



Technical Note: A High-Resolution Autonomous Record of Ice Nuclei Concentrations for Fall and Winter at Storm Peak Laboratory

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- 15 Abstract. High-resolution, long-term measurements of ice nucleating particles (INPs) have been impeded by complex instrumentation that requires a trained on-site technician to operate or analyze offline. We have significantly updated the well-characterized continuous flow diffusion chamber (CFDC) instrument to run autonomously with minimal in-person handling and easy remote access. This new CFDC, the CFDC-Ice Activation Spectrometer (CFDC-IAS) was deployed for four months (October 2020-January 2021) at the mountain-top Storm Peak Laboratory site in Colorado and provided 5-
- 20 minute resolution measurements daily at target temperatures of -20, -25, and -30 °C. Concentrations of INPs across all temperatures had a median value of 6 per standard liter (sL⁻¹), and a mean of 10 sL⁻¹ with a range of \sim 0-470 sL⁻¹.

1 Introduction

Ice nucleating particles (INPs) have significant impacts on mixed-phase clouds through altering of precipitation processes and radiative properties (DeMott et al., 2010). High-resolution, long-term measurement records of INPs are currently scarce due to the difficulty of making INP measurements. Unlike measurements of some other important aerosol characteristics, such as composition (Ng et al., 2011) or cloud condensation nuclei (Schmale et al. 2018), real-time ambient INP measurement techniques typically require an experienced technician on-site, and usually only occur in the context of intensive field campaigns (Lacher et al., 2018). Current single-site, longer-term (one year or longer) continuous records of

30 INP require off-line processing and typically have daily to weekly time resolutions (Schneider et al., 2021) or may only capture short periods of each day, such as an hour or a few hours (Schrod et al., 2020b; Müller, 1969a). As discussed in (Schrod et al., 2020b), many successful longer-term records of INP occurred in the 1950s-1970s (Soulage, 1966; Kline, 1963; Bigg and Miles, 1964; Bigg, 1973; Müller, 1969b). Limited understanding of how rapidly changing global emissions including anthropogenic sources may impact INP and associated radiative forcings (Schrod et al., 2020a; Boucher et al., 2020a; Boucher





35 <u>2013</u>) along with limited spatial and temporal resolution of INP globally points to the importance of more frequent INP measurements.

Here, we have deployed a new continuous flow diffusion chamber (CFDC), the Handix Scientific CFDC-Ice Activation Spectrometer (CFDC-IAS) for four months (October 2020-January 2021) at the mountaintop Storm Peak Laboratory (SPL)

40 in Steamboat Springs, CO (3220 m above mean sea level). The CFDC-IAS is based on the well-characterized Colorado State University CFDC (DeMott et al., 2015; Rogers et al., 2001) but has undergone significant modifications to allow for automated and near-continuous operation. The four-month, high-resolution mountaintop time series is the first of its kind, and follows a successful month-long deployment in May-June 2018 of an earlier version of the CFDC-IAS in Beijing, China (Bi et al., 2019).

45 **2. Methods**

2.1 Measurement location

The Storm Peak Laboratory (SPL) (<u>40.455 deg N, -106.744 deg W</u>) is located near Steamboat Springs, CO at 3220 m above mean sea level. Steamboat Springs is located in the northwestern Colorado Rocky Mountains, and SPL is approximately 1150 m above and to the east of the town of Steamboat Springs and the Yampa Valley, an agriculture area (<u>Borys and</u>

- 50 Wetzel, 1997). SPL is located on a 70 km-long north-south mountain barrier, oriented perpendicular to the prevailing westerly winds. The nearest large population center to the west of SPL is the Salt Lake Valley, approximately 270 miles away. The climate of SPL is alpine, just above tree line, with dominant vegetation of Engelmann spruce, aspen, grasses, and flowering plants (Amin et al., 2013, 2012). The majority of precipitation for the area falls as snow between November and May, leading to an annual average of 166.6 inches of snow (https://wrcc.dri.edu/cgi-bin/cliMAIN.pl?co7936). Air masses at
- 55 SPL generally transition between nightly free-troposphere and daily boundary layer air (Obrist et al. 2008). A number of long-term meteorological and atmospheric state instruments are located at SPL, with guest instruments such as the Handix CFDC-IAS able to make shorter-term measurements. Further details on SPL are found in Borys and Wetzel (1997).

2.2 CFDC-IAS

The Handix Continuous Flow Diffusion Chamber Ice Activation Spectrometer (CFDC-IAS) deployed at SPL builds upon several decades of development of CFDC instruments for measuring ice nuclei concentrations. The CFDC-IAS is modeled after the well-characterized Colorado State University CFDC (Rogers et al. 2001; DeMott et al. 2015) with numerous updates to improve operability and automation. The basic design consists of a cylindrical chamber, with two concentric, icecovered walls that particles pass between in a laminar flow. Establishing a temperature difference between the colder (inner) and warmer (outer) walls in the upper region of the chamber leads to an approximately steady-state region of water vapor

65 and temperature. Any INPs that can activate at the conditional water vapor and temperature grow into ice crystals. The inner





and outer walls of the lower region of the chamber are set to the same temperature to evaporate water droplets and wet aerosol while retaining the ice crystals from activated ice nuclei. The ice crystals are detected at the bottom of the chamber with an optical particle counter. Impactors upstream of the chamber have a 2.5 µm size cut to remove large particles that could be incorrectly optically classified as ice crystals, so the CFDC-IAS is designed to measure INP less than 2.5 µm in

70 aerodynamic diameter.

> To account for spurious ice crystal counts originating from chamber surface frost, the CFDC-IAS switched between sampling HEPA filtered air and ambient air in 5-minute intervals. We follow the statistical methods laid out in the supplemental material of (Barry et al., 2021) to correct sample concentrations for instrument background and to define

- confidence intervals for the data. The residence time for a particle in the chamber between the time that it activates to when it 75 evaporates is nominally 5 s, but studies indicate the aerosol lamina may broaden in similar instruments, leading to longer residence times (Garimella et al., 2016). The broadening of the lamina along with other factors lead to higher supersaturations required in the CFDC to agree with expansion cloud chamber measurements. An underestimate of a factor of 3 is found for CFDC measurements of mineral dust INPs at a water relative humidity of 105% (DeMott et al., 2015). Here
- we do not apply any correction factor to the SPL CFDC data, as there is currently a lack of measurements that bound the 80 uncertainty under different sampling conditions, but note that a correction of a factor of three or higher could be appropriate. While this correction appears to be a large difference, it is well within general uncertainties of ice nuclei measurements (DeMott et al., 2017).
- The first CFDC-IAS was deployed in Beijing, China for one month (Bi et al., 2019) and updates from the CSU CFDC design 85 to improve operability and automation are thoroughly described in that manuscript. This CFDC-IAS instrument has incorporated further updates. Most significantly the column material was changed from copper to anodized aluminum, while keeping the internal dimensions identical. The previous copper column design required chemical treatment (ebonization) to make the surface wettable and ensure a smooth ice layer. This process was highly time consuming, requiring the entire 90 instrument to be disassembled yearly, and required use of caustic chemicals. The aluminum column does not require this treatment. To ensure that changing the column material did not alter the instrument's function, we compared the new column
- to the CSU-CFDC, which uses the copper design, and found good agreement between the two instruments for laboratory measurements (Figure 1).
- 95 At SPL, the CFDC-IAS sampled from the main aerosol inlet (Petersen et al., 2019). Sample air was drawn through two diffusion driers (Handix Scientific) filled with silica gel and molecular sieves, respectively, and then passed through a 2.5 um size cut impactor. The instrument was set to automatically melt the ice walls and then refreeze the walls after 4-6 hours of operation, as the instrument background begins to increase due to frost buildup on interior surfaces after several hours of operation, lowering the detection limit. Instrument background concentrations were on average less than 1 L⁻¹ at STP





100 (denoted sL) at the beginning of an operation period and steadily increased, occasionally reaching average concentrations of 2-12 sL⁻¹. As INP concentrations decrease at warmer temperatures (fewer particles activate) and can be as low as <1 sL⁻¹, we aligned the sampling temperatures to be sequentially at -20, -25, and -30 °C in approximately 2-hour cycles with warmest temperatures occurring at the start (cleanest background) of each operation cycle. Measurements taken during instrument transition periods when temperatures and/or saturation conditions were unstable were omitted from this analysis. The 105 instrument operated continuously from October 10 2020-January 29 2021, except for January 3-10 due to a lack of nitrogen gas availability at the laboratory, which is used during the icing process to ensure no water vapor enters the chamber. A technician visited the site weekly to change out desiccant in the diffusion driers and switch nitrogen tanks when necessary

(~every three weeks). Other than these visits, the instrument operated unattended during the measurement period.

2.3 Supporting measurements, calculations, and modeling

- 110 SPL houses a number of meteorological and aerosol measurements that we use in this study. Meteorological data are hosted by the University of Utah MesoWest program (<u>https://mesowest.utah.edu/</u>), and include temperature, pressure, relative humidity, and wind speed and direction, all at 5-minute resolution. The wind direction was reported by cardinal direction (north, north-northeast, and so forth). A scanning mobility particle spectrometer (SMPS) measured aerosol from 10-350 nm at 5-minute resolution (<u>Gannet Hallar et al., 2016</u>) while an aerodynamic particle sizer (APS) measured aerosol from 0.55-20
- 115 µm at 5-minute resolution (Hallar et al., 2011). The SMPS and APS data were combined to calculate total aerosol surface area between 10 nm 2.5 µm, assuming spherical particles. As there was no overlap in size range, we used the full ranges of both instruments. The APS aerodynamic diameters were further converted to volumetric diameters following (DeCarlo et al., 2004). Particle surface area was used in calculating surface active site density, ns, (Niemand et al., 2012; Connolly et al., 2009) found by dividing the INP concentrations (n_{INP}(T)) by aerosol surface area (Stot):

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$$n_s = n_{INP}(T) * \frac{10^9}{Stot}$$
 (1)

With S_{tot} in units of μm^2 per unit volume of air, $n_{INP}(T)$ in units of sL^{-1} per unit volume of air, and n_s in units of m^{-2} .

We calculate 5-day back trajectories using the NOAA HYSPLIT on-line READY model (Stein et al., 2015; Draxler and Hess, 1998, 1997; Rolph et al., 2017), using 1-degree GDAS reanalysis meteorological data, starting each run at 500 m above model ground level.

125 **3. Results & Discussion**

3.1 Stability and performance of the CFDC-IAS

The CFDC-IAS at SPL ran continuously between October 9, 2020 and January 29, 2021, except for January 3-10 (Figure 2). Most days the instrument was set to measurement cycles of target laminar temperatures of -20, -25, and -30 °C (Figure 3). The instrument was able to reach the target temperatures within 0.3° C and the target water supersaturations within 3%.





130 During measurement cycles, the average difference between inner and outer wall temperatures were ~15 °C (Figure 3). Twohour replenishment cycles to thaw and reice the chamber walls occurred every four to six hours, depending on the preset measurement cycle. Periodically, the instrument was set to run only at -30 °C to better observe changes in INP concentrations without changing measurement conditions. All changes to the measurement cycles were done remotely.

3.2 INP concentrations, comparisons to previous SPL and other mountaintop measurements, and parameterizations

- 135 Concentrations of INPs had a median of 6 sL⁻¹ and mean of 10 sL⁻¹ across all temperature ranges (-20, -25, and -30 °C) (Figure 2 and 4), but with large variation (~0-470 L⁻¹). Little difference in medians or interquartile ranges occurred for observations taken in cloud (observations at >90% RH) versus out of cloud, or for observations taken during the day versus during the night (Figure S1). Nighttime has previously been characterized as a rough proxy for free tropospheric air masses at SPL due to the presence of lower water vapor, aerosol, ozone, and CO concentrations (Obrist et al., 2008). Snowfall
- 140 occurred at SPL on 35 of the 111-day measurement period, while winds came primarily from the west (Figure 2). Ambient temperature at SPL ranged from -22.5 to 14.5 °C (mean -6.8 °C, median -8 °C) and ambient wind speed ranged from 0.7-55.3 mph (mean 16.4 mph, median 15.9 mph). No strong correlation between snowfall, temperature, relative humidity, wind direction, or wind speed and INP concentrations were observed. Periodic high (>50 sL⁻¹) concentrations of INP followed periods of air parcels arriving from both surrounding arid regions and oceanic regions as determined by 5-day HYSPLIT
- 145 back trajectories, including southeastern Wyoming, Nevada, Arizona, the Four Corners region, the Gulf of California, and the north Pacific (Figure S2). However, low concentrations of INP during the campaign were also observed to have similar back trajectories, including days before or after high INP event days (Figure S3), limiting our conclusions on the direct sources of INP to SPL.

Previous CFDC deployments to SPL found average INP concentrations between -5 and -50 °C to be 1-10 sL⁻¹ for both

- November 2001 and April-March 2004 (Richardson et al., 2007; DeMott et al., 2003), similar to our observations. However, of the ranges reported in these studies, our range of INP at SPL reached higher concentrations. Other high-elevation free tropospheric INP measurements also tend to fall within <1-10 sL⁻¹, with some sites reaching ~20-30 sL⁻¹ (Lacher et al., 2018). The discrepancy in maximum concentrations observed between our study and other SPL and high-elevation studies may be due to differences in sampling frequency and duration: our measurements provide better temporal coverage than previous measurements at SPL, increasing the likelihood that we would be able to capture short-term, high-concentration events. Other factors that can lead to differences in observations from study to study include ambient conditions, such as
- precipitation and weather patterns as well as substantial increases in drought severity and locations across the Western U.S. between autumn of 2001 and autumn-winter of 2020-2021 (U.S. Drought Monitor, https://droughtmonitor.unl.edu/Maps/MapArchive.aspx).
- 160 Previous INP laboratory and field efforts have found relationships between total aerosol surface area or aerosol concentrations above 500 nm and INP concentrations for specific species of INP, such as dust (Niemand et al., 2012; DeMott

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et al., 2010, 2015). Total aerosol surface area (calculated as the sum of surface areas from the APS and SMPS; Methods) and aerosol concentrations for the APS and SMPS are shown in Figure 2. The APS data represents the aerosol concentrations above 500 nm and can have an outsized influence on surface area, as larger particles can dominate aerosol surface area, when present. The APS counts were generally very low (1 or less). Periodic increases in APS counts were observed (Figure

- 2), generally during periods of winds between the west-southwest and the north-northeast (Figure 2). Surface active site density, n_s , (eq. 1) also referred to as ice-active surface site density, is the sites upon which ice can form per surface area of a specific INP type that are active at temperature T. Currently, n_s is only strictly valid for describing a single type of INP. We follow (Bi et al., 2019; Levin et al., 2019) in comparing our results to previous values of n_s to further diagnose the type of
- 170 INPs that may have been present at SPL (Figure 4). Median values of n_s were 4.5 x 10⁷ m⁻², 5.8 x 10⁷ m⁻², and 5.8 x 10⁷ m⁻² for INP at -20, -25, and -30 °C. The median n_s values more closely follow the fit to clean marine data found by (McCluskey et al., 2018) than the fit for dust INP found by (Ullrich et al., 2017). Our data also falls within n_s ranges for some species of pollens (Murray et al., 2012). Less than 1% of calculated n_s values reached above 10⁹ m⁻². These higher values have been found to be indicative of dust, or mineral INPs (Murray et al., 2012), potentially indicating that INP during the winter at SPL
- 175 are dominated by species other than dust or mineral particles.

We also calculate the parameterizations laid out in <u>(Niemand et al., 2012; DeMott et al., 2010, 2015)</u>, hereon D10 and D15, that relate aerosol concentrations > 500 nm to INP concentrations as a function of activation temperature (Figure 5). D10 was constructed for "global" (unspeciated) INP while available coefficient values for D15 parameterization are applicable for mineral dusts. D10 was found in <u>(Niemand et al., 2012; DeMott et al., 2010, 2015)</u> to reduce variability in INP at a given

- 180 temperature to less than a factor of 10. While 86% of the predicted INP concentrations lie within the 1:10 and 10:1 lines (green dashed line, Figure 5), 13.8% of data lie between the 1:10 and 1:100 lines (orange dot-dash line, Figure 5) and 0.2% of data falls outside of the 1:100 line. Similarly, the D15 parameterization captures 70.4% of data within the 1:10 and 10:1 lines, with 19.7% falling between the 1:10 and 1:100 line and 9.9% of data falling outside of the 1:100 line. In general, both parameterizations perform best for the coldest (-30 °C) data but tend to underpredict observed INP concentrations, similar to 185 findings of (Bi et al., 2019).
- $\frac{(B1 \text{ et al.}, 201)}{(B1 \text{ et al.}, 201)}$

4. Conclusions

The established Continuous Diffusion Flow Chamber (CFDC) has an excellent track record of providing high-resolution ice nucleating particle (INP) measurements but has traditionally required an on-site, trained technician to run. Significant automation updates to the CFDC design to create the CFDC-Ice Activation Spectrometer (CFDC-IAS) allow for near

190 continuous operation with minimal user intervention. We have deployed the CFDC-IAS at Storm Peak Laboratory (SPL), a long-term, mountain measurement site in Steamboat Springs, Colorado, USA for four months (October 2020-January 2021) to gather a high-resolution dataset of INP. This public dataset may be of interest for model-measurement comparison. We cycled between target temperatures of -20, -25, and -30 °C for each measurement period, achieving stable conditions throughout the campaign. Concentrations of INPs were typically around a median of 6 sL⁻¹, mean of 10 sL⁻¹ at all

- 195 temperature ranges, similar to previous CFDC measurements at SPL taken during fall and spring of 2001 and 2004, respectively (Richardson et al., 2007; DeMott et al., 2003). However, our measurements captured a higher range of INP (~0-470 sL⁻¹) than these earlier campaigns. The higher temporal coverage of our measurements compared to the earlier campaigns increase our ability to capture short-term, high-concentration events. As well, there may be different transport patterns or ambient conditions between this and previous campaigns. Our deployment of the CFDC-IAS at SPL provides
- 200 promise for its potential for future longer deployments to help build up much-needed long-term, high-resolution INP datasets.

Data availability

The CFDC-IAS data is available at https://handixscientific.synology.me:8115/fsdownload/HYkyi0dOM/External.

205 Author contributions

ALH performed the data analysis and wrote the manuscript with assistance from EJTL, GRM, AGH, and CNR. EJTL, GRM, DRG, IM, CNR, and AGH performed or oversaw SPL measurements used in this manuscript.

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Figure 1: Active site density (ns) calculated for 500 nm illite particles generated using a nebulizer (wet; squares) or dry (circles) from CFDC measurements. Green points show measurements made with the CSU CFDC, which uses a copper column, blue points indicate data from the aluminum column CFDC-IAS. Black points show literature data from the CSU CFDC.

Figure 2. (a) Time series of ice nucleating particles (INP) colored by the average temperature of the CFDC observed at Storm Peak
Laboratory (SPL) between October 2020-January 2021 (MST) and daily average INP at -30 C° (orange line). Precipitation event days are marked with purple horizontal lines. (b) Calculated particle surface area between 10 nm - 2.5 μm, colored by wind direction in degrees, where 0° is north and 270° is west. The wind direction is discretized by cardinal direction. (c) Time series of hourly aerodynamic particle sizer (APS) data observed at SPL. (d) Time series of hourly scanning mobility particle sizer (SMPS) data observed at SPL for the same time period.

Figure 3. Example of CFDC-IAS operating conditions for November 17, 2020, in local time (MST). (Top) Target and average laminar temperatures in C (left axis) and target and average water supersaturations in % (right axis). (Bottom) Average inner wall temperature (left axis) and outer wall temperature (right axis) in C.

Figure 4. a) All statistically significant INP observed at Storm Peak Laboratory, by temperature range. b) Surface active site density, ns, using aerosol surface area from the SMPS and APS. The dust fit is from Ullrich et al., (2017) (their equation 5) and the clean marine fit is from McCluskey et al. (2018) (outlined in their Sect. 3.5).

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360 Figure 5. a) The "D10" (Demott et al., 2010) parameterization. b) The "D15" (Demott et al., 2015) parameterization. The black solid lines on both subplots are the 1-to-1 line, the green dashed lines are the 1-to-10 and 10-to-1 lines, and the orange dashed lines are the 1-to-100 lines.