1	Measurement Report: Distinct size dependence and
2	diurnal variation of OA hygroscopicity, volatility, and
3	CCN activity at a rural site in the Pearl River Delta
4	(PRD) region, China
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31 Abstract.

32 Organic aerosol (OA) has a significant contribution to cloud formation and hence climate 33 change. However, high uncertainties still exist in its impact on global climate, owing to the varying physical properties affected by the complex formation and aging processes. In this study, the 34 35 hygroscopicity, volatility, cloud condensation nuclei (CCN) activity, and chemical composition of particles were measured using a series of online instruments at a rural site in the Pearl River Delta 36 37 (PRD) region of China in Fall 2019. During the campaign, the average hygroscopicity of OA (κ_{OA}) 38 increased from 0.058 at 30 nm to 0.09 at 200 nm, suggesting a higher oxidation state of OA at larger 39 particle sizes, supported by a higher fraction of extremely low volatile OA (ELVOA) for larger size 40 particles. Significantly different diurnal patterns of κ_{OA} were observed between Aitken mode particles and accumulation mode particles. For Aitken mode particles (30-100 nm), the κ_{OA} values 41 42 showed daily minima (0.02-0.07) during daytime, while the accumulation mode exhibited a daytime 43 peak (~0.09). Coincidently, a daytime peak was observed for both aged biomass burning organic 44 aerosol (aBBOA) and less oxygenated organic aerosol (LOOA) based on source apportionment, 45 which were attributed to the aging processes and gas-particle partitioning through photochemical 46 reactions. In addition, the fraction of semi-volatile OA (SVOA) was higher at all measured sizes during daytime than during nighttime. These results indicate that the formation of secondary OA 47 48 (SOA) through gas-particle partitioning can generally occur at all diameters, while the aging 49 processes of pre-existing particles are more dominated in the accumulation mode. Furthermore, we 50 found that applying a fixed κ_{OA} value (0.1) could lead to an overestimation of the CCN number 51 concentration (N_{CCN}) up to 12%-19% at 0.1%-0.7% supersaturation (SS), which was more obvious 52 at higher SS during daytime. Better prediction of N_{CCN} could be achieved by using size-resolved 3

53	diurnal κ_{OA} , which indicates that the size-dependence and diurnal variations of κ_{OA} can strongly
54	affect the N_{CCN} at different SS. Our results highlight the need for accurately evaluating the
55	atmospheric evolution of OA at different size ranges, and their impact on the physicochemical
56	properties and hence climate effects.

58 1. Introduction

59 The impact of aerosol particles on global climate is widely known, including absorbing and 60 scattering solar radiation, and acting as cloud condensation nuclei (CCN). However, the extent of 61 their contribution on the climate forcing is still unclear. Organic aerosol (OA) as a dominant 62 component of fine particles (Jimenez et al., 2009), may contribute the uncertainties of climate effects 63 of particles, mainly owing to unknown sources, physical properties, formation, and aging 64 mechanisms (Volkamer et al., 2006; Kuang et al., 2020b; Rastak et al., 2017). Numerous studies 65 show that secondary organic aerosol (SOA) accounts for a large OA fraction in most atmospheric environments (e.g., Huang et al., 2014; Shrivastava et al., 2017; Kanakidou et al., 2005; Hallquist 66 67 et al., 2009). Nevertheless, both primary OA (POA) and SOA in the ambient air remain poorly 68 characterized in terms of the formation mechanism and atmospheric evolution, and their particle 69 diameter can vary on a large scale. Their impact on the global climate and atmospheric chemistry is 70 still highly uncertain.

A combination of Aerodyne Aerosol Mass Spectrometer (AMS) or Aerosol Chemical Species
 Monitor (ACSM) with positive matrix factorization (PMF) is widely used for investigating the OA

73	evolution in the atmosphere (Li et al., 2013; Huang et al., 2018; Huang et al., 2014; Chen et al.,
74	2015; Jimenez et al., 2009). For instance, Qin et al. (2017) found that hydrocarbon-like OA (HOA)
75	from traffic emission contributed up to 40% of OA during nighttime, owing to daytime traffic
76	restrictions on heavy vehicles in urban Guangzhou. Kuang et al. (2020a) reported a dominant
77	contribution to oxygenated OA (OOA) through aqueous-phase reaction in the North China Plain
78	(NCP). Guo et al. (2020) observed different SOA mechanisms between clean and pollution episodes
79	in the Pearl River Delta (PRD) region. Nevertheless, the investigation of bulk OA is still insufficient
80	in understanding the aerosol climate effects without the size-resolved characterization. The OA size
81	distribution is largely dependent on its composition, sources and aging level. Li et al. (2012)
82	observed various mass distribution patterns for different species in airborne particulate organics and
83	reported that dehydrated sugars, fossil fuel-derived n-alkanes, and PAHs showed a unimodal
84	distribution, while non-dehydrated sugars and plant wax was derived as n-alkanes which presented
85	a bimodal pattern. In the urban region, the Aitken mode was mainly dominated by HOA owing to
86	traffic emissions (Zhang et al., 2005b; Cai et al., 2020). In the marine atmosphere, the size
87	distribution of fine mode POA was found to be independent of sea salt, while coarse mode particles
88	tended to be internally mixed with sea salt (Gantt and Meskhidze, 2013). Similarly, the OA physical
89	properties were also found to be size-dependent. Deng et al. (2018) reported a higher OA
90	hygroscopicity ($\kappa_{OA}\approx 0.22$) at about 150 nm than that ($\kappa_{OA}\approx 0.19$) at sub-100 nm at a forest site. In
91	contrast, Zhao et al. (2015) measured size-dependent hygroscopicity and chemical composition for
92	SOA from various procedures and found that κ_{OA} of SOA from $\alpha\text{-pinene}$ photooxidation decreased
93	from 0.17 at 50 nm to 0.07 at 200 nm, which was attributed to the higher oxidation degree for
94	smaller particles.

95	The size dependence of OA properties in the aforementioned studies might exert impact on the
96	CCN prediction, which is mainly determined by their sources and formation processes. Cai et al.
97	(2018) found that N_{CCN} at 0.1% SS was underestimated by about 10% if a κ_{OA} value of 0.1 was used.
98	A model simulation from Liu and Wang (2010) showed that an increase of about 40-80% for the
99	CCN concentration was obtained by increasing the κ value of POA from 0 to 0.1. Wang et al. (2008)
100	reported that the uncertainties of the first indirect aerosol effect varied from -0.2 to 0.2 W m ⁻² for a
101	κ_{OA} value of 0 to 0.25. Rastak et al. (2017) showed that using a single-parameter framework of κ_{OA}
102	in evaluating the climate effects of aerosol could lead to significant errors (about -1.02 W m ⁻²),
103	which is the same order as the climate forcing of anthropogenic aerosol during the industrial period.
104	These results further highlight a need for the understanding of the relationship between the OA
105	evolution processes and its impact on the CCN activity at different particle sizes.
106	The OA hygroscopicity and volatility can provide information about the evolution of OA, given
107	that they are often related to the chemical composition of the particles. A positive correlation
108	between the hygroscopicity values and the oxidation degree of OA, including the ratio of atomic
109	oxygen to atomic carbon (O:C), the oxidation state ($\overline{OS_C}$), or the mass fraction of m/z 44 (for CO_2^+)
110	ion fragments in the organic spectra (f_{44}) from chemical composition, were widely reported in the
111	literature (Wu et al., 2013; Pajunoja et al., 2015; Chang et al., 2010). Kim et al. (2020) found that
112	the κ_{OA} was positively and negatively correlated with OOA and HOA at different size ranges,
113	respectively. Deng et al. (2019) reported a decreasing trend of κ_{OA} at a size range of 100-360 nm
114	during daytime in a forest environment, suggesting the formation of biogenic SOA (BSOA) through
115	photochemical oxidation of biogenic volatile organic compounds (BVOCs). The OA volatility,
116	specifically saturation vapor concentration (C^*), is linked to the gas-particle partitioning and aging 6

117	processes. In general, the C* value decreases with an increase of the oxidation degree and the
118	number of atomic carbon (Donahue et al., 2011). May et al. (2013) found that most of the biomass-
119	burning POA were semi-volatile. Saha et al. (2017) showed a lower volatility of OA in the afternoon
120	hours using a dual-thermodenuder (TD) system, probably owing to photochemical oxidation of OA.
121	Hong et al. (2017) derived the OA volatility distribution by a combination of the VTMDA
122	measurement and a multi-component evaporation dynamics model, and found a moderate ($R \approx 0.4$)
123	correlation between the OA groups obtained by the VTDMA data and the PMF results, respectively.
124	In this study, we investigate physical properties of OA at different size ranges, and evaluate
125	their influence on the atmospheric CCN concentration. A rural field measurement was conducted at
126	the Heshan site in the Pearl River Delta (PRD) region, China, during Fall 2019 (October and
127	November). The hygroscopicity, volatility, size-resolved CCN activity, and chemical composition
128	were measured by a series of online instruments. The size-resolved hygroscopicity and volatility
129	distribution of organics was investigated. PMF was employed to analyze the sources and processes
130	of OA. The impact of diurnal variation and size dependence of κ_{OA} on the N_{CCN} prediction at
131	different supersaturation (SS) was assessed.

132 2. Measurement and methodology

133 **2.1 Measurement site**

The field measurements were conducted at the Heshan supersite in the Guangdong province,
China during autumntime 2019 (27th September to 17th November 2019). This supersite (22°42′39.
1"N, 112°55′35.9"E) is located at the southwest of the PRD region and surrounded by farms and

villages, with an altitude of about 40 m. All sample particles first passed through a Nafion dryer
(Model MD-700, Perma Pure Inc., USA) to maintain a relative humidity (RH) lower than 30%. The
schematic diagram of the experimental setup can be found in Fig. S1. Detailed descriptions of the
measurement site and some instruments can be found in Cai et al. (2021a).

141 **2.2 Instrumentation**

142 2.2.1 Aerosol hygroscopicity and volatility measurement

143 Size-resolved hygroscopicity and volatility of particles were measured by a H/V-TDMA 144 (model M3000, Bmet Inc., China). The instrument consists of two differential mobility analyzers 145 (DMA1 and DMA2, model 3081 L, TSI Inc., USA), a Nafion humidifier (Model MD-700, Perma 146 Pure Inc., USA), a heater tube, and a condensation particle counter (CPC, model 3788, TSI Inc., 147 USA). The instrument was operated in H- and V- mode during the measurement with a cycle time 148 of about 3-4 h. The dried sample particles were firstly charged by an X-ray neutralizer and then 149 classified by DMA1 at six diameters (30, 50, 80, 100, 150, and 200 nm). In the H-mode, the chosen particles with a specific dry diameter (D_0) were sequentially humidified by the Nafion humidifier 150 151 to achieve 90% of RH. A combination of DMA2 and CPC were employed to measure the size distribution of humidified particles (Dp_{wet}) . The hygroscopic growth factor (GF) at a certain dry 152 153 diameter can be defined as:

154
$$GF(D_0) = \frac{Dp_{wet}}{D_0}$$
(1)

In the V-mode, the selected particles from DMA1 were heated in the heater tube at 100, 150,
200, and 250°C, respectively. Similar to the H mode, the size distribution of heated particles along
with particles at room temperature (25°C) was measured by the DMA2 and CPC. The volatility 8

shrink factor (VSF) at a certain diameter and temperature is then defined as:

159
$$VSF(T, D_0) = \frac{Dp(T)}{D_0}$$
 (2)

Before the campaign, standard polystyrene latex spheres (PSLs; with a size of 20, 50, and 200 nm), ammonium sulfate, and sodium chloride were used to calibrate the diameter classification of DMAs, hygroscopicity measurement, and the transport efficiency of particles in the heater tube, respectively. For the H/V-TDMA data, the TDMAfit algorithm (Stolzenburg and McMurry, 2008) was applied to fit the growth factor and volatility shrink factor probability density function (GF-PDF and VSF-PDF) with various DMA transfer functions. The detailed data inversion processes can be found in Tan et al. (2013a).

167 2.2.2 The size-resolved CCN activity and particle number size distribution measurement

168 A combination of a cloud condensation nuclei counter (CCNc, model 200, DMT Inc., USA) 169 and a scanning mobility particle sizer (SMPS, model 3938L75, TSI Inc., USA) was employed to 170 measure size resolved CCN activity. The supersaturation (SS) of each column (A and B) of CCNc was set to be 0.1%, 0.2% and 0.4% (for column A), and 0.7%, 0.9% and 1.0% (for column B), 171 172 respectively. During the measurement, the SMPS was operated at a scanning mode. The sample 173 particles were firstly neutralized by an X-ray neutralizer (model 3088, TSI Inc., USA) and were 174 subsequently classified by a DMA. The classified particles were then split into three paths: one to a 175 CPC (model 3756, TSI Inc., USA) for measurement of particle number concentration (with a flow 176 rate of 0.6 LPM) and two to the CCNc for measurement of the CCN number concentration (N_{CCN}) 177 at a specific SS (with a flow rate of 0.5 LPM).

178 The particle number size distribution (PNSD) in a size range of 1 nm-10 µm was measured by

a suite of instruments including a diethylene glycol scanning mobility particle sizer (DEG-SMPS,

180 model 3938E77, TSI Inc., USA), a SMPS (model 3938L75, TSI Inc., USA), and an aerodynamic

181 particle sizer (APS, model 3321, TSI Inc., USA). The detailed description of these instruments can

182 be found in Cai et al. (2021a). Before the measurement, the SMPSs were calibrated with PSLs (20,

183 50 and 200 nm) and the CCNc was calibrated with ammonium sulfate ((NH₄)₂SO₄) particles at

184 selected SSs (0.1%, 0.2%, 0.4%, 0.7%, 0.9%, and 1.0%, Sect. S1).

185 2.2.3 Aerosol chemical composition measurement

186 The size-resolved chemical composition of ambient aerosol particles was measured by a soot particle aerosol mass spectrometer (SP-AMS, Aerodyne Research, Inc., USA). The principle and 187 188 operation of the instrument are generally the same as a high resolution time-of-flight aerosol mass 189 spectrometer (HR-ToF-AMS) (Canagaratna et al., 2007). In addition to an original tungsten 190 vaporizer (~600°C), a soot-particle module which mainly contains a Nd:YAG (1064 nm) laser was 191 integrated into HR-ToF-AMS for vaporizing refractory species (Onasch et al., 2012). As a result, 192 SP-AMS can provide chemical information for non-refractory species (nitrate, sulfate, ammonium, 193 chloride, and organics) as well as refractory species such as refractory black carbon (rBC) and 194 several metals. During the campaign, SP-AMS was run between V mode (only tungsten vaporizer) 195 and SP mode (tungsten and laser vaporizers) with a time resolution of 1 min. In order to minimize 196 disturbance caused by mode switch, 15 min averaged data are used in the present study. More details 197 on the quantification using ionization efficiency, composition dependent collection efficiency and 198 external instrument as well as software for SP-AMS data analysis could be found in Kuang et al. 199 (2021).

Facilitated by the time-of-flight chamber in SP-AMS, the particle mass size distribution can be measured in submicrometer size range, specifically, 40 to 800 nm in vacuum aerodynamic diameter (Dva). The mass size distribution for relevant AMS species was used in this study for investigating the link between particle chemical composition and volatility/hygroscopicity. Since SP-AMS provided the size distribution versus Dva, the equation below was used to convert Dva into mobility diameter (Dp).

206
$$D_{p} = \frac{D_{va}}{S \times \frac{\rho_{p}}{\rho_{0}}}$$
(3)

where S is the shape factor, ρ_p is the particle density, and ρ_0 is the density for water (1 kg m⁻³). In this study, we estimate that the particles were close to sphere due to high RH in the PRD and thus a shape factor of 0.8 was applied. An overall particle density of 1.6 kg m⁻³ is used.

210 Based on high resolution data from SP-AMS, source apportionment was performed for organic 211 aerosols (OA) in the bulk PM1 with positive matrix factorization (PMF, Paatero, 1997; Paatero and Tapper, 1994) following the instruction in Ulbrich et al. (2009). The input data, selection of solutions, 212 213 mass spectral profile, and time series of each factor can be found in Kuang et al. (2021). In brief, 214 OA measured at the Heshan site could be divided into six components with identified sources and 215 processes, including two from primary sources and four factors corresponding to secondary 216 formation: a hydrocarbon-like OA (HOA) contributed mainly by vehicle exhausts mixed with cooking emissions, a biomass burning OA (BBOA) related to biomass burning combustion from the 217 218 surrounding villages, an aged BBOA (aBBOA), a more oxygenated OA (MOOA) from regional 219 transport, a less oxygenated OA (LOOA) provided by daytime photochemical formation, and a 220 nighttime-formed OA (Night-OA) related to secondary formation during nighttime.

221 2.3 Methodology

222 2.3.1 Estimates of hygroscopicity

The hygroscopicity parameter κ can be obtained under subsaturation condition by the H/V-TDMA measurement and supersaturation condition by the CCNc measurement. The κ value (κ_{HTDMA}) can be estimated from the growth factor measured by H/V-TDMA (Petters and Kreidenweis, 2007):

227
$$\kappa_{\rm HTDMA} = (GF^3 - 1) \left[\frac{1}{RH} \exp\left(\frac{4\sigma_{s/a}M_w}{RT\rho_w D_p} - 1 \right) \right]$$
 (4)

where $\sigma_{s/a}$ is the surface tension of the solution/air interface and the solution is temporarily assumed to be pure water (0.0728 N m⁻¹ at 298.15 K), M_w is the molecular weight of water (0.018 kg mol⁻¹), R is the universal gas constant (8.314 J mol⁻¹ K⁻¹), T is the thermodynamic temperature in Kelvin (298.15 K), ρ_w is the density of water (about 997.04 kg m⁻³ at 298.15 K) and D_p is the particle diameter in meters.

233 For the CCNc measurement, the κ value (κ_{CCN}) is calculated from the critical supersaturation 234 (Sc) and the critical diameter (D₅₀) by the following equation (Petters and Kreidenweis, 2007):

235
$$\kappa_{CCN} = \frac{4A^3}{27D_{50}^3(\ln Sc)^2}, A = \frac{4\sigma_{s/a}M_w}{RT\rho_w}$$
(5)

The critical diameter, D_{50} , is defined as the diameter at which 50% of the particles are activated at a specific SS, and can be obtained from the N_{CCN} and N_{CN} measured by the CCNc and SMPS

238 system:

239
$$\frac{N_{CCN}}{N_{CN}} = \frac{B}{1 + (\frac{D_p}{D_{50}})^c}$$
(6)

240 where the B and C are fitting coefficients.

2.3.2 Derivation of the size-resolved hygroscopicity of organic matter

242	The size-resolved chemical composition is adopted to derive the size-dependent hygroscopicity
243	of organic matter (κ_{OA}). However, the AMS cannot provide sufficient information of the size-
244	resolved species, especially for small size particles (< 100 nm) owing to the low mass concentration.
245	Thalman et al. (2017) proposed a method to reconstruct the size-resolved chemical composition,
246	which combines a time-resolved bulk mass concentration and an average mass distribution.
247	Nevertheless, the variation of mass distribution was not considered in this method. In this study, a
248	bimodal lognormal distribution function method was adopted, and the one-hour average mass
249	distribution was fitted to obtain the reconstructed size-resolved chemical composition. The average
250	mass distribution with bimodal lognormal fitted modes of each species was shown in Fig. S2.
251	According to the ZSR mixing rule (Zdanovskii, 1948; Stokes and Robinson, 1966), the
252	hygroscopicity of particles (κ_{AMS}) can be calculated based on the SP-AMS measurement, assuming
253	an internal mixing state for all particles:
254	$\kappa_{\rm AMS} = \sum_{i} \kappa_i \varepsilon_i \tag{7}$
255	where κ_i is the κ value of each component and ε_i is the volume fraction of corresponding species in
256	particles. The mole concentrations of the inorganic species are estimated based on the NH_4^+ , SO_4^{2-} ,
257	and NO ₃ ⁻ measured by the AMS (Gysel et al., 2007):
258	$n_{NH_4NO_3} = n_{NO_3^-}$
259	$n_{H_2SO_4} = max \ (0, N_{SO_4^{2-}} - n_{NH_4^+} + n_{NO_3^-})$
260	$n_{NH_4HSO_4} = min \Big(2n_{SO_4^{2-}} - n_{NH_4^+} + n_{NO_3^-}, n_{NH_4^+} - n_{NO_3^-} \Big)$

 $n_{(NH_4)_2SO_4} = max \left(n_{NH_4^+} - n_{NO_3^-} - n_{SO_4^{2-}}, 0 \right)$

$$262 n_{HNO_3} = 0$$

where n denotes the number of moles of each component (NH₄⁺, SO₄²⁻ and NO₃⁻), ε_{org} and ε_{BC} were obtained from mass concentration measured by the SP-AMS. The density and κ value of each component were listed in Table 1.

The κ_{OA} can be calculated based on the size-resolved chemical composition and H/V-TMDA
 measurement using following equation:

268
$$\kappa_{OA} = \frac{\kappa_{HTDMA} - (\kappa_{inorgsalt} \varepsilon_{inorgsalt} + \kappa_{BC} \varepsilon_{BC})}{\varepsilon_{org}}$$
(9)

269 2.3.3 Volatility data

270 During the heating process, some particles could be lost between DMA_1 and DMA_2 due to 271 complete evaporation (CV), thermophoresis, and Brownnian diffusion (Philippin et al., 2004). 272 Owing to these losses, the V-mode measurement does not represent the actual volatility distribution 273 of the monodisperse particles. The sodium chloride (NaCl) particles, which do not evaporate at the 274 set temperature in this measurement, were used to determine the particle losses owing to 275 thermophoretic forces and diffusion. The size- and temperature-dependent transmission efficiency $(\eta(D_p, T))$ of NaCl in the heater was shown in Fig. S3. Thus, the number fraction of CV group 276 277 $(NF_{CV}(D_p, T))$ at a certain diameter and temperature can be calculated using the following equation 278 (Cheung et al., 2016):

279
$$NF_{CV}(D_p, T) = 1 - \frac{N'(D_p, T)}{N(D_p)\eta(D_p, T)}$$
(10)

where $N'(D_p, T)$ is the number concentration of particles at a specific diameter and temperature after heating, which was measured by the CPC in the H/V-TDMA. The $N(D_p)$ is the number concentration of particles with a diameter D_p before heating, which was provided by the SMPS measurement. The volume fraction remaining (VFR) after heating for the measured particles can be
 obtained according to the following equation:

285
$$VFR(D_p, T) = \sum_i VSF_i^3(D_p, T) NF_i(D_p, T) [1 - NF_{CV}(D_p, T)]$$
 (11)

where *i* represents the *i*th VSF bin, and NF_i is the number fraction of particles with VSF_i , which is

287 calculated based on the VSF-PDF ($c(VSF, D_p, T)$):

288
$$NF_i = \int_{VSF_i}^{VSF_{i+1}} c(VSF, D_p, T) \, dVSF$$
(12)

289 The mass fraction remaining (MFR) was assumed to be proportional to VFR, assuming that 290 the density of particles was constant before and after heating.

291 2.3.4 Multi-component evaporation dynamics model

Based on the volatility basis set (VBS) framework (Donahue et al., 2011), the organic matter was classified into three organic groups based on the saturation concentration (C*(T_{ref}), T_{ref} =298.15 K): extremely low volatility organic aerosol (ELVOA, C^* =10⁻⁵ µg m⁻³), low volatility organic aerosol (LVOA, C^* =10⁻² µg m⁻³), and semi-volatility organic aerosol (SVOA, C^* =10 µg m⁻² 3).

A multi-component evaporation dynamics model described by Lee et al. (2011) was used to simulate the evaporation of particles in the heated tube of the H/V-TDMA by solving the mass transfer regime equation, in order to obtain the size-resolved distribution of the aforementioned three OA groups. The MFR, residence time (about 4.11 s) in the heater tube, the temperature of the heater tube, particle number concentration, particle sizes, chemical composition, and thermophysical properties of each species (Table 2) were input into the model. The particles were assumed to be internally mixed with organic and inorganic species, including three organic groups, M_4NO_3 , $(NH_4)_2SO_4$, and black carbon (BC). The mass transfer of each component *i* between the

aerosol and gas phases in the transition regime was calculated from the following equation:

306
$$\frac{dm_{p,i}}{dt} = 2\pi D_i D_p f(Kn, \alpha) (C_{i,g} - f_i C_i^*(T) exp\left(\frac{4\sigma_{s/a}M_i}{D_p \rho_i RT}\right))$$

$$307 \qquad \frac{dC_{i,g}}{dt} = -\frac{dm_{p,i}}{dt}N_p(D_p) \tag{13}$$

where $m_{p,i}$ (µg) is the mass of species *i* in a single particle, $C_{i,g}$ (µg m⁻³) is its gas-phase concentration, D_i (m² s⁻¹) is the diffusion coefficient for species *i* in air, D_p (m) is the particle diameter, $f(Kn, \alpha)$ is a correction term to account for non-continuum mass transfer depending on Knudsen number (*Kn*) and mass accommodation coefficient (α), f_i is the mole fraction of species *i*, $C_i^*(T)$ is the saturation concentration at temperature (*T*) of the heater tube, M_i (kg mol⁻¹) is the molecular weight of species *i*, ρ_i (kg m⁻³) is its density and $N_p(D_p)$ (cm⁻³) is the number concentration of particles with a diameter D_p .

315 The correction term $f(Kn, \alpha)$ is determined by the following equation (Seinfeld and Pandis,

317
$$f(Kn, \alpha) = \frac{1+Kn}{1+2Kn(1+Kn)/\alpha}$$
318
$$Kn = \frac{2\lambda_i}{D_p}$$
(14)

319 where λ_i is the mean free path of species *i* in the air, which is defined as $\lambda_i = \frac{2D_i}{c_i}$. The c_i is the mean 320 speed of species *i* and $c_i = \sqrt{\frac{8RT}{\pi M_i}}$.

321 The temperature-dependent $C_i^*(T)$ is estimated from the Clausius-Clapeyron equation:

322
$$C_i^*(T) = C_i^*(T_{ref})exp\left[\frac{\Delta H_{vap,i}}{R}\left(\frac{1}{T_{ref}} - \frac{1}{T}\right)\right]\frac{T_{ref}}{T}$$
(15)

where
$$\Delta H_{vap,i}$$
 (kJ mol⁻¹) is the enthalpy of vaporization. The known mass fractions of NH₄NO₃,
(NH₄)₂SO₄, and BC were calculated respectively, based on the SP-AMS measurement. The time
step of the model was set to be 10⁻³ s. The characteristics of each species were listed in Table 2. The
16

326	mass fraction of each organic group in different particle sizes was derived by minimizing the squared
327	residuals (SSR) values, $SSR = \sum_{T_i=T_1}^{T_5} [MFR_{model}(T_i, Dp) - MFR_{measured}(T_i, Dp)]^2$. The non-
328	linear constrained optimization function "fmincon" in MATLAB (version 2016a, Mathworks Inc.)
329	was used to obtain the optimal fitted result. A constrained of $\sum f_{i,inorganics} + \sum f_{i,organics} = 1$ is used.
330	The modeled MFR is strongly dependent on the values of vaporization enthalpy (ΔH_{vap}) and
331	mass accommodation coefficient (α) (Lee et al., 2010;Lee et al., 2011). Thus, a sensitivity test is
332	performed to determine the ΔH_{vap} of OA and α based on the campaign average data (Fig. S4). A
333	linear relationship was adopted between ΔH_{vap} and $log_{10}C_i^*(T_{ref})$, $\Delta H_{vap} = -a$.
334	$log_{10} C_i^*(T_{ref}) + b$, where a and b are fitting parameters (Epstein et al., 2010). The a and b values
335	are set to be [0, 4, 8, 12] and [50, 80, 100, 150, 200] in the sensitivity test, respectively, along with
336	$\alpha = [0.01, 0.09, 0.1, 0.5, 0.7, 0.9, 1]$. The results show that the measured MFR was reproduced well
337	(with the lowest SSR of 0.0205, Fig. S5) by using ΔH_{vap} =80 kJ mol ⁻¹ with α of 0.09, 0.1 and 0.7,
338	respectively. For simplicity, ΔH_{vap} =80 kJ mol ⁻¹ and α =0.09 are considered as the best estimation
339	and adopted in the simulation of the whole campaign datasets. The extracted α value was consistent
340	with the values ($\alpha \le 0.1$) reported previously (Saha et al., 2015; Park et al., 2013; Saleh et al., 2008;
341	Cappa and Jimenez, 2010), indicating significant resistance to mass transfer during evaporation. In
342	addition, the ΔH_{vap} of OA is of the same magnitude (80-150 kJ mol ⁻¹) as reported in the literature
343	(Hong et al., 2017; Saha et al., 2017; Riipinen et al., 2010).
344	Note that the decomposition of particles during the heating process is ignored in the model.
345	Kiyoura and Urano (1970) suggested that ammonium sulfate would decompose to ammonium
346	bisulfate (NH4HSO4) or triammonium hydrogen sulfate (NH4)3H(SO4)2, and ammonia (NH3) when
347	heated to around 160-180 °C. Wang and Hildebrandt Ruiz (2018) also observed thermal

348 decomposition of organics and ammonium sulfate during evaporation by using a Filter Inlet for 349 Gases and AEROsols chemical-ionization mass spectrometer (FIGAERO-CIMS). It suggests that, 350 besides sublimation, decomposition might occur during evaporation of particles. However, the 351 mechanisms of decomposition are complex and remain unclear, which is difficult to simulate in our 352 model. We roughly estimated uncertainty caused by the decomposition and found that ignoring the 353 decomposition of organics would lead to an underestimation of SVOA, while the decomposition of $(NH_4)_2SO_4$ played a minor role in the simulation (Sect. S2). However, the exact effects are still 354 355 highly uncertain. We hence exclude the decomposition of particles from the model for simplicity.

- 356 3 Results and discussion
- 357 **3.1 Overview**

358 Figure 1 shows the temporal profile of PNSD (a), aerosol chemical composition and total mass 359 concentration of PM_{2.5} (b), mass fraction of each component (c), and wind speed and direction (d) 360 during the measurements. Note that the SP-AMS measurement started on 12th October. According 361 to the PNSD data, a total number of 20 new particle formation (NPF) events were observed during 362 the whole campaign. The background particles mainly exhibited unimodal distribution which peaked at a size range of about 80-150 nm. The average particle number concentration (N_{CN}) in the 363 size range of 3-1000 nm was about 12700 cm⁻³, much lower than that from the rural measurement 364 365 (18150 cm⁻³) in 2006 in the PRD region (Rose et al., 2010). A wide accumulation mode was 366 observed during the period prevalent with north wind direction, implying that the air mass from the 367 north could bring pollutants from the city cluster around Guangzhou to the measurement site.

368	The chemical composition and the corresponding mass fraction measured by the SP-AMS (Fig.
369	1 b and c) were consistent with those of PNSD, which showed a significantly high mass
370	concentration of organics when the wind was from the north. The average mass fraction of PM_1 was
371	dominated by organics (51.8%), followed by sulfate (17.5%), nitrate (10.2%), BC (9.9%),
372	ammonium (8.8%), and chloride (1.7%). The mass concentration of organics varied from 3.3 to
373	123.4 μ g m ⁻³ , with an average value of 20.3 μ g m ⁻³ , lower than the value (25.7 μ g m ⁻³) reported in
374	Guangzhou city (Qin et al., 2017), but significantly higher than that was observed (4.1 μ g m ⁻³) in
375	Hong Kong (Lee et al., 2013). The mass distribution of the chemical species at the Heshan site was
376	similar to that measured in inland China (Chen et al., 2015; Huang et al., 2014), which was
377	dominated by organics from anthropogenic emissions. A distinguished and reproducible diurnal
378	pattern of the mass fraction was observed during the measurement (Fig. 1c), implying that the
379	particle composition was more affected by local emission or photochemical production than other
380	pathways. Organics showed a diurnal pattern with bimodal peaks respectively in the afternoon and
381	evening, which will be discussed later in section 3.3. The temporal profile of GF-PDF (Fig. 2)
382	measured by the H/V-TDMA was consistent with that of chemical composition, which showed a
383	significant diurnal pattern. This suggested that particles at all diameters could be affected by
384	atmospheric chemical processes and local emissions, which will be further discussed in section 3.3.
385	The H/V-TDMA data from 18th to 26th October and 29th October to 3rd November were not available
386	due to instrumental failure. In general, the GF-PDF exhibited a bimodal distribution for particles
387	larger than 30 nm, with a significant more-hygroscopic (MH, GF>1.33) or less-hygroscopic (LH,
388	1.11 <gf<1.33) (nh,="" a="" and="" gf<1.11),="" indicating="" less="" mode="" non-hygroscopic="" obvious="" td="" that="" these<=""></gf<1.33)>
389	particles were partly externally mixed. The NH mode with primary emissions (e.g., fresh black 19

390 carbon and some organics) was more obvious in a size range of 50-150 nm than others, suggesting 391 that these particles were more affected by local anthropogenic emissions. The above observation 392 was supported by the size distribution of the BC mass faction (Fig. S6), which peaked at a size range of about 50-150 nm. Besides, the MH mode shifted to a higher GF value with an increase of particle 393 394 sizes, implying that larger particles were more aged with a higher fraction of inorganic salt (Fig. S6) 395 and well separated from the freshly emitted counterparts. A similar phenomenal pattern was previously observed in the urban environment, including the PRD region (Hong et al., 2018; Cai et 396 397 al., 2017; Jiang et al., 2016; Tan et al., 2013b), the North China Plain (Liu et al., 2011; Ma et al., 398 2016) and other city regions around the world (Yuan et al., 2020; Mochida et al., 2006; Massling et 399 al., 2005).

400 Table 3 summaries the N_{CCN}, activation ratio (AR), D₅₀, and κ_{CCN} values at 0.1%, 0.2%, 0.4%, 401 0.7%, 0.9%, and 1.0% SS during the campaign. The activation ratio is defined as the ratio of N_{CCN} to N_{CN}, that is, $AR = N_{CCN}/N_{CN}$. The average N_{CCN} at 0.1%, 0.2%, 0.4%, 0.7%, 0.9%, and 1.0% SS 402 was about 2507, 4322, 5854, 6834, 7497, and 7862 cm⁻³, respectively. The N_{CCN} at 0.7% SS was 403 404 lower than that measured (7900 cm⁻³ at 0.7% SS) in urban Guangzhou (Cai et al., 2018) and at a suburban site (14400 cm⁻³ at 0.864% SS) in the North China Plain (Zhang et al., 2020), but 405 406 significantly higher than that measured at an urban site (2776 cm⁻³ at 0.68% SS) in São Paulo, Brazil 407 (Almeida et al., 2014). The average AR at the above six SS was 0.20, 0.34, 0.45, 0.52, 0.57, and 408 0.60, respectively. The AR at 0.7% SS was lower than the measured value (0.64 at 0.7% SS) in the 409 urban Guangzhou (Cai et al., 2018), while the corresponding D₅₀ (52.56 nm) was lower than that 410 (58.45 nm) in the Guangzhou campaign, implying a higher CCN activity at this site. Thus, the lower 411 AR in this autumn campaign suggested that particles were more centered at smaller sizes, which 20

412	might be attributed to frequently occurred NPF at the Heshan site. The average κ values obtained
413	using HTDMA fall in a range of 0.1-0.17 at 30-200 nm (Fig. S7a), which were possibly attributed
414	to a high fraction of organic matter (Fig. S6). The κ_{AMS} is slightly higher than the κ_{HTDMA} and the
415	differences become larger with decreasing particle sizes. This was probably due to the overestimated
416	κ_{OA} at a small size range, which will be discussed in the next section. The hygroscopicity parameter
417	κ values obtained by the CCNc method were 0.48, 0.47, 0.31, 0.22, 0.20, and 0.20 at the above SS,
418	respectively, which were much higher than those measured by the HTDMA in this study. This
419	significant discrepancy between the measured κ_{CCN} and κ_{HTDMA} values might suggest that the water
420	uptake behavior is different under super- and sub-saturation condition, which is likely attributed to
421	the surfactant effect. It was reported that organic matter in the particles could serve as surfactant and
422	lower surface tension by about 0.01-0.032 N m ⁻¹ , leading to a higher CCN activity and thus a higher
423	κ_{CCN} (Petters and Kreidenweis, 2013; Ovadnevaite et al., 2017; Liu et al., 2018). According to Eqs.
424	(4) and (5), the κ_{CCN} was more susceptibly affected by the value of surface tension than that of
425	κ_{HTDMA} , which would lead to the discrepancy between κ_{CCN} and κ_{HTDMA} values. The surfactant effect
426	is closely related to the presence of liquid-liquid phase separation (LLPS) for organic-containing
427	particles at high RH (Renbaum-Wolff et al., 2016; Ruehl and Wilson, 2014). Once LLPS occurred,
428	the organic film on the droplet surface would decrease surface tension and enhance water uptake.
429	For particles of organic/inorganic mixture, the LLPS can occur when the O:C is lower than 0.8
430	(Bertram et al., 2011; Song et al., 2012a, b; Schill and Tolbert, 2013). The average O:C obtained
431	using AMS is about 0.53 in this campaign, suggesting that the LLPS likely occurred at
432	supersaturation conditions. Meanwhile, the variation of the discrepancy between κ_{CCN} and κ_{HTDMA}
433	is statistically insignificant during clean and polluted periods (Fig. S7b and c), implying that the 21

434 surfactant effect was hardly affected by pollution condition. Note that surface tension effect is not 435 the only factor which leads to a higher κ_{CCN} . It was found that κ_{CCN} could be higher than κ_{HTDMA} , 436 since the existence of the slightly soluble compounds inhibits water uptake under subsaturation conditions (Zhao et al., 2016; Pajunoja et al., 2015; Dusek et al., 2011; Petters et al., 2009; Hong et 437 438 al., 2014; Hansen et al., 2015). Other factors, such as different parameters used in the CCNc and 439 HTMDA calibration and function groups associated with the carbon chain, can lead to a gap between 440 KHTDMA and KCCN (Rose et al., 2008; Wex et al., 2009). More future work is needed to better 441 understand this water uptake mechanism and to improve the prediction of aerosol-cloud-climate 442 interactions.

443 **3.2** The average size-resolved hygroscopicity and volatility of OA

444 The composition of organics could vary on a large scale with diameters due to different sources 445 and aging processes, which would further affect their properties. Figure 3 presents the average size-446 resolved hygroscopicity and volatility of OA. The κ_{OA} values (vertical red lines in Fig. 3) ranged 447 from 0.058 to 0.09, within the range (0.05-0.15 at 100 nm) previously reported in the PRD region 448 (Hong et al., 2018) and slightly higher than that (0.03-0.06 at 250 nm) at a mountain site in Germany 449 (Wu et al., 2013). In general, the κ_{OA} values increased with particle sizes from 0.058 at 30 nm to 450 0.09 at 150 and 200 nm, similar to the feature observed in urban and forest environments (Kim et 451 al., 2020; Deng et al., 2019). The increases of the κ_{OA} values with particle sizes could be explained 452 by the oxidation level of organic aerosols (Massoli et al., 2010; Lambe et al., 2011; Xu et al., 2021). 453 Specifically, the hygroscopicity of OA was often found to be positively correlated to its oxidation 454 level (Mei et al., 2013; Lambe et al., 2011), which was usually represented by f_{44} , O/C ratio, or $\overline{OS_c}$.

Thus, the higher κ_{OA} values at larger particle diameters in this study might correspond to a higher aging degree of these particles, and this was confirmed by the increasing trend of f_{44} with particle diameters, i.e., the increasing fraction of CO_2^+ in OA in large particles (Fig. S8). Previous field studies also indicated that f_{44} increased with particle diameters (Kim et al., 2020; Cai et al., 2018), leading to a higher κ_{OA} value.

460 Besides the hygroscopicity of OA, we observed the size dependence of volatility. As shown in 461 Fig. 3, the mass fraction of ELVOA increases from 0.16 to 0.30 with the particle diameter, indicating 462 that the particles could be more aged at larger diameters, consistent with the higher κ_{OA} values as 463 discussed above. The ELVOA fraction in this campaign was higher than that in Beijing in summer 464 (0.13) measured by a thermodenuder (TD) coupled to an AMS (Xu et al., 2019), but similar to that 465 in Athens (0.3) using a similar TD system (Louvaris et al., 2017). The SVOA generally contributed 466 42%-57% to the OA at all measured sizes, comparable to the values reported in Centreville and Raleigh (66-75%, Saha et al., 2017), Beijing (64%, Xu et al., 2019) and Mexico City (39%-73%, 467 Cappa and Jimenez, 2010). Note that the relationship between volatility and oxidation state of OA 468 469 is not usually strong. Saha et al. (2017) reported weak correlations (R < 0.3) between the mean 470 volatility ($\overline{C^*}$) and the mean oxidation state ($\overline{OS_C}$). Hong et al. (2017) also found that the volatility 471 distribution of OA derived from the combined V-TDMA and evaporation dynamic model could not 472 be fully explained by the organic factions determined by the PMF analysis based on the AMS data. 473 This is probably because the volatility was not only dependent on the $\overline{OS_C}$, but also the number of 474 atomic carbon (Donahue et al., 2011). In spite of this, the size-resolved volatility distribution can 475 provide a rough estimate of the aging degree of OA.

3.3 The diurnal variation of OA hygroscopicity and volatility

477	As discussed in Sect. 3.2, the hygroscopicity and volatility of OA could vary on a large range
478	with particle diameters, which might be attributed to photochemical reactions and the OA sources.
479	In this section, the diurnal variation of hygroscopicity and volatility of OA at different particle sizes
480	was investigated, in combination with the PMF results. In general, the mass fraction of organics
481	showed an obvious diurnal pattern during the whole campaign, with two peaks at about 14:00 and
482	19:00 LT (Fig. 4a), implying significant impacts of photochemical reactions and local emissions.
483	Based on the PMF results (Fig. 5), the afternoon peak was attributed to secondary organics aerosol
484	(SOA) formation (aBBOA and LOOA) during daytime, while the evening peak was explained by
485	local residential activity (e.g., biomass burning and cooking, HOA and BBOA), as will be discussed
486	later. A similar late-afternoon peak was observed in Hong Kong (Lee et al., 2013), where the OA
487	enhancement was mainly contributed by traffic emissions. The f_{44} remained at a high level during
488	daytime, consistent with strong photochemical reactions. A similar diurnal pattern was observed in
489	the urban and sub-urban regions (Hong et al., 2018; Hu et al., 2016; Thalman et al., 2017),
490	suggesting the consistent aging processes of pre-existing OA. In contrast, Deng et al. (2019)
491	reported a relative low OA oxidation state during daytime in a forest environment, which could be
492	explained by the SOA formation through photochemical oxidation of BVOCs.
493	The calculated κ_{HTDMA} and κ_{AMS} (the blue and red lines in Fig. 4b, respectively) values at 200
494	nm based on Eqs. (4) and (7) both reached minimum during daytime which was consistent with high
495	OA fractions. This may be explained by lower hygroscopic of OA than inorganics as found in

496 previous studies (Pajunoja et al., 2015; Zhao et al., 2015; Kuang et al., 2020b) as well as the low κ_i

497 values shown in Table 1. Although OA in a higher oxidation state could be hydrophilic (Massoli et 498 al., 2010), the primary OA is usually considered to be hydrophobic substance and their mixture 499 would be less hygroscopic (usually with average $\kappa = 0.1$). The κ_{AMS} values were generally consistent 500 with those of the κ_{HTDMA} during daytime while the overestimated κ_{OA} was observed during nighttime. 501 This implies a lower κ_{OA} value than 0.1 at 200 nm during the nighttime, probably due to less 502 oxidation processes at night than those under the sunlight.

The average diurnal profile of PNSD is shown in Fig. 4c. Besides a stable accumulation mode peaked at around 100 nm, a significantly growing mode of particle number from 20nm to 80 nm was observed from 12:00 to 20:00 LT, which could be attributed to the frequently occurred NPF during the campaign (Fig. 1a).

507 The size-resolved diurnal variations of κ_{OA} was explored in Fig. 6. Note that the κ_{OA} values are 508 presented in 2-hour resolution due to the low data coverage (Figs. 1 and 2). In general, a significantly 509 different pattern was observed between the Aitken mode and the accumulation mode. For Aitken 510 mode particles (30-100 nm), the κ_{OA} values were higher (0.05-0.1) before dawn than those (0.02-511 0.07) during daytime, while this trend began to overturn at 150 and 200 nm, where the κ_{OA} values 512 peaked at noon (~0.09, Fig. 6). As reported in literature, the hygroscopicity of organics was partly dependent on the aging degree (Liu et al., 2021; Zhao et al., 2016; Kim et al., 2020). The diurnal 513 514 characteristics of the size-resolved κ_{OA} indicate that the OA in small particles (30-100 nm) was fresh 515 and became aged in large particles. For the same campaign, Kuang et al. (2021) reported the bulk 516 κ_{OA} of PM₁ based on aerosol optical hygroscopicity measurements, which could provide high time 517 resolution data of κ_{OA} . The relationship between κ_{OA} and different OA factors was investigated, 518 which showed a negative correlation (R=-0.25) between LOOA and κ_{OA} , while a positive correlation 25

519	(R=0.35) between aBBOA and κ_{OA} . Thus, the decrease of κ_{OA} for Aitken mode particles during
520	daytime might be attributed to the daytime formation of LOOA through gas-particle partitioning
521	(Fig. 5). A similar phenomenon was reported by Deng et al. (2019) in a forest environment, which
522	might be attributed to the photochemical reactions of BVOCs. Therefore, OA in small particles
523	might be less aged and was primarily contributed by photochemical oxidation of VOCs. In contrast,
524	it is likely that the accumulation mode particles became aged through photochemical oxidation
525	during daylight, as evidenced by higher fractions of ELVOA at 200 nm and higher κ_{OA} (Figs. 6 and
526	7) during daytime. According to the PMF analysis, the daytime formation of aBBOA likely resulted
527	from the aging processes of primary OA or biomass burning related precursors (Fig. 5). As suggested
528	by Kuang et al. (2021), the daytime formation of aBBOA (Fig. 5) would lead to an increase of κ_{OA} ,
529	which likely explained the noontime κ_{OA} peak at 150 and 200 nm. It suggested that the OA in the
530	accumulation mode was more influenced by the aging processes through photochemical reactions
531	(leading to aBBOA formation).
532	The average size-resolved volatility distribution of OA during daytime (8:00 to 16:00 LT) and
533	nighttime (20:00 to 4:00 LT) was demonstrated in Fig. 7. A higher fraction of semi-volatile organic
534	aerosol (SVOA) was observed at six measured sizes (30, 50, 80, 100, 150, and 200 nm) during
535	daytime. SVOA was usually related to primary emission (e.g., traffic, biomass burning) and gas-

particle partitioning (Donahue et al., 2012;Jathar et al., 2020;Hong et al., 2017; Saha et al., 2017).
Two primary emission factors, BBOA and HOA, remained at a relative low level during daytime,
suggesting that the higher fraction of SVOA during daylight might be more originated from gasparticle partitioning. Note that gas-particle partitioning (leading to LOOA formation) could occur
at all measured diameters, as shown by the higher daytime fractions of SVOA (Fig. 7). In summary, 26

542

the above results indicate that the negative effect of LOOA on κ_{OA} might exist at all diameters, while the positive effect of aBBOA was more dominant at larger particle sizes.

- 543 Meanwhile, the decreasing trend of κ_{OA} was observed from 18:00 to 24:00 at 80 and 100 nm which might be related to the high mass fraction of OA from primary emissions (HOA and BBOA, 544 545 Fig. 5), owing to their hydrophobic nature. These two primary factors were associated with traffic emissions, cooking and biomass burning. Zhang et al. (2005b) constructed the size distribution of 546 547 HOA based on the size-resolved m/z 44 and 57 from the AMS measurement and showed that HOA 548 was dominant (~75%) in ultrafine particles (D_{va}<100 nm). The size-resolved PMF results from Sun 549 et al. (2012) also indicated a high mass fraction of HOA (0.3-0.4) in Aitken mode particles. The 550 mass distribution of BC could be used to represent the distribution of primary OA (Cubison et al., 551 2008; Wang et al., 2010; Zhang et al., 2005a) due to similar source origins for BC and HOA/BBOA. 552 The average mass fraction of BC peaked at about 80-100 nm (Fig. S6a), suggesting that HOA and 553 BBOA might be dominant at this size range. The BC peaks at 80 nm and 100 nm were consistent 554 with those of the SVOA mass fraction (Fig. 3), which was attributed to biomass burning as similar 555 characteristics for the BC peak were shown in other studies (May et al., 2013;Huffman et al., 556 2009;Donahue et al., 2011). Furthermore, this conclusion was supported by the hygroscopicity 557 measurements as a significant NH mode for 80-100 nm particles was found (Fig. 2). Overall, these 558 results highlight that the diurnal variation of physicochemical properties of OA could vary in a large 559 range with particle diameters, and further investigation is needed.
- 560 **3.4 Implication for CCN activity**

561 The CCN activity and its prediction is essential in global climate model and evaluation. A κ_{OA}

value of 0.1~0.15 was widely adopted in the prediction of N_{CCN} based on aerosol chemical composition (Meng et al., 2014; Wang et al., 2010; Almeida et al., 2014). As discussed in Sect. 3.3, the κ_{OA} values might be dependent on particle sizes and vary diurnally, which in turn affect N_{CCN} . Here, different κ_{OA} values were adopted to predict N_{CCN} and the impact of κ_{OA} on N_{CCN} was investigated through comparison between the predicted and measured N_{CCN} . Note that we only discussed the N_{CCN} at 0.1%, 0.2%, 0.4% and 0.7% SS, since the D₅₀ at higher SS (0.9% and 1.0%) was within a narrow range (35-60 nm).

569 The N_{CCN} at a certain SS can be calculated using PNSD and D_{50} :

570
$$N_{CCN,p}(SS) = \int_{D_{50}}^{\infty} n_i dlog Dp_i$$
 (16)

571 where n_i is the particle distribution function at Dp_i and D_{50} is determined from the κ_{AMS} using Eqs. 572 (5) and (7). The D₅₀ at 0.1%, 0.2%, 0.4% and 0.7% SS ranged from about 130-160 nm, 90-110 nm, 573 60-80 nm and 45-60 nm, respectively. Three κ_{OA} schemes were proposed to predict N_{CCN}: (1) fixed 574 κ_{OA} , where κ_{OA} was assumed to be 0.1 for all size particles. (2) size-resolved κ_{OA} (SR κ_{OA}), where 575 κ_{OA} was taken from average size-resolved κ_{OA} (κ_{OA} at 50, 80, 100 and 150 nm for 0.7%, 0.4%, 0.2% 576 and 0.1% SS, respectively) in Sect. 3.2. (3) size-resolved diurnal κ_{OA} (SR diurnal κ_{OA}), where κ_{OA} 577 was the average diurnal value of κ_{OA} at each diameter (κ_{OA} at 50, 80, 100 and 150 nm for 0.7%, 0.4%, 0.2% and 0.1% SS, respectively) as shown in Sect. 3.3. The κ_{AMS} was calculated based on the 578 579 chemical composition at the corresponding D_{50} range. Note that the N_{CCN} prediction based on the 580 SR diurnal κ_{OA} scheme was presented in 2 h time resolution and the particles were assumed to be 581 internally mixed in Eq. (16). The internal mixing assumption could slightly increase the predicted 582 N_{CCN} by about 6-10% (Sect. S3). As aforementioned, organics can increase the CCN activity by decreasing surface tension, which might lead to significant discrepancy between κ_{HTDMA} and κ_{CCN} 583 28 584 in this campaign (Fig. S7). In addition, this effect could result in a significant underestimation of 585 N_{CCN} (Ovadnevaite et al., 2017; Liu et al., 2018; Good et al., 2010; Noziere, 2016). 586 Here, we evaluate the surface tension effect by comparing κ_{HTDMA} and κ_{CCN} as a function of $\sigma_{s/a}$ (Fig. S9). The κ_{CCN} reached κ_{HTDMA} when the $\sigma_{s/a}$ values were set to be about 0.059 N m⁻¹ at 587 0.7%, 0.9% and 1.0% SS, 0.053 N m⁻¹ at 0.4% SS, 0.047 N m⁻¹ at 0.2% SS, and 0.049 N m⁻¹ at 0.1%588 SS, respectively. Thus, we adopted $\sigma_{s/a}$ values of 0.049, 0.047, 0.053 and 0.059 N m⁻¹ to predict 589 590 N_{CCN} at 0.1%, 0.2%, 0.4% and 0.7% SS, respectively. In general, the N_{CCN} prediction could be 591 significantly improved by considering the surfactant effect (Fig. S10). The N_{CCN} was slightly 592 overestimated by using reduced $\sigma_{s/a}$ values, which was probably due to using a fixed κ_{OA} values. 593 This bias could be corrected by adopting SR κ_{OA} scheme (Fig. S11).

594 The deviation of the N_{CCN} prediction ($\delta_{N_{CCN}}$) at a certain SS is defined as (Cai et al., 2021b):

595
$$\delta_{N_{CCN}}(SS) = \frac{N_{CCN,m}(SS) - N_{CCN,p}(SS)}{N_{CCN,m}(SS)} 100\%$$
(17)

596 where $N_{CCN,m}(SS)$ is the measured N_{CCN} at a specific SS. A negative $\delta_{N_{CCN}}$ indicates an 597 overestimate of N_{CCN}, and vice versa.

Figure 8 shows the $\delta_{N_{CCN}}$ at different SS for the three κ_{OA} schemes. Fixed κ_{OA} scheme gave 598 generally a negative value of $\delta_{N_{CCN}}$ (-0.18 to -0.02) at 0.7% SS, indicating an N_{CCN} overestimation, 599 600 due to lower κ_{OA} values for smaller particles. A significant diurnal pattern of $\delta_{N_{CCN}}$ was observed at 601 all SS. The $\delta_{N_{CCN}}$ was relatively higher during daytime at 0.1% SS, while an opposite pattern was 602 shown at high SS, consistent with the size-dependent variation of κ_{OA} (Fig. 6). Hence, the fixed κ_{OA} 603 scheme could lead to an obvious discrepancy in the N_{CCN} prediction as SS increased. The results 604 based on the SR κ_{OA} scheme showed that the minimum $\delta_{N_{CCN}}$ value at 0.7% SS increased from -0.18 in the fixed κ_{OA} scheme to -0.08, indicating the improvement for the N_{CCN} prediction at high 605 29

606 SS (Fig. 8b). However, only minor improvement was observed at SS lower than 0.4 % because of 607 the low employed κ_{OA} (about 0.08), which was close to the κ_{OA} value (0.1) adopted in the fixed κ_{OA} 608 scheme. A significant difference of $\delta_{N_{CCN}}$ was still observed in the diurnal pattern at high and low SS, implying the impact of the diurnal variation of κ_{OA} on the N_{CCN} prediction. To further investigate 609 610 this impact, the SR diurnal κ_{OA} scheme was employed to calculate $\delta_{N_{CCN}}$ and the results were shown 611 in Fig. 8c. The $\delta_{N_{CCN}}$ value at 0.7% SS varied from -0.04 to 0.09 with an average value of 0, whereas 612 it ranged from 0 to 0.11 at 0.1% SS. Hence, the discrepancies of $\delta_{N_{CCN}}$ among different SS became 613 minor compared to the other two schemes as a relatively flat diurnal pattern of $\delta_{N_{CCN}}$ was observed 614 at all SS. It implies that better prediction of N_{CCN} could be achieved by considering the diurnal 615 variation and the size dependence of κ_{OA} .

616 4. Conclusions

617	A rural field measurement was conducted at the Heshan supersite in the PRD region of China
618	during October and November 2019. We investigated the diurnal variation and size dependence in
619	the hygroscopicity and volatility of OA in combination with the PMF analysis of the AMS data. The
620	impacts of OA on the CCN number concentration at different SS were discussed for various given
621	size-dependent κ_{OA} values.

- 622 In general, the average κ_{OA} values varied from 0.058 at 30 nm to 0.09 at 200 nm, indicating a
- higher oxidation degree of OA at larger sizes than at smaller sizes. This is consistent with particle
- volatility: the mass fraction of ELVOA increased (0.16-0.30) with increasing particle diameters.
- 625 Our results suggest that the formation and aging processes of OA might vary with particle sizes.

626	An oppositely diurnal pattern of κ_{OA} was observed between Aitken mode (30-100 nm) and
627	Accumulation mode (150 and 200 nm) particles, suggesting different atmospheric evolution
628	processes of OA at different diameters. The gas-particle partitioning could decrease the κ_{OA} , while
629	the aging processes of preexisting particles could enhance the hygroscopicity of OA. For Aitken
630	mode particles (30-100 nm), the κ_{OA} values reached minimal (0.02-0.07) during daytime.
631	Meanwhile, a daytime peak was observed for the κ_{OA} value (~0.09) in the accumulation mode (150
632	and 200 nm), suggesting that the aging processes of preexisting particles were more dominant at
633	accumulation mode particles. In addition, the mass faction of SVOA was higher during daytime at
634	all measured diameters, implying that the formation of LOOA through gas-particle partitioning was
635	independent of particle diameters.
636	The impact of the size-resolved diurnal variation of κ_{OA} on the N_{CCN} was investigated. The use
637	of fixed κ_{OA} (κ_{OA} =0.1) overestimated the N _{CCN} up to 18% at 0.7% SS. The diurnal deviation became
638	obvious at 0.7% SS and minor at 0.1% SS during daytime, owing to the size-dependent variation of
639	$\kappa_{OA}.$ The N_{CCN} prediction at 0.7% SS was improved if the SR κ_{OA} scheme was used, while the
640	diurnal variation of $\delta_{N_{CCN}}$ still existed. Better predictions can be obtained by using SR diurnal κ_{OA} .
641	Our results highlight that the physical properties of OA can vary in a large range at different size
642	ranges due to the formation and aging processes, and the size-resolved diurnal variation in κ_{OA} plays
643	an important role in the N_{CCN} prediction at different SS. Further studies on the size-resolved
644	physicochemical properties of OA should be performed in different environments to better

- 645 understand their impact on cloud formation and hence climate.
- 646

647 Data availability. Data from the measurements are available at 31

- 648 <u>https://doi.org/10.6084/m9.figshare.18094277.v1</u> (Cai et al., 2022).
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- 651
- 652 Author contributions. MC, SH, BY and LL designed the research. MC, SH, MS, BY, YP, ZW, DC,
- 653 WC, QS, WL, BL and QS performed the measurements. MC, SH, BL, QS, LL, BY, WH, WC,
- 654 QS, WL, YP, ZW, HT, HX, FL, DX, TD, JS and JZ analyzed the data. MC, SH and LL wrote the
- 655 paper with contributions from all co-authors.
- 656

657 *Competing interests.* The authors declare that they have no conflict of interest.

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NH4NO3 1720 ^a 0.58 ^b NH4HSO4 1780 ^a 0.56 ^b	
NH4HSO4 1780 ^a 0.56 ^b	
1141004 1700 0.50	
H ₂ SO ₄ 1830 ^a 0.90 ^b	
(NH ₄) ₂ SO ₄ 1769 ^a 0.48 ^b	
Organics 1400 ^a 0.10 ^b	
BC 1770° 0 ^d	

1071 Table 1. The density and the κ value of the related species used in this study.

^a From Gysel et al. (2007); ^b From (Cai et al., 2018); ^c From Deng et al. (2019); ^d Assumed to be 0.

Parameters	ELVOA	LVOA	SVOA	Ammonium	Ammonium	Black
				Nitrate	Sulfate	Carbon
$C_i^*(T_{ref}) (\mu g \ m^{-3})^{a}$	10-5	10-2	10	76	2×10 ⁻³	10-30
$D_i \ (m^2 \ s^{\text{-}1})^b$	5×10-6	5×10-6	5×10-6	5×10 ⁻⁶	5×10 ⁻⁶	5×10 ⁻⁶
$\sigma_{s/a} \ (N \ m^{-1})^c$	0.05	0.05	0.05	0.05	0.05	0.05
M _i (kg mol ⁻¹)	0.2	0.2	0.2	0.08	0.132	0.28
$\rho_i \ (kg \ m^{-3})$	1400	1400	1400	1720	1769	1770
$\Delta H_{vap,i} \; (kJ \; mol^{-1})^d$	80	80	80	152	94	100
α ^e	0.09	0.09	0.09	0.09	0.09	0.09

1074 Table 2. Thermophysical properties of each component used in the multi-component evaporation

1075	1	•	1 1
10/5	dvna	mics	model
1075	ayna	unico	mouer.

1076 ^a From Hong et al. (2017); ^b From Riipinen et al. (2010); ^c From Riipinen et al. (2010); ^d The ΔH_{vap}

1077 values of organics are obtained from the sensitivity test shown in Fig. S4 and the values of inorganic

1078 species are from Hong et al. (2017); ^e Obtained from the sensitivity test shown in Fig. S4.

1080 Table 3. The average and standard deviation values (mean \pm std) of N_{CCN}, AR, D₅₀, and κ_{CCN} at 0.1%,

· · · · ·			e	1 0		
SS	0.1%	0.2%	0.4%	0.7%	0.9%	1.0%
N _{CCN}	2507±1187	4322±1981	5843±2461	6834±2921	7497±3210	7862±3352
$(\# \text{ cm}^{-3})$						
AR	0.20 ± 0.09	0.34±0.13	0.45 ± 0.16	0.52 ± 0.17	0.57 ± 0.17	0.60 ± 0.17
D ₅₀ (nm)	145.55±11.	92.83±8.80	66.79±6.33	52.56 ± 5.46	45.38±4.82	42.26±4.45
	26					
KCCN	0.48±0.13	0.47±0.15	0.31 ± 0.10	0.22 ± 0.09	0.20 ± 0.08	0.20 ± 0.08

0.2%, 0.4%, 0.7%, 0.9% and 1.0% SS during the campaign.

1083 FIGURE CAPTIONS

1084 Figure 1. The temporal profile of the measured variables during the campaign. (a) particle number

1085 size distribution; (b) PM₁ chemical composition measured by the SP-AMS along with mass

- 1086 concentration of PM_{2.5}; (c) mass fraction of each species; (d) wind speed and direction. The color
- 1087 code in (d) represents the wind direction.
- 1088 Figure 2. The temporal profile of GF-PDF at the measured diameters (30, 50, 80, 100, 150 and 200
- 1089 nm). The color code denotes the probability density and the red solid line represents the mean GF
- 1090 (GF_{mean}).
- 1091 Figure 3. The average mass fraction distribution of SVOA, LVOA and ELVOA at the measured
- diameters (30, 50, 80, 100, 150 and 200 nm), and average size-resolved hygroscopicity of organic
- 1093 aerosol (κ_{OA}) with the upper and lower error bars (in red).
- 1094 Figure 4. The campaign average diurnal variation of mass fraction of organics and f44 in bulk
- 1095 PM₁ (a), the κ values at 200 nm obtained by HTDMA (κ_{HTDMA}) and AMS (κ_{AMS}) measurements
- 1096 (b), the PNSD (c) and mass distribution of organics (d). The shaded area represents standard
- 1097 deviation.
- 1098 Figure 5. The diurnal variation (displayed in boxplot) mass concentration of the deconvolved OA
- 1099 factors from PMF analysis of AMS data, including more oxygenated OA (MOOA), less
- 1100 oxygenated OA (LOOA), aged biomass burning OA (aBBOA), hydrocarbon-like OA (HOA),
- 1101 biomass burning OA (BBOA), and nighttime OA (night-OA).
- 1102 Figure 6. The average (solid line) and standard deviation (shaded area) diurnal variation of κ_{OA} at
- 1103 different particle diameters.

- 1104 Figure 7. The size-resolved volatility distribution during daytime (8:00-16:00 LT) and nighttime
- 1105 (20:00 to 4:00 LT) based on the median time of each cycle owing to the limited time resolution.
- 1106 Figure 8. The average diurnal variation of $\delta_{N_{CCN}}$ at 0.1%, 0.2%, 0.4% and 0.7% SS based on fixed
- 1107 κ_{OA} (a), SR κ_{OA} (b) and SR diurnal κ_{OA} (c).
- 1108



1112 Fig. 1.











1123 Fig. 4.



1127 Fig. 5.





1130 Fig. 6.



- 1133 Fig. 7.





