



1	Measurement Report: An Exploratory Study of Fluorescence and CCN Activity of
2	Urban Aerosols in San Juan, Puerto Rico
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5	Bighnaraj Sarangi ^{1*} , Darrel Baumgardner ³ , Benjamin Bolaños-Rosero ⁴ and Olga L.
6	Mayol-Bracero ^{1, 2}
0	Mayor-Draceto
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8 9 10 11 12 13 14 15	 ¹Department of Environmental Sciences, University of Puerto Rico - Río Piedras Campus, San Juan, Puerto Rico, USA ² Now at Environment and Climate Sciences Department, Brookhaven National Laboratory, Upton, New York, USA ³ Droplet Measurement Technologies LLC, Longmont, Colorado, USA ⁴Department of Microbiology, School of Medicine, University of Puerto Rico - Medical Sciences Campus, San Juan, Puerto Rico, USA
16	(*Correspondence: bighnarajsarangi1986@gmail.com)
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18	Abstract
19	Many types of atmospheric aerosols cloud condensation nuclei (CCN) capable of activating as
20	cloud droplets. Some primary biological aerosol particles (PBAP), such as plant spores, pollen,
21	or bacteria, have been identified as such CCN. Urban environments are a source of these
22	bioaerosols, those that are naturally produced by the local flora, or are transported from
23	surrounding regions, and others that are a result of human activities. In the latter case, open
24	sewage, uncovered garbage, mold or other products of such activities can be a source of PBAPs.
25	There have been relatively few studies, especially in the tropics, where PBAPs and CCN have
26	been simultaneously studied to establish a causal link between the two. The metropolis of San
27	Juan, Puerto Rico is one such urban area with a population of 2,448,000 (as of 2020). To better
28	understand the fluorescent characteristics and cloud forming efficiency of aerosols in this
29	region, measurements with a Wideband Integrated Bioaerosol Spectrometer (WIBS), a
30	condensing nuclei (CN) counter, and a CCN spectrometer were made at the University of
31	Puerto Rico - Rio Piedras Campus. Results show that the CCN/CN activation ratio and the





- fraction of fluorescing aerosol particles (FAP) have repetitive daily trends when the FAP fraction is positively correlated with relative humidity and negatively correlated with wind speed, consistent with previous studies of fungi spores collected on substrates.
- The results from this pilot study highlight the capabilities of ultraviolet-induced fluorescence (UV-IF) measurements for characterizing the properties of FAP as they relate to daily evolution of PBAPs. The use of multiple excitation and emission wavelengths, along with shape detection, allows the differentiation of different PBAP types. These measurements, evaluated with respect to previous, substrate-based analysis of the local fungal and pollen spores, have established a starting database of measurements that future, longer term studies will build upon.





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59 1 Introduction:

The formation and evolution of clouds over the tropical island of Puerto Rico have been studied 60 over the course of many years, primarily with respect to the sources of cloud condensation 61 nuclei (CCN). Puerto Rico has been the site of these studies because of its fair-weather, 62 63 maritime flow and mostly clean atmosphere that leads to a mountaintop cloud that forms quite frequently throughout the year and can persist for several days (Allan et al., 2008; Gioda et al., 64 65 2013; Spiegel et al., 2014; Valle-Díaz, et al., 2016; Raga et al., 2016; Torres Delgado, 2021). In addition to the clean, maritime sources, the cloud studies have also identified particles 66 produced from upwind urban areas, both on the island of Puerto Rico as well as islands to the 67 east, where vehicular and other industrial emissions produced particles with organic carbon 68 and sulfates (Allan et al., 2008). African dust is also potentially an important source of CCN, 69 although the results are not conclusive as to how much cloud properties differ in the presence 70 of these particles (Spiegel et al., 2014; Valle-Díaz, et al., 2016; Raga et al., 2016; Torres 71 72 Delgado, 2021).

Airborne, primary biological aerosol particles (PBAP) are an important type of aerosol in the 73 74 tropics (Gabey et al., 2010, 2013; Stanley et al., 2011) that can encompass viruses $(0.01-0.3\mu m)$, pollen (5-100 μ m), bacteria and bacteria agglomerates (0.1-10 μ m), and fungal spores (1-30 75 µm) as well as mechanically formed particles, such as dead tissue and plant debris (Finnelly et 76 77 al., 2017). Furthermore, there is evidence that PBAP may influence the hydrological cycle and climate by initiating the ice nucleation process or acting as giant CCN (Möhler et al., 2007; 78 79 Pope, 2010). Upon emission from the biosphere, PBAP undergoes various physico-chemical changes (coagulation, photooxidation, surface coating, etc.) and are removed through dry and 80 81 wet deposition. In general, biological aerosol particles, until recently, have received less attention in the atmospheric science community for lack of appropriate equipment and the 82 83 associated measurements are expensive, labor intensive and often difficult to interpret (Cziczo 84 et al., 2006; Drewnick et al., 2008).

Puerto Rico has abundant plant life, e.g., a wide variety of trees, flowers, mosses and other types of flora; hence, an open question is if fungal or pollen spores produced from these plants might serve as CCN. The bioaerosol population in Puerto Rico, and in particular in the capital city of San Juan, has been studied extensively using analysis of substrates samples (Quintero et al., 2010; Rivera-Mariani et al., 2011; Rivera-Mariani et al., 2020). The objective of the





majority of these studies has been to evaluate the health effects of fungal and pollen spores on 90 91 the local population (Quintero et al., 2010; Rivera-Mariani et al., 2011; Ortiz-Martínez et al., 2015; Rivera-Mariani et al., 2020). The studies by Quintero et al (2010) are particularly 92 93 interesting with respect to the investigation reported here because they classified a wide variety 94 of fungal and pollen spores that were the most responsible for respiratory ailments of residents of San Juan. In addition, they could link the relative concentration of these spores to 95 meteorological factors. Hence, given that their studies indicated that bioaerosols are not only 96 97 produced in large quantities throughout the year, and highly correlated to local meteorology, it 98 is reasonable to investigate if these types of bioaerosols might also be correlated with CCN measurements if such particles are hygroscopic and can easily form water droplets under the 99 100 right conditions.

Prior to embarking on a longer-term project that will evaluate PBAPs and CCN under a wide range of conditions, a pilot study was designed to conduct an exploratory investigation of the properties of bioaerosols and CCN during September 2019. September was selected because not only did the Quintero et al. (2010) results show that this time of the year is when peak concentrations of spores are found, it is also a month of frequent cloud formation.

In order to identify the PBAP and investigate their potential sources, we used a realtime, 106 107 particle by particle approach rather than a methodology that requires capturing particles for offline analysis. There has been considerable progress made in the development of technologies 108 based on the working principle of ultraviolet light-induced fluorescence (UV-LIF) (Ho, 1999; 109 110 Huffman and Santarpia, 2017). The Wideband Integrated Bioaerosol Spectrometer (WIBS) and the Ultraviolet Aerodynamic Particle Sizer (UV-APS) are examples of instruments that can 111 detect PBAP by their fluorescence, in real time, particle by particle, over a wide size range 112 (Savage et al., 2017). The WIBS and the UV-APS have been used in atmospheric bioaerosol 113 114 studies such as ice nucleation activity of bioaerosol (Twohy et al., 2016), measurement of atmospheric fungal spore concentrations (Gosselin et al., 2016), and investigation of long-115 range transported bioaerosol in the tropics (Gabey et al., 2010; Whitehead et al., 2016) and at 116 high altitudes (Gabey et al., 2013). The WIBS is a three channel LIF instrument developed by 117 the University of Hertfordshire and manufactured by Droplet Measurement Technologies LLC 118 119 available with different versions (e.g., WIBS 4A and WIBS NEO) of slightly different optical and electronic configuration (Gabey et al. 2010; Perring et al. 2015). In parallel with the 120 measurement of fluorescent aerosol particles (FAP), the CCN and condensation nuclei (CN) 121

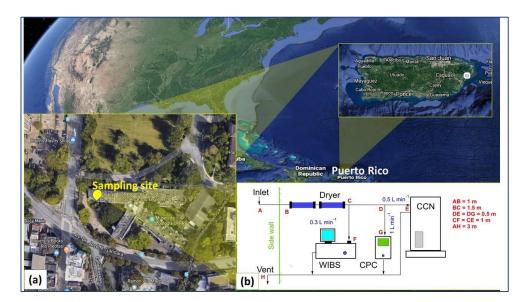




- 122 number concentrations were also measured in order to determine what fraction of the total
- 123 particle population was composed of CCN and FAPs.
- 124 The primary objectives of this exploratory study are to evaluate the physical properties of CN,
- 125 CCN and FAP, investigate correlations between CCN and FAP, analyze trends related to
- 126 meteorological factors, and compare the FAP measurements with those from previous studies
- 127 that documented fungal and pollen spores using off-line analyses.
- 128 2 Measurement and analysis methodology

129 2.1 Measurement site and experimental setup

The measurement site (Fig. 1a) is the Facundo Bueso (FB) building on the University of Puerto 130 Rico, Rio Piedras (UPR-RP) Campus (18°24'6.4"N, 66°03'6.5"W, 6 m a.m.s.l.), and for the 131 132 airborne spores were collected using the Hirst-type Burkard sampler (Burkard Scientific Ltd, Uxbridge, UK). located on the rooftop of the Medical Sciences Campus (MSC) of the 133 University of Puerto Rico (18°23'48" N, 66°4'30" W, 60 m a.m.s.l) both located in San Juan, 134 which is the urban capital of Puerto Rico (pop. 2,448,000). San Juan has a tropical climate, 135 receiving a significant amount of rainfall throughout the year. The FB site is surrounded by 136 137 various sources of emission such as residential cooking, roadway traffic, and vegetation. Because of the urban location, aerosol emissions from roadway traffic and nearby residences 138 139 contribute significantly to the total aerosol number concentrations at the site.



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Figure 1. (a) Sampling location in the FB building of UPR-RP (b) experimental setup comprising the cloud
condensation nuclei counter (CCN), condensation particle counter (CPC), and the wideband integrated
bioaerosol sensor (WIBS). This figure was generated using © Google Earth Pro 7.3.

144 Sampling was performed for eight consecutive days (September 16-23, 2019). The measurement setup consisted of two diffusion dryers (TSI model 3062) connected in series, a 145 146 cloud condensation nuclei counter (CCN-100, Droplet Measurement Technologies), a 147 condensation particle counter (CPC, TSI model 3772), and a wideband integrated bioaerosol sensor (WIBS-NEO, Droplet Measurement Technologies) (Fig. 1b). Atmospheric aerosol 148 samples were aspirated from the exterior through the sidewall of the laboratory (~ 3 m above 149 the ground) with conductive tubing (1/4") internal diameter and 1 m length). The aerosols were 150 dried as they passed through two diffusion dryers (< 10% RH) containing silica gel and then 151 continued on to a manifold connected to the WIBS, CCN100, and CPC which sampled at flow 152 rates of 0.3, 0.5, and 1 L min⁻¹, respectively. Particle losses due to sedimentation, diffusion, 153 and inertial separation along the sampling lines were calculated for each of the instruments 154 155 (Kulkarni et al., 2011). The particle sampling efficiency with respect to particle size is shown in the Supplement (Fig. S1). The sampling efficiency calculated for the particle size range 0.1-156 157 3 µm is greater than 80 %. However, the sampling efficiency is reduced greatly for particle sizes above 3 μ m. The experimental setup allows WIBS NEO to receive particles up to 6 μ m 158 with more than 60% efficiency. Due to calculation uncertainties, we have chosen not to correct 159 the data for these estimated losses because we are interested in the relative changes in the size 160 161 distributions with respect to time and meteorology. The absolute size of the particles is not a factor in our current analysis. 162

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164 2.2 Instrumentation

165 The CCN-100 used in this study is a continuous-flow, thermal-gradient, diffusion chamber that measures the concentration of aerosols activated as cloud droplets as a function of 166 supersaturation (SS). Aerosol samples are drawn into a 50 cm tall column (inner diameter 2.3 167 cm) whose inner walls are saturated with water. A series of heaters are controlled to maintain 168 a temperature gradient from cooler to warmer as the particles move down the chamber. The 169 170 difference in diffusion rates between heat and water vapor creates a supersaturated environment at the centerline of the cylinder. Those aerosol particles that can activate as a cloud droplet at 171 172 the constant SS in the chamber will begin growing as water molecules diffuse to the particle





173 surface and at the downstream of the column, an optical particle counter measures the number 174 size distribution of the cloud droplets within the size range of $0.75-10 \mu m$. For more an in-175 depth description of the operating principle and calibration procedures the reader is directed to 176 the paper by Roberts and Nenes (2005). In our study, the supersaturation (SS) was maintained 177 at 0.3%, a SS that is in the range of what would be encountered in convective clouds similar to 178 those that form over the island of Puerto Rico (Duan et al., 2012: Uin et al., 2016).

To measure the total concentration of environmental particles > 0.01 μ m we used a butanolbased, condensation particle counter (CPC, model 3772 TSI) where the aerosol sample is drawn continuously through a heated saturator in which butanol vapor diffuses into the aerosol stream. An external vacuum pump was used to draw the aerosol samples at 1 L min⁻¹. This CPC employs a single particle count mode to measure the particle number concentrations up to 10⁷ L⁻¹ at an accuracy ±10%. The detailed design and working principle of the CPC are described by Stolzenburg and McMurry (1991).

The WIBS measures the fluorescent characteristics of aerosols using ultraviolet, light-induced 186 fluorescence (UV-LIF) (Kaye et al., 2005; Stanley et al., 2011). This instrument provides 187 detailed information on fluorescing bioaerosols on a single particle basis. The detection 188 principles of the WIBS are discussed elsewhere (Kaye et al., 2005) and briefly described here. 189 Atmospheric particles are drawn into the WIBS via a laminar flow delivery system and pass 190 through the path of a continuous-wave diode laser (635 nm), which acts as a source for particle 191 sizing and shape detection. The total flow is approximately 2.4 L min⁻¹ of which 2.1 L min⁻¹ is 192 introduced in the form of sheath flow (i.e., filtered air) and 0.3 L min⁻¹ is sample flow to 193 maintain the particle alignment with the 635 nm laser. The forward scattering of the light is 194 detected by a quadrant photomultiplier tube (PMT) and is used to determine the asphericity 195 factor (AF) of the particles which roughly estimates the shape of the particles (Gabey et al., 196 197 2010). Experimental evidence shows that the AF is near zero for a perfectly spherical particle, while it approaches 100 for a fiber or rod-like aerosol particle (Kaye et al., 2007; Gabey et al., 198 2010). The light scattered from the diode laser is used to activate, sequentially, two Xenon 199 lamps that are filtered to illuminate the particles with UV light at 280 nm and 370 nm, 200 201 respectively. The wavelengths were specifically selected to excite fluorescence in particles 202 containing tryptophan (280 nm) and nicotinamide adenine dinucleotide (NADH, 370 nm). The 203 fluorescence from the 280 and 370 nm excitations is recorded by PMT detectors, one that is 204 filtered for 310-400 nm emissions and the other for 420-650 nm. Hence, when a particle is 205 excited at either of the incident wavelengths, there are four possible responses: 1) no





fluorescence is detected, 2) when excited at 280 nm the particle fluoresces at a wavelength in 206 207 the 310-400 nm waveband (FL1), 3) when excited at 280 nm the particle fluoresces at a wavelength in the 420-650 nm waveband (FL2), or 4) when excited at 370 nm the particle 208 209 fluoresces at a wavelength in the 420-650 nm waveband (FL3). The fluorescence 210 characteristics of an individual particle are determined in any of the three fluorescence channels when its fluorescence emission intensity exceeds a baseline threshold. The baseline threshold 211 212 is determined using the approach by Perring et al. (2015) that incorporates the daily data sets 213 to remove background artifacts. Particles that exhibit fluorescence lower than the baseline 214 threshold were treated as non-fluorescent particles. A particle that fluoresces when excited by 215 either of the xenon lamps may also produce emissions in both the 310-400 and 420-650 216 wavelength; hence, from the FL1, FL2 and FL3 signals there are seven possible combinations, 217 generally accepted by the WIBS community, that have been designated fluorescence types A, B, C, AB, AC, BC, and ABC (Perring et al., 2015). Types A, B and C refer to particles that 218 fluoresce only in FL1, FL2 and FL3. The other four types are the respective combinations of 219 the A, B, and C. 220

221 It should be noted that A and C channels are highly sensitive to fluorescent bioaerosol particles 222 whereas the B channel is cross sensitive to non-biological aerosols (Gabey, 2011). Using the 223 fluorescence data for single particles from these three individual channels, the FAP can be characterized and discriminated from non-biological aerosol particles. Based on the above 224 225 description, the WIBS records the optical size, particle asphericity factor (AF), fluorescent 226 excitation-emission matrix and the total number concentration (N_{WIBS}), which includes nonfluorescing, and total FAP on single particle mode collected within the size range from 0.5 to 227 228 30 µm. Before deployment, the WIBS was factory calibrated for the size, sphericity and 229 fluorescence using reference fluorescent polystyrene latex spheres which are traceable to National Institute of Standards and Technology (NIST). 230

Note, it is important to emphasize that although the WIBS was designed to detect fluorescence 231 from biological particles, it is unable to unequivocally differentiate what type of bioaerosol 232 fluoresced, e.g., if the particle was bacteria, fungus, or pollen. There are a number of studies, 233 234 such as those by Hernandez et al. (2016), that have used the WIBS in laboratory studies to 235 characterize a variety of species of bacteria, fungi, and pollen. Such studies have shown that 236 these three types of bioaerosols fall in general categories of size and fluorescence type. These categories will be discussed further on in this paper in the context of comparing the FAP 237 238 characteristics in San Juan to those reported in controlled laboratory experiments.





The CPC measurements, taken at 1 Hz, are averaged to five minutes intervals for comparison with the WIBS and CCN measurements that are also averaged in five-minute intervals. In addition, the particle by particle (PbP) data from the WIBS are used to create size distributions and analyze fluorescence properties and interrelationships in greater detail.

243 2.3. Fungal spore data

244 The fungal spore data were obtained from the department of Microbiology of the Medical Sciences Campus of the University of Puerto Rico. The enumeration of outdoor spores used 245 246 the 12-traverse methodology proposed by the British Aerobiology Federation (Caulton and Lacey, 1995). Airborne spores were collected using a volumetric Hirst-type sampler, 247 specifically a Burkard (Burkard Scientific Ltd, Uxbridge, UK). This equipment was located on 248 the rooftop of the Medical Sciences Campus of the University of Puerto Rico, 30 meters above 249 ground level. The Burkard 24-hr trapping system worked continuously with an intake of 10 250 liters of air/min. Fungal spores were impacted on a microscopic slide coated with a thin layer 251 of 2% silicon grease as a trapping surface. The slide was changed daily and mounted on 252 253 polyvinyl alcohol (PVA) mounting media for microscopic examination. Counting was done on each preparation along 12 traverse fields every 2 hours for a total of 12 hours on the 254 longitudinal traverse. Spores were identified based on their morphological differences 255 (Quintero et al. 2010). The identification was performed by means of a bright-field optical 256 257 microscope NIKON Eclipse 80i microscope (Nikon Manufacturing), using a magnification of 258 1000X.

259 2.4. Meteorological data

Hourly meteorological data e.g., temperature (C), relative humidity (RH, %), wind speed (WS,
m/s) and wind direction (Degree) were provided by the department of natural science taken
from a weather station that is ~800 m from the aerosol instrumentation.

263 2.5. Air mass back trajectories

264 Twenty-four-hour air mass back trajectories, ending at 100 m above mean sea level, were

obtained from the Hybrid Single Particle Lagrangian Integrated Trajectory Model (GDAS, 1-

degree resolution, HYSPLIT) to identify the possible source of the aerosols.

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268 3 Results and Discussion

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269 **3.1 Time series of the particle number concentrations**

- Figure 2a shows the temporal pattern of particle number concentrations of CN, CCN (at 0.3% 270 SS) and N_{WIBS} (0.5 - 30 µm) averaged in 10-minute intervals. The average particle number 271 concentration measured by the CPC was $3\pm1\times10^{6}$ L⁻¹. This value is higher than the CN number 272 concentrations reported previously at other more remote locations on the island, such as at the 273 northeast coastal site of the Cabezas de San Juan nature reserve and at the Pico del Este, in El 274 Yunque National Forest, where the CN concentrations were $9\pm5\times10^5$ and $11.6\pm3\times10^5$ L⁻¹, 275 276 respectively, both as reported by Allan et al. (2008). The CN concentrations show systematic, daily trends that reflect the motorized vehicle traffic and nearby residential emissions, the latter 277 mostly from cooking. The mean CCN concentration of $1.5\pm0.5\times10^{5}$ L⁻¹ is about 20 times lower 278 279 than the CN, i.e. only about 5% of the measured aerosol particles would activate as cloud 280 droplets at a SS of 0.3%. This implies that particles over the site are mostly non-hygroscopic 281 or of low hygroscopicity.
- The number concentrations of N_{WIBS} and FAP were $7.3\pm5\times10^4$ and $5\pm3\times10^3$ L⁻¹, respectively, 282 283 which are approximately 40 and 600 times lower than the CN concentrations. Given the differences in the lower size thresholds for the CPC and WIBS with respect to the smallest 284 detectable particle, 10 nm for the CPC and 500 nm for the WIBS, this implies that about 98% 285 of the particles are smaller than 500 nm. The FAP concentrations showed a systematic daily 286 cycle where nighttime particle concentrations were relatively higher than during the daytime, 287 this is being driven primarily by the type ABC FAPs as illustrated in Fig. 2b where the type 288 289 ABC concentrations are mostly much higher than other types. The types AB and AC 290 concentrations have trends similar to the type ABC.





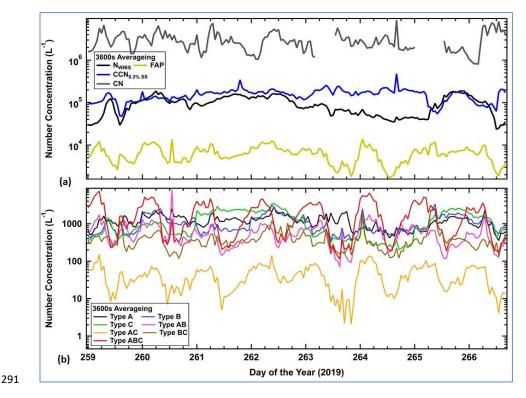


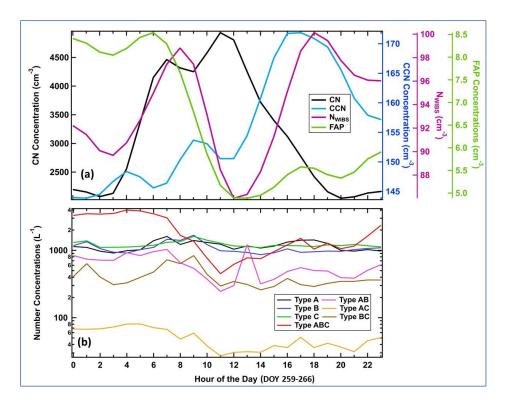
Figure 2. Time series of the number concentrations. (a) CN, CCN, N_{WIBS} and FAP. (b) FAP types A, B, C,
AB, AC, BC, and ABC. The gaps in time of the CN concentrations were when the CPC was offline.

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295 In the time series of number concentrations in Fig. 2 there are what appear to be periodicities 296 in the CN, CCN and FAP. This periodicity is seen more clearly in the concentrations averaged by the time of the day (over the whole measurement period) as shown in Figs. 3a and 3b. Figure 297 3a highlights the diel trend in CN concentration (black curve) that begins increasing at 4 am, 298 299 reaches an initial peak at 7 am, is followed by a second peak four hours later at 11 am then begins decreasing the remainder of the day. The CCN concentration shows an increasing trend 300 301 early in the morning but doesn't start its rapid increase until midday when it begins increasing 302 until reaching its peak around 4 pm, five hours after the peak in the CN. The NWIBS and FAP 303 concentrations are drawn in magenta and green, respectively. The N_{WIBS} shows an increase in 304 the morning with an initial peak at 7 am, similar to the CN; however, these concentrations then 305 decrease until peaking again in the late afternoon, an hour after the CCN peak. The average 306 FAP concentrations remain elevated between midnight and 6 am, after which they decrease by 307 about 30% and remain fairly constant the remainder of the day.







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Figure 3. (a) Hourly concentrations of CN (black curve) and CCN at 0.3% supersaturation (solid blue
 curve), N_{WIBS} (magenta) and FAP (green) concentrations. (b) Hourly concentrations of the seven types of
 FAP.

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Figure 3b displays the hourly behavior over the averaged, 24-hour period of the seven types of FAP. For all of the types except the AC and ABC, the FAPs remain relatively constant throughout the day. The types AC and ABC are elevated in concentration between midnight and around 6 am, both about a factor of three higher during those hours than during the remainder of the day. Although the types AC and ABC follow the same trend, the type ABC is about 50 times larger and dominates the FAPs during those hours. During the remainder of the day, the types A, B and C are approximately equal in concentration.

320 **3.2** Temporal trends of the particle size distribution

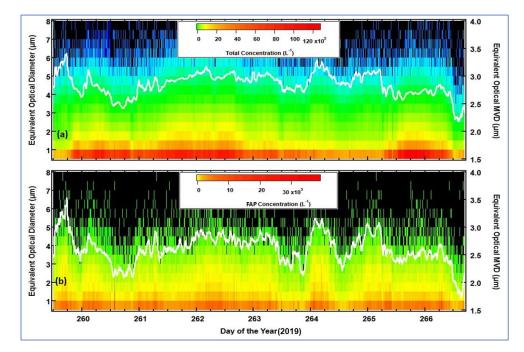
Figure 4 shows the size distributions of total (Fig. 4a) and FAP (Fig. 4b) number concentrations
measured from September 16 to September 23, averaged in 10-minute intervals. The color

323 scale is the log of the concentration. The white curves are the average median volume diameters





for the total (Fig. 4a) and FAP (Fig. 4b). The total number size distributions show an irregular trend of increasing concentrations over all sizes, usually occurring around midday on all days except on the day of the year (DOY) 264 when the size distributions remain approximately the same throughout the day. In contrast, the FAP size distributions have a more regular, daily pattern whereby the concentrations increase over all sizes to a maximum between midnight and 6 am.



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Figure 4. Time series of total (a) and (b) fluorescent particle size distributions measured by WIBS for the
 period September 16-23 (DOY 259-266), 2019. The white curves are the average median volume diameters
 (MVD).

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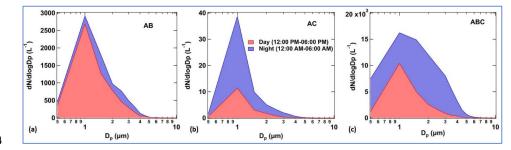
335 The daily trends of the size distributions of the seven different types of fluorescent particles 336 are shown in the Supplement (Fig. S2). where we observe that ABC and AB types were dominant at the site with a unique and systematic diel cycle. Reflecting the behavior of the total 337 concentrations in Fig. 3b, the average EODs of ABC and AB type particles increase at night 338 when the particle size grows to $>4 \,\mu\text{m}$ by midnight. Fluorescent type A does not show any 339 specific temporal trend while types B and C have periods when the concentrations increase 340 over all sizes but do not follow the trends of the ABC and AB types particles. The type BC and 341 342 AC particle concentrations are much lower than the other types.





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The increases in the modal diameter from daytime to nighttime, seen in the Supplement (Fig. 344 345 S2), particularly for the types AB, AC and ABC, was further investigated by comparing the size distributions averaged at night and in the daytime. We have plotted the particle size 346 347 distributions for these three FAP types (Fig. 5), averaging from noon to 6 pm (red shading) and 348 from midnight to 6 am (blue shading) over the whole measurement period (DOY 259-266). 349 These two periods represent time intervals when the number concentrations and average sizes exhibit the largest differences. All three FAP types show a shift towards larger sizes from 350 daytime to nighttime; however, the type ABC particles have the most distinctive shifts (Fig. 351 5c), indicative of both a general increase in concentration over all sizes but a very clear, larger 352 353 increase proportionately at EODs larger than 2 µm.



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Figure 5. The size distributions from types (a) AB, (b) AC and (c) ABC were averaged from 12 pm to 6 pm (red shading) and from midnight to 6 am (blue shading) for the eight days of the project.

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358 3.3 Asphericity

359 The asphericity derived from the quad detector of the WIBS is a relative indicator of the shape of each particle as shown in the supplement (Fig. S3) for FAP type (AB, AC, and ABC) and 360 361 for all particles including the non-FAP. The color scale shows the average asphericity at each size interval over the duration of the project. Among the fluorescent types, the asphericity of 362 ABC particles shows a mode between 2 and 4 µm during nighttime, especially at midnight. 363 364 The asphericity size distributions of all particles show a broader mode of enhanced asphericity 365 between 2 and 4 µm that varies somewhat but not in a noticeable diel pattern. Note that particles with asphericities < 20 are generally considered quasi-spherical so that the values that are 366 shown here indicate slight changes in shape, on average, of type ABC particles, as well as all 367 particles, but the overall population of particles can be considered quasi-spherical. 368





369 Asphericity of non-fluorescent (non-FAP) particles was always observed higher than the FAP

- 370 (Fig. S3d in the Supplement). The higher values of asphericity of non-FAP particles can be
- seen between size 3 and 6 μ m every day and dominate on DOY 261 and 262.

372 3.4 Air mass back trajectories

Air masses arriving at the site on DOY 259 and 264 were coming from the northeast of the 373 374 island originating 24-hours earlier over the Atlantic Ocean. The air masses that arrived on DOY 375 260-63 and 266 were from the southeast of the island and on DOY 265 the air was from the 376 south-southeast. Figure S4a shows that all of the air masses had been < 50 m above the surface the entire 24-hour period before arriving at the measurement site. The one exception was on 377 DOY 259 when the air mass had stayed above 200 m from 12 to 24 hours then began 378 descending as it approached the island. This same air mass was associated with rain formation 379 380 close to the measurement site (Fig. S4b in the Supplement). The air mass on DOY 264 came across the islands to the SE of Puerto Rico (e.g., Culebra and the British Virgin Islands), 381 possibly mixing the marine aerosols with polluted emissions before arriving at the 382 measurement site. Likewise, the air mass trajectory on DOY 260 and 262 crossed over the 383 Vieques and US Virgin Islands at a low altitude, likely mixing with anthropogenic emissions. 384 The air mass arriving on DOY 261 is comparatively dry as no rainfall happened along its 385 386 trajectory, but it also crossed over the Vieques island. The remainder of the air masses were 387 presumably not impacted by anthropogenic emissions until arriving over the Puerto Rico landmass. 388

389 3.5 Meteorological data

Figure S5 shows the temperature and relative humidity (RH) (Fig. S5a in the Supplement), and 390 the wind speed, wind direction and precipitation (Fig. S5b in the Supplement). The average 391 392 wind speed, temperature, and RH for the period of measurement were 2.8 ± 2.4 m/s, 29 ± 2 °C, 393 and 77±11 %, respectively. The DOYs 259 and 265 received significant rainfall of 1.35 and 394 1.84 inches, respectively. Note that these two days are also those that the back trajectory analysis indicated that rain had formed in the arriving air masses. The wind speed and direction, 395 temperature, and RH show a systematic daily cycle where the wind speed and temperature 396 397 peaked during midday (2.8 \pm 0.7 m/s and 33 \pm 1 °C), and the RH peaked around midnight (91 \pm 3 398 %). Wind profiles at the measurement site show that the air was flowing from 135°- 250° during the night then from 93°-134° during the daytime. On DOY 262 and 265, as well as the afternoon 399 of DOY 259, the winds are comparatively low. The average wind speeds at night (0.24 ± 0.2) 400





- 401 m/s) compared to those during the day (2.8 \pm 0.6 m/s) suggest generally calm wind conditions
- 402 that are normal this time of year when not under the influence of tropical storms.

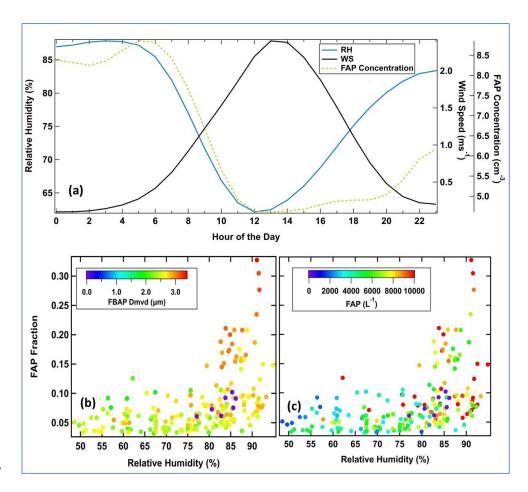
403

To further highlight the relationships between the meteorological conditions and FAP, we computed the hourly averages of RH, wind speed and FAP concentrations during each 24-hour period over the eight-day period and compared them in Fig. 6a. The RH and FAP concentrations are maximized during the hours from midnight to 6 am while wind speed is at a minimum during those hours, maximizing a little after midday.

409 The relationship between RH and the FAP fraction is further underscored in Fig. 6 where there appears to be an RH threshold below about 80% that the FAP fraction remains below 0.1 but 410 411 then increases rapidly to greater than 0.3 as the RH exceeds 90%, i.e. less than 10% of the particles measured in the size range of the WIBS were FAP when the RH < 80%, but increases 412 413 to more than 30% at high humidities. The difference between Fig. 6b and 6c are how the 414 markers are color coded. In Fig. 6b, the coloring denotes the equivalent median volume diameters (D_{mvd}) and in Fig. 6c, the coloring is the FAP concentration. Both the D_{mvds} and 415 concentrations increase with increasing humidity and FAP fraction. 416







417

Figure 6. (a) hourly averages of relative humidity (blue curve), wind speed (black) and FAP concentration (green dotted). (b) link between fluorescent fraction and relative humidity (FAP particle volume weighted equivalent optical diameter. D_{mvd}, on the color scale). (c) fluorescent fraction and relative humidity (FAP particle concentration on the color scale). The data in all the figures averaged over DOY 259-266.

422

423 **3.6. Fungal spore data**

The time series of the fungal spores, measured on the rooftop of the medical science building at the university, are shown in Fig. 7. Similar to the FAP number concentration and fraction, the spore concentrations have a diel trend with maxima around midnight. The average concentrations were 48 ± 42 L⁻¹ with a maximum 112 ± 44 L⁻¹ around midnight. We calculated positive correlations of 0.7, 0.47, and 0.54 between the total spore concentration and concentrations of FAP types ABC, AB, and AC types, respectively. Previous studies for this





region reported the most common fungal genera detected were the Basidiospores and 430 Ascospores (Quintero et al., 2010; Rivera-Mariani et al., 2011). Figure 7b illustrates the 431 distributions of outdoor fungal spore types recorded at MSC of the University of Puerto Rico. 432 433 Fungal species were identified and distributed different categories, referred to as hyphae or 434 filamentous spores, macroconidia >10 μ m, microconidia 3-10 μ m, microconidia < 3 μ m, and unidentified spores presented in others category. We observed that the microconidia 3-10 µm 435 436 contributed the highest (81%) fraction to the total fungal species followed by microconidia (<3 μ m) 3.86% and Macroconidia (>10 μ m) 1.8%. We observed that the Basidiospores contributed 437 438 the highest (49.4%) fraction to the total fungal spores type followed by Ascospores 19%, 439 Diatrypaceae 8.6% and Penicillum/Aspergillus 3.86%. The mean concentrations of dominant species such as Basidiospores, Ascospores, Diatrypaceae and, Penicillum/Aspergillus were 440 24 ± 20 , 9.3 ± 4 , 4 ± 3 and 2 ± 1 L⁻¹ during the study period. The Ascospores and 441 Penicillum/Aspergillus had more elevated concentrations during the night while Diatrypaceae 442 concentrations were generally higher during the daylight hours. 443

444 These species were the most common airborne spores in San Juan, present throughout the year and predominated during September (the rainy month). The WIBS's ABC and AB types were 445 likely the Basidiospores and Ascospores (Fig. 7b). The other genera most frequently detected 446 447 were the Penicillium/Aspergillus, Cladosporium and Ganoderma, present at low concentrations reported by Quintero et al. (2010). Those species possibly corresponded to WIBS's AC types 448 which were systematic and relatively low concentrations. These fungal spores, present between 449 the midnight and early morning period, suggest an active release mechanism induced by the 450 451 increased humidity under calm wind conditions, in concordance with the findings reported by 452 Quintero et al. (2010).





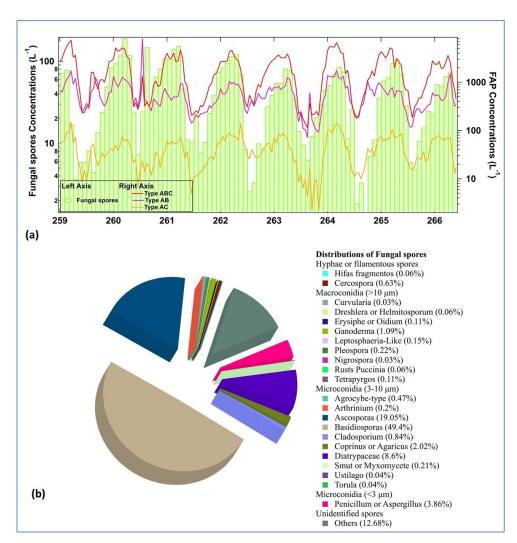




Figure 7. (a) time series particle number concentrations of fungal spores (left axis) measured at the Medical
science department and the FAP types ABC, AB and AC (right axis) detected by WIBS at FB site. (b)
distributions of outdoor fungal spores recorded at Medical Sciences Campus, San Juan.

457

458 4. Discussion

From the results presented above, the following features stand out: 1) The CN, CCN and FAP
concentrations have daily patterns during which each maximizes at a different hour of the day,
2) the periodic FAP concentration is predominantly of the type ABC that reaches a daily
maximum around midnight and the asphericity of this type of FAP increases slightly during





the same time period, 3) the RH reaches a maximum each day around midnight, 4) the wind speeds are a minimum around midnight and 5) an independent analysis of bioaerosols using fluorescence microscopy to identify spore types revealed a periodicity of spore concentrations that was highly correlated with the RH and FAP type ABC concentrations.

467 Referring back to Fig. 3a, the CN, CCN, NWIBS, and FAP concentrations all exhibit a diel periodicity but with peak values occurring at differing hours. The CN population encompasses 468 all environmental particles larger than about 10 nm and are dominated by anthropogenic 469 aerosols, i.e., those produced from residential cooking and local vehicular traffic. Given that 470 the WIBS measures the concentration of particles larger than $0.5\mu m$, and that the total 471 concentration has an average peak maximum of 100 cm⁻³, compared to a maximum CN 472 473 concentration 5000 cm⁻³, this implies that 98% of the particles have sizes smaller than 0.5μ m. A comparison of the maximum CCN and N_{WIBS} concentrations, 170 cm⁻³ vs 100 cm⁻³, leads us 474 to conclude that most of the CCN are in sizes greater than 0.5µm. Likewise, since the maximum 475 476 CCN concentrations are only about 2% of the CN values, this suggests that most of the CN have low hygroscopicity, a characteristic of fresh combustion particles. 477

The trends in the CN concentrations suggest that there are early morning activities that are 478 producing emissions of anthropogenic particles. The two peaks are a result of the combination 479 of two traffic patterns: the general city traffic as workers commute to jobs that are not on the 480 481 university campus and vehicular traffic of university workers whose starting hours are later 482 than the city workers. In Fig. 1a, the sampling site is located near the intersection of two major 483 streets that carry both types of traffic. Unlike many large urban areas where morning and evening rush hour traffic can be distinctly seen in the CN measurements, there is a smaller 484 density of cars during the evening commute than in the morning in San Juan. 485

486 The correspondence between the times of the two N_{WIBS} maxima and the CN and CCN peaks suggests that the $> 0.5 \mu m$ particles measured with the WIBS in the morning are a different 487 488 mixture of compositions than the particles in the afternoon. The morning N_{WIBS} peak lags the first CN peak by an hour, likely as result of the primary emissions producing particles that grow 489 490 into the size range of the WIBS; however, with the sunrise at around 6 am, temperatures begin 491 increasing and the material in the more volatile particles begin to evaporate until the particle sizes decrease below the threshold of the WIBS. Some dilution will be occurring as the 492 boundary layer deepens with increasing temperatures, but this is a secondary effect as we do 493 494 not see the CN concentrations decrease with the decrease in NWIBS. Since the CCN





495 concentrations remain low during this period, this implies that either the particles did not grow 496 large enough to be good CCN or their composition is non-conducive for forming CCN. In the early afternoon we observe the CCN concentrations beginning to increase until reaching their 497 498 late afternoon peak. The NWIBS follows a very similar trend but lagged with respect to the CCN 499 by a couple of hours. This afternoon trend in CCN has been identified in other large, polluted urban areas as the result of photochemical reactions producing hygroscopic, secondary organic 500 501 aerosols (SOA) from photochemical reactions (Baumgardner et al., 2004). The N_{WIBS} is offset 502 a couple of hours due to the time needed for the SOA particles to grow by condensation and 503 aggregation. Finally, the FAP concentrations only begin increasing late in the evening after the 504 CCN has maximized and their concentrations are less than 10% of the CCN. This suggests that 505 if FAP are good CCN, they do not contribute significantly to the overall CCN population.

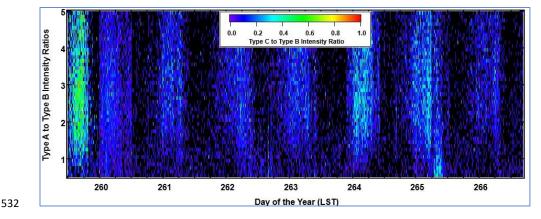
506 Quintero et al. (2010) concluded that the release of the fungal spores that they measured and speciated was triggered by high RH and were found in multiple locations. Pollen spores, on the 507 508 other hand, could not be linked conclusively to any meteorological factor. Hence, given these previous results in comparison with the correlations that we have observed in the current study 509 510 (Figs. 6 and 7), the type ABC fluorescing particles are most clearly linked to Basidiospores and Ascospores, the two species that made up the largest fraction of fungi measured with the 511 512 fluorescence microscopy. The hourly averages in Fig. 3b also showed that the type ABC only was predominant during the same period as the Basidiospores and Ascospores but then the 513 other fluorescence types A, B and C were equally present during the remainder of the day. This 514 is mirrored by the spore types shown in Fig. 7 that change in relative mixture during the day. 515 Hence, by observing the relative changes in the seven FAP types, we get a qualitative measure 516 517 of the changing population of bioaerosols.

The WIBS characterizes the fluorescent aerosol particles using two-wavelength excitations and 518 519 two-wavelength emissions. The sensitivity of types A and B to the intensity of the emissions in the 310-400 and 420-650 nm wavelength bands, when excited at 280 nm, in comparison to 520 the intensity of emissions at 420-650 nm when excited at 370 nm has been exploited in the 521 study by Ziemba et al. (2016) to identify differences in bioaerosol types as they relate to 522 523 differences in FAP sources. In their study they were able to show a clear grouping of FAPs 524 linked to source regions by plotting the ratio of type A to type B (emission sensitive) versus 525 the ratios of type C to type B (excitation sensitive). We have followed a similar scheme; 526 however, whereas the Ziemba et al (2016) study used a WIBS on an airborne platform flying 527 over various land usage types, our site was fixed so we compute these ratios as a function of





- time rather than location. Figure 8 illustrates the periodicity of the type C to B ratio, increasing
- 529 during those time periods that the type ABC concentrations were also increasing. Just as the
- sizes of FAP were seen to increase during these periods (Fig. 5), indicative of a change in FAP
- 531 type, the shift in the type C/type B reflects the differences in the fungal spore types.



- Figure 8: Temporal distribution of emissions wavelength dependence (Type A to Type B) vs excitation
 wavelength dependence (Type C to Type B).
- 535

Hence, the changes in size distribution, asphericity, type C/B ratios, and speciated spore
concentrations, all occurring during the same time of day, provide independent verification that
a different type of PBAP is being produced during the periods of high RH than during the other
periods of the day.

The strong correlation between spore release and RH that has been highlighted in this study
has been previously reported, e.g., (Oliveira et al., 2005; Chi and Li, 2007; Gabey et al., 2013;
Calvo et al., 2018, Toprak and Schnaiter, 2013; Healy et al., 2014). None of those studies,
however, were from tropical regions nor they include the asphericity and FAP type rationing
to quantify their results.

Some laboratory studies have been conducted to measure the fluorescence characteristics of a small variety of bacteria, fungi, and pollen, for example Hernandez et al. (2016) determined that bacteria, fungi and pollen could be generally grouped according to the size and FAP type. In their study, very few fungal spores were of the FAP type ABC such as found in the current study. Instead, the majority of their fungi were types A and AB while the majority of type ABC spores were pollen, not fungi. On the other hand, the Hernandez et al. (2016) study did not test





- any of the major fungi species that were measured in the natural environment of Puerto Rico,
- 552 i.e. Basidiospores and Ascospores.
- 553

554 5. Summary and Conclusions

555 A pilot study was conducted to evaluate the fluorescence and cloud condensation nuclei (CCN) 556 properties of urban aerosols in San Juan, Puerto Rico, the first time such measurements have 557 been made in this tropical city. Previous CCN measurements have been made on this island at coastal and rainforest sites, but no research has been pursued to see if bioaerosols are directly 558 559 linked to CCN. There have been a number of laboratory studies conducted by other researchers who evaluated the CCN activity of various bacteria, fungi and pollen. Although some types of 560 561 bioaerosols were found to be potential CCN, many others were not. Hence, the importance of 562 bioaerosols as cloud forming particles remains an open question. The very large concentrations 563 of fungal spores produced by flora in Puerto Rico, as reported by Quintero et al. (2010), along with the results from our pilot study, provided the initial motivation for the study reported here 564 565 to assess if bioaerosols might contribute to the frequent cloud formation over the island.

The measurements were made from the Facundo Bueso building within the University of Puerto Rico, Rio Piedras Campus, from September 16-23, 2019, an urban location that experiences emissions from local residential cooking, vehicular traffic and a wide variety of flora. The site is located close to the intersection of two major streets carrying local business as well as university traffic.

In the pilot experiment, the CCN concentrations were measured with a commercial CCN 571 spectrometer set at 0.3% supersaturation and the fluorescent properties were also measured 572 573 with a commercial instrument, the Wideband Integrated Bioaerosol Spectrometer (WIBS). It 574 is important to note that bioaerosols are not the only type of aerosol particle that will 575 autofluoresce when excited at the wavelengths used in the WIBS, although care was taken to 576 minimize interference from non-biological particles. Therefore, what is reported in the current study are the properties of fluorescing aerosol particles (FAP) without specifically labeling 577 them as biological. In addition to measurements of CCN and FAP, the total concentration of 578 579 condensation nuclei (CN) was documented with a condensation particle counter.

The mean number concentration measured by the CCN counter at 0.3% SS was (1.5 ± 0.5) ×10⁵ L⁻¹, which was about a factor 21 lower than the average CN concentration $(3 \pm 1) \times 10^6$ L⁻





¹. The mean FAP concentration was $(5 \pm 3) \times 10^3 \text{ L}^{-1}$, which was a small fraction (~7%) of total aerosol particle number concentration, N_{WIBS}, measured by the WIBS, whose lower size threshold is 0.5 µm.

The CN, CCN, NWIBS, and FAP concentrations all have diel trends but their maxima occur at 585 586 varying hours of the day. The CN peaks at 6 am and 11 am due to business traffic and university traffic that have different rush hours. The CCN reaches its maximum value at 4 pm as 587 588 photochemical processes produce secondary organic aerosols (SOA) that are hygroscopic in composition. The N_{WIBS} is bimodal with the morning peak at 8 am, reflecting rush hour 589 emissions whose particles grow into the size range of the WIBS and then the second maximum 590 at 6 pm as the SOA grow to measurable sizes. The diel trends in the FAP concentrations are 591 592 not correlated with the CN, CCN or N_{WIBS} as they remain fairly constant throughout the 593 daylight hours but then rapidly increase to their maximum value that extends from midnight 594 until 6 am.

The FAP are classified according to the wavelength at which they were excited and wavelength at which they emitted fluorescence. These types have been categorized as A, B, C, AB, AC, BC, and ABC. In the current study the types A, B, C and ABC all had average concentrations during the daylight hours of about 1000 L^{-1} while the other three types were much lower in concentration; however, only the type ABC showed the rapid increase in concentration, to almost 5000 L^{-1} , between midnight and 6 am.

Independent measurements using fluorescent microscopy of spores captured on substrates were made during the same time period. Although more than 20 species of spores were identified with this technique, the fungi Basidiomycetes and Ascomycetes were not only the most predominant, but they were also the spores that followed an almost identical diel trend as the type ABC FAP, i.e. remaining nearly constant in concentration during the daylight hours then increasing in the evening to their maxima between midnight and 6 am.

The other environmental parameters that also correlated significantly with the temporal trends in fungal spores and FAP were the relative humidity (RH) and the wind speed. As the RH began to increase in the late afternoon, the spore counts and FAP concentrations began increasing as well. A comparison of RH with FAP concentrations indicates that the FAP concentrations begin increasing above an RH threshold of about 80%. Spores are released by a number of species of fungi when the RH increases, as has been well documented in other





studies (Quintero et al., 2010). Hence, the relationship between RH, Basidiomycetes andAscomycetes and the type ABC FAP has been clearly established.

615 Three additional properties of the FAP were extracted from the WIBS measurements that 616 provided indirect but complementary information that showed how the type ABC particles were related to the Basidiomycetes and Ascomycetes: 1) the size distribution, 2) the asphericity 617 618 and 3) the excitation and emission sensitivity parameters. The type ABC particles during the 619 high RH periods had much higher concentrations of particles larger than 2 µm when compared to the size distributions of these particles in the daylight hours. Secondly, the asphericity 620 increased during the high type ABC concentration period. Thirdly, excitation sensitivity 621 parameters increased during this same period. While not quantitative, these three parameters 622 623 confirmed that the particles whose concentrations were increasing had different properties than 624 during other periods of the day.

The trend in the CCN concentration was not directly correlated with the FAP, so we cannot conclude that bioaerosols are a potential source of cloud forming particles. In addition, the FAP concentrations were less than 10% of CCN concentrations, so even if some FAP are potential CCN, the clouds that develop over the island are more likely formed from marine aerosols rather than locally produced fungal spores.

630 The results from this pilot study have provided strong motivation for longer term measurements 631 that will expand the database of aerosol particle properties in a tropical, urban area. The detailed 632 information on fungal spores in this region, in comparison with the multi-parameter data 633 available from the WIBS, will improve our ability to interpret these measurements of FAP and 634 apply this knowledge to data sets acquired in other parts of the world.

635 Data availability

636 Data used to support the findings in this study have been uploaded and are publicly available

- 637 via Mendeley at <u>https://data.mendeley.com/datasets/t26dctfk7t/1</u> (Sarangi et al., 2021).
- 638 Author Contribution

BS designed the study in consultation with OLMB and performed the measurements. BBR
performed the measurements of fungal spores and pollen concentrations. DB and BS performed
the analysis, interpreted the results and wrote the paper with contributions from OLMB and
BBR.





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