

1 **Impacts of condensable particulate matter on atmospheric organic aerosols and fine**  
2 **particulate matter (PM<sub>2.5</sub>) in China**

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36 **Abstract**

37 Condensable particulate matter (CPM) emitted from stationary combustion and mobile sources  
38 exhibits high emissions and a large proportion of organic components. However, CPM is not generally  
39 measured when conducting emission surveys of PM in most countries, including China. Consequently,  
40 previous emission inventories have not included emission rates for CPM. Here we construct an emission  
41 inventory of CPM in China with a focus on organic aerosols (OA) based on collected CPM emission  
42 information. Results show that OA emissions are enhanced twofold after the inclusion of CPM in a new  
43 China inventory for the years 2014 and 2017. Considering organic CPM emissions and model  
44 representations of secondary OA (SOA) formation from CPM, here a series of sensitivity cases have been  
45 simulated using the three-dimensional Community Multiscale Air Quality (CMAQ) model to estimate the  
46 contributions of CPM emissions to atmospheric OA and fine PM (PM<sub>2.5</sub>) concentrations in China.  
47 Compared with observations during a haze episode from October 14 to November 14, 2014, at a Beijing  
48 site, estimates of temporal average primary OA (POA) and SOA concentrations are greatly improved after  
49 including the CPM effects. These scenarios demonstrated the significant contributions of CPM emissions  
50 from stationary combustion and mobile sources to POA (51 ~ 85%), SOA (42 ~ 58%), and total OA  
51 concentrations (45 ~ 75%). Furthermore, contributions of CPM emissions to total OA concentrations were  
52 demonstrated over the major 2+26 cities of Beijing-Tianjin-Hebei region (BTH2+26 cities) in December  
53 2018, with average contributions up to 49%, 53%, 54%, and 50% for Handan, Shijiazhuang, Xingtai, and  
54 Dezhou, respectively. Correspondingly, the inclusion of CPM emissions also narrowed the gap between  
55 simulated and observed PM<sub>2.5</sub> concentrations over the BTH2+26 cities. These results improve the  
56 simulation performance of atmospheric OA and PM<sub>2.5</sub>, and may provide important implications for the  
57 sources of OA.

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## 68 **1 Introduction**

69 Atmospheric fine particulate matter (PM<sub>2.5</sub>, particulate matter with aerodynamic diameter not  
70 exceeding 2.5 μm) is a serious and recurring air quality problem. Although the annual average  
71 concentration of PM<sub>2.5</sub> in China has declined in recent years, it still exceeds standards promulgated by the  
72 World Health Organization (WHO) Air Quality Guidelines (Lin et al., 2018). Heavy haze episodes occur  
73 frequently in winter, especially for the eastern regions in China (Li et al., 2015; Chen et al., 2019; Li et  
74 al., 2017a). Despite large reductions in primary emissions during the COVID-19 lockdown, several  
75 periods of heavy haze continued to occur in eastern China (Huang et al., 2021; Wang et al., 2020c, 2021).  
76 Organic aerosols (OA) contribute a large fraction to PM<sub>2.5</sub> worldwide, ranging from 20% to 90% (Carlton  
77 et al., 2009; Kanakidou et al., 2005) with a negative radiative forcing and adverse impacts on air quality  
78 and human health (Gehring et al., 2013; Pope et al., 2002). POA comes from a variety of sources,  
79 including fossil fuels and biomass burning. SOA is generated through photochemical oxidation of volatile  
80 organic compounds (VOCs) followed by gas-particle partitioning of low-volatility organic compounds  
81 into the aerosol phase (Fuzzi et al., 2006; Kroll and Seinfeld, 2008) Currently, the significant contributions  
82 of OA to PM<sub>2.5</sub> and SOA to OA have been demonstrated in many observational results (He et al., 2020;  
83 Veld et al., 2021; Zhang et al., 2017). For example, Huang et al. (2014) explored the role of OA in PM<sub>2.5</sub>  
84 during a severe haze episode in Beijing, Shanghai, Xi'an and Guangzhou, showing the substantial  
85 contribution of OA to PM<sub>2.5</sub> (30~50%) and SOA accounted for 30~77% of OA. Sun et al. (2015) showed  
86 that OA constituted up to 65% of submicron aerosols during winter in Beijing, with 38% being SOA.

87 With respect to chemical schemes of SOA formations, a two-product model (Odum et al., 1996) was  
88 first proposed based on absorptive partitioning theory (Pankow, 1994) and chamber data. To address the  
89 underestimation of the early two-product model, the volatility basis set (VBS) framework was developed  
90 (Donahue et al., 2006). In this VBS scheme, semi-volatile and intermediate volatility precursors (S/IVOCs)  
91 were classified by their volatilities based on the absorptive partitioning theory (Robinson et al., 2007). A  
92 large portion of SVOCs are emitted as POA and then evaporate at ambient conditions due to gas-particle  
93 partitioning, while the IVOCs species exist in the form of organic vapor under many atmospheric  
94 conditions in the absence of photochemical reactions (Shrivastava et al., 2011). Currently, the VBS  
95 mechanism has been incorporated into many global and regional scale models (Lane et al., 2008; Murphy  
96 and Pandis, 2009; Shrivastava et al., 2008; Han et al., 2016). The two-dimensional (2-D) VBS scheme  
97 was put forward to improve the accuracy of fragmentation processes and OA oxidations (Donahue et al.,

2011; Zhao et al., 2016). Despite advances in SOA formation mechanisms, a gap exists between observed and modeled results due to uncertainties in parameterization of SOA yields, lack of localized parameters and incomplete information on emission rates and properties of SOA precursors. Recent studies have begun to focus on important effects of emissions, including traditional precursors (VOCs) and S/IVOCs. For example, Zhao et al. (2017) found that IVOCs of 1.5–30 times POA emissions contributed largely to OA concentrations over the BTH region. Wu et al. (2019) constructed an inventory of S/IVOCs for the Pearl River Delta (PRD) region in China and conducted a simulation using the WRF-Chem model leading to an increase of 161% in SOA predictions. Emissions of S/IVOCs from mobile sources and IVOCs from volatile chemical products were also parameterized in models to represent SOA formation (Jathar et al., 2017; Lu et al., 2020; Pennington et al., 2021). Although the significant role of potential emission sources in OA formation has been demonstrated, underestimation of SOA by current air quality models has not been completely resolved. Stationary combustion sources are one of the major emission sources of PM<sub>2.5</sub>, including power plants and factories. Sampling temperatures and dilution rates are key factors for accurate measurements of organic matter (Morino et al., 2018). The total primary PM emitted from stationary sources is composed of filterable PM (FPM) and condensable PM (CPM). FPM exists in liquid or solid phases, while CPM is in gas phase in flue (Corio and Sherwell, 2000; Feng et al., 2018). CPM is defined by the U.S. Environmental Protection Agency (EPA, 2017) as particles which are gaseous at flue gas temperature but condense or react in the ambient air to form solid or liquid PM through dilution and cooling immediately after discharge. With ultralow emission standards implemented by coal-fired power plants (<10 mg Nm<sup>-3</sup>) since 2014, FPM emissions have been substantially reduced (even below 5 mg Nm<sup>-3</sup>) (Tang et al., 2019), making the remaining emissions of CPM an important issue. The Ministry of Science and Technology of China issued a national key research and development project on the causes and controls of air pollution in 2016, which mentioned key technologies for controlling CPM emissions ([http://www.acca21.org.cn/zdy\\_cms/siteResources/DisasterReduction/resources/otherfiles/20160425/f15345793.pdf](http://www.acca21.org.cn/zdy_cms/siteResources/DisasterReduction/resources/otherfiles/20160425/f15345793.pdf)). The current measurement studies about emission characteristics and chemical composition of CPM exhibited non-negligible emissions. For example, Yang et al. (2014, 2018a, 2018b) conducted investigations for different types of industrial boilers and power plants, and concluded that CPM constituted 25.7~96.5% of PM<sub>2.5</sub>. For an ultralow-emission coal-fired power plant, Li et al. (2017b) reported that the emission concentrations of CPM accounted for 83% of the PM<sub>2.5</sub>. Wang et al. (2018) calculated the average emission factors of CPM from two stacks in a waste incineration power plant to be 0.201 and 0.178 g kg<sup>-1</sup>, which were 22.0 and 31.2 times higher than the corresponding those of FPM,

129 respectively. Wu et al. (2020) found that FPM emissions from four typical coal-fired power plants met  
130 Chinese ultra-low emission standards, while CPM showed high levels (even above 10 mg Nm<sup>-3</sup>). CPM  
131 includes organic and inorganic components, known as organic CPM and inorganic CPM, respectively.  
132 The contributions of organic fractions varied from 13.6% to 80.5%, depending on different fuel types,  
133 test methods and operating conditions (Lu et al., 2019; Song et al., 2020; Yang et al., 2021, 2018b). Many  
134 studies confirmed that CPM contained more than 50% organic components (Li et al., 2017c, 2017d; Song  
135 et al., 2020; Wu et al., 2020), revealing that organic matter comprising a large proportion in CPM needed  
136 to be taken into account. These above studies provided valuable basic information of CPM emission  
137 characteristics for data references in this study, as summarized in Table S3. It is likely that the inorganic  
138 fractions of CPM make a contribution to the water-soluble ions in PM<sub>2.5</sub>, and organic components  
139 contribute to the organic matter in PM<sub>2.5</sub>. In addition, large amounts of low volatile organic compounds  
140 in CPM can be important precursors for SOA formation.

141 Current measurement methods for PM in stationary exhaust sources in China (GB/T 16157-1996)  
142 have not involved the collection of CPM; and the chemical composition of collected PM was quite  
143 different from that actually released into the atmosphere (Hu et al., 2016). The emission inventory  
144 constructed based on emission surveys did not include the CPM emissions. So it is important to introduce  
145 CPM emissions to the current emission inventory. For example, a European study improved OA  
146 simulations by including the CPM emissions from residential wood combustion sources (Van Der Gon et  
147 al., 2015). Morino et al. (2018) revised the emission inventory by the consideration of CPM in Japan and  
148 showed that the OA emission rates were up to seven times the previous ones and CPM contributed greatly  
149 to atmospheric OA concentrations. A shortcoming of that study was that it did not separate the effects of  
150 CPM emissions on POA and SOA concentrations. Moreover, studies still lack quantification of emissions  
151 of CPM released by stationary combustion sources in China.

152 In this study, we use the available CPM emission information to construct an emission inventory of  
153 CPM from stationary combustion and mobile sources in China (with a focus on OA) and conducted 15  
154 sensitivity simulations to explore the contributions of CPM emissions to atmospheric OA and PM<sub>2.5</sub>  
155 concentrations during the winter haze episodes over China. This quantitative study about organic CPM  
156 emissions and the roles of CPM in the OA formation emphasizes the importance of constraining CPM  
157 emissions from stationary combustion and mobile sources.

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## 159 **2 Materials and methods**

## 2.1 Estimations of CPM emissions

Table 1 explicitly states the definitions of some acronyms for better understanding. We collected available emission measurement data of CPM based on published literatures. Totally, CPM emission data from 52 stationary combustion sources were acquired (Table S3). The emission sectors for these data included coal-fired power plants, waste incineration power plants, industrial coal boilers, heavy oil boilers, wood boilers, natural gas boilers, diesel boilers, iron and steel plants, and incinerators. Emissions of CPM depend on many factors including source categories, fuel types, sampling flue gas temperature, and air pollution control devices (Feng et al., 2021). Also, different measurement methods produced different results of CPM emissions (Wang et al., 2020a). Recently, cooling and dilution methods have been applied to monitor CPM concentrations. CPM contained organic and inorganic fractions, but this study only focused on organic CPM emissions. The emission rate of organic CPM was estimated as follows in Eq. (1) and (2) (Morino et al., 2018):

$$E_{OM}(CPM) = \sum A \times EF_{OM}(CPM) = \sum A \times EF_{PM_{2.5}}(FPM) \times \frac{EF_{OM}(CPM)}{EF_{PM_{2.5}}(FPM)} \quad (1)$$

$$E_{OM}(CPM) = \sum E_{PM_{2.5}}(FPM) \times \frac{C_{OM}(CPM)}{C_{PM_{2.5}}(FPM)} \quad (2)$$

$$E_{OM_{si}}(CPM) = E_{OM}(CPM) \times \frac{E_{OM_{si}}(CPM)}{E_{OM}(CPM)} = E_{OM}(CPM) \times \frac{C_{OM_{si}}(CPM)}{C_{OM}(CPM)} \quad (3)$$

Where  $E_{OM}(CPM)$  is the emission rate of organic matter in CPM;  $EF_{OM}(CPM)$  is the emission factor of organic matter in CPM;  $E_{PM_{2.5}}(FPM)$  is the emission rate of  $FPM_{2.5}$ ;  $EF_{PM_{2.5}}(FPM)$  is the emission factor of  $FPM_{2.5}$ ;  $A$  denotes the activity level;  $C_{OM}(CPM)$  is the concentration of organic matter detected in CPM; and  $C_{PM_{2.5}}(FPM)$  is the detected concentration of  $FPM_{2.5}$ .  $A$  and  $EF_{PM_{2.5}}(FPM)$  in Eq. (1) were combined to calculate  $E_{PM_{2.5}}(FPM)$  in Eq. (2), acquired from  $PM_{2.5}$  emission rates in the emission inventory of baseline year. Among these parameters,  $C_{OM}(CPM)$  and  $C_{PM_{2.5}}(FPM)$  were derived from the collected emission survey data at the above stationary combustion sources. The ratios of  $C_{OM}(CPM)$  to  $C_{OM}(FPM)$  should be used to estimate  $E_{OM}(CPM)$ , but due to the limited data and very low values of  $C_{OM}(FPM)$  at these stationary sources,  $C_{PM_{2.5}}(FPM)$  was used instead of  $C_{OM}(FPM)$ . The ratios of  $E_{OM}(CPM)$  to  $E_{PM_{2.5}}(FPM)$  and  $EF_{OM}(CPM)$  to  $EF_{PM_{2.5}}(FPM)$  should be equal to the ratios of  $C_{OM}(CPM)$  to  $C_{PM_{2.5}}(FPM)$  at the same dilution ratio in the emission surveys. Table 2 summarizes the emission ratios of  $E_{OM}(CPM)$  to  $E_{PM_{2.5}}(FPM)$  for these stationary combustion sources. In this estimate, these emission ratios collected from the best available data were applied to represent the stationary combustion sources in the current emission inventory.

189 In addition, the component information of organic CPM is important to model the participation of  
190 organic CPM in atmospheric chemical reactions. The organic CPM mainly contains alkanes (with C<sub>10</sub>-  
191 C<sub>30</sub> being the major n-alkanes), esters, and polycyclic aromatic hydrocarbons (PAHs) (Li et al., 2017c, d;  
192 Song et al., 2020; Zheng et al., 2018). Based on the relationship between carbon number of n-alkanes and  
193 saturation concentrations ( $C^*$ ) following Lu et al. (2018), it is reasonable to speculate that organic CPM  
194 is composed of organic matter which is semi-volatile (SVOCs,  $10^0 \leq C^* \leq 10^3 \mu\text{g m}^{-3}$ ) or has intermediate  
195 volatility (IVOCs,  $10^3 < C^* \leq 10^6 \mu\text{g m}^{-3}$ ), combined as OM<sub>si</sub> (CPM). It denotes a collective term for a  
196 range of organic matter with different volatilities in CPM. Since the volatility characteristics of organic  
197 CPM from these stationary combustion sources have not been accurately determined in relevant  
198 measurement studies, the emissions of OM<sub>si</sub> (CPM) were scaled to emissions of OM (CPM) in this  
199 estimate as shown in Eq. (3), that is, the total emissions of OM (CPM) were distributed in different  
200 volatility bins.  $E_{\text{OM}_{\text{si}}}$  (CPM) denotes the emission rate of OM<sub>si</sub> in CPM;  $C_{\text{OM}_{\text{si}}}$  (CPM) denotes the  
201 concentration of OM<sub>si</sub> in CPM. The specific partition coefficients for different volatility bins in the model  
202 will be discussed in the following Sect. 2.3. In addition to stationary sources, mobile sources also generate  
203 certain emissions of CPM. Due to the lack of CPM emission data from on-road and off-road vehicles, we  
204 increased OM emission rates of the transportation sector (TR) by 30% to consider the contributions of  
205 CPM from these mobile sources, following Morino et al. (2018) and Lu et al. (2020).

206

## 207 **2.2 The model configuration**

208 The three-dimensional Community Multiscale Air Quality (CMAQ, v5.3.2) model developed by the  
209 U.S. Environmental Protection Agency was used to simulate spatiotemporal distributions of chemical  
210 species. The detailed model configuration can refer to Appel et al. (2021) and Yu et al. (2014). The gas-  
211 phase chemical mechanism was based on the Carbon Bond Mechanism 6 (CB6) scheme. The aerosol  
212 module was based on the seventh-generation aerosol module of CMAQ (AERO7). The CMAQv5.0.2-  
213 VBS version with AERO6 coupled with a VBS module (AERO6VBS) was used for comparison.  
214 Compared to the SOA formation in AERO6 in the CMAQv5.2, the AERO7 module includes some  
215 improvements: enhanced consistency of the SOA formation pathways between chemical mechanisms  
216 based on CB and SAPRC, updated photooxidized monoterpene SOA yields (Xu et al., 2018), added  
217 uptake of water by hydrophilic organics (Pye et al., 2017), consumption of inorganic sulfate when forming  
218 isoprene epoxydiol organic sulfate (Pye et al., 2013), and replacement of the Odum two-product model  
219 with a VBS framework to parameterize SOA formation (Appel et al., 2021; Qin et al., 2021). Both

220 AERO6VBS and AERO7 contained five classes of organic matter with one class being nonvolatile and  
221 the other four classes being semi-volatile with effective saturation concentrations of 1, 10, 100, and 1000  
222  $\mu\text{g m}^{-3}$ . Each of these volatility bins was assigned to the CMAQ species of LVPO1, SVPO1, SVPO2,  
223 SVPO3 and IVPO1, respectively. The emissions of unspeciatiated IVOCs were set equal to 1.5 times the  
224 POA emissions in AERO6VBS and 6.579 times in AERO7 by default. The high scale factor of 6.579 in  
225 AERO7 was set to consider missing pathways for the SOA formation from combustion sources including  
226 the IVOCs oxidation (Murphy et al., 2017; Murphy et al., 2021), and it was primarily parameterized in  
227 Los Angeles where vehicle emissions are a principal source (Hayes et al., 2015). This parameter setting  
228 may not be suitable for fire and wood-burning sources, thus the scale factor was zeroed out for these  
229 sources in this study, as stated in the release of CMAQv5.3.2. Meteorological fields were predicted by the  
230 Weather Research and Forecasting (WRF) model version 3.7. The physical schemes of WRF were the  
231 same as those in Wu et al. (2018) and Zhang et al. (2021). Meteorological initial and boundary conditions  
232 were provided by the National Center for Environmental Prediction (NCEP) final analysis dataset with  
233 the spatial resolution of  $1^\circ \times 1^\circ$  and temporal resolution of 6 h. The first several days were used for model  
234 spin-up, varied for different pollution periods as described in Sect. 2.4. The gridded anthropogenic  
235 emission data for 2014 and 2017 were derived from Emission Inventory of Air Benefit and Cost and  
236 Attainment Assessment System (EI-ABaCAS) developed by Tsinghua University (Dong et al., 2020;  
237 Zheng et al., 2019). It contained primary species such as  $\text{PM}_{2.5}$ ,  $\text{SO}_2$ ,  $\text{NO}_x$ , CO, NMVOCs,  $\text{NH}_3$ , BC, and  
238 OC from nine anthropogenic sectors (i.e., agriculture, power plant, industry process, industry combustion,  
239 steel, cement, residential, transport, and open burning). Biogenic source emissions were calculated by on-  
240 line Biogenic Emission Inventory System version 3.14 (BEISv3.14) model (Carlton and Baker, 2011).  
241 Dust emissions were calculated by an on-line windblown dust scheme (Choi and Fernando, 2008). Our  
242 study period in 2014 occurred before and during the Asia-Pacific Economic Cooperation (APEC) summit  
243 held in Beijing (November 5–11, 2014). During the period of pre-APEC (October 28–November 2) and  
244 full-APEC (November 3–11), some pollution control measures were gradually implemented in Beijing  
245 and its surrounding areas. Based on the observed reductions in the concentrations of  $\text{PM}_{2.5}$ ,  $\text{SO}_2$ ,  $\text{NO}_2$ ,  
246 NO, and CO during APEC in Beijing and its surrounding cities (Li et al., 2017e, 2019; Wen et al., 2016),  
247 and 28% contribution of the emission control measures to the reduction of  $\text{PM}_{2.5}$  concentrations (Liang et  
248 al., 2017), the approximate emission reduction of 30% was conducted during the above time period for  
249 the region of two municipalities (Beijing and Tianjin), four provinces (Hebei, Shanxi, Henan, and  
250 Shandong) and Inner Mongolia Autonomous Region. The simulation domain covered mainland China by



251 a  $395 \times 345$  grid with the horizontal grid resolution of 12 km (Fig. 1). There were 29 vertical layers in  $\sigma_z$   
252 coordinate system reaching the upper pressure (100 hPa) with 20 layers located in the lowest 3 km to  
253 resolve the planetary boundary layer.

254

### 255 **2.3 Design of sensitivity simulation cases**

256 According to the emission parameters summarized in Table 2, we carried out bootstrapping and  
257 Monte Carlo simulations to obtain the mean and uncertainty ranges of  $E_{OM}(CPM)/E_{PM_{2.5}}(FPM)$  for  
258 stationary combustion sources including power plant (PP), industry combustion (IN), and steel (IR) (see  
259 Table 3). First, the optimal probabilistic distributions and uncertainty ranges were determined for each  
260 source category. Then the statistical bootstrap simulation was applied to calculate the mean and 95%  
261 confidence interval of emission ratios for each source category. Finally, the uncertainties of these  
262 parameters were propagated to calculate the total uncertainty of emission by running Monte Carlo  
263 simulations for 10,000 times. Notably, the estimated uncertainties were only related to variabilities in the  
264 ratio of  $E_{OM}(CPM)$  to  $E_{PM_{2.5}}(FPM)$ , but did not necessarily represent the overall uncertainties of organic  
265 CPM emissions. On this basis, a series of sensitivity cases including low, medium, and high emission  
266 ratios were designed to explore the contributions of organic CPM emissions to OA concentrations and  
267 quantify uncertainty ranges of CPM effects on OA (see Table 4).

268 Here, to explore the contributions of organic CPM emissions to atmospheric OA and  $PM_{2.5}$   
269 concentrations, the estimated emissions of organic CPM were added into the CMAQ model as an  
270 individual source, separated from other emission sources. For the base scenarios, the simulations were  
271 performed with the inputs of the previous emission inventory without the newly constructed organic CPM  
272 emissions. Considering that organic FPM from stationary combustion and mobile sources mainly  
273 contained low volatile matter, all of these emissions should be assigned to the CMAQ species of LVPO1  
274 and other volatility bins should be assigned a scale factor of 0, and the rests were kept at the default  
275 settings in the model. In addition, different volatility distributions could be chosen for different emission  
276 sources, but this was not our study focus and did not interfere with the results of CPM contributions. For  
277 the cases including CPM emissions from stationary combustion and mobile sources, the emissions of  
278 organic CPM were mapped to surrogate species for different volatility bins (LVPO1, SVPO1, SVPO2,  
279 SVPO3, and IVPO1) in the CMAQ model for representing the SOA formation from CPM. These mixed  
280 species underwent gas-particle partitioning and multi-generational gas-phase photochemical oxidation of  
281 organic vapors by OH radicals to generate successively lower volatility and more-oxygenated species,

282 and then produced SOA. Due to the unavailable volatility distribution information of  $OM_{si}$  (CPM),  
283 different scaling factors of volatility bins were employed under each emission scenario to discuss the  
284 uncertainties of CPM effects. In this study, we tested two kinds of scaling factors for the five volatility  
285 bins: fac1 (0.09, 0.09, 0.14, 0.18, 0.5) (Grieshop et al., 2009) and fac2 (0.40, 0.26, 0.40, 0.51, 1.43)  
286 (Shrivastava et al., 2011). As mentioned in Sect. 2.1, organic CPM was composed of organic matter which  
287 was semi-volatile or had intermediate volatility, thus the first bin which represents nonvolatile organic  
288 matter should be set to zero. Here, the original partition coefficient of the first bin was added to the  
289 following bin, so the fac1 (0, 0.18, 0.14, 0.18, 0.5) and fac2 (0, 0.66, 0.40, 0.51, 1.43) were applied in the  
290 sensitivity simulation cases. The fac2 estimated total SVOCs emissions as 3 times POA emissions to  
291 consider missing  $OM_{si}$  (CPM) emissions. Then the fac3 (0, 0.42, 0.27, 0.345, 0.965) which was the  
292 average of fac1 and fac2, was also tested for the five volatility bins. The fac1, fac2 and fac3 were applied  
293 to the  $OM_{si}$  (CPM) emissions for cases S1.1, S1.2 and S1.3, respectively (see Table 4). For an evaluation  
294 of the sensitivity of OA outputs to organic CPM emissions, we conducted simulations with different  
295 magnitudes of CPM emissions at the 95% and 50% confidence interval. Thus the S2-S3 cases were  
296 designed with the uncertainty ranges of  $E_{OM}$  (CPM)/ $E_{PM_{2.5}}$  (FPM) at 95% confidence interval (73% and  
297 128% of the amounts in S1), and the S4-S5 cases with the uncertainty ranges at 50% confidence interval  
298 (90% and 109% of the amounts in S1). Moreover, the contributions of individual emission categories  
299 including PP, IN, IR, and TR were quantified by excluding perturbation of other sources in the S6-9 cases.  
300 The simulated contributions of CPM emissions to POA, SOA, OA, and  $PM_{2.5}$  concentrations under these  
301 scenarios were calculated as the improved simulation concentrations after including CPM emissions  
302 relative to the base case, divided by the simulations under these scenarios.

303

## 304 **2.4 Observational data**

305 For the year 2014, the simulation period was from October 6 to November 14, 2014, with the first 8  
306 days being the model spin-up time. Field observation data during the episode from October 14 to  
307 November 14, 2014, at the Institute of Atmospheric Physics (IAP) (39°58' N, 116°22' E) in Beijing were  
308 from Li et al. (2017a) and Xu et al. (2015). Concentrations of aerosol components were measured in  $PM_{1.0}$ .  
309 In order to make a comparison between simulated and observed results, the  $PM_{1.0}/PM_{2.5}$  ratio of 0.77 was  
310 used to calculate the observed component concentrations in  $PM_{2.5}$  based on the observations from Xu et  
311 al. (2015). To distinguish between SOA and POA, Aerosol Mass Spectrometer (AMS) measurements and  
312 the method of Positive Matrix Factorization (PMF) were used by Xu et al. (2015), identifying three POA

313 factors from coal combustion, biomass burning and cooking, and two SOA factors of semi-volatile and  
314 low-volatility oxygenated OA. Observation data of organic carbon (OC) on November 3, 2014, at  
315 Qianyanzhou (located in Jian city) and Changsha were provided by CERN Atmospheric Science Branch  
316 of the Institute of Atmospheric Physics, Chinese Academy of Sciences (Liu et al., 2018). For the year  
317 2018, the simulation period was from December 1 to 31, 2018, with the first 5 days for model spin-up.  
318 The observation values of OC in the BTH2+26 cities were provided by China Environmental Monitoring  
319 Station. These cities include Beijing, Tianjin, Anyang, Baoding, Binzhou, Cangzhou, Changzhi, Dezhou,  
320 Hebi, Handan, Hengshui, Heze, Jincheng, Jinan, Jining, Jiaozuo, Kaifeng, Liaocheng, Langfang, Puyang,  
321 Shijiazhuang, Tangshan, Taiyuan, Xingtai, Xinxiang, Yangquan, Zibo, and Zhengzhou. The OA/OC ratio  
322 of 1.4 (Simon et al., 2011) was used to calculate OA concentrations for the comparison with the simulation  
323 results. The observed concentrations of PM<sub>2.5</sub> were collected from the Chinese National Environmental  
324 Monitoring Center (CNEMC). Since the PM<sub>2.5</sub> observation data from December 22 to 26 were missing,  
325 the following analysis of PM<sub>2.5</sub> did not include these five days. The hourly observation data of  
326 meteorological factors, including temperature (T), relative humidity (RH), wind speed (WS), and wind  
327 direction (WD), were provided by the China Meteorological Administration  
328 (<http://data.cma.cn/site/index.html>).

329

### 330 **3 Results and discussion**

#### 331 **3.1 Emissions of condensable particulate matter**

332 Emissions of OM in CPM ( $E_{OM}(CPM)$ ) were comparable to or even exceeded the emissions of  
333 filterable PM<sub>2.5</sub> ( $E_{PM_{2.5}}(FPM)$ ) for most stationary combustion sources, regardless of the differences  
334 among these values (Table 2). Therefore, we constructed a new emission inventory by including CPM.  
335 The annual emissions of OA in previous and modified emission inventory over China for the year 2014  
336 and 2017 are presented in Fig. 2. The OM represents the organic matter in the emission input before the  
337 further volatility distributions, while OM ( $C^* \leq 100 \mu\text{g m}^{-3}$ ) represents the organic matter allocated in the  
338 bin of  $C^*$  equal to 100 and below after application of the volatility distributions for the fac1, fac2 and  
339 fac3 cases. Based on the simulation case settings, OM (FPM) from all the sectors was multiplied by fac1  
340 (0.5), while OM (CPM) from stationary combustion and mobile sources was multiplied by fac1 (0.5),  
341 fac2 (1.57) or fac3 (1.035). In the previous inventory for 2014 without CPM, the emissions of OM over  
342 mainland China were 3664.6 Gg, approximately equal to 40% of PM<sub>2.5</sub> emissions. After the inclusion of  
343 CPM released by stationary combustion sources in the new inventory, the emissions of OM were

344 enhanced by a factor of 2 and even exceeded emissions of FPM<sub>2.5</sub>. The dominant contributors of OM  
345 (FCPM) are combustion sources in power plant and industrial sectors, estimated to be 66% (7006.2 Gg)  
346 of the total OA emissions (10531.1Gg). The emissions of OM ( $C^* \leq 100 \mu\text{g m}^{-3}$ ) remained unchangeable  
347 for the open burning, domestic, and industry process sources since they were mostly FPM, while OM  
348 ( $C^* \leq 100 \mu\text{g m}^{-3}$ ) for the power plant, industry combustion, and steel sources were variable based on  
349 whether fac1, fac2 or fac3 were applied to the CPM. Similarly, the emissions of OM (FCPM) were 3  
350 times those of OM (FPM) for the year 2017. The emissions of OM from power plant, industry  
351 combustion, and steel sources increased by 33 times after considering CPM emissions. These results  
352 indicate that the inclusion of organic CPM from stationary combustion sources has a major impact on  
353 OM emissions and improves contributions of industrial and power sectors to OM emissions.

354 Notably, the emission estimates of OM in CPM contained uncertainties, mainly attributed to the  
355 representativeness and limitations of chosen emission sources. For power plant, industry combustion, and  
356 steel sectors, the average ratios of  $E_{\text{OM}}(\text{CPM})$  to  $E_{\text{PM}_{2.5}}(\text{FPM})$  were 4.12, 1.38 and 2.80, respectively  
357 (Table 3). The estimation of uncertainties related to variabilities in the ratio of  $E_{\text{OM}}(\text{CPM})$  to  $E_{\text{PM}_{2.5}}(\text{FPM})$   
358 was described in section 2.3. Overall, the uncertainty range of  $E_{\text{OM}}(\text{CPM})$  related to variabilities in the  
359 ratio was -27% ~ +28% at the 95% confidence interval. On this basis, a series of sensitivity cases with  
360 different emission ratios were set to determine the uncertainty ranges of CPM contributions (Table 4). In  
361 the future, actual measurements of organic CPM emissions from various sources and source-specific  
362 identification of volatility distributions are needed to reduce uncertainties in emission estimates.

363

### 364 **3.2 Effects of CPM emissions on POA and SOA concentrations**

365 For the hourly observed and simulated SOA and POA concentrations at the Beijing site, Figs. 3 and  
366 4 show obvious improvements of SOA and POA levels after the consideration of CPM contributions. The  
367 specific model species for POA and SOA are shown in Table S4. In all the simulation scenarios, five  
368 complete ascending and descending SOA episodes in Fig. 3 were well captured, with much lower mean  
369 bias between observations and simulations than previous results of Li et al. (2017a). Three pollution  
370 episodes before the APEC were clearly captured by the model. The third episode (October 27–November  
371 1) had lower observed SOA levels relative to the first (October 16–21) and second episodes (October 22–  
372 26), attributed to lower precursor emission concentrations, lower temperature, and regional transports by  
373 strong northerly winds on October 26. During the APEC, there were two pollution episodes with lower  
374 SOA concentrations due to the effects of emission controls and meteorological conditions (Ansari et al.,

2019; Liang et al., 2017). Compared to the observed values, cases without CPM exhibited varying degrees of underestimation for SOA and POA. For example, in the base case, the maximum SOA values were underestimated by 50% in the first episode and up to 65% in the second episode, while the simulated hourly POA values varied in the range of 0.12~19.06  $\mu\text{g m}^{-3}$ , much lower than POA observations during the whole time period. In comparison, the AERO6VBS case underpredicted SOA by up to 65%, and simulated low levels of POA during the first three periods and high levels in the last two episodes. Overall, the base case underestimated the average POA, SOA and OA levels by 74%, 56% and 65% (Table 5), respectively, emphasizing the potential contributions of missing CPM sources.

After considering organic CPM emissions, the underestimation of average POA and SOA was reduced to 37% and 15% under the S1.1 scenario, respectively (Table 5). From the simulated hourly variations in the S1.1 case (Fig. 3), SOA concentrations were enhanced by factors of 0.01~1.86 relative to base case, more consistent with the observations. The gap between average simulations and observations decreased from -9.84 to -2.61  $\mu\text{g m}^{-3}$  (73% decrease). For the peak values in the first, second, fourth, and fifth pollution episodes, the improvements in the peak SOA concentrations were approximately 30, 30, 10, and 15  $\mu\text{g m}^{-3}$ . Nevertheless, the overestimation of SOA occurred in the third process, mainly due to meteorological conditions considering the fact that the observed and modeled wind directions were inconsistent during this period as shown in Fig. S1. The prevailing southerly and northeast wind directions in the model during the third process did not bring clean air from the northwest boundary to dilute the local generated SOA (Li et al., 2016, 2019). Also, higher simulated wind speeds transported more precursors with the southerly and northeast winds and caused the overestimation of SOA (see Fig. S1). Correspondingly, the hourly POA simulation concentrations in the S1.1 case increased by 0.07~3.70 times compared to the base case, narrowing the average gap between simulations and observations from -11.97 to -6.01  $\mu\text{g m}^{-3}$  (50% decrease), but the high observed levels of POA were still not attained under this scenario. Comparatively, the S1.2 case presented similar hourly simulation results of SOA to the S1.1 case with the enhancement by factors of 0.02~2.21 versus the base case, while the simulated POA values were nearly 1.3 times higher than the S1.1 case, capturing most of the high observations throughout the whole study period. Under the S1.3 scenario using different SVOCs parameters from the S1.1 case, the simulation concentrations of SOA were 4% higher and POA were 61% higher than those under the S1.1 scenario as shown in Table 5. Based on the evaluation results, the S1.3 scenario showed the optimal improvement effects, with the mean biases of 1.23% for POA and -11.68% for SOA (see Table 5). In consideration of the uncertainty ranges of CPM emissions, a series of sensitivity cases with different

406 emission ratios were conducted. Under the minimum emission scenario in the S2.1 case, the average SOA  
407 and POA concentrations were 12%, and 15% lower than those in the S1.1 case, respectively. Under the  
408 maximum emission scenario in the S3.1 case, the average SOA and POA concentrations were 14% and  
409 19% higher than those in the S1.1 case, respectively. Thus the model can resolve 63% (54%~75%) of the  
410 observed POA concentrations and 85% (75%~97%) of the observed SOA concentrations in the cases S1.1  
411 (S2.1, S3.1). Then the S2.2 and S3.2 cases applied the same S/IVOCs parameters as S1.2, and also  
412 displayed similar results of SOA to those in the S2.1 and S3.1 cases, respectively. Under this setting, the  
413 uncertainty ranges were -13% to +13% for SOA, and -22% to +24% for POA in the S1.2 case as shown  
414 in Table 5. For the S4.2 and S5.2 cases with the CPM emissions at 50% confidence interval, their SOA  
415 concentrations showed small changes with 5% lower in the S4.2 case and 4% higher in the S5.2 case than  
416 the S1.2 case; similar minor sensitivity of 8% decrease (S4.2) and 7% increase (S5.2) were found for  
417 POA. To explore the contribution of each source category to SOA and POA and identify the key  
418 anthropogenic sources of CPM, we conducted simulations with the different separate inputs (S6~S9) (see  
419 Table 4). Results show that the CPM emissions from the IR sector made the largest contribution to the  
420 POA and SOA increases, accounting for 59% of POA and 55% of SOA, followed by PP (26% for POA  
421 and 30% for SOA) and IN sources (13% for POA and 14% for SOA). This was consistent with the  
422 differences in the CPM emissions from the above three source sectors (Fig. 2). The sensitivities of SOA  
423 and POA to the emission ratio of organic CPM from the TR sector were very small, indicating a weak  
424 impact on OA due to small contributions of transportation sources to the OA emissions in FCPM. The  
425 above results demonstrate that CPM from stationary sources was an important source for both POA and  
426 SOA formations. In summary, when considering the uncertainties of organic CPM emissions, CPM can  
427 be a significant contributor to OA concentrations, with the contributions of 58% (51%, 65%) to POA, 49%  
428 (42%, 55%) to SOA, 53% (45%, 59%) to OA under the S1.1 (S2.1, S3.1) scenario, and 82% (76%, 85%)  
429 to POA, 53% (45%, 58%) to SOA, 70% (63%, 75%) to OA under the S1.2 (S2.2, S3.2) scenario. The  
430 S1.3 scenario had the best improvement performance with CPM contributing 74% to POA, 51% to SOA,  
431 and 63% to OA.

432 Because of the better representations of temporal variations of SOA and POA after including CPM  
433 emissions, OA simulations were correspondingly improved. To separate the effects of CPM on OA into  
434 different process contributions, we compared simulation results of these sensitivity cases as shown in Fig.  
435 5. The OA composition contains POA, ASOA (SOA from anthropogenic VOCs), BSOA (SOA from  
436 biogenic VOCs), and SISOA (SOA from S/IVOCs). The difference between simulations and observations

437 decreased from  $21.81 \mu\text{g m}^{-3}$  in the base case to  $8.63 \mu\text{g m}^{-3}$  in the S1.1 case (60% decrease), with the  
438 uncertainty of  $11.92 \mu\text{g m}^{-3}$  (45% decrease in S2.1) to  $4.66 \mu\text{g m}^{-3}$  (79% decrease in S3.1) relative to the  
439 base case. However, these cases still underestimated the observed OA levels. The S1.2, S2.2 and S3.2  
440 cases increased the contributions of CPM to OA by 14.01, 10.24,  $17.92 \mu\text{g m}^{-3}$  compared to S1.1, S2.1  
441 and S3.1, respectively. Notably, the average OA simulations in S1.3 were relatively close to the  
442 observations, with the average CPM contributions of  $19.98 \mu\text{g m}^{-3}$  and a minor underestimation of 5.43%  
443 (see Table 5). Taking OA composition into account, POA and SISOA accounted for the largest part in all  
444 these scenarios. The effects of CPM were only reflected in the enhancements of POA and SISOA. These  
445 results suggest that OA was sensitive to the emissions of organic CPM, so it is required to reduce emission  
446 uncertainties for better simulations. To sum up, the revised simulations after the inclusion of CPM from  
447 stationary combustion and mobile sources led to improved modeling performances of OA during the  
448 winter haze episodes, revealing a significant contribution of CPM to atmospheric OA.

### 449 **3.3 Effects of CPM on OA and $\text{PM}_{2.5}$ concentrations**

451 To ensure the accuracy and reliability of our modeling results, further studies in other cities were  
452 presented. Fig. 6 shows large contributions of CPM to OA on November 3, 2014, at Changsha and  
453 Qianyanzhou. After the inclusion of CPM effects in the S1.1, S1.2 and S1.3 cases versus the base case,  
454 the simulated OA concentrations were improved by 6.28, 15.80 and  $9.60 \mu\text{g m}^{-3}$  for Changsha,  
455 respectively. The simulated OA concentrations increased by 7.06, 15.28 and  $10.14 \mu\text{g m}^{-3}$  in the S1.1,  
456 S1.2 and S1.3 cases versus the base case for Qianyanzhou, respectively. Comparatively, the S1.2 case  
457 contributed to greater increases of OA concentrations, narrowing the simulation-observation bias from  
458 79% to less than 40% for Changsha and more than 70% to less than 25% for Qianyanzhou. The remaining  
459 bias was probably attributed to the underestimation of our estimated CPM emissions, effects of  
460 meteorological factors and other missing SOA formation pathways.

461 The impacts of CPM on OA were studied during December 6–30, 2018, in the BTH 2+26 cities.  
462 Likewise, the improvements in daily OA simulation concentrations can be found at the four studied cities  
463 after the consideration of CPM, especially for high pollution days (Fig. 7). The modeled underestimations  
464 of OA were improved from -60.88% to -22.55%, -56.47% to -7.91%, -68.38% to -30.51%, and -62.84%  
465 to -24.99% with the inclusion of CPM emissions in the S1.1 case relative to the base case for Handan,  
466 Shijiazhuang, Xingtai and Dezhou, respectively (Table 5). The contributions of CPM emissions to total  
467 OA concentrations reached up to 49%, 53%, 54%, and 50% for Handan, Shijiazhuang, Xingtai, and

468 Dezhou, respectively. Under the S1.3 scenario, the OA simulations showed greater increases, and slightly  
469 exceeded observation values with the mean biases of 8.00%, 37.42%, 0.81%, and 2.21% for the above  
470 four cities, respectively. For example, daily OA levels in Handan increased by 5.60~57.89  $\mu\text{g m}^{-3}$  after  
471 including CPM effects (S1.1 versus base case). On average, the inclusion of CPM doubled the OA  
472 concentrations. However, some observations were not captured, while the observed value on December  
473 20 was overestimated, indicating uncertainties of the estimated organic CPM emissions. Under the S1.3  
474 scenario, the average simulated OA concentrations were enhanced by 1.8 times relative to the base case,  
475 with a good capture of some underestimated values in the S1.1 case. For Shijiazhuang with daily OA  
476 concentrations below 80  $\mu\text{g m}^{-3}$ , the base case underestimated OA levels by 12~78%. After incorporating  
477 the CPM emissions in the S1.1 case, the daily OA concentrations were significantly improved by factors  
478 of 0.7~1.7. Some observed high values of OA were well captured in the S1.1 case on December 10 with  
479 the simulation of 67.75  $\mu\text{g m}^{-3}$  versus observation of 58.65  $\mu\text{g m}^{-3}$ , and on December 14 and 30. Under  
480 the S1.3 scenario, the daily OA levels increased by factors of 1.3~3.6 relative to the base case. Although  
481 the average OA concentrations were somewhat overestimated in the S1.3 case, good agreements between  
482 observations and simulations existed on some days, including December 9, 12, 13, 16-19, and 24. For  
483 Xingtai, the simulated OA concentrations were enhanced by factors of 1.0~1.8 in the S1.1 case relative  
484 to the base case. The model can resolve 69% of average OA observations in the S1.1 case when the  
485 emissions of CPM were included. The average OA simulation value was improved by 29.21  $\mu\text{g m}^{-3}$  in the  
486 S1.3 case compared to the base case. Then Dezhou showed similar results with the enhancement of  
487 0.7~1.6 times for daily OA contributed by CPM in S1.1. Although the observed high OA concentrations  
488 exceeding 80  $\mu\text{g m}^{-3}$  on December 11 and 16 were not captured in the S1.1 case, the bias between  
489 simulation and observation was reduced to -21.92 and -25.63  $\mu\text{g m}^{-3}$  versus -59.17 and -52.64  $\mu\text{g m}^{-3}$  in  
490 the base case, respectively. The underestimations of high OA levels on December 11 and 16 were resolved  
491 in the S1.3 case, and the average concentration over the whole period was very close to the observation.  
492 Table S2 shows the model evaluation results for  $\text{PM}_{2.5}$  concentrations under different sensitivity  
493 simulation cases. Dezhou was not included due to the missing data. After including the CPM emissions  
494 in the S1.1 case, the model can resolve 86%, 86%, and 72% of average  $\text{PM}_{2.5}$  observations with increases  
495 in  $\text{PM}_{2.5}$  concentrations by 32%, 37%, and 38% relative to the base case for Handan, Shijiazhuang, and  
496 Xingtai, respectively.  $\text{PM}_{2.5}$  simulations were further enhanced for these four cities in the S1.3 case with  
497 the NMB values of 2.04%, 7.21%, and -12.08%, respectively. It was notable that the emissions of  
498 inorganic components in CPM were not investigated in this study, which can cause modeling deviation.



499 Other factors including boundary layer height and wind can also affect the simulations. In summary, our  
500 estimated CPM emissions showed a reasonable range, which can make a significant contribution to  
501 atmospheric OA and PM<sub>2.5</sub>.

### 502 503 **3.4 Regional contributions of CPM to OA and PM<sub>2.5</sub>**

504 The regional effects of CPM emissions on atmospheric OA and PM<sub>2.5</sub> from a nationwide perspective  
505 were investigated. The concentrations of POA, SOA and OA averaged over the whole study period from  
506 October 14 to November 14, 2014, showed varying degrees of regional increases after incorporating CPM  
507 emissions, mainly in central and eastern regions in China (Fig. 8). In the base case, the simulation values  
508 of POA and SOA were both lower than 14  $\mu\text{g m}^{-3}$  over China. Correspondingly, OA concentrations did  
509 not exceed 22  $\mu\text{g m}^{-3}$  with the maximum values distributed in the BTH region and Central China. After  
510 the consideration of CPM effects in the S1.1 case relative to the base case, the concentrations of POA,  
511 SOA and OA substantially increased over North China, East China, and Central China including Beijing,  
512 Tianjin, Shanghai, and provinces of Liaoning, Shandong, Shanxi, Henan, Hubei, Anhui, Jiangsu, Zhejiang,  
513 Hunan, Jiangxi. The most remarkable enhancement values were up to 10, 12, and 20  $\mu\text{g m}^{-3}$  for POA,  
514 SOA and OA, respectively. Then under the S1.2 scenario with the same emissions as the S1.1 case but  
515 different SIVOCs parameterization, substantial increases in the POA simulations by more than 16  $\mu\text{g m}^{-3}$   
516 were found for most cities in North China, East China, and Central China, with the maximum distributed  
517 in the BTH region (up to 24  $\mu\text{g m}^{-3}$ ), attributable to large amounts of emissions from industrial plants and  
518 power plants in this region. The OA concentrations for many cities located in North China and East China  
519 increased by more than 24  $\mu\text{g m}^{-3}$  after including CPM emissions in the S1.2 case. Since the contributions  
520 of CPM to SOA in the S1.2 case were only slightly larger than those in the S1.1 case, the greater  
521 improvements of OA in S1.2 mainly result from the POA increases. The regional increases in the POA,  
522 SOA and OA simulations in the S1.3 case were not lower than 10, 8, and 18  $\mu\text{g m}^{-3}$  for most cities in  
523 North China, East China, and Central China, respectively.

524 The regional contributions of organic CPM emissions to PM<sub>2.5</sub> concentrations were explored in the  
525 BTH2+26 cities averaged over the period from December 6 to 30, 2018 (Fig. 9). In the base case without  
526 the CPM effects, the model comparisons against observations suggest that PM<sub>2.5</sub> levels were greatly  
527 underestimated in almost all cities except Tangshan (Fig. 9a). Several cities with observed PM<sub>2.5</sub>  
528 concentrations higher than 80  $\mu\text{g m}^{-3}$  showed the greatest underestimations with simulation values under  
529 50  $\mu\text{g m}^{-3}$ . Under the S1.1 scenario including CPM emissions, the simulated PM<sub>2.5</sub> concentrations were

530 substantially enhanced in almost all the studied cities, closer to the observations (Fig. 9b). The  
531 contributions of CPM to PM<sub>2.5</sub> were not lower than 14 μg m<sup>-3</sup> for the most cities (Fig. 9c). Under the S1.3  
532 scenario, CPM made a significant contribution to PM<sub>2.5</sub> concentrations, more than 24 μg m<sup>-3</sup> for most  
533 cities (Fig. 9f). High observations for Baoding, Shijiazhuang, Xingtai, Hengshui, Dezhou and Handan  
534 were well captured (Fig. 9e). The scatter plots of observed and simulated daily PM<sub>2.5</sub> concentrations for  
535 all BTH2+26 cities in Fig. 9d show obvious improvement in PM<sub>2.5</sub> simulations after including CPM  
536 emissions, with the NMB values from -32.4% in the base case to -10.6% in the S1.1 case, and then to 5.5%  
537 in the S1.3 case. Nevertheless, there were still model-measurement biases for PM<sub>2.5</sub> concentrations in  
538 some cities with high observations exceeding 90 μg m<sup>-3</sup>, including Baoding, Anyang, Puyang, Heze,  
539 Zhengzhou and Kaifeng. The insufficient improvement of PM<sub>2.5</sub> can be attributed to incomplete emission  
540 information of inorganic components, which need further research. In addition, some heavy pollution  
541 hours were chosen to investigate the regional impacts of CPM on PM<sub>2.5</sub> concentrations, including 8:00,  
542 9:00, 10:00, 11:00, and 21:00 on December 15 (Fig. 10a). Besides the BTH2+26 cities, some surrounding  
543 cities (Chaoyang, Chengde, Datong, Dongying, Huludao, Jinzhou, Linxi, Luoyang, Luohe, Qinhuangdao,  
544 Qindao, Rizhao, Sanmenxia, Shangqiu, Shuozhou, Taian, Weihai, Weifang, Xinzhou, Xinyang, Yantai,  
545 Zaozhuang, Zhangjiakou, Zhoukou, Zhunmadian) were also included. Results show that the  
546 underestimated PM<sub>2.5</sub> concentrations in the base case were substantially improved after considering CPM  
547 emissions in S1.1 and S1.3, especially for some high observations over 170 μg m<sup>-3</sup>. Better agreement  
548 between simulated and observed PM<sub>2.5</sub> concentrations for all these cities was achieved, with the NMB  
549 values from -32.6% in the base case to -12.3% in S1.1, and to 0.6% in S1.3 (Fig. 10b). To sum up, the  
550 consideration of CPM effects can improve the underestimation of regional OA and PM<sub>2.5</sub> simulations to  
551 a certain extent, especially during the heavy pollution periods.

552

#### 553 **4 Conclusions**

554 In this study, we focused on emissions of condensable PM from stationary combustion and mobile  
555 sources and developed an emission inventory of organic CPM in China. Using emission inputs with and  
556 without CPM contributions, the CMAQ model was applied to simulate the impacts of CPM on  
557 atmospheric OA and PM<sub>2.5</sub> in China. The results show that the inclusion of CPM emissions increased  
558 annual OA emissions by a factor of 2 for both the years 2014 and 2017. The power plant, industry  
559 combustion, and steel sectors in the stationary combustion sources dominated OA emissions in the new  
560 inventory. A series of sensitivity scenarios with different emission ratios and volatility distributions show

561 that CPM contributed significantly to the improvement of hourly SOA and POA concentrations during  
562 the period from October 14 to November 14, 2014, at Beijing. The contributions of CPM were 51 ~ 85%  
563 to POA and 42 ~ 58% to SOA under these scenarios. The model comparison against observations suggests  
564 that the consideration of CPM effects improved the underestimations of simulation results and achieved  
565 a good capture of peak SOA and POA values. In addition, the enhancements of daily OA levels by CPM  
566 were demonstrated during December 6-30, 2018 at Handan, Shijiazhuang, Xingtai and Dezhou.  
567 Compared to daily observations, the NMB values in these four cities were improved from -60.88%, -  
568 56.47%, -68.38%, -62.84% (the base case) to -22.55%, -7.91%, -30.51%, -24.99% (the S1.1 case) for  
569 POA, SOA and OA, respectively. The regional contributions of CPM also narrowed the gap between  
570 simulated and observed concentrations of PM<sub>2.5</sub> in the BTH2+26 cities. In conclusion, our estimated CPM  
571 emissions contributed significantly to the improvements of simulation performances for both atmospheric  
572 OA and PM<sub>2.5</sub>, especially during the high pollution episodes. Therefore, the CPM emissions can be  
573 incorporated into chemical transport models together with FPM to improve the simulation accuracies of  
574 OA and PM<sub>2.5</sub>.

575 Our estimates of organic CPM emissions and SOA formation from CPM contained the following  
576 uncertainties: (1) The construction of the organic CPM emission inventory in the present study was based  
577 on the ratios of E<sub>POA</sub>(CPM) to E<sub>PM<sub>2.5</sub></sub>(FPM) derived from limited sources, instead of the actual  
578 measurement data of CPM emissions from the different sources and regions over China. (2) Since there  
579 was no explicit volatility characterization of primary organic CPM species available for incorporation  
580 into the emission inventories, the S/IVOCs emissions were scaled to the POA emissions. (3) Due to the  
581 lack of relevant data, the original surrogate species of S/IVOCs and their properties in the CMAQ model  
582 remained unchanged for representing the SOA formation from CPM, rather than introducing new model  
583 species with identified parameters related to OH reaction rates, effective saturation concentration, and  
584 multigenerational aging products. Based on these limitations, it is strongly recommended that future  
585 studies conduct extensive surveys of CPM emissions from various stationary combustion sources and  
586 measure the actual emissions of source-specific and region-specific S/IVOCs to better constrain OA  
587 simulations by chemical transport models.

588

589 **Data availability.** The emission data and model results are available upon request.

590 **Supplement.** The supplement related to this article is available online.

591 **Author contributions.** S.Y., P.L. conceived and designed the research. M. L. performed model simulations.

592 M. L., X. C., Y. Z., and Z. L. conducted data analysis. Z. S., W. L., X. Z, B. N. M., K. A., R. M., D. R.,  
593 and J. H. S contributed to the scientific discussions. M. Z, Y. S., Z. L., and C. S. provided observation  
594 data. S. W. provided the Abacas emission data. S. Y., M. L, P. L., and J. H. S wrote and revised the  
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910 Table 1 Definitions of some acronyms used in this study.

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Acronyms	Definitions
FPM	Primary-emitted filterable particulate matter which is in liquid or solid phases in flue
CPM	Primary-emitted condensable particulate matter which is in gas phase at flue gas temperature but condenses or reacts in the ambient air to form solid or liquid PM
OM (CPM)	Organic matter measured in CPM
OM <sub>si</sub> (CPM)	Organic matter in CPM which is semi-volatile (SVOCs, $10^0 \leq C^* \leq 10^3 \mu\text{g m}^{-3}$ ), or has intermediate volatility (IVOCs, $10^3 < C^* \leq 10^6 \mu\text{g m}^{-3}$ ) are combined as OM <sub>si</sub> (CPM)
OM ( $C^* \leq 100$ )	Organic matter with the saturation concentrations ( $C^*$ ) below $100 \mu\text{g m}^{-3}$
SVOCs	Primary-emitted semi-volatile organic compounds
IVOCs	Primary-emitted intermediate-volatility organic compounds
S/IVOCs	SVOCs + IVOCs
POA	Atmospheric organic aerosol from primary-emitted organic matter or formed by condensation of organic vapors before photochemical reactions
SOA	Atmospheric secondary organic aerosol generated by photochemical reactions and condensation of organic vapors after photochemical reactions
ASOA	SOA generated by photochemical oxidations of anthropogenic volatile organic compounds
BSOA	SOA generated by photochemical oxidations of biogenic volatile organic compounds
SISOA	SOA generated by photochemical oxidations of primary S/IVOCs
OA	POA + SOA

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936 Table 2 List of the ratios of the emission rates of OM in condensable particulate matter (CPM)  
 937 ( $E_{OM}(CPM)$ ) to those of  $PM_{2.5}$  in filterable particulate matter (FPM) ( $E_{PM_{2.5}}(FPM)$ ) from stationary  
 938 combustion sources based on the collected references.  
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method	emission sources	number	$E_{OM}(CPM)/E_{PM_{2.5}}(FPM)$			references
			[Min, Max]	Mean $\pm$ SD	median	
cooling method (EPA 202)	coal-fired power plant	30	[0.01, 25.4]	$6.87 \pm 7.25$	3.99	Li et al. (2017c, 2017d); Li (2018); Li et al. (2019); Lu et al. (2019); Pei (2015); Qi et al. (2017); Song et al. (2020); Wang et al. (2020b); Wu et al. (2020); Yang et al. (2014, 2018b); Yang et al.(2021); Zhou (2019)
	waste incineration power plant	2	[1.64, 4.95]	$3.29 \pm 1.65$	3.29	Wang et al. (2018)
	industrial coal-fired boiler	6	[0.14, 1.03]	$0.58 \pm 0.34$	0.50	Lu et al. (2019) Yang et al. (2014, 2018a, 2018b)
	heavy oil-fired boiler	4	[0.28, 2.49]	$1.62 \pm 0.88$	1.85	Yang et al. (2018a, 2018b)
	wood-fired boiler	1		0.03		
	natural gas-fired boiler	1		6.67		Yang et al. (2018a)
	diesel-fired boiler	1		15.84		
dilution method (ISO 25597)	iron and steel plants	5	[0.32, 7.22]	$3.35 \pm 2.21$	3.00	Yang et al. (2014, 2015)
	incinerator	1		0.12		Yang et al. (2014)
	iron and steel coking plant	1		0.416		Zhang et al. (2020)

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954 Table 3 Probabilistic distributions with uncertainty ranges in the ratio of  $E_{OM}(CPM)$  to  $E_{PM2.5}(FPM)$  (95%  
 955 confidence interval). Para1 represents the mean for normal, and the mean of  $\ln(x)$  for lognormal. Para2  
 956 represents the standard deviation for normal, and the standard deviation of  $\ln(x)$  for lognormal. Mean  
 957 represents the mean for emission ratios of each source category derived from the statistical bootstrap  
 958 simulation.  
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Input parameters	Emission sources	Distribution type	Para1	Para2	Mean	Uncertainty ranges (95% confidence level)
$E_{OM}(CPM)$ $/E_{PM2.5}(FPM)$	Power plant	lognormal	1.07	0.93	4.12	(3.10, 5.29)
	Industry combustion	lognormal	-0.47	1.43	1.38	(0.62, 2.44)
	Steel	normal	2.80	1.98	2.80	(0.92, 4.50)
Total						(-27%, 28%)

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993 Table 4 Simulation case design. PP, IN, IR, and TR denote source sectors of power plant, industry  
 994 combustion, steel, and transportation, respectively. Three kinds of scaling factors for the five volatility  
 995 bins of organic CPM are tested: fac1 (0, 0.18, 0.14, 0.18, 0.5), fac2 (0, 0.66, 0.40, 0.51, 1.43), and fac3  
 996 (0, 0.42, 0.27, 0.345, 0.965) which is the average of fac1 and fac2.  
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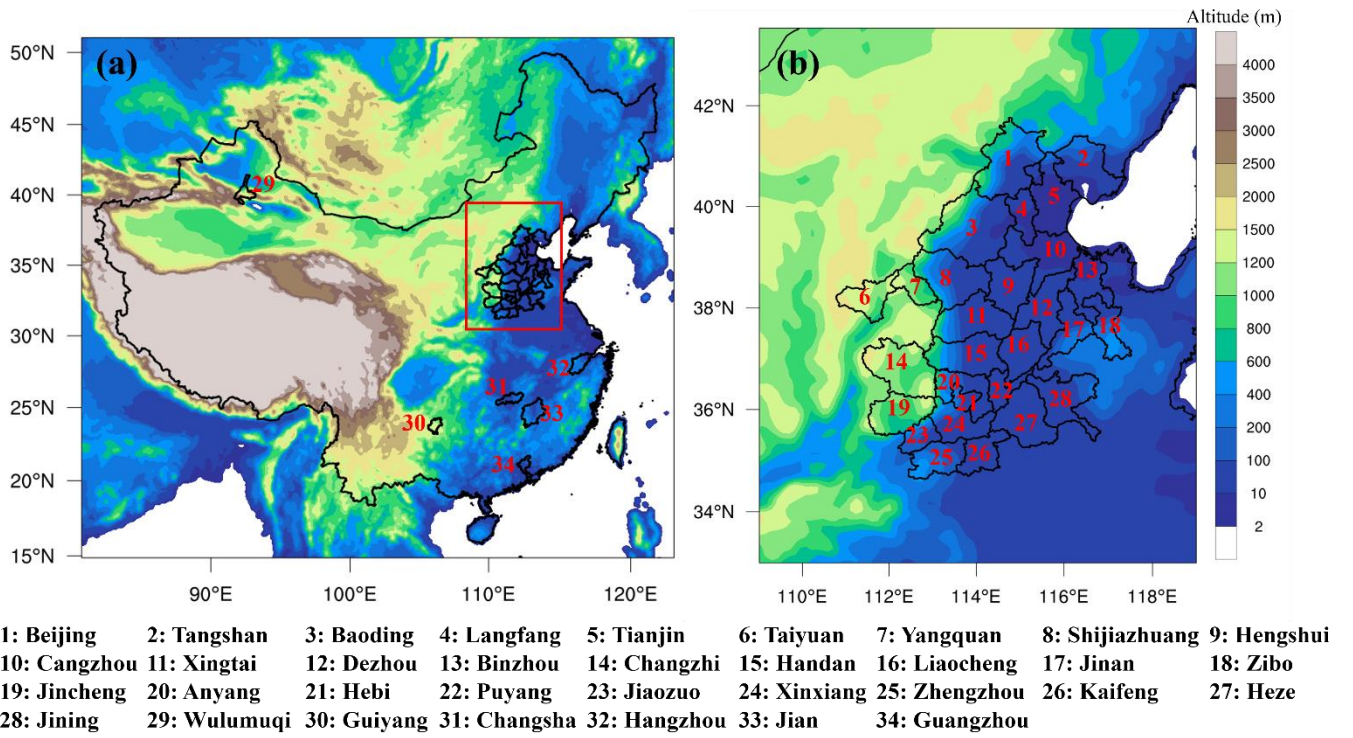
Simulation Cases	Aerosol module	$E_{PP\_OM}(CPM) / E_{PM2.5}(FPM)$	$E_{IN\_OM}(CPM) / E_{PM2.5}(FPM)$	$E_{IR\_OM}(CPM) / E_{PM2.5}(FPM)$	Volatility bins
Only	AERO6VBS	0	0	0	
FPM	AERO7	0	0	0	
S1.1	AERO7	4.12	1.38	2.80	fac1
S1.2	AERO7	4.12	1.38	2.80	fac2
S1.3	AERO7	4.12	1.38	2.80	fac3
S2.1	AERO7	3.01	1.01	2.04	fac1
S2.2	AERO7	3.01	1.01	2.04	fac2
S3.1	AERO7	5.27	1.77	3.58	fac1
S3.2	AERO7	5.27	1.77	3.58	fac2
S4.2	AERO7	3.71	1.24	2.52	fac2
S5.2	AERO7	4.49	1.50	3.05	fac2
S6_TR	AERO7	0	0	0	fac1
S7_IN	AERO7	0	1.38	0	fac1
S8_IR	AERO7	0	0	2.80	fac1
S9_PP	AERO7	4.12	0	0	fac1

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1022 Table 5 Model evaluation statistics for hourly OA, POA and SOA concentrations during October 14–  
 1023 November 14, 2014, and daily OA concentrations during December 6–30, 2018, under different  
 1024 sensitivity simulation cases.  
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Period	City	Species	Cases	N	OBS	SIM	MB	NMB	NME	R
October 14– November 14, 2014	Beijing	OA	base	723	33.71	11.90	-21.81	-64.70%	64.84%	0.71
			S1.1		33.71	25.08	-8.63	-25.60%	47.00%	0.70
			S1.2		33.71	39.38	5.67	16.82%	58.62%	0.69
			S1.3		33.71	31.88	-1.83	-5.43%	49.63%	0.70
		POA	base	723	16.25	4.28	-11.97	-73.66%	73.75%	0.54
			S1.1		16.25	10.24	-6.01	-36.98%	54.01%	0.54
			S1.2		16.25	23.32	7.07	43.51%	87.16%	0.53
			S1.3		16.25	16.45	0.20	1.23%	61.57%	0.53
		SOA	base	723	17.46	7.62	-9.84	-56.36%	57.22%	0.74
			S1.1		17.46	14.85	-2.61	-14.95%	47.42%	0.73
			S1.2		17.46	16.05	-1.41	-8.08%	48.24%	0.73
			S1.3		17.46	15.42	-2.04	-11.68%	47.75%	0.73
December 6–30, 2018	Handan	OA	base	25	45.24	17.70	-27.54	-60.88%	60.89%	0.62
			S1.1		45.24	35.04	-10.20	-22.55%	38.00%	0.61
			S1.3		45.24	48.86	3.62	8.00%	38.95%	0.59
	Shijiazhuang	OA	base	25	42.22	18.38	-23.84	-56.47%	57.45%	0.61
			S1.1		42.22	38.88	-3.34	-7.91%	35.69%	0.61
			S1.3		42.22	58.02	15.80	37.42%	47.27%	0.61
	Xingtai	OA	base	25	42.22	13.35	-28.87	-68.38%	68.37%	0.58
			S1.1		42.22	29.34	-12.88	-30.51%	40.59%	0.58
			S1.3		42.22	42.56	0.34	0.81%	34.52%	0.56
	Dezhou	OA	base	23	41.66	15.48	-26.18	-62.84%	63.49%	0.47
			S1.1		41.66	31.25	-10.41	-24.99%	42.76%	0.54
			S1.3		41.66	42.58	0.92	2.21%	43.06%	0.56

1026 Note: OBS and SIM denote mean concentrations ( $\mu\text{g m}^{-3}$ ) of observations and simulations, respectively; MB: mean bias;  
 1027 NMB: normalized mean bias; NME: normalized mean error; R: correlation coefficient.  
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 1031 Figure 1. (a) Map of the modeling domain and location of each target city in model evaluation. (b) The  
 1032 locations of BTH2+26 cities, denoted as the red frame in (a). The color shading represents the regional  
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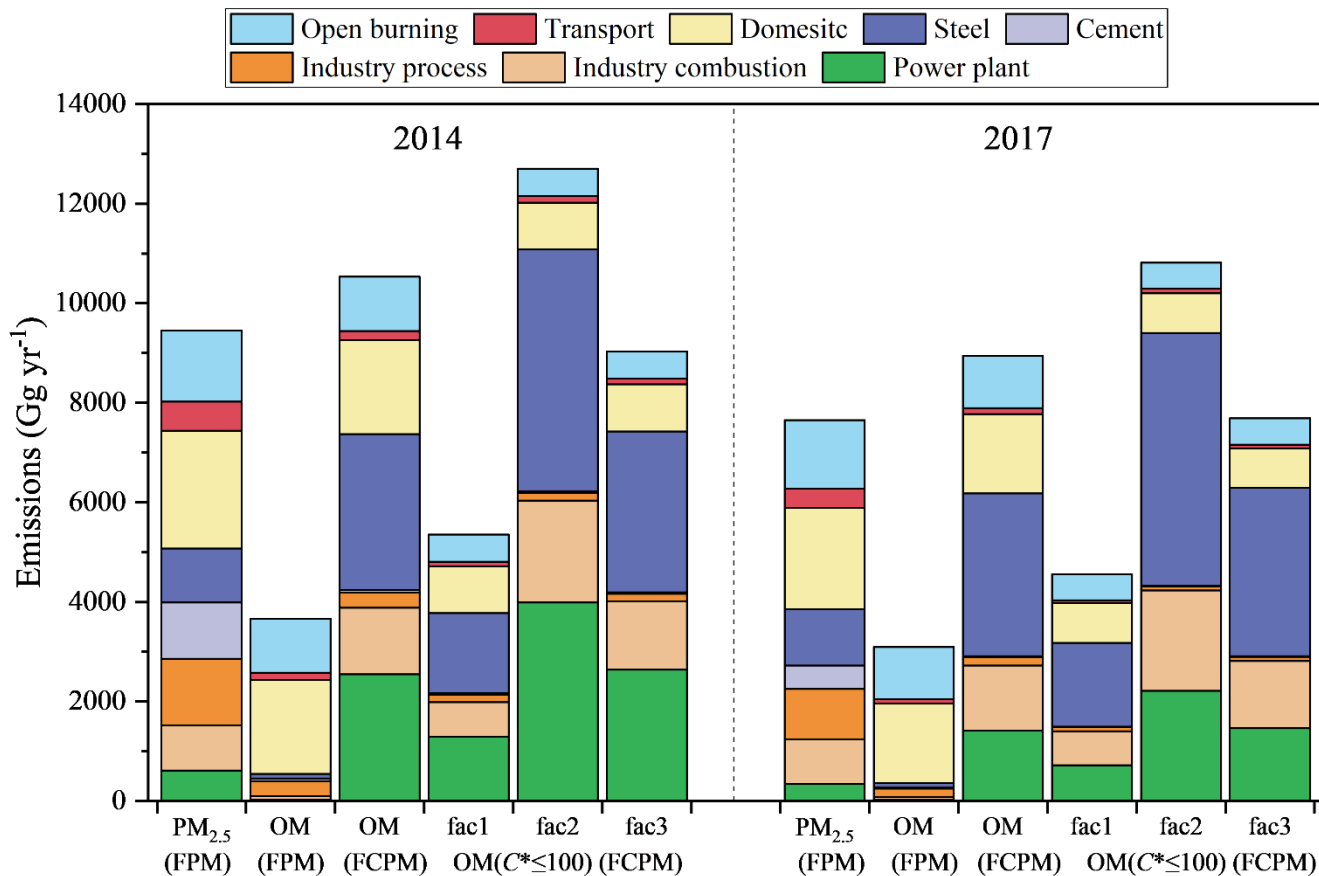
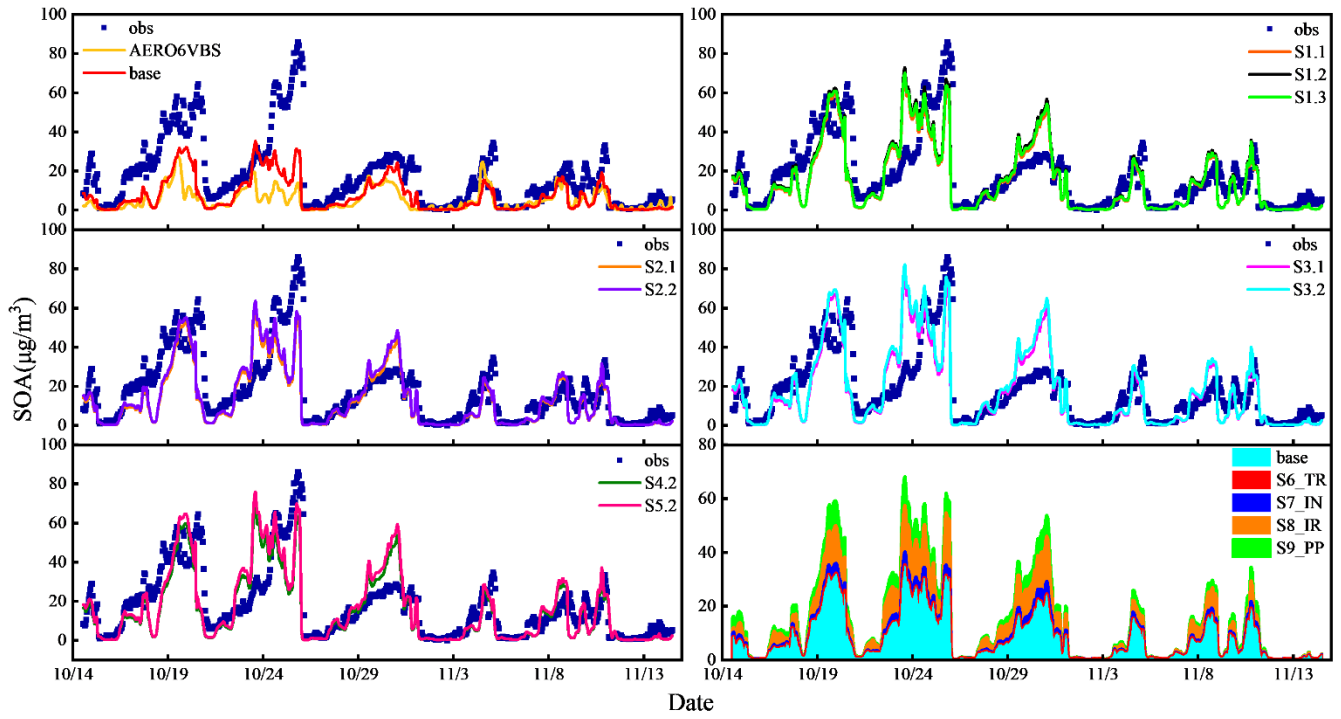
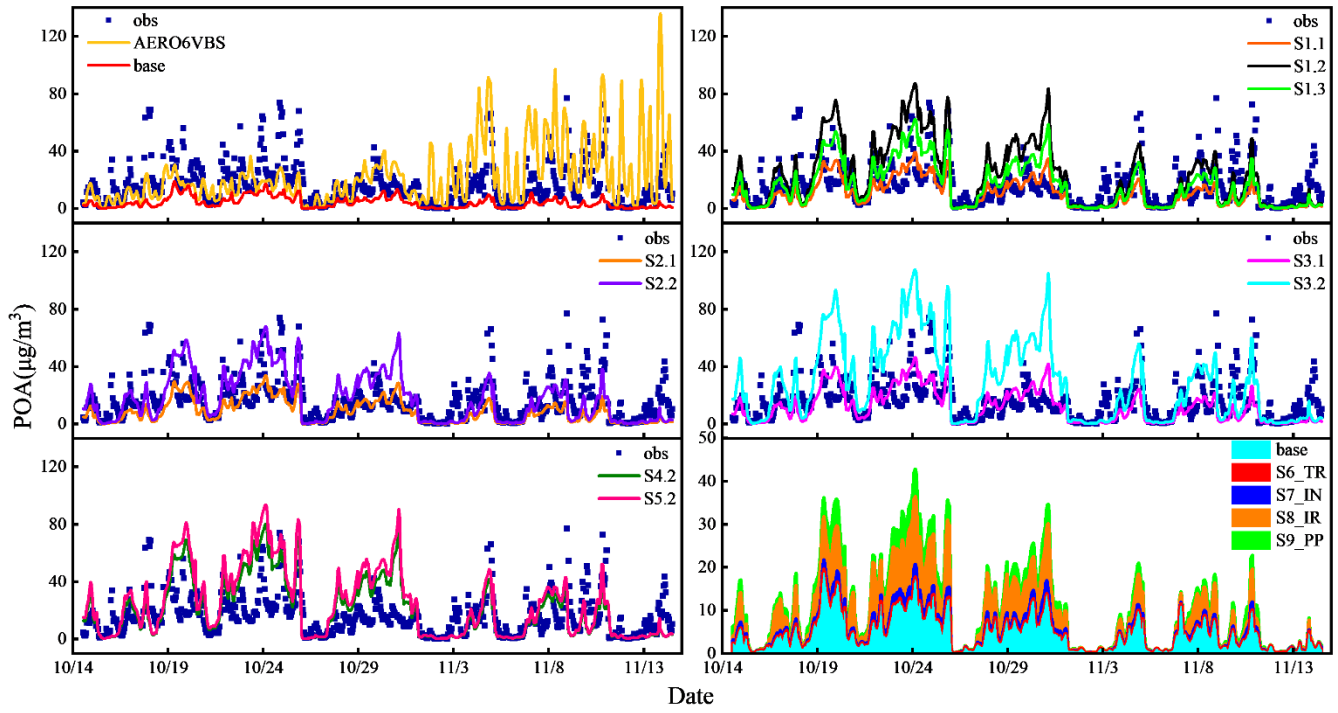


Figure 2. Annual emissions of PM<sub>2.5</sub> and OM in filterable particulate matter (FPM), OM in filterable plus condensable particulate matter (FCPM) before the volatility distributions, and OM ( $C^* \leq 100 \mu\text{g m}^{-3}$ ) in FCPM after application of the volatility distributions for the fac1, fac2 and fac3 cases over China in 2014 and 2017.



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 1055 Figure 3. The observed and simulated hourly SOA concentrations during the episode from October 14 to  
 1056 November 14, 2014 at the Beijing site in the sensitivity cases as summarized in Table 3.  
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1079 Figure 4. The observed and simulated hourly POA concentrations during the episode from October 14 to  
 1080 November 14, 2014 at the Beijing site in the sensitivity cases as summarized in Table 3.

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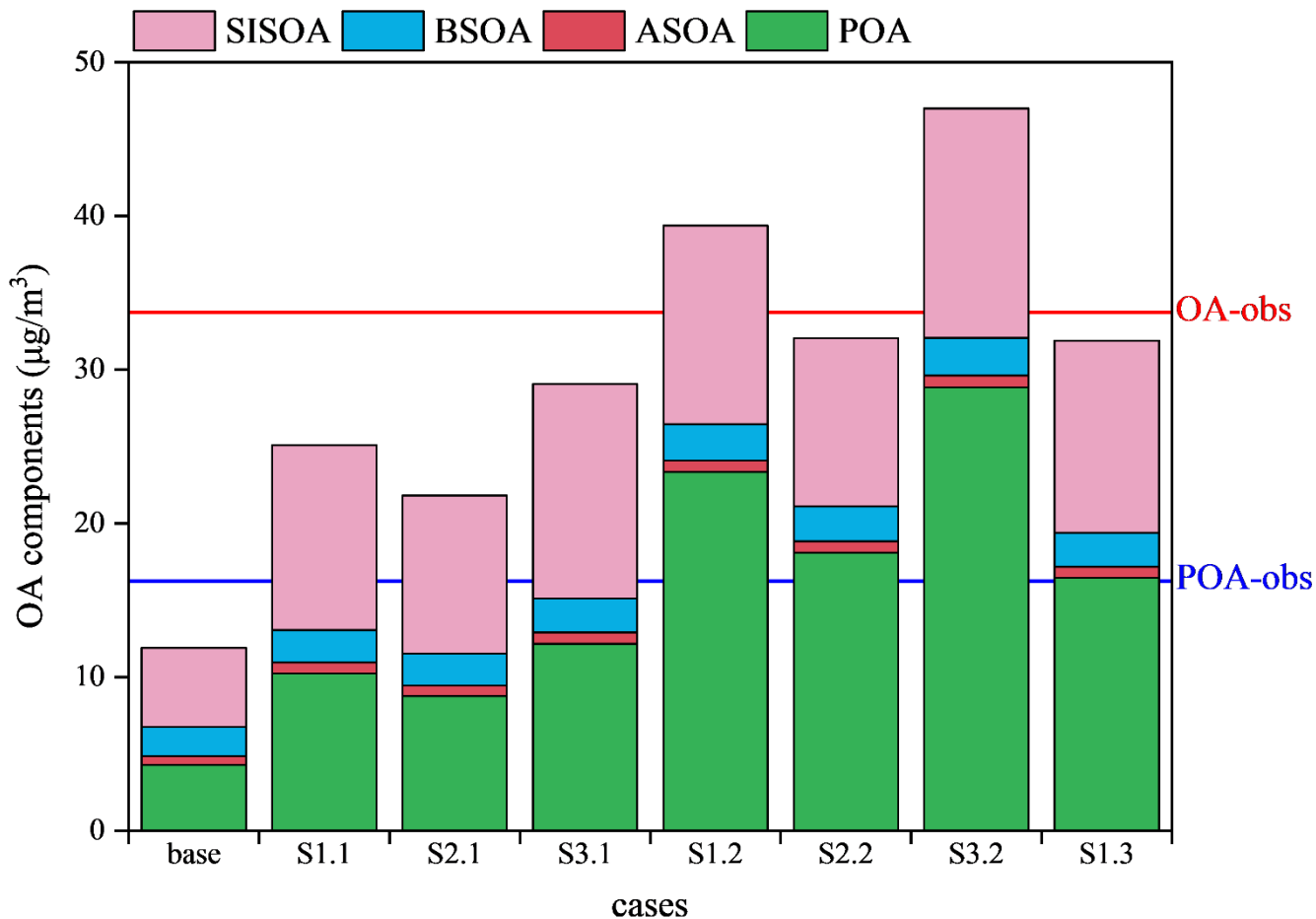
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Figure 5. The simulation concentrations of different OA components averaged over the whole study period from October 14 to November 14, 2014 at the Beijing site in the sensitivity cases. AERO7\_def is abbreviated as def and AERO7\_adj as adj. ASOA, BSOA and SISOA denote SOA generated by anthropogenic VOCs, biogenic VOCs and low volatile S/IVOCs, respectively. The red and blue horizontal line denote the average observation concentrations of OA and POA, respectively.

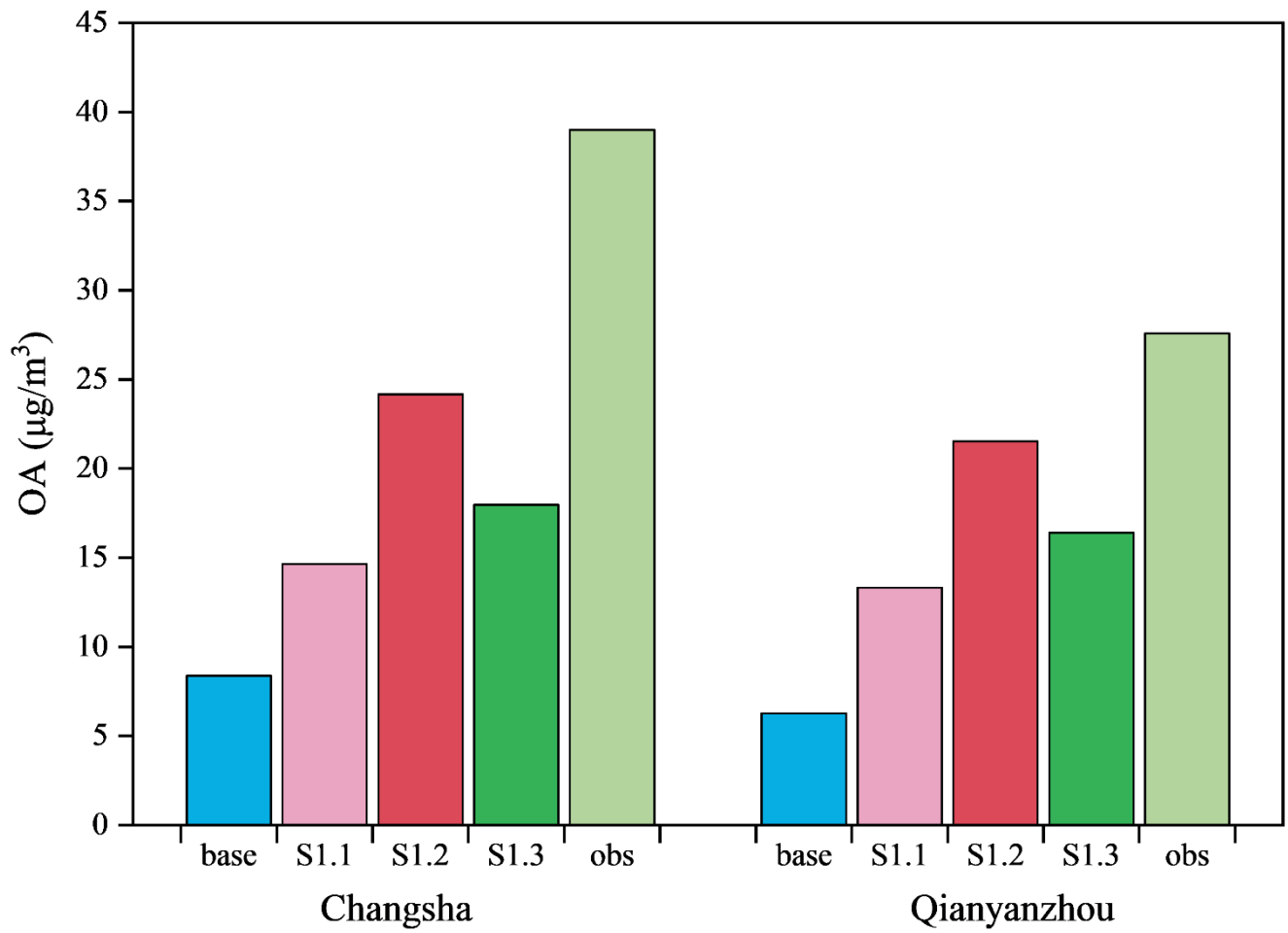
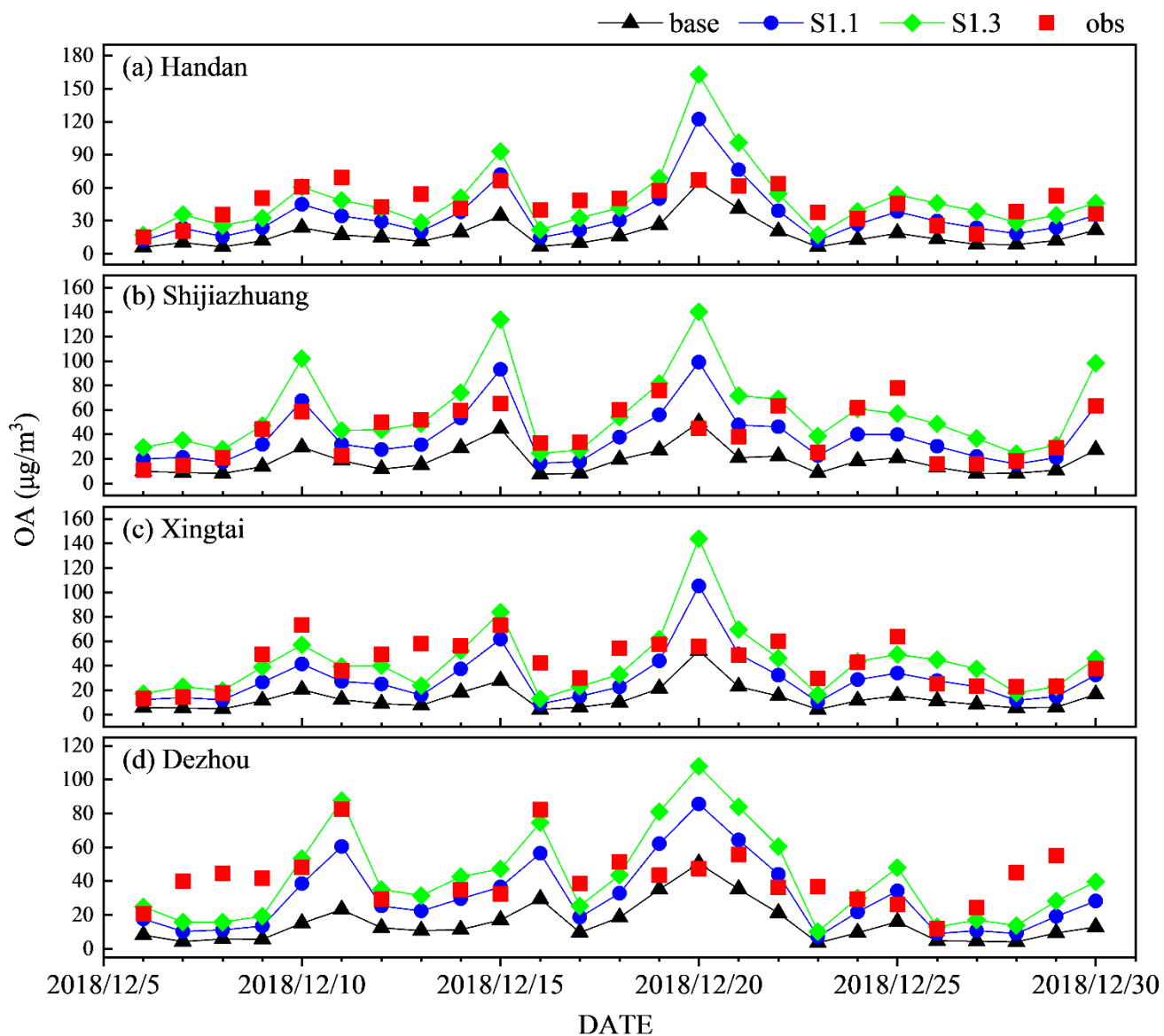


Figure 6. The observed and simulated OA concentrations in the sensitivity cases on November 3, 2014 at Changsha and Qianyanzhou.

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1119 Figure 7. The observed and simulated daily OA concentrations during December 6-30 in 2018 at (a)  
 1120 Handan, (b) Shijiazhuang, (c) Xingtai and (d) Dezhou.

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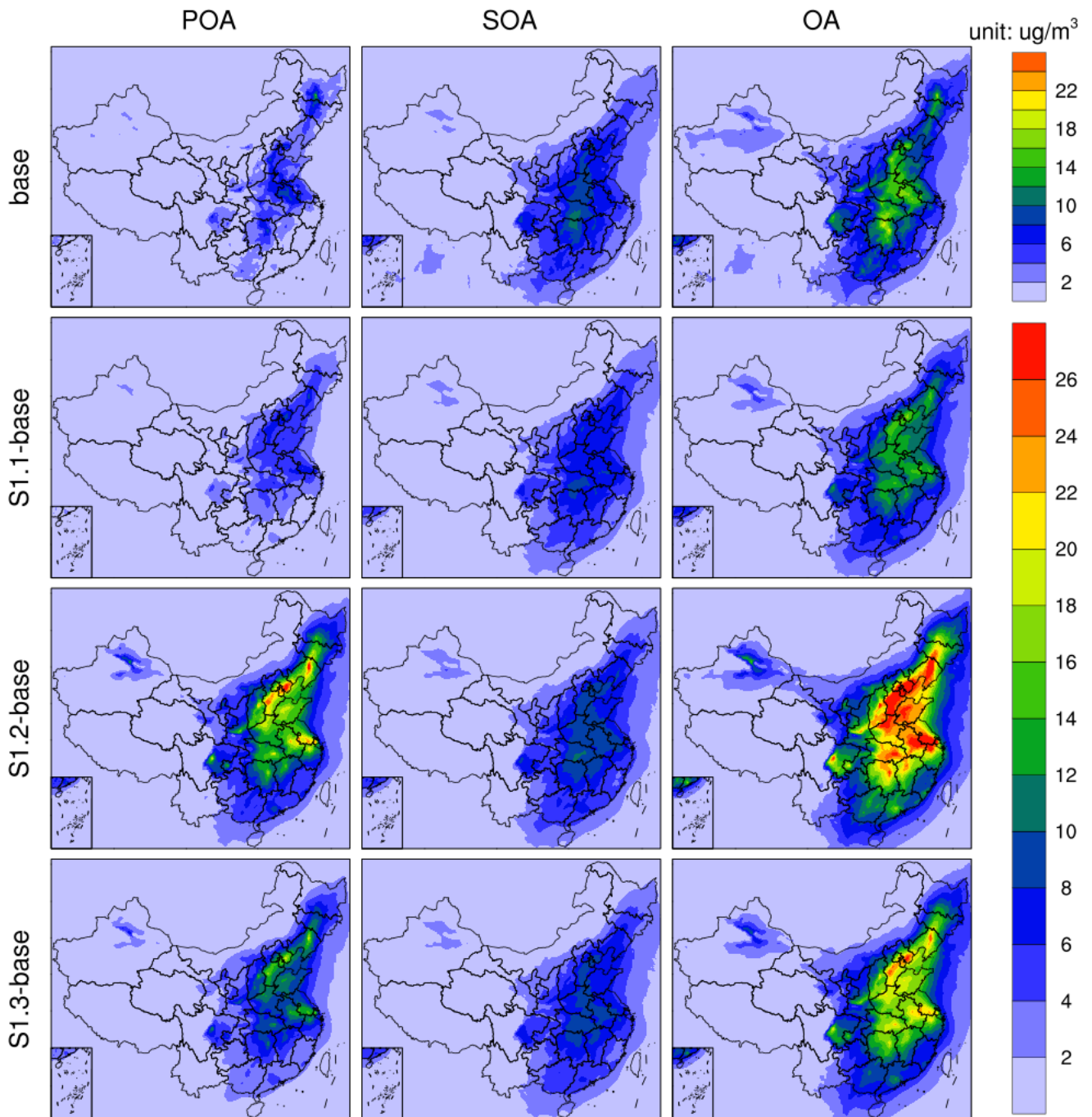
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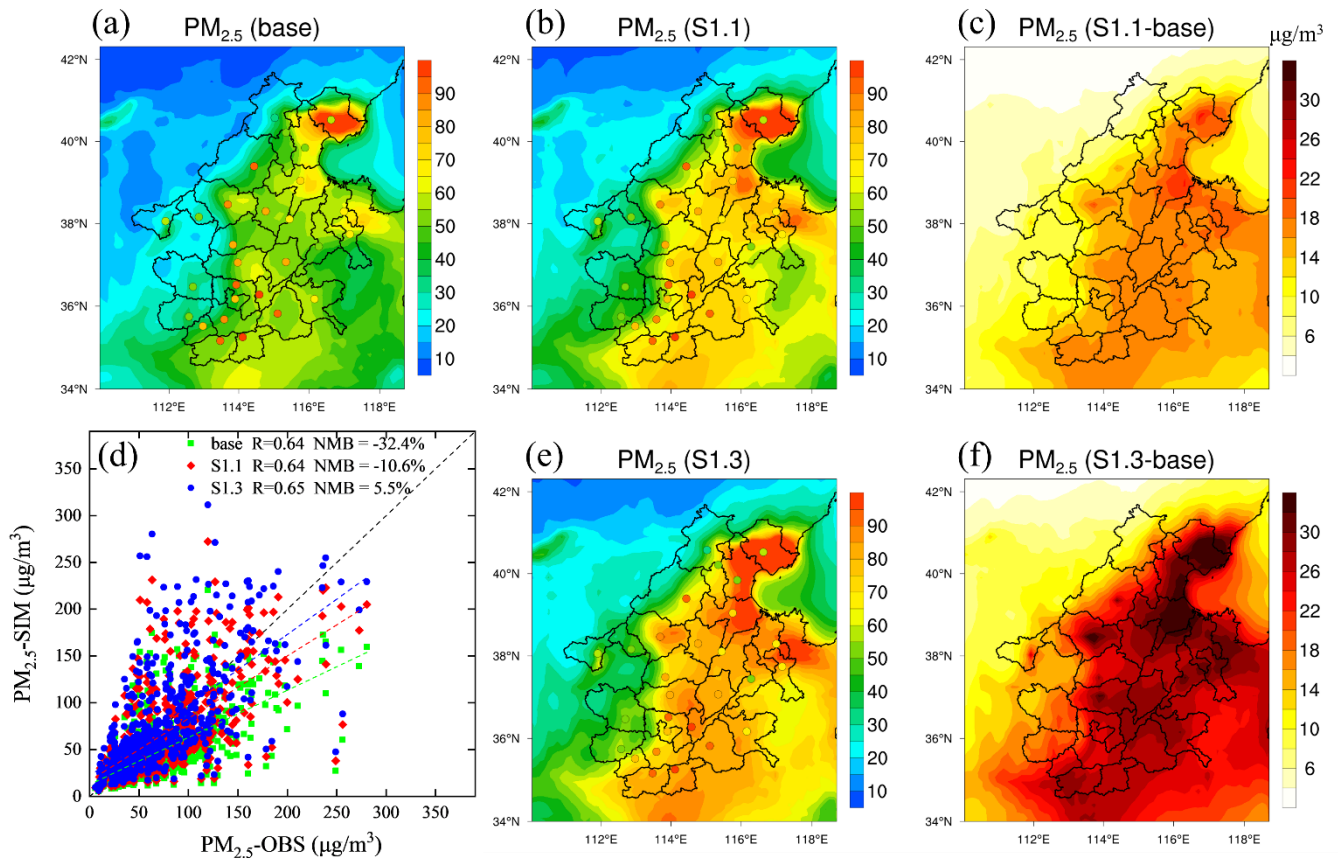
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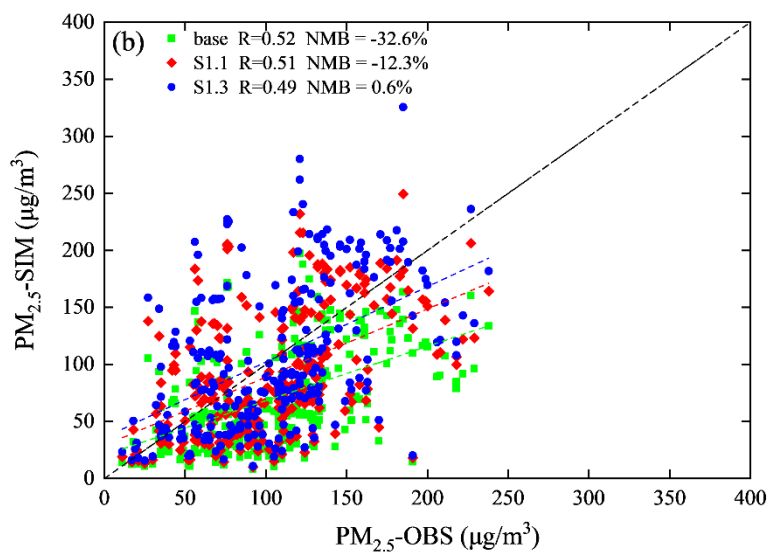
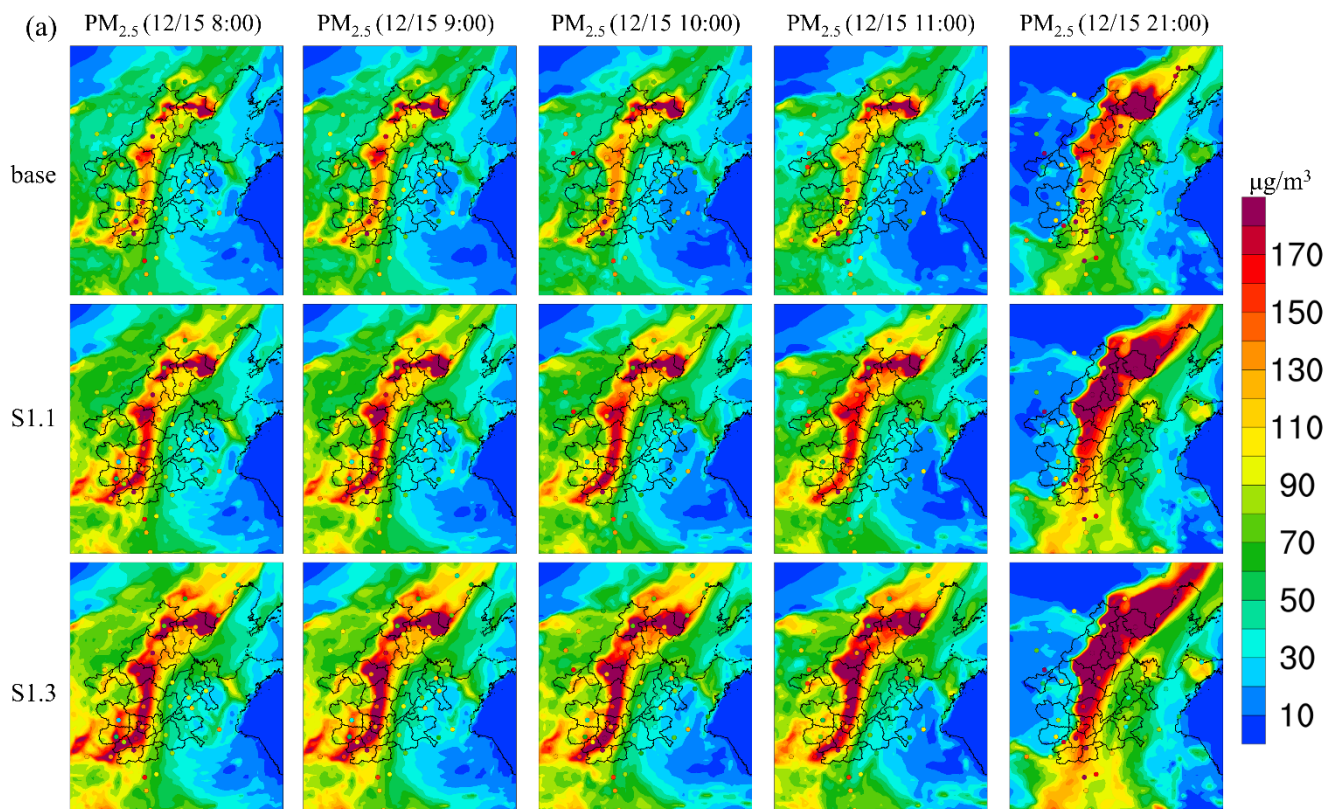
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Figure 8. Spatial distributions of the concentrations of POA, SOA and OA averaged over the whole period of October 14–November 14 in 2014 generated by the simulations with FPM sources (base) and CPM sources (S1.1-base, S1.2-base, S1.3-base).



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 1143 Figure 9. Spatial distributions of the average  $PM_{2.5}$  concentrations during December 6-30, 2018, over the  
 1144 BTH2+26 cities in (a) base, (b) S1.1, (e) S1.3, (c) absolute difference between S1.1 and base, and (f)  
 1145 absolute difference between S1.3 and base. Among them, the  $PM_{2.5}$  concentrations from December 22 to  
 1146 26 are not included due to the missing observation data. (d) Scatter plots and linear regressions of  
 1147 observed (OBS) and simulated (SIM) daily  $PM_{2.5}$  concentrations for all of the BTH2+26 cities during the  
 1148 above time period under the base, S1.1, and S1.3 scenarios.





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1168 Figure 10. (a) Spatial distributions of hourly  $PM_{2.5}$  concentrations at some peak hours over the BTH2+26  
 1169 cities under the base, S1.1, and S1.3 scenarios. The colored dots denote observation values for each city.  
 1170 (b) Scatter plots and linear regressions of observed (OBS) and simulated (SIM) hourly  $PM_{2.5}$   
 1171 concentrations for all cities under the base, S1.1, and S1.3 scenarios.

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