- 1 Dramatic changes in atmospheric pollution source contributions for a coastal
- 2 megacity in northern China from 2011 to 2020

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# Abstract

Understanding the effectiveness of long-term air pollution regulatory measures is
important for control policy formulation. Efforts have been made using chemical
transport modelling and statistical approaches to evaluate the efficacy of the Clean Air
Action Plan (2013-2017, CAAP) and the Blue Sky Protection Campaign (2018-2020,
BSPC) enacted in China. Changes in air quality due to reduction in emissions can be
masked by meteorology, making it highly challenging to reveal the real effects of
control measures. Knowledge gap still existed with respect to how sources changed
before and after the CAAP and BSPC implemented, respectively, particularly in
coastal area where anthropogenic emissions mixed with additional natural sources
(e.g., marine aerosol). This work applied a machine learning-based meteorological
normalization approach to decouple the meteorological effects from air quality trend
in a coastal city in northern China (Qingdao). Secondly, the relative changes in source
contributions to ambient $PM_{2.5}$ with a ~10-year observation interval (2011-2012, 2016)
and 2019) were also investigated. We discovered that the largest emission reduction
section was likely from coal combustions, as the meteorologically normalized $SO_2$
dropped by ~15.5% $yr^{-1}$ and dispersion normalized $SO_4^{2-}$ decreased by ~41.5% for
annual average. Change in the meteorologically normalized $NO_2$ was relatively stable
$(\sim 1.0\% \text{ yr}^{-1})$ , and $NO_3^-$ changed inappreciable in 2016-2019 but significantly higher
than that prior to the CAAP. Crustal dust decreased remarkably after the CAAP began.
Industrial emissions, for example, steel-related smelting, decreased after 2016 due to
the relocation of steelmaking enterprises. Note that vehicle emissions were increased
in importance, as opposed to the other primary sources. Similar to other mega cities,
Qingdao also risks increased ozone pollution that in turns facilitate secondary
particles formation in the future. The policy assessment approaches applied in this
work also work for other places where air quality management is highly in demand to
reduce air pollution.

**Key words:** Air quality; Random forest; Dispersion normalization; Source apportionment; Coastal megacity

# 1 Introduction

51	Rapid industrial development and energy consumption in China over the past several
52	decades have resulted in severe air pollution (Dai et al., 2021; Huang et al., 2014; Zhang et al.,
53	2012). Fine particulate matter (PM <sub>2.5</sub> , particles with aerodynamic diameter $\leq$ 2.5 $\mu m$ ) is the
54	leading health-risk factor for attributable mortality in China (Cohen et al., 2017). It is well-
55	documented that exposure to PM <sub>2.5</sub> has been associated with increased mortality (Joshi et al.,
56	2021; Liu et al., 2021b; Vodonos and Schwartz, 2021). The World Health Organization
57	recently set the annual average concentration of $PM_{2.5}$ to 5 $\mu g$ m <sup>-3</sup> . Most countries or regions
58	are facing a great challenge now to meet the guideline since their current PM <sub>2.5</sub> levels are
59	well above the latest threshold.
60	To alleviate the severe impact of air pollution on the living environment and public
61	health, the State Council of China released a five-year "Air Pollution Prevention and Control
62	Action Plan" in 2013 (hereinafter the "Clear Air Action Plan, CAAP")
63	(http://www.gov.cn/zwgk/2013-09/12/content_2486773.htm, last access: 29 October 2021).
64	This was followed by the tighter "Three-year Action Plan to the Blue Sky Protection
65	Campaign" (hereinafter the "Blue Sky Protection Campaign, BSPC") in 2018
66	(http://www.gov.cn/zhengce/content/2018-07/03/content_5303158.htm, last access: 29
67	October 2021). The executions of these measures significantly improved air quality (Jiang et
68	al., 2021), thus gained appreciable health benefits (Huang et al., 2018). Vu et al. (2019)
69	demonstrated that the control measures requested by the CAAP have tremendously reduced
70	the emissions in PM <sub>2.5</sub> , PM <sub>10</sub> , NO <sub>2</sub> , SO <sub>2</sub> , and CO in Beijing from 2013 to 2017 by
71	approximately 34%, 24%, 17%, 68%, and 33%, respectively. Xu et al. (2021) found that by
72	2020, PM <sub>2.5</sub> reduction measures avoided 3561 thousand morbidity cases and 24 thousand
73	premature deaths in the Beijing-Tianjin-Hebei region.
74	Evaluation of the effectiveness of air pollution controls is important for control policy
75	formulation to further improve future air quality (Dai et al., 2020). Many studies have been
76	carried out to evaluate the efficacy of control measures around the world. For example,
77	assessments on short-term control measures were made for the 2008 Olympic Games
78	(Schleicher et al., 2012), 2013 Second Asian Youth Games in Nanjing (Qi et al., 2016), 2014
79	Asia Pacific Economic Cooperation (Xu et al., 2019b), 2015 Military Parade (Wang et al.,

2017), and 2017 Belt and Road Forum for International Cooperation (Ma et al., 2020), as 80 well as the 2020 COVID-19 worldwide lockdown (Beloconi et al., 2021; Chen et al., 2020b; 81 82 Cucciniello et al., 2022; Shi et al., 2021; Wang et al., 2021a). Medium-term (3–5 years) evaluations on the validity of control measures have also been examined (Li et al., 2021b; Yu 83 et al., 2019; Zhang et al., 2019). In contrast, long-term (~10 years) evaluations on controls 84 were rarely reported (Masiol et al., 2019). The majority of such studies have focused 85 primarily on the changes in concentrations of criterion air pollutants to qualitatively deduce 86 87 the efficacy of source control (Cheng et al., 2019; Lyu et al., 2017; Li et al., 2020b; Wang et al., 2014). For example, Vu et al. (2019) and Liang et al. (2016) applied random forest and 88 non-parametric methods to normalize the impact of meteorological factors to evaluate the 89 changes in air pollutant concentrations and the effect of control measures in Beijing and other 90 cities in China over recent time periods. However, quantitative evaluations of source 91 emissions have not been common (Gulia et al., 2018), due to the lack of long-term particle 92 composition monitoring (Hopke et al., 2020) and only a handful of studies quantitatively 93 assessing source contributions smoothed the disturbance of weather conditions. 94 95 Qingdao, as an economically developed coastal megacity in northern China, has suffered severe air pollution (Bie et al., 2021; Gao et al., 2020; Li et al., 2017). It has been reported 96 by Li et al. (2021a) that meteorology plays a critical role in the formation of pollution for this 97 coastal region. In addition, based on measures taken in accordance with the "CAAP" since 98 99 2013 and the "BSPC" since 2018, source interventions such as the relocation and transformation of businesses and industries from the Old Town to port regions (Liu et al., 100 101 2021a) have been implemented to improve the air quality in Qingdao. Up to now, the air quality in Qingdao has been greatly improved, the annual mean concentrations of PM<sub>2.5</sub> and 102 103 PM<sub>10</sub> all decreased by 38% from 2015 to 2020 based on the air quality monitoring data. Liu 104 et al. (2020a) assessed the changes in O<sub>3</sub> concentrations during the Shanghai Cooperation Organization (SCO) Summit in Qingdao and analyzed the impact of control measures on the 105

report to date has evaluated the effectiveness of these control measures based on a long-term

emissions reduction of its precursors, and Liu et al. (2020b) also analyzed the reasons for the

increase of O<sub>3</sub> concentration at nighttime during the SCO Summit. However, there is no

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changes in source contributions by smoothing the influences of weather conditions. In view of this, our work was mainly to evaluate the implementation of control measures utilizing the data of weather-normalized air pollutants, changes in chemical compositions in PM<sub>2.5</sub> and source contributions as well as extra source origins from 2011 to 2020. Findings of this work are expected to provide the basis for policy development for a coastal megacity in the future.

### 2 Materials and methods

2.1 Study region and sampling site

Qingdao is an economically developed coastal megacity of Shandong province, China (Fig. S1). The variation of local economic and social developments from 2011 to 2019 were counted and are shown in Fig. S2. During this period, the local resident population continued to rise, reaching 9499.8 thousand in 2019. The developed area and the possession of civil motor vehicles also showed upward tendency, attaining 758.2 km² and 3062 thousand units in 2019, respectively. The total energy consumption had a maximum of 16891 thousand tons standard coal in 2012 and maintained comparable levels from 2014 to 2019. The industrial coal burning capacity above the designated scale and the volume of liquefied petroleum supply both presented downward trend with values of 10965.7 and 30.2 thousand tons in 2019, respectively. The emissions of sulfur dioxide, nitrogen oxide, and dust basically showed a downward trend from 2011 to 2019, especially in 2017, and the emissions of these pollutants remained at relatively low levels after 2017, reflecting that the pollution sources for these particular pollutants had been effectively controlled in Qingdao.

In this study, in order to evaluate the effectiveness of control measures targeted for polluted sources in the past decade in Qingdao, ambient PM<sub>2.5</sub> samples were collected at urban sites over three time periods during 2011-2012, 2016, and 2019. The 2011-2012 samples were collected before the "CAAP" was enacted in 2013, and the 2016 samples were collected at the end of the "CAAP", while the 2019 samples were collected during the middle of the "BSPC" policy period. The sampling plan (detailed in the next section) was designed to capture changes in the data during these periods, as any changes could reflect changes in the pollution sources during different stages of China's air pollution control measures. The sites of Licang and Shinan were sampled in 2011-2012, while five additional sites, Shibei, Laoshan, Chengyang, Huangdao, and Jiaonan were sampled in 2016 and 2019

(Fig. S1). All collection sites were situated on building rooftops ~10–15 m above ground 140 level and used to collect ambient PM<sub>2.5</sub> samples. Further descriptions of the seven sampling 141 142 sites are shown in Table S1. 143

2.2 Sampling and analysis

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The sampling periods covered all seasons per year and lasted 41, 56, and 60 days for 2011-2012, 2016, and 2019, respectively (Table S2). Particles were gathered simultaneously to polypropylene filters and quartz filters loaded to sampling instruments. The details of the sampling instruments and filters in the different years are listed in Table S3. Samples were collected for a duration of 22 h from 11:00 to 09:00 of the next day. Field blanks and parallel samples were synchronously collected at each site. Before sampling, to remove some volatile compounds and impurities, the quartz and polypropylene filters were baked in an oven at 500 °C and 60 °C for 2 h, respectively. After sampling, all the filters were stored at 4 °C before gravimetric and chemical analyses were conducted.

Before gravimetric analysis, filter equilibration for 48 h was needed under a constant temperature ( $20 \pm 1$  °C) and humidity (45-55%). All filters were weighed by the microbalances with a resolution of 1 or 10 µg during different sampling periods; detailed information is listed in Table S4. To ensure the accuracy, static was eliminated before weighting and all filters were weighed at least twice to meet error requirements (Table S4). For chemical analysis, the elements of Na, Mg, Al, Si, K, Ca, Ti, V, Mn, Fe, Ni, Cu, Zn, and Pb were analyzed in different years. For samples collected in 2011-2012 and 2016, inductively coupled plasma-mass spectrometer (ICP-MS) was applied to determine these elements. For samples collected in 2019, inductively coupled plasma-optical emission spectrometer (ICP-OES) was used to measure all related elements. Water-soluble inorganic ions of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2</sup>-, NH<sub>4</sub><sup>+</sup>, and Cl<sup>-</sup> were determined using the ion chromatographs during different years. The organic carbon (OC) and elemental carbon (EC) of samples during different years were determined using a thermal/optical carbon analyzer, based on the IMPROVE (in 2011-2012) and IMPROVE\_A (in 2016 and 2019) thermal/optical reflectance protocol. The detailed instrumental information is listed in Table S5 and analysis procedures and quality controls are described in Text S1 in the supplemental materials as well as prior works from Liu et al. (2021a), Huang et al. (2021), Wang et al. (2021b), and Tian et al. (2014).

### 2.3 Random forest (RF) based weather normalization

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From 1 January 2015 to 31 December 2020, the hourly concentrations of six air pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub>) at the nine national air quality monitoring stations in Qingdao were collected from the China National Environmental Monitoring Network (CNEM) (http://106.37.208.233:20035, last access: 29 October 2021). Data collected from the nine monitoring stations were averaged to represent the pollution level at city scale. The explanatory variables including the meteorological variables and time variables were used to build the RF model and predict the air pollutant concentrations Hourly surface meteorological data including wind speed, wind direction, temperature, dewpoint, relative humidity, and pressure recorded at Qingdao Liuting International Airport were downloaded using the "worldMet" R package (Carslaw, 2017). Time variables included Unix time (number of seconds since 1 January 1970), Gregorian day (day of the year), month, week, weekday, and hour of the day. Data were analyzed in RStudio with a series of packages, and the details of the random forest (RF) model and weather normalization using the RF model are provided in Vu et al. (2019). The training data set was comprised of 80% of the whole data, with the rest as testing data. After the RF predictive model was built for every pollutant, the model was then fed with a new dataset comprised of time variables same with the original dataset and meteorological variables that resampled from the whole observation. The prediction process was repeated 500 times to predict the concentration of a pollutant. The 500 predicted concentrations were then averaged to calculate the weather normalized concentration. The RF based weather normalization technique has been extensively used to decouple meteorology from the observed concentrations, thus can detect interventions in emissions over time (Grange et al., 2018; Grange and Carslaw, 2019; Hou et al., 2022).

## 2.4 Theil-Sen regression

The Theil-Sen regression technique has been commonly used to explore the long-term trend of pollutants over years. This approach assumes monotonic linear trends (Masiol et al., 2019). Its principle is to calculate the slopes of all possible pairs of pollutant concentrations, select the median value, and give accurate confidence intervals (Munir et al., 2013; Sen, 1968). In this study, the data of air pollutants obtained from RF modelling with weather

normalization was de-seasonalized as the Theil-Sen regression being performed. The Theil-Sen function is provided by the "openair" R package.

#### 2.5 Dispersion normalization

Although the RF-based weather normalization method can well decouple the overall weather effects, it needs a large size of data to well training the model before de-weathering. The fact that there is a big difference in the size and time-resolution between the routine air quality data and the offline filter-based measured PM<sub>2.5</sub> chemical compositional data. However, the meteorological dispersion can be quantified by the ventilation coefficient (VC) (Kleinman et al., 1976; Iyer and Raj, 2013). Although the VC-normalization that needs VC data to be known a priori can only decouple the local dispersion, it is relatively simple and useful to decouple the impact of dispersion (Ding et al., 2021). Therefore, this normalized approach is very suitable for the offline data with small size and poor continuity.

In this study, the VC data is obtained from the multiplication of mixed layer height (MLH) and the mean wind speed (WS) within the mixed layer (Eq. (1)). Basing on a VC at a given time interval *i*, the normalized concentration can be obtained by Eq. (2):

$$VC_i = MLH_i \times WS_i \tag{1}$$

$$C_{vc,i} = C_i \times \frac{vc_i}{vc_{mean}} \tag{2}$$

where  $VC_i$  (m² s<sup>-1</sup>) is the ventilation coefficient during period i,  $VC_{mean}$  (m² s<sup>-1</sup>) is the mean VC during the whole study period, and  $C_{vc,i}$  (µg m<sup>-3</sup>) and  $C_i$  (µg m<sup>-3</sup>) are the normalized and observed concentrations, respectively. In this study, the dispersion normalization was conducted for the data of offline PM<sub>2.5</sub> and chemical compositions and the resolved source contributions. The surface wind speed at 10 m was replaced with the mean wind speed through MLH because of the absence of wind speed at different heights (Dai et al., 2020; Ding et al., 2021). The 3 h resolution data of MLH and WS was derived from archived meteorology of the National Ocean and Atmospheric Administration (https://www.ready.noaa.gov/READYamet.php, last access: 29 October 2021), and the

2.6 Positive matrix factorization (PMF)

calculated daily MLH and WS data were used in this study.

In order to assess the effectiveness of pollution control, source categories and their

contributions were estimated by the PMF method. The PMF decomposes a sample composition dataset (X) into two matrices including source profiles (F) and source contributions (G) (Paatero and Tapper, 1994). This principle can be refined as follows:

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$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
 (3)

where  $x_{ij}$  is the concentration (µg m<sup>-3</sup>) of the jth component from the ith sample;  $g_{ik}$  means the contribution (µg m<sup>-3</sup>) of the kth source to the ith sample;  $f_{kj}$  represents the source profile (µg µg<sup>-1</sup>) of the jth component from the kth source;  $e_{ij}$  is the residual (µg m<sup>-3</sup>) of the jth component of the ith sample; and p means the number of sources. In this study, US EPA PMF v5.0 was applied to carry out source apportionment, and the details in treatment of input data and method detection limits of chemical compositions are described in Table S6 and Text S2, respectively. Bootstrap (BS) and displacement (DISP) analyses were used to investigate the effects of measurement error and rotation ambiguity on the resulting solutions.

### 2.7 Potential source contribution function (PSCF)

We performed PSCF to further investigate the origin of polluted sources. First, the 72 h backward trajectories were calculated at 6 h intervals every day with starting height of 100 m above ground level in Qingdao (36.10° N, 120.32° E), using the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model in the GIS-based software of TrajStat (Wang et al., 2009). The weather data was acquired from Global Data Assimilation System with horizontal resolution of one-degree latitude-longitude (available at http://www.arl.noaa.gov/, last access: 29 October 2021). PSCF was then analyzed based on the trajectories added to source concentrations. The study region was divided into equal-sized grid cells, thus the number of endpoints for given specific values in every cell could be obtained. According to pre-set threshold criterion, the PSCF value was the proportion of the number of endpoints beyond the threshold criterion in each cell. To improve the accuracy of the result, weighted PSCF was calculated. More details are given in Text S3 of supplementary material.

#### 3 Results and discussion

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## 3.1 Variation characteristics of the air quality

3.1.1 Trend analysis and annual changes

38% from 51 and 98  $\mu g \; m^{\text{-}3}$  in 2015 to 32 and 61  $\mu g \; m^{\text{-}3}$  in 2020, respectively. The annual mean  $PM_{2.5}/PM_{10}$  was 0.47  $\pm$  0.02, with little change from 2015 to 2020, suggesting the significant impact of coarse particle sources (e.g. dust) in Qingdao. The annual mean levels of SO<sub>2</sub> and NO<sub>2</sub> declined by 72% and 8% from 27 and 33 µg m<sup>-3</sup> in 2015 to 8 and 31 µg m<sup>-3</sup> in 2020, respectively. The concentration of SO<sub>2</sub> showed a significant downward trend, while that of NO<sub>2</sub> changed little, probably indicating that the impact of coal-fired sources was significantly reduced, while the impact of mobile sources might still be obvious. The annual mean level of CO decreased by 32% from 0.91 mg m<sup>-3</sup> in 2015 to 0.62 mg m<sup>-3</sup> in 2020, while that of O<sub>3</sub> changed little with ranging from 71 to 69 µg m<sup>-3</sup>. In order to shield the impact of meteorological dispersion, the normalized air quality parameters were acquired using the RF algorithm under 30-year average (1990–2020) meteorological conditions. The Theil-Sen trends of air pollutant concentrations after weather normalization by RF modelling are shown in Fig. 1. The decreasing real trend for air pollutants except for  $O_3$  was found after the weather normalization (Fig. 1), indicating that the air quality is gradually improving in Qingdao. The trends of the normalized air quality parameters represent the effects of emission control and, in some cases, associated chemical processes (Vu et al., 2019). The Theil-Sen trend analysis of air pollutant concentrations and PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> after the weather normalization is shown in Fig. 1. Compared with other air pollutants, the decline rate of SO<sub>2</sub> concentration was the highest (15.5% yr<sup>-1</sup>), whereas that of O<sub>3</sub> concentration was the lowest (0.2% yr<sup>-1</sup>). Note that the decline rate of PM<sub>2.5</sub> concentration (6.0% yr<sup>-1</sup>) was higher than that of PM<sub>10</sub> concentration (5.6% yr<sup>-1</sup>), which led to a slight downward trend for PM<sub>2.5</sub>/PM<sub>10</sub> (0.6% yr<sup>-1</sup>), indicating that the impact of coarse particle sources such as dust might be prominent. The decline rate of SO<sub>2</sub> concentration was higher than that of NO<sub>2</sub> concentration (1.0% yr<sup>-1</sup>), resulting in a higher SO<sub>2</sub>/NO<sub>2</sub> decline rate of 15.3% yr<sup>-1</sup>, indicating that the control effect of stationary sources was better than that of mobile sources (Nirel and Dayan, 2001). It was found that CO

The annual mean concentration of PM<sub>2.5</sub> and PM<sub>10</sub> in Qingdao decreased by 38% and

concentration also performed an obvious decreasing trend, with the decreasing rate reaching 285 5.2% yr<sup>-1</sup>, whereas the downward trend of O<sub>3</sub> concentration was not prominent. The 286 normalized medians of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub> decreased by 2.8, 5.4, 3.4, 0.3, 287 42.8, and 0.1 µg m<sup>-3</sup> yr<sup>-1</sup>, respectively (Table S7). Similar to this study, Vu et al. (2019) found 288 that primary emission controls required by the CAAP in Beijing have led to substantial 289 reductions in PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO from 2013 to 2017 of approximately 34%, 24%, 290 17%, 68%, and 33%, respectively, after meteorological normalization. Zhai et al. (2019) 291 suggested that the mean PM<sub>2.5</sub> decreased across China was 4.6 µg m<sup>-3</sup> yr<sup>-1</sup> in the 292 meteorology-corrected data from 2013 to 2018, and the Beijing-Tianjin-Hebei, the Yangtze 293 River Delta, the Pearl River Delta, the Sichuan Basin, and the Fenwei Plain decreased 8.0, 294 6.3, 2.2, 4.9, and 5.0 µg m<sup>-3</sup> yr<sup>-1</sup>, respectively. Overall, the concentrations of most air 295 pollutants (i.e., PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO) in China have showed a decreasing trend in 296 recent years (Zhao et al., 2021a; Fan et al., 2020), while that of O<sub>3</sub> has performed an 297 increasing trend (Li et al., 2020a; Ma et al., 2021), which further facilitated secondary 298 particles formation (Wang et al., 2016; Nøjgaard et al., 2012). 299 300 Figure S3 compares the trends of air pollutants before and after normalization from 2015 to 2020, which are largely different depending on meteorological conditions (Vu et al., 2019). 301 The annual average concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub> after normalization 302 were higher than the actual observed concentrations. Compared with 2018, the observed 303 concentrations of air pollutants in 2019 showed an increase in varying degrees; however, the 304 increasing values of annual average concentrations for PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, CO, and O<sub>3</sub> after 305 normalization decreased, and even the NO<sub>2</sub> concentration after normalization also decreased. 306 307 This indicates that the meteorological conditions in 2019 reduced the effect of actual control to some extent. Up to that point, emission control had resulted in reductions of PM<sub>2.5</sub>, PM<sub>10</sub>, 308 SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub> concentrations by 17.7%, 31.9%, 18.4%, 1.7%, 0.3%, and 0.4% from 309 2015 to 2020, respectively, highlighting that much work is still needed to ensure the decrease 310 311 of NO<sub>2</sub> and O<sub>3</sub> concentrations in the future. 312 3.1.2 Changes in the air quality in the two control stages In order to assess the changes in ambient air quality in Qingdao during different policy 313

control periods, this study analyzed the changes in air pollutant concentrations during two

315	stages: the CAAP period (stage 1: 2015-2017) and the BSPC period (stage 2: 2018-2020).
316	The observed annual mean concentrations for $PM_{2.5}$ and $PM_{10}$ during stage 1 were 45 and 89
317	$\mu g \ m^{-3}$ , respectively (Table S8), and their annual average decline rates were 11.9% and 8.0%
318	after weather normalization, respectively. Li et al. (2019b) found that $PM_{2.5}$ decreased by 30-
319	40% across China during 2013-2017 in response to the governmental Clean Air Action.
320	Compared with stage 1, the annual average concentrations of PM <sub>2.5</sub> and PM <sub>10</sub> observed in
321	stage 2 were 35 and 71 $\mu g$ m <sup>-3</sup> , respectively (Table S8), and the decline range after
322	normalization was reduced, with the decline rates of 5.3% and 7.0%, respectively (Fig. 2).
323	However, PM <sub>2.5</sub> /PM <sub>10</sub> ratios during two stages were less than 0.5, suggesting that the impact
324	of dust sources might be obvious in the two stages. Note that the mean observed annual
325	concentration of $SO_2$ was 21 $\mu g\ m^{-3}$ in the stage 1 (Table S8) and its annual average decline
326	rate reached 25.0% after normalization (Fig. 2), which was significantly higher than that of
327	other pollutants. Compared with stage 1, the observed annual average concentration of $SO_2$ in
328	stage 2 was only 8 $\mu g$ m <sup>-3</sup> (Table S8), and the annual decline rate of SO <sub>2</sub> concentration after
329	normalization still reached 17.1% (Fig. 2), indicating that Qingdao had achieved remarkable
330	results in the control of coal combustion during the two stages. The observed annual mean
331	concentrations for $NO_2$ and $O_3$ during stage 1 were 34 and 73 $\mu g$ m <sup>-3</sup> , respectively (Table S8),
332	and their annual increasing rates after normalization were 1.5% and 2.8%, respectively (Fig.
333	2). Study showed that surface ozone pollution in China worsened over the stage 1 (Li et al.,
334	2019b). The observed annual mean concentrations of NO <sub>2</sub> and O <sub>3</sub> in stage 2 were 32 and 71
335	$\mu g \ m^{3}$ , respectively (Table S8), while their annual decline rates after normalization were only
336	2.7% and 2.0%, respectively (Fig. 2). This indicates that the impact of motor vehicles in
337	Qingdao could be greater than expected. Meanwhile, NO2 and volatile organic compounds
338	emitted from motor vehicles are important precursors for the formation of O <sub>3</sub> (Pugliese et al.,
339	2014; Tsai et al., 2010), which were found to have further enhanced the O <sub>3</sub> concentration in
340	Qingdao's atmosphere. The mean observed annual concentrations for CO were 0.80 and 0.64
341	mg m <sup>-3</sup> in stages 1 and 2, respectively (Table S8), and the annual average decline rate were
342	11.4% and 3.2% after normalization, respectively (Fig. 2), suggesting that there might have
343	been a benefit from the significant control effect of coal-fired sources.
344	Diurnal variations of concentrations of air pollutants and PM <sub>2.5</sub> /PM <sub>10</sub> and SO <sub>2</sub> /NO <sub>2</sub>

after normalization in the two stages are shown in Fig. S4. The diurnal variation in PM<sub>2.5</sub> concentration in the two stages was basically the same; however, the concentration of PM<sub>2.5</sub> in stage 2 was significantly lower than that in stage 1. Diurnal variation of PM<sub>10</sub> concentration in the two stages was similar to PM<sub>2.5</sub>. The daily variations of PM<sub>2.5</sub>/PM<sub>10</sub> in the two stages were basically the same, and the PM<sub>2.5</sub>/PM<sub>10</sub> between 06:00-20:00 in stage 2 was slightly lower than that in stage 1, probably suggesting that the impact of dust increased slightly during this period. The diurnal variations of SO<sub>2</sub> and CO concentrations during stages 1 and 2 were generally consistent, whereas their concentrations in stage 2 were substantially lower than those in stage 1, which might indicate that the control effects of coal combustion in Qingdao in stage 2 was obvious. In contrast, the diurnal variations of NO<sub>2</sub> concentrations in stages 1 and 2 were basically consistent with the values at each time, suggesting that the impact of motor vehicles in Qingdao might still be significant, especially the morning and evening peaks and between 21:00 and 23:00 at night. The daily variations of O<sub>3</sub> concentrations were highly consistent in the two stages, especially between 14:00 and 17:00, O<sub>3</sub> pollution was still severe. In general, compared with stage 1, the concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, and CO in stage 2 decreased remarkedly at all times, while those of NO<sub>2</sub> and O<sub>3</sub> remained basically unchanged at all times, indicating that the control effect of coalfired sources in Qingdao was significant, whereas the impact of motor vehicles and O<sub>3</sub> pollution were more obvious.

3.1.3 Changes in air quality after the COVID-19 lockdown

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In response to the COVID-19 outbreak, a series of lockdown measures were implemented in China to curb the virus transmission, resulting in a significant decrease in traffic and industrial activities. These limitations provided an opportunity to investigate critical pollution sources that could potentially be better managed in the future to further improve the air quality. In order to explore the changes of air quality in Qingdao during the COVID-19 lockdown period, combined with the specific lockdown situation of Qingdao (http://wsjkw.shandong.gov.cn/ywdt/xwtt/202001/t20200124\_3420319.html; http://www.shandong.gov.cn/art/2020/3/7/art\_119816\_350607.html; last access: 29 October 2021), this study divided the lockdown period into three stages: pre-lockdown (1 to 24 January, 2020), full lockdown (25 January to 7 March, 2020), and partial lockdown (8 to 31

PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> during different lockdown stages and their corresponding periods 376 in 2018 and 2019 are shown in Fig. 3 and Tables S9-S10. According to the weather 377 normalization data, compared with that before the lockdown, the concentrations of PM<sub>2.5</sub>, 378 PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO decreased substantially during the full lockdown, among which the 379 concentrations of PM<sub>10</sub> and NO<sub>2</sub> decreased the most (49.5% and 49.0%, respectively), 380 followed by PM<sub>2.5</sub> (47.8%) (Table S11), which was closely related to the significant decrease 381 382 in traffic and construction activities during the full lockdown (Collivignarelli et al., 2021; Hong et al., 2021; Wang et al., 2021a). Note that the O<sub>3</sub> concentration increased apparently 383 384 by 50.8% during the full lockdown (Table S11), suggesting that the atmospheric oxidation might be enhanced during this period, similar to the study of Chu et al. (2021), Ding et al. 385 (2021), He et al. (2020), and Le et al. (2020). Meanwhile, the markedly decrease of NO<sub>2</sub> 386 during the full lockdown can also weaken "NOx titration effect", further resulting in higher 387 O<sub>3</sub> level during this period. PM<sub>10</sub> and NO<sub>2</sub> concentrations rebounded significantly during 388 partial lockdown, increasing by 20.3% and 21.1% compared with the full lockdown, 389 390 respectively, likely due to the increased impacts of traffic activities and related road dust. The 391 concentrations of PM<sub>2.5</sub>, SO<sub>2</sub>, and CO further decreased during the partial lockdown. The study from Yin et al. (2021) showed that the decrease of PM<sub>2.5</sub> concentration might be mainly 392 due to the meteorological conditions. 393 Compared with the same period in 2018, the concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, 394 CO, and O<sub>3</sub> decreased obviously during the full lockdown, of which the reduction range of 395 SO<sub>2</sub> concentration was the greatest (39.8%), whereas that of O<sub>3</sub> concentration was relatively 396 397 lowest (1.8%) (Table S12). Compared with the corresponding period in 2019, the concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO decreased by 34.5%, 44.8%, 27.0%, 32.6%, 398 399 and 22.3% during the full lockdown, respectively, while that of O<sub>3</sub> increased by 3.9% (Table S12). This shows that the COVID-19 lockdown measures led to the marked decrease of the 400 401 primary emissions of air pollutants. Meanwhile, the concentrations of particulate matter and NO<sub>2</sub> decreased substantially during the full lockdown. Since there are relatively few 402 industrial enterprises in urban area of Qingdao, NO<sub>2</sub> is mainly emitted from motor-vehicles. 403 Therefore, this suggested that the control of motor-vehicles under normal conditions should 404

March, 2020). The time series and average values of air pollutant concentrations and

play an important role in the improvement of air quality in Qingdao.

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### 3.2 Changes in meteorological conditions and chemical compositions

In this study, the VC in the same period was used to normalize the concentrations of chemical compositions in PM<sub>2.5</sub>. After decoupling the impacts of meteorological dispersion, the changes in the concentrations of major chemical compositions in the different years were analyzed to better reflect the impacts of source emissions (Dai et al., 2020; Ding et al., 2021). In 2011-2012, 2016, and 2019, the annual average MLHs in Qingdao were 399, 383, and 414 m, respectively (Fig. S5). However, the average wind speed in 2016 was significantly higher than that in other years, reaching 3.3 m s<sup>-1</sup>. The VC showed an increasing trend year by year, from 1292.7 to 1555.4 m<sup>2</sup> s<sup>-1</sup> (Fig. S5), suggesting that the atmospheric dispersion conditions in Qingdao were gradually increasing. The average VC of Qingdao in three years was 1432.6 m<sup>2</sup> s<sup>-1</sup>, and higher MLH usual corresponds to higher wind speed. Time series of observed concentrations and normalized concentrations of PM<sub>2.5</sub> and chemical compositions are shown in Fig. S6. The observed and VC normalized concentrations of PM<sub>2.5</sub> during the whole study period were 93 and 83 µg m<sup>-3</sup>, respectively, suggesting that the unfavorable meteorological conditions might increase approximately 10 µg m<sup>-3</sup> of PM<sub>2.5</sub>, which was substantially lower than that reported by the study of Ding et al. (2021) in Tianjin. Zhai et al. (2019) found that the mean PM<sub>2.5</sub> in the meteorology-corrected data from 2013 to 2018 was 12% lower than in the original data, meaning that 12% of the PM<sub>2.5</sub> decrease in the original data was attributable to the meteorology. However, Gong et al. (2022) suggested that the meteorology can explain approximately 20-33% of the PM<sub>2.5</sub> variations. The annual changes in the observed and dispersion normalized concentrations and percentages of main chemical compositions in ambient PM<sub>2.5</sub> are shown in Fig. 4 and Fig. S7. From 2011-2012 to 2019, the observed concentrations of SO<sub>4</sub><sup>2</sup>- showed an obvious downward trend, from 23.5 to 6.7  $\mu$ g m<sup>-3</sup>. The trend of concentrations of  $SO_4^{2-}$  after dispersion normalization was consistent with the observed concentrations, and the annual average decline rate was approximately 41.5% (38.1% in 2016 and 44.8% in 2019) (Table S13), probably suggesting that the impacts of coal-fired sources in Qingdao has decreased substantially in recent years. In contrast, the observed concentrations and percentages of NO<sub>3</sub><sup>-</sup> increased significantly from 2011-2012 (3.5  $\mu g \text{ m}^{-3}$ ) to 2019 (10.0  $\mu g \text{ m}^{-3}$ ), and NO<sub>3</sub>-/SO<sub>4</sub><sup>2</sup>-

increased from 0.14 to 1.50. After dispersion normalization, the concentrations and percentages of NO<sub>3</sub><sup>-</sup> changed inappreciable in 2016-2019 but significantly higher than that prior to the CAAP. It has been found that ambient NO<sub>3</sub> in urban mainly originates from the secondary conversion of NOx emitted by motor-vehicles (Alexander et al., 2020; Liu et al., 2017; Meng et al., 2008), thereby indicating that the impacts of motor-vehicles in Qingdao might become increasingly obvious. The observed and normalized concentrations and percentages of OC and EC basically performed a downward trend from 2011 to 2019. The OC concentration decreased significantly, and the observed and normalized concentrations decreased from 13.1 to 7.6 µg m<sup>-3</sup> and 12.9 to 7.2 µg m<sup>-3</sup>, respectively, which might be related to the significant decrease in the impacts of coal-fired sources in Qingdao. Note that the annual variations of observed and normalized concentrations of NH<sub>4</sub><sup>+</sup> were consistent with that of  $SO_4^{2-}$ , but contrary to that of  $NO_3^-$ , which might indicate that ammonium mainly existed in the form of ammonium sulfate and ammonia hydrogen sulfate in Qingdao. Crustal elements (Si, Al, and Mg) decreased remarkably after the CAAP were in place. The observed and normalized concentrations of these elements in 2011-2012 were higher than those in 2016 and 2019, while their concentrations in 2019 were slightly higher than those in 2016. From 2011-2012 to 2019, the observed concentrations of Si, Al, and Mg decreased from 10.7 to 1.0 µg m<sup>-3</sup>, 3.1 to 0.5 µg m<sup>-3</sup>, and 1.9 to 0.2 µg m<sup>-3</sup>, respectively, and the trends of normalized concentrations were consistent with the observed concentrations, likely suggesting that the impact of dust in 2011-2012 was apparently higher than that in 2016 and 2019, and 2019 rebounded compared with 2016. The trends of the observed and normalized concentrations and percentages of Ca were consistent. The concentrations and percentages in 2011-2012 were remarkedly higher than that in 2016 and 2019, and the concentration in 2019 rebounds compared with that in 2016, with the increasing rate of 77.1% in terms of normalized data (Table S13). This suggests that the impact of construction activities in 2011-2012 might have been significantly higher than that in 2016 or 2019. The annual trends of observed and normalized concentrations of Fe were also consistent. The observed and normalized concentrations in 2011-2012 were 4.0 and 4.6 μg m<sup>-3</sup>, respectively. After 2016, the concentrations and percentages of Fe decreased substantially, which might be closely related to the relocation of iron and steel enterprises in Qingdao (Liu et al., 2021a).

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The observed and normalized concentrations and percentages of Ni and V basically showed a downward trend from 2011-2012 to 2019. The concentrations in 2011-2012 were 466 significantly higher than that in 2016 and 2019, which might indicate that the impact from 467 ships in 2011-2012 was more obvious. Of course, it might also be related to the impact of 468 manual dust sources. From 2011-2012 to 2019, the observed and normalized concentrations 469 470 and percentages of Na showed a downward trend. The concentration and percentage in 2011-2012 were significantly higher than those in 2016 and 2019, suggesting that the impact of sea 471 472 salt might have decreased in Qingdao in recent years. 3.3 Changes in source contributions 473 3.3.1 Source identification 474 Given that the differences of source profiles during different periods, PMF analysis was 475 conducted for three data sets corresponding to separate sampling periods (i.e., 2011-2012, 476 2016, and 2019). The solutions from five to nine factors were examined in terms of scaled 477 residuals, factor interpretability, and displacement acceptability (Brown et al., 2015; Dai et al., 478 2020). An eight-factor solution was chosen as the optimal fits for each data set. The 479 correlation coefficients (R<sup>2</sup>) between the observed and calculated concentrations were 0.91. 480 0.83, and 0.91, respectively (Fig. S8). There were no DISP swaps, and all BS runs had at least 481 87% agreement with the base case values (Table S14). 482 The factor profiles estimated from PMF during different periods are shown in Figs. S9-483 S11. The first factor was identified as vehicle emissions, because OC and EC both had high 484 concentrations and explained variations as well as narrow DISP bounds. It is known that the 485 OC and EC are important tracers for vehicle emissions (Bi et al., 2019; Gao et al., 2016; 486 Ryou et al., 2018; Xu et al., 2019a). The second factor was characterized by higher 487 concentration and explained variation of Si, and high Al concentrations, and they all had 488 489 narrow DISP ranges. Si and Al were the indicators for fugitive dust (Begum et al., 2011; Jain et al., 2018; Zhao et al., 2021b). The third factor featured relatively high concentrations and 490 explained variations of OC,  $SO_4^{2-}$ , and Cl<sup>-</sup> with tight DISP intervals. These species were 491 distinctive tracers for coal combustion (Huang et al., 2017; Song et al., 2021; Tao et al., 2014). 492

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relatively high concentrations of Cu and Zn. Tsai et al. (2020) found that Fe and Mn were

The fourth factor was characterized by high explained variations of Fe and Mn, and

related to basic oxygen, iron ore sintering and steel oxidation refining. Ouerol et al. (2007) and Kuo et al. (2007) have reported that Cu and Zn were released from multiple metal smelting. Therefore, this factor was identified as steel-related smelting. The fifth factor was dominated by high concentrations and explained variations of NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> with small DISP bounds, which was identified as secondary nitrate (Esmaeilirad et al., 2020). It was found that  $SO_4^{2-}$  and  $NH_4^+$  presented the highest explained variations and concentrations with narrow DISP bounds in the sixth factor. Therefore, this factor was assigned as secondary sulphate (Bove et al., 2016; Jain et al., 2020). The seventh factor was featured by high concentration and explained variation of Ca with a small DISP bound, which was identified as construction dust (Liu et al., 2016; Zhang et al., 2005). The final factor was characterized by highly explained variations of Na, Ni, and V with narrow DISP intervals. In addition, the concentrations of Mg, NO<sub>3</sub>-, SO<sub>4</sub><sup>2</sup>-, and Cl<sup>-</sup> in this factor were also relatively high. Zhang et al. (2021), Liu et al. (2018b), Choi et al. (2013), and Police et al. (2016) have found that sea salt involves high amounts of Na, Mg, NO<sub>3</sub>-, SO<sub>4</sub><sup>2</sup>-, and Cl<sup>-</sup>. Meanwhile, Ni and V are the markers of ship emissions (Manousakas et al., 2017; Zong et al., 2018; Xu et al., 2018). Therefore, this factor was recognized as a mixed source of sea salt and ship emissions. 3.3.2 Change in source contributions The source apportionment results of ambient PM<sub>2.5</sub> in Qingdao from 2011-2012 to 2019 are shown in Fig. 5 and Figs. S12-S15. For vehicle emissions, its contribution showed

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The source apportionment results of ambient PM<sub>2.5</sub> in Qingdao from 2011-2012 to 2019 are shown in Fig. 5 and Figs. S12-S15. For vehicle emissions, its contribution showed an increasing trend with each year, from 12.1  $\mu$ g m<sup>-3</sup> (7.9%) to 13.6  $\mu$ g m<sup>-3</sup> (22.5%). The contribution of coal combustion performed a significant downward trend, from 21.3  $\mu$ g m<sup>-3</sup> (13.9%) in 2011-2012 to 4.5  $\mu$ g m<sup>-3</sup> (7.5%) in 2019. The contribution of fugitive dust in 2011-2012 was up to 35.3  $\mu$ g m<sup>-3</sup> (23.1%), significantly higher than 8.5  $\mu$ g m<sup>-3</sup> (13.2%) in 2016 and 10.2  $\mu$ g m<sup>-3</sup> (16.8%) in 2019, and the contribution in 2019 rebounded compared with 2016. The contribution of construction dust showed a downward trend year after year, from 14.2  $\mu$ g m<sup>-3</sup> (9.3%) in 2011-2012 to 2.4  $\mu$ g m<sup>-3</sup> (4.0%) in 2019. The contribution of steel-related smelting also showed a downward trend year by year, from 15.9  $\mu$ g m<sup>-3</sup> (10.4%) in 2011-2012 to 3.0  $\mu$ g m<sup>-3</sup> (4.9%) in 2019. The significant decline in the impact of steel-related smelting after 2016 might be closely related to the relocation of iron and steel enterprises in Qingdao (Liu et al., 2021a). The contribution of secondary nitrate basically performed a

significant upward trend, increasing from 14.5 µg m<sup>-3</sup> (9.5%) in 2011-2012 to 15.2 µg m<sup>-3</sup> 525 (25.2%) in 2019, which might be related to the high concentration of precursor (NO<sub>2</sub>) (Fig. 1) 526 527 and the increase in atmospheric oxidation in recent years (Chen et al., 2020c; Fu et al., 2020). In contrast, the contribution of secondary sulphate showed a significant downward trend, 528 from 34.2  $\mu$ g m<sup>-3</sup> (22.3%) in 2011-2012 to 9.7  $\mu$ g m<sup>-3</sup> (16.0%) in 2019, likely due to the 529 significant decrease in the concentration of its precursor (SO<sub>2</sub>) (Fig. 1). For sea salt and ship 530 emissions, the contribution basically performed a downward trend, from 5.7 µg m<sup>-3</sup> (3.7%) in 531 2011-2012 to  $2.0 \mu g m^{-3} (3.2\%)$  in 2019. 532 To shield the impact of meteorology on the source apportionment results, this study used 533 Eq. (2) to conduct the treatment of dispersion normalization for the source apportionment 534 results, and then analyzed the annual changes in the contributions of different source 535 categories, as shown in Fig. S16. The annual changes in the contributions of multiple sources 536 537 in Qingdao were basically consistent with the results of direct PMF calculation. The contribution of vehicle emissions was increasing year by year, and the annual average 538 increase rate of contribution concentration was 12.1%. However, the contribution of coal 539 540 combustion showed a continuous yearly downward trend, with the average annual decline rate of contribution concentration being 56.8%. For fugitive dust, compared with 2011-2012, 541 the contribution in 2016 decreased substantially, with a decline rate of contribution 542 concentration of 68.9%, while it rebounded in 2019, with an increase rate of 25.2%. The 543 contribution of construction dust performed a continuous yearly downward trend, with the 544 average annual decline rate of contribution concentration being 55.9%. For the steel-related 545 smelting, and sea salt and ship emissions, the average annual decline rates of their 546 contribution concentrations were 55.3% and 46.0%, respectively. In contrast, the contribution 547 of secondary nitrate showed an increasing trend, and the increase rate of its contribution 548 549 concentration was 1.7%, while the contribution proportion increased by more than 70%. The contribution of secondary sulphate showed a continuous yearly downward trend, and the 550 average annual decline rate of contribution concentration was 38.7%. Overall, the impacts of 551 552 coal combustion and steel-related smelting industrial sources in Qingdao decreased substantially over the last decade, suggesting that the controlling effects of these sources were 553 obvious. The impact of motor-vehicles was prominent each year. Qingdao also risks increased 554

emissions from the increased vehicular population and ozone pollution that facilitate secondary particles formation in the future. The impact of fugitive dust had decreased in recent years, whereas its contribution was still obvious. Therefore, the control of motor-vehicles and dust should be the focus of pollution source control in Qingdao in the future, while that of coal combustion and industrial sources also should not be ignored.

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Furthermore, with the beginning of heating season in northern cities in China (Liu et al., 2016; Li et al., 2019a), the atmospheric pollutant emissions increased substantially (Chen et al., 2020a). Coupled with the adverse meteorological conditions (Li et al., 2019a), haze episodes occurred frequently during this period (Liu et al., 2018a; Yang et al., 2020). Therefore, the control effects of pollution sources and key control sources in the specific period can be better highlighted through analyzing the changes in the contributions of emission sources during heating seasons over the years. In this study, the heating season in 2011-2012 was defined from 15 to 29 February, 2012, that in 2016 was defined from 17 to 20 December, 2016, and that in 2019 referred from 12 to 26 January, 2019. The contributions of different sources during different heating seasons in Qingdao are shown in Figs. S17-S18. Compared with the heating season in 2011-2012, the contribution of coal combustion decreased significantly in the heating seasons of 2016 and 2019, from 50.2 µg m<sup>-3</sup> (31.7%) to  $10.9 \mu g \, m^{-3}$  (6.4%) and  $10.6 \mu g \, m^{-3}$  (10.8%). The contribution percentages after dispersion normalization showed a consistent trend. For vehicle emissions, the contribution percentages in the heating season increased continuously each year, from 3.9% in 2022-2012 to 22.3% in 2019. The results after normalization had the same trend, suggesting that the impact of motor vehicles in heating season was gradually prominent. The contribution of fugitive dust in the heating season in 2011-2012 (14.2 µg m<sup>-3</sup>) was substantially higher than that in 2016 (3.9 µg m<sup>-3</sup>) and 2019 (12.0 μg m<sup>-3</sup>). The contribution in the heating season in 2019 rebounded remarkedly compared with that in 2016, and the results of dispersion normalization were consistent. The contribution of construction dust in the heating season in 2019 was markedly lower than that in 2011-2012 and 2016. The contribution of steel-related smelting in the heating season showed a continuous yearly downward trend, from 22.6 µg m<sup>-3</sup> in the heating season from 2011-2012 to 4.6 µg m<sup>-3</sup> in 2019. However, its contribution percentage in the heating season in 2019 was higher than that in the heating season in 2016, which was

consistent with the normalized results, indicating that the impact of steel-related smelting in the heating season had increased, though the contribution percentage was low. The contribution of secondary nitrate in heating season in 2016 was up to 61.3 µg m<sup>-3</sup> (36.3%), which was significantly higher than that of 28.4 µg m<sup>-3</sup> (28.9%) in 2019 and 16.8 µg m<sup>-3</sup> (10.6%) in 2011-2012. This was consistent with the results of the dispersion normalization. It can be seen that although the contribution of secondary nitrate in the heating season in 2019 was reduced, its contribution was significantly higher than that of other sources. Similarly, the contribution of secondary sulphate was also higher in the heating season of 2016 than other years; however, its contribution was clearly lower than that of secondary nitrate. After dispersion normalization, the contributions of secondary sulphate basically showed a continuous yearly downward trend. The contribution of sea salt and ship emissions in the heating season also showed an obvious downward trend, from 10.0 µg m<sup>-3</sup> (6.3%) in 2011-2012 to 1.4 µg m<sup>-3</sup> (1.5%) in 2019, and the results after dispersion normalization were basically consistent. The average decline rate of contribution concentration was approximately 70%, including 88% in 2016. From this analysis, the impacts of coal combustion and steel-related smelting in Qingdao were relatively low after the heating season in 2016, while that of vehicle emissions was prominent each year. Although the impact of fugitive dust had rebounded in the heating season in 2019, the contribution was relatively low. The contribution of secondary nitrate in heating season was substantially higher than that of other sources, and the influence of secondary sulfate decreased each year. The influence of sea salt and ship emissions in heating season showed a continuous yearly downward trend.

#### 3.4 Changes in potential source areas

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Similar to the studies of Liu et al. (2021a) and Dai et al. (2020), according to the source apportionment results, this study used the PSCF method to analyze the changes in the potential impact areas of emission sources in Qingdao from 2011-2012 to 2019, and the results are shown in Fig. 6. For vehicle emissions, the potential impact areas changed greatly from 2011-2012 to 2019. The potential impact areas in 2011-2012 were located at the junction of Shandong, Henan, Anhui, and Jiangsu provinces, and the potential impact areas were mainly located in the south part of Jiangsu in 2016, while in 2019, Tianjin and the northwest part of Shandong were important impact areas. The potential impact areas for

fugitive dust showed a trend of westward migration from 2011-2012 to 2019. For 2011-2012, the potential impact areas were located at the junction of Shandong, Henan, Anhui, and Jiangsu, as well as in the northern part of Shandong. The potential impact areas were located in the northwestern part of Shandong in 2016, while they were at the junction of Shandong and Henan in 2019. For coal combustion, the potential impact areas for 2011-2012 were located at the junction of Shandong, Henan, Anhui, and Jiangsu. In 2016, they moved to the northwest of Shandong Province and Beijing Tianjin and Hebei region, and the northwest of Shandong was an important impact area in 2019. For steel-related smelting, Beijing and Tianjin were the potential impact areas for 2011-2012, while the potential impact area was located in the Yellow Sea in 2016, which might be related to the relocation of iron and steel enterprises to a port area in the south of Qingdao (Liu et al., 2021a). This suggests that the air mass transport in the coastal area could lead the nearby sea areas to become potential impact areas. The potential impact area in 2019 was mainly located at the junction of Hebei, Henan, and Shandong. For secondary nitrate, the potential impact area for 2011-2012 was the junction of Shandong, Henan, Jiangsu, and Anhui provinces. The potential impact area was mainly located in the central and southern parts of Shandong in 2016, while two areas were located in Beijing, Tianjin, and the junction of Hebei, Henan, and Shandong provinces in 2019. For secondary sulphate, the main potential impact areas for 2011-2012 were located at the junction of Shandong, Henan, Jiangsu, and Anhui Provinces and the western part of Jilin Province. The impact of the Middle East of Shandong Province was more obvious in 2016, while the impact was greater in the south part of Shandong Province, and the junction of Henan and Jiangsu Provinces in 2019. For construction dust, the main potential impact areas for 2011-2012 were Beijing, Tianjin, and the western part of Shandong Province, and the southeastern part of Hebei Province, Shanghai, and the eastern part of Hubei Province in 2016, while the central and western parts of Shandong Province, the junction of Henan and Shandong Provinces, and the central and southern parts of Anhui Province were the main impact areas in 2019. For sea salt and ship emissions, the potential impact areas for 2011-2012 were mainly located in coastal areas of Jiangsu and Shanghai, which were closely related to the impacts of ship emissions from ports and sea salt in these cities. The Yellow Sea

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was the main impact area in 2016 and 2019, and the impact areas in 2019 moved to the south. Bie et al. (2021) also analyzed the potential impact areas of ship emissions in Qingdao Port from 2018 to 2019 using the PSCF method, and found that they were mainly located in the Bohai Bay, Yellow Sea, and Yangtze River Delta. Overall, from 2011-2012 to 2019, the potential impact areas of different emission sources in Qingdao have changed markedly. In 2019, the potential impact areas for most of the emission sources were mainly located in Shandong Province and along the border areas between the western or southwest parts of Shandong and other provinces, while sea salt and ship emissions were mainly affected by transport on the Yellow Sea.

#### **4 Conclusions**

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A machine learning-based meteorological normalization and a dispersion normalizationbased on ventilation coefficient approaches were applied to decouple the meteorological deduced variations in air quality time series and multiple source contributions of a coastal city in northern China (Qingdao), respectively. The concentrations of air pollutants other than ozone in Qingdao decreased substantially and the air quality improved continuously after the "CAAP" period, indicating that the control strategies of air pollution in Qingdao over the years have been proper. The largest emission reduction sections were likely from coal combustions and industrial emissions from 2011-2012 to 2019, and the decrease of steelrelated smelting after 2016 due to the relocation of iron and steel enterprises. The contribution of dust in Qingdao decreased remarkedly after the "CAAP", but the impact was still outstanding until 2019. Vehicle emissions were increased in importance, as opposed to the other primary sources. Qingdao risks increased emissions from the increased vehicular population and ozone pollution that facilitate secondary particles formation in the future. In addition, the influence of ship emissions should be gradually reduced. The control of motorvehicles and dust should be the focus of pollution source control in Qingdao in the future, while that of coal combustion and industrial sources cannot be ignored. In addition, the potential impact areas of different emission sources in Qingdao have changed markedly from 2011-2012 to 2019. The potential impact areas for most of emission sources were mainly located in Shandong and the border areas between western or southwest Shandong and other provinces in 2019, while sea salt and ship emissions were mainly affected from the transport

of the Yellow Sea.

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#### **Author contributions**

- Baoshuang Liu: Data curation, Writing original draft, Yanyang Wang: Data curation and
- 679 Investigation, He Meng: Data collection, Qili Dai: Supervision and Review, Liuli Diao: Data
- curation, Jianhui Wu: Supervision, Laiyuan Shi: Supervision, Jing Wang: Supervision, Yufen
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### 682 Competing interests

The authors declare no competing financial interests.

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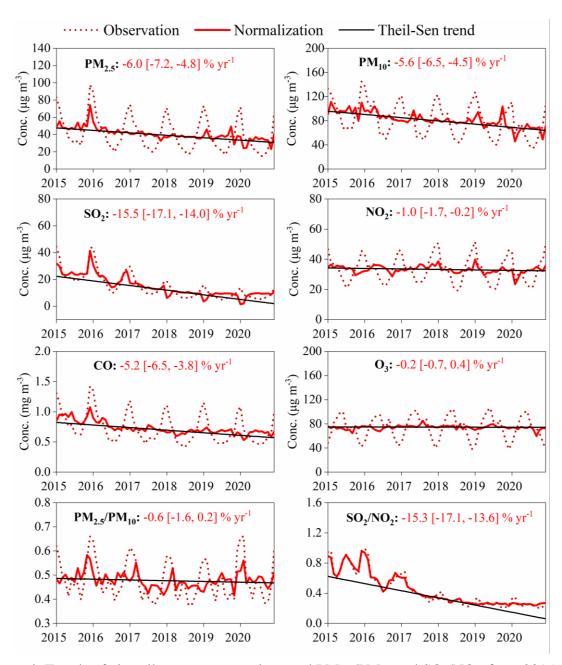
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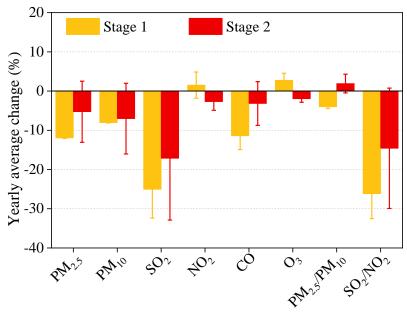
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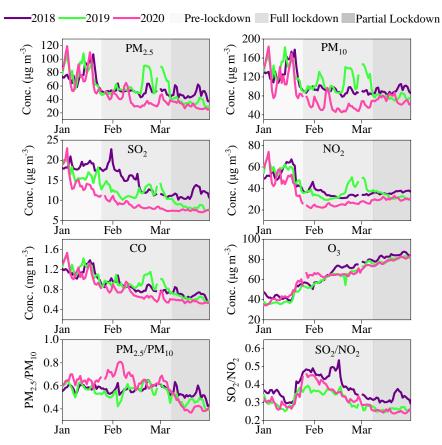
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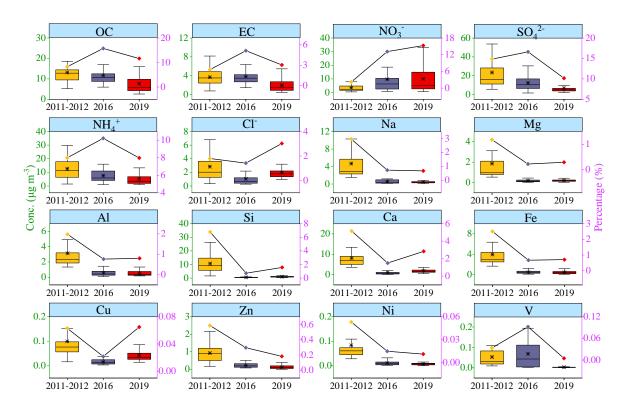
**Figure 1.** Trends of air pollutant concentrations and  $PM_{2.5}/PM_{10}$  and  $SO_2/NO_2$  from 2015 to 2020. "Observation" represents the observed data, and "Normalization" in represents the modelled concentrations of air pollutants after weather normalization. The black line shows the Theil–Sen trend after weather normalization.



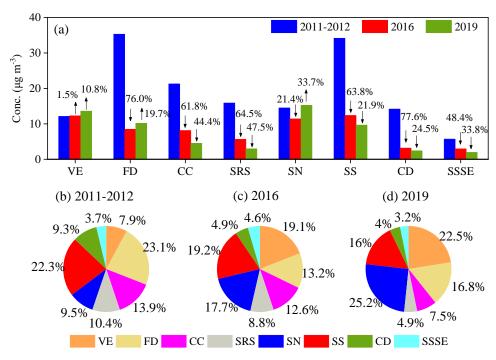
**Figure 2.** Yearly average change of air pollutants and  $PM_{2.5}/PM_{10}$  and  $SO_2/NO_2$  during different pollution-control stages based on the weather normalized data.



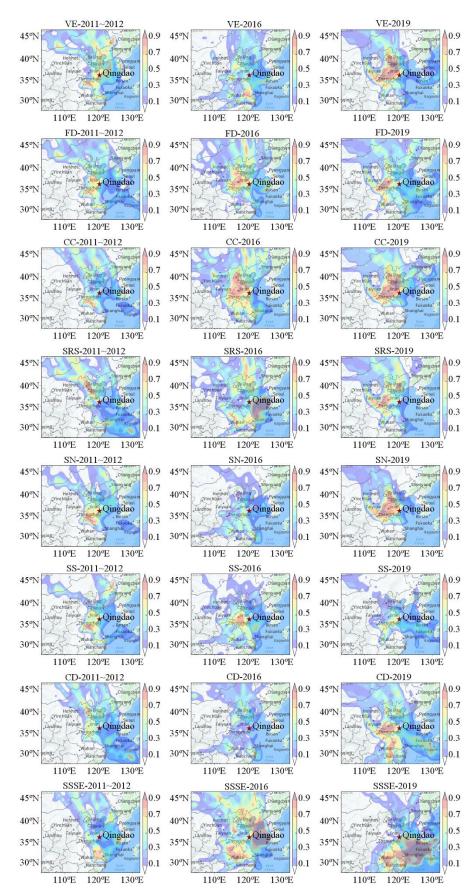
**Figure 3.** Time series of air pollutants concentrations and PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> during the different stages of COVID-19 lockdown start dates or equivalent in 2020 versus 2018 and 2019 based on the weather normalization data.



**Figure 4.** Variations of the average concentrations and percentages of major chemical compositions of  $PM_{2.5}$  in 2011-2012, 2016, and 2019. Box charts represent concentrations, and line charts represent percentages.



**Figure 5.** Changes in source contributions for 2011-2012, 2016, and 2019. VE represents vehicle emissions, FD represents fugitive dust, CC represents coal combustion, SRS represents steel-related smelting, SN represents secondary nitrate, SS represents secondary sulphate, CD represents construction dust, and SSSE represents sea salt and ship emissions.



**Figure 6.** WPSCF plots for various emission sources during different periods (base map from Yahoo Maps). VE represents vehicle emissions, FD represents fugitive dust, CC represents

coal combustion, SRS represents steel-related smelting, SN represents secondary nitrate,	SS
represents secondary sulphate, CD represents construction dust, and SSSE represents sea	salt
and ship emissions.	