol size, and most BB emissions occur in this
399 size range, measurement bias relative to the model is negligible in the context of the \sim order of
400 magnitude shifts arising from emissions treatments explored here.

401 **3.2.2. Organic Aerosol Measurements from the University of Colorado Boulder Aircraft**

402 **High-Resolution Time-of-Flight Aerosol Mass Spectrometer**

403 We use OA mass concentration measurements from The University of Colorado Boulder Aircraft
404 High-Resolution Time-of-Flight Aerosol Mass Spectrometer (CU HR-ToF-AMS) and use the
405 provided OA/OC ratio (based on (Aiken et al., 2008;Canagaratna et al., 2015)) to derive OC
406 concentrations for comparison to the WRF-Chem simulated OC concentrations (Note: OA/OC is
407 not computed for OA values under the detection limit, and for those datapoints a value of 1.8
408 OA/OC was used, consistent with the GOCART assumptions). The CU HR-ToF-AMS (DeCarlo
409 et al., 2006) can be used to perform high temporal resolution (demonstrated ability of
410 measurements at 0.1 Hz (Guo et al. (in prep)) measurements of bulk organic aerosol with extensive
411 characterization of its intensive properties (e.g., O/C, H/C, PMF factors) and inorganic salts (e.g.,
412 ammonium sulfate ((NH₄)₂SO₄), nitrate (NH₄NO₃) and chloride (NH₄Cl)) in submicron (up to 900
413 nm vacuum aerodynamic diameter (Guo et al., 2021)). It is one of the several available versions
414 of the AMS that incorporates an improved high-resolution mass spectrometer. The instrument
415 takes in ambient air through a dedicated aerosol inlet (HIMIL (Stith et al., 2009)) into an
416 aerodynamic lens (residence time < 0.4 s) which directs the particles into a narrow beam. The non-
417 refractory particles are subsequently vaporized by impaction on a heated surface (600 °C) and the
418 vapors are ionized by electron ionization. Finally, these ions are analyzed by mass spectrometry.
419 The CU HR-ToF-AMS flew onboard the NASA DC-8 for both the Boise and Salina phases of the
420 FIREX-AQ field campaign. The instrument provided in-situ measurements at 1-Hz frequency and
421 switched to a higher time resolution of 5 Hz to sample fire plumes, especially the smaller ones in
422 the Salina phase.

423 AMS organic carbon (OC) is estimated from the total OA mass concentration and OA/OC mass
424 ratio measurements. OA/OC is derived from carefully fitting all the organic peaks in the mass
425 spectrum and applying a calibration (Aiken et al., 2008). The uncertainty (2σ) in OA is estimated

426 as 38% (Bahreini et al., 2009), based on the uncertainty in the relative ionization efficiency
427 (Jimenez et al., 2016; Xu et al., 2018) and AMS collection efficiency (Middlebrook et al., 2012).
428 This uncertainty was shown to be consistent with AMS measurements of aged particles (Guo et
429 al., 2021). OA/OC is estimated using two approaches: the “improved Aitken ambient” calibration
430 for OA concentrations under 150 ugsm^{-3} and the “Aitken semi-explicit method” for OA
431 concentrations higher than this (so most of the plume data in this study), as described in
432 Canagaratna et al. (2015). Based on that analysis, for complex mixtures the uncertainty in OA/OC
433 is estimated at 8% (2σ). Hence the total estimated uncertainty for OC is 39%.

434 **3.2.3. Aerosol Optical Property Measurements from the NASA Langley Airborne High** 435 **Spectral Resolution Lidar (HSRL)**

436 We use backscatter coefficient (532 nm) measurements from the NASA Langley airborne High
437 Spectral Resolution Lidar (HSRL) (Hair et al., 2008) to compare to WRF-Chem simulated
438 backscatter coefficient. WRF-Chem backscatter coefficient is computed using the ratio of the
439 WRF-Chem simulated aerosol extinction coefficient for different species (BC+OC, SO_4^{2-} , dust,
440 SS) and the corresponding lidar ratios. The lidar ratios are used from Burton et al. (2012). The
441 HSRL can provide measurements of aerosol backscatter and extinction coefficients (532 nm),
442 aerosol backscatter coefficient (1064 nm) and aerosol depolarization (532 nm and 1064 nm). The
443 instrument employs the HSRL technique at 532 nm and the standard backscatter lidar technique at
444 1064 nm. The HSRL technique relies on the differences in the spectral distributions of the
445 backscattered lidar signal from aerosols and molecules. The returned lidar signal is split into two
446 optical channels, namely the molecular backscatter channel and the total backscatter channel. The
447 molecular backscatter channel consists of an iodine (I_2) vapor absorption filter, which removes the
448 aerosol component of the returned lidar signal but passes the component due to molecules. The

449 total backscatter channel is non-selective and allows all frequencies to pass. The uncertainties in
450 the HSRL backscatter coefficient measurements (532 nm) can be mainly attributed to the iodine
451 filter transmission measurements, calibration errors, molecular depolarization and atmospheric
452 state variable measurements (Hair et al, 2008). The combined systematic error in the aerosol
453 backscatter due to these factors is estimated to be less than 2.3% (Hair et al, 2008).

454

455 **4. Results and Discussion**

456

457 This section includes a discussion of the relevant FIREX-AQ flights, interpretation of the FIREX-
458 AQ aerosol observations and evaluation of the WRF-Chem model (3BEM and FRP versions) using
459 FIREX-AQ observations of BC and OC, backscatter. It also includes comparisons of simulated
460 WRF-Chem AOD with observed AOD from GOES-16/17 and simulated plume heights with
461 observed plume heights from the HSRL data. Plume height estimates are computed using the
462 HSRL backscatter measurements and WRF-Chem simulated backscatter. Plume height is defined
463 as the height at which the maximum change in the magnitude of the backscatter gradient is
464 observed. We only focus on FIREX-AQ DC-8 science flights during August 3-7, 2019. We do not
465 include the flight on August 8, 2019, in the analysis since the primary focus of this flight was on
466 the Pyro-Cumulonimbus cloud (pyro-Cb) produced by Williams Flats and current computational
467 models do not have the capability to simulate these events. The WRF-Chem plumerise (in both
468 3BEM and FRP version) is a 1-D cloud model with a simplified microphysics scheme without any
469 coupling between heat fluxes generated from fires and meteorology. Therefore, simulation of pyro-
470 Cb events is beyond the capability of current computational models. Ye et al. 2021 also reported
471 the current inability of models to simulate pyro-Cb events based on their analyses of multiple

472 forecasting models. However, recent work has focused on conceptual models that describe pyro-
473 Cb (e.g., Peterson et al. (2017)) development during wildfire events. These models could serve as
474 a starting point towards incorporating pyro-Cb simulation capabilities in current computational
475 models. We first provide an overview of the Williams Flats fire (Section 4.1), followed by brief
476 descriptions of each FIREX-AQ DC-8 science flight (Section 4.2). The subsequent sections
477 provide an evaluation of the WRF-Chem simulated aerosol optical properties and BC/OC
478 concentrations during each of the FIREX-AQ DC-8 science flights. All altitudes reported are with
479 respect to mean sea level (msl). We use the aircraft pressure altitude to represent the aircraft
480 altitude. The WRF-Chem Planetary Boundary Layer (PBL) height was converted to the msl
481 reference by adding the surface height to the WRF-Chem PBL variable.

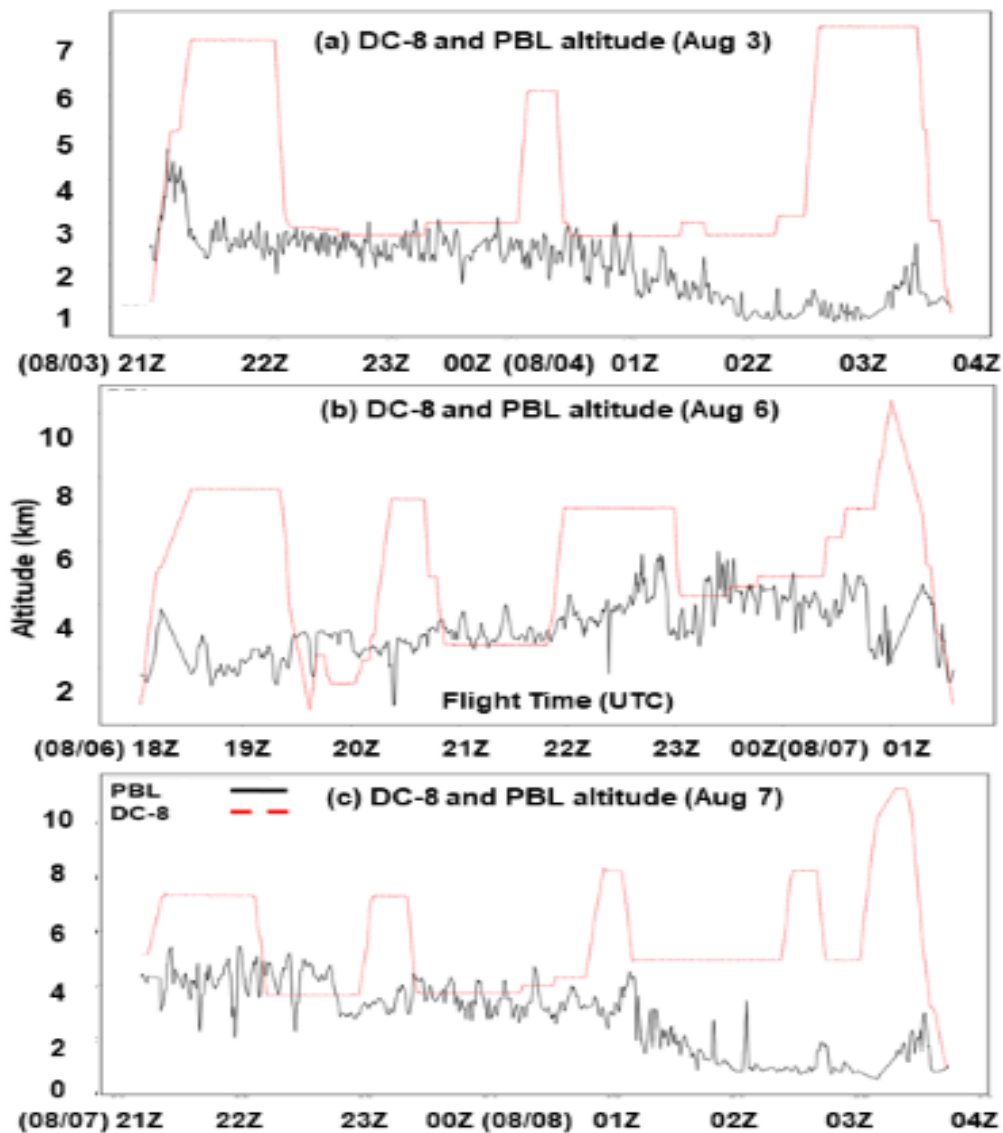
482

483 **4.1. The Williams Flats fire**

484 The Williams Flats wildfire began on August 2, 2019, 5 miles Southeast of Keller (Southwestern
485 Ferry County) in Washington (WA) USA. The fire was caused by lightning strikes accompanying
486 an early morning thunderstorm near the Colville Indian Reservation. The 100% containment date
487 for the fire was reported to be August 25, 2019, and it burned an estimated 44,446 Acres
488 (<https://data.statesmanjournal.com/fires/incident/6493/williams-flats-fire/>). The fire was the
489 flagship fire of the Boise phase of the FIREX-AQ campaign and the focus of the DC8 science
490 flights on August 3, 6, 7, and 8, 2019. These flights sampled both fresh and aged smoke plumes
491 from the fire. On August 8, 2019, the fire also generated a pyro-Cb, which was sampled by the
492 DC8 science flight for the day. The DC-8 science flight on August 6th also focused on the Horsefly
493 fire. The Horsefly fire started on August 5, 2019, 15 miles east of Lincoln in the Lewis and Clark

494 County (Montana) and burned 1274 acres in the first 24 hours
495 (<https://data.redding.com/fires/incident/6502/horsefly-fire/>). The fire was reported to have burned
496 1350 acres till August 23, 2019, with zero growth reported in the prior week
497 (<https://data.redding.com/fires/incident/6502/horsefly-fire/>).

498 4.2. The FIREX-AQ DC-8 Science Flights (August 3-7, 2019)



499
500 **Figure 3: The DC-8 flight altitude (red) and the WRF-Chem planetary boundary layer height**
501 **(black) for the August 3, 2019 (a), August 6 (b) and August 7 (c) flights**

502 **4.2.1 August 3, 2019, Flight**

503
504 The FIREX-AQ DC-8 science flight on August 3rd, 2019, involved extensive sampling of the
505 Williams Flats fire and a high altitude remnant of smoke associated with long-range transport.
506 Figure 3 (a) shows the flight track along with the WRF-Chem simulated PBL. This science flight
507 started with the DC-8 flying over the Lick/Mica Creek fire on way from Boise to Williams Flats
508 (~ between 21:00Z and 21:30Z). The overall flight could be divided into two phases. Phase 1 (22Z
509 – 00Z) was carried out at altitudes ranging from 2.7 – 3 km and sampling of the smoke plume
510 extending 120 km downwind of the fire in the northeast direction. Between 21:30Z and 22:00Z,
511 the aircraft travelled across Williams Flats to begin phase 1 of sampling. Phase 2 (~ 00:30Z –
512 02:30Z) extended 65 km downwind of the fire, initially in the northeast direction and later in the
513 eastern direction. The altitudes of sampling ranged between 3-3.4 km. Phase 2 began following a
514 transit (between 00Z and 1Z) to the fire after phase 1.

515 **4.2.2 August 6, 2019, Flight**

516
517 The FIREX-AQ DC-8 science flight for August 6th, 2019, had two targets namely, Williams Flats
518 and the Horsefly fire in Montana (Figure 3 (b)). Williams Flats was sampled first followed by an
519 extensive sampling of Horsefly which spanned more than 200 km downwind of the fire. For
520 Williams Flats, the sampling could be divided into two phases with phase 1 focusing on sampling
521 low elevation smoke and phase 2 involving sampling of the fire plume at a higher altitude (~3 km).
522 Between 22Z-23Z, the DC-8 travelled from Williams Flats towards Montana to sample the
523 Horsefly fire and flew over the Snow Creek fire and Horsefly before beginning the sampling. For

524 the Horsefly fire, the DC-8 travelled downwind in the plume starting at ~23Z and continuing
525 sometime after 00Z, which was followed by an upwind pass and return to Boise.

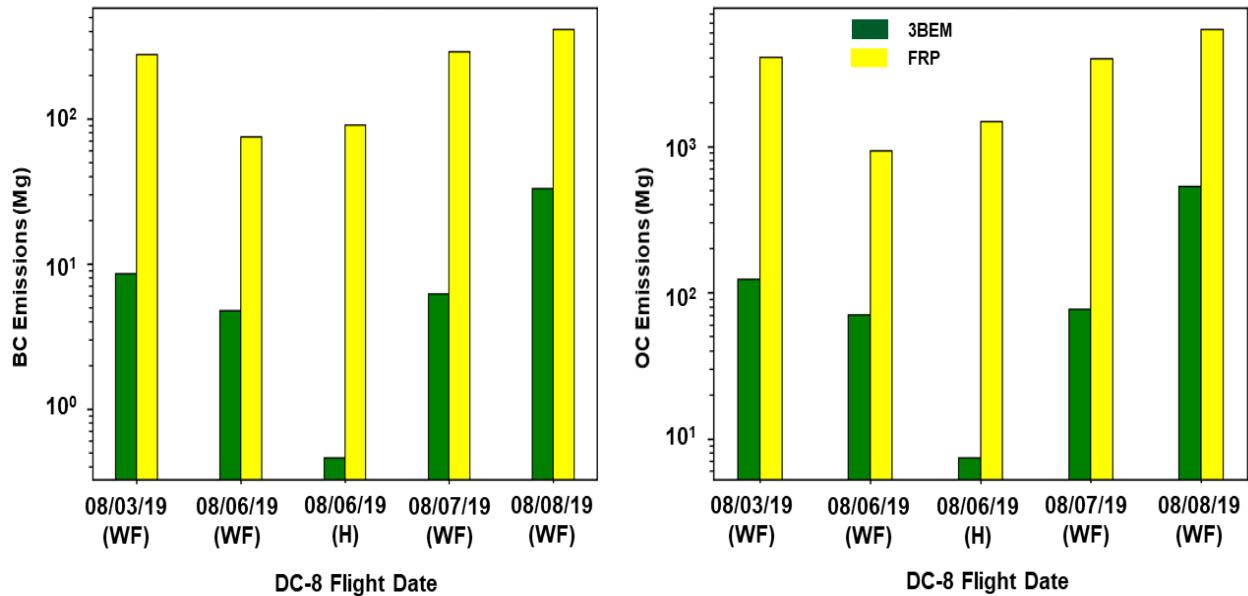
526 **4.2.3 August 7, 2019, Flight**

527

528 The August 7th, 2019, FIREX-AQ DC-8 science flight (Figure 3(c)) focused exclusively on the
529 Williams Flats fire with a four phase sampling strategy. Phase 1 involved sampling aged (transport
530 age: one day old) smoke from the fire which was transported eastward to Montana. This smoke
531 was sampled both in the East and West directions travelling along the axis of the plume. The
532 remaining phases focused on fresh smoke from the fire with phase 2 involving sampling at low
533 altitudes (~ 3.7 - 4.3 km) and phases 3 and 4 involved higher altitude (~ 4.9 km) sampling.

534 **4.3. BC and OC Emission Estimates**

535 Figure 4 shows the estimated BC and OC emissions (3BEM and FRP versions) for the Williams
536 Flats fire on the DC-8 flight days (August 3- 8, 2019). Emissions from the Horsefly fire which was
537 sampled on the August 6th flight are also shown. In general, the BC and OC emissions estimates
538 from the FRP approach were significantly higher than the 3BEM approach on all flight days for
539 the Williams Flats fire. For BC, the FRP-based emissions were 32 times higher on August 3rd,
540 when Williams Flats was in its initial stages and varied between 12 to 47 times the emissions in
541 the 3BEM version till August 8th.



542

543 **Figure 4: Model-predicted BC (left) and OC (right) emissions from the Williams Flats (WF)**
544 **fire on the DC-8 science flight days (August 3 – 8 2019) during FIREX-AQ. The emissions**
545 **for Horsefly (H) fire on August 6, 2019, are also included (Bar set 3 for BC and OC).**

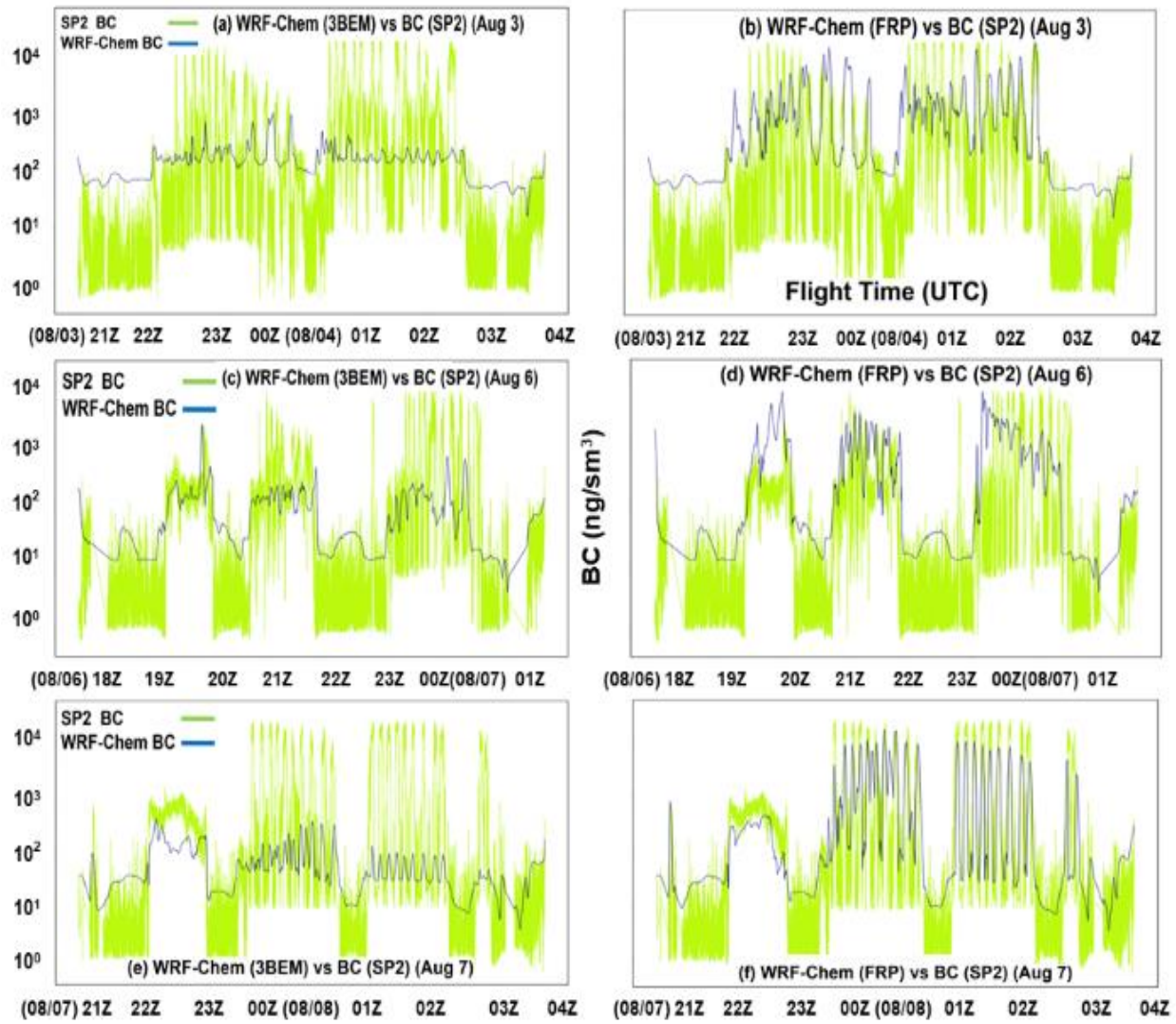
546 OC emissions also showed a similar trend with the FRP version emissions being 33 times higher
547 on August 3rd and 12-52 times higher for the remaining flight days. BC and OC emissions for both
548 approaches increased during August 3-8, with the maximum emissions observed on August 8th,
549 when Williams Flats generated a pyro-Cb event. The Williams Flats fire increased significantly in
550 size during August 3 -8 (Ye et al. 2021), which is reflected in the increase in BC and OC emissions.
551 Emissions in the 3BEM version were lower for the Horsefly fire as well with the FRP based
552 emissions being 198 times higher for BC and 200 times higher for OC. Thus, the FRP-based
553 approach yielded substantially higher emissions from wildfires as compared to the 3BEM
554 approach. The significant differences in emissions in the two approaches could be attributed to the
555 fundamental difference in the emissions estimation methodology in the two approaches. The
556 3BEM approach uses the instantaneous fire size while the HRRR-Smoke approach uses the FRP.
557 Both these parameters could vary at substantially different rates over the lifetime of a fire and
558 therefore could lead to very different results. Ye et al. (2021) compared the emissions between 12

559 different forecasting systems including WRF-Chem at UW Madison (using GOES-15 fire product)
560 and HRRR-Smoke and found that models using FRP-based emission estimation approaches had
561 substantially (mean factor of 5.6) higher emissions than those using burned-area based (referred
562 to as hotspot-based in their study) approaches.

563 We used the same emission factors in both the 3BEM and FRP versions to ensure that the changes
564 in emissions solely represent the differences in the two methodologies. Considerable progress has
565 been made in improving upon the emission factor estimates used in this study. For example,
566 subsequent work by Akagi et al. 2011 (referred to as AK11), and Andreae 2019 (referred to as
567 AN19) have resulted in new emission factor estimates for biomass burning. In comparison to these
568 studies, our OC emission factors for tropical forests were 9% higher than AK11 (BC: 21%) and
569 15% higher than AN19 (BC: 23%) while for extratropical forests the emission factors were the
570 same as AK11. AN19 did not report emission factors for extratropical forests. For
571 savanna/grasslands, OC emission factors were 18% higher than AK11 (BC: 20%) and 6% higher
572 than AN19 (BC: 15%). Thus, incorporation of these emission factors could alter the magnitude of
573 emission estimates (for both 3BEM and FRP versions) reported in Figure 4.

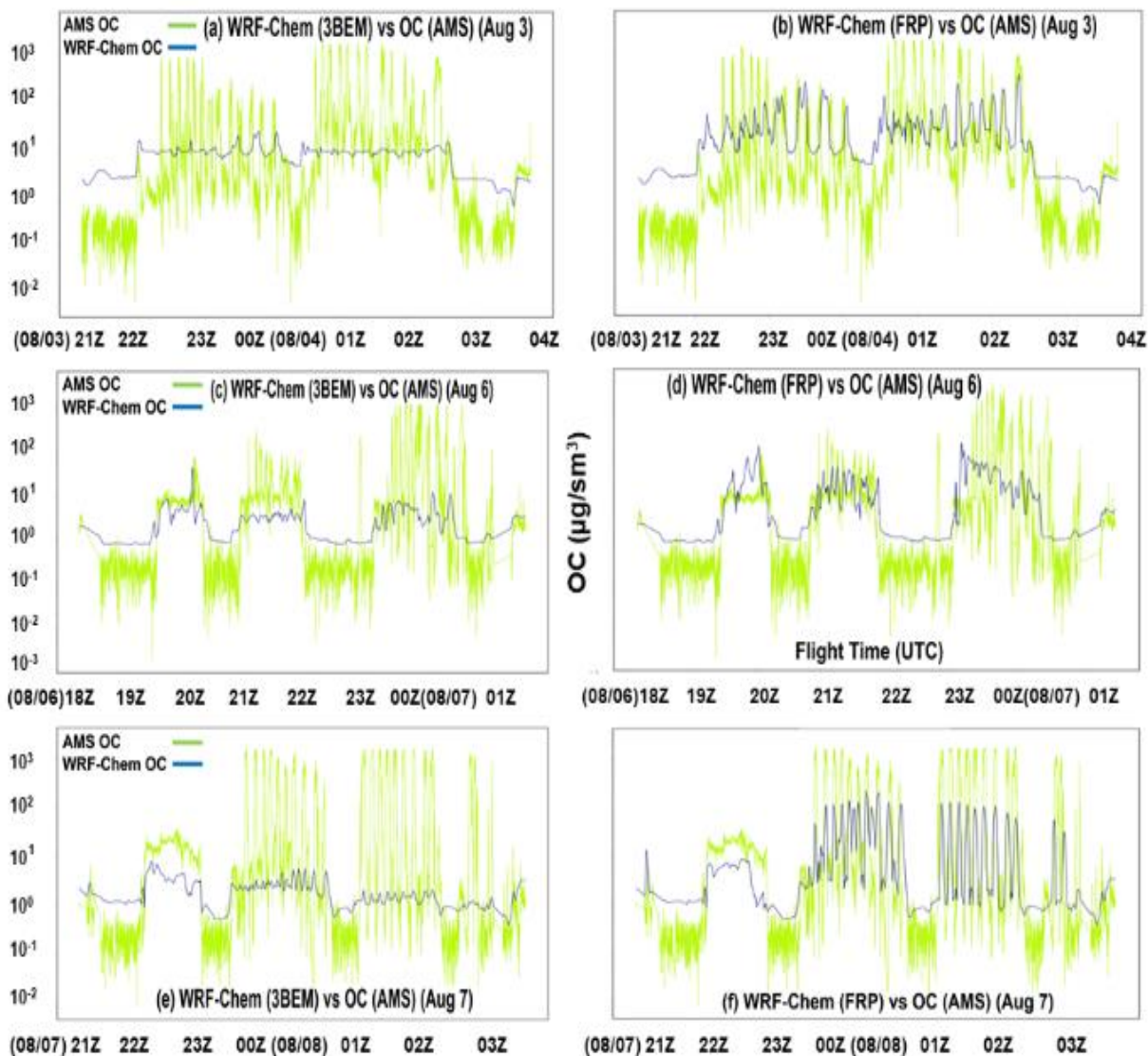
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575 **4.4. Simulated Aerosol (BC and OC) Concentrations during the** 576 **Williams Flats fire**



577

578 **Figure 5: Time series for BC (SP2) in-situ measurements and corresponding WRF-Chem**
 579 **simulated BC (3BEM and FRP versions) for the August 3 (a, b), August 6 (c, d) and August**
 580 **7 (e, f) DC-8 science flights**



581

582

583 **Figure 6: Time series for OC (AMS) in-situ measurements and corresponding WRF-Chem**
 584 **simulated BC (3BEM and FRP versions) for the August 3 (a, b), August 6 (c, d) and August**
 585 **7 (e, f) DC-8 science flights**

586 Figures 5 and 6 show the time series of in-situ measurements of BC (SP2) and OC (AMS) and the
 587 WRF-Chem simulated BC and OC (3BEM and FRP) along the DC-8 flight track for the DC-8
 588 science flights. For the August 3rd flight, the 3BEM version was up to a factor of 100 lower than
 589 the in-situ BC measurements in phase 1 of sampling and up to ~ 250 times lower in phase 2 (Figure
 590 5 (a)). For OC (Figure 6 (a)), the 3BEM version underestimated the measurements by up to ~ 125

591 times in phase 1 and up to more than 300 times in phase 2. Similar results were obtained for the
592 other flights as well, where the 3BEM version was biased low for most part of the August 6th flight
593 with the simulated BC up to 440 times lower than the measurements (Figure 5(c)) and OC up to
594 1065 times lower (Figure 6(c)), while for the August 7th flight, the 3BEM version was not able to
595 reproduce the observed BC (Figure 5 (e)) and OC concentrations (Figure 6 (e)) during any of the
596 sampling phases. The underestimations were up to 842 times for BC and up to 1439 times for OC.
597 These results can be attributed mainly to the low emissions in the 3BEM version. The greater
598 underestimation in phase 2 for BC and OC (August 3rd flight) and phases 3 and 4 of the August 7th
599 flight could be due to the diurnal cycle imposed on the emissions resulting in lower emissions
600 during these stages of the respective flights.

601 The higher emissions in the FRP version result in better agreement with the SP2 and AMS in-situ
602 measurements throughout the flight periods. During the August 3rd flight, the FRP version was
603 able to reproduce the BC and OC enhancements observed near the fire and downwind well, with
604 the simulated BC being up to a factor of ~ 91 higher than the 3BEM version (Figure 5(b)), while
605 for OC (Figure 6(b)), the FRP version was up to ~28 times higher. Thus, the FRP version showed
606 a significant reduction in discrepancies between WRF-Chem and the SP2/AMS in-situ
607 measurements. During the August 6th flight as well, the FRP version showed very good agreement
608 for phase 2 of the Williams Flats sampling, where it was able to simulate comparable
609 concentrations of BC and OC to the observations (Figure 5(d), Figure 6(d)). For the Horsefly fire
610 as well, the FRP version was able to simulate the high BC levels observed (Figure 5(d), 23Z
611 onward) but significantly underestimated OC (Figure 6(d), 23Z onward). The FRP version
612 simulated up to 125 times higher BC concentrations and up to 49 times higher OC concentrations
613 than the 3BEM version. The 3BEM version was biased very low for BC and OC during phase 2

614 of Williams Flats and the Horsefly sampling. The BC and OC concentrations in the FRP version
615 (Figure 5(d), Figure 6(d), 23Z onward) declined sharply as the DC-8 flew downwind of Horsefly,
616 which could be attributed to an underestimation of the injection heights or inability of the model
617 to accurately simulate the transport of the plume downwind resulting in lower plume heights than
618 observed. The Horsefly fire plume altitude increased downwind as shown in the HSRL backscatter
619 measurements (Figure 9(d), 23Z onward). This was accompanied by a gradual ascent of the DC-8
620 aircraft as it tracked the fire plume (Figure 9(d)). Since the plume-height was very low in the
621 model, the BC and OC concentrations along the flight track represented background level
622 concentrations instead of the enhanced levels caused by the fire. These concentrations declined
623 even further as the aircraft ascended in the later stages, which is observed in the time-series during
624 the Horsefly downwind sampling phase. However, the FRP version performed poorly as compared
625 to the 3BEM version in simulating the low elevation smoke as the FRP version significantly
626 overestimated the BC and OC concentrations (Figure 5(d), 6(d), 19Z – 20Z). During the August
627 7th flight as well, the FRP version was able to reproduce the observations very well especially in
628 the fresh smoke sampling phases of the flight. The higher emissions in the FRP version resulted in
629 BC concentrations up to 124 times higher and OC concentrations up to 78 times higher than the
630 3BEM version (Figure 5(e, f) and Figure 6(e, f)). Both the 3BEM and FRP versions underestimated
631 the aged smoke which could be due to simplified chemistry in the GOCART mechanism. The
632 underestimation of OC in the model was larger than BC which could also be a consequence of the
633 simplified chemistry in the model.

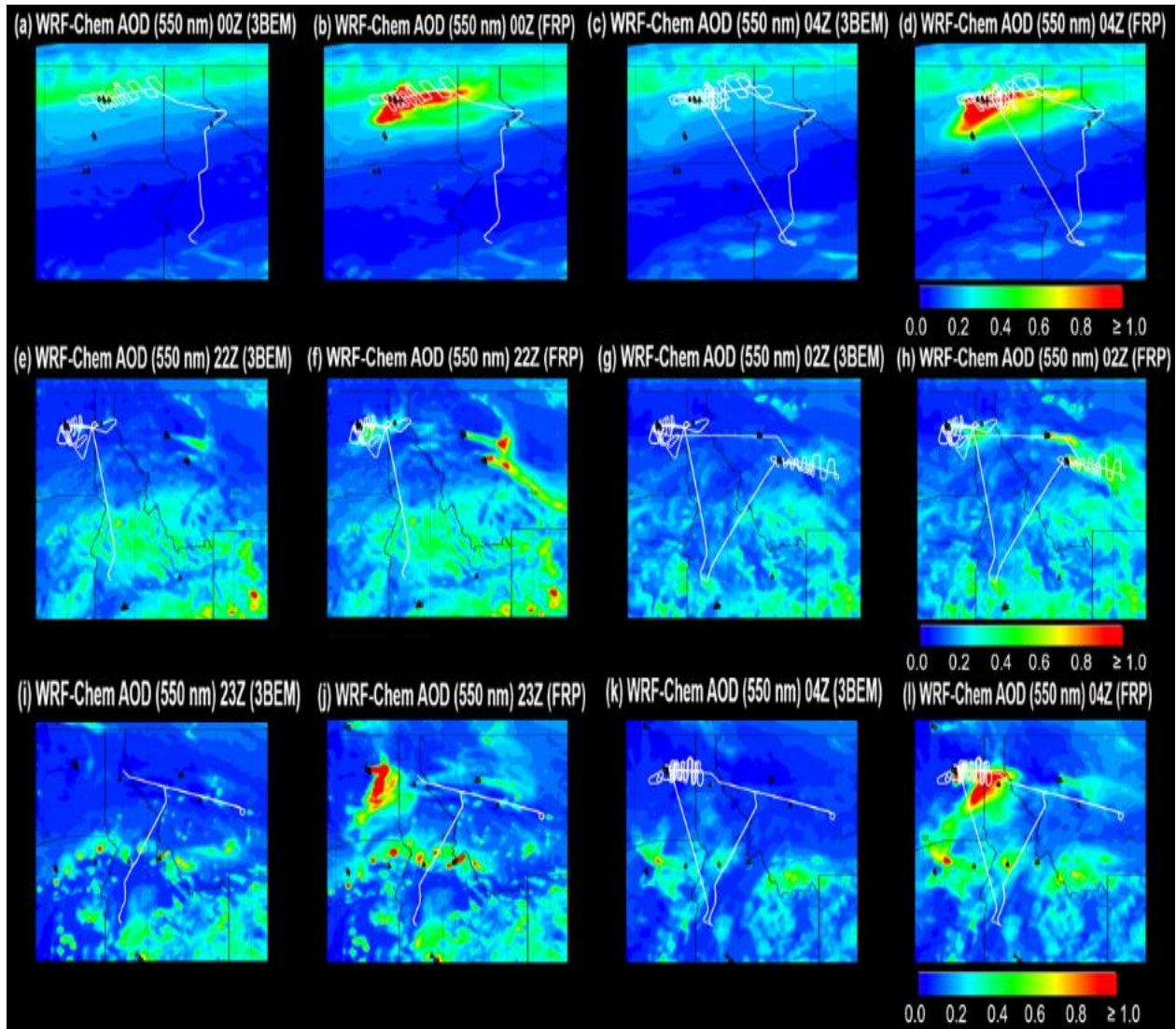
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637 **4.5. Simulated Aerosol Optical Properties during Williams Flats**

638 **4.5.1. Aerosol Optical Depth (AOD)**



639

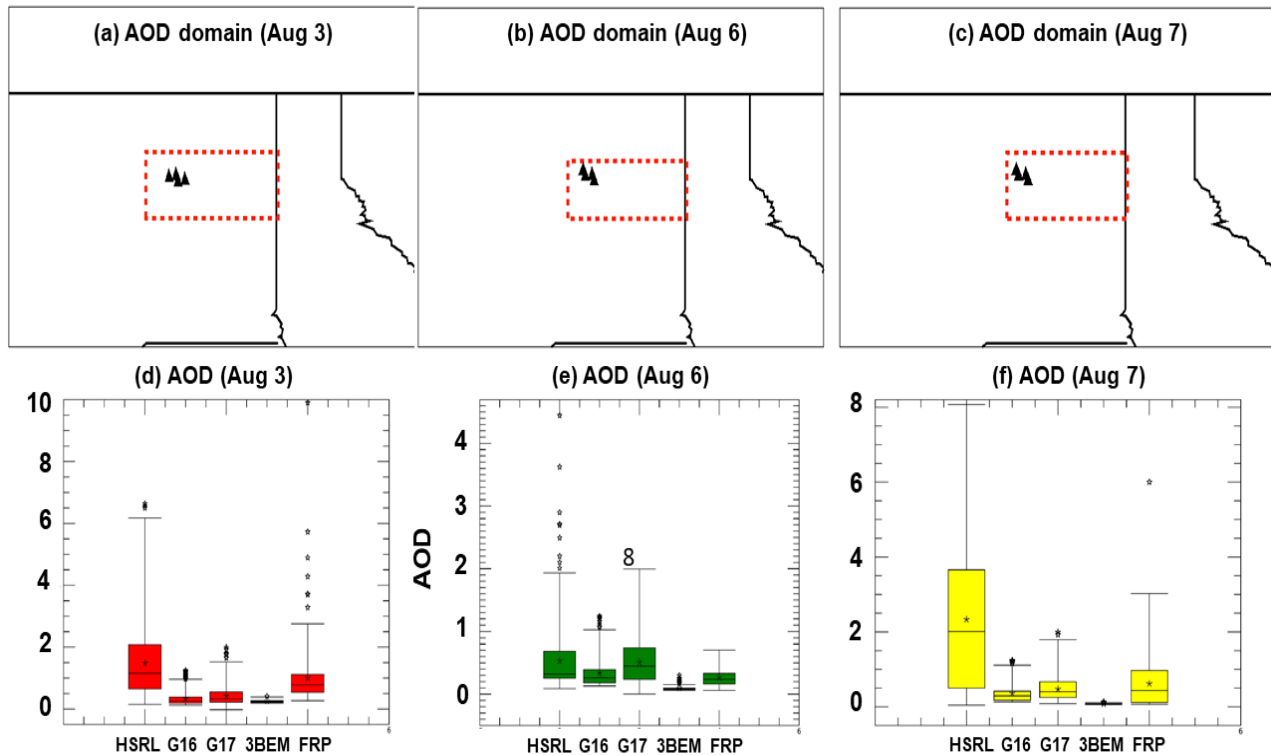
640 **Figure 7: WRF-Chem simulated aerosol optical depth (AOD) for the 3BEM and FRP**
641 **versions during the FIREX-AQ DC-8 science flights on August 3 (a-d), August 6(e-h) and**
642 **August 7 (i-l). The DC-8 flight track is overlaid. The triangle markers indicate the locations**
643 **of active fires.**

644

645

646 Figure 7 shows the WRF-Chem simulated AOD (3BEM and FRP versions) for the Williams Flats
647 fire during the August 3rd (a-d), August 6th (e-h) and August 7th (i-l) DC-8 science flights. The DC-
648 8 flight track during the different phases of each flight is overlaid. The 3BEM version simulated
649 substantially low AOD enhancements during all the science flights as compared to the FRP
650 version. During the August 3rd flight (Figure 7 (a, c)), minor AOD enhancements (~ 0.3-0.6) were
651 simulated due to the Williams Flats fire. AOD enhancements were higher in the vicinity of the fire
652 during phase 1 of sampling (Figure 7(a), 00Z) but dissipated during the latter stages of the flight
653 (Figure 7(c), 04Z, AOD: 0-0.2). For the remaining flights as well, the simulated AOD
654 enhancements were very low (August 6th (0.0 – 0.3) and August 7th (0.2-0.6)) as compared to those
655 in the FRP based version. The simulated plumes for the Williams Flats and Horsefly fires during
656 the August 6th flight were either thin or not noticeable while for the August 7th flight, the AOD
657 enhancements (0.2 - 0.6) were prominent only during the early stages of the flight and further
658 declined during the fresh smoke sampling phase. The plume from the Williams Flats fire was only
659 evident during the early stages of the flight and was characterized by very low aerosol loadings.
660 In contrast, on August 3rd, the FRP version simulated significantly higher AOD enhancements both
661 near the fire as well as in the transported plume downwind. These enhancements persisted
662 throughout the DC-8 sampling period at 00Z and 04Z. On August 6th, the FRP version simulated
663 well defined plumes with higher AOD (0.3- >=1.0) for both Williams Flats and Horsefly. The
664 spatial location and extent of the plumes were in good agreement with the DC-8 sampling legs
665 with the Horsefly fire plume being represented very well by this version (Figure 7 (h)) based on
666 the DC-8 sampling pattern. Similar agreement was observed for the plume from Williams Flats
667 which was predominantly towards the East. Similar results were obtained for the August 7th flight,
668 with very high AOD enhancements (>=1) near the fire both before and during the fresh smoke

669 sampling phase and a well-defined and persistent plume throughout the DC-8 sampling period
670 coincided well with the DC-8 flight path during the fresh smoke sampling phases. The aged smoke
671 plume from Williams Flats in Montana did not appear as a distinct feature in the WRF-Chem AOD
672 plots for both versions which could possibly be due to the low simulated aerosol concentrations.
673 The lower AOD simulated by the 3BEM version is primarily due to the lower emissions (Section
674 4.3) in comparison to the FRP version while the decline in AOD during phase 2 (August 3rd flight)
675 could be due to the imposed diurnal cycle on emissions (maxima at 18Z) in this version. The 3BEM
676 version simulated the plume formation and downwind transport of smoke towards the Northeast
677 during phase 1 but the decline in emissions in phase 2 resulted in a non-discernible plume with
678 very low AOD enhancements. In comparison, the FRP version simulated a far more intense plume
679 with AOD enhancements ≥ 1 near the fire and in the east/southwest direction. The plume
680 coincided well with the sampling trajectory of the DC-8 indicating that the model simulated the
681 spatial extent of the plume reasonably well. The estimated emissions for Williams Flats were lower
682 for August 6th as compared to the other flight days, which resulted in the relatively lower AOD
683 enhancements than those on August 3rd.



684

685 **Figure 8: AOD estimates for HSRL, GOES-16/17 and WRF-Chem (3BEM and FRP**
 686 **versions) for the August 3 (d), August 6 (e) and August 7 (f) DC-8 science flights. The domain**
 687 **over which the AODs are being compared are also shown (a-c). Each box plot represents the**
 688 **minimum value, lower quartile, median, upper quartile and maximum value. The mean is**
 689 **represented as the asterisk (*) symbol in the bar and the outliers are represented by the star**
 690 **symbols.**

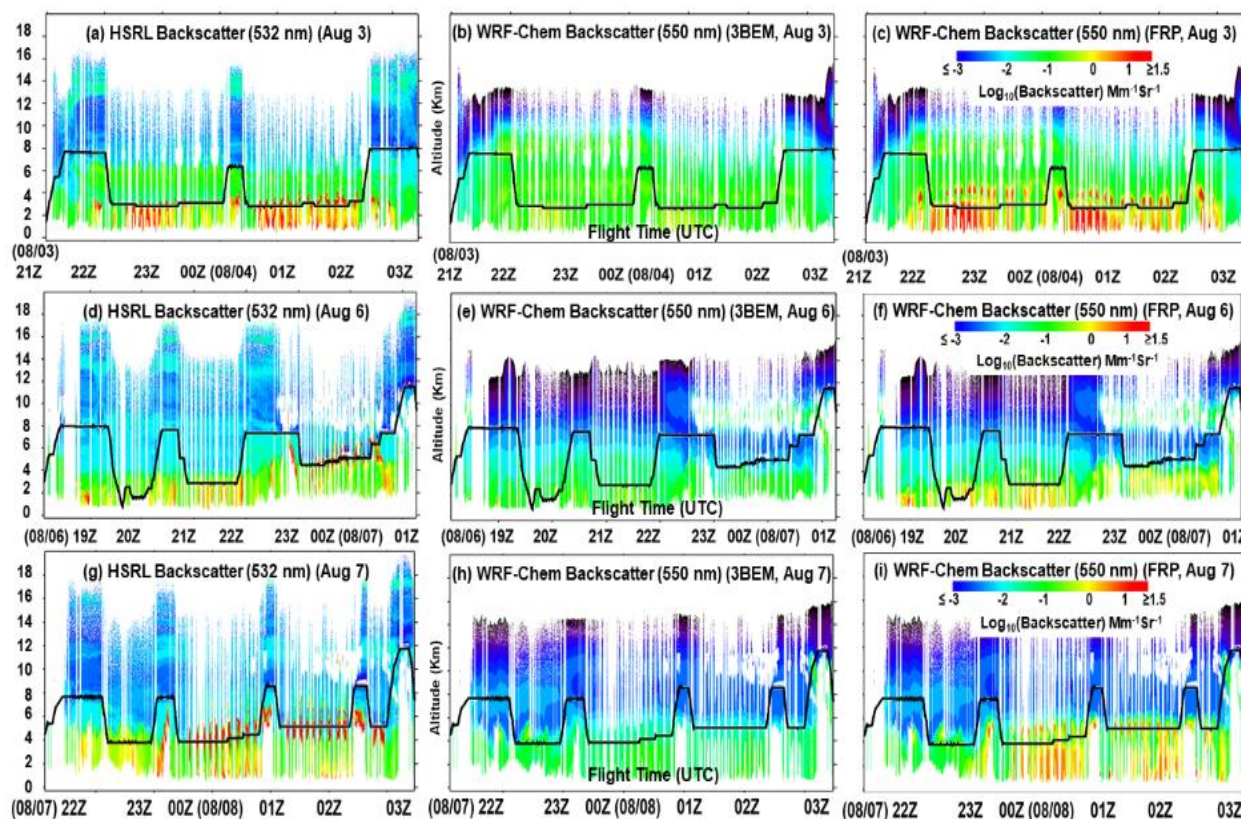
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692 Figure 8 shows comparisons of AOD available from the GOES-16/17 ABI AOD product with the
 693 simulated WRF-Chem AOD (3BEM and FRP versions) for all the DC-8 science flights. AOD
 694 computed from the HSRL backscatter is also included. The HSRL AOD was computed by
 695 multiplying the HSRL backscatter with the lidar ratio for BC/OC to estimate the extinction
 696 coefficient and subsequently integrating the extinction coefficient. We only carry out these
 697 comparisons for the Williams Flats fire in the spatial domains shown in Figure 8 (a-c). The
 698 domains were chosen to include the fire as well as the region impacted by the fire plume and

699 sampled by the DC-8 during the respective science flights. We only consider the time periods
700 relevant to the DC-8 sampling of the Williams Flats fire (August 3: 22Z – 2:30Z, August 6: 19:30Z
701 – 22Z, August 7: 23Z – 2:30Z). In general, the GOES-16 AOD product had a low bias as compared
702 to the GOES-17 AOD product both in the median (GOES-16: 0.26 – 0.29, GOES-17: 0.33 – 0.45)
703 and extreme values, which could be due to the differences in availability of data from the two
704 satellites during the time period considered. The HSRL AOD (median: 0.32-2) was the highest
705 amongst all the data sources (except August 6th) and exhibited the most variability as well,
706 reflecting the fine temporal and spatial resolution of the HSRL measurements. The significant
707 underestimation of aerosol concentrations in the 3BEM version is evident here as well with the
708 simulated median AOD values (0.07 – 0.24) and the extreme values being lower than that from
709 the other data sources. This further indicates the inability of this version to capture the AOD
710 enhancements observed near the fire and in the associated plume. The underestimation as
711 compared to the FRP version (median: 0.24 – 0.78) has already been demonstrated and will not be
712 discussed further. The AOD enhancements close to the Williams Flats fire were overestimated by
713 the FRP version on August 3rd and August 7th (e.g., outlier values) as compared to GOES-16/17
714 estimates, while on August 6th this version was biased low due to underestimation of emissions.
715 The agreement on August 3rd and August 7th tended to be better farther away from the fire (e.g.,
716 downwind plume) resulting in closer median AOD values for the FRP version (August 3rd: 0.78,
717 August 7th: 0.43) as compared to GOES-16/17 (GOES-16: August 3rd: 0.26, August 7th: 0.29;
718 GOES-17: August 3rd: 0.33, August 7th: 0.40). On the other hand, comparisons with HSRL_{AOD}
719 present an opposite picture with significant underestimation by the FRP version on August 6th and
720 August 7th both near and far away from the fire.

721 Potential caveats in these comparisons include the availability of GOES-16/17 data during the
 722 entire time period considered. There could be cases where data during the highest AOD periods is
 723 not available due to factors such as cloud cover. In addition, the procedure of computation of
 724 aerosol optical properties in WRF-Chem could impact the computed AOD values (discussed later
 725 in Section 4.6). Furthermore, the HSRL AOD is derived from the backscatter using literature lidar
 726 ratio values rather than direct integration of the extinction profile. Overall, the general conclusions
 727 that can be drawn from these comparisons are that the FRP version demonstrates the capability of
 728 simulating the high AOD values which accompany major wildfire events. However, it also has the
 729 tendency to overestimate the AOD when compared with the GOES-16/17 ABI AOD product.

730 **4.5.2. Aerosol Backscatter**



731

732 **Figure 9: Flight curtains for the HSRL backscatter along with the WRF-Chem (3BEM and**
733 **FRP versions) simulated backscatter (DC-8 science flights for August 3 (a-c), August 6 (d-f)**
734 **and August 7 (g-i))**

735 Figure 9 shows the curtains for HSRL aerosol backscatter coefficient (referred to as backscatter
736 hereon) measurements ((a), (d), (g)) and the simulated WRF-Chem backscatter (3BEM ((b), (e),
737 (h)) and FRP ((c), (f), (i)) versions) for the August 3rd – 7th DC-8 science flights. The DC-8 flight
738 track is also shown. For the August 3rd flight, the HSRL measurements (Figure 9 (a)) show the
739 plume from the Lick/Mica Creek fire (~ between 21:00Z and 21:30Z) reaching an altitude of ~ 3
740 km. These enhancements were underestimated by both the 3BEM (Figure 9 (b)) and FRP (Figure
741 9 (c)) versions possibly due to an underestimation in emissions for this fire. The subsequent time
742 periods in the HSRL observations represent the DC-8 sampling phases of Williams Flats. Between
743 21:30Z and 22:00Z, the aircraft travelled across Williams Flats to begin phase 1 of sampling. The
744 phase 1 sampling period began just after 22Z and continued downwind of the fire till 00Z followed
745 by a return transit to the fire (between 00Z and 1Z) and phase 2. The HSRL measurements show
746 an alternating sequence of high and low backscatter enhancements during phases 1 and 2, which
747 represents the aircraft traversing laterally in and out of the plume. The 3BEM version simulated
748 localized backscatter enhancements near the fire during the early stages of phase 1 (22Z – 23Z).
749 These enhancements were lower than the HSRL observations and declined significantly as the
750 aircraft moved downwind (23Z – 00Z) consistent with the observations. The enhancements in the
751 downwind plume were underestimated. In phase 2, the 3BEM version simulated backscatter
752 enhancements lower than that in phase 1 near the fire (00Z – 01Z) which continued to decline as
753 the aircraft moved downwind. The lower enhancements in phase 2 as compared to phase 1 are
754 consistent with the declining phase of the emissions diurnal cycle in the 3BEM version. Thus, the
755 3BEM version showed several discrepancies with the HSRL measurements which included
756 underestimation of backscatter near and downwind of the fire in both phases 1 and 2. The FRP

757 version showed better overall agreement with the HSRL measurements simulating comparable
758 backscatter enhancements to the HSRL measurements during most parts of phases 1 and 2. The
759 FRP version was also able to better capture the observed variation in the aerosol backscatter as the
760 aircraft traversed in and out of the plume although the coarse spatial resolution of the model (8 km
761 x 8 km) acts as a limitation in exactly simulating the observed variation from the center to the edge
762 of the plume. In phases 1 and 2, the model simulated continuously high aerosol backscatter near
763 the fire which was also observed by HSRL. It was also able to reproduce the variations in observed
764 aerosol backscatter due to the closely spaced legs of the DC-8 flight near the fire and widely spaced
765 legs of the DC-8 flight downwind of the fire in phase 1. For example, the alternate sequence of
766 high/low aerosol backscatter is wider for the widely spaced legs of the flight (downwind of the
767 fire) as compared to the closely spaced legs near the fire. The model was also able to reproduce
768 the variation in backscatter observed downwind of the fire very well especially in phase 1. Thus,
769 the model simulated a plume with high aerosol loadings near and extending a significant distance
770 from the fire which was more consistent with the observed plume as is evident in the better
771 agreement with the HSRL measurements. The FRP version appears to overestimate the plume
772 height for several parts of the flight (e.g., either side of 22Z, at 03Z, phase 1 and transit phase
773 before phase 2) but showed better agreement with the HSRL measurements in the latter part of
774 phase 2 (after 01Z) when the fire had intensified.

775 Figure 9 (d-f) represents the August 6th DC-8 sampling of the Williams Flats fire during phase 1
776 (between ~ 19:30Z and 20Z) and phase 2 (21Z to 22Z) and the Horsefly fire from 23Z to just
777 before 00:30 Z. The backscatter enhancements during phase 1 (low level smoke sampling) were
778 underestimated by the WRF-Chem 3BEM version while the FRP version tended to overestimate.
779 The HSRL measurements (Figure 9 (d)) were not available near 20Z (below the DC-8) due to

780 attenuation which precludes any further comparisons. During 20Z-21Z, the high backscatter in the
781 HSRL measurements correspond to Williams Flats as the DC-8 flew over the fire to begin phase
782 2 of sampling. These enhancements were largely absent in the 3BEM version (Figure 9 (e)) but
783 were reproduced well in the FRP version (Figure 9 (f)). During phase 2 of sampling (21Z-22Z),
784 the 3BEM experiment only simulated sporadic backscatter enhancements which were biased low
785 as compared to the HSRL measurements. The measurements showed consistently high backscatter
786 as the DC-8 traversed along the plume with the alternating bands of high/low backscatter again
787 reflecting the periods the aircraft was within the plume or entering/leaving it. The FRP version did
788 a better job than the 3BEM version, simulating comparable backscatter enhancements to the HSRL
789 measurements and represented the variation along the flight track well. The HSRL backscatter
790 enhancements between 22Z-23Z were due to the Snow Creek and Horsefly fires and were better
791 represented by the FRP version. For the Horsefly fire, the DC-8 travelled downwind in the plume
792 starting at ~23Z and continuing sometime after 00Z, which was followed by an upwind pass. The
793 3BEM version was biased low for this entire period consistent with the low emissions. The FRP
794 version did simulate higher backscatter enhancements than the 3BEM version throughout this
795 period, but it was unable to reproduce the peak enhancements in the HSRL measurements. In
796 addition, WRF-Chem (3BEM and FRP) underestimated the plume height for Horsefly (≤ 4 km)
797 as compared to the HSRL observations (~ 4 - 6 km). Consequently, the variation of the backscatter
798 enhancements along the flight track does not agree with the HSRL observations.

799 Figure 9 (g-i) shows the HSRL backscatter measurements and WRF-Chem backscatter (3BEM
800 and FRP runs) for the August 7th flight. The HSRL measurements (Figure 9 (g)) show the aerosol
801 layer height due to the aged Williams Flats plume extending close to 6 km which was simulated
802 very well by both the 3BEM (Figure 9 (h)) and FRP runs (Figure 9 (i)) although both versions

803 were biased low. The HSRL measurements showed very high aerosol backscatter during the period
804 of fresh smoke sampling till ~ 7 km. This was reproduced well by the WRF-Chem FRP version,
805 however the altitude was underestimated (~ 5.5- 6 km) and for the 3BEM run, the backscatter
806 enhancements were very low. During phase 2 of the sampling as the DC-8 moved along the plume,
807 the HSRL measurements showed high aerosol backscatter values throughout with plume heights
808 extending till ~ 6 km. The 3BEM version failed to capture the observed enhancements and was
809 biased low throughout the remainder of the flight mainly due to the low emissions. The FRP
810 version consistently simulated significantly higher backscatter as compared to the 3BEM run and
811 simulated the plume height between 5-6 km. The observed plume heights in phase 2 of the flight
812 ranged from ~ 5 – 6.5 km and the backscatter levels were high as shown in the HSRL observations
813 (01 – 02Z). The FRP version simulated enhancements comparable to the HSRL observations but
814 was still biased low. The vertical extents were ~ 5-5.5 km which were in reasonable agreement
815 with HSRL measurements. The backscatter observed during the last pass over the fire at 8 km
816 altitude was also well simulated by the FRP version with a plume height of ~ 5.8 km matching
817 well with that observed in the HSRL data (~ 6 km). During phase 4, the FRP version showed
818 significantly better agreements with the HSRL observations with higher enhancements than the
819 3BEM run and a predicted plume height of ~ 5 km agreeing very well with the HSRL observations
820 (~ 5 km).

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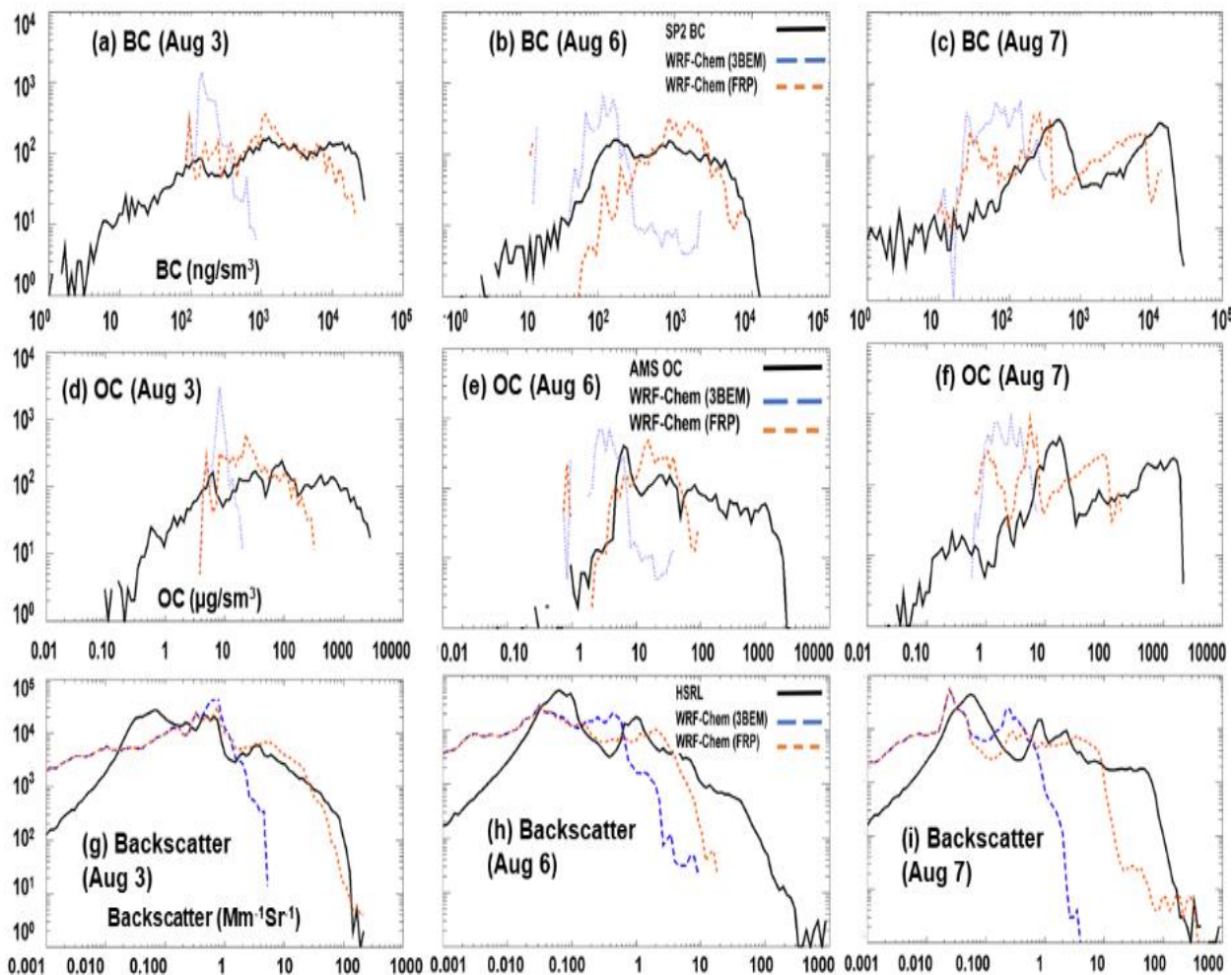
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825 **4.6. Statistical Comparison of WRF-Chem and FIREX-AQ** 826 **Measurements**

827 **4.6.1. Distributions of Aerosol Concentrations, optical properties, and plume heights**

828



829

830 **Figure 10: Frequency distributions for BC (a-c), OC (d-f), backscatter coefficient (g-i) for**
 831 **the August 3, August 6 and August 7 DC-8 science flights. Note: BC and OC only represent**
 832 **in-plume cases.**

833

834

835

836

837 Figure 10 (a-i) shows the comparison of frequency distributions of the FIREX-AQ measurements

838 vs WRF-Chem (3BEM and FRP runs) for BC and OC, and the backscatter for the August 3rd-7th

839 DC-8 science flights. The BC and OC distributions only account for the cases when the aircraft

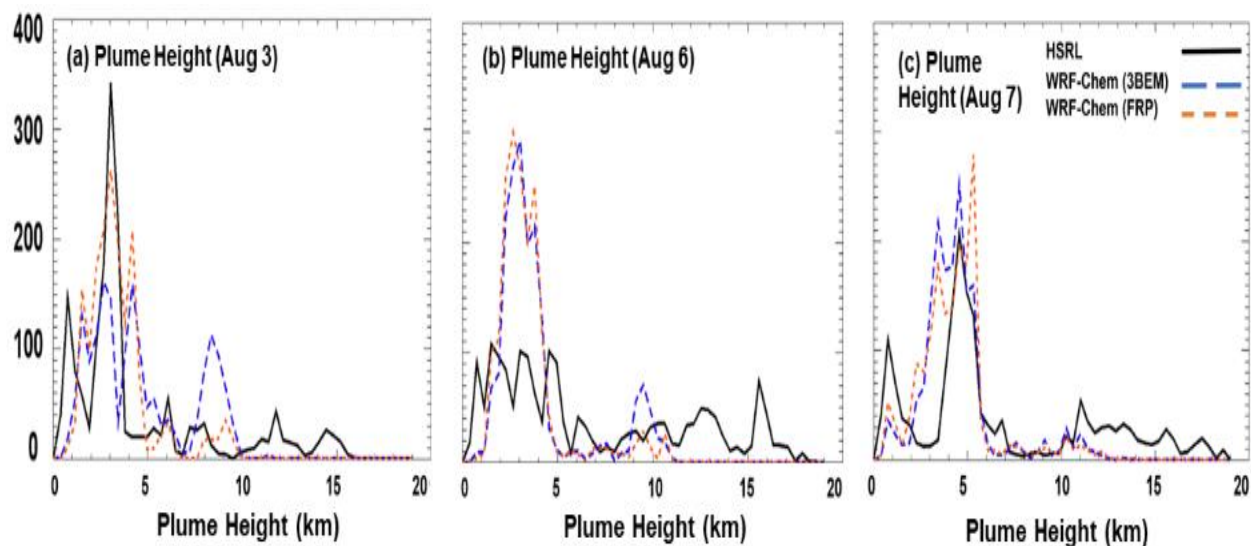
840 was in a smoke plume. The backscatter distributions are based on all observations during the flight
841 period. For BC and OC, during the August 3rd flight (Figure 10 (a), (d)), the in-situ measurements
842 spanned a wide range (BC: 1 to $> 10^4$ ng/sm³ and OC: ~ 0.1 to ~ 3000 μ g/sm³) which reflects the
843 contrasting aerosol concentrations in the environments in which the aircraft sampling occurred.
844 For example, sampling included the center/edges of the Williams Flats plume both near and a
845 significant distance downwind from the fire as well as remnants of any pollution at high altitudes.
846 Aerosol concentrations in both cases could be very different considering that the flight sampled
847 fresh Williams Flats smoke while the pollution remnants at high altitudes would have undergone
848 significant dilution and thus would have much lower aerosol concentrations. WRF-Chem (3BEM
849 and FRP versions) showed less variability in the simulated BC and OC concentrations than the
850 measurements which could be due to the coarse spatial resolution of the model and simplified
851 chemical mechanism in the GOCART scheme. The 3BEM version captured very little of the
852 observed variability in the BC and OC measurements distributions. It simulated BC concentrations
853 most frequently between ~ 80 - 250 ng/sm³ and OC concentrations between ~ 4 - 10 μ g/sm³ with a
854 small fraction of higher values (BC: 250 - 900 ng/m³, OC: 10 - 11 μ g/sm³). The FRP version had an
855 identical distribution for the lower end of concentrations (BC: 80 - 100 ng/sm³, OC: 4 - 6 μ g/sm³)
856 which is representative of the remote atmosphere and high altitudes where the impacts of changes
857 in emissions and the plumerise are negligible. The FRP version was able to reproduce the observed
858 distribution to a much better extent, especially for the high BC and OC concentrations (BC > 105
859 ng/sm³), OC > 80 μ g/sm³) relevant for large wildfire events, reflecting the impacts of higher
860 emissions. The high biases in both versions of the model for the frequency of lower end
861 concentrations (BC < 80 ng/sm³, OC < 3 μ g/sm³) could correspond to the cases when the DC-8
862 was at the plume-edge or when environments with low aerosol concentrations were being sampled

863 (e.g., the long-range transport plume). The model with its coarse spatial resolution (8km x 8km)
864 could not accurately simulate the variability observed while transiting from the center of the plume
865 to the edges. The observed distributions for BC and OC for the August 6th flight (Figure 10 (b),
866 (e)) represented a similar range of in-plume concentrations as the August 3rd flight, however, the
867 lower end of concentrations were higher for BC and OC, possibly due to this flight focusing only
868 on fresh smoke sampling unlike the August 3rd flight which also sampled aged smoke (long-range
869 transport plume). The significant variance of the BC and OC distribution also reflects the various
870 sampling conditions such as the aircraft traversing through the plume encountering high
871 concentrations at the center and lower concentrations towards the edges, the different altitudes of
872 sampling (phase 1 at lower altitude and phase 2 at higher altitude for Williams Flats) and traversing
873 downwind from the Williams Flats and Horsefly fires. Similar to the August 3rd flight, the WRF-
874 Chem BC and OC distributions could not capture all the variability in the observations and were
875 also biased high primarily due to the coarse model resolution, which precluded accurate simulation
876 of the observed variability from the plume center to the edges. The 3BEM version distribution was
877 able to better capture the variability in the BC and OC distributions than for the August 3rd flight,
878 which was mainly due to the better simulation of BC and OC concentrations in the low-altitude
879 Williams Flats smoke. However, it still had a low bias compared to BC and OC measurements.
880 The FRP version showed good agreement with the BC distribution although it was biased low for
881 OC. The low bias could primarily be attributed to the underestimation during the Horsefly
882 sampling phase and the simplified chemistry in the GOCART mechanism (no SOA). Nevertheless,
883 the distributions for the FRP version showed both an increase in variability and a shift towards
884 higher simulated BC and OC concentrations. This resulted in better simulation of the variability in
885 the BC and OC measurements distribution as compared to the 3BEM version and better agreement

886 with the observed BC and OC distributions at concentration levels relevant for fire plumes. For
887 the August 7th flight, the observed distributions for BC and OC (Figure 10 (c), (f)) were similar to
888 the previous flights, exhibiting high variability due to the sampling of a wide range of aerosol
889 loading environments. For example, the Williams Flats aged plume was characterized by
890 significantly lower aerosol concentrations as compared to the fresh plume sampled later. In
891 addition, similar to the previous flights, the concentrations at the edge and center of the plume
892 would also contribute to the variability observed in the BC and OC observations distributions.
893 WRF-Chem (FRP version) was able to reproduce a significant fraction of this variability for BC
894 and OC particularly for the high concentrations, as shown in corresponding distributions.

895 The backscatter distributions were similar to the BC and OC distributions except that the model
896 was closer to the measurements (e.g., August 3rd and August 7th flights (Figure 10 (g), (i)) even
897 though it was underestimating BC and OC. A potential reason for this discrepancy could be that
898 we use lidar ratios from previous work in deriving the backscatter from the WRF-Chem aerosol
899 extinction coefficient. In addition, meteorological parameters (e.g., relative humidity) and multiple
900 aerosol species properties are used in computation of aerosol optical properties which could result
901 in biases in the estimation. For the August 3rd flight, the backscatter distributions were identical
902 for the 3BEM and FRP versions for low values ($< 0.7 \text{ Mm}^{-1}\text{Sr}^{-1}$). These values could represent the
903 high altitude phases of the flight during transition from Boise to Williams Flats where the effects
904 due to fires would not be a factor. Similar to the BC and OC distributions, the FRP version captured
905 the observed backscatter distribution well especially for the higher values which were due to
906 Williams Flats. The backscatter distribution derived from the HSRL measurements for the August
907 6th flight (Figure 10 (h)) showed similar characteristics with lower values (< 0.01) primarily
908 representing very high altitudes with no influence of fire emissions. This region was identically

909 simulated by WRF-Chem (3BEM and FRP) since the primary differences between the two
910 versions (fire emissions and plume-rise) had little/no effects at these altitudes. The backscatter
911 distribution also exhibited considerable variability (values spanned six orders of magnitude) which
912 was consistent with the high variability observed in the BC and OC distributions. The backscatter
913 distribution for the FRP version also showed a shift towards simulating higher enhancements than
914 the 3BEM version and showing better agreement with the HSRL distribution at backscatter levels
915 relevant to major fire events. The backscatter distribution of the FRP version also showed better
916 agreement with the HSRL backscatter distribution. These major changes, which were also found
917 in earlier flights, includes a significant shift in the BC and OC backscatter distributions towards
918 higher values and better agreement with observations.



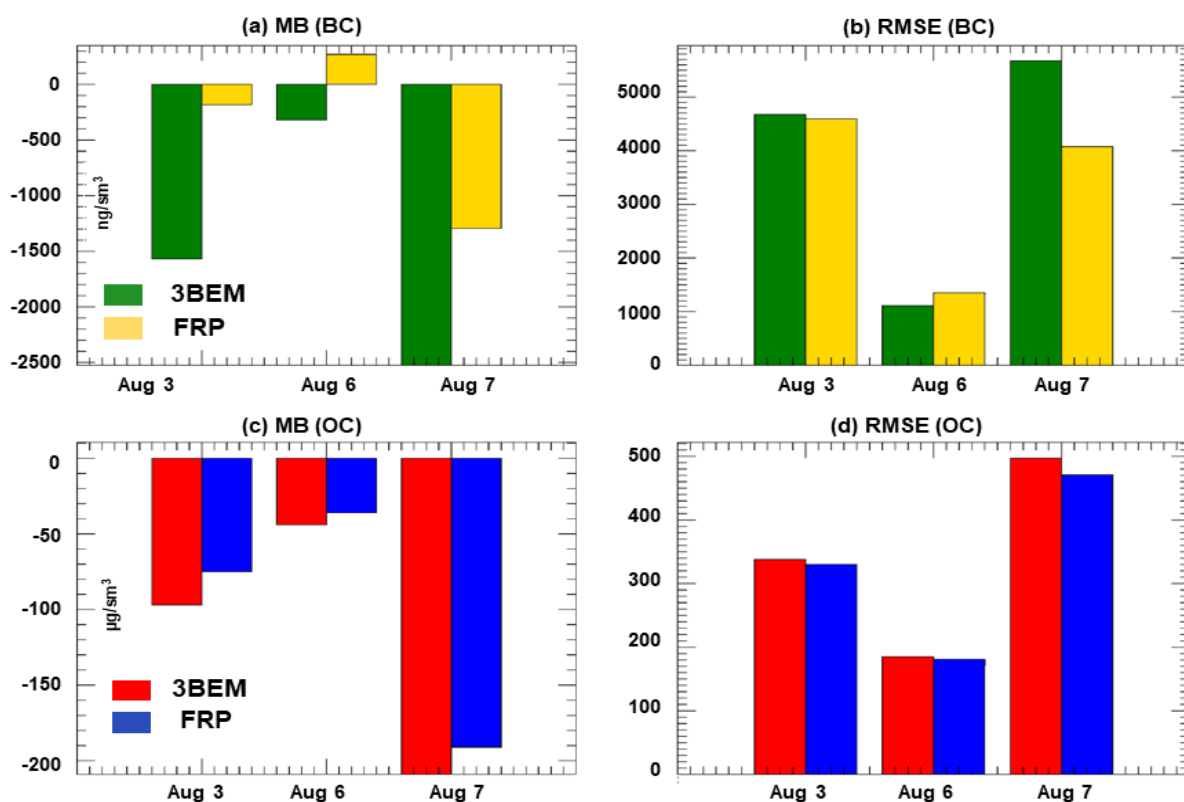
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920 **Figure 11: Frequency distributions for estimated plume heights for the August 3 (a), August**
921 **6 (b) and August 7 (c) DC-8 science flights.**

922
923 Figure 11 (a-c) shows the estimated plume height distributions from the HSRL measurements
924 along with the simulated plume heights from WRF-Chem (3BEM and FRP versions). For the
925 August 3rd flight (Figure 11 (a)), the best estimated plume heights based on HSRL observations

926 were ~ 3 km (represented by the highest peak in Figure 11 (a)) during the flight. In contrast, both
927 3BEM and FRP versions showed additional peaks in their distribution functions on either side of
928 the observed peak. Therefore, the predicted plume heights varied between 2.7 – 4.1 km for the
929 3BEM version and 3 – 4.1 km for the FRP version. The FRP version did produce a better agreement
930 with the observed plume heights based on the highest peak in the distribution function but also
931 overestimated the heights for some parts of the flight. Moreover, the low elevation smoke
932 (represented by the peak < 1 km in HSRL) was either not captured or overestimated (peak ~ 1.5
933 km) by both WRF-Chem versions. The plume heights distribution (August 6th flight, Figure 11
934 (b)) based on HSRL measurements showed several peaks which could be attributed to the multiple
935 altitudes at which smoke was sampled during this flight. Based on the observed peaks, the heights
936 could have ranged from 0.75 km to 6 km. The heights between 3 – 6 km are associated with the
937 high altitude Williams Flats plume and the Horsefly fire plume while the < 3 km altitude are from
938 the lower altitude Williams Flats smoke. Neither WRF-Chem versions could capture this
939 variability in the observed plume heights distribution and simulated smoke heights of ~ 3km (peak
940 1) and ~ 3.8 km (peak 2) for the 3BEM version (~ 2.7 and ~ 3.8 km for the FRP version). Thus,
941 WRF-Chem underestimated the plume heights for this flight, which as discussed earlier in this
942 section, could be a possible reason for the sharp decline in the simulated BC and OC concentrations
943 as the DC-8 proceeded downwind of the Horsefly fire. For the August 7th flight, the estimated
944 plume heights from HSRL showed one prominent peak near 5 km which would correspond to the
945 Williams Flats smoke (aged and fresh). For the WRF-Chem 3BEM version, the simulated plume
946 height varied between 3.5~ 5 km (based on the two peaks in the distribution), while the FRP
947 version varied from 3.5 – 5.5 km. Thus, both versions showed significant variability in the plume
948 heights which could be due to different simulated injection heights in the model.

949 **4.6.2. Statistical Metrics for BC and OC comparisons**

950



951

952 **Figure 12: Statistical metrics of comparisons for BC and OC for the August 3, August 6 and**
 953 **August 7 DC-8 science flights. The average bias (MAB) and the root mean squared error**
 954 **(RMSE) for BC (a, b) and OC (c, d) are shown.**

955

956

957 Figure 12 shows statistical metrics of comparisons between the WRF-Chem simulated BC and OC

958 and the SP2 and AMS observations for the respective species for all FIREX-AQ DC-8 flights

959 considered in this work. The statistics reported are:

960 1.) *Mean bias (MB)* = $\left(\frac{1}{N}\right) \sum_{i=1}^N (X_{WRF-Chem_i} - X_{Obs_i})$

961 2.) *Root Mean Squared Error (RMSE)* = $\sqrt{\left(\frac{1}{N}\right) \sum_{i=1}^N (X_{WRF-Chem_i} - X_{Obs_i})^2}$

962 The 3BEM version had a low bias for both BC and OC, which was reduced significantly in the
963 WRF-Chem FRP version. The MB and RMSE were reduced for the August 3rd flight (MB: 88%
964 (BC) and 23% (OC), RMSE: 2% (BC) and 2.4% (OC)) and August 7th flight (MB: 49% (BC) and
965 9% (OC), RMSE: 28% (BC) and 5.2% (OC)) which was primarily due to the better agreement of
966 the simulated BC/OC concentrations in the fresh smoke sampling phases of both flights. However,
967 the model still underestimates BC/OC as indicated by the negative MB values. The only exception
968 was the August 6th flight, for which the performance of the FRP version degraded (only for BC)
969 as compared to the 3BEM version. The MB and RMSE for BC increased primarily due to the
970 significant overestimation of BC during the low level smoke sampling period (Figure 5 (d) 19-
971 20Z). The overestimation was larger for BC, therefore MB and RMSE were worse than those for
972 OC. The significantly better model performance with the FRP version was partly offset by the
973 inability of the model to simulate the aged part of the Williams Flats fire.

974

975 **5. Conclusions**

976 This study employs the Weather Research and Forecasting with Chemistry (WRF-Chem) model
977 (retrospective simulations) with GOES-16 FRP based methodologies to estimate wildfire
978 emissions, simulate wildfire plumerise and diurnal cycles to interpret in-situ and remote-sensing
979 measurements collected aboard the NASA DC-8 aircraft during the 2019 NASA-NOAA FIREX-
980 AQ field campaign and perform model evaluations. The primary focus is on the August 3rd -7th,
981 2019, science flights that sampled the Williams Flats fire in Washington. Main conclusions from
982 this evaluation are as follows:

983 1.) The FIREX-AQ observations were characterized by a variety of aerosol loading environments
984 which resulted in a large range of BC/OC and aerosol backscatter values during the August 3rd -

985 8th science flights. These environments included fresh and aged smoke from Williams Flats and
986 high-altitude remnants of a plume that could have undergone long-range transport. The altitudes
987 of sampled smoke ranged from low-altitude (August 6) to a Pyro-Cb (August 8).

988 2.) The GOES-16 FRP based emissions employing the HRRR-Smoke methodology are
989 substantially higher than the standard emissions inventory (Freitas et al., 2011) in WRF-Chem
990 v3.5.1.

991 3.) Wildfire emissions in the standard WRF-Chem (3BEM version) resulted in significant
992 underestimation of carbonaceous aerosol (BC and OC) concentrations observed during the
993 Williams Flats sampling flights in FIREX-AQ. The implementation of FRP based emissions
994 resulted in better agreement of model simulated BC and OC concentrations when compared to in-
995 situ BC and OC measurements, thereby showing potential to improve the capability of WRF-Chem
996 in simulating the high BC and OC enhancements observed during large wildfire events like the
997 Williams Flats fire.

998 4.) The simulated plume heights in the WRF-Chem FRP version did not show as large of changes
999 as the emissions. The HRRR-Smoke FRP-based plume-rise methodology produced similar plume
1000 height distributions to the standard plumerise approach included in WRF-Chem v3.5.1 (Freitas et
1001 al., 2007;2010). Thus, the better performance of the WRF-Chem FRP version was mainly driven
1002 by the higher emissions in the FRP-based version.

1003 5.) The diurnal cycle imposed on wildfire emissions in WRF-Chem was also an important factor.
1004 For multiple flights, the standard WRF-Chem v3.5.1 with a diurnal cycle peaking at 18UTC
1005 (Freitas et al., 2011) simulated declining emissions, AOD, and BC and OC concentrations during
1006 the latter stages of the science flights-while observations often showed increases during these

1007 periods. This shortcoming was not found in the FRP-version which employed new FRP based
1008 diurnal cycle functions accounting for the variation with longitude.

1009 6.) WRF-Chem with the simplified GOCART mechanism could not adequately reproduce the
1010 aerosol concentrations in the aged smoke (1 day of more of aging). This was observed for all
1011 science flights that sampled aged smoke from Williams Flats. In addition to the primary factors
1012 such as emissions, plume-height and wildfire diurnal cycle estimation, second-order issues like
1013 biases in the aerosol dynamics (simulation of aerosol loss processes/transport) or chemistry (e.g.,
1014 no SOA in GOCART) could play a role here. It would be worthwhile to evaluate these flights in
1015 the future with a more comprehensive chemistry mechanism (including SOA) to better understand
1016 the underlying causes.

1017 Overall, the implementation of HRRR-Smoke FRP based methodologies in WRF-Chem resulted
1018 in significantly better chemical forecasts for large wildfire events like the Williams Flats fire.
1019 Improvements in chemical forecasts could translate into better estimates of impacts of large
1020 wildfire events on human health, which is a cause of concern given the current/future trends in
1021 wildfire activity in the US. The comparisons between the 3BEM and HRRR-Smoke FRP based
1022 emissions methodologies shown in this study also demonstrate that the HRRR-Smoke FRP based
1023 emissions show the potential to improve the forecast capability during major fire events and would
1024 be useful to be incorporated in computational models providing air quality forecasts.

1025 **Author Contributions:** RBP conceptualized, supervised the study and developed the FRP based
1026 diurnal cycle functions. AK did the PREP-Chem (emissions), WRF-Chem (plumerise)
1027 development and carried out the WRF-Chem simulations. RBP and AK analyzed the FIREX-AQ
1028 and WRF-Chem data. AK wrote the manuscript draft with contributions from the co-authors. RA,
1029 GP, SF and GG developed the original HRRR-Smoke methodologies. CS provided the GOES-16

1030 data. AL helped with setting up the WRF-Chem simulations. JPS, AEP, JMK provided the SP2-
1031 BC and fire flags data. JH provided the HSRL data. JLJ, PCJ and HG provided the AMS-OC data.

1032 **Code/Data Availability:** FIREX-AQ measurements are available at:
1033 https://doi.org/10.5067/ASDC/FIREX AQ_Aerosol_AircraftInSitu_DC8_Data_1). The HSRL
1034 data are available at:
1035 https://doi.org/10.5067/ASDC/FIREX AQ_HSRL_AircraftRemoteSensing_DC8_Data_1).

1036 **Competing Interests:** The authors declare that they have no conflict of interest.

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1041

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1043

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