

1       **Triggering effects of large topography and boundary layer turbulence on**  
2       **convection over the Tibetan Plateau**

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15      **Abstract**

16      In this study, we analyze the diurnal variations and formation mechanism of low  
17      clouds at different elevations. We further discuss whether there exists triggering  
18      mechanism for convection over the Tibetan Plateau (TP), and whether there is an  
19      association among low air density, strong turbulence and ubiquitous “popcorn-like”  
20      cumulus clouds. The buoyancy term (BT) and shear term (ST) over the TP are  
21      significantly greater than those at the low elevation, which is favorable for the  
22      formation of increasing planetary boundary layer height (PBLH), and also plays a key  
23      role in the convective activities in the lower troposphere. From the viewpoint of  
24      global effects, the triggering of convection by boundary layer dynamics is analyzed  
25      over TP but also in the Northern Hemisphere over the Rocky Mountains. It is found  
26      that ST and BT are strong over both high elevation regions. The strong thermal  
27      turbulence and large scale ascending motion jointly results in obvious positive value  
28      of PBLH-LCL under low relative humidity (RH) condition over the TP. The obvious  
29      large-scale subsidence on both sides of the Rocky Mountain especially the western  
30      side leads to inversion above PBL and lower RH within the PBL, which further lead  
31      to negative value of PBLH-LCL and decreased low cloud cover (LCC) in most part of  
32      Rocky Mountain. The slightly greater than zero PBLH-LCL corresponds spatially to  
33      increased LCC in the partial regions of central Rocky Mountain. Thus less LCC is  
34      generated at the Rocky Mountains compared to the TP.

35

36 **Introduction**

37 The Tibetan Plateau (TP), which resembles a "third pole" and a "world water  
38 tower", plays an important and special role in the global climate and energy–water  
39 cycle (Xu et al., 2008; Wu et al., 2015). The TP covers a quarter of China.  
40 Additionally, the average altitude of the TP is 4000 meters, reaching 1/3 of the  
41 tropopause height, so it is called the "World Roof". Cumulus convection over the TP  
42 transfers heat, moisture and momentum into the free troposphere, which can impact  
43 the atmospheric circulation regionally and globally (Li and Zhang, 2016; Xu et al.,  
44 2014) and reveals the important "window effect" for the transfer and exchange of  
45 global energy and water vapor over the TP. It is a dynamic effect caused by the special  
46 heat source that constitutes the "window effect" and "thermally driven" mechanism  
47 over the TP.

48 The results of the second Tibetan Plateau Experiments (TIPEX II), which were  
49 carried out in 1998, show that the strong convective plumes within PBL observed by  
50 sodar and a frequently occurred deep mixed layer ( $>2$  km) can lead to ubiquitous  
51 "popcorn-like" cumulus clouds in Dangxiong, as proposed by Zhou et al. (2000), and  
52 Xu et al. (2002) came up with a comprehensive physical pattern of land-air dynamic  
53 and thermal structure on the TP (Xu et al., 2002; Zhou, 2000). The previous studies  
54 have done many valuable researches on the triggering mechanism of moist convection  
55 over moist and dry surfaces based on atmospheric observations and simulations (Ek  
56 and Mahrt, 1994; Findell and Eltahir, 2003; Gentine et al., 2013). For dry surface, the  
57 weak stratification and strong sensible heat flux result in the rapid growth of PBLH so  
58 that the relative humidity at the top of the boundary layer  $RH_{top}$  increases rapidly,  
59 which favors the formation of clouds. For moist surface, strong stratification and  
60 evaporation (small bowen ratio) not only cause slow growth of PBLH but also  
61 increase the mixed layer specific humidity and  $RH_{top}$ , which favor the formation and  
62 development of clouds. Taylor et al. (2012) found that the afternoon rain falls  
63 preferentially over soils that are relatively dry compared to the surrounding area,  
64 especially for semi-arid regions. Guillod et al. (2015) reconciled spatial and temporal  
65 soil moisture effects on the afternoon rainfall. They showed that afternoon  
66 precipitation events tend to occur during wet and heterogeneous soil moisture  
67 conditions, while being located over comparatively drier patches. Tuttle et al. (2016)  
68 showed the empirical evidence of contrasting soil moisture–precipitation feedbacks  
69 across the United States, and they found that soil moisture anomalies significantly  
70 influence rainfall probabilities over 38% of the area with a median factor of 13%.  
71 Findell et al. (2003) analyzed the model results over dry and wet soils in Illinois. They  
72 summarized the predictive capability of rain and shallow clouds by using the  
73 convective triggering potential (CTP) and a low-level humidity index, with  $HI_{low}$  as  
74 measures of the early morning atmospheric setting. Our previous studies pointed out  
75 that the developments of these cumulus clouds are related to the special large scale  
76 dynamic structure and turbulence within PBL over the TP (Xu et al., 2014; Wang et al.,  
77 2020). In addition, Wang et al., (2020) pointed out that, despite the same relative  
78 humidity between eastern China and the TP, the lower temperature over the TP results

79 in a lower lifting condensation level. With the same surface sensible heat flux, lower  
80 air density over the TP results in a larger buoyancy flux and a deeper boundary layer.  
81 All the above results indicate the topography of the TP plays a major role in  
82 increasing the occurrence frequency of strong convective clouds (Luo et al., 2011).  
83 This conclusion is consistent with the viewpoint of Flohn (1967) who emphasized the  
84 chimney effect of the huge cumulonimbus clouds on heat transfer in the upper  
85 troposphere.

86 The TP is one of the regions in China that is featured with high frequency of  
87 cumulus clouds, and the development of a cumulus system is related to both the  
88 turbulence and special dynamical structure in the PBL over the TP. The vertical  
89 motion over the TP is associated with anomalous convective activities. However, as  
90 Li and Zhang (2016) mentioned, the details of PBL processes are not very clear. The  
91 same is true for the diurnal variations and formation mechanism of low clouds over  
92 the TP and low elevation regions. The different variation characteristics of these low  
93 clouds at different elevations and regions also need to be discussed and analyzed.  
94 Moreover, we need to investigate whether there exists “high efficiency” triggering  
95 mechanisms for convection over the TP, and whether there is an association among  
96 low air density, strong turbulence and ubiquitous “popcorn-like” cumulus clouds. Is  
97 there also strong turbulence at higher elevation regions with lower air density in the  
98 globe? What is the impact of the large scale vertical motions on clouds? Because both  
99 the TP and Rocky Mountains are high elevation regions covering large mid-latitude  
100 areas, we select these two typical regions to make a deep analysis. Unlike our  
101 previous paper by Wang et al. (2020), in this study we mainly focus on the  
102 comparison between these two regions to analyze the above scientific questions.

## 103 **2 Observational and reanalysis data**

104 We use in situ measurements of temperature (T) and relative humidity (RH) at 2  
105 m height, surface pressure data every hour, and low cloud cover (LCC) every three  
106 hours from 2402 automatic weather stations from June to August of 2010-2019 in  
107 China. LCC here refers to the fraction of the sky covered by low clouds as estimated  
108 by human observers, including five cloud types: nimbostratus (Ns), stratocumulus  
109 (Sc), stratus (St), cumulus (Cu), and deep convection (DC). These surface observation  
110 datasets are provided by China National Meteorological Information Center.

111 In addition, we use the hourly  $0.25^\circ \times 0.25^\circ$  ERA5 reanalysis surface-layer data  
112 in summer (June 1 to August 31) from 2010 to 2019 (Hersbach et al., 2020).

113 We use more than 4 years (from June 15 2006 to August 31 2010) of the satellite  
114 (CloudSat radar and Calipso lidar)-merged cloud classification product  
115 2B-CLDCLASS-lidar to calculate the mean LCC with  $1^\circ \times 1^\circ$  resolution at about 2:00  
116 pm and 2:00 am LT in summer. The introduction of this product and details of the  
117 LCC calculation methods are summarized in Sassen and Wang (2008) and Wang et al  
118 (2020).

119 We use a Gaofen 4 (GF 4) visible satellite image with a spatial resolution of 50 m  
120 on August 4 of 2020 to show the organized structures (cellular convection) in  
121 southeastern TP, as shown in Figure 1. GF 4 is a geostationary earth observation  
122 satellite in the Gaofen series of Chinese civilian remote sensing satellites. We also use

123 the 1 year (from June 1 to August 31 of 2016) geostationary satellite Himawari-8  
 124 retrieval product (cloud top height) over land in East Asia.

125 In this study, we also use temperature (T) at 2 m height, relative humidity (RH) at  
 126 2 m height, surface pressure and planetary boundary layer height (PBLH) from ERA5  
 127 reanalysis data from 2010 to 2019. To be specific, the above four variables represent  
 128 hourly averaged values for each month (24 values in total for a month). The lifting  
 129 condensation level (LCL) is calculated by the method proposed by (Romps, 2017).

130 Using sensible heat flux  $H$ , Northward turbulent surface stress  $\tau_y$  and Eastward  
 131 turbulent surface stress  $\tau_x$  from ERA5 reanalysis data, we calculate the buoyancy term  
 132 (BT) ( $g/\theta_v \bar{w' \theta'_v}$ ) and shear term (ST) ( $-\partial \bar{u}/\partial z \bar{u' w'}$ ) in the TKE equation for each grid.  
 133 Both of these two terms can be used to analyze the effect of boundary layer  
 134 turbulence in surface layer on convection. The details of the method for computing  
 135 BT and ST are as follows:

136 The shear term (ST) ( $-\partial \bar{u}/\partial z \bar{u' w'}$ ) and buoyancy term (BT) ( $g/\theta_v \bar{w' \theta'_v}$ ) in the  
 137 TKE equation maintain the turbulent motions. In order to simplify calculations, the  
 138 x-axis is directed along the average wind. Assuming horizontal homogeneity and no  
 139 mean divergence, the TKE equation is written as

$$140 \quad \frac{\partial \bar{e}}{\partial t} = \frac{g}{\theta_v} \bar{w' \theta'_v} - \bar{u' w'} \frac{\partial \bar{u}}{\partial z} - \frac{\partial (\bar{w' e})}{\partial z} - \frac{1}{\rho} \frac{\partial (\bar{w' p'})}{\partial z} - \varepsilon. \quad (1)$$

141 The left side of eq. (1) is the local time variation  $\partial \bar{e}/\partial t$ , and the terms on the  
 142 right-hand side of eq. (1) describe the buoyancy and shear energy production or  
 143 consumption, turbulent transport of  $\bar{e}$ , pressure correlation and viscous dissipation  
 144 (Stull, 1988).

145 Here we use eq. (2) to calculate the virtual potential temperature  $\theta_v$ , and  $\bar{w' \theta'_v}$  is  
 146 derived from eq. (3). Finally, we derive BT.

$$147 \quad \theta_v = T \left(1 + 0.608q\right) \left(\frac{p_0}{p}\right)^{\frac{R}{c_p}}, \quad (2)$$

$$148 \quad H = \rho c_p \bar{w' \theta'_v}, \quad (3)$$

149 Where  $g = 9.8 \text{ m s}^{-2}$  is the gravitational constant, and  $H (\text{W m}^{-2})$  is the sensible  
 150 heat flux,  $\rho (\text{kg m}^{-3})$  is the air density,  $R$  is the specific gas constant for dry air,  $c_p$   
 151 ( $=1004 \text{ J kg}^{-1} \text{ K}^{-1}$ ) is the specific heat of air at constant pressure,  $T$  is the air  
 152 temperature at 2 m height,  $q$  is the specific humidity at 2 m height,  $p_0$  and  $p$  are  
 153 standard atmospheric pressure and surface pressure, respectively.

154 The wind shear is determined from heat flux  $H$  and momentum flux  $\tau$  obtained  
 155 from the ERA5 reanalysis data. Because we cannot directly obtain the  $\tau$  from ERA5  
 156 product list, we need to use eq. (4) to calculate  $\tau$ .

$$157 \quad \tau = \sqrt{\tau_x^2 + \tau_y^2}, \quad (4)$$

158 According to Monin Obukhov similarity theory wind shear is given as

159 
$$\frac{\partial \bar{u}}{\partial z} = \phi_m(\zeta) \frac{u_*}{\kappa z}, \quad (5)$$

160 Where  $\phi_m$  is the Monin Obukhov stability function for momentum,  $u_*^2 = \tau/\rho$ .

161 The von Karman constant  $\kappa = 0.4$ ,  $\bar{u}$  is the horizontal wind speed in the surface  
162 layer.

163  $\zeta = z/L$  with  $z$  = height and  $L$  = Obukhov stability length defined as in Gryanik et  
164 al. (2020) as

165 
$$\zeta = \frac{z}{L}, L = -\frac{(\tau/\rho)^{3/2}}{\kappa(g/\theta_v)(H/\rho c_p)}. \quad (6)$$

166  $\phi_m$  is the Monin Obukhov stability function, here we use eq. (7) and eq. (8) for  
167 stable and unstable conditions to derive  $\phi_m$  (Dyer, 1974),

168 
$$\phi_m = 1 + 5\zeta, (\zeta > 0) \quad (7)$$

169 
$$\phi_m = (1 - 16\zeta)^{-1/4}, (\zeta < 0) \quad (8)$$

170 Then we use eq. (9) to derive  $-\bar{u}'w'$ . Finally, we derive ST.

171 
$$\bar{u}'w' = -\tau/\rho. \quad (9)$$

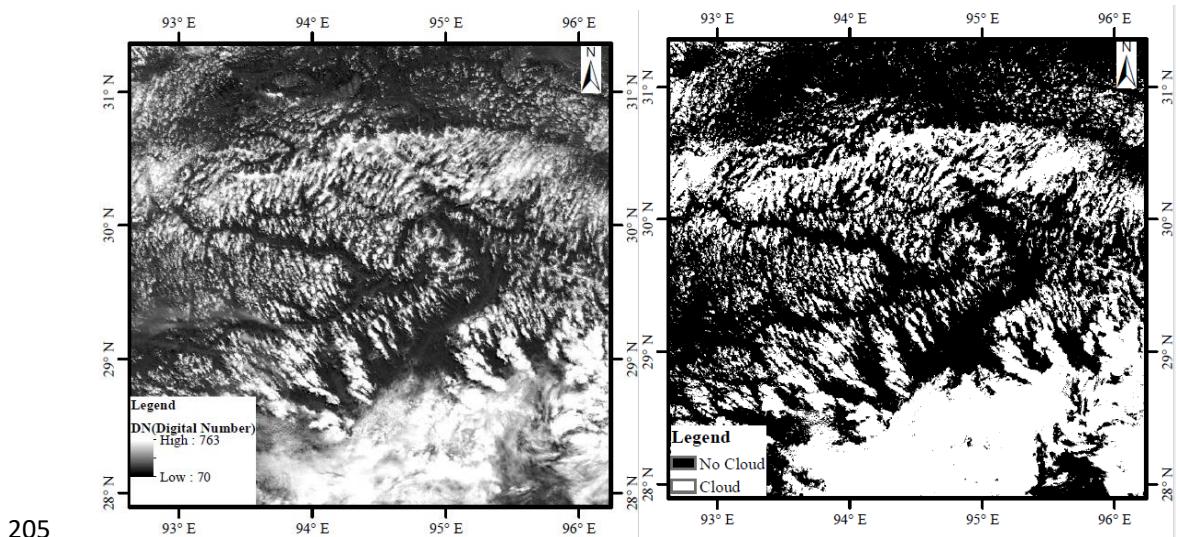
172

### 173 3 Results

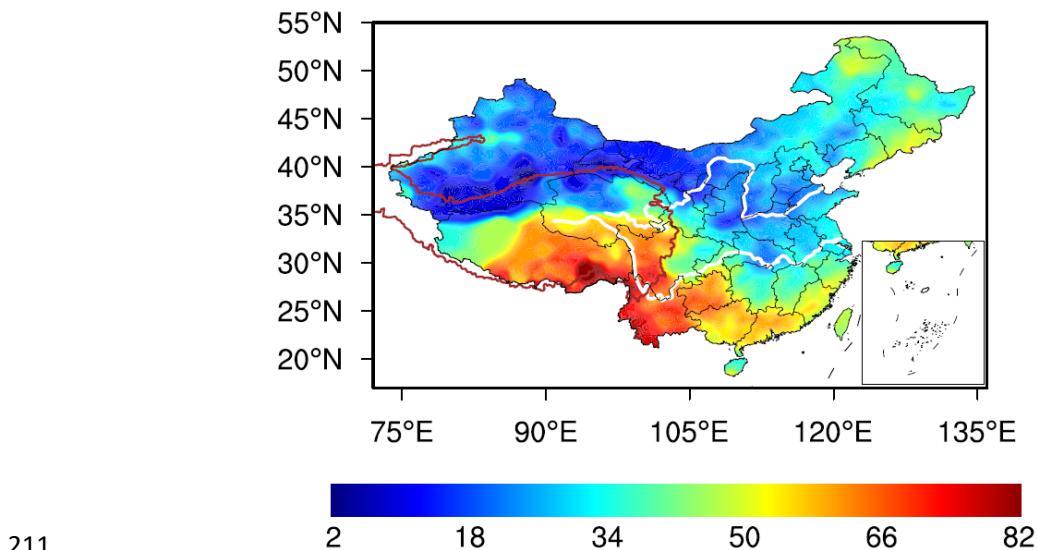
174 Figure 2 shows the spatial distribution of over-land low cloud cover (LCC) in  
175 China from June to August of 1951-2019. Compared to the low LCC in eastern China,  
176 the high value areas of LCC are mainly located in the mid-eastern TP and the area of  
177 the upper Yangtze River Valley. But low LCC is also identified in western and  
178 northern parts of TP. We will make a further discuss about it in subsequent paragraphs.  
179 Using four years of CloudSat-Calipso satellite data, Li and Zhang (2016) confirmed  
180 that the climatological occurrence of cumulus over the TP is significantly greater than  
181 that in mid-eastern China on the same latitude. The elevated land surface with strong  
182 radiative heating makes the massive TP a favorable region for initiating convective  
183 cells with a high frequency of cumulonimbus and mesoscale convective systems  
184 (Sugimoto and Ueno, 2012). As a strong heat source, the TP has frequent convective  
185 activities in summer. During the TIPEX II in 1998, the long and narrow thermal  
186 plume corresponding with vigorous cellular convection on micro-scale was observed  
187 by sodar in Dangxiong. As shown in Figure 1, the shallow convective clouds on a  
188 horizontal scale from hundreds of meters to several kilometres over the southeastern  
189 TP (92.7-96.2E, 29.5-31.3N) are probably related to the organized eddies on the  
190 meso-scale and micro-scale over the TP. The cloud fraction over the southeastern TP  
191 is about 31.3%.

192 As shown in Figure 3, in general, LCC increases with increasing elevation. The  
193 median of  $LCC_H$  is significantly greater than those of  $LCC_L$  and  $LCC_M$  throughout the  
194 day. The diurnal variations of  $LCC_L$  and  $LCC_M$  are generally distributed in unimodal  
195 pattern, with the maximum appearing at 2:00 pm Beijing time (median  $LCC_L = 37\%$ ,

196 LCC<sub>M</sub> = 38%) and low values (~20%) are maintained during the night. The diurnal  
 197 variation of LCC<sub>H</sub> presents a bimodal curve with the maximum appearing at 5:00 pm  
 198 Beijing time (median LCC<sub>H</sub> = 69%) and the secondary local maximum appearing at  
 199 8:00 am Beijing time (median LCC<sub>H</sub> = 61%). Compared to the low elevation, the  
 200 interquartile ranges (IQRs) of LCC<sub>H</sub> are smaller than those of LCC<sub>L</sub> and LCC<sub>M</sub>,  
 201 which imply the LCC<sub>H</sub> maintains high values during the day. To further confirm and  
 202 compare the above results with in situ measurements, using ERA5 LCC data, we also  
 203 add Figure S1 to show the diurnal cycle of LCC in summer in East Asia and North  
 204 America in the supplementary material.

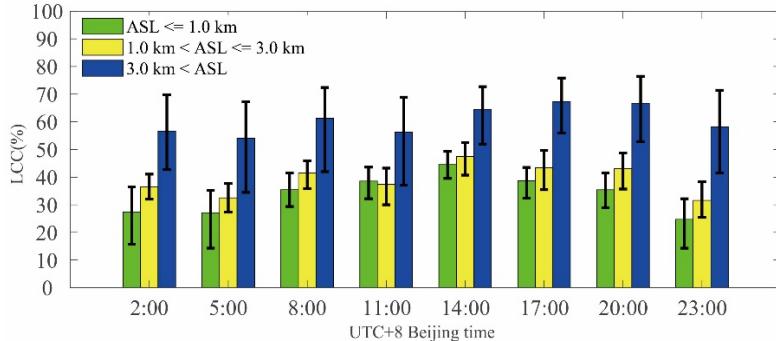


205  
 206 Figure 1. The (a) digital number (DN) and (b) spatial distribution of cloud in  
 207 southeastern TP from geostationary earth observation satellite Gaofen 4 (GF4) at  
 208 12:00 pm Beijing time (about 10:20 am local time) on August 4 of 2020. Here we  
 209 simply use DN = 250 as a threshold. All the grids in Figure (a) are divided into two  
 210 classes (DN > 250, cloud; DN < 250, no cloud), and then we give Figure (b).

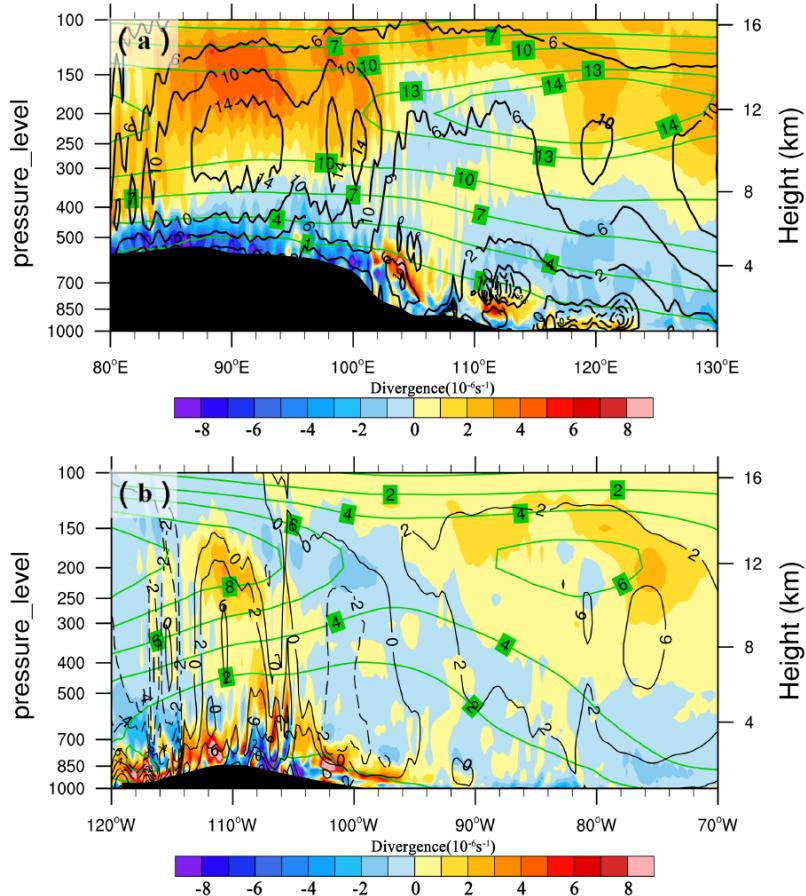


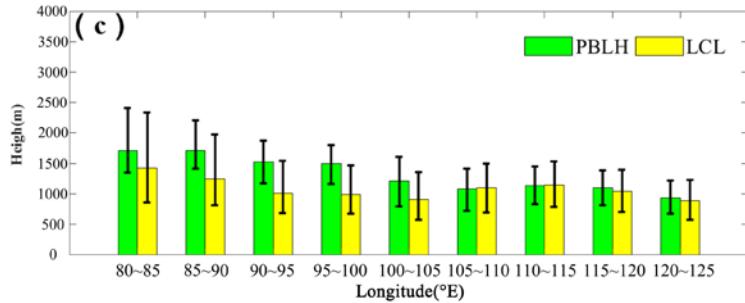
211  
 212 Figure 2. The summer mean LCC derived from surface observations from 1951 to  
 213 2019 in China. The thick

214 red contour denotes the 2.5 km topography height referred to as the TP. The white  
 215 lines located in northern and southern parts of China denote the Yellow and Yangtze  
 216 River, respectively.

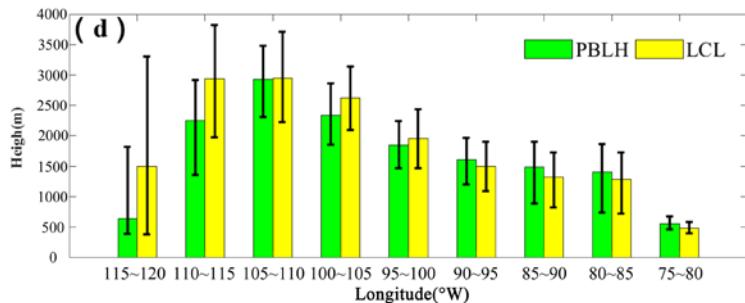


217  
 218 Figure 3. The diurnal cycle of LCC in summer from 2010 to 2019 at different  
 219 altitudes above sea level (ASL):  $ASL \leq 1.0\text{ km}$  ( $LCC_L$ ),  $1.0\text{ km} < ASL \leq 3.0\text{ km}$   
 220 ( $LCC_M$ ), and  $3.0\text{ km} < ASL$  ( $LCC_H$ ). It should be noted that all the sites are ranged  
 221 from  $27\text{N}$  to  $40\text{N}$  in China, and each sample is derived from monthly mean LCC at a  
 222 particular time in summer for each site. The bar and error bar represent the median  
 223 values and interquartile ranges (IQRs) of LCC, respectively. The subscripts L, M and  
 224 H of LCC denote the low, medium and high clouds, respectively.



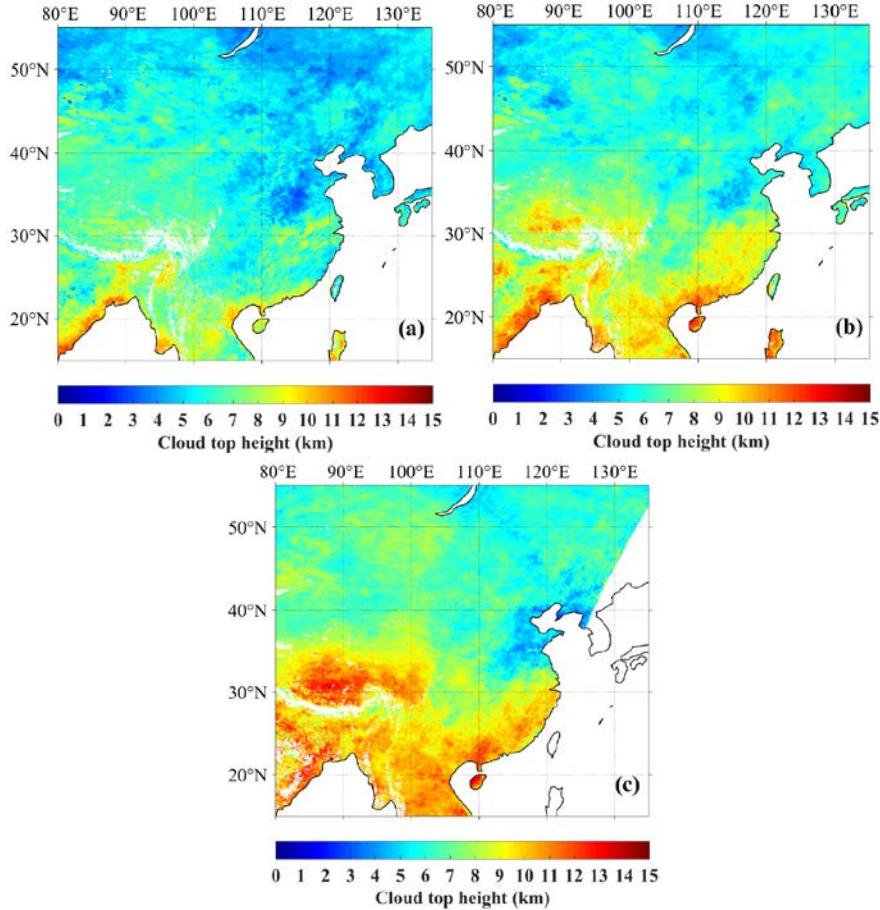


229



230

231 Figure 4. Vertical distribution of summer mean divergence ( $10^{-6} \text{ s}^{-1}$ ) (shaded) at 2:00  
 232 pm local time from 2010 to 2019 at the latitude across sections from 30N to 35N in (a)  
 233 East Asia and (b) North America. The green and black contours denote the summer  
 234 mean U- ( $\text{m s}^{-1}$ ) and W- ( $10^{-2} \text{ m s}^{-1}$ ) wind components with the zonal circulations,  
 235 respectively. The solid and dashed contour lines represent the positive and negative  
 236 values, respectively. The black shaded areas represent topography. Figure (c) and (d)  
 237 are the PBLH (green) and LCL (yellow) versus longitude in East Asia and North  
 238 America, respectively. The bar and error bar represent the median values and  
 239 interquartile ranges (IQRs), respectively.



240

241

242 Figure 5. The median cloud top height derived from the Himawari-8 retrieval product  
 243 at three Beijing times: (a) 2:30 pm±0.5h (b) 4:30 pm±0.5h (c) 6:30 pm±0.5h from  
 244 June to August in 2016 over land in East Asia. Missing data are shaded in white color.  
 245

246 On the other hand, we note that, there is no obvious trend of decreasing LCC over  
 247 the TP from late afternoon to evening as shown in Figure 3. Based on the spatial  
 248 distribution of topography in the Northern Hemisphere as shown in Figure 7 (a), it is  
 249 clear that both the TP (27-40N, 70-105E) and Rocky Mountains (27-40N, 103-120W)  
 250 in North America are two large areas with high elevations in mid-latitude regions in  
 251 the Northern Hemisphere, so here we select these two typical large topography  
 252 regions to analyze the triggering effects of large topography and related dynamical  
 253 structure within the boundary layer on convective clouds. As shown in Figure 4 (a), in  
 254 general, there are obvious large scale ascending motions from middle troposphere  
 255 (~500 hPa) to upper troposphere (~200 hPa) over the TP. The convergence in the  
 256 middle troposphere (the blue shaded areas) and the divergence in the upper  
 257 troposphere (the orange shaded areas) are usually associated with the deep convection  
 258 over the TP. Figure 4 (c) shows there are generally positive PBLH-LCL (~500 m)  
 259 over the TP, and the median and IQR of PBLH are close to those of LCL in East  
 260 China. These results are consistent with the conclusions proposed by Xu et al. (2014)  
 261 and Wang et al. (2020). In contrast, Figure 4 (b) shows there are only weak large scale  
 262 ascending motions from near surface layer to the middle troposphere over the Rocky  
 263 Mountains. The large-scale subsidence on both sides of the Rocky Mountains

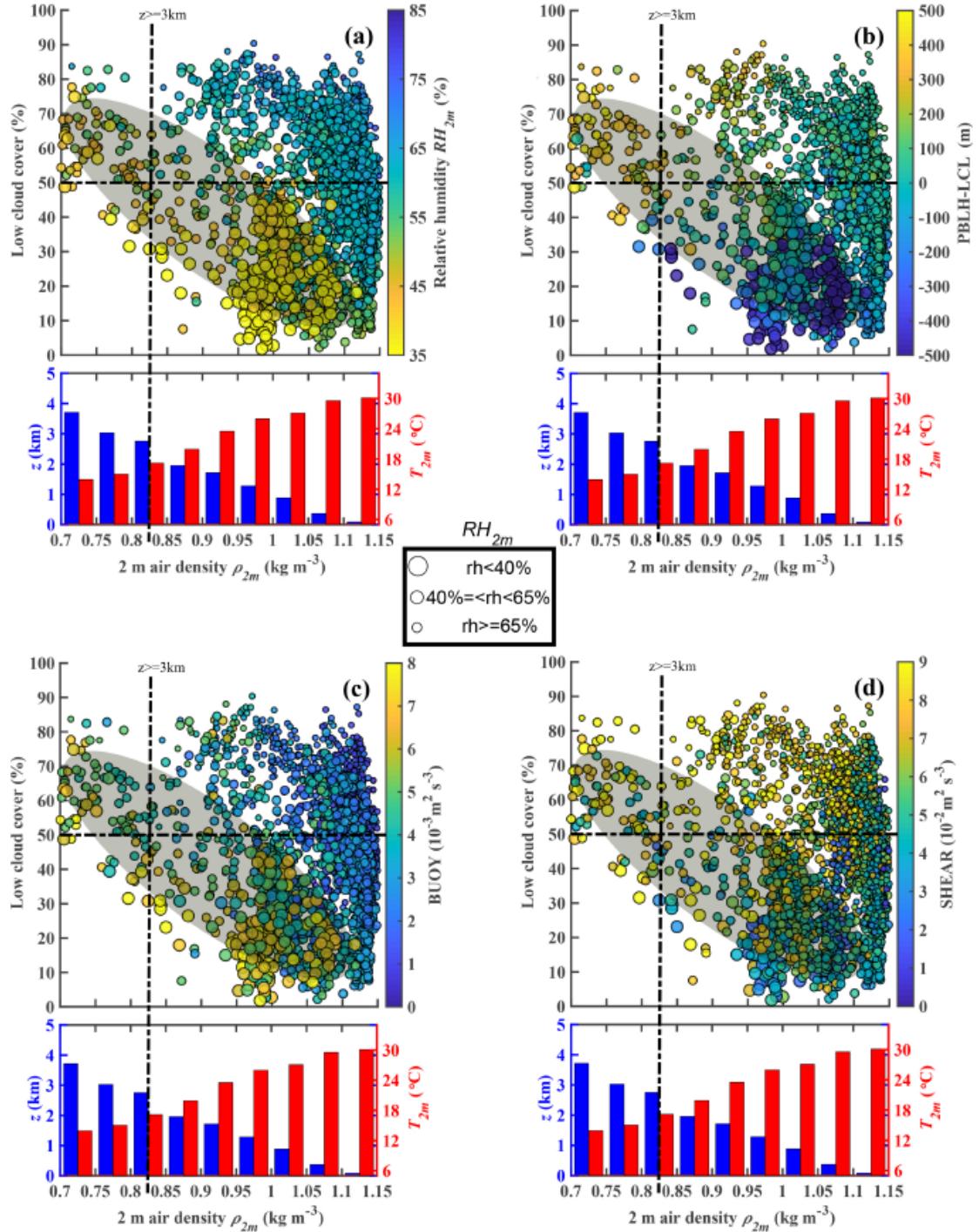
especially the western side can lead to inversion above PBL and lower RH within the PBL, which can be verified by the vertical distribution of the  $d\theta_v/dz$  and RH at the latitude across sections from 30N to 35N over the Rocky Mountains in Figure S2. There exists a high value center of  $d\theta_v/dz$  at about 950 hPa (or 850 hPa) on western (or eastern) side of the Rocky Mountains, and the RH within the PBL is generally less than 55%. The former restricts the growth of PBLH during the day, while the latter leads to an increased LCL. Thus, negative PBLH-LCL is identified on both sides of the Rocky Mountains (30-35N, 110-120W and 30-35N, 100-105W), especially for the western Rocky Mountain (30-35N, 110-120W) with strong large-scale subsidence, as shown in Figure 4 (d). Dynamic processes of vapor transport are generated because of the thermal structure of the TP, which is similar to the conditional instability of the second kind (CISK) mechanism of tropical cyclones (Smith, 1997). It should be pointed out that there are large scale descending motions at 500 hPa in part of the western TP and Qaidam Basin as shown in Figure S3, which lead to less LCC in these regions compared to the other parts of the TP, as shown in Figure 2. In addition, the meteorological stations in the northern TP (34-36N, 80-90E) are scarcely and unevenly distributed, and therefore the low LCC in the Taklamakan Desert leads to false low LCC values in the northern TP (80-90E, 34-36N), as shown in Figure 2. In fact, there are high LCC in these regions as shown in Figure 7 (e). Figure 5 shows the spatial distribution of day time variations of cloud top height in summer. Compared to eastern China at the same latitude, the cloud top height increases significantly from 2:30 pm ( $\sim 7$  km) to 6:30 pm ( $\sim 14$  km) over the TP. The cloud top height approaches the tropopause ( $\sim 14$  km) in the evening, which implies the frequent occurrence of deep convective clouds at this time. This result is consistent with the observation of millimeter-wave radar in Naqu (Yi and Guo, 2016).

By comprehensively analyzing the TIPEX II sodar data, Xu et al. (2002) and Zhou et al. (2000) found that, with narrow upward motion and time scale from 1.2 h to 1.5 h, the maximum upward motion of the thermal turbulence was identified at the height of about 120 m above the surface, with the vertical speed up to  $1 \text{ m s}^{-1}$ . They also found symmetrical and wide downward motion areas on either side of the narrow upward motion zone. The question arises as to whether there is a relationship between the formation and evolution of frequent "pop-corn-like" convective clouds and micro-scale thermal turbulence in the atmospheric convective boundary layer over the TP. Xu et al., (2012) speculate these low clouds are probably initiated by strong thermal turbulence under low air density conditions. Compared to the low elevation in eastern China, the increased thermal turbulence associated with low air density over the TP leads to the different turbulence characteristics of the convective boundary layer (CBL). The CBL is mainly driven by buoyancy heat flux, and the thermal turbulence with organized thermal plume is not totally random (Young, 1988a; Young, 1988b). The strong BT and ST over the TP play key roles in the convective activities in lower troposphere.

By using the statistical results from sodar data in the TIPEX II, Zhou et al. (2000) calculated the BT and ST at the height of 50 m under strong convection conditions in Dangxiong (located at central TP). The results indicate that the BT is comparable to

308 ST. Both the thermodynamic and dynamic processes have important influences on the  
309 convective activities. Both the BT and ST in the surface layer in Dangxiong are  
310 almost an order of magnitude greater than those at low elevation given by Brummer  
311 (1985) over North Sea and Weckwerth et al. (1997) in Florida. Direct measurements  
312 from the Third Tibetan Plateau Experiments (TIPEX III) also confirmed that surface  
313 buoyancy flux over the TP is significantly larger than that in eastern China (Zhou,  
314 2000; Wang et al., 2016). Both the sodar data in TIPEX II and boundary layer tower  
315 data in TIPEX III showed contributions of BT and ST to the turbulent kinetic energy  
316 in the lower troposphere are larger over the TP than over the southeastern margin of  
317 the TP and the low-altitude Chengdu Plain (Zhou, 2000; Wang et al., 2015). Thus one  
318 might ask the question what is the relationship between high frequent low cloud and  
319 the above physical quantities (e.g. turbulence structure, temperature and humidity)  
320 under low air density conditions over the TP? The physical mechanism should be  
321 discussed and analyzed. In addition, at low elevation in eastern China, the question  
322 arises as to whether or not the variations of PBLH and LCL favor the formation and  
323 development of low clouds.

324 As shown in Figure 6 (a), compared to the low elevation, there is larger LCC  
325 (LCC > 50%) over the TP (ASL > 3 km) under low RH<sub>2m</sub> condition (RH<sub>2m</sub> < 40%). In  
326 contrast, larger LCC mostly corresponds to higher RH<sub>2m</sub> condition at low elevation,  
327 which is consistent with our common sense. The above interesting phenomenon can  
328 be explained by the differences of PBLH-LCL between the TP and low elevation  
329 regions on summer afternoons. These differences are mainly attributed to following  
330 two mechanisms. The first mechanism is that, with a similar sensible heat flux, the  
331 lower air density over the TP leads to greater surface buoyancy flux (or BT) as shown  
332 in Figure 6 (c), which is conducive to the increase of PBLH over the TP. Figure 6 (d)  
333 shows great ST over the TP, which is mainly attributed to large wind speed. Although  
334 here we only show the ST in the surface layer, strong wind shear in the boundary  
335 layer probably also plays a role in increasing PBLH over the TP. On the other hand,  
336 the second mechanism is that, with a similar RH, Wang et al. (2020) have indicated  
337 that, compared to the low elevation in eastern China, the lower temperature over the  
338 TP leads to a lower LCL. Together these two mechanisms lead to a greater  
339 (PBLH-LCL) difference over the TP on summer afternoons, which increases the  
340 probability of air parcels reaching the LCL and forming clouds as shown in Figure 6  
341 (b). In most cases, the positive value of PBLH-LCL as well as the great BT and ST  
342 over the TP corresponds with larger LCC (LCC > 50%) under low RH<sub>2m</sub> condition  
343 (RH<sub>2m</sub> < 60%), which implies the enhanced local LCC is relevant to the diurnal  
344 variation of the PBL process. In contrast, for the eastern China, in most cases, the  
345 increased LCC (LCC > 50%) generally corresponds with high RH<sub>2m</sub> (RH<sub>2m</sub> > 60%),  
346 and the LCC is not significantly correlated with PBLH-LCL or BT and ST, which  
347 implies the other factors besides the PBL process (e.g. large scale ascending motion)  
348 play a more important in LCC.



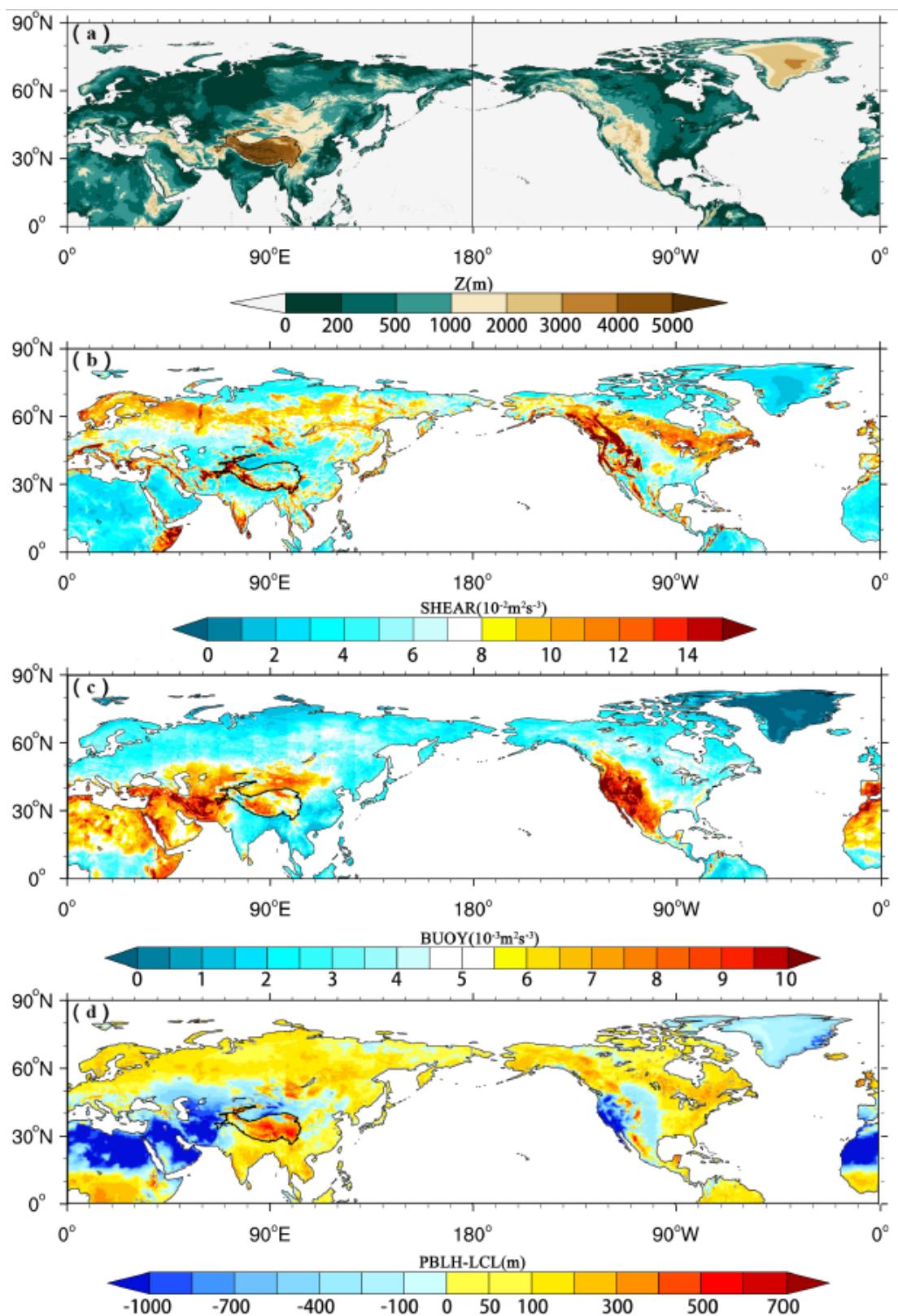
351 Figure 6. The relationships among monthly means of low cloud cover LCC,  $\rho_{2m}$  and  
 352 (a)  $RH_{2m}$ , (b) PBLH-LCL, (c) BT and (d) ST at 2:00 pm Beijing time from 2010 to  
 353 2019 in summer in China. The samples are divided into three groups:  $RH_{2m} \geq 60\%$   
 354 (small size dots),  $60\% > RH_{2m} \geq 40\%$  (median size dots) and  $RH_{2m} < 40\%$  (large  
 355 size dots). The LCC,  $T_{2m}$  and  $RH_{2m}$  are observed by in situ measurements, and PBLH,  
 356 LCL, BT and ST are derived from ERA5 reanalysis data. Here we use the nearest  
 357 neighbor gridding method to derive PBLH, LCL, BT and ST at each site. The blue  
 358 and red histograms show the surface elevation  $z$  (blue) and air temperature at 2 m ( $T_{2m}$ )

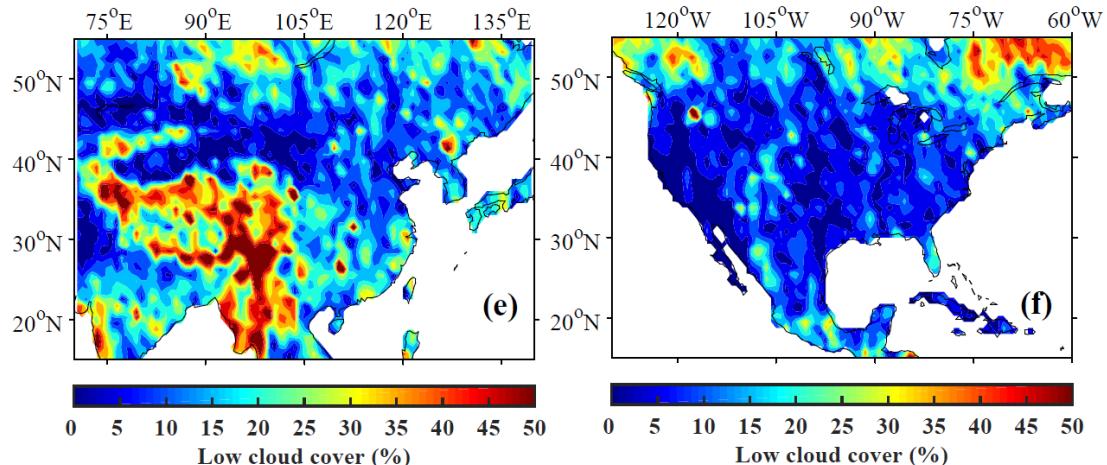
359 (red) as functions of 2 m air density ( $\rho_{2m}$ ). The dots with lower RH<sub>2m</sub> (RH<sub>2m</sub> < 40%)  
360 are mostly distributed within grey shaded elliptic regions as shown in Figure 6 (a)-(d).

361 Figure 7 (d) shows the mean spatial distribution of PBLH – LCL in the Northern  
362 Hemisphere from June to August of 2010-2019. The TP (27-40N, 70-105E) and  
363 Rocky Mountains (27-40N, 103-120W) are two typical large topography regions in  
364 the Northern Hemisphere, and the mean PBLH – LCL over the TP and Rocky  
365 Mountains are 376.7 m and -101.9 m, respectively.

366 Figure 7 (b)-(c) shows the spatial distribution of ST and BT in the Northern  
367 Hemisphere from June to August of 2010-2019, respectively. The effect of strong  
368 thermal turbulence results in obvious positive values of PBLH – LCL at high  
369 elevation regions under low air density conditions in the Northern Hemisphere (BT =  
370  $0.008 \text{ m}^2 \text{ s}^{-3}$ , PBLH – LCL = 376.7 m over the TP and BT =  $0.011 \text{ m}^2 \text{ s}^{-3}$ , PBLH –  
371 LCL = -101.9 m over the Rocky Mountains). Figure 7 (b) also shows that there are  
372 strong STs at these two high elevation regions (ST =  $0.087 \text{ m}^2 \text{ s}^{-3}$  over the TP and ST  
373 =  $0.085 \text{ m}^2 \text{ s}^{-3}$  over the Rocky Mountains). Both the BT and ST increase significantly  
374 at high elevation due to low air density compared to those at low elevation. The above  
375 results enlighten us on thinking about whether the triggering effects of large  
376 topography and boundary layer turbulence, which reflect the special surface  
377 characteristics in the boundary layer at high elevation regions under low air density  
378 conditions, can be applicable for any large topography in the globe, including TP and  
379 other regions (e.g. Rocky Mountains).

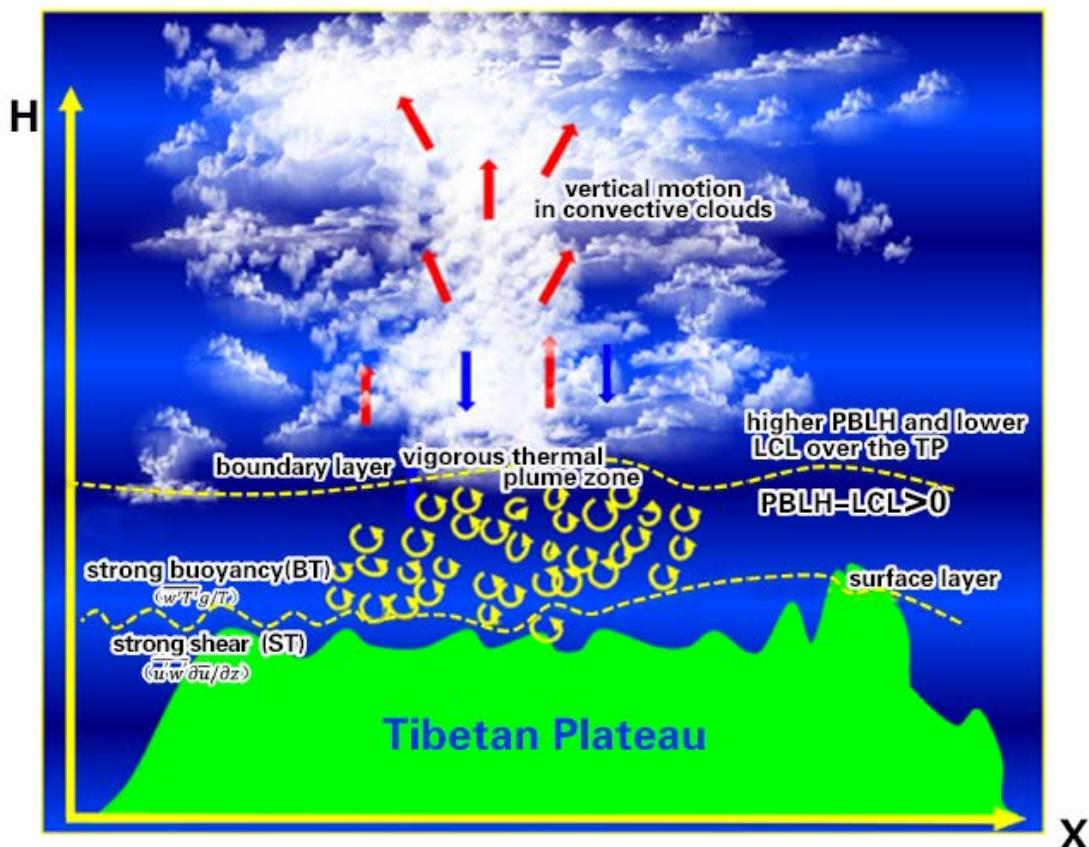
380 Figure 8 shows a conceptual model of the atmosphere from the near-surface to  
381 upper troposphere over the TP. Compared to the low elevation, the TP is characterized  
382 by higher PBLH and lower LCL because of strong BT and ST, which is favorable for  
383 the formation of shallow clouds in the afternoon. Meanwhile, the large scale  
384 ascending motion over the TP results in the transition from shallow clouds to deep  
385 convective clouds in the late afternoon and evening.





387

388 Figure 7. The spatial distribution of (a) ground level elevation (m), (b) ST ( $10^{-2} \text{ m}^2 \text{ s}^{-3}$ ),  
 389 (c) BT ( $10^{-3} \text{ m}^2 \text{ s}^{-3}$ ), and (d) PBLH-LCL (m) derived from ERA5 reanalysis data at  
 390 local time 2:00 pm in the Northern Hemisphere in summer. Figure (e) and (f) are the  
 391 summer mean LCC (%) derived from CloudSat satellite data at local time 2:00 pm in  
 392 eastern Asia and North America, respectively.



393

394 Figure 8. The characteristics model of boundary layer turbulence related to “high  
 395 efficiency” triggering mechanisms for convection over the TP.

396 **4 Conclusions and further discussion**

397 In this study, we focus on the triggering effects of large topography and boundary  
398 layer turbulence over the Tibetan Plateau on convection. The topography of the TP  
399 plays a major role in the increased occurrences of convective clouds. Our results  
400 further confirm the conclusions from Wang et al. (2020), which found that the  
401 difference PBLH-LCL in the summer afternoon over the TP is greater than that in  
402 eastern China. Compared to the eastern China, with the same relative humidity, lower  
403 temperature over the TP results in a lower lifting condensation level. With the same  
404 surface sensible heat flux, lower air density over the TP results in a larger buoyancy  
405 flux and a deeper boundary layer. The observational results show that, under low  
406 relative humidity condition ( $RH < 40\%$ ), the low cloud cover (LCC) is higher than 60%  
407 over the TP. In contrast, the high LCC ( $LCC > 60\%$ ) only appears under high RH  
408 condition ( $RH > 60\%$ ) at low elevation.

409 In general, LCC increases with increasing elevation. The median of LCCs at high  
410 elevation (TP) is significantly greater than those at low elevation (eastern China)  
411 throughout the day. The diurnal variations of LCC at low elevation are generally  
412 distributed in an unimodal pattern with the maximum appearing at 2:00 pm Beijing  
413 time and low values during the night. The diurnal variations of LCC at high elevation  
414 (TP) present a bimodal curve with the maximum appearing at 5:00 pm Beijing time  
415 and the secondary local maximum appearing at 8:00 am Beijing time. In addition,  
416 LCC maintains at high values at high elevation (TP) during the day. The median  
417 cloud top height derived from Himawari-8 retrieval product shows the transition from  
418 shallow clouds to deep convective clouds in the late afternoon and evening over the  
419 TP, which is attributed to the strong large-scale ascending motion from the near  
420 surface to upper troposphere over the TP.

421 The buoyancy term (BT) and shear term (ST) over the TP are significantly greater  
422 than those at the low elevation, which is favorable for the increasing of PBLH.  
423 Similar phenomena occur at other high elevation areas (e.g. Rocky Mountains). The  
424 strong thermal turbulence and large scale ascending motion jointly results in positive  
425 value of PBLH-LCL under low RH condition over the TP. The obvious large-scale  
426 subsidence on both sides of the Rocky Mountain especially the western side leads to  
427 inversion above PBL and lower RH within the PBL, which further lead to negative  
428 value of PBLH-LCL and decreased LCC in most part of Rocky Mountain. The  
429 slightly greater than zero PBLH-LCL corresponds spatially to increased LCC in the  
430 partial regions of central Rocky Mountain. Thus less LCC is generated at the Rocky  
431 Mountains compared to the TP.

432

433 **Data availability**

434 All reanalysis data used in this study were obtained from publicly available sources:  
435 ERA5 reanalysis data can be obtained from the ECMWF public datasets web interface  
436 (<http://apps.ecmwf.int/datasets/>). The satellite (CloudSat radar and Calipso  
437 lidar)-merged cloud classification product 2B-CLDCLASS-lidar were obtained from

438 Colorado State University  
439 (<http://www.cloudsat.cira.colostate.edu/data-products/level-2b/2b-cldclass-lidar>). The  
440 Himawari-8 retrieval products were obtained from JAXA Himawari Monitor  
441 (<https://www.eorc.jaxa.jp/ptree/>).

#### 442 **Code Availability**

443 The data in this study are analysed with MATLAB and NCL. Contact Y.W. for specific  
444 code requests.

#### 445 **Acknowledgements**

446 Xu and Wang are supported by the Second Tibetan Plateau Scientific Expedition and  
447 Research (STEP) program (Grant Nos. 2019QZKK0105), National Natural Science  
448 Foundation of China (Grant Nos. 91837310), and the National Natural Science  
449 Foundation for Young Scientists of China (Grant Nos. 41805006).

#### 450 **Author Contributions**

451 X.X. and Y. W. led this work with contributions from all authors. Y.T. and Y. W.  
452 made the calculations and created the figures. X.X., Y.W., H.Z. and M.Z. led analyses,  
453 interpreted results and wrote the paper. R.L. supports high resolution satellite Gaofen  
454 images to show the organized structures (cellular convection) for shallow convection.

#### 455 **Competing interests**

456 The authors declare no competing interests.

457

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