Measurement Report: Tropospheric and Stratospheric Ozone Profiles during the 2019 TROpomi vaLIdation eXperiment (TROLIX-19)

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18 Abstract A TROPOspheric Monitoring Instrument (TROPOMI) validation campaign was held in the 19 Netherlands based at the CESAR (Cabauw Experimental Site for Atmospheric Research) Observatory 20 during September 2019. The TROpomi vaLIdation eXperiment (TROLIX-19) consisted of active and 21 passive remote sensing platforms in conjunction with several balloon-borne and surface chemical (e.g. 22 ozone and nitrogen dioxide) measurements. The goal of this joint NASA-KNMI geophysical validation 23 campaign was to make intensive observations in the TROPOMI domain in order to be able to establish 24 the quality of the L2 satellite data products under realistic conditions, such as non-idealized conditions 25 with varying cloud cover and a range of atmospheric conditions at a rural site. The research presented 26 here focuses on using ozone lidars from NASA's Goddard Space Flight Center to better evaluate the 27 characterization of ozone throughout TROLIX-19. Results of comparisons to the lidar systems with 28 balloon, space-borne, and ground-based passive measurements are shown. In addition, results are 29 compared to a global coupled chemistry meteorology model to illustrate the vertical variability and 30 columnar amounts of both tropospheric and stratospheric ozone during the campaign period.

1 Introduction

32	In September 2019, a joint Royal Netherlands Meteorological Institute (KNMI) and the U.S. National		
33	Aeronautics and Space Administration (NASA) field campaign was performed in the Netherlands, based		
34	at the Cabauw Experimental Site for Atmospheric Research (CESAR, 51.97° N, 4.93° E), to provide the		
35	scientific community with additional information to further understand and evaluate the Copernicus		
36	Sentinel-5 Precursor mission (S-5P) TROPOspheric Monitoring Instrument (TROPOMI) instrument		
37	(https://sentinels.copernicus.eu/web/sentinel/missions/sentinel-5p). The main objective of the		
38	8 Copernicus Sentinel-5P mission is to perform atmospheric measurements with high spatio-temporal		
39	resolution, to be used for scientific studies and monitoring of air quality and chemical transport		
40	(https://www.esa.int/Applications/Observing_the_Earth/Copernicus/Sentinel-5P).		
41	To properly support satellite evaluation, the 2019 TROpomi vaLIdation eXperiment (TROLIX-19)		
42	campaign was designed to bring together many active and passive remote sensing platforms in		
43	conjunction with several balloon-borne, airborne and surface measurements. Specifically, the		
44	observations were established to provide geophysical verification in order to establish the quality of		
45	TROPOMI Level 2 (L2) main data products under realistic non-idealized conditions with varying cloud		
46	cover and a wide range of atmospheric conditions. Cabauw, using its comprehensive in-situ and remote		
47	sensing observation program in and around the 213 m meteorological tower (https://ruisdael-		
48	observatory.nl/trolix19-tropomi-validation-experiment-2019/) was the main site of the campaign with		
49	focus on vertical profiling using lidar instruments for aerosols, clouds, water vapor, tropospheric and		
50	stratospheric ozone, as well as balloon-borne sensors for nitrogen dioxide (NO ₂) and ozone (Figure 1).		
51	Although this work focuses primarily on the ozone lidar profiling during the study, the larger campaign		
52	overview, background, and motivation can be found in Apituley et al. (2019; 2020) or Kreher et al.		
53	(2020a).		

One main goal of this work is also to understand ozone profile retrievals as they relate to upcoming
satellite endeavors. As NASA prepares to launch its first geostationary air quality satellite "Tropospheric

56	Emissions: Monitoring of POllution" (TEMPO);) this work also specifically establishes a paradigm of
57	evaluation for TEMPO-derived products such as tropospheric ozone columns and a 0-2km tropospheric
58	ozone product. An analogous geo-stationary air quality satellite, the Copernicus Sentinel-4 mission (S-
59	4), https://sentinels.copernicus.eu/web/sentinel/missions/sentinel-4), will provide hourly data on
60	tropospheric constituents over Europe and the CESAR site is directly within the satellite's field of
61	regard. Due to the finer spatial footprint, increased temporal frequency and vertical extent of
62	TEMPO'sthe TEMPO tropospheric ozone retrievals, ozone lidars are an ideal platform to perform future
63	evaluations of the products, which builds from recent work done in Johnson et al., 2018. Specifically,
64	this work will investigate the results from the combination of having a co-located NASA tropospheric
65	(Sullivan et al., 2014) and stratospheric ozone lidars (McGee et al., 1991) in order to obtain an entire
66	vertical profile of ozone from ~0.2km to 50km.
67	For the first time, this transportable combination of lidars is able to explicitly derive diurnally varying
68	tropospheric and total ozone columns, which are compared directly to measurements obtained by
69	ground-based passive sensors, current satellite instrumentation and chemical transport models. In
70	Section 2 we present all available data and methods used in this work, across the various platforms
71	during the TROLIX-19 study. Section 3 focuses on comparisons of the tropospheric ozone retrievals of
72	the vertical profiles of ozone within the troposphere and columnar reductions of 0-10 km and 0-2 km.
73	Comparisons of lidar data with available complete ozone profiles (Sec 4) and columnar amounts (Sec 5)
74	from several platforms and chemical transport models are also presented to further understand the
75	quality of satellite derived ozone profiles during the TROLIX-19 period.
76	

77 2 Data and Methods

Descriptions of the various observational and model data sets and used in this study are below, including 78 79 a summary table (Table 1).

80 2.1 NASA Ozone Differential Absorption Lidars (DIAL)

NASA deployed and operated two ozone lidars during TROLIX-19 at the Cabauw site near the CESAR tower to observe temporal and vertical gradients in tropospheric and stratospheric ozone. This was the first dual-deployment of these lidars, in which the tropospheric ozone lidar measured between the near surface (about 0.2 km) to a height of about 18 km and the stratospheric lidar during night-time from 15 km upwards to nearly 50 km, providing complete hybrid ozone profiles for the campaign period.

87 <u>enter the measurement period.</u>

88 The NASA GSFC Mobile Stratospheric Ozone Lidar Trailer Experiment (STROZ-LITE) has been a

89 participant in the Network for the Detection of Atmospheric Composition Change (NDACC) since its

90 inception and is housed in a 12.5m container allowing for transport around the world (McGee et al.,

91 1991). The lidar instrument transmits two wavelengths, 308 nm from a XeCl excimer laser, and 355 nm

92 from a ND:YAG laser to derive ozone number density profiles, which have historically served as an

93 intercomparison data set for other NDACC ozone lidars (recent intercomparison can be found at Wing *et*94 *al.*, 2020; 2021).

95 The NASA GSFC TROPOZ has been developed in a transportable 13.5m trailer to take routine

96 measurements of tropospheric ozone near the Baltimore–Washington, D.C. area as well as various

97 campaign locations (Sullivan et al., 2014; 2015,2019, Leblanc et al., 2018). This instrument, which

98 utilizes a ND:YAG laser and Raman cell, has been developed as part of the ground-based Tropospheric

99 Ozone Lidar NETwork (TOLNet, https://www-air.larc.nasa.gov/missions/TOLNet/), which currently

100 consists stations across the North America (http://www-air.larc.nasa.gov/missions/TOLNet/). The

101 primary purposes of the instruments within TOLNet are to provide regular, high-fidelity profile

102 measurements of ozone within the troposphere for satellite and model evaluation. This lidar also

103 operates routinely for the Network for the Detection of Atmospheric Composition Change (NDACC).

104 More than thirty NDACC ground-based Lidar instruments

105 (https://lidar.jpl.nasa.gov/ndacc/index_ndacc.php) deployed worldwide from Pole to Pole are

106 monitoring atmospheric ozone, temperature, aerosols, water vapor, and polar stratospheric clouds.

107 Both lidars collect backscattered radiation with a large primary telescope and a 10cm telescope for near 108 field channels. Spectral separation is accomplished using dichroic beam-splitters and interference filters. 109 For the stratospheric system, five return wavelengths are recorded: the two transmitted wavelengths, and 110 the nitrogen Raman scattered radiation from each of the transmitted beams 332 nm and 382 nm, and the 111 408 nm water vapor channel. In this arrangement for TROLIX-19, the tropospheric system pumped the 112 Raman cell with the fourth harmonic (266 nm), which resulted in conversion to 289 nm and 299 nm 113 using a single hydrogen/deuterium Raman cell. All of the signals are further split to improve the 114 dynamic range of the respective lidar optical detection chains and are then amplified, discriminated and 115 recorded using photon counting techniques.

116 During TROLIX-19, the STROZ-LITE was operated on cloud free nights, with measurements lasting 117 between 2-4 hours to obtain enough signal to properly retrieve the entire stratospheric ozone profile. The 118 TROPOZ was operated during daytime and night time to provide tropospheric ozone profiles. For 119 instances of TROPOMI overpasses, campaign ozonesondes, or coincident stratospheric ozone lidar 120 measurements, the TROPOZ reported data is averaged for 30 minutes, centered around the satellite 121 overpass or launch time. This temporal period of averaging has been optimized in several cases to avoid 122 cloud contamination. For all other times during the TROPOZ operation, the data has been averaged to 123 10 minutes, which is suitable under most clear sky conditions to retrieve ozone information within the 124 entire troposphere. A brief description and community standardized definitions of the uncertainty budget 125 of the lidar measurements presented in this paper can be found in Sullivan et al., 2014, Leblanc et al., 126 2016 and Leblanc *et al.*, 2018. The maximum statistical uncertainties for the two GSFC lidars vary from

127 night to night depending on atmospheric conditions and laser power fluctuations. They are mostly within

128 <u>10-20% for 5 min and 5-8% for 30 min integrations throughout the atmosphere. Within overlapping</u>

- 129 measurement regions in the upper troposphere/lower stratosphere, they are different at the same altitude
- 130 due to laser performance and telescope/detector efficiency differences, and are therefore joined
- 131 manually for this work based on appropriate signal to noise and uncertainty estimates.
- 132 **2.2** Ground Based Passive Sensors and Ozonesondes

133 2.2.1 Pandora Spectrometer Instrument

A Pandora spectrometer instrument (#118) has been used to measure columnar amounts of trace gases in
the atmosphere at 3–5-minute resolution at the Cabauw site since 2016 and previously used for the
second Cabauw Intercomparison of Nitrogen Dioxide (CINDI-2) campaign (Kreher et al., 2020b2020).
Using the theoretical solar spectrum as a reference, Pandora determines trace gas amounts using
differential optical absorption spectroscopy (DOAS). This attributes in principal these differences in
spectra measured by Pandora to the presence of trace gases within the atmosphere (*i.e.* the difference

- 140 between the theoretical solar spectrum and measured spectrum is caused by absorption of trace gas
- species). For this study, L2 direct sun columnar values of ozone are used, although retrievals of nitrogen
- 142 dioxide are also operationally acquired. Data used passed the strictest QC/QA estimate (Flags = 10) and
- 143 was obtained from the Pandonia Global Network (<u>http://data.pandonia-global-network.org/</u>).

144 2.2.2 Brewer MKIII Spectrophotometer

- 145 A Brewer MKIII spectrometer instrument (#189) has been used to measure daily columnar amounts of
- 146 ozone in the atmosphere at the KNMI/De Bilt (30km NE of Cabauw) site since 2007., 52.10° N, 5.18°
- 147 E). Brewer #189 has been operated continuously since 1 October 2006. It replaced Brewer #100 which
- 148 provided observations since 1 January 1994. De Bilt has the longest continuous record of ozone
- 149 measured with an MKIII instrument in the World Ozone and Ultraviolet Radiation Data Centre
- 150 (WOUDC) database.

The Brewer is specifically designed to provide high accuracy measurement of spectrally resolved UV 151 152 for satellite evaluation, climatology monitoring and public health to international standards. Similar to 153 Pandora spectrometers, these measurements of total column of trace gases are compared to the measured 154 UV spectrum with the known solar output, and modeling the scattering properties of the atmosphere and 155 have been historically used to evaluate columnar satellite products (McPeters et al., 2007; Wenig et al., 156 2008; Garane et al., 2019). The Brewer is the standard instrument used in the World Meteorological 157 Organization ozone monitoring network and for NDACC. This data was obtained at the NDACC 158 website (https://www-air.larc.nasa.gov/missions/ndacc/data.html).

159 **2.2.3 Ozonesondes**

160 Ozonesondes have been used to measure vertical profiles of ozone in the atmosphere at the KNMI/De 161 Bilt (30km NE of Cabauw) site since November 1992, and measurements are made weekly, historically 162 at 12 UTC on Thursdays. Description of the Electro Chemical Cell (ECC) details and metadata are 163 summarized in Malderen et al., 2016, which also describes the importance of understanding and 164 reporting changes in ozonesonde operation procedures. During the campagincampaign, in situ 165 measurements of ozone were made using a balloon-borne payload consisting of an ECC ozonesonde 166 (Science Pump Corporation, Serial Numbers: 6A35438, 6A35447, 6A35448, 6A35441) coupled with a 167 radiosonde (Vaisala RS41) and have been used to evaluate TROPOMI tropospheric ozone products in 168 the tropics (Hubert et al., 2021). The ECC technique is widely used for the high vertical resolution 169 measurements of O₃. The ECC consists of two chambers with platinum electrodes immersed in 170 potassium iodide (KI) solutions at different concentrations. The accuracy in the O₃ concentration 171 measured by an ECC ozonesonde is $\pm 5\%$ -10% up to an altitude of 30 km (Smit et al., 2007); Smit and 172 Thompson et al., 2021). This data was obtained at the NDACC website (https://www-

173 <u>air.larc.nasa.gov/missions/ndacc/data.html</u>).

175 Satellite data used in this work was selected based on the closest retrieval (*i.e.* column, profile) to the

176 CESAR station within +/-2.5 degrees latitude and +/-10 degrees in longitude.

177 2.3.1 Ozone Mapping and Profiling Suite (OMPS) and MERRA-2 products

178 Daily total column ozone overpasses over Cabauw station from the The Ozone Mapping and

179 ProfilingProfiler Suite (OMPS) Nadir-Mapper (NM) instrument on the Suomi National Polar-orbiting

180 Partnership (S-NPP) platform areused consists of three sensors to measure the total column and the

181 vertical distribution of ozone with high spatial and vertical resolutions (Flynn et al., 2006). Daily total

182 column ozone overpasses over Cabauw station from the OMPS Nadir-Mapper (NM) instrument are used

183 in this study. The vertical distribution of ozone in the stratosphere and lower mesosphere is obtained

184 from the OMPS Limb-Profiler (LP) sensor on the Suomi-NPP satellite merging the UV (29.5-52.5 km)

and VIS (12.5-35.5 km) bands to provide a full profile from 12.5km to 52.5km (Kramarova *et al.*, 2018).

186 Variations of this merged OMPS-LP retrieval were considered, however the work shown in Arosio *et*

187 *al.*, 2018, indicates the same overall conclusions would be reached. Further work beyond this manuscript

188 may involve comparing this TROLIX-19 measurement data set to specific experimentally performed

189 satellite retrievals.

190 The Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) provides

191 data beginning in 1980 and since August 2004 assimilates NASA's satellite ozone profile observations

192 from Aura Microwave Limb Sounder (MLS) (Livesey et al, 2008) to more comprehensively characterize

- 193 stratospheric ozone abundance. A residual tropospheric ozone product (Ziemke *et al.*, 2019) is derived
- 194 using the OMPS NM total column ozone minus the co-located MERRA-2 stratospheric column ozone.
- 195 Tropopause pressure is derived from MERRA-2 potential vorticity (2.5 PVU) and potential temperature

196 (380 K).

197 **2.3.3 MLS**

198 NASA's Aura Microwave Limb Sounder (MLS) uses microwave emission to measure stratospheric 199 and upper tropospheric constituents, such as ozone. Ozone data (v5) used in this study is binned on 200 various vertical grids and are converted from volume mixing ratio to number density using the 201 coincident MERRA-2 atmosphere state parameters. Both daytime and nighttime data are used in this 202 study and the corresponding closest profile is utilized for comparison.

203 **2.3.4 TROPOMI**

- 204 In October 2017, the Sentinel-5 Precursor (S5P) mission was launched, carrying the TROPOspheric
- 205 Monitoring Instrument (TROPOMI), which is a nadir-viewing 108° Field-of-View push-broom grating
- 206 hyperspectral spectrometer. Starting in August 2019, Sentinel-5P TROPOMI along-track high spatial
- 207 resolution (approximately 5.5 km at nadir) has been implemented and total ozone columns values used208 in this work are subsetted from the NASA GES DISC
- 209 (https://tropomi.gesdisc.eosdis.nasa.gov/data/S5P_TROPOMI_Level2/S5P_L2_O3_TOT_HiR.1/) to
- provide the Offline 1-Orbit L2 (S5P_L2_O3_TOT_HiR), which is based on the Direct-fitting algorithm
 (S5P_TO3_GODFIT), comprising a non-linear least squares inversion by comparing the simulated and
- 212 measured backscattered radiances.
- 213 Tropospheric Ozone vertical profiles were retrieved using the TOPAS (Tikhonov regularized Ozone
- 214 Profile retrievAl with SCIATRAN) algorithm and were applied to the TROPOMI L1B spectral data
- version 2, using spectral data between 270 and 329 nm for the retrieval (Mettig *et al.*, 2021). This data
- 216 set will cover the TROLIX-19 period from 09 September until 28 September; however, it is available
- 217 outside of this work for specific weeks between June 2018 and October 2019. Since the ozone profiles
- 218 are very sensitive to absolute calibration at short wavelengths, a re-calibration of the measured radiances
- 219 is required using comparisons with simulated radiances with ozone limb profiles from collocated
- satellites used as input. The a priori profiles for ozone are taken from the ozone climatology of Lamsal

et al. (2004) and the calibration correction spectrum is determined using the radiances modelled with
ozone information from collocated MLS/Aura measurements as described in depth throughout Mettig *et al.*, 2021.

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225 2.4 Coupled Chemistry and Meteorology Model

226 The GEOS Composition Forecasting (GEOS-CF, https://gmao.gsfc.nasa.gov/weather_prediction/GEOS-227 CF/, Keller et al., 2021, Knowland et al., 2021) system was chosen to serve as a comparison simulation 228 for this effort, based on its altitude coverage (up to 80 km) and implications for future geostationary 229 satellite use. The system produces global, three-dimensional distributions of atmospheric composition with a spatial resolution of 25km. Using meteorological analyses from other GEOS systems, the GEOS-230 231 CF products include a running atmospheric replay to provide near-time estimates of surface pollutant 232 distributions and the composition of the troposphere and stratosphere. Individual case study evaluations 233 using ozone lidar of the GEOS-CF meteorological replay have recently been performed in Dacic et al. 234 (2020), Gronoff et al. (2021) and Johnson et al. (2021). These results will also be used to better evaluate 235 the GEOS-CF as the source of a priori ozone profiles for use in the TEMPO tropospheric ozone retrievals. Model output for this work is used from the closest GEOS-CF model grid cell to the CESAR 236 237 observatory.

238 **3** Tropospheric Ozone Comparisons

239 **3.1 Vertical Profiles**

Example tropospheric ozone profile observations are presented in **Figure 2** for 7 individual observation periods during the TROLIX-19 campaign. Each of the panels show the cloud screened TROPOZ lidar retrievals (top panels) and the corresponding GEOS-CF model output (bottom panels). Pink dots are overlaid to indicate the simulated tropopause altitude based on a blended estimate (TROPPB) which 244 meets criteria of the lowest altitude bin corresponding with either a pressure level above the thermal
245 tropopause (380K) or dynamical (3 PVU) tropopause.

246 In general, the observations and simulations agree quite well in characterizing the broad features that

247 impacted the CESAR site during the TROLIX-19 campaign. However, in each panel there are ozone

- 248 laminae within the lower troposphere that are not replicated in the model simulation, most notably the
- 249 <u>underestimation of ozone</u> during the September 20-21 period <u>from 3-5km</u> (Figure 2d-e). The
- 250 observations indicate increased ozone levels as compared to the model during this period, centered
- around the 3-5km and 8-10km region of the atmosphere (this is explored in more detail below <u>f</u>, black
- 252 <u>dashed box</u>). However, the model does simulate well the lowered tropopause height and abundance of
- lower stratospheric ozone observed in the 2 October observations (see Fig. 2g), which is an indication of
- 254 the model well representing the dynamical variability that affects the lowering of the tropopause
- 255 heightrepresenting the dynamical variability that affects the lowering of the tropopause height. This
- 256 suggests the model is appropriately capturing the complex dynamics during this period near the upper
- 257 troposphere, but may not have been initialized with the correct boundary conditions or is too spatially
- 258 coarse to allow for simulation of the layer emphasized with the black dashed box. However, this is an
- 259 important altitude region for identifying long range transport of aged stratospheric air and inter-
- 260 continental transport that may be downward mixing towards the surface layer and will be explored in
- 261 more detail below.

To bring in additional platforms and to better understand these differences throughout the campaign at discrete altitudes, **Figure 3** shows the ozone number density values for the TROPOZ lidar, GEOS-CF model, TROPOMI and ECC ozonesondes at the <u>average 4</u> km vertical level for the entire TROLIX-19 campaign period. Within the 4kmthis layer, the platforms are all characterizing the general ozone features throughout the campaign at an altitude that frequently is associated with aged transported layeringlaminae. There is a noticeable difference between the observations and model during the previously described 20-21 September period. On 21 September at 12 UT, the lidar and ECC sonde

269	quantify an elevated layer $(1.2-1.3 \times 10^{21} \text{ molecules m}^{-3})$ -into the region that is not simulated by model
270	(0.75-0.9 x10 ²¹ molecules m ⁻³), resulting in an approximately 30% difference in ozone abundance within
271	layer. Since the model correctly simulated many other ozone features during this time period within the
272	upper tropospheric region, this is likely aged transport into the domain that was not available during
273	model initialization. Back-trajectories were performed to better identify the source of this air mass,
274	however nothing conclusive can be reported. The layer is not associated with any increase in lidar
275	attenuated backscatter within the associated altitude, suggesting it was not urban in origin and therefore
276	more likely aged stratospheric air mixing down to the lower free troposphere. Outside of this Sep 21
277	period, there is generally good agreement between the observations and model, indicating the
278	combination of observations and modeling are able to represent the rural conditions and ozone
279	perturbations at the CESAR site.underestimation in ozone abundance within the layer.

280 **3.2 Columnar Data Reduction**

281 There continues to be a need within the atmospheric and satellite community to understand the 282 variability of ozone as it pertains to both the tropospheric column (*i.e.* the Earth's surface to the 283 tropopause height) and the 0-2km tropospheric column (i.e. the Earth's surface to the 2 km height). The 284 0-2 km region is of particular interest as it is projected to be delivered hourly from the North American 285 geo-stationary satellite: Tropospheric Emissions: Monitoring of Pollution (TEMPO). Due to the 286 increased temporal frequency and vertical extent of TEMPO's tropospheric ozone retrievals, ozone 287 lidars, such as those from TOLNet (https://www-air.larc.nasa.gov/missions/TOLNet/) used in this work, 288 are an ideal platform to perform future evaluations of the products, which build from Johnson et al., 289 2018. 290 Full tropospheric columns (Figure 4, top panel) are consistently calculated from each platform using

the blended tropopause height (TROPPB) produced by the GEOS-CF and described above (c.f. pink dots

in Figure 2) and are then converted to Dobson Units (DU). The tropospheric<u>and 0-2km</u> columns are

293	calculated explicitly by integrating the ozone number density from the lowest data bin of usable data to
294	the TROPPB or 2km layer height produced in the nearest model temporal output. The exception to this
295	is the OMPS/MERRA-2 tropospheric column using the residual method described above (subtracting
296	the MERRA-2 stratospheric column from the OMPS-NM total ozone column). For the 0-2km
297	tropospheric column (Figure 4, bottom panel), there were no major surface layer pollution events at the
298	CESAR observatory during TROLIX-19.
299	For the full tropospheric column (Figure 4, top panel), the campaign variability ranges from
300	approximatively 20-55 DU- <u>based on the lidar observations.</u> The model, lidar, and ECC sonde
301	observations agree quite well throughout the 12 Sep to 23 Sep time frame when looking at day-to-day
302	variability. However, when assessing the variability on a single day for 21 Sep, full tropospheric
303	columns reduced from the lidar observations are some of the largest observed during this TROLIX-19
304	period (reaching nearly 5046 DU), while the but mostly staying between 34-40 DU. During this time,
305	the model mainly ranges between 35-37 DU-or a difference, resulting in differences within 10% for
306	most of upwards the observations (albeit closer to 30% for the peak on this day).
307	When looking at Figure 2d-f, the lower ozone values just below the tropopause during this period are not
308	simulated in the model, which may be an indication that the mesoscale ozone transport in the frontal
309	system is not very well resolved by the model for this specific event. Since the model correctly
310	simulated many other ozone features during this time period within the upper tropospheric region, this
311	may also be attributed to aged transport into the domain that was not available during model
312	initialization. Back-trajectories were performed to better identify the sources of 40%. Therefore, this
313	these air masses, however nothing conclusive can be reported. The layer is not associated with any
314	increase identified and discussed in Fig 3, not only results an in lidar attenuated backscatter within the
315	associated altitude specific difference, but ultimately results in a large overall impact to the full,
316	suggesting it was not urban in origin and therefore more likely aged stratospheric air mixing down to the
317	lower free troposphere. Outside of this Sep 21 period, there is generally good agreement between the

318	observations (including the OMPS-MERRA2 product) and model, indicating the combination of
319	observations and modeling is able to represent the rural conditions and ozone perturbations at the
320	CESAR site.
321	When assessing these tropospheric column values from the TROPOMI ozone profile
322	observations, it is important to mention the vastly different vertical resolution or averaging kernel
323	schemes as compared to the independent observations near the tropopause. The ECC samples an
324	instantaneous observation with a vertical resolution generally less than 100m, while the lidar is
325	averaging over 500-750m of atmosphere for each data point near the tropopause. However, the vertical
326	resolution near the tropopause for TROPOMI using the TOPAS algorithm (Mettig et al., 2021) is nearly
327	6 km, indicating it is not able to completely represent sharp gradients that may occur near the tropopause
328	layer and the lower stratosphere (where ozone content sharply increases). This lack of degrees of
329	independent information is evident in the relatively higher TROPOMI tropospheric column ozone values
330	as compared to the other independent measurements presented in this work. This suggests ground-based
331	profiling observations are still critically needed to confirm large deviations from a priori and
332	climatology in order to evaluate the atmospheric chemistry models, especially in the upper tropospheric
333	region and within the boundary layer.
334	There exists both diurnal and day-to-day variability of the 0-2 km ozone, ranging from 4-10 DU (Figure
335	4, topbottom panel). In the 0-2 km ozone reduction, the lidar and model are critically needed to
336	understand ozone variability on a continuous scale. For instance, on 15 Sep the 0-2 km ozone column
337	was near 9 DU at 03 UT and finished near 5.5 DU at 16 UT, resulting in a -60% change in DU within a
338	single day. Furthermore, the gradient of the 21 Sep ozone column change was similar in scale to the
339	entire campaign variability, indicating that there is a significant amount of information gained in the
340	understanding of the variability in ozone from continuous measurements. Although a daily snapshot of
341	OMPS-MERRA-2 residuals and TROPOMI ozone profile observations are critical for their vast spatial
342	coverage, ground-based observations such as ozone lidar and ECC sondes are critically needed to

343	quantify measurement gaps. 13 hours. The need for continuous measurements during highly variable
344	days are further emphasized by the fact that this gradient in 0-2km ozone for this single day (15 Sep, 5.5
345	DU - 9 DU) was comparable to the variance of 0-2 km ozone values throughout the entire campaign.
346	In summary, we find that the ozone columns evaluated in this study generally reproduced the structure
347	of the TROLIX-19 ozone lidar observations for N=835 coincidences. For the full tropospheric column,
348	the lidar calculated median was 30.9 ± 4.7 DU, compared to 33.4 ± 3.9 DU for the GEOS-CF. This
349	indicates a difference of 2.5 DU or 7.9 %, which is well within the lidar uncertainty of around 10 $\%$
350	throughout the tropospheric column, and as we described above is likely driven by select days rather
351	than an overall bias between the measurements For the 0-2 km tropospheric column, the lidar
352	calculated median was 5.8 DU \pm 0.9 DU, compared to $\frac{6.97.8}{1.8}$ DU \pm 0.7 DU for the corresponding
353	GEOS-CF measurements. This indicates a difference between observations and model of 1.1 DU or 18.9
354	%, which is higher than the lidar uncertainty of around 5-10 % throughout the column. For the
355	TROLIX-19 campaign, a 0-2 km tropospheric column accounts for approximately 20% of the
356	tropospheric column as detailed in Figure 4 (top panel), indicating measurements above the surface are
357	critically needed at understanding ozone variability at rural sites such as Cabauw, NL, where free
358	tropospheric ozone features dominate the column.

359 4 Full Profile Ozone Comparisons

360 4.1 Hybrid Tropospheric/Stratospheric Ozone Comparisons

To better understand differences in ozone retrievals from multiple platforms, it is important to assess the
 entire vertical distribution of ozone. To characterize the vertical distribution throughout the entire

- troposphere and stratosphere, hybrid ozone profiles were created from longer (integrations of 60-120
- 364 minuteminutes vs 10 minutes in Sec 3) temporal retrievals from the <u>closed</u> co-located <u>daytime/nightime</u>
- 365 TROPOZ and <u>nighttime</u> STROZ <u>lidarslidar data</u>, which were then interpolated to the GEOS-CF model
- 366 vertical grid levels. Figure 5 compares these results to the GEOS-CF, OMPS-LP, TROPOMI, MLS and

the ECC ozonesonde profiles for 12 Sep, 17 Sep, 19 Sep, and 21 Sep 2019. These days were selected as

368 days within the campaign that had an ECC launch from De Bilt, NL (30 km from Cabauw).

369 For each observation period in Figure 5, all platforms manage to characterize a similar shape and extent of the ozone maxima between 2.5-4.5 molecules m^{-3} throughout the vertical layer between 20-25 km. In 370 371 each case, there are differences between the platforms in characterizing the vertical variability and 372 extent of the ozone maxima, which will be quantified in the following section. One notable feature that 373 emphasizes the cross-platform ability to illustrate ozone variability in the stratosphere is from the 19 and 374 21 Sep profiles. A dual ozone maximum is observed quite remarkably by the merged lidar, ECC, MLS, 375 OMPS-LP and simulated by the GEOS-CF centered around 20 km and then again at 25km. The wind 376 observations from the ozonesonde payload (not shown) indicate a wind shear within the two ozone 377 layers, suggesting this feature was dynamically driven. The TROPOMI retrieval is not able to retrieve 378 this vertical features due to its coarser vertical resolution and appears to average through the layers.

4.2 Difference Profiles

380 To quantitatively compare the ozone retrievals and simulations, Figure 6 displays the ozone 381 values for the TROLIX-19 time period from the hybrid lidar dataset (Figure 6a), GEOS-CF (Figure 382 6b), OMPS-LP (Figure 6c), MLS (Figure 6d) and TROPOMI (Figure 6e). This double ozone maxima, 383 starting after 20 September serves as a geophysical marker to visually compare the ozone products. The 384 lidar, model, and OMPS-LP all capture this feature, but with varying ozone abundances and altitudes. 385 From Figure 6d, it appears as if TROPOMI retrievals are not able to resolve this feature. The percent 386 differences, as compared to the lidar observations, are displayed in Figure 7a-d. These percent 387 differences are calculated using (1)

388

389 (1) Percent Difference
$$=\frac{(E_1 - E_2)}{\frac{1}{2}(E_1 + E_2)} \times 100$$

390

- 392 where E_2 are the lidar observations and E_1 are the respective ozone values from the various platforms in
- **Figure 6**.

394 The percent differences in Figure 7a indicate the GEOS-CF model from 20-45 km generally represents 395 the lidar observations, but are generally 0-10 % lower in abundance. The percent differences in Figure 396 7b indicate OMPS-LP is also representing the ozone maxima and altitude above 25 km. There are larger 397 differences below 20 km, which indicates the OMPS-LP retrieval is generally underestimating 398 heworsens (in both directions) as compared to the ozone abundance below 20 km as shown in the 399 profiles in Figure 5. The percent differences in Figure 7c indicate the MLS data, especially that within 400 the 20-40km region, perform quite well as compared to the lidar observations. The percent differences in 401 Figure 7d indicate the TROPOMI retrieval is generally over representing the ozone concentrations 402 throughout the atmosphere, which worsens within the troposphere- and has been discussed earlier for 403 the tropospheric ozone column as a result of a much larger vertical resolution in this region. In all cases, 404 the most variability in the differences occur within the active region from 10-20 km that is driven by the 405 dynamical tropopause height and lower stratospheric ozone abundance. Within each satellite dataset, we 406 find larger biases in the lower stratosphere and upper troposphere below 18km, which has been 407 previously described in the literature for the OMPS-LP dataset in Kramarova et al., 2018 and were 408 improved in the updated version 2.5 algorithm used in this work.

409 **5 Total Column Ozone**

410 Similar to the troposphere, to better understand to what extent the vertical distribution of ozone impacts

- 411 the atmospheric column, Figure 8 (top panel) shows the various platforms and their retrieved total
- 412 column ozone. For this analysis, the GEOS-CF, lidar, OMPS-NM, TROPOMI (GODFIT) are shown, in
- 413 addition to local ground-based measurements from a Pandora instrument and Brewer. The total column
- 414 values range from 230-300 DU throughout the campaign period, with the median total column ozone of
- 415 271 DU. With the previous analyses from Sec 3.2, this indicates the median total tropospheric column of

416 33 DU and 0-2km boundary layer column of 6 DU result in percentages of the entire ozone column of 417 12% and 2.3%, respectively. Similar to the full tropospheric ozone columns, larger total ozone columns 418 were observed towards the end of the TROLIX-19 period, suggesting this variability was partly due to a 419 larger abundance of ozone in the lower stratosphere.

Figure 8 (bottom panel) shows the various platforms as a percent differences from the model. In general, the various platforms are all <u>mostly</u> within 105 % of each other, with most differences being within ± 53 %. This analysis emphasizes the stability and maturity of the Pandora and Brewer systems for monitoring the total column ozone amounts. Interestingly, the double maxima feature in vertical ozone distribution in the stratosphere (with local minima between) described in Sec 4.1 on 21 Sep does not severely impact the total column ozone.

426 6 Conclusions

427 This work has highlighted the various differences in retrieved ozone quantities during the TROLIX-19 428 campaign. This has emphasized the importance of ground-based ozone lidars and other measurements in 429 understanding the vertical variability of ozone and how it relates to the column reduction. This work 430 also shows the first effort to directly resolve both tropospheric columns and 0-2km ozone columns from 431 the NASA TROPOZ lidar. Other TOLNet lidars are able to perform this data reduction and future work 432 will be to expand this effort to the other TOLNet locations. This work indicates the level of performance 433 of the GEOS-CF modeling system as compared to the other platforms, which ultimately performs 434 extremely well both in the stratosphere (Figure 6 and Figure 7) and within the troposphere, as 435 emphasized (Figure 2 and Figure 4). One takeaway message or point of caution for future efforts is that although there are situations 436 437 identified where the vertical profile and the model disagree in Figure 6 and Figure 7. a certain altitude 438 range (Figure 3), when the data is reduced to a columnar product, compensating over/under-estimations 439 may cancel out and produce a more accurate value when only looking at the resultant as compared to

- 440 observations. For this reason, it is essential when doing data columnar reduction for the troposphere, and
- 441 even more so in the 0-2km column or planetary boundary layer, that observations of the vertical profile
- 442 <u>be used to evaluate the representativeness of the model and auxillary data sets.</u>
- 443 In looking towards the NASA TEMPO-mission, this work indicates that the GEOS-CF, with its global
- 444 <u>coverage, hourly resolution, and adequate vertical information to resolve most atmospheric features, is</u>
- 445 an appropriate choice for the a priori profiles for the TEMPO ozone retrievals. <u>Continued investigations</u>
- 446 are needed with high resolution observations, as presented in this work, to better evaluate the GEOS-CF,
- 447 especially in these common transport regions of the atmosphere. Although the GEOS-CF performed
- 448 well in reproducing the ozone downward transport throughout the upper troposphere and lower
- 449 stratosphere, the model did fail to resolve some high-resolution laminae deeper into the lower
- 450 troposphere related to specific mesoscale ozone transport in this region as evidenced in Figure 2 and
- 451 <u>Figure 3.</u>
- 452 This work shows the TROPOMI <u>TOPAS</u> ozone profile <u>algorithm</u> products are able to accurately
- 453 reproduce ozone quantities in the lower troposphere at various atmospheric levels. In particular, Figure
- 454 **3** shows and Figure 4 show promising results that indicate the TROPOMI satellite observations compare
- 455 well with the observations from ground-based measurements (lidar, sonde) of specific elevated ozone
- 456 features. <u>However, there is an observed overestimate of the TROPOMI retrieval in the upper</u>
- 457 troposphere and lower stratosphere (between 10 and 15 km) associated with a larger vertical resolution
- 458 that needs to also be further evaluated to better understand the representativeness of the retrieval in this
- 459 <u>region.</u>
- 460
- 461 Figure 7 was presented as a quantitative resultant figure to illustrate both the temporal (*i.e.*, throughout
- 462 the course of the TROLIX-19 campaign) and vertical differences observed in the retrievals from each
- 463 <u>observational platform. This serves as a rare opportunity to cross-evaluate multiple satellited based</u>
- 464 <u>observations, a global chemical transport model, ozonesondes and a high-resolution ozone lidar suite.</u>

465	The authors feel that this figure has served to point out the strengths of each platform and present careful			
466	considerations for areas of under/over estimation, Furthermore, we feel reducing these comparisons			
467	down to a specific percentage may underserve the community push for supporting the vertical profiling			
468	needea	d for these types of efforts.		
469	The CESAR Observatory continues to be a critical landmark for campaigns that revolve around			
470	atmospheric composition measurements for satellite validation and evaluation beyond this effort, such as			
471	CINDI and CINDI-2 (Kreher et al., 2020; Wang et al., 2020; Tirpitz et al., 2021). As the European			
472	Commission (EC) in partnership with the European Space Agency (ESA) continues to launch			
473	tropospheric composition satellites, including the upcoming geo-stationary Sentinel-4 satellite, we			
474	expect this observatory will continue to host and maintain critical atmospheric sampling for future			
475	validation efforts.			
476				
477				
478				
479	Data A	Availability.		
480 481	1.	MLS ozone profiles can be downloaded from the NASA Goddard Space Flight Center Earth		
482		Sciences Data and Information Services Center (GES DISC; Schwartz et al., 2020,		
483		https://doi.org/10.5067/Aura/MLS/DATA2516, last access: 29 March 2022).		
484	2.	The Pandora data is available at the Pandonia Global Network Archive (http://data.pandonia-		
485		global-network.org/Cabauw/, last access 29 March 29, 2022).		
486	3.	The OMPS LP version 2.5 ozone profiles can be downloaded from the NASA Goddard Space		
487		Flight Center Earth Sciences Data and Information Services Center (GES DISC;		
488		at https://doi.org/10.5067/X1Q9VA07QDS7 (Deland, 2017, last access: 29 March 2022).		
489	4.	The tropospheric ozone lidar data used in this publication were obtained from the Cabauw		
490		Experimental Site for Atmospheric Research (CESAR) as part of a campaign involving the		

- 491 Network for the Detection of Atmospheric Composition Change (NDACC) and NASA's
- 492 Tropospheric Ozone Lidar Network (TOLNet) and are publicly available (<u>https://www-</u>
- 493 <u>air.larc.nasa.gov/cgi-bin/ArcView.1/TOLNet?NASA-GSFC=1</u>, last access: 29 March 2022).
- 5. The ozonesonde and Brewer data used in this publication were obtained from the De Bilt, NL
- 495 site as part of a campaign involving the Network for the Detection of Atmospheric Composition
- 496 Change (NDACC) and are publicly available (<u>ftp://ftp.cpc.ncep.noaa.gov/ndacc/station/debilt/</u>,
- 497 last access: 29 March 2022).
- 498 6. The stratospheric ozone lidar data used in this publication were obtained from the Cabauw
- 499 Experimental Site for Atmospheric Research (CESAR) as part of a campaign involving the
- 500 Network for the Detection of Atmospheric Composition Change (NDACC) and are publicly
- 501 available (<u>ftp://ftp.cpc.ncep.noaa.gov/ndacc/station/cabauw/</u>, last access: 29 March 2022).
- 502 7. The TROPOMI TOPAS Ozone Profile data and source codes are available upon request from
 503 Nora Mettig (mettig@iup.physik.uni-bremen.de) or Mark Weber (weber@uni-bremen.de). The
- 504 L1B version of the S5P data is available upon request to the S5P Validation Team.
- 505 8. The Tropospheric Ozone Column from OMPS-NM/MERRA-2 Daily measurements data are
 506 available upon request from Jerry Ziemke (Jerald.r.ziemke@nasa.gov).
- 507 9. The NASA GEOS-CF simulations are available at the data sharing portal
- 508 (<u>https://portal.nccs.nasa.gov/datashare/gmao/geos-cf/v1/forecast/</u>, last access 29 March 2022).
- 509

510 Author contributions. JS drafted the original manuscript. JS, LT, GS, and TM deployed and operated the

- 511 NASA ozone lidars and provided expertise on use of measurements. NM, AR, and MW provided
- 512 TOPAS ozone profile data and guidance on how best to use the measurements. AA and KK provided
- 513 overall context as principal investigators of the TROLIX-19 campaign and coordinated science team
- 514 meetings to foster this collaboration. KEK provided GEOS-CF data and insight on its use in this work.
- 515 MA, AP, MvR, and PV provided expertise and data for the ground-observations for ozonesondes,

516	Brewer, and historical data for the Cabauw site. JZ provided data for the OMPS-MERRA-2 tropospheric
517	column data. NK provided Aura MLS, OMPS-LP merged data and further insight into the use of the
518	data.
519	
520	
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Table 1: Instrument platforms, associated products, and short description used in this work during the TROLIX-19

- campaign.

Instrument	Products	Platform	Description
GSFC TROPOZ [NASA]	Profiles [0.2 – 18 km]	Ground-based Lidar	10 min integration; 30-90-min avg around ECC or Satellite Overpass
GSFC STROZ [NASA]	Profiles [15 - 48 km]	Ground-based Lidar	~2-4-hr avg between (20-23 UT)
ECC Ozonesondes [KNMI]	Profiles [0 – 33 km]	Balloonborne	Balloonborne, Launched at 12 UT from De Bilt (~30 km from Cabauw) on 4 days
Pandora [NASA/KNMI]	Column [TCO]	Spectrometer	L2 Pandora 118s, Data Used has QC/QA Flags = 10
Brewer [KNM]	Column [TCO]	Spectrophotometer	L2 Brewer #189m, MKIII, Located in De Bilt
S5P/TropOMI [ESA]	Column [TCL]	Satellite	L2 TOPAS Product, Overpass between 12-14 UT (5.5x3.5 km, nadir)
S5P/TropOMI [KNMI]	Column [TCO]	Satellite	L2 GODFIT v4 TO3 Product, Overpass between 12-14 UT (5.5x3.5 km, nadir)
OMPS [NASA]	Column [TCO]	Satellite	L3 NM Product, Version 2, Daily Overpass between 12-14 UT (50x50 km, nadir)
OMPS-LP [NASA]	Profiles [12-60km]	Satellite	Merged L2 v2.5 Daily Merged Product, Overpass between 12-14 UT (1km vertical bins)
OMPS/MERRA-2 [NASA]	Trop. Columns	Satellite/Assimilation	L4 Derived Product, OMPS-NM daily Overpass, MERRA-2
AURA MLS [NASA]	Profiles [12-60km]	Satellite	Merged L2 v5 Daily Daytime/Nighttime Products, Overpass between 12-14 UT (1km vertical bins) and 01-03 UT.
GEOS-CF [NASA]	Profiles [0-80km]	Global 3-D CCMM	<u>1-Hr, 72 lev, Met. Replay, (25x25km)</u> gmao.gsfc.nasa.gov/weather_prediction/GEOS-CF/



771 Figure 1: Aqua/MODIS True Color Corrected Reflectance (left) and TROPOMI Troposphericmonthly-mean

772 tropospheric NO₂ (rightcolumn (version 1.0) for 14-September 2019. The CESAR site is and De Bilt, NL sites are

773 indicated in the image-on the left. _.



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797 Figure 2: Cloud screened TROPOZ lidar retrievals (top panel) and the corresponding GEOS-CF model output (bottom

panel) from the closest model grid cell to the CESAR observatory during TROLIX-19 for a) 13 Sep 14-00 UTC, b) 15

799 Sep 09-21 UTC, c) 19 Sep 10-00 UT, d) 20 Sep 16-00 UT, e) 21 Sep 0-3 UT, f) 21 Sep 16-00UT, and g) 02 Oct 04-14 UT.

800 Pink dots are overlaid to indicate the simulated tropopause altitude based on a blended estimate (TROPPB).

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Figure 3: Ozone number density values for the TROPOZ lidar, GEOS-CF mode, TROPOMI and electro-chemical cell
(ECC) ozonesondes at the 4km layers/levels. The laver was calculated to match the closest representative vertical laver
of the GEOS-CF for consistent intercomparison. Data is averaged in a 500m laver from 3.94 km to 4.44 km AGL.



Figure 4: Full tropospheric columns (top panel) and 0-2km tropospheric columns (bottom panel) calculated from
GEOS-CF, OMPS-MERRA2 (full column only), TROPOMI, Lidar and ECC. Data where reflectivity was greater than

- 860 0.6 was excluded to remove cloud interference.



Figure 5: GEOS-CF, Lidar, OMPS-LP, ECC, TROPOMI, and MLS ozone profile comparisons for 12 Sep, 17- Sep, 19
Sep, and 21 Sep 2019. These days were selected as days within the campaign that had an ECC launch from De Bilt.



Figure 6: Ozone number densities across all platforms for the TROLIX-19 time period from the hybrid lidar dataset
(Figure 6a), GEOS-CF (Figure 6b), OMPS-LP (Figure 6c), MLS (Figure 6d), TROPOMI (Figure 6e). The x-axis as

901 day of September 2019.





917 Figure 7: Differences in ozone number densities across all platforms for the TROLIX-19 time period for Model (Figure

- 918 7a), OMPS-LP (Figure 7b), MLS (Figure 7c), and TROPOMI (Figure 7d). The x-axis as day of September 2019.







Figure 8: Total Ozone columns (top panel) and percent differences (bottom panel) as compared to the model
observations for GEOS-CF, lidar, OMPS, TROPOMI, Pandora, and Brewer.