1	Effect of dust on rainfall over the Red Sea coast based on WRF-Chem model simulations
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### Abstract

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Water is the single most important element of life. Rainfall plays an important role in the spatial 19 20 and temporal distribution of this precious natural resource and it has a direct impact on 21 agricultural production, daily life activities, and human health. One of the important elements 22 that govern rainfall formation and distribution is atmospheric aerosol, which also affects the Earth's radiation balance and climate. Therefore, understanding how dust compositions and 23 distributions affects the regional rainfall pattern is of crucial, particularly in regions with high 24 25 atmospheric dust loads such as the Middle East. Although aerosol and rainfall research has garnered increasing attention both as an independent and interdisciplinary topic in the last few 26 decades, the details of various direct and indirect pathways by which dust affects rainfall are not 27 28 yet fully understood. Here, we explored the effects of dust on rainfall formation and distribution as well as the physical mechanisms that govern these phenomena, using high-resolution WRF-29 Chem simulations ( $\sim$ 1.5 × 1.5 km) configured with an advanced double-moment cloud 30 microphysics scheme coupled with a sectional 8-bin aerosol scheme. Our model-simulated 31 results were realistic, as evaluated from multiple perspectives including vertical profiles of 32 aerosol concentrations, aerosol size distributions, vertical profiles of air temperature, diurnal 33 wind cycles, and spatio-temporal rainfall patterns. Rainfall over the Red Sea coast is mainly 34 caused by warm rain processes, which are typically confined within a height of ~ 6 km over the 35 Sarawat mountains and exhibit a strong diurnal cycle that peaks in the evening at approximately 36 6 pm local time under the influence of sea breezes. Numerical experiments indicated that dust 37 could both suppress or enhance rainfall. The effect of dust on rainfall were calculated as total, 38 indirect, and direct effects, based on 10-year August-average daily-accumulated rainfall over the 39 study domain covering the eastern Red Sea coast. For extreme rainfall events (domain-average 40 daily-accumulated rainfall of  $\geq 1.33$  mm), the net effect of dust on rainfall was positive or 41 enhancement (6.05%), the indirect effect (4.54%) and direct effect (1.51%) both causing rainfall 42 increase. At a 5% significance level, the total and indirect effects were statistically significant 43 whereas the direct effect was not. For normal rainfall events (domain-average daily-accumulated 44 45 rainfall < 1.33 mm), the indirect effect enhanced rainfall (4.76%) whereas the direct effect suppressed rainfall (-5.78%), resulting in a negative net suppressing effect (-1.02%), all of which 46 were statistically significant. We investigated the possible physical mechanisms of the effects 47 and found that the rainfall suppression by dust direct effects was mainly caused by the scattering 48 of solar radiation by dust. The surface cooling induced by dust weakens the sea breeze 49 circulation, which decreases the associated landward moisture transport, ultimately suppressing 50 rainfall. For extreme rainfall events, dust causes net rainfall enhancement through indirect effects 51 as the high dust concentration facilitates raindrops to grow when the water vapor is sufficiently 52 available. Our results have broader scientific and environmental implications. Specifically, 53 54 although dust is considered a problem from an air quality perspective, our results highlight the 55 important role of dust on sea breeze circulation and associated rainfall over the Red Sea coastal regions. Our results also have implications for cloud seeding and water resource management. 56

### 1. Introduction

- Rainfall rejuvenates plant and animal life. In desert regions, rain events also bring hope and
- 59 excitement. Rainfall affects the distribution of surface and ground water resources, which are
- 60 constantly declining over the Middle East and North Africa (MENA) region due to
- overexploitation (Joodaki et al., 2014). A large proportion of global agricultural production is
- 62 indeed dependent on monsoon rainfall. Irregular patterns of rainfall have affected people in many
- countries across the globe, by causing floods and droughts, affecting the regional water resources
- 64 (e.g., Jha et al., 2021), limiting people's access to safe drinking water, and increasing the
- prevalence of water-borne diseases such as malaria and diarrhea (Trinh et al., 2020).
- Dust is the dominant aerosol type in desert regions (Kalenderski and Stenchikov, 2016; Parajuli
- et al., 2020; Ukhov et al., 2020) and it can affect regional water resources by modulating rainfall
- distributions (Jha et al., 2021). In regions with long-term water shortages such as the Middle East
- and North Africa (MENA), understanding the multifaceted aspects of dust-rainfall connections is
- even more important. In desert regions, regional dust storms such as haboobs (e.g., Anisimov et
- al., 2018) are often associated with rainfall. The older generation of people in the MENA region
- associate certain categories of dust storms with rainfall. Due to the frequent occurrence of dust
- storms, dust-cloud mixtures are common sights in this region.
- 74 Aerosol particles including dust are key to rainfall formation as they provide a surface for
- condensation. J. Aitken, a pioneer scientist of the 18th century, said, "There would probably be
- no rainfall if there were no dust particles in the atmosphere" (Spurny, 2000), which clearly
- 77 highlights the importance of dust on the Earth's climate.
- 78 The process of rainfall is incredibly complex and many aspects of the rain cycle remain unclear
- despite sustained research efforts. Although the principles that govern rainfall appear highly
- 80 complex from a prediction perspective, the basic physics of rainfall are rather simple and
- 81 mesmerizing. The least understood aspects of rainfall lie within the clouds, particularly the
- mechanisms by which aerosols affect clouds and the subsequent rainfall.
- 63 Given that the multiple effects of aerosols on the Earth's climate occur through various direct
- and indirect pathways, disentangling their effect on rainfall is not easy. Furthermore, previous
- studies on the effects of aerosols on rainfall have reported contradicting results, with some
- so indicating that dust enhances rainfall while others report a suppressing effect. Generally, aerosols
- enhance heavy rainfall events and suppress light rainfall events (Choobari, 2018; Li et al., 2011).
- 88 Although multiple new mechanisms have been recently proposed to explain the underlying
- causes of these discrepancies (e.g., Fan et al. 2018; Grabowski and Morrison, 2020; Abott and
- 90 Cronin, 2021), these hypotheses are still debated and at times controversial (Choobari, 2018)
- 91 despite extensive research on the topic. Furthermore, the effect of dust depends on the type of
- 92 circulation (e.g., Bangalath and Stenchikov, 2015), and therefore the present study is highly
- 93 significant in the coastal areas where sea and land breeze circulations are active. In this work, we
- specifically focus on the coastal regions of the Red Sea to explore the effects of dust on rainfall.
- We chose this region because dust-rainfall interaction should be prominent here, if any, given the
- 96 high levels of atmospheric dust in the region.

97 The effects of aerosol on climate are generally classified into three categories – direct, semidirect, and indirect effects (Lohmann and Feichter, 2001; Forkel et al., 2012; Zeinab et al., 2020), 98 99 all of which affect rainfall in unique ways. Aerosol particles directly affect radiation through scattering and absorption, which is generally known as the "direct aerosol effect." These effects 100 on radiation leads to changes in temperature, wind speed, relative humidity, and atmospheric 101 stability, all of which are collectively referred to as aerosol "semi-direct effects" (Hansen, et al., 102 1997). Furthermore, the effects of aerosols through clouds are classified as indirect effects 103 (Twomey, 1991), which in turn are sub-classified into two types. The formation of cloud 104 condensation nuclei (CCN) or ice nuclei (IN) (Dennis, 1980; Stull, 2000) changes the cloud 105 optical properties, particularly cloud albedo, and this is referred to as the "first indirect effect" 106 (Kravitz et al., 2014). The subsequent changes in cloud cover, cloud lifetime and rainfall are 107 referred to as the "second indirect effect" (Lohmann and Feichter, 2001). In the literature, these 108

effects are commonly calculated in terms of "radiative forcing." However, here, we calculate how these effects translate into rainfall amounts, to gain insights into the effects of dust on

rainfall from a water resources perspective.

Dust can both increase and decrease rainfall by affecting local atmospheric circulation (Jacobson

et al., 2006; Rémy et al., 2015). For example, in West Africa, dust can reduce rainfall by

inducing a cooling effect that decreases the meridional gradient of moist static energy (Konare et

al., 2008). In contrast, dust can also enhance rainfall through dust-induced diabatic warming in

the upper troposphere, which enhances regional circulation (Jin et al., 2015) through the

"elevated heat pump" (EHP) effect (Lau et al., 2010). Dust can act both as IN (Creamean et al.,

2013; Jha et al., 2018), which mainly affect cold cloud processes (Ansmann et al., 2005), and

119 CCN, which primarily affect warm cloud processes (Li et al., 2010; Twohy, 2015; Jha et al.,

2018). Nucleation is more effective when the CCN are hydrophilic. Although dust particles are

weakly hydrophilic, they are larger and are activated at a higher supersaturation compared to

other anthropogenic aerosol species (Karydis et al., 2011).

123 Increases in aerosol concentration increase the number of cloud droplets by shifting the aerosol

spectrum towards smaller radii for a fixed liquid water content, which ultimately renders the

autoconversion or collision-coalescence process in warm clouds less efficient and increases the

cloud reflectivity, thus inducing a cooling effect on the Earth's surface (Albrecht, 1989;

127 Choobari, 2018). Aerosol particles can reduce the cloud fraction by slowing down rain formation

by collision/coalescence (Rosenfeld et al., 2000; Jacobson et al., 2006; Min et al., 2008) but they

can also increase via the invigoration of convective clouds (Koren et al., 2005). Aerosol

invigoration is a process in which aerosols delay the rainfall in the initial stage of convection but

causes more rainfall in the mature stage due to the formation of deeper and larger clouds

132 (Andreae et al., 2004; Koren et al., 2005; Koren et al., 2008; Chakraborty et al., 2018; Fan et al.,

2018). The presence of fine aerosol particles in the atmosphere facilitates the formation of

smaller cloud droplets and therefore suppresses rainfall initially. This suppression allows the

cloud droplets to reach the freezing point as they rise to higher altitudes. Upon freezing, these

hydrometeors release more latent heat, which ultimately intensifies convective updrafts and

associated cold rainfall (Koren et al., 2008; Lee et al., 2011). One more reason for these

contrasting effects is that the aerosols behave differently in different cloud types. For example, a

- dust layer below a warmer cloud base at approximately 3 km can suppress cloud formation by
- heating, but in a higher cloud base, cloud formation can be strengthened through the contribution
- of CCN/IN (Yin and Chen, 2007). Similarly, the effective radius of ice particles decreases with
- increased aerosol optical depth (AOD) in high clouds, whereas it increases for low clouds (Zhao
- et al., 2019). The rainfall response also depends on whether clouds are located over the continent
- or the ocean (Yin et al., 2002), or whether they are located over pristine remote areas or hazy
- urban regions (Solomos et al., 2011).
- In summary, the effects of aerosol or dust on rainfall are governed by multiple microphysical,
- dynamic and radiative interactions, which can either suppress, enhance, or cause no net effect on
- rainfall depending on the regional geography (Andreae et al., 2004; Han et al., 2009). Therefore,
- regional modeling approaches (e.g., Konare et al., 2008; Zhang et al., 2017; Jordan et al., 2020)
- are necessary to understand the regional effects of dust on rainfall. Our study focused on the Red
- Sea Arabian coast, which is among the regions with the highest moisture transport, and where
- both natural (dust) and anthropogenic aerosols exist in high concentrations. Using the Weather
- Research Forecast model coupled with Chemistry (WRF-Chem) (Grell et al., 2005) model
- simulations supported by extensive validation of meteorology, aerosol properties, and
- microphysical parameters, our study aimed to understand the following research questions:
- 1. Does dust enhance or suppress rainfall? What physical mechanisms are responsible for any enhancement or suppression effect?
  - 2. How does dust interact with local breeze circulations?

### **2. Methods**

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# **2.1. Study domain**

- Our study was conducted in a small domain over the Red Sea coast, as indicated by the red box
- 162 (d03) in Fig. 1. The study area covers the King Abdullah University of Science and Technology
- 163 (KAUST), Thuwal, in the north and the city of Abha in the south, the latter of which is famous
- for its high mountains and rainfall. The domain covers a full section of the Red Sea, the Sarawat
- Mountain range that runs from north to south, and a good portion of the nearby inland deserts
- 166 (d03). The study domain is encompassed by a middle domain d02, which covers a large part of
- the Arabian Peninsula and northeast Africa, where major dust exchange occurs between the two
- 168 continents across the Red Sea (Kalenderski and Stenchikov, 2016). The outer domain d01, which
- is rather large, covers the entire MENA region and includes all regional aerosol sources, as
- described in Parajuli et al., 2020.

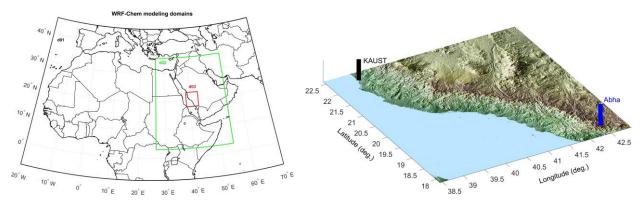


Figure 1. Study area showing the nested domains d01, d02, and d03 used to conduct WRF-Chem model simulations (left), and a zoom in topographic map of the domain d03 over the Red Sea coast (right).

Precipitation over the Red Sea coast is governed by the complex interactions between sea breezes, local topography, and upper-level thermodynamics (Kucera et al., 2010). A moisture convergence boundary is created when the moist air from the sea (driven by sea breezes) that is orographically lifted along the mountain slope meets the dry Harmattan winds originating from the desert, which induces convective cloud development (Kucera et al., 2010; Parajuli et al., 2020).

Land and sea breezes (Simpson, 1994; Miller et al., 2003) are key components of the local atmospheric circulation that affect the rainfall pattern over the Red Sea coast. During the daytime, the coastal plains of the Red Sea become warmer, thus creating a pressure low. The moisture-laden air from the Red Sea then flows towards the low-pressure region, giving rise to sea breezes (Khan et al., 2015; Parajuli et al., 2020). At nighttime, the land cools down often below the sea surface temperature particularly during the winter, which drives land breezes that flow from the land to the sea (Parajuli et al., 2020).

## 2.2. Observations

Our study employed rainfall data from a recently developed algorithm called the Integrated Multi-satellite Retrievals (IMERG) for Global Precipitation Measurement (GPM), which combines data from the GPM constellation with the earlier precipitation estimates from TRMM (Tropical Rainfall Measurement Mission) (Liu et al., 2012) to increase coverage, accuracy, and resolution (Huffman, et al., 2019). We specifically used the level-3 gauge-calibrated multi-satellite precipitation estimate (PrecipitationCal) V06 dataset available daily at a spatial resolution of  $0.1^{\circ} \times 0.1^{\circ}$ .

Additionally, our study used Moderate Resolution Imaging Spectroradiometer (MODIS) level-2 Deep Blue AOD data (Hsu et al., 2004), which are available daily for the whole globe, at a resolution of  $\sim 0.1^{\circ} \times 0.1^{\circ}$ . We used the MODIS AOD collection 6 dataset (Hsu et al., 2013), which features an improved Deep Blue aerosol retrieval algorithm. Data analyses were conducted using the daily average AOD from the Terra and Aqua satellites, which encompassed measurements at  $\sim 10.30$  am and  $\sim 1.30$  pm local time, respectively.

- 202 Model comparisons were also conducted using the aerosol optical depth (AOD) from Aerosol
- 203 Robotic Network (AERONET) (Holben et al., 1998) and aerosol vertical profiles from
- micropulse lidar (MPL) (Parajuli et al., 2020; Lopatin et al., 2021), both from the KAUST station
- 205 (22.3N, 39.1E). We used cloud-screened and quality-assured level-2 AERONET AOD data,
- which were retrieved using the direct sun algorithm. We also use AERONET V3, level-2 aerosol
- 207 number density and particle size distribution (PSD), which were obtained by inversion (Dubovik
- et al., 2000) and provides volume concentrations in 22 bins between a 0.05 and 15 micron radius
- 209 (e.g., Parajuli et al., 2019). The LIDAR aerosol vertical profiles were retrieved using the GRASP
- algorithm following a multi-pixel approach that allows both daytime and nighttime retrievals
- with the use of collocated AERONET data (Dubovik et al., 2011; Parajuli et al., 2020; Lopatin et
- 212 al., 2020).
- 213 Modern-Era Retrospective Analysis for Research and Applications version 2 (MERRA-2) data
- 214 (Rinecker et al., 2011) were also used for model comparison.
- 215 Wind speed data from the KAUST station (Farrar et al., 2009) and radiosonde temperature data
- were obtained from King Abdul Aziz International Airport, Jeddah (41024-OEJN: 21.70N,
- 217 39.18E) available from: <a href="http://weather.uwyo.edu/upperair/sounding.html">http://weather.uwyo.edu/upperair/sounding.html</a>.
- 218 CCN number concentrations were retrieved from VIIRS data following the Automated Mapping
- of Convective Clouds (AMCC) algorithm (Yue et al., 2019) to validate our model results. The
- algorithm extends the novel idea proposed by Rosenfeld et al. (2012) to simultaneously retrieve
- the CCN concentrations and the cloud base updraft speeds using visible and infrared satellite
- data. The number of activated CCN in a convective cloud base can be calculated as a function of
- 223 cloud drop effective radius (varies with altitude as in an adiabatic cloud), which can be retrieved
- from a satellite imager with high-resolution wave bands such as the VIIRS (Visible Infrared
- Imaging Radiometer Suite) onboard the Suomi NPP (National Polar-Orbiting Satellite) (Freud et
- al., 2011; Rosenfeld et a., 2012; Rosenfeld et al., 2014). Similarly, the cloud base updraft speeds
- can be estimated as a linear function of cloud-base height (Zheng and Rosenfeld, 2015;
- 228 Rosenfeld et al., 2016; Yue et al., 2019).
- 229 After identifying the convective cloud cells, the CCN number concentrations from the VIIRS
- satellite were retrieved corresponding to different cloud base heights (~0.5–5.5 km) representing
- 231 different locations and times, which resulted in 14 days of data availability in August 2015. For
- comparison, we first extracted the CCN concentrations for each of the 14 days of satellite
- observations closest to the measurement time from the hourly model output. Next, the 3-d model
- data were interpolated along the latitude, longitude, and altitude (cloud base) of the satellite data
- points. The satellite data represented a range of supersaturations, and therefore only the data that
- fell within the modeled supersaturation range (0.02–1.0%) were extracted for further processing.
- The model CCN number concentrations were available at supersaturations of S = 0.02, 0.05, 0.1,
- 0.2, 0.5, and 1.0%, therefore, for comparison, the model CCN concentrations at the points of
- satellite-retrieved supersaturations were obtained by fitting a 3<sup>rd</sup> order polynomial on the model
- 240 concentrations vs. supersaturations plot at the six model points.

- We also used CCN number concentrations measured using a Droplet Measurement Technologies
- 242 (DMT) CCN counter (Roberts and Nenes, 2005) during a field campaign in the Abha region of
- Saudi Arabia in August 2009 (Kucera et al., 2010). CCN number concentrations were measured
- at a PME (Presidency of Meteorology and Environment) ground station (18.24N, 42.46E) using
- a CCN counter (1–10 micron) at multiple supersaturations (S = 0.2 and 0.7% were used for
- comparison in this study). The model CCN number concentrations at the observation points of S
- = 0.2 and 0.7% were obtained by fitting a 3<sup>rd</sup> order polynomial equation on the model
- concentrations corresponding to the six model supersaturations, as mentioned previously.
- 249 Size-resolved aerosol concentrations were collected from a research aircraft (A Beechcfaft King
- Air B200) during the field campaign (August 2009) with multiple probes including a Particle
- Measuring Systems (PMS) Forward Scatter Spectrometer Probe (FSSP-100, range 3, 0.5–8 µm
- diameter) (Dye and Baumgardner, 1984) and a Passive Cavity Aerosol Spectrometer Probe
- 253 (PCASP) (0.1–3 µm diameter) (Kucera et al., 2010). For particle size comparisons, model data
- were averaged within the range of flight times (06:00 to 10:00 UTC) during the flight days
- 255 (August 11–30, 2009). The model aerosol concentrations at the exact observation point along the
- 256 flight track with a given latitude, longitude, and altitude were determined via 3-d linear
- interpolation of the model grid data.

## 2.3. Model simulations

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## 2.3.1. WRF-Chem model set-up

- 260 High-resolution simulations are usually conducted for several days or weeks due to their high
- 261 computational demand. Simulating full-scale aerosol-climate interactions including indirect
- 262 effects adds further computational burdens. Therefore, considering our purpose, we conducted
- our model simulations using WRF-Chem at a cloud resolving spatial resolution of 1.5×1.5 km
- for an entire month (August), of which the first three days were excluded from data analysis as
- the spin-up period. Most model evaluations and diagnostic calculations were performed for a
- reference year (August 2015) unless otherwise mentioned. Additional validations are carried out
- for August 2009 because aerosol size distributions and microphysical data from a field campaign
- were available during this period.
- To obtain statistically meaningful calculations of the dust effect on rainfall, 10 years of
- simulations (2006–2015) were conducted specifically for August of each year. The simulations
- were conducted over the Red Sea coast outlined by the nested domain d03 (Fig. 1), in which the
- parent domains d02 (4.5×4.5 km) and d01 (13.5×13.5 km) cover the Arabian Peninsula/northeast
- 273 Africa and the MENA region, respectively. August was chosen because during this month the
- Red Sea coast receives abundant rainfall and sea breezes are relatively strong, which plays an
- important role in moisture transport over the coastal plains (Mostamandi et al., 2021).
- We use 6-hourly ECMWF operational data (F640) as initial and boundary conditions, which is
- one of the most accurate reanalysis data assimilating several observations. The sea surface
- temperature (SST) was also updated every 6 hours using the skin temperature field from the

- same ECMWF dataset. We continue to use this data because it has worked well in our region
- 280 (e.g., Parajuli et al., 2020; Mostamandi et al., 2022).
- To better represent cloud processes, it is important to use well-developed aerosol chemistry and
- microphysical schemes (Zhang et al., 2016). Here, we adopted the Model for Simulating Aerosol
- Interactions and Chemistry (MOSAIC) scheme (Fast et al., 2006; Zaveri et al., 2008; Zhao et al.,
- 2011) with eight sectional aerosol bins. The MOSAIC scheme is computationally intensive and
- generates large outputs, as all aerosol concentrations are reported for the eight MOSAIC bins for
- interstitial and in-cloud aerosols. Our simulations used chem\_opt = 10, which couples the CBMZ
- (carbon bond mechanism) gas phase chemical mechanism (Zaveri and Peters, 1999) with the
- MOSAIC aerosol scheme, and is one of the most developed chemical mechanisms within WRF-
- 289 Chem.
- 290 MOSAIC includes both interstitial and cloud-borne aerosols, cloud-aerosol interactions,
- activation/resuspension, nucleation, coagulation, aqueous chemistry, and wet removal (Fast et
- al., 2006; Gustafson et al., 2007). Here, we particularly focused on accurately representing dust
- aerosols because it is a specific characteristic of the region. MOSAIC includes all aerosols of
- interest including dust (included in other inorganic aerosols or "oin" because it is chemically
- inert), sea salt, sulfate, BC, and OC (Zhao et al., 2011; Zaveri et al., 2008). Within our model
- setup, aerosols affect clouds and clouds also affect aerosols, e.g., through in-cloud scavenging
- and by forming sulfate aerosols (Yang et al., 2012). Aerosol particles are assumed to be
- internally mixed and Köhler's theory is used to relate the aerosol size distribution and
- composition to the activated CCN as a function of the maximum supersaturation (Abdul-Razzak
- and Ghan, 2002; Yang et al., 2012). Aerosol activation from the interstitial to in-cloud state is
- 301 calculated based on a maximum supersaturation determined from a Gaussian spectrum of updraft
- velocities and internally mixed aerosol properties within each size bin (Chapman et al., 2009).
- When the hydrometeors evaporate, particles return to the original interstitial phase (Yang et al.,
- 304 2012).
- In MOSAIC, dust is treated as part of the internal mixture used across all aerosol species. All gas
- and aerosol processes (e.g., sulfate formation) operate within the mixture but dust itself does not
- take part in the chemical reactions, although MOSAIC includes the chemical reaction of CaCO<sub>3</sub>
- 308 (a constituent of dust) with acids when the proportion of CaCO<sub>3</sub> is provided (Zaveri et al., 2008).
- Dust itself is considered weakly hydrophilic in WRF-Chem with a hygroscopicity of 0.14
- 310 (Kawecki and Steiner, 2018). However, chemical processes within the aerosol mixture may
- affect the activation of CCN/IN, which ultimately affects precipitation (Abdelkader et al., 2017;
- 312 Klingmüller et al., 2019). This is because interstitial aerosols are partially activated as CCN (in-
- cloud or cloud-borne aerosols) at each grid cell and time step by using a volume-weighted bulk
- 314 hygroscopicity from all aerosol species (e.g., dust, sulfate, oin, sea salt) within each size bin
- 315 (Kawecki and Steiner, 2009; Tuccella et al., 2015) as a function of the environmental
- supersaturation (Abdul-Razzak & Ghan, 2000). Reduction due to chemical and physical (e.g.,
- coagulation) processes, as well as particle growth, will also cause particles to shift across
- different bins (Abdul-Razzak and Ghan, 2002; Chapman et al., 2009). The volume-average
- 319 refractive index within a given size bin is used to calculate the optical properties using Mie

theory (Tuccella et al., 2015). Therefore, dust can affect both direct and indirect aerosol feedback.

For cloud microphysics, we used the Morrison double-moment scheme (Morrison et al., 2009), which is one of the commonly used microphysics options in WRF. This scheme allows for the prognostic treatment of two moments of the hydrometeors (mixing ratios and number concentrations) for five species (cloud droplets, cloud ice, snow, rain, and graupel), while calculating key microphysical processes such as autoconversion, collection between hydrometeor species, melting/freezing, and mass transfer from snow to ice (Yang et al., 2011). Compared to the single-moment scheme, which only predicts mixing ratios, the double-moment approach can better represent precipitating convective clouds particularly during heavy precipitation episodes (Lim et al., 2010). The size distribution of hydrometeors is prescribed from the predicted bulk number and mass mixing ratios of different hydrometeor types in an assumed gamma size distribution (Gao et al., 2016). The prognostic treatment of the CCN distribution improves the simulated cloud properties and radiative effects compared to a prescribed uniform CCN distribution, albeit at an increased computational cost (Gustafson et al., 2007). The physics and chemistry namelist options used in our WRF-Chem set up is summarized in Table 1.

Table 1. Physics and chemistry namelist settings used in WRF-Chem.

Description		Namelist Options	References		
Physics	Microphysics	mp_physics = 10	Morrison double-moment scheme (Morrison et al., 2009)		
	Planetary Boundary Layer (PBL) scheme	bl_pbl_physics = 1	Yonsei University Scheme (YSU) (Hong, et al., 2006)		
	Surface layer physics	sf_sfclay_physics = 1	Revised MM5 Monin-Obukhov scheme (Jimenez, renamed in v3.6)		
	Land Surface Model	sf_surface_physics = 2	Unified Noah land surface model (Tewari et al., 2004)		
	Cumulus parameterization	cu_physics = 0 (turned off)			
	Radiative transfer model	ra_lw_physics = 4, ra_sw_physics = 4	Rapid Radiative Transfer Model (RRTMG) for both shortwave and longwave (Iacono et al., 2008)		
Chemistry	Chemistry option	chem_opt = 10 (8)	CBMZ chemical mechanism with MOSAIC 8-bin sectional aerosol scheme (MOSAIC 8-bin aerosol scheme)		
	Dust scheme	dust_opt = 13	GOCART dust emission scheme coupled with MOSAIC aerosol scheme		
	Photolysis scheme	phot_opt = 1	Madronich photolysis (TUV)		

We included sea salt emissions using a parameterization based on 10-m wind speed (Monahan et al. 1986; Gong, 2003). Anthropogenic aerosol emissions were also included in our simulations.

- The emission of sulfur dioxide (SO<sub>2</sub>), which chemically transforms to sulfate aerosols, is
- prescribed using OMI (ozone monitoring instrument)-HTAP (Task Force Hemispheric Transport
- Air Pollution) data (Janssens-Maenhout et al., 2015) for 2015 developed by the National
- Aeronautics and Space Administration (NASA), as in Parajuli et al., 2020. Other emissions
- including BC and OC as well as SO<sub>2</sub> ship emissions are prescribed using the EDGAR (Emission
- Database for Global Atmospheric Research) database v4.3.2 available at a  $0.1^{\circ} \times 0.1^{\circ}$  resolution
- 346 (Crippa et al., 2018).
- 347 The cloud-aerosol interactions on shortwave (SW) radiation are represented by linking the cloud
- 348 droplet number concentration predicted by the microphysics scheme with the RRTMG
- shortwave radiative scheme. Aerosol direct radiative effects through longwave (LW) are also
- calculated using the RRTMG scheme (Iacono et al., 2000; Zhao et al., 2011). Aerosol indirect
- effects are calculated following Gustafson et al. (2004) to include both first and second indirect
- effects. Aerosol particles acting as CCN are coupled with the Morrison microphysics scheme,
- 353 which allows aerosols to affect the cloud droplet number and cloud radiative properties, while
- also allowing clouds to alter aerosol size and composition through aqueous processes and wet
- scavenging (Gustafson et al., 2004). Note that we explicitly resolved the updrafts using a cloud-
- resolving spatial resolution in the inner domain (d03).
- In MOSAIC, aerosol emissions are independently calculated within its own module in which the
- dust emission is calculated using the original GOCART dust scheme (Ginoux et al., 2001) as
- described by Zhao et al. (2010), which is called by setting dust\_opt = 13. Note that this option
- was not implemented in the version of WRF-Chem used herein (3.8.1), but we ported this change
- into our setup (within the subroutine module mosaic addemiss.F). We also accounted for
- 362 gravitational settling of aerosols in this work similar to Ukhov et al. (2021), which has not been
- implemented for the MOSAIC scheme in WRF-Chem.
- To represent dust sources, we used the topographic source function developed by Ginoux et al.
- 365 (2001), which is calibrated to match the simulated AOD with observed AOD as in Parajuli et al.
- 366 (2020). To accurately simulate the effect of dust on cloud formation and rainfall, it is important
- to ensure that the simulated AOD is consistent with the observations. The AOD is highly
- sensitive to the size distribution of the dust particles (Ukhov et al., 2021). Therefore, we
- iteratively adjusted the emission size distribution to match the volume size distribution of
- aerosols obtained from AERONET as described by Ukhov et al. (2020). There are two places in
- which the dust size distributions can be adjusted within WRF-Chem. First is the size distribution
- of the "emitted dust" prescribed in five bins within the GOCART dust scheme, which is
- specified in phys/module data gocart dust.F. The second is the dust size fractions used by the
- 374 MOSAIC aerosol scheme (8 bins) specified in chem/module\_mosaic\_addemiss.F. Both of these
- size fractions were modified to obtain a closer fit to the AERONET volume size distributions.
- 376 The modified and the default size fractions are presented in Table S1 and S2.

## 2.3.2. Experiments

- Designing an appropriate experiment to determine the effect of dust in a model is challenging.
- For example, one can consider a 'baseline' simulation with 'clear' conditions without any

380 aerosols and then add dust to see how it affects the rainfall. However, 'clear' conditions are 381 hardly ever observed and thus it is unrealistic to design an experiment with zero rainfall. 382 Therefore, we first considered a real-world scenario as a baseline by including all aerosols (dust, 383 sea salt, sulfate, organic, and black carbon) similar to Klingmüller et al. (2019) (Table 2, F1). This baseline experiment (all aer) is calibrated against MODIS/AERONET AOD data by 384 385 changing the dust emission fractions and dust size fractions as mentioned previously in section 386 2.3.1. The results of this baseline simulation were compared against observations, which exhibited a realistic aerosol distribution in terms of optical depth, PSD, and vertical profiles, as 387 well as the rainfall pattern (see section 3.2.1). The second experiment is the 'no dust' 388 experiment (Table 2, F2) in which we assigned 'zero' values to the source function in the dust 389 emission equation (Parajuli et al., 2019), thereby effectively eliminating dust emissions from all 390 grid cells in all three domains. Both of the aforementioned experiments include aerosol-radiation, 391 392 aerosol-cloud, and microphysical interactions, and therefore they represent the total effect (both direct and indirect) of aerosols. From a practical perspective, the all\_aer experiment represents a 393 'real world' scenario in which all aerosols including dust are included to obtain a realistic rainfall 394 pattern, whereas the no\_dust experiment represents rainfall in an idealized, dust-free world. We 395 also conducted two additional experiments (F3 and F4) to separate the aerosol direct effects from 396 indirect effects. In these two simulations, we restricted aerosol-radiation interactions 397 (aer rad feedback = 0), both in all aer (F3) and no dust (F4) cases, while keeping all the model 398 399 physics and domain settings the same as in the previous two experiments. Therefore, these latter two experiments essentially represent the indirect effects only. 400

The total effect ( $\Delta_{Tot}$ ), indirect effect ( $\Delta_{Indir}$ ), and direct effect ( $\Delta_{dir}$ ) of dust were then calculated with the following equations:

$$403 \qquad \Delta_{\text{tot}} = \text{F1-F2} \tag{1}$$

$$404 \qquad \Delta_{\text{indir}} = \text{F3-F4} \tag{2}$$

405 
$$\Delta_{\text{dir}} = \Delta_{\text{Tot}} - \Delta_{\text{Indir}} = (F1 - F2) - (F3 - F4)$$
 (3)

# 406 Table 2. WRF-Chem model experiments

Aerosol species	Experiments with		Experiments with		Experiment	s with direct	Experiments with direct	
	both direct and		indirect effects only		effects only <sup>a</sup>		effects only but without	
	indirect effects						shortwave dust absorption <sup>b</sup>	
	F1	F2	F3	F4	F5	F6	F7	F8
	all_aer	no_dust	all_aer,	no_dust,	all_aer,	no_dust,	all_aer,	no_dust,
			no_direct	no_direct	no_indirect	no_indirect	no_indirect,	no_indirect,
							no_absorb	no_absorb
Dust	yes	no	yes	no	yes	no	yes	no
Sea salt	yes	yes	yes	yes	yes	yes	yes	yes
Anthropogenic (sulfate, OC, and BC)	yes	Yes	yes	Yes	yes	Yes	yes	Yes

<sup>a, b</sup> diagnostic experiments (see section 3.3.2).

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The physical processes through which dust affects breezes are difficult to understand when both direct and indirect effects are active. Additionally, the indirect effects are more complex and their representation in the model is accompanied by a high degree of uncertainty. For these

- reasons, we additionally analyzed the direct effects of dust alone from an independent pair of
- simulations involving the dust direct effects only (F5, F6, Table 2) [i.e., without considering the
- indirect effects (chem\_opt = 8)].
- The dust direct effect is caused by both scattering and absorption of radiation in the SW bands.
- Therefore, to further understand the relative importance of shortwave cooling and warming
- resulting from direct effects, we conducted an additional pair of simulations (F7, F8, Table 2), in
- which we restricted the shortwave absorption of radiation by dust in the previous experiments F5
- and F6. To achieve this, we changed the imaginary part of the refractive index for dust from the
- 419 default value of 0.003 to 0.
- 420 The aforementioned effects were calculated for the domain-average daily-accumulated rainfall
- over the study period of August 4-31 for each year between 2006–2015 as the difference of
- rainfall amounts between the experiments all\_aer (x) and no\_dust (y). The statistical significance
- of the effect was determined from the entire 10 years of simulations by creating a uniform
- sample of domain-average daily-accumulated rainfall data consisting of 280 (10×28 days) data
- points. Statistical analysis were then conducted by separating the data into two categories:
- extreme and normal rainfall events. This separation is meaningful because extreme rainfall
- events are more influenced by synoptic features whereas normal rainfall events are more
- 428 influenced by diurnal-scale sea breeze circulation. High and low rainfall regimes are also known
- 429 to respond differently to a given aerosol loading (Li et al., 2011; Choobari, 2018). Extreme
- rainfall events were separated from normal rainfall events using the 90<sup>th</sup> percentile value of the
- rainfall data from F1 experiment, which was 1.33 mm. Specifically, days with domain-average
- daily-accumulated rainfall values greater than or equal to 1.33 mm were considered extreme
- rainfall events, whereas those with values below 1.33 mm were considered as normal rainfall
- events. With this criterion, the effective numbers of samples (days) available for statistical
- analysis were 31 and 243 for extreme and normal rainfall events, respectively. Using MATLAB,
- 436 the statistical significance of the effects was determined with the Wilcoxon signed-rank test
- 437 (Hollander and Wolfe, 1999; Gibbons and Chakraborti, 2011), which is recommended for data
- with non-normal distributions such as rainfall. The null hypothesis of the test considered that the
- difference [all aer (x) no dust (y)] comes from a distribution with zero median. The same
- 440 method was applied to identify significant effects among other parameters including 2-m air
- temperature, 10-m winds, and 2-m water vapor mixing ratio.

## 3. Results

# 3.1. Model validation

- Here we present a comprehensive evaluation of WRF-Chem from multiple perspectives,
- including diurnal cycles, vertical profiles, spatial distribution, and column-averaged properties,
- before using the model for answering our research questions listed in section one. All results in
- this section correspond to the 'real world' case (all\_aer) unless otherwise stated.

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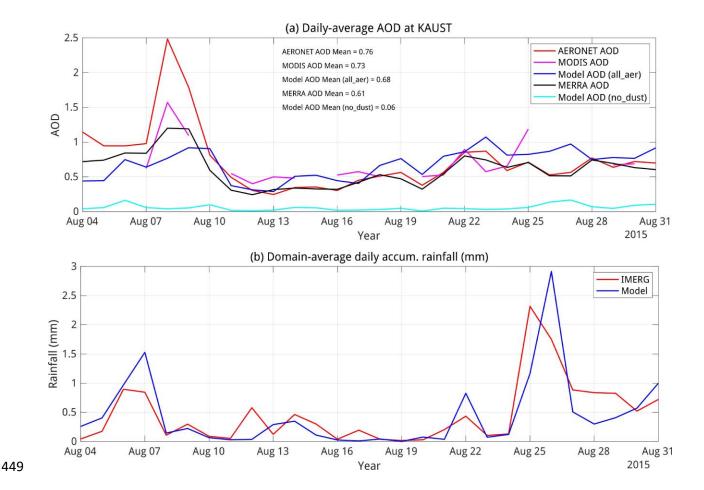


Figure 2. (a) Simulated daily-mean total AOD as compared to MODIS and MERRA-2 data at KAUST and (b) simulated daily-accumulated rainfall (mm) as compared to IMERG data, averaged over the study domain (d03).

Figure 2a shows the domain-averaged (d03) time series of model-simulated AOD (all\_aer case) during the study period compared to AERONET, MODIS, and MERRA data. The model AOD generally agrees well with both datasets although the peaks during the dust storm (August 8–9) tend to be underestimated. The average AOD corresponding to the no\_dust case is also presented in Fig. 2a to provide a sense of how much AOD is increased with the addition of dust.

The time-series profile of the model-simulated daily-accumulated rainfall follows the trend in the IMERG data (Fig. 2b). The rainfall peaks including the largest rain event during the study period (~ Aug 25, 2015) were reproduced reasonably well. Some discrepancy is expected because there are usually fewer microwave imager observations included in the IMERG data in the tropical/subtropical region.

Fig. S1 illustrates comparison between the simulated aerosol volume size distribution and the corresponding AERONET size distribution. The two distributions agreed well, especially in the finer mode that is centered at ~ 0.1 microns, which is critical from the perspective of the contribution of aerosols in the formation of CCN/IN. It is also important to note that this finer mode was non-existent in the model when using the default aerosol size distribution. Therefore,

we adjusted both dust emission fractions (Table S1) as well as MOSAIC dust size fractions (Table S2) so that the resulting size distribution matched the AERONET data more accurately, as mentioned earlier.

Figure 3 shows the model-simulated vertical profiles of air temperature (left) and aerosol concentrations (right) compared to key observations. The simulated temperature profile was generally consistent with the radiosonde observations as well as ECMWF operational analysis with some discrepancies at the cloud-level heights and near the surface. The temperature at the site does not show large daytime and nighttime variations. Figure 3 also shows the profiles of aerosol concentrations at KAUST averaged over the study period. The profiles of the model, MERRA-2, and LIDAR data show some similarity but the model and MERRA-2 generally overestimate concentration by about 50% compared to LIDAR data. The mismatch is greater near the surface.

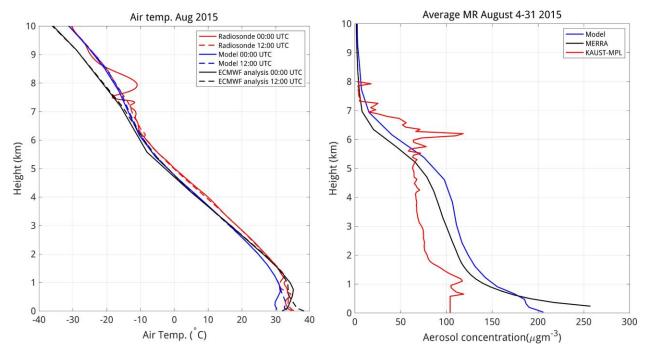


Figure 3. Average vertical profiles of air temperature (left) and aerosol concentrations (right) compared to reference observations. The air temperature profile was compared against ECMWF operational analysis and radiosonde station data at King Abdul Aziz International Airport, Jeddah (21.7N, 39.18E) during the daytime (12:00 UTC) and nighttime (00:00 UTC) by averaging during the study period (4–31 Aug 2015). Simulated aerosol mixing ratios were compared against MERRA-2 reanalysis and MPL LIDAR station data at KAUST (22.30N, 39.10E) for 4–31 Aug 2015.

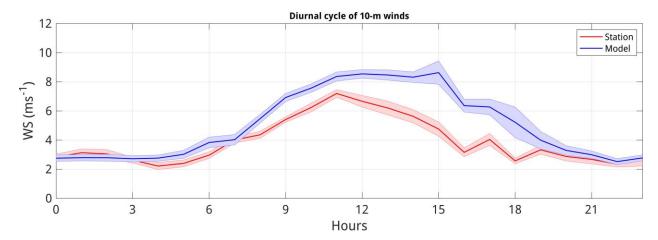


Figure 4. Diurnal profile of the model-simulated wind speeds compared to station data over the study period (Aug 4–31, 2015) at KAUST (22.30N, 39.10E). The shading represents the standard error of the mean calculated from the hourly wind speeds.

Figure 4 shows the wind speed diurnal profile in the model and the observations at KAUST during the study period (Aug 4-31, 2015), which were reasonably consistent. The model overestimated wind speeds mainly during the afternoon, which is when the flow is more chaotic as the sea breezes meet the northeasterly harmattan winds. The peak winds occur at  $\sim$  12:00 UTC (15:00 local time), which correspond to the sea breeze maxima. The root mean squared error (RMSE) of the simulated wind speed is  $1.18 \, m \, s^{-1}$ , which is 29.6% of the observed mean. This level of discrepancy is reasonable since anemometers also typically have uncertainty up to  $\pm 0.5 \, m \, s^{-1}$ .

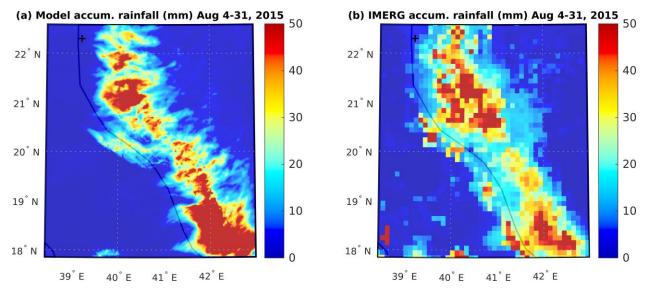


Figure 5. Spatial distribution of accumulated rainfall (Aug 4–31, 2015) (a) model (b) IMERG data. The location of KAUST is marked by a plus sign.

Figure 5 shows the spatial distribution of accumulated rainfall during the study period over the study domain (d03) compared to the IMERG data, both of which were reasonably consistent

with each other. The rainfall pattern follows the length of the Sarawat Mountains stretching north to south. As the model shows, larger amounts of rainfall occurs in the areas with higher mountains. In the inland areas away from coast, rainfall distribution is also determined by synoptic rain events. For example, during the period of comparison, there were two events (August 7 and August 26) categorized as extreme rainfall events. This could be the reason why the IMERG data shows stronger rainfall in the north than in the south. The model has larger rainfall bias during such extreme rain events (Fig 2b) so the spatial distribution appear somewhat inconsistent with the IMERG data. However, note that IMERG data also show high RMSE (up to 30 mm) in this region compared to rain gauge measurements (Mahmoud et al., 2018).

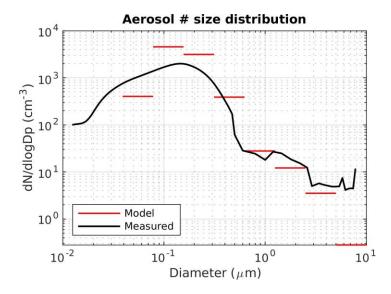


Figure 6. Comparison of model-simulated aerosol number concentrations (cm<sup>-3</sup>) corresponding to MOSAIC size bins compared to flight measured values during the field campaign of August 2009. The widths of the red lines represent the widths of the eight MOSAIC bins. The model data (8-bins) were extracted at the exact latitude, longitude, and altitude corresponding to the flight data by 3d linear interpolation and averaged over the days available (Aug 11–30, 2009) during the time of measurements (~06:00 to 09:00 UTC).

Figure 6 shows the aerosol number size distributions compared to the flight data. Results indicate that 8-bin MOSAIC sectional aerosol scheme can represent the atmospheric aerosol size distribution well. The peak number concentration occurs at  $\sim 0.15~\mu m$  diameter in both model and flight data. Although the size distribution patterns appear similar in model and observation, the differences in number concentrations are high particularly at 0.06- $0.2~\mu m$  (note the logarithmic scale).

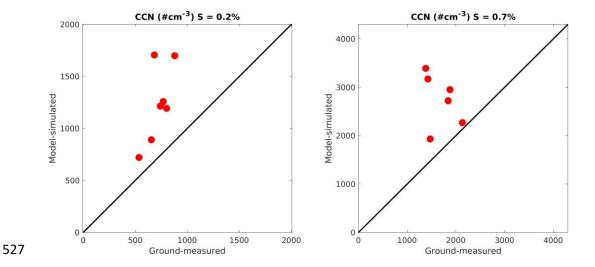


Figure 7. Comparison between model-simulated CCN number concentrations and ground-measured values at the PME station (18.24N, 42.46E) at supersaturations of 0.2 and 0.7%. The CCN number concentrations correspond to the ground station at Abha. The plotted point represents the average value for different days of measurement from August 11–30, 2009 approximately from 02:00 to 08:00 UTC.

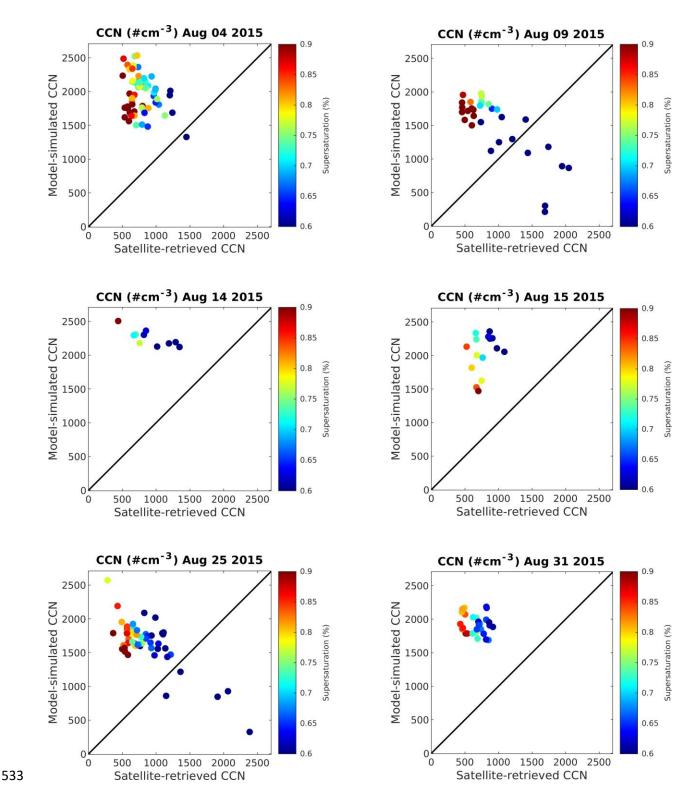


Figure 8. Model-simulated vs. VIIRS satellite-retrieved CCN number concentrations for six days of available data within the study domain during the August 2015 study period. The data points represent CCN number concentrations at the cloud base of existing convective cells on different days over the study domain (d03).

Figure 7 shows the comparison between the CCN number concentrations obtained from the 538 539 model and from ground station at two super-saturations measured during the Aug 2009 field 540 campaign. CCN number concentrations are generally overestimated by the model at both 541 low/high super-saturations by up to a factor of two. Figure 8 shows the comparison between the model-simulated CCN number concentration and the 542 satellite-retrieved data from VIIRS. Similar to the previous comparison, the model overestimates 543 CCN number concentration compared to the VIIRS data also by approximately a factor of two. 544 Since the rainfall amount is reasonably well simulated (Figs 2b and 5), the overestimation of 545 546 CCN concentration suggests that CCN is not a limiting factor for rain formation in the study region. These findings are reasonable because the study region is not aerosol-limited, and 547 therefore cloud growth and rainfall do not strongly depend on the changes in CCN 548 concentrations, unlike in other aerosol-limited areas (Koren et al., 2014). 549 3.2. Rainfall diagnostics 550 551 This section presents the diagnostic results of the key parameters related to the rainfall process to demonstrate the accuracy of our rainfall calculations. 552 Figures 9a and 9b show the rainwater mixing ratio in two longitudinal cross-sections, one 553 passing through KAUST (22.3N, 39.10E), a relatively dry area, and another through Abha 554 (18.25N, 42.51E), a region known for rainfall abundance. Maximum rainfall occurs in the 555 evening at 15:00 UTC (6 pm local time) at both locations in the convergence boundary (i.e., 556 where the sea breezes meet with Harmattan winds). The rainfall is limited to a ~6 km height 557 around the hilly terrain. There is less rainfall near the coast, where the majority of the population 558 559 resides, because the rain evaporates well before it reaches the ground due to high surface

temperature. The moisture-laden sea breezes can be prominently seen during the day within ~1.5

km height. Furthermore, these sea breezes strengthen as they travel upslope over the Sarawat

Mountains (black shades). The dry northeasterly Harmattan winds, which usually bring dust

from the desert towards the Red Sea during dust storms (Prakash et al., 2014; Parajuli et al.,

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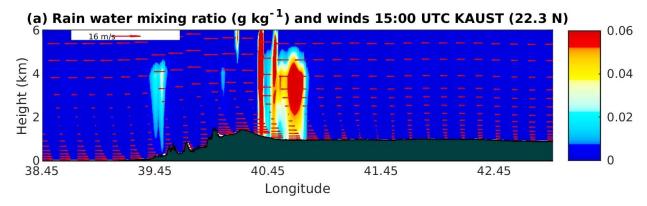
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2020) can be seen at a ~3–6 km height.



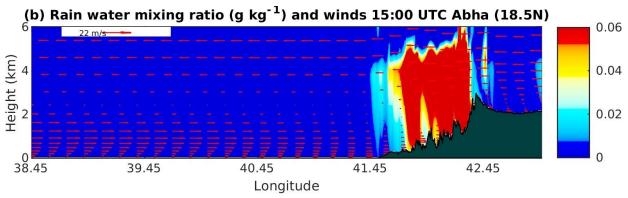
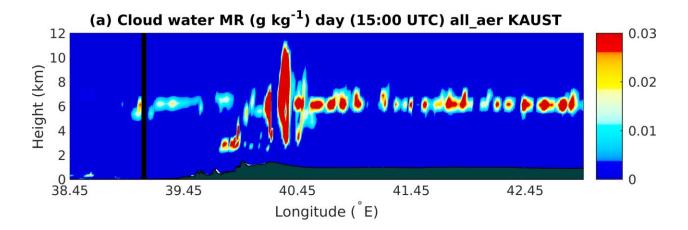


Figure 9. Rainwater mixing ratio and wind vectors averaged at 15:00 UTC over the study period (August 4–31, 2015) at two longitudinal cross-sections passing through (a) KAUST and (b) Abha.

Figure 10 shows the cloud water mixing ratio profiles at the longitudinal profiles passing through KAUST and Abha at rainfall maxima (15:00 UTC), which provides insights into the vertical position and extents of the clouds. Most clouds are observed at a ~5–6 km height at both locations, suggesting that the warm cloud processes are responsible for causing rainfall in the region. The height of deeper, convective clouds ranges from ~3 to 10 km. The clouds are generally deeper where rainfall is more intense, which suggests the existence of local convective activity. The horizontal location of clouds are consistent with the locations of rainfall maxima in Fig. 9.

Although more clouds are observed over KAUST (Fig. 10a) than over the Abha region (Fig. 10b), more rainfall occurs over Abha because the steeper topographic slope over the Abha region facilitates stronger orographic lifting of the moist air mass, which converts more easily into rain. The temperature over the Abha region is cooler than that over KAUST region, consequently the sea breezes over the Abha region are weaker than at KAUST (Figure 9). Thus, the maximum rainfall occurs in the front (lee) side of the mountains in Abha (KAUST) region. Additionally, there is more evaporation over the KAUST region due to its higher surface temperature compared to the Abha region, which reduces the amount of rainfall that reaches the ground but contributes to more cloud formation.



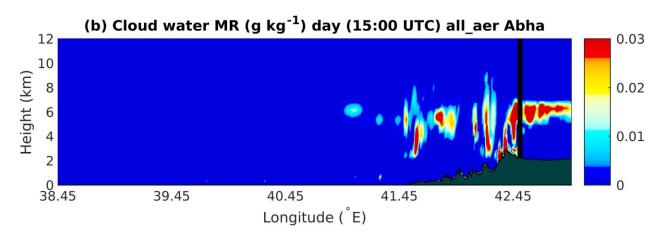


Figure 10. Profile of cloud water mixing ratio for a longitudinal section passing through (a) KAUST and (b) Abha, averaged for August 4–31, 2015 at 15:00 UTC. The location of KAUST and Abha City are indicated with black vertical lines.

Figure 11 shows the spatial distribution of the CCN number concentrations at a 0.2% supersaturation for all\_aer (F1), nodust (F2) and their difference (F1-F2). In the absence of dust, CCN # concentrations are generally uniform throughout the domain (Fig. 11b). There is up to ten-fold increase of CCN after addition of dust (Fig. 11a), making dust the major contributor of total CCN. The simulated CCN # concentrations in no\_dust case are in the range of ~40–50 (Fig. 11b), which are too low compared to the observed CCN # concentrations, which are roughly in the range of 500–1000 in observations (Figs. 7 and 8). Although model CCN # concentrations are overestimated compared to observations as discussed previously, it is clear that addition of dust brings the CCN # concentrations much closer to observations (Fig. 11a) compared to the case without dust (Fig. 11b).

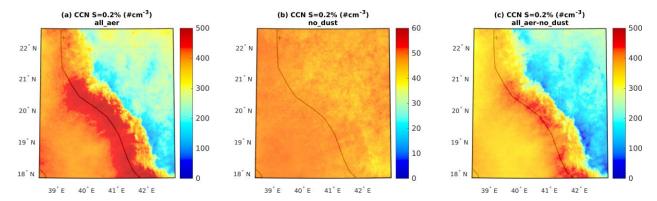


Figure 11. CCN number concentrations at 0.2% supersaturation at a cloud-level height (570 hPa) averaged at 15:00 UTC for August 4–31, 2015 (a) all\_aer (F1), no\_dust (F2), and (c) the difference F1-F2.

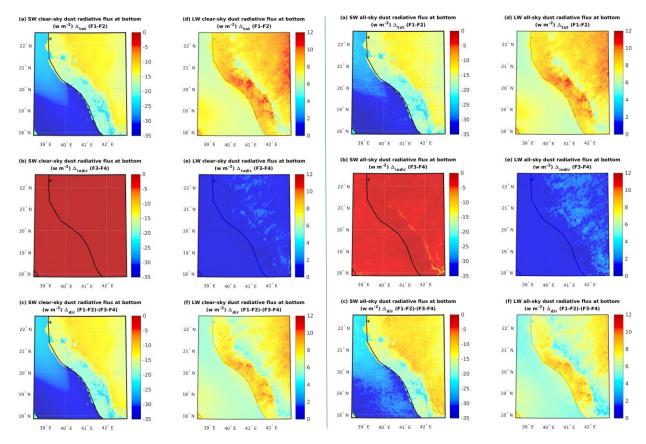


Figure 12. Effects of dust on the clear-sky (left two columns) and all-sky (right two columns) radiative fluxes at the bottom of the atmosphere calculated from 10-year August average WRF-Chem simulations.

To accurately evaluate the effect of dust on rainfall, it is important to ensure that the dust effects on radiative fluxes are reasonably well simulated. To gain insights into the relative importance of

- dust and clouds on radiative budget, the effects of dust on radiative fluxes for clear-sky (without
- clouds) and all-sky (with clouds) conditions were calculated separately.
- Figure 12 (left two columns) shows the effect of dust on clear-sky radiative flux in terms of total,
- 616 indirect, and direct effects at the bottom of the atmosphere. Dust decreases the radiative flux that
- reaches the surface due to SW scattering and absorption, and therefore the direct effect is
- 618 negative, which in turn governs the total effect. The effect of dust on LW radiative flux is
- positive because dust absorbs LW radiation. The clear-sky indirect effects are non-zero but very
- small compared to the direct effects. These small indirect effects arise due to feedback processes
- 621 that cause small perturbations in cloud properties. Figure 12 (right two columns) shows the
- effects of dust on all-sky (i.e., with clouds) radiative flux. The all-sky radiative fluxes exhibited
- small changes in the indirect and direct effects due to the clouds both in the SW and LW bands.
- The magnitude and sign of change in SW and LW dust radiative fluxes are consistent with the
- results of Klingmüller et al., 2019.

# 3.3. Dust effect on rainfall

# 3.3.1. Dust direct and indirect effects

- Figure 13 (a, b, c) shows the dust effects on 2-m air temperature. Dust induces a total cooling
- effect over the lands (Fig. 13a), which appear to be dominated by the direct effects (Fig. 13c)
- rather than the indirect effects (Fig. 13b). Dust also induces warming in some inland areas and
- over the ocean, which is affected by both the indirect and the direct effects (Figs. 13b and 13c).
- The total and direct effects were largely statistically significant (black dots) but the indirect
- effects were significant only over the lands.
- In turn, the cooling and warming of the land surface affects the winds. Figures 13 (d, e, f) shows
- the effects of dust on surface winds. As with surface temperature, the direct effects had a
- stronger influence compared to the indirect effects on winds as well. The direct effects on winds
- were statistically significant along the coast, which confirms the impact of dust's direct effects
- on sea breezes.

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- A high positive moisture anomaly was observed over the land (Fig. 13 g, h, i), particularly with
- the direct effect (Fig. 13i). The moisture increase over the land caused by the direct effect is
- 641 further amplified by the weaker indirect effect making the total effect more widespread. The
- increased moisture due to the direct and total effect were both statistically significant. The reason
- for the positive moisture anomaly over the land in relation to sea breeze is explained in the
- section below.

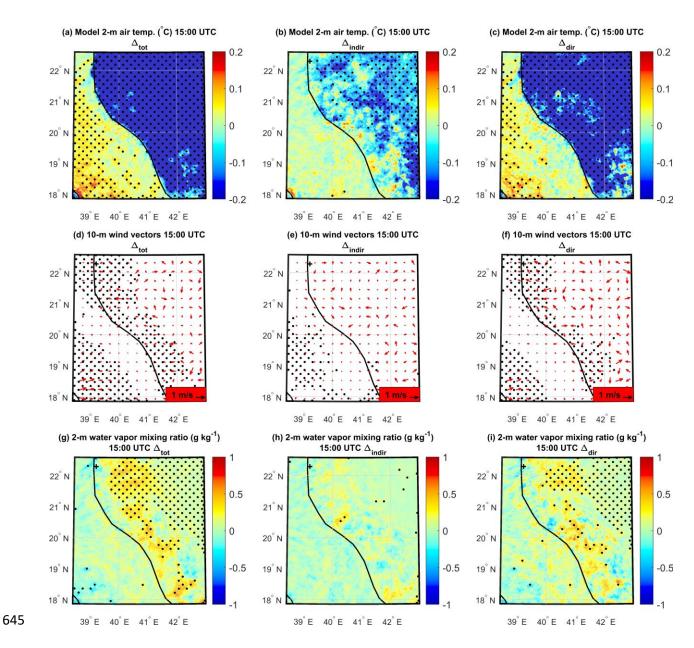


Figure 13. Spatial patterns of the  $\Delta_{tot}$  (F1-F2),  $\Delta_{indir}$  (F3-F4), and  $\Delta_{dir}$  {(F1-F2)-(F3-F4)} for 2-m air temperature (a, b, c), 10-m winds (d, e, f) and 2-m water vapor mixing ratio (g, h, i) averaged at the time of rainfall maxima (15:00 UTC) over the entire study period (August 2006–2015). Black dots represent areas where the effect is statistically significant at 95% confidence interval.

Table 3. Total, indirect, and direct effects of dust on rainfall for extreme and normal rainfall events.

Case	Total effect (Δ <sub>tot</sub> )			Indirect effect $(\Delta_{indir})$			Direct effect $(\Delta_{dir})$		
	Domain average rainfall (mm) F1 all_aer	Domain average rainfall (mm) F2 no_dust	Effect (F1-F2) mm (%)*	Domain average rainfall (mm) F3 all_aer	Domain average rainfall (mm) F4 no_dust	Effect (F3-F4) mm (%)*	all_aer	no_dust	Effect (F1-F2) - (F3-F4) mm (%)*
Extreme rainfall events	2.404 Signif	2.264 ficant?	0.140 (6.05) yes	2.347 Signif	2.242 icant?	0.105 (4.54) yes	0.057 Signif	0.022	0.035 (1.51)
	(p-value)		(0.004)	(p-value)		(0.048)	(p-value)		(0.367)
	0.287	0.290	-0.003	0.306	0.292	0.014	-0.019	-0.002	-0.017
Normal			(-1.02)			(4.76)			(-5.78)
rainfall events		ficant? alue)	no (0.083)	Signif (p-va		yes (<0.0001)		icant? alue)	yes (<0.0001)

<sup>\*</sup>Percentage of average rainfall (F1, F2, F3, and F4).

Table 3 summarizes the effects of dust on rainfall for extreme and normal rainfall events calculated in terms of a 10-year average daily-accumulated rainfall over the study domain (d03) during the month of August. For the extreme-rainfall events, the total effect (0.140 mm), indirect effect (0.105 mm), and direct effect (0.035 mm) were all positive (enhancement). The total, indirect, and direct effects in terms of percentage of average rainfall are 6.05, 4.54, and 1.51%, respectively. The total and indirect effects are significant at the assumed 5% significance level but not the direct effect. The direct effect, although small and statistically insignificant, contributed to the larger indirect effect making the total effect statistically significant.

For the normal-rainfall events, the change in rainfall amount due to total, indirect, and direct effects are -0.003, 0.014, and -0.017 mm, respectively. Both the rainfall changes from the indirect effect (positive) and the direct effect (negative) were statistically significant at the assumed 5% significance level. The total, indirect, and direct effects in terms of percentage of average rainfall were -1.02, 4.76, and -5.78%, respectively. The indirect and direct effects, which are opposite in sign and nearly equal in magnitude, cancel each other out making the total effect small and statistically insignificant. However, note that the total effect could be considered significant if the significance level was increased to 10% (p = 0.083).

Although the domain-average rainfall change caused by dust averaged over multiple years (2006–2015) appeared small, the effect can be large at different locations and times. For example, for the year 2015, the accumulated rainfall changes (total effect) for August at the grid point maxima and minima within the domain were 92.0 mm (190.0%) and -70.0 mm (-46.6%), respectively.

The total, indirect, and direct effects were also calculated for the total number of wet days (average daily-accumulated rainfall  $\geq 1$  mm). The number of wet days increased by three due to

the indirect effects but decreased by four by the direct effects, resulting in a total net increase of one day.

Table 3 summarizes the dust direct effect ( $\Delta_{dir}$ ) calculated using the standard method mentioned in section 2.3.2 [i.e., by subtracting the indirect effect ( $\Delta_{indir}$ ) from the total effect ( $\Delta_{tot}$ )]. To verify the validity of this method, we compared the results obtained from this method with the direct effect calculated from direct-effects-only experiments (F5, F6, Table 2) for Aug 2015. The direct-effects-only experiments allow us to more directly calculate effects of dust on rainfall induced by land surface cooling or warming using the same model but with simpler settings without the indirect effects. The dust direct effect calculated from these direct-effects-only simulations (-0.046 mm) agreed very well with the results obtained from the standard method (-0.045 mm). The consistency of these two results confirms the robustness of our results.

## 3.3.2 Physical mechanism of the dust direct effects

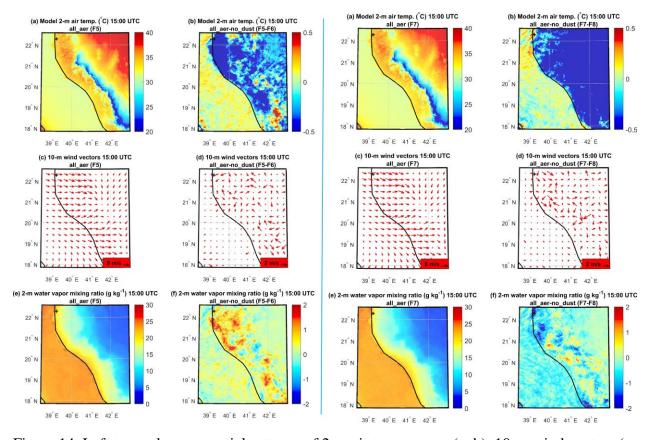


Figure 14. Left two columns: spatial patterns of 2-m air temperature (a, b), 10-m wind vectors (c, d), and 2-m water vapor mixing ratio (e, f) averaged at the time of sea breeze maxima (15:00 UTC) throughout the period of August 4–31, 2015 from the direct-effects-only experiment for all\_aer case: F5 (first column) and the difference all\_aer-no\_dust: F5-F6 (second column). Right two columns: same as the left panel but without shortwave absorption, showing all\_aer case (F7) and the difference all\_aer-no\_dust (F7-F8).

The results of the direct-effects-only simulations (F5, F6, Table 2) are presented in Fig. 14 (left 695 two columns). The cooling effect was dominant in the coastal areas, whereas warming was also 696 697 observed in some inland areas particularly in the southern region (Fig. 14b). Figure 14d demonstrates that the breezes are weakening and even reversing from land to sea in the areas of 698 cooling (~ 22N) due to the dust direct effects. However, in the areas that exhibited warming 699 700 (~18.5N), sea breezes strengthened as the land warming further increased the land-sea thermal 701 contrast.

A strong positive moisture anomaly was observed over the land in the direct-effects-only simulations (Fig. 14f, left two columns). This is intriguing because we expected a reduction in moisture transport over the land due to the dust direct effects as a result of land surface cooling, and a subsequent weakening of the sea breezes (Mostamandi et al., 2021). Figure 14 also shows the results of the additional experiments in which the SW absorption was restricted (F7, F8), as mentioned in section 2.3.2. Given that the SW absorption was eliminated, this experiment allows us to better understand the effect of dust on sea breezes via the cooling effect alone (i.e., without warming effects). However, note that the effect of dust is complex as it warms the atmosphere and cools the surface (Choobari et al., 2014). Nevertheless, this elimination of SW absorption removed the dust-induced warming observed earlier over the land (compare Figs. 14b left and right panel). Since the cooling effect becomes dominant, sea breezes are now weaker and therefore the landward moisture transport is considerably reduced, which is evident by comparing the left and right panel of Figs. 15f. These results confirm that the high positive moisture anomaly over the land by dust direct effects is caused by the strengthening of sea breezes as a result of dust-induced warming. Although it is generally understood that SW absorption decreases the radiation reaching the surface and thus cools the surface (e.g., Choobari et al., 2014), we observed surface warming because most of the atmospheric dust here lie very near to the surface (Parajuli et al., 2020), which is evident in Fig. 3b. The observed effects on breezes are broadly consistent with those of Mostamandi et al. (2021), who also observed a weakening of albedo-induced land cooling on sea breezes associated with the strong land cooling, which reduces the thermal contrast between the land and the ocean.

## 4. Summary discussion and limitations

- The rainfall over the Red Sea coastal area has a strong diurnal cycle peaking at approximately 724 15:00 UTC coinciding with the moisture-laden westerly sea breezes uplifted by the coastal 725 topography meeting the easterly Harmattan winds over the Sarawat Mountains. The dust 726 modifies rainfall through both indirect and direct effects over the study region. In summary, dust 727 enhances rainfall for extreme rainfall events but suppresses rainfall for normal rainfall events. 728 These results are consistent with previous studies (e.g., Choobari, 2018; Li et al., 2011), which 729 show that dust increases (decreases) rainfall in high (low) rainfall conditions. Since the 730 calculated indirect effects are small, our results are also consistent with that of Koren et al. 731 (2014), which also showed the indirect effects on warm clouds is less sensitive to aerosol loading 732
- over polluted atmosphere than over clean atmosphere. 733

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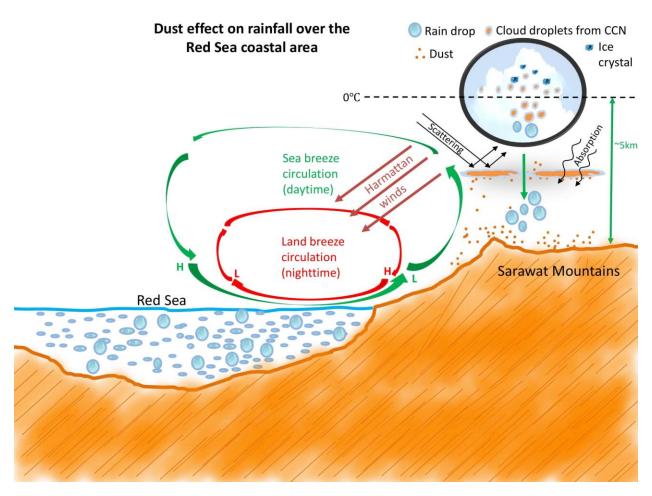


Figure 15. Schematic diagram representing the rainfall processes and dust-rainfall interactions over the Red Sea coast.

 For normal rainfall events, the dust effect on rainfall mainly occurs through both direct and indirect effects, which are strong and statistically significant. As Table 3 shows, the negative dust direct effect (-5.78%) is slightly stronger than the positive indirect effect (+4.76%) for the normal rainfall events. For these events, the dust direct effect is caused by the weakening of sea breeze circulation in response to SW cooling by dust as explained previously. The various pathways of dust-rainfall interactions occurring over the Red Sea coast are summarized in a schematic diagram presented in Fig. 15.

For extreme rainfall events, the direct effect was positive but was not statistically significant, which could perhaps become significant with a larger sample size. For these rainfall events, the dust effect occurs through a different physical mechanism governed by the indirect effects. As Table 3 shows, the indirect effect (+4.54%) is stronger than the direct effect (+1.51%). The reason why indirect effect is stronger than the direct effect for extreme rainfall events is that, extreme rain events are caused by larger synoptic processes, and during their occurrence, the local-scale breeze effect becomes comparatively weaker. Consequently, the indirect effect becomes dominant compared to the direct effect. Whether the indirect effect is positive or negative is mainly determined by prevailing dust concentration and water vapor availability.

During the extreme rainfall events, the water vapor is abundantly available so water vapor is not a limiting factor for rain formation. Since CCN # concentrations are abundant (Figs. 7, 8), dust concentration is not a limiting factor in this desert study domain either. In such high dust concentration and abundant water vapor scenario, rain droplets keep growing (Choobari, 2018; Li et al., 2011) rendering the indirect effect to be positive. To demonstrate this mechanism further, we plotted the column-average water vapor mixing ratio for normal rainfall events and extreme rainfall events separately (Fig. 16). It is clear that the average water vapor concentration is remarkably higher in extreme rainfall events compared to normal rainfall events (note the positive difference in Fig. 16c), which supports the above explanation.



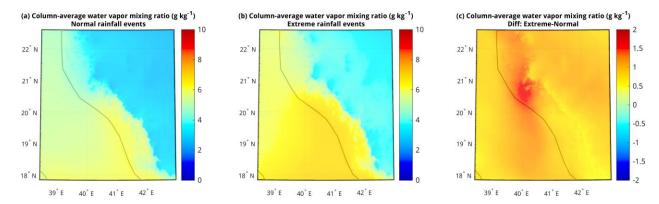


Figure 16. Column-average water vapor mixing ratio for (a) normal rainfall events (b) extreme rainfall events and (c) the difference in extreme and normal rainfall events.

The indirect effect is positive even for normal rainfall events because although average water vapor concentration in normal rainfall events is lower in comparison to the extreme rainfall events, the water vapor concentration is still high enough for droplets to grow from the moisture supplied by the sea breezes on a diurnal basis. So given the abundant moisture supply, there is relatively minimal competition of raindrops, rendering the indirect effect to be positive even during the normal rainfall events.

The relative sign and magnitude of the observed effects are meaningful. The indirect effects are similar in both extreme and normal rainfall events (4.54% vs. 4.76%), which is reasonable because the indirect effect does not depend upon the breeze system. The direct effect is considerably stronger for normal rainfall events (-5.78%) than that for extreme rainfall events (1.51%), which is also reasonable because the rainfall in normal rainfall is governed by breeze circulation whereas for extreme rainfall events it is not.

Dust direct and indirect effects both contribute in modifying the cloud properties. Figure S2b presents the total, indirect, and direct effect of dust on cloud water mixing ratio at a cloud-level height (4.6 km). Statistically significant increase in cloud water mixing ratios is observed over the lands due to the indirect effects (Fig. S2b). As expected, the changes in clouds caused by the dust direct effects are not statistically significant in most areas (Fig. S2c). Dust indirect effects are more complex but aerosols are known to suppress rainfall at the initial stage of convection and enhances rainfall during the mature stage through aerosol invigoration (Andreae et al., 2004;

- 786 Koren et al., 2005; Koren et al., 2008; Chakraborty et al., 2018; Fan et al., 2018). Increased
- aerosol concentration can also increase cloud-top evaporation, thus reducing the cloud coverage
- 788 (Choobari, 2018). Similar to dust direct effects, dust indirect effect also induces significant
- surface cooling and warming through clouds (Fig. 13b), as clouds also scatter and absorb
- 790 shortwave radiation.
- In this study, we evaluated the relative contribution of direct and indirect effects of dust on
- rainfall and explored associated physical mechanisms using well-developed microphysical and
- aerosol schemes in WRF-Chem. Modeling rainfall processes entails some uncertainty, which is
- mainly related to the effect of aerosols on clouds. We indeed observed a large order of difference
- in simulated microphysical parameters (CCN # concentrations and aerosol size distributions)
- compared to observations, although they did not have much impact on the rainfall in the study
- 797 region. There are several microphysical processes governing dust-cloud-rainfall interactions that
- are not fully understood or implemented yet in WRF-Chem (e.g., the prognostic treatment of ice
- nucleation by dust) (Chapman et al., 2009). Therefore, our model simulations may have not
- captured some dust-cloud-rainfall interactions occurring in reality, particularly those related to
- 801 cold-cloud processes.
- 802 Broader implications
- Through high-resolution model simulations, complemented with multiple observational data, we
- investigated how dust affects rainfall over the Red Sea coastal region through direct and indirect
- effects. Our study has broader social and environmental implications. While dust and dust storms
- are generally considered detrimental from an air quality perspective, our study highlights their
- contribution in modulating rain, an essential element of plant and animal life. A Better
- understanding of regional rainfall process can be helpful for planning and managing regional
- water resources as the replenishment of surface and ground water largely depends on
- precipitation (Mostamandi et al., 2020). A better understanding of the dynamics of extreme
- rainfall events could also aid in the development of strategies to minimize their catastrophic
- outcomes such as heavy flooding and loss of public property (e.g., de Vries et al., 2013). Recent
- studies suggest that there is an increase in the dust/aerosol activity in the region (e.g.,
- Klingmüller et al., 2016). In this context, our model experiments (no\_dust and all\_aer) can also
- provide insights into how increased dust activity affects regional rainfall patterns.
- Our study also has implications from a cloud-seeding perspective, which is relevant in the
- context of recent rainfall enhancement efforts over the region (e.g., Yanlong et al., 2017;
- Mazroui and Farrah, 2017). Cloud seeding experiments were conducted in the southwest of
- Saudi Arabia in the Asir mountainous region in 2006–2008 using AgI, which receives a
- relatively high amount of precipitation (Sinkevich and Krauss, 2010). Those results
- demonstrated the feasibility of cloud seeding over the region by showing that the reflectivity of
- seeded clouds was significantly different compared to that of natural clouds (Sinkevich and
- Krauss, 2010; Krauss et al., 2011). However, our results suggest that cloud seeding efficiency
- may be affected by the presence of background dust aerosols, and that cloud seeding using
- commonly used materials such as AgI may not be as effective in dusty regions as in clean
- environments. It should also be noted that the effectiveness of cloud seeding depends upon the

- height of application. Therefore, before investing on expensive field experiments on cloud
- seeding, it would be beneficial to evaluate the effectiveness of cloud seeding through regional
- modeling in the areas of interest as done in this study.

### 5. Conclusion

- Our study evaluated the effect of dust on rainfall over the Red Sea coastal plains using a double-
- moment microphysics scheme (Morrison) combined with an advanced aerosol scheme
- 833 (MOSAIC) in WRF-Chem. The model captured the magnitude of AOD and aerosol vertical
- profiles, the vertical profile of air temperature, the diurnal cycle of winds, spatio-temporal
- variation of accumulated rainfall, and the CCN number concentrations over the study domain
- 836 reasonably well.
- The rainfall over the Red Sea coast is mainly governed by warm cloud processes, which mainly
- occur within a ~5 km height. Rainfall has a strong diurnal cycle, which peaks in the evening at
- approximately 15:00 UTC (6 pm local time) under the influence of sea breezes.
- We calculated the total, direct, and indirect effects of dust on rainfall for extreme and normal
- rainfall events in terms of the 10-year (2006–2015) August average daily-accumulated rainfall
- over the study domain (d03). For extreme rainfall events (average daily-accumulated rainfall  $\geq$
- 1.33 mm), dust causes a net enhancement on rainfall of 0.140 mm (6.05%), whereas the indirect
- and the direct effects accounted for 0.105 mm (4.54 %) and 0.035 mm (1.51 %), respectively.
- Although the positive direct effect is statistically insignificant at the assumed 5% significance
- level, it adds up with the positive indirect effect, making the total effect significant. For the
- normal rainfall events (average daily-accumulated rainfall < 1.33 mm), dust causes a net
- suppression of rainfall of -0.003 mm (-1.02 %), with the indirect and direct effects accounting for
- 849 0.014 (4.76 %), and -0.017 mm (-5.78 %), respectively, all of which were statistically significant.
- The indirect and direct effects, which are opposite in sign and nearly equal in magnitude, cancel
- each other out, making the total effect small but statistically significant.
- Dust affects rainfall over the Red Sea coastal region through both direct and indirect effects. For
- normal rainfall events, dust suppresses rainfall by direct effects through the weakening of sea
- breeze circulation, caused by dust-induced land surface cooling. Such weakening of sea breezes
- reduces the landward moisture transport, which ultimately suppresses the coastal rainfall. For
- extreme rainfall events, the dust effect on breezes become smaller and dust causes net rainfall
- enhancement through the indirect effects given the abundance of water vapor and dust
- 858 concentrations over the study site, which facilitates raindrops to grow larger.
- Given that the study area exhibit stable breeze circulation, our results could be extended to other
- coastal areas with a topography that have similar breeze system. Importantly, our results have
- broader scientific and environmental implications. Although dust is considered a nuisance from
- an air quality perspective, our results highlight the more positive fundamental role of dust
- particles in modulating rainfall formation and distribution. In the context of regional rain
- enhancement efforts, our results also have implications for cloud seeding and regional water
- resource management.

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867 868 869 870 871 872 873 874 875	Codes and data availability. MODIS AOD data were downloaded from http://ladsweb.nascom.nasa.gov/data/. MERRA-2 and IMERG data were obtained from the NASA Goddard Earth Sciences Data and Information Services Center (GES DISC) available at https://disc.gsfc.nasa.gov/. ECMWF Operational Analysis data are restricted data, which were retrieved from http://apps.ecmwf.int/archive-catalogue/?type=4v&class=od&stream=oper&expver=1 with a membership. EDGAR-4.2 is available at http://edgar.jrc.ec.europa.eu/overview.php?v=42. Field observation data and VIIRS satellite data may be obtained by request to the first author at <a href="mailto:psagar@utexas.edu">psagar@utexas.edu</a> . A copy of the namelist.input file with details of the WRF-Chem model configuration can be downloaded from the KAUST repository at http://hdl.handle.net/10754/675620.
877 878 879 880	<i>Acknowledgements</i> . Our study was supported by funding from King Abdullah University of Science and Technology (KAUST). We also thank Dr. Daniel Rosenfeld for his assistance in the acquisition of VIIRS data. Battelle Memorial Institute operates PNNL under contract DEAC05-76RL01830.
881 882 883 884 885	Author contributions. SPP and GLS developed the central scientific concept of the paper. SPP analyzed the data and wrote the paper with inputs from GLS. SPP conducted the WRF-Chem simulations, and AU contributed with code modifications. PK and DA processed and provided data from the August 2009 field campaign in Saudi Arabia. YZ processed and provided the VIIRS data. All authors discussed the results and contributed to the final manuscript.
886 887	Competing interests. The authors declare that they have no conflict of interest.

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