1	Sources of organic aerosols in eastern China: A modeling study
2	with high-resolution intermediate-volatility and semi-volatile
3	organic compound emissions
4	Jingyu An <sup>1</sup> , Cheng Huang <sup>1*</sup> , Dandan Huang <sup>1</sup> , Momei Qin <sup>2,1</sup> , Huan Liu <sup>3</sup> , Rusha Yan <sup>1</sup> , Liping
5	Qiao <sup>1</sup> , Min Zhou <sup>1</sup> , Yingjie Li <sup>1</sup> , Shuhui Zhu <sup>1</sup> , Qian Wang <sup>1</sup> , Hongli Wang <sup>1</sup>
6	1. State Environmental Protection Key Laboratory of the Formation and Prevention of Urban Air
7	Pollution Complex, Shanghai Academy of Environmental Sciences, Shanghai 200233, China
8	2. Jiangsu Key Laboratory of Atmospheric Environment Monitoring and Pollution Control,
9	Collaborative Innovation Center of Atmospheric Environment and Equipment Technology,
10	Nanjing University of Information Science & Technology, Nanjing 210044, China
11	3. State Key Joint Laboratory of Environment Simulation and Pollution Control, School of
12	Environment, Tsinghua University, Beijing 100084, China
13	Abstract: Organic aerosol (OA) makes up a substantial fraction of atmospheric
14	particulate matter that exerts tremendous impacts on air quality, elimate, and human
15	health. Yet cCurrent chemical transport models fail to reproduce both the concentrations
16	and temporal variations of Organic aerosol (OA)OA, especially the secondary organic
17	aerosol (SOA), hindering the identification of major contribution sources. The absence
18	of precursors, especially One possibility is that precursors that are not yet included in
19	the model exist, and intermediate-volatility and semi-volatile organic compounds
20	(I/SVOCs), has a significant impact on the performance of SOA simulation are
21	advocated to be one of them. Herein, we established a high-resolution emission
22	inventory of I/SVOCs and by incorporating it into the CMAQ model, concentrations,
23	temporal variations, and spatial distributions of POA and SOA originated from different
24	sources in the Yangtze River Delta (YRD) region of China were simulated. By
25	incorporating I/SVOC emissions into the model, the modeled average SOA

<sup>\*</sup> Correspondence to C. Huang (<u>huangc@saes.sh.cn</u>)

26 concentrations in the region increased by 148%. SCompared with the comprehensive 27 observation data obtained in the region, i.e., volatile organic compounds (VOCs), 28 organic carbon (OC), primary organic aerosol (POA) and SOA, significant model 29 improvements in the simulations of different OA components were demonstrated by 30 comparing with the comprehensive observation data. Furthermore, spatial and seasonal 31 variations of different source contributions to OA production were identified. We found 32 cooking emissions are predominant sources of POA in the densely populated urban area 33 of the region. I/SVOC emissions from industrial sources are dominant contributors to 34 the SOA formation, followed by those from mobile sources. While the former 35 concentrated in eastern, central, and northern YRD, the latter mainly focused on the urban area. Our results indicate that future control measures should be specifically 36 37 tailored on intraregional scale based on the different source characteristics to achieve 38 the national goal of continuous improvement in air quality. In addition, local source 39 profiles and emission factors of I/SVOCs as well as SOA formation mechanisms in 40 model framework are urgently needed to be updated to further improve the model 41 performance and thus the accuracy of source identifications. 42 Key words: semi-volatile and intermediate volatility organic compounds; secondary

43 organic aerosol; emission inventory; source contribution; model simulation

### 44 1. Introduction

45 Organic aerosol (OA) contributes a large fraction (20 to 90%) of atmospheric 46 submicron aerosol (Zhang et al., 2007; Jimenez et al., 2009) and has negative impacts 47 on air quality, climate (Shrivastava et al., 2017), and human health (Nault et al., 2021). 48 OA is composed of primary organic aerosol (POA) directly emitted from fossil fuel 49 combustion, biomass burning, and other sources, as well as secondary organic aerosol 50 (SOA) formed through the atmospheric oxidation of gas-phase species emitted from a 51 wide range of biogenic and anthropogenic sources (Hallquist et al., 2009). Understanding and identifying the origins of OA is therefore important for elucidating 52 53 their health and climate effects and establishing effective mitigation policies. However,

OA is a dynamic system driven by the gas-particle partitioning of organic vapors and particulate organic material, i.e. POA and SOA, and continuously evolves upon atmospheric oxidation (Robinson et al., 2007; Donahue et al., 2009; Zhao et al., 2013; Jathar et al., 2014). It is challenging to constrain the abundance of OA precursors and to identify key sources.

59 Great efforts have been made in the identification of OA sources through source 60 apportionment of the measured OA components, such as positive matrix factorization 61 (PMF) (Zhang et al., 2011), chemical mass balance (CMB) model (Zheng et al., 2002) 62 or multilinear engine (ME-2) (Canonaco et al., 2013). The Aerodyne high-resolution 63 time-of-flight aerosol mass spectrometer (AMS), has been proven to be a powerful tool in quantification and chemical characterization of different OA components in real-time 64 65 (Canagaratna et al., 2007). Coupled with PMF analysis, AMS measurements allow for the deconvolution of physically meaningful OA factors. Commonly retrieved factors 66 67 include three POA sources, i.e. hydrocarbon-like OA (HOA) related to fossil fuel combustion, biomass burning OA (BBOA), and cooking-related OA (COA), as well as 68 69 two SOA components, i.e. less oxidized oxygenated OA (LO-OOA) and more oxidized oxygenated OA (MO-OOA) (Hayes et al., 2013; Crippa et al., 2014; Sun et al., 2014; 70 71 Li et al., 2017). Combining offline AMS and radiocarbon (<sup>14</sup>C) measurements, Huang 72 et al. (2014) also identified the contributions of fossil and non-fossil sources to SOA. 73 Attempts have been made in subsequent studies by coupling the AMS measurement 74 with a suite of comprehensive and collocated SOA tracer measurements to distinguish 75 biogenic and major anthropogenic SOA sources, such as traffic and cooking emissions 76 (Xu et al., 2015; Zhang et al., 2018; Zhu et al., 2020; Huang et al., 2021a). However, 77 given the hard ionization in the AMS, there are limits to how much source information 78 can be extracted from AMS data. Fdue to the complex OA composition and variety of 79 emission sources, further deconvolution on the contributions of different sources to OA 80 production is challenging.

81 Besides field measurements, air quality modeling is another widespread technique,

82 which has advantages for regional-scale OA source apportionment with higher temporal 83 and spatial resolution. However, the model simulated SOA concentration still has large 84 gaps with that measured in the atmosphere. The volatility basis set (VBS) scheme is 85 therefore developed, which lumps organic precursors as well as their oxidation products 86 into different volatility bins. Upon atmospheric aging, the volatility of these compounds 87 evolves due to the processes such as functionalization and fragmentation, which can be 88 accounted for in the models by shifting the volatility bins of these compounds (Donahue 89 et al., 2006). Previous studies have successively configured the VBS scheme from one-90 dimensional (1-D) to 1.5-/2-dimensions (1.5-/2-D), which can better describe the 91 evolution of OA in the 2-D space of oxidation and volatility in the model, and coupled 92 the simplified emission inventory of SOA precursors estimated from POA to improve 93 the model performance on SOA simulation It has been widely reported that coupling 94 VBS scheme with air quality models can improve the model performance on SOA 95 simulation (Tsimpidi et al., 2010; Koo et al., 2014; woody et al., 2016; Zhao et al., 96 2016a; Yang et al., 2019). However, there are still some shortcomings in the modeling 97 of OA-with the VBS, for example the lack of representation of the hydrophilic properties 98 of OA, which assumes SOA condenses onto an organic phase, whereas SOA may also 99 condense on an aqueous phase (Kim et al., 2011). Another important constraint is the 100 underestimation of intermediate-volatility organic compounds (IVOCs) and semi-101 volatile organic compounds (SVOCs) emissions in the models, which potentially have 102 substantial contributions to SOA budget owing to their high SOA yields (Presto et al., 2009; Tkacik et al., 2012; Zhao et al., 2014; Liggio et al., 2016). IVOCs refer to organic 103 104 compounds with effective saturation concentrations (C\*) between  $10^3$  to  $10^6 \,\mu g \cdot m^{-3}$  at 105 298 K and 1 atm, while SVOCs refer to organic compounds with C\* between 10<sup>-1</sup> to 106  $10^3 \,\mu\text{g}\cdot\text{m}^{-3}$  at 298 K and 1 atm (Robinson et al., 2007).

107 I/SVOC emission inventories have been developed and applied into air quality

108 models over the past decade. Most of them were estimated by applying different scaling

109 factors based on their relationship with POA, volatile organic compounds (VOCs), or

110 some proxies like naphthalene (Pye and Seinfeld, 2010; Shrivastava et al., 2011; Jathar et al., 2017; Wu et al., 2019, 2021; Li et al., 2020, 2022; Ling et al., 2022). Yet in 111 112 practice, a same scaling factor was applied to most of the sources in previous studies 113 due to the lack of measurements on I/SVOC emission factors. For example, except 114 biomass burning (0.75-1.5), Wu et al. (2019) utilized scaling factors of 8-30 for all of 115 the other emission source categories, which was estimated based on the measurements 116 of on-road mobile source. Li et al. (2020) assumed scaling factors of 1.5 for on-road 117 mobile source, and 0.34-1.5 for the other sources, such as industrial and residential 118 sources, which were much lower than the estimations in Wu et al. (2020). Huang et al. 119 (2021b) have tried emission factor method to quantify the I/SVOC emissions, yet the 120 results were 60% lower than the scaling factor method, far from catching reproducing 121 the measured amount of SOA. Obviously, roughly estimating I/SVOC emissions using 122 one or two emission profiles as surrogates for all emission sources will create large 123 uncertainties.

124 Recent studies have successively determined the volatility distribution, chemical 125 composition, and emission factors of I/SVOCs from mobile sources, including gasoline 126 and diesel vehicles, non-road diesel machinery, marine vessel, and aircraft (Presto et al., 127 2011; Cross et al., 2013; Zhao et al., 2015, 2016b; Huang et al., 2018; Qi et al., 2019; 128 Drozd et al., 2019). I/SVOC emission profiles have been reported for nonmobile-129 sources as well, including coal combustion, wood-burning, cooking, fuel evaporation, 130 and industrial and residential volatile chemical products (Huffman et al., 2009; Gentner 131 et al., 2012; May et al., 2013; Koss et al., 2018; McDonald et al., 2018; Cai et al., 2019; 132 Drozd et al., 2021), making the quantification of I/SVOC emissions and their 133 involvement in air quality models possible.

In China, SOA has been emerging as an important contributor to air pollution.
Field observations reveal that OA contributes significantly (30%) to the PM<sub>2.5</sub>
concentrations in most parts of China (Tao et al., 2017; Liu et al., 2018b), among which

137 the SOA contributes up to 80% of OA during haze pollution (Huang et al., 2014; Ming

138 et al., 2017; Li et al., 2021). SOA formation in China has already been examined in 139 several modeling studies. They found that by considering the POA aging and I/SVOCs 140 oxidation in the models, which is realized by the coupling of VBS scheme, the 141 formation and evolution of SOA can be much better simulated compared to the results 142 of the two-product SOA modeling framework (Zhao et al., 2016a; Wu et al., 2019; Li 143 et al., 2020; Yao et al., 2020; Huang et al., 2021b). Chang et al. (2022) developed a full-144 volatility organic emission inventory with source-specific I/SVOC emission profiles for 145 China, which have greatly improved the model performance on SOA concentrations. 146 However, large gaps still exist between the observed and modeled SOA. Studies on 147 high-resolution I/SVOC emission inventory for more specific sources are highly needed. 148 In this study, taking the Yangtze River Delta (YRD) region, including Jiangsu, 149 Zhejiang, Anhui provinces and Shanghai city, as a pilot, we established a high-150 resolution source specific I/SVOC emission inventory. We then applied the established 151 inventory into CMAQ v5.3 to evaluate the contributions of I/SVOC emissions to SOA 152 formation by comparing the results with the observation data collected in the region. 153 Furthermore, we also run the model in different scenarios to quantify the seasonal 154 contributions of different sources to POA and SOA formation in the YRD region.

#### 155 2. Materials and methods

156 2.1 I/SVOC emission inventory

157 I/SVOCs commonly exist in both gas and particle phase in the atmosphere.
158 Previous studies usually used POA scaling factors to estimate the I/SVOC emissions,
159 which may lead to large uncertainties in the estimation of gas-phase organic compound160 dominated sources, like oil refinery, chemical production, and industrial solvent-use.
161 Herein, we compiled both gas-phase I/SVOCs (I/SVOCs G) and particle-phase
162 I/SVOCs (I/SVOCs P) emission inventories and incorporate them into the model.
163 Detailed process of the inventories is as follows.

164 (1) Source classification: To refine the I/SVOC emissions from different sources,
 165 we divided the sources into five major categories and then further grouped them into
 6

166 21 sub-categories. The major categories include industrial process sources, industrial 167 solvent-use sources, mobile sources, residential sources, and agricultural sources. As 168 shown in Table S1, the industrial process sources include the sectors such as oil refinery, 169 chemical production, and pulp and paper production; Industrial solvent-use sources 170 include textile, leather tanning, timber processing, and various industrial volatile 171 chemical products use; Mobile sources include gasoline and diesel vehicle emissions, 172 fuel evaporation, diesel machinery, marine vessel, and aircraft; Residential sources 173 include coal combustion, residential solvent-use, and cooking emissions; Agricultural 174 source is specifically referred to biomass burning in household stoves, and open burning 175 was not included in this study.

176 (2) Emission estimation: I/SVOCs-GGas-phase emissions for each specific source 177 were estimated by the ratios of total I/SVOC components to anthropogenic VOC 178 (AVOC) components (I/SVOCs to G-ratio VOCs). Similarly, I/SVOCs - Pparticle-phase 179 emissions were estimated by the ratios of total particle-phase I/SVOC components to 180 POA (I/SVOCs-to-P-ratioPOA). The I/SVOCs-G-to-VOCs- and I/SVOCs-P-to-POA-181 ratios for each source were determined according to their fractions of total I/SVOC 182 species in VOC and POA emissions. Then we grouped different I/SVOC species into 183 lumped I/SVOC bins based on their C\* to determine the volatility distributions of each 184 source. The I/SVOCs-Ggas-phase emissions were distributed into four lumped aliphatic IVOC bins across the volatility basis set from  $C^*=10^3$  to  $10^6 \ \mu g \cdot m^{-3}$ , two aromatic 185 IVOC bins with the C\*=10<sup>5</sup> and 10<sup>6</sup>  $\mu$ g·m<sup>-3</sup>, and four lumped SVOC bins with C\* from 186 187  $10^{-1}$  and  $10^2 \,\mu \text{g} \cdot \text{m}^{-3}$ . The <u>L/SVOCs P</u> particle-phase emissions were distributed into five bins spanning C\* from  $10^{-1}$  and  $10^3 \ \mu g \cdot m^{-3}$ . Source profiles of I/SVOC species for 188 189 different sources were referenced from the results in previous studies. Table S1 and S2 190 show the I/SVOCs-G-to-VOCs-ratios and I/SVOCs-P-to-POA-ratios for each specific 191 source and their references. For industrial process, industrial solvent-use, and 192 residential solvent-use sources, only I/SVOCs-Ggas-phase emissions were considered. 193 Their I/SVOCs-G-to-VOCs-G-ratios and emission profiles were derived from the latest

194 version of SPECIATE 5.1 database (US EPA, 2021). For gasoline and diesel vehicles, 195 the I/SVOCs-G-to-VOCsG-ratios and I/SVOCs-P-to-POA-P-ratios and emission 196 profiles were referenced from a new mobile-source parameterization recommended by 197 Lu et al. (2020). Those of diesel machinery, marine vessel, and residential coal 198 combustion were determined by recent measurement results in China (Qi et al., 2019; 199 Huang et al., 2018; Cai et al., 2019). The L/SVOCs-G-to-VOCs-G-ratios and profiles of 200 cooking and biomass burning emissions were derived from SPECIATE 5.1 database, 201 while their particle-phase-P-ratios and profiles were referenced from two previous 202 studies (May et al., 2013; Louvaris et al., 2017). Table S1 and S2 show the I/SVOCs-203 G-to-VOCs and I/SVOCs-P-to-POA ratios and their emission profiles of each specific 204 source. The base emissions of AVOCs and POA (See Table S3) were taken from a high-205 resolution emission inventory for the year of 2017 developed in our previous study (An 206 et al., 2021).

207 (3) Model input: Before being input into the model, the estimated <u>I/SVOC-Ggas-</u>
208 phase and <u>I/SVOC-Particle-phase</u> emissions were summed and then redistributed
209 according to their phase equilibrium under the actual atmospheric state. The formula of
210 phase equilibrium is shown in Equation (1).

$$F_{\rm p} = \frac{C_{\rm OA}}{C_{\rm OA} + C^*} \tag{1}$$

Where,  $F_p$  is the fraction of particle-phase-I/SVOC emissions for each volatility bin. CoA represents the OA concentration in the atmosphere. We assumed it to be 10 µg·m<sup>-</sup> in this study. *C*\* is the effective saturation concentration of each volatility bin. After redistribution, the I/SVOC emissions for each source category were allocated into 4 km × 4 km grids and hourly temporal profiles using the same method as the criteria pollutants.

218 2.2 Model configuration

We used Community Modeling and Analysis System (CMAQ version 5.3.2) to simulate the concentrations of air pollutants. The domain of the simulation is presented in Figure 1. The simulations were conducted for three nested grids with horizontal 8 222 resolution of 36 km (D1), 12 km (D2) and 4 km (D3), respectively. D1 covers most of 223 China and the surrounding countries including Japan and South Korea; D2 covers 224 eastern China and D3 covers the entire YRD region and its surrounding land and waters. 225 Meteorological fields were provided by the Weather Research and Forecasting 226 (WRF version 3.7) model with 27 vertical layers extending to the tropopause (100 hpa). 227 The initial and boundary conditions (ICs, BCs) in the WRF were based on the  $1^{\circ} \times 1^{\circ}$ 228 reanalysis data from the National Centers for Environmental Prediction Final Analysis 229 (NCEP-FNL). Physical options used in the WRF simulation are listed in Table S4.

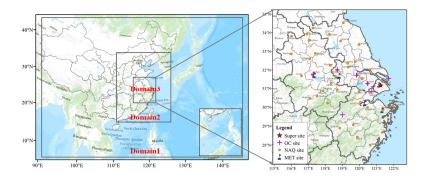
230 The Sparse Matrix Operator Kernel Emissions (SMOKE. 231 https://cmascenter.org/smoke) model was applied to process emissions for input to CMAQ. CMAQ version 5.3.2 (https://cmascenter.org/cmaq/) was used to simulate 232 233 atmospheric pollutants concentrations. ICs and BCs of D1 domain are based on a Model 234 For Ozone And Related Chemical Tracers (MOZART) global simulation 235 (https://acom.ucar.edu/wrf-chem/mozart.shtml). For the inner D2 and D3 domain, ICs 236 and BCs are extracted from the simulation results of the outer domains. Options selected 237 for the CMAQ simulations include the SAPRC07 gas phase chemistry, the AERO7 238 aerosol scheme, the Regional Acid Deposition Model (RADM) model aqueous phase 239 chemistry, and ISORROPIA inorganic particulate thermodynamics.

240 The emission inventory developed in this study was used to produce the emission 241 system in the YRD region while emissions beyond YRD were supplied by 242 Multiresolution Emission Inventory for China (MEIC-2017, http://meicmodel.org), 243 Shipping Emission Inventory Model (SEIM) (Liu et al., 2016), and the Model Inter-244 Comparison Study (MIX) emission inventory for 2010 (Li et al., 2017). The I/SVOC 245 emission inventory outside the YRD region was developed by multiplying the VOCs 246 and POA emissions with the average I/SVOCs G to VOCsG-ratios and I/SVOCs P-247 to POA-P-ratios of major source categories like industry, vehicle, marine vessel, and 248 residential. Biogenic volatile organic compounds (BVOCs) emissions were estimated 249 based on MEGAN (the Model of Emissions of Gases and Aerosols from Nature) version

- 250 2.10 driving by inputs of the leaf area index (LAI) from MODIS product, plant
- 251 functional types (PFT) base on remote sensing data, inline coupled emission factors and

252 meteorology simulated by the WRF model. Detail configurations of MEGAN can be

253 obtained from our previous study (Liu et al., 2018a).



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Figure 1. Modeling domain and locations of observation sites. The blue marks are meteorological monitoring sites. The yellow dots represent the national air quality monitoring sites. The purple crosses are the observation sites with PM<sub>2.5</sub> chemical composition measurements. The red star represents the observation site of AMS measurement.

259 SOA formed from I/SVOCs was estimated using the parameterization within the 260 VBS framework in Lu et al. (2020). Specifically, the I/SVOC surrogates react with OH, generating four oxygenated organic species with volatility spanning from  $C^* = 10^{-1}$  to 261 262 10<sup>2</sup> µg·m<sup>-3</sup>, which may exist in both gas and condensed phase. The rate coefficient (i.e., 263 koH) and product yields (i.e., α<sub>i</sub>, i=1, 2, 3, 4) for each primary I/SVOC species were 264 derived based on previous laboratory results (Zhao et al., 2015; Zhao et al., 2016b). 265 Multi-generation oxidation was considered by implementing further oxidation of the vapors from the initial oxidation, which redistributes the mass across the volatility bins 266 of  $C^* = 10^{-2}$  to  $10^2 \,\mu g \cdot m^{-3}$ , and thus fragmentation and functionalization were included. 267 268 It is worth noting that only one-step oxidation of the vapor products was considered, 269 using the default aging scheme for the oxidation products of POA in the CMAQ 270 (Murphy et al., 2017). Additionally, SOA formation from SVOCs were treated similarly,

271 and more details can be found in Murphy et al. (2017). POA was treated as semivolatile 10

to account for its gas-particle partitioning and ageing process and segregated to several particle species, which varied in their volatility that quantified with the metric  $C^* = 10^{-1}$ <sup>1</sup> to  $10^3 \ \mu g \cdot m^{-3}$  (Donahue et al., 2006). <u>I/SVOCs PParticle-phase</u> emissions from different sources were then speciated and input as semivolatile accordingly. The remaining POA emissions excluding <u>I/SVOCs Pparticle-phase</u> I/SVOCs were treated as nonvolatile POC (primary organic carbon) and PNCOM (primary non-carbon organic matter).

279 2.3 Model simulations

280 To investigate the model performance on OA simulations and the contributions of 281 different sources, we set 14 simulation cases using brute-force method (Zhang et al., 282 2005). Table 1 shows the settings for these 14 cases. First was BASE simulation case, 283 in which the I/SVOC emissions was not included and the POA emissions were treated 284 as non-volatile. The second was the IMPROVE-I/SVOC-E case, which augmented the 285 high-resolution I/SVOC emission inventory established in this study. In addition, the 286 POA emissions in the <u>I/SVOC-EIMPROVE</u> simulation were split into both non-volatile 287 and semivolatile parts. The non-volatile emissions were obtained by subtracting the 288 I/SVOCs-PP-ratios from the total POA. The semivolatile emissions, that was I/SVOCs-289 Pparticle-phase-emissions, were treated with variable gas-particle partitioning and 290 multigenerational aging in this simulation case. We then used the difference between 291 I/SVOC-EIMPROVE and BASE cases to evaluate the OA contributions from I/SVOC 292 emissions. CASE1 to CASE12 respectively excluded the VOC and I/SVOC emissions 293 from different sources. We used the differences between **I/SVOC-EIMPROVE** and 294 CASE1-12 to quantify the contribution of each source to OA concentration.

295 Table 1. Settings of simulation cases.

Name	Sources with added I/SVOC emissions
BASE	none
I/SVOC-	- 11
<u>E</u> IMPROVE	all
CASE1	all except industrial process
CASE2	all except industrial solvent-use

CASE3	all except mobile sources
CASE4	all except residential sources
CASE5	all except biomass burning
CASE6	all except biogenic sources
CASE7	without VOCs and I/SVOC emissions
CASE8	all except gasoline vehicle
CASE9	all except diesel vehicle
CASE10	all except diesel machinery
CASE11	all except marine vessel
CASE12	all except cooking

296 2.4 Model evaluation

297 To capture the characteristics of OA with different meteorological features in the YRD region, we selected four periods to represent spring (Mar. 15th to Apr. 15th, 2019), 298 summer (Jul. 1st to 31st, 2019), autumn (Oct. 15th to Nov. 15th, 2018), and winter (Dec. 299 300 1<sup>st</sup> to 31<sup>st</sup>, 2018) to conduct the simulations. Evaluations on model performance were 301 made by comparing the simulation results with the observations obtained in the region, 302 including 5 meteorological observation sites, 10 PM2.5 chemical composition sites, and 303 41 national air quality monitoring sites, one in each city. The locations of the 304 meteorological and air pollutant observation sites are shown in Figure 1.

305 We also used the observation data of an AMS and a GC-MS/FID system at the 306 supersite in Shanghai to further verify the model performance on the simulation of POA, 307 SOA, and key VOC precursors. Details of AMS measurements and PMF analysis are 308 provided in our previous study (Huang et al., 2021a). A total of 55 PAMS 309 (Photochemical Assessment Monitoring Stations) species were identified by the GC-310 MS/FID system including 27 alkanes, 11 alkenes, acetylene and 16 aromatics. The 311 supersite was located on the top-floor of an eight-story building in Shanghai Academy 312 of Environmental Sciences (SAES, 31°10' N, 121°25'E), 30 m above the ground. The 313 site was in a typical residential and commercial area with significant influence from 314 traffic emission. Several petrochemical and chemical industrial factories sit around 50 315 km away from the site to the south and southwest.

316 Model performance in simulation of meteorological parameters and major criteria

317	air pollutants are summarized in Table S5 and S6. The mean bias (MB), mean gross
318	error (MGE), root-mean-square error (RMSE), and index of agreement (IOA) of
319	temperature, humidity, wind speed, and wind direction in each season are within the
320	criteria recommended by Emery et al. (2001). Although the temperature in summer and
321	winter, and wind speed in autumn and winter were slightly overestimated, their MGE
322	and IOA values are within the uncertainties as recommended in Emery et al. (2001).
323	For the simulation of major criteria air pollutants, both mean fractional bias (MFB)
324	and mean fractional error (MFE) of all pollutants met the criteria recommended by
325	Boylan and Russell (2006). Since the addition of I/SVOC emissions would change the
326	$PM_{2.5}$ simulation results, we thus presented the statistical results for both BASE and
327	IMPROVE_I/SVOC-E cases in the Table S6. The simulated SO2 was slightly
328	overestimated, which might be caused by the overestimation of SO <sub>2</sub> emissions due to
329	the fact that China's SO <sub>2</sub> emission reduction was far beyond the expectation. The
330	modeled SO <sub>2</sub> -was slightly overestimated, which is likely due to the faster than expected
331	reduction of SO2 emissions, resulting in overestimation of SO2 emissions in the
332	emission inventoryOn theIn contrast, the modeled NO2 were underestimated in
333	spring, autumn, and winter, likely due to the overestimation of wind speed in these
334	seasons. The modeled $O_3$ and $PM_{2.5}$ were slightly overestimated in the <u>I/SVOC-</u>
335	EIMPROVE simulation case. Overall, the simulated meteorological parameters and

# 337 3. Results and discussion

338 3.1 I/SVOC emission inventory

339 3.1.1 Source-specific I/SVOC emissions

Table 2 shows the <u>I/SVOCs-Ggas-phase</u> and <u>I/SVOCs-Pparticle-phase</u> emission

341 inventories for detailed source category for year 2017 in the YRD region. The total

342 I/SVOC-Ggas-phase emission in the YRD region was 1148.42 Gg in 2017, lower than

that in Wu et al. (2021) of 1360 Gg, but higher than the estimate in Huang et al. (2021b)

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344 of 730 Gg. We found industrial solvent-use was the largest contributor (483.644 Gg, 345 42.14%) of total S/IVOCs-Ggas-phase emissions, followed by industrial process 346 sources (244.655 Gg, 21.30%), mobile source (344.31 Gg, 29.9830.0%), residential 347 source (62.23 Gg, 5.42%), and agriculture source (13.58 4 Gg, 1.182%). Specifically, 348 chemical production, textile, and solvent-based coating were major sectors of I/SVOCs-349 Ggas-phase emissions in the YRD region, accounting for 20.80%, 19.51%, and 15.071% 350 of the total I/SVOCs-Ggas-phase emission, and their contributions to AVOC emissions 351 were 20.70%, 2.22%, and 23.42%, respectively (See Table S3). The chemical materials 352 and production process of these industries were quite different, which would make their 353 G-ratios quite different in the profiles. It is interesting to note that the I/SVOCs-to-354 VOCs ratios are largely different for different sources. For example, the textile industry 355 only accounted for 2.22% of the total AVOC emissions in the YRD region but 356 contributed to 19.51% of the I/SVOC-Ggas-phase emissions due to its higher I/SVOCs-357 to-VOCs G-ratio (2.473). Another example is water-based coatings, whose VOC 358 emissions were approximately 10.2% of solvent-based coatings, while their I/SVOC 359 emissions were 29.1% of those from solvent-based coatings. These findings indicate 360 that reductions in VOC emissions not necessarily corresponds to the simultaneous 361 reductions in I/SVOCs emissions and subsequent SOA formation, which should be 362 considered in future control strategies- (Yuan et al., 2010).

364 For I/SVOCs-Ggas-phase emission of mobile origin, the major contributors were 365 gasoline vehicle, diesel vehicle, and non-road diesel machinery, accounting for 13.64%, 366 11.667%, and 2.14%, respectively. The total I/SVOCs-Ggas-phase emissions from 367 gasoline and diesel vehicles were 290.571 Gg, much higher than the results reported in 368 Liu et al. (2017) (3029.58 Gg) and Huang et al. (2021b) (16.0 Gg) using the emission 369 factor method, which likely underestimates the emission factors of I/SVOCs due to the 370 lack of localized emission factors. Our tunnel experiment results show that the average 371 IVOCs emission factors of gasoline and diesel vehicles were 15.3 mg km<sup>-1</sup> and 219.8

mg·km<sup>-1</sup> (Tang et al., 2021), which were significantly higher than those used in the
above studies (Liu et al., 2017; Huang et al., 2021b). More comprehensive localized
emission measurements are advocated to better constrain the I/SVOC emissions from
mobile sources.

376 I/SVOCs-PParticle-phase emissions were 82.963 Gg. The largest contributor of 377 I/SVOCs-Pparticle-phase emissions came from cooking emission and diesel vehicle, 378 accounting for 53.24% and 11.889% of the total, followed by gasoline vehicle (5.23%), 379 marine vessel (2.667%), diesel machinery (2.54%), and biomass burning (1.758%). 380 Note that the <u>I/SVOCs-Pparticle-phase</u> emissions from coal combustion (e.g. power 381 plants, boilers, etc.), other industrial processes, and aircraft were not included in this 382 study. On the one hand, the POA emissions (See Table S3) from these sources were 383 limited, accounting for less than 5%, which could be expected that their I/SVOCs-384 Pparticle-phase emissions were also relatively low. On the other hand, the profiles of 385 I/SVOCs-Pparticle-phase components of these sources were still difficult to obtain. 386 More measurements of the I/SVOC emissions from these sources is very necessary in 387 the future.

		I/SVOCs		I/SVOCs	-G <u>Gas-</u>	<del>I/SVOCs-</del> <del>P</del> Particle-pha	
S	Source	1/3/003		phase			
		Gg	%	Gg	%	Gg	
	Oil refinery	5.63	0.46	5.62	0.49	0.01	0
Industrial process	Chemical production	24 <u>3.604</u>	19. <del>78</del> - <u>8-</u>	23 <del>8.91<u>9</u></del>	20.8 <del>0</del>	4.69	5
	Pulp and paper	0.11	0.01	0.11	0.01	0.00	(
Industrial solvent-use	Textile	2 <del>29.78<u>30</u></del>	18. <del>66-</del> <u>7_</u>	224 <del>.06</del>	19.5 <del>1</del>	5.72	e
	Leather tanning	3.83	0.31	3.83	0.33	0.00	(
	Timber processing	31. <del>08-<u>1</u></del>	2.52	31. <del>08-<u>1</u></del>	2.71	0.00	(
	Furniture coating	1.32	0.11	1.32	0.12	0.00	(
	Solvent-based coating	173 <del>.02</del>	14. <del>05</del> - <u>1</u>	173 <del>.01</del>	15. <del>07</del> - <u>1</u>	0.00	(
	Water-based coating	50.3 <mark>2</mark>	4.09	50.3 <mark>2</mark>	4.38	0.01	(
	Dry cleaning	0.02	0.00	0.02	0.00	0.00	(

388 Table 2. Source-specific emissions of I/SVOCs for the year 2017 in the YRD region.

	Paint remover	0.01	0.00	0.01	0.00	0.00	0.00
	Gasoline vehicle	16 <del>1.01<u>1</u></del>	13. <del>08</del> - <u>1</u>	15 <del>6.67<u>7</u></del>	13.64	4.34	5.23
	Diesel vehicle	14 <del>3.76<u>4</u></del>	11. <del>6</del> 7	13 <del>3.90<u>4</u></del>	11. <del>66</del> - <u>7_</u>	9.86	11.88
Mobile source	Fuel evaporation	0.69	0.06	0.69	0.06	0.00	0.00
	Diesel machinery	49.6 <del>2</del>	4.03	47.51	4.14	2.11	2.54
	Marine vessel	7.12	0.58	4.91	0.43	2.21	2.66
	Aircraft	0.64	0.05	0.64	0.06	0.00	0.00
	Coal combustion	2.73	0.22	2.73	0.24	0.00	0.00
Residential source	Residential solvent-use	35. <del>29<u>3</u></del>	2.87	35.2 <mark>0</mark>	3.07	0.09	0.11
	Cooking	76. <del>77-<u>8</u></del>	6.23	24.3 <mark>0</mark>	2.12	52.4 <u>6-5</u>	63.24
Agriculture source	Biomass burning	15.04	1.22	13. <del>58 <u>6</u></del>	1.18	1.45	1.75
	Total	1231 <del>.38</del>	100 <del>.</del>	1148 <del>.42</del>	100 <del>.00</del>	8 <del>2.96<u>3.0</u></del>	100.0

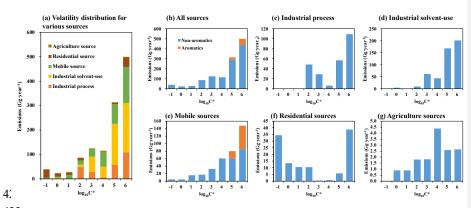
389 3.1.2 Volatility distributions of I/SVOCs

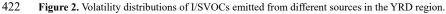
390 Figure 2 shows the volatility distribution of I/SVOC emissions from different 391 sources as well as their gas-particle distributions. The I/SVOC emissions generally 392 showed an increasing trend with the increase of volatility. As shown in Figure 2(a), 393 IVOC emissions (logC\* bins at 3-6) accounted for 86% of the total I/SVOCs emissions, overwhelmingly dominated by industrial process and mobile sources. SVOCs (logC\* 394 bins at 0-2) and low-volatile organic compounds (LVOCs, logC\* bins at -1) contributed 395 396 to 11% and 3% of the total I/SVOCs emissions. In terms of the contributing sectors, 397 mobile sources, industrial process, and solvent-use dominated the total I/SVOC 398 emissions. While the IVOCs were equally contributed by above-listed three sources, 399 residential and mobile sources dominated the SVOCs and LVOCs emissions.

We further investigated the contributions of different volatility bins to each source
category. The mobile source was dominated by IVOC emission (88%). Note that <u>IVOC</u>
emissions from vehicles included a certain fraction of aromatics
<del>IVOCs in vehicle</del>
exhaust are dominated by aromatics, which have faster OH reaction rates and higher
SOA yields compared to aliphatics in the same volatility bin (Zhao et al., 2016b; Drozd

405 et al., 2019). Lu et al. (2020) therefore defined two additional lumped IVOC species

406 with logC\* bins at 5 and 6 to account for the aromatic IVOCs in vehicle exhaust 407 according to the measurements in previous studies (Zhao et al., 2015; Zhao et al., 408 2016b). Here in this study, we also split the aromatic IVOC emissions from mobile sources and found that aromatic IVOCs accounted for 23% of the total I/SVOC 409 410 emissions from the mobile source. The industrial process and solvent-use sources were 411 also dominated by IVOC emissions, accounting for 81% and 97%, respectively. The 412 volatility distribution of residential sources was relatively uniform, with IVOCs, SVOCs and LVOCs accounting for 40%, 30%, and 30%. Agricultural (i.e., biomass 413 414 burning) sources were more concentrated in IVOCs, accounting for 76%, while SVOCs 415 accounted for 24%. It should be noted that other than mobile sources, the emission 416 profiles of the other sources were mainly derived from SPECIATE 5.1 database (US 417 EPA, 2021) in this study, which may be inconsistent with real-world emissions in China. 418 To further reduce the uncertainty in the I/SVOC emission inventory, measurements of 419 I/SVOC emissions from different local sources are therefore important and urgently 420





423 3.1.3 Spatial distributions of I/SVOC emissions in YRD region

needed in the future.

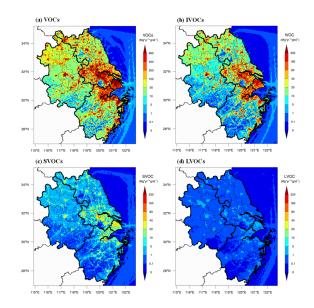
424 Figure 3 compares the spatial distributions of AVOC, IVOC, SVOC, and LVOC

425 emissions in the YRD region. The IVOC, SVOC, and LVOC emissions were largely

426 concentrated in city clusters in eastern YRD, and hotspots can also be observed in the 427 northern agglomerations. The distributions of I/S/LVOC emissions were generally 428 consistent with that of the AVOC emissions in the region. Compared to the spatial 429 distributions of I/S/LVOC emissions in Chang et al. (2022), our emissions had similar 430 spatial distributions but at a higher resolution. Emission hotspots in urban areas can be 431 captured more clearly in this study, which will help improve the simulation in urban 432 areas.

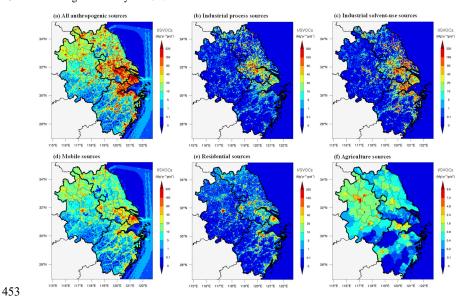
433 Figure 4 shows the spatial distributions of source-specific I/SVOC emissions in 434 the YRD region. There were considerable differences in the spatial distributions of 435 I/SVOC emissions from different sources. The I/SVOC emissions from industrial 436 sources (including industrial process and industrial solvent-use) were mainly 437 concentrated in the eastern urban agglomeration, which was related to the developed 438 industrial activities in the region. The I/SVOC emissions from mobile and residential 439 sources clustered into multiple hotspots in urban areas, while emissions from 440 agricultural sources were mainly distributed in northern YRD, where frequent 441 agricultural activities exist.

442 We also compare the spatial distributions of I/SVOC emissions with those of POA 443 and BVOCs. We found that POA emissions were more concentrated in urban centers 444 associated with mobile and residential sources (See Figure S1). BVOC emissions in the 445 YRD region were mainly distributed in the southern area, where AVOC and IVOC 446 emissions were relatively low. The difference in the spatial distributions of I/SVOC, 447 AVOC, BVOC, and POA emissions implies that the sources of organic components in 448 different areas of the region are quite different, which will be discussed in the following 449 sections.



451 Figure 3. Spatial distributions of anthropogenic VOC, IVOC, SVOC, and LVOC emissions in the

452 YRD region for the year 2017.



454 Figure 4. Spatial distributions of I/SVOC emissions from different source categories in the YRD

455 region for the year 2017.

456 3.2 Comparison between model simulation and observation

457 3.2.1 Simulation results of VOCs and IVOCs

458 Since model performance on the simulation of VOCs are critical for SOA 459 estimation, we first compare the modeled concentrations of VOCs with those of the measured at the SAES supersite for several aromatic VOCs, including benzene, toluene, 460 461 and m-/p-/o-xylenes. As shown in Figure S2, the model simulation was able to capture 462 the hourly variations of these species measured, with Pearson correlation coefficients 463 (r) of 0.54-0.65, 0.45-0.60, 0.54-0.69 for toluene, xylene, and benzene respectively. 464 Although the simulation results of toluene were 28% lower and xylene and benzene 465 were 41% and 22% higher than those of the measured, the model results are within the uncertainties. Overall, the simulation results of the VOC species showed good 466 agreements with the observations, which could be further used for the model simulation 467 468 of SOA formation.

469 Long-term continuous observations of I/SVOC concentrations were sparse, so the 470 simulation results of IVOCs were compared with those obtained from offline 471 measurements reported in our previous studies (Li et al., 2019; Ren et al., 2020). The 472 reported IVOC concentrations (sum of gas- and particle-phase concentrations) in 473 summer and winter Shanghai in 2018 respectively varied between 1.5-17.2 and 2.2-43.1  $\mu$ g·m<sup>-3</sup> with average concentrations of 6.8 ± 3.7 and 18.2 ± 11.0  $\mu$ g·m<sup>-3</sup>. In 474 475 this study, our modeled average concentrations of IVOCs in spring, summer, autumn, 476 and winter at the SAES supersite in Shanghai were  $12.8 \pm 5.6$ ,  $9.0 \pm 3.2$ ,  $12.2 \pm 5.2$ , 477 and  $12.4 \pm 7.6 \,\mu \text{g} \cdot \text{m}^{-3}$ , respectively. The modeled IVOCs was higher in summer while lower in winter, Although there was still a deviation of 20%-30% between the 478 479 simulation and observation, not to mention the diurnal patterns and spatial distributions 480 also remained unknown, the simulation results are at least comparable to those of the 481 measured concentrations, suggesting the modeled I/SVOCs is appropriate to be used in 482 the estimation of SOA production from different sources. ... This may be due to the

483 difference in monthly profiles of I/SVOC emissions, which has not been considered in

this study. Another important reason should be the chemical mechanism of IVOCs to
SOA evolution still needs to be improved. Continuous long-term measurements of
I/SVOC at multiple locations are strongly recommended in the future to <u>help to</u> improve
the <u>SOA</u> model performance and reduce the uncertainties in SOA estimation.

488 3.2.1 Simulation results of OA concentrations

489 Figure 5 presents the OA concentrations originated from different sources, 490 including POA and SOA formed from AVOCs, BVOCs, and I/SVOCs, in four seasons 491 in YRD from both BASE and I/SVOC-EIMPROVE simulations. Here we used the 492 average of the modeled concentrations at 41 national air quality monitoring sites (See 493 the yellow dots in Figure 1) to represent the regional average. The regional average 494 concentration of OA (8.75-8 µg·m<sup>-3</sup>) in the I/SVOC-EIMPROVE simulation was 22% 495 higher than that from BASE simulation (7.17-2 µg·m<sup>-3</sup>) due to the involvement of 496 I/SVOCs in the I/SVOC-EIMPROVE simulation.

497 The seasonal average concentration of POA was 5.5 µg·m<sup>-3</sup> in the BASE case, with 498 the lowest in summer  $(3.8 \ \mu g \cdot m^{-3})$  and the highest in winter  $(6.9 \ \mu g \cdot m^{-3})$ . High POA 499 concentrations in winter was mainly induced by the stagnant meteorological conditions 500 such as low wind speed and boundary layer height and weaker photochemical effect, 501 and vice versa in summer. For the spatial distributions as presented in Figure 6, POA 502 concentrations in northern YRD were high and mainly concentrated in urban areas, 503 which was consistent with the distributions of POA emissions (Figure S1). The POA 504 concentrations in the I/SVOC-EIMPROVE simulation decreased by 12%-20% 505 compared with the BASE case. In the I/SVOC-EIMPROVE simulation, the POA was 506 treated as semi-volatile, where gas-particle partitioning and multigeneration oxidation 507 were considered (Murphy et al., 2017). Entering into the atmosphere, more semi-508 volatile compounds evaporated into gas-phase and then generated SOA through 509 multigeneration oxidation, which reduced the POA concentrations relatively.

510 The seasonal average concentration of AVSOA in the BASE case was only 0.22511  $\mu$ g·m<sup>-3</sup>. The average AVSOA concentration in the <u>I/SVOC-EIMPROVE</u> case increased 512 by 17% compared with the BASE case due to higher OA loading. Nonetheless, AVSOA 513 still exhibited very limited contribution to the regional OA concentration, whereas 514 average concentration of BVOC derived SOA (BVSOA, 1.7 µg·m-3 in the I/SVOC-515 EIMPROVE simulation case) was much higher. Also, evident seasonal variations were 516 observed for BVSOA, with the highest in summer  $(2.27-3 \mu g m^3)$ , followed by spring 517 (1.65-7 μg·m<sup>-3</sup>), autumn (1.62 μg·m<sup>-3</sup>), and winter (1.11 μg·m<sup>-3</sup>). Hotspots of BVSOA 518 concentrations were concentrated in the western and southern YRD. The observed 519 seasonal variations and spatial distributions of BVOC derived SOA were consistent 520 with those of the BVOC emissions in YRD (Liu et al., 2018a).

521 The average concentration of I/SVOC derived SOA (I/SVSOA) in I/SVOC-522 **<u>EIMPROVE</u>** simulation was  $2.18 \cdot 2 \, \mu \text{g} \cdot \text{m}^{-3}$ , with the highest in spring  $(2.66 \cdot 7 \, \mu \text{g} \cdot \text{m}^{-3})$ 523 and the lowest in summer (1.79-8 µg·m-3), which was a combined effect of emission, 524 oxidation and meteorological conditions. For example, Qin et al. (2022) suggested that 525 in spring the enhanced solar radiation and OH oxidation potentially promote the 526 secondary conversion from I/SVOCs to SOA. The low concentration in summer was 527 likely due to the better meteorological conditions than the other seasons. By 528 incorporating I/SVOC emissions into the I/SVOC-EIMPROVE simulation, the 529 modeled average SOA concentration in the region increased from 1.66-7 (BASE) to 530 4.19  $\mu$ g·m<sup>-3</sup>; and high concentrations of I/SVSOA were observed in central and northern 531 YRD. Overall, the addition of high-resolution I/SVOC emissions significantly increase 532 the SOA concentration by 148%, which will be further constrained by the observation 533 in next section.

To validate the model performance on regional OA simulation, we compared it with the measured concentrations of organic carbon (OC) in PM<sub>2.5</sub> at multiple sites in the YRD region (Figure S3). Although both BASE and <u>I/SVOC-EIMPROVE</u> simulations showed good correlations with the observation as shown in Figures S3c, S3f, S3i, and S3l, OC concentrations in <u>I/SVOC-EIMPROVE</u> simulations in different seasons were all higher than those in the BASE simulations. In the BASE simulation, the modeled OC concentrations of each season only explained 51% to 71% of the
observations. With the addition of I/SVOC emissions into I/SVOC-EIMPROVE
simulation, the modeled OC concentrations much better agreed with the observations,
with modeled OC increased to 70% to 91% of the observations. Details for the statistical
evaluation of model performance on OC in BASE and I/SVOC-EIMPROVE
simulations are shown in Table S7.

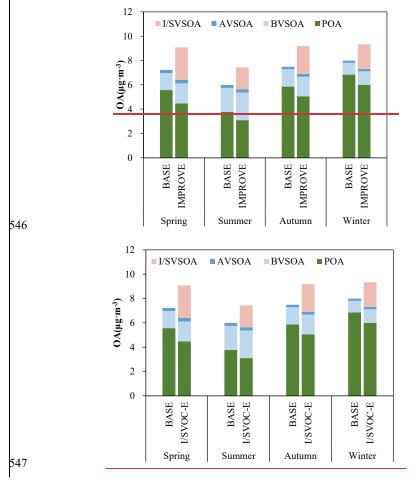
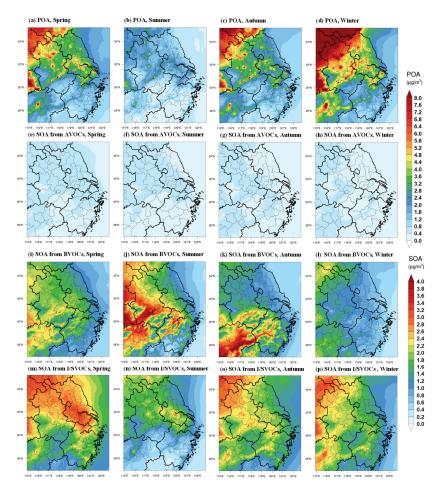


Figure 5. Comparisons of the regional average concentrations of POA and SOA formed from
 AVOCs, BVOCs, and I/SVOCs in different seasons from the BASE and <u>I/SVOC-EIMPROVE</u>



# 551

554 3.2.2 Temporal variations of OA components: simulation vs. AMS observation

To further validate the model performance on the simulations of POA and SOA, we compared the simulation results with those measured by an AMS at the SAES supersite. Both simulation and observation results were obtained for PM<sub>1</sub> aerosol particles (aerodynamic diameter < 1  $\mu$ m). Note that uncertainty exist when directly compare the modeled OA factors with those resolved by AMS-PMF analysis since a clear split of POA and SOA from a measurement point of view can hardly be achieved.

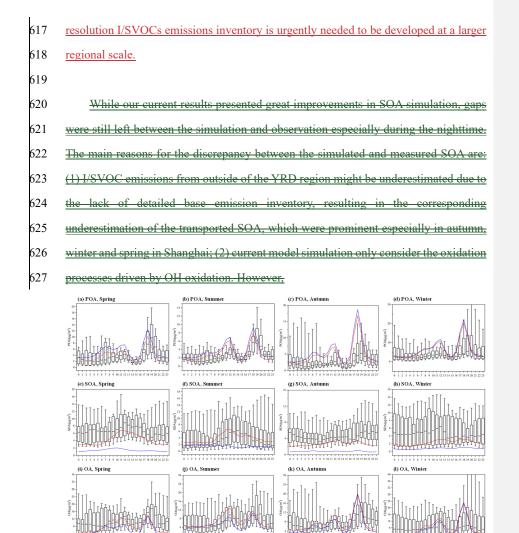
Figure 6. Spatial distributions of modeled POA and SOA formed from AVOCs, BVOCs, and
 I/SVOCs in different seasons in the <u>I/SVOC-EIMPROVE</u> simulation.

561 To minimize the uncertainty associated with the PMF analysis, comprehensive 562 molecular identification of OA components was conducted and multiple source 563 apportionment model results were compared following the method in Huang et al. 564 (2021a) to improve the accuracy of the factor separation. Figure 7 shows that the 565 simulation results of POA, SOA and OA were similar to the observation results not only 566 in average concentration levels but also in temporal variations. For POA, the diurnal 567 patterns in the BASE and I/SVOC-EIMPROVE simulations agree with each other and 568 both can reproduce the observed concentrations. The POA concentrations in the 569 I/SVOC-EIMPROVE simulation cases decreased by 4%-18% (Figure S4) compared 570 with the BASE case and was closer to the observations. Similar to the observation 571 results, the simulated POA concentrations peaked at noon and early evening, which 572 were mainly contributed by cooking emissions as reported in our previous study (Huang 573 et al., 2021<u>a</u>).

574 For SOA, the average concentrations in spring, summer, autumn, and winter in 575 BASE simulation were 1.2, 1.6, 0.8, and 0.7 µg·m<sup>-3</sup>, respectively, which were only 576 14%-30% of those observed by the AMS (see Figure S4). The SOA simulation was 577 greatly improved in I/SVOC-EIMPROVE simulation with the modeled SOA 578 concentrations of 3.8 3.7, 2.7, and 2.3 µg·m-3 in spring, summer, autumn, and winter 579 respectively. The SOA concentrations in **I/SVOC-EIMPROVE** simulation were 2.4-3.6 580 times higher than those in BASE simulation, which is was 40% to 72% of the 581 observation, indicating the large contributions of I/SVOCs emissions to SOA 582 production.

The <u>I/SVOC-EIMPROVE</u> simulation also demonstrated improvements in reproducing the temporal variations of SOA, especially during the daytime (Figure 7e-7h). Compared with the BASE simulation, evident increases in SOA concentrations during daytime can be observed in <u>I/SVOC-EIMPROVE</u> simulation (Figure 7e-7h), which agrees better with the observation, likely driven by photochemistry. <u>However</u>, the model is still hard to capture the diurnal patterns of SOA observed in most seasons.

589	except for the summer, Although the SOA simulations were improved in all four
590	seasons, best simulation results were found in summer, when both the concentrations
591	and diurnal variations of SOA were are well reproduced which indicates that SOA in
592	summer is mainly subject to photochemical oxidation of I/SVOC emissions, while SOA
593	formation will be largely affected by other factors in other seasons, especially during
594	the nighttime in cool seasons. For example,
595	While our current results presented great improvements in SOA simulation, gaps
596	were still left between the simulation and observation especially during the nighttime.
597	The main reasons for the discrepancy between the simulated and measured SOA are:
598	(1) I/SVOC emissions from outside of the YRD region might be underestimated due to
599	the lack of detailed base emission inventory, resulting in the corresponding
600	underestimation of the transported SOA, which were prominent especially in autumn,
601	winter and spring in Shanghai; (2) current model simulation only consider the oxidation
602	processes driven by OH oxidation. However, an increasing body of experimental and
603	observational evidence suggest that heterogeneous and multiphase reactions also played
604	important roles in SOA formation especially during pollution episodes (Guo et al., 2020;
605	Kim et al., <u>20212022</u> ). Recent studies also found that nocturnal NO <sub>3</sub> oxidation was also
606	an important route for SOA formation, which would drive the enhancement of SOA
607	during the nighttime (Yu et al., 2019; Decker et al., 2021). Yet mechanism and
608	parameterizations of these processes remain unclear, making the involvement of these
609	processes in the model difficult. A recent study furtherly found that there were
610	considerable emissions of condensable organic aerosols from stationary sources in the
611	industrial and energy sectors, which would effectively improve the contributions of the
612	industrial sector to OA simulation especially in winter, should also be considered in the
613	future (Morino, et al., 2018; Morino, et al., 2022). In addition, I/SVOC emissions from
614	outside of the YRD region might be underestimated due to the lack of detailed base
615	emission inventory, resulting in the corresponding underestimation of the transported
616	SOA, which were prominent especially in autumn, winter and spring in Shanghai. High-



629 Figure 7. Diurnal patterns of modeled POA, SOA, and OA concentrations in different seasons and 630 their comparisons with the observations at the SAES supersite. The boxplots represent the diurnal

631 patterns of the AMS observations. The blue and red lines respectively represent the diurnal patterns

- 632 of the simulation results in BASE and <u>I/SVOC-EIMPROVE</u> cases.
- 633 3.3 OA source contributions

- 634 3.3.1 POA and SOA sources in the region
- 635 Based on the high-resolution I/SVOC emission inventory established in this study,

636 we successfully simulated the POA and SOA concentrations from each source. Table 3 637 summarizes the regional average concentrations of POA and SOA originated from 638 different sources and their relative contributions. Residential POA dominated the 639 regional OA, with average concentrations ranged from 1.56 to  $2.354 \mu g m^3$  in 640 different seasons, accounting for 19.475%-25.34% of the total OA, among which 641 cooking emission is the dominant source (ca. 98%) of residential POA. Other POA 642 sources include industrial, biomass burning, and mobile sources, accounting for 643 8.02%-8.63%, 4.45%-8.283%, and 5.03%-5.78% of the total OA, respectively. The 644 cumulative fraction of POA in total OA from industrial and mobile sources was 645 13.44%-14.41%, close to that of HOA (15%) observed by the AMS measurement in 646 Shanghai (Figure S5).

647 Industrial sources were the main source of SOA in the YRD region, with average 648 SOA concentrations of  $0.84-1.24 \ \mu g \cdot m^{-3}$  in four seasons, accounting for 649 8.989.0%-15.64% of the total OA, among which, industrial process and solvent-use 650 sources had almost equal contributions. Mobile sources were the second largest source 651 of SOA in this region, with an average concentration of  $0.34-0.50 \text{ }\mu\text{g}\cdot\text{m}^{-3}$ , accounting 652 for 3.364%-6.697% of the total OA. Among them, the source contribution of gasoline vehicles to SOA was  $1.\overline{778}\%-3.\overline{071}\%$ , and that of diesel vehicles was  $1.\overline{182}\%-2.\overline{556}\%$ . 653 654 BVSOA showed significant seasonal differences with concentrations of 0.889, 1.263, 655 0.70, and 0.11 µg m<sup>-3</sup>, respectively in spring, summer, autumn, and winter, accounting 656 for 9.64%, 16.94%, 7.60%, and 1.152% of the total OA.

657 Overall, cooking emission was the major source of POA in YRD, accounting for 658 19.14%–2<u>5.04.99</u>% of the total OA, which is consistent with our observations in 659 Shanghai (Huang et al., 2021<u>a</u>; Zhu et al., 2021). Both simulations and observations 660 demonstrated higher contributions of cooking emission in urban China than those 661 reported overseas (17%–18%) (Chen et al., 2021), which is attributed to the difference 662 between Asian-style and Western-style cooking. The results emphasize that cooking 663 emission has become a non-negligible source of non-fossil carbon in urban areas in

664	eastern China. Contributions from industrial sources were running the second among
665	all sources, accounting for $17.02\%$ – $24.12\%$ of OA and $24.7\%$ – $26.8\%$ of SOA, which
666	is attributed to the high I/SVOC emissions from industrial sources and is consistent
667	with previous studies (Miao et al., 2021). Other sources mainly include mobile sources
668	(8. <del>768</del> % to 11.7 <del>2</del> % of OA) and biomass burning (5. <del>192</del> %-8. <del>872</del> % of OA). Specifically,
669	diesel and gasoline vehicles were the major contributors among mobile sources, with
670	higher contribution from the former $(\frac{3.954.0}{9}\%-4.\frac{667}{9}\%)$ than the latter
671	(3.051%-4.02%), followed by diesel machinery $(1.32%-2.14%)$ and marine vessels
672	(0.43%-0.93%). The contribution of biomass burning was highest in winter $(8.872%)$
673	compared to contributions of $5.\frac{192}{2}$ %-7.283% in other seasons and it was even higher
674	than contribution of mobile sources (8.76%) in winter. The remaining 14.54%-35.64%
675	of OA was from super region scale, which represented OA originated from emissions
676	outside the YRD region. Our results were generally similar with those of Chang et al.
677	(2022) for the YRD region. We both found the domestic combustion mainly engaged in
678	cooking emissions had a major contribution to OA. Next was volatile chemical products
679	(VCPs), especially the use of solvents, paints, and adhesives in industrial sector, also
680	made a high contribution. Note that industrial process also took up a high fraction in
681	our OA simulation, while it was lower in Chang et al. (2022)'s study. The difference in
682	I/SVOC emission estimates was the main reason for this divergence. Mobile sources in
683	both studies had similar contributions, which accounted for about 10% to total OA.
684	Comparatively, our source classification was more specific, which will help identify
685	more specific OA sources to design more refined regional control countermeasures.
686	Table 3 POA and SOA source contributions of different emission sources in each season in the

686 Table 3. POA and SOA source contributions of different emission sources in each season in the

687 YRD region.

	Spring		Summer		Autumn		Winter	
Sources	conc.	ratio	conc.	ratio	conc.	ratio	conc.	ratio
	(µg·m <sup>-3</sup> )	(%)	(µg·m <sup>-3</sup> )	(%)	$(\mu g \cdot m^{-3})$	(%)	(µg·m <sup>-3</sup> )	(%)
РОА	4.47- <u>5</u>	49. <del>19</del> - <u>2</u>	3. <del>09-</del> 1	41. <del>65-</del> 7_	5. <del>05-<u>1</u></del>	55. <del>06-<u>1</u></del>	6.0 <del>0</del>	64. <del>29</del> - <u>3</u>
Industrial sources	0.7 <del>3</del>	8.0 <del>2</del>	0.6 <mark>3</mark>	8.4 <u>8-5</u>	0. <del>79-<u>8</u></del>	8.6 <mark>3</mark>	0. <del>75 <u>8</u></del>	8.04
Industrial process	0.61	6.7 <del>1</del>	0.54	7. <del>27-</del> 3	0. <mark>6</mark> 7	7. <del>29</del> - <u>3</u>	0.6 <mark>3</mark>	6. <del>77-<u>8</u>_</del>

Industrial solvent-use	0.1 <del>2</del>	1.3 <mark>1</mark>	0. <del>09</del> - <u>1</u>	1.2 <del>0</del>	0.1 <del>2</del>	1.34	0.1 <mark>2</mark>	1. <del>27-</del> 3
Mobile sources	0. <mark>49_5</mark>	5.4 <mark>3</mark>	0. <del>37_4</del>	5.0 <mark>3</mark>	0.5 <mark>3</mark>	5. <del>78-<u>8</u></del>	0.5 <mark>0</mark>	5.4 <mark>0</mark>
Gasoline Vehicles	0. <del>09-<u>1</u></del>	1.04	0. <del>07-<u>1</u></del>	<u>0.961.0</u>	0.1 <del>2</del>	1.32	0.12	1.28
Diesel Vehicles	0.2 <mark>3</mark>	2. <u>58-6</u>	0. <del>16-</del> 2	2. <del>16_</del> 2_	0. <del>26_</del> 3	2. <del>79</del> - <u>8</u>	0. <del>26</del> - <u>3</u>	2. <del>77-<u>8</u></del>
Diesel machinery	0.1 <del>0</del>	1. <del>06-<u>1</u></del>	0. <del>09</del> - <u>1</u>	1.2	0.1 <mark>0</mark>	1. <del>08-</del> 1	0. <del>09</del> - <u>1</u>	<u>0.951.0</u>
Marine vessel	0. <del>07-<u>1</u></del>	0. <del>7</del> 8	0. <del>05</del> - <u>1</u>	0.7 <mark>0</mark>	0. <del>05-<u>1</u></del>	0. <del>59</del> - <u>6</u>	0.04	0. <del>39 <u>4</u></del>
Residential sources	1.77- <u>8</u>	19. <b>47</b> - <u>5</u>	1. <mark>5</mark> 6	2 <del>0.95<u>1.0</u></del>	2.3 <mark>2</mark>	25.3 <mark>1</mark>	2. <del>35 <u>4</u></del>	25. <del>16-</del> 2
Cooking	1.74	19.1 <mark>4</mark>	1.5 <mark>4</mark>	20.7 <mark>2</mark>	2. <del>29_</del> 3	2 <u>5.0</u> 4.99	2.3 <mark>4</mark>	24. <del>77-<u>8</u></del>
Other residential	0.03	0.3 <mark>3</mark>	0.02	0.2 <mark>3</mark>	0.03	0.3 <mark>3</mark>	0.04	0. <del>39-</del> 4
Biomass burning	0.6 <mark>0</mark>	6. <del>65-</del> 7_	0.3 <mark>3</mark>	4. <u>5</u> 45	0.6 <mark>0</mark>	6. <del>58-<u>6</u></del>	0. <del>77_<u>8</u>_</del>	8. <del>28-</del> 3
Super region	0. <mark>87-</mark> 9	9.6 <del>3</del>	0.2 <mark>0</mark>	2. <del>75 <u>8</u></del>	0.8 <mark>0</mark>	8. <del>75-<u>8</u></del>	1.6 <mark>2</mark>	17.4 <del>1</del>
A	4.6 <del>1</del>	50.8 <mark>1</mark>	4.3 <mark>4</mark>	58. <del>35 <u>4</u></del>	4.1 <del>3</del>	44.9 <mark>4</mark>	3.3 <mark>3</mark> -	35.7 <del>1</del>
Industrial sources	1.24	13. <del>38</del> - <u>4</u>	1. <del>16-</del> 2	15.64	1.0 <mark>2</mark>	11.1 <del>0</del>	0.84	<u>89.0</u> .98
Industrial process	0. <del>68-<u>7</u></del>	7.5 <mark>3</mark>	0.6 <mark>2</mark>	8. <del>39 <u>4</u></del>	0.6 <mark>1</mark>	6.6 <del>2</del>	0.5 <mark>3</mark>	5.64
Industrial solvent-use	0.5 <mark>3</mark>	5.84	0.5 <mark>4</mark>	7. <del>25</del> - <u>3</u>	0.4 <mark>1</mark>	4. <u>48-5</u>	0.3 <mark>1</mark>	3.34
Mobile sources	0. <del>49-<u>5</u></del>	5.4 <u>5-5</u>	0.5 <mark>0</mark>	6. <del>69-</del> 7	0.4 <mark>3</mark>	4.6 <del>3</del>	0.3 <mark>4</mark>	3. <del>36-</del> 4
Gasoline Vehicles	0. <del>25</del> - <u>3</u>	2.7 <mark>1</mark>	0.2 <mark>3</mark>	3. <del>07-<u>1</u></del>	0.2 <mark>1</mark>	2. <del>25</del> - <u>3</u>	0. <del>16_</del> 2	1. <del>77-<u>8</u></del>
Diesel Vehicles	0. <del>18-</del> 2	<u>2.0</u> 1.95	0. <del>19-</del> 2	2.5 <mark>0</mark>	0. <del>16_</del> 2_	1.7 <mark>3</mark>	0.1 <del>1</del>	1. <del>18-</del> 2
Diesel machinery	0. <del>06-<u>1</u></del>	0. <del>66-</del> 7_	0. <del>07-</del> 1	0.9 <mark>0</mark>	0. <del>05-<u>1</u></del>	0. <del>56-<u>6</u></del>	0.03	0. <del>37-<u>4</u></del>
Marine vessel	0.01	0.1 <del>3</del>	0.02	0.2 <del>2</del>	0.01	0. <del>09-<u>10</u></del>	0.00	0.04
Residential sources	0.4 <del>2</del>	4. <del>68-</del> 7_	0. <del>49</del> - <u>5</u>	6.5 <mark>4</mark>	0.4 <mark>3</mark>	4.7 <del>1</del>	0.3 <mark>2</mark>	3. <u>4</u> 39
Cooking	0.2 <mark>1</mark>	2. <del>34_</del> 3	0. <del>29_</del> 3	<u>3.974.0</u>	0. <del>26_</del> 3	2. <del>78-<u>8</u></del>	0. <del>16_</del> 2	1.7 <del>1</del>
Other residential	0.24	2.34	0. <del>19-</del> 2	2. <del>58-</del> 6	0. <del>18-</del> 2	1.9 <mark>3</mark>	0. <del>16-</del> 2	1. <del>68-</del> 7
Biomass burning	0. <del>06-<u>1</u></del>	0.6 <mark>3</mark>	0. <del>06-<u>1</u></del>	0.7 <mark>4</mark>	0. <del>05_</del> 1	0. <u>6</u> 59	0. <del>06-<u>1</u></del>	0.6 <mark>0</mark>
Biogenic	0. <mark>88-9_</mark>	9.6 <mark>4</mark>	1. <del>26</del> - <u>3</u>	16.9 <mark>4</mark>	0.7 <mark>0</mark>	7.6 <mark>0</mark>	0.1 <mark>1</mark>	1. <del>15-</del> 2
Super region	1. <del>55-</del> 6	17.04	0. <del>88</del> -9	11.8 <mark>0</mark>	1.5 <del>0</del>	16.3 <del>0</del>	1.7 <del>0</del>	18. <del>23-</del> 2

688 3.3.2 Spatial distributions of SOA originated from different sources

689 Figure 8 shows the spatial distributions of modeled SOA originated from different 690 sources in each season in YRD region. Note that we only considered the SOA formed 691 from the intraregional VOC and I/SVOC emissions, excluding those transported from 692 the super region. A large spatial variability was observed for the sources of SOA driven 693 by emissions. For example, industrial and mobile SOA concentrated in the eastern and 694 central YRD, where I/SVOC emissions were high (Figure 4). Residential and 695 agricultural SOA presented a more uniform spatial distribution than industrial and 696 mobile SOA, with enhanced formation in central and western YRD (Figures 8i-8l).

697 Although absolute source-dependent SOA concentrations differ in different

seasons, low spatial variabilities were observed for different seasons. Industrial, mobile,
and residential sources were the predominant contributors to SOA formation in eastern
and central YRD, especially for the area along the Hangzhou Bay and Yangtze River
driven by the enhanced I/SVOC emissions. The spatial distributions of BVSOA have
been discussed above and will not be detailed here.

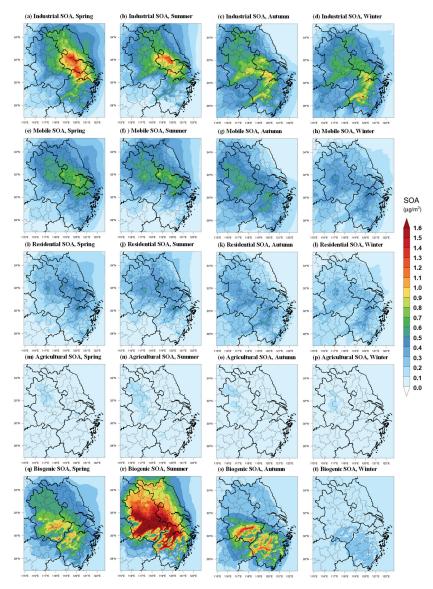


Figure 8. Spatial distributions of modeled SOA concentrations from different sources in each seasonin YRD region.

706 3.3.3 Predominant OA sources in sub-regions of YRD

To characterize the source contributions in different parts of the region, we categorized the simulation region into six sub-regions: northern YRD, western YRD, central YRD, eastern YRD and southern YRD. And six representative cities in theses six regions were further selected for detailed comparison in source contributions, including Xuzhou (XZ), Hefei (HF), Nanjing (NJ), Hangzhou (HZ), Shanghai (SH) and Jinhua (JH). Figure 9 shows their locations and OA source contributions during summer and winter.

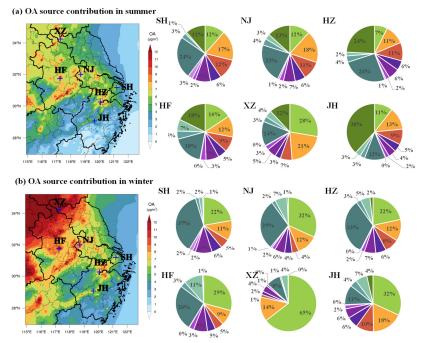
714 In Northern YRD, represented by XZ, enhanced contribution from super-regional scale to the local OA was observed for both winter (64.6%) and summer (27.7%) and 715 716 the contributions from industrial processes (14.0% in winter and 21.0% in summer) 717 were also higher than other sub-regions. Other major sources include biogenic (12.0%) 718 and cooking emissions (14.1%) in summer and cooking (8.3%) in winter. Taken 719 together, super-regional transportation and industrial processes are predominant 720 contributors of OA in northern YRD, accounting for 78.6% and 48.7% in summer and 721 winter respectively, followed by cooking emissions.

722 In western YRD, represented by HF, cooking emission was the largest contributor 723 to OA with contributions of 17.8% and 26.3% in both summer and winter respectively, followed by super-regional contributions of 15.7% (summer) and 29.2% (winter). Other 724 725 major sources also include mobile source of 15.5%, biogenic source in summer (17.8%) 726 and industrial processes in both summer (12.3%) and winter (8.9%). In central YRD, 727 represented by NJ and HZ, the relative source contributions were very similar to those in western YRD, with predominant contributions from cooking (22.8%-32.6%), 728 729 followed by super-regional transportation (7.4%-31.8%), industrial processes (11.3%-18.4%) and mobile source (13.1%-16.3%). 730

In eastern YRD, represented by SH, the largest OA source was cooking emission,
 account for 24.3% and 36.6% of OA in summer and winter respectively, followed by

733 mobile sources of 19%, super-regional transportation of 11.5% (summer) and 22.2% 734 (winter) and industrial processes of 17.3% (summer) and 11.4% (winter). In southern 735 YRD, represented by JH, while biogenic contribution was prevailing in summer 736 (38.2%), super-regional transportation was significant in winter (31.8%). Similar to 737 other sub-regions, other major sources also included the contributions of cooking 738 emission of 12.2% (summer) and 11.4% (winter), industrial processes of 12.9% 739 (summer) and 17.9% (winter) and mobile sources of 13%. Yet southern YRD presented 740 more evident increase in the contribution from industrial solvent-use compared with 741 other sub-regions.

742 To summarize, cooking, super-regional transportation, industrial process and mobile 743 sources were the predominant sources of OA in all sub-regions regardless of the season, 744 albeit enhanced contributions from biogenic sources to the OA formation in summer 745 was observed, especially in southern YRD. High contributions of cooking sources were 746 in accordance with the distributions of populations and high contributions of mobile 747 sources were somewhat expected, especially in the city centers. Source contributions of 748 OA varies in the intraregional scale implies that more targeted control measures need 749 to be designed according to the emission features of each city. Specifically, for densely 750 populated area, it is necessary to strengthen the future control strategy of cooking 751 emissions; special attention needs to be paid to the I/SVOC emissions from industrial 752 sources in eastern, central, and northern YRD region; mobile sources show its 753 significance in urban aera of the region, dominated by the equal contributions from 754 gasoline and diesel vehicles, indicating further reductions on the I/SVOCs from vehicle emissions are therefore critical for pollution control on city scale. 755



Super Regional Industrial process Industrial solvent-use Gasoline vehicle Diesel vehicle Diesel machinery Marine vessel Cooking Other Residential Biomass burning Biogenic

757 Figure 9. Source contributions of modeled OA concentrations from different sources during summer

### and winter in different cities of the region.

## 759 4. Conclusions

756

760 In this study, we established a high-resolution I/SVOC emission inventory with 761 detailed source profiles and applied it into CMAQ v5.3 to simulate POA and SOA 762 formation in YRD region of China. With the addition of I/SVOC emissions, simulation 763 results show significant improvements on both temporal variations and spatial 764 distributions of OA. Compared with the BASE simulation, where I/SVOC emissions 765 were not included, the simulated SOA increased by 1.5 times in I/SVOC-EIMPROVE 766 simulation, highlighting the significant contributions of I/SVOC emissions to SOA 767 production. The remaining 10%-30% underestimation of OA indicates that future work 768 is still needed in bridging the gap between simulation and observations, such as, 769 measuring local emission factors and source profiles of I/SVOC from various local

770 sources, updating SOA formation mechanisms in model framework .-771 With the addition of source specific I/SVOC emissions, we successfully quantified 772 the contribution of each source to POA and SOA concentrations in YRD. For POA, 773 cooking emission is the predominant source, which concentrates in urban area of YRD 774 in accordance with the population distribution. For SOA, for the first time, we 775 demonstrate that I/SVOCs from industrial sources are dominant contributor, followed 776 by those from mobile sources. In summer, the contributions of biogenic emission to 777 total SOA are also non-negligible, especially for the cities in southern YRD. Spatial and 778 seasonal variations in the source contributions suggest that control strategies for OA 779 pollution should vary by cities and seasons. For urban areaOn regional scale, cooking 780 emissions has been emerging as an important POA source, not to mention their impacts 781 on SOA formation are not yet certain. Our results suggest the control measures on the 782 cooking emissions should be strengthened in the future for the further reduction of POA. 783 We also found that SOA in the region is primarily contributed by industrial I/SVOC 784 emissions, which urges in depth studies of emission factors and source profiles of 785 I/SVOC emissions from industrial sources as well as the corresponding control 786 measures. On intraregional scale, Another important source of SOA in urban area is 787 mobile source, especially gasoline and diesel vehicles. Rfor urban area, continuous 788 reduction in I/SVOC emissions from mobile sources, especially gasoline and diesel 789 vehicles, are effective measures in the mitigation of urban air pollution, which is also 790 technically feasible as has been demonstrated in Qi et al. (2021). Continuous 791 improvement in emission standards is one way to promote the reduction of motor 792 vehicle related SOA. Our study further reveals that non-tailpipe sources of I/SVOCs 793 (e.g., solvent use, petrochemical, etc.) are major contributors to SOA formation in the 794 YRD region, consistent with Chang et al. (2022)'s model results in the national scale. 795 However, current understanding of SOA formation potentials from these sources are 796 still far from enough. For example, the localized I/SVOC emission factors and source 797 profiles of these sources are still missing. Their chemical behavior and SOA yields may

798 be different from the emissions from mobile sources which have been widely studied,

which urges in-depth studies on these sources as well as the corresponding control

800 <u>measures.</u>

801 Data availability

The gridded emissions of I/SVOCs from various sources for the YRD region developed by this study at a horizontal resolution of 4 km × 4 km can be downloaded from the following website (https://doi.org/10.6084/m9.figshare.19536082.v1). Additional related data are available upon request by contacting the corresponding author (Cheng Huang; huangc@saes.sh.cn).

807 Supplement

808 The supplement related to this article is available online.

809 Author contributions

810 CH, JA, DH, and MQ designed the research. CH and JA developed the I/SVOC

811 emission inventory. JA, MQ, and RY performed the model. DH, LQ, MZ, YL, SZ, and

812 QW collected the observation data. CH, JA, DH, and HW analyzed the results. CH, JA,

813 and DH wrote the paper.

814 Competing interests

815 The authors declare that they have no conflict of interest.

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