# Estimating global ammonia (NH<sub>3</sub>) emissions based on IASI observations from 2008 to 2018

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14 Abstract. Emissions of ammonia (NH<sub>3</sub>) to the atmosphere impact human health, climate, and ecosystems through their 15 critical contributions to secondary aerosol formation. Estimation of NH<sub>3</sub> emissions is associated with large uncertainties because of inadequate knowledge about agricultural sources. Here, we use satellite observations from the Infrared 16 17 Atmospheric Sounding Interferometer (IASI) and simulations from the GEOS-Chem model to constrain global NH<sub>3</sub> 18 emissions over the period of 2008-2018. We update the prior  $NH_3$  emission fluxes with the ratio between biases in simulated 19  $NH_3$  concentrations and effective  $NH_3$  lifetimes against the loss of the  $NH_x$  family. In contrast to about a factor of two 20 discrepancies between top-down and bottom-up emissions found in previous studies, our method results in a global land NH<sub>3</sub> 21 emission of 78 (70-92) Tg a<sup>-1</sup>, ~30 % higher than the bottom-up estimates. Regionally, we find that the bottom-up inventory 22 underestimates NH<sub>3</sub> emissions over South America and tropical Africa by 60-70 %, indicating under-representation of 23 agricultural sources in these regions. We find a good agreement within 10 % between bottom-up and top-down estimates 24 over the U.S., Europe, and eastern China. Our results also show significant increases in NH<sub>3</sub> emissions over India (13 % 25 decade<sup>-1</sup>), tropical Africa (33 % decade<sup>-1</sup>), and South America (18 % decade<sup>-1</sup>) during our study period, consistent with the 26 intensifying agricultural activities in these regions in the past decade. We find that inclusion of sulfur dioxide (SO<sub>2</sub>) column 27 observed by satellite is crucial for more accurate inference of NH<sub>3</sub> emission trends over important source regions such as 28 India and China where SO<sub>2</sub> emissions have changed rapidly in recent years.

# 29 1 Introduction

30 Emissions of ammonia (NH<sub>3</sub>) to the atmosphere have critical implications for human health, climate, and ecosystems. As the

31 main alkaline gas, NH<sub>3</sub> reacts with acidic products from precursors such as nitrogen oxides (NO<sub>x</sub>) and sulfur dioxide (SO<sub>2</sub>)

32 to form fine particulate matter, which is a well-documented risk factor for human health, causing great welfare loss globally

(Erisman 2021; Gu et al., 2021). Particulate matter also affects the Earth's radiative balance by directly scattering incoming radiation (Ma et al., 2012) and indirectly as cloud condensation nuclei (Höpfner et al., 2019). Additionally, both gas-phase ammonia (NH<sub>3</sub>) and aerosol-phase ammonium (NH<sub>4</sub><sup>+</sup>) can deposit onto the surface of land and water through dry and wet processes, and are associated with soil acidification (Zhao et al., 2009), ecosystem eutrophication (Dirnböck et al., 2013), biodiversity loss (Stevens et al., 2010), and cropland nitrogen uptake (Liu et al., 2013).

38 NH<sub>3</sub> is emitted from a variety of anthropogenic and natural sources, including agriculture, industry, fossil fuel combustion, 39 biomass burning, natural soils, ocean, and wild animals (Behera et al., 2013). Among these, agricultural activities, mainly 40 livestock manure management and mineral fertilizer application, are the most important NH<sub>3</sub> sources, which account for 41 ~70% of the total NH<sub>3</sub> emissions globally (Bouwman et al., 1997; Sutton et al., 2013). NH<sub>3</sub> emissions can be estimated with 42 a bottom-up approach based on information of emission activities and emission factors (Hoesly et al., 2018; Crippa et al., 43 2021). However, bottom-up estimates of NH<sub>3</sub> emissions are generally thought to be uncertain, relative to other pollutants that 44 are mainly from fossil fuel combustion sources (e.g.,  $NO_x$ , CO). One of the challenges is that the intensity of agricultural 45 NH<sub>3</sub> emissions, emission factors, either from livestock or fertilizer, depends strongly on management and farming practices, but this information is usually not widely available (Zhang et al., 2017). Furthermore, microbial activities that are 46 47 responsible for agricultural NH<sub>3</sub> emissions are highly variable and has a complex dependence on environmental conditions, which is often inadequately captured by bottom-up approaches (Behera et al., 2013; Vira et al., 2021). In many cases, 48 49 emission factors used in bottom-up modelling are based on local studies that are not representative for the diversity of 50 conditions and are not dependent on meteorological parameters.

51 Top-down analyses of atmospheric observations (e.g., NH<sub>3</sub> concentrations or NH<sub>4</sub><sup>+</sup> depositional fluxes) provide an alternative 52 constraint on NH<sub>3</sub> emissions. For example, observations of NH<sub>3</sub> concentrations and NH<sub>4</sub> deposition fluxes from surface 53 networks can be used to infer regional  $NH_3$  emission fluxes (e.g., Paulot et al., 2014). However, surface sites are often 54 sparse, especially in developing continents such as Africa and South America, limiting our capability to constrain NH<sub>3</sub> 55 emissions globally. The advent of satellite observations makes it possible to investigate long-term spatially resolved NH<sub>3</sub> 56 emissions from national, continental, to global scales. Van Damme et al. (2018) reported large NH<sub>3</sub> point sources across the 57 globe that are detected by the Infrared Atmospheric Sounding Interferometer (IASI) instrument but missing in the bottom-up 58 inventories. Studies have also applied satellite data (e.g., IASI and Cross-track Infrared Sounder (CrIS)) to study NH<sub>3</sub> 59 emissions from important source regions, including the U.S. (Cao et al., 2020; Chen et al., 2021b), China (Zhang et al., 60 2018), and Europe (Marais et al., 2021; van der Graaf et al., 2021). These regional studies show 20 % to 50 % differences 61 between top-down and bottom-up estimates of NH<sub>3</sub> emissions.

62 Compared to regional analyses, long-term global analyses of NH<sub>3</sub> emissions based on satellite observations are relatively 63 scarce (e.g., <u>Evangeliou et al., 2021</u>). This is partly because of the computational challenges arising from a full-fledged 64 inversion for a long period of time and over large spatial extents. In a recent study, Evangeliou et al. (<u>2021</u>) proposed a fast 65 top-down method, in which NH<sub>3</sub> emissions are computed as the ratio between NH<sub>3</sub> column observations and NH<sub>3</sub> lifetime. 66 This method relies on NH<sub>3</sub> lifetime diagnosed from a chemical transport model (CTM) and assumes a local mass balance. Their analysis found a global  $NH_3$  emission of around 180 Tg  $a^{-1}$ , which is roughly triple the widely used bottom-up estimates (e.g., 62 Tg  $a^{-1}$  by the Community Emission Data System, CEDS). This large upward adjustment, if true, would have huge implications for global reactive nitrogen cycles and indicate that our current understanding of global  $NH_3$ emissions is seriously flawed.

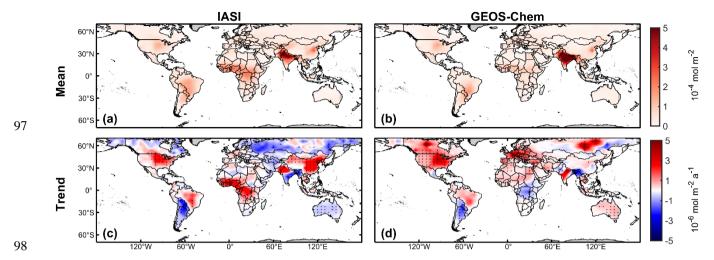
In this paper, we examine if the large discrepancy between the bottom-up and top-down estimates is due to the methodology. We refine the fast top-down approach by improving  $NH_3$  lifetime diagnosis and partially accounting for the transport contributions. We develop a series of data filtering procedures to exclude results that are not sufficiently constrained by observations or affected by large deviations from the assumption of the fast top-down method. We apply the updated method to IASI observations to derive the global distribution of  $NH_3$  emissions fluxes from 2008 to 2018, and examine the impact of the improved method on global  $NH_3$  emission inferences.

# 77 2 Methods

# 78 2.1 IASI observations

79 We use 2008-2018 reanalyzed daily NH<sub>3</sub> total column retrievals (ANNI-NH<sub>3</sub>-v3R) from the IASI on board Metop-A. The 80 IASI instrument measures the infrared radiation (645–2760 cm<sup>-1</sup>) from Earth's surface and the atmosphere with a circular 12 81 km footprint at nadir (Clerbaux et al., 2009; Van Damme et al., 2017). The retrieval algorithm calculates the hyperspectral 82 range index from IASI spectra measurements (Van Damme et al. 2014) and converts it to the NH<sub>3</sub> total column density via 83 an artificial neural network (Whitburn et al., 2016; Franco et al., 2018). The retrieval uses consistent meteorological data 84 from the ERA5 reanalysis, so it is suitable for the analyses of inter-annual variability and long-term trends (Hersbach et al., 85 2020). The ANNI-NH<sub>3</sub>-v3R product, has been validated against in situ measurements and is shown to have a good regional correlation (Guo et al., 2021; Van Damme et al., 2021). The dataset has been used in previous studies to estimate  $NH_3$ 86 87 emissions globally (e.g., Evangeliou et al., 2021) and regionally (e.g., Chen et al., 2021b; Marais et al., 2021).

88 Here we only use morning NH<sub>3</sub> data (around 9:30 local solar time) though IASI provides global coverage twice daily, 89 because of the better precision of morning observations resulting from favourable thermal contrast conditions (Clarisse et al. 90 2010). We filter out data with a cloud fraction greater than 10 % (Van Damme et al., 2018) and a skin temperature below 91 263 K (Van Damme et al., 2014). The skin temperature dataset is from ERA5 (Hersbach et al., 2020). To compare with 92 simulated NH<sub>3</sub> columns (see Sect. 2.2), we regrid and average monthly IASI NH<sub>3</sub> observations over land on the GEOS-93 Chem  $4^{\circ} \times 5^{\circ}$  grid (Fig. 1a). To reduce uncertainty from sparse sampling, we further exclude grid cells with the number of 94 successful retrievals less than 800 in a month. We also test the choices of the threshold for 400 and 1200 per month in the 95 sensitivity calculations (Table 1, line 5-6). This criterion affects mainly high latitudes during wintertime, where snow 96 surfaces make it unfavourable for infrared measurements (Fig. S1).



99 Figure 1. Spatial distribution of (a, c) IASI and (b, d) GEOS-Chem NH<sub>3</sub> column concentrations. (a, b) Mean and (c, d) linear trends within 100 the **70**°N-**70**°S during 2008-2018. Dots in (c) and (d) indicate that linear trends are significant at the 95 % confidence levels. Linear trends 101 are computed from the time series of annual averages.

#### 102 **2.2 GEOS-Chem simulations**

103 We use the GEOS-Chem CTM v12.9.3 (10.5281/zenodo.3974569) to simulate global NH<sub>3</sub> concentrations. The GEOS-Chem 104 model, driven by the MERRA-2 reanalyzed meteorology (Gelaro et al., 2017), simulates the tropospheric ozone-NOx-105 VOCs-aerosol chemistry at  $4^{\circ} \times 5^{\circ}$  resolution with 47 vertical layers (30 layers in the troposphere) (Bey et al., 2001; Park et al., 2004). The thermodynamic equilibrium between gas phase  $NH_3$  and aerosol phase  $NH_4^+$  is explicitly simulated by the 106 107 ISORROPIA-II module in GEOS-Chem (Fountoukis & Nenes, 2007). The model also simulates the wet and dry deposition 108 of NH<sub>3</sub> and NH<sub>4</sub>, the terminal sinks of atmospheric NH<sub>x</sub> ( $\equiv$  NH<sub>3</sub> + NH<sub>4</sub>). Dry deposition is represented with a resistances-109 in-series scheme (Wesely, 2007) and wet deposition includes scavenging in convective updrafts and in- and below-cloud 110 scavenging from large-scale precipitation (Wang et al., 2011; Amos et al., 2012). Anthropogenic emissions of simulated chemicals including those of  $NH_3$  are taken from a global emission inventory CEDS (Hoesly et al., 2018), overridden by 111 112 regional inventories in Canada (Air Pollutant Emission Inventory, APEI), the United States (2011 National Emissions 113 Inventory, NEI-2011), Asia (MIX-Asia v1.1) (Li et al., 2017), and Africa (DICE-Africa) (Eloise Marais and Christine 114 Wiedinmyer, 2016). Such compiled anthropogenic emissions only include incomplete information on inter-annual trends 115 because inventories are not all available throughout the whole period. Anthropogenic emissions are essentially invariant after 116 2013 in our setup (Fig. S2). The general lack of trends in  $SO_2$  emissions in the simulation, if not accounted for, may cause biases in inferred trends over regions such as India and China where SO<sub>2</sub> emissions have changed rapidly (Sun et al., 2018; 117 Ou et al., 2019; Chen et al., 2021a). Fire emissions are from Global Fire Emissions Database (GFED4) (van der Werf et al., 118 119 2017), and biogenic VOC emissions are from the Model of Emissions of Gases and Aerosols from Nature (MEGAN) 120 (Guenther et al., 2012). Temporal (seasonal and inter-annual) variations in fire and biogenic emissions are resolved by the 121

- 122 also use another set of bottom-up inventories which consist of EDGARv5.0 for anthropogenic emissions
  123 (https://data.jrc.ec.europa.eu/collection/edgar, last access: 8 March 2022, <u>Crippa et al., 2020</u>), GFAS for fire emissions
- 124 (CAMS, https://apps.ecmwf.int/datasets/data/cams-gfas/, last access: 8 March 2022) (minor natural emissions are the same
- 125 as BUE1), which we denote as BUE2.

126 The GEOS-Chem simulation is conducted from 2008 to 2018 with an additional 1-month spin-up starting from December 2007. We sample the simulated  $NH_3$  and  $NH_4^+$  concentration fields between 9:00 to 10:00 local solar time, approximately the 127 IASI morning overpass time. To compare with the IASI NH<sub>3</sub> columns, we integrate the vertical profiles of simulated NH<sub>3</sub> 128 129 concentrations by layer thickness. We note that the ANNI-NH<sub>3</sub>-v3R algorithm does not involve averaging kernels (Whitburn 130 et al., 2016; Van Damme et al., 2021). Van Damme et al. (2018) reported the uncertainty in different vertical profiles of 131 individual NH<sub>3</sub> measurements to be 2 %  $\pm$  24 % (global average). We also archive simulated depositional and transport rates for NH<sub>3</sub> and NH<sub>4</sub>, which are used in emission fluxes estimation. In addition, we perform GEOS-Chem simulations in 132 133 selected years (2008, 2013, 2018) to examine the validation and consistency of our top-down NH<sub>3</sub> emission estimates with 134 the ground-based measurements and IASI observations.

# 135 2.3 NH<sub>3</sub> emission fluxes estimation

We compute NH<sub>3</sub> fluxes ( $\hat{E}_{NH_3}$ , in molecules m<sup>-2</sup> s<sup>-1</sup>) in land grid cells for individual months from 2008 to 2018. We update the prior model emission fluxes ( $E_{NH_3,mod}$ , in molecules m<sup>-2</sup> s<sup>-1</sup>) with a correction term positively proportional to the difference of observed ( $C_{NH_3,obs}$ , in molecules m<sup>-2</sup>) and simulated ( $C_{NH_3,mod}$ , in molecules m<sup>-2</sup>) monthly averaged NH<sub>3</sub> total column densities and inversely proportional to the lifetime of NH<sub>3</sub> ( $\tau_{NH_3,mod}$ , in s):

140 
$$\hat{E}_{\rm NH_3} = E_{\rm NH_3,mod} + \frac{C_{\rm NH_3,obs} - C_{\rm NH_3,mod}}{\tau_{\rm NH_3,mod}},$$
(1)

where  $\tau_{\rm NH_3,mod}$  is computed as the ratio of the simulated NH<sub>3</sub> column and the sum of simulated loss rate of the NH<sub>x</sub> family (NH<sub>x</sub>  $\equiv$  NH<sub>3</sub> + NH<sub>4</sub><sup>+</sup>) through the dry and wet depositions of NH<sub>3</sub> ( $D_{\rm NH_3,mod}$ , in molecules m<sup>-2</sup> s<sup>-1</sup>) and NH<sub>4</sub><sup>+</sup> ( $D_{\rm NH_4^+,mod}$ , in molecules m<sup>-2</sup> s<sup>-1</sup>):

144 
$$\tau_{\rm NH_3,mod} = \frac{C_{\rm NH_3,mod}}{D_{\rm NH_2,mod} + D_{\rm NH_2^+,mod}}.$$
 (2)

Here we calculate the lifetime of  $NH_3$  with the loss of the  $NH_x$  family rather than that of  $NH_3$ , because of the fast thermodynamic equilibrium between gas-phase  $NH_3$  and aerosol/aqueous-phase  $NH_4^+$ , which implies that the conversion from  $NH_3$  to  $NH_4^+$  is not a terminal loss for  $NH_3$  from the atmosphere. The  $NH_3$  lifetime may be underestimated over source regions and overestimated over remote regions, if  $NH_3$  to  $NH_4^+$  conversions are treated as a terminal loss as in Evangeliou et al. (2021) rather than a partition within a chemical family ( $NH_x$ ) as in **Eq. (2**).

- 150 In addition, our method linearizes the column-emission relationship at prior emissions as opposed to zero emissions in the
- 151 previous method (e.g., <u>Evangeliou et al., 2021</u>). Here, the baseline NH<sub>3</sub> column ( $C_{NH_2,mod}$ ) simulated by the GEOS-Chem

model explicitly accounts for the non-local contribution of transport, while the correction to prior emissions is done only 152 153 locally, that is, the difference between  $C_{\rm NH_2,obs}$  and  $C_{\rm NH_2,mod}$  is attributed only to errors in local emissions without accounting for the sensitivity to emissions from other grid cells. This hybrid approach can partially include the non-local 154 155 contribution from transport but still keeps the computation tractable for a long-term study such as this study, striking a trade-156 off between the computational efficiency of a local mass balance method (e.g., Van Damme et al., 2018; Evangeliou et al., 157 2021) and the accuracy of a full-fledged inversion, such as the 4D-Var method (e.g., Cao et al., 2020; Chen et al., 2021b). 158 The errors arising from local correction of NH<sub>3</sub> emissions are expected to be small in most cases, because the NH<sub>3</sub> lifetime is short relative to a typical transport time across a  $4^{\circ} \times 5^{\circ}$  grid cell on which emissions are estimated. To identify cases when 159 this error is not negligible, we apply a monthly  $NH_x$  budget analysis based on the GEOS-Chem simulation and exclude grid 160 cells from our analysis where transport dominates over local prior emissions or depositions in the monthly  $NH_x$  budget 161 162 (Transport/Emission>1 or Transport/Deposition>1) (Fig. S3).

Because rapid changes in  $SO_2$  emissions in eastern China and India, particularly after 2012, are not captured by our prior simulation (**Fig. S2**), the estimation of NH<sub>3</sub> emission trends using **Eq. (1)** may be biased over these regions. To address this issue, we further modify **Eq. (1)** to include observed trends in  $SO_2$  column concentrations:

166 
$$\hat{E}_{\rm NH_3,SO_2-correct} = E_{\rm NH_3,mod} + \frac{C_{\rm NH_3,mod} - C_{\rm NH_3,mod} + 2\omega C_{\rm SO_4^{2-},mod}}{\tau_{\rm NH_3,mod}},$$
(3)

167 where  $\omega$  (%) is the fractional changes of average SO<sub>2</sub> columns relative to the baseline year (i.e., 2012) over China or India and  $C_{SO_4^2-mod}$  (molecules m<sup>-2</sup> s<sup>-1</sup>) is the simulated column densities of aerosol sulfate. Here, we specify a linear trend of -5 % 168 a<sup>-1</sup> for eastern China and 5 % a<sup>-1</sup> for India between 2012 and 2018, based on values derived from the ozone monitoring 169 170 instrument (OMI) and Ozone Mapping and Profiler Suite (OMPS) observations (Wang and Wang, 2020; Liu et al., 2018). 171 We also test the impact of the uncertainty in  $\omega$  on trend inferences over China and India. The factor 2 accounts for the fact 172 that two molecules of  $NH_3$  are required to neutralize one molecule of  $H_2SO_4$ . Eq. (3) only applies when  $NH_3$  is in excess, a 173 condition usually met in eastern China and India but not necessarily elsewhere (Lachatre et al., 2019; Acharja et al., 2022). 174 Therefore, we only apply Eq. (3) to eastern China and India to understand the impact of changing SO<sub>2</sub> emissions on the 175 inference of NH<sub>3</sub> emission trends. To use SO<sub>2</sub> observations systematically in NH<sub>3</sub> emission estimations requires further 176 investigations.

#### 177 **2.4 Uncertainty and sensitivity analysis**

We perform a series of perturbation and sensitivity experiments to assess the uncertainty of our estimates (**Table 1**. We perturb  $C_{\rm NH_3,mod}$  and  $\tau_{\rm NH_3,mod}$  in **Eq. (1)**. The perturbations to  $\tau_{\rm NH_3,mod}$  are set to be 50 % and 200 % (**Table 1**, Line 1-2). The perturbation to  $C_{\rm NH_3,mod}$  is set to be the standard deviation of monthly mean column concentrations ( $\sigma_{\rm C,obs}$ ) (**Table 1**, Line 3-4), which is given by:

182 
$$\sigma_{\text{C,obs}} = \sqrt{\frac{\sum_{i=1}^{i=n} (\sigma_i \times \Omega_i)^2}{n-1}},\tag{4}$$

183 where  $\Omega_i$  (in mol m<sup>-2</sup>) is the *i*<sup>th</sup> NH<sub>3</sub> column measurement out of a total number of *n* observations in a grid cell during a

184 month and  $\sigma_i$  is the relative error. We then use  $\Omega \pm \sigma_{c.obs}$  to evaluate the effect of measurement errors in emission estimates

185 (Table 1, Line 3-4). We compute results with alternative data filtering parameters (Table 1, Line 5-8), including the

- 186 thresholds to exclude grid cells when the number of observations is too small (**Table 1**, line 5-6) and the local mass balance
- 187 assumption is potentially invalid (**Table 1**, Line 7-8). We also test if our trend inferences over China and India using Eq. (3)
- 188 is sensitive to uncertainty in observed trends in SO<sub>2</sub> concentrations ( $\omega$ ).
- 189 **Table 1.** Uncertainty and sensitivity analyses of top-down NH<sub>3</sub> emissions. Annual averaged NH<sub>3</sub> emissions are summed over global land 190 areas for 2008–2018.

	Parameter perturbed	Average emission (Tg a <sup>-1</sup> )
0	None <sup>a</sup> (TDE)	78
1	Halved NH <sub>3</sub> lifetime <sup>b</sup>	92
2	Doubled NH <sub>3</sub> lifetime <sup>c</sup>	70
3	Upper IASI column error	83
4	Lower IASI column error	72
5	Number of retrievals > 400 <sup>d</sup>	81
6	Number of retrievals > 1200 <sup>e</sup>	74
7	Transport/Emission $< 0.2^{\rm f}$	72
8	Transport/Emission < 5 <sup>g</sup>	84

191 <sup>a</sup>Excluding a grid cell if retrieval number is less than 800 during a month, or transport dominates over emissions or

192 depositions in the simulated monthly NH<sub>3</sub> budget.

- <sup>193</sup> <sup>b-c</sup>The lifetime is 50 % and 200 % of values from Eq. (1), respectively.
- <sup>194</sup> <sup>d-e</sup>Monthly retrieval number threshold for including a grid cell is set to be 400 and 1200, respectively.
- <sup>195</sup> <sup>f-g</sup>Local budget ratio the threshold for including a grid cell is set to be 0.2 and 5, respectively.

#### 196 3 Results and discussion

# 197 3.1 Observed and simulated NH<sub>3</sub> concentrations

198 Fig. 1a and 1b plot observed and simulated NH<sub>3</sub> total column concentrations averaged over 2008-2018. The GEOS-Chem

199 simulation generally reproduces the global distribution of NH<sub>3</sub> concentrations observed by the IASI instrument. Good

200 agreements (i.e., difference < 10 %) are found in the U.S., Europe, and southern South America. Meanwhile, the GEOS-

Chem model underestimates NH<sub>3</sub> concentrations in eastern China, northern South America, and tropical Africa by 20-120 %,
 and overestimates in southern India by around 50 %, indicating biases in NH<sub>3</sub> emissions over these regions.

203 Fig. 1c and 1d show 2008-2018 linear trends in NH<sub>3</sub> column concentrations derived from the IASI observations and the 204 GEOS-Chem simulations. The linear trends are computed based on the time series of annual averages. The IASI trends 205 shown in Fig. 1c are in general consistent with a recent analysis by Van Damme et al. (2021). IASI observes a positive NH<sub>3</sub> 206 concentration trend of 2.9 % a<sup>-1</sup> over the U.S., and this trend is well captured by GEOS-Chem. Similarly, the observation and 207 the simulation agree on a dipole pattern in South America (i.e., positive trend in Brazil and negative trend in Argentina). 208 Because anthropogenic emissions over this region are set to be invariant in our simulation (Fig. S2), this agreement suggests 209 that these trends are due to meteorological conditions and/or fire emissions, rather than changes in anthropogenic emissions. The satellite also observes significant positive trends in NH<sub>3</sub> concentrations over China (5.2 % a<sup>-1</sup>) and tropical Africa (2.0 %210  $a^{-1}$ ), but these trends are not reproduced in the simulation (0.3 %  $a^{-1}$  for China and 0.2 %  $a^{-1}$  for tropical Africa). These 211 212 simulation-observation differences can not only reflect discrepancies in the trends of anthropogenic NH<sub>3</sub> emissions, but also 213 be attributed to uncaptured changes in  $SO_2$  and/or  $NO_x$  emissions in these regions. We also find that a positive  $NH_3$ concentration trend over Europe appears in the simulation (3.0 %  $a^{-1}$ ) but is much weaker (1.0 %  $a^{-1}$ ) in the observation, 214 215 suggesting decreasing emissions after 2013. Satellite data shows positive NH<sub>3</sub> concentration trends in north-western India 216 but negative trends in in south-eastern India which are not reproduced by the simulation, though these trends over India are mostly insignificant (at the 95 % confidence level) except for a few grid cells in the Southeast. Strong GEOS-Chem trends in 217 218 eastern Canada and Siberia result from large wildfires that occurred in the latter part of the study period. IASI trends in 219 northern boreal regions are less robust because of noisy and sparse measurements over high latitudes (Fig. S1 and Fig. S3)

#### 220 3.2 NH<sub>3</sub> emissions inferred from IASI observations

221 Fig. 2 shows the spatial distributions of  $NH_3$  emission fluxes and their 2008–2018 linear trends inferred from IASI 222 observations using the method described in Sect. 2.3. Fig. 3 plots annual time series aggregated for seven selected regions. 223 The top-down emission (TDE) estimates suggest upward adjustments in NH<sub>3</sub> emissions over South America (SA) by 62 %, 224 tropical Africa (TA) by 69 %, and Central Asia (CA) by 327 %, relative to the prior inventory (BUE1), but downward 225 adjustments in NH<sub>3</sub> emissions by 14 % in India Peninsula (IP) and by 33 % in Canada. After accounting for the contributions 226 from natural emissions including fires, we find that most of these biases in NH<sub>3</sub> emissions can be attributed to anthropogenic 227 sources, except for Canada where the underestimation appears to relate to fire emissions. This result reflects a general 228 inadequate representation of agricultural and industrial emissions from developing continents in current global emission 229 inventories. The TDE finds good agreements with the BUE1 (difference within 10 %) over the U.S., Europe (EU), eastern 230 China (EC) and Australia.

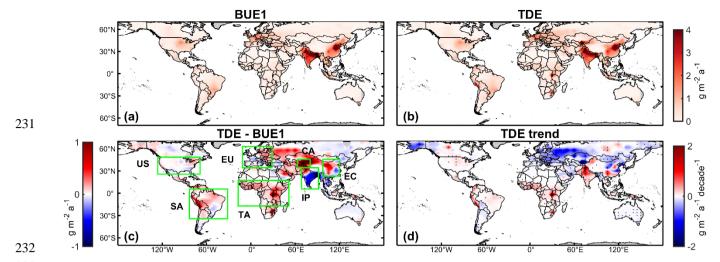
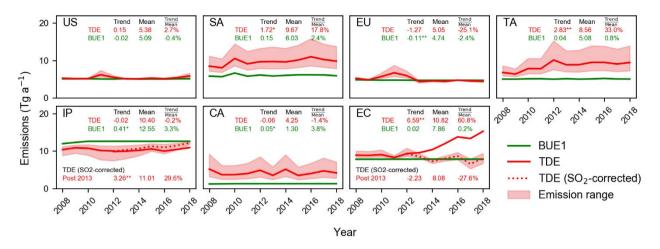


Figure 2. Spatial distribution of  $NH_3$  emission fluxes during 2008-2018. (a) Bottom-up emissions (BUE1), (b) top-down emissions (TDE) inferred from IASI observations, (c) difference between TDE and BUE1 estimates and (d) emission trends derived from TDE estimates. Green boxes denote seven regions analyzed in Sect. 3.2. Top-down emission fluxes are computed with Eq. (1) except for IP and EC where Eq. (3) is applied. Linear trends are computed from the time series of annual averages. Dots in (d) represent significant linear trends at the 95 % confidence level.

238 In addition to the adjustments in average emissions, the TDE also detects changes in NH<sub>3</sub> emissions during the period of 2008-2018. as expressed in linear trends computed from annual time series. We find significant positive emission trends in 239 240 SA (1.7 Tg a<sup>-1</sup> decade<sup>-1</sup> or 18 % decade<sup>-1</sup>) and TA (2.8 Tg a<sup>-1</sup> decade<sup>-1</sup> or 33 % decade<sup>-1</sup>) (Fig. 3). The large positive trends in TA are found around Lake Natron, consistent with Clarisse et al. (2019) (Fig. 2d). These increases in NH<sub>3</sub> emissions are 241 242 concurrent with intensifying agricultural activities in these regions (Warner et al., 2017; E. Hickman et al., 2020), except for 243 a 2010 peak over SA, which coincides with fires in savanna and evergreen forests there (Chen et al., 2013). Comparison with data from the Food and Agriculture Organization of the United Nations (FAO) (http://www.fao.org/faostat, last access: 7 244 245 May 2022) suggests that the increase in SA is driven primarily by growing application of synthetic fertilizer (55 % decade<sup>-1</sup>). whereas the increase in TA is consistent with increasing manure amount (28 % decade<sup>-1</sup>) from a growing livestock 246 247 population (E. Hickman et al., 2021) (Fig. 4).

248 Our results infer large but variable trends over northern high latitudes (e.g., negative trends in Alaska, central Russia, and 249 eastern Europe, but positive trends in Canada) (Fig. 2d). Because of large uncertainties associated with high-latitude 250 observations and emission optimization, these trends are less robust but can be partly attributed to variations in fire activities. 251 Decreases in Russia and eastern Europe are related to wildfire of boreal forests in early part of the study period (2008-2011) 252 (Keywood et al., 2012; Warner et al., 2017), while emission increases in Canada is due to wildfire in the late part of the 253 period (2013-2016 and 2017) (Pavlovic et al., 2016), as also shown in the prior fire inventory (GFED4) (Fig. S4). We also infer negative trends (-43 % decade<sup>-1</sup>) in Australia, which are statistically significant, but the absolute magnitude of these 254 255 trends is small (-0.03 g m<sup>-2</sup> a<sup>-1</sup> decade<sup>-1</sup> in **Fig. 2d**). The TDE estimation does not find significant trends in NH<sub>3</sub> total emissions over the US and Central Asia. 256



257

**Figure 3.** Annual NH<sub>3</sub> emissions for seven selected regions during 2008-2018. Shadings represent the upper and lower bounds derived from uncertainty analyses (see Sect. 2.4). Average annual emissions (Tg a<sup>-1</sup>), absolute linear trends (Tg a<sup>-1</sup> decade<sup>-1</sup>) and relative trends (% decade<sup>-1</sup>) for 2008-2018 are inset. The asterisk symbols '\*' and '\*\*' represent that linear trends are significant at the 95 % and 99 % confidence level, respectively. Red dashed lines represent top-down NH<sub>3</sub> emission estimates over IP and EC during 2013-2018, based on Eq. (3) that accounts for observed trends of SO<sub>2</sub> (denoted as "SO<sub>2</sub>-corrected"). Statistics for this estimate are also inset. The prior inventory (BUE1) implemented in our simulation only partially account for inter-annual changes from bottom-up information (i.e., **Fig. 4**).

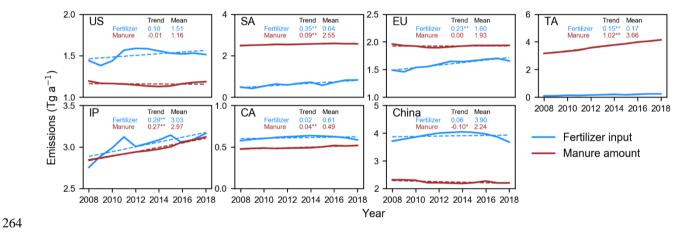


Figure 4. Synthetic fertilizer and livestock manure amount based on FAO reports (http://www.fao.org/faostat) during 2008-2018. To roughly compare the contribution from the two sectors, we convert FAO reported statistics to NH<sub>3</sub> emissions (Tg a<sup>-1</sup>) by applying fixed emission factors of 13 % for manure N contents (<u>Ma et al., 2020</u>) and 17 % for synthetic fertilizer N contents (<u>Riddick et al., 2016</u>). Values of means (Tg a<sup>-1</sup>) and linear trends (Tg a<sup>-1</sup> decade<sup>-1</sup>) are inset. Scales differ between panels.

# 269 3.3 Impact of changing SO<sub>2</sub> emissions on NH<sub>3</sub> emission trends over eastern China and India

- 270 Based on NH<sub>3</sub> column measurements (Eq. (1)), we also find a decadal increase of 61 % decade<sup>-1</sup> (6.6 Tg a<sup>-1</sup> decade<sup>-1</sup>) in NH<sub>3</sub>
- 271 emissions over eastern China (Fig. 3). This increase is especially large after 2013 and is driven mainly by increases of IASI
- 272 NH<sub>3</sub> column concentration in eastern China (Fig. 1c). This large post-2013 increase is inconsistent with flat or even
- 273 declining fertilizer input and manure amount (Fig. 4). On the other hand, we find no appreciable emission trend in IP (Fig.

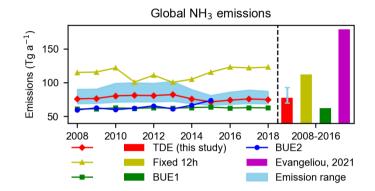
3), which appears to agree with relatively stable IASI  $NH_3$  concentrations over the period (**Fig. 1c**) but is not supported by increases in fertilizer applications and manure amount shown in the FAO report (**Fig. 4**).

An assumption underlying **Eq. (1)** is that the model simulation captures the partition between gas-phase NH<sub>3</sub> and aerosolphase NH<sub>4</sub><sup>+</sup>. In addition to alkaline NH<sub>3</sub>, the partition is also determined by the abundance of acids (e.g., H<sub>2</sub>SO<sub>4</sub> and HNO<sub>3</sub>). Inaccurate emissions of their precursors (e.g., SO<sub>2</sub> and NO<sub>2</sub>) in the model simulation, in particular over regions with excessive NH<sub>3</sub>, can lead to biases in simulating the NH<sub>3</sub>-NH<sub>4</sub><sup>+</sup> partition. It is well known that SO<sub>2</sub> emissions in China have decreased rapidly after 2013 because of stringent air pollution control measures (<u>Sun et al., 2018</u>; <u>Zhai et al., 2021</u>), while SO<sub>2</sub> emissions from India have been increasing (<u>Qu et al., 2019</u>). But these regional trends are not captured in our prior simulation because our simulation does not have annual-varying emission inventories for these regions (**Fig. S2**).

283 We find that the discrepancies between top-down (Eq. 1) and bottom-up estimates of emission trends over EC and IP can be largely reconciled by including observed  $SO_2$  column concentrations in the top-down calculation (Eq. (3)). By accounting 284 285 for OMI and OMPS observed SO<sub>2</sub> trends (Wang and Wang, 2020), we derive an overall decreasing trend in NH<sub>3</sub> emissions in EC between 2013 and 2018 (-2.2  $\pm$  2.3 Tg a<sup>-1</sup> decade<sup>-1</sup>, -28  $\pm$  32 % decade<sup>-1</sup>) (uncertainty derived by perturbing  $\omega$  by 1% 286 a<sup>-1</sup>, same for the India results below). This result suggests that observed increases in NH<sub>3</sub> columns over China are largely 287 288 explained by decreases in SO<sub>2</sub> emissions (Fig. 1 and Fig. 3), consistent with previous studies (Fu et al., 2017; Liu et al., 289 2018; Lachatre et al., 2019; Chen et al., 2021a). Bottom-up inventories (e.g., MEIC v1.3, EDGAR v5.0) also report stable or 290 declining NH<sub>3</sub> emissions from China during the period (Li et al., 2017; Crippa et al., 2020). Meanwhile, the revised method 291 (Eq. (3)) finds a positive post-2013 trend  $(3.3 \pm 0.3 \text{ Tg a}^{-1} \text{ decade}^{-1}, 30 \pm 3 \% \text{ yr}^{-1})$  in NH<sub>3</sub> emissions over India. Compared 292 with our original estimate using Eq. (1), NH<sub>3</sub> emission trends derived with Eq. (3) (i.e., decrease in China and increase in 293 India after 2013) is more consistent with the bottom-up information of fertilizer input and manure management (Fig. 4). This result demonstrates the potential of assimilating both NH<sub>3</sub> and SO<sub>2</sub> satellite observations in constraining NH<sub>3</sub> emissions, 294 295 which should be further explored in the future.

# 296 **3.4 Global total NH<sub>3</sub> emissions**

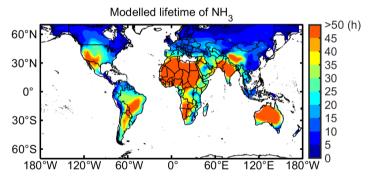
Integrating over land areas globally, our IASI-based TDE estimates of NH<sub>3</sub> is 78 (70-92) Tg a<sup>-1</sup> (range of estimates from 297 298 uncertainty analysis, see **Table 1**) (Fig. 5). This result is about 20-40 % higher than bottom-up inventories (BUE1, 62 Tg a<sup>-1</sup> and BUE2, 56 Tg a<sup>-1</sup>). In contrast, a previous study by Evangeliou et al. (2021) also based on the IASI data estimated a much 299 300 higher global NH<sub>3</sub> emission of 180 Tg  $a^{-1}$  (Fig. 5). One cause of the difference between the two IASI-based estimates is in 301 diagnosis of NH<sub>3</sub> lifetime from CTM. Evangeliou et al. (2021) treats conversion from NH<sub>3</sub> to NH<sub>4</sub> as a terminal loss and 302 diagnoses NH<sub>3</sub> lifetime averaged 11.6  $\pm$  0.6 h globally from a CTM, which is close to a constant NH<sub>3</sub> lifetime (12 h) 303 assumed in Van Damme et al. (2018). In this study, we account for the fact that fast thermodynamic equilibrium can 304 establish between NH<sub>3</sub> and NH<sub>4</sub> so that NH<sub>3</sub> can only be terminally lost through the deposition of the NH<sub>x</sub> family (Eq. (2)), which yields a global averaged NH<sub>3</sub> lifetime of  $21.2 \pm 3.8$  h (Fig. 6). This longer NH<sub>3</sub> lifetime implies a higher sensitivity of 305 306  $NH_3$  column density to  $NH_3$  emissions, leading to a lower estimate for global  $NH_3$  emissions. In addition, instead of locally 307 scaling observed NH<sub>3</sub> column by lifetime (<u>Van Damme et al., 2018; Evangeliou et al, 2021</u>; <u>Marais et al., 2021</u>), our method 308 (**Eq. (1**)) partially accounts for the non-local contribution from transport by including prior NH<sub>3</sub> columns from a full 3-D 309 simulation and using their difference from observed NH<sub>3</sub> columns to correct prior emissions, which prevents derivation of 310 large NH<sub>3</sub> emissions in remote regions where observed NH<sub>3</sub> concentrations are driven mainly by transport. Our data filtering 311 strategy (**Sect 2.1 and 2.2**) is also crucial to avoid spurious top-down results when satellite coverage is poor and the local 322 mass balance assumption does not hold.





314 Figure 5. Comparison of our top-down NH<sub>3</sub> emission estimates (TDE) with other top-down (Fixed 12h and Evangeliou et al. (2021)) and

- 315 bottom-up (BUE1 and BUE2) results during 2008-2018. The red line and red bar represent central estimates of the TDE, and the blue
- 316 shaded area and the blue error bar indicate the uncertainty evaluated by our study (Sect. 2.4).



317

318 Figure 6. Spatial distribution of NH<sub>3</sub> lifetime (h) diagnosed from GEOS-Chem (Eq. (2)) within the 70°N-70°S during 2008-2018.

**Fig. 6** shows the spatial variation in NH<sub>3</sub> lifetime diagnosed from the GEOS-Chem simulation. Short NH<sub>3</sub> lifetimes (< 10 h) are found mainly in northern high latitudes. Short lifetime in eastern China is due to high wet NH<sub>4</sub><sup>+</sup> deposition velocity, although some regional studies suggested an overestimation of deposition fluxes by the model especially in forest areas (e.g., Yangtze River basin) (Zhao et al., 2017; Xu et al., 2018). Very long NH<sub>3</sub> lifetime (> 100 h) occurs over Sahara and Australia, where dry conditions result in slow wet deposition.

### 324 3.5 Uncertainty evaluation

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We derive the uncertainty of top-down estimates from the perturbation tests in Table 1. Fig. 7 shows the global spatial 325 326 distribution of annual average uncertainties of  $NH_3$  emissions derived from the ensemble of these perturbation tests. 327 Absolute uncertainties are expressed as half of the ensemble ranges, and relative uncertainties are computed by normalizing 328 absolute uncertainties by ensemble averages. We find that both absolute and relative uncertainties are large in Central Asia 329 and Tropical Africa, indicating that these source regions are not well constrained by observations. In comparison, 330 observations have some constraints over important source regions of eastern China and northern India, which have small 331 relative uncertainties (< 20 %) but still appreciable absolute uncertainties (> 0.4 g  $a^{-1}$  m<sup>-2</sup>). Uncertainties are small (< 20 %) 332 and/or < 0.2 g a<sup>-1</sup> m<sup>-2</sup>) in Europe and the U.S.

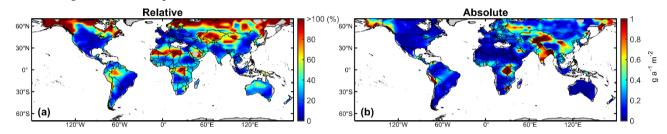
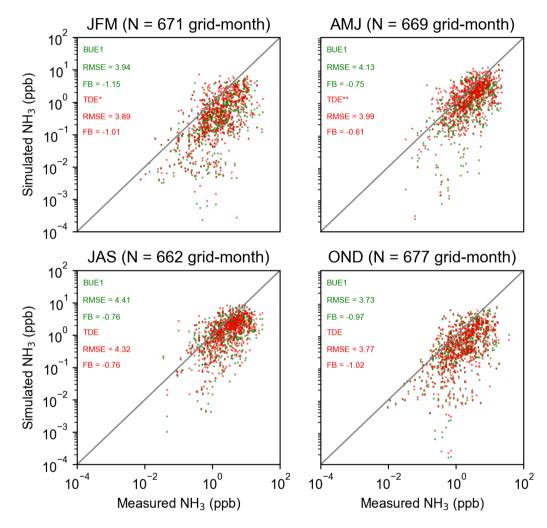


Figure 7. Spatial distribution of TDE (a) relative and (b) absolute uncertainty during 2008-2018. Absolute uncertainties are derived as half of the perturbation ensemble range (Table 1) and relative uncertainties are computed by normalizing absolute uncertainties with ensemble averages.

Our fast top-down method (Eq. (1) and Eq. (3)) relies on simplification of NH<sub>3</sub> chemical and physical processes. Therefore, it is not guaranteed that a simulation driven by TDE will generate results in improved agreement with IASI observations. We evaluate the consistency of our results using full GEOS-Chem simulations in the selected years of 2008, 2013, and 2018. Results are shown in **Fig. S5** (fractional bias, FB) and **Table S1** (number of valid grid cells, R<sup>2</sup>, and root mean square error). The GEOS-Chem simulations driven by the prior emissions (BUE1) tends to underestimate NH<sub>3</sub> column density (mean FB ~-30%), while that driven by our TDE estimates achieves lower biases (mean FB ~10%), demonstrating the consistency of our TDE results with IASI observations.

#### 344 **3.6 Comparison to independent surface networks**

We also compare simulated surface NH<sub>3</sub> concentrations with independent ground-based measurements, including (1) biweekly averaged NH<sub>3</sub> concentrations in North America (AMoN, <u>https://nadp.slh.wisc.edu/networks/ammonia-monitoringnetwork/</u>, last access: 3 June 2022), (2) half-hourly/daily/weekly averaged NH<sub>3</sub> concentrations in (EMEP, <u>http://ebasdata.nilu.no/</u>, last access: 3 June 2022), and (3) monthly averaged NH<sub>3</sub> concentrations in south-eastern Asia (EANET, https://www.eanet.asia/, last access: 3 June 2022). AMoN measures NH<sub>3</sub> concentrations by passive devices (simple diffusion-type simpler), while EMEP and EANET use both active and passive sampler systems among different sites (multitype samplers). For comparison, we convert the observed NH<sub>3</sub> concentrations in  $\mu$ g m<sup>-3</sup> to ppbv using a factor of 1.44 (assume 25 °C temperature and 1 atmosphere pressure) and average observations monthly over the GEOS-Chem  $4^{\circ} \times 5^{\circ}$ grid. **Fig. 8** shows the comparison by season. Only small adjustments are inferred by our satellite-based estimations in these regions (i.e., North America, Europe, and South-eastern Asia). Thus, TDE and BUE1 show similar performance against these ground measurements. Although the simulation can capture the site-to-site variations reasonably well, simulated surface values are in general biased low compared to observations. This low bias is also reported in the evaluation of previous IASI-based estimates (e.g., Evangeliou et al, 2021; Chen et al., 2021b). Further investigations are needed to understand the reasons for this bias.



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Figure 8. Validation of simulated NH<sub>3</sub> concentrations driven by BUE1 and TDE against ground-based measurements from AMoN,
 EMEP, EANET for selected years (2008, 2013 and 2018) in four seasons (January-March, JFM; April-June, AMJ; July-September, JAS;
 October-December, OND). Scatterplots are plotted in log scale and average RMSE (ppb) and FB (%) for each season are inset. The

# 364 4 Conclusions

This study quantifies global ammonia (NH<sub>3</sub>) fluxes monthly from 2008 to 2018 at  $4^{\circ} \times 5^{\circ}$  resolution, through a fast top-365 366 down method that incorporates IASI satellite observations and GEOS-Chem model simulations. The top-down method 367 updates the prior NH<sub>3</sub> emissions with a correction term positively proportional to the difference of the observed and simulated NH<sub>3</sub> concentrations, and inversely proportional to the lifetime diagnosed from a CTM. This method revises 368 369 previously proposed fast top-down methods in two aspects. First, we account for thermodynamic equilibrium within the  $NH_x$ 370 family in diagnosing NH<sub>3</sub> lifetime, while previous studies either assume a globally constant lifetime or treat conversion from 371  $NH_3$  to  $NH_4^+$  as a terminal sink. Second, our formulation linearizes the column-emission relationship at prior emissions as 372 opposed to zero emissions in the previous method, which in general reduces errors from the local mass balance 373 approximation. Another improvement is that we apply several data filtering procedures to exclude unreliable top-down 374 results that are not sufficiently constrained by observations or affected by large deviations from the local mass balance assumption. The top-down method developed in this study is particularly useful for long-term global analysis of emission 375 376 trends, because it largely accounts for the impact of meteorology through the CTM simulation and requires only small 377 amount of computation relative to a full-fledged inversion.

We apply this improved fast top-down method to IASI NH<sub>3</sub> column observations from 2008 to 2018. We find that the BUE1 underestimates NH<sub>3</sub> emission over South America (62 %) and tropical Africa (69 %), but overestimates over India (14 %) and Canada (33 %). The bottom-up inventory agrees with the top-down estimate over the U.S., Europe, and eastern China (i.e., within 10 %). Our analysis also shows significant increases in India (13 % decade<sup>-1</sup>), tropical Africa (33 % decade<sup>-1</sup>), and South America (18 % decade<sup>-1</sup>) during the study period, consistent with intensifying agricultural activities over these regions. An analysis of agricultural statistics suggests that the increase in tropical Africa is likely driven by growing livestock population and that in South America by increasing fertilizer usage.

- 385 We show that large increases in NH<sub>3</sub> concentrations in eastern China is mainly driven by rapid decreases in SO<sub>2</sub> emissions in 386 recent years. By accounting for observed SO<sub>2</sub> columns, we find that NH<sub>3</sub> emissions from eastern China are significantly decreasing during 2008-2018 (-19 % decade<sup>-1</sup>), with a larger negative trend after 2013 (-28 % decade<sup>-1</sup>), as compared to a 387 388 significant positive trend (61 % decade<sup>-1</sup>) derived from assimilating only NH<sub>3</sub> data. Similarly, a lack of trend in observed 389 NH<sub>3</sub> concentrations over India is due to concurrent increases in SO<sub>2</sub> and NH<sub>3</sub> emissions. After including observed SO<sub>2</sub> 390 columns in the calculation, we estimate a 13 % increase in NH<sub>3</sub> emissions over India, with a significant post-2013 positive 391 trend (30 % decade<sup>-1</sup>). These results from assimilating both  $NH_3$  and  $SO_2$  data is more consistent with the agricultural 392 statistics in China and India. The multi-satellite ( $SO_2$  and  $NH_3$ ) method is only applied in India and China in this study. To 393 extend this idea globally requires development of formulations for varied sulfate-nitrate-ammonium aerosol regimes and 394 needs to be addressed in a future study.
- Our estimate for global total NH<sub>3</sub> emission is 78 (70-92) Tg  $a^{-1}$ , about 30 % higher than the BUE1 estimate. This contrasts with a much higher estimate (180 Tg  $a^{-1}$ ) derived from Evangeliou et al. (2021) also using IASI data. The discrepancy can be

primarily attributed to a longer NH<sub>3</sub> lifetime (i.e., global average 21 h) diagnosed in our method, which represents a greater sensitivity of NH<sub>3</sub> column to emissions, and a more conservative data filtering strategy, which removes potentially unreliable top-down results. Our diagnosis of NH<sub>3</sub> lifetime is an improvement over Evangeliou et al. (2021), by accounting for the thermodynamic equilibrium between gas phase NH<sub>3</sub> and aerosol phase NH<sub>4</sub><sup>+</sup> in our formula. We show with model simulations, our top-down estimate achieves better consistency with IASI observations, compared to the bottom-up emission inventory.

- 403
- 404 Data availability.

The IASI L2 ammonia satellite observations are available at the AERIS data infrastructure (https://iasi.aeris-data.fr/). The ERA5 skin temperature and GFAS fire emission can be request through Copernicus Climate Data Store (https://cds.climate.copernicus.eu/cdsapp#!/home). Agricultural data are available through Food and Agriculture Organization of the United Nations (FAO) (http://www.fao.org/faostat). The GEOS-Chem model can be retrieved from 10.5281/zenodo.3974569. All the other data and scripts used for the present publication are available under MIT license on GitHub: https://github.com/bnulzq/NH3-emission.git.

411 Author contributions.

412 ZL and YZ designed the study. ZL performed the simulations and analyses and wrote and coordinated the paper. WC 413 contributed to the model simulations for consistency evaluation. LC, MVD, and PFC developed the IASI-NH3 satellite 414 product. ZL and YZ wrote the paper with inputs from all authors.

415 Competing interests

416 The contact author has declared that neither they nor their co-authors have any competing interests.

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