# Sources of organic aerosols in eastern China: A modeling study

# with high-resolution intermediate-volatility and semi-volatile

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- 15 **Abstract:** Current chemical transport models fail to reproduce both the concentrations
- and temporal variations of Organic aerosol (OA), especially the secondary organic
- aerosol (SOA), hindering the identification of major contribution sources. The fact that
- precursors of intermediate-volatility and semi-volatile organic compounds (I/SVOCs)
- 19 are not included in models has a significant impact on the performance of SOA
- simulation. Herein, we established a high-resolution emission inventory of I/SVOCs
- 21 and by incorporating it into the CMAQ model, concentrations, temporal variations, and
- spatial distributions of POA and SOA originated from different sources in the Yangtze
- 23 River Delta (YRD) region of China were simulated. By incorporating I/SVOC
- 24 emissions into the model, the modeled average SOA concentrations in the region
- 25 increased by 148%. Significant model improvements in the simulations of different OA

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components were demonstrated by comparing with the comprehensive observation data. Furthermore, spatial and seasonal variations of different source contributions to OA production were identified. We found cooking emissions are predominant sources of POA in the densely populated urban area of the region. I/SVOC emissions from industrial sources are dominant contributors to the SOA formation, followed by those from mobile sources. Our results indicate that future control measures should be specifically tailored on intraregional scale based on the different source characteristics to achieve the national goal of continuous improvement in air quality. In addition, local source profiles and emission factors of I/SVOCs as well as SOA formation mechanisms in model framework are urgently needed to be updated to further improve the model performance and thus the accuracy of source identifications.

**Key words:** semi-volatile and intermediate volatility organic compounds; secondary organic aerosol; emission inventory; source contribution; model simulation

### 1. Introduction

Organic aerosol (OA) contributes a large fraction (20 to 90%) of atmospheric submicron aerosol (Zhang et al., 2007; Jimenez et al., 2009) and has negative impacts on air quality, climate (Shrivastava et al., 2017), and human health (Nault et al., 2021). OA is composed of primary organic aerosol (POA) directly emitted from fossil fuel combustion, biomass burning, and other sources, as well as secondary organic aerosol (SOA) formed through the atmospheric oxidation of gas-phase species emitted from a wide range of biogenic and anthropogenic sources (Hallquist et al., 2009). Understanding and identifying the origins of OA is therefore important for elucidating their health and climate effects and establishing effective mitigation policies. However, OA is a dynamic system driven by the gas-particle partitioning of organic vapors and particulate organic material and continuously evolves upon atmospheric oxidation (Robinson et al., 2007; Donahue et al., 2009; Zhao et al., 2013; Jathar et al., 2014). It is challenging to constrain the abundance of OA precursors and to identify key sources.

Great efforts have been made in the identification of OA sources through source

apportionment of the measured OA components, such as positive matrix factorization (PMF) (Zhang et al., 2011), chemical mass balance (CMB) model (Zheng et al., 2002) or multilinear engine (ME-2) (Canonaco et al., 2013). The Aerodyne high-resolution time-of-flight aerosol mass spectrometer (AMS), has been proven to be a powerful tool in quantification and chemical characterization of different OA components in real-time (Canagaratna et al., 2007). Coupled with PMF analysis, AMS measurements allow for the deconvolution of physically meaningful OA factors. Commonly retrieved factors include three POA sources, i.e. hydrocarbon-like OA (HOA) related to fossil fuel combustion, biomass burning OA (BBOA), and cooking-related OA (COA), as well as two SOA components, i.e. less oxidized oxygenated OA (LO-OOA) and more oxidized oxygenated OA (MO-OOA) (Hayes et al., 2013; Crippa et al., 2014; Sun et al., 2014; Li et al., 2017). Combining offline AMS and radiocarbon (14C) measurements, Huang et al. (2014) also identified the contributions of fossil and non-fossil sources to SOA. Attempts have been made in subsequent studies by coupling the AMS measurement with a suite of comprehensive and collocated SOA tracer measurements to distinguish biogenic and major anthropogenic SOA sources, such as traffic and cooking emissions (Xu et al., 2015; Zhang et al., 2018; Zhu et al., 2020; Huang et al., 2021a). However, given the hard ionization in the AMS, there are limits to how much source information can be extracted from AMS data. Further deconvolution on the contributions of different sources to OA production is challenging. Besides field measurements, air quality modeling is another widespread technique, which has advantages for regional-scale OA source apportionment with higher temporal and spatial resolution. However, the models generally underpredicted the measured SOA concentration in the atmosphere. The volatility basis set (VBS) scheme is therefore developed, which lumps organic precursors as well as their oxidation products into different volatility bins. Upon atmospheric aging, the volatility of these compounds evolves due to the processes such as functionalization and fragmentation, which can be accounted for in the models by shifting the proportion of these compounds in different

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volatility bins (Donahue et al., 2006). Previous studies have successively configured the VBS scheme from one-dimensional (1-D) to 1.5-/2-dimensions (1.5-/2-D), which can better describe the evolution of OA in the 2-D space of volatility and oxidation state in the model (Tsimpidi et al., 2010; Koo et al., 2014; woody et al., 2016; Zhao et al., 2016a; Yang et al., 2019). However, there are still some shortcomings in the modeling of OA, for example the lack of representation of the hydrophilic properties of OA, which assumes SOA condenses onto an organic phase, whereas SOA may also condense on an aqueous phase (Kim et al., 2011). Another important limitation is the underestimation of intermediate-volatility organic compounds (IVOCs) and semivolatile organic compounds (SVOCs) emissions in the models, which potentially have substantial contributions to SOA budget owing to their high SOA yields (Presto et al., 2009; Tkacik et al., 2012; Zhao et al., 2014; Liggio et al., 2016). IVOCs refer to organic compounds with effective saturation concentrations (C\*) between 10<sup>3</sup> to 10<sup>6</sup> µg·m<sup>-3</sup> at 298 K and 1 atm, while SVOCs refer to organic compounds with C\* between 10<sup>-1</sup> to  $10^3 \,\mathrm{\mu g \cdot m^{-3}}$  at 298 K and 1 atm (Robinson et al., 2007). I/SVOC emission inventories have been developed and applied into air quality models over the past decade. Most of them were estimated by applying different scaling factors based on their relationship with POA, volatile organic compounds (VOCs), or some proxies like naphthalene (Pye and Seinfeld, 2010; Shrivastava et al., 2011; Jathar et al., 2017; Wu et al., 2019, 2021; Li et al., 2020, 2022; Ling et al., 2022). Yet in practice, the same scaling factor was applied to most of the sources in previous studies due to the lack of measurements on I/SVOC emission factors. For example, except biomass burning (0.75–1.5), Wu et al. (2019) utilized scaling factors of 8–30 for all of the other emission source categories, which was estimated based on the measurements of on-road mobile source. Li et al. (2020) assumed scaling factors of 1.5 for on-road mobile source, and 0.34-1.5 for the other sources, such as industrial and residential sources, which were much lower than the estimations in Wu et al. (2020). Huang et al.

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(2021b) have tried emission factor method to quantify the I/SVOC emissions, yet the

results were 60% lower than the scaling factor method, far from reproducing the measured amount of SOA. Obviously, roughly estimating I/SVOC emissions using one or two emission profiles as surrogates for all emission sources will create large uncertainties.

Recent studies have successively determined the volatility distribution, chemical composition, and emission factors of I/SVOCs from mobile sources, including gasoline and diesel vehicles, non-road diesel machinery, marine vessel, and aircraft (Presto et al., 2011; Cross et al., 2013; Zhao et al., 2015, 2016b; Huang et al., 2018; Qi et al., 2019; Drozd et al., 2019). I/SVOC emission profiles have been reported for nonmobile-sources as well, including coal combustion, wood-burning, cooking, fuel evaporation, and industrial and residential volatile chemical products (Huffman et al., 2009; Gentner et al., 2012; May et al., 2013; Koss et al., 2018; McDonald et al., 2018; Cai et al., 2019; Drozd et al., 2021), making the quantification of I/SVOC emissions and their involvement in air quality models possible.

In China, SOA has been emerging as an important contributor to air pollution. Field observations reveal that OA contributes significantly (30%) to the PM<sub>2.5</sub> concentrations in most parts of China (Tao et al., 2017; Liu et al., 2018b), among which the SOA contributes up to 80% of OA during haze pollution (Huang et al., 2014; Ming et al., 2017; Li et al., 2021). SOA formation in China has already been examined in several modeling studies. They found that by considering the POA aging and I/SVOCs oxidation in the models, which is realized by the coupling of VBS scheme, the formation and evolution of SOA can be much better simulated compared to the results of the two-product SOA modeling framework (Zhao et al., 2016a; Wu et al., 2019; Li et al., 2020; Yao et al., 2020; Huang et al., 2021b). Chang et al. (2022) developed an emission framework that achieves a full volatility coverage in both the gas and particle phases of organic compounds for China, which have greatly improved the model performance on SOA concentrations. However, detailed source contributions of SOA in city scale still needs to be refined. Studies on high-resolution I/SVOC emission

inventory for more specific sources are highly needed.

In this study, taking the Yangtze River Delta (YRD) region, including Jiangsu, Zhejiang, Anhui provinces and Shanghai city, as a pilot, we established a high-resolution source specific I/SVOC emission inventory. We then applied the established inventory into CMAQ v5.3 to evaluate the contributions of I/SVOC emissions to SOA formation by comparing the results with the observation data collected in the region. Furthermore, we also run the model in different scenarios to quantify the seasonal contributions of different sources to POA and SOA formation in the YRD region.

#### 2. Materials and methods

# 2.1 I/SVOC emission inventory

Previous studies usually used POA scaling factors to estimate the I/SVOC emissions, which may lead to large uncertainties in the estimation of gas-phase organic compound-dominated sources, like oil refinery, chemical production, and industrial solvent-use. Herein, we compiled both gas-phase and particle-phase I/SVOCs emission inventories and incorporate them into the model. Detailed process of the inventories is as follows.

(1) Source classification: To refine the I/SVOC emissions from different sources, we divided the sources into five major categories and then further grouped them into 21 sub-categories. The major categories include industrial process sources, industrial solvent-use sources, mobile sources, residential sources, and agricultural sources. As shown in Table S1, the industrial process sources include the sectors such as oil refinery, chemical production, and pulp and paper production; Industrial solvent-use sources include textile, leather tanning, timber processing, and various industrial volatile chemical products use; Mobile sources include gasoline and diesel vehicle emissions, fuel evaporation, diesel machinery, marine vessel, and aircraft; Residential sources include coal combustion, residential solvent-use, and cooking emissions; Agricultural source is specifically referred to biomass burning in household stoves, and open burning was not included in this study.

(2) Emission estimation: Gas-phase emissions for each specific source were estimated by the ratios of total I/SVOC components to anthropogenic VOC (AVOC) components (G-ratio). Similarly, particle-phase emissions were estimated by the ratios of total particle-phase I/SVOC components to POA (P-ratio). The G- and P-ratios for each source were determined according to their fractions of total I/SVOC species in VOC and POA emissions. Then we grouped different I/SVOC species into lumped I/SVOC bins based on their C\* to determine the volatility distributions of each source. The gas-phase emissions were distributed into four lumped aliphatic IVOC bins across the volatility basis set from C\*=10<sup>3</sup> to 10<sup>6</sup> μg·m<sup>-3</sup>, two aromatic IVOC bins with the  $C^*=10^5$  and  $10^6 \,\mu g \cdot m^{-3}$ , and four lumped SVOC bins with  $C^*$  from  $10^{-1}$  and  $10^2 \,\mu g \cdot m^{-3}$ <sup>3</sup>. The particle-phase emissions were distributed into five bins spanning C\* from 10<sup>-1</sup> and 10<sup>3</sup> µg·m<sup>-3</sup>. Source profiles of I/SVOC species for different sources were referenced from the results in previous studies. Table S1 and S2 show the G-ratios and P-ratios for each specific source and their references. For industrial process, industrial solvent-use, and residential solvent-use sources, only gas-phase emissions were considered. Their G-ratios and emission profiles were derived from the latest version of SPECIATE 5.1 database (US EPA, 2021). For gasoline and diesel vehicles, the G-ratios and P-ratios and emission profiles were referenced from a new mobile-source parameterization recommended by Lu et al. (2020). Those of diesel machinery, marine vessel, and residential coal combustion were determined by recent measurement results in China (Qi et al., 2019; Huang et al., 2018; Cai et al., 2019). The G-ratios and profiles of cooking and biomass burning emissions were derived from SPECIATE 5.1 database, while their P-ratios and profiles were referenced from two previous studies (May et al., 2013; Louvaris et al., 2017). The base emissions of AVOCs and POA (See Table S3) were taken from a high-resolution emission inventory for the year of 2017 developed in our previous study (An et al., 2021).

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(3) Model input: Before being input into the model, the estimated gas-phase and article-phase emissions were summed and then redistributed according to their phase

equilibrium under the actual atmospheric state. The formula of phase equilibrium is shown in Equation (1).

$$F_{\rm p} = \frac{c_{\rm OA}}{c_{\rm OA} + c^*} \tag{1}$$

Where,  $F_p$  is the fraction of particle-phase emissions for each volatility bin.  $C_{OA}$  represents the OA concentration in the atmosphere. We assumed it to be  $10 \,\mu \text{g} \cdot \text{m}^{-3}$  in this study.  $C^*$  is the effective saturation concentration of each volatility bin. After redistribution, the I/SVOC emissions for each source category were allocated into 4 km  $\times$  4 km grids and hourly temporal profiles using the same method as the criteria pollutants.

### 2.2 Model configuration

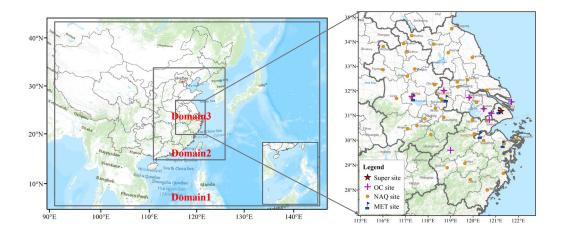
We used Community Modeling and Analysis System (CMAQ version 5.3.2) to simulate the concentrations of air pollutants. The domain of the simulation is presented in Figure 1. The simulations were conducted for three nested grids with horizontal resolution of 36 km (D1), 12 km (D2) and 4 km (D3), respectively. D1 covers most of China and the surrounding countries including Japan and South Korea; D2 covers eastern China and D3 covers the entire YRD region and its surrounding land and waters.

Meteorological fields were provided by the Weather Research and Forecasting (WRF version 3.7) model with 27 vertical layers extending to the tropopause (100 hpa). The initial and boundary conditions (ICs, BCs) in the WRF were based on the 1° × 1° reanalysis data from the National Centers for Environmental Prediction Final Analysis (NCEP-FNL). Physical options used in the WRF simulation are listed in Table S4.

The Sparse Matrix Operator Kernel Emissions (SMOKE, https://cmascenter.org/smoke) model was applied to process emissions for input to CMAQ. CMAQ version 5.3.2 (https://cmascenter.org/cmaq/) was used to simulate atmospheric pollutants concentrations. ICs and BCs of D1 domain are based on a Model For Ozone And Related Chemical Tracers (MOZART) global simulation (Emmons et al., 2010). For the inner D2 and D3 domain, ICs and BCs are extracted from the simulation results of the outer domains. Options selected for the CMAQ simulations

include the SAPRC07 gas phase chemistry, the AERO7 aerosol scheme, the Regional Acid Deposition Model (RADM) model aqueous phase chemistry, and ISORROPIA inorganic particulate thermodynamics.

The emission inventory developed in this study was used to produce the emission system in the YRD region while emissions beyond YRD were supplied by Multiresolution Emission Inventory for China (MEIC-2017, <a href="http://meicmodel.org">http://meicmodel.org</a>), Shipping Emission Inventory Model (SEIM) (Liu et al., 2016), and the Model Inter-Comparison Study (MIX) emission inventory for 2010 (Li et al., 2017). The I/SVOC emission inventory outside the YRD region was developed by multiplying the VOCs and POA emissions with the average G-ratios and P-ratios of major source categories like industry, vehicle, marine vessel, and residential. Biogenic volatile organic compounds (BVOCs) emissions were estimated based on MEGAN (the Model of Emissions of Gases and Aerosols from Nature) version 2.10 driving by inputs of the leaf area index (LAI) from MODIS product, plant functional types (PFT) base on remote sensing data, inline coupled emission factors and meteorology simulated by the WRF model. Detail configurations of MEGAN can be obtained from our previous study (Liu et al., 2018a).



**Figure 1.** Modeling domain and locations of observation sites. The blue marks are meteorological monitoring sites. The yellow dots represent the national air quality monitoring sites. The purple crosses are the observation sites with  $PM_{2.5}$  chemical composition measurements. The red star represents the observation site of AMS measurement.

SOA formed from I/SVOCs was estimated using the parameterization within the VBS framework in Lu et al. (2020). Specifically, the I/SVOC surrogates react with OH, generating four oxygenated organic species with volatility spanning from  $C^* = 10^{-1}$  to 10<sup>2</sup> μg·m<sup>-3</sup>, which may exist in both gas and condensed phase. The rate coefficient (i.e., k<sub>OH</sub>) and product yields (i.e., α<sub>i</sub>, i=1, 2, 3, 4) for each primary I/SVOC species were derived based on previous laboratory results (Zhao et al., 2015; Zhao et al., 2016b). Multi-generation oxidation was considered by implementing further oxidation of the vapors from the initial oxidation, which redistributes the mass across the volatility bins of  $C^* = 10^{-2}$  to  $10^2 \text{ ug} \cdot \text{m}^{-3}$ , and thus fragmentation and functionalization were included. The further oxidation of the vapor products used the default aging scheme for the oxidation products of POA in the CMAQ (Murphy et al., 2017). Additionally, SOA formation from SVOCs was treated similarly, and more details can be found in Murphy et al. (2017). POA was treated as semivolatile to account for its gas-particle partitioning and ageing process and segregated to several particle species, which varied in their volatility with  $C^* = 10^{-1}$  to  $10^3 \,\mu\text{g}\cdot\text{m}^{-3}$  (Donahue et al., 2006). Particle-phase emissions from different sources were then speciated and input considered as semivolatile species accordingly. The remaining POA emissions excluding particle-phase I/SVOCs were treated as nonvolatile POC (primary organic carbon) and PNCOM (primary non-carbon organic matter).

### 2.3 Model simulations

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To investigate the model performance on OA simulations and the contributions of different sources, we set 14 simulation cases using brute-force method (Zhang et al., 2005). Table 1 shows the settings for these 14 cases. First was BASE simulation case, in which the I/SVOC emissions was not included and the POA emissions were treated as non-volatile. The second was the I/SVOC-E case, which augmented the high-resolution I/SVOC emission inventory established in this study. In addition, the POA emissions in the I/SVOC-E simulation were split into both non-volatile and semivolatile parts. The non-volatile emissions were obtained by subtracting the P-ratios from the

total POA. Emissions of semivolatile POA were treated with variable gas—particle partitioning and multigenerational aging in this simulation case. We then used the difference between I/SVOC-E and BASE cases to evaluate the OA contributions from I/SVOC emissions. CASE1 to CASE12 respectively excluded the VOC and I/SVOC emissions from different sources. We used the differences between I/SVOC-E and CASE1–12 to quantify the contribution of each source to OA concentration.

**Table 1.** Settings of simulation cases.

Name	Sources with added I/SVOC emissions
BASE	none
I/SVOC-E	all
CASE1	all except industrial process
CASE2	all except industrial solvent-use
CASE3	all except mobile sources
CASE4	all except residential sources
CASE5	all except biomass burning
CASE6	all except biogenic sources
CASE7	without VOCs and I/SVOC emissions
CASE8	all except gasoline vehicle
CASE9	all except diesel vehicle
CASE10	all except diesel machinery
CASE11	all except marine vessel
CASE12	all except cooking

#### 2.4 Model evaluation

To capture the characteristics of OA with different meteorological features in the YRD region, we selected four periods to represent spring (Mar. 15<sup>th</sup> to Apr. 15<sup>th</sup>, 2019), summer (Jul. 1<sup>st</sup> to 31<sup>st</sup>, 2019), autumn (Oct. 15<sup>th</sup> to Nov. 15<sup>th</sup>, 2018), and winter (Dec. 1<sup>st</sup> to 31<sup>st</sup>, 2018) to conduct the simulations. Evaluations on model performance were made by comparing the simulation results with the observations obtained in the region, including 5 meteorological observation sites, 10 PM<sub>2.5</sub> chemical composition sites, and 41 national air quality monitoring sites, one in each city. The locations of the meteorological and air pollutant observation sites are shown in Figure 1.

We also used the observation data of an AMS and a GC-MS/FID system at the supersite in Shanghai to further verify the model performance on the simulation of POA,

SOA, and key VOC precursors. Details of AMS measurements and PMF analysis are provided in our previous study (Huang et al., 2021a). A total of 55 PAMS (Photochemical Assessment Monitoring Stations) species were identified by the GC-MS/FID system including 27 alkanes, 11 alkenes, acetylene and 16 aromatics. The supersite was located on the top-floor of an eight-story building in Shanghai Academy of Environmental Sciences (SAES, 31°10' N, 121°25'E), 30 m above the ground. The site was in a typical residential and commercial area with significant influence from traffic emission. Several petrochemical and chemical industrial factories sit around 50 km away from the site to the south and southwest.

Model performance in simulation of meteorological parameters and major criteria air pollutants are summarized in Table S5 and S6. The mean bias (MB), mean gross error (MGE), root-mean-square error (RMSE), and index of agreement (IOA) of temperature, humidity, wind speed, and wind direction in each season are within the criteria recommended by Emery et al. (2001). Although the temperature in summer and winter, and wind speed in autumn and winter were slightly overestimated, their MGE and IOA values are within the uncertainties as recommended in Emery et al. (2001).

For the simulation of major criteria air pollutants, both mean fractional bias (MFB) and mean fractional error (MFE) of all pollutants met the criteria recommended by Boylan and Russell (2006). Since the addition of I/SVOC emissions would change the PM<sub>2.5</sub> simulation results, we thus presented the statistical results for both BASE and I/SVOC-E cases in the Table S6. The simulated SO<sub>2</sub> was slightly overestimated, which might be caused by the overestimation of SO<sub>2</sub> emissions due to the fact that China's SO<sub>2</sub> emission reduction was far beyond the expectation. In contrast, the modeled NO<sub>2</sub> were underestimated in spring, autumn, and winter, likely due to the overestimation of wind speed in these seasons. The modeled O<sub>3</sub> and PM<sub>2.5</sub> were slightly overestimated in the I/SVOC-E simulation case. Overall, the simulated meteorological parameters and major criteria air pollutants are consistent with the observations.

#### 3. Results and discussion

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318 3.1 I/SVOC emission inventory

### 3.1.1 Source-specific I/SVOC emissions

Table 2 shows the gas-phase and particle-phase emission inventories for detailed source category for year 2017 in the YRD region. The total gas-phase emission in the YRD region was 1148 Gg in 2017, lower than that in Wu et al. (2021) of 1360 Gg, but higher than the estimate in Huang et al. (2021b) of 730 Gg. We found industrial solventuse was the largest contributor (484 Gg, 42.1%) of total gas-phase emissions, followed by industrial process sources (245 Gg, 21.3%), mobile source (344 Gg, 30.0%), residential source (62 Gg, 5.4%), and agriculture source (14 Gg, 1.2%). Specifically, chemical production, textile, and solvent-based coating were major sectors of gas-phase emissions in the YRD region, accounting for 20.8%, 19.5%, and 15.1% of the total gasphase emission, and their contributions to AVOC emissions were 20.7%, 2.2%, and 23.4%, respectively (See Table S3). The chemical materials and production process of these industries were quite different, which would make their G-ratios quite different in the profiles. For example, the textile industry only accounted for 2.2% of the total AVOC emissions in the YRD region but contributed to 19.5% of the gas-phase emissions due to its higher G-ratio (2.473). Another example is water-based coatings, whose VOC emissions were approximately 10.2% of solvent-based coatings, while their I/SVOC emissions were 29.1% of those from solvent-based coatings. These findings indicate that reductions in VOC emissions not necessarily corresponds to the simultaneous reductions in I/SVOCs emissions and subsequent SOA formation, which should be considered in future control strategies (Yuan et al., 2010). For gas-phase emission of mobile origin, the major contributors were gasoline vehicle, diesel vehicle, and non-road diesel machinery, accounting for 13.6%, 11.7%, and 2.1%, respectively. The total gas-phase emissions from gasoline and diesel vehicles were 291 Gg, much higher than the results reported in Liu et al. (2017) (30 Gg) and Huang et al. (2021b) (16 Gg) using the emission factor method, which likely

underestimates the emission factors of I/SVOCs due to the lack of localized emission factors. Our tunnel experiment results show that the average IVOCs emission factors of gasoline and diesel vehicles were 15.3 mg·km<sup>-1</sup> and 219.8 mg·km<sup>-1</sup> (Tang et al., 2021), which were significantly higher than those used in the above studies (Liu et al., 2017; Huang et al., 2021b). More comprehensive localized emission measurements are advocated to better constrain the I/SVOC emissions from mobile sources.

Particle-phase emissions were 83 Gg. The largest contributor of particle-phase emissions came from cooking emission and diesel vehicle, accounting for 53.2% and 119% of the total, followed by gasoline vehicle (5.2%), marine vessel (2.7%), diesel machinery (2.5%), and biomass burning (1.8%). Note that the particle-phase emissions from coal combustion (e.g. power plants, boilers, etc.), other industrial processes, and aircraft were not included in this study. On the one hand, the POA emissions (See Table S3) from these sources were limited, accounting for less than 5%, which could be expected that their particle-phase emissions were also relatively low. On the other hand, the profiles of particle-phase components of these sources were still difficult to obtain. More measurements of the I/SVOC emissions from these sources is very necessary in the future.

**Table 2.** Source-specific emissions of I/SVOCs for the year 2017 in the YRD region.

Source -		I/SV	I/SVOCs		Gas-phase		Particle-phase	
		Gg	%	Gg	%	Gg	%	
	Oil refinery	5.63	0.46	5.62	0.49	0.01	0.01	
Industrial process	Chemical production	244	19.8	239	20.8	4.69	5.65	
	Pulp and paper	0.11	0.01	0.11	0.01	0.00	0.00	
Industrial solvent-use	Textile	230	18.7	224	19.5	5.72	6.90	
	Leather tanning	3.83	0.31	3.83	0.33	0.00	0.00	
	Timber processing	31.1	2.52	31.1	2.71	0.00	0.00	
	Furniture coating	1.32	0.11	1.32	0.12	0.00	0.00	
	Solvent-based coating	173	14.1	173	15.1	0.00	0.00	
	Water-based coating	50.3	4.09	50.3	4.38	0.01	0.01	
	Dry cleaning	0.02	0.00	0.02	0.00	0.00	0.00	
	Paint remover	0.01	0.00	0.01	0.00	0.00	0.00	
Mobile source	Gasoline vehicle	161	13.1	157	13.6	4.34	5.23	

	Diesel vehicle	144	11.7	134	11.7	9.86	11.88
	Fuel evaporation	0.69	0.06	0.69	0.06	0.00	0.00
	Diesel machinery	49.6	4.03	47.51	4.14	2.11	2.54
	Marine vessel	7.12	0.58	4.91	0.43	2.21	2.66
	Aircraft	0.64	0.05	0.64	0.06	0.00	0.00
	Coal combustion	2.73	0.22	2.73	0.24	0.00	0.00
Residential source	Residential solvent-use	35.3	2.87	35.2	3.07	0.09	0.11
	Cooking	76.8	6.23	24.3	2.12	52.5	63.2
Agriculture source	Biomass burning	15.0	1.22	13.6	1.18	1.45	1.75
	Total	1231	100	1148	100	83.0	100.00

### 3.1.2 Volatility distributions of I/SVOCs

Figure 2 shows the volatility distribution of I/SVOC emissions from different sources as well as their gas-particle distributions. The I/SVOC emissions generally showed an increasing trend with the increase of volatility. As shown in Figure 2(a), IVOC emissions (logC\* bins at 3–6) accounted for 86% of the total I/SVOC emissions, overwhelmingly dominated by industrial process and mobile sources. SVOCs (logC\* bins at 0–2) and low-volatile organic compounds (LVOCs, logC\* bins at -1) contributed to 11% and 3% of the total I/SVOCs emissions. In terms of the contributing sectors, mobile sources, industrial process, and solvent-use dominated the total I/SVOC emissions. While the IVOCs were equally contributed by above-listed three sources, residential and mobile sources dominated the SVOCs and LVOCs emissions.

We further investigated the contributions of different volatility bins to each source category. The mobile source was dominated by IVOC emission (88%). Note that IVOC emissions from vehicles included a certain fraction of aromatics, which have faster OH reaction rates and higher SOA yields compared to aliphatics in the same volatility bin (Zhao et al., 2016b; Drozd et al., 2019). Lu et al. (2020) therefore defined two additional lumped IVOC species with logC\* bins at 5 and 6 to account for the aromatic IVOCs in vehicle exhaust according to the measurements in previous studies (Zhao et al., 2015; Zhao et al., 2016b). Here in this study, we also split the aromatic IVOC emissions from mobile sources and found that aromatic IVOCs accounted for 23% of the total I/SVOC emissions from the mobile source. The industrial process and solvent-use sources were

also dominated by IVOC emissions, accounting for 81% and 97%, respectively. The volatility distribution of residential sources was relatively uniform, with IVOCs, SVOCs and LVOCs accounting for 40%, 30%, and 30%. Agricultural (i.e., biomass burning) sources were more concentrated in IVOCs, accounting for 76%, while SVOCs accounted for 24%. It should be noted that other than mobile sources, the emission profiles of the other sources were mainly derived from SPECIATE 5.1 database (US EPA, 2021) in this study, which may be inconsistent with real-world emissions in China. To further reduce the uncertainty in the I/SVOC emission inventory, measurements of I/SVOC emissions from different local sources are therefore important and urgently needed in the future.

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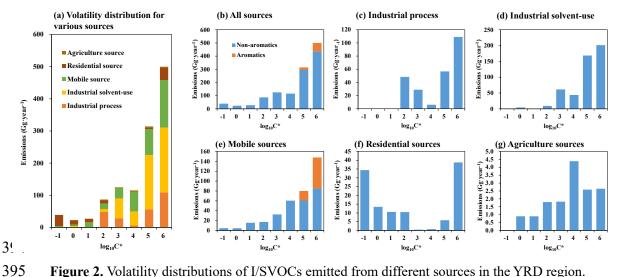


Figure 2. Volatility distributions of I/SVOCs emitted from different sources in the YRD region.

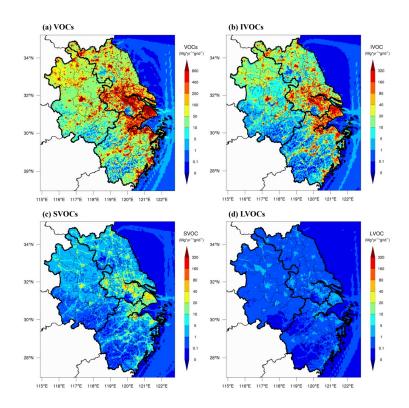
### 3.1.3 Spatial distributions of I/SVOC emissions in YRD region

Figure 3 compares the spatial distributions of AVOC, IVOC, SVOC, and LVOC emissions in the YRD region. The IVOC, SVOC, and LVOC emissions were largely concentrated in city clusters in eastern YRD, and hotspots can also be observed in the northern agglomerations. The distributions of I/S/LVOC emissions were generally consistent with that of the AVOC emissions in the region. Compared to the spatial distributions of I/S/LVOC emissions in Chang et al. (2022), our emissions had similar spatial distributions but at a higher resolution. Emission hotspots in urban areas can be captured more clearly in this study, which will help improve the simulation in urban areas.

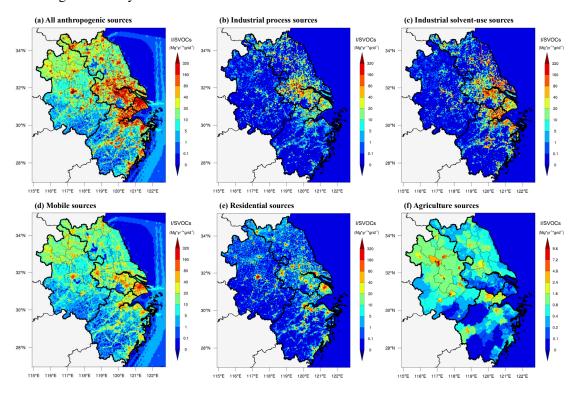
Figure 4 shows the spatial distributions of source-specific I/SVOC emissions in the YRD region. There were considerable differences in the spatial distributions of I/SVOC emissions from different sources. The I/SVOC emissions from industrial sources (including industrial process and industrial solvent-use) were mainly concentrated in the eastern urban agglomeration, which was related to the developed industrial activities in the region. The I/SVOC emissions from mobile and residential sources clustered into multiple hotspots in urban areas, while emissions from agricultural sources were mainly distributed in northern YRD, where frequent agricultural activities exist.

We also compare the spatial distributions of I/SVOC emissions with those of POA and BVOCs. We found that POA emissions were more concentrated in urban centers

and BVOCs. We found that POA emissions were more concentrated in urban centers associated with mobile and residential sources (See Figure S1). BVOC emissions in the YRD region were mainly distributed in the southern area, where AVOC and IVOC emissions were relatively low. The difference in the spatial distributions of I/SVOC, AVOC, BVOC, and POA emissions implies that the sources of organic components in different areas of the region are quite different, which will be discussed in the following sections.



**Figure 3.** Spatial distributions of anthropogenic VOC, IVOC, SVOC, and LVOC emissions in the YRD region for the year 2017.



**Figure 4.** Spatial distributions of I/SVOC emissions from different source categories in the YRD region for the year 2017.

429 3.2 Comparison between model simulation and observation

3.2.1 Simulation results of VOCs and IVOCs

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Since model performance on the simulation of VOCs are critical for SOA estimation, we first compare the modeled concentrations of VOCs with those of the measured at the SAES supersite for several aromatic VOCs, including benzene, toluene, and m-/p-/o-xylenes. As shown in Figure S2, the model simulation was able to capture the hourly variations of these species measured, with Pearson correlation coefficients (r) of 0.54–0.65, 0.45–0.60, 0.54–0.69 for toluene, xylene, and benzene respectively. Although the simulation results of toluene were 28% lower and xylene and benzene were 41% and 22% higher than those of the measured, the model results are within the uncertainties. Overall, the simulation results of the VOC species showed good agreements with the observations, which could be further used for the model simulation of SOA formation. Long-term continuous observations of I/SVOC concentrations were sparse, so the simulation results of IVOCs were compared with those obtained from offline measurements reported in our previous studies (Li et al., 2019; Ren et al., 2020). The reported IVOC concentrations (sum of gas- and particle-phase concentrations) in summer and winter Shanghai in 2018 respectively varied between 1.5-17.2 and 2.2-43.1  $\mu$ g·m<sup>-3</sup> with average concentrations of 6.8  $\pm$  3.7 and 18.2  $\pm$  11.0  $\mu$ g·m<sup>-3</sup>. In this study, our modeled average concentrations of IVOCs in spring, summer, autumn, and winter at the SAES supersite in Shanghai were  $12.8 \pm 5.6$ ,  $9.0 \pm 3.2$ ,  $12.2 \pm 5.2$ , and  $12.4 \pm 7.6 \,\mu\text{g}\cdot\text{m}^{-3}$ , respectively. The modeled IVOCs was higher in summer while lower in winter. This may be attributed to the unreasonable estimate of monthly profiles of I/SVOC emissions. In this study, I/SVOC emissions in winter were only 5% higher than those in summer, consistent with the trends simulated by the model, but far from reaching the large difference (~2.7 times) between the observed concentrations in winter and summer. Continuous long-term measurements of I/SVOC at multiple

locations and improvements of monthly variations in I/SVOC emissions are strongly

recommended in the future to help to improve the SOA model performance.

#### 3.2.1 Simulation results of OA concentrations

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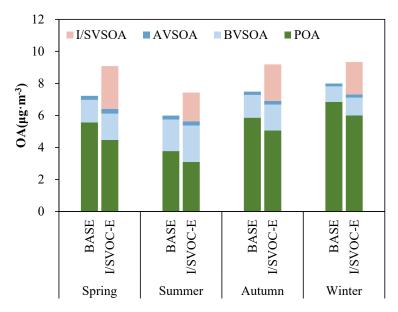
Figure 5 presents the OA concentrations originated from different sources, including POA and SOA formed from AVOCs, BVOCs, and I/SVOCs, in four seasons in YRD from both BASE and I/SVOC-E simulations. Here we used the average of the modeled concentrations at 41 national air quality monitoring sites (See the yellow dots in Figure 1) to represent the regional average. The regional average concentration of OA (8.8 µg·m<sup>-3</sup>) in the I/SVOC-E simulation was 22% higher than that from BASE simulation (7.2 μg·m<sup>-3</sup>) due to the involvement of I/SVOCs in the I/SVOC-E simulation. The seasonal average concentration of POA was 5.5 μg·m<sup>-3</sup> in the BASE case, with the lowest in summer (3.8 µg·m<sup>-3</sup>) and the highest in winter (6.9 µg·m<sup>-3</sup>). High POA concentrations in winter were mainly induced by the stagnant meteorological conditions such as low wind speed and boundary layer height and weaker photochemical effect, and vice versa in summer. For the spatial distributions as presented in Figure 6, POA concentrations in northern YRD were high and mainly concentrated in urban areas, which was consistent with the distributions of POA emissions (Figure S1). The POA concentrations in the I/SVOC-E simulation decreased by 12%-20% compared with the BASE case. In the I/SVOC-E simulation, the POA was treated as semi-volatile, where gas-particle partitioning and multigeneration oxidation were considered (Murphy et al., 2017). Entering into the atmosphere, more semi-volatile compounds evaporated into gas-phase and then generated SOA through multigeneration oxidation, which reduced the POA concentrations relatively. The seasonal average concentration of AVSOA in the BASE case was only 0.2 μg·m<sup>-3</sup>. The average AVSOA concentration in the I/SVOC-E case increased by 17% compared with the BASE case due to higher OA loading. Nonetheless, AVSOA still exhibited very limited contribution to the regional OA concentration, whereas average concentration of BVOC derived SOA (BVSOA, 1.7 µg·m<sup>-3</sup> in the I/SVOC-E simulation

case) was much higher. Also, evident seasonal variations were observed for BVSOA,

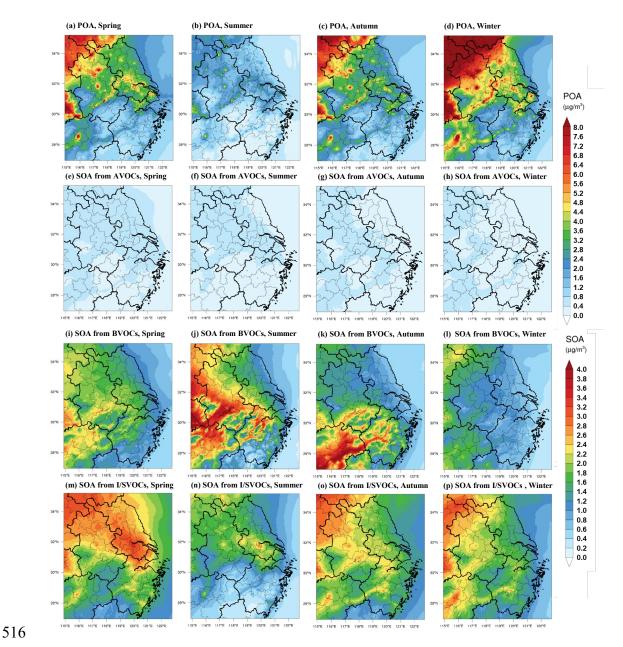
with the highest in summer (2.3  $\mu g \cdot m^{-3}$ ), followed by spring (1.7  $\mu g \cdot m^{-3}$ ), autumn (1.6  $\mu g \cdot m^{-3}$ ), and winter (1.1  $\mu g \cdot m^{-3}$ ). Hotspots of BVSOA concentrations were concentrated in the western and southern YRD. The observed seasonal variations and spatial distributions of BVOC derived SOA were consistent with those of the BVOC emissions in YRD (Liu et al., 2018a).

The average concentration of I/SVOC derived SOA (I/SVSOA) in I/SVOC-E simulation was 2.2 μg·m<sup>-3</sup>, with the highest in spring (2.7 μg·m<sup>-3</sup>) and the lowest in summer (1.8 μg·m<sup>-3</sup>), which was a combined effect of emission, oxidation and meteorological conditions. For example, Qin et al. (2022) suggested that in spring the enhanced solar radiation and OH oxidation potentially promote the secondary conversion from I/SVOCs to SOA. The low concentration in summer was likely due to more favorable diffusion conditions than the other seasons. By incorporating I/SVOC emissions into the I/SVOC-E simulation, the modeled average SOA concentration in the region increased from 1.7 (BASE) to 4.1 μg·m<sup>-3</sup>; and high concentrations of I/SVSOA were observed in central and northern YRD. Overall, the addition of high-resolution I/SVOC emissions significantly increase the SOA concentration by 148%, which will be further constrained by the observation in next section.

To validate the model performance on regional OA simulation, we compared it with the measured concentrations of organic carbon (OC) in PM<sub>2.5</sub> at multiple sites in the YRD region (Figure S3). Although both BASE and I/SVOC-E simulations showed good correlations with the observation as shown in Figures S3c, S3f, S3i, and S3l, OC concentrations in I/SVOC-E simulations in different seasons were all higher than those in the BASE simulations. In the BASE simulation, the modeled OC concentrations of each season only explained 51% to 71% of the observations. With the addition of I/SVOC emissions into I/SVOC-E simulation, the modeled OC concentrations much better agreed with the observations, with modeled OC increased to 70% to 91% of the observations. Details for the statistical evaluation of model performance on OC in BASE and I/SVOC-E simulations are shown in Table S7.



**Figure 5.** Comparisons of the regional average concentrations of POA and SOA formed from AVOCs, BVOCs, and I/SVOCs in different seasons from the BASE and I/SVOC-E simulations.



**Figure 6.** Spatial distributions of modeled POA and SOA formed from AVOCs, BVOCs, and I/SVOCs in different seasons in the I/SVOC-E simulation.

### 3.2.2 Temporal variations of OA components: simulation vs. AMS observation

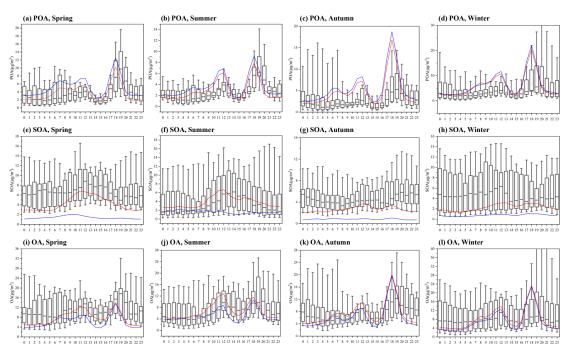
To further validate the model performance on the simulations of POA and SOA, we compared the simulation results with those measured by an AMS at the SAES supersite. Both simulation and observation results were obtained for  $PM_1$  aerosol particles (aerodynamic diameter < 1  $\mu$ m). Note that uncertainty exist when directly compare the POA and SOA derived from the model with those resolved by AMS-PMF analysis since a clear split of POA and SOA from a measurement point of view can

hardly be achieved. To minimize the uncertainty associated with the PMF analysis, comprehensive molecular identification of OA components was conducted and multiple source apportionment model results were compared following the method in Huang et al. (2021a) to improve the accuracy of the factor separation. Figure 7 shows that the simulation results of POA, SOA and OA were similar to the observation results not only in average concentration levels but also in temporal variations. For POA, the diurnal patterns in the BASE and I/SVOC-E simulations agree with each other and both can reproduce the observed concentrations. The POA concentrations in the I/SVOC-E simulation cases decreased by 4%–18% (Figure S4) compared with the BASE case and was closer to the observations. Similar to the observation results, the simulated POA concentrations peaked at noon and early evening, which were mainly contributed by cooking emissions as reported in our previous study (Huang et al., 2021a).

For SOA, the average concentrations in spring, summer, autumn, and winter in BASE simulation were 1.2, 1.6, 0.8, and 0.7  $\mu g \cdot m^{-3}$ , respectively, which were only 14%–30% of those observed by the AMS (see Figure S4). The SOA simulation was improved in I/SVOC-E simulation with the modeled SOA concentrations of 3.8 3.7, 2.7, and 2.3  $\mu g \cdot m^{-3}$  in spring, summer, autumn, and winter respectively. The SOA concentrations in I/SVOC-E simulation were 2.4–3.6 times higher than those in BASE simulation, which was 40% to 72% of the observation, indicating the large contributions of I/SVOCs emissions to SOA production.

The I/SVOC-E simulation also demonstrated improvements in reproducing the temporal variations of SOA, especially during the daytime (Figure 7e–7h). Compared with the BASE simulation, evident increases in SOA concentrations during daytime can be observed in I/SVOC-E simulation (Figure 7e–7h), which agrees better with the observation. However, the model cannot fully capture the diurnal patterns of SOA observed in most seasons, except for the summer, when both the concentrations and diurnal variations of SOA are well reproduced. This may be attributed to several reasons. For example, heterogeneous and multiphase reactions have not been included in the

model of this study but played important roles in SOA formation especially during pollution episodes in cool seasons (Guo et al., 2020; Kim et al., 2022). Recent studies also found that nocturnal NO<sub>3</sub> oxidation was also an important route for SOA formation, which would drive the enhancement of SOA during the nighttime (Yu et al., 2019; Decker et al., 2021). Yet mechanism and parameterizations of these processes remain unclear, making the involvement of these processes in the model difficult. A recent study furtherly found that there were considerable emissions of condensable particulate matter (CPM) from stationary sources in the industrial and energy sectors, which would effectively improve the contributions of the industrial sector to OA simulation especially in winter, should also be considered in the future (Morino, et al., 2018; Morino, et al., 2022). In addition, I/SVOC emissions from outside of the YRD region might be underestimated due to the lack of detailed base emission inventory, resulting in the corresponding underestimation of the transported SOA, which were prominent especially in autumn, winter and spring in Shanghai. High-resolution I/SVOCs emissions inventory is urgently needed to be developed at a larger regional scale.



**Figure 7.** Diurnal patterns of modeled POA, SOA, and OA concentrations in different seasons and their comparisons with the observations at the SAES supersite. The boxplots represent the diurnal patterns of the AMS observations. The blue and red lines respectively represent the diurnal patterns of the simulation results in BASE and I/SVOC-E cases.

#### 3.3 OA source contributions

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3.3.1 POA and SOA sources in the region

Based on the high-resolution I/SVOC emission inventory established in this study, we successfully simulated the POA and SOA concentrations from each source. Table 3 summarizes the regional average concentrations of POA and SOA originated from different sources and their relative contributions. Residential POA dominated the regional OA, with average concentrations ranged from 1. 6 to 2.4 µg·m<sup>-3</sup> in different seasons, accounting for 19.5%–25.3% of the total OA, among which cooking emission is the dominant source (ca. 98%) of residential POA. Other POA sources include industrial, biomass burning, and mobile sources, accounting for 8.0%-8.6%, 4. 5%-8.3%, and 5.0%-5.8% of the total OA, respectively. The cumulative fraction of POA in total OA from industrial and mobile sources was 13.4%-14.4%, close to that of HOA (15%) observed by the AMS measurement in Shanghai (Figure S5). Industrial sources were the main source of SOA in the YRD region, with average SOA concentrations of 0.8–1.2 µg·m<sup>-3</sup> in four seasons, accounting for 9.0%–15.6% of the total OA, among which, industrial process and solvent-use sources had almost equal contributions. Mobile sources were the second largest source of SOA in this region, with an average concentration of  $0.3-0.5 \,\mu\mathrm{g}\cdot\mathrm{m}^{-3}$ , accounting for 3.4%-6.7% of the total OA. Among them, the source contribution of gasoline vehicles to SOA was 1.8%–3.1%, and that of diesel vehicles was 1.2%-2.6%. BVSOA showed significant seasonal differences with concentrations of 0.9, 1.3, 0.7, and 0.1 µg·m<sup>-3</sup>, respectively in spring, summer, autumn, and winter, accounting for 9.6%, 16.9%, 7.6%, and 1.2% of the total OA. Overall, cooking emission was the major source of POA in YRD, accounting for 19.1%-25.0% of the total OA, which is consistent with our observations in Shanghai (Huang et al., 2021a; Zhu et al., 2021). Both simulations and observations demonstrated higher contributions of cooking emission in urban China than those reported overseas (17%-18%) (Chen et al., 2021), which is attributed to the difference between Asianstyle and Western-style cooking. The results emphasize that cooking emission has become a non-negligible source of non-fossil carbon in urban areas in eastern China. Contributions from industrial sources were running the second among all sources, accounting for 17.0%-24.1% of OA and 24.7%-26.8% of SOA, which is attributed to the high I/SVOC emissions from industrial sources and is consistent with previous studies (Miao et al., 2021). Other sources mainly include mobile sources (8.8% to 11.7% of OA) and biomass burning (5.2%-8.9% of OA). Specifically, diesel and gasoline vehicles were the major contributors among mobile sources, with higher contribution from the former (4.0%-4.7%) than the latter (3.1%-4.0%), followed by diesel machinery (1.3%–2.1%) and marine vessels (0.4%–0.9%). The contribution of biomass burning was highest in winter (8.9%) compared to contributions of 5.2%–7.3% in other seasons and it was even higher than contribution of mobile sources (8.76%) in winter. The remaining 14.5%-35.6% of OA was from super region scale, which represented OA originated from emissions outside the YRD region. Our results were generally similar with those of Chang et al. (2022) for the YRD region. We both found the domestic combustion mainly engaged in cooking emissions had a major contribution to OA. Next was volatile chemical products (VCPs), especially the use of solvents, paints, and adhesives in industrial sector, also made a high contribution. Note that industrial process also took up a high fraction in our OA simulation, while it was lower in Chang et al. (2022)'s study. The difference in I/SVOC emission estimates was the main reason for this divergence. Mobile sources in both studies had similar contributions, which accounted for about 10% to total OA. Comparatively, our source classification was more specific, which will help identify more specific OA sources to design more refined regional control countermeasures.

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**Table 3.** POA and SOA source contributions of different emission sources in each season in the YRD region.

	Spri	Spring		Summer		Autumn		Winter	
Sources	conc.	ratio	conc.	ratio	conc.	ratio	conc.	ratio	
	$(\mu g \cdot m^{-3})$	(%)							

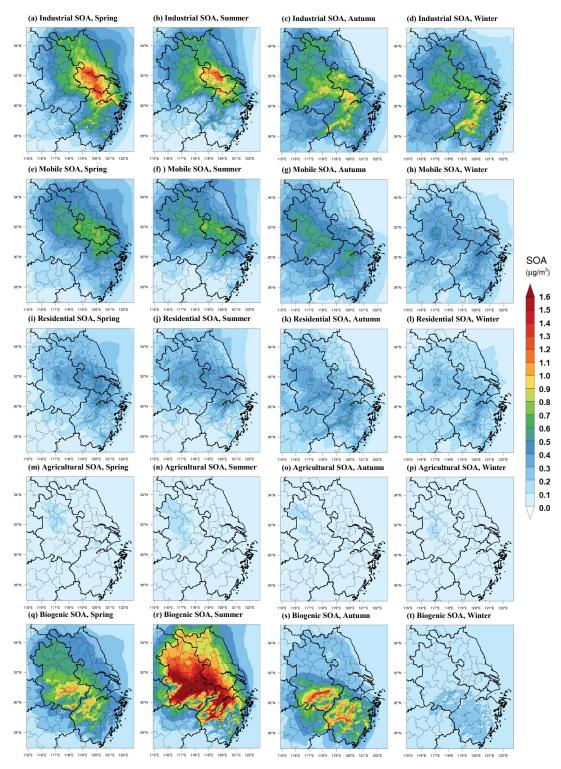
OA	4.5	49.2	3.1	41.7	5.1	55.1	6.0	64.3
Industrial sources	0.7	8.0	0.6	8.5	0.8	8.6	0.8	8.0
Industrial process	0.6	6.7	0.5	7.3	0.7	7.3	0.6	6.8
Industrial solvent-use	0.1	1.3	0.1	1.2	0.1	1.3	0.1	1.3
Mobile sources	0.5	5.4	0.4	5.0	0.5	5.8	0.5	5.4
Gasoline Vehicles	0.1	1.0	0.1	1.0	0.1	1.32	0.12	1.28
Diesel Vehicles	0.2	2.6	0.2	2.2	0.3	2.8	0.3	2.8
Diesel machinery	0.1	1.1	0.1	1.2	0.1	1.1	0.1	1.0
Marine vessel	0.1	0.8	0.1	0.7	0.1	0.6	0.0	0.4
Residential sources	1.8	19.5	1.6	21.0	2.3	25.3	2.4	25.2
Cooking	1.7	19.1	1.5	20.7	2.3	25.0	2.3	24.8
Other residential	0.03	0.3	0.02	0.2	0.03	0.3	0.04	0.4
Biomass burning	0.6	6.7	0.3	4.5	0.6	6.6	0.8	8.3
Super region	0.9	9.6	0.2	2.8	0.8	8.8	1.6	17.4
A	4.6	50.8	4.3	58.4	4.1	44.9	3.3	35.7
Industrial sources	1.2	13.4	1.2	15.6	1.0	11.1	0.8	9.0
Industrial process	0.7	7.5	0.6	8.4	0.6	6.6	0.5	5.6
Industrial solvent-use	0.5	5.8	0.5	7.3	0.4	4.5	0.3	3.3
Mobile sources	0.5	5.5	0.5	6.7	0.4	4.6	0.3	3.4
Gasoline Vehicles	0.3	2.7	0.2	3.1	0.2	2.3	0.2	1.8
Diesel Vehicles	0.2	2.0	0.2	2.5	0.2	1.7	0.1	1.2
Diesel machinery	0.1	0.7	0.1	0.9	0.1	0.6	0.03	0.4
Marine vessel	0.01	0.1	0.02	0.2	0.01	0.10	0.00	0.04
Residential sources	0.4	4.7	0.5	6.5	0.4	4.7	0.3	3.4
Cooking	0.2	2.3	0.3	4.0	0.3	2.8	0.2	1.7
Other residential	0.2	2.3	0.2	2.6	0.2	1.9	0.2	1.7
Biomass burning	0.1	0.6	0.1	0.7	0.1	0.6	0.1	0.6
Biogenic	0.9	9.6	1.3	16.9	0.7	7.6	0.1	1.2
Super region	1.6	17.0	0.9	11.8	1.5	16.3	1.7	18.2

# 3.3.2 Spatial distributions of SOA originated from different sources

Figure 8 shows the spatial distributions of modeled SOA originated from different sources in each season in YRD region. Note that we only considered the SOA formed from the intraregional VOC and I/SVOC emissions, excluding those transported from the super region. A large spatial variability was observed for the sources of SOA driven by emissions. For example, industrial and mobile SOA concentrated in the eastern and central YRD, where I/SVOC emissions were high (Figure 4). Residential and agricultural SOA presented a more uniform spatial distribution than industrial and

mobile SOA, with enhanced formation in central and western YRD (Figures 8i-8l).

Although absolute source-dependent SOA concentrations differ in different seasons, low spatial variabilities were observed for different seasons. Industrial, mobile, and residential sources were the predominant contributors to SOA formation in eastern and central YRD, especially for the area along the Hangzhou Bay and Yangtze River driven by the enhanced I/SVOC emissions. The spatial distributions of BVSOA have been discussed above and will not be detailed here.



**Figure 8.** Spatial distributions of modeled SOA concentrations from different sources in each season in YRD region.

# 3.3.3 Predominant OA sources in sub-regions of YRD

To characterize the source contributions in different parts of the region, we categorized the simulation region into six sub-regions: northern YRD, western YRD,

central YRD, eastern YRD and southern YRD. And six representative cities in theses six regions were further selected for detailed comparison in source contributions, including Xuzhou (XZ), Hefei (HF), Nanjing (NJ), Hangzhou (HZ), Shanghai (SH) and Jinhua (JH). Figure 9 shows their locations and OA source contributions during summer and winter.

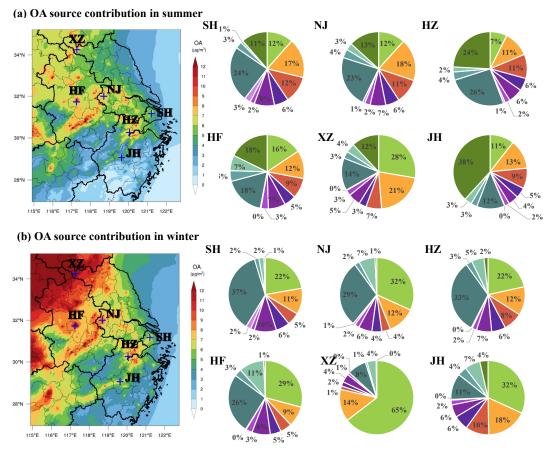
In Northern YRD, represented by XZ, enhanced contribution from super-regional scale to the local OA was observed for both winter (64.6%) and summer (27.7%) and the contributions from industrial processes (14.0% in winter and 21.0% in summer) were also higher than other sub-regions. Other major sources include biogenic (12.0%) and cooking emissions (14.1%) in summer and cooking (8.3%) in winter. Taken together, super-regional transportation and industrial processes are predominant contributors of OA in northern YRD, accounting for 78.6% and 48.7% in summer and winter respectively, followed by cooking emissions.

In western YRD, represented by HF, cooking emission was the largest contributor to OA with contributions of 17.8% and 26.3% in both summer and winter respectively, followed by super-regional contributions of 15.7% (summer) and 29.2% (winter). Other major sources also include mobile source of 15.5%, biogenic source in summer (17.8%) and industrial processes in both summer (12.3%) and winter (8.9%). In central YRD, represented by NJ and HZ, the relative source contributions were very similar to those in western YRD, with predominant contributions from cooking (22.8%-32.6%), followed by super-regional transportation (7.4%-31.8%), industrial processes (11.3%-18.4%) and mobile source (13.1%-16.3%).

In eastern YRD, represented by SH, the largest OA source was cooking emission, account for 24.3% and 36.6% of OA in summer and winter respectively, followed by mobile sources of 19%, super-regional transportation of 11.5% (summer) and 22.2% (winter) and industrial processes of 17.3% (summer) and 11.4% (winter). In southern YRD, represented by JH, while biogenic contribution was prevailing in summer (38.2%), super-regional transportation was significant in winter (31.8%). Similar to

other sub-regions, other major sources also included the contributions of cooking emission of 12.2% (summer) and 11.4% (winter), industrial processes of 12.9% (summer) and 17.9% (winter) and mobile sources of 13%. Yet southern YRD presented more evident increase in the contribution from industrial solvent-use compared with other sub-regions.

To summarize, cooking, super-regional transportation, industrial process and mobile sources were the predominant sources of OA in all sub-regions regardless of the season, albeit enhanced contributions from biogenic sources to the OA formation in summer was observed, especially in southern YRD. High contributions of cooking sources were in accordance with the distributions of populations and high contributions of mobile sources were somewhat expected, especially in the city centers. Source contributions of OA varies in the intraregional scale implies that more targeted control measures need to be designed according to the emission features of each city. Specifically, for densely populated area, it is necessary to strengthen the future control strategy of cooking emissions; special attention needs to be paid to the I/SVOC emissions from industrial sources in eastern, central, and northern YRD region; mobile sources show its significance in urban aera of the region, dominated by the equal contributions from gasoline and diesel vehicles, indicating further reductions on the I/SVOCs from vehicle emissions are therefore critical for pollution control on city scale.



Super Regional Industrial process Industrial solvent-use Gasoline vehicle Diesel wehicle Diesel machinery Marine vessel Cooking Other Residential Biomass burning Biogenic

**Figure 9.** Source contributions of modeled OA concentrations from different sources during summer and winter in different cities of the region.

#### 4. Conclusions

In this study, we established a high-resolution I/SVOC emission inventory with detailed source profiles and applied it into CMAQ v5.3 to simulate POA and SOA formation in YRD region of China. With the addition of I/SVOC emissions, simulation results show significant improvements on both temporal variations and spatial distributions of OA. Compared with the BASE simulation, where I/SVOC emissions were not included, the simulated SOA increased by 1.5 times in I/SVOC-E simulation, highlighting the significant contributions of I/SVOC emissions to SOA production. The remaining 10%–30% underestimation of OA indicates that future work is still needed in bridging the gap between simulation and observations, such as, measuring local emission factors and source profiles of I/SVOC from various local sources, updating

SOA formation mechanisms in model framework.

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With the addition of source specific I/SVOC emissions, we successfully quantified the contribution of each source to POA and SOA concentrations in YRD. For POA, cooking emission is the predominant source, which concentrates in urban area of YRD in accordance with the population distribution. For SOA, for the first time, we demonstrate that I/SVOCs from industrial sources are dominant contributor, followed by those from mobile sources. In summer, the contributions of biogenic emission to total SOA are also non-negligible, especially for the cities in southern YRD. Spatial and seasonal variations in the source contributions suggest that control strategies for OA pollution should vary by cities and seasons. For urban area, cooking emissions has been emerging as an important POA source, not to mention their impacts on SOA formation are not yet certain. Our results suggest the control measures on the cooking emissions should be strengthened in the future for the further reduction of POA. Another important source of SOA in urban area is mobile source, especially gasoline and diesel vehicles. Reduction in I/SVOC emissions from vehicles are effective measures in the mitigation of urban air pollution, which is also technically feasible as has been demonstrated in Qi et al. (2021). Continuous improvement in emission standards is one way to promote the reduction of motor vehicle related SOA. Our study further reveals that non-tailpipe sources of I/SVOCs (e.g., solvent use, petrochemical, etc.) are major contributors to SOA formation in the YRD region, consistent with Chang et al. (2022)'s model results in the national scale. However, current understanding of SOA formation potentials from these sources are still far from enough. For example, the localized I/SVOC emission factors and source profiles of these sources are still missing. Their chemical behavior and SOA yields may be different from the emissions from mobile sources which have been widely studied, which urges in-depth studies on these sources as well as the corresponding control measures.

Data availability

The gridded emissions of I/SVOCs from various sources for the YRD region

- developed by this study at a horizontal resolution of 4 km × 4 km can be downloaded
- from the following website (https://doi.org/10.6084/m9.figshare.19536082.v1).
- 740 Additional related data are available upon request by contacting the corresponding
- author (Cheng Huang; huangc@saes.sh.cn).
- 742 Supplement
- The supplement related to this article is available online.
- 744 Author contributions
- CH, JA, DH, and MQ designed the research. CH and JA developed the I/SVOC
- emission inventory. JA, MQ, and RY performed the model. DH, LQ, MZ, YL, SZ, and
- QW collected the observation data. CH, JA, DH, and HW analyzed the results. CH, JA,
- and DH wrote the paper.
- 749 Competing interests
- The authors declare that they have no conflict of interest.
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