1	Secondary Organic Aerosols from OH Oxidation of Cyclic Volatile Methyl Siloxanes as an Important
2	Si Source in the Atmosphere
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12	Short summary: We presented yields and compositions of Si-containing SOA generated from the reaction
13	of cVMS (D3-D6) with OH radicals. NOx played negative roles on cVMS SOA formation, while ammonium
14	sulfate seeds enhanced D3-D5 SOA yields at short photochemical ages under high-NO <sub>x</sub> conditions. The
15	aerosol mass spectra confirmed that the components of cVMS SOA significantly relied on OH exposure. A
16	global cVMS-derived SOA source strength was estimated to understand SOA formation potentials of cVMS.
17	
18	Abstract
19	Cyclic volatile methyl siloxanes (cVMS) are active ingredients in widely used consumer products, which
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	can volatilize into the atmosphere, thus attracting much attention due to their potential environmental risks.
21	can volatilize into the atmosphere, thus attracting much attention due to their potential environmental risks. While in the atmosphere the cVMS undergo oxidation yielding both gaseous and particulate products. The

23	and high-NO <sub>x</sub> conditions in an oxidation flow reactor. The aerosol yields progressively increased from D3
24	to D6, consistent with the volatilities and molecule weights of these $cVMS$ . $NO_x$ can restrict the formation
25	of SOA, leading to lower SOA yields under high-NO <sub>x</sub> conditions than under low-NO <sub>x</sub> conditions, with a
26	yield decrease between 0.05-0.30 depending on the cVMS. Ammonium sulfate seeds exhibited minor
27	impacts on SOA yields under low-NOx conditions, but significantly increased the SOA yields in the oxidation
28	of D3-D5 at short photochemical ages under high-NO $_{\rm x}$ conditions. The mass spectra of the SOA showed a
29	dependence of its chemical compositions on OH exposure. At high exposures, equivalent to photochemical
30	ages of >6 days in the atmosphere, D4-D6 SOA mainly consisted of $C_xH_y$ and $C_xH_yO_zSi_n$ under low-NO <sub>x</sub>
31	conditions, whereas they primarily contained $N_mO_z$ , $C_xH_y$ , $C_xH_yO_1$ , $C_xH_yO_{>1}$ and $C_xH_yO_zSi_n$ under high-NO <sub>x</sub>
32	conditions. The potential contributions of cVMS to SOA formation in the atmosphere were evaluated using
33	the reported cVMS annual production and the yield data obtained at the longest equivalent days in the present
34	study. A global cVMS-derived SOA source strength is estimated to be 0.16 Tg yr <sup>-1</sup> , distributed over major
35	urban centers.

36

# 37 1 Introduction

Secondary organic aerosols (SOA), which contribute 50-85% to the mass of atmospheric organic aerosols (OA) (Glasius and Goldstein, 2016), are mainly formed via the partitioning of low volatility products from oxidation of volatile organic compounds (VOCs), semi- and intermediate volatile organic compounds(S/IVOCs) (Riipinen et al., 2012). SOA has attracted significant attention due to their important impacts on climate, ecosystems and human health (Berndt et al., 2016). Global budgets of SOA remain an unresolved issue despite extensive research, largely due to uncertainties associated with aerosol yields and the presence of unconsidered SOA precursors.

45	As one type of anthropogenic VOC and potential SOA precursors, cyclic volatile methyl siloxanes (cVMS)
46	are widely used in industrial applications and personal care products (Genualdi et al., 2011; Krogseth et al.,
47	2013a). cVMS have been classified as high-volume chemicals with an annual production of millions of tons
48	globally (Rücker and Kümmerer, 2015; Ahrens et al., 2014). Studies of cVMS in the environment have
49	focused on investigating health and environmental impacts particularly due to their potential persistence,
50	bioaccumulation and toxicity (Guo et al., 2019; Liu et al., 2018; Farasani and Darbre, 2017; Xu et al., 2019;
51	Kim et al., 2018; Coggon et al., 2018). As a result, the European Council has proposed a restriction on the
52	octamethylcyclotetrasiloxane (D4) and decamethylcyclopentasiloxane (D5) content in wash-off personal
53	care products to a limit of 0.1 mass% by 2020 (Eur-Lex, 2018). The legislative actions notwithstanding,
54	knowledge of environmental behavior of cVMS still needs to be further deepened as compared to their
55	applications and economic significance (Rücker and Kümmerer, 2015).
56	It has been estimated that approximately 90% of cVMS are emitted into the atmosphere due to their high
57	saturation vapor pressures (Allen et al., 1997). Gas-phase cVMS have been observed in both indoor and
58	outdoor air. Tang et al. (2015) reported that cVMS accounted for about one third of total VOC mass
59	concentration in a classroom. Outdoor air concentrations of cVMS have also been measured at different sites
60	worldwide (Li et al., 2020; Wang et al., 2018; Rauert et al., 2018), increasing from rural to urban sites and
61	consistent with increasing population density (Rücker and Kümmerer, 2015). For example, at a rural site in
62	Sweden, the concentration of hexamethylcyclotrisiloxane (D3), D4, D5 and dodecamethylcyclohexasiloxane
63	(D6) were 0.94, 3.5, 13 and 1 ng/m <sup>3</sup> , respectively (Kierkegaard and Mclachlan, 2013), while they were 18,
64	55, 172 and 14 ng/m <sup>3</sup> in urban areas of Toronto in Canada, respectively (Genualdi et al., 2011; Rauert et al.,
64 65	55, 172 and 14 ng/m <sup>3</sup> in urban areas of Toronto in Canada, respectively (Genualdi et al., 2011; Rauert et al., 2018). cVMS have also been detected in the remote Arctic atmosphere, confirming their long-range transport

67	10 and 6 days for D3-D6, respectively, which allow cVMS to exhibit a hemispherical distribution in the
68	atmosphere (Canada, 2008; Xiao et al., 2015). These lifetimes are driven mostly by reactions with the OH
69	radicals (Xiao et al., 2015; Wang et al., 2013), which generate silanols and dimeric products that can be
70	partitioned to condensed phases (Coggon et al., 2018; Sommerlade, 1993; Wu and Johnston, 2016). Different
71	OH concentrations can partly explain the seasonal variation of cVMS lifetimes that was characterized by
72	longer during winter than in summer (Rücker and Kümmerer, 2015). The loss of cVMS in the atmosphere is
73	negligible through O <sub>3</sub> and NO <sub>3</sub> due to their small reaction rates (Atkinson, 1991). The global loss by the
74	reaction with Cl atoms is less than 5 % on account of low Cl concentrations, although it may be higher in
75	some regions where cVMS emissions and Cl sources overlap in both space and time (Alton and Browne,
76	2020). It has been demonstrated that elemental Si is a frequent constituent of nanoparticles in rural and urban
77	areas (Phares et al., 2003; Rhoads, 2003; Bein, 2005; Bzdek et al., 2014) and in remote regions (Li and
78	Winchester, 1990; Li and Winchester, 1993). These Si-containing nanoparticles have previously been
79	attributed to ore smelting processes, but recent studies have shown that Si-containing species are one of the
80	main components in cVMS SOA, suggesting that the oxidation of cVMS may be an important source of Si
81	in atmospheric aerosols (Wu and Johnston, 2016, 2017). In a modeling study, the oxidation products of
82	cVMS (D4, D5 and D6) were considered to quantify the maximum potential for aerosol formation through
83	reactions with the OH radicals (Janechek et al., 2017). Chandramouli and Kamens (2001) demonstrated the
84	gas-particle partitioning of silanols from D5 oxidation by the OH radicals. Wu and Johnston (2016, 2017)
85	analyzed the chemical composition of secondary aerosols from OH oxidation of D4 and D5, showing a large
86	number of monomeric and dimeric products. Janechek et al. (2017, 2019) reported physical properties of
87	SOA generated by OH oxidation of D5, including hygroscopicity, cloud seeding potential and volatility.
88	Charan et al. (2022) measured SOA yields of D5 using chambers and flow tube reactors, emphasizing the

89 importance of the relevant OH concentrations and exposures when extrapolating these laboratory results or 90 comparing with other studies. These studies mainly focused on D5 and occasionally D4 but rarely others. To 91 better understand the SOA-forming potentials of typical cVMS in the atmosphere, accurate yields and 92 molecular compositions of SOA from the oxidation of cVMS under various atmospheric conditions are 93 needed.

In this work, the formation of SOA from the oxidation of four cVMS (D3-D6) by OH radical was 94 investigated in an oxidation flow reactor (OFR). Under various combinations of NO<sub>x</sub> and ammonium sulfate 95 96 seed concentrations, the yields and compositions of SOA formed from the oxidation were measured using a 97 suite of instruments including a scanning mobility particle sizer (SMPS), a proton transfer reaction time of 98 flight mass spectrometer (PTR-ToF-MS) and an aerosol mass spectrometer (AMS). Based on these SOA 99 yields, the contribution of cVMS to SOA in the global atmosphere was estimated using reported cVMS 100 concentrations. The results obtained here can largely improve our understanding of the contribution and 101 composition of SOA from cVMS.

# 102 **2 Experiments and methods**

#### 103 **2.1 Photo-oxidation experiments**

The reactions of cVMS with OH radicals were controlled at a constant temperature (21±1°C) and relative humidity (35%±2%) in a custom-made oxidation flow reactor (the Environment and Climate Change Canada oxidation flow reactor, ECCC-OFR), which is shown in Fig. S1 of the Supplement and has been described in detail previously (Li et al., 2019a). Briefly, the ECCC-OFR is a fused quartz cylinder (length: 50.8 cm, inner diameter: 20.3 cm) equipped with a conical inlet and 7 outlets. Wall losses of particles and gases in the ECCC-OFR have been shown to be lower than in other OFRs (Huang et al., 2017; Lambe et al., 2011; Simonen et al., 2017; Li et al., 2019a). The length and full angle of cone inlet are 35.6 cm and 30°, 111 respectively, designed to minimize the formation of jetting and recirculation in the OFR. The outlet at the 112 reactor center is a stainless-steel sampling port (inner diameter: 0.18 in) extending 12.7 cm long into the 113 ECCC-OFR. This sampling inlet reduces the impact of potential turbulent eddies caused by the back end of 114 the reactor. The remaining 6 outlets around the perimeter are designed to allow side flows to pass through 115 the OFR as a sheath flow, indirectly reducing wall losses of gases and particles inside the OFR upon sampling. 116 Ozone-free mercury UV lamps for generating OH radicals are housed in small quartz tubes around and in 117 parallel to the quartz reaction cylinder, and a large flow of air through each of these smaller quartz tubes is 118 used to remove the heat produced by the lamps. The relative humidity was adjusted by controlling the ratio 119 of dry air to wet air into the reactor, and was measured using a humidity sensor (Vaisala) at one of the sheath 120 flow outlets (side flows) of the reactor. The volume of the entire ECCC-OFR is about 16 L and the total flow 121 rate is 8 L min<sup>-1</sup>, leading to a residence time of 2 min in the OFR.

OH radicals were produced through the reaction of water vapor with O(<sup>1</sup>D) formed from O<sub>3</sub> photolysis at 254 nm. The OH concentration in the ECCC-OFR was regulated by controlling the input voltage and the number of UV lamps. Methanol vapor, introduced into the ECCC-OFR through a bubbler containing methanol solution, was used to determine the OH exposure (i.e., photochemical age, from the multiplication of OH concentration and reaction time) by tracking its decay in the reactor from the reaction with the OH. The decay, or fractional loss, of gas-phase methanol, [MeOH]/[MeOH]<sub>0</sub> was measured with the PTR-ToF-MS, and was used to calculate the OH concentration via Equation 1,

129  $[OH] = -\frac{1}{k_{MeOH}} ln \frac{[MeOH]}{[MeOH]_0}$ (1)

where  $k_{MeOH}$  is the second-order rate constant of methanol reaction with OH at 298 K (9.4×10<sup>-13</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>). It was noted that the OH exposure measurement was offline, because methanol can affect the OH reactivity with cVMS. Under low and high-NO<sub>x</sub> conditions described below, the OH exposure varied in the range of  $5.5 \times 10^{10}$ - $1.8 \times 10^{12}$  and  $8.2 \times 10^{10}$ - $1.1 \times 10^{12}$  molecules cm<sup>-3</sup> s, respectively. They correspond to 0.4-14.2 and 0.6-8.5 equivalent days (photochemical age), respectively, assuming that an average OH concentration in air is  $1.5 \times 10^6$  molecules cm<sup>-3</sup> (Mao et al., 2009).

136 Pure D3-D6 cVMS compounds (solid D3 and liquid D4, D5 and D6) were placed in a glass U-type tube 137 and maintained at the room temperature. Vapors from these compounds (Table S1 of the Supplement) were 138 separately introduced into the ECCC-OFR by a small flow of zero air (1-18 mL min<sup>-1</sup>) passing over the 139 headspace of the U-tube containing the pure compounds. The concentrations of D3-D6 in the ECCC-OFR 140 ranged from 20 to 43 ppb (Table S2), depending on their volatilities. Table S2 summarizes the concentrations 141 of cVMS at the reactor inlet and outlet, when the OH exposure was  $1.85 \times 10^{12}$  and  $1.10 \times 10^{12}$  molecules cm<sup>-</sup> 142  $^{3}$  s in low and high-NO<sub>x</sub> experiments, respectively, indicating the reaction depth of cVMS. To study the 143 influence of existing particles on the SOA formation, ammonium sulfate (AS) seed particles were produced 144 using an atomizer, dried by a diffusion dryer and neutralized by a neutralizer and injected into the reactor 145 without size selection. The mass concentration of AS seed particles was approximately  $30 \ \mu g \ m^{-3}$  for all 146 experiments.

147  $N_2O$  was used as a source of NO to achieve high-NO<sub>x</sub> conditions (Lambe et al., 2017). NO<sub>x</sub> conditions 148 were defined by the fate of peroxy radicals (RO<sub>2</sub>), which was described by the reaction rate ratio ( $R_{NO}$ ) of 149  $RO_2 + NO$  and  $RO_2 + HO_2$  (Peng et al., 2018). The  $R_{NO}$  ratio increases with increasing OH exposures at a 150 constant concentration of  $N_2O$  (Li et al., 2019b). To achieve a constant branching ratio during the high- $NO_x$ 151 experiments, the initial N<sub>2</sub>O concentration in the OFR was varied (1.6%-8.0%) to maintain an R<sub>NO</sub> value of 152 20 (Li et al., 2019b), as calculated using a model (OFR Exposures Estimator v3.1) (Peng et al., 2018). A 153 ratio of  $R_{NO}=20$  indicates that 95% of RO<sub>2</sub> reacts with NO, ensuring the dominance of RO<sub>2</sub> + NO, which 154 represents conditions that are relevant for urban atmosphere (Peng et al., 2019). The role of  $RO_2 + RO_2$  here should be minor or negligible due to the low concentration of SOA precursors (cVMS, 18-46 ppb) (Lambe et al., 2017; Peng et al., 2019; Li et al., 2019b). Peng et al. (2019) have reported that in the experiments utilizing the injection of N<sub>2</sub>O to achieve high-NO<sub>x</sub> conditions, the relative importance of RO<sub>2</sub> + OH was generally negatively correlated with N<sub>2</sub>O due to the suppressing effect of NO<sub>x</sub> on OH radicals and the increasing role of RO<sub>2</sub> + NO. Under low-NO<sub>x</sub> conditions, N<sub>2</sub>O was not introduced into the OFR, where the reaction ratio of RO<sub>2</sub> with HO<sub>2</sub> was estimated to be larger than 99% according to the model mentioned above

- 161 (Peng et al., 2018), representing atmospheric scenarios with few NO<sub>x</sub> sources.
- 162 **2.2 Characterization and analysis**

The concentrations of cVMS in the OFR were measured online with PTR-ToF-MS (Ionicon Analytik GmbH) (Liggio et al., 2016). The number and mass size distribution of aerosols was monitored using a scanning mobility particle sizer (SMPS, TSI). The mass spectra and elemental composition of aerosols was determined with a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne) and analyzed with the AMS analysis software Squirrel (version 1.62G) and Pika (version 1.22G).

### 168 SOA mass yields (*Y*) were calculated via Equation 2,

169  $Y = \frac{\Delta C_{\rm SOA}}{\Delta C_{\rm cVMS}}$ (2)

170 where  $\Delta C_{\text{SOA}}$  and  $\Delta C_{\text{eVMS}}$  are the mass concentrations of SOA formed and cVMS lost, respectively. The mass 171 concentration of SOA was determined by multiplying the effective aerosol density by the integrated SOA 172 volume concentration from the SMPS, subtracting the AS seed volume for experiments with AS seeds. The 173 effective aerosol density ( $\rho$ ) was calculated for unseeded experiments through the following Equation 3 174 (Lambe et al., 2015),

175 
$$\rho = \frac{D_{va}}{D_m}$$
(3)

176 where  $D_{va}$  is the vacuum aerodynamic diameter obtained from the HR-ToF-AMS, and  $D_m$  is the electric

177 mobility diameter measured by the SMPS. The  $\rho$  varied in the range of 1.6-1.8 depending on the cVMS. The 178 same  $\rho$  value was also used in the seeded experiments. It should be pointed out that the background values 179 in Table S3 have been subtracted when calculating the cVMS SOA yields. The average data of SMPS and 180 AMS in the last ~10 minutes for each OH exposure was used to calculate the SOA yields (inset of Fig S2), 181 which can reduce the deviation caused by unstable SOA loadings at high OH exposures.

# 182 **3 Results and discussion**

183 **3.1 SOA yields** 

184 Taking the D5 SOA under unseeded conditions as an example, the number and mass size distributions of 185 aerosols were shown in Fig. S3 at three photochemical ages (PA), i.e., time-integrated OH exposure. It can 186 be seen that small particles dominated the total particle number counts, while large ones dominated the mass. 187 The mass mode diameter of SOA for mass size distributions increased with PA under low and high-NO<sub>x</sub> 188 conditions. The mass concentrations and time series of SOA obtained from SMPS and AMS were shown in 189 Table S4 and Fig. S2, respectively, which reflected a step-by-step pattern with increasing OH exposures. 190 Unstable SOA loadings at high OH exposures may be attributed to the fragmentation reactions, leading to 191 the difficulty in the deposition of products on SOA. 192 Figure 1 shows the SOA yields from the photooxidation of the D3-D6 cVMS under low and high- $NO_x$ 

conditions as a function of PA, with and without AS seed particles. SOA yields have been widely used to estimate the potential of precursors to produce aerosol mass (Mcfiggans et al., 2019; Li et al., 2019a; Bruns et al., 2015; Lambe et al., 2015). As shown in Fig. 1, the cVMS SOA yields exhibited an overall increasing trend with PA, expressed in equivalent photochemical days, which agreed with the trend of D5 SOA yields reported by Janechek et al. (2019). Under low-NO<sub>x</sub> conditions (Fig. 1a), SOA yields exhibited a slow growth, reaching a plateau after 10 equivalent days. This may be due to increased gas-phase fragmentation of cVMS 199 to generate some higher volatility products, leading to a small increasing amplitude of partition ratio of

200 species into SOA at longer photochemical ages.

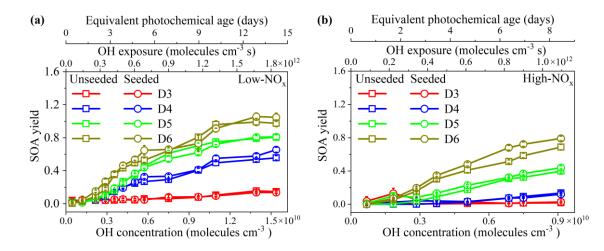


Figure 1. SOA yields from unseeded and seeded  $(30 \,\mu g \, m^{-3})$  photooxidation of cVMS by OH radicals. (a)

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201

low-NO<sub>x</sub> experiments; (b) high-NO<sub>x</sub> experiments.

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For the unseeded and low-NO<sub>x</sub> experiments in Fig. 1a, SOA yields of four cVMS exhibited significant 205 206 differences in values over the same number of equivalent days. The SOA yields successively increased from D3 to D6, consistent with the volatilities and molecular masses of the cVMS as well as their reaction rate 207 208 constants with the OH radical (Alton and Browne, 2020; Kim and Xu, 2017; Safron et al., 2015). The 209 maximum SOA yields of D3-D6 were (0.16±0.02), (0.56±0.03), (0.80±0.03) and (0.99±0.04), respectively, occurring after a PA of 12 equivalent days. It has been reported that D5 SOA yields varied in the range of 0-210 1.1 (Janechek et al., 2019; Wu and Johnston, 2017; Charan et al., 2022). Under a low OH exposure (~10<sup>10</sup>-211 10<sup>11</sup> molecules cm<sup>-3</sup> s), the D5 SOA yield (0.01-0.11) obtained here was similar to that (chamber, 0-0.057; 212 flow tube, 0.018-0.06) measured by Charan et al. (2022). However, under a high OH exposure of  $\sim 10^{11}$ - $10^{12}$ 213 molecules cm<sup>-3</sup> s, the D5 SOA yield of 0.46-0.70 was higher than 0.22 and 0.14-0.35 (flow tube) reported 214 215 by Janechek et al. (2019) and Charan et al. (2022), respectively, which may be attributed to differences in 216 experimental conditions, such as differences in reactors, wall losses, SOA measurement methods,

determination of OH concentrations, and initial D5 concentrations (Table S5) (Janechek et al., 2019; Charan
et al., 2022). Although the amount of cVMS lost was variable, cVMS SOA yields positively depended on
SOA mass concentrations (Fig. S4), and this trend was observed in previous D5 SOA experiments with OH
oxidation (Wu and Johnston, 2017).

221 As shown in Fig. 1b, the order of SOA yields from the four cVMS under high-NO<sub>x</sub> conditions was the 222 same as that under low-NO<sub>x</sub> conditions. However, the SOA yields under high-NO<sub>x</sub> conditions were generally 223 smaller than the corresponding yields at similar OH exposures under low-NO<sub>x</sub> conditions, with a decrease 224 of 0.05-0.30 depending on the cVMS. Such a reduction suggests that  $NO_x$  can restrict the formation of cVMS 225 SOA. NO<sub>x</sub> has been shown to reduce SOA yields for some anthropogenic alkanes (Li et al., 2019b), aromatics 226 (Ng et al., 2007a; Chan et al., 2009; Zhou et al., 2019), monoterpenes (Zhao et al., 2018) and other terpenes 227 (Ng et al., 2007b), attributable to the formation of higher volatility products (e.g., organic nitrates) generated 228 by RO<sub>2</sub> + NO compared to RO<sub>2</sub> + HO<sub>2</sub> (Presto et al., 2005; Li et al., 2019b), which is also likely the case 229 here. The higher volatility products favor partitioning in the gas phase, thus reducing the potential for 230 forming SOA (Zhou et al., 2019). Moreover, high NO<sub>x</sub> levels can suppress the formation of products for 231 nucleation, thereby reducing aerosol surface as a condensational sink and increasing the wall loss of 232 condensable species in an OFR under high-NO<sub>x</sub> conditions (Zhao et al., 2018; Sarrafzadeh et al., 2016; Wildt 233 et al., 2014). Figure S5 indicates that the difference between SOA yields with and without NO<sub>x</sub> decreased 234 with increasing silicon atoms within individual cVMS, indicating a less restricting effect of NOx on the SOA 235 formation for larger cVMS. This means that high NO<sub>x</sub> levels play a lessor role in the SOA yields of lower volatility precursors. 236

SOA yields in the AS-seeded experiments under low and high-NO<sub>x</sub> conditions are also shown in Figs. 1
 and S5, indicating minimal impacts of the AS seed particles on SOA yields. A yield enhancement ratio

239	$(R_{\rm E}=Y_{\rm seeded}/Y_{\rm unseeded}, {\rm Fig. S6})$ was used to show the seed impacts more clearly. Under low-NO <sub>x</sub> conditions,
240	the $R_{\rm E}$ values for all cVMS were close to 1.0 (Fig. S6a), suggesting negligible impact of AS seed particles
241	on SOA yields; however, under high-NO <sub>x</sub> conditions, $R_E$ was much larger (17.81, 13.18 and 15.51 for D3-
242	D5, respectively) at short PA but gradually decreased to 1.0 with increasing PA for D3-D5, while it was
243	always close to 1.0 for D6 regardless of PA (Fig. S6b). $R_E$ values greater than 1.0 suggest that AS seed
244	particles played an enhancement role in the cVMS SOA formation, as similarly reported in SOA formation
245	from hydrocarbons (Sarrafzadeh et al., 2016; Lamkaddam et al., 2017; Li et al., 2019b). Under low-NO <sub>x</sub>
246	conditions, the general lack of impact on cVMS SOA yields by the AS seed particles suggests that
247	condensation was not the main process driving SOA formation in cVMS oxidation. For the few cases of high
248	$NO_x$ level at low PA, where $R_E$ was >1 for D3-D5, it is possible that their early generations of oxidation
249	products were more volatile than successive generations of products and hence more prone to condensation
250	enhanced by AS seeds. As PA increased, further reactions of these early generation oxidation products with
251	OH radicals resulted in further generation products that were likely less volatile, thereby weakening the
252	enhancing role of AS seeds at high OH exposure. Such effect was less pronounced for D6, likely because its
253	oxidation products at different PA had similar volatilities. Figure S6b shows that the effect of AS seed
254	particles on SOA yields negatively correlated with the number of silicon atoms in the cVMS. Lower volatility
255	precursors (D5 and D6) may form lower volatile products, resulting in SOA yields less sensitive to the pre-
256	existing seeds. In fact, most D5 oxidation products have been shown to be nearly non-volatile (Janechek et
257	al., 2019; Wu and Johnston, 2017; Alton and Browne, 2020; Alton and Browne, 2022).

**3.2 Aerosol compositions** 

# **3.2.1** Compositions of SOA under low-NO<sub>x</sub> conditions.

260 Figures 2 and S7 show the normalized HR-ToF-AMS mass spectra of cVMS SOA from unseeded

261	experiments under low-NOx conditions at OH exposures of 9.0 $\times$ 10^{11} molecules cm^{-3} s (i.e., OH
262	concentration of $7.5 \times 10^9$ molecules cm <sup>-3</sup> ). The mass spectral signals can be identified as fragments with a
263	formula of $C_xH_yO_zSi_n$ . For D3 SOA, the most prominent peaks were at m/z 44 and 29, dominated by $CO_2^+$
264	and CHO <sup>+</sup> , which were tracers for organic acids (Ng et al., 2010), alcohols and aldehydes (Lee et al., 2012),
265	respectively. They may result from the oxidation of the methyl groups in D3 by OH radicals. For the mass
266	spectra of D4-D6 SOA, the two highest peaks at $m/z$ 14 and 15 were $CH_2^+$ and $CH_3^+$ , respectively. In addition,
267	there were several dominant $C_xH_yO_zSi_n$ peaks, which were fragments of silicon-containing products. For the
268	$C_xH_yO_zSi_n$ group in D4 SOA, there were four typical peaks at m/z 255, 257, 271 and 273, with formulae of
269	$C_4H_{11}O_7Si_3^+,\ C_3H_9O_8Si_3^+,\ C_3H_7O_9Si_3^+ \ \text{and} \ C_3H_9O_9Si_3^+,\ respectively. \ The \ C_xH_yO_zSi_n \ fragment \ group \ C_3H_9O_9Si_3^+,\ respectively.$
270	containing Si of D5 SOA had three dominant peaks at m/z 327, 329 and 331, corresponding to $C_{12}H_{11}O_2Si_5^+$ ,
271	$C_9H_9O_8Si_3^+$ and $C_5H_{15}O_9Si_4^+$ , respectively. For the $C_xH_yO_zSi_n$ group containing Si in D6 SOA, there were
272	five main peaks at m/z 73 ( $C_3H_9Si$ ), 387 ( $C_8H_{23}O_8Si_5^+$ ), 389 ( $C_8H_9O_9Si_5^+$ ), 401 ( $C_9H_{21}O_{10}Si_4^+$ ) and 403
273	$(C_7H_{15}O_{12}Si_4^+).$

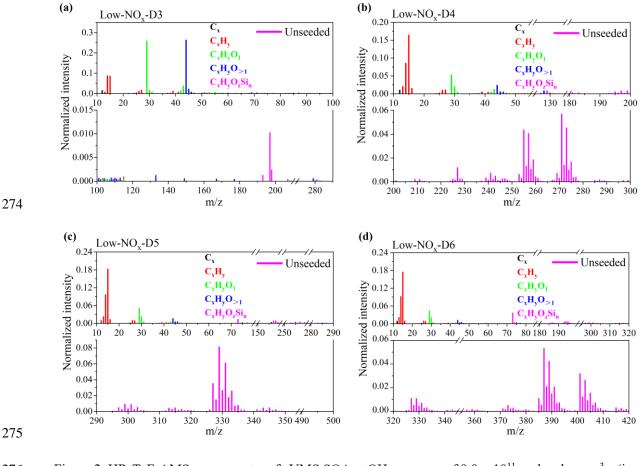


Figure 2. HR-ToF-AMS mass spectra of cVMS SOA at OH exposure of  $9.0 \times 10^{11}$  molecules cm<sup>-3</sup> s (i.e., OH concentration of  $7.5 \times 10^9$  molecules cm<sup>-3</sup>) under low-NO<sub>x</sub> conditions in unseeded experiments. **a-d** represent the mass spectra of D3-D6 SOA, respectively.

Figure 3 shows the evolution of different groups of ions in the HR-ToF-AMS spectra of the cVMS SOA as a function of PA in equivalent days. For D3-D6 under unseeded conditions,  $C_xH_yO_1$  and  $C_xH_yO_{>1}$  ions significantly decreased within 0-4 equivalent days of PA, but remained essentially unchanged when PA increased to 7-15 equivalent days. The  $C_xH_y$  ion increased to its peak value at about 9 equivalent days of PA for D3 and 2-3 equivalent days of PA for D4-D6, and then gradually decreased with further PA increases. The  $C_xH_yO_zSi_n$  group of ions maintained an increasing trend until 9-10 days of PA, thereafter it decreased slightly for D4-D6 SOA.

287	The weighted values of the atomic number ratios Si/C (n/x) and Si/O (n/z) for the $C_xH_yO_zSi_n$ groups in
288	D5 and D6 SOA at different PA are plotted in Fig. S8 (Detailed calculations in Text S1 of the Supplement),
289	which can be used to indicate the changes in the Si element of SOA. The Si/C ratio at initial SOA formation
290	stage was close to that (0.50) in D5 and D6 molecules, and then increased continuously with increasing PA.
291	The Si/O ratio kept increasing from 0.53 to 1.15 for D5 SOA and from 0.72 to 1.32 for D6 SOA, but varying
292	around 1.0 that was the Si/O ratio in D5 and D6. While it is difficult to separate the effect of fragmentation
293	due to the AMS ionization process, the relative changes of group intensities and the evolution of Si/C and
294	Si/O in $C_xH_yO_zSi_n$ over different PA may be attributed to the evolution of cVMS when oxidized by the OH
295	radicals. The initial step of OH radical oxidation is H abstraction from the methyl groups on the -Si-O- ring
296	of the cVMS to form Si-containing radicals, which may generate OH and CH <sub>2</sub> OH substitution products, such
297	as silanol and silyl methanol (Wu and Johnston, 2016; Alton and Browne, 2020). Such Si-containing products
298	may partition into SOA and result in an appearance of $C_xH_yO_zSi_n$ ions in the AMS mass spectra. Notably, it
299	was reported that one of oxidation products of D5, 1- hydroxynonamethylcyclopentasiloxane (D <sub>4</sub> TOH), has
300	been detected in ambient particulate matter (Milani et al., 2021). At low PA, some oxygen-containing
301	functional groups (-CH <sub>2</sub> OH/-COOH/-OH), which were formed by the reaction of methyl groups in cVMS
302	with OH, resulted in a small Si/O ratio (D5: 0.53; D6: 0.72) compared to that (1.0) in cVMS. With increasing
303	PA, these functional groups (-CH <sub>2</sub> OH/-COOH/-OH) may dissociate, leading to an increase in the Si/O ratio.
304	The Si-O bond breaking may mainly happen at high OH exposures, and it may occur after the cleavage of
305	S-C bonds (Rücker and Kümmerer, 2015). According to the previous study of Wu and Johnston(2017), some
306	ring-opened products were generated from the reaction of D5 with OH radicals, necessarily requiring the
307	cleavage of Si-O bonds. The Si-O bond cleavage from the OH radical attack may reduce the number of O
308	atoms, leading to an increase of Si/O at high PA. The continued breaking of Si-O bonds would lead to

## 309 fragmentation and more volatile products, which caused lower SOA yield and C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> fraction at longer

#### 310 PA (Figs. 1 and 3).

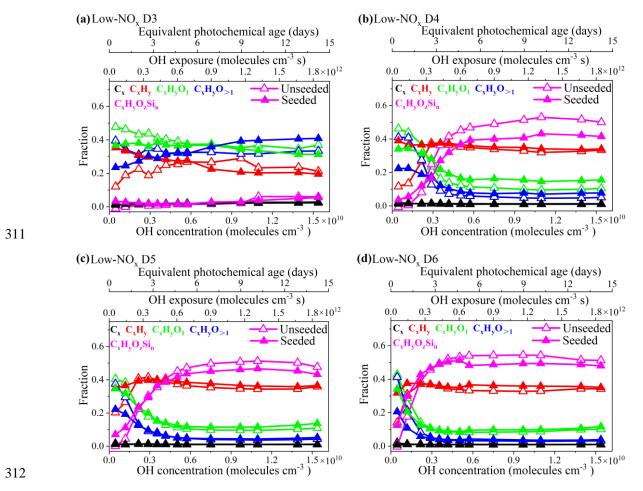


Figure 3. Fraction of C<sub>x</sub>, C<sub>x</sub>H<sub>y</sub>, C<sub>x</sub>H<sub>y</sub>O<sub>1</sub>, C<sub>x</sub>H<sub>y</sub>O<sub>2</sub> and C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> ion groups for SOA derived from the
 oxidation of cVMS (a-d) by OH radicals at different photochemical ages under low-NO<sub>x</sub> conditions.
 Empty and solid triangles represent experimental data under unseeded and seeded conditions, respectively.

As shown in Fig. 3, the presence of seeds led to some changes in the evolution trends of ion groups in the AMS spectra. For instance, the initial fraction of  $C_xH_y$  in seeded experiments was larger than that in unseeded experiments, which may be related to the volatility of species containing  $C_xH_y$  that may be more easily deposited in the presence of seeds, whereas  $C_xH_yO_1$  and  $C_xH_yO_{>1}$  exhibited opposite changes. The presence of seeds led to larger initial and smaller steady-state  $C_xH_yO_zSi_n$  fractions than those in unseeded experiments.

Regardless of the presence of seeds,  $C_xH_y$ ,  $C_xH_yO_1$  and  $C_xH_yO_{>1}$  mainly contributed to the composition of all cVMS SOA at initial OH radicals oxidation, but D4-D6 SOA primarily consisted of  $C_xH_y$  and  $C_xH_yO_zSi_n$ after 6 equivalent days.

325

# **3.2.2** Compositions of SOA under high-NO<sub>x</sub> conditions.

326 Figure S9 shows the HR-ToF-AMS mass spectra of cVMS SOA from unseeded experiments under high-NO<sub>x</sub> conditions at OH exposures of  $9.0 \times 10^{11}$  molecules cm<sup>-3</sup> s (~6.9 d). Compared to that under low-NO<sub>x</sub> 327 328 conditions (Figs. 2 and S7), there was one additional N-containing group (N<sub>m</sub>O<sub>z</sub>) in the SOA mass spectra 329 under high-NO<sub>x</sub> conditions, which accounted for 16%-31%. For the mass spectra of D3-D6 SOA originating 330 from unseeded experiments under high-NO<sub>x</sub> conditions in Fig. S9, the dominating peaks of the N<sub>m</sub>O<sub>z</sub> family 331 were m/z 30 (NO<sup>+</sup>) and m/z 46 (NO<sub>2</sub><sup>+</sup>). The common main peaks were located at m/z 30 (NO<sup>+</sup>) for cVMS 332 SOA, m/z 44 (CO<sub>2</sub><sup>+</sup>) for D3-D4 SOA, and m/z 46 (NO<sub>2</sub><sup>+</sup>) for D4-D6 SOA. In addition, there were other 333 primary peaks at m/z 29 (CHO<sup>+</sup>) for D4 SOA, while m/z 15 (CH<sub>3</sub><sup>+</sup>) and m/z 28 (CO<sup>+</sup>) for D5-D6 SOA. The 334 m/z 28 (CO<sup>+</sup>), similar with m/z 44 (CO<sub>2</sub><sup>+</sup>), is considered as a tracer for organic acids (Ng et al., 2010). In the 335 mass spectra for D3-D6 SOA under high-NO<sub>x</sub> conditions, the presence of NO<sup>+</sup> (m/z 30) and NO<sub>2</sub><sup>+</sup> (m/z 46) 336 illustrated the formation of organic or inorganic nitrates in SOA (Ng et al., 2007b; Zhao et al., 2018). 337 For the C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> group in the D4 SOA mass spectrum under high-NO<sub>x</sub> conditions, the dominating peaks and their formulas were same as those under low-NO<sub>x</sub> conditions. For the C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> group in D5 SOA, in 338 339 addition to two typical peaks at m/z 327 and 329 in the low-NO<sub>x</sub> experiments, there was another prominent 340 peak at m/z 328, with a formula C<sub>8</sub>H<sub>12</sub>O<sub>5</sub>Si<sub>5</sub>. The C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> group in D6 SOA had three typical peaks at m/z

- 341 73 (C<sub>3</sub>H<sub>9</sub>Si), m/z 387 (C<sub>8</sub>H<sub>23</sub>O<sub>8</sub>Si<sub>5</sub><sup>+</sup>) and m/z 401 (C<sub>9</sub>H<sub>21</sub>O<sub>10</sub>Si<sub>4</sub><sup>+</sup>). For the C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> groups in cVMS SOA,
- 342 there was little difference in the x, y, z and n value assignment of C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>Si<sub>n</sub> peaks in SOA generated under
- 343 low-NO<sub>x</sub> and high-NO<sub>x</sub> conditions, suggesting the formation of similar Si-containing oxidation products.

For cVMS SOA under high-NO<sub>x</sub> conditions, the evolution of family groups as a function of OH exposure 344 345 was summarized in Fig. S10. The dominated composition at initial stage was  $C_xH_yO_{>1}$  groups for D3-D6 346 SOA. At equivalent days larger than 6, D3 SOA primarily consisted of  $C_xH_vO_{>1}$ ,  $N_mO_z$  and  $C_xH_vO_1$  groups, while D4-D6 SOA was mainly composed of  $C_xH_vO_zSi_n$ ,  $N_mO_z$ ,  $C_xH_vO_1$ ,  $C_xH_v$  and  $C_xH_vO_{>1}$  groups. Figure 347 348 S10 also shows influences of seeds on the evolution of family groups under high-NO<sub>x</sub> conditions. It was 349 observed that all groups in D3-D6 SOA displayed similar change trends regardless of the existence of seeds. As shown in Fig. S11, the trend of the weighted values of the atomic ratio Si/O in the  $C_xH_vO_zSi_n$  groups at 350 351 different photochemical ages under high-NO<sub>x</sub> conditions was similar to that in low-NO<sub>x</sub> experiments. 352 However, the Si/C ratios remained almost unchanged, and were close to the initial value (0.5) in cVMS. This 353 may be attributed to possible suppression of cleavage of methyl groups from the -Si-O- ring of the cVMS 354 under high-NO<sub>x</sub> conditions.

# 355 4 Conclusions and implications

The yields and compositions of SOA generated from the photooxidation of four cVMS (D3-D6) with OH radicals were investigated using an oxidation flow reactor. cVMS SOA yields exhibited an overall increasing trend with PA, and their values gradually increased with cVMS from D3 to D6. SOA formations depended on NO<sub>x</sub>, as shown by smaller SOA yields under high-NO<sub>x</sub> conditions. Ammonium sulfate seeds significantly enhanced SOA yields of D3-D5 at short PA under high-NO<sub>x</sub> conditions. The SOA mass spectra showed that Si-containing species were one of main chemical compositions at PA of >6 days.

To evaluate the potential contributions of cVMS to SOA formation in the atmosphere, global SOA concentrations produced from cVMS were estimated according to the cVMS SOA yields measured in this work and using the cVMS concentrations reported from multiple studies, which were listed in Table S6. Here, the high-NO<sub>x</sub> SOA yields under the seeded conditions at 8.5 equivalent days (D3: 0.028; D4: 0.122;

D5: 0.441; D6: 0.792) are employed in the calculation of cVMS SOA concentrations at urban sites, while 366 the low-NO<sub>x</sub> SOA yields under the unseeded conditions at 14.2 equivalent days (D3: 0.148; D4: 0.556; D5: 367 368 0.805; D6: 0.975) are used to estimate cVMS SOA at background and polar sites. The SOA formation from the reaction of cVMS with OH would always occur when the airmass is transported from urban aeras to low-369 370 NO<sub>x</sub> sites such as rural, forested, and polar regions. To simplify the estimation process, the SOA yields at 371 the maximum equivalent days (8.5 days) here were used for the calculation of SOA generated by cVMS from urban areas, although 8.5 days are longer for the residence time of an airmass in an urban area. 372 373 It should be noted that OH concentrations and exposures have different effects on the reaction systems, 374 leading to different SOA yields. OH concentration determines the cVMS+OH reaction rate and therefore the instantaneous cVMS SOA yield. In contrast, OH exposure of cVMS determines the time-integrated, or 375 376 cumulative, cVMS SOA yield. For any OH concentration, if a cVMS is exposed to the OH for a short period 377 of time, the cumulative SOA yield over the short exposure time will be small. From this perspective, exposure is a more relevant factor to atmospheric conditions because SOA yield under such conditions 378 379 should be an integration of instantaneous yields over the lifetime of a precursor compound in the atmosphere. 380 Hence, one can argue that exposure experiments such as ours, simulating exposure in the real atmosphere, 381 that were conducted using OFR, should be more suitable for application to the ambient atmosphere. Nevertheless, the interchanges between OH concentrations and exposures have to be considered due to 382 experimental limitations, especially an inability to carry out long-time (multiple days) experiments. When 383 384 extrapolating the laboratory data to the real atmosphere, it is necessary to consider atmospherically relevant OH concentrations and exposures. Charan et al. (2022) have claimed that D5 SOA yields are strongly 385 386 dependent on both OH concentrations and exposures, implying the simultaneous effects of OH concentrations and reaction time on SOA yields. OH exposures in this work were 0.05-1.85×10<sup>12</sup> molecules 387

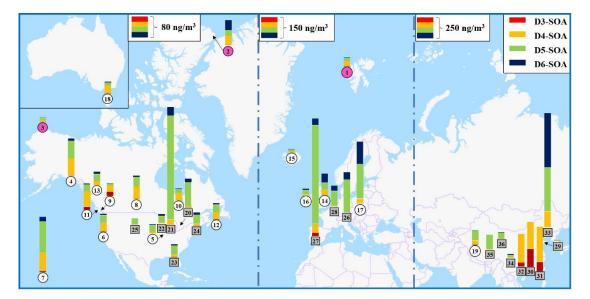
388 cm<sup>-3</sup> s, which was within atmospheric OH exposure range of cVMS when considering half-lives (6-30 days) 389 of cVMS and average OH concentration  $(1.5 \times 10^6 \text{ molecules cm}^{-3})$  in the atmosphere. Although there may 390 be some uncertainties in extrapolating our results to the real atmosphere, such as larger cVMS concentrations 391 and SOA yields, these extrapolations may still provide an estimation for understanding the SOA potential of 392 cVMS.

Figure 4 shows the global concentration distribution of SOA from four cVMS (D3-D6) at 36 sites worldwide estimated by the Equation 4,

 $C_{\rm cVMS-SOA} = C_{\rm cVMS} \times \frac{\Delta C_{\rm cVMS}}{C_{\rm in-cVMS}} \times Y$ (4)

396 where C<sub>cVMS</sub> and C<sub>cVMS-SOA</sub> are the mass concentration of cVMS reported from literatures and cVMS SOA 397 estimated in global sites, respectively;  $C_{\text{in-cVMS}}$  and  $\Delta C_{\text{cVMS}}$  are the mass concentration of initial and lost 398 cVMS at the selected equivalent days during the experiments of this work, respectively (Table S7); Y is the 399 cVMS SOA mass yields mentioned above. It was noted that the estimations from the Equation 4 were based 400 on assumptions that the lost cVMS ratio is not affected by the cVMS concentration and the background 401 C<sub>cVMS-SOA</sub> is zero. Table S6 summarizes the details regarding sites and concentrations of cVMS SOA. The 402 derived concentrations of cVMS SOA varies significantly among urban, background and polar sites. The 403 total cVMS SOA concentrations in urban areas are the highest, up to 1324 ng/m<sup>3</sup>. They are 18.9-428 and 13.8-138.8 ng/m<sup>3</sup> for background and Arctic sites, respectively. cVMS SOA concentrations in urban regions 404 405 of Asia (sites 29-36) and Europe (sites 26-28) are generally larger than that of North America (sites 20-25). 406 In China, the total cVMS SOA concentrations in urban sites range from 15.5 to 1324 ng/m<sup>3</sup>. The main 407 precursors of cVMS SOA are different among Chinese cities. For three cities along the southeast coast of 408 China (Guangzhou, Macau and Foshan), the dominant precursors of cVMS SOA are D3 and D4, which are related to industrial emissions of these two siloxanes in this region (Wang et al., 2001). For Dalian in China, 409

410 mainly D5 and D6 contribute to cVMS SOA, which have the highest concentrations among all sites. This 411 can be attributed to the industrial production of D5 and D6 and the use of personal care products in Dalian 412 (Li et al., 2020). In the other Chinese urban areas with reported cVMS concentrations (Lhasa, Golmud, 413 Kunming and Yantai), the total cVMS SOA concentrations are considerably smaller than those in the urban 414 areas above, with D5 acting as the main precursor, which may be ascribed to the relatively low population 415 densities in these cities (Wang et al., 2018).



416

Figure 4. Global concentrations of cVMS SOA (ng/m<sup>3</sup>) on the basis of the cVMS concentrations reported from multiple studies and the cVMS SOA yields measured in this work. The numbers of polar, background and urban sites are enclosed in pink, white circles and gray boxes, respectively. The details about cVMS and SOA concentrations at different sites were summarized in Table S6 of the Supplement.

At urban sites in Europe and North America, cVMS SOA concentrations are reported in the range of 138-813 ng/m<sup>3</sup> and 22.9-437 ng/m<sup>3</sup>, respectively. Among these cVMS, D5 is the main contributor to cVMS SOA at these locations, averaging 80.1% of total cVMS SOA. This contribution is higher than that (73.6%) at Chinese urban sites. For instance, D5 SOA is calculated to be 684 ng/m<sup>3</sup> in Catalan, Spain, 387 ng/m<sup>3</sup> in

Chicago, USA, 229 ng/m<sup>3</sup> in Zurich, Switzerland and 99 ng/m<sup>3</sup> in Paris, France, where there are high levels 426 427 of economic activities and high population densities. These results suggest that personal care products as a 428 main source of D5 may be the most important anthropogenic origins of Si-containing SOA in Europe and North America. It was noted that the D5 SOA concentration (13.38-683.57 ng/m<sup>3</sup>) estimated here is far more 429 430 than that (0.016-0.206 ng/m<sup>3</sup>) reported by Milani et al. (2021), who obtained their data using semi-quantified 431 concentrations of D<sub>4</sub>TOH (first-generation D5 SOA product) extracted from PM<sub>2.5</sub> samples in Atlanta and 432 Houston. The difference may be mainly attributed to the missing analysis of multi-generation SOA products or dimers (Wu and Johnston, 2016, 2017). Pennington et al. (2021) utilized the developed CMAQ model 433 434 to investigate the concentration of D5 SOA in the urban area of Los Angeles, and the model data (21 ng/m<sup>3</sup>) 435 was within these D5 SOA concentrations estimated here.

436 At background and Arctic sites, cVMS SOA are primarily derived from D4 and D5. The background sites 437 are located in mountains, rural areas, forested areas, lakes and at high altitudes. The three highest cVMS 438 SOA concentrations at background sites are located at Kosetice in the Czech Republic (428 ng/m<sup>3</sup>), Hilo, Hawaii, USA (203 ng/m<sup>3</sup>) and Tibetan Plateau in China (174 ng/m<sup>3</sup>), where the contribution percentages of 439 440 SOA from both D4 and D5 are 62.6%, 90.3% and 93.4%, respectively. The cVMS SOA concentrations at 441 the Little Fox Lake site in Yukon, Canada is the highest (138.8 ng/m<sup>3</sup>) among the four locations in the Arctic, 91% of which is accounted for by both D4 and D5 SOA. The dominance of D4 and D5 SOA in both 442 443 background and the Arctic regions highlights their persistence in atmosphere and the potential for long-range atmospheric transport. 444

Furthermore, global concentration distribution of Si-containing SOA estimated for the four cVMS (D3D6) at 36 sites worldwide is also presented in Table S6, which clearly shows the global importance of Si in
SOA with the estimated percentages of cVMS SOA that contains the Si element. For example, up to 49.2%

448 and 31.2% of cVMS SOA contained Si elements in background and urban sites, respectively. These results 449 are similar to the summary observations that reported percentages of aerosols with a Si mole fraction >0.01 450 (%) at different sites (Bzdek et al., 2014). The above results demonstrated that Si is a frequent component of 451 SOA in background and urban areas. 452 The global annual production of D4, D5 and D6 is about 1, 0.1 and 0.01 Tg·yr<sup>-1</sup>, respectively, and 90% of 453 these cVMS is eventually released into the atmosphere (Li et al., 2020; Genualdi et al., 2011; Wang et al., 2013; Sakurai et al., 2019). Based on the results shown in Fig. 4, the annual production of cVMS (D4-D6) 454 SOA was estimated to be 0.16 Tg·yr<sup>-1</sup>, which was about 5.5% of SOA (2.9 Tg·yr<sup>-1</sup>) produced from mobile 455 456 source emissions in the USA and 5-8 times of SOA generated by Athabasca oil sands (0.02-0.03 Tg·yr<sup>-1</sup>, one 457 of the largest sources of anthropogenic secondary organic aerosols in North America) (Tkacik et al., 2014; 458 Liggio et al., 2016). Moreover, it was also 0.8% and 2.3% of isoprene-SOA (20 Tg·yr<sup>-1</sup>) and monoterpenes-459 SOA (7 Tg·yr<sup>-1</sup>) (typical biogenic SOA), respectively, indicating the potential importance of cVMS SOA 460 (Jokinen et al., 2015). While these cVMS SOA sources may seem small, they can make substantially higher contributions to ambient air SOAs in population centers where cVMS compounds are primarily used. 461

462

### 463 Author contributions

464 CH designed and conducted all experiments; CH and HY analyzed the data and prepared the paper with
465 contributions from KL, PL, JL, AL and SML. SML supervised the project.

466

# 467 **Competing interests**

468 The authors declare that they have no conflict of interest.

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