# The impact of atmospheric motions on source-specific black carbon and the induced direct radiative effects over a river-valley region

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  - Abstract. Black carbon (BC) is one of the most important short lived climate forcers, and atmospheric motions play an important role in determining its mass concentrations of pollutants. Here an intensive observation was launched in a typical river-valley city to investigate relationships between atmospheric motions and BC aerosols. Equivalent BC (eBC) source apportionment was based on an aethalometer model with the site-dependent absorption Ångström exponents (AAEs) and the mass absorption cross-sections (MACs) retrieved using a positive matrix factorization (PMF) model based on observed chemical components (i.e. EC, POC, K<sup>+</sup>, Mg, Al, Si, S, Cl, Ca, V, Mn, Fe, Ni, Cu, As, Se, Br, Sr, Pb, Ga, and Zn) and primary absorption coefficients at selected wavelengths from  $\lambda = 370$  to 880nm. The derived AAEs from 370 to 880nm were 1.07 for diesel vehicular emissions, 2.13 for biomass burning, 1.74 for coal combustion, and 1.78 for mineral dust. The mean values for eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 2.46 μg m<sup>-3</sup> and 1.17 μg m<sup>-3</sup> respectively. Wind run distances and the vector displacements of the wind in 24 h were used to construct a self-organizing map, from which four atmospheric motions categories were identified (local-scale dominant, local-scale strong and regional-scale weak, local-scale weak and regional-scale strong and regional-scale dominant). BC pollution was found to be more likely when the influence of local-scale motions outweighed those of regionalscale motions. Cluster analysis for the back trajectories of air mass calculated by Hybrid Single-Particle Lagrangian Integrated Trajectory model at the study site indicated that the directions of air flow can have different impacts for different scales of motion. The direct radiative effects (DRE) of source-specific eBCs were lower when the influence of regional-scale motions outweighed that of the local ones. However, due to chemical aging of the particles during transport—the DRE efficiencies under regional scale motions were ~1.5 times higher than those under more local influences. The finding that the DRE efficiency of BC increased during the regional transport suggested significant consequences in regions downwind of pollution sources and emphasizes the importance of regionally transported BC for potential climatic effects.

#### 1 Introduction

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- 37 Black carbon (BC) is produced by the incomplete combustion of biomass and fossil fuels. The BC aerosol has a strong light 38 absorption capacity and can cause heating of the atmosphere. In fact, BC is widely recognized as one of the most important 39 short-lived climate forcers (IPCC, 2021). Due to this high light-absorbing ability, BC has the potential to perturb the radiative 40 balance between the earth and atmosphere and in so doing cause in the climate to change and drive ecosystems away from their 41 natural states (Schroter et all., 2005). Those changes ultimately will affect biodiversity and could threaten humans' food security 42 (Ochoa-Hueso et al., 2017; Shindell et al., 2012). Besides heating the atmosphere directly, BC also is important for nucleating 43 clouds, and that is another way in which the particles can cause indirect climatic effects (Jacobson, 2002). As BC is 44 heterogeneously distributed in the atmosphere, its climatic effects are highly variable and dependent on its distribution in the 45 atmosphere, both horizontally and vertically; its radiative properties and how they are affected by of chemical processing; and 46 its lifetime (IPCC, 2021).
- 47 The radiative efficiency of BC can vary due to differences in emission sources and atmospheric aging processes (Bond et al., 48 2013; He et al., 2015; Cappa et al., 2012). Indeed, BC from different sources can vary in light absorbing abilities (Cheng et al., 49 2011) which can affect the radiative forcing of climate. In addition to the effects of the sources, regional transport can impact 50 the light-absorbing ability through chemical processing or aging (Zhang et al., 2019). After BC particles are emitted, they can 51 stay in the atmosphere for days or a few weeks (IPCC, 2021). During transport, fresh BC can experience a series of physical 52 and chemical changes, for instance, mixing with other substances that can alter its microphysical and optical properties (Kahnert 53 and Kanngießer, 2020). The aging processes can be even faster in polluted regions (Peng et al., 2016), and as a result, the light-54 absorbing ability of BC can be strongly affected. Indeed, the light absorption ability of BC after aging can be as much as 2.4 55 times that of fresh particles (Peng et al., 2016).
  - The concentrations of BC are controlled by local emissions and regional transport, but meteorological conditions also are important because they affect both transport and removal. Normally, local emissions in urban areas are predictable to some degree because those emission sources are mainly anthropogenic and the concentrations of pollutants follow the diurnal patterns driven by anthropogenic activities. By contrast, meteorological conditions and regional transport are governed by multiple scales of motion which result in distinct meteorological impacts on ambient pollutant levels (Levy et al., 2010, Dutton, 1976). A commonly accepted classification of the scale of motion is based on horizontal distance and time scales. Typically, the time scale of local-scale motions varies from hours to days and the spatial scale ranges from 10<sup>2</sup> to 10<sup>5</sup> m (Oke et al., 2002; Seinfeld and Pandis, 2006). The local scales of motion are mainly controlled by local factors such as the roughness of the earth's surface, orography, land breeze/sea breeze circulation, etc. (Hewitson and Crane, 2006; IPCC, 2021). Larger scale of motions are associated with a mesoscale or synoptic scale weather systems, which on the one hand can transport pollutants but on the other can disperse them (Kalthoff et al., 2000; Zhang et al., 2012).
- The relationships between atmospheric motions and pollutant concentrations are complex. Atmospheric motions determine where and how extensive the pollution impacts are, but of course the rates of pollutant emissions, especially local ones, are important, too (Dutton, 1976). Liao et al., (2020) found that synoptic-scale flow led to an enhanced PM<sub>2.5</sub> in a coastal area of the Pearl River Delta, while meso/local scale motions led to PM<sub>2.5</sub> pollution in an inland area. Levy et al. (2010) showed that the concentrations of NO<sub>x</sub> and SO<sub>2</sub> were higher under the dominance of smaller-scale motions than under larger scale motions.

However, few studies have touched on the impacts of different scales of motion on BC and their effects on radiative efficiency even though the effects could cause rapid climatic effects due to the patchy and constantly changing distributions (IPCC, 2021).

Topography also plays an important role in air pollution (Zhao et al., 2015). River-valley topography is complicated, and it can have a considerable influence on air pollution and synoptic patterns of flow (Green et al., 2016; Carvalho et al., 2006). The pollution levels at cities in river-valleys are not only influenced by general atmospheric dynamics but also strongly impacted by the local-scale of dynamics (Brulfert et al., 2006). Surface albedo and surface roughness are affected by the complex topography of river-valley regions, and those physical factors can affect circulation causing changes in pollutant mass concentrations (Wei et al., 2020). Mountains also significantly affect pollution, and once pollutants are generated or transported into the river-valley regions, their dispersal can be impeded by the blocking effect of the mountains. Instead of being dispersed, they can be carried by the airflows over the mountains to converge at the bottom of the valley and increase the pollutants along the river (Zhao et al., 2015). In this way, pollutants can accumulate in valleys and spread throughout the area, thereby aggravating pollution. In addition, temperature inversions commonly form in river-valleys during the winter, and that, too, can aggravate pollution problems (Glojek et al., 2022 and Bei et al., 2016).

Thus, we focused our study on the impacts of different scales of motion on source-specific equivalent BCs (eBCs), and we evaluated radiative effects of eBCs over a river-valley city. The primary objectives of this study were: (1) to quantify the contributions of fossil fuel combustion and biomass burning to eBC concentrations, (2) to investigate the impacts of different scales of motion on the source-specific eBC, and (3) to estimate the radiative effects and the radiative efficiency of the source-specific eBC under different atmospheric motion scenarios. The study provides insights into the influence of the specified atmospheric motions on BC and highlights the effects of those motions on the radiative efficiency and potential climatic effects of the regionally transported BC.

#### 2 Methodology

#### 2.1 Research site

Baoji is a typical river-valley city, located at the furthest west of the Guanzhong Plain, at an altitude from 450 to 800 m a.s.l. (Figure S1), Baoji has a complex topography and often suffering from severe pollution in winter. It is surrounded by mountains to the south, west and north, with the Weihe River as the central axis extending eastward. The shape can be viewed as a funnel, with large opening to east. The Qinling peaks and the flat Weihe Plain are the main landforms of Baoji. The main peak of the Qinling Mountains is 3,767 m a.s.l. and it is the highest mountain in the eastern part of mainland China. This terrain causes divergent flow at local scales, which can impact pollution levels (Wei et al., 2020). Baoji also is an important railway intersection in China, connecting six railways to the north-west and southwest China. Pollutant levels can be high and pollutants are not easy to be dispersed in the city due to its special topographic conditions, dense population (total population of 0.341 million, with 63.5% population living in the downtown aera and population density of 6003 people per km² in 2019 (http://tjj.shaanxi.gov.cn/upload/2021/zk/indexch.htm and https://data.chinabaogao.com/hgshj/2021/042053X932021.html), and impacts from major highway and railway networks.

The sampling site was on the rooftop of a building at Baoji University of Arts and Sciences (34°21′16.8″N, 107°12′59.6″E, 569 m a.s.l.) surrounded by commercial and residential buildings, highways, and a river, there were no major industrial emission sources nearby. The main sources of BC in Baoji were the domestic fuel (coal and biomass) burning as well as the motor vehicle emissions (Zhou et al., 2018; Xiao et al., 2014). Open fire also can be sources for BC, but there were limited fire found scattered around the site (Figure S2). The meteorological conditions at Baoji for the four seasons are listed in Table S1, and the wind roses for the different seasons are shown in Figure S3(data are from the Meteorological Institute of Shaanxi Province).

## 2.2 Sampling and laboratory measurements

- eBC and the absorption coefficients ( $b_{abs}$ ) at 370, 470, 520, 590, 660, 880, and 950 nm wavelength were measured using an
- AE33 aethalometer (Magee Scientific, Berkeley, CA, USA) equipped with a PM<sub>2.5</sub> cut-off inlet (SCC 1.829, BGI Inc. USA)
- that had a time resolution of 1 min. A Nafion® dryer (MD-700-24S-3; Perma Pure, Inc., Lakewood, NJ, USA) with a flow rate
- of 5 L min<sup>-1</sup> was used to dry the PM<sub>2.5</sub> before the measurement. Briefly, the particles were dried by the Nafion® dryer before
- being measured with the AE33 aethalometer, and the deposited particles were irradiated by light-emitting diodes at seven
- wavelengths of light-emitting diodes ( $\lambda = 370, 470, 520, 590,660, 880, \text{ and } 950 \text{ nm}$ ), and the light attenuation was detected.
- The non-linear loading issue for filter-based absorption measurement was accounted for in the AE33 by a technique called
- dual-spot compensation. The quartz filter (PN8060) matrix scattering effect was corrected by using a factor of 1.39. More
- details of AE33 measurement techniques can be found in Drinovec et al. (2015).
- The scattering coefficient ( $b_{\text{scat}}$ ) at a single (525) nm wavelength was measured with the use of a nephelometer (Aurora-1000,
- 122 Ecotech, USA) that had a time resolution of 5 min. The nephelometer and aethalometer operated simultaneously and used the
- same PM<sub>2.5</sub> cyclone and Nafion® dryer. The calibration was conducted based on the user guide with a calibration gas R-134.
- 24 Zero calibrations were conducted every other day by using clean air without particles. The ambient air was drawn in through a
- heated inlet with a flow rate of 5 L min<sup>-1</sup>. The relative humidity remained lower than 60%.
- PM<sub>2.5</sub> samples were collected for every 24 hours (h) from 10 a.m. local time to the 10 a.m. the next day from 16<sup>th</sup> November
- 2018 to 21st December 2018 with two sets of mini-volume samplers (Airmetrics, USA), one using quartz fiber filters (QM/A;
- Whatman, Middlesex, UK) and the other with Teflon® filters (Pall Corporation, USA), both with a flow rate of 5 L min<sup>-1</sup>.
- Those samples were kept in a refrigerator at 4°C before analysis. The mass concentration of K<sup>+</sup> in the PM<sub>2.5</sub> quartz sample was
- extracted in a separate 15 mL vials containing 10 mL distilled deionized water (18.2 M $\Omega$  resistivity). The vials were placed in
- an ultrasonic water bath and shaken with a mechanical shaker for 1 h to extract the ions and determined by a Metrohm 940
- Professional IC Vario (Metrohm AG., Herisau, Switzerland) with Metrosep C6-150/4.0 column (1.7 mmol/L nitric acid+1.7
- mmol/L dipicolinic acid as the eluent) for cation analysis. A group of elements (i.e. Mg, Al, Si, S, Cl, Ca, V, Mn, Fe, Ni, Cu,
- As, Se, Br, Sr, Pb, Ga, and Zn) on the Teflon® filters was were determined by energy-dispersive x-ray fluorescence (ED-XRF)
- spectrometry (Epsilon 4 ED-XRF, PANalytical B.V., Netherlands). The X-rays were generated from a gadolinium anode on a
- side-window X-ray tube. A spectrum of the ratio of X-ray and photon energy was obtained after 24 minutes of analysis for
- each sample with each energy peak characteristic of a specific element, and the peak areas were proportional to the
- 138 concentrations of the elements. Quality control was conducted on a daily basis with test standard sample.

Organic carbon (OC) and elemental carbon (EC) in each sample were determined with the use of a DRI Model 2001

140 Thermal/Optical Carbon Analyzer (Atmoslytic Inc., Calabasas, CA, USA). The thermal/optical reflectance (TOR) method and

141 IMPROVE\_A protocol were used for analysis. A punch of a quartz filter sample was heated at specific temperatures to obtain

data for four OC fractions and three EC fractions. Total OC was calculated by summing all OC fractions and the pyrolyzed

carbon (OP) produced. Total EC was calculated by summing all EC fractions minus the OP. Detailed methods and quality

assurance/quality control processes were described in Cao et al., (2003). Primary organic carbon (POC) was estimated by using

the minimum R-squared (MRS) method, which is based on using eBC as a tracer (Text S1). The method uses the minimum R<sup>2</sup>

between OC and eBC to indicate where the ratio for which secondary OC and eBC are independent. A detailed description of

the MRS method can be found in Wu et al., (2016).

- 148 Data for NO<sub>x</sub>, wind speed, and direction at 12 ground monitoring sites were downloaded from
- 149 <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">httm://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.shaanxi.gov.cn/hx">http://sthjt.shaanxi.gov.cn/hx</a> <a href="http://sthjt.
- boundary layer height were downloaded from <a href="https://rda.ucar.edu/datasets/ds633.0">https://rda.ucar.edu/datasets/ds633.0</a>. The data used for the Hybrid Single-
- Particle Lagrangian Integrated Trajectory (HYSPLIT) model was downloaded from Global Data Assimilation System
- and it had a resolution of 1°×1° (GDAS, <a href="https://www.ready.noaa.gov/gdas1.php">https://www.ready.noaa.gov/gdas1.php</a>). The data and main parameters used in
- trajectory model are listed in Table S2.

#### 2.3 Optical source apportionment

- The positive matrix factorization (PMF) model that was used for the optical source apportionment in this study. PMF solves
- 156 chemical mass balance by decomposing the observational data into different source profiles and contribution matrices as
- 157 follows:

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$$X_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
 (1)

- where  $X_{ij}$  denotes the input data matrix; p is the number of sources selected in the model;  $g_{ik}$  denotes the contribution of the
- $k^{th}$  factor to the  $i^{th}$  input data;  $f_{kj}$  represents the  $k^{th}$  factor's profile of the  $j^{th}$  species; and  $e_{ij}$  represents the residual. Both  $g_{ik}$  and
- 161  $f_{ki}$  are non-negative. The uncertainties of each species and  $b_{abs}(\lambda)$  were calculated by the equation recommended in EPA
- 162 PMF5.0 user guideline(Norris et al, 2014) as follows:

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$$Unc = \sqrt{(error\ fraction \times concentration(or\ ligth\ absorption\ coefficient))^2 + (0.5 \times MDL)^2}$$
 (2)

$$164 Unc = \frac{5}{6} \times MDL (3)$$

- where MDL is the minimum detection limit of the method. When the concentration of a species was higher than the MDL then
- equation (2) was used otherwise equation (3) was used. In equation (2), for calculating the uncertainty of a chemical species,
- the error fraction was multiplied the concentration of the species. For calculating the uncertainty of optical data, the error
- fractions were multiplied by the light absorption coefficients.
- 169 Chemical species data (EC, POC, K<sup>+</sup>, Mg, Al, Si, S, Cl, Ca, V, Mn, Fe, Ni, Cu, As, Se, Br, Sr, Pb, Ga and Zn) and the primary
- absorption coefficients (Pabs) data at  $\lambda$ =370nm,470nm,520nm,660nm, and 880nm were used for PMF analysis. The error
- fraction of offline measured data was the difference between multiple measurements of the same sample. The error fraction

used for optical data was 10% based on Rajesh and Ramachandran (2018). PMF solves the equation (1) by minimizing the Q

value, which is the sum of the normalized residuals' squares, as follows,

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$$Q = \sum_{i=1}^{n} \sum_{j=0}^{n} \left[ \frac{e_{j}}{u_{i}} \right]^{2}$$
 (4)

where  $u_{ij}$  represents the uncertainties of each  $X_{ij}$  and  $Q_{true}/Q_{exp}$  was used as the indicators for the factor number determination.

#### 2.4 eBC source apportionment

The quantities of eBC generated from biomass burning versus fossil fuel combustion were deconvolved by an aethalometer model which uses Beer-Lambert's Law to write the absorption coefficients equations, wavelengths and absorption Ångström exponents (AAEs) for the two different BC emission sources (Sandradewi et. al., 2008). This approach is widely used for separating BC from two different sources based on optical data (Rajesh et al., 2018; Kant et al., 2019; Panicker et al., 2010). However, the traditional aethalometer model could be affected by the light absorbing substances at lower wavelengths such as dust and secondary formation particles. An improvement to the traditional aethalometer model was made, by explicitly considering the interference of the  $b_{abs}$  at a lower wavelength (370nm) caused by dust and secondary OC. Thus, the calculation

$$\frac{b_{abs}(370)_{fossil}}{b_{abs}(880)_{fossil}} = \left(\frac{370}{880}\right)^{-AAE_{fossil}}$$
 (5)

of the absorption and source apportionment was based on the following equations (Wang et al., 2020):

$$\frac{b_{abs}(370)_{biomass}}{b_{abs}(880)_{biomass}} = \left(\frac{370}{880}\right)^{-AAE_{biomass}} \tag{6}$$

187 
$$b_{abs}(880) = b_{abs}(880)_{fossil} + b_{abs}(880)_{biomass}$$
 (7)

$$188 b_{abs}(370) = b_{abs}(370)_{fossil} + b_{abs}(370)_{biomass} + b_{abs}(370)_{secondary} + b_{abs}(370)_{dust} (8)$$

$$189 eBC_{fossil} = \frac{b_{abs}(880)_{fossil}}{MAC_{BC}(880)_{fossil}} (9)$$

$$190 \qquad eBC_{biomass} = \frac{b_{abs}(880)_{biomass}}{MAC_{BC}(880)_{biomass}} \tag{10}$$

where  $AAE_{fossil}$  and  $AAE_{blomass}$  are the AAEs for fossil fuel combustion and biomass burning. These were derived from the optical source apportionment by using PMF as discussed in section 3.1. Further,  $b_{abs}(370)$  and  $b_{abs}(880)$  are the total  $b_{abs}$  measured by the AE33 at the wavelengths of 370 nm and 880 nm respectively;  $b_{abs}(370)_{fossil}$  and  $b_{abs}(880)_{fossil}$  are the  $b_{abs}$  caused by emissions from fossil fuel combustion at those two wavelengths;  $b_{abs}(370)_{blomass}$  and  $b_{abs}(880)_{blomass}$  are the  $b_{abs}$  caused by emissions from biomass burning at those two wavelengths;  $b_{abs}(370)_{dust}$  refers to the  $b_{abs}$  contributed by mineral dust at the wavelength of 370 nm, which was derived from the result of optical source apportionment;  $b_{abs}(370)_{secondary}$  refers to the  $b_{abs}$  caused by the secondary aerosols at the wavelength of 370 nm, which was calculated by the minimum R-squared approach with eBC as a tracer (Text S1, Wang et al., 2019); eBC<sub>fossil</sub> and eBC<sub>biomass</sub> are the eBCs from fossil fuel combustion and biomass burning; and  $MAC_{BC}(880)_{fossil}$  and  $MAC_{BC}(880)_{blomass}$  are the mass absorption cross-sections of eBC<sub>fossil</sub> and the mass absorption cross-section of eBC<sub>biomass</sub> at the wavelength of 880 nm respectively, which were based on the PMF results for the optical source apportionments.

#### 2.5 Indicators for the different scales of motion

The mathematical definitions of airflow condition proposed by Allwine and Whiteman (1994) were used in this study. The definitions quantify the flow features integrally at individual stations. Three variables were quantified, namely the actual wind run distance (S) which is the scalar displacement of the wind in 24 h (i.e. the accumulated distance of the wind), the resultant transport distance (L) which is the vector displacement of the wind in 24 h (i.e. the straight line from the starting point to the end point), and the recirculation factor (R) is based on the ratio of L and S which indicates the frequency of the wind veering in 24 h. The influences of different scales of atmospheric motions were assessed based on the method proposed by Levy et al., (2010), and for this, we used wind data at 100 m above the sampling site and the wind data from 12 monitoring stations at ground level ( $\sim$ 15m) to indicate the different scales of motions. The winds at the surface monitoring stations were expected to be more sensitive to local-scale turbulence and convection than the winds at 100 m. With less influence from the surface forces, the indicators at 100 m would be more sensitive to larger scales of motion. The equations used as follows:

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$$L_{n\tau/bj} = T \left[ \left( \sum_{j=i}^{i-\tau+1} u_i \right)^2 + \left( \sum_{j=i}^{i-\tau+1} v_i \right)^2 \right]^{1/2}$$
 (11)

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$$S_{n\tau/bi} = \sum_{i=1}^{i-\tau+1} (u_i^2 + v_i^2)^{1/2}$$
 (12)

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$$R_{n\tau/bj} = 1 - \frac{L_{i\tau}}{s_{i\tau}}$$
 (13)

where T is the interval of the data (i.e., 60 min), i is the i<sup>th</sup> the ending time step data,  $\tau$  is the integration time period of the wind run (24 h),  $i-\tau+1$  represents the data at the start time, and n is the number of monitoring stations (a total of 12 in this study). The quantities u and v are the wind vectors. Using the wind data from the 12 monitoring stations covering Baoji, the L and Svalues at the 12 different sites at ground level were calculated.  $L_{n\tau}$  and  $S_{n\tau}$  represent the resultant transport distance and the actual wind run distance at the  $n^{th}$  (n = 1 to 12) monitoring station at ground level;  $R_{n\tau}$  is the recirculation factor at the  $n^{th}$ monitoring station which is calculated based on  $L_{n\tau}$  and  $S_{n\tau}$ ;  $L_{bj}$ , and  $S_{bj}$  are the resultant transport distance and the actual wind run distance at 100 m height above the ground. These represent the flow characteristics in higher atmosphere at the study site, and they were calculated by using the wind data at 100 m height. The recirculation factor  $(R_{bi})$  was calculated for a height of 100 m.

As explained in Levy et al., (2010), if local-scale motions are strong and regional-scale motions are weak, the variations in winds at each station would not be likely to be uniform due to differences in local factors, and that would result in relatively large standard deviations ( $R_{std}$ ) for  $R_{n\tau}$ . By contrast, if the local-scale motions are weak and the regional-scale motions are strong, the wind direction would be likely to be more uniform over a large area, and the  $R_{bj}$  and the  $R_{std}$  should be relatively smaller.

#### 2.6 Self-organizing map

A self-organizing map (SOM) developed by Kohonen (1990) is a type of artificial neural network that is widely used for categorizing high-dimensional data into a few major features (Stauffer et al., 2016 and Pearce et al., 2014). In particular, this approach is widely used for categorizing different meteorological patterns (Liao et al., 2020; Han et al., 2020; Jiang et al., 2017). Unlike traditional dimension reduction methods (e.g., principal component analysis), SOM projects high-dimensional

235 input data by non-linear projection into user-designed lower-dimensions, which are typically two-dimensional arrays of nodes 236 (Hewitson and Crane, 2006). The performance of SOM in classifying climatological data has been shown to be robust (Reusch 237 et al., 2005). Competitive learning algorithms are used to train SOM, and the architecture of SOM consists of two layers; one 238 is called the input layer and it contains the high dimensional input data. The other layer is the output layer in which the node 239 number is the output cluster number. The working principle of SOM is to convert high dimensional data with complex 240 correlations into lower dimensions via geometrical relationships (Ramachandran et al., 2019). After the initial random weights 241 are generated, the input data are compared with each weight, and the best match is defined as winning. The winning node and 242 the neighboring nodes close to the winning node will learn from the same inputs and the associated weights are updated. After 243 multiple iterations, the network to settles into stable zones of features and the weights. More detailed working principles of 244 SOM can be found Kangas and Kohonen, (1996) and Kohonen et al., (1996).

Comparison between the input data and each weight is made by applying Euclidean distances, the best match is defined by the

following equation:

$$247 ||x - m_c|| = min\{||x - m_i||\} (14)$$

- where x is the input data,  $m_c$  is the best matched weight,  $m_i$  is the weights connected with the i<sup>th</sup> node.
- 249 The weights are updated by following equation:

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$$m_i(t+1) = m_i(t) + h_{ci}(t)[x(t) - m_i(t)]$$
 (15)

- where the  $m_i(t+1)$  is the  $i^{th}$  weight at t+1 time,  $m_i(t)$  is the  $i^{th}$  weight at t time, the  $h_{ci}(t)$  is the neighborhood kernel defined
- over the lattice points at t time, and c is the winning node location.
- SOM was used to categorize the daily atmospheric motions during the study period and to explore the influences of different
- scales of motion on source-specific eBC. Hourly averages of three sets of data  $(R_{std}, L_{bj}, \text{ and } S_{bj})$  were input into SOM.
- Determining the size of the output map is crucial for SOM (Chang et al 2020 and Liu et al., 2021). To reduce the subjectivity,
- 256 the K-means cluster method was used for the decision-making regarding size. The similarity of each item of the input data
- relative to the node was measured using Euclidean distance. The iteration number was set to 2000. For each input data item,
- the node closest to it would "win out". The reference vectors of the winning node and their neighborhood nodes were updated
- and adjusted towards the data. The "Kohonen" package in R language (Wehrens and Kruisselbrink, 2019) was used to develop
- the SOM model in this study.

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#### 2.7 Estimations of direct radiative effects and heating rate

- 262 The Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model was used to estimate the direct radiative effects
- 263 (DRE) induced by source-specific eBC. The model has been used in many studies to calculate the DRE caused by aerosols and
- BC (Pathak et al., 2010; Rajesh et al., 2018; Zhao et al., 2019). SBDART calculated DRE based on several well-tested physical
- models. Details regarding the model were presented in Ricchiazzi et al., (1998). The important input data included aerosol

266 parameters, including aerosol optical depth (AOD), single scattering albedo (SSA), asymmetric factor (AF) and extinction

efficiency, surface albedo, and atmospheric profile. 267

268 The aerosol parameters used in this study were derived by the Optical Property of Aerosol and Cloud (OPAC) model (Hess et

269 al., 1998) based on the number concentrations of aerosol components. As the study was conducted in an urban region, the urban

- 270 aerosol profile was used in OPAC, and it included soot (eBC), water-soluble matter (WS), and water-insoluble matter (WIS).
- 271 The number concentrations of soot were derived from the mass concentrations of eBC with the default ratio (5.99E-5 µg m<sup>-3</sup>/
- 272 particle.cm $^{-3}$ ) in OPAC. The number concentrations of WS and WIS were adjusted until the modeled SSA and  $b_{abs}$  at 500nm
- 273 in OPAC were close ( $\pm$ 5%, see Figure S4) to those values calculated with data from the nephelometer and AE33 ( $b_{\rm ext}$ (520) =
- 274  $b_{\text{scat}}(525) + b_{\text{abs}}(520)$ , SSA=  $b_{\text{scat}}(525)/b_{\text{ext}}(520)$ ). The DRE of source-specific eBC at the top of atmosphere (TOA) and surface
- 275 atmosphere (SUF) were calculated from the difference between the DREs with or without the number concentrations of the
- 276 source-specific eBC under clear-sky conditions.

$$DRE_{eBC} = (F \downarrow -F \uparrow)_{with \ eBC} - (F \downarrow -F \uparrow)_{without \ eBC}$$
(16)

$$DRE_{eBC,ATM} = DRE_{eBC,TOA} - DRE_{eBC,SUF}$$
(17)

- 279 where  $DRE_{eBC}$  is the DRE of source-specific eBC,  $F\downarrow$  and  $F\uparrow$  are the downward and upward flux,  $DRE_{eBC,ATM}$  is the DRE of
- 280 the source-specific eBC for the atmospheric column, that is, the DRE at the top of the atmosphere ( $DRE_{eBC,TOA}$ ) minus that at
- 281 the surface ( $DRE_{eBC,SUF}$ ).

#### 282 3 Results and discussion

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#### 3.1 Calculation of eBCfossil and eBCbiomass

284 The PMF model was used for the optical source apportionment, and those results were used to obtain the site-specific AAEs 285 and MACs, which in turn were used to calculate the source-specific eBC with the improved aethalometer model. For every 286 solution, PMF was run 20 times. The Q<sub>true</sub>/Q<sub>exp</sub> ratios from the 2- to 7-factor solutions were examined, and the values of a 4-287 factor solution were found most stable compared with others because the  $Q_{true}/Q_{exp}$  values did not drop appreciably after the 288 addition of one more factor (Figure S5). Based on these results, the 4-factors solution was determined to be the most 289 interpretable. Two diagnostic methods, Bootstrap (BS) and Displacement (DISP) (Norris et al, 2014; Brown et al. 2015) were 290 used to validate the robustness and stability of the results. The BS method was used to assess the random errors and partially 291 assess the effects of rotational ambiguity while DISP was used to evaluate rotational ambiguity errors. The results of the BS 292 and DISP analyses showed that there was no swap for the 4-factor solution (Table S3). The modelled primary  $b_{abs}(\lambda)$  were well 293 correlated (r = 0.95–0.96, slope = 0.90~0.95, p < 0.01, Figure S6) with their observed counterparts, which suggested that the 294 modelling performance of PMF5.0 was good. The factor profiles obtained from the PMF are shown in Figure 1.

295 The first factor (PC1) had was featured with high loadings of EC (52%), POC (49%), and V (49%) and moderate loadings of 296

Mn (33%), Ni (40%), Cu (37%), and Zn (44%). This factor source contributed 27% to 44% of the primary  $b_{abs}(\lambda)$ . Of the species

with high loadings on PC1, EC has been found to be associated with vehicular emissions due to incomplete fuel combustion

298 (Cao et al., 2013). V and Ni are commonly detected in the particles emitted by diesel-powered vehicles (Lin et al., 2015 and Zhao et al., 2021). Mn compounds are commonly used as an antiknock additive for unleaded gasoline to raise octane numbers and protect the engine (Lewis et al., 2003; Geivanidis et al., 2003); and Cu and Zn are emitted by the combustion of lubricating oils and from the wear of motor vehicle parts (i.e., brakes and tires) (Thorpe and Harrison, 2008; Song et al., 2006). In addition, the EC associated with this factor was found well correlated (r = 0.83, p < 0.01, Figure S7) with the daily averaged NO<sub>x</sub> which is a commonly used tracer of vehicular emissions in the urban areas (Zotter et al., 2017). Recent research on the source contributions of BC emissions has shown that most of BC associated with transportation was emitted by on-road diesel vehicles in China (Xu et al., 2021). From these results, PC1 was identified as diesel vehicular emissions. The MAC of this factor (MAC (880)<sub>diesel</sub>) was 6.7 m<sup>2</sup> g<sup>-1</sup>. The estimated AAE of this factor (AAE<sub>diesel</sub>) was 1.07 (Figure S8), which is comparable with the AAE values of vehicle emissions (0.8~1.1) reported in previous studies (Zotter et al., 2017; Kirchstetter et al., 2004).

The second factor (PC2) was characterized by the high loadings of K<sup>+</sup> (51%), Cl (79%), and Br (52%) and moderate amounts of EC (26%), POC (28%), and Pb (30%). Of these, K<sup>+</sup> is a widely recognized tracers for the biomass burning emissions (Urban et al., 2012; Zhang et al., 2015), and high loadings of Cl also can be taken as a signal of biomass burning (Yao et al., 2002; Manousakas et al., 2017). Previous studies showed that a large quantity of Br was found in biomass burning aerosols was caused by emissions of CH<sub>3</sub>Br emission during combustion (Manö and Andreae, 1994; Artaxo et al.,1998). Particulate matter emitted from biomass burning typically has substantial amounts of OC and EC (Song et al, 2006), and Pb also has been observed in biomass-burning aerosols (Amato et al., 2016). Thus, PC2 was identified as emissions from biomass burning. The contribution of this factor to primary  $b_{abs}$ (370) was as high as 50%, but only 33% to primary  $b_{abs}$ (880), and that was likely caused by the brown carbon which is a typically found in biomass-burning aerosols (Washenfelder et al., 2015; Yan et al., 2015). The MAC of this factor (MAC (880)<sub>biomass</sub>) was 9.5 m<sup>2</sup> g<sup>-1</sup>. The AAE of this factor (AAE<sub>biomass</sub>) was 2.13 (Figure S8), which is consistent with the wide range of AAEs reported for biomass-burning (1.2~3.5) (Sandradewi et al., 2008; Helin et al., 2018). Total at al., 2017)

319 2018; Zotter et al., 2017).

The third factor (PC3) had significant loadings of S (64%), Se (98%), As (51%), and Pb (53%) and moderate loadings of Ga (42%)—all of these elements are commonly associated with coal combustion (Hsu et al., 2016; Tan et al., 2017). For instance, coal combustion has gradually become the main source of Pb in PM<sub>2.5</sub> after China began to phase out Pb-containing gasoline (Xu et al. 2012). Thus, PC3 was assigned to coal combustion. The MAC of this factor (MAC (880)<sub>coal</sub>) was 7.5 m<sup>2</sup> g<sup>-1</sup>. This factor contributed 17%–19% primary  $b_{abs}(\lambda)$ , and its derived AAE<sub>coal</sub> was 1.74 (Figure S8) which is close to the AAE found for coal-chunks (Sun et al., 2017).

The last factor (PC4) was most heavily loaded with Al (68%), Si (76%), Ca (65%), Fe (51%), and Sr (71%). These elements are typical crustal elements, and they are abundant in mineral dust (Tao et al., 2016; Tao et al., 2017). Minor amounts of EC in crustal dust could be from other EC that had deposited on the ground and later resuspended together with the dust by natural or artificial disturbances (e.g., wind and traffic flow). This factor only contributed ~4% of the primary  $b_{abs}(\lambda)$ . The estimated AAE<sub>dust</sub> was 1.78 (Figure S8) which is close to the AAE of mineral dust reported in previous studies (AAE<sub>370~950</sub> = 1.82, Yang et al., 2009).

As elaborated above, the PM<sub>2.5</sub> EC over Baoji was mainly from diesel vehicular emissions, biomass burning, and coal combustion. The emissions can be further grouped into those from biomass burning and fossil fuel combustion (the sum of diesel vehicular emissions and coal combustion). Thus, the AAE<sub>fossil</sub> (1.26) and MAC (880)<sub>fossil</sub> (7.1 m<sup>2</sup> g<sup>-1</sup>) were calculated

- was the mass-weighted averages (relative to the total EC) of AAE<sub>coal</sub> (MAC (880)<sub>coal</sub>) and AAE<sub>diesel</sub> (MAC (880)<sub>diesel</sub>) (Table
- 336 S4). The hourly mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were then calculated using the 'aethalometer model' (Eqs. 5–
- 337 10). The results showed that  $eBC_{fossil}$  and  $eBC_{biomass}$  were only weakly correlated (r = 0.3, Figure S9), indicating a reasonably
- good separation, and furthermore, their diel variations showed different patterns (Figure 2).
- The mean values of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 2.46 μg m<sup>-3</sup> and 1.17 μg m<sup>-3</sup>, respectively. The averaged total eBC mass
- concentration (± standard deviation) was 3.63±2.73μg m<sup>-3</sup>, and the eBC ranged from varying from 0.39 to 12.73 μg m<sup>-3</sup> during
- the study period, The averaged mass concentration was comparable to that in Lanzhou, another river valley city in China, that
- was sampled in the same season ( $5.1 \pm 2.1$ , Zhao et al.,2019). The lowest value is comparable to other river valley regions such
- as in Retje in India (Glojek et al., 2022) or in Urumqi River Valley in China (Zhang et al., 2020), however even the highest
- 344 concentration was much lower than that in other urban regions (Table S5).
- 345 The diel variations of eBC<sub>fossil</sub> (Figure 2a) showed a bimodal pattern with two peaks at 9 a.m. and 7 p.m local time. which are
- 346 typical peak commuting hours, indicating that there were strong influences from traffic emissions. Due to the reduced traffic
- flow from 1 a.m. to 5 a.m., eBC<sub>fossil</sub> decreased slowly. After 5 a.m. passenger vehicles were allowed on the highways in and
- near Baoji, and eBC<sub>fossil</sub> started to rise, probably in response to the increased traffic emissions. As the morning commuter traffic
- increased, eBC<sub>fossil</sub> reached its first peak at 9 a.m. From then until 11 a.m., eBC<sub>fossil</sub> declined only slightly because the wind
- 350 speeds decreased (Figure 2c), which offset the effects of the decreases in traffic. From 11 a.m. to 3 p.m., the increases in the
- height of the planetary boundary layer (PBLH) (Figure 2d) led to a rapid decrease in eBC<sub>fossil</sub>. Later the PBLH decreased rapidly,
- resulting in conditions unfavorable for dispersion, and then eBC<sub>fossil</sub> rose quickly to the second peak at 7 p.m. After passing the
- evening peak in traffic, the eBC<sub>fossil</sub> decreased dramatically.
- 354 In contrast, the diel variation of eBC<sub>biomass</sub> (Figure 2b) showed greater influences from meteorological conditions during the
- daytime, and eBC<sub>biomass</sub> showed lower concentrations during the day compared with the night. After 6 p.m., increased biomass
- burning from cooking and residential heating let to the emission of more eBC<sub>biomass</sub> and the stable PBLH hindered the dispersion
- of eBC<sub>biomass</sub>; these two factors caused the eBC<sub>biomass</sub> to reach its peak at 8 p.m. At night, the downslope winds from the
- mountains converged in the valley at night time (Oke et al., 2002) and turned easterly, where the land altitude is lower than at
- 359 Baoji (Zhao et al., 2015). This led to t relatively strong winds (Figure 2c) favored dispersion and caused the measured eBC<sub>biomass</sub>
- pollutant levels to decrease.

#### 3.2 The influence of regional and local atmospheric motion on eBC<sub>fossil</sub> and eBC<sub>biomass</sub>

- 362 The K-means results showed that the four-category solution was appropriate for interpretation as explained above (see also
- Figure S10). Thus a 2×2 map size was used for the self-organizing map (SOM). The four featured atmospheric motion
- 364 categories given by SOM (Figure S11) were identified as follows (feature values are in Table 1):
- 365 1. Local-scale dominance (LD): This category featured high  $R_{bj}$  and  $R_{std}$ . As described in section 2.5, high  $R_{std}$  indicates
- greater divergence of R at the 12 stations due to the strong influence of local-scale turbulence and convection.  $L_{bj}$  and  $S_{bj}$
- were shorter than 130 km implying stagnation (Allwine and Whiteman, 1994).

- 2. Local-scale strong and regional-scale weak (LSRW): For this group,  $L_{bj}$  and  $S_{bj}$  were longer than those for LD, and  $R_{std}$  was slightly lower than that in LD.
- 3. Local-scale weak and regional-scale strong (LWRS): As the values suggest, both  $R_{bj}$  and  $R_{std}$  were lower than those in LD and LSRW, especially  $R_{bj}$ . This suggests the winds veered less frequently and the differences of R found in 12 stations were smaller than in the two situations above. This situation shows that the influence of the regional-scale motion was greater than that for the previous two categories.
- 4. Regional-scale dominance (RD): In this category, wind direction at the study site was nearly uniform (extremely low  $R_{bj}$ ) suggesting good ventilation (Allwine and Whiteman, 1994). The differences among R found at the 12 stations were even smaller than for the LWRS group, implying a strong increased influence of regional-scale motions. Indeed, the influence of regional-scale motions far outweighed the local ones for this category, and therefore, this group was considered to be dominated by strong regional-scale motions.
- 379 As shown in Table 1, the SOM classified 40% of cases were classified as LD, 29% were classified into RD, 17% and 14% 380 were assigned into LSRW and LWRS respectively. These results indicate that most winter days in Baoji were strongly 381 influenced by local-scale motions. Under LD, the average mass concentration of eBC<sub>fossil</sub> ( $3.08 \pm 2.07 \,\mu g \, m^{-3}$ ) and eBC<sub>biomass</sub> 382  $(1.52 \pm 1.19 \,\mu g \, m^{-3})$  were the highest among all four atmospheric categories noted above and over half (60% for eBC<sub>biomass</sub> and 383 55% for eBC<sub>fossil</sub>) of the high values (75<sup>th</sup> to 100<sup>th</sup> percentile) were found in this category (Figure 3). In addition, as shown in 384 Figure 3, the vast majority of the high values are located in the zone indicating air stagnation ( $S_{bi} \le 130 \text{km}$ , shaded yellow). 385 One difference that the 75<sup>th</sup> to  $100^{th}$  percentile eBC<sub>biomass</sub> tended to cluster at  $R_{bi} \le 0.2$  indicates that under LD circumstances, 386 pollutants were likely coming from the same directions as where the main pollution sources were agglomerated, but eBCfossil, 387 in contrast, evidently originated from more scattered locations ( $R_{bi} \ge 0.4$ ). Under LSRW, the averaged mass concentrations of 388 eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were  $2.79 \pm 1.73 \,\mu g \, m^{-3}$  and  $1.06 \pm 0.83 \,\mu g \, m^{-3}$  respectively (Table 1), which were both lower than those 389 for the LD situation. When the regional scale of motion became stronger (i.e., LWRS and RD), the average mass concentration 390 of eBC<sub>fossil</sub> (2.15  $\pm$  1.62  $\mu$ g m<sup>-3</sup> and 1.69  $\pm$  1.36  $\mu$ g m<sup>-3</sup>) and eBC<sub>biomass</sub> (0.86  $\pm$  1.58  $\mu$ g m<sup>-3</sup> and 0.93  $\pm$  0.72  $\mu$ g m<sup>-3</sup>) were lower, presumably because strong winds cause the pollutants to mix with cleaner air. Interestingly, 19% of the total 75th to 100th 391 392 percentile eBC<sub>biomass</sub> was found under RD, and 55% of that was when ventilation was good ( $S_{bj} \ge 250 \text{km}$ ,  $R_{bj} \le 0.2$ , Figure 3, 393 shaded grey). These findings imply that the high mass concentrations of eBCbiomass were carried by regional-scale airflow to 394 the site.
- 395 Figure 4 portrays the mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> during the daytime and night time respectively under the 396 four atmospheric motion categories specified earlier. As shown in Figure 4 (a) and (c), the mean values of both types of source-397 specific eBCs during daytime were the highest  $(3.02 \pm 2.12 \,\mu g \, m^{-3})$  and  $1.15 \pm 0.8 \,\mu g \, m^{-3})$  under LD and the lowest  $(1.36 \pm 0.8 \,\mu g \, m^{-3})$ 398  $1.00 \ \mu g \ m^{-3}$  and  $0.58 \pm 0.53 \ \mu g \ m^{-3}$ ) under RD. Meanwhile, the average mass concentrations of both types of eBC decreased 399 when the influences of the regional scale of atmospheric motion getting were stronger. This suggests that eBC pollution was 400 apt to accumulated under the dominance of local-scale motions and dispersed under the dominance of regional-scale motions 401 during the daytime. Similar to the variations in the daytime, the mean values of eBC<sub>fossil</sub>  $(3.00 \pm 2.04 \, \mu g \, m^{-3})$  and eBC<sub>biomass</sub> 402  $(1.76 \pm 1.33 \,\mu g \, m^{-3})$  under LD were also the highest during the night. However, unlike eBC<sub>fossil</sub>, the mass concentrations of 403 eBC<sub>biomass</sub> did not decrease when the influence of regional-scale atmospheric motions was stronger (Figure S12). The mean

value of eBC  $_{biomass}$  under RD was the second highest (1.17  $\pm$  0.73  $\mu g$  m $^{\text{-}3}$ ). The nocturnal PBHL was higher than 100m (Figure

S13) for the RD group, and therefore, the high nocturnal eBC<sub>biomass</sub> may have been caused by the eBC<sub>biomass</sub> transported to the

site from upwind regions.

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#### 3.3 Impacts of air mass directions

408 Atmospheric motions can not only cause the dispersal of pollution but also bring polluted air to the site from distant sources.

Indeed, air mass movements can mean the difference between no pollution and severe pollution at a receptor site. To examine

the impacts caused by air masses from different directions, the hourly 24h-back trajectories were calculated at 100 m above the

ground using the Hybrid Single-Particle Lagrangian Integrated Trajectory model (Draxler and Hess, 1998, Text S2). Then the

trajectories were clustered by using an angle-based distance statistics method (Text S2) to show the general directional features.

This method determines the direction from which the air masses reach the site and has been widely used for air mass trajectory

clusters. A detailed method description can be found in Sirois and Bottenheim (1995). Three air-mass trajectory clusters were

identified (Figure S14), 45% of total trajectories associated with Cluster No.1, which originated from the north. Cluster No.2

accounted for 36% of the trajectories, and those were from the east direction while Cluster No.3 composed 19% of the total

trajectories and displayed origins from southwest.

Hourly trajectories were assigned into the four featured atmospheric motions. The varying concentrations of the source-specific

eBCs associated with different clusters indicate the divergent impacts of air mass direction on the pollution level at the sampling

site. As shown in Table 1, LD was mainly connected with the air masses from Cluster No.2 (52%) and Cluster No.1 (45%).

The average mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with Cluster No.1 were  $2.82 \pm 1.59 \,\mu g \, m^{-3}$  and  $1.34 \pm 1.00 \, m^{-3}$ 

1.07 μg m<sup>-3</sup>. In comparison, Cluster No.2 was associated with a higher mean eBC<sub>fossil</sub> ( $3.2 \pm 1.73$  μg m<sup>-3</sup>) and the highest mean

 $eBC_{biomass}$  (1.72 ± 1.29 µg m<sup>-3</sup>) of the three clusters. This could be attributed to more intensive emissions in the eastern parts of

424 Baoji because 75% of the total population of Baoji is located in this area

425 (http://tjj.baoji.gov.cn/art/2020/10/15/art 9233 1216737.html, accessed on 25 September 2021, in Chinese). Several highways

and railways are located in the south and southwest of Baoji, but the population is sparse with only ~4% of the total population

residing in those areas. Thus, Cluster No.3 was associated with the highest mean eBC<sub>fossil</sub> concentration  $(3.64 \pm 0.67 \ \mu g \ m^{-3})$ 

but the lowest mean eBC<sub>biomass</sub>  $(0.67 \pm 0.87 \,\mu g \, m^{-3})$ . It is important to point out, however, that only 3% of the total trajectories

429 came from this cluster.

430 Under LSRW, 56% of the trajectories were from Cluster No.1, 33% from Cluster No.2, and 11% from Cluster No.3. Although

the total averaged mass concentrations (Table 1) of two types of eBC generally showed that the regional-scale motions favored

dissipation of eBC compared with LD, the eBC<sub>fossil</sub>  $(3.43 \pm 1.17 \,\mu g \, m^{-3})$  associated with Cluster No.2 and eBC<sub>biomass</sub> associated

with Cluster No.3.  $(1 \pm 0.64 \,\mu \text{g m}^{-3})$  were higher by 0.23  $\mu \text{g m}^{-3}$  and 0.33 $\mu \text{g m}^{-3}$  respectively relative to the LD case. The rise

of eBC<sub>fossil</sub> associated with Cluster No.2 was possibly caused by the enhanced regional influence of pollutants brought from

adjacent regions. According to previous studies (Wang et al., 2016; Xu et al., 2016), severe BC pollution in winter is caused

by fossil fuel combustion in Xi'an which is to the east of Baoji. Studies also have reported that high EC emitted from biomass

burning was found to have originated from Sichuan Province (Wu et al., 2020; Cai et al., 2018; Huang et al., 2020) which is to

the southwest of Baoji. Combined with the phenomenon that the mass concentration of eBC<sub>biomass</sub> associated with Cluster No.3

- rose with regional scales of motion, it is reasonable to conclude that the increase of eBC<sub>biomass</sub> associated with Cluster No.3 was
- likely influenced by pollution transport from the southwest.
- 441 Under LWRS, 42% of the trajectories were from Cluster No.1., 36% from Cluster No.3, and 22% from Cluster No.2. With
- 442 stronger regional scales of motion, the mean values of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with all clusters were lower than those
- under LD, except for eBC<sub>biomass</sub> associated with Cluster 3 which increased by 0.52 μg m<sup>-3</sup>. As mentioned before, this increase
- could have been caused by regional transport.
- In the last category (RD), 41% of the trajectories were from Cluster No.1., 39% from Cluster No.3, and 20% from Cluster No.2.
- Similar to the results for LWRS, the average mass concentration of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with Cluster No.1 were
- only 35% and 48% of the respective values for LD. The average mass concentrations of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> associated with
- 448 Cluster No.2 were 32% and 51% of the eBC<sub>fossil</sub> and eBC<sub>biomass</sub> under LD. As for Cluster No.3, the average mass concentration
- of eBC<sub>fossil</sub> associated with this cluster was also the lowest of all clusters. However, interestingly, the mean value of eBC<sub>biomass</sub>
- associated with Cluster No.3 was highest compared with other categories of Cluster No.3. Under strong influences of a regional
- scale of motions, the value of eBC<sub>biomass</sub> was 1.9 times as high as that under LD.

#### 3.4 Radiative effects

- Figure 5a shows the DREs at top of the atmosphere (DRE<sub>eBC, TOA</sub>), surface (DRE<sub>eBC, SUF</sub>), and the whole atmosphere (DRE<sub>eBC, TOA</sub>)
- 454 ATM) of eBC<sub>fossil</sub> and eBC<sub>biomass</sub>. The DRE<sub>eBC, TOA</sub> and DRE<sub>eBC, SUF</sub> of eBC were 13 W m<sup>-2</sup> and -22.9 W m<sup>-2</sup>, which were lower
- 455 than that reported in Lanzhou (21.8 W m<sup>-2</sup> and -47.5 W m<sup>-2</sup> for DRE<sub>eBC, TOA</sub> and DRE<sub>eBC, SUF</sub>) which is another a river valley
- city in China (Zhao et al., 2019). This could be due to fact that the eBC mass concentration in Baoji was lower than in Lanzhou
- 457 (Table S5). As for the DRE<sub>eBC, TOA</sub> and DRE<sub>eBC, SUF</sub> per an unit mass of BC, the results of the two studies were comparable. The
- $DRE_{eBC, TOA} \ of \ eBC_{fossil} \ (DRE_{eBCfossil, TOA}) \ and \ eBC_{biomass} \ (DRE_{eBCbiomass, TOA}) \ were \ 9.4 \pm 7.5 \ W \ m^{-2} \ and \ 3.6 \pm 3.4 \ W \ m^{-2} \ indicating$
- a warming effect at the top of the atmosphere. The  $DRE_{eBC, SUF}$  of  $eBC_{fossil}$  ( $DRE_{eBCfossil, SUF}$ ) and  $eBC_{biomass}$  ( $DRE_{eBCbiomass, SUF}$ )
- 460 were  $-16.5 \pm 13.5 \text{ W m}^{-2}$  and  $-6.4 \pm 6.2 \text{ W m}^{-2}$  showing a cooling effect at the surface. The DRE<sub>eBC, ATM</sub> of eBC<sub>fossil</sub> (DRE<sub>eBCfossil</sub>)
- 461 ATM) and eBC<sub>biomass</sub> (DRE<sub>eBCbiomass</sub>, ATM) were  $25.9 \pm 20.8 \text{ W m}^{-2}$  and  $10 \pm 9.5 \text{ W m}^{-2}$  in the atmosphere, indicating a heating
- 462 effect.

- 463 Figure 5 also shows the DRE<sub>eBC, ATM</sub> of the source-specific eBC for different atmospheric motions. In general, the changes of
- DRE<sub>eBC, ATM</sub> are in accordance with those of the eBC mass concentrations. The DRE<sub>eBCfossil, ATM</sub> under LD was the largest with
- a mean value of  $30.4 \pm 23$  W m<sup>-2</sup>, followed by LSRW ( $28.7 \pm 20.7$  W m<sup>-2</sup>). As the mass concentration of eBC<sub>fossil</sub> was low
- when regional scales of motion were stronger, the DRE<sub>eBC, ATM</sub> under LWRS and RD were also lower compared with those
- under LD or LSRW. By contrast, the DRE<sub>eBC, ATM</sub> of eBC<sub>biomass</sub> under LSRW was the highest  $(11.5 \pm 11.8 \text{ W m}^{-2})$ , but it is only
- 468 0.3 W m<sup>-2</sup> higher than that under LD. When the regional scale of motions became stronger, the DRE<sub>eBCbiomass, ATM</sub> declined as
- expected due to the lower eBC<sub>biomass</sub> mass concentrations (Figure 4c). The DRE<sub>eBC, ATM</sub> of eBC<sub>biomass</sub> under LWRS and RD were
- 470  $8.6 \pm 8.5 \text{ W m}^{-2} \text{ and } 7.9 \pm 7.4 \text{ W m}^{-2} \text{ respectively.}$
- 471 Although DRE<sub>eBC, ATM</sub> declined with increased influences from the regional scale of motion, the DRE<sub>eBC, ATM</sub> efficiency
- 472 (DRE<sub>eBC, ATM</sub> per mass concentration) was found to increase with greater regional-scale motion. Furthermore, the DRE
- efficiencies of both types of eBC under LD and LSRW were comparable, around 10 W m<sup>-2</sup> (Table 2). In contrast, the efficiencies

varied more when the regional-scale motions were stronger. Under LWRS, the efficiencies of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 13.5  $\pm$  6.7 and 14.7  $\pm$  8.1 (W m<sup>-2</sup>)/( $\mu$ g m<sup>-3</sup>) respectively. Under RD, the efficiencies were even higher, 15.6  $\pm$  8.9 (W m<sup>-2</sup>)/( $\mu$ g m<sup>-3</sup>) for eBC<sub>biomass</sub>, which are > 1.5 times those recorded under LD. The higher eBC efficiencies may have been caused by the increases in the BC MAC during the regional transport. Studies have confirmed that the aging processes in the atmosphere can enhance the light-absorbing ability of BC (Chen et al., 2017; Shen et al., 2014), and regional transport can provide sufficient time for BC aging (Shiraiwa, et al. 2007; Cho et al., 2021). Therefore, the nonlinear change between mass concentration and DRE efficiency was very likely caused by the strong regional-scale motions that dispersed fresh BC from local emissions but also brought aged BC to the area from the upwind regions. As a result, under these conditions, the transported BC reached a receptor site with a higher light-absorbing ability which led to a higher DRE efficiency of BC at the sampling site. This strongly implies regionally transported BC can greatly perturb climate, particularly at the river-valley city in our study where dispersion was weak (Zhao et al., 2015; Wang et al., 2013).

## 4 Conclusions

- This study derived site-specific AAEs using a PMF model for which chemical and optical data collected from a river-valley city during winter were used as the inputs. Based on the calculated AAEs, source-specific eBCs (i.e., eBC<sub>fossil</sub> and eBC<sub>biomass</sub>) were then apportioned using an aethalometer model. Finally, the impacts of different scales of atmospheric motions on the mass concentrations of the source-specific eBCs and the induced DREs were investigated. Four sources of eBC were identified: which are diesel vehicular emissions, biomass burning, coal combustion, and mineral dust. The derived AAEs were 1.07 for diesel vehicular emissions, 2.13 for biomass burning, 1.74 for coal combustion, and 1.78 for mineral dust. The mean values of eBC<sub>fossil</sub> and eBC<sub>biomass</sub> were 2.46 μg m<sup>-3</sup> and 1.17 μg m<sup>-3</sup>, respectively.
- The self-organizing map indicated that there were four types of atmospheric motions during the sampling period that affected the mass concentrations of source-specific eBCs. Of these, the local-scale motions were the main influence on most winter days. The eBC<sub>fossil</sub> and eBC<sub>biomass</sub> under those identified atmospheric motions showed that over half of the  $75^{th}$  to  $100^{th}$  percentile values for the entire data set were found in LD group (60% for eBCbiomass and 55% for eBCfossil). This illustrates that the BC pollution was more severe under the influences of local-scale motion outweighed regional-scale motions. However, even though regional-scale motions were associated with lower eBCs, 19% of the high values of eBCbiomass values occurred under RD, especially when there was good ventilation. Furthermore, the air masses from different directions also had impacts on the source-specific eBCs that varied relative to the different atmospheric motions. eBC<sub>fossil</sub> most likely accumulated under the influence of strong local-scale motions, but eBCbiomass also was found to be increased with the enhanced regional scale of motions when the air masses from the southwest; this indicates that there were impacts from regional transport.
  - Similar to the mass concentrations, the DREs of the two types of eBC were both lower when the regional scale of motions were greater than the local ones. However, the changes in mass concentrations and DREs were not proportionate because the regional-scale of motions carried the fresh BC away from the local site but brought the aged BCs to the site from the upwind regions. As a result, the DRE efficiency of eBC was ~1.5 times higher when the regional scale of motion was stronger. This study showed that different scales of air motions affected the mass concentrations of source-specific eBCs and their DRE efficiencies. More specifically our study highlights importance of regional transport for the BC radiative forcing and shows

- 509 how the enhancement of BC radiative effects caused by aging during regional transport could have especially significant
- 510 implications for sites in river valleys. The relationships between BC and atmospheric scales of motion should be evaluated for
- 511 other environments besides river valley cities because quantitative information on the relative importance of locally emitted
- 512 versus regionally transported materials will be useful for developing pollution controls and for predicting future changes in
- 513 climate.
- 514 Data availability. The data are available from the authors upon request.
- 515 Supplement. The supplement related to this article is available online.
- 516 Author contributions. QW and JC designed the study. BZ and SL conducted the field measurements. YQ and JT conducted
- 517 data analysis. SL and TZ performed the chemical analysis of filters. HL draft the article and QW revised it. JC and YH
- 518 commented on the paper.
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| Motion category  | Local scale dominance (LD) (40%)  |                                     |                               |                                       | Local scale strong and regional scale weak (LSRW) (17%)                                    |                                      |                                      |                                       |
|--|---|-------------------------------------|-------------------------------|---------------------------------------|--|--------------------------------------|--------------------------------------|---------------------------------------|
|  | $L_{bj} = 70.9 \text{ km}, S_{bj} = 107.8 \text{ km},$<br>$R_{bj} = 0.35, R_{std} = 0.25$ |                                     |                               |                                       | $L_{bj} = 106.9 \text{ km}, S_{bj} = 164.8 \text{ km},$<br>$R_{bj} = 0.33, R_{std} = 0.23$ |                                      |                                      |                                       |
|  | Cluster 1   | Cluster 2                           | Cluster 3                     | Total average                         | Cluster 1  | Cluster 2                            | Cluster 3                            | Total<br>average                      |
| Trajectory percentage (%) eBC <sub>fossil</sub> (μg m <sup>-3</sup> ) eBC <sub>biomass</sub> (μg m- <sup>3</sup> ) | $45$ $2.82^{a} \pm 1.59^{b}$ $1.34 \pm 1.07$  | $52$ $3.2 \pm 1.73$ $1.72 \pm 1.29$ | $33.64 \pm 0.670.67 \pm 0.87$ | $100$ $3.08 \pm 2.07$ $1.52 \pm 1.19$ | $56$ $2.42 \pm 1.00$ $1.0 \pm 0.85$  | $33$ $3.43 \pm 1.17$ $1.17 \pm 0.84$ | $11$ $2.89 \pm 1.00$ $1.00 \pm 0.64$ | $100$ $2.79 \pm 1.73$ $1.06 \pm 0.83$ |

 $L_{bj}$ —resultant transport distance,  $S_{bj}$ —actual wind run distance at 100 m,  $R_{bj}$  —recirculation factor at 100 m,  $R_{std}$ —standard deviation for recirculation factor. a and b: Mean  $\pm$  Standard deviation.

## Table 1 (continued)

| Motion category                             | Local scale weak and regional scale strong (LWRS) (14%)                                  |                 |                 |                 | Regional scale dominance (RD) (29%)  |                 |                 |                 |
|---|--|-----------------|-----------------|-----------------|--|-----------------|-----------------|-----------------|
|   | $L_{bj} = 159 \text{ km}, S_{bj} = 183.4 \text{ km},$<br>$R_{bj} = 0.13, R_{std} = 0.20$ |                 |                 |                 | $L_{bj} = 235.6 \text{ km}, S_{bj} = 246.4 \text{ km},$<br>$R_{bj} = 0.05, R_{std} = 0.18$ |                 |                 |                 |
|   | Cluster 1  | Cluster 2       | Cluster 3       | Total average   | Cluster 1  | Cluster 2       | Cluster 3       | Total average   |
| Trajectory percentage (%)                   | 42   | 22              | 36              | 100             | 41   | 20              | 39              | 100             |
| eBC <sub>fossil</sub> (μg m <sup>-3</sup> ) | $1.32^{a} \pm 0.67^{b}$  | $2.02 \pm 0.73$ | $3.16\pm1.19$   | $2.15\pm1.62$   | $1.00\pm0.64$  | $1.02\pm0.88$   | $2.75\pm1.26$   | $1.69 \pm 1.36$ |
| $eBC_{biomass}(\mu g m^{-3})$               | $0.67 \pm 0.49$  | $0.73 \pm 0.47$ | $1.19 \pm 0.60$ | $0.86 \pm 0.58$ | $0.64 \pm 0.63$  | $0.87 \pm 0.69$ | $1.26 \pm 0.68$ | $0.93 \pm 0.72$ |

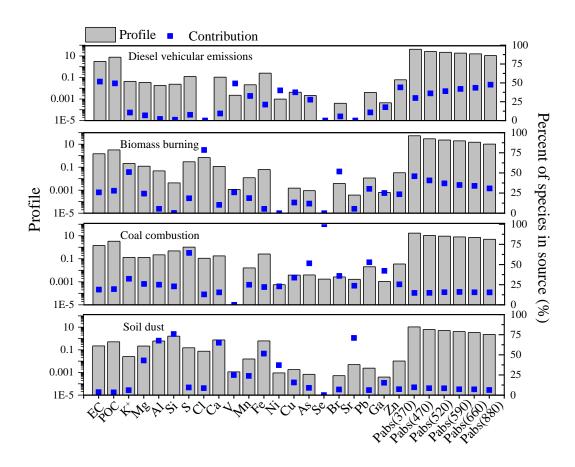
 $L_{bj}$ —resultant transport distance,  $S_{bj}$ —actual wind run distance at 100 m,  $R_{bj}$ —recirculation factor at 100 m,  $R_{std}$ —standard deviation for recirculation factor. a and b: Mean  $\pm$  Standard deviation.

**Table 2.** Direct radiative forcing efficiencies for equivalent black carbon (eBC) from fossil fuel combustion (eBC<sub>fossil</sub>) and the eBC from biomass burning (eBC<sub>biomass</sub>) under four atmospheric motion categories

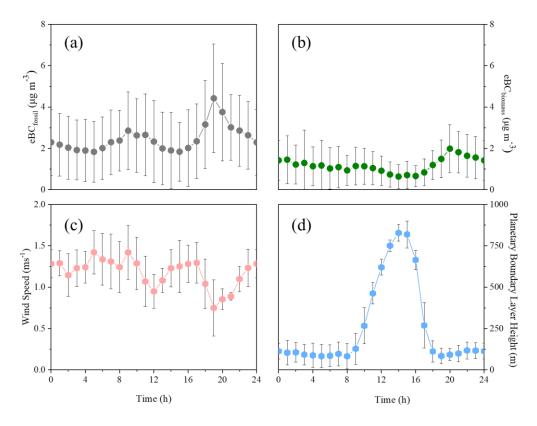
| Atmospheric motion category                       | DRE <sub>eBCfossil, ATM</sub> efficiency<br>((W m <sup>-2</sup> )/(μg m <sup>-3</sup> )) | DRE <sub>eBCbiomass, ATM</sub> efficiency<br>((W m <sup>-2</sup> )/(μg m <sup>-3</sup> )) |
|---|--|---|
| Local scale dominance (LD)                        | $10.2^a \pm 4.2^b$   | $10.3 \pm 4.4$  |
| Local scale strong and regional scale weak (LSRW) | $10.6 \pm 5.7$   | $10.2 \pm 5.8$  |
| Local scale weak and regional scale strong (LWRS) | $13.5 \pm 6.7$   | $14.7 \pm 8.1$  |
| Regional scale dominance (RD)                     | $15.6 \pm 8.9$   | $15.5 \pm 8.4$  |

a and b: Mean ± Standard deviation

- 814 Figure captions:
- 815 **Figure 1.** Four factors identified by source apportionment. Concentration (μg m<sup>-3</sup>) of the chemical species and primary
- absorption coefficients  $(p_{abs})$  ( $\lambda$ ) at six wavelengths ( $\lambda = 370, 470, 520, 590, 660, \text{ or } 880 \text{nm}$ ) (M m<sup>-1</sup>) for each source are shown
- in grey. The blue square represents the contribution of each chemical species to the four different factors.
- Figure 2. (a) Diel variations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC from biomass burning
- 819 (eBC<sub>biomass</sub>), (c) wind speed (m s<sup>-1</sup>) and (d) planetary boundary layer height (m). The black bars of each hourly-averaged point
- show the standard deviation.
- Figure 3. (a) The 75<sup>th</sup> 100<sup>th</sup> percentile mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC
- from biomass burning (eBC<sub>biomass</sub>) under local scale dominance (LD, red circle), local scale strong and regional scale weak
- 823 (LSRW, green circle), local scale weak regional scale strong (LWRS, purple circle) and regional scale dominance (RD, blue
- 824 circle). S<sub>bj</sub> is actual wind run distance at 100m height, R<sub>bj</sub> is the recirculation factor, the grey area indicates good ventilation
- 825  $(S_{bi} \ge 250 \text{km}, R_{bi} \le 0.2)$ , the yellow area indicates air stagnation  $(S_{bi} \le 130 \text{km})$ .
- 826 Figure 4. Mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and the eBC from biomass burning (eBC<sub>biomass</sub>)
- during daytime (a, c) and nighttime (b, d) under local scale dominance (LD); local scale strong and regional scale weak
- 828 (LSRW); local scale weak regional strong (LWRS); and regional scale dominance (RD).
- Figure 5. Direct radiative effect (DRE) of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) shaded in grey and the eBC from
- biomass burning (eBC<sub>biomass</sub>) shaded in yellow (a) in the top atmosphere (TOA), surface (SUF), and the atmosphere atmospheric
- column (ATM) and (b) the DRE<sub>eBC.ATM</sub> of two types of eBC under local scale dominance (LD) shaded in light grey labeled as
- LD, local scale strong and regional scale weak (LSRW) shaded in light blue labeled as LSRW, local scale weak regional scale
- 833 strong (LWRS) shaded in light grey labeled with LWRS and regional scale dominance (RD) shaded in light blue labeled as RD
- 834 (c) <u>DRE efficiencies of eBC<sub>biomass</sub></u> (shaded in yellow) and eBC<sub>fossil</sub> (shaded by grey) in TOA, SUF and ATM (d) <u>DRE efficiencies</u>
- 835 of eBC<sub>biomass</sub> and eBC<sub>fossil</sub> at ATM under LD (shaded in light grey labeled as LD), LSRW (shaded in light blue labeled as
- 836 LSRW), LWRS (shaded in light grey labeled as LWRS) and RD (shaded in light blue labeled with RD).
- 837 .



**Figure 1.** Four factors identified by source apportionment. Concentration ( $\mu g m^{-3}$ ) of the chemical species and primary absorption coefficients ( $p_{abs}$ ) ( $\lambda$ ) at six wavelengths ( $\lambda = 370, 470, 520, 590, 660, \text{ or } 880 \text{nm}$ ) (M m<sup>-1</sup>) for each source are shown in grey. The blue square represents the contribution of each chemical species to the four different factors.



**Figure 2.** (a) Diel variations of the eBC from fossil fuel combustion (eBC $_{fossil}$ ) and (b) the eBC from biomass burning (eBC $_{biomass}$ ), (c) wind speed (m s $^{-1}$ ) and (d) planetary boundary layer height (m). The black bars of each hourly-averaged point show the standard deviation.

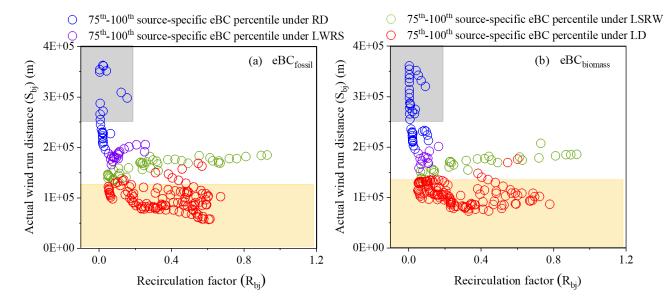
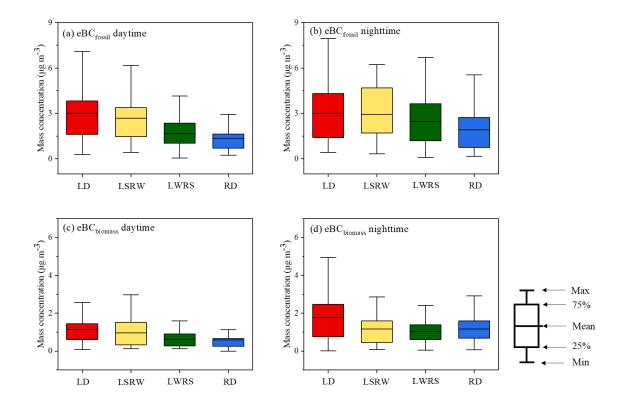
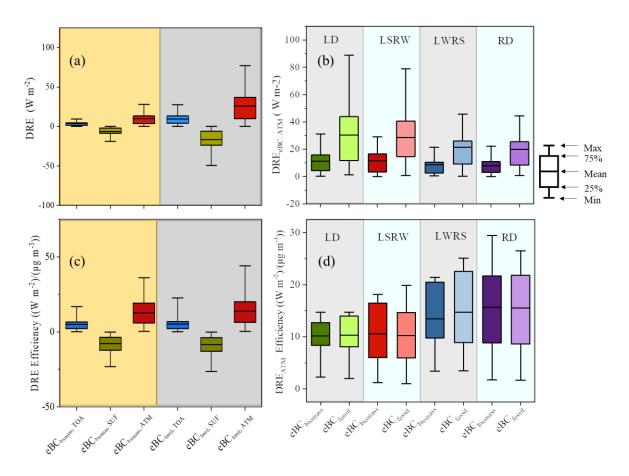


Figure 3. (a) The  $75^{th} - 100^{th}$  percentile mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and (b) the eBC from biomass burning (eBC<sub>biomass</sub>) under local scale dominance (LD, red circle), local scale strong and regional scale weak (LSRW, green circle), local scale weak regional scale strong (LWRS, purple circle) and regional scale dominance (RD, blue circle).  $S_{bj}$  is actual wind run distance at 100m height,  $R_{bj}$  is the recirculation factor, the grey area indicates good ventilation ( $S_{bj} \ge 250 \text{km}$ ,  $R_{bj} \le 0.2$ ), the yellow area indicates air stagnation ( $S_{bj} \le 130 \text{km}$ ).



**Figure 4.** Mass concentrations of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) and the eBC from biomass burning (eBC<sub>biomass</sub>) during daytime (a, c) and nighttime (b, d) under local scale dominance (LD); local scale strong and regional scale weak (LSRW); local scale weak regional strong (LWRS); and regional scale dominance (RD).



**Figure 5.** Direct radiative effect (DRE) of the eBC from fossil fuel combustion (eBC<sub>fossil</sub>) shaded in grey and the eBC from biomass burning (eBC<sub>biomass</sub>) shaded in yellow (a) in the top atmosphere (TOA), surface (SUF), and the atmosphere atmospheric column (ATM) and (b) the DRE<sub>eBC,ATM</sub> of two types of eBC under local scale dominance (LD) shaded in light grey labeled as LD, local scale strong and regional scale weak (LSRW) shaded in light blue labeled as LSRW, local scale weak regional scale strong (LWRS) shaded in light grey labeled with LWRS and regional scale dominance (RD) shaded in light blue labeled as RD (c) <u>DRE efficiencies of eBC<sub>biomass</sub></u> (shaded in yellow) and eBC<sub>fossil</sub> (shaded by grey) in TOA, SUF and ATM (d) <u>DRE efficiencies of eBC<sub>biomass</sub></u> and eBC<sub>fossil</sub> at ATM under LD (shaded in light grey labeled as LD), LSRW (shaded in light blue labeled as LSRW), LWRS (shaded in light grey labeled as LWRS) and RD (shaded in light blue labeled with RD).