

Bayesian assessment of chlorofluorocarbon (CFC), hydrochlorofluorocarbon (HCFC) and halon banks suggest large reservoirs still present in old equipment

Megan Lickley¹, John Daniel², Eric Fleming^{3,4}, Stefan Reimann⁵, Susan Solomon¹

1. Department of Earth, Atmospheric and Planetary Sciences, Massachusetts Institute of Technology, Cambridge, MA 02139, USA
2. NOAA Chemical Sciences Laboratory (CSL), Boulder, CO 80305-3328, USA
3. NASA Goddard Space Flight Center, Greenbelt, MD, USA
4. Science Systems and Applications, Inc., Lanham, MD, USA
5. Laboratory for Air Pollution/Environmental Technology, Empa, Swiss Federal Laboratories for Materials Science and Technologies, Duebendorf, Switzerland

Correspondence to: Megan Lickley (mlickley@mit.edu)

Abstract

Halocarbons contained in equipment such as air conditioners, fire extinguishers, and foams continue to be emitted after production has ceased. These ‘banks’ within equipment and applications are thus potential sources of future emissions, and must be carefully accounted for in order to differentiate nascent and potentially illegal production from legal banked emissions. Here, we build on a probabilistic Bayesian model, previously developed to quantify CFC-11, 12 and 113 banks and their emissions. We extend this model to a suite of banked chemicals regulated under the Montreal Protocol (HCFC-22, HCFC-141b, and HCFC-142b, halon 1211 and halon 1301, and CFC-114 and CFC-115) along with CFC-11, 12 and 113 in order to quantify a fuller range of ozone-depleting substance banks by chemical and equipment type. We show that if atmospheric lifetime and prior assumptions are accurate, banks are very likely larger than previous international assessments suggest, and that total production has been very likely higher than reported. We identify that banks of greatest climate-relevance, as determined by global warming potential weighting, are largely concentrated in CFC-11 foams and CFC-12 and HCFC-22 non-hermetic refrigeration. Halons, CFC-11, and 12 banks dominate the banks weighted by ozone depletion potential. Thus, we identify and quantify the uncertainties in substantial banks whose future emissions will contribute to future global warming and delay ozone hole recovery if left unrecovered.

1. Introduction

The Montreal Protocol regulates the production of ozone-depleting substances (ODPs), and its implementation has avoided a world with catastrophic stratospheric ozone depletion (Newman et al., 2009). Globally, there has been a near-cessation of chlorofluorocarbon (CFC) and halon production since 2010, and global production of the replacement hydrochlorofluorocarbons (HCFCs), are scheduled to be phased-out by 2030. Despite production phase-out, these chemicals persist in old equipment produced prior to phase-out, such as refrigeration, air conditioners, foams, and fire extinguishers. These reservoirs of materials (termed ‘banks’) continue to be sources of emissions (e.g., Carpenter and Daniel et al., 2018). Previously published estimates of bank sizes and bank emissions vary widely due to different estimation techniques that incorporate incomplete or imprecise information (Kuijpers & Verdonik et al., 2009; Montzka & Fraser et al., 2003). This uncertainty obscures ongoing emissions attribution and undermines international efforts to evaluate global compliance with the Montreal Protocol.

49 In earlier work, Lickley et al. (2020, 2021) developed a Bayesian probabilistic banks model for
50 CFCs that incorporates the widest range of constraints to date (Lickley et al., 2020, 2021). Here,
51 we extend this model to the suite of major chemicals regulated by the Protocol that are subject to
52 banking.

53 Previously published assessments typically rely on one of three modeling approaches to
54 estimate bank sizes and to then estimate emissions associated with these banks. In the “top-
55 down” approach (e.g. Montzka & Fraser et al., 2003), banks are estimated as the cumulative
56 difference between reported production and observationally-derived emissions. However, by
57 taking the cumulative sum of a small difference between two large values, small biases in
58 emissions or reported production estimates can propagate into large biases in bank estimates
59 (Velders & Daniel, 2014). Some type of bias is thus expected since total production has very
60 likely been greater than reported production both due to under-reporting of production (e.g.
61 Gamlen et al., 1986; Montzka et al., 2018) and due to the exclusion of point-of-production losses
62 in reported production values. Further, emissions estimates rely on observed concentrations
63 along with global lifetime estimates, which have large uncertainties associated with them (M. Ko
64 et al., 2013).

65 The second approach relies on a “bottom-up” accounting method (Ashford et al., 2004;
66 Campbell & Shende et al., 2005) where the inventory of sales by equipment type are carefully
67 tallied along with estimated release rates by application use. The bottom-up approach also relies
68 on sales data from surveys of various equipment types and products as well as estimates of their
69 respective leakage rates (Campbell & Shende et al., 2005). These are all subject to uncertainties,
70 which contribute to uncertainties in bottom-up bank estimates as well. A limitation of the
71 bottom-up method is that observed atmospheric concentrations are used only as a qualitative
72 check and are not explicitly accounted for in the analysis. Another important limitation is that
73 data used in the bottom-up accounting method are unobserved but rather rely on estimated
74 processes along with reported data, such as production or sales of equipment, thus any bias in
75 reporting could propagate into large biases in bank estimates.

76 The third approach, and the one used in more recent ozone assessments (WMO, 2011, 2014,
77 2018) uses a hybrid approach to calculate banks. Bottom-up banks estimated for 2008 are used
78 as the starting point of the calculations. These banks are taken from (Campbell & Shende et al.,
79 2005) and represent interpolated values from the 2002 and 2015 estimates. The banks are then
80 brought forward to the present time by adding the cumulative reported production and subtracting
81 the cumulative observationally-derived emission from 2008 through the present. This approach is
82 consistent with 2008 bottom-up bank estimates by design, however, as time between 2008 and
83 the present has grown, the cumulative errors associated with the top-down approach become
84 larger.

85 The modeling approach applied in the present study relies on Bayesian inference of banks
86 (Lickley et al., 2020, 2021) where banks are estimated using an approach called Bayesian
87 parameter estimation. In this approach a simulation model of the bottom-up method is
88 developed, where prior distributions of input parameters are constructed from previously
89 published values, accounting for large uncertainties in production and bank release rates. The
90 simulation model simultaneously models banks, emissions, and atmospheric concentrations.
91 Parameters in the simulation model are then conditioned (or updated) on observed concentrations
92 by applying Bayes’ theorem. The final result is a posterior distribution of banks by chemical and
93 equipment type, along with an updated estimate of production and release rates for each
94 equipment type. This approach incorporates data and assumptions from both the bottom-up and
95 top-down approaches, providing a simulation model consistent with the bottom-up accounting
96 approach while also being consistent with observed concentrations within their uncertainties.

97 The remainder of the paper includes the following: Section 2 presents the Bayesian modeling
98 approach along with data used in the analysis. Section 3 provides a summary of the results of
99 our analysis for each of the chemicals considered here. Finally, Section 4 provides a discussion
100 of our primary findings and limitations of the analysis.

101 102 **2. Methods**

103
104 The Bayesian modeling approach from Lickley et al. (2020, 2021) draws on a Bayesian analysis
105 approach called Bayesian melding, designed by Poole & Raftery (2000), that allows us to apply
106 inference to a deterministic simulation model. We employ a version of this method that we
107 henceforth refer to as Bayesian Parameter Estimation (BPE), which allows for input parameter
108 uncertainty (Bates et al., 2003; Hong et al., 2005). The model flow is implemented as follows;
109 first we develop a deterministic simulation model, representing the “bottom-up” accounting
110 method that simultaneously simulates banks, emissions, and mole fractions for each chemical
111 and equipment type. In this analysis, the chemicals considered include CFC-11, 12, 113, 114,
112 and 115, HCFC-22, 141b, and 142b, and halon 1201, and 1311. Prior distributions for each of
113 the input parameters are based on previously published estimates. We then specify the
114 likelihood function as a function of the difference between observed and simulated mole
115 fractions. Finally, we estimate posterior distributions of both the input and output parameters by
116 implementing Bayes’ Rule using a sampling procedure. Each of the steps of the BPE are
117 described in more detail below.

118 119 **2.1 Simulation Model**

120 The simulation model is comprised of equations (1) – (5) which simultaneously models banks,
121 emissions, and mole fractions for each chemical by equipment type for all years with available
122 data up until 2019. Starting dates differ by chemical, see the Supplement for details. The
123 simulation model is specified as follows;

$$124 125 B_{j,t+1} = (1 - RF_{j,t}) \times B_{j,t} + (1 - DE_{j,t}) \times P_{j,t} \quad (1)$$

126
127 where $B_{j,t}$, is banks and $P_{j,t}$ is production of equipment category, j , in year, t . $RF_{j,t}$ reflects the
128 fraction of the bank released and $DE_{j,t}$ reflects the fraction of production that is directly emitted
129 in equipment category, j , year, t . These same parameters are used to simulate emissions, $E_{j,t}$:

$$130 131 E_{j,t+1} = RF_{j,t} \times B_{j,t} + DE_{j,t} \times P_{j,t} \quad (2)$$

132
133 Total banks, $B_{\text{Total},t}$, and total emissions, $E_{\text{Total},t}$, are then estimated as the sum across all N
134 equipment categories;

$$135 136 B_{\text{Total},t} = \sum_{j=1}^N B_{j,t} \quad (3)$$

$$137 138 E_{\text{Total},t} = \sum_{j=1}^N E_{j,t} \quad (4)$$

139
140 For chemicals where feedstock usage is reported, an additional term in eq (4) is included that
141 accounts for feedstock emissions. Emissions, along with an assumed atmospheric lifetime, τ_t ,
142 taken as the SPARC (2013) multi-model time-varying mean are then used to simulate
143 atmospheric mole fractions, MF_t ;

144

$$145 \quad MF_{t+1} = \exp\left(\frac{-1}{\tau_t}\right) \times MF_t + A \times E_{\text{Total},t} \quad (5)$$

146

147 where A is a constant that converts units of emissions by mass to units of mole fractions, and
 148 also takes into account a fixed factor of 1.07 taken from Daniel et al. (2007) that accounts for the
 149 discrepancy between surface mole fraction concentrations and the global mean value.

150

151

152 **2.2 Prior Distributions**

153 The input parameters in the simulation model described above require initial values to be
 154 assigned, along with their probability distributions. These prior distributions ('priors') are
 155 developed to estimate mole fractions, emissions, and banks for CFC-11, 12, 113, 114, and 115,
 156 HCFC-22, 141b, and 142b, and halon 1201 and 1311. Categories of bank equipment are defined
 157 by the categorization provided by AFEAS (2001), which varies by compound (shown in Table
 158 1). For halons, there is a single category of bank (fire extinguishing agent).

159 AFEAS data reports global annual production up to 2001, categorized by equipment type,
 160 which is generally grouped as short, medium and long-term banks. We use AFEAS data and
 161 categorization to develop our production priors and adopt the WMO (2003) correction where
 162 AFEAS production values are used up until 1989 and then scaled to match UNEP global
 163 production values for all years following 1989. After AFEAS data ends, we assume the relative
 164 production in each category remains constant for all years following 2001. Uncertainty in
 165 production priors is assumed to follow a multivariate log-normal distribution, where temporal
 166 correlation in production reporting bias is estimated in the BPE. Prior distributions differ by
 167 chemical and are developed to be wide enough for atmospheric mole fraction priors to contain
 168 observations. See the Supplement for details on production priors for each chemical.

169 The emissions function by bank equipment type can be characterized by the fraction of
 170 production that is directly emitted during the year of production (DE) and the fraction of the
 171 bank that is emitted in each subsequent year. Prior estimates for emissions functions come from
 172 previously reported data and differ by chemical and equipment type (see the Supplement).
 173 Broadly speaking, it has been estimated that chemicals contained in short-term banks are fully
 174 emitted within the first two years after production, medium-term banks lose about 10 – 20% of
 175 their material each year, and long-term banks can lose as little as 2% of their material each year
 176 (Ashford et al., 2004). We use previously published estimates to develop emissions function
 177 priors specific to each chemical and bank type along with wide uncertainties, as specified in the
 178 Supplement.

179 Amounts of halocarbons used for feedstock production are available annually
 180 (UNEP/TEAP, 2021). A prior mean leakage rate of 2% was assumed during production, which
 181 reflects an approximate average of values across different facilities (MCTOC, 2019).

182

183 **Table 1:** Application type of halocarbon banks by chemical

Chemical	Short Bank	Medium Bank	Long Bank
CFC-11	Aerosols Open-cell foam	Non-hermetic refrigeration	Closed-cell foam
CFC-12	Aerosols Open-cell foam	Non-hermetic refrigeration	Refrigeration
CFC-113	solvents		Heat pump
CFC-114			Heat pump

CFC-115	Propellant		Air conditioning
HCFC-22	Open-cell foam	Non-hermetic refrigeration	Foam
HCFC-141b	Open-cell foam	Non-hermetic refrigeration	Foam
HCFC-142b		Non-hermetic refrigeration	Foam
Halon-1211		Fire extinguishing agent	
Halon-1301		Fire extinguishing agent	

184
185
186
187
188
189

2.3 Likelihood function

For each chemical, the likelihood function is a multivariate normal likelihood function of the difference between modeled and observed mole fractions;

$$P(D_{t1}, \dots, D_{tN} | \boldsymbol{\theta}) = \frac{1}{(2\pi)^{\frac{N}{2}} \sqrt{|S|}} \exp \left\{ -\frac{1}{2} \Delta^T S^{-1} \Delta \right\} \quad (6)$$

191

192 Where D_{t1}, \dots, D_{tN} is yearly globally-averaged observed mole fractions for all years where
193 observations are available and $\boldsymbol{\theta}$ represents that vector of input and output parameters from the
194 simulation model. Δ is an $N \times 1$ vector of the difference between yearly observed and modeled
195 mole fractions and is assumed to have a mean zero, and covariance function S . S therefore
196 represents the sum of uncertainties between observed and modeled mole fractions. While there
197 are published estimates of uncertainties in observed mole fractions, we do not know the
198 uncertainties in modeled mole fractions. Therefore, we estimate S separately for each chemical,
199 as is done in (Lickley et al., 2020). The off-diagonals in the covariance function incorporate a
200 correlation term, ρ_S , which accounts for our assumption that there is high autocorrelation in the
201 bias between modeled and observed mole fractions. Correlation terms for each chemical are
202 reported in the Supplement along with prior estimates of the uncertainty parameters used for
203 diagonal elements in S . Each column and row in S is therefore populated as;

204

$$S_{i,j} = \sigma_i \sigma_j \rho_S^{|i-j|}$$

206 where σ_i and σ_j represent the sum of the uncertainties in observed and modeled mole fractions at
207 time i and j , respectively, and are inferred in the BPE, whereas ρ_S is prescribed.

208

209 Observations come from the Advanced Global Atmospheric Gas Experiment (AGAGE;
210 <https://agage.mit.edu>) data set (Prinn et al., 2000; Prinn et al., 2018), with the exception of CFC-
211 11 and 12 which, following Lickley et al. (2021), come from the AGAGE and the National
212 Oceanographic and Atmospheric Administration's (NOAA) merged data sets (Engel et al.,
213 2019). Data are aggregated into annual global mean mole fractions. The time frame of
214 availability of observations differs by chemical (see the Supplement).

215

2.4 Posterior Distributions

217 Following Bayes' Rule, we specify our posterior distribution as;

218

$$P(\boldsymbol{\theta} | D_{t1}, \dots, D_{tN}) = \frac{P(\boldsymbol{\theta}) P(D_{t1}, \dots, D_{tN} | \boldsymbol{\theta})}{P(D_{t1}, \dots, D_{tN})} \quad (7)$$

220

221 Where $P(\boldsymbol{\theta})$ represents the joint prior distribution of the input and output parameters described
222 in the simulation model in Section 2.1.

223
224 The analytical form of the posterior distribution is intractable. Thus, we estimate the posterior
225 using a sampling procedure (the sampling importance resampling (SIR) method) to estimate the
226 marginal posterior distributions (Bates et al., 2003; Hong et al., 2005; Rubin, 1988). To
227 implement SIR we draw 1,000,000 samples from the priors, run the simulation model, and then
228 resample from the priors 100,000 times using an importance ratio, which is proportional to the
229 likelihood function. These sample sizes were chosen such that multiple iterations of the model
230 produce consistent results.

231 232 **3. Results**

233 Figure 1 shows observed globally averaged mole fractions compared to BPE estimated mole
234 fractions for each chemical. Figure 2 shows BPE estimated and observationally-derived
235 emissions, assuming the SPARC time-varying multi-model mean lifetime for each species.
236 Posterior estimates agree well with observations for the majority of time periods and chemicals.
237 Note, however, that BPE estimates from Lickley et al. (2021) match observed and
238 observationally-derived estimates more closely for CFC-11 than they do in the present analysis.
239 We attribute this difference in consistency to atmospheric lifetimes being assumed in the present
240 analysis, whereas they were inferred in Lickley et al. (2021), which found inferred lifetimes to be
241 somewhat shorter than the SPARC multi-model mean values. Shorter lifetimes would allow
242 modeled mole fractions to decline more quickly following 1990, better matching observations. A
243 notable discrepancy occurs for CFC-115, where modeled mole fractions are increasing
244 throughout the entire simulation period, whereas observed mole fractions from 2000 onwards are
245 relatively constant. This discrepancy could be explained by the large uncertainties in
246 atmospheric lifetimes of CFC-115 (Vollmer et al., 2018), if atmospheric lifetimes are in fact
247 substantially shorter than the SPARC multi-model mean.

248
249 Figure 3 provides a comparison of BPE bank estimates alongside previously published bank
250 estimates. BPE bank estimates are generally higher than other published values. This can be
251 explained by production uncertainties that are accounted for in the present analysis. Our analysis
252 suggests that production has very likely been underreported for nearly all chemicals. Table 2
253 provides a summary of our estimated bias in cumulative reported production throughout the
254 simulation period for each chemical type. With the exception of CFC-113 and CFC-115, we find
255 our inferred cumulative production to be significantly higher than reported production (at the 1-
256 sigma level), with our median estimate suggesting that production was as little as 9% higher than
257 reported for CFC-12 and as high as 50% higher than reported for Halon 1211. Note, however,
258 thugh uncertainties in lifetimes for Halon 1211 exist (Ko et al., 2013) and could explain part of
259 this discrepancy. We would expect any consistent bias in reported production to be a bias low,
260 since consistent undercounting of production is more plausible than overcounting production.
261 The exception for this would be the base year, which reduction targets are made with reference
262 to. In this instance, we would expect overreporting for this year to be more likely. Another
263 possible explanation for the discrepancy in production estimates is that total reported chemical
264 production under the UNEP does not account for leakage during chemical manufacturing, but
265 rather only leakage that occurs during the application of the chemical. To our knowledge, this
266 potential leakage during chemical manufacturing has not been well-documented or previously
267 quantified.

268
269

270 **Table 2:** Estimated bias in cumulative reported production. Values indicate the percent
 271 difference between inferred cumulative production from the onset of production to 2019 relative
 272 to reported production, for all uses except for feedstock production. Positive values indicate the
 273 percent by which inferred production is higher than reported.

Chemical Name	CFC-11	CFC-12	CFC-113	CFC-114	CFC-115
Median percentage inferred bias (16 th , 84 th percentile)	12% (9%, 13%)	9% (7%, 11%)	-1% (-3%, 0%)	11% (9%, 13%)	-1% (-2%, 5%)
Median absolute inferred bias (16 th , 84 th percentile) [Gg]	1146 (900, 1291)	1208 (976, 1439)	-37 (-76, -3)	58 (46, 70)	-2 (-4, 11)
Chemical Name	HCFC-22	HCFC-141b	HCFC-142b	Halon 1211	Halon 1301
Median percentage inferred bias (16 th , 84 th percentile)	10% (6%, 13%)	12% (6%, 19%)	22% (17%, 28%)	50% (41%, 59%)	24% (18%, 32%)
Median absolute inferred bias (16 th , 84 th percentile) [Gg]	1249 (828, 1712)	315 (153, 511)	220 (166, 281)	137 (114, 164)	36 (26, 49)

274
 275
 276 Figure 4 shows the breakdown of emissions by equipment type over time. For CFCs, emissions
 277 from short-term banks tend to peak around 1990, as spray applications were banned earlier than
 278 other applications, after which emissions from medium and long-term banks become more
 279 dominant emission sources. This is to be expected as the phase-out of production after 1990
 280 would lead to more CFC emissions from existing banks rather than new, short-lived equipment.
 281 For HCFC-22, most of the emission throughout the entire time period is from medium banks,
 282 which is largely non-hermetic refrigeration. Long banks (i.e. foams) dominate emissions for
 283 HCFC-141b and for HCFC-142b, where both foams and non-hermetic refrigeration are
 284 prominent emission sources throughout the simulation period. Estimated feedstock emissions
 285 averaged over 2010 – 2019 are shown in Table 3. HCFC-22 is the largest source of feedstock
 286 emissions by mass, but CFC-113 feedstock emissions are estimated to be larger when weighted
 287 by GWP100 and ODP.

288
 289 **Table 3:** Estimated feedstock emissions averaged from 2010 – 2019 from the Bayesian analysis.
 290 Emissions are weighted by mass, global warming potential (GWP100) relative to CO₂ over a
 291 100-year time horizon for a CO₂ concentration of 391ppm, and by ozone depletion potential
 292 (ODP) relative to CFC-11 (WMO, 2018).

Feedstock Emissions	CFC-113	HCFC-22	HCFC-142b
By mass	3.4 Gg/yr	9.3 Gg/yr	2.1 Gg/yr
By GWP100	20, 838 Gg/yr	16,591 Gg/yr	4,302Gg/yr
By ODP	2.8 Gg/yr	0.3 Gg/yr	0.1 Gg/yr

293
 294 Figure 5 shows the relative quantity of banked materials by chemical type. Banks are weighted
 295 by mass (Figure 5a), by global warming potential (GWP100; Figure 5b), and by ozone depleting
 296 potential (ODP; Figure 5c). Our best estimate is that the sum of the HCFCs currently comprise
 297 about 77% of banks by mass. However, in terms of climate impacts, CFC-11, 12 and HCFC-22
 298 are the largest banked materials weighted by GWP100, accounting for 36%, 14%, and 36% of

299 current banks, respectively. When banks are weighted by ODP, CFC-11 and 12 represent 46%
300 and halons also represent 46% of current banked chemicals.

301
302 Figure 6 shows the composition of banks by chemical type. This, together with Figure 5,
303 provides insight into the most prominent banked sources of halocarbons with regards to
304 GWP100 and ODP. In terms of GWP100, CFC-11 banks largely reside in foams, whereas CFC-
305 12 and HCFC-22 are largely in non-hermetic refrigeration; the latter may be more readily
306 recoverable. In terms of ODP, CFC-11 foams and CFC-12 non-hermetic refrigeration remain
307 important, along with halons which are all contained in fire extinguishers, a recoverable
308 reservoir.

309
310

311 **4. Discussion and Conclusions**

312 This analysis suggests that if lifetime assumptions are correct, published bank estimates using
313 either the top-down or bottom-up methods were likely underestimating bank sizes for all banked
314 chemicals due to underreporting of production (see Table 2). The Bayesian approach used in this
315 analysis does not assume production is known precisely, but rather jointly infers production
316 along with the other parameters in the simulation model, providing probabilistic estimates of
317 historical production values. Previously published bank estimates (Ashford et al., 2004; Kuijpers
318 & Verdonik, 2009; Montzka and Fraser et al., 2003) do not infer production, but rather assume it
319 is known, or consider different scenarios. We argue that production assumptions have been
320 biased low due to underreporting of total production and potentially unaccounted for leakage
321 during chemical manufacturing and thus have led to published bank estimates that were also
322 biased low.

323
324 Discrepancies between observed mole fractions and BPE-derived mole fractions are notable for
325 the suite of chemicals considered here. While the majority fall within the 90% confidence
326 interval throughout most of the time periods, the trends in concentrations between observations
327 and inferred mole fractions do not always agree. This discrepancy could be related to our
328 partitioning of production type following 2003 (i.e. after AFEAS data ends). Another important
329 limitation in this analysis is in the treatment of atmospheric lifetimes, which could also explain
330 some of these discrepancies. The present analysis assumes atmospheric lifetimes are known and
331 equal to the SPARC (2013) time varying multi-model mean lifetimes. However, previous work
332 has indicated potential biases in SPARC lifetimes, for example for CFCs (Lickley et al., 2021).
333 The potential bias in atmospheric lifetimes would result in biased bank estimates in the present
334 manuscript and requires further analysis.

335
336 This modeling approach makes no assumptions about end-of-life emissions. Certain bank
337 estimates assume that applications are dismantled at the end of their lifetime, which would both
338 contribute to decreased banks and increased emissions at fixed years after production (e.g.
339 (UNEP/TEAP, 2019). We do not make this assumption as we believe it would be more realistic
340 for dismantling of equipment to occur over a range of years after production, which would
341 effectively be captured by our bank release fraction estimate. We do, however, test the
342 sensitivity of our bank estimate to end-of-life (EOL) emissions occurring in a single year after
343 production. This we term the EOL scenario and test the sensitivity of banks for CFC-11, CFC-
344 12 and HCFC-22, the three largest banks by global warming potential. The modeling approach
345 is described in the SM and results are shown in Figure SM1. Perhaps unexpectedly, CFC-11
346 posterior bank estimates are ~25% higher in 2020 in the EOL scenario relative to the scenario

347 described in the main text. However, banks in the EOL scenario are decreasing faster than those
348 described in the main text. The larger bank size is due to posterior bank release fractions being ~
349 2% for the EOL scenario relative to 3% for the scenario described in the main text. The faster
350 depletion of the banks in 2020 can be explained by the addition of the EOL decommissioning
351 parameter. These larger bank estimates reflect the consistency of the Bayesian modeling
352 approach where all parameters are jointly inferred. Including an additional process in the model
353 requires that multiple parameters be updated to be consistent with observations. For CFC-12, the
354 EOL scenario produces significantly smaller banks from about 1990 onwards, however, the
355 emissions profile has an artificial dip in emissions relative to observationally-derived emissions,
356 suggesting a set year for decommissioning is not a realistic modeling assumption. For HCFC-22
357 banks are not substantially different between the two scenarios.

358
359 There are important discrepancies between CFC-113 feedstock emissions inferred here and those
360 estimated in the previous analysis (Lickley et al., 2020). In Lickley et al. (2020), feedstock
361 emissions were assumed to be the difference between observationally-derived emissions and
362 inferred bank emissions. In the present analysis, prior distributions of feedstock production and
363 leakage rates are developed and feedstock emissions are then inferred. In the present analysis,
364 observationally-derived CFC-113 emissions are higher than total BPE inferred emissions at the
365 1-sigma level from 2010 onwards. This suggests that either observationally-derived emissions
366 are too high, or our BPE estimates are too low. In Lickley et al. (2021), we find that atmospheric
367 lifetimes of CFC-113 are very likely lower than the SPARC multi-model time varying mean,
368 used in the present analysis. This would imply that the observationally-derived emissions shown
369 in Figure 2 are biased low, suggesting an even larger discrepancy between BPE inferred total
370 emissions and observationally derived emissions. Therefore, it seems plausible that the
371 discrepancy is due to prior feedstock emissions estimates being biased low due to larger leakage,
372 or CFC-113 is being produced for a use that is not allowed under the Montreal Protocol.

373
374 Finally, some important details about production and destruction were not fully accounted for in
375 this analysis. For one, feedstock priors were only included for CFC-113, HCFC-22, and HCFC-
376 142b, which could be limiting our assessment of the sources of emissions for other chemicals.
377 However, published feedstock values for other chemicals are not available and leakage rates in
378 feedstock applications may be uncertain. In addition, we do not account for non-dispersive
379 production in our analysis, namely the production of chemicals as by-products. It is possible, for
380 example, that some of the discrepancies in CFC-115 emissions could be explained by non-
381 dispersive emissions as identified by Vollmer et al., (2018). Further, we do not consider end-of-
382 life destruction of equipment as there are no published records, to our knowledge, of these
383 processes. Finally, we were not able to account for a more detailed breakdown in production by
384 equipment type than what has been published by AFEAS, which discretizes production into, at
385 most, four categories of equipment, and does not provide data beyond 2003. Without publicly
386 available details of these processes, modeling of banks and emissions will continue to be limited.

387
388 **Code Availability:** All analyses were done in MATLAB. All code used in this work is available
389 at <https://github.com/meglickley/HalocarbonBanks>

390
391 **Data Availability:** The datasets generated and/or analyzed during the current study are available
392 at <https://github.com/meglickley/HalocarbonBanks>

393

394 **Author Contributions.** All authors contributed to the conceptualization of the manuscript.
395 M.L. conducted the analysis. M.L. prepared the manuscript with contributions from all authors.
396

397 **Competing Interests.** The authors declare that they have no conflict of interest.
398

399 **Acknowledgements.** M.L. and S.S. gratefully acknowledge the support of VoLo foundation
400 and grant 2128617 from the atmospheric chemistry division of NSF. AGAGE is supported
401 principally by NASA (USA) grants to MIT and SIO, and also by: BEIS (UK) and NOAA (USA)
402 grants to Bristol University; CSIRO and BoM (Australia); FOEN grants to Empa (Switzerland);
403 NILU (Norway); SNU (Korea); CMA (China); NIES (Japan); and Urbino University (Italy). E.F.
404 acknowledges support of the NASA Headquarters Atmospheric Composition Modeling and
405 Analysis Program.
406
407

408 **References**

- 409 AFEAS. (2001). AFEAS 2001 database. Retrieved from
410 [https://unfccc.int/files/methods/other_methodological_issues/interactions_with_ozone_layer](https://unfccc.int/files/methods/other_methodological_issues/interactions_with_ozone_layer/application/pdf/cfc1100.pdf)
411 [/application/pdf/cfc1100.pdf](https://unfccc.int/files/methods/other_methodological_issues/interactions_with_ozone_layer/application/pdf/cfc1100.pdf)
- 412 Ashford, P., Clodic, D., McCulloch, A., & Kuijpers, L. (2004). Emission profiles from the foam
413 and refrigeration sectors comparison with atmospheric concentrations. Part 1: Methodology
414 and data. *International Journal of Refrigeration*, 27(7), 687–700.
- 415 Bates, S. C., Cullen, A., & Raftery, A. E. (2003). Bayesian uncertainty assessment in
416 multicompartment deterministic simulation models for environmental risk assessment.
417 *Environmetrics: The Official Journal of the International Environmetrics Society*, 14(4),
418 355–371.
- 419 Campbell, N., Shende, R., Bennett, M., Blinova, O., Derwent, R., McCulloch, A., et al. (2005).
420 HFCs and PFCs: Current and Future Supply, Demand and Emissions, plus Emissions of
421 CFCs, HCFCs and Halons. In B. Metz, L. Kuijpers, S. Solomon, S. O. Andersen, O.
422 Davidson, & J. Pons (Eds.), *IPCC/TEAP Special Report, Safeguarding the Ozone Layer and*
423 *the Global Climate System: Issues Related to Hydrofluorocarbons and Perfluorocarbons*.
424 WMO.
- 425 Carpenter, L. J., Daniel, J. S., Fleming, E. L., Hanaoka, T., Hu, J., Ravishankara, A. R., et al.
426 (2018). Scenarios and Information for Policy Makers. In *Depletion: 2018, Global Ozone*
427 *Research and Monitoring Project–Report No. 58*. Geneva, Switzerland: World
428 Meteorological Organization.
- 429 Engel, A., Rigby, M., Burkholder, J. B., Fernandez, R. P., Froidevaux, L., Hall, B. D., et al.
430 (2019). *Update on Ozone-Depleting Substances (ODSs) and other gases of interest to the*
431 *Montreal Protocol, Chapter 1 in Scientific Assessment of Ozone Depletion: 2018, Global*
432 *Ozone Research and Monitoring Project - Report No. 58*. Geneva, Switzerland: World
433 Meteorological Organization.
- 434 Gamlen, P. H., Lane, B. C., Midgley, P. M., & Steed, J. M. (1986). The production and release to
435 the atmosphere of CCl₃F and CCl₂F₂ (chlorofluorocarbons CFC11 and CFC 12).
436 *Atmospheric Environment*, 20(6), 1077–1085.
- 437 Hong, B., Strawderman, R. L., Swaney, D. P., & Weinstein, D. A. (2005). Bayesian estimation
438 of input parameters of a nitrogen cycle model applied to a forested reference watershed,
439 Hubbard Brook Watershed Six. *Water Resources Research*, 41(3).
- 440 Ko, M., Newman, P., Reimann, S., & Strahan, S. (2013). Recommended Values for Steady-State
441 Lifetime. In M. K. W. Ko, P. A. Newman, S. Reimann, & S. E. Strahan (Eds.), *SPARC*

442 *Report on the Lifetimes of Stratospheric Ozone-Depleting Substances, Their Replacements,*
443 *and Related Species.*

444 Kuijpers, L. J. M., & Verdonik, D. (2009). *TEAP (Technology and Economic Assessment Panel),*
445 *Task Force Decision XX/8 Report, Assessment of Alternatives to HCFCs and HFCs and*
446 *Update of the TEAP 2005 Supplement Report Data.* Nairobi, Kenya. Retrieved from
447 [http://ozone.unep.org/teap/Reports/TEAP_%0AREports/teap-may-2009-decisionXX-8-task-](http://ozone.unep.org/teap/Reports/TEAP_%0AREports/teap-may-2009-decisionXX-8-task-forcereport.%0Apdf)
448 [forcereport.%0Apdf](http://ozone.unep.org/teap/Reports/TEAP_%0AREports/teap-may-2009-decisionXX-8-task-forcereport.%0Apdf)

449 Lickley, M., Solomon, S., Fletcher, S., Rigby, M., Velders, G. J. M., Daniel, J., et al. (2020).
450 Quantifying contributions of chlorofluorocarbon banks to emissions and impacts on the
451 ozone layer and climate. *Nature Communications*, *11*(1380).
452 <https://doi.org/10.1038/s41467-020-15162-7>

453 Lickley, M., Fletcher, S., Rigby, M., & Solomon, S. (2021). Joint Inference of CFC lifetimes and
454 banks suggests previously unidentified emissions. *Nature Communications*, *12*(2920), 1–10.
455 <https://doi.org/https://doi.org/10.1038/s41467-021-23229-2> |

456 MCTOC. (2019). *Medical and Chemical Technical Options Committee, 2018 assessment.*
457 Retrieved from [https://ozone.unep.org/sites/default/files/2019-04/MCTOC-Assessment-](https://ozone.unep.org/sites/default/files/2019-04/MCTOC-Assessment-Report-2018.pdf)
458 [Report-2018.pdf](https://ozone.unep.org/sites/default/files/2019-04/MCTOC-Assessment-Report-2018.pdf)

459 Montzka, S. A., Fraser, P. J., Butler, J. H., Connell, P. S., Cunnold, D. M., Daniel, J. S., et al.
460 (2003). Controlled Substances and Other Source Gases. In *Scientific Assessment of Ozone*
461 *Depletion: 2002.* Geneva, Switzerland.

462 Montzka, S. A., Dutton, G. S., Yu, P., Ray, E., Portmann, R. W., Daniel, J. S., et al. (2018). An
463 unexpected and persistent increase in global emissions of ozone-depleting CFC-11. *Nature*,
464 *557*(7705), 413.

465 Newman, P. A., Oman, L. D., Douglass, A. R., Fleming, E. L., Frith, S. M., Hurwitz, M. M., &
466 Kawa, S. R. (2009). What would have happened to the ozone layer if chlorofluorocarbons
467 (CFCs) had not been regulated? *Atmospheric Chemistry and Physics*, *9*(6), 2113–2128.

468 Poole, D., & Raftery, A. E. (2000). Inference for deterministic simulation models: the Bayesian
469 melding approach. *Journal of the American Statistical Association*, *95*(452), 1244–1255.

470 Prinn, R. G., Weiss, R. F., Fraser, P. J., Simmonds, P. G., Cunnold, D. M., Alyea, F. N., et al.
471 (2000). A history of chemically and radiatively important gases in air deduced from
472 ALE/GAGE/AGAGE. *Journal of Geophysical Research Atmospheres*, *105*(D14), 17751–
473 17792. <https://doi.org/10.1029/2000JD900141>

474 Prinn, Ronald G., Weiss, R. F., Arduini, J., Arnold, T., Langley Dewitt, H., Fraser, P. J., et al.
475 (2018). History of chemically and radiatively important atmospheric gases from the
476 Advanced Global Atmospheric Gases Experiment (AGAGE). *Earth System Science Data*,
477 *10*(2), 985–1018. <https://doi.org/10.5194/essd-10-985-2018>

478 Rubin, D. B. (1988). Using the SIR algorithm to simulate posterior distributions (with
479 discussion). *Bayesian Statistics*, *3*, 395–402.

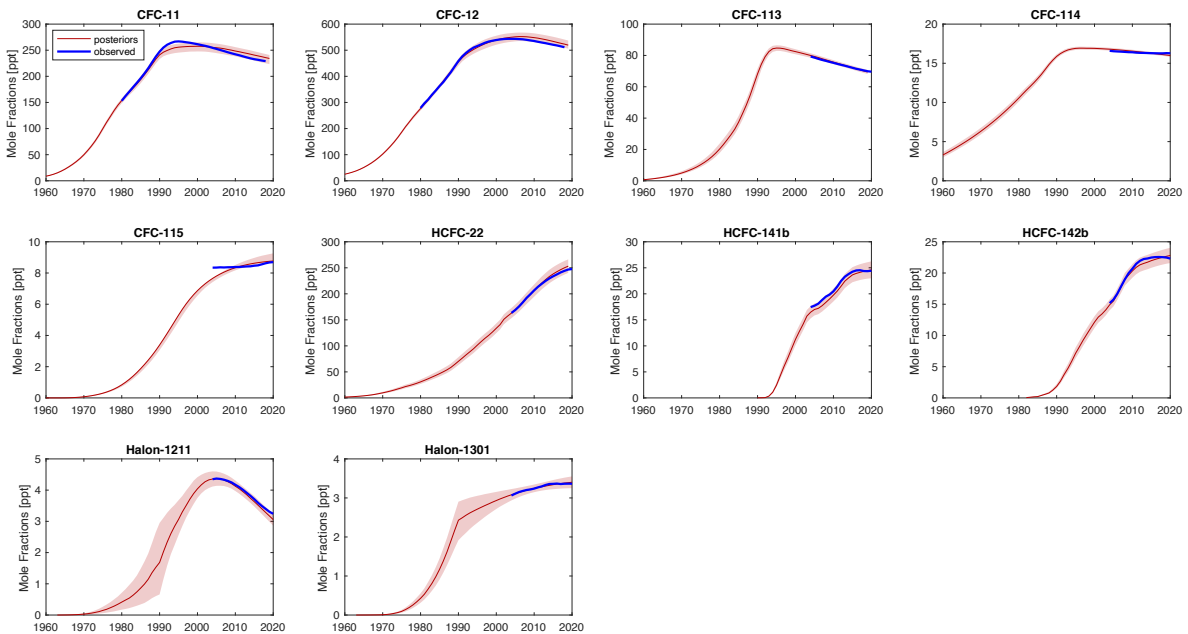
480 UNEP/TEAP. (2021). *TEAP Progress Report Volume I.* Nairobi, Kenya. Retrieved from
481 <https://ozone.unep.org/system/files/documents/TEAP-2021-Progress-report.pdf>

482 UNEP. (2019). *Decision XXX/3 TEAP Task Force Report on unexpected emissions of*
483 *Trichlorofluoromethane (CFC-11) - Final Report (Volume 1).* Nairobi, Kenya.

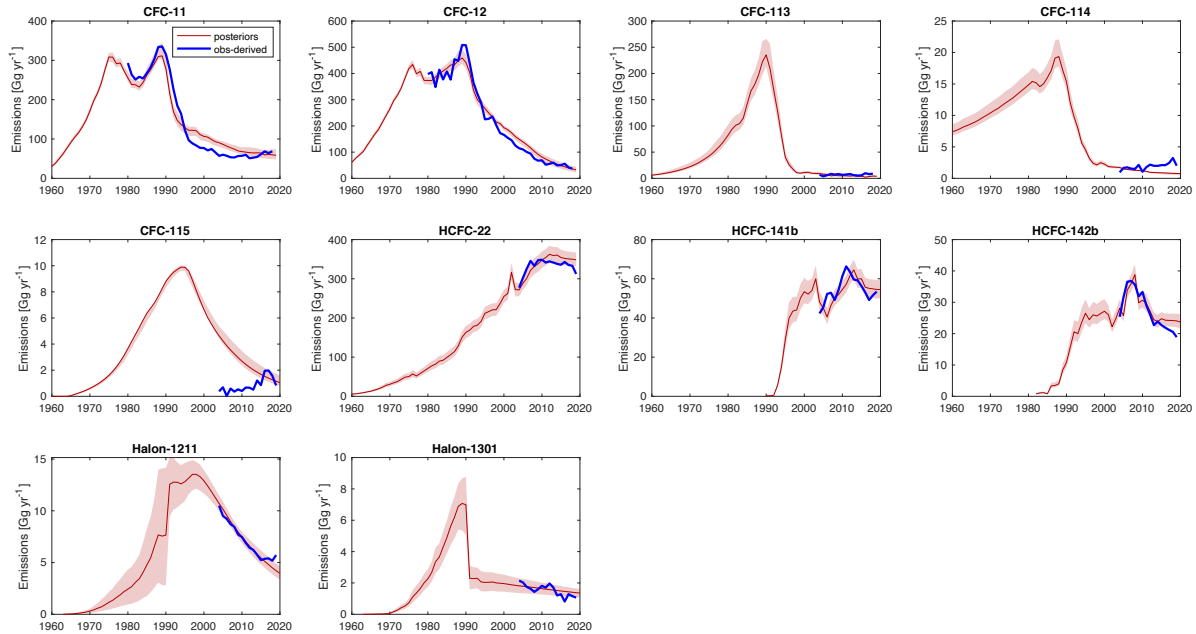
484 Velders, G. J. M., & Daniel, J. S. (2014). Uncertainty analysis of projections of ozone depleting
485 substances: mixing ratios, EESC, ODPs, and GWPs. *Atmospheric Chemistry and Physics*,
486 *14*(6), 2757–2776.

487 Vollmer, M. K., Young, D., Trudinger, C. M., Mühle, J., Henne, S., Rigby, M., et al. (2018).
488 Atmospheric histories and emissions of chlorofluorocarbons CFC-13 (CClF₃), ΣCFC-114
489 (C₂Cl₂F₄), and CFC-115 (C₂ClF₅). *Atmospheric Chemistry and Physics*, *18*(2), 979–1002.

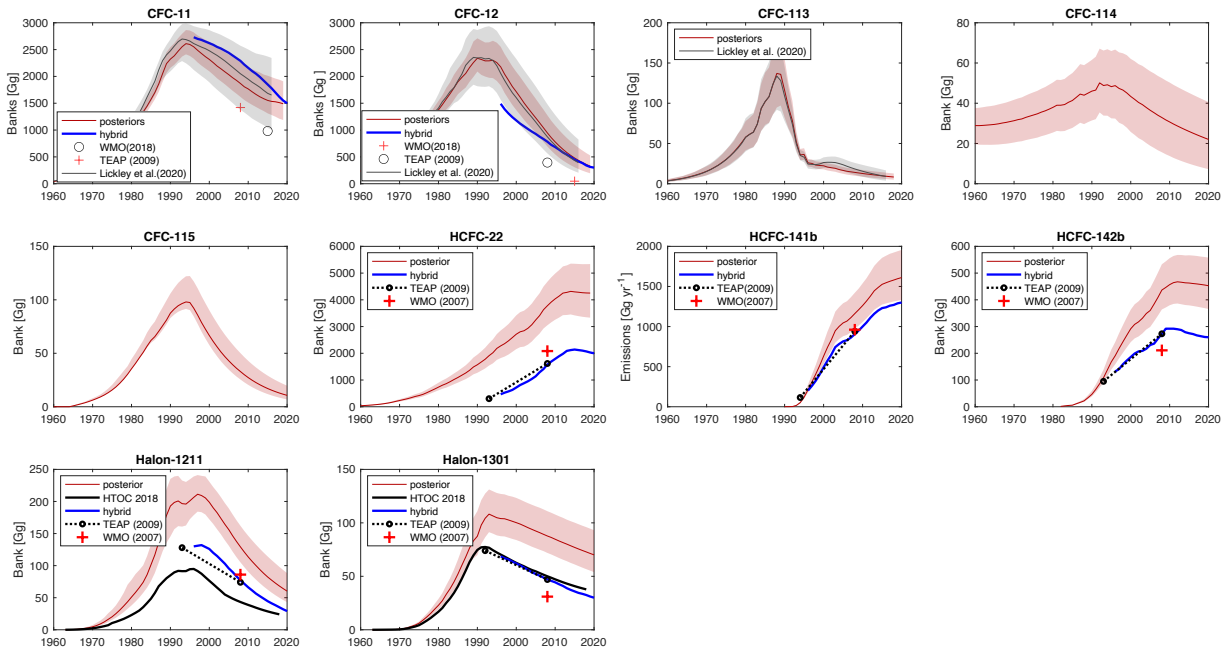
490 <https://doi.org/10.5194/acp-18-979-2018>
 491 WMO. (2003). WMO: Scientific Assessment of Ozone Depletion: 2002, Global Ozone Research
 492 and Monitoring Project – Report No. 47. Geneva, Switzerland: World Meteorological
 493 Organization (WMO),.
 494 WMO. (2011). *Scientific Assessment of Ozone Depletion: 2010, Global Ozone Research and*
 495 *Monitoring Project-Report No. 52.* Geneva, Switzerland.
 496 WMO. (2014). *Scientific Assessment of Ozone Depletion: 2014, World Meteorological*
 497 *Organization, Global Ozone Research and Monitoring Project-Report No. 55.* Geneva,
 498 Switzerland.
 499 WMO. (2018). WMO: Scientific Assessment of Ozone Depletion: 2018, Global Ozone Research
 500 and Monitoring Project – Report No. 58. Geneva, Switzerland: World Meteorological
 501 Organization (WMO),.
 502
 503
 504



505
 506 **Figure 1:** Modeled mole fractions versus observed mole fractions. Red lines indicate the
 507 posterior median mole fraction estimate from the Bayesian analysis (BPE), with shaded regions
 508 indicating the 90% confidence interval. Blue line indicates globally-averaged observed mole
 509 fractions.
 510

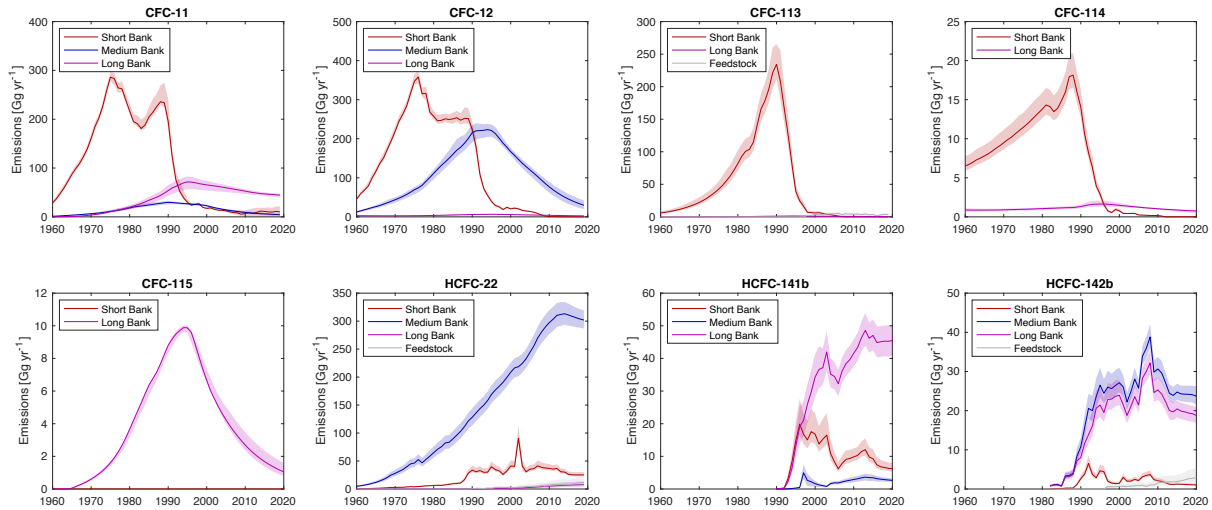


511 **Figure 2:** Modeled emissions versus observationally-derived emissions. Red lines indicate the
 512 posterior median emissions estimate from the Bayesian analysis (BPE), with shaded regions
 513 indicating the 90% confidence interval. Blue line indicates observationally-derived emissions
 514 assuming the SPARC multi-model mean time-varying lifetimes.
 515
 516
 517

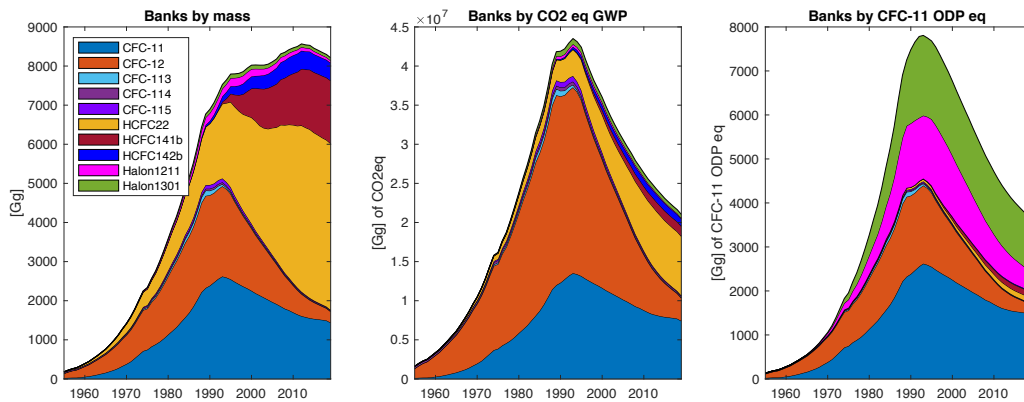


518 **Figure 3:** Magnitudes of Bank estimates. The red line indicates the median posterior estimate of
 519 Banks from the Bayesian analysis, with shading indicating the 90% confidence interval.
 520 Previously published bank estimates are provided for comparison from TEAP (2009), WMO
 521

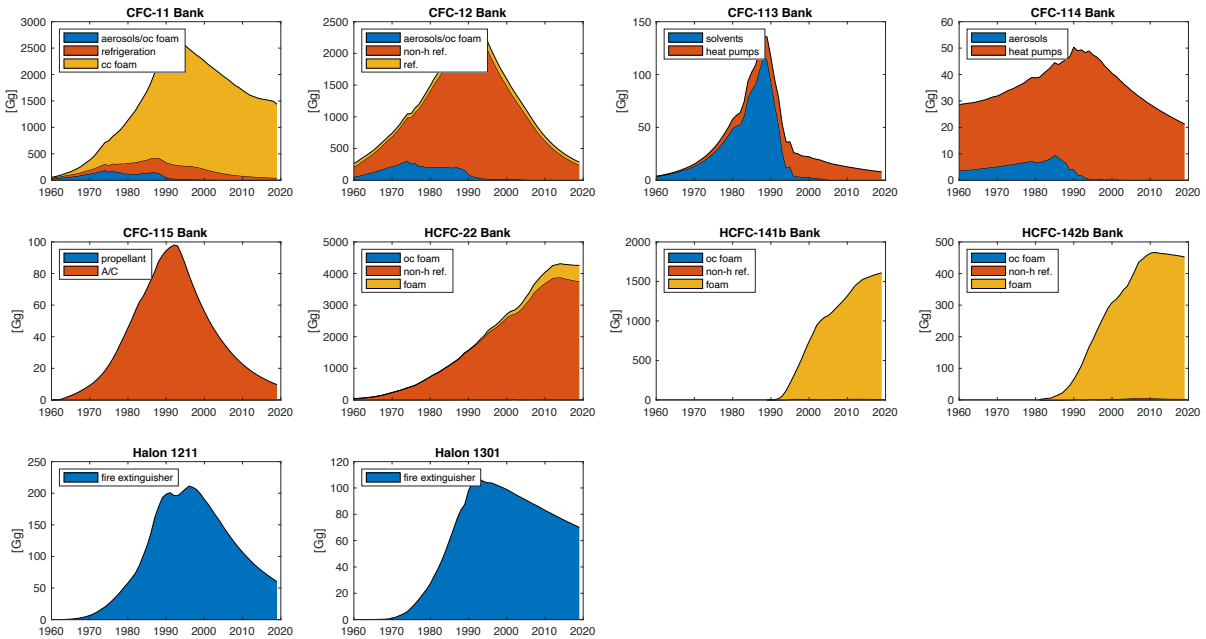
522 (2007), and WMO (2018), along with the hybrid approach updated to current estimated starting
 523 values.
 524



525
 526 **Figure 4:** Emissions by Source. Emissions estimates by various equipment types, summarized
 527 in Table 1, are shown here along with estimated emissions from feedstock usage. Lines indicate
 528 the median estimate, with the shaded region indicating the 90% confidence interval. Halons are
 529 not included in this figure as 100% of halon emissions come from the same application and are
 530 thus identical to Figure 2 halon totals.
 531



532
 533 **Figure 5:** Total banks by mass, global warming potential (GWP100; WMO, 2018) and ozone
 534 depleting potential (ODP; WMO, 2018). Bank estimates reported in the above figures are the
 535 median estimates from the Bayesian analysis.
 536



537
 538 **Figure 6:** Bank size by equipment type. Bank estimates reported in the above figures are the
 539 median estimates from the Bayesian analysis. In the above legends, cc refers to closed-cell
 540 foams, non-h ref. refers to non-hermetic refrigeration, ref. refers to refrigeration, and A/C refers
 541 to air conditioning.
 542