

1 **Mixing state of black carbon at different atmospheres in north and southwest**
2 **China**

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13 **Abstract**

14 Large uncertainties remain when estimating the radiative forcing by black carbon
15 (BC) because the corresponding microphysical properties have not been well
16 addressed. In this study, the BC size distributions were studied based on three different
17 field campaigns at an urban site, a suburban site, and a background site in China using
18 a single particle soot photometer (SP2) in tandem with a differential mobility diameter.
19 Measurement results indicate that the BC particles were composed of either thinly or
20 thickly coated aerosols. The mean number fractions of the thinly coated BC aerosols
21 were 51%, 67%, and 21% for the urban, suburban, and background sites, respectively.
22 The corresponding thickly coated (thinly coated) core mass median diameters were

23 187 nm (154 nm), 182 nm (146 nm), and 238 nm (163 nm), respectively. The mean
24 diameter of the thickly coated BC-containing aerosols was larger than that of the
25 thinly coated BC-containing aerosols, while the mean BC core diameter of the thickly
26 coated BC-containing aerosols was smaller than that of the thinly coated
27 BC-containing aerosols. About 10% of the BC-containing aerosols with the BC core
28 are attached to the other non-BC components, which were mainly generated by
29 coagulation between the BC and non-BC components. The measurement results in our
30 study can be further used in modeling studies to help with constraining the
31 uncertainties of the BC radiative effects.

32 **Introduction**

33 Black carbon (BC) plays an important role in the climate system by absorbing
34 solar radiation (Ramanathan and Carmichael, 2008), interacting with the cloud
35 (Roberts et al., 2008), and changing the albedo of the snow (Menon et al., 2002). It is
36 the second most important aerosol component after carbon dioxide, contributing to
37 global warming (Bond et al., 2013). The solar absorption of BC has a significant
38 influence on the development of the boundary layer and then aggravates the air
39 pollution (Ding et al., 2016). The turbulence in the atmospheric boundary layer can be
40 suppressed due to the existence of BC (Wilcox et al., 2016). The BC also plays a
41 remarkable role in driving the formation and trend of regional haze (Zhang et al.,
42 2020).

43 BC is mainly generated by the incomplete combustion of biofuels and fossil fuels
44 (Bond and Bergstrom, 2006). After emission, the morphology of BC transforms from
45 fractal to spherical and subsequently grows to a fully compact particle with other
46 chemical components coating it (Peng et al., 2016). During the aging process, the BC
47 optical properties change significantly up to a factor of 3 and then the corresponding

48 magnitude of climate forcing contributed by BC is increased by up to a factor of 2
49 (Zhang et al., 2008). Large uncertainties remain in estimating the BC radiative effects
50 due to the large variation in BC microphysical properties, such as size distributions
51 and mixing states during the aging process (Zhao et al., 2019; Moffet et al., 2016;
52 Matsui et al., 2018). Therefore, characterizing the differences in size distributions and
53 mixing states between the thinly and thickly coated BC particles can help better
54 constrain the uncertainties of BC aerosol radiative effects. To our best understanding,
55 few studies have specified the mixing states and size distributions of both the thinly
56 and thickly coated BC aerosols.

57 The thickly coated BC particles can also be classified into two morphological
58 types: bare BC on the surface of non-BC particles or partially coated by non-BC
59 particles (attached type) and BC embedded within or coated by non-BC components
60 (coated type). With the same amount of non-BC components, the mass absorption
61 cross-sections of BC by the attached type are much smaller than those by the coated
62 type (Moteki and Kondo, 2008; Moteki and Kondo, 2010; Moteki et al., 2014).
63 Therefore, the impact of BC on climate can be better estimated when accurately
64 identifying the two types of ambient BC-containing particles. Observations are
65 required to constrain the spatial and temporal microphysical properties of the
66 atmospheric BC.

67 The single-particle soot photometer (SP2) is always used to measure the mixing
68 states and size distributions of ambient BC particles. In the previous study, advanced
69 technology was used to study the coating over different BC core size diameters on the
70 ground (Liu et al., 2019a) and for vertical profiles (Ding et al., 2019). The measured
71 signals from SP2 can be used to distinguish the BC-containing aerosols as thinly and
72 thickly coated ones. The measured results can also be employed to distinguish the

73 BC-containing particles between attached and coated types, which were described in
74 detail in the methodology part.

75 In this study, the tandem SP2 and differential mobility analyzer (DMA) was
76 employed at an urban site, a suburban site, and a background site in China to
77 investigate the microphysical properties of the BC particles. The size distributions and
78 mixing states of both the thinly coated and thickly coated BC aerosols at different
79 atmospheres were characterized. We also investigated the corresponding morphology
80 properties of the BC-containing aerosols. The measured microphysical properties
81 provide the basis for future modeling studies of the BC radiative effects in different
82 environments in China.

83 **2 Methodology**

84 **2.1 Measurement sites**

85 The measurements were conducted at three different atmospheric sites in China,
86 namely the urban site of Peking University Urban Atmosphere Environment
87 Monitoring Station (PKU, 39.9°N, 116.1°E, 58m a.s.l) in Beijing between 20 January
88 and 4 February 2016, the suburban site of Changping (CP, 40.3°N, 116.2°E, 70m a.s.l))
89 in Beijing between 15 May and 5 June 2016, and the background site of Lijiang (LJ,
90 27.2°N, 100.2°E, 3410 m a.s.l) in Yunnan Province between 22 March and 4 April
91 2015. The PKU site is located northwest of Beijing. This site could characterize the
92 air pollution of urban Beijing (Hu et al., 2017; Hu et al., 2021b). The CP site locates in
93 the northwest of the Beijing urban area, representing a regional atmosphere (Zhao et
94 al., 2021; Wang et al., 2019b). The LJ site represents the background areas, located in
95 the Mountain Yulong, in the Yunan Province of China (Shang et al., 2018; Zheng et
96 al., 2017; Wang et al., 2019a). The aerosol optical depth at the wavelength of 550 nm

97 during the year 2020 indicated that the LJ site was very clean and the PKU and CP
98 sites were more polluted as shown in Fig. S1 in the supplement.

99 **2.2 Instruments**

100 **2.2.1 DMA-SP2 system**

101 As for the SP2, the continuous Nd: YAG laser beam with the wavelength of 1064
102 nm is generated intensively in the instrument chamber. When the BC-containing
103 particles pass through the laser beam, they absorb the radiation and then are heated to
104 around 3500-5000 K. The intensity of the emitted incandescent light from the heated
105 BC particle is then transformed to the BC mass concentration. The scattering signals
106 of the BC particle are recorded to estimate the BC particle mixing state.

107 In this study, the SP2 (Droplet Measurement Technology, Inc., USA) was placed
108 after the DMA (Model 3081, TSI, USA) to measure the size-resolved BC mixing
109 states, and the instrument setup is schematically shown in Fig. S2. The DMA was set
110 to scan the aerosol over the size range between 12.3 and 697 nm every five minutes.
111 The flow rate leading to the SP2 and the condensation particle counter (CPC, Model
112 3776, TSI, USA) were 0.12 and 0.28 L/min, respectively. The sheath flow of the DMA
113 was 4 L/min.

114 The Aquadag was used to calibrate the measured incandescence signal of the SP2
115 using the DMA-SP2 system. The formula from Gysel et al. (2011) was used to convert
116 the mobility diameter into the mass of Aquadag. A correction factor of 0.75 was
117 applied to account for the different response sensitivity of SP2 to Aquadag and
118 ambient BC (Moteki et al., 2010).

119 In this study, the coating thickness of the BC-containing aerosols was calculated
120 by the difference between the total mobility diameter measured by the DMA and the
121 optical equivalent diameters of the BC core. Details of calculating the optical
122 equivalent coating thickness can refer to Zhang et al. (2018b) and can be found in
123 section 3 in the supplementary material.

124 **2.2.2 Other instruments**

125 The submicron particles (PM_{10}) chemical compositions were measured using a
126 high-resolution time-of-flight aerosol mass spectrometer (AMS; Aerodyne Research
127 Inc., Billerica, MA, USA). The data processing software PIKA (version 1.16) was
128 used for data analysis. The positive matrix factorization (PMF) analysis was conducted
129 for the source appointment of the organic aerosols (Ulbrich et al., 2009). More details
130 on the measurement of the aerosol chemical compositions and data processing can be
131 found in Zheng et al. (2017).

132 The mass concentrations of O_3 were measured using UV absorption (model 49i,
133 Thermo Fischer Inc. USA) with a time resolution of 1 minute. The mass
134 concentrations of NO and NO_2 were measured using the chemiluminescence technique
135 (NO- NO_2 - NO_x Analyzer, Model 42i, Thermo Scientific, USA). The mass
136 concentrations of SO_2 were measured using the ultraviolet fluorescence method (SO_2
137 analyzer, model 43i-TLE, Thermo Scientific, USA). The temperature (T), relative
138 humidity (RH), wind speed (WS), and wind direction (WD) were monitored
139 continuously during these campaigns.

140 **2.3 Methodology**

141 For the BC-containing aerosol, there is a lag between the peak time of the
142 scattering and the incandescence signal (Metcalf et al., 2012). The lag time between

143 the peak scattering signal and the peak incandescence signal can be employed to
144 describe the coating thickness (Moteki and Kondo, 2007; Schwarz et al., 2006) and
145 further used to distinguish the BC-containing aerosols as thinly and thickly coated
146 ones. The lag-time probability distribution at our measurement sites also shows two
147 modes which will be shown in section 3.2, and thus the lag-time can be used to
148 efficiently distinguish the BC-containing aerosols as thinly and thickly coated ones
149 here. It should be noted that, the time-lag method may not effectively distinguish the
150 BC particles between fresh or aged ones because some BC particles sourced from
151 biomass burning (Schwarz et al., 2008b) and solid fuel burning (Liu et al., 2014; Liu et
152 al., 2019b) initially have thick coating but cannot be grouped into aged BC particles.

153 For the thickly coated BC particles, the measured scattering and incandescence
154 signal can also be employed to distinguish the BC-containing particles as attached and
155 coated types (Moteki et al., 2014) by calculating the time-dependent scattering
156 cross-sections of BC-containing particles (Moteki and Kondo, 2007). For the coated
157 type, all of the coating material will evaporate and the scattering cross-sections will
158 decrease to zero after passing through the laser beam, while the scattering
159 cross-section of the attached BC-containing aerosol will not decrease to zero (Moteki
160 and Kondo, 2008). The method adopted by Dahlkötter et al. (2014) was employed here
161 to characterize the morphology of the BC-containing aerosols. Details of
162 distinguishing the BC-containing particles as attached and coated types can also refer
163 to section 4 in the supplementary materials.

164 **3 Results and discussions**

165 **3.1 Overview of the measurement results in different atmospheres**

166 The time series of the measurement results are shown in Fig. S6, Fig. S7, and Fig.
167 S8 for the PKU, CP, and LJ sites, respectively. For the PKU site, the wind was mainly

168 from the north and the wind speed was low with a mean value of 2.2 m/s. The ambient
169 atmosphere was very dry with a mean RH of 27.6%, with minimum and maximum
170 values of 5.8% and 72.6%, respectively. The temperature in the winter in Beijing had a
171 mean value of 0.8 °C between -5.9 °C and 9.2 °C. The mean mass concentration of
172 PM_{2.5} was 49.3 ± 55.4 µg/m³. The concentration of SO₂ and NO_x (NO_x=NO + NO₂) had
173 the same trends as PM_{2.5}, with mean values of 16.3 ± 11.9 ppb and 68.2 ± 63.4 ppb,
174 respectively. The O₃ concentration is anti-correlated with PM_{2.5}. For the suburban site
175 CP, the wind showed obvious diurnal cycles with high-speed west wind during the day
176 and low-speed east wind during the night. The mean wind speed was 2.4 ± 1.6 m/s. The
177 RH during the campaign was 38.8 ± 16.0%, with a maximum value of 80.5%. The
178 temperature during the campaign was 21.8 ± 5.2 °C with a maximum value of 33.2 °C.
179 As for the NO_x, the mean concentration was 21.4 ± 17.7 ppb. The mean concentration
180 of SO₂ was 2.89 ± 1.10 ppb. The measured mean O₃ concentration was 54.5 ± 38.8 ppb.
181 The mean PM_{2.5} concentration was 22.6 ± 16.8 µg/m³, with a maximum value of 71.8
182 µg/m³. As for the background LJ site, The mean value of the wind speed, RH, and T
183 were 3.13 m/s, 50.23%, and 6.5 °C, respectively. The mean PM_{2.5} mass concentration
184 was 6.2 ± 5.7 µg/m³. The mean NO_x and SO₂ concentrations were 0.05 ppb and 0.97
185 ppb respectively.

186 The characteristics of the measurement sites are summarized and shown in Fig. 1.
187 The differences in the temperature and RH among these sites mainly resulted from the
188 that the measurements were conducted in different seasons. The concentrations of SO₂,
189 NO_x, and PM_{2.5} indicated that the urban site PKU was the most polluted. The suburban
190 site CP was slightly polluted and the background LJ was the cleanest.

191 The air mass back trajectories as shown in Fig. S9 during the measurement at PKU
192 show that the measurement site was mainly influenced by the polluted air from the
193 south and southeast, and the relatively clean air from the northwest. The CP site was
194 mainly influenced by the clean air from the northwest and the polluted air from the
195 southeast. The air mass of the LJ site was mainly from the southwest and west.

196 **3.2 Mixing states of the thinly coated and thickly coated BC-containing** 197 **aerosols**

198 The measured lag time probability distributions for the PKU, CP, and LJ sites are
199 shown in Fig. 2 (a), (b), and (c), respectively. The lag time had two modes for each
200 measurement site. The BC particles are sorted as thinly or thickly coated BC. A two
201 log-normal distribution was used for the probability distribution of the lag time for
202 BC-containing particles as:

$$203 \text{PDF}(\Delta t) = \sum_{i=1,2} \frac{A_i}{\sqrt{2\pi} \log(\sigma_{g,i})} \exp \left[-\frac{\log(\Delta t) - \log(\Delta t_i)}{2 \log^2(\sigma_{g,i})} \right],$$

204 Where Δt is the lag time, A_i , $\sigma_{g,i}$, Δt_i are the scale factor, geometric standard
205 deviation, and geometric mean lag time of mode i respectively. The critical lag time
206 that distinguishes the thinly and thickly BC particles was determined by calculating
207 the value when the probability distribution values of mode 1 and mode 2 are equal. In
208 this study, the BC-containing aerosols with a lag time larger than $1.4 \mu\text{s}$ were
209 classified as thickly coated particles for the LJ site. The other BC-containing aerosols
210 were classified as thinly coated particles. Our critical lag time of $1.4 \mu\text{s}$ is smaller than
211 the previous studies that distinguished the BC-containing aerosols between thinly
212 coated BC and thickly coated BC with a lag time of $2 \mu\text{s}$ (Moteki and Kondo, 2007;
213 Metcalf et al., 2012), $1.8 \mu\text{s}$ (Metcalf et al., 2012), and $4.2 \mu\text{s}$ (Liu et al., 2010), which

214 was determined by the internal setup up of the SP2. The critical lag time for the PKU
215 and CP sites were 1.3 μ s and 1.7 μ s, respectively.

216 For each type of BC-containing aerosols, we calculated the coating thickness
217 probabilities and the results are shown in Fig. 2(d), (e) and (f) for the PKU, CP, and LJ
218 sites, respectively. Results showed that the BC-containing aerosols were mainly
219 composed of thickly coated BC aerosols and thinly coated BC aerosols. The coating
220 thickness for the thinly coated BC-containing aerosol was smaller than that of the
221 thickly coated BC-containing aerosols. However, the coating thickness of the thickly
222 coated BC-containing aerosols spread wider than that of the thinly coated ones.

223 The number fractions of the thickly coated BC-containing aerosols were
224 significantly different for different atmospheres as shown in Fig. 2 (g), (h), and (i). At
225 the polluted urban site, the number concentration of the thickly coated BC-containing
226 aerosols was comparable to that of the thinly coated BC-containing aerosols with the
227 number fractions of 56% and 44% for the thinly coated and thickly coated BC
228 particles, respectively. The number fraction of the thickly coated BC aerosols at the
229 CP site was 67 %. However, the BC-containing aerosols at the background LJ site
230 were dominated by thickly coated ones with a number fraction of 81%.

231 The difference in the number fraction of the thickly coated BC particles was
232 synthetically influenced by the ambient pollution levels and the sources of the BC
233 aerosols. The suburban site CP had the largest number fraction of the thinly coated BC
234 particles. The CP site is not far from the urban, and thus the thinly coated BC particles
235 from the traffic contribute a large amount of the total ones. The urban site PKU had a
236 larger number fraction of the thickly coated BC than that of the CP site. This might be
237 resulted from the PKU site being more polluted than the CP site and then the aging
238 processing at the PKU site was faster than that at the CP site. The LJ site is far from

239 the traffic sources. The measured BC particles at the LJ site were mainly from
240 long-range transportation and experienced a long time of aging process than that at the
241 CP and PKU sites. Therefore, the BC-containing aerosols were dominated by the
242 thickly coated ones at the LJ sites.

243 We compared the number fraction of the thickly coated BC at different
244 measurement sites from literature (Ueda et al., 2016; Schwarz et al., 2008a; Wang et
245 al., 2017c; Wang et al., 2017a; Wu et al., 2017; Wang et al., 2017b; Wang et al., 2014;
246 Huang et al., 2012; Metcalf et al., 2012; Wang et al., 2016; Shiraiwa et al., 2007;
247 Mcmeeking et al., 2012; Subramanian et al., 2010; Schwarz et al., 2008b; Saha et al.,
248 2018; Krasowsky et al., 2018; Holder et al., 2014) and the results are shown in Fig. 3.
249 The number fraction values were divided into three different kinds of groups, namely
250 the roadside, urban or suburban, and background. Results from Fig. 3 show that the
251 number fractions at the roadside tend to be the lowest. These sites were close to the
252 traffic sources and the measured BC-containing aerosols were mainly from the traffic.
253 The left part of the green circles corresponds to the relatively clean urban or suburban
254 sites with the number fractions of the thickly coated BC around 30%. However, the
255 number fractions of the relative polluted urban or suburban sites had a larger number
256 fraction of the thickly coated BC around 50%. The number fractions of the thickly
257 coated BC at the background sites were the largest. Therefore, the number fractions of
258 the thickly coated BC-containing aerosols were synthetically influenced by the
259 distance from the primary source and the pollution levels of the ambient atmosphere.
260 The number fraction of the thickly coated BC-containing aerosols increased with the
261 distance from the primary emission sources and the pollution levels. Our results were
262 consistent with the aerial measurement by Metcalf et al. (2012), who found that the
263 number fraction of the thickly coated BC was 29%~41% at the top of the Los Angeles
264 city and 47%-54% for the out plume of this city.

265 For a better understanding of the source of the thinly coated and thickly coated BC,
266 we compared the number concentrations of the BC-containing aerosols with the source
267 apportionment results from the AMS data for the CP site. Among the PMF results, the
268 factor of hydrocarbon-like organic aerosol (HOA) is mainly composed of long-chain
269 hydrocarbon, and oxygenated organic aerosol (OOA) is mainly from the secondary
270 formation. HOA is mainly from the diesel exhaust, gasoline exhaust, and lubricating
271 oil emission. From Fig. 4(a), the number concentration of the thinly coated BC and
272 mass concentration of HOA showed good consistency, with R^2 equaling 0.69 as shown
273 in Fig. S10, which further proved the evidence that the thinly coated BC-containing
274 aerosols were from the traffic sources. The time series of the thickly coated BC and
275 OOA showed good consistency as shown in Fig. 4 (b), with R^2 equaling 0.87.
276 Therefore, the aging processing of the ambient BC was accompanied by the ambient
277 OA. The mass concentration of OOA and the number concentration of thickly coated
278 BC can be used as good indicators for each other.

279 **3.3 Size distributions of the thinly coated and thickly coated BC-containing** 280 **aerosols**

281 The size distributions of the BC-containing aerosols exert a significant influence
282 on their corresponding radiative effects (Zhao et al., 2019; Matsui et al., 2018). We
283 calculated the number size distribution (NSD) of BC-containing aerosols for the thinly
284 coated and thickly coated ones at different sites, and the results are shown in Fig. 5. It
285 should be noted that the D_p in Fig. 5 corresponds to the mobility diameter from the
286 DMA. The BC-containing aerosol NSD was further fit using the log-normal
287 distribution.

288 As for the thinly coated BC-containing aerosols, the geometric mean diameters
289 were 193, 161, and 162 nm for the PKU, CP, and LJ sites, respectively. The geometric

290 standard deviations (GSD) of the BC-containing aerosol NSD were 1.50, 1.63, and
291 1.91 for the PKU, CP, and LJ sites, respectively. The GSD to some extent reflects the
292 diversity of the BC sources. The LJ site had the largest GSD, which indicated multiple
293 sources of thinly coated BC-containing aerosols. The LJ site was highly influenced by
294 atmospheric transportation, due to the high altitude of this location (Zheng et al., 2017;
295 Tan et al., 2021). Therefore, the thinly coated BC-containing aerosols could be
296 originated from different orientations. As for the urban site PKU, the thinly coated BC
297 aerosols were mainly from urban lifestyle emissions. Therefore, the thinly coated BC
298 aerosols at the PKU site had the lowest value of the GSD. However, the thinly coated
299 BC aerosols at the suburban site CP were influenced synthetically by urban lifestyle
300 sources and some other sources from suburban, and thus had a larger value of GSD
301 than that of PKU.

302 As for the thickly coated BC, it is obvious that they had larger diameters than those
303 of the thinly coated BC due to the coating of other non-BC components. The
304 geometric mean D_p values of the thickly coated BC were 294, 244, and 257 nm for the
305 PKU, CP, and LJ sites, respectively. The corresponding GSD values were 1.37, 1.41,
306 and 1.46.

307 Based on the above results, the geometric mean D_p values of the thickly coated
308 BC aerosols were larger than that of the thinly coated BC aerosols by 52%, 52%, and
309 59% for the PKU, CP, and LJ sites, respectively. The GSD values of the thickly coated
310 BC were consistent with that of the thinly coated BC with the lowest value at the PKU
311 site and highest value at the LJ site, which is consistent with the diversity of the
312 sources of BC-containing aerosols. For each site, the GSD values of the thickly coated
313 BC aerosols were smaller than that of the thinly coated ones. The GSD of
314 BC-containing aerosols tends to be smaller during the aging processing because the

315 increment of the diameter should decrease with the diameter.

316 **3.4 Size distribution of the thinly coated and thickly coated BC core**

317 The number and mass concentrations of the BC core under different mass
318 equivalent diameters were calculated and the results are shown in Fig. 6 and Table 1.
319 It should be noted here that, when it comes to the BC size distribution, the
320 mass-equivalent diameter of BC cores (D_{me}) (assuming a density of 1.8 g/cm³) was
321 adopted in this study for direct comparison with previous studies.

322 As for the number size distribution of the BC core, the geometric mean D_{me} of
323 the thinly coated BC particles were 115, 107, and 127 nm, for the PKU, CP, and LJ
324 sites respectively. The corresponding GSD values are 1.58 1.53 and 1.68, respectively.
325 The D_{me} for the thickly coated BC particles were 114, 95, and 111 nm for the PKU,
326 CP, and LJ sites respectively and the corresponding GSD values were 1.40, 1.45, and
327 1.43, respectively. Both the GSD and the D_{me} of the thickly coated BC were smaller
328 than that of the thinly coated BC. The overall geometric mean diameter of the BC core
329 number size distributions are 114, 100, and 111 nm for the PKU, CP, and LJ sites
330 respectively.

331 There are mainly three possible reasons that may lead to the rather smaller
332 geometric mean diameter for the thinly coated BC than the thickly coated BC. First,
333 the smaller BC core tends to have a higher time lag as a smaller BC core will take a
334 longer time to evaporate the coating on it and thus the thinly coated particles tend to
335 have smaller core diameters. Second, it takes less time for the smaller BC particles to
336 grow the same amount of coating thickness when the increment of the BC particles
337 was dominated by condensation Thirdly, the small BC particles may have a longer life
338 than the large BC particles.

339 As for the mass size distribution of the BC core, the geometric mean D_{me} of the
340 thinly coated BC were 187, 182, and 238 nm for the PKU, CP, and LJ sites
341 respectively and the corresponding GSD values were 1.35, 1.48, and 1.47. The overall
342 geometric mean diameter of the BC core mass distributions are 172, 169, and 181 nm
343 for the PKU, CP, and LJ sites respectively. The geometric mean diameter of the BC
344 core mass distributions of 172 nm in PKU was slightly smaller than that of Liu et al.
345 (2019a), with a geometric mean diameter of 195 nm in another measurement in the
346 urban environment in Beijing and comparable to Zhang et al. (2018a) with a geometric
347 mean diameter of the BC core around 180 nm.

348 **3.5 Morphology of the BC-containing aerosols**

349 The time series of the number fractions of the attached BC-containing aerosols to
350 the total BC- containing aerosols ($f_{attached}$) are shown in Fig. 7. From Fig. 7, the $f_{attached}$
351 ranged between 0 and 0.21 with a mean value of $7.2 \pm 3.7\%$, $11.0 \pm 3.7\%$, and $10.1 \pm$
352 4.1% . Moteki et al. (2014) found that the $f_{attached}$ was generally less than 0.1 in Tokyo.
353 The $f_{attached}$ ranged between 3% and 16% in suburban London (Liu et al., 2015). A
354 mean value of 12% was found for biomass burning particles using electron
355 microscopy (China et al., 2013). Our measurement results were consistent with the
356 previous studies. The $f_{attached}$ tend to increase with the $PM_{2.5}$ for different sites, which
357 may indicate that the attached BC-containing aerosols were generated from the
358 coagulation of BC and non-BC aerosols.

359 We calculated the $f_{attached}$ under different aerosol diameters and the results are
360 shown in Fig. 8. There were few attached BC-containing aerosols when the diameter
361 was smaller than 250 nm with $f_{attached}$ lowing than 2%. The $f_{attached}$ increased with the
362 diameter for all of the measurement sites. It could reach 30% for the LJ sites. Based on
363 the results from the electron microscopy, the BC volume fractions are smaller than

364 those of the non-BC volume fractions in the attached BC aerosols (Moteki et al., 2014).
365 The increment of f_{attached} with D_p is essentially consistent with the results from Hu et al.
366 (2021a) that larger D_p contains more fractal BC, which is hard to be enveloped by
367 coatings. Our results further indicate that the attached BC aerosols were formed from
368 coagulation, as the coagulation efficiency of the two particles increased with the
369 difference between their sizes (Cai and Jiang, 2017; Kim et al., 2016; Mahfouz and
370 Donahue, 2021).

371 Under the heavier pollution, more secondary aerosol forms and more condensation
372 process would on one hand increase the coating of the previously coated BC particles,
373 which would not increase the number fraction of coated BC. On the other hand, the
374 condensation process would coat on the attached BC particle and to some content
375 would lead to the transformation from the attached BC to coated BC particles. Based
376 on our measurement results, the above process of transformation from attached BC to
377 coated BC may not be comparable to the process of coagulation between thinly coated
378 BC and non-BC particles, which would lead to the increment of the fraction of
379 attached BC with the pollution levels.

380 The f_{attached} under different aerosol number concentrations (N) and different ratios
381 of the BC-free aerosol number concentrations to the BC-containing aerosol number
382 concentrations are shown in Fig. 9. Results showed that the f_{attached} increased with the
383 above two factors. The results were consistent with the fact that the coagulation
384 between BC and non-BC components is more likely to happen with the increment of
385 the BC-free aerosol number concentrations. Based on the analysis above, we
386 concluded that the attached BC- containing aerosols are mainly formed through
387 coagulation.

388 **4 Conclusions**

389 In this study, the BC microphysical properties were studied based on field
390 measurement using the DMA-SP2 system at the urban site PKU, suburban site CP and
391 a background site LJ in China.

392 The number fractions of the thickly coated BC-containing aerosols were 49%, 33%,
393 and 79% for the PKU, CP, and LJ sites respectively. The mass concentrations of the
394 thinly coated BC-containing aerosols showed good consistency with that of HOA,
395 which indicated that the thinly coated BC-containing aerosols were mainly generated
396 from the emission of vehicles. The thickly coated BC-containing aerosols are highly
397 correlated with the OOA.

398 The geometric diameter of the thinly coated BC-containing aerosols ranged
399 between 160 nm and 200 nm, while the corresponding range was 240~300 nm for the
400 thickly coated BC-containing aerosols. The GSD of the BC-containing aerosols
401 decreased during the aging process. The corresponding mobility diameters of these
402 thickly coated (thinly coated) BC-containing aerosols were 294 (193), 244 (161), and
403 257 (162) nm. The measured thickly coated (thinly coated) BC core number median
404 diameters were 115 (114), 107 (95), and 127 (111) nm for the urban, suburban, and
405 background sites, respectively. The corresponding thickly coated (thinly coated) core
406 mass median diameters were 187 (154), 182 (146), and 238 (163) nm respectively.
407 The mean diameter of the thickly coated BC-containing aerosols was larger than that
408 of the thinly coated BC-containing aerosols, while the mean BC core diameter of the
409 thickly coated BC-containing aerosols was smaller than that of the thinly coated
410 BC-containing aerosols. There are about 10% of the BC-containing aerosols with the
411 BC core attached to the other non-BC components. We concluded that these attached
412 BC-containing aerosols were mainly generated by coagulation between the BC and
413 non-BC components even though the aging of the ambient BC aerosols was driven by
414 condensation.

415 **Data availability.** The data is available at <https://doi.org/10.5281/zenodo.5816310>.

416 **Author contributions.** **Gang Zhao:** Conceptualization, Writing - Original Draft,
417 Visualization, Software, **Tianyi Tan:** Data Curation, Conceptualization, Visualization,
418 **Shuya Hu:** Data Curation, Conceptualization, **Zhuofei Du:** Data Curation, **Dongjie**
419 **Shang:** Data Curation, **Zhijun Wu:** Data Curation, Conceptualization, **Song Guo:**
420 Data Curation, Conceptualization, **Jing Zheng:** Data Curation, Conceptualization,
421 **Wenfei Zhu:** Data Curation, Conceptualization, **Mengren Li:** Data Curation,
422 Conceptualization, **Limin Zeng:** Data Curation, Conceptualization, **Min Hu:**
423 Resources, Supervision, Data Curation, Conceptualization, Revision.

424 **Competing interests.** The authors declare that they have no conflict of interest.

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428

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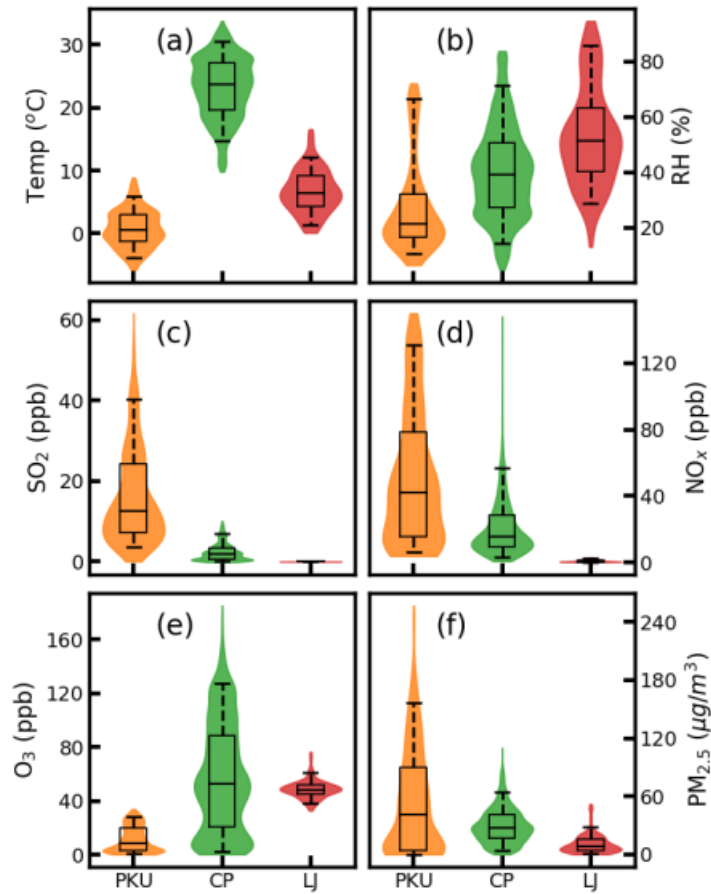
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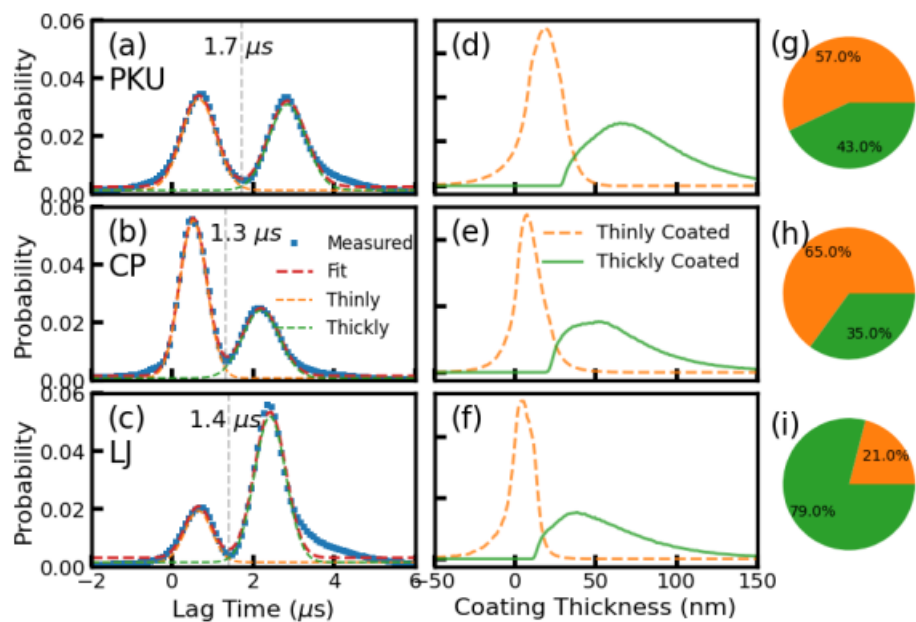
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686 **Figure 1.** The measured distribution of (a) temperature, (b) RH, (c) SO₂, (d) NO_x, (e)
 687 O₃ and (f) PM_{2.5} for PKU (orange), CP (green) and LJ (red) sites, respectively. The
 688 box and whisker plots represent the 5th, 25th, 75th, and 95th percentiles. The width of
 689 the filled colors represents the probability distributions of the corresponding measured
 690 values.

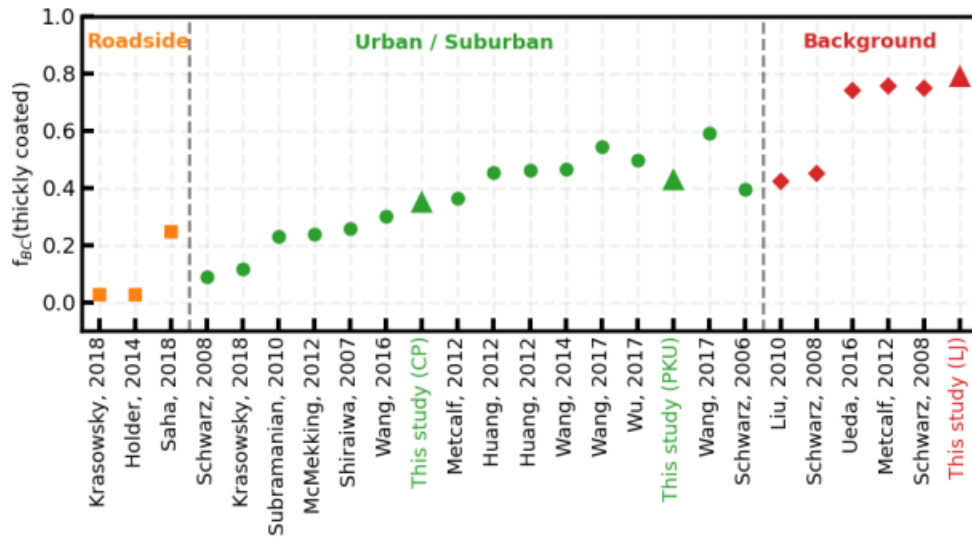
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693 **Figure 2.** (a) The measured probability distribution of the lag time for the PKU site.
 694 Panel (d) shows the corresponding coating thickness distributions of thinly coated
 695 (orange) and thickly coated (green) BC-containing aerosols. Panel (g) gives the
 696 number fraction of the thinly coated (orange) and thickly coated (green)
 697 BC-containing aerosols. Panel (b), (e), and (h) are the corresponding values for the CP
 698 site. Panel (c), (f), and (g) give the results for LJ sites.

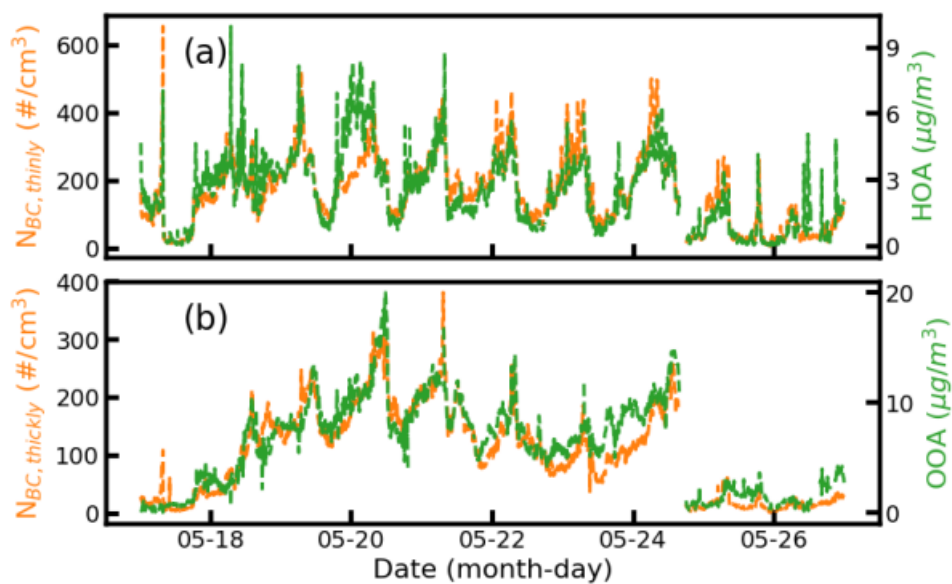
699



700

701 **Figure 3.** Measured number fraction of the thickly coated BC under different
 702 atmospheric environments based on literature. Our measured values are shown as
 703 triangles.

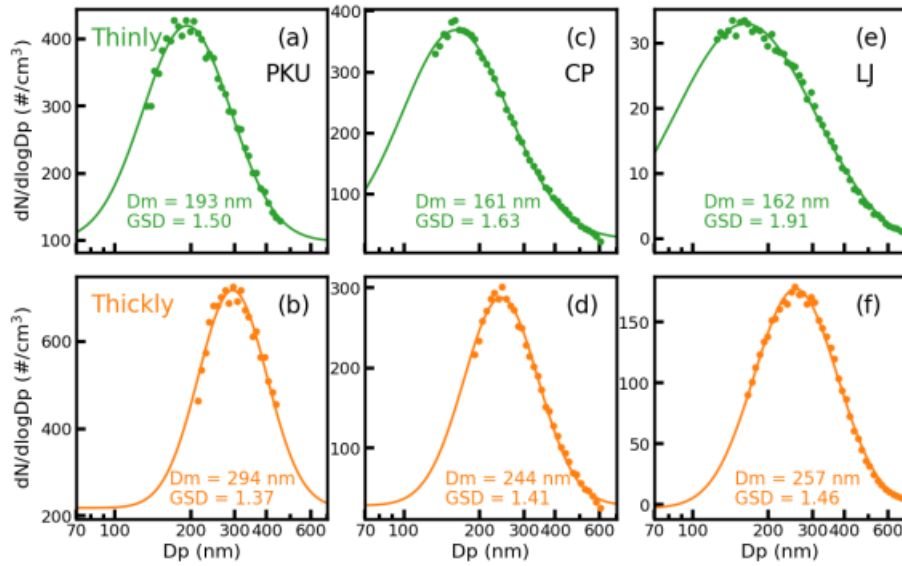
704



705

706 **Figure 4.** The time series of (a) the number concentration of the thinly coated BC
 707 (orange) and the mass concentration of HOA (green), (b) the number concentration of
 708 thickly coated BC (orange), and the mass concentration of OOA (green) for the CP
 709 site.

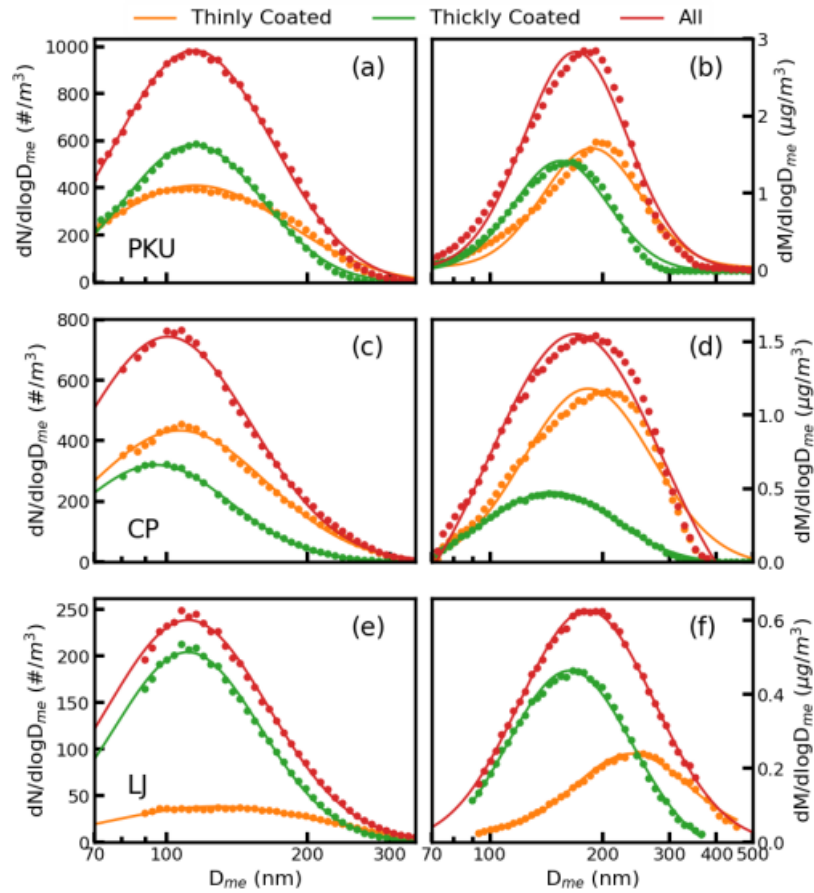
710



711

712 **Figure 5.** The number size distributions of the thinly coated BC-containing aerosols
 713 at (a) PKU, (c) CP, and (e) LJ sites. Panels (b), (d), and (f) are the number size
 714 distributions of the thickly coated BC-containing aerosols for the PKU, CP, and LJ
 715 sites, respectively. The dots in the figure are the measurement results and the lines are
 716 the corresponding fit results with a log-normal distribution.

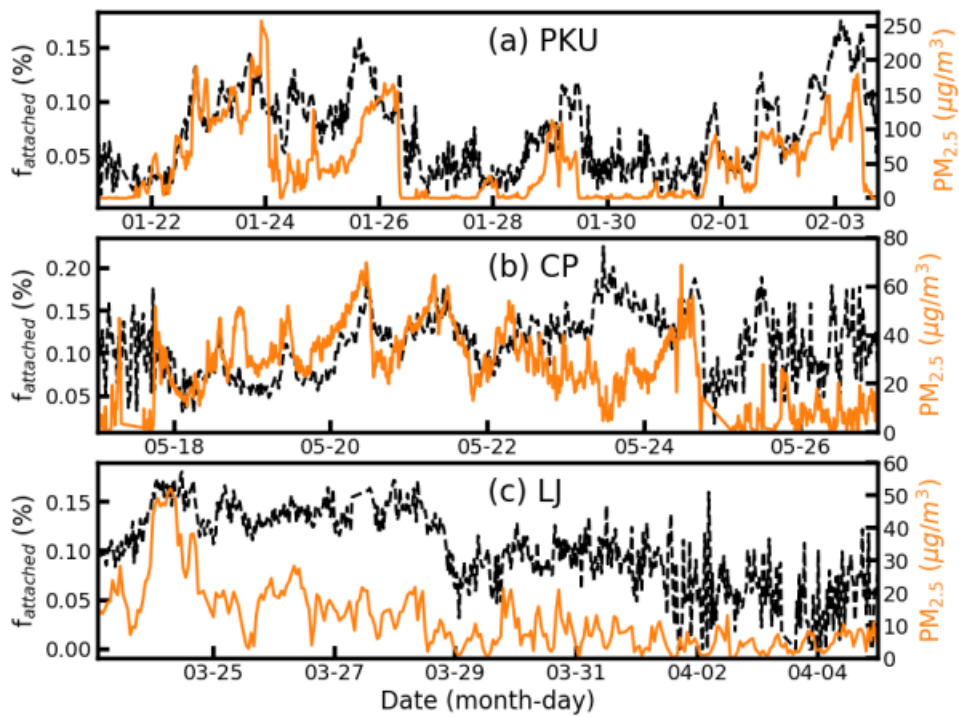
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718

719 **Figure 6.** The BC core number size distributions of the thinly coated (orange),
 720 thickly coated (green), and overall (red) BC aerosols for the (a) PKU, (c) CP, and (e)
 721 LJ sites. Panel (b), (d) (f) show the BC core mass distributions of the thinly coated
 722 (orange), thickly coated (orange), and overall (red) BC aerosols for the PKU, CP,
 723 and LJ sites, respectively.

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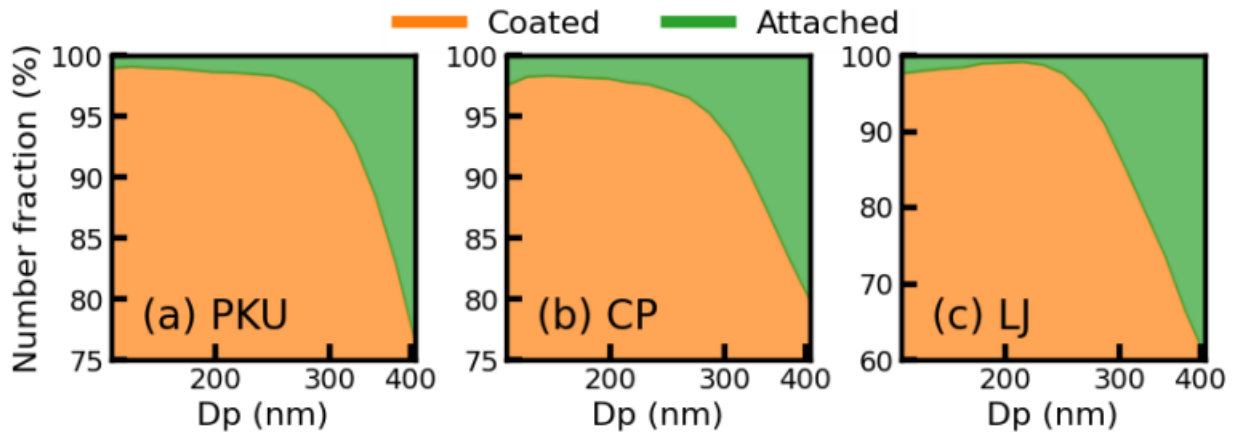


725

726 **Figure 7.** The time series of the number fractions of the attached BC (black) and
 727 $PM_{2.5}$ mass concentrations (orange) for the (a) PKU, (b) CP, and (c) LJ sites.

728

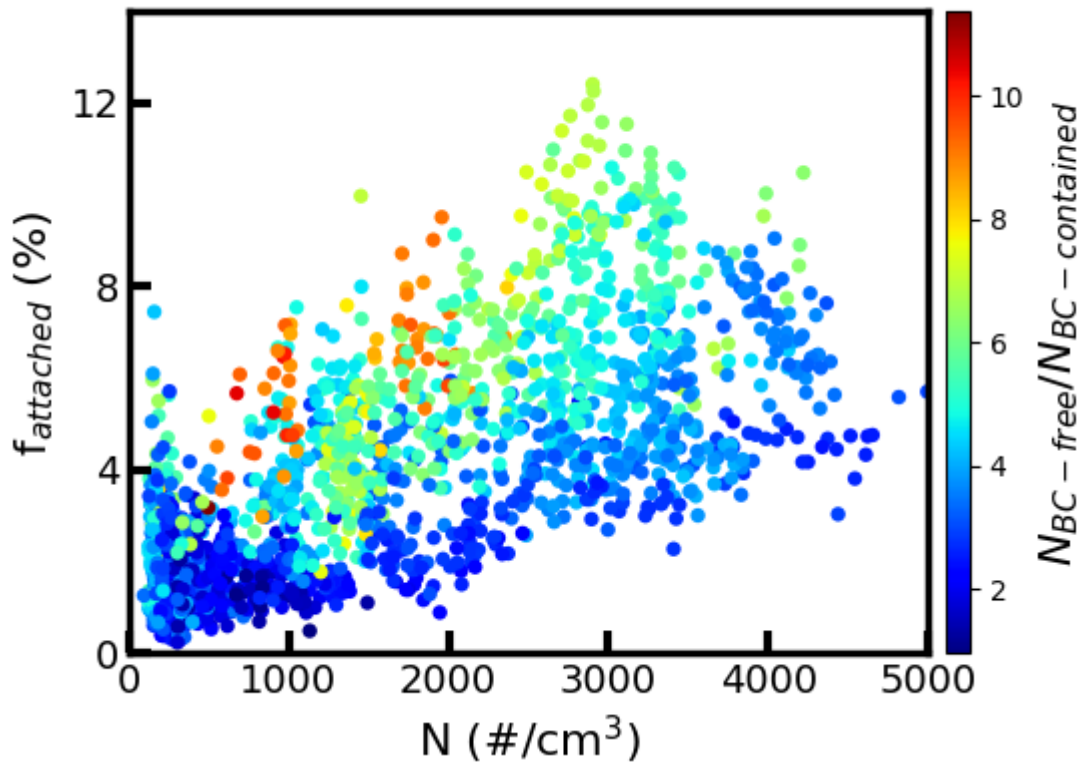
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730

731 **Figure 8.** The number fractions of the coated and attached BC under different
732 diameters for the (a) PKU, (b) CP, and (c) LJ sites.

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735 **Figure 9.** The number fractions of the attached BC aerosols under different total
 736 aerosol number concentrations for the CP sites. The filled colors represent the ratios
 737 between the BC-free aerosol number concentrations to the BC-containing aerosol
 738 number concentrations.

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Table 1.

The D_{me} and GSD values of the BC core at different sites.

Site	Value	Number Distribution			Mass Distribution		
		thinly coated	thickly coated	All	thinly coated	thickly coated	All
PKU	D_{me} (nm)	115	114	114	187	154	172
	GSD	1.58	1.40	1.47	1.35	1.34	1.37
CP	D_{me} (nm)	107	95	100	182	146	169
	GSD	1.53	1.45	1.51	1.48	1.47	1.47
LJ	D_{me} (nm)	127	111	112	238	163	181
	GSD	1.68	1.43	1.48	1.47	1.41	1.42