# The impact of atmospheric motions on source-specific black carbon and the induced direct radiative effects over a river-valley region

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16 Abstract. Black carbon (BC) is one of the most important short lived climate forcers, and atmospheric motions play an 17 important role in determining its mass concentrations of pollutants. Here an intensive observation was launched in a typical 18 river-valley city to investigate relationships between atmospheric motions and BC aerosols. Equivalent BC (eBC) source 19 apportionment was based on an aethalometer model with the site-dependent absorption Ångström exponents (AAEs) and the 20 mass absorption cross-sections (MACs) retrieved using a positive matrix factorization (PMF) model based on observed 21 chemical components (i.e., EC, POC, K<sup>+</sup>, Mg, Al, Si, S, Cl, Ca, V, Mn, Fe, Ni, Cu, As, Se, Br, Sr, Pb, Ga, and Zn) and primary 22 absorption coefficients at selected wavelengths from  $\lambda = 370$  to 880nm. The derived AAEs from 370 to 880nm were 1.07 for 23 diesel vehicular emissions, 2.13 for biomass burning, 1.74 for coal combustion, and 1.78 for mineral dust. The mean values for 24 eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 2.46 µg m<sup>-3</sup> and 1.17 µg m<sup>-3</sup> respectively. Wind run distances and the vector displacements of the 25 wind in 24 h were used to construct a self-organizing map, from which four atmospheric motions categories were identified 26 (local-scale dominant, local-scale strong and regional-scale weak, local-scale weak and regional-scale strong and regional-scale 27 dominant). BC pollution was found to be more likely when the influence of local-scale motions outweighed those of regional-28 scale motions. Cluster analysis for the back trajectories of air mass calculated by Hybrid Single-Particle Lagrangian Integrated 29 Trajectory model at the study site indicated that the directions of air flow can have different impacts for different scales of 30 motion. The direct radiative effects (DRE) of source-specific eBCs were lower when the influence of regional-scale motions 31 outweighed that of the local ones. However, due to chemical aging of the particles during transport-the DRE efficiencies 32 under regional scale motions were  $\sim 1.5$  times higher than those under more local influences. The finding that the DRE 33 efficiency of BC increased during the regional transport suggested significant consequences in regions downwind of pollution 34 sources and emphasizes the importance of regionally transported BC for potential climatic effects.

#### 36 **1 Introduction**

37 Black carbon (BC) is produced by the incomplete combustion of biomass and fossil fuels. The BC aerosol has a strong light 38 absorption capacity and can cause heating of the atmosphere. In fact, BC is widely recognized as one of the most important 39 short-lived climate forcers (IPCC, 2021). Due to this high light-absorbing ability, BC has the potential to perturb the radiative 40 balance between the earth and atmosphere and in so doing cause in the climate to change and drive ecosystems away from their 41 natural states (Schroter et all., 2005). Those changes ultimately will affect biodiversity and could threaten humans' food security 42 (Ochoa-Hueso et al., 2017; Shindell et al., 2012). Besides heating the atmosphere directly, BC also is important for nucleating 43 clouds, and that is another way in which the particles can cause indirect climatic effects (Jacobson, 2002). As BC is 44 heterogeneously distributed in the atmosphere, its climatic effects are highly variable and dependent on its distribution in the 45 atmosphere, both horizontally and vertically; its radiative properties and how they are affected by of chemical processing; and 46 its lifetime (IPCC, 2021).

47 The radiative efficiency of BC can vary due to differences in emission sources and atmospheric aging processes (Bond et al., 48 2013; He et al., 2015; Cappa et al., 2012). Indeed, BC from different sources can vary in light absorbing abilities (Cheng et al., 49 2011) which can affect the radiative forcing of climate. In addition to the effects of the sources, regional transport can impact 50 the light-absorbing ability through chemical processing or aging (Zhang et al., 2019). After BC particles are emitted, they can 51 stay in the atmosphere for days or a few weeks (IPCC, 2021). During transport, fresh BC can experience a series of physical 52 and chemical changes, for instance, mixing with other substances that can alter its microphysical and optical properties (Kahnert 53 and Kanngießer, 2020). The aging processes can be even faster in polluted regions (Peng et al., 2016), and as a result, the light-54 absorbing ability of BC can be strongly affected. Indeed, the light absorption ability of BC after aging can be as much as 2.4 55 times that of fresh particles (Peng et al., 2016).

56 The concentrations of BC are controlled by local emissions and regional transport, but meteorological conditions also are 57 important because they affect both transport and removal. Normally, local emissions in urban areas are predictable to some 58 degree because those emission sources are mainly anthropogenic and the concentrations of pollutants follow the diurnal patterns 59 driven by anthropogenic activities. By contrast, meteorological conditions and regional transport are governed by multiple 60 scales of motion which result in distinct meteorological impacts on ambient pollutant levels (Levy et al., 2010, Dutton, 1976). 61 A commonly accepted classification of the scale of motion is based on horizontal distance and time scales. Typically, the time 62 scale of local-scale motions varies from hours to days and the spatial scale ranges from  $10^2$  to  $10^5$  m (Oke et al., 2002; Seinfeld 63 and Pandis, 2006). The local scales of motion are mainly controlled by local factors such as the roughness of the earth's surface, 64 orography, land breeze/sea breeze circulation, etc. (Hewitson and Crane, 2006; IPCC, 2021). Larger scale of motions are 65 associated with a mesoscale or synoptic scale weather systems, which on the one hand can transport pollutants but on the other 66 can disperse them (Kalthoff et al., 2000; Zhang et al., 2012).

The relationships between atmospheric motions and pollutant concentrations are complex. Atmospheric motions determine where and how extensive the pollution impacts are, but of course the rates of pollutant emissions, especially local ones, are

- 69 important, too (Dutton, 1976). Liao et al., (2020) found that synoptic-scale flow led to an enhanced PM<sub>2.5</sub> in a coastal area of
- 70 the Pearl River Delta, while meso/local scale motions led to PM<sub>2.5</sub> pollution in an inland area. Levy et al. (2010) showed that
- 71 the concentrations of NO<sub>x</sub> and SO<sub>2</sub> were higher under the dominance of smaller-scale motions than under larger scale motions.

However, few studies have touched on the impacts of different scales of motion on BC and their effects on radiative efficiency even though the effects could cause rapid climatic effects due to the patchy and constantly changing distributions (IPCC, 2021).

74 Topography also plays an important role in air pollution (Zhao et al., 2015). River-valley topography is complicated, and it can 75 have a considerable influence on air pollution and synoptic patterns of flow (Green et al., 2016; Carvalho et al., 2006). The 76 pollution levels at cities in river-valleys are not only influenced by general atmospheric dynamics but also strongly impacted 77 by the local-scale of dynamics (Brulfert et al., 2006). Surface albedo and surface roughness are affected by the complex 78 topography of river-valley regions, and those physical factors can affect circulation causing changes in pollutant mass 79 concentrations (Wei et al., 2020). Mountains also significantly affect pollution, and once pollutants are generated or transported 80 into the river-valley regions, their dispersal can be impeded by the blocking effect of the mountains. Instead of being dispersed, 81 they can be carried by the airflows over the mountains to converge at the bottom of the valley and increase the pollutants along 82 the river (Zhao et al., 2015). In this way, pollutants can accumulate in valleys and spread throughout the area, thereby 83 aggravating pollution. In addition, temperature inversions commonly form in river-valleys during the winter, and that, too, can 84 aggravate pollution problems (Glojek et al., 2022 and Bei et al., 2016).

Thus, we focused our study on the impacts of different scales of motion on source-specific equivalent BCs (eBCs), and we evaluated radiative effects of eBCs over a river-valley city. The primary objectives of this study were: (1) to quantify the contributions of fossil fuel combustion and biomass burning to eBC concentrations, (2) to investigate the impacts of different scales of motion on the source-specific eBC, and (3) to estimate the radiative effects and the radiative efficiency of the sourcespecific eBC under different atmospheric motion scenarios. The study provides insights into the influence of the specified atmospheric motions on BC and highlights the effects of those motions on the radiative efficiency and potential climatic effects of the regionally transported BC.

#### 92 2 Methodology

#### 93 2.1 Research site

94 Baoji is a typical river-valley city, located at the furthest west of the Guanzhong Plain, at an altitude from 450 to 800 m a.s.l. 95 (Figure S1), Baoji has a complex topography and often suffering from severe pollution in winter. It is surrounded by mountains 96 to the south, west and north, with the Weihe River as the central axis extending eastward. The shape can be viewed as a funnel, 97 with large opening to east. The Qinling peaks and the flat Weihe Plain are the main landforms of Baoji. The main peak of the 98 Qinling Mountains is 3,767 m a.s.l. and it is the highest mountain in the eastern part of mainland China. This terrain causes 99 divergent flow at local scales, which can impact pollution levels (Wei et al., 2020). Baoji also is an important railway 100 intersection in China, connecting six railways to the north-west and southwest China. Pollutant levels can be high and pollutants 101 are not easy to be dispersed in the city due to its special topographic conditions, dense population (total population of 0.341 million, with 63.5% population living in the downtown aera and population density of 6003 people per km<sup>2</sup> in 2019 102 103 (http://tji.shaanxi.gov.cn/upload/2021/zk/indexch.htm and https://data.chinabaogao.com/hgshj/2021/042053X932021.html), 104 and impacts from major highway and railway networks.

- 105 The sampling site was on the rooftop of a building at Baoji University of Arts and Sciences (34°21'16.8"N, 107°12'59.6"E,
- 106 569 m a.s.l.) surrounded by commercial and residential buildings, highways, and a river, there were no major industrial emission
- 107 sources nearby. The main sources of BC in Baoji were the domestic fuel (coal and biomass) burning as well as the motor
- 108 vehicle emissions (Zhou et al., 2018; Xiao et al., 2014). Open fire also can be sources for BC, but there were limited fire found
- 109 scattered around the site (Figure S2). The meteorological conditions at Baoji for the four seasons are listed in Table S1, and the
- 110 wind roses for the different seasons are shown in Figure S3(data are from the Meteorological Institute of Shaanxi Province).

#### 111 **2.2 Sampling and laboratory measurements**

- 112 eBC and the absorption coefficients (babs) at 370, 470, 520, 590, 660, 880, and 950 nm wavelength were measured using an 113 AE33 aethalometer (Magee Scientific, Berkeley, CA, USA) equipped with a PM<sub>2.5</sub> cut-off inlet (SCC 1.829, BGI Inc. USA) 114 that had a time resolution of 1 min. A Nafion® dryer (MD-700-24S-3; Perma Pure, Inc., Lakewood, NJ, USA) with a flow rate 115 of 5 L min<sup>-1</sup> was used to dry the PM<sub>2.5</sub> before the measurement. Briefly, the particles were dried by the Nafion® dryer before 116 being measured with the AE33 aethalometer, and the deposited particles were irradiated by light-emitting diodes at seven 117 wavelengths ( $\lambda = 370, 470, 520, 590,660, 880,$  and 950 nm), and the light attenuation was detected. The non-linear loading 118 issue for filter-based absorption measurement was accounted for in the AE33 by a technique called dual-spot compensation. 119 The quartz filter (PN8060) matrix scattering effect was corrected by using a factor of 1.39. More details of AE33 measurement 120 techniques can be found in Drinovec et al. (2015).
- 121 The scattering coefficient ( $b_{scat}$ ) at a single (525) nm wavelength was measured with the use of a nephelometer (Aurora-1000, 122 Ecotech, USA) that had a time resolution of 5 min. The nephelometer and aethalometer operated simultaneously and used the 123 same PM<sub>2.5</sub> cyclone and Nafion® dryer. The calibration was conducted based on the user guide with a calibration gas R-134. 124 Zero calibrations were conducted every other day by using clean air without particles. The ambient air was drawn in through a 125 heated inlet with a flow rate of 5 L min<sup>-1</sup>. The relative humidity remained lower than 60%.
- 126 PM<sub>2.5</sub> samples were collected for every 24 hours (h) from 10 a.m. local time to the 10 a.m. the next day from 16<sup>th</sup> November 127 2018 to 21st December 2018 with two sets of mini-volume samplers (Airmetrics, USA), one using quartz fiber filters (QM/A; 128 Whatman, Middlesex, UK) and the other with Teflon® filters (Pall Corporation, USA), both with a flow rate of 5 L min<sup>-1</sup>. The 129 samples were kept in a refrigerator at 4°C before analysis. The mass concentration of  $K^+$  in the PM<sub>2.5</sub> quartz sample was 130 extracted in a separate 15 mL vials containing 10 mL distilled deionized water (18.2 M $\Omega$  resistivity). The vials were placed in 131 an ultrasonic water bath and shaken with a mechanical shaker for 1 h to extract the ions, which and determined by a Metrohm 132 940 Professional IC Vario (Metrohm AG., Herisau, Switzerland) with Metrosep C6-150/4.0 column (1.7 mmol/L nitric 133 acid+1.7 mmol/L dipicolinic acid as the eluent) for cation analysis. A group of elements (i.e. Mg, Al, Si, S, Cl, Ca, V, Mn, Fe, 134 Ni, Cu, As, Se, Br, Sr, Pb, Ga, and Zn) on the Teflon® filters was were determined by energy-dispersive x-ray fluorescence 135 (ED-XRF) spectrometry (Epsilon 4 ED-XRF, PANalytical B.V., Netherlands). The X-rays were generated from a gadolinium 136 anode on a side-window X-ray tube. A spectrum of the ratio of X-ray and photon energy was obtained after 24 minutes of 137 analysis for each sample with each energy peak characteristic of a specific element, and the peak areas were proportional to the
- 138 concentrations of the elements. Quality control was conducted on a daily basis with a test standard sample.

139 Organic carbon (OC) and elemental carbon (EC) in each sample were determined with the use of a DRI Model 2001

- 140 Thermal/Optical Carbon Analyzer (Atmoslytic Inc., Calabasas, CA, USA). The thermal/optical reflectance (TOR) method and
- 141 IMPROVE A protocol were used for analysis. A punch of a quartz filter sample was heated at specific temperatures to obtain

142 data for four OC fractions and three EC fractions. Total OC was calculated by summing all OC fractions and the pyrolytic

143 carbon (PC). Total EC was calculated by summing all EC fractions minus the PC. Detailed methods and quality

- 144 assurance/quality control processes were described in Cao et al., (2003). Primary organic carbon (POC) was estimated by using
- 145 the minimum R-squared (MRS) method, which is based on using eBC as a tracer (Text S1). The method uses the minimum R<sup>2</sup>
- 146 between OC and eBC to indicate the ratio for which secondary OC and eBC are independent. A detailed description of the
- 147 MRS method can be found in Wu et al., (2016).

148 Concentration of NO<sub>x</sub>, wind speed, and direction at 12 ground monitoring sites were downloaded from 149 <u>http://sthjt.shaanxi.gov.cn/hx\_html/zdjkqy/index.html.</u> The wind data at 100 meters (m) above the ground and the planetary 150 boundary layer height were downloaded from <u>https://rda.ucar.edu/datasets/ds633.0</u>. The data used for the Hybrid Single-

151 Particle Lagrangian Integrated Trajectory (HYSPLIT) model was downloaded from Global Data Assimilation System

- and it had a resolution of 1°×1° (GDAS, https://www.ready.noaa.gov/gdas1.php). The data and main parameters used in
- 153 trajectory model are listed in Table S2.

# 154 **2.3 Optical source apportionment**

The positive matrix factorization (PMF) model that was used for the optical source apportionment in this study. PMF solves chemical mass balance by decomposing the observational data into different source profiles and contribution matrices as follows:

158 
$$X_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
(1)

where  $X_{ij}$  denotes the input data matrix; p is the number of sources selected in the model;  $g_{ik}$  denotes the contribution of the  $k^{th}$  factor to the  $i^{th}$  input data;  $f_{kj}$  represents the  $k^{th}$  factor's profile of the  $j^{th}$  species; and  $e_{ij}$  represents the residual. Both  $g_{ik}$  and  $f_{kj}$  are non-negative. The uncertainties of each species and  $b_{abs}(\lambda)$  were calculated by the equation recommended in EPA PMF5.0 user guideline(Norris et al, 2014) as follows:

163 
$$Unc = \sqrt{(error \ fraction \times concentration(or \ light \ absorption \ coefficient))^2 + (0.5 \times MDL)^2}$$
(2)

$$164 \qquad Unc = \frac{5}{6} \times MDL \tag{3}$$

where MDL is the minimum detection limit of the method. When the concentration of a species was higher than the MDL then equation (2) was used otherwise equation (3) was used. In equation (2), for calculating the uncertainty of a chemical species, the error fraction was multiplied the concentration of the species. For calculating the uncertainty of optical data, the error fractions were multiplied by the light absorption coefficients.

- 169 Chemical species data (EC, POC, K<sup>+</sup>, Mg, Al, Si, S, Cl, Ca, V, Mn, Fe, Ni, Cu, As, Se, Br, Sr, Pb, Ga and Zn) and the primary
- 170 absorption coefficients (Pabs) data at  $\lambda$ =370nm,470nm,520nm,660nm, and 880nm were used for PMF analysis. The error
- 171 fraction of offline measured data was the difference between multiple measurements of the same sample. The error fraction

- used for optical data was 10% based on Rajesh and Ramachandran (2018). PMF solves the equation (1) by minimizing the Q
- 173 value, which is the sum of the normalized residuals' squares, as follows,

174 
$$Q = \sum_{i=1}^{n} \sum_{j=0}^{n} \left[ \frac{e_{ij}}{u_{ij}} \right]^2$$
(4)

175 where  $u_{ij}$  represents the uncertainties of each  $X_{ij}$  and  $Q_{true}/Q_{exp}$  was used as the indicators for the factor number determination.

# 176 **2.4 eBC source apportionment**

177 The quantities of eBC generated from biomass burning versus fossil fuel combustion were deconvolved by an aethalometer 178 model which uses Beer-Lambert's Law to write the absorption coefficients equations, wavelengths and absorption Ångström 179 exponents (AAEs) for the two different BC emission sources (Sandradewi et. al., 2008). This approach is widely used for 180 separating BC from two different sources based on optical data (Rajesh et al., 2018; Kant et al., 2019; Panicker et al., 2010). 181 However, the traditional aethalometer model could be affected by the light absorbing substances at lower wavelengths such as 182 dust and secondary aerosol. An improvement to the traditional aethalometer model was made, by explicitly considering the 183 interference of the  $b_{abs}$  at a lower wavelength (370nm) caused by dust and secondary OC. Thus, the calculation of the absorption 184 and source apportionment was based on the following equations (Wang et al., 2020):

185 
$$\frac{b_{abs}(370)_{fossil}}{b_{abs}(880)_{fossil}} = \left(\frac{370}{880}\right)^{-AAE_{fossil}}$$
(5)

$$\frac{b_{abs}(370)_{biomass}}{b_{abs}(880)_{biomass}} = \left(\frac{370}{880}\right)^{-AAE_{biomass}} \tag{6}$$

$$187 b_{abs}(880) = b_{abs}(880)_{fossil} + b_{abs}(880)_{biomass} (7)$$

$$188 \qquad b_{abs}(370) = b_{abs}(370)_{fossil} + b_{abs}(370)_{biomass} + b_{abs}(370)_{secondary} + b_{abs}(370)_{dust}$$
(8)

$$189 \qquad eBC_{fossil} = \frac{b_{abs}(880)_{fossil}}{MAC_{BC}(880)_{fossil}} \tag{9}$$

$$190 \qquad eBC_{biomass} = \frac{b_{abs}(880)_{biomass}}{MAC_{BC}(880)_{biomass}} \tag{10}$$

191 where AAE<sub>fossil</sub> and AAE<sub>biomass</sub> are the AAEs for fossil fuel combustion and biomass burning. These were derived from the 192 optical source apportionment by using PMF as discussed in section 3.1. Further,  $b_{abs}(370)$  and  $b_{abs}(880)$  are the total  $b_{abs}$ 193 measured by the AE33 at the wavelengths of 370 nm and 880 nm respectively; babs(370) fossil and babs(880) fossil are the babs caused by emissions from fossil fuel combustion at those two wavelengths;  $b_{abs}(370)_{biomass}$  and  $b_{abs}(880)_{biomass}$  are the  $b_{abs}$  caused by 194 195 emissions from biomass burning at those two wavelengths;  $b_{abs}(370)_{dust}$  refers to the  $b_{abs}$  contributed by mineral dust at the 196 wavelength of 370 nm, which was derived from the result of optical source apportionment; babs(370)secondary refers to the babs 197 caused by the secondary aerosols at the wavelength of 370 nm, which was calculated by the minimum R-squared approach with 198 eBC as a tracer (Text S1, Wang et al., 2019); eBC<sub>fossil</sub> and eBC<sub>biomass</sub> are the eBCs from fossil fuel combustion and biomass 199 burning; and  $MAC_{BC}(880)_{fossil}$  and  $MAC_{BC}(880)_{biomass}$  are the mass absorption cross-sections of eBC<sub>fossil</sub> and the mass 200 absorption cross-section of eBC<sub>biomass</sub> at the wavelength of 880 nm respectively, which were based on the PMF results for the 201 optical source apportionments.

#### 202 **2.5 Indicators for the different scales of motion**

206

The mathematical definitions of airflow condition proposed by Allwine and Whiteman (1994) were used in this study. The definitions quantify the flow features integrally at individual stations. Three variables were quantified, namely the actual wind

run distance (S) which is the scalar displacement of the wind in 24 h (i.e. the accumulated distance of the wind), the resultant

transport distance (L) which is the vector displacement of the wind in 24 h (i.e. the straight line from the starting point to the

end point), and the recirculation factor (R) is based on the ratio of L and S which indicates the frequency of the wind veering

208 in 24 h. The influences of different scales of atmospheric motions were assessed based on the method proposed by Levy et al.,

(2010), and for this, we used wind data at 100 m above the sampling site and the wind data from 12 monitoring stations at ground level (~15m) to indicate the different scales of motions. The winds at the surface monitoring stations were expected to be more sensitive to local-scale turbulence and convection than the winds at 100 m. With less influence from the surface forces,

the indicators at 100 m would be more sensitive to larger scales of motion. The equations used as follows:

213 
$$L_{n\tau/bj} = T \left[ \left( \sum_{j=i}^{i-\tau+1} u_i \right)^2 + \left( \sum_{j=i}^{i-\tau+1} v_j \right)^2 \right]^{1/2}$$
(11)

214 
$$S_{n\tau/bj} = \sum_{j=i}^{i-\tau+1} (u_j^2 + v_j^2)^{1/2}$$
(12)

215 
$$R_{n\tau/bj} = 1 - \frac{L_{i\tau}}{s_{i\tau}}$$
 (13)

216 where T is the interval of the data (i.e., 60 min), i is the i<sup>th</sup> the ending time step data,  $\tau$  is the integration time period of the wind 217 run (24 h), i- $\tau$ +1 represents the data at the start time, and *n* is the number of monitoring stations (a total of 12 in this study). 218 The quantities u and v are the wind vectors. Using the wind data from the 12 monitoring stations covering Baoji, the L and S 219 values at the 12 different sites at ground level were calculated.  $L_{n\tau}$  and  $S_{n\tau}$  represent the resultant transport distance and the 220 actual wind run distance at the  $n^{\text{th}}$  (n = 1 to 12) monitoring station at ground level;  $R_{n\tau}$  is the recirculation factor at the  $n^{\text{th}}$ 221 monitoring station which is calculated based on  $L_{n\tau}$  and  $S_{n\tau}$ ;  $L_{bj}$ , and  $S_{bj}$  are the resultant transport distance and the actual wind 222 run distance at 100 m height above the ground. These represent the flow characteristics in higher atmosphere at the study site, 223 and they were calculated by using the wind data at 100 m height. The recirculation factor  $(R_{bi})$  was calculated for a height of 224 100 m.

As explained in Levy et al., (2010), if local-scale motions are strong and regional-scale motions are weak, the variations in winds at each station would not be likely to be uniform due to differences in local factors, and that would result in relatively large standard deviations ( $R_{std}$ ) for  $R_{n\tau}$ . By contrast, if the local-scale motions are weak and the regional-scale motions are strong, the wind direction would be likely to be more uniform over a large area, and the  $R_{bj}$  and the  $R_{std}$  should be relatively smaller.

# 230 2.6 Self-organizing map

A self-organizing map (SOM) developed by Kohonen (1990) is a type of artificial neural network that is widely used for categorizing high-dimensional data into a few major features (Stauffer et al., 2016 and Pearce et al., 2014). In particular, this approach is widely used for categorizing different meteorological patterns (Liao et al., 2020; Han et al., 2020; Jiang et al., 2017). Unlike traditional dimension reduction methods (e.g., principal component analysis), SOM projects high-dimensional 235 input data by non-linear projection into user-designed lower-dimensions, which are typically two-dimensional arrays of nodes 236 (Hewitson and Crane, 2006). The performance of SOM in classifying climatological data has been shown to be robust (Reusch et al., 2005). Competitive learning algorithms are used to train SOM, and the architecture of SOM consists of two layers; one 237 238 is called the input layer and it contains the high dimensional input data. The other layer is the output layer in which the node 239 number is the output cluster number. The working principle of SOM is to convert high dimensional data with complex 240 correlations into lower dimensions via geometrical relationships (Ramachandran et al., 2019). After the initial random weights 241 are generated, the input data are compared with each weight, and the best match is defined as winning. The winning node and 242 the neighboring nodes close to the winning node will learn from the same inputs and the associated weights are updated. After 243 multiple iterations, the network to settles into stable zones of features and the weights. More detailed working principles of 244 SOM can be found Kangas and Kohonen, (1996) and Kohonen et al., (1996).

# Comparison between the input data and each weight is made by applying Euclidean distances, the best match is defined by the following equation:

247 
$$||x - m_c|| = min\{||x - m_i||\}$$
 (14)

248 where x is the input data,  $m_c$  is the best matched weight,  $m_i$  is the weights connected with the *i*<sup>th</sup> node.

249 The weights are updated by following equation:

250 
$$m_i(t+1) = m_i(t) + h_{ci}(t)[x(t) - m_i(t)]$$
 (15)

where the  $m_i(t + 1)$  is the  $i^{th}$  weight at t+1 time,  $m_i(t)$  is the  $i^{th}$  weight at t time, the  $h_{ci}(t)$  is the neighborhood kernel defined over the lattice points at t time, and c is the winning node location.

253 SOM was used to categorize the daily atmospheric motions during the study period and to explore the influences of different 254 scales of motion on source-specific eBC. Hourly averages of three sets of data ( $R_{std}$ ,  $L_{bj}$ , and  $S_{bj}$ ) were input into SOM. 255 Determining the size of the output map is crucial for SOM (Chang et al 2020 and Liu et al., 2021). To reduce the subjectivity, 256 the K-means cluster method was used for the decision-making regarding size. The similarity of each item of the input data 257 relative to the node was measured using Euclidean distance. The iteration number was set to 2000. For each input data item, 258 the node closest to it would "win out". The reference vectors of the winning node and their neighborhood nodes were updated 259 and adjusted towards the data. The "Kohonen" package in R language (Wehrens and Kruisselbrink, 2019) was used to develop 260 the SOM model in this study.

## 261 **2.7 Estimations of direct radiative effects and heating rate**

262 The Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model was used to estimate the direct radiative effects

- 263 (DRE) induced by source-specific eBC. The model has been used in many studies to calculate the DRE caused by aerosols and
- 264 BC (Pathak et al., 2010; Rajesh et al., 2018; Zhao et al., 2019). SBDART calculated DRE based on several well-tested physical
- 265 models. Details regarding the model were presented in Ricchiazzi et al., (1998). The important input data included aerosol

parameters, including aerosol optical depth (AOD), single scattering albedo (SSA), asymmetric factor (AF) and extinction
 efficiency, surface albedo, and atmospheric profile.

268 The aerosol parameters used in this study were derived by the Optical Property of Aerosol and Cloud (OPAC) model (Hess et

al., 1998) based on the number concentrations of aerosol components. As the study was conducted in an urban region, the urban

aerosol profile was used in OPAC, and it included soot (eBC), water-soluble matter (WS), and water-insoluble matter (WIS).

271 The number concentrations of soot were derived from the mass concentrations of eBC with the default ratio (5.99E-5 µg m<sup>-3</sup>/

272 particle.cm<sup>-3</sup>) in OPAC. The number concentrations of WS and WIS were adjusted until the modeled SSA and  $b_{abs}$  at 500nm

in OPAC were close ( $\pm$ 5%, see Figure S4) to those values calculated with data from the nephelometer and AE33 ( $b_{ext}(520) =$ 

274  $b_{\text{scat}}(525) + b_{\text{abs}}(520)$ , SSA=  $b_{\text{scat}}(525)/b_{\text{ext}}(520)$ ). The DRE of source-specific eBC at the top of atmosphere (TOA) and surface 275 atmosphere (SUF) were calculated from the difference between the DREs with or without the number concentrations of the

276 source-specific eBC under clear-sky conditions.

$$277 \quad DRE_{eBC} = (F \downarrow -F \uparrow)_{with \ eBC} - (F \downarrow -F \uparrow)_{with \ eBC} \tag{16}$$

$$278 \quad DRE_{eBC,ATM} = DRE_{eBC,TOA} - DRE_{eBC,SUF} \tag{17}$$

where  $DRE_{eBC}$  is the DRE of source-specific eBC,  $F\downarrow$  and  $F\uparrow$  are the downward and upward flux,  $DRE_{eBC,ATM}$  is the DRE of the source-specific eBC for the atmospheric column, that is, the DRE at the top of the atmosphere ( $DRE_{eBC,TOA}$ ) minus that at the surface ( $DRE_{eBC,SUF}$ ).

#### 282 **3 Results and discussion**

#### 283 **3.1 Calculation of eBC**<sub>fossil</sub> and eBC<sub>biomass</sub>

284 The PMF model was used for the optical source apportionment, and those results were used to obtain the site-specific AAEs 285 and MACs, which in turn were used to calculate the source-specific eBC with the improved aethalometer model. For every 286 solution, PMF was run 20 times. The Qtrue/Qexp ratios from the 2- to 7-factor solutions were examined, and the values of a 4-287 factor solution were found most stable compared with others because the  $Q_{true}/Q_{exp}$  values did not drop appreciably after the 288 addition of one more factor (Figure S5). Based on these results, the 4-factors solution was determined to be the most 289 interpretable. Two diagnostic methods, Bootstrap (BS) and Displacement (DISP) (Norris et al, 2014; Brown et al. 2015) were 290 used to validate the robustness and stability of the results. The BS method was used to assess the random errors and partially 291 assess the effects of rotational ambiguity while DISP was used to evaluate rotational ambiguity errors. The results of the BS 292 and DISP analyses showed that there was no swap for the 4-factor solution (Table S3). The modelled primary  $b_{abs}(\lambda)$  were well 293 correlated (r = 0.95-0.96, slope = 0.90~0.95, p < 0.01, Figure S6) with their observed counterparts, which suggested that the 294 modelling performance of PMF5.0 was good. The factor profiles obtained from the PMF are shown in Figure 1.

295 The first factor (PC1) had was featured with high loadings of EC (52%), POC (49%), and V (49%) and moderate loadings of

296 Mn (33%), Ni (40%), Cu (37%), and Zn (44%). This factor source contributed 27% to 44% of the primary  $b_{abs}(\lambda)$ . Of the species

297 with high loadings on PC1, EC has been found to be associated with vehicular emissions due to incomplete fuel combustion

298 (Cao et al., 2013). V and Ni are commonly detected in the particles emitted by diesel-powered vehicles (Lin et al., 2015 and

- 299 Zhao et al., 2021). Mn compounds are commonly used as an antiknock additive for unleaded gasoline to raise octane numbers
- and protect the engine (Lewis et al., 2003; Geivanidis et al., 2003); and Cu and Zn are emitted by the combustion of lubricating
- 301 oils and from the wear of motor vehicle parts (i.e., brakes and tires) (Thorpe and Harrison, 2008; Song et al., 2006). In addition,
- 302 the EC associated with this factor was found well correlated (r = 0.83, p < 0.01, Figure S7) with the daily averaged NO<sub>x</sub> which
- 303 is a commonly used tracer of vehicular emissions in the urban areas (Zotter et al., 2017). Recent research on the source
- 304 contributions of BC emissions has shown that most of BC associated with transportation was emitted by on-road diesel vehicles
- 305 in China (Xu et al., 2021). From these results, PC1 was identified as diesel vehicular emissions. The MAC of this factor (MAC
- 306 (880)<sub>diesel</sub>) was 6.7 m<sup>2</sup> g<sup>-1</sup>. The estimated AAE of this factor (AAE<sub>diesel</sub>) was 1.07 (Figure S8), which is comparable with the
- AAE values of vehicle emissions (0.8~1.1) reported in previous studies (Zotter et al., 2017; Kirchstetter et al., 2004).
- 308 The second factor (PC2) was characterized by the high loadings of  $K^+$  (51%), Cl (79%), and Br (52%) and moderate amounts 309 of EC (26%), POC (28%), and Pb (30%). Of these,  $K^+$  is a widely recognized tracers for the biomass burning emissions (Urban 310 et al., 2012; Zhang et al., 2015), and high loadings of Cl also can be taken as a signal of biomass burning (Yao et al., 2002; 311 Manousakas et al., 2017). Previous studies showed that a large quantity of Br was found in biomass burning aerosols was 312 caused by emissions of CH<sub>3</sub>Br emission during combustion (Manö and Andreae, 1994; Artaxo et al., 1998). Particulate matter 313 emitted from biomass burning typically has substantial amounts of OC and EC (Song et al, 2006), and Pb also has been observed 314 in biomass-burning aerosols (Amato et al., 2016). Thus, PC2 was identified as emissions from biomass burning. The 315 contribution of this factor to primary  $b_{abs}(370)$  was as high as 50%, but only 33% to primary  $b_{abs}(880)$ , and that was likely 316 caused by the brown carbon which is a typically found in biomass-burning aerosols (Washenfelder et al., 2015; Yan et al., 317 2015). The MAC of this factor (MAC (880)biomass) was 9.5 m<sup>2</sup> g<sup>-1</sup>. The AAE of this factor (AAEbiomass) was 2.13 (Figure S8), 318 which is consistent with the wide range of AAEs reported for biomass-burning (1.2~3.5) (Sandradewi et al., 2008; Helin et al., 319 2018; Zotter et al., 2017).
- The third factor (PC3) had significant loadings of S (64%), Se (98%), As (51%), and Pb (53%) and moderate loadings of Ga (42%)—all of these elements are commonly associated with coal combustion (Hsu et al., 2016; Tan et al., 2017). For instance, coal combustion has gradually become the main source of Pb in PM<sub>2.5</sub> after China began to phase out Pb-containing gasoline (Xu et al. 2012). Thus, PC3 was assigned to coal combustion. The MAC of this factor (MAC (880)<sub>coal</sub>) was 7.5 m<sup>2</sup> g<sup>-1</sup>. This factor contributed 17%–19% primary  $b_{abs}(\lambda)$ , and its derived AAE<sub>coal</sub> was 1.74 (Figure S8) which is close to the AAE found for coal-chunks (Sun et al., 2017).
- The last factor (PC4) was most heavily loaded with Al (68%), Si (76%), Ca (65%), Fe (51%), and Sr (71%). These elements are typical crustal elements, and they are abundant in mineral dust (Tao et al., 2016; Tao et al., 2017). Minor amounts of EC in crustal dust could be from other EC that had deposited on the ground and later resuspended together with the dust by natural or artificial disturbances (e.g., wind and traffic flow). This factor only contributed ~4% of the primary  $b_{abs}(\lambda)$ . The estimated AAE<sub>dust</sub> was 1.78 (Figure S8) which is close to the AAE of mineral dust reported in previous studies (AAE<sub>370-950</sub> = 1.82, Yang et al., 2009).
- As elaborated above, the PM<sub>2.5</sub> EC over Baoji was mainly from diesel vehicular emissions, biomass burning, and coal combustion. The emissions can be further grouped into those from biomass burning and fossil fuel combustion (the sum of diesel vehicular emissions and coal combustion). Thus, the AAE<sub>fossil</sub> (1.26) and MAC (880)<sub>fossil</sub> (7.1 m<sup>2</sup> g<sup>-1</sup>) were calculated

- 335 was the mass-weighted averages (relative to the total EC) of AAE<sub>coal</sub> (MAC (880)<sub>coal</sub>) and AAE<sub>diesel</sub> (MAC (880)<sub>diesel</sub>) (Table
- 336 S4). The hourly mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were then calculated using the 'aethalometer model' (Eqs. 5-
- 10). The results showed that  $eBC_{fossil}$  and  $eBC_{biomass}$  were only weakly correlated (r = 0.3, Figure S9), indicating a reasonably
- 338 good separation, and furthermore, their diel variations showed different patterns (Figure 2).
- The mean values of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 2.46  $\mu$ g m<sup>-3</sup> and 1.17  $\mu$ g m<sup>-3</sup>, respectively. The averaged total eBC mass concentration (± standard deviation) was 3.63±2.73 $\mu$ g m<sup>-3</sup>, and the eBC ranged from varying from 0.39 to 12.73  $\mu$ g m<sup>-3</sup> during the study period, The averaged mass concentration was comparable to that in Lanzhou, another river valley city in China, that was sampled in the same season (5.1 ± 2.1, Zhao et al.,2019). The lowest value is comparable to other river valley regions such as in Retje in India (Glojek et al., 2022) or in Urumqi River Valley in China (Zhang et al., 2020), however even the highest
- 344 concentration was much lower than that in other urban regions (Table S5).
- 345 The diel variations of eBC<sub>fossil</sub> (Figure 2a) showed a bimodal pattern with two peaks at 9 a.m. and 7 p.m local time. which are 346 typical peak commuting hours, indicating that there were strong influences from traffic emissions. Due to the reduced traffic 347 flow from 1 a.m. to 5 a.m., eBC<sub>fossil</sub> decreased slowly. After 5 a.m. passenger vehicles were allowed on the highways in and 348 near Baoji, and eBC<sub>fossil</sub> started to rise, probably in response to the increased traffic emissions. As the morning commuter traffic 349 increased, eBC<sub>fossil</sub> reached its first peak at 9 a.m. From then until 11 a.m., eBC<sub>fossil</sub> declined only slightly because the wind 350 speeds decreased (Figure 2c), which offset the effects of the decreases in traffic. From 11 a.m. to 3 p.m., the increases in the 351 height of the planetary boundary layer (PBLH) (Figure 2d) led to a rapid decrease in eBCfossil. Later the PBLH decreased rapidly, 352 resulting in conditions unfavorable for dispersion, and then eBC<sub>fossil</sub> rose quickly to the second peak at 7 p.m. After passing the 353 evening peak in traffic, the eBC<sub>fossil</sub> decreased dramatically.
- In contrast, the diel variation of eBC<sub>biomass</sub> (Figure 2b) showed greater influences from meteorological conditions during the daytime, and eBC<sub>biomass</sub> showed lower concentrations during the day compared with the night. After 6 p.m., increased biomass burning from cooking and residential heating let to the emission of more eBC<sub>biomass</sub> and the stable PBLH hindered the dispersion of eBC<sub>biomass</sub>; these two factors caused the eBC<sub>biomass</sub> to reach its peak at 8 p.m. At night, the downslope winds from the mountains converged in the valley at night time (Oke et al., 2002) and turned easterly, where the land altitude is lower than at Baoji (Zhao et al., 2015). This led to t relatively strong winds (Figure 2c) favored dispersion and caused the measured eBC<sub>biomass</sub> pollutant levels to decrease.

#### 361 3.2 The influence of regional and local atmospheric motion on eBC<sub>fossil</sub> and eBC<sub>biomass</sub>

- The K-means results showed that the four-category solution was appropriate for interpretation as explained above (see also Figure S10). Thus a  $2\times2$  map size was used for the self-organizing map (SOM). The four featured atmospheric motion categories given by SOM (Figure S11) were identified as follows (feature values are in Table 1):
- 365 1. Local-scale dominance (LD): This category featured high  $R_{bj}$  and  $R_{std}$ . As described in section 2.5, high  $R_{std}$  indicates 366 greater divergence of R at the 12 stations due to the strong influence of local-scale turbulence and convection.  $L_{bj}$  and  $S_{bj}$ 367 were shorter than 130 km implying stagnation (Allwine and Whiteman, 1994).

- 368 2. Local-scale strong and regional-scale weak (LSRW): For this group,  $L_{bj}$  and  $S_{bj}$  were longer than those for LD, and  $R_{std}$ 369 was slightly lower than that in LD.
- 3. Local-scale weak and regional-scale strong (LWRS): As the values suggest, both  $R_{bj}$  and  $R_{std}$  were lower than those in LD and LSRW, especially  $R_{bj}$ . This suggests the winds veered less frequently and the differences of R found in 12 stations were smaller than in the two situations above. This situation shows that the influence of the regional-scale motion was greater than that for the previous two categories.
- 4. Regional-scale dominance (RD): In this category, wind direction at the study site was nearly uniform (extremely low  $R_{bj}$ ) suggesting good ventilation (Allwine and Whiteman, 1994). The differences among *R* found at the 12 stations were even smaller than for the LWRS group, implying a strong increased influence of regional-scale motions. Indeed, the influence of regional-scale motions far outweighed the local ones for this category, and therefore, this group was considered to be dominated by strong regional-scale motions.
- 379 As shown in Table 1, the SOM classified 40% of cases were classified as LD, 29% were classified into RD, 17% and 14% 380 were assigned into LSRW and LWRS respectively. These results indicate that most winter days in Baoji were strongly 381 influenced by local-scale motions. Under LD, the average mass concentration of eBC<sub>fossil</sub> ( $3.08 \pm 2.07 \ \mu g \ m^{-3}$ ) and eBC<sub>biomass</sub> 382  $(1.52 \pm 1.19 \,\mu g \,m^{-3})$  were the highest among all four atmospheric categories noted above and over half (60% for eBC<sub>biomass</sub> and 383 55% for eBC<sub>fossil</sub>) of the high values (75<sup>th</sup> to 100<sup>th</sup> percentile) were found in this category (Figure 3). In addition, as shown in Figure 3, the vast majority of the high values are located in the zone indicating air stagnation ( $S_{bj} \le 130$ km, shaded yellow). 384 385 One difference that the 75<sup>th</sup> to 100<sup>th</sup> percentile eBC<sub>biomass</sub> tended to cluster at  $R_{bi} \leq 0.2$  indicates that under LD circumstances, 386 pollutants were likely coming from the same directions as where the main pollution sources were agglomerated, but eBCfossil, 387 in contrast, evidently originated from more scattered locations ( $R_{bi} \ge 0.4$ ). Under LSRW, the averaged mass concentrations of 388 eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were  $2.79 \pm 1.73 \,\mu g \, \text{m}^{-3}$  and  $1.06 \pm 0.83 \,\mu g \, \text{m}^{-3}$  respectively (Table 1), which were both lower than those 389 for the LD situation. When the regional scale of motion became stronger (i.e., LWRS and RD), the average mass concentration 390 of eBC<sub>fossil</sub> (2.15 ± 1.62  $\mu$ g m<sup>-3</sup> and 1.69 ± 1.36  $\mu$ g m<sup>-3</sup>) and eBC<sub>biomass</sub> (0.86 ± 1.58  $\mu$ g m<sup>-3</sup> and 0.93 ± 0.72  $\mu$ g m<sup>-3</sup>) were lower, 391 presumably because strong winds cause the pollutants to mix with cleaner air. Interestingly, 19% of the total 75th to 100th 392 percentile eBC<sub>biomass</sub> was found under RD, and 55% of that was when ventilation was good ( $S_{bj} \ge 250$ km,  $R_{bj} \le 0.2$ , Figure 3, 393 shaded grey). These findings imply that the high mass concentrations of eBC<sub>biomass</sub> were carried by regional-scale airflow to 394 the site.
- 395 Figure 4 portrays the mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> during the daytime and night time respectively under the 396 four atmospheric motion categories specified earlier. As shown in Figure 4 (a) and (c), the mean values of both types of source-397 specific eBCs during daytime were the highest  $(3.02 \pm 2.12 \ \mu g \ m^{-3} \text{ and } 1.15 \pm 0.8 \ \mu g \ m^{-3})$  under LD and the lowest  $(1.36 \pm 1.36 \ m^{-3})$ 398  $1.00 \ \mu g \ m^{-3}$  and  $0.58 \pm 0.53 \ \mu g \ m^{-3}$ ) under RD. Meanwhile, the average mass concentrations of both types of eBC decreased 399 when the influences of the regional scale of atmospheric motion getting were stronger. This suggests that eBC pollution was 400 apt to accumulated under the dominance of local-scale motions and dispersed under the dominance of regional-scale motions 401 during the daytime. Similar to the variations in the daytime, the mean values of eBC<sub>fossil</sub> ( $3.00 \pm 2.04 \,\mu g \,m^{-3}$ ) and eBC<sub>biomass</sub> 402  $(1.76 \pm 1.33 \ \mu g \ m^{-3})$  under LD were also the highest during the night. However, unlike eBC<sub>fossil</sub>, the mass concentrations of 403 eBC<sub>biomass</sub> did not decrease when the influence of regional-scale atmospheric motions was stronger (Figure S12). The mean

404 value of eBC<sub>biomass</sub> under RD was the second highest  $(1.17 \pm 0.73 \ \mu g \ m^{-3})$ . The nocturnal PBHL was higher than 100m (Figure

405 S13) for the RD group, and therefore, the high nocturnal eBC<sub>biomass</sub> may have been caused by the eBC<sub>biomass</sub> transported to the

406 site from upwind regions.

# 407 **3.3 Impacts of air mass directions**

408 Atmospheric motions can not only cause the dispersal of pollution but also bring polluted air to the site from distant sources. 409 Indeed, air mass movements can mean the difference between no pollution and severe pollution at a receptor site. To examine 410 the impacts caused by air masses from different directions, the hourly 24h-back trajectories were calculated at 100 m above the 411 ground using the Hybrid Single-Particle Lagrangian Integrated Trajectory model (Draxler and Hess, 1998, Text S2). Then the 412 trajectories were clustered by using an angle-based distance statistics method (Text S2) to show the general directional features. 413 This method determines the direction from which the air masses reach the site and has been widely used for air mass trajectory 414 clusters. A detailed method description can be found in Sirois and Bottenheim (1995). Three air-mass trajectory clusters were 415 identified (Figure S14), 45% of total trajectories associated with Cluster No.1, which originated from the north. Cluster No.2 416 accounted for 36% of the trajectories, and those were from the east direction while Cluster No.3 composed 19% of the total

- 417 trajectories and displayed origins from southwest.
- 418 Hourly trajectories were assigned into the four featured atmospheric motions. The varying concentrations of the source-specific 419 eBCs associated with different clusters indicate the divergent impacts of air mass direction on the pollution level at the sampling 420 site. As shown in Table 1, LD was mainly connected with the air masses from Cluster No.2 (52%) and Cluster No.1 (45%). 421 The average mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with Cluster No.1 were  $2.82 \pm 1.59 \ \mu g \ m^{-3}$  and  $1.34 \pm$ 422 1.07  $\mu$ g m<sup>-3</sup>. In comparison, Cluster No.2 was associated with a higher mean eBC<sub>fossil</sub> (3.2 ± 1.73  $\mu$ g m<sup>-3</sup>) and the highest mean 423  $eBC_{biomass}$  (1.72 ± 1.29 µg m<sup>-3</sup>) of the three clusters. This could be attributed to more intensive emissions in the eastern parts of 424 Baoji because 75% of population of is located in this the total Baoii area 425 (http://tjj.baoji.gov.cn/art/2020/10/15/art 9233 1216737.html, accessed on 25 September 2021, in Chinese). Several highways 426 and railways are located in the south and southwest of Baoji, but the population is sparse with only  $\sim 4\%$  of the total population residing in those areas. Thus, Cluster No.3 was associated with the highest mean eBC<sub>fossil</sub> concentration  $(3.64 \pm 0.67 \ \mu g \ m^{-3})$ 427 428 but the lowest mean eBC<sub>biomass</sub> ( $0.67 \pm 0.87 \,\mu g \, m^{-3}$ ). It is important to point out, however, that only 3% of the total trajectories 429 came from this cluster.
- 430 Under LSRW, 56% of the trajectories were from Cluster No.1, 33% from Cluster No.2, and 11% from Cluster No.3. Although 431 the total averaged mass concentrations (Table 1) of two types of eBC generally showed that the regional-scale motions favored dissipation of eBC compared with LD, the eBC<sub>fossil</sub>  $(3.43 \pm 1.17 \ \mu g \ m^{-3})$  associated with Cluster No.2 and eBC<sub>biomass</sub> associated 432 433 with Cluster No.3. ( $1 \pm 0.64 \,\mu g \,\mathrm{m}^{-3}$ ) were higher by 0.23  $\mu g \,\mathrm{m}^{-3}$  and 0.33 $\mu g \,\mathrm{m}^{-3}$  respectively relative to the LD case. The rise 434 of eBCfossil associated with Cluster No.2 was possibly caused by the enhanced regional influence of pollutants brought from 435 adjacent regions. According to previous studies (Wang et al., 2016; Xu et al., 2016), severe BC pollution in winter is caused 436 by fossil fuel combustion in Xi'an which is to the east of Baoji. Studies also have reported that high EC emitted from biomass burning was found to have originated from Sichuan Province (Wu et al., 2020; Cai et al., 2018; Huang et al., 2020) which is to 437 438 the southwest of Baoji. Combined with the phenomenon that the mass concentration of eBC<sub>biomass</sub> associated with Cluster No.3

- rose with regional scales of motion, it is reasonable to conclude that the increase of eBC<sub>biomass</sub> associated with Cluster No.3 was
   likely influenced by pollution transport from the southwest.
- 441 Under LWRS, 42% of the trajectories were from Cluster No.1., 36% from Cluster No.3, and 22% from Cluster No.2. With
- 442 stronger regional scales of motion, the mean values of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with all clusters were lower than those
- under LD, except for eBC<sub>biomass</sub> associated with Cluster 3 which increased by 0.52 µg m<sup>-3</sup>. As mentioned before, this increase
- 444 could have been caused by regional transport.
- In the last category (RD), 41% of the trajectories were from Cluster No.1., 39% from Cluster No.3, and 20% from Cluster No.2.
- 446 Similar to the results for LWRS, the average mass concentration of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with Cluster No.1 were
- 447 only 35% and 48% of the respective values for LD. The average mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with
- 448 Cluster No.2 were 32% and 51% of the eBC<sub>fossil</sub> and eBC<sub>biomass</sub> under LD. As for Cluster No.3, the average mass concentration
- 449 of eBC<sub>fossil</sub> associated with this cluster was also the lowest of all clusters. However, interestingly, the mean value of eBC<sub>biomass</sub>
- 450 associated with Cluster No.3 was highest compared with other categories of Cluster No.3. Under strong influences of a regional
- 451 scale of motions, the value of eBC<sub>biomass</sub> was 1.9 times as high as that under LD.

## 452 **3.4 Radiative effects**

- 453 Figure 5a shows the DREs at top of the atmosphere (DRE<sub>eBC, TOA</sub>), surface (DRE<sub>eBC, SUF</sub>), and the whole atmosphere (DRE<sub>eBC, TOA</sub>), surface (DRE<sub>eBC, SUF</sub>), and the whole atmosphere (DRE<sub>eBC, TOA</sub>). 454 ATM) of eBCfossil and eBCbiomass. The DREeBC, TOA and DREeBC, SUF of eBC were 13 W m<sup>-2</sup> and -22.9 W m<sup>-2</sup>, which were lower 455 than that reported in Lanzhou (21.8 W m<sup>-2</sup> and -47.5 W m<sup>-2</sup> for DRE<sub>eBC, TOA</sub> and DRE<sub>eBC, SUF</sub>) which is another river valley city 456 in China (Zhao et al., 2019). This could be due to fact that the eBC mass concentration in Baoji was lower than in Lanzhou 457 (Table S5). As for the DRE<sub>eBC, TOA</sub> and DRE<sub>eBC, SUF</sub> per an unit mass of BC, the results of the two studies were comparable. The  $DRE_{eBC, TOA}$  of  $eBC_{fossil}$  ( $DRE_{eBCfossil, TOA}$ ) and  $eBC_{biomass}$  ( $DRE_{eBCbiomass, TOA}$ ) were  $9.4 \pm 7.5$  W m<sup>-2</sup> and  $3.6 \pm 3.4$  W m<sup>-2</sup> indicating 458 459 a warming effect at the top of the atmosphere. The DREeBC, SUF of eBCfossil (DREeBCfossil, SUF) and eBCbiomass (DREeBCbiomass, SUF) were  $-16.5 \pm 13.5$  W m<sup>-2</sup> and  $-6.4 \pm 6.2$  W m<sup>-2</sup> showing a cooling effect at the surface. The DRE<sub>eBC, ATM</sub> of eBC<sub>fossil</sub> (DRE<sub>eBCfossil</sub>, 460 ATM) and eBC<sub>biomass</sub> (DRE<sub>eBCbiomass</sub>, ATM) were 25.9  $\pm$  20.8 W m<sup>-2</sup> and 10  $\pm$  9.5 W m<sup>-2</sup> in the atmosphere, indicating a heating 461 462 effect.
- 463 Figure 5 also shows the  $DRE_{eBC, ATM}$  of the source-specific eBC for different atmospheric motions. In general, the changes of 464 DRE<sub>eBC, ATM</sub> are in accordance with those of the eBC mass concentrations. The DRE<sub>eBC fossil, ATM</sub> under LD was the largest with a mean value of  $30.4 \pm 23$  W m<sup>-2</sup>, followed by LSRW (28.7 ± 20.7 W m<sup>-2</sup>). As the mass concentration of eBC<sub>fossil</sub> was low 465 466 when regional scales of motion were stronger, the DRE<sub>eBC, ATM</sub> under LWRS and RD were also lower compared with those 467 under LD or LSRW. By contrast, the DRE<sub>eBC, ATM</sub> of eBC<sub>biomass</sub> under LSRW was the highest  $(11.5 \pm 11.8 \text{ W m}^{-2})$ , but it is only 468 0.3 W m<sup>-2</sup> higher than that under LD. When the regional scale of motions became stronger, the DRE<sub>eBCbiomass, ATM</sub> declined as 469 expected due to the lower eBCbiomass mass concentrations (Figure 4c). The DREeBC, ATM of eBCbiomass under LWRS and RD were 470  $8.6 \pm 8.5$  W m<sup>-2</sup> and  $7.9 \pm 7.4$  W m<sup>-2</sup> respectively.
- 471 Although  $DRE_{eBC, ATM}$  declined with increased influences from the regional scale of motion, the  $DRE_{eBC, ATM}$  efficiency
- 472 (DRE<sub>eBC, ATM</sub> per mass concentration) was found to increase with greater regional-scale motion. Furthermore, the DRE
- 473 efficiencies of both types of eBC under LD and LSRW were comparable, around 10 W m<sup>-2</sup> (Table 2). In contrast, the efficiencies

474 varied more when the regional-scale motions were stronger. Under LWRS, the efficiencies of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 13.5 475  $\pm$  6.7 and 14.7  $\pm$  8.1 (W m<sup>-2</sup>)/(µg m<sup>-3</sup>) respectively. Under RD, the efficiencies were even higher, 15.6  $\pm$  8.9 (W m<sup>-2</sup>)/(µg m<sup>-3</sup>) for eBC<sub>fossil</sub> and  $15.5 \pm 8.4$  (W m<sup>-2</sup>)/(ug m<sup>-3</sup>) for eBC<sub>biomass</sub>, which are > 1.5 times those recorded under LD. The higher eBC 476 477 efficiencies may have been caused by the increases in the BC MAC during the regional transport. Studies have confirmed that 478 the aging processes in the atmosphere can enhance the light-absorbing ability of BC (Chen et al., 2017; Shen et al., 2014), and 479 regional transport can provide sufficient time for BC aging (Shiraiwa, et al. 2007; Cho et al., 2021). Therefore, the nonlinear 480 change between mass concentration and DRE efficiency was very likely caused by the strong regional-scale motions that 481 dispersed fresh BC from local emissions but also brought aged BC to the area from the upwind regions. As a result, under these 482 conditions, the transported BC reached a receptor site with a higher light-absorbing ability which led to a higher DRE efficiency 483 of BC at the sampling site. This strongly implies regionally transported BC can greatly perturb climate, particularly at the river-484 valley city in our study where dispersion was weak (Zhao et al., 2015; Wang et al., 2013).

# 485 4 Conclusions

This study derived site-specific AAEs using a PMF model for which chemical and optical data collected from a river-valley city during winter were used as the inputs. Based on the calculated AAEs, source-specific eBCs (i.e., eBC<sub>fossil</sub> and eBC<sub>biomass</sub>) were then apportioned using an aethalometer model. Finally, the impacts of different scales of atmospheric motions on the mass concentrations of the source-specific eBCs and the induced DREs were investigated. Four sources of eBC were identified: which are diesel vehicular emissions, biomass burning, coal combustion, and mineral dust. The derived AAEs were 1.07 for diesel vehicular emissions, 2.13 for biomass burning, 1.74 for coal combustion, and 1.78 for mineral dust. The mean values of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 2.46 µg m<sup>-3</sup> and 1.17 µg m<sup>-3</sup>, respectively.

493 The self-organizing map indicated that there were four types of atmospheric motions during the sampling period that affected 494 the mass concentrations of source-specific eBCs. Of these, the local-scale motions were the main influence on most winter 495 days. The eBC<sub>fossil</sub> and eBC<sub>biomass</sub> under those identified atmospheric motions showed that over half of the 75<sup>th</sup> to 100<sup>th</sup> percentile 496 values for the entire data set were found in LD group (60% for eBCbiomass and 55% for eBCfossil). This illustrates that the BC 497 pollution was more severe under the influences of local-scale motion outweighed regional-scale motions. However, even 498 though regional-scale motions were associated with lower eBCs, 19% of the high values of eBCbiomass values occurred under 499 RD, especially when there was good ventilation. Furthermore, the air masses from different directions also had impacts on the source-specific eBCs that varied relative to the different atmospheric motions. eBC<sub>fossil</sub> most likely accumulated under the 500 501 influence of strong local-scale motions, but eBC<sub>biomass</sub> also was found to be increased with the enhanced regional scale of 502 motions when the air masses from the southwest; this indicates that there were impacts from regional transport.

503 Similar to the mass concentrations, the DREs of the two types of eBC were both lower when the regional scale of motions were 504 greater than the local ones. However, the changes in mass concentrations and DREs were not proportionate because the 505 regional-scale of motions carried the fresh BC away from the local site but brought the aged BCs to the site from the upwind 506 regions. As a result, the DRE efficiency of eBC was ~1.5 times higher when the regional scale of motion was stronger. This 507 study showed that different scales of air motions affected the mass concentrations of source-specific eBCs and their DRE 508 efficiencies. More specifically our study highlights importance of regional transport for the BC radiative forcing and shows

- 509 how the enhancement of BC radiative effects caused by aging during regional transport could have especially significant
- 510 implications for sites in river valleys. The relationships between BC and atmospheric scales of motion should be evaluated for
- 511 other environments besides river valley cities because quantitative information on the relative importance of locally emitted
- 512 versus regionally transported materials will be useful for developing pollution controls and for predicting future changes in
- 513 climate.
- 514 *Data availability*. The data are available from the authors upon request.
- 515 *Supplement*. The supplement related to this article is available online.
- 516 Author contributions. QW and JC designed the study. BZ and SL conducted the field measurements. YQ and JT conducted
- 517 data analysis. SL and TZ performed the chemical analysis of filters. HL draft the article and QW revised it. JC and YH
- 518 commented on the paper.
- 519 *Competing interests.* The authors declare that they have no conflict of interest.
- 520 Acknowledgments. This research has been supported by the National Natural Science Foundation of China (42192512), the
- 521 Key Research and Development Program of Shaanxi Province (2018-ZDXM3-01), the Key Project of CAS (ZDRW-ZS-2017-
- 522 6), and the Youth Innovation Promotion Association of the Chinese Academy of Sciences (2019402).

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2	Table 1. The mass concentration of eBC from fossil fuel combustion (eBC <sub>fossil</sub> ) and eBC from biomass burning (eBC <sub>biomass</sub> ) associated with different clusters
3	under four featured atmospheric motions

Motion category	Local scale dominance (LD) (40%) $L_{bj} = 70.9 \text{ km}, S_{bj} = 107.8 \text{ km},$ $R_{bj} = 0.35, R_{std} = 0.25$				Local scale strong and regional scale weak (LSRW) (17%) $L_{bj} = 106.9 \text{ km}, S_{bj} = 164.8 \text{ km},$ $R_{bj} = 0.33, R_{std} = 0.23$			
	Cluster 1	Cluster 2	Cluster 3	Total average	Cluster 1	Cluster 2	Cluster 3	Total average
Trajectory percentage (%) eBC <sub>fossil</sub> (µg m <sup>-3</sup> ) eBC <sub>biomass</sub> (µg m- <sup>3</sup> )	$\begin{array}{c} 45\\ 2.82^{a}\pm1.59^{b}\\ 1.34\pm1.07\end{array}$	$52 \\ 3.2 \pm 1.73 \\ 1.72 \pm 1.29$	$\begin{array}{c} 3 \\ 3.64 \pm 0.67 \\ 0.67 \pm 0.87 \end{array}$	$100 \\ 3.08 \pm 2.07 \\ 1.52 \pm 1.19$	56 2.42 ± 1.00 1.0 ± 0.85	$\begin{array}{c} 33\\ 3.43 \pm 1.17\\ 1.17 \pm 0.84 \end{array}$	$11 \\ 2.89 \pm 1.00 \\ 1.00 \pm 0.64$	100 $2.79 \pm 1.73$ $1.06 \pm 0.83$

 $L_{bj}$ —resultant transport distance,  $S_{bj}$ —actual wind run distance at 100 m,  $R_{bj}$ —recirculation factor at 100 m,  $R_{std}$ —standard deviation for 805 recirculation factor. a and b: Mean ± Standard deviation.

Table 1 (continued)

Motion category	Local scale weak and regional scale strong (LWRS) (14%)			Regional scale dominance (RD) (29%)				
	$L_{bj} = 159 \text{ km}, S_{bj} = 183.4 \text{ km},$ $R_{bj} = 0.13, R_{std} = 0.20$				$L_{bj} = 235.6 \text{ km}, S_{bj} = 246.4 \text{ km},$ $R_{bj} = 0.05, R_{std} = 0.18$			
	Cluster 1	Cluster 2	Cluster 3	Total average	Cluster 1	Cluster 2	Cluster 3	Total average
Trajectory percentage (%) eBC <sub>fossil</sub> (µg m <sup>-3</sup> ) eBC <sub>biomass</sub> (µg m <sup>-3</sup> )	$42 \\ 1.32^{a} \pm 0.67^{b} \\ 0.67 \pm 0.49$	$\begin{array}{c} 22\\ 2.02\pm 0.73\\ 0.73\pm 0.47\end{array}$	$36 \\ 3.16 \pm 1.19 \\ 1.19 \pm 0.60$	$100 \\ 2.15 \pm 1.62 \\ 0.86 \pm 0.58$	$41 \\ 1.00 \pm 0.64 \\ 0.64 \pm 0.63$	$\begin{array}{c} 20 \\ 1.02 \pm 0.88 \\ 0.87 \pm 0.69 \end{array}$	$39 \\ 2.75 \pm 1.26 \\ 1.26 \pm 0.68$	$100 \\ 1.69 \pm 1.36 \\ 0.93 \ \pm 0.72$

 $L_{bj}$ —resultant transport distance,  $S_{bj}$ —actual wind run distance at 100 m,  $R_{bj}$ —recirculation factor at 100 m,  $R_{std}$ —standard deviation for recirculation factor. a and b: Mean ± Standard deviation.

Atmospheric motion category	DRE <sub>eBCfossil, ATM</sub> efficiency ((W m <sup>-2</sup> )/( $\mu$ g m <sup>-3</sup> ))	$DRE_{eBCbiomass, ATM} efficiency ((W m-2)/(µg m-3))$
Local scale dominance (LD)	$10.2^{a} \pm 4.2^{b}$	$10.3 \pm 4.4$
Local scale strong and regional scale weak (LSRW)	$10.6 \pm 5.7$	$10.2 \pm 5.8$
Local scale weak and regional scale strong (LWRS)	$13.5 \pm 6.7$	$14.7 \pm 8.1$
Regional scale dominance (RD)	$15.6\pm8.9$	$15.5 \pm 8.4$

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 Table 2. Direct radiative forcing efficiencies for equivalent black carbon (eBC) from fossil fuel combustion (eBC<sub>fossil</sub>) and the eBC from biomass burning (eBC<sub>biomass</sub>) under four atmospheric motion categories

812 a and b: Mean  $\pm$  Standard deviation

## 814 Figure captions:

- Figure 1. Four factors identified by source apportionment. Concentration ( $\mu g m^{-3}$ ) of the chemical species and primary absorption coefficients ( $p_{abs}$ ) ( $\lambda$ ) at six wavelengths ( $\lambda = 370, 470, 520, 590, 660, \text{ or } 880\text{nm}$ ) (M m<sup>-1</sup>) for each source are shown in grey. The blue square represents the contribution of each chemical species to the four different factors.
- 818 Figure 2. (a) Diel variations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC from biomass burning
- $(eBC_{biomass})$ , (c) wind speed (m s<sup>-1</sup>) and (d) planetary boundary layer height (m). The black bars of each hourly-averaged point
- show the standard deviation.
- 821 Figure 3. (a) The  $75^{\text{th}} 100^{\text{th}}$  percentile mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC
- 822 from biomass burning (eBC<sub>biomass</sub>) under local scale dominance (LD, red circle), local scale strong and regional scale weak
- 823 (LSRW, green circle), local scale weak regional scale strong (LWRS, purple circle) and regional scale dominance (RD, blue
- 824 circle). S<sub>bj</sub> is actual wind run distance at 100m height, R<sub>bj</sub> is the recirculation factor, the grey area indicates good ventilation
- 825  $(S_{bj} \ge 250 \text{km}, R_{bj} \le 0.2)$ , the yellow area indicates air stagnation  $(S_{bj} \le 130 \text{km})$ .
- Figure 4. Mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and the eBC from biomass burning (eBC<sub>biomass</sub>)
  during daytime (a, c) and nighttime (b, d) under local scale dominance (LD); local scale strong and regional scale weak (LSRW);
  local scale weak regional strong (LWRS); and regional scale dominance (RD).
- Figure 5. Direct radiative effect (DRE) of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) shaded in grey and the eBC from biomass burning (eBC<sub>biomass</sub>) shaded in yellow (a) in the top atmosphere (TOA), surface (SUF), and the atmosphere atmospheric column (ATM) and (b) the DRE<sub>eBC,ATM</sub> of two types of eBC under local scale dominance (LD) shaded in light grey labeled as
- 832 LD, local scale strong and regional scale weak (LSRW) shaded in light blue labeled as LSRW, local scale weak regional scale
- 833 strong (LWRS) shaded in light grey labeled with LWRS and regional scale dominance (RD) shaded in light blue labeled as RD
- 834 (c) <u>DRE efficiencies of eBC<sub>biomass</sub> (shaded in yellow) and eBC<sub>fossil</sub> (shaded by grey) in TOA, SUF and ATM (d) <u>DRE efficiencies</u></u>
- 835 of eBC<sub>biomass</sub> and eBC<sub>fossil</sub> at ATM under LD (shaded in light grey labeled as LD), LSRW (shaded in light blue labeled as
- 836 LSRW), LWRS (shaded in light grey labeled as LWRS) and RD (shaded in light blue labeled with RD).
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**Figure 1.** Four factors identified by source apportionment. Concentration ( $\mu g m^{-3}$ ) of the chemical species and primary absorption coefficients ( $p_{abs}$ ) ( $\lambda$ ) at six wavelengths ( $\lambda = 370, 470, 520, 590, 660, \text{ or } 880\text{nm}$ ) (M m<sup>-1</sup>) for each source are shown in grey. The blue square represents the contribution of each chemical species to the four different factors.



Figure 2. (a) Diel variations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC from biomass burning
(eBC<sub>biomass</sub>), (c) wind speed (m s<sup>-1</sup>) and (d) planetary boundary layer height (m). The black bars of each hourly-averaged point
show the standard deviation.



Figure 3. (a) The  $75^{\text{th}} - 100^{\text{th}}$  percentile mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC from biomass burning (eBC<sub>biomass</sub>) under local scale dominance (LD, red circle), local scale strong and regional scale weak (LSRW, green circle), local scale weak regional scale strong (LWRS, purple circle) and regional scale dominance (RD, blue circle). S<sub>bj</sub> is actual wind run distance at 100m height, R<sub>bj</sub> is the recirculation factor, the grey area indicates good ventilation (S<sub>bj</sub>  $\geq$  250km, R<sub>bj</sub>  $\leq$  0.2), the yellow area indicates air stagnation (S<sub>bj</sub>  $\leq$  130km).



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**Figure 4.** Mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and the eBC from biomass burning (eBC<sub>biomass</sub>)

during daytime (a, c) and nighttime (b, d) under local scale dominance (LD); local scale strong and regional scale weak (LSRW);
local scale weak regional strong (LWRS); and regional scale dominance (RD).



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858 Figure 5. Direct radiative effect (DRE) of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) shaded in grey and the eBC from 859 biomass burning (eBC<sub>biomass</sub>) shaded in yellow (a) in the top atmosphere (TOA), surface (SUF), and the atmosphere atmospheric 860 column (ATM) and (b) the DRE<sub>eBC,ATM</sub> of two types of eBC under local scale dominance (LD) shaded in light grey labeled as 861 LD, local scale strong and regional scale weak (LSRW) shaded in light blue labeled as LSRW, local scale weak regional scale 862 strong (LWRS) shaded in light grey labeled with LWRS and regional scale dominance (RD) shaded in light blue labeled as RD 863 (c) DRE efficiencies of eBCbiomass (shaded in yellow) and eBCfossil (shaded by grey) in TOA, SUF and ATM (d) DRE efficiencies 864 of eBCbiomass and eBCfossil at ATM under LD (shaded in light grey labeled as LD), LSRW (shaded in light blue labeled as 865 LSRW), LWRS (shaded in light grey labeled as LWRS) and RD (shaded in light blue labeled with RD).